#### Response

2 Comments to the Author:

This paper presents an investigation on the use of compositional balances for the analysis and spatial mapping of particlesize fractions. In particular, it aims to compare the use of ILR balances for the purpose of performing: (1) linear regression (named GLM, although it is just LM in the study), (2) regression kriging, (3) random forest prediction. I believe that the general topic of the investigation could be interesting for the applied field of study. However, in my view, the study has severe limitations, and should not be considered further for publication in this journal. The main weak points of the study are summarized in the following points.

9

10 Comment 1. Although only partially explained, the methods being compared appears to be all applied separately to the three 11 univariate ILR coordinates. This is not correct, as the ILR coordinates are typically correlated, so the analysis should also 12 consider the cross correlations among coordinates. All the presented results are thus suboptimal, and does not provide any 13 effective guidance for other studies in the field. This also leads inconsistencies in the results (see my following point 2.).

14 Response: Although our models were predicted separately for each ILR component (ILR1 and ILR2) and seem to be 15 suboptimal, we think that correlations among the components (i.e., sand, silt, and clay) can be revealed using an ILR 16 transformation. Therefore, the models considered the joint fractions by transforming the original soil PSF data from simplex 17 (three components) to the real space (two ILR components). Moreover, the reason why we predicted each ILR component 18 separately is because that was a more suitable approach for the spatial prediction models currently used (such as the GLM and 19 RF). In general, in the formula for a single prediction model (GLM and RF), only one column of observations (ILR1 or ILR2) 20 is included, generating one column of predictions. Therefore, these models cannot consider multiple variables (observations, 21 ILR1 and ILR2) together in one formula. Some previous studies (Akpa et al., 2014; Buchanan et al., 2012; Huang et al., 2014; 22 Nagra et al., 2017) have used similar methods in combination a with log-ratio transformation to make predictions of soil PSF 23 in other study areas, and we think our results can therefore provide guidance for other studies. For the multivariable methods, 24 we have used compositional kriging for the spatial prediction of soil PSFs in our previous studies (Wang and Shi, 2017, 2018); 25 however, this approach cannot be combined with environmental covariables to achieve one of the objectives of this work, i.e., 26 using hybrid interpolation. For the other models, a multivariate RF may be an alternative method for considering multivariate 27 settings in future research. We have improved this part of the paper in the revised version (Discussion 4.3 Limitations)

28

#### 29 **P21L549: 4.3** Limitations.

30 "In this work, we used ILR transformation to demonstrate the correlation of soil PSF data, and different balances were also 31 compared. However, these models were predicted separately for each ILR component (ILR1 and ILR2), which were suboptimal 32 because they cannot further consider the cross correlations among ILR coordinates. In our pervious study, we have used 33 compositional kriging (CK) for the spatial prediction of soil PSFs (Wang and Shi, 2017), and the cross correlations of ILRs 34 can be taken into account using CK. Although it is optimal, it cannot consider different balances of ILR, nor can it be combined

- 35 with the hybrid interpolator (e.g., RK). Moreover, predicting each ILR component separately was a more suitable approach
- 36 for the spatial prediction models currently used (such as the GLM and RF). Therefore, more alternative spatial prediction
- 37 models combined with interpretation of ILR balances for compositional data should be considered in the future. For example,
- 38 CK and high accuracy surface modelling (HASM; Yue et al., 2016) can be applied for small scale study areas. For large scale
- 39 study areas. multivariate RF (Segal and Xiao, 2011) can be combined with a log-ratio transformation and hybrid interpolation.
- 40 *enabling the cross correlations among ILR coordinates to be better interpreted.*"
- 41

### 42 **Refrence**

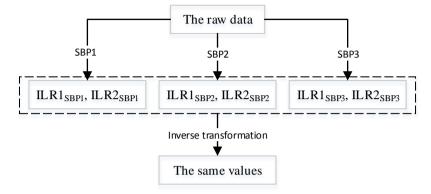
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   of soil particle-size fraction mapping, Geoderma, 324, 56–66, https://doi.org/10.1016/j.geoderma.2018.03.007, 2018.
- 59
- 60 **Comment 2.** The results of linear models and kriging, if correctly applied, should not depend on the ILR basis being chosen. 61 This has been proved by previous studies on the use of linear models and kriging for compositional data (see, e.g., Pawlowsky-62 Glahn et al, (2015)). The authors cited in the text (Fiserova & Hron, 2011) indeed suggest to choose the ILR basis driven by 63 interpretation purposes (which may be eased by a particular basis), but they do not refer to the influence of the basis on the 64 results themselves, as these are independent on the basis being chosen if the method can be restated in term of a projection in 65 the simplex (as LM and RK). As such, studying the effect of the choice of the ILR basis in these cases does not provide any 66 meaningful information, beside the evidence that the methods discussed in the manuscript were not applied correctly (see point
- 67 <u>1.)</u>.
- 68 **Response:**

For the same soil sampling point within the soil PSF raw data, different ILR balances produced different ILR values (ILR1 and ILR2). There is no doubt that they can be back-transformed with the same values of soil PSFs (sand, silt, and clay) even though the balances were different (Fig. 1). For the soil PSF interpolation, the raw data first transformed the ILR mode (two components of ILR1 and ILR2), then interpolated and finally back-transformed to the raw data form (three components of sand, silt, and clay). Using three SBPs resulted in different input values for the interpolation, and also produced different results.

74 Therefore, for soil PSFs the ILR balance should be selected carefully.



75

Fig. 1. Transformation and inverse transformation of ILR methods based on different SBPs.

Different GLM and GLMRK models based on three ILR balances generated different results in our study, but this is not indicating that choosing the ILR basis has the influence on the results themselves. We find that there are four aspects causing the difference in our prediction results when we check the process and code we used: (1) the environmental covariables applied for each prediction model; (2) the predicted ILR components of the testing sets; (3) the back-transformed values for the three components of soil PSFs; and (4) the predicted ILR residuals (testing sets) without back transformation (only for the RK method).

83 For (1). The three ILR balances generated different transformed datasets. The GLM model used the "glmStepAIC" algorithm

84 (i.e., a stepwise regression) to select the best combination of environmental covariables for each ILR component. (P8L325 "The

85 Akaike's information criterion (AIC) was applied to choose the best predictors and remove model multicollinearity using a

86 *backward stepwise algorithm.*") Therefore, the variable inputs were different for these ILR data. We listed the choice of

87 variables of each ILR for one random prediction (Table 1).

88 **Table 1.** Combination of environmental covariables for different ILR data.

Data	Combination of environmental covariables
ILR1SBP1	WWC + ndvi + lon + soc + rain + CNB + NH
ILR2SBP1	FWHC + WWC + ndvi + tem + soc + dem + rain + AHS + aspect + MSP
ILR1SBP2	FWHC + WWC + ndvi + tem + soc + SHC + dem + rain + AHS + aspect + MSP

 $ILR2SBP2 \quad FWHC + WWC + lon + soc + aspect + CNB + MSP + MRVBF$ 

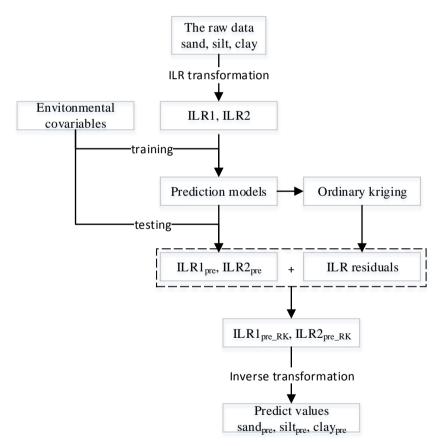
 $ILR1SBP3 \quad FWHC + WWC + tem + lat + soc + dem + aspect + CNB + MSP + MRVBF$ 

 $ILR2SBP3 \quad ndvi + tem + soc + SHC + dem + rain + aspect + MSP + SH$ 

89

For (2) and (3). Moreover, an independent dataset validation was used for the accuracy assessment in this study. The training and testing sets were entirely different and had no intersection. Therefore, the predicted ILRs in the testing sets were different and the back-transformed soil PSFs and the accuracy indicators (ME and RMSE) were also different (see response 1).

For (4). For the validation and prediction maps of RK, the results were the sum of the predicted ILR and ILR residuals, which were then back-transformed, producing different values (Fig. 2). We also noticed that although the differences among the values were small, the inverse transformation can enlarge the difference and prediction errors because of the value ranges.



97

98 Fig. 2. Process of RK method in our study.

<sup>100</sup> In summary, we think the reasons for the different results start with the first step (EC selection), and affect the next steps. We

101 have added more explanation for this in our revised version.

