1 Response to COMMENTS

5 We are thankful to the reviewers for their valuable comments on the paper. Below we provide the 6 responses to the comments and questions raised. Modifications and improvements are incorporated 7 in the revised manuscript as mentioned below for each of the comments. For easy visualization, the 8 responses to the reviewers' comments in bold are provided below and changes in manuscript are 9 also highlighted in RED color (Track Change). 10 11 The manuscript presents a study on estimating surface roughness length from remote sensing 12 data which is tested with calculated roughness length from field observation data. It appears 13 to be converted from a thesis chapter, premature for journal submission. The list of references 14 does not follow an order. The authors should have spent more time in making the manuscript 15 ready. In addition to this formatting and writing problem, I have more concern on the science

16 **aspect of the manuscript.**

17

18 The list of references has been adjusted in order.

19

(1) The assumption of this study is that the roughness length varies with time when surface
 vegetation cover is changing. For the same reason, would zero-plane displacement be
 varying too? Why is it taken as a constant value 0.03 m ? (L180).

Response, The magnitude of the zero plane displacement d is related to many factors, first of all it is related to the canopy height. In 1969, G. Stanhill had empirically obtained the relationship between the height of the high-stalk crop h and the height of zero-plane displacement d as:

- 27 d=0.979h-0.154
- 28 As a rough approximation, d can be derived from:
- 29 d=0.64h

30 In this study, we use the measured values of average wind speed and turbulent flux of a

University in Germany. In this software, k is 0.4, so k is also 0.4 in this paper, already revised. Foken, T: Micrometeorology, (Springer Heidelberg), XX, 113 illus., 308 p., Softcover (2008), doi:ISBN: 978-3-540-74665-2 (3) Please provide an equation showing how u* us calculated. It is certainly not a directly measurable variable. Response, u* is calculated from the wind speed observed by the eddy covariance observation system, the formula is as follows: $u_* = \sqrt{-u w}$ (4) About the Massman model, I could not find exact equations in wither Massman 1997 or 1999. However, I see you 2.6 is somewhat close to Massman 1999 Eq. 5. The relationship in Massman Eq. 5 C1- C2* while yours is C1 + C2* Something looks inconsistent. Response: Formula 6 is wrong due to carelessness. $\gamma = C_1 + C_2 \cdot \exp(-C_3 \cdot C_d \cdot LAI)$ should be ' $\gamma = C_1 - C_2 \cdot exp(-C_3 \cdot C_d \cdot LAI)$ '						

with Chen's method (Chen, et al., 2013), it is obtained from ASTER's DEM products in thisstudy.

62 Chen, X.L., et al., An improvement of roughness height parameterization of the Surface Energy
63 Balance System (SEBS) over the Tibetan Plateau. Journal of Applied Meteorology and Climatology,
64 2013. 52(3): p. 607-622.

65

66 (6) You cannot present an equation without telling the source or showing how the equation is 67 derived. Please include a reference to, for example, 2.13.

Response, The LAI used in this thesis is calculated by the NDVI of MODIS (Su,1996). The
calculation formula is:

70
$$LAI = \left(\frac{NDVI * (1 + NDVI)}{1 - NDVI}\right)^{0.5}$$
(13)

Su, Z.B., 1996. Remote Sensing Applied to Hydrology: The Sauer River Basin Study.
 RuhrUniversität Bochum, Lehrstuhl für Hydrologie, Wasserwirtschaft und Umwelttechnik.

(7) Values of hmax and hmin are required to estimate Zom. Are the hmax and hmin values
estimated based on the three observation stations representative to the whole area where you

75 produce maps of roughness length?

Response, Due to the limitation of observation conditions (high altitude, high cold, and harsh natural conditions), observation sites are relatively rare. The vegetation of the three selected sites, Nagqu Station (Alpine meadow), NPAM Station and Namco Station, is the vegetation condition of the typical vegetation underlying surface on the northern Tibetan Plateau. A large number of vegetation conditions need to be observed in the next step

81

(8) There are empirical equations for estimation of roughness lengths and zero plane
displacement based on vegetation height. It would be good to test how the empirical
relationships compare to equation 2.8 and 2.9.

- 85 **Response,** In Section 3.3, the surface roughness calculated by observations was used to evaluate
- the results of satellite retrieved (9), showing that the correlation between the two is up to 0.83.

87 **3.3 Evaluation of satellite data retrieved results**

88 The Z0m scatter plot is shown in Figure 7. It can be seen that there is a significant positive

89 correlation between the satellite data and the surface roughness calculated from the site data. 90 The correlation coefficient between the observation result and the retrieved result is different 91 from that of the NAMCO station in 2010 in Fig. 7(g), and the others are large. It shows that the 92 average result of the underlying surface smoothed the same underlying surface results in 93 different regions, further indicating that the satellite retrieved results are more similar to the site 94 calculation results. However, the results of the NAMCO site are different from those of other 95 sites. The correlation coefficient with the average results of the underlying surface is 0.83, and 96 the correlation coefficient with the satellite retrieved results is 0.62. Or because the Namco 97 Observation Station is closer to the lake (1km), it is more affected by local microclimate such as lake and land winds. The results in Figure 7 all passed the F test of P = 0.05. It indicates that 98 99 there is no significant difference between the site data calculation results and the satellite data 100 retrieved results.



Fig. 7 Scatter plots of the retrieved and calculated surface roughness length on four sites
(a-d: scatter plot of the observation results and the average result of the underlying surface; e-h:
scatter plot of the observation and retrieved results; a,e: BJ station in 2008; b,f: BJ station in 2012;
c,g: NAMC station in 2010; d,h: NPAM station in 2012)

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- 108
- 109



111 surface at Nagqu Area over the Tibetan Plateau" by Maoshan Li et al. Anonymous Referee #2 112 Received and published: 20 October 2020 The surface roughness plays an important role in 113 understanding the land surface processes, and the land-atmosphere interactions, which will 114 subsequently impact the land surface heat fluxes and then to the local climate. When putting this topic within the context of Tibetan Plateau (TP), the importance of surface roughness 115 116 become even more prominent, due to the important role of TP in the formation, outbreak, 117 duration and intensity of Asian monsoon, and in the global climate system. In this study, the 118 author use MODIS satellite data and tower-based atmospheric turbulence observation data 119 to investigate the temporal and spatial variation of the surface roughness. The results show 120 that the satellite-derived surface roughness are consistent with the measurement data. The 121 satellite-based surface roughness were further classified into HESSD Interactive comment 122 Printer-friendly version Discussion paper different underlying surfaces (Urban, Lush 123 Grassland, Sparse grassland, and ice and snow). The manuscript is well written and organized, 124 it is suggested to clarify some minor comments before its acceptance for publication.

