

# Variations in surface roughness of heterogeneous surfaces in the Nagqu area of the Tibetan Plateau

Maoshan Li<sup>\*1</sup>, Xiaoran Liu<sup>2</sup>, Lei Shu<sup>1</sup>, Shucheng Yin<sup>1</sup>, Lingzhi Wang<sup>1</sup>, Wei Fu<sup>1</sup>,

Yaoming Ma<sup>3</sup>, Yaoxian Yang<sup>4</sup>, Fanglin Sun<sup>4</sup>

(1.School of Atmospheric Sciences/Plateau Atmosphere and Environment Key Laboratory of Sichuan Province/Joint Laboratory of Climate and Environment Change, Chengdu University of Information Technology, Chengdu 610225, Sichuan China;

2. Climate Center, Meteorological Bureau of Inner Mongolia Autonomous Region, Huhhot 010051, Inner Mongolia Autonomous Region, China)

3.Key Laboratory of Tibetan Environment Changes and Land Surface Processes, Institute of Tibetan Plateau Research, Chinese Academy of Sciences, CAS Center for Excellence in Tibetan Plateau Earth Sciences, Beijing, China;

3. Key Laboratory of Land Surface Process and Climate Change in Cold and Arid Regions, Chinese Academy of Sciences, Lanzhou, China)

Abstract: Temporal and spatial variations of the surface aerodynamic roughness lengths ( $Z_{0m}$ ) in the Nagqu area of the northern Tibetan Plateau were analysed in 2008, 2010, and 2012 using MODIS satellite data and in-situ atmospheric turbulence observations. Surface aerodynamic roughness lengths were calculated from turbulent observations by a single height ultrasonic anemometer and retrieved by the Massman model. The results showed that  $Z_{0m}$  has an apparent characteristic of seasonal variation. From February to August,  $Z_{0m}$  increased as snow ablation and vegetation growth, and the maximum value reached 4-5 cm at the BJ site. From September to February,  $Z_{0m}$  gradually decreased and reached its minimum values of about 1-2 cm. Snowfall in abnormal years was the main reason for the significantly lower  $Z_{0m}$  compared with that in normal conditions. The underlying surface can be divided into four categories according to the different values of  $Z_{0m}$ : snow and ice, sparse grassland, lush grassland, and town. Among them, lush

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\* Corresponding author

Dr. Maoshan Li

Chengdu University of Information Technology

24 Block 1, Xuefu Road, Chengdu 610225, Sichuan, China

E-mail: lims@cuit.edu.cn

27 grassland and sparse grassland accounted for 62.49% and 33.74%, and they have an annual  
28 variation of  $Z_{0m}$  between 1-4 cm and 2-6 cm, respectively. The two methods were positively  
29 correlated, and the retrieved values were lower than the measured results due to the heterogeneity  
30 of the underlying surface. These results are substituted into Noah-MP to replace the original  
31 parameter design numerical simulation experiment. After replacing the model surface roughness,  
32 the sensible heat flux and latent heat flux were simulated with a better diurnal dynamics.

33 **Key words:** Northern Tibetan Plateau; Surface roughness; NDVI; MODIS

34

## 35 **1 Introduction**

36 Known as the "third pole" of Earth (Jane, 2008), the Tibetan Plateau (TP) has an average  
37 altitude of over 4000 m and accounts for a quarter of China's territory. It is located in southwestern  
38 China adjacent to the subtropical tropics in the south, and it reaches the mid-latitudes in the north,  
39 making it the highest plateau in the world. Due to its special geographical location and  
40 geomorphic characteristics, it plays an important role in the global climate system as well as the  
41 formation, outbreak, duration and intensity of the Asian monsoon (Yang et al., 1998; Zhang et al.,  
42 1998; Wu et al., 1999, 2004, 2005; Ye et al, 1998; Wu et al, 1998; Tao et al, 1998). Many studies  
43 (Wu et al., 2013; Wang, 1999; Ma et al, 2002) have shown that the land-atmosphere interaction on  
44 the TP plays an important role in the regional and global climate. Over the past 47 years, the  
45 Tibetan Plateau has shown a significant warming trend and increased precipitation (Li et al., 2010).  
46 The thermal effects of the Tibetan Plateau not only have an important impact on the Asian  
47 monsoon and precipitation variability but also affect the atmospheric circulation and climate in  
48 North America, Europe and the South Indian Ocean by inducing large-scale teleconnections  
49 similar to the Asia-Pacific Oscillation (Zhou et al., 2009).

50 The various thermal and dynamic effects of the Tibetan Plateau on the atmosphere affect the  
51 free atmosphere via the atmospheric boundary layer. Therefore, it is particularly important to  
52 analyse the micrometeorological characteristics of the atmospheric boundary layer of the Tibetan  
53 Plateau, especially the near-surface layer (Li et al., 2000). Affected by the unique underlying  
54 surface conditions of the Tibetan Plateau, local heating shows interannual and interdecadal

55 variability (Zhou et al., 2009). Different underlying surfaces have differing diversities, complex  
56 compositions and uneven distributions, which also makes the land surface that they constitute  
57 diverse and has a certain degree of complexity. As the main input factor for atmospheric energy,  
58 the surface greatly affects the various interactions between the ground and the atmosphere and  
59 even plays a key role in local areas or specific times (Guan et al, 2009). The surface characteristic  
60 parameters (dynamic roughness, thermodynamic roughness, etc.) play an important role in the  
61 land surface process and are important factors in causing climate change (Jia et al., 2000). The  
62 underlying surface of the Tibetan Plateau presents different degrees of fluctuation, which  
63 introduces certain obstacles to understanding the land-atmosphere interaction of the Tibetan  
64 Plateau. The fluctuating surface may alter the arrangement of roughness elements on the surface  
65 and cause changes in surface roughness. Changes in roughness can also affect changes in the  
66 characteristics of other surface turbulent transportation, which may also result in changes in  
67 surface fluxes. Chen et al. (2015) presented a practical approach for determining the aerodynamic  
68 roughness length at fine temporal and spatial resolutions over the landscape by combining remote  
69 sensing and ground measurements. Surface roughness is an important parameter in land surface  
70 models and climate models. Its size controls the exchange, transmission intensity and interactions  
71 between the near surface airflow and the underlying surface to some extent (Liu et al., 2007;  
72 Irannejad et al, 1998; Shao et al, 2000; Zhang et al., 2003). Zhou et al. (2012) demonstrated that  
73 simulated sensible heat flux compared with measurement was significantly improved by using a  
74 time-dependent  $Z_{0m}$  parameter. Therefore, the primary objective of this study is to calculate the  
75 surface roughness and its variation characteristics to further understand the land-atmosphere  
76 interactions on the central Tibetan Plateau.

77 Through the study of surface roughness, it is beneficial to obtain the land surface  
78 characteristics in the region, provide the ground truth value for model inputs, improve land surface  
79 simulations in the Tibetan Plateau, and deepen the understanding of land-atmosphere interaction  
80 processes. Simulation of surface fluxes has been made considerable progress in recent years,  
81 especially in the improvement of parameterization schemes (Smirnova et al., 2016). Luo et al.  
82 used the land surface model CoLM to conduct a single-point numerical simulation at the BJ

83 station and successfully simulated the energy exchange process in the Nagqu area (Luo et al.,  
84 2009). Zhang et al. evaluated the surface physical process parameterization schemes of the Noah  
85 LSM and Noah-MP models in the entire East Asia region and evaluated the simulation of the  
86 surface heat flux of the Tibetan Plateau (Zhang et al., 2017). Xie et al. explored the simulation  
87 effect of the land surface model CLM4.5 in the alpine meadow area of the Qinghai-Tibet Plateau  
88 (Xie et al., 2017). Xu et al. studied the applicability of different parameterization schemes in the  
89 WRF model when simulating boundary layer characteristics in the Nagqu area (Xu, et al., 2018).  
90 Zhang, et al. Comparative analyses have been performed of the meteorological elements simulated  
91 by different land surface process schemes in the WRF model in the Yellow River source region  
92 (Zhang et al., 2019). However, the applicability of the model in the Tibetan Plateau needs further  
93 study. The terrain of the Tibetan Plateau is complex, the underlying surface is very uneven, and the  
94 area has high spatial heterogeneity. Because the condition of the underlying surface has a very  
95 significant impact on the surface flux, obtaining information on the surface vegetation status of a  
96 certain area is very helpful for analysing the spatial representation of the surface flux.

