| 1 | Response to interactive comment on "At which time scale does the complementary |
|--------|---|
| 2 | principle perform best on evaporation estimation?" by Liming Wang et al. |
| 3 | |
| 4 | (Reviewers comments in Italic and responses in upright Roman) |
| 5 | |
| 6 | Editor |
| 7 | |
| 8 9 | Your paper has been reviewed by 3 reviewers. You have received 1 very critical review, and 2 reviews that consider proceeding with revisions. You've provided detailed replies to the |
| 10 | concern as raised by the reviewers. After carefully reading the review reports and your |
| 11 | rebuttal. I suggest to proceed with a major revision in which you include the suggested |
| 12 | revisions. The revised manuscript shall be resend to the critical referee for a review of the |
| 13 | revised manuscript |
| 14 | |
| 15 | Thank you for providing the opportunity to submit the revision of this work. We sincerely |
| 16 | appreciate the comments of both editor and the reviewers. The detailed constructive |
| 17 | comments are critically important for us to improve the manuscript. In response to these |
| 18 | comments, we have performed additional analyses and substantially improved the quality of |
| 19 | the manuscript. We hope that the editor and reviewers will be favorably impressed by the |
| 20 | revised version. The point-by-point responses were provided as follows. |
| 21 | |
| 22 | Anonymous Referee #1 |
| 23 | · |
| 24 | -The MS is carelessly written. It should be thoroughly rechecked for grammar, typos, |
| 25 | language constructs. |
| 26 | |
| 27 | Response: Thank you for the comments. We have gone through and revise the manuscript |
| 28 | thoroughly and hired some language experts to help polish the manuscript again. |
| 29 | |
| 30 | -For example, the AA method is mentioned several times before it is explained. |
| 31 | |
| 32 | Response: Thank you for pointing out this problem. we had provided the full name for it |
| 33 | when the first time it is mentioned (Line 55-57, hereafter all lines numbers are based on |
| 34 | the tracked version). Also, we moved the explanation from the methodology part to the |
| 35 | introduction part. |
| 36 | |
| 37 | -Also, the first asymmetric AA method was of Kahler and Brutsaert (2006), and not by |
| 38 | Brutsaert and Parlange (1998). |
| 39 | |
| 40 | Response: According to our reading, Brutsaert and Parlange (1998) provided the following |
| 41 | equation in their paper: |
| 42 | $E = \left[(1+b)E_0 - aE_{pa} \right] / b$ |

| 43 44 | where, E_0 has the same meaning of E_{po} in our manuscript (i.e., potential evaporation), and <i>a</i> is a pan coefficient, <i>b</i> is an asymmetric parameter. Our statement "the CR was extended to a |
|----------|---|
| 45 | linear function with an asymmetric parameter (Brutsaert and Parlange, 1998)" (Line 57-58) |
| 46 | refers to this equation. |
| 47 | 1 |
| 48 49 | Kahler and Brutsaert (2006) summarized the previous work of Brutsaert and Stricker (1979), Brutsaert and Parlange (1998), and Brutsaert (2005) and gave the equation: |
| 50 | |
| 51 | $(1+b)E_0 = C_p E_{pa} + bE$ |
| 52 | where, C_p is a constant parameter. We can see that this equation holds the same format with |
| 53 | Brutsaert and Parlange (1998) after appropriate transformation (and replacing $C_{\rm P}$ with a). It |
| 54 | may be the first time it was called "asymmetric AA". Thank you. |
| 55 | |
| 56 | -Also, nobody reads the original work of Bouchet (1963), it seems, as it is in French. That |
| 57 | may be the reason for frequent misquoting it. My understanding is that he never proposed a |
| 58 | symmetrical CR. Even Brutsaert in his seminal book (1982) is controversial about this issue. |
| 59 | The authors should clarify this issue though. |
| 60 | |
| 61 | Response: Yes, the original work of Bouchet (1963) is French. In our institute of Tsinghua |
| 62 | University, we have a PhD student coming from France, and he had translated this paper into |
| 63 | English several years ago. We are pleased to provide the English version of Bouchet (1963) |
| 64 | at the end of the response for the reference. After reading this paper, we suggest that the |
| 65 | contribution of Bouchet (1963) should be respected |
| 66 | |
| 67 | Equation (5) and Figure 2 of Bouchet (1963) show a symmetrical complementary |
| 68 | relationshin: |
| 69 | $ETP + ETR = 2ETP_{o}$ |
| 70 | where ETR is the energy corresponding to the real evapotranspiration ETP is corresponding |
| 71 | to $E_{\rm P2}$ and ETP_0 is corresponding to $E_{\rm P2}$ |
| 72 | to Dpa, and DTT o is corresponding to Dpo. |
| 73 | In the book of Brutsaert (1982, $p224-225$), the above equation is cited as equation (10.35) |
| 74 | and Brutsaert said that Bouchet (1963) arrived at the complementary relationship and admit |
| 75 | Bouchet's approach contains worthwhile ideas and led to further developments. Brutsaert |
| 76 | thought this method is not used widely because the assumption is strict and it did not provide |
| 77 | exactly measures of F_{res} and F_{res} . Thank you |
| 78 | exactly measures of D _{pa} and D _{po} . Thank you. |
| 79 | -I do not really see what we gain from this study. The high NSF value for the month comes |
| 80 80 | about because its high variance between months and it is already being long enough to |
| 81 | smooth things out |
| 82 | smooth things out. |
| 83 | Response: The aim of this study is to investigate at which time scale the complementary |
| 84 | nrinciple performs best on evaporation estimation. Based on this reviewer's comment, we |
| 85 | understand that the reviewer gained that complementary functions perform best at the |
| 86 | monthly scale. Actually, it's exactly what we want to convey to the audience. We did not find |

the evidence in previous studies or theoretical derivation which had already revealed this
conclusion. Without these results, it is still uncertain how long is "enough to smooth things
out". It could be 7 days, 30 days or 90 days. We agree with the reasons for the high NSE
value at the monthly scale given by the reviewer, these reasons are also discussed in our

91 manuscript (Line 268- 272). The "high variance" can be corresponding to our explanation

92 about "variabilities of x and y" (Line 272), and the "smooth things out" can be corresponding

- 93 to our explanation of RMSE. Thank you.
- 94

-I bet that between Mays, Junes, Julys, etc., the NSE value would not be better than for the seasons and years.

97

98 Response: In the current version, the study periods are from April to September for the

99 Northern Hemisphere and from October to March for the Southern Hemisphere. We

supposed that the reviewer mean that if the study periods are shortened (e.g, from May to

101 July), the NSE values at the monthly scale will not be better than for the seasons and years.

102 We have provided the results for May to July in **Table R1**. In this situation, the seasonal

result is equal to the annual result and there is one seasonal result (May to July) each year.

104 These results still support our conclusion. The NSE values at the monthly scale (NSE_H = 0.38

and NSE_B = 0.32) are higher than those at the seasonal/annual scale (NSE_B = -0.07 and NSE_B = -0.05). Thank you for providing an opportunity to test the uncertainty in the length

- 107 of study periods.
- 108

Table R1. The evaluation merits (NSE, R² and, RMSE in W m⁻²) of the two generalized
 complementary functions from May to July

| | Month | Season/Year |
|--------------------------|-------|-------------|
| NSE H | 0.38 | -0.07 |
| NSE _B | 0.32 | -0.05 |
| \mathbf{R}^{2} H | 0.63 | 0.56 |
| $R^{2}B$ | 0.63 | 0.56 |
| RMSE _H | 12.17 | 8.86 |
| RMSE _B | 21.51 | 8.81 |

111 112

113 *-The low value for the annual time-scale is a bit worrisome as it means that these two chosen*

114 *methods cannot replicate any long-term trends in ET rates to acceptable accuracy, which*

115 *diminishes their potential values for long-term hydrological modeling.*

116

117 Response: Yes, the complementary functions perform worse in estimating *E* at the annual

scale. To the best of our knowledge, this point had not been thoroughly discussed previously.

119 We did not recommend choosing the annual scale as the timestep to estimate E because of the

120 low efficiency. However, we can still replicate the long-term trends in *E* rates by adopting the

121 monthly timestep. Thank you.

| 123 124 | Anonymous Referee #2 |
|--------------------------|---|
| 125 126 | -Ln 9. Suggest change "Energy correction methods" to "energy balance closure methods" |
| 127 128 | Response: Thanks for your advice. The manuscript has been revised accordingly (Line 9). |
| 129 130 131 | -Ln 154-157, does this mean that the two model parameters (i.e. m and n) are determined from alpha and b only? |
| 132 | Response: Yes, it is. The variable $x_{0.5}$ in Eq. (4) is also determined by α and $b (x_{0.5} = \frac{0.5+b^{-1}}{\alpha(1+b^{-1})})$ |
| 133 134 | only. Thus, all the parameters in Eq. (4) can be determined from α and b only. Thank you. |
| 135 136 | -Ln171-177, What is the justification for the treatment of parameter alpha? |
| 137 138 139 140 | Response: Thanks for your question. Typically, α has a default value of 1.26 (Priestley & Taylor, 1972). Since some studies showed that a constant α may cause irrational results and biases in estimating <i>E</i> , it is suggested to specify α for diverse scenarios (Hobbins, Ramírez, Brown, & Claessens, 2001; Ma et al., 2015; Sugita et al., 2001; Szilagyi, 2007). According to |
| 141 142 143 144 | the complementary principle, in wet condition, <i>E</i> is close to E_{pen} (Penman evaporation) and the Priestley-Taylor's evaporation ($E_{PT} = \alpha E_{rad}$). Specifically, when E/E_{pen} is larger than a threshold (0.9 is commonly adopted), E_{PT} can be considered to approximately equal to the observed <i>E</i> , thus α can be calculated by E/E_{rad} (Kahler and Brutsaert, 2006; Ma et al., 2015). |
| 145 146 147 148 | In this study, α was calculated by this method based on the mean value of E/E_{rad} in the wet condition ($E/E_{pen} > 0.9$). When all the E/E_{pen} values are less than 0.9, α is set as the default value of 1.26. The manuscript has been revised accordingly (Lines 175-186). |
| 149 150 151 | -Was the optimization done for each flux site at daily, weekly, monthly, and annual time scales respectively? |
| 151 152 153 | Response: Yes, the optimizations were done separately. Thank you. |
| 154 155 156 157 | -Why was equation (5) was tested instead of (6)? Brutsaert (2015) suggested that "it is preferable to use equation (6) and the c parameter should only be introduced to accommodate unusual situations." |
| 157 158 159 | Response: Thanks for your question. Brutsaert (2015) suggested that c should be 0 in usual situations, thus, the PGC function (Eq. (5)) becomes a concise cubic polynomial function |
| 160 161 162 163 | including only two terms (Eq. (6)). Although the concise version of the PGC function has been frequently used recently (Brutsaert et al., 2017; Hu et al., 2018; Liu et al., 2016; Zhang et al., 2017), researchers still have different opinions on the true value of c . For example, Han and Tian (2018) found that the mean c value of the 20 sites of FLUXNET is -1 and Szilagvi |
| 164 165 | et al. (2016) suggested that c is equal to 2 for 334 catchments in America. The results of Zhou et al. (2020) showed that the mean c value is 6.62 for 15 catchments on the Loess Plateau, |

166 China. Moreover, we had tested Eq. (6) in the analysis before, and the results showed that the 167 performance of Eq. (6) is much worse than Eq. (5). We provided the results in **Table R2** and 168 **Figure R1**. Since we have used the optimization algorithm to determine the parameter *b* in 169 the SGC function, it is a fair manner to use the optimal *c* value instead of a constant value (*c* 170 = 0) in the PGC function. The manuscript has been revised accordingly (Lines 191-193).