102 **P20L514:** "The results of GLM and GLMRK should not depend on the ILR basis being chosen, which has been proved by

103 previous studies on the use of linear models and kriging for compositional data (Pawlowsky-Glahn et al. 2015). However, the

104 GLM model used the "glmStepAIC" algorithm (i.e., a stepwise regression) to select the best combination of environmental

105 covariables for each ILR component. Therefore, the variable inputs were different for these ILR data, and further impact the

106 accuracy assessment and prediction maps."

107 **Reference** 

Pawlowsky-Glahn V, Egozcue JJ, Tolosana-Delgado R.: Modeling and analysis of compositional data. John Wiley & Sons,
 Ltd, 2015.

110

#### 111 **Comment 3.**

(1) Studying the bias of linear models and regression kriging is not meaningful, because both are unbiased methods. If bias is
found, it derives from an incorrect definition of the notion of bias, which should be considered in the geometry of the simplex.
(2) Moreover, all the statistics and summaries should be considered in a multivariate setting, and the consideration of univariate
components of psfs should be completely avoided (particularly if the aim is to approach them in a compositional setting).
Overall, the paper does not discuss clearly the background on compositional data analysis, and the consents to analyses and
results are often formally inappropriate, showing inconsistencies and general confusion on the concepts related with the theory
of compositional data analysis.

119 **Response:** (1) We agree that the linear models and RK are unbiased. However, in the validation method used in this study, an 120 independent dataset validation was used for the accuracy assessment. Therefore, the training (70%) and test (30%) sets were 121 entirely different and had no intersection. Although these models are unbiased, we can also verify the bias of an independent 122 dataset (predictions) using the mean error (ME). In other words, for spatial interpolation, the usual methods of validation for 123 comparing the interpolation methods are known as cross-validation and validation with an independent data set. Cross-124 validation involves eliminating each observation in turn, estimating the value at its site from the remaining observations and 125 comparing the predicted value with the measured value. This procedure is a rapid, inexpensive one for comparing predicted 126 and measured values. Unfortunately, it has limitations in many cases. For kriging estimators, it retains the same variogram, 127 and to be true cross-validation the variogram should be recomputed and fitted afresh when each observation is removed. These 128 shortcomings can be avoided by using an independent data set for validation. Validation with an independent data set which is 129 a superior and more dependable method directly estimates the spatial uncertainty, as validation points are located randomly 130 throughout the field (Shi et al., 2009). Therefore, the concept of unbiased is for all sampling points, not for the validation.

131

(2) Furthermore, for the statistics and summaries, the Aitchison Distance (AD) was applied as an indicator to evaluate the
 overall performance of the models. The AD can consider a multivariate setting. In addition, we also wanted to evaluate and
 compare which component (i.e., sand, silt, and clay) performed best among these prediction models. In the field of soil PSF

- spatial prediction, each component should be evaluated and not just the overall impact, which will help to fully understand the
- modeling process. The three ILR balances produced different ILR data, with distinct data ranges and other statistical characteristics. This is why we explored whether different balances would affect one soil PSF component and further improve
- the accuracy.
- 139 We have listed some previous studies that used ME to evaluate soil PSF prediction bias for a linear regression (LR) method
- 140 combined with a log-ratio, which confirms that the use of these univariate metrics should not be avoided (Buchanan et al.,
- 141 2012; Huang et al., 2014).

#### 142 **Refrence**

- Buchanan, S., Triantafilis, J., Odeh, I. O. A., and Subansinghe, R.: Digital soil mapping of compositional particle-size fractions using proximal and remotely sensed ancillary data. Geophysics. 77. WB201-WB211, 10.1190/geo2012-0053.1, 2012.
- 145 Huang, J., Subasinghe, R., and Triantafilis, J.: Mapping Particle-Size Fractions as a Composition Using Additive Log-Ratio
- 146 Transformation and Ancillary Data, Soil Sci. Soc. Am. J., 78, 1967-1976, 10.2136/sssaj2014.05.0215, 2014.
- Shi, W., Liu, J., Du, Z., Song, Y., Chen, C., and Yue, T.: Surface modelling of soil pH, Geoderma, 150, 113-119, 10.1016/j.geoderma.2009.01.020, 2009.
- 149

150 **Comment 4.** The discussion is very confused, and the overall message strongly hindered by incorrect English wording.

151 **Response:** Thanks for the suggestion about the quality of the English language of this paper. We looked for some senior editors 152 from a professional English polishing company to improve the overall language of this article and we have checked and

153 improved the writing in the revised version.



# **EDITORIAL CERTIFICATE**

This document certifies that the manuscript below was edited for correct English language usage, grammar, punctuation and spelling by qualified native English speaking editors at Charlesworth Author Services.

Paper Title:

Compositional balance should be considered in soil particle-size fractions mapping using hybrid interpolators

Author: Wenjiao Shi

Date certificate issued: Nov. 23, 2020

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- 155 Special thanks to you for your kind comments.
- 156 Yours sincerely,
- 157 Wenjiao Shi

158 E-mail: shiwj@lreis.ac.cn

# Compositional balance should be considered in <u>the mapping of</u> soil particle-size fractions-mapping using hybrid interpolators

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167 Chinese Academy of Sciences. 11A, Datun Road, Chaoyang District, Beijing 100101, China.

168 Abstract. Digital soil mapping of soil particle-size fractions (PSFs) using log-ratio methods has been is a widely used technique. 169 As a hybrid interpolator, regression kriging (RK) is an alternative way to improve prediction accuracy. However, there is still 170 a lack of systematic comparison comparisons and recommendation recommendations when RK is applied for compositional 171 data, and whether it is not known if the performance based on different balances of isometric log-ratio (ILR) transformation is 172 robust. Here, we systematically compared the generalized linear model (GLM), random forest (RF), and their hybrid pattern 173 (RK) using different balances of ILR transformed data offor soil PSFs, with 29 environmental covariables (ECs) for the 174 prediction of soil PSFs onin the upper reaches of the Heihe River Basin. The results showed that RF had better 175 performance performed best, with more accurate predictions, but GLM hadproduced a more unbiased prediction. For the hybrid 176 interpolators, RK was recommended because it widened the data ranges of the prediction results, and modified the bias and 177 accuracy for most models, especially for RF. The However, there was a drawback-however, existed due to the data distributions 178 and model algorithms. Moreover, prediction maps generated from RK demonstrated revealed more details of the soil sampling 179 points. For the three components, sequential binary partitionspartition (SBP) based ILR transformed data madeproduced 180 different distributions, and it is not recommended to use the most abundant component of compositions compositional data as 181 the first component of permutations a permutation. This study can provide provides a reference for the spatial simulation of 182 soil PSFs combined with environmental covariables ECs and transformed data at athe regional scale.

183 Keywords: soil particle size fractions; regression kriging; compositional data; isometric log ratio; generalized linear model;

- 184 random forest
- 185 **1 Introduction**
- 186 Recently, spatial interpolation of soil particle-size fractions (PSFs) has become a focus of researchers in-soil science researchers.

187 More <u>accurately</u> predicted soil PSFs could contribute to a better understanding of hydrological, physical, and 188 environmental processes (Delbari et al., 2011; Ließ et al., 2012; McBratney et al., 2002).

189 The characteristic characteristics of compositional data makes soil PSFs were more impressive than other soil properties.

190 Soil PSFs are usually expressed as three components of discrete data - sand, silt, and clay, and carry only relevant 191 percentage information. Soil texture is classified as soil PSFs, which can demonstrate demonstrated on thea ternary diagram. 192 This The closure system of the ternary diagram is not Euclidean space. Instead, it, but is rather Aitchison space (so called i.e., 193 the simplex) (Aitchison, 1986). Due to the "spurious correlations" (Pawlowsky-Glahn, 1984), traditional statistical methods 194 based on the Euclidean geometry may make generate mistakes when dealing directly with soil PSFsPSF data directly 195 (Filzmoser et al., 2009). The requirements of requirement for constant sum, nonnegative, unbiased arevalues is the key to its 196 spatial interpolation (Walvoort and de Gruijter, 2001). Data transformation is crucial importance for the transformation of 197 compositional data-to transform it from the simplex to the real space. Log ratio transformations play a significant role in 198 compositional data analysis, including the additive log-ratio (ALR), centered log-ratio (CLR) (Aitchison, 1986), and isometric 199 log-ratio (ILR) (Egozcue et al., 2003).