97 In this study, satellite data were obtained by MODerate-resolution Imaging  
98 Spectroradiometer (MODIS) and the normalized difference vegetation index (NDVI) in the Nagqu  
99 area was used to study the dynamic surface roughness length. Atmospheric turbulence observation  
100 data in 2008, 2010, and 2012 and observation data from automatic weather stations were collected  
101 at three observation stations. The measured values of the average wind speed and turbulent flux of  
102 a single height ultrasonic anemometer were used to determine the surface dynamic roughness  $Z_{0m}$   
103 (Chen et al., 1993). The time-scale dynamics of  $Z_{0m}$  and the results of different underlying  
104 surfaces were analysed. Through a comparison of the calculation results to the observation data,  
105 we studied whether the surface roughness values retrieved by satellites were reliable to provide  
106 accurate surface characteristic parameters. Then we used the retrieved surface roughness to  
107 replace the surface roughness in the original model for numerical simulation experiments, and  
108 evaluated the model simulation results. These researches will be helpful for the study of  
109 land-atmosphere interactions in the plateau area and improving the theoretical research of the  
110 near-surface layer on the Tibetan Plateau. In the following section, we describe the case study area,

111 the MODIS remote sensing data, the ground observations, and the land cover map used to drive  
112 the revised Massman model (Massman et al, 1997, 1999). In Section 3, we present the results and  
113 then a validation based on flux measurements at Nagqu station. Finally, we provide some  
114 concluding remarks on the variation characteristics of aerodynamic roughness lengths and  
115 numerical simulation of the surface turbulent flux in the Nagqu area of the central Tibetan Plateau.

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## 117 **2 Study area, Data and methods**

### 118 **2.1 Study area and Data**

119 The area selected in this study is a 200×200 km<sup>2</sup> area centred on the Nagqu Station of Plateau  
120 Climate and Environment of the Northwest Institute of Ecology and Environmental Resources,  
121 Chinese Academy of Sciences.

122 In this area, three meteorological observatory stations are located: North Pam (Portable  
123 Automated Meso-net) Automatic Meteorological Observatory (NPAM), Nam Co Station for  
124 Multisphere Observation and Research, Chinese Academy of Sciences (NAMC), and BJ station  
125 (Figure 1). The underlying surface around the observation site is relatively flat on a small spatial  
126 scale, and a certain undulation is observed at a large spatial scale. The data used included  
127 observations from atmospheric turbulence and automatic meteorological stations.

128 The BJ station is located at coordinates 31.37°N, 91.90°E and has an altitude of 4509 m a.s.l.  
129 The BJ observation site is located in the seasonal frozen soil area, and the vegetation is alpine  
130 grassland. The site measurement equipment includes an ultrasonic anemometer (CAST3,  
131 Campbell, Inc.), CO<sub>2</sub>/H<sub>2</sub>O infrared open path analyser (LI 7500), and an automatic  
132 meteorological observation system (Ma et al., 2006). This study uses the BJ station data from  
133 2008 and 2012. The NPAM station is located at 31°56'N, 91°43'E and has an altitude of  
134 approximately 4700 m. The ground of the experimental field is flat, and the area is wide. The  
135 ground is covered by a plateau meadow that grows 15 cm high in summer. The experimental  
136 station observation equipment includes an ultrasonic wind thermometer and humidity probe  
137 pulsator and includes data on temperature and humidity, air pressure, average wind speed, average  
138 wind direction, surface radiative temperature, soil heat flux, soil moisture and temperature, and

139 radiation (Ma et al., 2006). The NAMC station is located at 30°46.44'N, 90°59.31'E and has an  
140 altitude of 4730 m. It is located on the southeastern shore of NAMC Lake in Namuqin Township,  
141 Dangxiong County, Tibet Autonomous Region. It is backed by the Nyainqentanglha Mountain  
142 Range, and the underlying surface is an alpine meadow. This study uses NPAM station data for the  
143 whole year of 2012 and NAMC station data for the whole year of 2010.

144 **Figure 1 about here**

145 **Table 1 about here**

146 The land cover data used in this study are GLC2009 (Arino et al, 2010) data from the Envisat  
147 satellite in 2009, and the spatial resolution is 300 m. The classification standard is the land cover  
148 classification system (LCCS), and it divides the global surface into 23 different types, with the  
149 study area including 14 of these types. The actual situation in the selected area does not match the  
150 data part of GLC2009 because of the lack of an underlying surface, such as farmland, in the  
151 selected area. Therefore, according to the actual land cover types obtained by Chu (Chu, 2010),  
152 the categories irrigated farmland, dry farmland, mixed farmland vegetation, mixed multivegetation  
153 land, closed grassland and open grassland are replaced with 6 grasslands, shrub meadows,  
154 mountain meadows, alpine grasslands, alpine meadows and sparse vegetation in the mountains.  
155 Since the proportion of the underlying surface of the tree as a whole is only 0.36%, the underlying  
156 surface types evergreen coniferous forest, the mixed forest, the multiforest grassland mix and the  
157 multigrass forestland mix will no longer be studied.

158 The MODerate-resolution Imaging Spectroradiometer (MODIS) is a sensor on board the  
159 satellites TERRA and AQUA launched by the US Earth Observing System Program. The band of  
160 the MODIS sensor covers the full spectrum from visible light to thermal infrared; thus, this sensor  
161 can detect surface and atmospheric conditions, such as surface temperature, surface vegetation  
162 cover, atmospheric precipitation, cloud top temperature, etc. The finest spatial resolution is 250 m.  
163 The normalized vegetation index obtained by MODIS is the MYD13Q1 product, which provides a  
164 global resolution of 250 m per 16 days. This study selects 73 data files for 2008, 2010 and 2012 in  
165 Nagqu.

## 166 **2.2 Methodology**

167 **2.2.1 Method for calculating surface roughness by observation data**

168 Using the measured values of the average wind speed and turbulent flux of a single height  
169 ultrasonic anemometer, the calculation scheme of surface roughness proposed by Chen et al.  
170 (Chen et al., 1993) was selected and the dynamic variation in the surface roughness was obtained.

171 According to the Monin-Obukhov similarity theory (Monin et al., 1954), the wind profile  
172 formula with the stratification stability correction function (Panosky et al, 1984) is as follows:

173 
$$U(z) = \frac{u_*}{k} \left[ \ln \frac{z-d}{Z_{0m}} - \psi_m(\zeta) \right] \quad (1)$$

174 
$$\psi_m(\zeta) = 2 \ln\left(\frac{1+x}{2}\right) + \ln\left(\frac{1+x^2}{2}\right) - \tan^{-1}(x) + \frac{\pi}{2} \quad \zeta < 0 \quad (2)$$

175 
$$\psi_m(\zeta) = -5\zeta \quad \zeta > 0 \quad (3)$$

176 where  $u_* = \sqrt{-u'w'}$ ;  $Z_{0m}$  is the dynamic surface roughness length;  $z$  is the height of wind  
177 observation;  $d$  is zero plane displacement,  $d = 2/3h$ (Stanhill, 1969),  $h$  is the vegetation height.  
178  $h$  takes 0 in winter, 0.020 in spring, 0.0450 in summer, and 0.030 in autumn in this study;  $U$  is the  
179 average wind speed;  $k$  is the Karman constant, which is set to 0.40 (Högström,1996);

180  $L = -\frac{u_*^3}{(k \frac{g}{\theta})\theta'w'}$  is the Monin-Obukhov length (Monin et al., 1954);  $x = (1 - 16\zeta)^{1/4}$ ; and

181  $\zeta = (z - d) / L$  is the atmospheric stability parameter. Available from formula (1):

182

183 
$$\ln \frac{z-d}{Z_{0m}} = \frac{kU}{u_*} + \psi_m(\zeta) \quad (4)$$

184 Using equations (2)~(4),  $Z_{0m}$  can be determined by fitting  $\zeta$  and observing a single height

185 
$$\frac{kU}{u_*}.$$

186 **2.2.2 Method for calculating surface roughness by satellite data**

187 For a fully covered uniform canopy, Brutsaert suggested that  $Z_{0m} = 0.13 hv$  (Brutsaert, 1982).  
188 For a canopy with proportional coverage (partial coverage), Raupach (Raupach, 1994) indicated  
189 that  $Z_{0m}$  varies with the leaf area index (LAI). However, Pierce et al. (Pierce et al. 1992) pointed

190 out that for all kinds of biological groups, the leaf area index can be obtained from the NDVI and  
 191 the fractional cover of vegetation can be related to the NDVI. Asrar et al. (Asrar et al., 1992)  
 192 pointed out that a mutual relationship occurred among the LAI, NDVI and ground cover through  
 193 the study of physical models. Moran's study (Moran, et al., 1994) provides another method that  
 194 uses the function of the relationship between NDVI and  $Z_{0m}$  in the growing season of alfalfa.