171

Table R2. The evaluation merits (NSE, \mathbb{R}^2 , and RMSE in W m⁻²) based on Eq. (5) (optimal *c*) with the subscript B-5 and Eq. (6) (*c* = 0) with the subscript B-6.

| | Day | Week | Month | Year |
|----------------------|-------|-------|-------|-------|
| NSE _{B-5} | 0.19 | 0.3 | 0.5 | 0.25 |
| NSE _{B-6} | -0.47 | -0.61 | -0.69 | -8.98 |
| \mathbb{R}^{2} B-5 | 0.61 | 0.7 | 0.75 | 0.63 |
| \mathbb{R}^{2} B-6 | 0.61 | 0.69 | 0.72 | 0.62 |
| RMSE _{B-5} | 26.83 | 19.17 | 13.7 | 6.96 |
| RMSE _{B-6} | 33.65 | 28.51 | 23.98 | 21.47 |

174



175

Figure R1. The estimated evaporation based on the polynomial function with c = 0 (equation (6)) vs the observed evaporation at daily scale (a), weekly scale (b), monthly scale (c), and yearly scale (d).

179



181 weekly, monthly, and annual time scales. If the authors want to know how the model performs

- at these time scales, they need to show daily to annual results for each site and present a
 summary of the 88 flux sites.
- **185** Response: Thanks for your suggestion. Figure 1 just provides a general cognition of the
- performance. To accurately show the model efficiency at different time scales, we have
 provided the results at different timescales for each site in **Table S2** following the advice of
- the reviewer. A summary of these results have been added in the revision (Lines 259-262).
- 189
- -Ln 241, Morton (1983) suggested that the complementary relationship should be applied at
 longer time scales (e.g. monthly), but it does not explain why the weekly or monthly results
 are better than the daily results.
- 193
- 194 Response: Yes, we agree with the reviewer. Morton (1983) just inferred that the
- complementary relationship should not be applied at short time scales because of the
- 196 potential lag times associated with heat and water vapor change (p24 p25 in Morton, 1983).
- 197 However, it does not provide solid evidence or theoretical derivation to prove this inference.
- 198 The statement has been revised (Lines 272-277). Thank you.
- 199
- 200 -Ln370, Figure 5 should be Figure 7. What is the significance of this relationship?
- 201

Response: Thanks for your careful review. The manuscript has been revised accordingly
 (Lines 419-426). The relationship provides the additional evidence besides Figure 2 that the
 two functions can substitute each other in a sense. In other words, the two functions with

205 calibrated parameters substantially provide the similar descriptions of the distribution of

- results in the state space ($x = E_{rad}/E_{pen}$, $y = E/E_{pen}$). They can covert to each other in most
- situations since the two functions are roughly equivalent to the linear asymmetric functionwhen *x* is neither excessively large nor excessively small.
- 209

-Ln 409 – 436, This section deals with the issue of the energy balance closure. To me, this is
a separate question and I don't see the relevance to the performance of the complementary
relationships.

- 213
- 214 Response: Thanks for your comment. This part has been deleted in the revision.
- 215

216 Anonymous Referee #3

217

218 *Complementary evaporation relationships have been studied at multiple time scales,*

- 219 which time scale is the most suitable one? In this respect, the manuscript gave very
- 220 meaningful results. It is recommended that the draft should be revised on the following

221 *questions before publication.*

222

Thanks for your careful review and affirmation of this work. All the questions are veryconstructive and inspiring. The point-by-point responses were provided as follows.

225

226 -(1). Ln172-173, Ln458-459, "When all the E/E_{pen} values were less than 0.9, α was

set as the default value of 1.26". This default value is problematic for the PGC model.

228 The independent variable of PGC model is $E_{po}/E_{pa} = \alpha * E_{rad}/E_{pen}$, which is less

than or equal to 1. When $\alpha = 1.26$, the range of E_{rad}/E_{pen} values is only 0-0.79.

230 *However, if* $\alpha = 1$ *, the range of* E_{rad}/E_{pen} *values is* 0-1*. It could be imagined that*

231 the PGC cannot fit the data points with $0.79 < E_{rad}/E_{pen} < 1$ if the $\alpha = 1.26$, but there

232 *is no problem in the case of* $\alpha = 1$ *.*

233

Response: Thanks for your comment. Indeed, the PGC model does not work for the range of

235 $0.79 < E_{\rm rad}/E_{\rm pen} < 1.0$ when α adopts its default value of 1.26 (Priestley & Taylor, 1972;

236 Brutsaert & Stricker, 1979), which is a shortage of PGC. In our manuscript, α was calculated

by the mean value of the ratio of E_{PT} to E_{rad} during the study period (similar treatment can be found in Kahler & Brutsaert, 2006). Such calculation is based on the physical definition of

226 Found in Ramer & Drussent, 2000). Such calculation is based on the physical definition of

- the Priestley-Taylor coefficient (i.e., α). Actually, the values of α for all sites besides those adopting $\alpha = 1.26$ are greater than 1.0 in our study, which means the PGC model cannot work properly for the condition of $1/\alpha < E_{rad}/E_{pen} < 1.0$.
- 242

In the submitted manuscript, the original results for $1/\alpha < E_{rad}/E_{pen} < 1$ calculated by the PGC function were kept. We have carried out an additional analysis that adopting $E = E_{pen}$ for $1/\alpha$ $< E_{rad}/E_{pen} < 1$ in the PGC function, and the resultant NSE_B (0.19 vs 0.19) and RMSE_B (26.83 W m⁻² vs 26.68 W m⁻²) presented very similar results. The manuscript has been revised to incorporate these discussions (**Lines 365-367**). Thank you.

248

249 -(2). Ln294-295, Ln336-337, Ln351-352, Ln466-467, The manuscript gave a conclusion

that the parameter c of PGC model decreased with the increase of time scale. The

251 parameter c was determined under the condition of a fixed α in this study, which

needs to be specially explained. When the c is a fixed value, say 0, the α would

change with the month (Liu et al., 2016).

254

255 Response: Thanks for your comment. To make the model parsimonious, it is a reasonable

256 choice to give one value for the parameters α and *c* at each site for every different time scale.

257 If the parameter was alterable, for example, it was monthly dependent, we will have to

calibrate 12 parameters instead of one value for the whole study period. The purpose of this

study is to find the most suitable timescale for the complementary functions, the variances of

the key parameter within a timescale will introduce extra uncertainties. It is true that the
accuracy will increase when an alterable parameter (that means higher number of parameters)
is used, however, the probability of overfitting risk will increase at the same time. Besides, a
general representation of the parameter is more helpful to detect its overall trend as the
change of timescale than a group of parameters.

265

Moreover, we carried out an additional analysis that c is fixed to 0, and α is calibrated as α_{e} . 266 We found that the two methods gave similar results (mean RMSE = 14.99 W m⁻² for α_e vs 267 16.67 W m⁻² for α) and the conclusion on the time scale issue is consistent by adopting either 268 α or α_e in the analysis. Actually, the optimal α_e has a significantly negative linear relationship 269 with the optimal c and the Pearson correlation coefficient is -0.8. It suggests that calibrating 270 either of the two parameters (α_e and c) equivalent (Han et al., 2012). Thanks all the same, and 271 the manuscript has been revised accordingly to incorporate these discussions (Lines 195-204, 272 437-443). 273

274

-(3). By using statistical indexes such as determination coefficient, the manuscript considered
that the complementary relationship of a monthly scale was the best, but the

277 other time scales were not poor and reached to a very significant level too. Does this

278 mean that the complementary relationship on other time scales also exists significantly,

279 not as Morton (1983) said, only at longer timescales?

280

Response: Thanks for your question. Yes, we found the two complementary functions
perform reasonably well at shorter timescales (i.e., day and week) with pretty high R². Also,
the estimations of site mean evaporation at shorter timescales are accurate (Figure 1 and
Figure 3), especially for the SGC function. These indeed suggest the complementary
relationship holds at relatively shorter time scales, or at least we can say that the generalized

complementary functions have the ability to estimate the evaporation accurately even at the
shorter timescales. The manuscript has been revised to incorporate these discussions (Lines
373-377). Thanks.

289

-(4). Ln23, "global water and energy cycle". Generally, water can have a cycle, but
energy flows only.

292

Response: Thanks for your careful review. The statement has been revised as "global water
cycle and energy balance" (Line 25).

- 295
- 296
- 297 298
- 299
- 300

301

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358

360 Appendix:

361

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At which time scale does the complementary principle perform best on evaporation estimation?

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Liming Wang, Songjun Han, Fuqiang Tian

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| 364 | REAL EVAPOTRANSPIRATION AND POTENTIAL CLIMATIC SIGNIFICANCE |
|-----|--|
| 365 | |
| 366 | R.J.BOUCHET |
| 367 | Central station of bioclimatologie, Versailles |
| 368 | National institute of the Agronomic research (France) |
| 369 | |
| 370 | |
| 371 | |
| 372 | The real evapotranspiration of an area represents the water really lost in the form of vapor, |
| 373 | the potential evapotranspiration, the water likely to be lost under the same conditions when it |
| 374 | is not limiting factor any more. The knowledge of these two data is obviously indispensable to |
| 375 | study the circulation of water or to define the needs for the water of the cultures. |
| 376 | We propose to show the connections that exist not only between ETP and ETR, but also |
| 377 | between these terms and the various elements of the energy report (the total radiation, the |
| 378 | radiation of long wave, etc), by using the method of the energy assessment. The simple |
| 379 | relations that we will establish will permit to better define the climatic significance of ETR and |
| 380 | ETP. It will then be possible to specify their respective variations when we will try to modify |
| 381 | the climate in more or less vast zones, either by irrigating, or by changing the cover of the |
| 382 | ground. |
| 383 | |
| 384 | |
| 385 | I STARTING ASSUMPTION SCALE OF THE ASSESSMENT |
| 386 | |
| 387 | |
| 388 | The study of the energy assessment supposes the preliminary definition of the system |
| 389 | limits. To avoid taking into account the phenomena of accumulation and restitution of heating |
| 390 | during the diurnal and night phases, the assessment will relate to one 24-hour period, the |
| 391 | variations of temperature are then generally negligible. |
| 392 | The system includes the whole of the vegetable mass, a superficial section of ground, and a |
| 393 | lower section of the atmosphere. Dimensions of these sections are just as the nycthemeral |
| 394 | variations of temperature remain appreciable. The system exchange of heating with outside |
| 395 | during this period takes place without the phenomena of radiation and evaporation, by |
| 396 | conducting in deep layers of the ground (Qs) and by convecting (Qa) towards the high layers |
| 397 | of atmosphere. |
| 398 | If this system itself is located in a zone that does not present the same climatic characteristics |
| 399 | for various reasons, there will be the side exchanges of energy on the walls which has to be |
| 400 | analyzed. |
| 401 | The side exchanges by conduction in the ground are negligible. It is not the same side |
| 402 | exchange as in the atmosphere due to the movements of the standardized mass of air which we |
| 403 | will indicate under the general name "of the oasis effects". Given the heterogeneity of a point |
| 404 | to another of the type ground, the vegetable cover, the phenomena of evaporation, side |
| 405 | movements of energy or "the oasis effect" are the rules under the natural conditions. |
| 406 | We can schematically represent the phenomenon of the oasis effect of the following manner |
| 407 | (Fig. 1). If in a flat and homogeneous zone, an heterogeneity appears (the characteristics of the |

ground such as the thermal conductibility, the specific heating, the moisture or the nature of vegetable cover, the different ETR, etc...), it develops in the direction of the air circulation a disturbed zone where the medium factors find to be modified compared to the general climate because of heterogeneity. The oasis effect thus corresponds to an intrusion of the external system on the studied system, not only by its immediate edges but by the whole of the limit of the disturbed zone.

414



Fig. 1 -- Importance of the disturbed climatic zone according to the dimension of the heterogeneous system compared to the external system. hauteur --- height;

```
climat général du système extérieur --- the general climate of external system
limite de l'effet d'oasis --- the limit of the effect of oasis
zone climatique perturbeé --- the perturbed climatic zone
surface du sol --- the ground surface
système extérieur --- the external system
```

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The disturbance rises all the more in height since the heterogeneous zone is extended. It is always presented in the form of a "flat lens" for which the thickness is weak compared to horizontal dimensions. We can thus define, for each meteorological scale and each scale of heterogeneity, an oasis effect of corresponding scale (table 1) which gives the side exchange of energy Q₁ Q₂ Q₃ Q₄ Q₅. These are the exchanges that we will try to specify later on in the equation of the energy assessment and which we must take into account to define horizontal dimensions to give to the system.