200 Currently, though Although these three log-ratio methods have been widely applied to transform soil PSFsPSF data, different 201 study area scales and what model useselection should consider be considered when modeling. For local- scale study areas, 202 geostatistical models, i.e., ordinary kriging (OK) and compositional kriging, combined with log-ratio transformed data, can 203 meet the requirements are sufficient to map spatial patterns virtually, as shown in our previous study (Wang and Shi, 2017). As 204 another perspective, functional compositions combined with the kriging method can also be applied forto produce soil particle 205 size curves (PSCPSCs) (Menafoglio et al., 2014), which can develop fully the richness providing an abundance of information. 206 It used This involves the use of complete and continuous information rather than discrete information, and soil PSFs can be 207 extracted from the predicted soil PSCs (Menafoglio et al., 2016a). Log-ratio transformations can also combined 208 with functional-compositional data for the stochastic simulation of PSCs (Menafoglio et al., 2016b, Talska et al., 2018). For 209 middle- scale study areas, outliers may lead to the overestimation of the variogram-and make, resulting in prediction errors 210 (Lark, 2000). Therefore, the spatial interpolation should take robust variogram estimators into account to improve model 211 performance (Lark, 2003). The previous study has already proved that applying robust variogram estimators in log-ratio co-212 kriging had significant improvement insignificantly improved mapping performance (Wang and Shi, 2018). For the large-213 scale study areaareas, geostatistical models are limited by the number of soil sampling points and increased spatial variability. 214 More and more An increasing number of studies have concentrated on mapping soil PSFs using different machine learning 215 models, statistical models, and geostatistical models combined with ancillary data (so calledi.e., environmental covariates, 216 ECcovariables, ECs) on a broad basin scale (Zhang et al., 2020), national scale (Akpa et al., 2014)), and global level (Hengl 217 et al., 2017) using log-ratio transformed data.

Among these EC-combined models, linear, machine-learning, geostatistical models, and high accuracy surface modeling (Yue et al., 2020) have been commonly used in middle-scale or large-scale studies. Linear models, such as the generalized linear model (GLM) and multiple linear regression (MLR) have been used in soil <u>PSFs prediction</u><u>PSF predictions</u> because of their\_flexibility and interpretability (Lane, 2002; Buchanan et al., 2012). Many of-machine-learning models werehave been applied for <u>soil\_PSFsthe</u> interpolation <u>of soil\_PSFs</u> and soil texture classification. For example, tree learners—, such as the random forest (RF), showed more advantages with abilities have been shown to be advantageous due to their ability to handle noisy datasets and <u>generatedgenerate</u> more realistic maps (Zhang et al., 2020). <u>FurtherFurthermore</u>, regression kriging (RK) can not only combine <u>environment covariables byECs through</u> its regression <u>partfunction</u>, but <u>it</u> also <u>improveimproves</u> model accuracy as a hybrid interpolator for some soil properties, such as topsoil thickness and pH (Hengl et al., 2004). However, the scope of <u>the</u> comparison needs to be expanded <u>forto</u> further <u>exploringexplore</u> the accuracy <u>assessment toand</u> predict compositional data using linear models, machine-learning models, and <u>besides</u>, <u>theseother</u> models combining RK (hybrid patterns).

230 In log-ratio methods, the ILR method performed performs better than ALR and CLR in both in theory and in practice 231 (Filzmoser and Hron, 2009; Wang and Shi, 2018; Zhang et al., 2020). The ILR method eliminates model collinearity and 232 preserves advantageous properties such as isometry, scale invariance, and sub-compositional coherence, which is based 233 onthrough its use of orthonormal coordinate systems (so called i.e., balances) using a sequential binary partition (SBP) 234 (Egozcue and Pawlowsky-Glahn, 2005). These choices are not unique. In other words, multiple sets of ILR transformed data 235 can generate by permutations of components (different SBPs) in the compositional data. The choice of SBPsan 236 SBP can be based on prior expert knowledge, using a compositional biplot (Lloyd et al., 2012) or variograms and cross-237 variograms (Molayemat et al., 2018). It has been proven in statistical science that different results were are obtained using 238 different choices of SBP balances, and the option of a specific SBP for data compositions is crucial for the intended 239 interpretation of coordinates (Fiserova and Hron, 2011). However, most researchers in soil science researchers have ignored 240 this point. Martins et al. (2016) reported that the clay was taken has been widely used as the denominator in the ALR method 241 because it wasis typically the most abundant component of compositions. Few studies have compared the different SBP options 242 from the perspective of accurate assessmentassessments and analyzed whether these differences are due to the general 243 characteristics of specific data sets or log-ratio transformations.

Therefore, based on our previous <u>studywork</u>, the objectives of this study <u>arewere</u> to<u>:</u> (i) compare the spatial prediction accuracy of soil PSFs using a <u>generalized linear model (GLM)</u> and <u>random forest (RF)</u> combined with <u>environmental</u> covariables<u>ECs</u> and ILR transformed data; (ii) determine whether hybrid interpolators (GLMRK and RFRK) can improve the interpolation performance of <u>a</u> GLM and RF; and (iii) explore the distributions of different transformed data and the variation law of precision based on different choices of SBP balances of ILR.

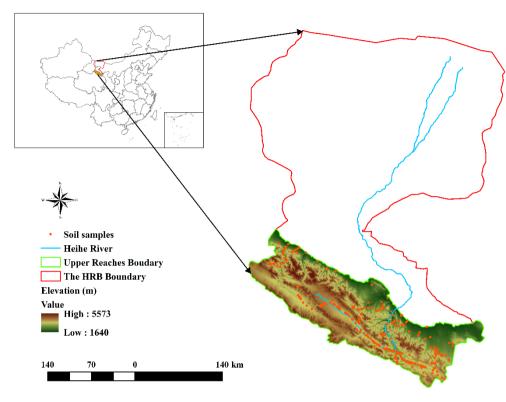
249

## 250 2 Methods and materials

#### 251 2.1 Study area

The study area iswas the upper reaches of the Heihe River basinBasin (HRB), which is the birthplacesource of the Heihe River and the central area of the runoff generation of in the HRB. The elevation is in this area ranges from 1640 m to 5573 m (Fig. 1), and the climate is damp and cold, being dominated by the Qilian Mountains. The mean annual rainfall of this in the study area is 350 mm, and the mean annual temperature is lower than 4-°C. Meadow and steppe dominateare the dominant vegetation types. Grassland wasis the primary type of land use. The main soil classes are frigid calcic soil in the southwest of this the study area, <u>with</u> cold desert soil <u>dominatesdominating</u> the southeast, <u>andwhile</u> Castanozems and Sierozems <u>mainly distributeare</u>
 <u>distributed</u> in the north of the study area.

#### 258 259



# 260

273

Figure. 1. The location, elevation, and soil samples on the upper reaches of the Heihe River Basin.

# 262 **2.2 Data collection and analysis**

# **263 2.2.1 Soil PSF data**

A total of 262 soil samples based on a purposive sampling strategy were collected in the upper reaches of the HRB based on a 264 265 purposive sampling strategy and were used to characterize the spatial variability of soil PSFs at the regional- scale study area 266 (Fig. 1). The variability of soil formation factors, such as the elevation, soil elassestype, vegetation elassesclass, and 267 geomorphology classes of the upper reaches of the HRB was considered in soil samples sample collection. The average of three mixed three topsoil samples (approximately approximate depth of 0 \_\_\_\_20 cm) was obtained to reduce the noise of soil 268 269 samplessample parameters, and thea parallel sample was also measured. Subsequently, about 30 g of each soil sample was air-270 dried, and the chemical and physical analyses were operated after the fieldwork. Collected conducted in the laboratory. Soil 271 PSF information was obtained for the soil samples recorded the information about soil PSFs using a Malvern Panalytical 272 Mastersizer 2000-laser, with less than 3-% average measurement error.

#### 274 2.2.2 The selection of environmental covariables ECs

275 There were 29 environmental covariatesECs considered in our study, including both continuous and categorical variables, 276 which were considered in our study (Table 1). They follow followed the principles of the SCORPAN model (McBratney et al., 277 2003), which form is defined as  $S_a = f(S, C, O, R, P, A, N)$ .  $S_a$  are soil attributes (or classes) as a function of soil properties 278 (S) or other properties—, i.e., climatic properties (C), organisms and vegetation (O), relief such as topography and landscape 279 attributes (R), parent material (P), an age or time factor (A), and spatial position (N). The continuous variables included the 280 morphometry and hydrologic characteristics of topographic properties, climatic and vegetative indices, and soil physical and 281 chemical properties. The categorical variables include included geomorphology types, land use types, and vegetation 282 typesclasses, which were transformed from vector to raster (1000 m). Due to the intricate patterns of topography in the upper 283 reaches of the HRB, variables the variable of topographic properties dominated the environmental covariates. ECs. The System 284 for Automated Geoscientific Analyses geographic information system (SAGA GIS) (Conrad et al., 2015) was applied for a 285 terrain analysis to derive topographic variables using the 30 m DEM resolution Advanced Spaceborne Thermal Emission and 286 Reflection Radiometer Global Digital Elevation Model (ASTER GDEM, http://www.gscloud.cn), TheA collinearity test ean 287 removeremoved the redundant variables, and then these the topographic properties were then resampled to 1000 m. More details 288 about environmental covariables can be found of the ECs are provided in the Data Availability section.