195 Considering that the main underlying surface of the study area is grassland, this study selects  
 196 the Massman model (Massman et al, 1997, 1999) to calculate the  $Z_{0m}$  in the Nagqu area of the  
 197 central Tibetan Plateau. The Massman model is calculated as follows:

$$198 \quad \gamma = C_1 - C_2 \cdot \exp(-C_3 \cdot C_d \cdot LAI) \quad (5)$$

$$199 \quad n_{ec} = \frac{C_d \cdot LAI}{2 \cdot \gamma^2} \quad (6)$$

$$200 \quad d_h = 1 - \frac{[1 - \exp(-2 \cdot n_{ec})]}{2 \cdot n_{ec}} \quad (7)$$

$$201 \quad \frac{Z_{0m}}{h} = [1 - d_h] \cdot \exp(-\frac{k}{\gamma}) \quad (8)$$

202 where  $C_1=0.32$ ,  $C_2=0.26$ , and  $C_3=15.1$  are constants in the model and related to the surface  
 203 drag coefficient; LAI is the leaf area index;  $C_d=0.2$  is the drag coefficient of the foliage elements;  
 204  $n_{ec}$  is the wind speed profile coefficient of fluctuation in the vegetation canopy; and  $h$  is the  
 205 vegetation height. In many earlier studies, the high-altitude environment of the Tibetan Plateau  
 206 was correlated with a low temperature in the study area and shown to affect the height and  
 207 sparseness of the vegetation. Based on previous research, this study considers that the vegetation  
 208 height in northern Tibet is related to the normalized difference vegetation index (NDVI) and  
 209 altitude (Chen et al, 2013) and introduces the altitude correction factor on the original basis. The  
 210 following is the calculation formula:

$$211 \quad H = h_{\min} + \left( \frac{h_{\max} - h_{\min}}{NDVI_{\max} - NDVI_{\min}} \right) (NDVI - NDVI_{\min}) \quad (9)$$

$$212 \quad h = acf \cdot H \quad (10)$$

213 where  $h_{\min}$  and  $h_{\max}$  are the minimum and maximum vegetation height observed at the  
 214 observation station, respectively,  $NDVI_{\max}$  and  $NDVI_{\min}$  are the maximum and minimum NDVI of

215 the observation station, respectively; H is based on the assumption that the vegetation height is  
 216 directly proportional to the NDVI; x is the altitude, which is obtained from ASTER's DEM  
 217 products; and acf is the altitude correction factor (Chen et al., 2013), which is used to characterize  
 218 the effect of elevation on the height of vegetation in northern Tibet. The acf parameter has the  
 219 following form:

$$220 \quad acf = \begin{cases} 0.149, x > 4800 \\ 11.809 - 0.0024 \cdot x, 4300 < x < 4800 \\ 1.49, x < 4300 \end{cases} \quad (11)$$

221 The LAI used in this study is calculated by the NDVI of MODIS (Su, 1996). The calculation  
 222 formula is as follows:

$$223 \quad LAI = \left( \frac{NDVI * (1 + NDVI)}{1 - NDVI} \right)^{0.5} \quad (12)$$

## 224 **3 Results analysis**

### 225 **3.1 Variation characteristics of surface roughness based on measured data**

226 Figure 2 shows the temporal variation characteristics of the surface roughness of sites in  
 227 different years in the Nagqu area. The Z0m value has continued to increase since February to  
 228 reach a maximum in July and August. The results for the BJ and NPAM stations in 2012 show that  
 229 July has slightly larger ones than August, and the results for the NAMC station in 2010 and BJ  
 230 station in 2008 show that August has larger than July. After August, the Z0m value began to  
 231 decrease, and in December, the value was approximately the same as the value in January. In  
 232 general, the change in the Z0m degree of each station increases from spring to summer and  
 233 decreases month by month from summer to winter.

234 **Figure 2 about here**

235

### 236 **3.2 Spatiotemporal variation characteristics of surface roughness length** 237 **retrieved by MODIS data**

238 Figure 3 shows a plot of the surface roughness distribution of 200×200 km<sup>2</sup> around the BJ  
 239 site in 2008. In February, the Z0m decreased from January, which may have been due to snowfall,

240 temperature, etc., resulting in a small  $Z0m$  that continued to decrease. Due to the rising  
241 temperature and snow melting,  $Z0m$  showed a slowly increasing trend from February to May and a  
242 rapid increase from June to August. From June onwards, a large number of surface textures were  
243 observed, indicating the complexity of the underlying surface. Whether the bulk surface or  
244 vegetation had a more important impact on  $Z0m$  is not clear. From May to August, obvious  
245 changes in humidity, temperature and pressure caused by the plateau summer monsoon led to an  
246 increase in the height and coverage of surface vegetation, and  $Z0m$  peaked in August. In particular,  
247 the change from May to June was very significant, which may have been related to the beginning  
248 of the summer monsoon in June, the corresponding increase in precipitation, that accelerated the  
249 growth of vegetation and the rapid rise of  $Z0m$ . In June, July, and August, continuous precipitation  
250 and rising temperatures led to vigorous vegetation growth, although changes were not observed  
251 after the vegetation reached maturity. The corresponding maximum value of  $Z0m$  in the figure  
252 remains unchanged, although due to high values in these three months, the area with high  $Z0m$   
253 values gradually expanded and reached the maximum range in August. From September to  
254 December, as the plateau summer monsoon retreated, the temperature and humidity gradually  
255 decreased. Compared with the plateau summer monsoon, the conditions were no longer suitable  
256 for vegetation growth; thus, the contribution of vegetation to  $Z0m$  was weakened, the surface  
257 vegetation height gradually decreased, and  $Z0m$  continued to decrease. Moreover, the area with  
258 high  $Z0m$  value also gradually decreased.

259 **Figure 3 about here**

260 **Figure 4 about here**

261 Figures 4 and 5 show the retrieved monthly surface roughness values in the BJ area in 2010  
262 and 2012, respectively. Moreover,  $Z0m$  also showed a decrease from January to February in the  
263 Nagqu area in 2010 and 2012. Starting in February,  $Z0m$  increased. Starting in June,  $Z0m$   
264 increased rapidly and reached the peak of the whole year in August. Subsequently,  $Z0m$  began to  
265 decrease.

266 **Figure 5 about here**

267 Figures 3, 4, and 5 show that  $Z0m$  changes with the spatial and temporal scale.  $Z0m$  shows  
268 different trends on different underlying surfaces. In November 2008, the  $Z0m$  in the Nagqu area

269 was small overall and generally as low as 1 cm. Historical data show that there is a large-scale  
270 snowfall process in the Nagqu area at this time. Snowfall over the meadow causes the underlying  
271 surface of the meadow to be homogeneous and flat, and after the snowfall falls, it is easy to form a  
272 block with a scattered and discontinuous underlying surface. We subsequently determined that the  
273 surface roughness of the area with ice and snow as the underlying surface is not more than 1 cm,  
274 which is consistent with historical weather processes. Therefore, we think that snowfall caused the  
275 Z0m in November to be very small. From November to December, Z0m showed a growing trend,  
276 which may be due to temperature, unfrozen soil or other reasons that resulted in the melting of  
277 snow, and then the surface roughness showed a growing trend (Zhou, 2017).

### 278 **3.3 Evaluation of satellite data retrieved results**

#### 279 **Figure 6 about here**

280 The underlying surfaces of the three sites selected in this study are all alpine meadows. In  
281 Figure 6, the NPAM site data calculation results are larger than the satellite data retrieved results  
282 throughout the year. Only the values in September and October are very close, and the trends are  
283 similar. The maximum value of the site data calculation is 5 cm and the satellite data retrieved  
284 result is 4.5 cm. The maximum difference is in May at 1.7 cm. The NAMC station data calculation  
285 results are very close to the satellite data retrieved results from April to November, although the  
286 satellite data retrieved results are significantly larger than the site data calculation results in  
287 January, March and December. The largest difference occurs in January, and the difference value  
288 reaches 1.5 cm. In 2008, the calculation results of the BJ station data were larger than the satellite  
289 data retrieved results throughout the year. The calculation results of the site data were very close to  
290 the satellite data retrieved results from January to April and July to November, although a large  
291 difference was observed in May, June and December, with the largest difference occurring in May  
292 at 1.8 cm. In 2012, the BJ site data calculation results were consistent with the satellite data  
293 retrieved results for the whole year, although the site data calculation results were larger than the  
294 satellite data retrieved results from March to June, and the station data calculation results were  
295 smaller than the satellite data retrieved at other times. As a result, the largest difference occurred  
296 in June at 1.1 cm. Figure 6 shows that for the overall situation, the seasonal variation trend of the  
297 site data calculation results is consistent with the satellite data retrieved results in January,

298 February, March, November and December. However, the site data calculation results from April  
299 to October are greater than the satellite data retrieved results. From Figure 6, the Z0m calculated  
300 from the site observation data is larger than that of the satellite data, which may be because of the  
301 average smoothing effect. From February to July, the single point Z0m value was significantly  
302 increased according to the independent method of determining the surface roughness, while the  
303 results obtained by using the satellite data did not increase significantly. The satellite results show  
304 that the values from January to May, November, and December are basically stable below 2 cm  
305 and only change from June to October, which is related to non-uniformity of underlying surface in  
306 Massman model. In general, the results calculated by the station are generally larger than those  
307 obtained by satellite retrieval.

