#### Response

2 Comments to the Author:

3 The presented manuscript proposes a comparison, in the context of the use of compositional data analysis (CoDA) techniques 4 to perform digital soil mapping of particle-size fractions, of different ILR transformation choices and different prediction 5 algorithms. The authors, after having provided a brief analysis of the current literature on the use of compositional data in 6 geosciences, they perform three different ILR transformations of the data, and then proceed to assess sat comparing the 7 prediction accuracy of several statistical learning methods, namely linear regression (glm with gaussian errors and identity link 8 is classical least squares, gaussian regression), universal kriging and random forests, via the use of a real world dataset. They 9 then conclude by assessing what is the best algorithm in terms of prediction by inspecting several performance metrics. While 10 I do think that the general topic of investigation is of quite interest for an audience of geosciences practitioners, and so it is 11 coherent with the aims of this Journal, I am quite concerned by the execution of the paper, and I think some very serious points 12 need to be tackled before this paper is able to be considered suitable for publication:

13

#### 14 **Comment 1.** The wording is very obscure at times, hindering the very comprehension of the matters at hand.

15 **Response:** Thanks for the suggestion about the quality of the English language of this paper. We looked for some senior editors

16 from a professional English polishing company to improve the overall language of this article and we have checked and

17 improved the writing in the revised version.



# EDITORIAL CERTIFICATE

This document certifies that the manuscript below was edited for correct English language usage, grammar, punctuation and spelling by qualified native English speaking editors at Charlesworth Author Services.

Paper Title:

Compositional balance should be considered in soil particle-size fractions mapping using hybrid interpolators

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Date certificate issued: Nov. 23, 2020

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19 Comment 2. Judging by how the performance metrics are chosen, the prediction problems solve by the authors are all scalar 20 ones, and so the methods seem to having been applied separately to the different components. This is wrong, as it is 21 fundamental in a compositional setting to inspect the cross-correlations between variables (and thus use multivariate prediction 22 methods).

**Response:** For the performance metrics, the Aitchison Distance (AD) was applied as an indicator to evaluate the overall performance of the models. The AD can consider a multivariate setting. In addition, we also wanted to evaluate and compare which component (i.e., sand, silt, and clay) performed best among these prediction models. In the field of soil PSF spatial prediction, each component should be evaluated and not just the overall impact, which will help to fully understand the modeling process. The three ILR balances produced different ILR data, with distinct data ranges and other statistical characteristics. This is why we explored whether different balances would affect one soil PSF component and further improve the accuracy.

30 We think that correlations among the components (i.e., sand, silt, and clay) can be revealed using an ILR transformation. Therefore, the models considered the joint fractions by transforming the original soil PSF data from simplex (three components) 31 32 to the real space (two ILR components). Moreover, the reason why we predicted each ILR component separately is because 33 that was a more suitable approach for the spatial prediction models currently used (such as the GLM and RF). In general, in 34 the formula for a single prediction model (GLM and RF), only one column of observations (ILR1 or ILR2) is included, 35 generating one column of predictions. Therefore, these models cannot consider multiple variables (observations, ILR1 and 36 ILR2) together in one formula. Some previous studies (Akpa et al., 2014; Buchanan et al., 2012; Huang et al., 2014; Nagra et 37 al., 2017) have used similar methods in combination a with log-ratio transformation to make predictions of soil PSF in other 38 study areas, and we think our results can therefore provide guidance for other studies. For the multivariable methods, we have 39 used compositional kriging for the spatial prediction of soil PSFs in our previous studies (Wang and Shi, 2017, 2018); however, 40 this approach cannot be combined with environmental covariables to achieve one of the objectives of this work, i.e., using 41 hybrid interpolation. For the other models, a multivariate RF may be an alternative method for considering multivariate settings 42 in future research. We have improved this part of the paper in the revised version (Discussion 4.3 Limitations)

43

#### 44 **P21L538: 4.3** Limitations.

45 "In this work, we used ILR transformation to demonstrate the correlation of soil PSF data, and different balances were also compared. However, these models were predicted separately for each ILR component (ILR1 and ILR2), which were suboptimal 46 47 because they cannot further consider the cross correlations among ILR coordinates. In our pervious study, we have used 48 compositional kriging (CK) for the spatial prediction of soil PSFs (Wang and Shi, 2017), and the cross correlations of ILRs 49 can be taken into account using CK. Although it is optimal, it cannot consider different balances of ILR, nor can it be combined 50 with the hybrid interpolator (e.g., RK). Moreover, predicting each ILR component separately was a more suitable approach for the spatial prediction models currently used (such as the GLM and RF). Therefore, more alternative spatial prediction 51 52 models combined with interpretation of ILR balances for compositional data should be considered in the future. For example,

- 53 *CK and high accuracy surface modelling (HASM; Yue et al., 2016) can be applied for small scale study areas. For large scale*
- 54 study areas, multivariate RF (Segal and Xiao, 2011) can be combined with a log-ratio transformation and hybrid interpolation,
- 55 enabling the cross correlations among ILR coordinates to be better interpreted."
- 56 **Refrence**
- Akpa, S. I. C., Odeh, I. O. A., Bishop, T. F. A., and Hartemink, A. E.: Digital Mapping of Soil Particle-Size Fractions for
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- fractions using proximal and remotely sensed ancillary data, Geophysics, 77, WB201-WB211, 10.1190/geo2012-0053.1, 2012.
- 61 Huang, J., Subasinghe, R., and Triantafilis, J.: Mapping Particle-Size Fractions as a Composition Using Additive Log-Ratio
- 62 Transformation and Ancillary Data, Soil Sci. Soc. Am. J., 78, 1967-1976, 10.2136/sssaj2014.05.0215, 2014.
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- 67 Yue, T., Liu, Y., Zhao, M., Du, Z., and Zhao, N.: A fundamental theorem of Earth's surface modelling, Environ. Earth Sci.,
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- Wang, Z., and Shi, W. J.: Mapping soil particle-size fractions: A comparison of compositional kriging and log-ratio kriging,
   J. Hydrol., 546, 526-541, 10.1016/j.jhydrol.2017.01.029, 2017.
- Wang, Z. and Shi, W.: Robust variogram estimation combined with isometric log-ratio transformation for improved accuracy
   of soil particle-size fraction mapping, Geoderma, 324, 56–66, https://doi.org/10.1016/j.geoderma.2018.03.007, 2018.
- 73
- Comment 3. Given that linear methods (such as linear regression and regression kriging) are invariant to the choice of ILR
   basis, I am baffled by seeing results for these methods that are different across different ILR transformation.

**Response:** For the same soil sampling point within the soil PSF raw data, different ILR balances produced different ILR values (ILR1 and ILR2). There is no doubt that they can be back-transformed with the same values of soil PSFs (sand, silt, and clay) even though the balances were different (Fig. 1). For the soil PSF interpolation, the raw data first transformed the ILR mode (two components of ILR1 and ILR2), then interpolated and finally back-transformed to the raw data form (three components of sand, silt, and clay). Using three SBPs resulted in different input values for the interpolation, and also produced different results. Therefore, for soil PSFs the ILR balance should be selected carefully.

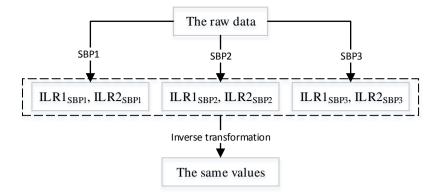


Fig. 1. Transformation and inverse transformation of ILR methods based on different SBPs.

Different GLM and GLMRK models based on three ILR balances generated different results in our study, but this is not indicating that choosing the ILR basis has the influence on the results themselves. We find that there are four aspects causing the difference in our prediction results when we check the process and code we used: (1) the environmental covariables applied for each prediction model; (2) the predicted ILR components of the testing sets; (3) the back-transformed values for the three components of soil PSFs; and (4) the predicted ILR residuals (testing sets) without back transformation (only for the RK method).

90 For (1). The three ILR balances generated different transformed datasets. The GLM model used the "glmStepAIC" algorithm

91 (i.e., a stepwise regression) to select the best combination of environmental covariables for each ILR component. (P8L314"The

92 Akaike's information criterion (AIC) was applied to choose the best predictors and remove model multicollinearity using a

93 backward stepwise algorithm.") Therefore, the variable inputs were different for these ILR data. We listed the choice of

variables of each ILR for one random prediction (Table 1).

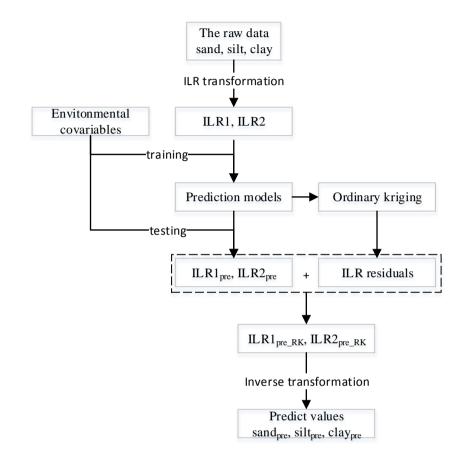
95 **Table 1.** Combination of environmental covariables for different ILR data.