As we propose to connect ETR to the different terms of the energy assessment, we must consider ETR as uniform on all the surfaces of the system. Thus it comes to determine from which minimum surface, the real evapotranspiration can affect the climatic factors that we use to define the climate, by acting on the energy assessment. It is only when we attain this minimum surface that we will be ensured to have an excellent connection between the climatic factors (θ_a , θ_r , wind, etc.) and ETR, since these factors will not only be considered any more as a more or less direct possible cause, but also as an effect. The minimum zone presenting the character of uniformity will have to thus be just as the disturbance reaches the level to which one refers to have the climatic data. Those are collected to 2 m above the ground with instruments having time-constants of the order of a minute. We will thus, a priori, have to consider only the thermal phenomena having a higher scale or equal to that of turbulence itself $(> e_2)$.

The heating exchange of greater scale (e 3 4 5) are integrated in Qa term of the energy assessment. They thus contribute to define the climate. Thus, the "oasis effects" of great scale such as those existing between the maritime zones and the continents are found in the climatic data of the meteorological networks. In the same way, on the scale of the 1/2 day, the breezes of sea or ground can be treated as the oasis effects of higher scale or equal to e3.

The heating exchange related to the scales lower than e2 are from the concepts even of the negligible scale and can be regarded as the simple movements of standardization within the system which does not affect the climate just as we define it.

To respect the scale of turbulence, the zone considered for the energy assessment should thus take the character of uniformity on the distances of a few hundreds of meters, to see a few kilometers. The minimum extent on the surface is thus of the order from 10 to 100 ha.

- 448
- 449
- 450

| | | TABLE 1 | | |
|------------------|-----------|------------------------|--------------------|----------|
| Scale | | Scale of time | Scale of distance | Correspo |
| (symbol in the | e text) | | | nding |
| | | | | oasis |
| | | | | effect |
| | | | | (symbol |
| | | | | in the |
| | | | | text) |
| Molecular | e1 | 10(-9) second | | Q1 |
| | | | | |
| Turbulent | e2 | 1s. to several minutes | A few hundreds of | Q2 |
| | | | meters | |
| Associated conve | ction and | 10 minutes to several | Several kilometers | Q3 |
| movements | e3 | hours | | |
| | | 3 to 4 days | 1000 to 2000 km | Q4 |
| Cyclonic | e4 | | | |
| | | 10 to 30 days | 5000 to 10,000 km | Q5 |
| Planetary | e5 | | | |

451

452

We define in Meteorology the scales of turbulence which permit to neglect the phenomena whose scale is small compared to the macroscopic movement considered.

455 On the whole of this surface, ETR will be uniform by hypothesis. If the real 456 evapotranspiration around this system is different from that located inside, there will be the

oasis effects; those will be all the more important scale because the zone considered will be 457 large; within the framework of our definition, they will be lower or equal to turbulence. The 458 potential evapotranspiration will be thus variable within the system according to the distance 459 to these edges. The potential evapotranspiration then will be considered in the center of the 460 device, where it is weakest or strongest. If the surface of the system were more important, ETP 461 in the center would decrease or increase, but the climatic factors as they are generally 462 considered, would then start to be affected, which would be against the starting hypothesis 463 since we propose to define VETP of the initial climate and not YETP modified by the variations 464 of evaporation. 465

In conclusion, ETP can be defined in the level of the meteorological shelter to 2 m only like the potential evapotranspiration in the center of a uniform zone at the view point of ground, vegetation or evaporation and at least few tens of hectares.

Thus, the reasoning which will follow will not be able to apply strictly to even the 469 homogeneous zones which do not have the sufficient size and a fortiori, to the heterogeneous 470 zones. We will thus encounter the great difficulties in defining ETR or ETP as the climatic 471 factors in the zones of transition, because the oasis effects of scale lower than that used to 472 473 define the climate, will modify the suggested equalities. These cases will meet in particular for 474 the complex checkerwork that represents the vegetation of a zone of mixed-farming, for the small oases in arid zone, the clearings in the forest zones, for the edges of the massive forest 475 or the maritime coasts. However, the suggested equations provide an approach for the problem. 476 477

- 4//
- 478 479
 - II ASSESSMENT OF ENERGY (*)
- 480 481

482 Suppose an uniformed system corresponding to the preceding conditions. During a period483 of 24 hours, the energy assessment brought to the unit of area is,

- 484
- 485

(1)

 $(1-a) Rg + (1-a') Ra - a'' \sigma T^4 + Q = E - C = ETR$

486

the equation which gives the real evapotranspiration of the area considered. Thus, ETR more or less limited by the intervention of the factor "water" plays an essential part in the interaction of the physical data of the climate by these terms σT^4 , Ra and in certain measurement Ra or even Rg.

ETP corresponds, by definition, in case the available energy is the only factor limiting the evaporation. Study the passage from ETR to ETP in the previously defined system. Let us indicate by ETP0 the value of the potential evapotranspiration when ETR is equal to ETP. Suppose this condition to be realized

495

$$ETR = ETP = ETP_0$$

496 497

498 Admit that for an independent reason of the energy phenomena, ETR decreases. This case

501

503

(3) $ETP_0 - ETR = q_1.$

in ETR releases an energy q1 such as,

With the scale considered, this modification of balance inside of the system does not 504 affect the total radiation and only intervenes very slightly on the Ra term via the temperature 505 and the moisture of the low atmospheric layers. The only important modification which will 506 bring to the temperature and the turbulence, will cause a modification of ETP. Under the best 507 conditions, i.e. if the transformation does not modify the exchanges of the system with outside, 508 the energy returning available (q1) should correspond to an increase of ETP. Thus, without the 509 510 modification of the initial climate from the energy point of view and in particular without the variation of the different primitive oasis effects, we will have 511

could result in a period of dryness, of the maturity of vegetation, of its cut, etc...The reduction

- $ETP = ETP_0 + q_1$
- 513 514

512

515 Where, by considering (3),

- 516
- (5)
- 517 518

| 519 | (*) We will admit as positive the energy received on the surface of the ground, as |
|-----|--|
| 520 | negative the energy lost. The following symbols will be used: |

 $ETP + ETR = 2ETP_0$

- a --- albedo, the reflection fraction of the total radiation (expressed in percentage)
- 522 a' --- the reflection fraction of the atmospheric radiation
- 523 a" --- the emissivity
- 524 Rg --- the total radiation (the solar radiation $\leq 5\mu$ received on an horizontal surface)
- 525 Ra --- the atmospheric radiation of the long wave $> 5\mu$
- 526 σT^4 the radiation of the ground at the absolute temperature T with an emissivity equal to the 527 unit
- 528 E --- the energy involved by the evaporation
- 529 C --- the energy involved by the condensation
- Q --- the energy exchanged by the conduction-convection by the considered system with
 outside
- 532 Qs --- the energy exchanged by the conduction in the ground
- Qa --- the energy exchanged by the conduction-convection in the air. Qa comprises the
 exchange of heating of the various scales
- 535 ETR --- the energy corresponding to the real evapotranspiration
- 536 ETP --- the energy corresponding to the potential evapotranspiration
- 537
- Thus, for a given climate, all would occur as if there were symmetry between ETR and ETP compared to a constant ETP0. Very generally, the transformation will not occur without the

inequalities. 541 542 $ETP + ETR \leq 2ETP_0$ (6)543 544 By using the equality (5) and by clarifying the values of Q according to the scales, the general 545 equation (1) can be written as, 546 547 $ETP + (1 - a)Rg + (1 - a')Ra - a''\sigma T^4 + Qs + Q_3 + Q_{4,5} = 2ETP_0$ (7)548 549 (1 - a) Rg', ETP0, Q4.5 are not affected by the relative variation of ETR, and ETP related 550 to the availability of the water. σT^4 , Ra, Qs and even Q₃ are on the contrary variable. We can 551 thus put (7) in the following form, by grouping the variable terms in a function g, 552 553 $ETP = 2ETP_0 + g - (1 - a)Rg - O_{4.5}$ (8) 554 555 and according to (5), 556 557 $ETR = (1-a)Rg' + O_{4,5} - g.$ (9)558 559 For the given values ETP0, Rg', Q_{4.5}, ETR is a decreasing function of g, then ETP is an 560 increasing function. When ETR = 0, ETP takes the maximum value corresponding to 2ETP0. 561 Moreover, according to (9), 562 563 $g = (1 - a) Rg' + O_{45}$ (10)564 565 When the water is not a limiting factor, ETR becomes by definition equal to ETP. The 566 variation of ETR explains then that of ETP. The maximum value likely to be taken under these 567 conditions by ETR corresponds to the possible maximum value of ETP. According to (9), ETR 568 will be maximal when g will be null. In fact, g that essentially represent the net radiation of the 569 long wave (σT^4 - Ra), engine of night cooling, could not be positive, otherwise the night 570 amplitude of the temperature would change the sign and the night temperatures would be 571 increasing at night. We have then to the maximum the non limiting water with the factor, 572 573 ETR_{max} ou $ETP_{\text{max}} = (1 - a)Rg' + Q_{4.5}$. (11)574 575 This maximum value of ETR or ETP under these conditions could not thus even be 576 exceeded when ETR = 0. We have thus in this case, 577 17

modification of the exchanges with outside and the equalities will transform themselves into

578 (12) $ETP \leq (1-a)Rg' + O_{4.5}$ 579 where considering (5), 580 581 $2 ETP_0 \leq (1-a) Rg + O_{4.5}$ (13)582 which gives, 583 584 (14) $ETP_0 \leq 0.5 [(1-a)Rg + O_{4,5}].$ 585 586 We also deduce, 587 588 $ETP \leq g$ (15)589 590 591 or 592 $ETP \leq a \sigma'' T^4 - (1 - a') Ra + Os + O_3.$ (16)593 594 The potential evapotranspiration can thus be expressed according to the radiative assessment 595 of the long wave (σT^4 , R'a) in the measurement where the term (Q3) is not too large over a 596 period of 24 hours. The equation (12) permits to understand how it is possible to relate ETP for 597 a given place and certain duration of the day to the nychthemeral amplitude of temperature(the 598 maximal temperature --- the minimal temperature) which is in relation to these exchanges of 599 radiation of the long wave during the cooling phase of the night. 600 Finally, the equality ETR + ETP = 2 ETP0 is put in the form, 601 602 $ETR + ETP \leq (1-a)Rg + O_{4.5}$. (15)603 604 605 In addition, if we indicate by ε the ratio ETR/ETP, 606 $\varepsilon = \frac{ETR}{FTP}$ (16)607 608 thas the meaning of an index of the relative evapotranspiration equal to 1 for the areas where 609 ETR = ETP and equal to 0 for the desert areas. 610 The equation (13) permits then to express respectively ETP and ETR. 611 612

(15)
$$ETP \leqslant \frac{(1-a)Rg + Q_{4.5}}{1+\varepsilon}$$

(16)
$$ETR \leqslant \frac{\varepsilon[(1-a)Rg + Q_{4.5}]}{1+\varepsilon}$$

614 615

616 DISCUSSION

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618

The potential evapotranspiration can thus be evaluated in two different ways over multiple periods of 24 hours when there are no important changes of temperature,

621 -- or from the radiation of long wave $(\sigma T^4, Ra)$, which integrates via the temperature the 622 oasis effects of great scale

623 --- or from the total absorptive radiation (1-a)Rg, the oasis effects of great scale (Q_4, Q_5) and 624 of an index tof the relative evapotranspiration.

ETP can not thus be defined only according to the energy factors independently from the water factor. We will study two limited cases:

627 When $\varepsilon = 1$

$$ETR = ETP \leq 0.5[(1-a)Rg + Q_{4.5}].$$

629 When $\varepsilon = 0$ ETR = 0

$$ETP \leq (1-a)Rg + Q_{4.5}$$

630

628



- 631
- 632

Fig. 2 – The possible maximal variation of ETR and ETP according to ϵ = ETR/ETP, the exchange of heating of great scale Q_{4.5} being null

635

The potential evapotranspiration thus varies to the maximum between 2 limiting values from 2 ETPo = (1 - a) Rg + Q4.5 to ETP0 = 0.5[(1 - a)Rg + Q4.5] when ETR varies from 0 to ETP. The figure 2 gives the variation of ETR and ETP according to swhen the oasis effects of great scale are negligible. Thus, for the equatorial zones where we can admit $\varepsilon = 1$, ETP should be inferior or equal to (1 - a) Rg. Hydrous assessments of some river basins provided by L. TURKISH emphasize an annual ETP about half of the radiation total suitable for be absorbed by an abundant and wet foliage. The hydrous assessments of some river basins provided by L. TURC emphasize an annual ETP in order of the half of the total radiation likely to be absorbed by an abundant and wet foliage.