308 **Figure 7 about here**

309 The Z0m scatter plot is shown in Figure 7. A significant positive correlation is observed  
310 between the satellite retrieval and the surface roughness calculated from the site data. The  
311 correlation coefficients between the observation result and the retrieved result are large except for  
312 at the NAMC station in 2010 in Figure 7(g). The average result of the underlying surface were  
313 consistent with the underlying surface results in different regions, further indicating that the  
314 satellite retrieved results are consistent with the site calculation results. However, the results of the  
315 NAMC site are different from those of the other sites. The correlation coefficient with the average  
316 results of the underlying surface is 0.83, and the correlation coefficient with the satellite retrieved  
317 results is 0.62. Because the NAMC Observation Station is closer to the lake (1 km), it is more  
318 affected by local microclimates, such as lake and land winds. The results in Figure 7 all passed the  
319 F test of  $P=0.05$ , which indicates that there is no significant difference between the site data  
320 calculation results and the satellite data retrieved results.

#### 321 **4 Variation characteristics of the surface roughness of different** 322 **underlying surfaces**

323 According to the vegetation dataset GLC2009 combined with actual local conditions, the  
324 200×200 km<sup>2</sup> area of Nagqu was divided into 10 different underlying surfaces (Arino et al., 2010):  
325 mountain grassland, shrub meadow, mountain meadow, alpine grasslands, alpine meadows, sparse  
326 vegetation lap, urban land, bare land, water bodies, ice sheets and snow cover.

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**Figure 8 about here**

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The monthly variation in Z0m in different underlying surfaces in the Nagqu area is shown in Figure 8, which indicates that 14 different underlying surfaces in the Nagqu area can be divided into four categories. The first category is urban land, which accounts for 0.07% of the whole study area. The Z0m of this type of underlying surface is greater than that of other types throughout the year, and the change in Z0m is very large, which is probably due to the irregular changes in the underground areas of the selected areas and the irregularities caused by human activities. The second category is lush grassland, including shrub meadows, mountain grasslands, alpine grasslands and mountain meadows, which account for 62.49% of the area. The variation curves of Z0m of the four underlying surfaces are similar, and the Z0m of the urban land is only smaller than that of other underlying surfaces. The third category is sparse grassland, including alpine sparse vegetation, alpine meadows and bare land, and it accounts for 33.74% of the area. The Z0m values of the three underlying surfaces are similar at a medium height. The Z0m of the bare soil is at the lowest point of these underlying surface Z0m, and the Z0m of the alpine meadow is relatively stable and less affected by the outside vegetation. The fourth category is ice and snow, including ice surfaces and snow cover, and water bodies are two kinds of underlying surfaces, accounting for 3.7% of the area. The Z0m of these three underlying surfaces presents another phenomenon. The variation range of the whole year is relatively small, and the Z0m of these underlying surfaces is also small. It is more than 1 cm in mid-June and less than 1 cm at other times. Figure 8(d) shows the multiyear average seasonal variation in Z0m. The figure clearly shows that the underlying surface can be divided into four categories due to the difference in surface roughness. The change from January to May Z0m is very small, peaking from May to August and then down to the previous January to May level in November and December. The snowfall in November 2008 may have led to the low level of November in Figure 8(d). Table 2 shows that the winter albedo at the BJ station and NAMC station is higher than that in other seasons, and the summer is the smallest. The surface albedo at both stations in November 2008 was significantly higher than that in November of the other two years. In fact, the surface

355 roughness in November should be higher than that in December in former years.

356 **Table 2 about here**

357 Figure 8 also shows that in the Nagqu area, except for the area of the fourth type of  
358 underlying surface, the Z0m change in other areas decreases from January to February and begins  
359 to increase after February, reaching a peak in August and then starting to decrease. However,  
360 Figure 6 clearly shows that there are several stages in which Z0m changes significantly, in early  
361 April, mid-May, early July, late August, and late September. The change at the end of August was  
362 the most obvious. At each of the underlying surfaces Z0m changes by more than 2 cm on average.  
363 The extent of the change in late September was also large, with an average change of more than  
364 1.5 cm. Moreover, the change in early July was special because the change resulted in a significant  
365 increase in the Z0m of water bodies and ice.

366 Certain factors, such as cloud cover in May, August, and November of 2008; August and  
367 September of 2010; and April and July of 2012, caused significant changes in the overall Z0m,  
368 which resulted in two very significant changes in the three-year average for August and November.  
369 In November, the change was caused by snowfall based on other meteorological data. In August  
370 2008 and 2010, the changes were caused by precipitation based on an analysis of the sudden  
371 increase in the Z0m of the water body and ice and snow surface. Combined with several changes  
372 in Z0m, precipitation, snowfall, and snow accumulation will make the underlying surface more  
373 uniform and flatter, which will lead to relative reductions in Z0m.

## 374 **5 Simulation and evaluation the impact of surface roughness on** 375 **turbulent fluxes using Noah-MP model**

### 376 **5.1 Model setup**

377 According to the surface roughness variation characteristics retrieved from  
378 satellite data, the underlying surface of Nagqu area can be divided into four types.  
379 They are urban, lush grass, sparse grass, and ice and snow. Among them, urban  
380 accounts for 0.07%, its Z0m up to 9 cm, lush grassland accounts for 62.49% of the  
381 area, its Z0m can reach up to 6cm, sparse grassland up to 33.74%, its Z0m can reach  
382 up to about 4cm, ice and snow accounts for 3.7% of the area, and Z0m does not

383 exceed 1cm. These results are substituted into Noah- MP to replace the original  
384 parameter design numerical simulation experiment. The model after replacing the  
385 surface roughness is set as a sensitivity experiment, and the original model is set as a  
386 control experiment. The selection of other parameterization schemes suitable for  
387 numerical simulation in Nagqu area is shown in Table 3. The simulation time is from  
388 July 1 to 31, 2008, and the spin-up time is 9 days. The forcing field dataset is a  
389 Chinese meteorological forcing dataset (He et al., 2020) jointly developed by the  
390 Tibetan Plateau Data Assimilation and Modelling Centre and the Institute of Tibetan  
391 Plateau Research of the Chinese Academy of Sciences (ITPCAS).

## 392 **5.2 Evaluation of the simulated single point heat flux**

393 Figure 9(a) shows that the sensible heat flux simulated by the sensitivity  
394 experiment is closer to the measured value than the control experiment at BJ site. In  
395 the daytime, the results of sensitivity experiment were in general smaller than those of  
396 the control experiment. At night, the results of the two models were close to each  
397 other before July 21, and the sensitivity experiment results were significantly  
398 improved after July 21. Figure 9(b) shows that the sensitivity experiment results are  
399 basically consistent with the control experiment results at BJ site, which are  
400 maintained at about  $0 \text{ W/m}^2$ , and there is no improvement at night. Before July 19, the  
401 latent heat fluxes for the two experiments remained at about  $200 \text{ W/m}^2$ , which was  
402 less than the observed latent heat flux, and the simulated maximum value of the  
403 sensitivity experiment was greater than that of the control experiment. After July 19,  
404 the two experiments simulation results began to increase and reached about  $400 \text{ W/m}^2$   
405 consistent with the observed latent heat flux, indicating that the simulation effect was  
406 improved to some extent. Similarly, it can be found from the maximum value that the  
407 sensitivity experiment results were slightly greater than the control experiment results.  
408 The simulated values of sensible heat fluxes at NAMC and NPAM sites (Fig.9 c, e)  
409 are significantly larger than the observation results, but the sensitivity experiment  
410 results are better than the control experiments, while latent heat flux in the sensitivity

411 experiment are greater than that in the control experiment and are close to the  
412 observation results at NPAM site (Fig. 9 f). It also shows that improving the accuracy  
413 of surface roughness can improve the simulation effect of latent heat flux.

414 **Figure 9 about here**

### 415 **5.3 Evaluation of regional heat flux simulation results**

416 In order to compare the changes of sensible heat flux and latent heat flux before  
417 and after improvement, the sensitivity simulations are used to subtract the control  
418 model simulation results. By subtracting the sensible heat flux of control from  
419 sensitivity experiment, the results are shown in the figure 10. It can also be seen from  
420 the figure 10 (a) that the difference of sensible heat flux is basically negative in the  
421 daytime, indicating that the sensible heat flux after improvement is smaller than that  
422 before improvement. The above results show that the modified surface roughness can  
423 improve the simulation effect of sensible heat flux in daytime. The results in the  
424 figure 10 (c) are basically positive in the daytime, indicating that the latent heat flux  
425 after improvement is larger than that before improvement, about  $15\text{W}/\text{m}^2$ . The above  
426 results show that the model simulation results are generally less than the actual  
427 observation results, so it can be considered that the improvement of surface roughness  
428 in the daytime can improve the simulation of heat fluxes. Figure 10 also shows that  
429 the improvement of night time latent heat flux is not significant, which is basically  
430 maintained at  $0\text{W}/\text{m}^2$ .