Data	Combination of environmental covariables
ILR1SBP1	WWC + ndvi + lon + soc + rain + CNB + NH
ILR2SBP1	FWHC + WWC + ndvi + tem + soc + dem + rain + AHS + aspect + MSP
ILR1SBP2	FWHC + WWC + ndvi + tem + soc + SHC + dem + rain + AHS + aspect + MSP
ILR2SBP2	FWHC + WWC + lon + soc + aspect + CNB + MSP + MRVBF
ILR1SBP3	FWHC + WWC + tem + lat + soc + dem + aspect + CNB + MSP + MRVBF
ILR2SBP3	ndvi + tem + soc + SHC + dem + rain + aspect + MSP + SH

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For (2) and (3). Moreover, an independent dataset validation was used for the accuracy assessment in this study. The training and testing sets were entirely different and had no intersection. Therefore, the predicted ILRs in the testing sets were different

- and the back-transformed soil PSFs and the accuracy indicators (ME and RMSE) were also different.
- 100 For (4). For the validation and prediction maps of RK, the results were the sum of the predicted ILR and ILR residuals,
- 101 which were then back-transformed, producing different values (Fig. 2). We also noticed that although the differences among
- 102 the values were small, the inverse transformation can enlarge the difference and prediction errors because of the value ranges.
- 103



105 **Fig. 2.** Process of RK method in our study.

- In summary, we think the reasons for the different results start with the first step (EC selection), and affect the next steps. We have added more explanation for this in our revised version.
- 109 **P20L503:** "The results of GLM and GLMRK should not depend on the ILR basis being chosen, which has been proved by
- 110 previous studies on the use of linear models and kriging for compositional data (Pawlowsky-Glahn et al, 2015). However, the
- 111 GLM model used the "glmStepAIC" algorithm (i.e., a stepwise regression) to select the best combination of environmental
- 112 covariables for each ILR component. Therefore, the variable inputs were different for these ILR data, and further impact the
- 113 accuracy assessment and prediction maps."

- 114 **Reference**
- Pawlowsky-Glahn V, Egozcue JJ, Tolosana-Delgado R.: Modeling and analysis of compositional data. John Wiley & Sons,
  Ltd, 2015.
- 117
- 118 Comment 4. The estimation of a bias metric via the use of RMSE on unbiased estimators (such as LM and RK) is simply 119 incorrect.

120 **Response:** We agree that the linear models and RK are unbiased. However, in the validation method used in this study, an 121 independent dataset validation was used for the accuracy assessment. Therefore, the training (70%) and test (30%) sets were 122 entirely different and had no intersection. Although these models are unbiased, we can also verify the bias of an independent 123 dataset (predictions) using the mean error (ME). In other words, for spatial interpolation, the usual methods of validation for 124 comparing the interpolation methods are known as cross-validation and validation with an independent data set. Cross-125 validation involves eliminating each observation in turn, estimating the value at its site from the remaining observations and 126 comparing the predicted value with the measured value. This procedure is a rapid, inexpensive one for comparing predicted 127 and measured values. Unfortunately, it has limitations in many cases. For kriging estimators, it retains the same variogram, 128 and to be true cross-validation the variogram should be recomputed and fitted afresh when each observation is removed. These 129 shortcomings can be avoided by using an independent data set for validation. Validation with an independent data set which is 130 a superior and more dependable method directly estimates the spatial uncertainty, as validation points are located randomly 131 throughout the field (Shi et al., 2009). Therefore, the concept of unbiased is for all sampling points, not for the validation.

We have listed some previous studies that used ME to evaluate soil PSF prediction bias for a linear regression (LR) method combined with a log-ratio, which confirms that the use of these univariate metrics should not be avoided (Buchanan et al., 2012; Huang et al., 2014).

## 135 **Refrence**

Buchanan, S., Triantafilis, J., Odeh, I. O. A., and Subansinghe, R.: Digital soil mapping of compositional particle-size
 fractions using proximal and remotely sensed ancillary data, Geophysics, 77, WB201-WB211, 10.1190/geo2012-0053.1, 2012.
 Huang, J., Subasinghe, R., and Triantafilis, J.: Mapping Particle-Size Fractions as a Composition Using Additive Log-Ratio

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- Shi, W., Liu, J., Du, Z., Song, Y., Chen, C., and Yue, T.: Surface modelling of soil pH, Geoderma, 150, 113-119,
  10.1016/j.geoderma.2009.01.020, 2009.
- 142
- 143 Special thanks to you for your kind comments.
- 144 Yours sincerely,
- 145 Wenjiao Shi
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- 147

# Compositional balance should be considered in <u>the mapping of</u> soil particle-size fractions-<u>mapping</u> using hybrid interpolators

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157 Abstract. Digital soil mapping of soil particle-size fractions (PSFs) using log-ratio methods has been is a widely used technique. 158 As a hybrid interpolator, regression kriging (RK) is an alternative way to improve prediction accuracy. However, there is still 159 a lack of systematic comparison comparisons and recommendation recommendations when RK is applied for compositional 160 data, and whether it is not known if the performance based on different balances of isometric log-ratio (ILR) transformation is robust. Here, we systematically compared the generalized linear model (GLM), random forest (RF), and their hybrid pattern 161 162 (RK) using different balances of ILR transformed data offor soil PSFs, with 29 environmental covariables (ECs) for the 163 prediction of soil PSFs onin the upper reaches of the Heihe River Basin. The results showed that RF had better performance performed best, with more accurate predictions, but GLM hadproduced a more unbiased prediction. For the hybrid 164 165 interpolators, RK was recommended because it widened the data ranges of the prediction results, and modified the bias and accuracy for most models, especially for RF. The However, there was a drawback-however, existed due to the data distributions 166 167 and model algorithms. Moreover, prediction maps generated from RK demonstrated revealed more details of the soil sampling 168 points. For the three components, sequential binary partitionspartition (SBP) based ILR transformed data madeproduced 169 different distributions, and it is not recommended to use the most abundant component of compositions compositional data as 170 the first component of permutations a permutation. This study can provide provides a reference for the spatial simulation of 171 soil PSFs combined with environmental covariables ECs and transformed data at athe regional scale.

172 Keywords: soil particle size fractions; regression kriging; compositional data; isometric log ratio; generalized linear model;

- 173 random forest
- 174 **1 Introduction**
- Recently, spatial interpolation of soil particle-size fractions (PSFs) has become a focus of researchers in soil science researchers.

More <u>accurateaccurately</u> predicted soil PSFs could contribute to a better understanding of hydrological, physical, and environmental processes (Delbari et al., 2011; Ließ et al., 2012; McBratney et al., 2002).

178 The <u>characteristic characteristics</u> of compositional data makes soil PSFs were more impressive than other soil properties.

179 Soil PSFs are usually expressed as three components of discrete data - sand, silt, and clay, and carry only relevant 180 percentage information. Soil texture is classified as soil PSFs, which can demonstrate demonstrated on thea ternary diagram. 181 This The closure system of the ternary diagram is not Euclidean space. Instead, it, but is rather Aitchison space (so called i.e., 182 the simplex) (Aitchison, 1986). Due to the "spurious correlations" (Pawlowsky-Glahn, 1984), traditional statistical methods 183 based on the Euclidean geometry may make generate mistakes when dealing directly with soil PSFsPSF data directly 184 (Filzmoser et al., 2009). The requirements of requirement for constant sum, nonnegative, unbiased arevalues is the key to its 185 spatial interpolation (Walvoort and de Gruijter, 2001). Data transformation is crucial importance for the transformation of 186 compositional data-to transform it from the simplex to the real space. Log ratio transformations play a significant role in 187 compositional data analysis, including the additive log-ratio (ALR), centered log-ratio (CLR) (Aitchison, 1986), and isometric 188 log-ratio (ILR) (Egozcue et al., 2003).