The signal of grazing activities should be captured by MODIS. Nevertheless, this is not
 discussed in the manuscript. Also relevant to this point, the in-situ measurements were
 taken within the fence, this reviewer is wondering how such differences (within fence no
 grazing, outside fence grazing) will impact the result and conclusion.

129 **Response**,

Applying the Massman model to MODIS LAI images in northern Tibet can obtain a more 130 reliable z0m retrieved value. However, there are still some differences between the retrieved 131 132 results and the ground observation results. There are several reasons for this. First, the source 133 area observed by EC does not necessarily overlap with the pixels of the remote sensing image. 134 In this way, the difference in spatial representation area may cause inconsistencies between 135 remote sensing results and ground results. Secondly, the underlying surface in the remote sensing pixel is assumed to be flat and uniform, but this does not match the actual situation. The 136 137 eddy covariance observation system of BJ station is inside the fence. The height of the grass in 138 the fence during the growing season is about 15cm, and the highest outside the fence is 5cm, 139 but the LAS observation system reflects the difference in roughness inside and outside the fence very well. The impression of LAS can cover about 1.5km×1.4km, while the impression of EC 140

141 can cover about 300m×300m. Therefore, the surface parameters obtained based on LAS data can represent a larger spatial area. EC and LAS data results represent two different spatial scales 142 143 of surface parameters. The surface characteristic parameters at EC and LAS spatial scales are compared. The aerodynamic roughness lengths at EC spatial scale (EC z0m) vary from 0.001 144 to 0.031 m, whereas the aerodynamic roughness lengths at LAS spatial scale (LAS z0m) vary 145 from 0.015 to 0.056 m. They share the same seasonal variations but LAS z0m is larger than 146 EC z0m, which is attributed to the undulation of land surface. The land surface is flat in the 147 148 EC footprints but undulates in the LAS footprints, and this creates the differences in the roughness elements between the two spatial scales (Sun et al., 2016). 149

Sun G., 2016. The Upscaling Analysis of Surface Fluxes of Alpine Grassland over the in Northern
 Tibetan Plateau[D]. Lanzhou: Cold and Arid Regions Environmental and Engineering Research

- 152 Institute Chinese Academy of Science, 1-134. (in Chinese with English abstract)
- 153

154 2. Figure 7, the label for x-axis are in Chinese. And it is not clear why a-d, and e-h? What are 155 differences are not clearly explained in the main text.



156 **Response**, they have been revised as follow,

Fig. 7 Scatter plots of the retrieved and calculated surface roughness length on four sites
(a-d: scatter plot of the observation results and the average result of the underlying surface; e-h:
scatter plot of the observation and retrieved results; a,e: BJ station in 2008; b,f: BJ station in 2012;
c,g: NAMC station in 2010; d,h: NPAM station in 2012)The Z0m scatter plot is shown in Figure 7.

163 It can be seen that there is a significant positive correlation between the satellite data and the surface roughness calculated from the site data. The correlation coefficient between the observation result 164 165 and the retrieved result is different from that of the NAMCO station in 2010 in Fig. 7(g), and the 166 others are large. It shows that the average result of the underlying surface smoothed the same underlying surface results in different regions, further indicating that the satellite retrieved results 167 168 are more similar to the site calculation results. However, the results of the NAMCO site are different 169 from those of other sites. The correlation coefficient with the average results of the underlying 170 surface is 0.83, and the correlation coefficient with the satellite retrieved results is 0.62. Or because 171 the Namco Observation Station is closer to the lake (1km), it is more affected by local microclimate 172 such as lake and land winds. The results in Figure 7 all passed the F test of P = 0.05. It indicates that 173 there is no significant difference between the site data calculation results and the satellite data 174 retrieved results.

175

3. This reviewer is also curious how the outcome of this research can be taken up by land surface modellers in terms of calculating land surface heat fluxes. Could the authors help detail a bit the discussion here?

179 **Response**, Research on model simulation of surface flux has achieved good results in many regions (Smirnova et al., 2016). Especially in recent years, with the continuous development and 180 181 improvement of numerical models, research on the applicability of different parameterization schemes in different models to different regions has continued. Luo et al. used the land surface 182 183 model CoLM to conduct a single-point numerical simulation of the BJ station and successfully 184 simulated the energy exchange process in the Nagqu area (Luo et al., 2009). Zhang et al. Evaluated 185 the surface physical process parameterization schemes of Noah LSM and Noah-MP in the entire 186 East Asia region, and also evaluated the simulation of the surface heat flux of the Tibetan Plateau 187 [Zhang et al., 2017]. Xie et al. explored the simulation effect of land surface model CLM4.5 in the 188 alpine meadow area of the Qinghai-Tibet Plateau [Xie et al., 2017]. Xu et al. studied the applicability 189 of different parameterization schemes in the WRF model when simulating boundary layer 190 characteristics in the Nagqu area [Xu, et al., 2018]. Zhang, et al. Comparative analysis of the 191 meteorological elements simulated by different land surface process schemes in the WRF model in 192 the Yellow River source region (Zhang et al., 2019). However, the applicability of the model in the

193 Tibetan Plateau needs further study. The terrain of the Tibetan Plateau is complex, the underlying 194 surface is very uneven, and has high spatial heterogeneity. Because the condition of the underlying 195 surface has a very significant impact on the surface flux, obtaining information on the surface vegetation status of a certain area is very helpful for analyzing the spatial representation of the 196 197 surface flux. This study uses remote sensing images and aerodynamic roughness remote sensing 198 retrieved model to estimate the spatial scale of aerodynamic roughness conditions in northern Tibet, which will provide parameters and parameterization scheme improvements for model simulations 199 200 to study the spatial distribution of surface flux in the Tibetan Plateau.

