### Response to comments from editor and reviewers

Dear editor and reviewers,

We sincerely appreciate your constructive suggestions and comments for our manuscript (ID: HESS-2020-336) entitled "*Quantification of Ecohydrological Sensitivities and Their Influencing Factors at the Seasonal Scale*". We have carefully studied them and addressed accordingly. We believe that the revisions that have been made to the manuscript, following the editor and reviewers' comments and suggestions, can lead to improved interpretations of our findings. Our responses and corresponding edits to the manuscript are provided below following the editor and reviewers' original comments highlighted in blue. Please let us know if there is anything else we can do to help further the review process.

Thank you very much for your consideration,

Mingfang Zhang, Ph.D. Professor, Head of Department of Environmental Science and Engineering School of Resources and Environment University of Electronic Science and Technology of China Editor

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### Comments:

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(1) The author response to Reviewer #1, Comment #1 contains some nice statements about the novelty and contribution of the work that I could not find in the discussion paper. I would encourage you to add sentences #2-3 of this response to the end of line 88 in the discussion paper. Response: Thanks. We mentioned the novelty and contribution in lines 65-66 (lines 71-72 in the revised version) of the discussion paper. As your suggestion, we deleted these sentences of line 65-66 (lines 71-72 in the revised version) and added sentences #2-3 of this response to the end of line 95 in the discussion paper to strengthen the statement about novelty and contribution of our work in the 'Introduction' section. Please see lines 95-98 in the revised version. We also mentioned the novelty and innovation of our study in Abstract (Lines 25-26).

(2) Reviewer #1 raises an interesting point in their Comment #3, which is also similarly raised by Reviewer #2 Comment #3 regarding the reasons for the separation of dry and wet seasons. I think the author response to Reviewer #1 is very interesting and makes an important point that the delta Q is more critical than the Q value itself in the sensitivity. I would ask the authors to consider emphasizing this point in the revision, as I believe it helps to address the comments of both Reviewers on this point.

**Response**: Thanks for this suggestion. We added some descriptions on season separation in lines 124-128. We also emphasized the importance of delta Q in ecohydrological sensitivity in the 'Method' section (sect. 3.1, lines 197-202).

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### Reviewer 1

### Comments:

(1) The authors proposed an index called ecohydrological sensitivity, and used many factors to see the impact of catchment characteristics on ecohydrological sensitivity. Honestly, I am not fully convinced to accept such a new term, and its scientific contribution to ecohydrology community.

**Response**: Ecohydrological sensitivity is a useful term for hydrological science and watershed management. Although the term has often been mentioned in existing literatures, there is general lack of a commonly-accepted definition for its quantitative assessment and comparisons. To our best knowledge, the work is the first study to define and quantify ecohydrological sensitivity at the seasonal scale. Based on our tests and analyses from 14 watersheds in China, we believe that the

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ecohydrological sensitivity index developed in this paper provides a useful and common basis for assessing hydrological sensitivity. We primarily described the importance and current understanding of ecohydrological sensitivity in the 'Introduction' section from line 61 to line 83 and emphasized them in lines 95-98 in the revised version.

(2) The method is too superficial, without any new convincing method. Data set is too small, only with 17 basins, it is hard to get solid conclusions. I suggest to involve large number of basins. Responses: We strongly disagree with this statement on the method. The method used in this study is a well-established technique for separating the relative contributions of forest change and climate variability to annual mean flows in any individual watersheds. This way, the effects of forest disturbance or change are quantified. The technique is developed by this group and has been successfully applied in some Canadian and Chinese watersheds (Wei and Zhang, 2010; Zhang et al., 2012; Zhang and Wei, 2012; Liu et al., 2015; Li et al., 2018; Giles-Hansen et al., 2019; Hou et al., 2018). For this study, we applied this technique to 14 watersheds with detailed analyses and results presented in the Supplement (Section S2). Based on those results from 14 individual watersheds, we compared hydrological sensitivities and analyzed their contributing factors. We believe that our research approach and the applied method are sound, and the conclusions are scientifically supported. In the revised version, we briefly described the quantification method in lines 183-190. Regarding the dataset from 14 watersheds, we understand your point. We agree that the more watersheds used for the study, the more robust conclusions we can derive. Given tremendous analyses involved for each individual watershed, we need to take a stance between the number of watersheds and the detailed levels of analysis. We think that 14 watersheds should be a reasonable number for this study. In the revised manuscript, we discussed the limitations and uncertainties about the sample size. Please see lines 468-471 (Sect. 5.7).

### References:

Giles-Hansen, K., Li, Q. and Wei, X.: The Cumulative Effects of Forest Disturbance and Climate Variability on Streamflow in the Deadman River Watershed. Forests 2019, 10(2), 196, https://doi.org/10.3390/f10020196, 2019.

