

# Variations in surface roughness of inhomogeneous underlying surfaces in the Nagqu area of the Tibetan Plateau

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Abstract: The temporal and spatial variation characteristics of the surface roughness in the Nagqu area of the northern Tibetan Plateau were analysed in 2008, 2010 and 2012 using MODIS satellite data and station atmospheric turbulence observation data, and the Massman retrieved model and measured average wind speed and turbulent flux of a single height ultrasonic anemometer were used to determine the aerodynamic surface roughness. The results showed that the surface roughness length has obvious seasonal variation characteristics. From February to August, Z0m increased constantly with snow ablation and vegetation growth, and the maximum value reached 4-5 cm at the BJ site. From September to February, Z0m gradually decreased with the post-monsoon phase over the plateau, and the values decreased to approximately 1-2 cm. Snowfall in abnormal years was the main reason for the obviously lower Z0m compared with that in normal conditions. The underlying surface can be divided into four categories according to the different

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26 values of Z0m: snow and ice, sparse grassland, lush grassland and town. Among them, lush  
27 grassland and sparse grassland accounted for 62.49% and 33.74% respectively, and their Z0m  
28 annual changes are between 2-6 cm and 1-4 cm. The two methods were positively correlated with  
29 each other, and the retrieved data values were lower than the measured results due to  
30 non-uniformity of underlying surface. These results are substituted into Noah-MP replaces the  
31 original parameter design numerical simulation experiment. After replacing the model surface  
32 roughness, the sensible heat flux and laten heat flux has a better daily improvement effect.

33 **Key words:** Northern Tibetan Plateau; Surface roughness; NDVI; MODIS

34

## 35 **1 Introduction**

36 Known as the "third pole" of Earth (Jane, 2008), the Tibetan Plateau has an average altitude  
37 of over 4000 m and accounts for a quarter of China's territory. It is located in southwestern China  
38 adjacent to the subtropical tropics in the south, and it reaches the mid-latitudes in the north,  
39 making it the highest plateau in the world. Due to its special geographical location and  
40 geomorphic characteristics, it plays an important role in the global climate system as well as the  
41 formation, outbreak, duration and intensity of the Asian monsoon (Yang et al., 1998; Zhang et al.,  
42 1998; Wu et al., 1999, 2004, 2005; Ye et al, 1998; Wu et al, 1998; Tao et al, 1998). Many studies  
43 (Wu et al., 2013; Wang, 1999; Ma et al, 2002) have shown that the land-atmosphere interaction on  
44 the Tibetan Plateau plays an important role in the regional and global climate. Over the past 47  
45 years, the Tibetan Plateau has shown a significant warming trend and increased precipitation (Li et  
46 al., 2010). The thermal effects of the Tibetan Plateau not only have an important impact on the  
47 Asian monsoon and precipitation variability but also affect the atmospheric circulation and climate  
48 in North America, Europe and the South Indian Ocean by inspiring large-scale teleconnections  
49 similar to the Asia-Pacific Oscillation (Zhou et al., 2009).

50 The various thermal and dynamic effects of the Tibetan Plateau on the atmosphere affect the  
51 free atmosphere via the atmospheric boundary layer. Therefore, it is particularly important to  
52 analyse the micrometeorological characteristics of the atmospheric boundary layer of the Tibetan  
53 Plateau, especially the near-surface layer (Li et al., 2000). Affected by the unique underlying

54 surface conditions of the Tibetan Plateau, local heating shows interannual and interdecadal  
55 variability (Zhou et al., 2009). Different underlying surfaces have differing diversities, complex  
56 compositions and uneven distributions and contribute to diverse and complex land surfaces. As the  
57 main input factor for atmospheric energy, the surface greatly affects the various interactions  
58 between the ground and the atmosphere and even plays a key role in local areas or specific times  
59 (Guan et al, 2009). The surface characteristic parameters (dynamic roughness, thermodynamic  
60 roughness, etc.) play an important role in the land surface process and are important factors in  
61 causing climate change (Jia et al., 2000). The underlying surface of the Tibetan Plateau presents  
62 different degrees of fluctuation, which introduces certain obstacles to understanding the  
63 land-atmosphere interaction of the Tibetan Plateau. The fluctuating surface may alter the  
64 arrangement of roughness elements on the surface and cause changes in surface roughness.  
65 Changes in roughness can also affect changes in the characteristics of other surface turbulent  
66 transportation, which may also result in changes in surface fluxes. Chen et al. (2015) presented a  
67 practical approach for determining the aerodynamic roughness length at fine temporal and spatial  
68 resolutions over the landscape by combining remote sensing and ground measurements. Surface  
69 roughness is an important parameter in land surface models and climate models. Its size reflects  
70 the matter energy exchange, transmission intensity and interactions between the near surface  
71 airflow and the underlying surface to some extent (Liu et al., 2007; Irannejad et al, 1998; Shao et  
72 al, 2000; Zhang et al., 2003). Zhou et al. (2012) demonstrated that simulated sensible heat flux  
73 compared with measurement was significantly improved by using a time-dependent Z0m  
74 parameter. Therefore, the primary objective of this study is to calculate the surface roughness and  
75 its variation characteristics to further understand the land-atmosphere interactions on the central  
76 Tibetan Plateau.

77 Through the study of surface roughness, it is beneficial to obtain the surface feature  
78 parameter values in the region, provide the ground truth value for model inputs, improve the  
79 simulation level of the model in the Tibetan Plateau, and deepen the understanding of the  
80 land-atmosphere interaction process. **Research on model simulations of surface flux has achieved**  
81 **good results in many regions (Smirnova et al., 2016). Especially with the continuous development**

82 and improvement of numerical models in recent years, research on the applicability of different  
83 parameterization schemes in different models to different regions has continued. Luo et al. used  
84 the land surface model CoLM to conduct a single-point numerical simulation of the BJ station and  
85 successfully simulated the energy exchange process in the Nagqu area (Luo et al., 2009). Zhang et  
86 al. evaluated the surface physical process parameterization schemes of the Noah LSM and  
87 Noah-MP models in the entire East Asia region and evaluated the simulation of the surface heat  
88 flux of the Tibetan Plateau (Zhang et al., 2017). Xie et al. explored the simulation effect of the  
89 land surface model CLM4.5 in the alpine meadow area of the Qinghai-Tibet Plateau (Xie et al.,  
90 2017). Xu et al. studied the applicability of different parameterization schemes in the WRF model  
91 when simulating boundary layer characteristics in the Nagqu area (Xu, et al., 2018). Zhang, et al.  
92 Comparative analyses have been performed of the meteorological elements simulated by different  
93 land surface process schemes in the WRF model in the Yellow River source region (Zhang et al.,  
94 2019). However, the applicability of the model in the Tibetan Plateau needs further study. The  
95 terrain of the Tibetan Plateau is complex, the underlying surface is very uneven, and the area has  
96 high spatial heterogeneity. Because the condition of the underlying surface has a very significant  
97 impact on the surface flux, obtaining information on the surface vegetation status of a certain area  
98 is very helpful for analysing the spatial representation of the surface flux.

99 In this study, satellite data are obtained by MODIS and the normalized difference vegetation  
100 index (NDVI) in the Nagqu area is used to study the dynamic surface roughness length.  
101 Atmospheric turbulence observation data from 2008, 2010, and 2012 and observation data from  
102 automatic weather stations were collected for three observation stations in the region, and the  
103 measured values of the average wind speed and turbulent flux of a single height ultrasonic  
104 anemometer were used to determine the surface dynamic roughness  $Z_{0m}$  (Chen et al., 1993). The  
105 time-scale dynamics of  $Z_{0m}$  and the results of different underlying surfaces were analysed.  
106 Through a comparison of the calculation results of the observation data, we studied whether the  
107 surface roughness values retrieved by satellites are reliable to provide accurate surface  
108 characteristic parameters. Then we used the retrieved surface roughness to replace the surface  
109 roughness in the original model for numerical simulation experiments, and evaluated the model

110 simulation results. These researches will helpful for the study of land-atmosphere interactions in  
111 the plateau area and improve the theoretical research level of the near-surface layer on the Tibetan  
112 Plateau. In the following section, we describe the case study area, the MODIS remote sensing data,  
113 the ground observations, and the land cover map used to drive the revised Massman model  
114 (Massman et al, 1997, 1999). In Section 3, we present the results and then a validation based on  
115 flux measurements at Nagqu station. Finally, we provide some concluding remarks on the  
116 variation characteristics of aerodynamic roughness lengths and numerical simulation of the  
117 surface turbulent flux in the Nagqu area of the central Tibetan Plateau.