189 Currently, though Although these three log-ratio methods have been widely applied to transform soil PSFsPSF data, different 190 study area scales and what model useselection should consider be considered when modeling. For local- scale study areas, 191 geostatistical models, i.e., ordinary kriging (OK) and compositional kriging, combined with log-ratio transformed data, can 192 meet the requirements are sufficient to map spatial patterns virtually, as shown in our previous study (Wang and Shi, 2017). As 193 another perspective, functional compositions combined with the kriging method can also be applied forto produce soil particle 194 size curves (PSCPSCs) (Menafoglio et al., 2014), which can develop fully the richness providing an abundance of information. 195 It used This involves the use of complete and continuous information rather than discrete information, and soil PSFs can be 196 extracted from the predicted soil PSCs (Menafoglio et al., 2016a). Log-ratio transformations can also combined 197 with functional-compositional data for the stochastic simulation of PSCs (Menafoglio et al., 2016b, Talska et al., 2018). For 198 middle- scale study areas, outliers may lead to the overestimation of the variogram-and make, resulting in prediction errors 199 (Lark, 2000). Therefore, the spatial interpolation should take robust variogram estimators into account to improve model 200 performance (Lark, 2003). The previous study has already proved that applying robust variogram estimators in log-ratio co-201 kriging had significant improvement insignificantly improved mapping performance (Wang and Shi, 2018). For the large-202 scale study areaareas, geostatistical models are limited by the number of soil sampling points and increased spatial variability. 203 More and more An increasing number of studies have concentrated on mapping soil PSFs using different machine learning 204 models, statistical models, and geostatistical models combined with ancillary data (so calledi.e., environmental covariates, 205 ECcovariables, ECs) on a broad basin scale (Zhang et al., 2020), national scale (Akpa et al., 2014)), and global level (Hengl et al., 2017) using log-ratio transformed data. 206

Among these EC-combined models, linear, machine-learning, geostatistical models, and high accuracy surface modeling (Yue et al., 2020) have been commonly used in middle-scale or large-scale studies. Linear models, such as the generalized linear model (GLM) and multiple linear regression (MLR) have been used in soil <u>PSFs prediction</u><u>PSF predictions</u> because of their\_flexibility and interpretability (Lane, 2002; Buchanan et al., 2012). Many of-machine-learning models werehave been applied for <u>soil\_PSFsthe</u> interpolation <u>of soil\_PSFs</u> and soil texture classification. For example, tree learners—, such as the random forest (RF), showed more advantages with abilities have been shown to be advantageous due to their ability to handle noisy datasets and <u>generatedgenerate</u> more realistic maps (Zhang et al., 2020). <u>FurtherFurthermore</u>, regression kriging (RK) can not only combine <u>environment covariables byECs through</u> its regression <u>partfunction</u>, but <u>it</u> also <u>improveimproves</u> model accuracy as a hybrid interpolator for some soil properties, such as topsoil thickness and pH (Hengl et al., 2004). However, the scope of <u>the</u> comparison needs to be expanded <u>forto</u> further <u>exploringexplore</u> the accuracy <u>assessment toand</u> predict compositional data using linear models, machine-learning models, and <u>besides</u>, <u>theseother</u> models combining RK (hybrid patterns).

219 In log-ratio methods, the ILR method performed performs better than ALR and CLR in both in theory and in practice 220 (Filzmoser and Hron, 2009; Wang and Shi, 2018; Zhang et al., 2020). The ILR method eliminates model collinearity and 221 preserves advantageous properties such as isometry, scale invariance, and sub-compositional coherence, which is based 222 onthrough its use of orthonormal coordinate systems (so called i.e., balances) using a sequential binary partition (SBP) 223 (Egozcue and Pawlowsky-Glahn, 2005). These choices are not unique. In other words, multiple sets of ILR transformed data 224 can generate by permutations of components (different SBPs) in the compositional data. The choice of SBPsan 225 SBP can be based on prior expert knowledge, using a compositional biplot (Lloyd et al., 2012) or variograms and cross-226 variograms (Molayemat et al., 2018). It has been proven in statistical science that different results were are obtained using 227 different choices of SBP balances, and the option of a specific SBP for data compositions is crucial for the intended 228 interpretation of coordinates (Fiserova and Hron, 2011). However, most researchers in soil science researchers have ignored 229 this point. Martins et al. (2016) reported that the clay was taken has been widely used as the denominator in the ALR method 230 because it wasis typically the most abundant component of compositions. Few studies have compared the different SBP options 231 from the perspective of accurate assessmentassessments and analyzed whether these differences are due to the general 232 characteristics of specific data sets or log-ratio transformations.

Therefore, based on our previous studywork, the objectives of this study arewere to: (i) compare the spatial prediction accuracy of soil PSFs using a generalized linear model (GLM) and random forest (RF) combined with environmental covariablesECs and ILR transformed data; (ii) determine whether hybrid interpolators (GLMRK and RFRK) can improve the interpolation performance of <u>a</u> GLM and RF; and (iii) explore the distributions of different transformed data and the variation law of precision based on different choices of SBP balances of ILR.

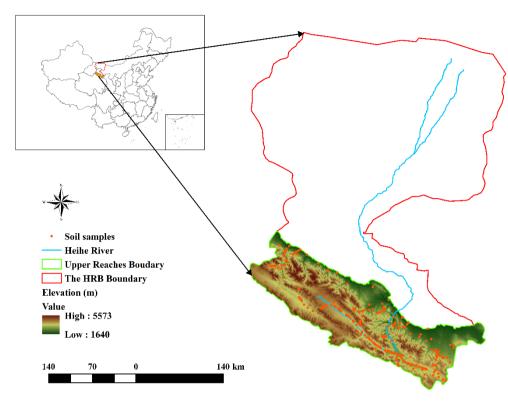
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# 239 2 Methods and materials

## 240 2.1 Study area

The study area iswas the upper reaches of the Heihe River basinBasin (HRB), which is the birthplacesource of the Heihe River and the central area of the runoff generation of in the HRB. The elevation is in this area ranges from 1640 m to 5573 m (Fig. 1), and the climate is damp and cold, being dominated by the Qilian Mountains. The mean annual rainfall of this in the study area is 350 mm, and the mean annual temperature is lower than 4-°C. Meadow and steppe dominateare the dominant vegetation types. Grassland wasis the primary type of land use. The main soil classes are frigid calcic soil in the southwest of this the study area, <u>with</u> cold desert soil <u>dominatesdominating</u> the southeast, <u>andwhile</u> Castanozems and Sierozems <u>mainly distributeare</u>
 <u>distributed</u> in the north of the study area.

# 248



# 249

262

Figure. 1. The location, elevation, and soil samples on the upper reaches of the Heihe River Basin.

# 251 **2.2 Data collection and analysis**

# 252 **2.2.1 Soil PSF data**

253 A total of 262 soil samples based on a purposive sampling strategy were collected in the upper reaches of the HRB based on a 254 purposive sampling strategy and were used to characterize the spatial variability of soil PSFs at the regional- scale study area 255 (Fig. 1). The variability of soil formation factors, such as the elevation, soil elassestype, vegetation elassesclass, and 256 geomorphology classes of the upper reaches of the HRB was considered in soil samples sample collection. The average of three mixed three topsoil samples (approximately approximate depth of 0-20 cm) was obtained to reduce the noise of soil 257 samplessample parameters, and thea parallel sample was also measured. Subsequently, about 30 g of each soil sample was air-258 259 dried, and the chemical and physical analyses were operated after the fieldwork. Collected conducted in the laboratory. Soil 260 PSF information was obtained for the soil samples recorded the information about soil PSFs using a Malvern Panalytical 261 Mastersizer 2000-laser, with less than 3-% average measurement error.

#### 263 2.2.2 The selection of environmental eovariables ECs

264 There were 29 environmental covariatesECs considered in our study, including both continuous and categorical variables, 265 which were considered in our study (Table 1). They follow followed the principles of the SCORPAN model (McBratney et al., 266 2003), which form is defined as  $S_a = f(S, C, O, R, P, A, N)$ .  $S_a$  are soil attributes (or classes) as a function of soil properties 267 (S) or other properties—, i.e., climatic properties (C), organisms and vegetation (O), relief such as topography and landscape 268 attributes (R), parent material (P), an age or time factor (A), and spatial position (N). The continuous variables included the 269 morphometry and hydrologic characteristics of topographic properties, climatic and vegetative indices, and soil physical and 270 chemical properties. The categorical variables include included geomorphology types, land use types, and vegetation 271 typesclasses, which were transformed from vector to raster (1000 m). Due to the intricate patterns of topography in the upper 272 reaches of the HRB, variables the variable of topographic properties dominated the environmental covariates. ECs. The System 273 for Automated Geoscientific Analyses geographic information system (SAGA GIS) (Conrad et al., 2015) was applied for a 274 terrain analysis to derive topographic variables using the 30 m DEM resolution Advanced Spaceborne Thermal Emission and 275 Reflection Radiometer Global Digital Elevation Model (ASTER GDEM, http://www.gscloud.cn), TheA collinearity test ean 276 removeremoved the redundant variables, and then these the topographic properties were then resampled to 1000 m. More details 277 about environmental covariables can be found of the ECs are provided in the Data Availability section.

278 **Table 1.** Selected environmental covariates in our study.