431 **Figure 10 about here**

## 432 **6 Conclusions and discussion**

433 Through the calculation and analysis of the surface roughness of the Nagqu area in the  
434 central Tibetan Plateau and comparing the retrieved satellite data with the calculation results of the  
435 observational data, the attained main conclusions are as follows.

436 (1) The retrieved results of the satellite data are basically consistent with the calculated  
437 results of the measured data. Both results indicate that the surface roughness continued to increase  
438 from February to August, began to decrease after reaching a peak in August and reached the

439 lowest value in February of the following year. A strong connection is observed between the  
440 monthly variation in surface roughness and the changes in meteorological elements brought by the  
441 plateau summer monsoon. Among them, the satellite surface retrieval results in a slow increase in  
442 surface roughness from February to May.

443 (2) Through the characteristics of surface roughness variation retrieved by satellite data, the  
444 underlying surface can be divided into four categories according to the surface roughness (from  
445 large to small): urban, lush grassland, sparse grassland and ice and snow. Among them, lush  
446 grassland accounts for 62.49%, and the  $Z_{0m}$  can reach 6 cm; sparse grassland accounts for 33.74%,  
447 and the  $Z_{0m}$  can reach up to 4 cm; and ice and snow account for 3.7%, and the  $Z_{0m}$  does not exceed  
448 1 cm.

449 (3) Comparing satellite retrieved results and measured data shows that the results are  
450 positively correlated and the satellite retrieved results are better fit with the measured results. Due  
451 to the average sliding effect of the retrieved data, the satellite retrieved data are smaller than the  
452 measured results. This method can be used to calculate the surface roughness results for a region  
453 and provide a true value for the model for simulation.

454 (4) The accuracy of ground-air flux simulation can be improved after adjusting the surface  
455 roughness in Nagqu area. After replacing the model surface roughness, the sensible heat flux has  
456 been improved by  $20 \text{ W/m}^2$  during the daytime. The improvement for simulation of sensible heat  
457 flux is poor at night, about  $0.15 \text{ W/m}^2$ . The improvement of latent heat flux is not obvious, and  
458 there is an improvement within  $15 \text{ W/m}^2$  during the daytime.

459 This study uses remote sensing images and an aerodynamic roughness remote sensing  
460 retrieved model to estimate the spatial scale of aerodynamic roughness conditions in northern  
461 Tibet, and this method will provide parameter and parameterization scheme improvements for  
462 model simulations to study the spatial distribution of the surface flux in the Tibetan Plateau. Air  
463 thermodynamics surface roughness ( $Z_{0h}$ ) is affected by shortwave and longwave radiation (the  
464 latter for deriving surface temperature), air temperature, wind speed, precipitation or snowfall. The  
465 relationship between air thermodynamics surface roughness and these other variables and how to  
466 parameterize them in Massman model will be studied in the future.

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600 English abstract)

601

602 Figure captions

603 Fig. 1 Location of sites and the land cover on the northern Tibetan Plateau. The black solid circle

604 '●' indicates the location of the sites

605 Fig. 2 Surface roughness length of different sites on the northern Tibetan Plateau

606 Fig. 3 Surface roughness length on the northern Tibetan Plateau in 2008

607 Fig. 4 Surface roughness length on the northern Tibetan Plateau in 2010

608 Fig. 5 Surface roughness length on the northern Tibetan Plateau in 2012

609 Fig. 6 Comparison of the surface roughness length by site observations and satellite remote sense

610 retrieved data

611 Fig. 7 Scatter plots of the retrieved and calculated surface roughness lengths at four sites

612 Fig. 8 Curve of the surface roughness length for different underlying surfaces

613 Fig.9 Comparison of simulated and observed sensible heat flux (a, c, e) and latent heat flux (b, d, f)

614 at BJ, NAMC, NPAM sites respectively.

615 Fig. 10 The difference of the control and sensitivity experiments simulated regional sensible heat

616 flux (a) 12:00, (b) 00:00 and latent heat flux (c) 12:00, (d) 00:00

617

619 Table 1. Legend of the land cover map on the northern Tibetan Plateau

Value	Colour	Land Cover Types	Percent (%)	620
11		Mountain grassland	5.79	621
14		Shrub meadow	3.25	622
20		Mountain meadow	8.26	623
30		Alpine grassland	45.16	624
70		Needle-leaved evergreen forest	0.23	625
100		Mixed forest	0.03	
110		Mixed forestland and grassland	0.06	

Value	Colour	Land Cover Types	Percent (%)
120		Mixed grassland and	0.04
140		Alpine meadow	28.28
150		Alpine sparse vegetation	0.29
190		Urban areas	0.07
200		Bare areas	4.90
210		Water bodies	2.57
220		Permanent snow and ice	1.07

626 Table 2 the observed albedo of the sites (BJ and NAMC) on the northern Tibetan  
 627 Plateau

Site	year	Jan.	Feb.	Mar.	Apr.	May.	Jun.	Jul.	Aug.	Sep.	Oct.	Nov.	Dec.
BJ	2008	0.13	0.17	0.14	0.13	0.12	0.10	0.09	0.09	0.09	0.16	<b>0.32</b>	0.16
	2009	0.30	0.26	0.30	0.25	0.26	0.21	0.19	0.19	0.21	0.26	0.24	0.26
	2010	0.26	0.30	0.31	0.30	0.34	0.22	0.19	0.18	0.18	0.35	0.26	0.27
NAMC	2008	0.28	0.28	0.31	0.28	0.25	0.21	0.17	0.18	0.18	0.30	<b>0.89</b>	0.28
	2009	0.35	0.32	0.28	0.24	0.26	0.22	0.19	0.17	0.22	0.24	0.31	0.27
	2010	0.45	0.23	0.25	0.24	0.29	0.22	0.20	0.17	0.18	0.40	0.35	0.24

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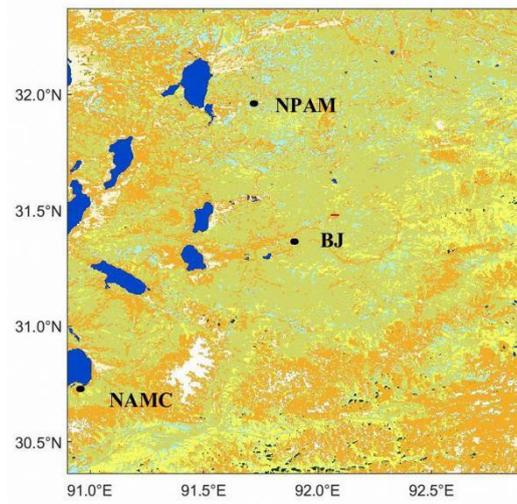
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Table 3 The selected other schemes in Noah-MP

Options for different schemes	Name of the option
dynamic vegetation	Use table LAI; use FVEG=SHDFAC from input
canopy stomatal resistance	Ball-Berry's method
soil moisture factor for stomatal resistance	Noah's method
runoff and groundwater	TOPMODEL with groundwater
surface layer drag coeff	Monin-Obukhov's method
supercooled liquid water	No iteration
frozen soil permeability	Nonlinear effects, less permeable
radiation transfer	Two-stream applied to grid-cell
ground snow surface albedo	Classic method
partitioning precipitation into rainfall & snowfall	Jordan's method
lower boundary condition of soil temperature	TBOT at ZBOT (8m) read from a file
snow/soil temperature time scheme	full implicit (original Noah); temperature top boundary condition

636

637

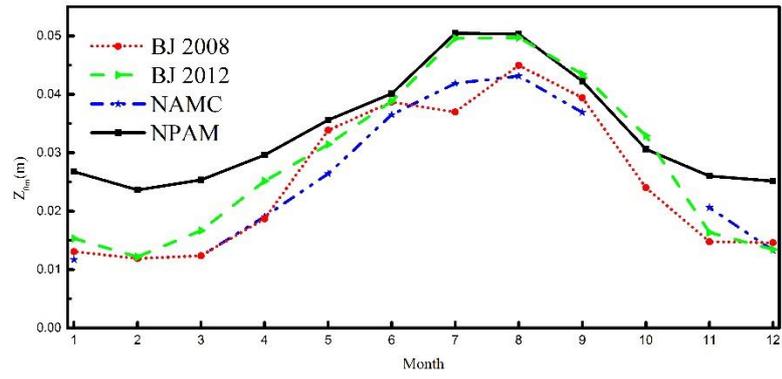


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639 Fig. 1 Location of the sites and the land cover on the northern Tibetan Plateau. The black solid

640 circle '●' indicates the location of the sites.