- 201 Smirnova T., Brown J., Benjamin S., Kenyon J. (2016) Modifications to the rapid update cycle land
- 202 surface model (RUC LSM) available in the weather research and forecasting (WRF) model. Mon
- 203 Weather Rev 144(5):1851–1865
- 204 Luo S., Lü S., Yu Z. (2009) Development and validation of the frozen soil parameterization scheme
- 205 in Common Land Model. Cold Reg Sci Technol 55:130–140
- 206 Zhang G., Zhou G., Chen F. 2017, Analysis of Parameter Sensitivity on Surface Heat Exchange in
- 207 the Noah Land Surface Model at a Temperate Desert Steppe Site in China[J]. Acta Meteorologica
 208 Sinica. (6).1167-1182.doi:10.1007/s13351-017-7050-1.
- 209 Xie Z., Hu Z., Liu H., Sun G., et al., 2017 Evaluation of the Surface Energy Exchange Simulations
- 210 of Land Surface Model CLM4.5 in Alpine Meadow over the Qinghai-Xizang Plateau. Plateau
- 211 Meteorology, 36(1): 1-12. (in Chinese with English abstract)
- 212 Xu L., Liu H., Xu X., et al. 2018, Applicability of WRF model to the simulation of atmospheric
- boundary layer in Nagqu area of Tibetan Plateau[J]. Acta Meteorologica Sinica, 2018(6):955-967.
- 214 (in Chinese with English abstract)
- 215

Variations of surface roughness on inhomogeneous underlying 216 surface at Nagqu Area over the Tibetan Plateau 217 218 Maoshan Li¹¹, Lei Shu¹, Xiaoran Liu¹, Shucheng Yin¹, Lingzhi Wang¹, Wei Fu¹, 219 Genhou Sun, Yaoming Ma², Fanglin Sun³ 220 (1.School of Atmospheric Sciences/Plateau Atmosphere and Environment Key Laboratory of Sichuan 221 Province/Joint Laboratory of Climate and Environment Change, Chengdu University of Information Technology, 222 Chengdu 610225, Sichuan China; 223 2. Key Laboratory of Tibetan Environment Changes and Land Surface Processes, Institute of Tibetan Plateau 224 Research, Chinese Academy of Sciences, CAS Center for Excellence in Tibetan Plateau Earth Sciences, Beijing, 225 China; 226 3. Key Laboratory of Land Surface Process and Climate Change in Cold and Arid Regions, Chinese Academy 227 of Sciences. Lanzhou, China) 228 229 Abstract: Using the MODIS satellite data and station atmospheric turbulence observation data in 230 Nagqu area of northern Tibetan plateau in 2008, 2010 and 2012, with the Massman retrieved model 231 and the measured values of average wind speed and turbulent flux of a single height ultrasonic 232 anemometer to determine aerodynamic surface roughness, the temporal and spatial variation 233 characteristics of the surface roughness was analyzed. The results show that the surface roughness 234 length has obvious seasonal variation characteristics. From February to August, Z0m increases constantly with the ablation of snow and vegetation growth, and the maximum value reaches 4-5cm 235 236 at BJ site. From September to February, Z0m gradually decreased because of the post-monsoon over 237 the plateau, and the values decreased to about 1-2cm. The snowfall in abnormal years is the main 238 reason why Z0m is obviously lower than that in normal. The underlying surface can be divided into 239 four categories according to the different values of Z0m: snow and ice, sparse grassland, lush 240 grassland and town. Among them, lush grassland and sparse grassland account for 62.49% and 33.74% 241 respectively in the region, which are the main categories, and their Z0m annual changes are between 242 2-6cm and 1-4cm. The correlation between the two methods are positively related to each other, and

¹ Corresponding author

Dr. Maoshan Li

Chengdu University of Information Technology

24 Block 1, Xuefu Road, Chengdu 610225, Sichuan, China

E-mail: lims@cuit.edu.cn

the retrieved data are smaller than the measured results due to the average sliding action. On the

244 whole, Z0m calculated by satellite data retrieved algorithm is feasible, it can be applied to improve

the model parameters of land surface model parameters and the accuracy of model simulation, better

246 reveal the heat flux exchange.

247 Key words: Northern Tibet Plateau; Surface roughness; NDVI; MODIS

248

249 **1 Introduction**

250 Known as the "third pole" of the earth (Jane, 2008), the Tibetan plateau has an 251 average altitude of over 4000m, accounting for a quarter of China's territory. It is located in the southwest of China, adjacent to the subtropical tropics in the south and reaching 252 the mid-latitude in the north, making it the highest plateau in the world. Due to its 253 254 special geographical location and geomorphic characteristics, it plays an important role in the formation, outbreak, duration and intensity of Asian monsoon especially in the 255 global climate system. (Yang et al., 1998; Zhang et al., 1998; Wu et al., 1999, 2004, 256 2005; Ye et al, 1998; Wu et al, 1998; Tao et al, 1998). Lots of research shows that (Wu 257 258 et al., 2013; Wang, 1999; Ma et al, 2002) the land-atmosphere interaction on the Tibet plateau plays an important role in regional and global climate. Wang (Wang, 1999) 259 pointed out that high latitude areas and mountainous areas are sensitive areas of climate 260 change, especially the land-atmosphere interaction located in the plateau area of middle 261 latitude (including large areas of permafrost), which plays an extremely important role 262 in regional climate and global climate. The plateau monsoon is closely related to the 263 264 intensity and location of the south Asian high (Xun et al, 2002). The correlation between the dynamic index of plateau monsoon and the pelagic meridional wind shows that there 265 266 is a teleconnection in summer, indicating that the teleconnection is the relationship 267 between the plateau monsoon, the East Asian monsoon and the South Asian monsoon. In the past 47 years, the Tibetan plateau has shown a significant warming trend and 268 increased precipitation. (Li et al., 2010) The thermal effects of the Tibetan Plateau not 269 270 only have an important impact on the Asian monsoon and precipitation variability, but also affect the atmospheric circulation and climate in North America and Europe and 271 the South Indian Ocean by inspiring large-scale teleconnections similar to the Asia-272