Hou, Y., Zhang, M., Meng, Z., Liu, S., Sun, P., and Yang, T.: Assessing the impact of forest change and climate variability on dry season runoff by an improved single watershed approach: A comparative study in two large watersheds, China, Forests, 9, 46, 10.3390/f9010046, 2018.
Li, Q., Wei, X., Zhang, M., Giles-Hansen, K., and Wang, Y.: The cumulative effects of forest disturbance and climate variability on streamflow components in a large forest-dominated watershed, J. Hydrol, 557, 448-459. 10.1016/j.jhydrol.2017.12.056, 2018.

Liu, W., Wei, X., Liu, S., Liu, Y., Fan, H., Zhang, M., Yin, J. and Zhan, M.: How do climate and forest changes affect long-term streamflow dynamics? A case study in the upper reach of Poyang River basin, Ecohydrol., 8, 46-57, doi: 10.1002/eco.1486, 2015.

Wei, X., and Zhang, M.: Quantifying streamflow change caused by forest disturbance at a large spatial scale: A single watershed study, Water Resour. Res., 46, 10.1029/2010wr009250, 2010.

Zhang, M., Wei, X., Sun, P., and Liu, S.: The effect of forest harvesting and climatic variability on runoff in a large watershed: The case study in the Upper Minjiang River of Yangtze River basin, J. Hydrol., 464, 1-11, 10.1016/j.jhydrol.2012.05.050, 2012.

Zhang, M., and Wei, X.: The effects of cumulative forest disturbance on streamflow in a large watershed in the central interior of British Columbia, Canada, Hydrol. Earth Syst. Sci., 16, 2021-2034, 10.5194/hess-16-2021-2012, 2012.

(3) The conclusions are either too obvious or too farfetched. For example, the first key finding in dry basins. Sf=deltaQ/(Q\*deltaLAI). since Q is small in dry basins. Even with the same change of deltaQ, the Sf is large anyway. The third one said "3) the dry season ecohydrological sensitivity was mostly determined by topography, soil and vegetation, while the wet season ecohydrological sensitivity was mainly controlled by soil, landscape and vegetation." the only difference between dry and wet season is topography (matters in dry seasons) and landscape (matters in wet seasons). it is hard to accept this conclusion. Does topography or landscape significantly change in dry and wet seasons? With a statistic model, any input data will generate certain relations. But whether the relation has physical meanings or not, which needs more evidences.

Response: There is no doubt that the ecohydrological sensitivities depend on climate (Q), but they are also affected by delta Q. We emphasized this in lines 197-202 in the revised version. However, drier regions may not be necessarily more sensitive according to our analysis. For example, in our calculations (Table S4 in the Supplement), the dry season *Sf* in a temperate watershed, the Tangwang River (27.75) is greater than those in the Dongchuan (6.54), Heshuichuan (3.45), Jingchuan (8.27) and Rui River (6.03) watersheds in the Jing River located in a semi-arid region, suggesting that the ecohydrological sensitivity to vegetation change in this temperate watershed is greater than those in the watersheds with lower precipitation.

Regarding the comment on the third finding "3) the dry season ecohydrological sensitivity was mostly determined by topography (slope, slope length, valley depth, downslope distance gradient), soil (topsoil organic carbon, topsoil bulk density) and vegetation (LAI), while the wet season ecohydrological sensitivity was mainly controlled by soil (topsoil available water holding capacity), landscape (edge density) and vegetation (leaf area index)", we think you might misunderstand this

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result. For any individual watersheds, topography is not a driving variable for hydrological changes as it remains unchanged. However, it comes into play when the differences among individual watersheds are compared.

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### Reviewer 2

### Major comments:

(1) The methods and data usage are not very much clearly presented. For instance, the definition of dry and wet season is of critical importance as the study covers the climate regions from subtropical in southern China and cold temperate region in northeast China. However, authors do not clearly present. Similarly, it seems not clear how the dry and wet season LAIs were calculated for the watersheds located in the very different climate regions?

**Response:** Our submission includes the main text and supplement. The definition and distinction of the dry season and wet season for each watershed are provided in the Supplement S1 which also includes the data descriptions on climate, topography, soil, land cover, and LAI in the selected watersheds. We added a brief description on the definition and distinction of the dry season and wet season for each watershed and the calculation in our revised manuscript. Please see lines 124-128 and Table 1 on page 34. Meanwhile, we also described how to quantify seasonal hydrological responses to vegetation change in lines 183-190 in the revised version to give a basic understanding of the quantification approach. This method is described in detail in the Supplement sect. S2, which is the basis for the quantification of seasonal ecohydrological sensitivity. Regarding the calculation of watershed LAI, we have generated two data series of LAI: dry season LAI (mean value of the LAIs in the dry season) and wet season LAI (mean value of the LAIs in the dry season) and wet season LAI (mean value of the LAIs in the dry season) from the entire study period. Please see lines 171-173.

(2) The justification for using large number of topographic and landscape indexes is missing. In reality, almost every feature in the watershed will have impact on the watershed responses, even though some can be ruled out and some are relevant than others mathematically.