**Table 1.** Selected environmental covariates in our study.

Representation	Environment covariables	Abbreviation
Morphometry	Analytical Hill Shading	AHS
characteristics	Aspect	ASPECT
	Closed Depressions	CD
	Convergence Index	CI
	Channel Network Base Level	CNB
	Slope Length and Steepness Factor	LSF
	Multi-resolution Ridge Top Flatness Index (Gallant and Dowling, 2003)	MRRTF
	Multi-resolution Valley Bottom Flatness Index (Gallant and Dowling, 2003)	MRVBF
	Mid-slope Position	MSP
	Plan Curvature	PLC
	Profile Curvature	PRC
	Slope Height	SH
	Slope Length (D. Moore et al., 1993)	SL
	Tangential Curvature (Florinsky, 1998)	TC
Hydrologic	Catchment Area	CA
characteristics	Surface Area	SA

	Stream Power Index	SPI
	Topographic Wetness Index (Beven and Kirkby, 1979)	TWI
	Vertical Distance to Channel Network	VDCN
Climatic and	Average Annual Precipitation	RAIN
vegetative indices	Average Annual Temperature	TEM
	Normalized Differential Vegetation Index	NDVI
Soil physical and chemical properties	Field Water Holding Capacity (Yi et al., 2015; Song et al., 2016; Yang et al., 2016)	FWHC
	Soil Depth (Yi et al., 2015; Song et al., 2016; Yang et al., 2016)	PDEPTH
	Saturated Hydraulic Conductivity (Yi et al., 2015; Song et al., 2016; Yang et al., 2016)	SHC
	Soil Organic Carbon	SOC
Categorical	Geomorphology	GEOT
maps	Land Use	LU
	Vegetation Classes	VEGET

#### 291 **2.3 Isometric log-ratio transformation and sequential binary partition <u>SBP</u>**

An orthonormal basis of <u>the</u> ILR was chosen to <u>isometrically</u> project the compositions from  $S^{D}$  (the simplex for the Aitchison geometry) to  $R^{D-1}$  (real space for the Euclidean geometry) <u>isometrically.</u>). The choice of a specific orthonormal basis <u>for use</u> on  $S^{D}$  can be explained by <u>the SBP with their for the</u> groups <u>of compositions</u> (Egozcue and Pawlowsky-Glahn, 2005). The equation for the choice of <u>the</u> construction of coordinates (<u>so calledi.e.</u> balances) between groups of compositions <u>iswas</u> <u>calculated</u> as follows:

297 
$$z_k = \sqrt{\frac{r_k s_k}{r_k + s_k}} ln(\frac{(x_{i_1} x_{i_2} \dots x_{i_{r_k}})^{1/r_k}}{(x_{j_1} x_{j_2} \dots x_{j_{s_k}})^{1/s_k}}), \ k = 1, \dots, D - 1,$$
(1)

where  $z_k$  refers to the balance between two groups;  $i_1, i_2, \ldots, i_{r_k}$  is the  $r_k$  partspart of one group; and  $j_1, j_2, \ldots, j_{r_k}$  is the 298  $s_k$  partspart of the other group. Therefore, in a stepwise manner, the balances contain stepwise all the relevant information of 299 300 the compositions in two groups. If This can also explained in a tabular form—for. For soil PSFsPSF data (D = 3), all 301 three choices of the balance of SBPs are shown in Table 2. The first component of the ILR containscontained all the information 302 on soil PSFs, and the main difference of the choice of balances for soil PSFs was the order of the three parts, i.e., the first 303 order of the soil PSF component was used as the numerator of the first ILR equation. In our study, three SBP balances of SBP 304 305 (sand, silt, clay), (silt, clay, sand), and (clay, sand, silt), respectively. The transformation equation equations for the ILR can be derived from Eq. (1), which wasand were defined as EqEqs. (2) and Eq. (3). The inverse equations for ILR were defined
 as EqEqs. (4), (5), (6). The ILR transformation and its inverse are available inwere conducted using the R package
 "compositions" (K. Gerald van den Boogaart and Raimon Tolosana, 2014).

309  $\mathbf{z} = (z_1, \dots z_{D-1}) = ILR(\mathbf{x})$ , and for  $i = 1, \dots, D-1$  and component  $x_i$ , (2)

310 
$$z_{i} = \sqrt{\frac{D-i}{D-i+1}} ln \frac{x_{i}}{\sqrt{\prod_{j=i+1}^{D} x_{j}}}.$$
(3)

311 
$$Y(x_j) = \sum_{j=1}^{D} \frac{ILR(x_j)}{\sqrt{j \times (j+1)}} - \sqrt{\frac{j-1}{j}} \times ILR(x_j),$$
(4)

(5)

$$312 \qquad ILR(x_0) = ILR(x_D) = 0,$$

313 
$$\overline{ILR}(x_j) = \frac{exp(Y(x_j))}{\sum_{j=1}^{D} exp(Y(x_j))}.$$
(6)

Table 2 All choices of SBPs for soil PSF data (D = 3), the orders of soil PSFs data are (*sand*, *silt*, *clay*), (*silt*, *clay*, *sand*) and (*clay*, *sand*, *silt*) for SBP1, SBP2 and SBP3.

Groups	Step	Sand	Silt	Clay	r	S	Balance
SBP1	1	+	-	-	1	2	Step1: $z_1 = \sqrt{\frac{2}{3}} ln \frac{sand}{\sqrt{silt \times clay}}$
	2	0	+	-	1	1	Step2: $z_2 = \sqrt{\frac{1}{2}} ln \frac{silt}{clay}$
SBP2	1	-	+	-	1	2	Step 1: $z_1 = \sqrt{\frac{2}{3}} ln \frac{silt}{\sqrt{clay \times sand}}$
	2	-	0	+	1	1	Step2: $z_2 = \sqrt{\frac{1}{2}} ln \frac{clay}{sand}$
SBP3	1	-	-	+	1	2	Step 1: $z_1 = \sqrt{\frac{2}{3}} ln \frac{clay}{\sqrt{sand \times silt}}$
	2	+	-	0	1	1	Step2: $z_2 = \sqrt{\frac{1}{2}} ln \frac{sand}{silt}$

316

#### 317 2.4 Linear model, machine-learning model, and hybrid patterns

#### 318 2.4.1 Generalized linear model

The generalized linear model (GLM) is an extended version of the linear model, which contains response variables, with nonnormal distributions (Nelder and Wedderburn, 1972). The link function is embedded into the GLM to ensure the classical linear model assumptions. The scaled dependent variables and the independent variables can be connected using <u>a</u> link function for the additive combination of model effects, the choice of link function depends on the distribution of response variables (Venables and Dichmont, 2004). <u>A</u> Gaussian distribution with an identity link function was applied in our study, which givesproduced consequences equivalent to that of multiple linear regressionMLR (Nickel et al., 2014). However, categorical
 variables can be directly trained in the GLM without setting dummy variables. The Akaike's information criterion (AIC) was
 applied to choose the best predictors and remove model multicollinearity using <u>a</u> backward stepwise algorithm.

#### 328 2.4.2 Random forest

327

329 Random forest (The RF) is a non-parametric technique, which combines the bagging method with a selection of random 330 variables as an extended version of a regression treestree (RT) (Breiman, 1996, 2001). It can improve model prediction 331 accuracy by producing and aggregating multiple tree models. The principle of the RF is to merge a group of "weak trees" 332 together to generate a "powerful forest." The bootstrap sampling method iswas applied for each tree, and each predictor was 333 selected randomly from all model predictors. The "out of bag" (OOB) data were applied to produce reliable estimates in an 334 internal validation using a random subset independent of the training tree data. There are three Three parameters needneeded 335 to be tuned: the number of trees (*ntree*) and); minimum size of terminal nodes (*nodesize*), and the number of variables 336 randomly sampled as predictors for each tree (mtry) (Liaw and Wiener, 2001). The standard value of the mtry parameter 337 for *mtry* iswas one-third of the total number of predictors, while *ntree* and *nodesize* iswere 500 and 5, respectively. For 338 regression, the mean square errors (MSEs) of predictions were estimated to train the trees. The variable importance of the RF 339 iswas produced from the OOB data using the "importance" function. TheOne of the benefits of RFs are the RF is that the 340 ensembles of trees are used without pruning to ensure that the most significant amount of variance can be expressed. Moreover, 341 the RF can reduce model overfitting, and normalization is unnecessary due to the insensitive effects on the value range, being 342 insensitive. The GLM and RF algorithms of GLM and RF and the parametersparameter adjustment of the RF were 343 available conducted in the R package "caret" (Max Kuhn, 2018).