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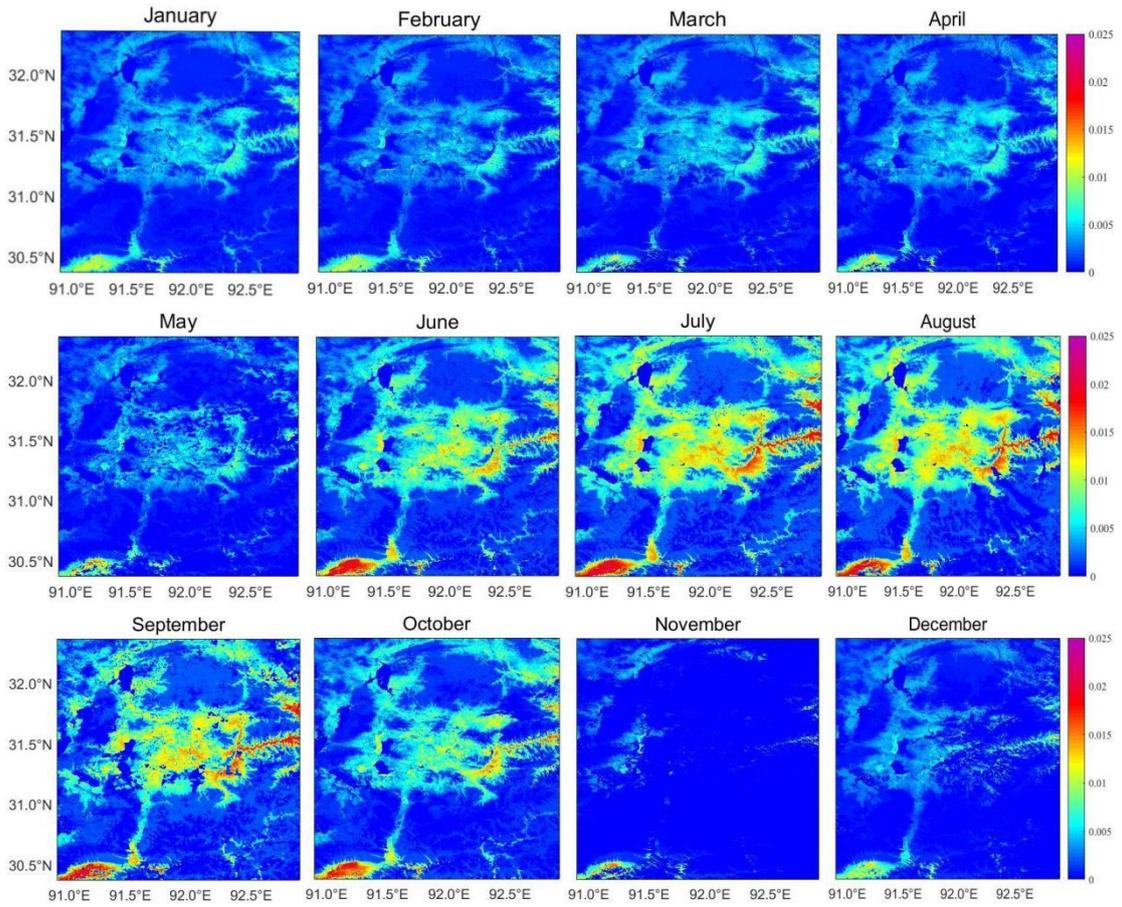
642

643 Fig. 2 Surface roughness length of different sites on the northern Tibetan Plateau. NPAM, NAMC,

644 BJ2008, BJ2012 refers to the annual variation of the roughness lengths in 2012 at NPAM site, in

645 2010 at NAMC site, in 2008 and in 2012 at BJ site respectively.

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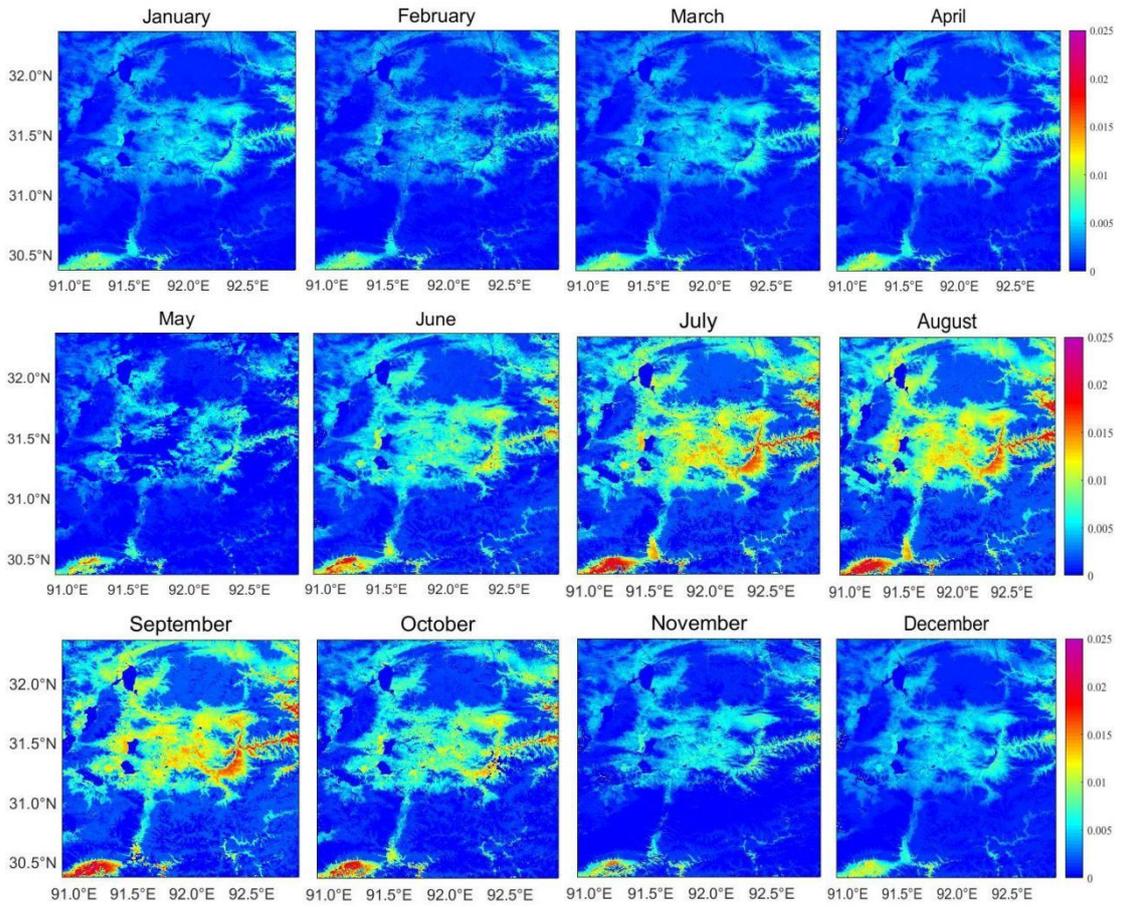
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650 Fig. 3 Surface roughness length on the northern Tibetan Plateau in 2008