The same conclusion would be valid for the very large stretches of water such as the seas. However, in the vicinity of the coasts, we will have to take into account of the disturbances introduced by the "calorific wheels" different from the ground and the sea which systematically produce the oasis effects in the form of breeze of sea and of ground of scale equal to or higher than e3. These side exchanges are still increasing with the vicinity of the desert coasts.

If we consider a zone strongly sprinkled such as a very vast oasis in a desert, we can 651 admit that on the edge, we are under the conditions of the desert climate ETR = 0, whereas in 652 center ETR is equal to ETP. Thus from the edge to the center, we can justify from the 653 preceding equations a variation which is from simple to double, all other conditions remaining 654 according to the importance of the guard ring placed around to standardize the 655 equal. conditions. If the preceding inequalities do not give the variation of ETP according to the 656 distance of the considered perimeter, they make it possible to define the higher and lower limits 657 and to find by a very different way, the curves of SUTTON, taken again by CALDER, DUFFEL 658 and LATTAN on the reduction of ETP according to the guard ring (Fig. 3). 659



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It will be noted that in an area of mixed-farming, the ETR are very different from each other. This result that ETP will be itself different; but the oasis effects will have, for the effect trending to standardize them, certain zones used as the relatively hot sources, others as the relatively cold sources. According to the importance of the surfaces, the oasis effects will raise different scales. ETP will not only correspond to the average value at the scale superior to that of the turbulence which will not translate ETP of the more reduced surfaces, since it will correspond to the average ETR of the same zone and not ETR of each field.

The preceding whole of the equations supposes that when we pass from ETP to ETR, the transformation is done without perturbing the previous climate. This reasoning is valid only at the limit and will all the more far from the reality, because the ratio between ETR and ETP will vary quickly in the time, or its uniformity zone will possess the dimensions far from the ones corresponding to the scale that defines the climate. Nevertheless, if we consider an uniform zone sufficiently extensive, they allow to define the limits of possible variation of ETR or ETP all linking to the different terms of the assessment (*Rg*, Rnet of the long wave).

Note that the water provision of irrigation has an effect on lowering ETP while highing 698 ETR. This double action contributes to improve strongly the vegetable production. This 699 lowering of ETP, all other conditions remaining equal, will be all the more marked since the 700 treated surface will be important. However, we note that to consider, in a very dry region, 701 ETR as the neighbor of ETP, it will be necessary to irrigate the surface having a very high scale 702 superior to e2. It's thus about a surface corresponding to several Km² (several hundreds of 703 hectares). In this case, it will be possible to lower strongly ETP and when the scale of the 704 surfaces grows, one will be able to consider the limit, and to arrive at dividing ETP by two. 705 This decrease of ETP for the high scale corresponds not only to a reduction of water 706 consumption, but also to an important improvement of its efficiency. 707

708 709

710 CONCLUSION

711 712

713 The study of the energy assessment of an uniform region (ground, vegetable cover, nutrition in water) done during a period of 24 hours, allows to establish the simple relations between the 714 real and potential évapotranspiration and the terms of the energy assessment (Rg. Rnet of the 715 long wave). These relations were established with a series of hypotheses that we do not meet 716 generally in the natural conditions; they provide, however, an approach of ETR and of ETP and 717 emphasis the role played by the totally absorbed radiation. The existing compensation between 718 ETP and ETR translated by simple relation ETP + ETR equal to constant for a certain totally 719 absorbed radiation, allows to explain the variations of ETP from these of ETR. We then can 720 have an indication on the order of magnitude of the climatic modifications that we can await 721 of a change of the vegetable cover or of a water provision by carrying out the irrigation to a 722 sufficient scale. 723

TABLE 2

Real Evapotranspiration (ETR) in the Equatorial zone

I --- observed values --- Extract of the thesis of L. TURC "The water assessment of the grounds --- relations among the precipitations, the evaporation and the flow"

| Current water, with eventually the surface of the pouring basin and the period of doing the report | Rain in mm | 0°C | ETR |
|--|------------|-------|----------|
| a) Java | | | |
| Tji Anten (240 km2) 17 years | 4.935 mm | 21° | 1.188 mm |
| Tji Kapundung | 2.650 mm | 18° | 1.070 mm |
| b) South America Amazone (report not known) | 1.900 mm | 24° 5 | 1.245 mm |
| c) Africa | | | |
| Congo (report not known) | 1.400 mm | 22° 5 | 1.030 mm |
| Sanaga à Edea | 1.610 mm | 23° | 1.095 mm |

II --- Maximum theoretical values of annual ETR

| Total Radiation | Albedo | |
|--|----------|----------|
| (Annual average expressed in cal /cm2 /day) | 5% | 10% |
| 350 | 1.040 mm | 990 mm |
| 400 | 1.190 mm | 1.130 mm |
| 450 | 1.340 mm | 1.270 mm |

The theoretical maximum values of annual ETR were calculated from the equality ETR = ETP = ETPO = 0.5 (1 $\sim a$)Rg X 365.

The albedo 5% and 10% can correspond to those from a dense forest to the humid foliage.

724

At which time scale does the complementary principle perform best on evaporation estimation?

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1 Abstract

The complementary principle has been widely used to estimate evaporation under different 2 3 conditions. However, it remains unclear that at which time scale the complementary principle performs best. In this study, evaporation estimations was were conducted assessed over at 88 4 5 eddy covariance (EC) monitoring sites at multiple time scales (daily, weekly, monthly, and yearly) by using the sigmoid and polynomial generalized complementary functions. The 6 results indicate that the generalized complementary functions exhibit the highest skill in 7 estimating evaporation at the monthly scale. The uncertainty analysis shows that this 8 9 conclusion is not affected by ecosystem types nor or energy balance closure methodenergy correction methods. Through comparisons at multiple time scales, we found that the slight 10 difference between the two generalized complementary functions only exists when the 11 12 independent variable (x) in the functions approaches 1. The difference results differ indifferent performance offor the two models at daily and weekly scales. However, such 13 differences vanishes at monthly and annual time scales as with few high x values 14 15 occurringoccurrences. This study demonstrates the applicability of the generalized complementary functions across multiple time scales and provides a reference for choosing 16 the a suitable timestep for evaporation estimations in relevant studies. 17

18 Keywords:

- 19 Evaporation; Generalized complementary functions; Multiple time scales; Ecosystem types;-
- 20 Energy correction methods

22 **1. Introduction**

Terrestrial evaporation (E) including soil evaporation, wet canopy evaporation, and plant 23 24 transpiration, is one of the most important components in the global water and energy cycles and energy balance (Wang and Dickinson, 2012). The evaporation process affects the 25 atmosphere throughby a series of feedbacks involvingon humidity, temperature, and 26 momentum (Brubaker and Entekhabi, 1996; Neelin et al., 1987; Shukla and Mintz, 1982). 27 Quantifying evaporation is crucial for a deep understanding of water and energy interactions 28 between the land surface and the atmosphere. Generally, the meteorological studies focus on 29 the evaporation changes at hourly and daily scales; the hydrological applications requirenced 30 evaporation data at weekly, monthly or longer time scales (Morton, 1983); and the climate 31 change studiesresearches focuspay more attention to theon interannual variations. The 32 33 observation of E can occurbe operated at different time scales. For example, the eEddy covariance, lysimeter, and scintillometer can measure the evaporation at the half-hour scale, 34 and the water balance methods can observe the evaporation at monthly to yearly scales 35 (Wang and Dickinson, 2012). However, in most situations, anthe observation is unavailable, 36 and the estimation of E is necessary. There are several types of methods for evaporation 37 estimations, for example, the Budyko-type methods (Budyko, 1974; Fu, 1981), the Penman-38 type methods (Penman, 1948; Monteith, 1965) and the complementary-type methods 39 (Bouchet, 1963; Brutsaert and Stricker, 1979). The Budyko-type methods perform well at 40 annual or longer time scales; the Penman-type methods can be applied at hourly and daily 41 scales,; while the complementary-type methods are used at multiple time scales (Crago and 42 Crowley, 2005; Han and Tian, 2018; Crago and Crowley, 2018; Ma et al., 2019) without an-43 explicit <u>consideration of cognization of</u> the time scale issue. 44

| 46 | Recently, the complementary principle, as one of the major types of E estimation methods, |
|----|--|
| 47 | has drawn increasing attention because it can be implemented with standard meteorological |
| 48 | data (radiation, wind speed, air temperature, and humidity) without the requirement for |
| 49 | complicated underlying surface properties. Based on the coupling between the land surface |
| 50 | and the atmosphere, the complementary principle assumes that the limitation of the wetness |
| 51 | state in the underlying surface on evaporation can be synthetically reflected by the |
| 52 | atmospheric wetness (Han et al., 2020). Bouchet (1963) first proposed the "complementary |
| 53 | relationship" (CR), which suggested that the apparent potential evaporation (E_{pa}) and the |
| 54 | actual E depart from potential evaporation (E_{po}) in equal absolute values but opposite |
| 55 | directions ($E_{pa} - E_{po} = E_{po} - E$). According to the Advection–Aridity approach (AA, Brutsaert |
| 56 | and Stricker, 1979), E_{pa} is formulated by Penman's (1948) equation (E_{pen}), and E_{po} is |
| 57 | formulated by Priestley-Taylor's (1972) equation (E_{PT}). Subsequently, the CR was extended |
| 58 | to a linear function with an asymmetric parameter (Brutsaert and Parlange, 1998). Further |
| 59 | studies <u>have</u> found that the linear function underestimates E in arid environments and |
| 60 | overestimates E in wet environments (Han et al., 2008; Hobbins et al., 2001; Qualls and |
| 61 | Gultekin, 1997). To address th <u>ise</u> issue, Han et al. (2011; 2012; 2018) proposed a sigmoid |
| 62 | generalized complementary function (SGC, see equation (1) for details). As a modification to |
| 63 | the AA approach, the SGC function illustrates the relationship between two dimensionless |
| 64 | terms, E/E_{pen} and E_{rad}/E_{pen} , where E_{pen} is the Penman evaporation (Penman, 1948) and E_{rad} is |
| 65 | the radiation term of E_{pen} . The SGC function shows higher accuracy in estimating E (Han and |
| 66 | Tian, 2018; Ma et al., 2015b; Zhou et al., 2020) and outperforms the linear functions, |

| 67 | especially in dry desert regions and wet farmlands (Han et al., 2012). Obtaining the impetus |
|----|---|
| 68 | from Han et al. (2012), Brutsaert (2015) proposed a quartic polynomial generalized |
| 69 | complementary function (PGC, see equation (5) for detail). The PGC function describes the |
| 70 | relationship between E/E_{pa} and E_{po}/E_{pa} , where E_{pa} and E_{po} are formulated in the manner of the |
| 71 | AA approach. The PGC function has also been frequently used in recent years (Brutsaert et |
| 72 | al., 2017; Hu et al., 2018; Liu et al., 2016; Zhang et al., 2017). |