273 Pacific Oscillation. (Zhou et al., 2009).

The various thermal and dynamic effects of the Tibetan Plateau on the atmosphere 274 275 affect the free atmosphere through the atmospheric boundary layer. Therefore, it is particularly important to analyze the micro-meteorological characteristics of the 276 atmospheric boundary layer of the Qinghai-Tibet Plateau, especially the near-surface 277 layer. (Li et al., 2000). Affected by the unique underlying surface conditions of the 278 Tibetan Plateau, local heating shows interannual and interdecadal variability (Zhou et 279 280 al., 2009). Land-atmosphere interaction refers to a series of complex processes such as thermodynamics, dynamic, hydrology, biophysical and biochemical processes that occur on 281 land surface, and the interaction process between these processes and the atmosphere 282 (Su et al., 1999). Different underlying surfaces have different diversity, complex 283 284 composition and uneven distribution. They also make the land surface composed of them diverse and complex. As the main input of atmospheric energy, the surface greatly 285 affects the various interactions between the ground and the atmosphere, and even plays 286 a key role in local areas or specific time (Guan et al, 2009). For this reason, the study 287 288 of the land-atmosphere interaction on the Tibetan Plateau has become one of the research hotspots in the past 30 years, and has received more and more attention on the 289 whole world. 290

The climate system is sensitive to anomalous changes in land surface conditions. 291 292 The surface characteristic parameters (dynamic roughness, thermodynamic roughness, etc.) play an important role in the land surface process and are important factors in 293 causing climate change (Jia et al., 2000). There are different degrees of fluctuant on the 294 underlying surface of the Tibetan Plateau, which brings certain obstacles to 295 296 understanding the land-atmosphere interaction of the Tibetan Plateau. The fluctuant surface may alter the arrangement of roughness element which on the surface and cause 297 changes in surface roughness. Changes in roughness can also affect changes in the 298 characteristics of other surface turbulent transportation, which may also result in 299 changes in surface fluxes. Chen et al., (2015) presents a practical approach for 300 determining the aerodynamic roughness length at fine temporal and spatial resolution 301 over the landscape by combining remote sensing and ground measurements. And the 302

surface roughness is an important parameter in the land surface model and climate 303 model. Its size reflects the matter energy exchange, transmission intensity and 304 305 interaction between the near surface airflow and the underlying surface to some extent. (Liu et al., 2007; Irannejad et al, 1998; Shao et al, 2000; Zhang et al., 2003). Zhou et al. 306 (2012) demonstrated that simulated sensible heat flux compared with measurement was 307 significantly improved by using a time-dependent z0m. Therefore, the primary 308 objective of this study is to calculate the surface roughness and its variation 309 310 characteristics so that furthermore understanding of land-atmosphere interactions on the central of the Tibetan Plateau. 311

The Nagqu area is located in the hinterland of the Tibetan Plateau, in the north of 312 Tibet. It is the source of the Yangtze River, the Nujiang River, the Lhasa River and the 313 Yigong River. The entire terrain is high in the west and low in the east, with an average 314 elevation of more than 4,500 meters. The altitude is high, the heat is insufficient, the 315 climate is severely cold and dry, and the oxygen content is only half of the sea level. 316 The northern Tibet Plateau is one of the most severe areas in Tibet. It is a typical sub-317 318 frigid climate zone. It is cold and lack of oxygen, the climate is dry, the temperature difference between day and night is large, and it is windy. (Li et al., 2004) However, 319 there is a vast natural grassland here, so a complete mesoscale observation network 320 321 centered on the Nagqu climate observation and research station has been established, and a large amount of valuable observation data has been obtained for more accurate 322 description to provide sufficient evidence for Plateau land-atmosphere interactions and 323 324 atmospheric boundary layer structures. The underlying surface of the Nagqu area is a vast highland plain, and the vegetation is alpine grassland. However, the underlying 325 326 surface has different degrees of ups and downs and has certain complexity, which brings certain difficulties for the meticulous profound study of the land-atmosphere interaction 327 on the Tibetan Plateau. 328

In this study, the satellite data is obtained by MODIS, and the normalized difference vegetation Index (NDVI) in Nagqu area is used to study the dynamic surface roughness length. And using three observation stations in the region, atmospheric turbulence observation data from 2008, 2010, and 2012 and observation data from automatic

weather stations, the measured values of average wind speed and turbulent flux of a 333 single height ultrasonic anemometer for determining surface dynamics roughness Z0m 334 335 is applied (Chen et al., 1993). Analyzed time-scale dynamics of Z0m and the different results of different underlying surfaces. Through the comparison of the calculation 336 results of the observation data, we study whether the surface roughness values retrieved 337 338 by satellites are reliable, in order to provide accurate surface characteristic parameters for the study of land-atmosphere interaction in the plateau area, and improve the 339 theoretical research level of the near-surface layer in the Tibetan Plateau. In the 340 following section, we describe the case study area, the MODIS remote sensing data, the 341 342 ground observations, and the land cover map used to drive the revised Massman model (Massman et al, 1997, 1999). In Section 3, we present the results, followed by a validation 343 based on flux measurements on Nagqu station. Finally, we give some concluding 344 345 remarks on variation characteristics of the aerodynamic roughness lengths on the Nagqu area in the central of the Tibetan Plateau. 346

347

348 **2 Study area, Data and methods**

349 **2.1 Study area and Data**

The area selected in this study is a 200×200km² area centered on the Nagqu Station of Plateau Climate and Environment of the Northwest Institute of Ecology and Environmental Resources, Chinese Academy of Sciences, Northern Tibet Plateau.

In this area, there are North Pam (Portable Automated Meso-net) Automatic Meteorological Observatory (NPAM), Nam Co Station for Multisphere Observation and Research, Chinese Academy of Sciences (NAMC), and BJ three meteorological observatories stations (Figure 1).The underlying surface around the observation point is relatively flat on a small spatial scale, and there is a certain undulation at a large spatial scale. The data used included observations from atmospheric turbulence and automatic meteorological stations. The BJ station is located at 31.37°N, 91.90°E, and the altitude is 4509m a.s.l. The BJ

observation point is located in the seasonal frozen soil area, and the vegetation is alpine grassland.
 The site measurement equipment includes ultrasonic anemometer (CAST3), CO2/H2O infrared