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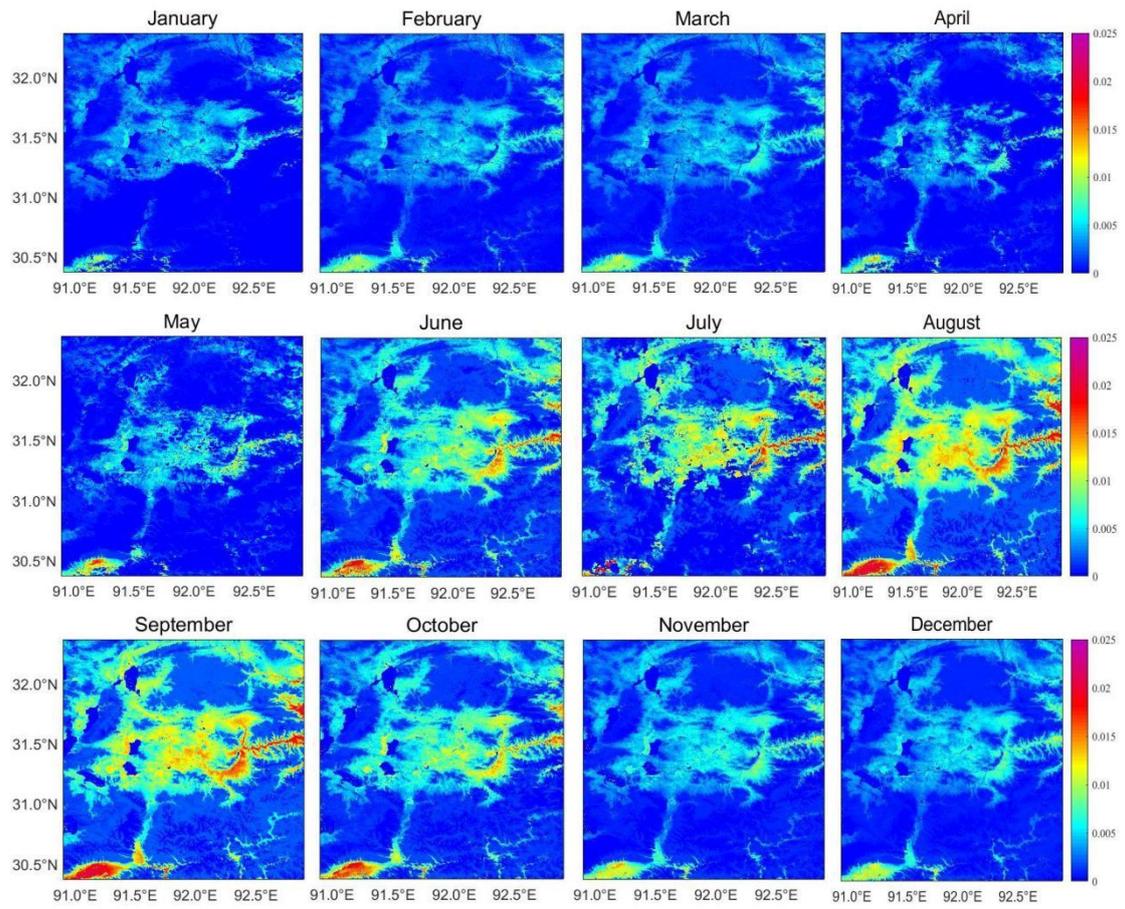
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Fig. 4 Surface roughness length on the northern Tibetan Plateau in 2010

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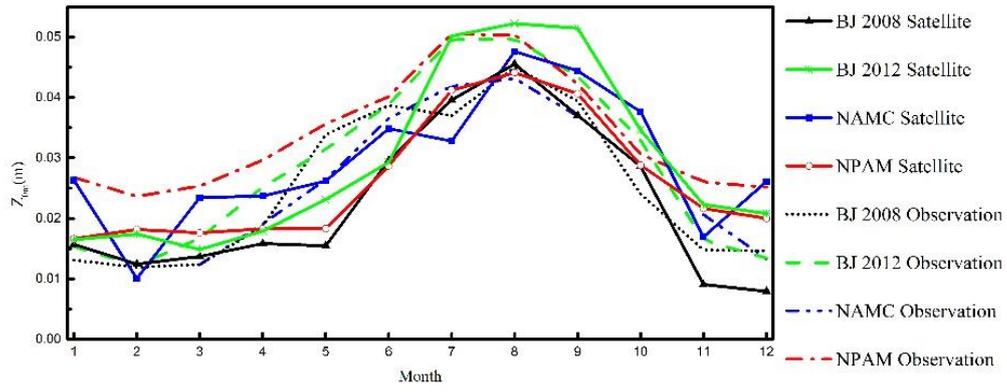
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Fig. 5 Surface roughness length on the northern Tibetan Plateau in 2012

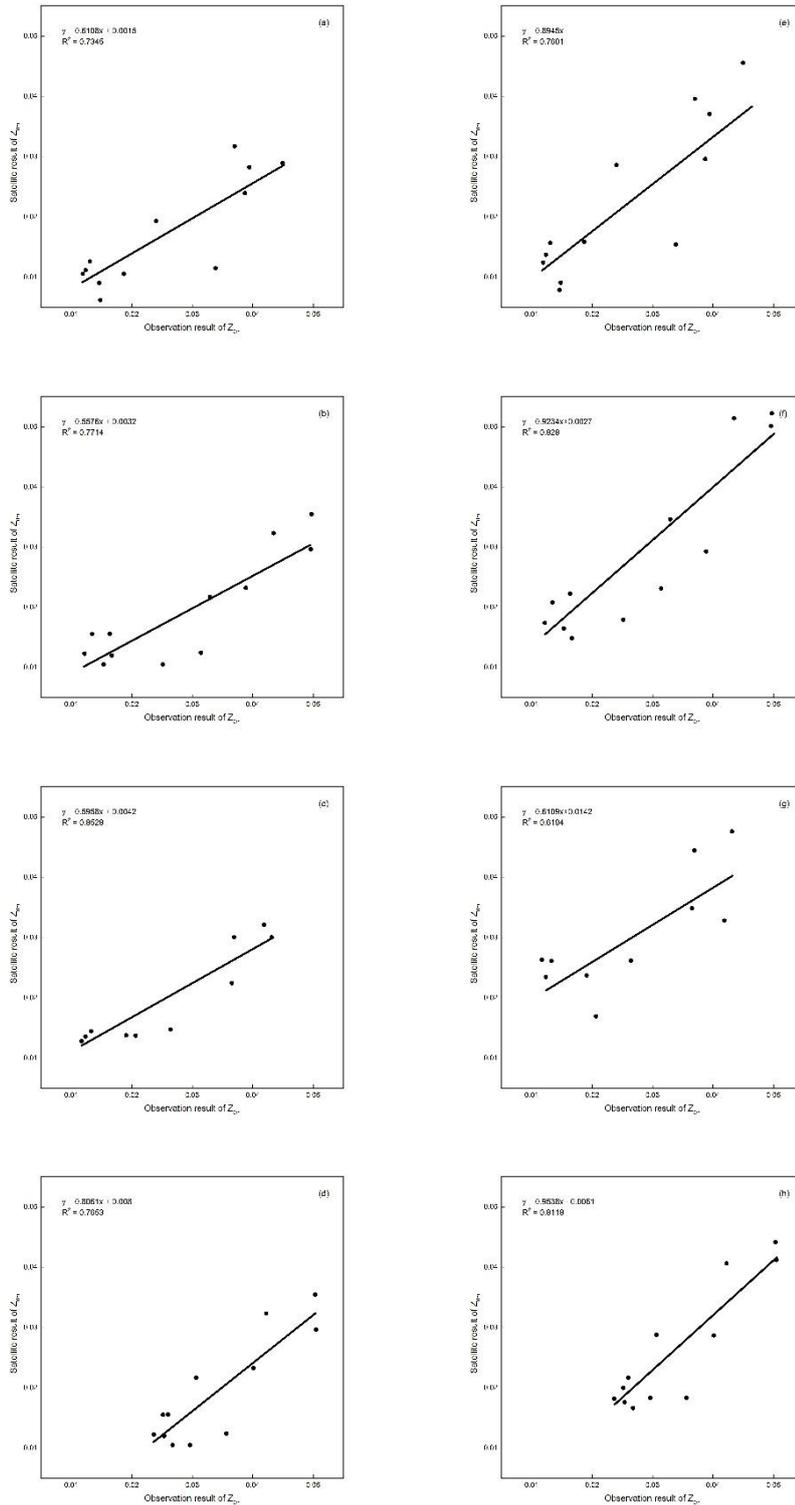


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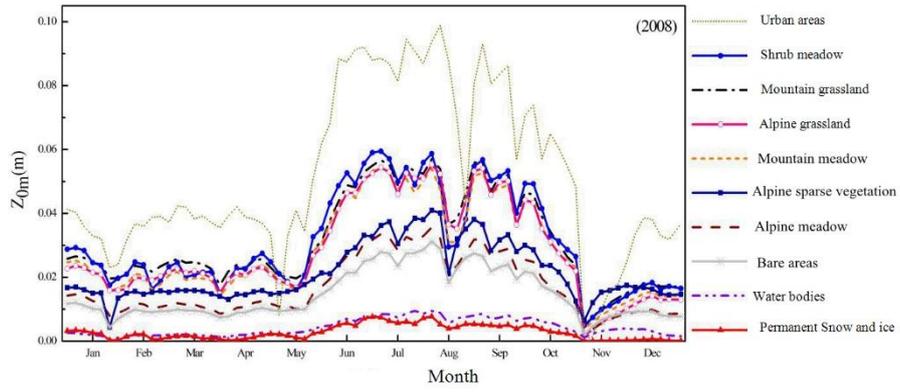
665 Fig. 6 Comparison of the surface roughness length by site observations and satellite remote sense