Representation	Environment covariables	Abbreviation
Morphometry	Analytical Hill Shading	AHS
characteristics	Aspect	ASPECT
	Closed Depressions	CD
	Convergence Index	CI
	Channel Network Base Level	CNB
	Slope Length and Steepness Factor	LSF
	Multi-resolution Ridge Top Flatness Index (Gallant and Dowling, 2003)	MRRTF
	Multi-resolution Valley Bottom Flatness Index (Gallant and Dowling, 2003)	MRVBF
	Mid-slope Position	MSP
	Plan Curvature	PLC
	Profile Curvature	PRC
	Slope Height	SH
	Slope Length (D. Moore et al., 1993)	SL
	Tangential Curvature (Florinsky, 1998)	TC
Hydrologic	Catchment Area	CA
characteristics	Surface Area	SA

	Stream Power Index	SPI
	Topographic Wetness Index (Beven and Kirkby, 1979)	TWI
	Vertical Distance to Channel Network	VDCN
Climatic and	Average Annual Precipitation	RAIN
vegetative indices	Average Annual Temperature	TEM
	Normalized Differential Vegetation Index	NDVI
Soil physical and chemical properties	Field Water Holding Capacity (Yi et al., 2015; Song et al., 2016; Yang et al., 2016)	FWHC
	Soil Depth (Yi et al., 2015; Song et al., 2016; Yang et al., 2016)	PDEPTH
	Saturated Hydraulic Conductivity (Yi et al., 2015; Song et al., 2016; Yang et al., 2016)	SHC
	Soil Organic Carbon	SOC
Categorical	Geomorphology	GEOT
maps	Land Use	LU
	Vegetation Classes	VEGET

#### 280 2.3 Isometric log-ratio transformation and sequential binary partition SBP

An orthonormal basis of <u>the</u> ILR was chosen to <u>isometrically</u> project the compositions from  $S^{D}$  (the simplex for the Aitchison geometry) to  $R^{D-1}$  (real space for the Euclidean geometry) <u>isometrically.</u>). The choice of a specific orthonormal basis <u>for use</u> on  $S^{D}$  can be explained by <u>the SBP with their for the</u> groups <u>of compositions</u> (Egozcue and Pawlowsky-Glahn, 2005). The equation for the choice of <u>the</u> construction of coordinates (<u>so calledi.e.</u> balances) between groups of compositions <u>iswas</u> <u>calculated</u> as follows:

286 
$$z_k = \sqrt{\frac{r_k s_k}{r_k + s_k}} ln(\frac{(x_{i_1} x_{i_2} \dots x_{i_{r_k}})^{1/r_k}}{(x_{j_1} x_{j_2} \dots x_{j_{s_k}})^{1/s_k}}), \ k = 1, \dots, D-1,$$
(1)

where  $z_k$  refers to the balance between two groups;  $i_1, i_2, \ldots, i_{r_k}$  is the  $r_k$  partspart of one group; and  $j_1, j_2, \ldots, j_{r_k}$  is the 287  $s_k$  partspart of the other group. Therefore, in a stepwise manner, the balances contain stepwise all the relevant information of 288 289 the compositions in two groups. If This can also explained in a tabular form—for. For soil PSFsPSF data (D = 3), all 290 three choices of the balance of SBPs are shown in Table 2. The first component of the ILR containscontained all the information 291 on soil PSFs, and the main difference of the choice of balances for soil PSFs was the order of the three parts, i.e., the first 292 order of the soil PSF component was used as the numerator of the first ILR equation. In our study, three SBP balances of SBP 293 294 (sand, silt, clay), (silt, clay, sand), and (clay, sand, silt), respectively. The transformation equation equations for the ILR

can be derived from Eq. (1), which wasand were defined as EqEqs. (2) and Eq. (3). The inverse equations for ILR were defined
as EqEqs. (4), (5), (6). The ILR transformation and its inverse are available inwere conducted using the R package
"compositions" (K. Gerald van den Boogaart and Raimon Tolosana, 2014).

298  $\mathbf{z} = (z_1, \dots z_{D-1}) = ILR(\mathbf{x})$ , and for  $i = 1, \dots, D-1$  and component  $x_i$ , (2)

299 
$$z_{i} = \sqrt{\frac{D-i}{D-i+1}} ln \frac{x_{i}}{\sqrt{\prod_{j=i+1}^{D} x_{j}}}.$$
(3)

300 
$$Y(x_j) = \sum_{j=1}^{D} \frac{ILR(x_j)}{\sqrt{j \times (j+1)}} - \sqrt{\frac{j-1}{j}} \times ILR(x_j),$$
(4)

 $301 \quad ILR(x_0) = ILR(x_D) = 0,$ 

$$\overline{ILR}(x_j) = \frac{exp(Y(x_j))}{\sum_{j=1}^{D} exp(Y(x_j))}.$$
(6)

(5)

Table 2 All choices of SBPs for soil PSF data (D = 3), the orders of soil PSFs data are (sand, silt, clay), (silt, clay, sand) and (clay, sand, silt) for SBP1, SBP2 and SBP3.

Groups	Step	Sand	Silt	Clay	r	S	Balance
SBP1	1	+	-	-	1	2	Step 1: $z_1 = \sqrt{\frac{2}{3}} ln \frac{sand}{\sqrt{silt \times clay}}$
	2	0	+	-	1	1	Step2: $z_2 = \sqrt{\frac{1}{2} ln \frac{silt}{clay}}$
SBP2	1	-	+	-	1	2	Step 1: $z_1 = \sqrt{\frac{2}{3}} ln \frac{silt}{\sqrt{clay \times sand}}$
	2	-	0	+	1	1	Step2: $z_2 = \sqrt{\frac{1}{2} ln \frac{clay}{sand}}$
SBP3	1	-	-	+	1	2	Step 1: $z_1 = \sqrt{\frac{2}{3}} ln \frac{clay}{\sqrt{sand \times silt}}$
	2	+	-	0	1	1	Step2: $z_2 = \sqrt{\frac{1}{2} ln \frac{sand}{silt}}$

305

#### 306 2.4 Linear model, machine-learning model, and hybrid patterns

#### 307 2.4.1 Generalized linear model

The generalized linear model (GLM) is an extended version of the linear model, which contains response variables, with nonnormal distributions (Nelder and Wedderburn, 1972). The link function is embedded into the GLM to ensure the classical linear model assumptions. The scaled dependent variables and the independent variables can be connected using <u>a</u> link function for the additive combination of model effects, the choice of link function depends on the distribution of response variables (Venables and Dichmont, 2004). <u>A</u> Gaussian distribution with an identity link function was applied in our study, which

givesproduced consequences equivalent to that of multiple linear regressionMLR (Nickel et al., 2014). However, categorical
 variables can be directly trained in the GLM without setting dummy variables. The Akaike's information criterion (AIC) was
 applied to choose the best predictors and remove model multicollinearity using <u>a</u> backward stepwise algorithm.

316

# 317 **2.4.2 Random forest**

318 Random forest (The RF) is a non-parametric technique, which combines the bagging method with a selection of random 319 variables as an extended version of a regression treestree (RT) (Breiman, 1996, 2001). It can improve model prediction 320 accuracy by producing and aggregating multiple tree models. The principle of the RF is to merge a group of "weak trees" 321 together to generate a "powerful forest." The bootstrap sampling method iswas applied for each tree, and each predictor was 322 selected randomly from all model predictors. The "out of bag" (OOB) data were applied to produce reliable estimates in an 323 internal validation using a random subset independent of the training tree data. There are three Three parameters needneeded 324 to be tuned: the number of trees (*ntree*) and); minimum size of terminal nodes (*nodesize*), and the number of variables 325 randomly sampled as predictors for each tree (mtry) (Liaw and Wiener, 2001). The standard value of the mtry parameter 326 for *mtry* iswas one-third of the total number of predictors, while *ntree* and *nodesize* iswere 500 and 5, respectively. For 327 regression, the mean square errors (MSEs) of predictions were estimated to train the trees. The variable importance of the RF 328 iswas produced from the OOB data using the "importance" function. TheOne of the benefits of RFs are the RF is that the 329 ensembles of trees are used without pruning to ensure that the most significant amount of variance can be expressed. Moreover, 330 the RF can reduce model overfitting, and normalization is unnecessary due to the insensitive effects on the value range, being 331 insensitive. The GLM and RF algorithms of GLM and RF and the parametersparameter adjustment of the RF were 332 available conducted in the R package "caret" (Max Kuhn, 2018).