118

## 119 **2 Study area, Data and methods**

### 120 **2.1 Study area and Data**

121 The area selected in this study is a 200×200 km<sup>2</sup> area centred on the Nagqu Station of Plateau  
122 Climate and Environment of the Northwest Institute of Ecology and Environmental Resources,  
123 Chinese Academy of Sciences, Northern Tibet Plateau.

124 In this area, three meteorological observatory stations are located: North Pam (Portable  
125 Automated Meso-net) Automatic Meteorological Observatory (NPAM), Nam Co Station for  
126 Multisphere Observation and Research, Chinese Academy of Sciences (NAMC), and BJ station  
127 (Figure 1). The underlying surface around the observation point is relatively flat on a small spatial  
128 scale, and a certain undulation is observed at a large spatial scale. The data used included  
129 observations from atmospheric turbulence and automatic meteorological stations.

130 The BJ station is located at coordinates 31.37°N, 91.90°E and an altitude of 4509 m a.s.l. The  
131 BJ observation point is located in the seasonal frozen soil area, and the vegetation is alpine  
132 grassland. The site measurement equipment includes an ultrasonic anemometer (CAST3,  
133 Campbell, Inc.), CO<sub>2</sub>/H<sub>2</sub>O infrared open circuit analyser (LI 7500) and automatic meteorological  
134 observation system (Ma et al., 2006). This study uses the BJ station data from 2008 and 2012. The  
135 NPAM station is located at 31°56'N, 91°43'E and has an altitude of approximately 4700 m. The  
136 ground of the experimental field is flat, and the area is wide. The ground is covered by a plateau  
137 meadow that grows 15 cm high in summer. The experimental station observation equipment

138 includes an ultrasonic wind thermometer and humidity probe pulsator and includes data on  
139 temperature and humidity, air pressure, average wind speed, average wind direction, surface  
140 radiation temperature, soil heat flux, soil moisture and temperature, and radiation (Ma et al., 2006).  
141 The NAMC station is located at 30°46.44'N, 90°59.31'E and an altitude of 4730 m. It is located on  
142 the southeastern shore of Namco Lake in Namuqin Township, Dangxiong County, Tibet  
143 Autonomous Region. It is backed by the Nyainqentanglha Mountain Range, and the underlying  
144 surface is an alpine meadow. This study uses NPAM station data for the whole year of 2012 and  
145 NAMC station data for the whole year of 2010.

146 **Figure 1 about here**

147 **Table 1 about here**

148 The land cover data used in this study are GLC2009 (Arino et al, 2010) data from the Envisat  
149 satellite in 2009, and the spatial resolution is 300 m. The classification standard is the land cover  
150 classification system (LCCS), and it divides the global surface into 23 different types, with the  
151 study area including 14 of these types. The actual situation in the selected area does not match the  
152 data part of GLC2009 because of the lack of an underlying surface, such as farmland, in the  
153 selected area. Therefore, according to the actual land cover types obtained by Chu (Chu, 2010),  
154 the categories irrigated farmland, dry farmland, mixed farmland vegetation, mixed multivegetation  
155 land, closed grassland and open grassland are replaced with 6 grasslands, shrub meadows,  
156 mountain meadows, alpine grasslands, alpine meadows and sparse vegetation in the mountains.  
157 Since the proportion of the underlying surface of the tree as a whole is only 0.36%, the underlying  
158 surface types evergreen coniferous forest, the mixed forest, the multiforest grassland mix and the  
159 multigrass forestland mix will no longer be studied.

160 The MODerate-resolution Imaging Spectroradiometer (MODIS) is an important sensor on  
161 board the satellites TERRA and AQUA launched by the US Earth Observing System Program. The  
162 band of the MODIS sensor covers the full spectrum from visible light to thermal infrared; thus,  
163 this sensor can detect surface and atmospheric conditions, such as surface temperature, surface  
164 vegetation cover, atmospheric precipitation, cloud top temperature, etc. The maximum spatial  
165 resolution is 250 m. The normalized vegetation index obtained by MODIS is the MYD13Q1

166 product, which provides a global resolution of 250 m per 16 days. This study selects 73 materials  
 167 for 2008, 2010 and 2012 in Nagqu.

## 168 2.2 Methodology

### 169 2.2.1 Method for calculating surface roughness by observation data

170 Using the measured values of the average wind speed and turbulent flux of a single height  
 171 ultrasonic anemometer, the calculation scheme of surface roughness proposed by Chen et al.  
 172 (Chen et al., 1993) was selected and the dynamic variation in the surface roughness was obtained.

173 According to the Monin-Obukhov similarity theory (Monin et al., 1954), the wind profile  
 174 formula with the stratification stability correction function (Panosky et al, 1984) is as follows:

$$175 \quad U(z) = \frac{u_*}{k} \left[ \ln \frac{z-d}{Z_{0m}} - \psi_m(\zeta) \right] \quad (1)$$

$$176 \quad \psi_m(\zeta) = 2 \ln\left(\frac{1+x}{2}\right) + \ln\left(\frac{1+x^2}{2}\right) - \tan^{-1}(x) + \frac{\pi}{2} \quad \zeta < 0 \quad (2)$$

$$177 \quad \psi_m(\zeta) = -5\zeta \quad \zeta > 0 \quad (3)$$

178 where  $u_* = \sqrt{-\overline{u'w'}}$ ;  $Z_{0m}$  is the dynamic surface roughness length;  $z$  is the observed height;  
 179  $d$  is zero plane displacement, which is set to 0.03 m and calculated from the average vegetation  
 180 height 0.045 m (Stanhill, 1969);  $U$  is the average wind speed;  $k$  is the Karman constant, which is  
 181 set to 0.40 (Högström,1996);  $L = -\frac{u_*^3}{(k \frac{g}{\theta}) \overline{\theta'w'}}$  is the Monin-Obukhov length (Monin et al.,

182 1954);  $x = (1-16\zeta)^{1/4}$ ; and  $\zeta = (z-d)/L$  is the atmospheric stability parameter. Available  
 183 from formula (2.1):

184

$$185 \quad \ln \frac{z-d}{Z_{0m}} = \frac{kU}{u_*} + \psi_m(\zeta) \quad (4)$$

186 Using equations (2)~(4),  $Z_{0m}$  can be determined by fitting  $\zeta$  and observing a single height

$$187 \quad \frac{kU}{u_*}.$$

## 188 2.2.2 Method for calculating surface roughness by satellite data

189 For a fully covered uniform canopy, Brutsaert suggested that  $z_0 = 0.13 h_v$  (Brutsaert, 1982).  
190 For a canopy with proportional coverage (partial coverage), Raupach (Raupach, 1994) indicated  
191 that  $z_0$  varies with the leaf area index (LAI). However, Pierce et al. (Pierce et al. 1992)  
192 pointed out that for all kinds of biological groups, the leaf area index can be obtained from the  
193 NDVI and the density grade of vegetation can be related to the NDVI. Asrar et al. (Asrar et al.,  
194 1992) pointed out that a mutual relationship occurred among the LAI, NDVI and ground cover  
195 through the study of physical models. Moran's study (Moran, et al., 1994) provides another  
196 method that uses the function of the relationship between NDVI and  $Z_{0m}$  in the growing season of  
197 alfalfa.

198 Considering that the main underlying surface of the study area is grassland, this study selects  
199 the Massman model (Massman et al, 1997, 1999) to calculate the  $Z_{0m}$  in the Nagqu area of the  
200 central Tibetan Plateau. The Massman model is calculated as follows:

$$201 \quad \gamma = C_1 - C_2 \cdot \exp(-C_3 \cdot C_d \cdot LAI) \quad (5)$$

$$202 \quad n_{ec} = \frac{C_d \cdot LAI}{2 \cdot \gamma^2} \quad (6)$$

$$203 \quad d_h = 1 - \frac{[1 - \exp(-2 \cdot n_{ec})]}{2 \cdot n_{ec}} \quad (7)$$

$$204 \quad \frac{Z_{0m}}{h} = [1 - d_h] \cdot \exp\left(-\frac{k}{\gamma}\right) \quad (8)$$

205 where  $C_1=0.32$ ,  $C_2=0.26$ , and  $C_3=15.1$  are constants in the model and related to the surface  
206 drag coefficient; LAI is the leaf area index;  $C_d=0.2$  is the drag coefficient of the foliage elements;  
207  $n_{ec}$  is the wind speed profile coefficient of fluctuation in the vegetation canopy; and  $h$  is the  
208 vegetation height. In many earlier studies, the high-altitude environment of the Tibetan Plateau  
209 was correlated with a low temperature in the study area and shown to affect the height and  
210 sparseness of the vegetation. Based on previous research, this study considers that the vegetation  
211 height in northern Tibet is related to the normalized differential vegetation index (NDVI) and  
212 altitude (Chen et al, 2013) and introduces the altitude correction factor on the original basis. The  
213 following is the calculation formula:



214 
$$H = h_{\min} + \left( \frac{h_{\max} - h_{\min}}{NDVI_{\max} - NDVI_{\min}} \right) (NDVI - NDVI_{\min}) \quad (10)$$

215 
$$h = acf \cdot H \quad (11)$$

216 where  $h_{\min}$  and  $h_{\max}$  are the minimum and maximum vegetation height observed at the  
 217 observation station, respectively,  $NDVI_{\max}$  and  $NDVI_{\min}$  are the maximum and minimum NDVI of  
 218 the observation station, respectively;  $H$  is based on the assumption that the vegetation height is  
 219 directly proportional to the NDVI;  $x$  is the altitude, which is obtained from ASTER's DEM  
 220 products; and  $acf$  is the altitude correction factor (Chen et al., 2013), which is used to characterize  
 221 the effect of elevation on the height of vegetation in northern Tibet. The  $acf$  parameter has the  
 222 following form:

223 
$$acf = \begin{cases} 0.149, x > 4800 \\ 11.809 - 0.0024 \cdot x, 4300 < x < 4800 \\ 1.49, x < 4300 \end{cases} \quad (12)$$

224 The LAI used in this study is calculated by the NDVI of MODIS (Su,1996). The calculation  
 225 formula is as follows:

226 
$$LAI = \left( \frac{NDVI * (1 + NDVI)}{1 - NDVI} \right)^{0.5} \quad (13)$$

## 227 **3 Results analysis**

### 228 **3.1 Variation characteristics of surface roughness based on measured data**

229 Figure 2 shows the temporal variation characteristics of the surface roughness of sites in  
 230 different years in the Nagqu area. The Z0m value has continued to increase since February to  
 231 reach a maximum in July and August. The results for the BJ and NPAM stations in 2012 show that  
 232 July has slightly larger values than August, and the results for the NAMCO station in 2010 and BJ  
 233 station in 2008 show that August has larger than July. The BJ station may have experienced a  
 234 precipitation process in July 2008, resulting in a July Z0m value less than June. After August, the  
 235 Z0m value began to decrease, and in December, the value was approximately the same as the  
 236 value in January. In general, the change in the Z0m degree of each station increases from spring to  
 237 summer and decreases month by month from summer to winter.

238 **Figure 2 about here**

239

240 **3.2 Spatiotemporal variation characteristics of surface roughness length**  
241 **retrieved by MODIS data**

242 Figure 3 shows a plot of the surface roughness distribution of 200×200 km<sup>2</sup> around the BJ  
243 site in 2008. In February, the Z0m decreased from January, which may have been due to snowfall,  
244 temperature, etc., resulting in a small Z0m that continued to decrease. Due to the rising  
245 temperature and snow melting, Z0m showed a slowly increasing trend from February to May and  
246 a rapid increase from June to August. From June onwards, a large number of surface textures were  
247 observed, indicating the complexity of the underlying surface. Whether the bulk surface or  
248 vegetation had a more important impact on Z0m is not clear. From May to August, obvious  
249 changes in humidity, temperature and pressure caused by the plateau summer monsoon led to an  
250 increase in the height and coverage of surface vegetation, and Z0m peaked in August. In particular,  
251 the change from May to June was very significant, which may have been related to the beginning  
252 of the summer monsoon in June, the corresponding increase in precipitation, the accelerated the  
253 growth of vegetation and the rapid rise of Z0m. In June, July, and August, continuous precipitation  
254 and rising temperatures led to vigorous vegetation growth, although changes were not observed  
255 after the vegetation reached maturity. The corresponding maximum value of Z0m in the figure  
256 remains unchanged, although due to sufficient values in these three months, the area with high  
257 Z0m values gradually expanded and reached the maximum range in August. From September to  
258 December, as the plateau summer monsoon retreated, the temperature and humidity gradually  
259 decreased. Compared with the plateau summer monsoon, the conditions were no longer suitable  
260 for vegetation growth; thus, the contribution of vegetation to Z0m was weakened, the surface  
261 vegetation height gradually decreased, and Z0m continued to decrease. Moreover, the range of  
262 large-value regions also gradually decreased.

263 **Figure 3 about here**

264 **Figure 4 about here**

265 Figures 4 and 5 show the retrieved monthly surface roughness values in the BJ area in 2010  
266 and 2012, respectively. Moreover, Z0m also showed a decrease from January to February in the

267 Nagqu area in 2010 and 2012. Starting in February, Z0m increased. Starting in June, Z0m  
268 increased rapidly and reached the peak of the whole year in August. Subsequently, Z0m began to  
269 decrease.

270 **Figure 5 about here**

271 Figures 3, 4, and 5 show that Z0m changes with the spatial and temporal scale. Z0m shows  
272 different trends on different underlying surfaces. In November 2008, the Z0m in the Nagqu area  
273 was small overall and generally as low as 1 cm. Historical data show that there is a large-scale  
274 snowfall process in the Nagqu area at this time. Snowfall over the meadow causes the underlying  
275 surface of the meadow to be homogeneous and flat, and after the snowfall falls, it is easy to form a  
276 block with a scattered and discontinuous underlying surface. We subsequently determined that the  
277 surface roughness of the area with ice and snow as the underlying surface is not more than 1 cm,  
278 which is consistent with historical weather processes. Therefore, we think that snowfall caused the  
279 Z0m in November to be very small. From November to December, Z0m showed a growing trend,  
280 which may be due to temperature, unfrozen soil or other reasons that resulted in the melting of  
281 snow, and then the surface roughness showed a growing trend (Zhou, 2017).

### 282 **3.3 Evaluation of satellite data retrieved results**

283 **Figure 6 about here**

284 The underlying surfaces of the three sites selected in this study are all alpine meadows. In  
285 Figure 6, the NPAM site data calculation results are larger than the satellite data retrieved results  
286 throughout the year. Only the values in September and October are very close, and the trends are  
287 similar. The maximum value of the site data calculation is 5 cm and the satellite data retrieved  
288 result is 4.5 cm. The maximum difference is in May at 1.7 cm. The NAMCO station data  
289 calculation results are very close to the satellite data retrieved results from April to November,  
290 although the satellite data retrieved results are significantly larger than the site data calculation  
291 results in January, March and December. The largest difference occurs in January, and the  
292 difference value reaches 1.5 cm. In 2008, the calculation results of the BJ station data were larger  
293 than the satellite data retrieved results throughout the year. The calculation results of the site data  
294 were very close to the satellite data retrieved results from January to April and July to November,  
295 although a large difference was observed in May, June and December, with the largest difference  
296 occurring in May at 1.8 cm. In 2012, the BJ site data calculation results were consistent with the

297 satellite data retrieved results for the whole year, although the site data calculation results were  
298 larger than the satellite data retrieved results from March to June, and the station data calculation  
299 results were smaller than the satellite data retrieved at other times. As a result, the largest  
300 difference occurred in June at 1.1 cm. Figure 6 shows that for the overall situation, the seasonal  
301 variation trend of the site data calculation results is consistent with the satellite data retrieved  
302 results in January, February, March, November and December. However, the site data calculation  
303 results from April to October are greater than the satellite data retrieved results. From Figure 6, the  
304 Z0m calculated from the site observation data is larger than that of the satellite data, which may be  
305 because of the average smoothing effect. From February to July, the single point Z0m value was  
306 significantly increased according to the independent method of determining the surface roughness,  
307 while the results obtained by using the satellite data did not increase significantly. The satellite  
308 results show that the values from January to May, November, and December are basically stable  
309 below 2 cm and only change from June to October, which is related to **non-uniformity of**  
310 **underlying surface in Massman mode**. In general, the results calculated by the station are generally  
311 larger than those obtained by satellite retrieval.

312 **Figure 7 about here**

313 The Z0m scatter plot is shown in Figure 7. A significant positive correlation is observed  
314 between the satellite retrieval and the surface roughness calculated from the site data. The  
315 correlation coefficients between the observation result and the retrieved result are large except for  
316 at the NAMCO station in 2010 in Figure 7(g). The average result of the underlying surface were  
317 consistent with the underlying surface results in different regions, further indicating that the  
318 satellite retrieved results are consistent with the site calculation results. However, the results of the  
319 NAMCO site are different from those of the other sites. The correlation coefficient with the  
320 average results of the underlying surface is 0.83, and the correlation coefficient with the satellite  
321 retrieved results is 0.62. Because the Namco Observation Station is closer to the lake (1 km), it is  
322 more affected by local microclimates, such as lake and land winds. The results in Figure 7 all  
323 passed the F test of  $P=0.05$ , which indicates that there is no significant difference between the site  
324 data calculation results and the satellite data retrieved results.