666 retrieved data

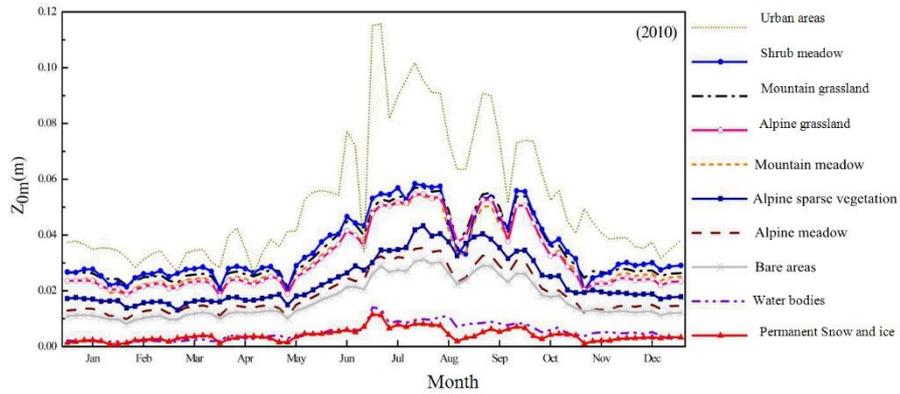
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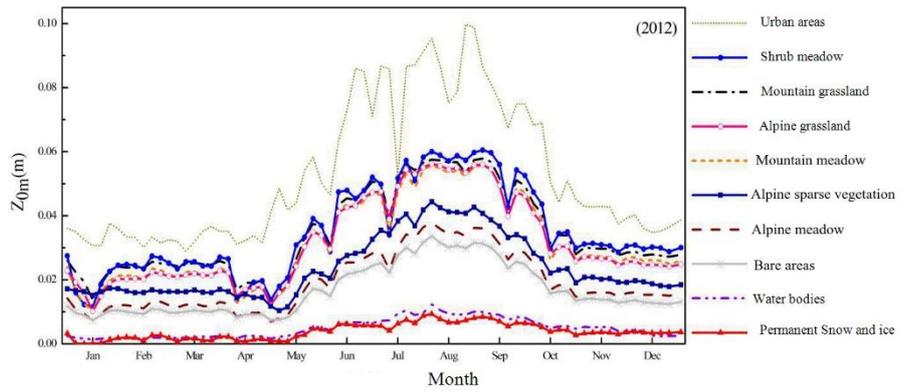
668 Fig. 7 Scatter plots of the retrieved and calculated surface roughness lengths at four sites  
 669 (a-d: scatter plot of the observation results and the average result of the underlying surface; e-h:  
 670 scatter plot of the observation and retrieved results; a, e: BJ station in 2008; b, f: BJ station in  
 671 2012; c, g: NAMC station in 2010; and d, h: NPAM station in 2012)



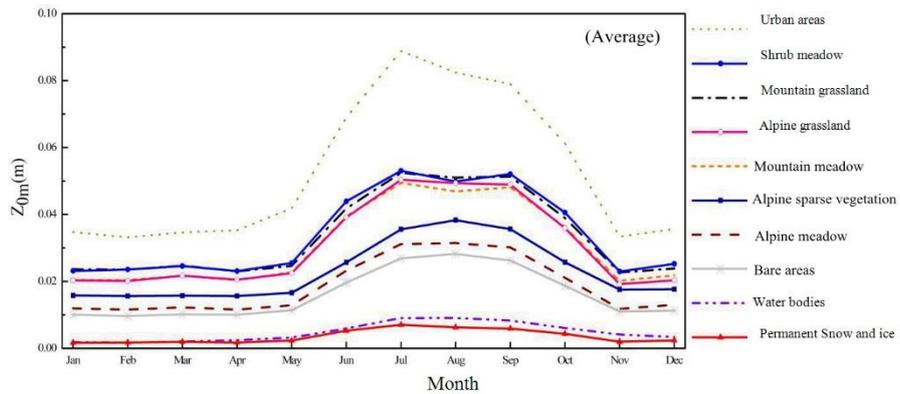
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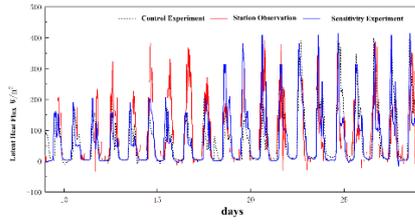


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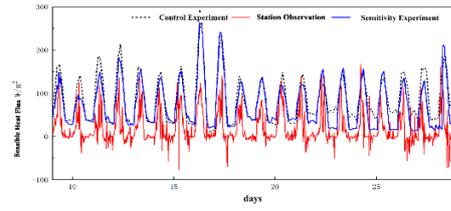
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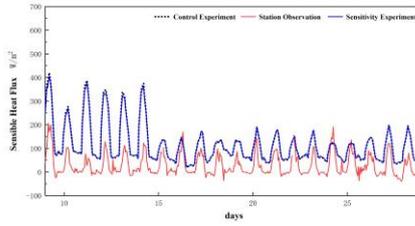
Fig. 8 Curve of the surface roughness length for different underlying surfaces



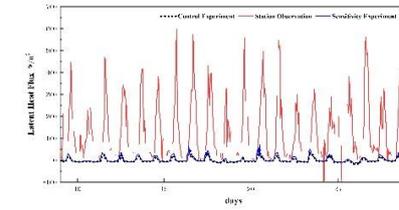
(a)



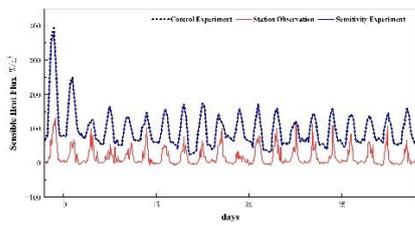
(b)



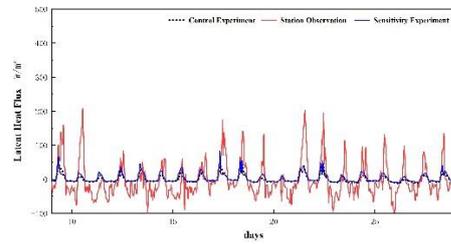
(c)



(d)



(e)

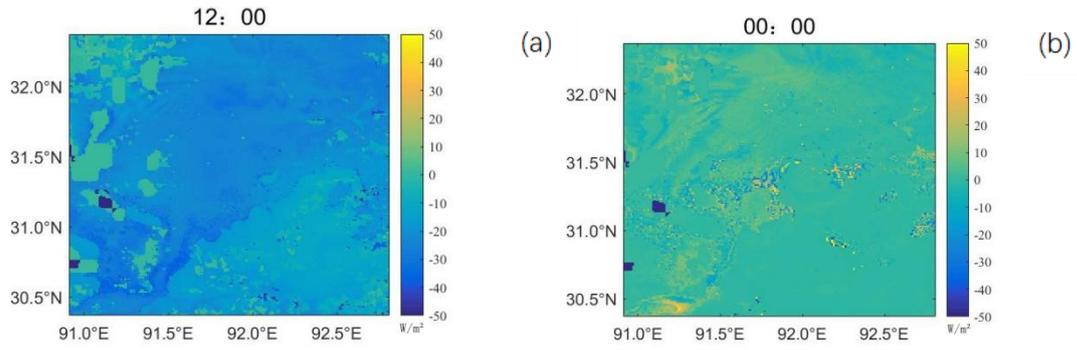


(f)

678

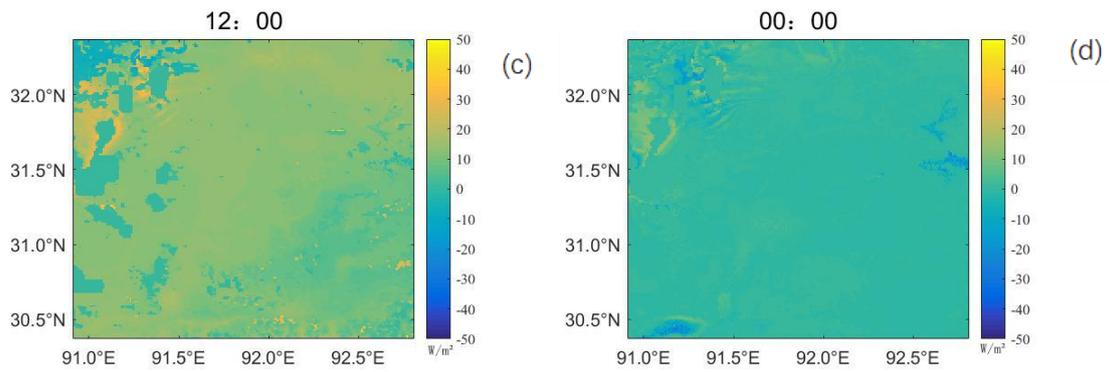
679 Figure 9 Comparison of simulated and observed sensible heat flux (a, c, e) and  
 680 flux (b, d, f) at BJ, NAMC, NPAM sites respectively.

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685 Figure 10 The difference of the control and sensitivity experiments simulated  
 686 regional sensible heat flux (a) 12:00, (b) 00:00 and latent heat flux (c) 12:00, (d)  
 687 00:00

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