333

#### 334 2.4.3 Regression kriging

335 Regression kriging (RK) is a hybrid interpolation technique that combines regression models (e.g., GLM and RF) with ordinary 336 kriging (the OK) of the residuals of regression models (Odeh et al., 1995). Mathematically, the RK method corresponds to two 337 interpolators, the regression part and the kriging part, which are operated separately (Goovaerts, 1999), AOne limitation of 338 using only the regression part is that they are it is usually only useful within the range of values of the training sets (Hengl et 339 al., 2015). The principle of the RK method is that the regression model explains a deterministic component of spatial variability. 340 and the interpolation of regression residuals generated from OK is used to describe the spatial variability (Bishop and 341 McBratney, 2001; Hengl et al., 2004). Residuals The residuals are used to create a variogram (e.g., Gaussian, Spherical, 342 or Exponential provide provided based on the MSE from the results of a cross-validation. Firstly First, the 343 regression part in our study (GLM or RF) was used to predict soil PSFs: the. The residual from the fitted model was then 344 calculated by subtracting the regression part from the observations. Subsequently, the OK was applied for the whole study area 345 to interpolate the residuals. Finally, the regression prediction and the predicted residuals at the same location were summed.

346 The variograms of the RK method were generated automatically by using the "autofitVariogram" function in the R package

347 "automap" (Hiemstra et al., 2009).

## 348 **2.5 Prediction method system and validation**

349 The method system of spatial interpolation models for soil PSFs was revealed is presented in Table 3. We systematically

compared 12 models—: four interpolators, including GLM and RF combined with or without RK, and three SBPs of the ILR

351 transformation method. For the validation of model performance, the independent data set validation was used to evaluate the

biss and accuracy of the models. The sub-training sets (70-%) and the sub-testing sets (30-%) were randomly

divided<u>selected</u> from data independently, and this process was repeated 30 times.

Models	GLM	GLMRK	RF	RFRK	
ILR_SBP1	GLM_SBP1	GLMRK_SBP1	RF_SBP1	RFRK_SBP1	
ILR_SBP2	GLM_SBP2	GLMRK_SBP2	RF_SBP2	RFRK_SBP2	
ILR_SBP3	GLM_SBP3	GLMRK_SBP3	RF_SBP3	RFRK_SBP3	

**Table 3.** The method system of spatial interpolation models of soil PSFs.

355

The mean error (ME), the root mean square error (RMSE), and Aitchison distance (AD) were used to evaluate and compare the prediction performance of models. <u>The ME</u> and RMSE measure prediction bias and accuracy, respectively (Odeh et al., 1995). <u>The AD</u> is an overall indicator of compositional analysis, which describes the distance between two <u>data</u> compositions. Generally, <u>in an accurate</u>, unbiased model <u>will have all three symbols values will be</u> close to 0. The <u>equations for ME</u>, RMSE, and AD <u>are defined were calculated</u> as <u>follows</u>:

361 
$$ME = \frac{1}{n} \sum_{i=1}^{n} (M_i - P_i),$$
 (7)

362 
$$RMSE = \sqrt{\frac{1}{n}\sum_{i=1}^{n}(M_i - P_i)^2},$$
 (8)

363 
$$AD = \left[\sum_{i=1}^{D} \left(\log \frac{M_i}{G(M)} - \log \frac{P_i}{G(P)}\right)^2\right]^{0.5},$$
(9)

where  $M_i$  and  $P_i$  are <u>the</u> measured <u>value</u> and predicted <u>valuevalues</u> at <u>the</u> *i*th position, <u>respectively</u>; *n* refers to the number of soil samples; *D* is the number of dimensions of <u>data</u> compositions; <u>and</u>  $G(\mathbf{M})$  and  $G(\mathbf{P})$  <u>denotesdenote</u> the geometric mean with the form  $G(\mathbf{x}) = (x_1, \dots, x_D)^{1/D}$  of the measured and predicted values, respectively.

367

#### 368 2.6 Statistical analysis

An<u>The</u> interpretation of <u>the</u> balances of ILR is based on a decomposition of the covariance <u>(COV)</u> structure (Fiserova and Hron, 2011<del>), we). We</del> calculated the variance (VAR), <del>the covariance (COV)</del> and the corresponding correlation coefficient (CC) of ILR transformed data based on different SBP balances of SBP. The equations for calculating VAR, COV, and CC are 372 defined were derived from Eq. (1) as follows, which can derive from Eq (1):

373 
$$VAR(z) = \frac{1}{r+s} \sum_{p=1}^{r} \sum_{q=1}^{s} var(\ln \frac{x_{ip}}{x_{jq}}) - \frac{s}{2r(r+s)} \sum_{p=1}^{r} \sum_{q=1}^{r} var(\ln \frac{x_{ip}}{x_{iq}}) - \frac{r}{2s(r+s)} \sum_{p=1}^{s} \sum_{q=1}^{s} var(\ln \frac{x_{jp}}{x_{jq}}) - \frac{r}{2s(r+s)} \sum_{q=1}^{s} var(\ln \frac{x_{jp}}{x_{jq}$$

$$374 \quad \frac{r}{2s(r+s)} \sum_{p=1}^{s} \sum_{q=1}^{s} var(\ln \frac{x_{jp}}{x_{jq}}) \tag{10}$$

375 
$$COV(z_1, z_2) = \frac{c}{2r_1 s_2} \sum_{p=1}^{r_1} \sum_{q=1}^{s_2} var(\ln \frac{x_{ip}}{x_{jq}^2}) + \frac{c}{2r_2 s_1} \sum_{p=1}^{r_2} \sum_{q=1}^{s_1} var(\ln \frac{x_{ip}}{x_{jq}^1}) - \frac{c}{2r_1 r_2} \sum_{p=1}^{r_1} \sum_{q=1}^{r_2} var(\ln \frac{x_{ip}}{x_{iq}^2}) - \frac{c}{2r_1 s_2} \sum_{p=1}^{r_2} \sum_{q=1}^{r_2} var(\ln \frac{x_{ip}}{x_{iq}^2}) - \frac{c}{2r_1 s_2} \sum_{p=1}^{r_2} \sum_{q=1}^{r_2} var(\ln \frac{x_{ip}}{x_{iq}^2}) - \frac{c}{2r_1 s_2} \sum_{p=1}^{r_2} \sum_{q=1}^{r_2} var(\ln \frac{x_{ip}}{x_{iq}^2}) - \frac{c}{2r_1 s_2} \sum_{q=1}^{r_$$

$$376 \quad \frac{c}{2s_1 s_2} \sum_{p=1}^{s_1} \sum_{q=1}^{s_2} var(\ln \frac{x_{j_p^1}}{x_{j_q^2}}), \tag{11}$$

377 
$$CC = \frac{COV(z_1, z_2)}{\sqrt{var(z_1) \cdot var(z_2)}}$$
(12)

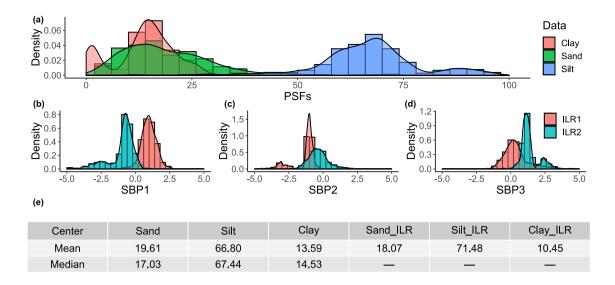
For soil <u>PSFsPSF</u> data, <u>EqEqs</u>. (10), (11)), and (12) can be simplified to three dimensions; <u>the</u>. <u>The</u> relationship between <u>the</u> ratios <u>of soil PSF components</u> and the dominant roles of ILR transformed data <u>are demonstratedwere indicated</u> from the covariance structure. All the statistical analyses, such as the descriptive statistics of soil <u>PSFsPSF</u> data, calculation and evaluation of indicators, and the spatial operation of prediction maps, were performed <u>onusing</u> the R statistical program (R Development Core Team, 2019).

- 383
- 384 3 Results

#### 385 **3.1 Exploratory data analysis**

#### 386 **3.1.1 Descriptive statistics of soil <b>PSFsPSF** data

387 ForFrom the descriptive statistics of the original (raw) and ILR transformed data, the silt fraction dominant dominated the soil 388 PSFs with accounting for a more substantial componentamount than those of the sand and clay fractions. The distributions of 389 the sand and clay fractions were similar (Fig. 2a). The ILR transformed data based on the three SBP balances of SBP were 390 revealed different distributions (Figs. 2b, 2c, and 2d). For example, two ILR components of ILR (ILR1, and ILR2) for SBP1 391 had a symmetric distribution around zero value at the x-axis (Fig. 2b). In comparison, the distribution of data generated from 392 SBP2 or SBP3 had to mirror symmetric deliveries a mirrored symmetry, with a left-skewed ILR1 of SBP2 and right-skewed 393 ILR2 of SBP3 (Figs. 2c and 2d). The comparison of means and medians demonstrated that the back-transformed means of 394 three sets of ILR transformed data were the same, and the mean ILR of sand of ILR was closer to the median compared with 395 the original soil PSF original data. In contrast, the cases of component opposite patterns were apparent for the silt and clay were 396 the opposite components (Fig. 2e).