#### 325 **4 Variation characteristics of the surface roughness of different** 326 **underlying surfaces**

327 According to the vegetation dataset GLC2009 combined with actual local conditions, the  
328 200×200 km<sup>2</sup> area of Nagqu was divided into 10 different underlying surfaces (Arino et al., 2010):  
329 mountain grassland, shrub meadow, mountain meadow, alpine grasslands, alpine meadows, sparse  
330 vegetation lap, urban land, bare land, water bodies, ice sheets and snow cover.

331

332

#### **Figure 8 about here**

333 The monthly variation in Z0m in different underlying surfaces in the Nagqu area is shown in  
334 Figure 8, which indicates that 14 different underlying surfaces in the Nagqu area can be divided  
335 into four categories. The first category is urban land, which accounts for 0.07% of the whole study  
336 area. The Z0m of this type of underlying surface is greater than that of other types throughout the  
337 year, and the change in Z0m is very large, which is probably due to the irregular changes in the  
338 underground areas of the selected areas and the irregularities caused by human activities. The  
339 second category is lush grassland, including shrub meadows, mountain grasslands, alpine  
340 grasslands and mountain meadows, which account for 62.49% of the area. The variation curves of  
341 Z0m of the four underlying surfaces are similar, and the Z0m of the urban land is only smaller  
342 than that of other underlying surfaces. The third category is sparse grassland, including alpine  
343 sparse vegetation, alpine meadows and bare land, and it accounts for 33.74% of the area. The Z0m  
344 values of the three underlying surfaces are similar at a medium height. The Z0m of the bare soil is  
345 at the lowest point of these underlying surface Z0m, and the Z0m of the alpine meadow is  
346 relatively stable and less affected by the outside. The fourth category is ice and snow, including ice  
347 sheets and snow cover, and water bodies are two kinds of underlying surfaces, accounting for  
348 3.7% of the area. The Z0m of these three underlying surfaces presents another phenomenon. The  
349 variation range of the whole year is relatively small, and the Z0m of these underlying surfaces is  
350 also small. It is more than 1 cm in mid-June and less than 1 cm at other times. Figure 8(d) shows  
351 the multiyear average seasonal variation in Z0m. The figure clearly shows that the underlying  
352 surface can be divided into four categories due to the difference in surface roughness. The change  
353 from January to May Z0m is very small, peaking from May to August and then down to the

354 previous January to May level in November and December. The snowfall in November 2008 may  
355 have led to the low level of November in Figure 8(d). Table 2 shows that the winter albedo at the  
356 BJ station and Namco station is higher than that in other seasons, and the summer is the smallest.  
357 Both show that the surface albedo in November 2008 was significantly higher than that in  
358 November of the other two years. In fact, the surface roughness on November should be higher  
359 than that on December in former years.

360 **Table 2 about here**

361 Figure 8 also shows that in the Nagqu area, except for the area of the fourth type of  
362 underlying surface, the Z0m change in other areas decreases from January to February and begins  
363 to increase after February, reaching a peak in August and then starting to decrease. However,  
364 Figure 6 clearly shows that there are several stages in which Z0m changes significantly, in early  
365 April, mid-May, early July, late August, and late September. The change at the end of August was  
366 the most obvious. Each of the underlying surfaces Z0m changes by more than 2 cm on average.  
367 The extent of the change in late September was also large, with an average change of more than  
368 1.5 cm. Moreover, the change in early July was special because the change resulted in a significant  
369 increase in the Z0m of water bodies and ice.

370 Certain factors, such as cloud cover in May, August, and November of 2008; August and  
371 September of 2010; and April and July of 2012, caused significant changes in the overall Z0,  
372 which resulted in two very significant changes in the three-year average for August and November.  
373 In November, the change was caused by snowfall based on other meteorological data. In August  
374 2008 and 2010, the changes was caused by precipitation based on an analysis of the sudden  
375 increase in the Z0m of the water body and ice and snow surface. Combined with several changes  
376 in Z0m, precipitation, snowfall, and snow accumulation will make the underlying surface more  
377 uniform and flatter, which will lead to relative reductions in Z0m.

## 378 **5 Simulation and evaluation of Noah-MP model**

### 379 **5.1 Model setup**

380 According to the surface roughness variation characteristics retrieved from  
381 satellite data, the underlying surface of Nagqu area can be divided into four types.

382 They are urban, lush grass, sparse grass, and ice and snow. Among them, urban  
383 accounts for 0.07%, its Z0m up to 9 cm, lush grassland accounts for 62.49% of the  
384 area, its Z0m can reach up to 6cm, sparse grassland up to 33.74%, its Z0m can reach  
385 up to about 4cm, ice and snow accounts for 3.7% of the area, and Z0m does not  
386 exceed 1cm. These results are substituted into Noah- MP replaces the original  
387 parameter design numerical simulation experiment. The model after replacing the  
388 surface roughness is set as a sensitivity experiment, and the original model is set as a  
389 control experiment. The selection of other parameterization schemes suitable for  
390 numerical simulation in Nagqu area is shown in Table 3. The simulation time is from  
391 July 1 to 31, 2008, and the spin-up time is 9 days. The forcing field dataset is a  
392 Chinese meteorological forcing dataset jointly developed by the Tibetan Plateau Data  
393 Assimilation and Modelling Centre and the Institute of Tibetan Plateau Research of  
394 the Chinese Academy of Sciences (ITPCAS).

## 395 **5.2 Evaluation of the simulated single point heat flux**

396 Figure 9(a) shows that the sensible heat flux simulated by the sensitivity  
397 experiment is closer to the measured value than the control experiment. In the daytime,  
398 the results of sensitivity experiment were smaller than those of the control experiment,  
399 and some time were larger than those of the control experiment. At night, the results  
400 of the two models were close to each other before July 21, and the sensitivity  
401 experiment results were significantly improved after July 21. Figure 9(b) shows that  
402 the sensitivity experiment results are basically consistent with the control experiment  
403 results, which are maintained at about  $0\text{W}/\text{m}^2$ , and there is no improvement at night.  
404 Before July 19, the two experiments latent heat fluxes remained at about  $200\text{W}/\text{m}^2$ ,  
405 which was less than the observed latent heat flux, and the simulated maximum value  
406 of the sensitivity experiment was greater than that of the control experiment. After  
407 July 19, the two experiments simulation results began to increase and reached about  
408  $400\text{W}/\text{m}^2$  consistent with the observed latent heat flux, indicating that the simulation  
409 effect was improved to some extent. Similarly, it can be found from the maximum

410 value that the sensitivity experiment results were slightly greater than the control  
411 experiment results. It also shows that improving the accuracy of surface roughness  
412 can improve the simulation effect of latent heat flux.

413 **Figure 9 about here**

### 414 **5.3 Evaluation of regional heat flux simulation results**

415 In order to compare the changes of sensible heat flux and latent heat flux before  
416 and after improvement, the sensitivity simulations are used to subtract the control  
417 model simulation results. By subtracting the sensible heat flux from control and  
418 sensitivity experiment, the results are shown in the figure 10. It can also be seen from  
419 the figure 10 (a) that the difference of sensible heat flux is basically negative in the  
420 daytime, indicating that the sensible heat flux after improvement is smaller than that  
421 before improvement. The above results show that the modified surface roughness can  
422 improve the simulation effect of sensible heat flux in daytime. The results in the  
423 figure 10 (c) are basically positive in the daytime, indicating that the latent heat flux  
424 after improvement is larger than that before improvement, about  $15\text{W}/\text{m}^2$ . The above  
425 results show that the model simulation results are generally less than the actual  
426 observation results, so it can be considered that the improvement of surface roughness  
427 in the daytime can improve the simulation of the model. Figure 10 also shows that the  
428 improvement of nighttime latent heat flux is not significant, which is basically  
429 maintained at  $0\text{W}/\text{m}^2$ .

430 **Figure 10 about here**

## 431 **6 Conclusions and discussion**

432 Through the calculation and analysis of the surface roughness of the Nagqu area in the  
433 central Tibetan Plateau and comparing the retrieved satellite data with the calculation results of the  
434 observational data, the attained main conclusions are as follows.

435 (1) The retrieved results of the satellite data are basically consistent with the calculated  
436 results of the measured data. Both results indicate that the surface roughness continued to increase  
437 from February to August, began to decrease after reaching a peak in August and reached the



438 lowest value in February of the following year. A strong connection is observed between the  
439 monthly variation in surface roughness and the changes in meteorological elements brought by the  
440 plateau summer monsoon. Among them, the satellite surface retrieval results in a slow increase in  
441 surface roughness from February to May.