The prerequisite of the complementary principle is the adequate feedback between the land 74 75 surface and the atmosphere, which results in an equilibrium state. In this situation, the wetness condition of the land surface can be largely represented by the atmospheric 76 conditions. Therefore, the time scales used in the complementary principle need to satisfy the 77 78 adequate feedback assumption. However, this issue involves the complex processes of atmospheric horizontal and vertical motion, and these processes areit is difficult to be-79 explainedexplain theoretically. Morton (1983) noticed this problem earlier and suggested that 80 81 the complementary principle is not suitable for short time scales (e.g., less than 3 days) 82 mainly because of the potential lag times associated with the response of energy and water vapor storage to disturbances in the atmospheric boundary layer. However, there is no solid 83 evidence or theoretical identification to support this inference. The original complementary 84 85 relationship and the AA function are not limited by the applicable time scales. In the derivation of the advanced generalized complementary functions (SGC of Han and Tian 86 87 (2018) and PGC of Brutsaert (2015)), no specific time scale is defined neither. In practice, the complementary principle has been widely adopted to estimate E at multiple time scales, 88

| 89 | including hourly (Crago and Crowley, 2005; Parlange and Katul, 1992), daily (Han and Tian, |
|-----|--|
| 90 | 2018; Ma et al, 2015b), monthly (Ma et al, 2019; Brutsaert, 2019), and annual scales |
| 91 | (Hobbins et al., 2004). The accuracy of the results have varied in different studies. Crago and |
| 92 | Crowley (2005) found <u>that</u> the linear complementary function performs well in estimating E |
| 93 | at small time scales of less than half-hour using the data from several well-known famous |
| 94 | experimental projects (e.g., International Satellite Land Surface Climatology Project). The |
| 95 | correlation coefficient between simulated E and observed E ranges from 0.87 to 0.92 in |
| 96 | different experiments. The results of Ma et al. (2015b) indicated that the SGC function (Root- |
| 97 | <u>Mean-Square Error</u> , RMSE = 0.39 mm day ⁻¹) <u>performs well</u> is competent in estimating E in |
| 98 | an alpine steppe region of the Tibetan Plateau at the daily scale. Han and Tian (2018) applied |
| 99 | the SGC function the daily data of 20 EC sites from the FLUXNET and found that it |
| 100 | perform <u>ed</u> s well in estimating E with a mean Nash-Sutcliffe efficiency (NSE) value of 0.66. |
| 101 | Crago and Qualls (2018) evaluated the PGC function and their rescaled complementary |
| 102 | functions using the weekly data of 7 FLUXNET sites in Australia, and the results showed that |
| 103 | all the functions performed adequately with a correlation coefficient between simulated E and |
| 104 | observed E higher than 0.9. Ma et al. (2019) also validated an emendatory polynomial |
| 105 | complementary function at the monthly scale, and the NSE values of 13 EC sites in China are |
| 106 | were higher than 0.72. At the annual scale, Zhou et al. (2020) found that the mean NSE of the |
| 107 | SGC function is was 0.28 for 15 catchments in the Loess Plateau. Since these results were |
| 108 | derived with different functions under varied conditions, it is difficult to determine at which |
| 109 | time scale the performance is the best, and it is more difficult to explain theoretically how |
| 110 | long the land-atmosphere feedback needs to achieve equilibrium. |

I

| 112 | In previous studies, the model validations were mostly completed at <u>the</u> daily scale |
|-----|--|
| 113 | (Brutsaert, 2017; Han and Tian 2018; Wang et al. 2020), and the datasets of evaporation |
| 114 | estimation were often established at the monthly scale (Ma et al., 2019; Brutsaert et al., |
| 115 | 2019). However, each study only focused on a single timescale. In this study, we assessed the |
| 116 | performance of the complementary functions on evaporation estimation at multiple time |
| 117 | scales (daily, weekly, monthly, and yearly). The assessment was carried out over at 88 EC |
| 118 | monitoring sites with > 5-year-long observation records. In view of the fact that the |
| 119 | complementary principle has developed to the nonlinear generalized forms, we selected two |
| 120 | nonlinear complementary functions in the literature, i.e., the SGC function (Han et al., 2012; |
| 121 | 2018) and the PGC function (Brutsaert, 2015). The key parameters of the complementary |
| 122 | functions need to be determined by calibration. We chose the uniform database and the |
| 123 | uniform parameter calibration methods for the optimization of the two complementary |
| 124 | functions. We aimed to determine the most suitable timescale for the complementary |
| 125 | functions through <u>a</u> comparison of the performances at different timescales. It's important <u>for</u> |
| 126 | not only for thea deep understanding of the application of the complementary principle, but |
| 127 | also for the timestep selection in the evaporation database establishment and evaporation |
| 128 | trend analysis. |
| | |

129

This paper is organized as follows: Section 1 briefly describes the development of the
complementary theory and our motivations to investigate the timescale issue. Section 2
describes the two functions, the parameter calibration method, and the data sources and

133 processing. Section 3 shows and discusses the performance of the complementary functions

- 134 at multiple time scales, the dependence of the key parameters on time scales, and the
- uncertainties in the analysis. The conclusions are given in Section 4.
- 136
- 137 2. Methodology
- 138 **2.1 The s** igmoid generalized complementary function

139 Han et al. (2012; 2018) proposed a generalized form of the complementary function that

140 expresses E/E_{pen} as a sigmoid function (SGC) of E_{rad}/E_{pen} :

141

$$y = \frac{E}{E_{Pen}} = \frac{1}{1 + m\left(\frac{x_{max} - x}{x - x_{min}}\right)^n}$$
142

$$x = \frac{E_{rad}}{E_{Pen}}$$
(1)

143 where
$$x_{max}$$
 corresponds to the certain maximum value of x under extremely wet

environments, and x_{min} corresponds to the certain minimum value of x under extremely arid environments. In this study, x_{max} and x_{min} were set as 1 and 0, respectively, for convenience. The E_{pen} term is defined by Penman's equation (Penman, 1950; Penman, 1948), which can be expressed as:

148
$$E_{pen} = \frac{\Delta(R_n - G)}{\Delta + \gamma} + \frac{\rho c_p}{\Delta + \gamma} \frac{\kappa^2 u}{\ln(\frac{z - d_0}{z_{0m}}) \ln(\frac{z - d_0}{z_{0v}})} (e_a^* - e_a)$$
(2)

149 where, Δ (kPa C⁻¹) is the slope of the saturation vapor curve at air temperature; R_n is the net 150 radiation; G is the ground heat flux; γ (kPa C⁻¹) is a psychrometric constant; ρ is the air 151 density; c_p is the specific heat; $\kappa = 0.4$ is the von Karman constant; u is the wind speed at 152 measurement height; e_a^* and e_a are the saturated and actual vapor pressures of air, 153 respectively; z is the measurement height (Table S1); d_0 is the displacement height; z_{0m} and 154 z_{0v} are the roughness lengths for momentum and water vapor, respectively, which are estimated from the canopy height (h_c , Table S1), $d_0 = 0.67h_c$, $z_{0m} = 0.123h_c$, and $z_{0v} = 0.12_{0m}$ (Monin and Obukhov, 1954; Allen et al., 1998). E_{rad} is the radiation term of the Penman evaporation:

$$E_{rad} = \frac{\Delta(R_n - G)}{\Delta + \gamma} \tag{3}$$

159

158

160 The two parameters m and n of equation (1) can be determined by the Priestley-Taylor

161 coefficient α and the asymmetric parameter *b* (Han and Tian, 2018).

162
$$\begin{cases} n = 4\alpha(1+b^{-1})x_{0.5}(1-x_{0.5}) \\ m = (\frac{x_{0.5}}{1-x_{0.5}})^n \end{cases}$$
(4)

163 where, $x_{0.5}$ is a variable that corresponds to y = 0.5, and equals to $\frac{0.5+b^{-1}}{\alpha(1+b^{-1})}$.

164

165 **2.2 The pPolynomial generalized complementary function**

Brutsaert (2015) proposed the polynomial generalized complementary (PGC) function, which describes the relationship between E/E_{pa} and E_{po}/E_{pa} . We uniformed the independent variable as E_{rad}/E_{pen} to compare the two functions conveniently, and the polynomial function can be expressed as:

170
$$y = (2-c)\alpha^2 x^2 - (1-2c)\alpha^3 x^3 - c\alpha^4 x^4$$
(5)

171 where, *c* is an adjustable parameter. When
$$c = 0$$
, equation (5) reduce to

- 172 $y = 2\alpha^2 x^2 \alpha^3 x^3$ (6)
- 173

174 **2.3 Parameter optimization method**

175 <u>Typically, α has a default value of 1.26 (Priestley & Taylor, 1972). Since some studies have</u>

176 shown that a constant α may cause illogical results and biases in estimating E, it is suggested

| 177 | to specify α for diverse scenarios (Hobbins, Ramírez, Brown, & Claessens, 2001; Ma et al., |
|-----|---|
| 178 | 2015a; Sugita et al., 2001; Szilagyi, 2007). According to the complementary principle, under |
| 179 | wet conditions, <i>E</i> is close to E_{pen} and the Priestley-Taylor's evaporation ($E_{\text{PT}} = \alpha E_{\text{rad}}$). |
| 180 | Specifically, when <i>E</i> / <i>E</i> _{pen} is larger than a threshold (0.9 is commonly adopted), <i>E</i> _{PT} can be |
| 181 | considered to be approximately equal to the observed E; thus, α can be calculated by E/E_{rad} |
| 182 | (Kahler and Brutsaert, 2006; Ma et al., 2015a). In this study, α was calculated by this method |
| 183 | based on the mean value of E/E_{rad} under wet conditions ($E/E_{pen} \ge 0.9$). In this study, α was |
| 184 | calculated by the mean value of E/E_{rad} whenever E/E_{pen} is larger than 0.9 (Kahler and |
| 185 | Brutsaert, 2006; Ma et al., 2015a). When all the E/E_{pen} values are less than 0.9, α was set as |
| 186 | the default value of 1.26. The key parameter b in SGC was calibrated by an optimization |
| 187 | algorithm with the objective function as the minimization of the mean absolute error (MAE) |
| 188 | between the estimated E (by equation (1)) and the observed E . Similarly, the key parameter c |
| 189 | in PGC was calibrated by an optimization algorithm with the objective function as the |
| 190 | minimization <u>of</u> the MAE between the estimated E (by equation (5)) and the observed E . |
| 191 | Since we used the optimization algorithm to determine the parameter b in the SGC function, |
| 192 | it is a fair manner to use the optimal c value instead of a constant value ($c = 0$) in the PGC |
| 193 | function. |
| 194 | |
| 195 | To make the model parsimonious, we gave one value for the parameters (α , b and c) at each |
| 196 | site for every different time scale. If the parameter was alterable, for example, it was monthly |
| 197 | dependent, and we would have to calibrate 12 parameters instead of one value for the whole |
| 198 | study period. The purpose of this study is to determine the most suitable timescale for the |

complementary functions, and the variances of the key parameter within a timescale will
 introduce extra uncertainties. The accuracy will increase when an alterable parameter (that
 means a higher number of parameters) is used; however, the probability of overfitting risk
 will increase at the same time. In addition, in comparison to a group of parameters, a general
 representation of the parameter is more helpful in detecting its overall trend as the change in
 the timescale.

205

206 **2.4 Data sources and data processing**

207 The eddy flux data analyzed in this study were obtained from the FLUXNET database 208 (http://fluxnet.fluxdata.org, Baldocchi et al., 2001). Observations from a total of 88 sites 209 around the world were analyzed. The dDetailed information on these sites is listed in Table 210 S1. These sites were selected from the FLUXNET database because they have observations 211 for-longer than 5 years. The 88 sites include 11 IGBP (International Geosphere-Biosphere 212 Programme) land cover classes: ENF, evergreen needleleaf forests (27 sites); EBF, evergreen 213 broadleaf forests (8); DBF, deciduous broadleaf forests (13); MF, mixed forests (5); OSH, 214 open shrublands (4); CSH, closed shrublands (1); WSA, woody savannas (3); SAV, savannas 215 (4); GRA, grasslands (15); CRO, croplands (6); and WET, permanent wetlands (2). The 216 climates of the 88 sites ranges from arid to humid. Among the 88 sites, 11 sites have mean 217 annual precipitation <u>levels</u> lower than 200 mm, 47 sites have precipitation <u>levels</u> between 200 218 \sim 500 mm, and 30 sites have precipitation levels above 500 mm. Eleven sites are located in 219 the Southern Hemisphere (i.e., Australia, Brazil, and South Africa) and the others are located 220 in the Northern Hemisphere.

| 222 | Variables including net radiation, sensible heat flux, latent heat flux, ground heat flux, wind |
|-----|---|
| 223 | speed, air temperature, air pressure, precipitation, relative humidity, and vapor pressure |
| 224 | deficit were acquired from the daily, weekly, and monthly datasets on the FLUXNET |
| 225 | website. We analyzed the observations in the growing seasons from April to September for |
| 226 | the Northern Hemisphere and from October to March for the Southern Hemisphere. These |
| 227 | study periods were selected to avoid the high biases caused by the low level of small solar |
| 228 | radiation or the extremely low evaporation (≈ 0) during the nongrowing season. The seasonal |
| 229 | and annual data were acquired by averaging the monthly data of the growing seasons. |
| 230 | Following Ershadi et al. (2014), the energy residual corrected latent heat fluxes were used, |
| 231 | which means the residual term in <u>the</u> energy balance is attributed to the latent heat to force |
| 232 | the energy balance closure. To investigate the influence of different residual correction |
| 233 | methods, the Bowen ratio energy balance method was also adopted in the uncertainty |
| 234 | analysis. In the Bowen ratio method, the residual term is attributed into sensible heat and |
| 235 | latent heat by preserving the Bowen ratio (Twine et al., 2000). The latent heat, sensible heat, |
| 236 | and available energy $(R_n - G)$ were are restricted to positive values (Han and Tian, 2018). The |
| 237 | energy balance residual (W m^{-2}) and energy balance closure ratio for each site are shown in |
| 238 | Table S1. |
| | |

The Nash-Sutcliffe efficiency (NSE, Legates and McCabe, 1999) is used to evaluate the
 efficiency of estimating *E* by the two generalized complementary functions:

where, E_{est} (W m⁻²) is the estimated evaporation according to equation (1) or equation (5) and \bar{E} is the mean value of E (W m⁻²).