398

Figure. 2. Descriptive statistics of original soil PSF data and ILR transformed data using different balances of SBP. Not that means of Sand\_ILR, Silt\_ILR, and Clay\_ILR from different SBPs of ILR were back-transformed to the real space.

401

# 402 **3.1.2** Covariance structure of ILR transformed data with different balances

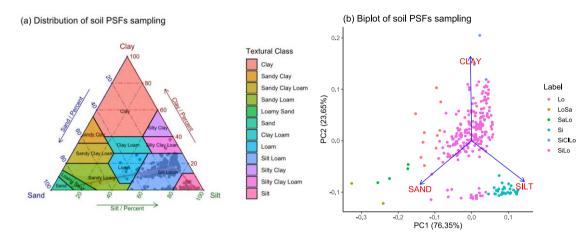
403 The covariance analysis of the transformed data of soil PSFs data-based on the different SBPs showed that the variance 404 VarILR 1 of SBP3 was maximum the largest, followed by the values of VarILR 1 of SBP1 and SBP2 (Table 4). The variance 405 of the second component of ILR (VarILR 2) wasfollowed the opposite pattern to the rule that of VarILR 1. The covariance 406 (COV) and the corresponding correlation coefficient (CC) followed the same pattern –of SBP1 > SBP3 > SBP2. From these 407 values, the relationship of relationships among soil PSFsPSF components or ratios were revealed, as we have known, the. The 408 first <u>ILR</u> equation of <u>ILR</u> ( $z_1$  in Table 2) contained all the <u>soil PSF</u> information of <u>soil PSFs</u>, and while the second one ( $z_2$  in 409 Table 2) included only two components; the The VarILR 1 information of VarILR 1, was therefore, was more abundant. Six 410 values of VarILR\_1 and VarILR\_2 were not 0 (or not nearly 0), indicating that there was no constant (or almost the constant) 411 value in any two ratios of soil PSF components. The COV value of COV of SBP3 was close to 0, showing indicating that the 412 proportions of *clay/sand* and *clay/silt* were approximately the same. The same results were generated from the corresponding 413 correlation coefficient (CC).CC.

414 Table 4 Covariance analysis of soil PSF data based on different SBPs. VarILR\_1 and VarILR\_2 denote the variance of the 415 first and the second component of ILR, respectively. COV refers to the covariance of ILR1 and ILR2. CC is the correlation 416 coefficient.

Balances	VarILR_1	VarILR_2	COV	CC	
SBP1	0.53	0.71	0.32	0.52	

SBP2	0.39	0.86	-0.24	-0.41
SBP3	0.94	0.30	-0.09	-0.16

The distribution of soil <u>PSFsPSF</u> sampling data in <u>thea</u> ternary diagram (the <u>United States Department of Agriculture (</u>USDA) texture triangle) showed that the main texture class was silt loam (Fig. 3a). The biplot of soil samples demonstrated that the rays of <u>the</u> three components, i.e., sand, silt, and clay, were reasonably <u>well</u> clustered at about 120-° in <u>the</u> three groups (Fig. 3b).





417

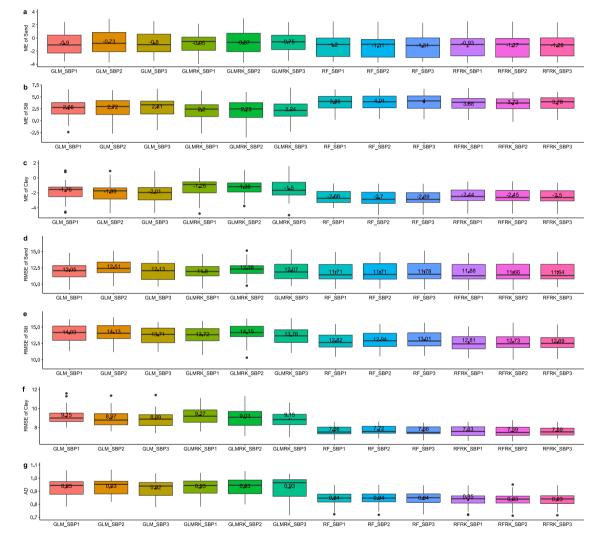
422

Figure. 3. The distribution in the USDA triangle (a) and biplot graph (b) of soil PSFs sampling. The red, smooth curve of these
 soil samples in the USDA triangle was fitted by loess function in R.

#### 426 **3.2** Accuracy comparison of different models using ILR data

427 The first three rows of the boxplots (in Figs. 4-a4a, 4b, and 4c) demonstrated indicate the bias of the different models according 428 to their ME values. The MESME of sand werewas closest to 0, followed by the MEs of clay and silt. The GLM was more 429 unbiased than the RF, with lower ME values. After combing combining with RK, thethere was an improvement was revealed in 430 the ME for MEs in-most GLM and RF models (Figs. 4a, 4b, and 4c). For the accuracy assessment, RMSEs the RMSE of silt 431 was higher than for the other two components. The GLMRK did not perform as well as expect for RMSEs, which expected in 432 terms of the RMSE, with only improved RMSEs of the sand component having an improved RMSE (Fig. 4d). However, the 433 RFRK hadperformed better performance when compared withthan the GLMRK and improved the RMSE of most RMSEs of 434 parts compared with the RF, except for the RFRK SBP1 of sand, Overall As an overall indicator of soil PSFs, the AD<sub>7</sub> showed 435 that the RF (or RFRK) performed better than the GLM (or GLMRK) in terms of both average RMSE values and uncertainties 436 (Fig. 4g). Moreover, the RFRK improved the AD values for the SBP2 and SBP3 methods. For the uncertainty assessment, the 437 RF generated lowerfewer difficulties than the GLM, and the models combined with RK further reduced the uncertainties for 438 most GLM and RF models. For three balances of SBP methods. The model performances were different, for the three SBP

balances. To better evaluate model performance using the different SBP balances, we graded each box from 1 to 3, and the final results wereare shown in the Supplementary Material table(Table S1.1-It). The results demonstrated that SBP1 performed best, with the lowest ME value amongof all models. For the accuracy comparison, the pattern is not there was no apparent pattern, but it eanaccuracy could be considered hierarchically. For the GLM, SBP1 hadperformed better performance than the other two SBPsSBP methods, which also performed well when RK was added (GLMRK). For RF, SBP1 produced the best result. However, the introduction of RK maderesulted in SBP3 performed performing best among the three methods. Further, the The RMSEs generated from RFRK using SBP3 data had the best accuracy among all the models in our study.

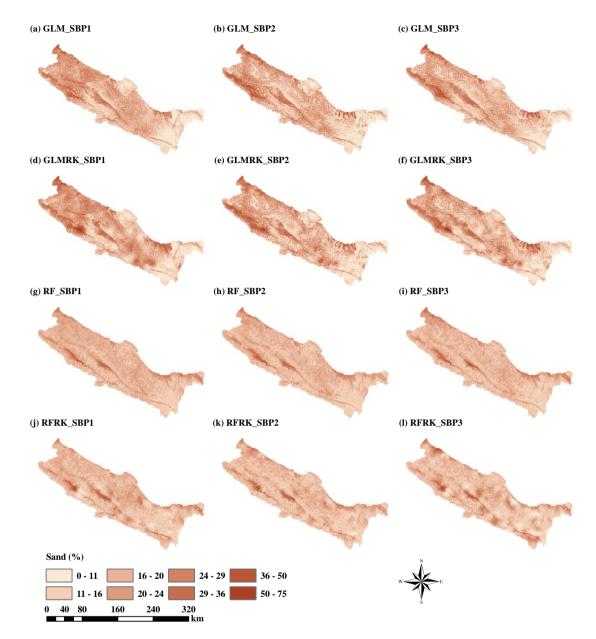


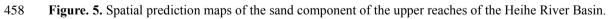
446

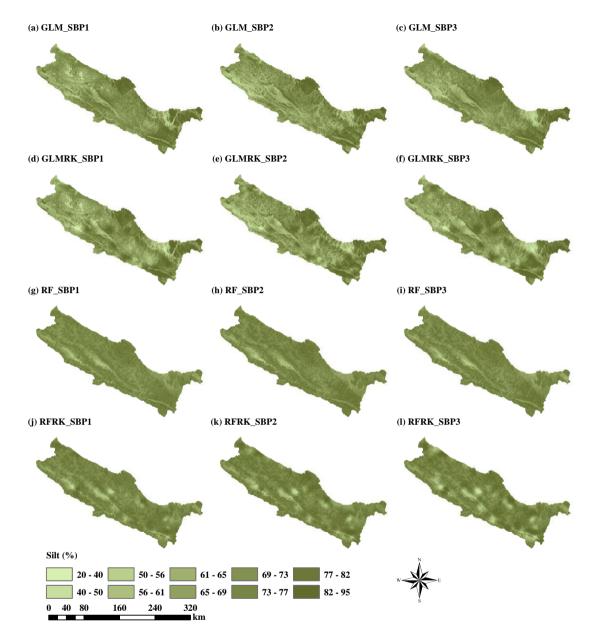
Figure. 4. Accuracy comparison of GLM, RF, and their RK patterns using different ILR balances data. The mean values of
 different model indicators were calculated in their boxes.