442 (2) Through the characteristics of surface roughness variation retrieved by satellite data, the  
443 underlying surface can be divided into four categories according to the surface roughness (from  
444 large to small: urban, lush grassland, sparse grassland and ice and snow. Among them, lush  
445 grassland accounts for 62.49%, and the  $Z_{0m}$  can reach 6 cm; sparse grassland accounts for 33.74%,  
446 and the  $Z_{0m}$  can reach up to 4 cm; and ice and snow account for 3.7%, and the  $Z_{0m}$  does not exceed  
447 1 cm.

448 (3) Comparing the results of the satellite retrieved calculations, satellite retrieved results and  
449 measured data shows that the results are positively correlated and the satellite retrieved results are  
450 better fit with the measured results. Due to the average sliding effect of the retrieved data, the  
451 satellite retrieved data are smaller than the measured results. This method can be used to calculate  
452 the surface roughness results for a region and provide a true value for the model for simulation.

453 (4) The accuracy of ground-air flux simulation can be improved after adjusting the surface  
454 roughness in Nagqu area. After replacing the model surface roughness, the sensible heat flux has a  
455 better daily improvement effect, about  $20\text{W}/\text{m}^2$ . The night improvement effect is poor, about  
456  $0.15\text{W}/\text{m}^2$ . The improvement of latent heat flux is not obvious, and there is an improvement  
457 within  $15\text{W}/\text{m}^2$  during the daytime.

458 This study uses remote sensing images and an aerodynamic roughness remote sensing  
459 retrieved model to estimate the spatial scale of aerodynamic roughness conditions in northern  
460 Tibet, and this method will provide parameter and parameterization scheme improvements for  
461 model simulations to study the spatial distribution of the surface flux in the Tibetan Plateau. Air  
462 thermodynamics surface roughness is affected by shortwave and longwave radiation (the latter for  
463 deriving surface temperature), air temperature, wind speed, precipitation or snowfall. The  
464 relationship between air thermodynamics surface roughness and them and how to  
465 parameterization them in Massman mode will be studied in the future.

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597

598 Figure captions

599 Fig. 1 Location of sites and the land cover on the northern Tibetan Plateau. The black solid circle  
600 '●' indicates the location of the sites

601 Fig. 2 Surface roughness length of different sites on the northern Tibetan Plateau

602 Fig. 3 Surface roughness length on the northern Tibetan Plateau in 2008

603 Fig. 4 Surface roughness length on the northern Tibetan Plateau in 2010

604 Fig. 5 Surface roughness length on the northern Tibetan Plateau in 2012

605 Fig. 6 Comparison of the surface roughness length by site observations and satellite remote sense

606 retrieved data

607 Fig. 7 Scatter plots of the retrieved and calculated surface roughness lengths at four sites

608 Fig. 8 Curve of the surface roughness length for different underlying surfaces

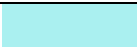






609 Fig.9 Comparison of simulated and observed sensible heat flux (a) and Comparison of simulated  
610 and observed latent heat flux (b)

611 Fig. 10 The difference of the control and sensitivity experiments simulated regional sensible heat  
612 flux (a) 12:00, (b) 00:00 and latent heat flux (c) 12:00, (d) 00:00







613

614 Tables

615 Table 1. Legend of the land cover map on the northern Tibetan Plateau

Value	Colour	Land Cover Types	Percent (%)
11		Mountain grassland	5.79
14		Shrub meadow	3.25
20		Mountain meadow	8.26
30		Alpine grassland	45.16
70		Needle-leaved evergreen forest	0.23
100		Mixed forest	0.03
110		Mixed forestland and grassland	0.06

616

Value	Colour	Land Cover Types	Percent (%)
120		Mixed grassland and	0.04
140		Alpine meadow	28.28
150		Alpine sparse vegetation	0.29
190		Urban areas	0.07
200		Bare areas	4.90
210		Water bodies	2.57
220		Permanent snow and ice	1.07

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622 **Table 2 the observed albedo of the sites (BJ and Namco) on the northern Tibetan**  
 623 **Plateau**

Site	year	Jan.	Feb.	Mar.	Apr.	May.	Jun.	Jul.	Aug.	Sep.	Oct.	Nov.	Dec.
BJ	2008	0.13	0.17	0.14	0.13	0.12	0.10	0.09	0.09	0.09	0.16	<b>0.32</b>	0.16
	2009	0.30	0.26	0.30	0.25	0.26	0.21	0.19	0.19	0.21	0.26	0.24	0.26
	2010	0.26	0.30	0.31	0.30	0.34	0.22	0.19	0.18	0.18	0.35	0.26	0.27
NAMCO	2008	0.28	0.28	0.31	0.28	0.25	0.21	0.17	0.18	0.18	0.30	<b>0.89</b>	0.28
	2009	0.35	0.32	0.28	0.24	0.26	0.22	0.19	0.17	0.22	0.24	0.31	0.27
	2010	0.45	0.23	0.25	0.24	0.29	0.22	0.20	0.17	0.18	0.40	0.35	0.24

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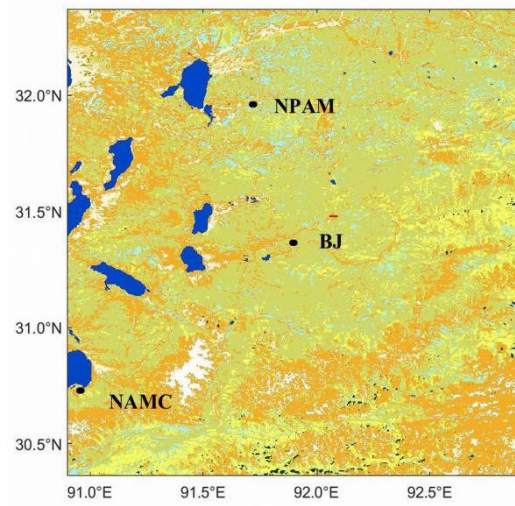
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Table 3 The selected other schemes in Noah-MP

Options for different schemes	Name of the option
dynamic vegetation	Use table LAI; use FVEG=SHDFAC from input
canopy stomatal resistance	Ball-Berry's method
soil moisture factor for stomatal resistance	Noah's method
runoff and groundwater	TOPMODEL with groundwater
surface layer drag coeff	Monin-Obukhov's method
supercooled liquid water	No iteration
frozen soil permeability	Nonlinear effects, less permeable
radiation transfer	Two-stream applied to grid-cell
ground snow surface albedo	Classic method
partitioning precipitation into rainfall & snowfall	Jordan's method
lower boundary condition of soil temperature	TBOT at ZBOT (8m) read from a file
snow/soil temperature time scheme	full implicit (original Noah); temperature top boundary condition

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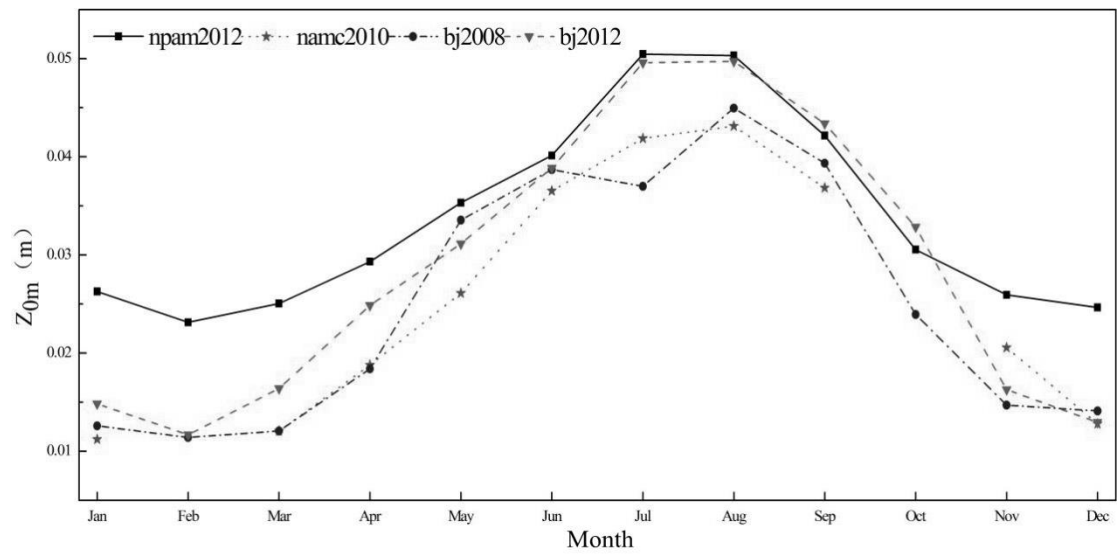


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637 Fig. 1 Location of the sites and the land cover on the northern Tibetan Plateau. The black solid

638 circle '●' indicates the location of the sites.