344

#### 345 2.4.3 Regression kriging

346 Regression kriging (RK) is a hybrid interpolation technique that combines regression models (e.g., GLM and RF) with ordinary 347 kriging (the OK) of the residuals of regression models (Odeh et al., 1995). Mathematically, the RK method corresponds to two 348 interpolators, the regression part and the kriging part, which are operated separately (Goovaerts, 1999), AOne limitation of 349 using only the regression part is that they are it is usually only useful within the range of values of the training sets (Hengl et 350 al., 2015). The principle of the RK method is that the regression model explains a deterministic component of spatial variability. 351 and the interpolation of regression residuals generated from OK is used to describe the spatial variability (Bishop and 352 McBratney, 2001; Hengl et al., 2004). Residuals The residuals are used to create a variogram (e.g., Gaussian, Spherical, 353 or Exponential provide provided based on the MSE from the results of a cross-validation. Firstly First, the 354 regression part in our study (GLM or RF) was used to predict soil PSFs: the. The residual from the fitted model was then 355 calculated by subtracting the regression part from the observations. Subsequently, the OK was applied for the whole study area 356 to interpolate the residuals. Finally, the regression prediction and the predicted residuals at the same location were summed.

The variograms of the RK method were generated automatically by using the "autofit Variogram" function in the R package

358 "automap" (Hiemstra et al., 2009).

#### 359 2.5 Prediction method system and validation

The method system of spatial interpolation models for soil PSFs was revealed is presented in Table 3. We systematically compared 12 models—<u>:</u> four interpolators, including GLM and RF combined with or without RK, and three SBPs of <u>the</u> ILR transformation method. For the validation of model performance, the independent data set validation was used to evaluate the prediction bias and accuracy of <u>the</u> models. The sub-training sets (70–%) and the sub-testing sets (30–%) were randomly divided<u>selected</u> from data independently, and this process was repeated 30 times.

Models	GLM	GLMRK	RF	RFRK
ILR_SBP1	GLM_SBP1	GLMRK_SBP1	RF_SBP1	RFRK_SBP1
ILR_SBP2	GLM_SBP2	GLMRK_SBP2	RF_SBP2	RFRK_SBP2
ILR_SBP3	GLM_SBP3	GLMRK_SBP3	RF_SBP3	RFRK_SBP3

**Table 3.** The method system of spatial interpolation models of soil PSFs.

366

The mean error (ME), the root mean square error (RMSE), and Aitchison distance (AD) were used to evaluate and compare the prediction performance of models. <u>The ME</u> and RMSE measure prediction bias and accuracy, respectively (Odeh et al., 1995). <u>The AD</u> is an overall indicator of compositional analysis, which describes the distance between two <u>data</u> compositions. Generally, <u>in an accurate</u>, unbiased model <u>will have all three symbols values will be</u> close to 0. The <u>equations for ME</u>, RMSE, and AD <u>are defined were calculated</u> as <u>follows</u>:

372 
$$ME = \frac{1}{n} \sum_{i=1}^{n} (M_i - P_i),$$
 (7)

373 
$$RMSE = \sqrt{\frac{1}{n}\sum_{i=1}^{n}(M_i - P_i)^2},$$
 (8)

374 
$$AD = \left[\sum_{i=1}^{D} \left(\log \frac{M_i}{G(M)} - \log \frac{P_i}{G(P)}\right)^2\right]^{0.5},$$
(9)

where  $M_i$  and  $P_i$  are <u>the</u> measured <u>value</u> and predicted <u>valuevalues</u> at <u>the</u> *i*th position, <u>respectively</u>; *n* refers to the number of soil samples; *D* is the number of dimensions of <u>data</u> compositions; <u>and</u>  $G(\mathbf{M})$  and  $G(\mathbf{P})$  <u>denotesdenote</u> the geometric mean with the form  $G(\mathbf{x}) = (x_1, \dots, x_D)^{1/D}$  of the measured and predicted values, respectively.

378

#### 379 2.6 Statistical analysis

An<u>The</u> interpretation of <u>the</u> balances of ILR is based on a decomposition of the covariance <u>(COV)</u> structure (Fiserova and Hron, 2011<del>), we). We</del> calculated the variance (VAR), <del>the covariance (COV)</del> and the corresponding correlation coefficient (CC) of ILR transformed data based on different SBP balances of SBP. The equations for calculating VAR, COV, and CC are 383 defined were derived from Eq. (1) as follows, which can derive from Eq (1):

$$VAR(z) = \frac{1}{r+s} \sum_{p=1}^{r} \sum_{q=1}^{s} var(\ln \frac{x_{ip}}{x_{jq}}) - \frac{s}{2r(r+s)} \sum_{p=1}^{r} \sum_{q=1}^{r} var(\ln \frac{x_{ip}}{x_{iq}}) - \frac{r}{2s(r+s)} \sum_{p=1}^{s} \sum_{q=1}^{s} var(\ln \frac{x_{jp}}{x_{jq}}) - \frac{r}{2s(r+s)} \sum_{q=1}^{s} \sum_{q=1}^{s} var(\ln \frac{x_{jp}}{x_{jq}}) - \frac{r}{2s(r+s)}$$

$$\frac{1}{2s(r+s)}\sum_{p=1}^{r}\sum_{q=1}^{r}var(ln\frac{x_{ip}}{x_{jq}})$$

$$\frac{1}{2s(r+s)}\sum_{q=1}^{r}\sum_{p=1}^{r}\sum_{q=1}^{r}var(ln\frac{x_{ip}}{p}) + \frac{c}{2}\sum_{q=1}^{r}\sum_{q=1}^{s}var(ln\frac{x_{ip}}{p}) - \frac{c}{2}\sum_{q=1}^{r}\sum_{q=1}^{r}var(ln\frac{x_{ip}}{p}) - \frac{c}{2}\sum_{q=1}^{r}var(ln\frac{x_{ip}}{p}) - \frac{c}{2}\sum_{$$

$$\frac{c}{2s_{1}s_{2}}\sum_{p=1}^{s_{1}}\sum_{q=1}^{s_{2}}var(ln\frac{x_{j_{p}}^{1}}{x_{j_{q}}^{2}}),$$
(11)

388 
$$CC = \frac{COV(z_1, z_2)}{\sqrt{var(z_1) \cdot var(z_2)}}$$
 (12)

For soil <u>PSFsPSF</u> data, <u>EqEqs</u>. (10), (11), and (12) can be simplified to three dimensions; <u>the</u>. <u>The</u> relationship between <u>the</u> ratios <u>of soil PSF components</u> and the dominant roles of ILR transformed data <u>are demonstratedwere indicated</u> from the covariance structure. All the statistical analyses, such as the descriptive statistics of soil <u>PSFsPSF</u> data, calculation and evaluation of indicators, and the spatial operation of prediction maps, were performed <u>onusing</u> the R statistical program (R Development Core Team, 2019).

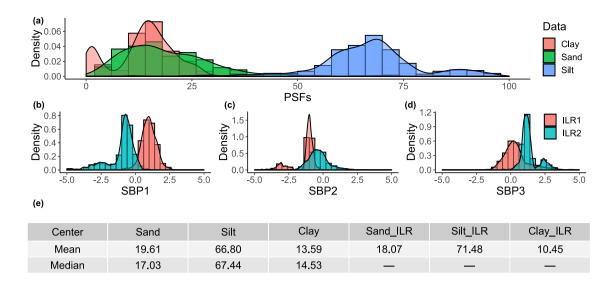
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#### 395 3 Results

#### 396 **3.1 Exploratory data analysis**

#### 397 **3.1.1 Descriptive statistics of soil <b>PSFs<u>PSF</u> data**

398 ForFrom the descriptive statistics of the original (raw) and ILR transformed data, the silt fraction dominant dominated the soil 399 PSFs with accounting for a more substantial componentamount than those of the sand and clay fractions. The distributions of 400 the sand and clay fractions were similar (Fig. 2a). The ILR transformed data based on the three SBP balances of SBP were 401 revealed different distributions (Figs. 2b, 2c, and 2d). For example, two ILR components of ILR (ILR1, and ILR2) for SBP1 402 had a symmetric distribution around zero value at the x-axis (Fig. 2b). In comparison, the distribution of data generated from 403 SBP2 or SBP3 had to mirror symmetric deliveries a mirrored symmetry, with a left-skewed ILR1 of SBP2 and right-skewed 404 ILR2 of SBP3 (Figs. 2c and 2d). The comparison of means and medians demonstrated that the back-transformed means of 405 three sets of ILR transformed data were the same, and the mean ILR of sand of ILR was closer to the median compared with 406 the original soil PSF original data. In contrast, the cases of component opposite patterns were apparent for the silt and clay were 407 the opposite components (Fig. 2e).