245

246 **3. Results and discussion**

247 **3.1 Performance of the SGC function at multiple time scales**

The relationship between the estimated E_{est} (site mean values) based on the SGC function 248 (equation (1)) and the observed *E* atof the 88 sites at multiple time scales is shown in Figure 249 1. The regression equations and determination coefficients (R^2) were calculated by the site 250 251 mean results. Each dot in Figure 1 represents the site mean result averaged by daily (Figure 1a), weekly (Figure 1b), monthly (Figure 1c), and yearly (Figure 1d) results, and the total 252 253 observation number is 88 (sites) at each timescale. Most of the results lie are near the 1:1 line, and all the regression slopes are close to 1 with high R^2 (0.95 ~ 0.99), which means the 254 sigmoid function exhibits a good performance in estimating to estimate E at multiple time 255 scales. The interceptions range from -1.69 to 2 W m⁻². All the coefficients of the regression-256 257 show indistinctive differences at different time scales. However, tThe evaluation merits show that the performance varies at each time scale. The NSE values of the SGC functions for each 258 site at different time scales are listed in Table S2. For the 88 sites, nearly half of the sites (40) 259 have the highest NSE at the monthly scale, 12 sites have the highest NSE at the daily scale, 260 13 sites have the highest NSE at the weekly scale, and 23 sites have the highest NSE at the 261 annual scale. The mean results of NSE_H, R²_H, and RMSE_H (the subscript H corresponds to the 262 sigmoid function proposed in Han and Tian, 2018) of these sites are shown in Table 1. R^{2}_{H} 263 represents the mean value averaged by the determination coefficients within each site. When 264

the timescale changes from day to month, the mean NSE_H increases from 0.33 to 0.55, and 265 R_{H}^{2} also increases from 0.61 to 0.75 (Table 1). However, they both decrease at the annual 266 scale (NSE_H = 0.18 and R^{2}_{H} = 0.61). These results indicate that the SGC function exhibits the 267 highest skill at the monthly scale. We inferred that there is a tradeoff between the random 268 error and the number of observations. RMSE_H values decrease from 24.56 W m^{-2} at the daily 269 scale to 7.33 W m^{-2} at the annual scale, which means that the random error decreases as the 270 time scale increases. At the same time, the fewer observations at the annual scale result in 271 decreased variabilities of x and y, which affect the performance of the SGC function. On the 272 273 other hand, Morton (1983) did not suggest using the complementary principle for short time intervals (e.g., less than 3 days), mainly considering the lag times associated with heat and 274 water vapor change in the atmosphere, which can may provide a possible explaininference for 275 276 the weak performance at the daily scale that the weekly and monthly results are better thanthe daily results. 277

278

In previous studies, the SGC function was mainly applied at the daily scale. For example, the results of Ma et al. (2015b) in the alpine steppe region showed that the NSE of the sigmoid function is 0.26 at the daily scale, which is lower than our mean value in the grassland (0.73 \pm 0.08). The RMSE (11.06 W m⁻²) is smaller than ours (16.36 \pm 1.48 W m⁻²). The mean NSE of the 20 EC sites from the FLUXNET is 0.66 at the daily scale in Han and Tian (2018), approximatelyabout two times of the result in this study, and the RMSE (18.6 \pm 0.94 W m⁻²) is lower than our mean result of 88 sites (24.56 \pm 0.95 W m⁻²).

The SGC function for the five selected sites of different ecosystem types is shown in Figure 2 287 to show the performance at multiple time scales (red lines in Figure 2). These five EC 288 289 monitoring sites were selected because they have long-period term observations (> 10 years). The five sites include an evergreen needle forest (CA-TP1, Figures 2(a) to (d)), a deciduous 290 broad forest (US-UMB, Figures 2(e) to (h)), a woody savanna (US-SRM. Figures 2(i) to (l)), 291 a cropland (US-Ne2, Figures 2(m) to (p)) and a grassland (US-Wkg, Figures 2(q) to (t)). As 292 observations decrease from the daily to the annual scale, the results converge on the middle 293 part of the sigmoid curves and lie closer to the fitted lines. For some sites, the annual results 294 295 concentrate on a narrow range with lower annual variabilities (e.g., Figures 2(h), 2(l) & 2(t)). Generally, the key parameter (b) of the SGC function at these sites increases from the daily 296 scale to the annual scale, which indicates that the sigmoid curves in the two-dimensional 297 298 space of E_{rad}/E_{pen} - E/E_{pen} move upwards. The <u>A</u> detailed discussion about the variation of <u>in</u> the parameters is provided elaborated in Section 3.4. 299

300

301 3.2 Performance of the PGC function at multiple time scales

The relationship between the estimated E_{est} (site mean values) based on the PGC function (equation (5)) and the observed E of at the 88 sites at multiple time scales is shown in Figure 3. The slopes of the regression increase from 0.9 to 1 as the timescale changes from day to month; and further increase to 1.01 at the annual scale. The intercept terms decrease from 13.06 W m⁻² at the daily scale to 0.01 W m⁻² at the monthly scale; and further decrease to -0.25 W m⁻² at the annual scale. The R² values increase from 0.83 to 0.99 as the time scale increases. These coefficients of the regression show that the PGC function exhibits the

| 309 | highest skill at the monthly scale. The NSE values of the PGC functions for each site at |
|-----|--|
| 310 | different time scales are listed in Table S2. For the 88 sites, 42 sites have the highest NSE at |
| 311 | the monthly scale, 7 sites have the highest NSE at the daily scale, 14 sites have the highest |
| 312 | NSE at the weekly scale, and 25 sites have the highest NSE at the annual scale. The mean |
| 313 | values of NSE _B , R^{2}_{B} , and RMSE _B (the subscript B corresponds to the polynomial function |
| 314 | proposed in Brutsaert, 2015) of these sites are shown in Table 1. When the timescale changes |
| 315 | from day to month, NSE _B increases from 0.19 to 0.50, and R^{2}_{B} increases from 0.61 to 0.75. |
| 316 | They decrease at the annual scale (NSE = 0.25 and $R^2_H = 0.63$). Again, these evaluation |
| 317 | merits indicate that the PGC function also exhibits the highest skill at the monthly scale, |
| 318 | which is the same as <u>for</u> the SGC function. |
| 319 | |
| 320 | The PGC function has been applied at multiple time scales in previous studies. Zhang et al. |
| 321 | (2017) evaluated the performance of the PGC function in estimating evaporation at 4 EC flux |
| 322 | sites located across Australia, and their results showed that the mean RMSE (24.67 W m^{-2}) |
| 323 | and R ² (0.65) are close to our results (RMSE = 26.83 \pm 1.16 W m $^{-2}$ and R 2 = 0.61) at the |
| 324 | daily scale. In Crago and Qualls (2018), the mean RMSE of 7 EC sites at the weekly scale is |
| 325 | <u>was</u> 20.6 W m ⁻² and the mean R ² is was 0.81, which are close to our mean results (RMSE = |
| | |

326

 $19.17 \pm 0.95 \text{ W m}^{-2} \text{ and } \mathbb{R}^2 = 0.7$).

The PGC functions for the five selected sites are also shown in Figure 2 (green lines). The fitted lines are almost the same as duplicate with those of the SGC function in most situations when x is not too high. However, they diverge from each other when x becomes larger. Finally, *y* exceeds 1 when *x* is larger than $1/\alpha$. Generally, the key parameter (*c*) of the PGC function at these sites decreases from <u>the</u> daily scale to <u>the</u> annual scale, which also indicates <u>that</u> the fitted curves move upwards.

334

335 3.3 Performance comparison of the SGC and PGC functions

The results of from the 88 sites (Figure 1, Figure 3 and Table 1) show that the performances 336 of the two functions are similar at monthly and annual time scales, while the SGC function 337 performs slightly better than the PGC function at daily and weekly time scales. According to 338 339 the results in Figure 2, it can be recognized that the two functions with calibrated parameters 340 are approximately identical under non-humid environments, but their difference increases as x ($E_{\rm rad}/E_{\rm pen}$) increases. We found that the values of α for all sites are greater than 1.0 in our 341 342 study, which means that the PGC model cannot work properly under the condition of $1/\alpha < 1/\alpha$ <u> $E_{rad}/E_{pen} < 1.0$ </u>. At daily and weekly time scales, quite a <u>substantial number offew</u> ecosystems 343 can produce very high E_{rad}/E_{pen} values. Specifically, 63 of the 88 sites have high E_{rad}/E_{pen} 344 values $(x > 1/\alpha)$ at the daily scale, and 24 sites have high values at the weekly scale. 345 346 However, there are only 3 sites with an $x > 1/\alpha$ at the monthly scale, and no site has that value at the yearly scale. For the SGC function, in super humid conditions, the upper part of the 347 348 sigmoid curve is nearly flat and closer to the observations (e.g., Figures 2 (a), (m) & (n)). However, for the PGC function, theoretically, it cannot be applied when x is over $1/\alpha$ because 349 the estimated E_{est} will be higher than E_{pen_1} which is <u>illogical</u> irrational. Thus, the sigmoid 350 351 function performs slightly better at daily and weekly time scales than the polynomial 352 <u>function</u>. However, But the difference vanishes $\frac{1}{2}$ at the monthly scale as few high E_{rad}/E_{pen}

53 <u>values occuroccurrences</u>.