# 449 **3.3** Spatial prediction maps of soil PSFs generated from <u>the</u> different models

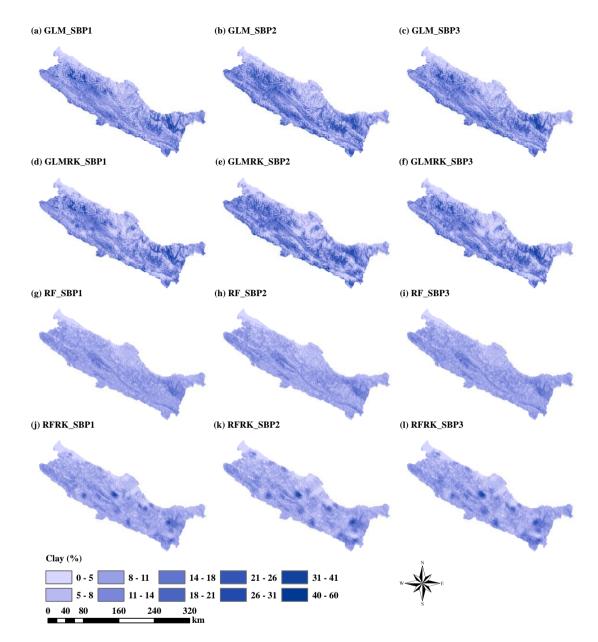
- 450 Prediction maps of soil PSFs made from <u>the</u> different models were revealed are shown in Figs. 5, 6, and 7. For <u>the</u> components
- of soil PSFs, <u>the maps of the</u> three group maps followed a similar rule. <u>The</u> GLM and GLMRK <del>showed</del><u>produced</u> more extensive
- ranges of predicted values, and their maps were more relevant to the real environment. However, the RF and RFRK
- 453 predicted <u>a</u> relatively narrow and range of low values of for these components, revealing <u>a</u> smoother <u>distribution</u> than GLMs.
- 454 Moreover, RK-that generated by the GLM and GLMRK. Unlike the regression methods demonstrated hot spots, the RF and
- 455 <u>RFRK methods produced hot and cold spots on the prediction maps compared with only regression parts; and more details of</u>
- 456 <u>the soil sampling points were apparent (Fig. S2.1) were shown</u>.







**Figure. 6.** Spatial prediction maps of the silt component of the upper reaches of the Heihe River Basin.



462 **Figure. 7.** Spatial prediction maps of the clay component of the upper reaches of the Heihe River Basin.

463 **3.4 Spatial distribution of soil texture classes in the USDA triangles** 

The predicted soil textures <u>plotted in Fig. 8 inbased on</u> the USDA texture triangles (<u>Fig. 8</u>) showed that most <u>predicted soil</u> textures predictions fell within the <u>rangesrange</u> of observed soil textures (Fig. 3a), and silt loam was <u>the</u> dominant <u>in the</u> soil texture <u>types forin</u> all <u>the</u> cases. <u>The</u> GLM produced <u>a</u> more discrete distribution than <u>the</u> RF, and the RK method expanded the effect of dispersion. <u>ForIn</u> the trends of <u>the</u> predicted samples, <u>the</u> silt components predicted from all models were <u>over</u>

- 468 estimated overestimated. The pattern fitting curves indicated that the prediction results were closer to the bottom right of the
- USDA soil texture triangle than the soil <u>PSFsPSF</u> observations. <u>Curves of The</u> GLMRK and RFRK <u>curves</u> were longer than
- 470 <u>the GLM and RF<del>, showing curves</del>, with a more extensive ranges range</u> of value values in the ternary diagram. Compared with
- 471 the GLMRK, the RFRK produced a more upward extension (FigFigs. 8j, k, l). It was clear that the clay fraction was over-
- 472 estimated,<u>overestimated</u> and the sand fraction was <u>under estimated</u><u>underestimated</u>.

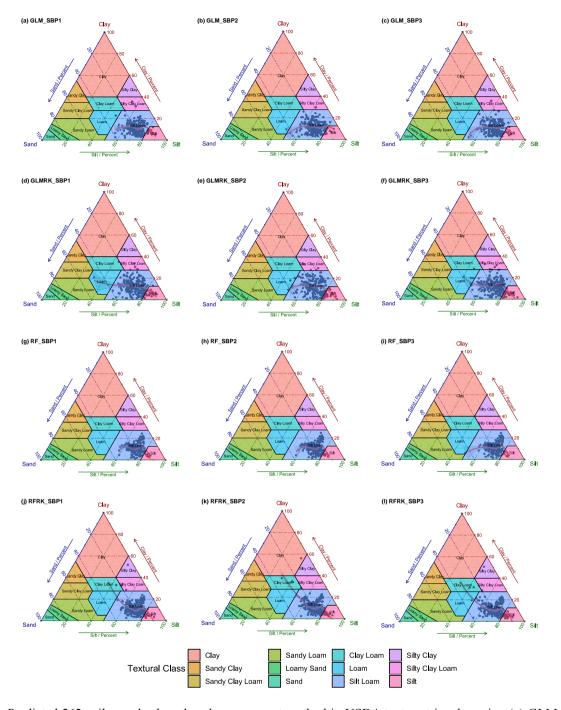


Figure. 8. Predicted 262 soil samples based on leave-one-out method in USDA texture triangles using (a) GLM\_SBP1, (b)
GLM\_SBP2, (c) GLM\_SBP3, (d) GLMRK\_SBP1, (e) GLMRK\_SBP2, (f) GLMRK\_SBP3, (g) RF\_SBP1, (h) RF\_SBP2, (i)
RF\_SBP3, (j) RFRK\_SBP1, (k) RFRK\_SBP2, (l) RFRK\_SBP3. Red fitting lines in triangles showed the trends.

477 4 Discussion

# 478 4.1 Comparison of <u>the GLM</u>, RF, and their hybrid interpolators using ILR data

479 The range of applicability of this study is limited to independent modelling. However, the study demonstrated the correlation 480 of the raw data (sand, silt, and clay), and has confirmed that the currently used prediction models are suitable. For the 481 assessment of independent validation, the RF revealed more accurate results, but with more bias than the GLM. The RK 482 method improved the bias performance of the bias for most models and the accuracy of the RF. Odeh et al. (1995) have 483 indicated that RK was superior to the linear models, such as the multiple linear regression (MLR), which can be was reflected 484 in the prediction of results for sand in our study. Scarpone et al. (2016) reported that as a hybrid interpolator, the RFRK 485 outperformed the RF when dealing withmaking soil thickness prediction predictions. We proved that RK was also 486 available suitable for compositional data to improve and improved model performance when using an ILR transformation in the 487 RF. In summary, the GLM and RF had theirboth advantages and disadvantages when considering the trade-off between bias 488 and accuracy. The difficulty with the use of the GLM is the need for a back-transformation; it needs. There is a need to present 489 results on the original untransformed scale after analyzing conducting the analysis on a transformed level, which may produce 490 the unfortunate result between themspurious results (Lane, 2002). In our study, we compared the means of ILR transformed 491 data and the original data. We proved the feasibility of the ILR transformation method, especially for meeting the requirements 492 of compositional data. StillHowever, the accuracy of the GLM still needs to be improved; this, which may be because the 493 transformed data did not follow a normal distribution. In addition, although the RF had anthe advantage on of prediction 494 accuracy, the limited interpretability of the consequences -a "black box" effect -made it challenging difficult to modify the 495 prediction bias because each tree from the model cannot be examined individually (Grimm et al., 2008). The ILR 496 transformation before modeling increased the difficulty of interpretation for not only the predicted values on the ILR- scale 497 but also the residuals. Moreover, the back-transformation of the optimal estimate of log-ratio variables does not generate the 498 optimal estimation of compositions data (Lark and Bishop, 2007), which also be considered. 499 Multivariate methods, such as the multivariate RF, can be combined with a log-ratio transformation and hybrid interpolation, 500 enabling the cross correlations among ILR coordinates to be better interpreted.

501

#### 502 **4.2** Comparison of three <u>SBP</u> balances of <u>in the</u> ILR transformation method

The results of GLM and GLMRK should not depend on the ILR basis being chosen, which has been proved by previous studies
 on the use of linear models and kriging for compositional data (Pawlowsky-Glahn et al, 2015). However, the GLM model used
 the "glmStepAIC" algorithm (i.e., a stepwise regression) to select the best combination of environmental covariables for each
 ILR component. Therefore, the variable inputs were different for these ILR data, and further impact the accuracy assessment
 and prediction maps.