409

Figure. 2. Descriptive statistics of original soil PSF data and ILR transformed data using different balances of SBP. Not that means of Sand ILR, Silt ILR, and Clay ILR from different SBPs of ILR were back-transformed to the real space.

412

# 413 **3.1.2** Covariance structure of ILR transformed data with different balances

414 The covariance analysis of the transformed data of soil PSFs data-based on the different SBPs showed that the variance 415 VarILR 1 of SBP3 was maximum the largest, followed by the values of VarILR 1 of SBP1 and SBP2 (Table 4). The variance 416 of the second component of ILR (VarILR 2) wasfollowed the opposite pattern to the rule that of VarILR 1. The covariance 417 (COV) and the corresponding correlation coefficient (CC) followed the same pattern –of SBP1 > SBP3 > SBP2. From these 418 values, the relationship of relationships among soil PSFsPSF components or ratios were revealed, as we have known, the. The 419 first <u>ILR</u> equation of <u>ILR</u> ( $z_1$  in Table 2) contained all the <u>soil PSF</u> information of <u>soil PSFs</u>, and while the second one ( $z_2$  in 420 Table 2) included only two components; the The VarILR 1 information of VarILR 1, was therefore, was more abundant. Six 421 values of VarILR\_1 and VarILR\_2 were not 0 (or not nearly 0), indicating that there was no constant (or almost the constant) 422 value in any two ratios of soil PSF components. The COV value of COV of SBP3 was close to 0, showing indicating that the 423 proportions of *clay/sand* and *clay/silt* were approximately the same. The same results were generated from the corresponding 424 correlation coefficient (CC).CC.

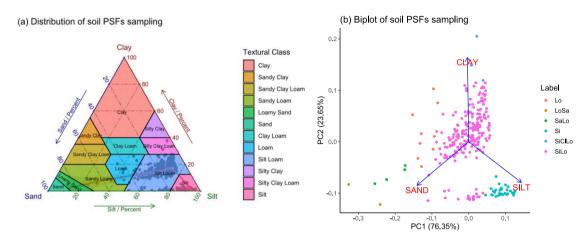
425 Table 4 Covariance analysis of soil PSF data based on different SBPs. VarILR\_1 and VarILR\_2 denote the variance of the 426 first and the second component of ILR, respectively. COV refers to the covariance of ILR1 and ILR2. CC is the correlation 427 coefficient.

Balances	VarILR_1	VarILR_2	COV	CC	
SBP1	0.53	0.71	0.32	0.52	

	SBP2	0.39	0.86	-0.24	-0.41
	SBP3	0.94	0.30	-0.09	-0.16
428	_				
429	The distribution of soil P	<mark>SFs<u>PSF</u> sampling data in <del>the</del>a te</mark>	ernary diagram (the United Stat	es Department of A	<u>griculture (</u> USDA)

texture triangle) showed that the main texture class was silt loam (Fig. 3a). The biplot of soil samples demonstrated that the
rays of <u>the</u> three components, i.e., sand, silt, and clay, were reasonably <u>well</u> clustered at about 120-° in <u>the</u> three groups (Fig. 3b).





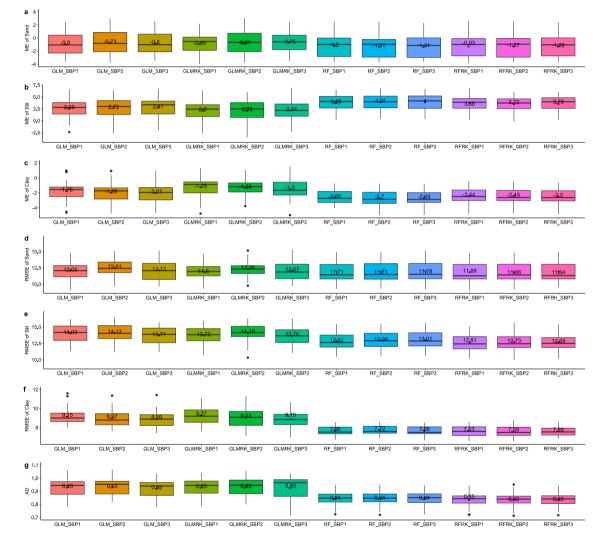
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Figure. 3. The distribution in the USDA triangle (a) and biplot graph (b) of soil PSFs sampling. The red, smooth curve of these
soil samples in the USDA triangle was fitted by loess function in R.

#### 437 **3.2** Accuracy comparison of different models using ILR data

438 The first three rows of the boxplots (in Figs. 4-a4a, 4b, and 4c) demonstrated indicate the bias of the different models according 439 to their ME values. The MESME of sand werewas closest to 0, followed by the MEs of clay and silt. The GLM was more 440 unbiased than the RF, with lower ME values. After combing combining with RK, thethere was an improvement was revealed in 441 the ME for MEs in-most GLM and RF models (Figs. 4a, 4b, and 4c). For the accuracy assessment, RMSEs the RMSE of silt 442 was higher than for the other two components. The GLMRK did not perform as well as expect for RMSEs, which expected in 443 terms of the RMSE, with only improved RMSEs of the sand component having an improved RMSE (Fig. 4d). However, the 444 RFRK hadperformed better performance when compared with than the GLMRK and improved the RMSE of most RMSEs of 445 parts compared with the RF, except for the RFRK SBP1 of sand, Overall As an overall indicator of soil PSFs, the AD<sub>7</sub> showed 446 that the RF (or RFRK) performed better than the GLM (or GLMRK) in terms of both average RMSE values and uncertainties 447 (Fig. 4g). Moreover, the RFRK improved the AD values for the SBP2 and SBP3 methods. For the uncertainty assessment, the 448 RF generated lowerfewer difficulties than the GLM, and the models combined with RK further reduced the uncertainties for 449 most GLM and RF models. For three balances of SBP methods. The model performances were different, for the three SBP

450 <u>balances.</u> To better evaluate model performance using <u>the</u> different SBP balances, we graded each box from 1 to 3, and the 451 final results <u>wereare</u> shown in the Supplementary Material <u>table(Table S1.1-It)</u>. The results demonstrated that SBP1 performed 452 best, with the lowest ME value <u>amongof</u> all models. For the accuracy comparison, <u>the pattern is not</u> <u>there was no</u> apparent 453 pattern, but <u>it eanaccuracy could</u> be considered hierarchically. For <u>the</u> GLM, SBP1 <u>hadperformed</u> better <u>performance</u> than the 454 other two <u>SBPsSBP</u> methods, which also performed well when RK was added (GLMRK). For RF, SBP1 produced the best 455 result. However, the introduction of RK <u>maderesulted in</u> SBP3 <u>performedperforming</u> best among the three methods. <u>Further</u>, 456 <u>theThe</u> RMSEs generated from RFRK using SBP3 data had the best accuracy among all <u>the</u> models in our study.

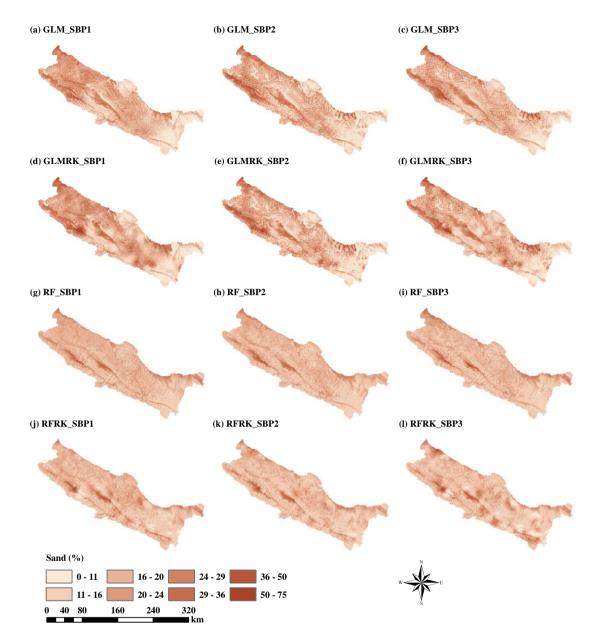


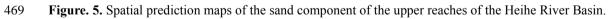
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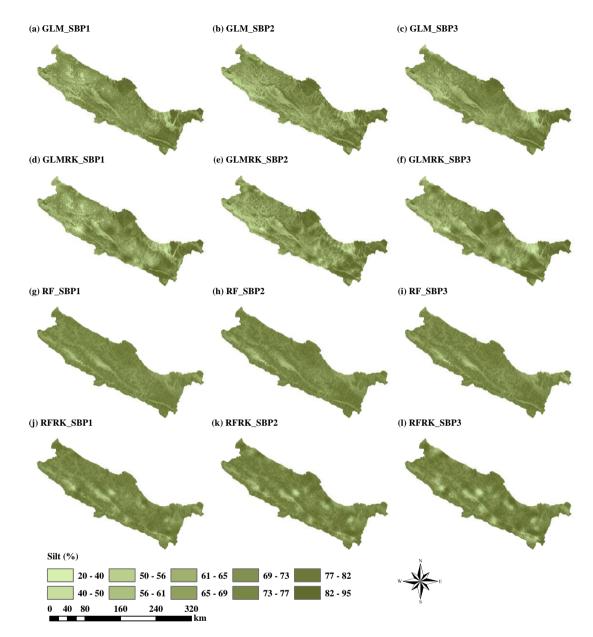
Figure. 4. Accuracy comparison of GLM, RF, and their RK patterns using different ILR balances data. The mean values of
 different model indicators were calculated in their boxes.