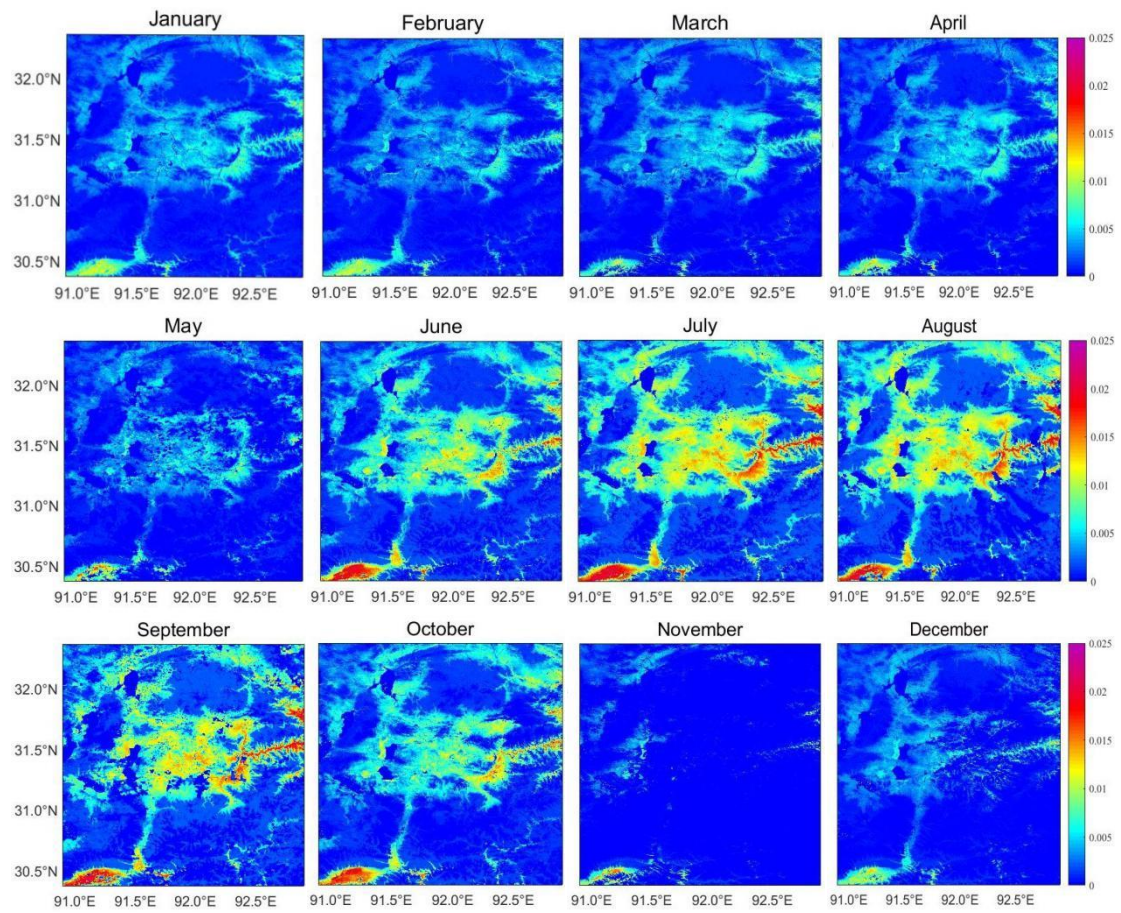
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641 Fig. 2 Surface roughness length of different sites on the northern Tibetan Plateau

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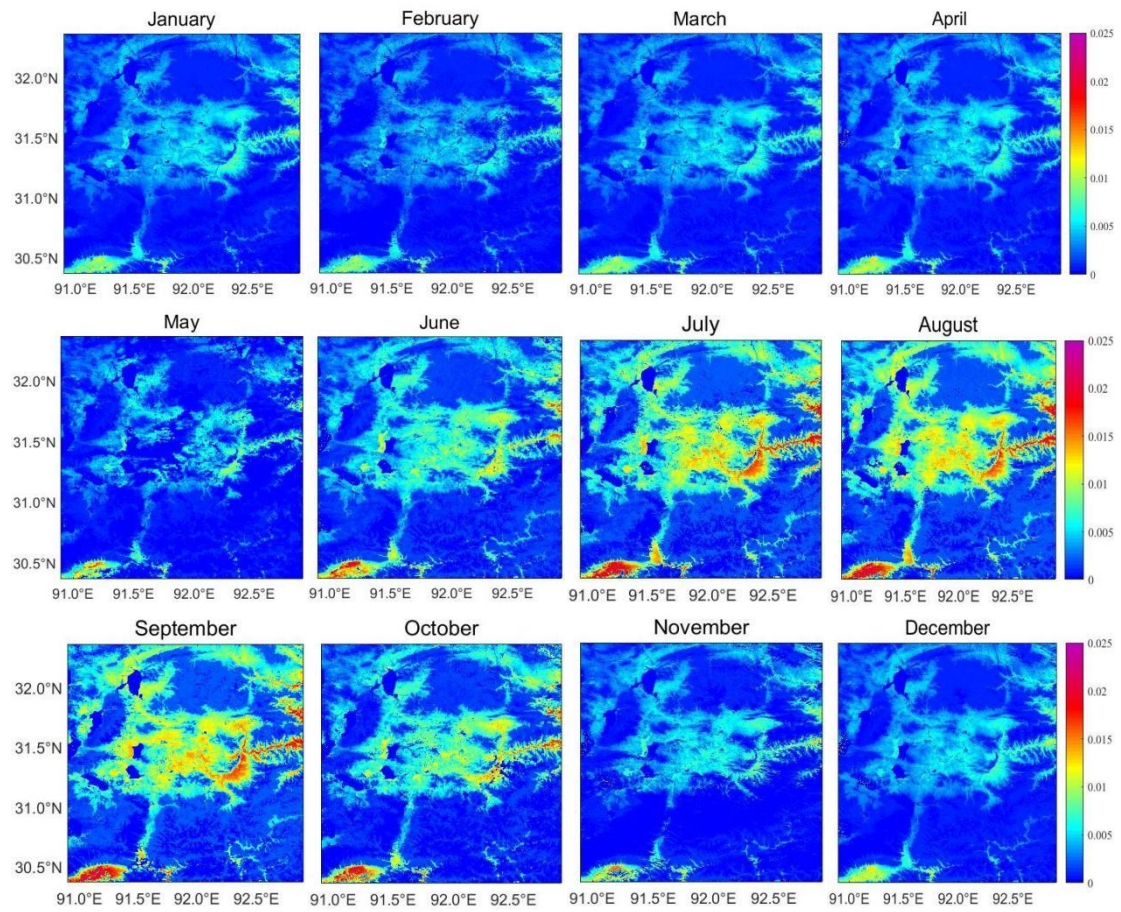
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646 Fig. 3 Surface roughness length on the northern Tibetan Plateau in 2008