354

| 355 | According to the results, the performance of the PGC function acts is more sensitive to the |
|-----|---|
| 356 | timestep than that of the SGC function. On the one hand, the regression relationship between |
| 357 | E_{est} and the observed E of the 88 sites shows <u>that</u> the performance of the SGC function |
| 358 | remains more stable (Figure 1), while the regression results of the PGC function have higher |
| 359 | variation when the time scale changes (Figure 3). On the other hand, the estimation merits |
| 360 | (Table 1) further confirm the sensitivity of the PGC function. From <u>the</u> daily scale to <u>the</u> |
| 361 | monthly scale, the increase of in NSE _H is 0.22, while the increase of in NSE _B is 0.31; RMSE _H |
| 362 | decreases by 11.36 W m ⁻² (46%) ₂ and RMSE _B decreases by 13.13 W m ⁻² (49%). At the daily |
| 363 | scale, quite a few ecosystems (63 of 88 sites) can experience frequent high E_{rad}/E_{pen} (> 1/ α) |
| 364 | valuesoccurrences, and the PGC function does not have the ability to simulate <i>E</i> accurately in |
| 365 | this situation ($E_{est} > E_{pen}$) resulting in lower efficiency. We have carried out an additional |
| 366 | analysis that adopts $E = E_{pen}$ for $1/\alpha < E_{rad}/E_{pen} < 1.0$ in the PGC function, and the resultant |
| 367 | <u>NSE_B (0.19 vs 0.19) and RMSE_B (26.83 W m⁻² vs 26.68 W m⁻²) present very similar results.</u> |
| 368 | As the time scale increases, the results converge on the middle part of the fitted line, and the |
| 369 | number of high x greatly <u>decreases</u> reduces (Figure 2). Thus, the efficiency of the PGC |
| 370 | function <u>obviously</u> increases obviously. <u>This is It's</u> the reason that the polynomial function |
| 371 | acts more sensitive to the timestep. |
| 372 | |
| 373 | In addition, we found that the two complementary functions perform reasonably well at |
| | |

374 <u>shorter timescales (i.e., day and week) with relatively high R² values. Additionally, the</u>

375 <u>estimations of site mean evaporation at shorter timescales are accurate (Figure 1 and Figure</u>
 376 <u>3), especially for the SGC function. These results suggest that the generalized complementary</u>
 377 <u>functions have the ability to estimate evaporation accurately even at shorter timescales.</u>

378

379 **3.4 Dependence of the key parameters of the SGC and PGC functions on time scales**

The key parameters of the two complementary functions (b of the SGC function and c of the 380 PGC function) vary at multiple time scales (Figure 2). To explore their changes, the values of 381 1/b and c of at the 88 sites were averaged at each timescale. To take into account of the 382 situation in which that b is equal to infinity, we used 1/b instead of b in this analysis. Figure 4 383 shows the change inof the two complementary functions with varied parameters at multiple 384 time scales. The averaged 1/b decreases from 0.45 ± 0.05 at the daily scale to 0.24 ± 0.03 at 385 386 the annual scale (Figure 4a), and the averaged c decreases from 0.98 ± 0.19 at the daily scale (Figure 4b) to -0.37 ± 0.22 at the annual scale. The sign of c changes from positive to 387 negative at the monthly scale. 388

389

We showed the histograms of 1/*b* and *c* at multiple time scales in Figure 5 and Figure 6, respectively. At the daily scale, half of the 1/*b* values are lower than 0.3_{1} and the mean value is 0.45 ± 0.05 . At the weekly scale, the peak of the distribution moves left, and almostnearly half of the 1/*b* values are lower than 0.2 with the <u>a</u> mean value of 0.36 ± 0.04 . At the monthly scale, the mean value is $0.29 \pm 0.04_{1}$ and the 1/*b* values continue to decrease. At the annual scale, the mean value decreases to $0.24 \pm 0.03_{1}$ and 61% of the 1/*b* values are lower than 0.2. According to Figure 6, at the daily scale, *c* follows a normal distribution (p-value = 0.17, 397 Kolmogorov-Smirnov test) with the a mean value of 0.98 ± 0.21 . Nearly 1/3 of the c values are lower than 0. At the weekly scale, the center of the distribution moves left with the a 398 399 mean value of 0.43 ± 0.24 . Half of the *c* values are lower than 0. At the monthly scale, the mean value is -0.04 ± 0.23 , and 58% of the *c* values are lower than 0. At the annual scale, the 400 mean value decreases to -0.37 ± 0.25 , and 63% of the *c* values are lower than 0. These results 401 support our conclusion that 1/b and c decrease as the time scale increases. Generally, the 402 distribution of 1/b and c also moves left within each ecosystem type according to Figures 5 403 and 6. 404

405

The reduction of $\frac{1}{b}$ and *c* indicates that the curves of the complementary functions move upwards as the time scale increases. Under non-humid conditions, the sigmoid function is a concave function, which means:

409

$$\frac{1}{2}[f(x_1) + f(x_2)] > f(\frac{x_1 + x_2}{2}) \tag{8}$$

where, f is the concave function, and x_1 and x_2 represent any two values on the x-axis. Since 410 most of the results follow the fitted line, the averaged results of the longer timestep will go-411 <u>move</u> upwards in the two-dimensional space of E_{rad}/E_{pen} , so does well the new fitted 412 curve. Although under the super humid conditions, the SGC function is a convex function, 413 414 there is are fewer data in under this condition as the time scale increases, and the shape of this part is almost unchanged (Figure 4a). As fF or the PGC function, when x is in the range of 0 415 to $1/\alpha$, most part of it is a concave function. For example, in the situation that where c is 416 equal to 0, the second derivative is higher than 0 as long as x is lower than 2/3. 417

| 419 | Furthermore, we found that the two key parameters, b and c present a significant correlation, |
|--|--|
| 420 | which provides additional evidence that indicating the two functions can substitute each other |
| 421 | in a sense. In other words, the two functions with calibrated parameters substantially provide |
| 422 | similar descriptions of the distribution of the results in the state space ($x = E_{rad}/E_{pen}$, y |
| 423 | $=E/E_{pen}$). They can covert to each other in most situations since the two functions are |
| 424 | generally equivalent to the linear asymmetric function when x is neither excessively large nor |
| 425 | <u>excessively small.</u> The relationship can be described as <u>follows</u> : $1/b = 0.01c^2 + 0.11c + 0.24$ |
| 426 | with R^2 being higher than 0.96 at the monthly scale (Figure 57). The relationship |
| 427 | remainskeeps at other time scales with a slight difference in the regression coefficients. At |
| 428 | the daily scale, when c is equal to 0, the corresponding b is equal to 4.5, which is the same as |
| 429 | that of the theoretical derivation in Brutsaert (2015). |
| 430 | |
| 100 | |
| 431 | In this study, the physical meaning of the Priestley-Taylor coefficient α , which represents the |
| 431 432 | In this study, the physical meaning of the Priestley-Taylor coefficient α , which represents the ratio of E_{PT} (the Priestley-Taylor evaporation) and E_{rad} with the default value of 1.26 |
| 431 432 433 | In this study, the physical meaning of the Priestley-Taylor coefficient α , which represents the ratio of E_{PT} (the Priestley-Taylor evaporation) and E_{rad} with the default value of 1.26 (Priestley & Taylor, 1972; Brutsaert & Stricker, 1979), was retained. This fundamental |
| 431432433434 | In this study, the physical meaning of the Priestley-Taylor coefficient α , which represents the ratio of E_{PT} (the Priestley-Taylor evaporation) and E_{rad} with the default value of 1.26 (Priestley & Taylor, 1972; Brutsaert & Stricker, 1979), was retained. This fundamental definition of α may result in a smaller range of E_{rad}/E_{pen} in the PGC function. Liu et al. (2016) |
| 431 432 433 434 435 | In this study, the physical meaning of the Priestley-Taylor coefficient α , which represents the ratio of E_{PT} (the Priestley-Taylor evaporation) and E_{rad} with the default value of 1.26 (Priestley & Taylor, 1972; Brutsaert & Stricker, 1979), was retained. This fundamental definition of α may result in a smaller range of E_{rad}/E_{pen} in the PGC function. Liu et al. (2016) suggested that α_e (the calibrated α with $c = 0$) in the PGC function is only a weak analog of |
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| 431 432 433 434 435 436 437 438 | In this study, the physical meaning of the Priestley-Taylor coefficient α , which represents the ratio of E_{PT} (the Priestley-Taylor evaporation) and E_{rad} with the default value of 1.26 (Priestley & Taylor, 1972; Brutsaert & Stricker, 1979), was retained. This fundamental definition of α may result in a smaller range of E_{rad}/E_{pen} in the PGC function. Liu et al. (2016) suggested that α_e (the calibrated α with $c = 0$) in the PGC function is only a weak analog of the Priestley-Taylor coefficient, and Brutsaert (2019) directly considered α_e as an adjustable parameter, which can be equal to or smaller than 1. We added the analysis that <i>c</i> is fixed to 0 and α is calibrated as α_e . This analysis showed that the two methods provide similar results. |
| 431 432 433 434 435 436 437 438 439 | In this study, the physical meaning of the Priestley-Taylor coefficient α , which represents the ratio of E_{PT} (the Priestley-Taylor evaporation) and E_{rad} with the default value of 1.26 (Priestley & Taylor, 1972; Brutsaert & Stricker, 1979), was retained. This fundamental definition of α may result in a smaller range of E_{rad}/E_{pen} in the PGC function. Liu et al. (2016) suggested that α_e (the calibrated α with $c = 0$) in the PGC function is only a weak analog of the Priestley-Taylor coefficient, and Brutsaert (2019) directly considered α_e as an adjustable parameter, which can be equal to or smaller than 1. We added the analysis that c is fixed to 0 and α is calibrated as α_e . This analysis showed that the two methods provide similar results (mean RMSE = 14.99 W m ⁻² for α_e vs 16.67 W m ⁻² for α), and the conclusion of the time |
| 431 432 433 434 435 436 437 438 439 440 | In this study, the physical meaning of the Priestley-Taylor coefficient α , which represents the ratio of E_{PT} (the Priestley-Taylor evaporation) and E_{rad} with the default value of 1.26. (Priestley & Taylor, 1972; Brutsaert & Stricker, 1979), was retained. This fundamental definition of α may result in a smaller range of E_{rad}/E_{pen} in the PGC function. Liu et al. (2016) suggested that α_e (the calibrated α with $c = 0$) in the PGC function is only a weak analog of the Priestley-Taylor coefficient, and Brutsaert (2019) directly considered α_e as an adjustable parameter, which can be equal to or smaller than 1. We added the analysis that <i>c</i> is fixed to 0 and α is calibrated as α_e . This analysis showed that the two methods provide similar results (mean RMSE = 14.99 W m ⁻² for α_e vs 16.67 W m ⁻² for α), and the conclusion of the time scale issue is consistent by adopting either α or α_e in the analysis. The optimal α_e has a |

- 441 <u>significantly negative linear relationship with the optimal *c* and the Pearson correlation 442 <u>coefficient is -0.8. This scenario suggests that calibrating either of the two parameters (α_e and 443 <u>c) is equivalent (Han et al., 2012).</u></u></u>
- 444

445 **3.5 Uncertainty analysis**

446 **3.5.1 Influence of ecosystem types**

The evaluation merits of the generalized complementary functions may differ among 447 ecosystem types. However, our results show that such variation generally does not affect our 448 449 conclusion that the complementary functions perform best at the monthly scale. We show the performance of the two functions at multiple timescales for each ecosystem type in Table 450 S32. Generally, the SGC function and the PGC function perform best at the monthly scale in 451 most ecosystem types (9 of 11) with the highest NSE and R^2 , which is consistent with the 452 overall results. The exceptions include a closed shrubland site (CSH, N = 1) and evergreen 453 broadleaf forests (EBF, N = 8), in which the complementary functions <u>do not</u> perform not as 454 455 well as in other ecosystem types. The CSH site (IT-Noe) has the highest NSE_H (0.11) and NSE_B (0.12) at the annual scale. In the EBF group, the highest NSE_H (0.15) and NSE_B (0.03) 456 occur at the weekly scale, but the R² values at the weekly scale ($R^{2}_{H} = 0.64$; $R^{2}_{B} = 0.62$) and 457 those at the monthly scale ($R^2_H = 0.62$; $R^2_B = 0.61$) are <u>similar-close</u>. The RMSEs at the 458 weekly scale are 14.95 W m^{-2} and 16.08 W m^{-2} for the sigmoid function and polynomial 459 function, respectively, and those values at the monthly scale are 12.36 W m^{-2} (RMSE_H) and 460 12.93 W m⁻² (RMSE_B). We inferred <u>that</u> the abnormal results of these two exceptions are 461 related to the lower NSE values in these ecosystem types. The mean NSE values at multiple 462

time scales of CSH (-0.75) and EBF (-0.66) are negative, while the values of the other ecosystem types are all positive.—

465

466 **3.5.2 Performance at <u>the</u> seasonal scale**

In consideration of the substantial discrepancy between the monthly results and the annual 467 results, we added an analysis at the seasonal scale, which is between the two timesteps. The 468 relationship between the estimated E_{est} (site mean values) and the observed E of the 88 sites 469 470 at the seasonal scale is shown in Figure S1. For the SGC function, the regression result at the 471 seasonal scale is similar to that at the monthly scale (Figure S1a and Figure 1c). The values of NSE_H (0.33), R^{2}_{H} (0.61), and RMSE_H (10.16 W m⁻²) at the seasonal scale are between the 472 monthly results and the yearly results (Table 1). For the PGC functions, the regression result 473 474 at the seasonal scale is extremely close to that at the yearly scale (Figure S1b and Figure 3d). The evaluation merits (NSE_B = 0.31; R^{2}_{B} = 0.63; RMSE_B = 9.94 W m⁻²) also range between 475 the monthly results and the yearly results (Table 1). These results indicate that the decline of-476 477 in the model efficiency has already occurred at the seasonal scale and support our conclusion 478 that the complementary functions perform best at the monthly scale.