362 open circuit analyzer (LI 7500) and automatic meteorological observation system. (Ma et al., 2006) This study uses the data from BJ station in 2008 and 2012. The NPAM station is located at 31°56'N, 363 364 91°43'E and has an altitude of approximately 4700m. The ground of the experimental field is flat 365 and the area is wide. The ground is covered by a plateau meadow 15 cm high in summer. The experimental station observation equipment includes ultrasonic wind thermometer and 366 367 humidity probe pulsator, and also includes data on temperature and humidity, air pressure, average wind speed, average wind direction, surface radiation temperature, 368 369 soil heat flux, soil moisture and temperature, and radiation. (Ma et al., 2006). The NAMC 370 station is located at 30°46.44'N, 90°59.31'E, at an altitude of 4730m. It is located on the southeastern shore of Namco Lake in Namuqin Township, Dangxiong County, Tibet Autonomous Region. It is 371 372 backed by the Nyainqentanglha Mountain Range and the underlying surface is an alpine meadow. This study uses the data from NPAM station for the whole year of 2012 and from 373 374 NAMC station for the whole year of 2010. 375 Figure 1 about here 376 Table 1 about here 377 The land cover data used in this study is GLC2009 (Arino et al, 2010) data. The data is the retrieved data of Envisat satellite in 2009 with a spatial resolution of 300m and the classification 378 379 standard is Land Cover Classification System (LCCS). The classification standard divides the global 380 surface into 23 different types, and the selected area in this study includes 14 of them. As the actual 381 situation in the selected area does not match the data part of GLC2009, there is no underlying surface 382 such as farmland in the selected area. Therefore, according to the actual land cover types obtained

389 The satellite data from the MODerate-resolution Imaging Spectroradiometer 390 (MODIS) is an important sensor in the satellites TERRA and AQUA launched by the 391 US Earth Observing System Program. The band of MODIS sensor covers the full

forest grassland mix and the multi-grass forest land mix will no longer be studied.

by Chu (Chu, 2010), the names of irrigated farmland, dry farmland, mixed farmland vegetation,

mixed multi-vegetation land, closed grassland and open grassland are replaced with 6 grassland,

shrub meadows, mountain meadows, alpine grasslands, alpine meadows and sparse vegetation in

the mountains. Since the proportion of the underlying surface of the tree as a whole is only 0.36%,

the four types of underlying surfaces of the evergreen coniferous forest, the mixed forest, the multi-

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spectrum of visible light to thermal infrared, which can detect surface and atmospheric conditions such as surface temperature, surface vegetation cover, atmospheric precipitation, cloud top temperature, etc. The maximum spatial resolution is 250m. The normalized vegetation index obtained by MODIS detection is the MYD13Q1 product from MODIS, which provides a global resolution of 250m per 16 days. This study selects 73 materials for the year of 2008, 2010 and 2012 in Nagqu.

398 **2.2 Methodology**

399

2.2.1 Method for calculating surface roughness by observation data

400 Using the measured values of average wind speed and turbulent flux of a single height

401 ultrasonic anemometer, the calculation scheme of surface roughness proposed by Chen et al.

402 (Chen et al., 1993) was selected and the dynamic variation of the surface roughness was obtained.

403 According to the Monin-Obukhov similarity theory (Monin et al., 1954), the wind profile

404 formula with the stratification stability correction function (Panosky et al, 1984) is

405
$$U(z) = \frac{u_*}{k} \left[\ln \frac{z - d}{Z_{0m}} - \psi_m(\zeta) \right]$$
(1)

406
$$\psi_{\rm m}(\zeta) = 2\ln(\frac{1+x}{2}) + \ln(\frac{1+x^2}{2}) - \tan^{-1}(x) + \frac{\pi}{2} \qquad \zeta < 0$$
 (2)

407
$$\psi_m(\zeta) = -5\zeta \qquad \zeta > 0 \qquad (3)$$

408 Where: $u_* = \sqrt{-u w'}$, Z0m is the dynamic surface roughness length; z is the observed height; 409 d is zero plane displacement, taken as 0.03m, calculated from the average vegetation height 0.045m 410 (Stanhill, 1969); U is the average wind speed; k is the Karman constant, taken as 0.40

411 (Högström,1996);
$$L = -\frac{u_*^3}{(k\frac{g}{\theta})\overline{\theta'\omega'}}$$
 (Monin et al., 1954 is the Monin-Obukhov length;

412
$$x = (1-16\zeta)^{1/4}; \zeta = (z-d)/L$$
 is the atmospheric stability parameter. Available from formula
413 (2.1):

415
$$\ln \frac{z-d}{Z_{0m}} = \frac{kU}{u_*} + \psi_m(\zeta)$$
 (4)

Using equations (2)-(4), Z0m can be determined by fitting ζ and observation of a single

417 height
$$\frac{kU}{u_*}$$
.

418

416

2.2.2 Method for calculating surface roughness by satellite data

419 For fully covered uniform canopy, Brutsaert suggest that z 0m =0.13hv (Brutsaert, 1982). For 420 the canopy with proportional coverage (partial coverage), according to the research of Raupach 421 (Raupach, 1994), z 0m/hv varies with the leaf area index (LAI). However, Pierce et al. (Pierce et al. 422 1992) pointed out that for all kinds of biological groups, leaf area index can be obtained from NDVI, 423 and the density grade of vegetation can be related to NDVI. Asrar et al., 1992) pointed 424 out that there was mutual relationship between LAI, NDVI and ground cover through the study of physical model. Moran's study (Moran, et al., 1994) gives another way, using a function of the 425 426 relationship between NDVI and Z_{0m} in the growing season of alfalfa,

427
$$Z_{0m}(x,y) = \exp \left[C_1 + C_2 NDVI(x,y)\right]$$
(5)

428 Where C_1 and C_2 are empirical constants.

429 Considering that the main underlying surface of the study area is grassland, this study selects 430 the Massman model (Massman et al, 1997, 1999) to calculate the Z0m in Nagqu area of central of 431 the Tibetan Plateau. The Massman model is calculated as follows:

432
$$\gamma = C_1 - C_2 \cdot exp(-C_3 \cdot C_d \cdot LAI)$$
(6)

433
$$n_{ec} = \frac{C_d \cdot LAI}{2 \cdot \gamma^2}$$
(7)

434
$$d_{h} = 1 - \frac{\left[1 - \exp(-2 \cdot n_{ec})\right]}{2 \cdot n_{ec}}$$
(8)

435
$$\frac{Z_{0m}}{h} = \left[1 - d_h\right] \cdot \exp(-\frac{k}{\gamma}) \tag{9}$$

Among them, $C_1=0.32$, $C_2=0.26$, $C_3=15.1$ is the constant in the model, which is related to the surface drag coefficient, LAI is the leaf area index, $C_d=0.2$ is the liquid surface drag coefficient, n_{ec} is the wind speed profile coefficient of fluctuation in the vegetation canopy, h is the vegetation height. In many earlier studies, it was concluded that the high-altitude environment of the Tibetan Plateau caused a low temperature in the area to which the study was located, and had an effect on the height and sparseness of the vegetation. Based on the previous researches, this study considers that the vegetation height in northern Tibet is related to the normalized differential vegetation index
(NDVI) and altitude (Chen et al, 2013), and introduced the altitude correction factor on the original
basis. The following is the calculation formula.