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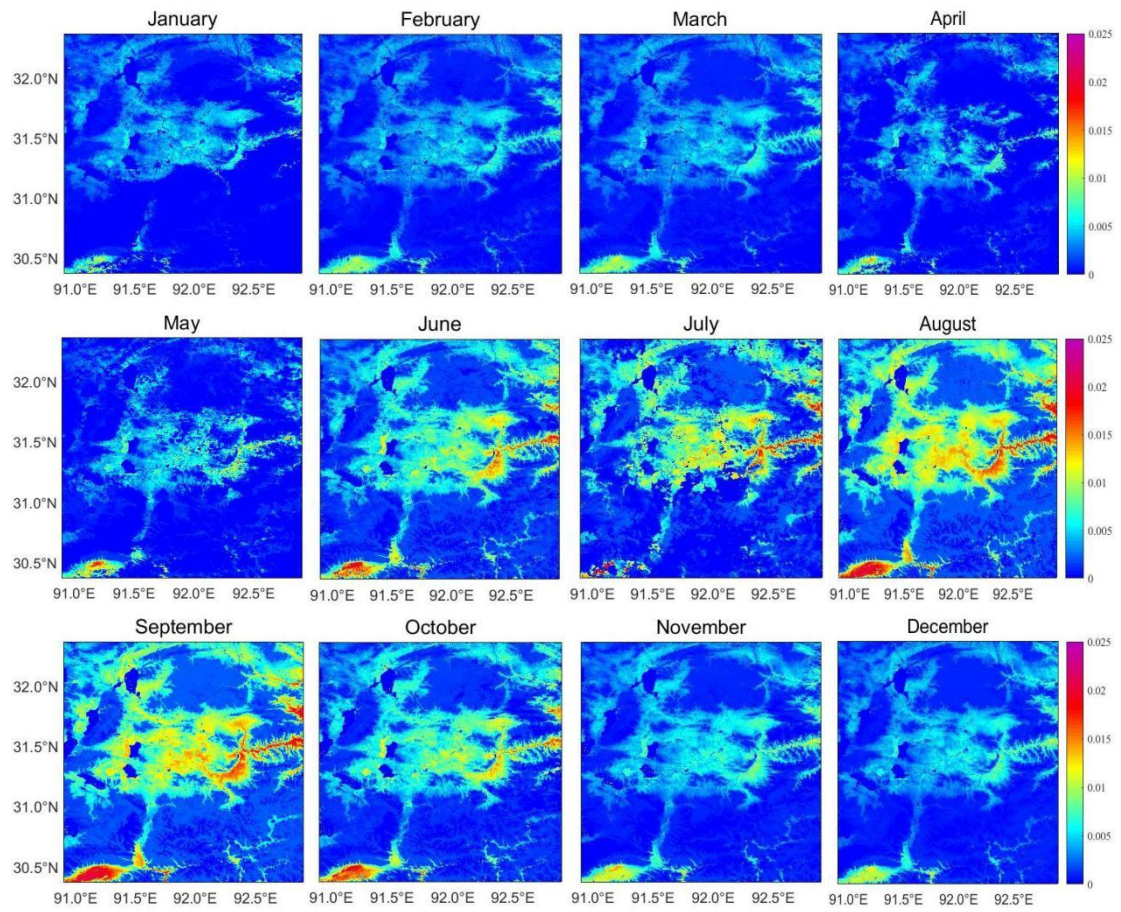
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652 Fig. 4 Surface roughness length on the northern Tibetan Plateau in 2010

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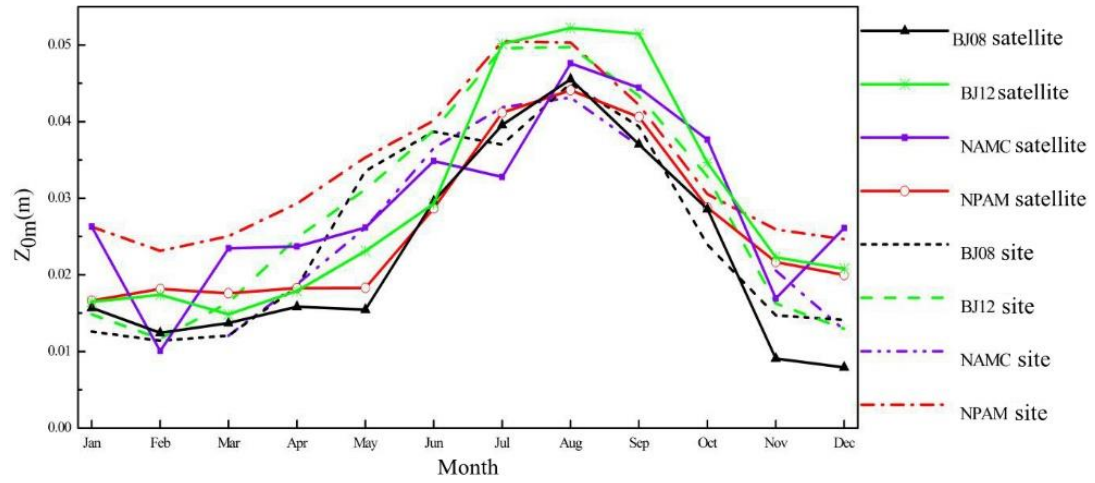
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Fig. 5 Surface roughness length on the northern Tibetan Plateau in 2012



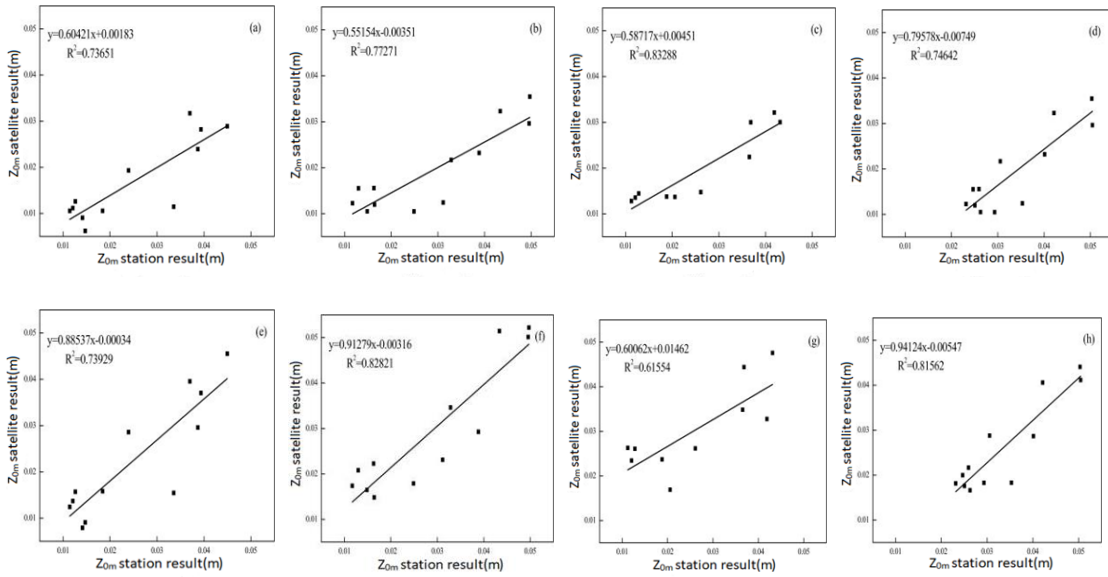
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661 Fig. 6 Comparison of the surface roughness length by site observations and satellite remote sense

662 retrieved data

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Fig. 7 Scatter plots of the retrieved and calculated surface roughness lengths at four sites

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(a-d: scatter plot of the observation results and the average result of the underlying surface; e-h:

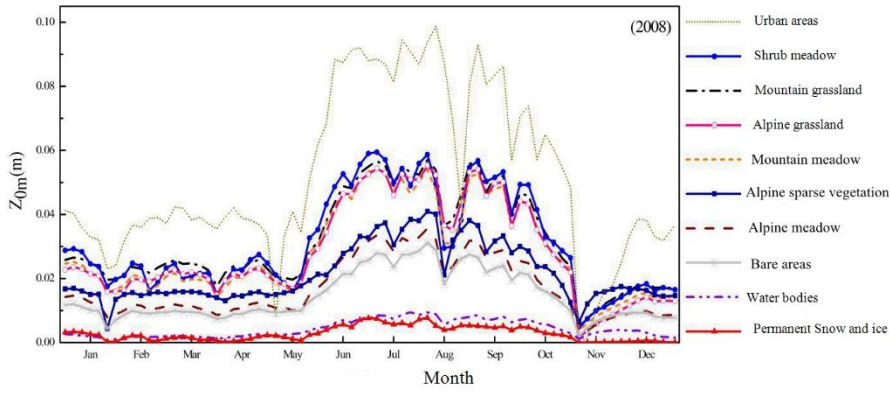
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scatter plot of the observation and retrieved results; a, e: BJ station in 2008; b, f: BJ station in

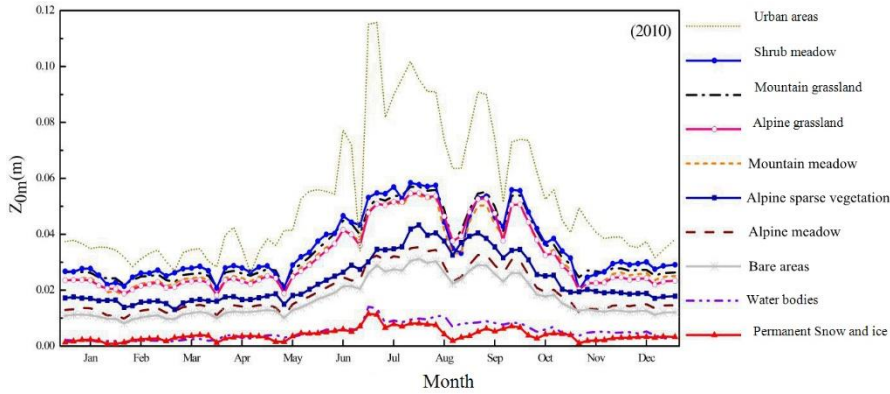
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2012; c, g: NAMC station in 2010; and d, h: NPAM station in 2012)