# 460 **3.3 Spatial prediction maps of soil PSFs generated from <u>the</u> different models**

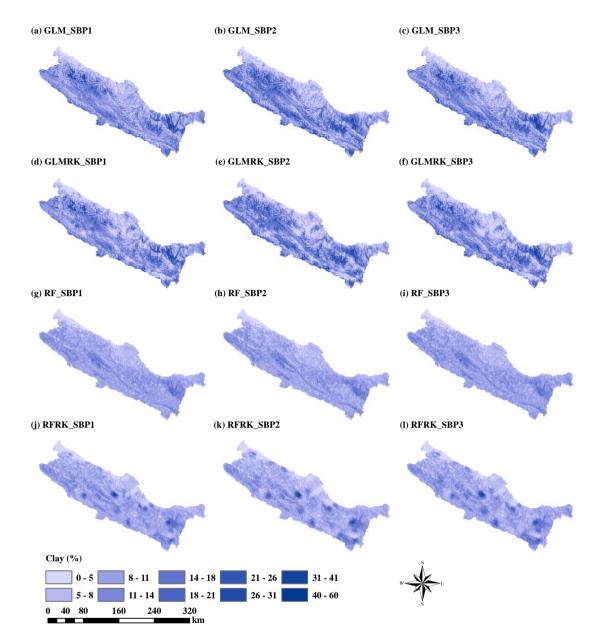
- Prediction maps of soil PSFs made from <u>the</u> different models were revealed are shown in Figs. 5, 6, and 7. For <u>the</u> components
- of soil PSFs, <u>the maps of the</u> three group maps followed a similar rule. <u>The</u> GLM and GLMRK <u>showedproduced</u> more extensive
- ranges of predicted <u>valuevalues</u>, and their maps were more relevant to the real environment. However, <u>the</u> RF and RFRK
- 464 predicted <u>a</u> relatively narrow and range of low values of for these components, revealing <u>a</u> smoother <u>distribution</u> than GLMs.
- 465 Moreover, RK-that generated by the GLM and GLMRK. Unlike the regression methods-demonstrated hot spots, the RF and
- 466 <u>RFRK methods produced hot and cold spots on the prediction maps compared with only regression parts; and more details of</u>
- 467 <u>the soil sampling points were apparent (Fig. S2.1) were shown</u>.







**Figure. 6.** Spatial prediction maps of the silt component of the upper reaches of the Heihe River Basin.

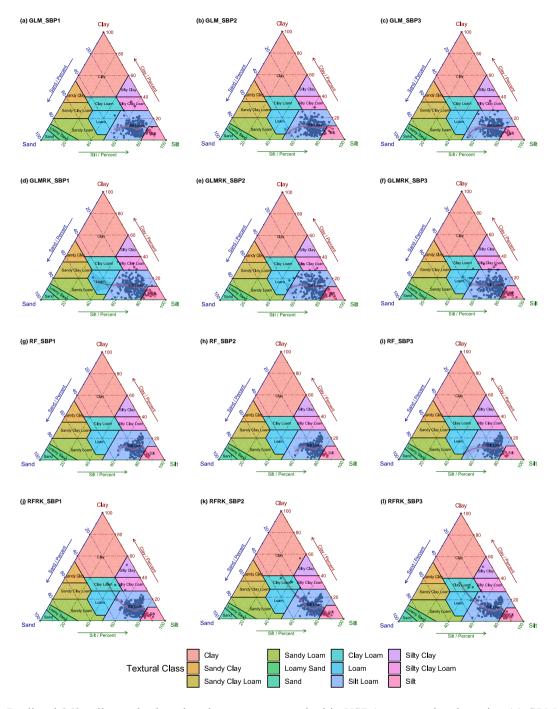




#### 474 **3.4 Spatial distribution of soil texture classes in the USDA triangles**

The predicted soil textures <u>plotted in Fig. 8 inbased on</u> the USDA texture triangles (Fig. 8) showed that most <u>predicted soil</u> texturespredictions fell within the <u>rangesrange</u> of observed soil textures (Fig. 3a), and silt loam was <u>the</u> dominant <u>in the</u> soil texture <u>types forin</u> all <u>the</u> cases. <u>The</u> GLM produced <u>a</u> more discrete distribution than <u>the</u> RF, and the RK method expanded the effect of dispersion. <u>ForIn</u> the trends of <u>the</u> predicted samples, <u>the</u> silt components predicted from all models were <u>over-</u>

- 479 estimated overestimated. The pattern fitting curves indicated that the prediction results were closer to the bottom right of the
- 480 USDA soil texture triangle than the soil <u>PSFsPSF</u> observations. <u>Curves of The</u> GLMRK and RFRK <u>curves</u> were longer than
- 481 <u>the GLM and RF, showing curves, with a more extensive rangesrange</u> of valuevalues in the ternary diagram. Compared with
- 482 the GLMRK, the RFRK produced a more upward extension (FigFigs. 8j, k, l). It was clear that the clay fraction was over-
- 483 estimated, overestimated and the sand fraction was under estimated underestimated.



484

l

Figure. 8. Predicted 262 soil samples based on leave-one-out method in USDA texture triangles using (a) GLM\_SBP1, (b)
GLM\_SBP2, (c) GLM\_SBP3, (d) GLMRK\_SBP1, (e) GLMRK\_SBP2, (f) GLMRK\_SBP3, (g) RF\_SBP1, (h) RF\_SBP2, (i)
RF\_SBP3, (j) RFRK\_SBP1, (k) RFRK\_SBP2, (l) RFRK\_SBP3. Red fitting lines in triangles showed the trends.

488 4 Discussion

# 489 4.1 Comparison of <u>the GLM</u>, RF, and their hybrid interpolators using ILR data

490 The range of applicability of this study is limited to independent modelling. However, the study demonstrated the correlation 491 of the raw data (sand, silt, and clay), and has confirmed that the currently used prediction models are suitable. For the 492 assessment of independent validation, the RF revealed more accurate results, but with more bias than the GLM. The RK 493 method improved the bias performance of the bias for most models and the accuracy of the RF. Odeh et al. (1995) have 494 indicated that RK was superior to the linear models, such as the multiple linear regression (MLR), which can be was reflected 495 in the prediction of results for sand in our study. Scarpone et al. (2016) reported that as a hybrid interpolator, the RFRK 496 outperformed the RF when dealing withmaking soil thickness prediction predictions. We proved that RK was also 497 available suitable for compositional data to improve and improved model performance when using an ILR transformation in the 498 RF. In summary, the GLM and RF had theirboth advantages and disadvantages when considering the trade-off between bias 499 and accuracy. The difficulty with the use of the GLM is the need for a back-transformation; it needs. There is a need to present 500 results on the original untransformed scale after analyzing conducting the analysis on a transformed level, which may produce 501 the unfortunate result between themspurious results (Lane, 2002). In our study, we compared the means of ILR transformed 502 data and the original data. We proved the feasibility of the ILR transformation method, especially for meeting the requirements 503 of compositional data. StillHowever, the accuracy of the GLM still needs to be improved; this, which may be because the 504 transformed data did not follow a normal distribution. In addition, although the RF had anthe advantage on of prediction accuracy, the limited interpretability of the consequences – a "black box" effect – made it challenging difficult to modify the 505 506 prediction bias because each tree from the model cannot be examined individually (Grimm et al., 2008). The ILR 507 transformation before modeling increased the difficulty of interpretation for not only the predicted values on the ILR- scale 508 but also the residuals. Moreover, the back-transformation of the optimal estimate of log-ratio variables does not generate the 509 optimal estimation of compositions data (Lark and Bishop, 2007), which also be considered. 510 Multivariate methods, such as the multivariate RF, can be combined with a log-ratio transformation and hybrid interpolation, 511 enabling the cross correlations among ILR coordinates to be better interpreted.