508 The comparison of <u>the</u> three <u>SBP</u> balances of <u>SBP</u> showed that <u>most the</u> indicators of ME and RMSE <u>performed better when</u>

using SBP1 offor ILR transformed data performed better, which may be interpreted as the distributions of the ILR1 and ILR2
of SBP1 werebeing more symmetric (Fig. 2b). In contrast, the performance of SBP2 was worse than the other two that of SBP1
and SBP3 because the ILR\_1 component, including all the soil PSF information of soil PSFs, was left-skewed (Fig. 2c). This
result was apparent, especially apparent for the GLM and GLMRK, because the normal distribution of data is needed in thea
linear model needs to be normally distributed (Lane, 2002).

514 The interpretation of the negligible difference among the three SBP balances of SBP-was the presented in a biplot of soil 515 PSFsPSF sampling data (Fig. 3b), which revealed a triangular shape. In other words, these can This could be interpreted as thatthe three soil PSFs hadhaving a mixed pattern, and with each component was dominated by the components in one cluster 516 517 (Tolosana-Delgado et al., 2005). Although the silt component dominated the soil PSFs with the highest content (Fig. 2a), sand 518 and clay also played essential important roles of in the compositional data as well. Therefore, taking either the most abundant 519 component of compositions the compositional data as the denominator (Martins et al., 2016) or the first component of the 520 permutations wasdid not provide convincing evidence. In contrast, using Using the most abundant component of compositions 521 the compositional data as the primary component of the alterations, i.e., SBP2, demonstrated resulted in a relatively poor 522 performance among three compared to the other SBPs data. Thus, we recommended recommend using other parts that were are 523 not the most abundant as the first component of permutations when the biplot diagram was, which in this case resulted in a 524 uniform distribution on the biplot diagram, with a cluster at about 120-° (Fig. 3b). Furthermore, the choice of balance is the 525 key to improving model accuracy, such as shown by the result of the RFRK-SBP3 model (Fig. 4). We also fitted the biplots using a random sampling test (70-%% of the soil sampling data was randomly sampled), and the distribution distributions 526 527 (angle) of these graphs (angle) were almost the same (Fig. S3.1). Multiple data sets should be considered in further 528 researchesstudies to verify if it was this is a general feature of soil PSFsPSF samples or if it was produced from our data set.

529 Also, the The weighting problem was not considered in this study, because the ILR method can be qualified as an unweighted 530 log-ratio transformation, giving all parts the same weight for both the definition of the total variance and the reduction of 531 dimension. HThis may enlarge the ratios generated from the rare parts-and, which would dominate the analysis (Greenacre and 532 Lewi, 2009). The pairwise log-ratio can be used to set weights by their proportions when there is no additional knowledge 533 about the component measurement errors (Greenacre, 2019). Nevertheless, all three parts of the soil PSF data 534 dominated on the biplot diagram, without the influence of rare elements and with no redundancy; thus, there are 535 nonone of the shortcomings mentioned above- and the accuracy were apparent. Accuracy assessments using a pairwise log-536 ratio transformation need more researchrequire further study in the future.

537 4.3 Limitations

In this work, we used ILR transformation to demonstrate the correlation of soil PSF data, and different balances were also
 compared. However, these models were predicted separately for each ILR component (ILR1 and ILR2), which were
 suboptimal because they cannot further consider the cross correlations among ILR coordinates. In our pervious study, we have
 used compositional kriging (CK) for the spatial prediction of soil PSFs (Wang and Shi, 2017), and the cross correlations of

- 542 ILRs can be taken into account using CK. Although it is optimal, it cannot consider different balances of ILR, nor can it be 543 combined with the hybrid interpolator (e.g., RK). Moreover, predicting each ILR component separately was a more suitable 544 approach for the spatial prediction models currently used (such as the GLM and RF). Therefore, more alternative spatial 545 prediction models combined with interpretation of ILR balances for compositional data should be considered in the future. For 546 example, CK and high accuracy surface modelling (HASM; Yue et al., 2016) can be applied for small scale study areas. For 547 large scale study areas, multivariate RF (Segal and Xiao, 2011) can be combined with a log-ratio transformation and hybrid 548 interpretation of the second study areas. For 549 large scale study areas, multivariate RF (Segal and Xiao, 2011) can be combined with a log-ratio transformation and hybrid 549 interpretation.
- 548 interpolation, enabling the cross correlations among ILR coordinates to be better interpreted.

# 549 5 Conclusions

We evaluated and compared the performance of <u>the GLM</u>, RF, and their hybrid pattern (i.e., GLMRK and RFRK) using different <u>HLR</u>-balances <u>of ILR</u> transformed data. The bias of <u>the GLM</u> was lower than <u>thosethat</u> of <u>the</u> RF; however, the accuracy of <u>the GLM</u> was relatively <u>lowerlow</u>. More discrete distributions and broader ranges of prediction value distributions were produced from GLMs in the USDA soil texture triangles. In other words, different data sets were generated from <u>the use</u> of <u>the GLM</u> and RF—<u>, with</u> unbiased and inaccurate predictions for <u>the GLM</u> and biased and more accurate predictions for <u>the</u> RF.

556 —The hybrid <u>patternspattern</u> of GLM and RF, <u>(i.e., RK, were recommended, which)</u> was found to be the best solution 557 <u>because it</u> produced <u>relative highera</u> relatively high</u> prediction accuracy and <u>environmental correlation, showingstrong</u> 558 <u>correlations with ECs, providing</u> more details about <u>the</u> soil sampling points (hot spots and cold spots) compared with <u>only</u> the 559 regression <u>partmodel</u>. However, the non-normal distribution of ILR transformed data, and the "black box" effect of the RF 560 algorithm were drawbacks <u>in the use of the</u> GLMRK and RFRK.

561 ConcerningFor the different SBP balances of SBP, the three SBP-based data generated slightly different distributions. 562 slight difference was produced, and the, but no pattern was not visible, which was apparent. This could be explained from by 563 the angle of the biplot diagram—, with three rays of soil PSFsPSF components clustered into three modes, and each part 564 dominated indominating its cluster. Using the most abundant component of compositions the compositional data as the first component of the permutations was not considered the right choice because of SBP2 produced the worst performance of SBP2. 565 566 On the contrary. Instead, we recommended recommend using other parts that wereare not the most abundant as the first 567 component of permutations when the biplot diagram was, which in this case resulted in a uniform distribution with on the 568 biplot diagram, with a cluster at about 120 °, like°. To consider the form of our study. For a general feature feature of soil 569 PSFsPSF compositional data, multiple soil PSFsPSF data sets should be considered and compared in the future. This study 570 can provide a reference for the spatial simulation of soil PSFs combined with environmental covariablesECs at athe regional 571 scale, and how to choose the balances of ILR transformed data.

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573 *Data Availability.* We did not use any new data and the data we used come from previously published sources. Soil particle-574 size fractions data is available through our previous studies (Wang and Shi, 2017, 2018). Moreover, it also can be visited on

575	this website: <u>http://data.tpdc.ac.cn/zh-hans/data/7t91d36d-8bbd-40d5-8eaf-7c035e742t40/</u> (Digital soil mapping dataset of
576	soil texture (soil particle-size fractions) in the upstream of the Heihe river basin (2012-2016); last access: 4 July 2020). The
577	meteorological data can be accessed through http://data.cma.cn/ (last access: 4 July 2020). Environmental covariates data of
578	soil physical and chemical properties and categorical maps can be obtained through http://data.tpdc.ac.cn/zh-hans/ (last access:
579	4 July 2020), including saturated water content, field water holding capacity, wilt water content, saturated hydraulic
580	conductivity data (http://data.tpdc.ac.cn/zh-hans/data/e977f5e8-972b-42a5-bffe-cd0195f3b42b/, Digital soil mapping dataset
581	of hydrological parameters in the Heihe River Basin (2012); last access: 4 July 2020), and soil thickness data
582	(http://data.tpdc.ac.cn/zh-hans/data/fc84083e-8c66-4a42-b729-4f19334d0d67/, Digital soil mapping dataset of soil depth in
583	the Heihe River Basin (2012-2014); last access: 4 July 2020). DEM data set is provided by the Geospatial Data Cloud site,
584	Computer Network Information Center, Chinese Academy of Sciences. (http://www.gscloud.cn, last access: 4 July 2020).

586 Author contribution. Wenjiao Shi contributed to soil data sampling, oversaw the design of the entire project. Mo Zhang 587 performed the model analysis and wrote the manuscript. Both authors contributed to writing this paper and interpreting data.

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589 *Competing interests.* The authors declare that they have no conflict of interest.

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