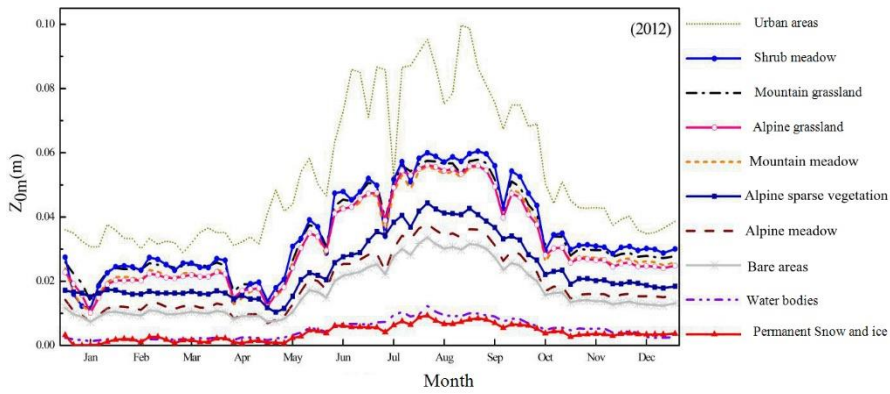
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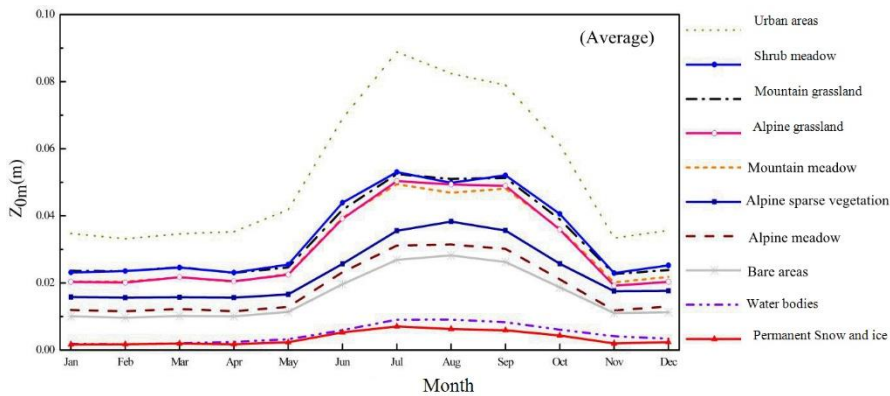
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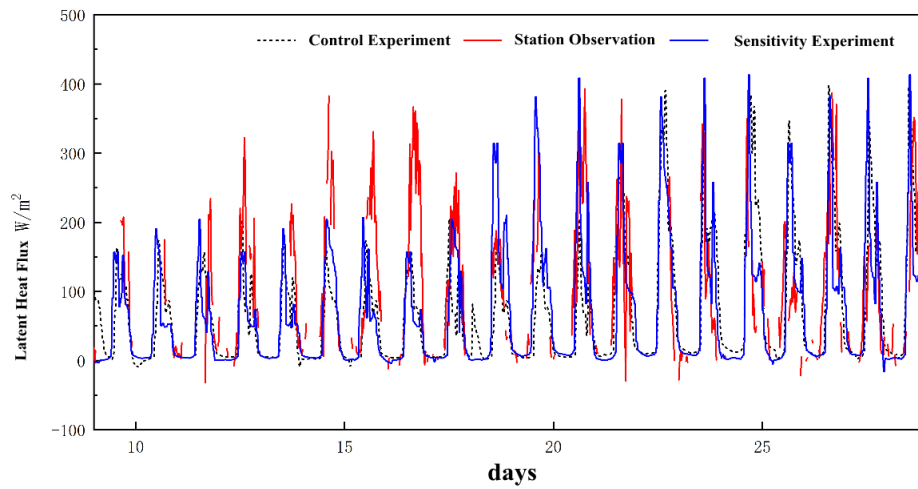
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675 Fig. 8 Curve of the surface roughness length for different underlying surfaces

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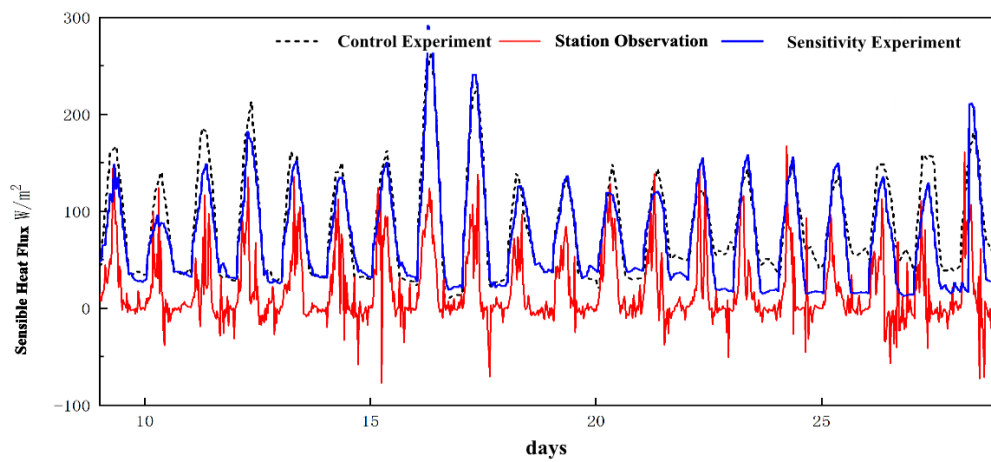
(a)



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(b)



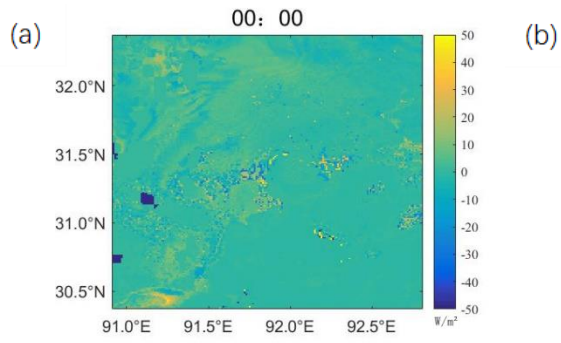
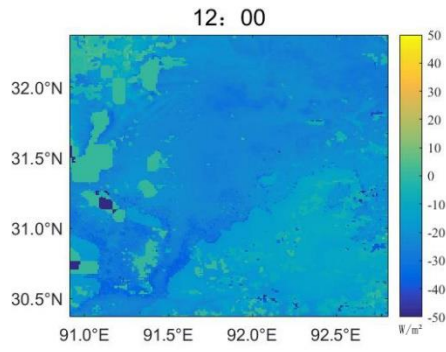
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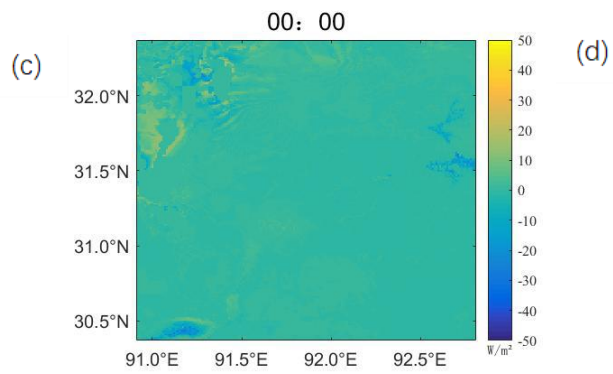
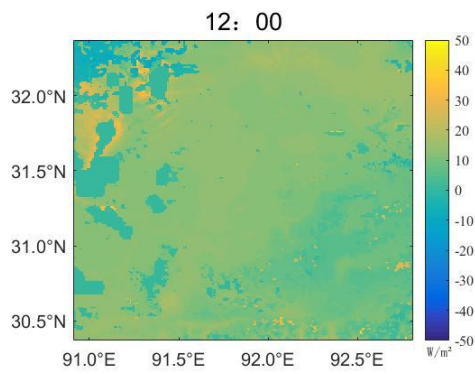
683

Figure 9 Comparison of simulated and observed sensible heat flux (a) and Comparison of simulated and observed latent heat flux (b)



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687 Figure 10 The difference of the control and sensitivity experiments simulated  
 688 regional sensible heat flux (a) 12:00, (b) 00:00 and latent heat flux (c) 12:00, (d)  
 689 00:00

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