512

#### 513 **4.2** Comparison of three <u>SBP</u> balances of <u>in the</u> ILR transformation method

The results of GLM and GLMRK should not depend on the ILR basis being chosen, which has been proved by previous studies on the use of linear models and kriging for compositional data (Pawlowsky-Glahn et al, 2015). However, the GLM model used the "glmStepAIC" algorithm (i.e., a stepwise regression) to select the best combination of environmental covariables for each ILR component. Therefore, the variable inputs were different for these ILR data, and further impact the accuracy assessment

- 518 and prediction maps.
- 519 The comparison of <u>the</u> three <u>SBP</u> balances of <u>SBP</u> showed that <u>most the</u> indicators of ME and RMSE <u>performed better when</u>

using SBP1 offor ILR transformed data performed better, which may be interpreted as the distributions of the ILR1 and ILR2
of SBP1 werebeing more symmetric (Fig. 2b). In contrast, the performance of SBP2 was worse than the other two that of SBP1
and SBP3 because the ILR\_1 component, including all the soil PSF information of soil PSFs, was left-skewed (Fig. 2c). This
result was apparent, especially apparent for the GLM and GLMRK, because the normal distribution of data is needed in thea
linear model needs to be normally distributed (Lane, 2002).

525 The interpretation of the negligible difference among the three SBP balances of SBP-was the presented in a biplot of soil 526 PSFsPSF sampling data (Fig. 3b), which revealed a triangular shape. In other words, these can This could be interpreted as 527 that the three soil PSFs hadhaving a mixed pattern, and with each component was dominated by the components in one cluster 528 (Tolosana-Delgado et al., 2005). Although the silt component dominated the soil PSFs with the highest content (Fig. 2a), sand 529 and clay also played essential important roles of in the compositional data as well. Therefore, taking either the most abundant 530 component of compositions the compositional data as the denominator (Martins et al., 2016) or the first component of the 531 permutations wasdid not provide convincing evidence. In contrast, using Using the most abundant component of compositions 532 the compositional data as the primary component of the alterations, i.e., SBP2, demonstrated resulted in a relatively poor 533 performance among three compared to the other SBPs data. Thus, we recommended recommend using other parts that were are 534 not the most abundant as the first component of permutations when the biplot diagram was, which in this case resulted in a 535 uniform distribution on the biplot diagram, with a cluster at about 120-° (Fig. 3b). Furthermore, the choice of balance is the key to improving model accuracy, such as shown by the result of the RFRK-SBP3 model (Fig. 4). We also fitted the biplots 536 using a random sampling test (70-%% of the soil sampling data was randomly sampled), and the distribution distributions 537 538 (angle) of these graphs (angle) were almost the same (Fig. S3.1). Multiple data sets should be considered in further 539 researchesstudies to verify if it was this is a general feature of soil PSFsPSF samples or if it was produced from our data set.

540 Also, the The weighting problem was not considered in this study, because the ILR method can be qualified as an unweighted 541 log-ratio transformation, giving all parts the same weight for both the definition of the total variance and the reduction of 542 dimension. HThis may enlarge the ratios generated from the rare parts-and, which would dominate the analysis (Greenacre and 543 Lewi, 2009). The pairwise log-ratio can be used to set weights by their proportions when there is no additional knowledge 544 about the component measurement errors (Greenacre, 2019). Nevertheless, all three parts of the soil PSF data 545 dominated on the biplot diagram, without the influence of rare elements and with no redundancy; thus, there are 546 nonone of the shortcomings mentioned above- and the accuracy were apparent. Accuracy assessments using a pairwise log-547 ratio transformation need more researchrequire further study in the future.

548 4.3 Limitations

549 In this work, we used ILR transformation to demonstrate the correlation of soil PSF data, and different balances were also 550 compared. However, these models were predicted separately for each ILR component (ILR1 and ILR2), which were 551 suboptimal because they cannot further consider the cross correlations among ILR coordinates. In our pervious study, we have 552 used compositional kriging (CK) for the spatial prediction of soil PSFs (Wang and Shi, 2017), and the cross correlations of ILRs can be taken into account using CK. Although it is optimal, it cannot consider different balances of ILR, nor can it be combined with the hybrid interpolator (e.g., RK). Moreover, predicting each ILR component separately was a more suitable approach for the spatial prediction models currently used (such as the GLM and RF). Therefore, more alternative spatial prediction models combined with interpretation of ILR balances for compositional data should be considered in the future. For example, CK and high accuracy surface modelling (HASM; Yue et al., 2016) can be applied for small scale study areas. For large scale study areas, multivariate RF (Segal and Xiao, 2011) can be combined with a log-ratio transformation and hybrid interpolation, enabling the cross correlations among ILR coordinates to be better interpreted.

#### 560 5 Conclusions

We evaluated and compared the performance of <u>the GLM</u>, RF, and their hybrid pattern (i.e., GLMRK and RFRK) using different <u>HLR</u>-balances <u>of ILR</u> transformed data. The bias of <u>the GLM</u> was lower than <u>thosethat</u> of <u>the</u> RF; however, the accuracy of <u>the GLM</u> was relatively <u>lowerlow</u>. More discrete distributions and broader ranges of prediction value distributions were produced from GLMs in the USDA soil texture triangles. In other words, different data sets were generated from <u>the use</u> of <u>the GLM</u> and RF—<u>, with</u> unbiased and inaccurate predictions for <u>the GLM</u> and biased and more accurate predictions for <u>the</u> RF.

—The hybrid <u>patternspattern</u> of GLM and RF, <u>(i.e., RK, were recommended, which)</u> was found to be the best solution because it produced <u>relative highera</u> relatively high</u> prediction accuracy and <u>environmental correlation, showingstrong</u> correlations with ECs, providing more details about <u>the</u> soil sampling points (hot spots and cold spots) compared with <u>only</u> the regression <u>partmodel</u>. However, the non-normal distribution of ILR transformed data, and the "black box" effect of the RF algorithm were drawbacks in the use of the GLMRK and RFRK.

- 572 ConcerningFor the different SBP balances of SBP, the three SBP-based data generated slightly different distributions. 573 slight difference was produced, and the, but no pattern was not visible, which was apparent. This could be explained from by 574 the angle of the biplot diagram—, with three rays of soil PSFsPSF components clustered into three modes, and each part 575 dominated indominating its cluster. Using the most abundant component of compositions the compositional data as the first 576 component of the permutations was not considered the right choice because of SBP2 produced the worst performance of SBP2. 577 On the contrary. Instead, we recommended recommend using other parts that wereare not the most abundant as the first 578 component of permutations when the biplot diagram was, which in this case resulted in a uniform distribution with on the 579 biplot diagram, with a cluster at about 120 °, like°. To consider the form of our study. For a general feature feature of soil 580 PSFsPSF compositional data, multiple soil PSFsPSF data sets should be considered and compared in the future. This study 581 can provide a reference for the spatial simulation of soil PSFs combined with environmental covariablesECs at athe regional 582 scale, and how to choose the balances of ILR transformed data.
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584 *Data Availability.* We did not use any new data and the data we used come from previously published sources. Soil particle-585 size fractions data is available through our previous studies (Wang and Shi, 2017, 2018). Moreover, it also can be visited on

586	this website: <u>http://data.tpdc.ac.cn/zh-hans/data/7f91d36d-8bbd-40d5-8eaf-7c035e742f40/</u> (Digital soil mapping dataset of
587	soil texture (soil particle-size fractions) in the upstream of the Heihe river basin (2012-2016); last access: 4 July 2020). The
588	meteorological data can be accessed through http://data.cma.cn/ (last access: 4 July 2020). Environmental covariates data of
589	soil physical and chemical properties and categorical maps can be obtained through http://data.tpdc.ac.cn/zh-hans/ (last access:
590	4 July 2020), including saturated water content, field water holding capacity, wilt water content, saturated hydraulic
591	conductivity data (http://data.tpdc.ac.cn/zh-hans/data/e977f5e8-972b-42a5-bffe-cd0195f3b42b/, Digital soil mapping dataset
592	of hydrological parameters in the Heihe River Basin (2012); last access: 4 July 2020), and soil thickness data
593	(http://data.tpdc.ac.cn/zh-hans/data/fc84083e-8c66-4a42-b729-4f19334d0d67/, Digital soil mapping dataset of soil depth in
594	the Heihe River Basin (2012-2014); last access: 4 July 2020). DEM data set is provided by the Geospatial Data Cloud site,
595	Computer Network Information Center, Chinese Academy of Sciences. (http://www.gscloud.cn, last access: 4 July 2020).

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- 597 Author contribution. Wenjiao Shi contributed to soil data sampling, oversaw the design of the entire project. Mo Zhang 598 performed the model analysis and wrote the manuscript. Both authors contributed to writing this paper and interpreting data.
- 599
- 600 *Competing interests.* The authors declare that they have no conflict of interest.
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