445
$$H = h_{\min} + \left(\frac{h_{\max} - h_{\min}}{NDVI_{\max} - NDVI_{\min}}\right) (NDVI - NDVI_{\min})$$
(10)

446

447 Among them, h_{min} and h_{max} are the minimum and maximum values of vegetation height 448 observed at the observation station, and NDVI_{max} and NDVI_{min} are the maximum and minimum 449 values of NDVI of the observation station. H is based on the assumption that the vegetation height 450 is directly proportional to the NDVI. x is the altitude and is obtained from ASTER's DEM products. 451 acf is the altitude correction factor (Chen et al., 2013), which is used to characterize the effect of 452 altitude elevation on the height of vegetation in northern Tibet. Its form is:

 $h = acf \cdot H$

(11)

453
$$acf = \begin{cases} 0.149, x > 4800\\ 11.809 - 0.0024 \cdot x, 4300 < x < 4800\\ 1.49, x < 4300 \end{cases}$$
(12)

454 The LAI used in this thesis is calculated by the NDVI of MODIS (Su,1996). The calculation 455 formula is:

456
$$LAI = \left(\frac{NDVI * (1 + NDVI)}{1 - NDVI}\right)^{0.5}$$
(13)

457 **3 Result analysis**

458 **3.1** The variation characteristics of surface roughness by using measured data

459 Figure 2 shows the temporal variation characteristics of surface roughness of sites in different 460 years in Nagqu area. It can be seen that Z0m value has continued to increase since February to reach 461 a maximum in July and August. The BJ station and the NPAM station in 2012 show that July is 462 slightly larger than that in August, the 2010 NAMCO station and the 2008 BJ station in August is 463 bigger than July. The BJ station may have a precipitation process in July 2008, resulting in a July 464 Z0m value less than June. After August, Z0m began to decrease, and the value of December was about the same as the value of January. In general, the change of Z0m degree of each station 465 466 increases from spring to summer and decreases month by month from summer to winter.

Figure 2 about here

3.2 Spatiotemporal variation characteristics of surface roughness length retrieved by MODIS data

Figure 3 is a plot of surface roughness distribution of 200×200 km² around the BJ site in 2008. 471 472 In February, the Z0m decreased from January, which may be due to snowfall, temperature, etc., 473 resulting in a small Z0m and continued to decrease. Due to the rising temperature of snow melting, 474 Z0m showed a slowly increasing trend from February to May, and Z0m showed a rapid increase 475 from June to August. From June onwards, a large number of surface textures are presented, 476 indicating the complexity of the underlying surface. Whether it is the bulk surface or the vegetation, 477 which have an important impact on Z0m. From May to August, due to the obvious changes in 478 humidity, temperature and pressure caused by the plateau summer monsoon, the height and coverage 479 of surface vegetation increased, and Z0m peaked in August. In particular, the change from May to 480 June is very significant. It may be due to the beginning of the summer monsoon in June, which led to the increase of precipitation, which accelerated the growth of vegetation and the rapid rise of 481 482 Z0m. In June, July, and August, due to the continuous precipitation and rising temperature, the vegetation grows very vigorously, but it does not change after it grows to maturity. The 483 corresponding maximum value of Z0m in the figure remains unchanged, but due to sufficient in 484 485 these three months, the Z0m large value area gradually expanded, and reached the maximum range 486 in August. From September to December, as the plateau summer monsoon retreat, the temperature 487 and humidity are gradually reduced. Compared with the plateau summer monsoon, the vegetation 488 is no longer suitable for vegetation growth, the contribution of vegetation to Z0m is weakened, and 489 the surface vegetation height is the coverage gradually decreases, and thus, Z0m continues to 490 decrease, and the range of large-value regions also gradually decreases.

- 491
- 492

Figure 3 about here Figure 4 about here

Figures 4 and 5 respectively show the retrieved monthly surface roughness in the BJ area in 2010 and 2012. It can also be seen that the Z0m also showed a decrease from January to February in the Nagqu area in 2010 and 2012. Since February, Z0m has started to increase. Since June, Z0m has grown rapidly and reached the peak of the whole year in August. After that, Z0m began to decrease.

498 Figure 5 about here It can also be seen from Fig. 3, Fig. 4, Fig. 5 that Z0m changes with spatial and temporal scale. 499 Z0m shows different trends on different underlying surfaces. It is worth noting that in November 500 2008, the Z0m in the Nagqu area was small overall, generally as low as 1 cm. According to historical 501 data, it is known that there is a large-scale snowfall process in the Nagqu area at this time. The 502 503 snowfall of the meadow causes the underlying surface of the meadow to be homogeneous and flat, and after the snowfall falls, it is easy to form a block, scattered and discontinuous underlying surface. 504 505 And it can be obtained later that the surface roughness of the area with ice and snow as the 506 underlying surface is not more than 1 cm, which is consistent with the historical weather process. 507 So, we think that snowfall caused the Z0m in November to be very small. And from November to 508 December, Z0m showed a growing trend, which may be due to temperature, soil unfrozen or other 509 reasons, resulting in the melting of snow, and then the surface roughness showed a growing trend 510 (Zhou, 2017).