479

480 <u>In addition, 3.5.3 Influence of energy balance residual correction methods</u>

481 So far, there are mainly two methods for surface energy closure correction in the

482 complementary studies. In the first method, the residual term is attributed into latent heat

- 483 directly as the "energy residual" (ER) closure correction (e.g., Ershadi et al., 2014; Han and
- 484 Tian 2018), which is adopted in above analysis. The second method is called the "Bowen-

| 485 | ratio" (BR) closure correction, in which the residual term is attributed into sensible heat and |
|-----|---|
| 486 | latent heat by preserving Bowen ratio (e.g., Twine et al., 2000; Ma et al., 2015a). Based on- |
| 487 | different correction methods, the evaluation results of the model performance may differ. |
| 488 | Thus, we recalculated our results by adopting the BR energy closure correction method. We- |
| 489 | found the mean value of $1/b$ changes from 0.29 ± 0.04 (ER) to 0.40 ± 0.05 (BR) and the mean |
| 490 | value of <i>c</i> changes from -0.04 ± 0.23 (ER) to 0.63 ± 0.24 (BR) at monthly scale. It indicates |
| 491 | the key parameters could be affected by adopting different correction methods. However, the |
| 492 | results based on the BR method also support that the complementary functions perform best- |
| 493 | on evaporation estimation at monthly scale (Table 2). The NSE and R ² -vales increase from |
| 494 | daily scale to monthly scale, and decrease from monthly scale to yearly scale, just following- |
| 495 | the pattern showed in Table 1. Generally, the evaluation results based on the BR method are |
| 496 | worse than those based on the ER method. For example, when the ER method was replaced |
| 497 | by the BR method the NSE and R^2 values decrease ($\Delta NSE_H = -0.15$; $\Delta NSE_B = -0.23$; $\Delta R^2_H = -0.23$ |
| 498 | = -0.07 ; $\Delta R^2_B = -0.07$) and the RMSE values increase ($\Delta RMSE_H = 1.36 \text{ W m}^{-2}$; $\Delta RMSE_B = -0.07$) |
| 499 | 1.56 W m ⁻²) at monthly scale. Ershadi et al. (2014) also found that the modeled E_{est} values by |
| 500 | the PM equation, the AA approach and the modified Priestley-Taylor model (PT-JPL) show- |
| 501 | higher agreement with the ER corrected evaporation instead of the BR corrected evaporation. |
| 502 | Ershadi et al. (2014) inferred the reason is that the observed sensible heat flux is more |
| 503 | reliable than the observed latent heat flux. The measurement of latent heat by the EC tower- |
| 504 | may be confounded by minor instabilities when the boundary layer shrinks at night. To- |
| 505 | summarize, although the different energy closure correction methods have some influences - |
| 506 | on the key parameters and model efficiencies, they do not affect our conclusion that the |
| | |

507 generalized complementary functions perform best at monthly scale.

508 we also tested the influence of the different energy balance closure methods. The results

509 based on both the "energy residual" (ER) closure correction (e.g., Ershadi et al., 2014; Han

510 and Tian 2018) and the "Bowen ratio" (BR) closure correction support our conclusion that

511 the generalized complementary functions perform best at the monthly scale (Table S4).

512

513 4. Conclusions

In this study, evaporation estimations wereas assessed over at 88 EC monitoring sites at multiple time scales (daily, weekly, monthly, and yearly) by using two generalized complementary functions (the SGC function and the PGC function). The performances of the complementary functions at multiple time scales wereas compared, and the variation of in the key parameters at different time scales was explored. The main findings are summarized as follows:

520

(1) The sigmoid and polynomial generalized complementary functions exhibit higherthe-521 522 highest skill in <u>estimating</u> evaporation estimation at the monthly scale than at the other evaluated scales. The highest evaluation merits were obtained at this time scale. The accuracy 523 524 of the complementary functions highly depends on the calculation timestep. The NSE increases from the daily scale (0.26, averaged by NSE_H and NSE_B) to the weekly scale (0.37) 525 and monthly scale (0.53), while it decreases at the seasonal scale (0.32) and the annual scale 526 527 (0.22). The regression parameters between estimated E_{est} and observed site mean E also 528 support this conclusion for the PGC function. The variations among the different ecosystem

types or between different <u>energy balance closure methods</u>energy balance correction methods
generally have no effect on this conclusion. Further evaporation estimation studies <u>withby</u>
using the complementary functions can choose the monthly timestep to achieve the most
accurate results.

533

(2) The SGC function and the PGC function are approximately identical under non-humid 534 environments, while the SGC function performs better under super humid conditions implied 535 by high values of x (> $1/\alpha$) when the PGC function is theoretically useless ($E_{est} > E_{pen}$). At 536 537 daily and weekly time scales, a substantial number of quite a few ecosystems can experience frequent high x values, occurrences and thus, the SGC function performs slightly better than 538 the PGC function at these time scales. However, both functionsthey perform very similarly at 539 540 monthly and annual time scales as with few high x occurrences values. In addition Besides, the performance of the PGC function is more sensitive to the timestep than that of the SGC 541 function. 542

543

(3) The key parameter *b* of the SGC function increases and the key parameter *c* of the PGC function decrease<u>s</u>d as <u>the time</u> scale increases. The value of 1/b is a quadratic function of *c* with <u>a</u> higher R² (> 0.96). The relationship at the monthly scale can be described as: 1/b =0.01 $c^2 + 0.11c + 0.24$. <u>This relationship</u>It indicates <u>that</u> the two functions <u>serve as can</u> substitute<u>s</u> each other to some extent.

549

In this study, in order to find determine the most suitable time scale for applying the

| 551 | complementary principle, the key parameters $(b \text{ and } c)$ were calibrated to achieve the best | | | | | | | |
|-----|--|--|--|--|--|--|--|--|
| 552 | model performance at each timescale. Further studies on the prognostic application of the | | | | | | | |
| 553 | complementary principle could focus on the reasonable prediction of the key parameters, and | | | | | | | |
| 554 | with the predictable flexible parameters at different timescales, the complementary principle | | | | | | | |
| 555 | could be integrated into hydrological models to reduce the uncertainty associated with | | | | | | | |
| 556 | evaporation estimations. | | | | | | | |
| 557 | | | | | | | | |
| 558 | Code/Data availability | | | | | | | |
| 559 | All the data used in this study are from FLUXNET (<u>http://fluxnet.fluxdata.org</u>). The | | | | | | | |
| 560 | intermediate data are available on request from the corresponding author | | | | | | | |
| 561 | (tianfq@mail.tsinghua.edu.cn). | | | | | | | |
| 562 | | | | | | | | |
| 563 | Author contribution | | | | | | | |
| 564 | Songjun Han and Fuqiang Tian designed the experiments and Liming Wang carried them out. | | | | | | | |
| 565 | Liming Wang developed the model code and performed the simulations. Liming Wang | | | | | | | |
| 566 | prepared the manuscript with contributions from all co-authors. | | | | | | | |
| 567 | | | | | | | | |
| 568 | Competing interests | | | | | | | |
| 569 | The authors declare that they have no conflict of interest. | | | | | | | |
| 570 | | | | | | | | |
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Figure 1. The estimated evaporation based on the SGC function (equation (1)) vs the observed site mean evaporation at the daily scale (a), weekly scale (b), monthly scale (c) and yearly scale (d). Each dot represents the site mean result (N = 88 in each panel). The regression equations and determination coefficients (R^2) were calculated by the site mean results of the 88 EC sites.

Figure 2. Plots of E/E_{pen} with respect to E_{rad}/E_{pen} for five selected sites at multiple time scales. The black dots represent the observations; the red lines represent the SGC function; the green lines represent the PGC function; the blue lines are the P-T and Penman boundary lines. ENF, evergreen needleleaf forests; DBF, deciduous broadleaf forests; WSA, woody savannas; CRO, croplands; GRA, grasslands.

Figure 3. As in Figure 1 except for PGC function (equation (5)).

Figure 4. Plots of the SGC equation (1) with $\alpha = 1.26$ and varying 1/*b* values at multiple time scales (a). Plots of the PGC equation (5) with $\alpha = 1.26$ and varying *c* values at multiple time scales (b). The blue lines are the P-T and Penman boundary lines.

Figure 5. Distribution of the key parameter 1/*b* at daily scale (a), weekly scale (b), monthly scale (c) and yearly scale (d): EBF, evergreen broadleaf forests (8); ENF, evergreen needleleaf forests (27); DBF, deciduous broadleaf forests (13); MF, mixed forests (5); Shrub (12), closed shrubland, open shrublands, woody savannas and savannas; CRO, croplands (6); WET, permanent wetlands (2).

Figure 6. Distribution of the key parameter *c* at daily scale (a), weekly scale (b), monthly scale (c) and yearly scale (d): EBF, evergreen broadleaf forests (8); ENF, evergreen

needleleaf forests (27); DBF, deciduous broadleaf forests (13); MF, mixed forests (5); Shrub (12), closed shrubland, open shrublands, woody savannas and savannas; CRO, croplands (6); WET, permanent wetlands (2).

Figure 7. Relationships between 1/b and c at the monthly scale.

Table 1. The evaluation merits (NSE, R^2 and RMSE in W m⁻²) of the two generalized complementary functions using the "energy residual" (ER) closure correction method. The subscript H and B correspond to the SGC function proposed in Han and Tian (2018) and the PGC function proposed in Brutsaert (2015), respectively.

| | Day | Week | Month | Season | Year |
|--------------------------|-------|-------|-------|--------|------|
| NSE _H | 0.33 | 0.44 | 0.55 | 0.33 | 0.18 |
| NSE _B | 0.19 | 0.3 | 0.50 | 0.31 | 0.25 |
| R^{2} H | 0.62 | 0.7 | 0.74 | 0.61 | 0.61 |
| $R^{2}B$ | 0.61 | 0.7 | 0.75 | 0.63 | 0.63 |
| RMSEH | 24.56 | 17.67 | 13.20 | 10.16 | 7.33 |
| RMSE _B | 26.83 | 19.17 | 13.70 | 9.94 | 6.96 |

Table 2. The evaluation merits (NSE, R² and RMSE in W m⁻²) of the two generalized complementary functions using the "Bowen ratio" (BR) closure correction method. The subscript H and B correspond to the SGC function proposed in Han & Tian (2018) and the PGC function proposed in Brutsaert (2015), respectively.

| | Day | Week | Month | Season | Year |
|------------------------------|------------------|------------------|------------------|-----------------|------------------|
| NSE _H | 0.01 | 0.23 | 0.4 | 0.17 | =0.07 |
| NSE _B | -0.28 | 0.03 | 0.27 | 0.11 | -0.23 |
| <mark>R²</mark> ∺ | 0.53 | 0.62 | 0.67 | 0.54 | 0.52 |
| <mark>R²</mark> ₿ | 0.52 | 0.61 | 0.68 | 0.55 | 0.52 |
| RMSE _H | 26.62 | 18.9 | 14.56 | 11.3 | 7.88 |
| RMSE _B | 29.77 | 20.59 | 15.26 | 11.3 | 8.03 |



Figure 1. The estimated evaporation based on the SGC function (equation (1)) vs the observed site mean evaporation at the daily scale (a), weekly scale (b), monthly scale (c) and yearly scale (d). Each dot represents the site mean result (N = 88 in each panel). The regression equations and determination coefficients (R^2) were calculated by the site mean results of the 88 EC sites.



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Figure 3. As in Figure 1 except for PGC function (equation (5)).



Figure 4. Plots of the SGC equation (1) with $\alpha = 1.26$ and varying 1/b values at multiple time scales (a). Plots of the PGC equation (5) with $\alpha = 1.26$ and varying c values at multiple time scales (b). The blue lines are the P-T and Penman boundary lines.



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Figure 6. Distribution of the key parameter *c* at daily scale (a), weekly scale (b), monthly scale (c) and yearly scale (d): EBF, evergreen broadleaf forests (8); ENF, evergreen needleleaf forests (27); DBF, deciduous broadleaf forests (13); MF, mixed forests (5); Shrub (12), closed shrubland, open shrublands, woody savannas and savannas; CRO, croplands (6); WET, permanent wetlands (2).



Figure 7. Relationships between 1/b and c at the monthly scale.