511

512

3.3 Evaluation of satellite data retrieved results

Figure 6 about here

513 The underlying surfaces of the three sites selected in this study are all alpine meadows. In Figure 6, the NPAM site data calculation results are larger than the satellite data retrieved results 514 throughout the year, only September and October are very close, and the trend is similar. The 515 maximum value of the site data calculation is 5cm and the satellite data retrieved result is 4.5cm 516 517 maximum. The maximum difference is in May, which is 1.7cm. The NAMCO station data calculation results are very close to the satellite data retrieved results from April to November, but 518 the satellite data retrieved results in January, March and December are significantly larger than the 519 520 site data calculation results. The biggest difference appears in January, and the difference value is 521 up to 1.5cm. In 2008, the calculation results of BJ station data were larger than the satellite data 522 retrieved results throughout the year. The calculation results of the site data were very close to the satellite data retrieved results from January to April, July to November, but there was a big difference 523 524 between the May June and December, and the biggest difference occurred in May, with a difference 525 of 1.8 cm. In 2012, BJ's site data calculation results were consistent with the satellite data retrieved 526 results for the whole year, but the site data calculation results were larger than the satellite data 527 retrieved results from March to June, and the station data calculation results were smaller than the 528 satellite data retrieved at other times. As a result, the largest difference occurred in June with a difference of 1.1 cm. It can be seen from Figure 6 that, in the overall situation, in January, February, 529 530 March, November and December, the seasonal variation trend of the site data calculation results is 531 consistent with the satellite data retrieved results. However, the site data calculation results from 532 April to October are greater than the satellite data retrieved results. From Fig. 6 the Z0m calculated 533 from the site observation data is larger than the satellite data, which may be because the average 534 smoothing effect. It is worth noting that from February to July, the single point Z0m value was 535 significantly increased according to the independent method of determining the surface roughness, 536 while the results obtained by using the satellite data did not increase significantly. The satellite 537 results show that the January to May, November, and December are basically stable below 2cm, and only change from June to October; this is related to the overlapping averages effect of satellite data 538 539 retrieved. In general, the results calculated by the station are generally larger than those obtained by 540 satellite retrieved.

541

Figure 7 about here

542 The Z0m scatter plot is shown in Figure 7. It can be seen that there is a significant positive 543 correlation between retrieved by using the satellite and the surface roughness calculated from the site data. The correlation coefficient between the observation result and the retrieved result except 544 for the NAMCO station in 2010 in Fig. 7(g) are large. It shows that the average result of the 545 underlying surface smoothed the same underlying surface results in different regions, further 546 547 indicating that the satellite retrieved results are well with the site calculation results. However, the results of the NAMCO site are different from those of other sites. The correlation coefficient with 548 549 the average results of the underlying surface is 0.83, and the correlation coefficient with the satellite 550 retrieved results is 0.62. Or because the Namco Observation Station is closer to the lake (1km), it is 551 more affected by local microclimate such as lake and land winds. The results in Figure 7 all passed 552 the F test of P = 0.05. It indicates that there is no significant difference between the site data 553 calculation results and the satellite data retrieved results.

554 4 Variation characteristics of surface roughness of different

555 underlying surfaces

According to the vegetation data GLC2009 combined with local actual conditions, the 200×200km² area of Nagqu was divided into 10 different underlying surfaces (Arino et al., 2010),

558 namely: mountain grassland, shrub meadow, mountain meadow, alpine Grasslands, alpine meadows,

- sparse vegetation lap, urban land, bare land, water bodies, ice sheets and snow covers.
- 560 561

Figure 8 about here

562 The monthly variation of Z0m in different underlying surfaces in Nagqu area is shown in Fig. 563 8. It shows that 14 different underlying surfaces in the Nagqu area can be divided into four categories. The first category is urban land, accounting for 0.07% of the whole study area. The Z0m of this type 564 of underlying surface is greater than that of other types throughout the year, and the change of Z0m 565 566 is very large, probably due to the irregular changes in the underground areas of the selected areas 567 and the irregularities caused by human activities. The second category is lush grassland, including 568 shrub meadows, mountain grasslands, alpine grasslands and mountain meadows, which account for 569 62.49% of the area. The variation curves of Z0m of the four underlying surfaces are similar, and 570 Z0m of the urban land is only smaller than that of other underlying surfaces. The third category is 571 sparse grassland, including alpine sparse vegetation, alpine meadows and bare land, accounting for 572 33.74% of the area. The Z0m of the three underlying surfaces are similar, at a medium height. The 573 Z0m of the bare soil is at the lowest point of these underlying surface Z0m, and the Z0m of the 574 alpine meadow is relatively stable and less affected by the outside. The fourth category is ice and 575 snow, including ice sheets and snow cover, water bodies two kinds of underlying surfaces, 576 accounting for 3.7% of the area. The Z0m of these three underlying surfaces presents another 577 phenomenon. The variation range of the whole year is relatively small, and the Z0m of these 578 underlying surfaces is also small. It is more than 1cm in mid-June, and other times Z0m is less than 1cm. Figure 8(d) shows the multi-year average seasonal variation of Z0m. It can be clearly seen 579 580 from the figure that the underlying surface can be divided into four categories due to the difference 581 in surface roughness. And the change from January to May Z0m is very small, peaking from May 582 to August, and then down to the previous January to May level in November and December. Due to 583 the snowfall in November 2008, it may lead to the low level of November in Figure 8(d). In fact, 584 the surface roughness on November should be higher than that on December in former years.

It can also be seen from Fig. 8 that in the Nagqu area, except for the area of the fourth type of underlying surface, the Z0m change in other areas decreases from January to February, and begins to increase after February, reaching the peak on August, then starting to decrease. However, it can be clearly seen from Fig. 6 that there are several stages in which Z0m changes significantly, in early April, mid-May, early July, late August, and late September. The change at the end of August was the most obvious. Each of the underlying surfaces Z0m changes by more than 2 cm on average. The extent of the change in late September was also large, with an average change of more than 1.5 cm. It should also be pointed out that the change in early July was special because the change resultedin a significant increase in the Z0m of water bodies and ice.

594 It is worth noting that due to factors such as cloud cover, May, August, and November of 2008; August and September of 2010; April and July of 2012, the overall Z0m has changed significantly. 595 This resulted in two very significant changes in August and November on the three-year average. In 596 597 November, according to other meteorological data, it was caused by snowfall. The reason for the 598 August change caused by 2008 and 2010, through analyzing to the Z0m of the water body and the 599 ice and snow surface suddenly increased, was caused by precipitation. Combined with several 600 changes in Z0m, it can be analyzed that precipitation, snowfall, and snow accumulation will make the underlying surface more uniform and flat, and the Z0m will also be relatively reduced. 601

602 **5** C

5 Conclusion and discussion

603 Through the calculation and analysis of the surface roughness of the Nagqu area in the central 604 of the Tibetan Plateau, and comparing the retrieved of the satellite data with the calculation results 605 of the observational data, the attained main conclusions are as follows:

606 (1) The retrieved results of the satellite data are basically consistent with the calculated results 607 of the measured data. Both indicate that the surface roughness has continued to increase from 608 February to August, and began to decrease after reaching the peak in August, and reached the lowest 609 value in February of the following year. There is a lot of connection between the monthly variation 610 of surface roughness and the changes of meteorological elements brought by the plateau summer 611 monsoon. Among them, the satellite surface retrieved results in a slow increase in surface roughness 612 from February to May.

613 (2) Through the characteristics of surface roughness variation retrieved by satellite data, the 614 underlying surface can be divided into four categories according to the surface roughness, from 615 large to small, urban, lush grassland, sparse grassland and ice and snow. Among them, lush grassland 616 accounts for 62.49%, Z_{0m} can reach 6cm, sparse grassland accounts for 33.74%, Z_{0m} can reach up 617 to 4cm, ice and snow account for 3.7%, and Z_{0m} does not exceed 1cm.

618 (3) Comparing the results of satellite retrieved calculation, satellite retrieved results and 619 measured data, the results are positively correlated, and the satellite retrieved results is better fitting 620 with the measured results. Due to the average sliding effect of the retrieved, the satellite retrieved data is smaller than the measured results. This method can be used to calculate the surface roughnessresults for a region and provide a true value for the model for simulation.

623 Through the study of surface roughness, it is beneficial to obtain the surface feature parameter 624 values on the region, provide the ground truth value for the model input, improve the simulation level of the model in the Tibetan Plateau, and deepen the understanding of the land-atmosphere 625 626 interaction process. Research on model simulation of surface flux has achieved good results in many regions (Smirnova et al., 2016). Especially in recent years, with the continuous development and 627 628 improvement of numerical models, research on the applicability of different parameterization 629 schemes in different models to different regions has continued. Luo et al. used the land surface 630 model CoLM to conduct a single-point numerical simulation of the BJ station and successfully 631 simulated the energy exchange process in the Nagqu area (Luo et al., 2009). Zhang et al. evaluated 632 the surface physical process parameterization schemes of Noah LSM and Noah-MP in the entire 633 East Asia region, and also evaluated the simulation of the surface heat flux of the Tibetan Plateau 634 (Zhang et al., 2017). Xie et al. explored the simulation effect of land surface model CLM4.5 in the alpine meadow area of the Qinghai-Tibet Plateau (Xie et al., 2017). Xu et al. studied the applicability 635 636 of different parameterization schemes in the WRF model when simulating boundary layer 637 characteristics in the Nagqu area (Xu, et al., 2018). Zhang, et al. Comparative analysis of the meteorological elements simulated by different land surface process schemes in the WRF model in 638 639 the Yellow River source region (Zhang et al., 2019). However, the applicability of the model in the 640 Tibetan Plateau needs further study. The terrain of the Tibetan Plateau is complex, the underlying 641 surface is very uneven, and has high spatial heterogeneity. Because the condition of the underlying 642 surface has a very significant impact on the surface flux, obtaining information on the surface 643 vegetation status of a certain area is very helpful for analyzing the spatial representation of the 644 surface flux. This study uses remote sensing images and aerodynamic roughness remote sensing 645 retrieved model to estimate the spatial scale of aerodynamic roughness conditions in northern Tibet, 646 which will provide parameters and parameterization scheme improvements for model simulations 647 to study the spatial distribution of surface flux in the Tibetan Plateau.

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- 786 Figure captions
- Fig. 1 The location of sites and the land cover on the northern of the Tibetan Plateau. The black
 solid circle '•' is location of the sites
- Fig. 2 The surface roughness length of different site on the northern of the Tibetan Plateau
- Fig. 3 The surface roughness length on the northern of the Tibetan Plateau in 2008
- Fig. 4 The surface roughness length on the northern of the Tibetan Plateau in 2010
- Fig. 5 The surface roughness length on the northern of the Tibetan Plateau in 2012
- Fig. 6 Comparison of surface roughness length by site observation and satellite remote senseretrieved
- Fig. 7 Scatter plots of the retrieved and calculated surface roughness length at four sites
- Fig. 8 The curve of the surface roughness length in different underlying surface

798 Tables

Value	Color	Land Cover Types	Percent (%)	
11		Mountain grassland	5.79	
14		Shrub meadow	3.25	
20		Mountain meadow	8.26	
30		Alpine grassland	45.16	
70		Needleleaved evergreen forest	0.23	
100		Mixed forest	0.03	
110		Mixed forestland and grassland	0.06	

799 Table. 1 The legend of the land cover map on the northern of the Tibetan Plateau

Value	Color	Land Cover Types		Percent	(%)
120		Mixed grassland and	0.04		
140		Alpine meadow	28.28		
150		Alpine sparse vegetation	0.29		
190		Urban areas	0.07		
200		Bare areas	4.90		
210		Water bodies	2.57		
220		Permanent Snow and ice	1.07		



808 Fig. 1 The location of sites and the land cover on the northern of the Tibetan Plateau. The black

809 solid circle '•' is location of the sites.



812 Fig. 2 The surface roughness length of different site on the northern of the Tibetan Plateau



817 Fig. 3 The surface roughness length on the northern of the Tibetan Plateau in 2008



823 Fig. 4 The surface roughness length on the northern of the Tibetan Plateau in 2010



829 Fig. 5 The surface roughness length on the northern of the Tibetan Plateau in 2012



Fig. 6 Comparison of surface roughness length by site observation and satellite remote senseretrieved



837 Fig. 7 Scatter plots of the retrieved and calculated surface roughness length at four sites

838 (a-d: scatter plot of the observation results and the average result of the underlying surface; e-h:

839 scatter plot of the observation and retrieved results; a,e: BJ station in 2008; b,f: BJ station in 2012;

- c,g: NAMC station in 2010; d,h: NPAM station in 2012)
- 841