**Response:** In this study, seventeen topographic indices and seven landscape indices are involved to represent topographic and landscape conditions in watersheds. Firstly, these indices have been identified based on previously published studies, which are most frequently used in studying the topographic effect on hydrological processes. We agree with you that every feature in a watershed will have a certain impact on the watershed responses. For example, area, perimeter, mean elevation, and elevation differences provide basic topographic conditions for each watershed, showing

watershed heterogeneity. Slope, flow path length (Length), and slope length factor (LS) are indices used for assessing erosion hazard. Topographic wetness index (TWI) is a critical topographic index related to soil water content and surface saturation. Shannon's diversity index (SHDI) and Simpson's diversity index (SIDI) could be applied to indicate a patch diversity of landscape. As you mentioned, some of them can be ruled out while some of them are more relevant than others to watershed responses. However, too many predicting factors are likely to increase the redundancy of a prediction model. A model with more predicting factors does not guarantee a more accurate prediction. For example, some of these indices are highly linearly related to others, which will lead to a multicollinearity problem in a prediction model. Thus, multicollinear relationships between these indices must be detected and confirmed first and then to identify the key factors that are mostly related hydrological response to vegetation change by factor analysis and stepwise regression. The whole selection process is a trade-off between the model complexity and model performance, which may bring some uncertainties. We added the justification for using large number of topographic and landscape indices in lines 231-245 and discuss the associated uncertainties in our revised manuscript in lines 472-486.

(3) 14 watersheds studied are subjected to different disturbance regimes hydrologically and ecologically, yet, the separation of stream change in dry and wet season into vegetation change and climate change seems not very much convincing. This point also should be addressed or at least the weakness of current study should be indicated in the discussion and/or conclusion sections. **Response:** We added brief descriptions on the method for separating the effects of vegetation change and climate variability on season streamflow in the main text (lines 183-190). A more detailed description on the methodology is provided in the Supplement S2. As you pointed out, 14 large watersheds have been experienced different disturbances, such as vegetation removal, vegetation restoration and anthropogenic activities. It is very challenging to differentiate the hydrological impact of vegetation change, climate change and other watershed disturbances. In this study, seasonal streamflow variations are attributed to climate variability, vegetation change and other factors. The modified double mass curve (MDMC) is firstly used to remove the effects of climate variability on seasonal streamflow variation. The multivariate ARIMA (ARIMAX) model is then used to quantify seasonal streamflow variation attributed to non-climatic factors (vegetation change and other factors). The 95% confidence intervals (95%CIs) criterion is applied to separate the statistical errors and the seasonal streamflow variation attributed to other factors. The seasonal streamflow variations caused by vegetation change can be quantified eventually. We believe this framework is a feasible methodology for identifying the effect of vegetation change, climate variability and other factors.

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However, there is no perfect methodology. Firstly, an important assumption of this method is that the vegetation-water relationship during the study period should be stationary, which may be invalid if vegetation-water relationship is nonstationary. In addition, various watershed disturbances such as urbanization, dam regulations and other human activities are considered as a whole (other factors). Therefore, the impact of each watershed disturbance (e.g., urbanization, dam regulation, and irrigation) cannot be quantified separately. We discussed these limitations of our methodology in the revised manuscript according to your suggestion in lines 460-467.

### Specific comments:

Line 43: Please make a clear distinction between the dry and the wet season in your study.
 **Response**: Done. We defined dry season and wet season in these 14 watersheds in lines 124-128 and Table 1 on page 34.

(2) Line 49: 'shown' is more common.

Response: Done. Thanks!

(3) Line 65: Please delete 'in spite of its usefulness'.

Response: We deleted it.

(4) Line 89-92: Here, the logic is elusive. The background is right in China. But this doesn't mean a good opportunity to explore the index on a short time scale (e.g., seasonal scale).

**Response**: We modified the statement to provide a more convincing justification for assessing ecohydrological sensitivity in watersheds across different climatic zones in China. Please see lines 101-106. China has experienced substantial and dynamic vegetation change over the past few decades. Deforestation and biomass loss dominated vegetation change from the 1950s to 1980s (Wei et al., 2008), while several nation-wide revegetation programs have been implemented since the 1980s (Li et al., 2018b). These large-scale vegetation changes will inevitably affect local and regional water cycles. However, given the large variations in climate, vegetation, soil, topography and landscapes in China, hydrological responses to vegetation change can be highly variable among watersheds. As is known, it is very challenging and time-consuming to assess the hydrological impact of vegetation change in every watershed. There is a need to develop a general framework for an efficient evaluation of the hydrological sensitivity to vegetation change at a watershed scale, which will benefit future water and forest resources management.

# (5) Line 98-99: What were the selection criteria for fourteen large watersheds? And their representativeness is not distinct.

**Response**: We clarified our selection criteria for the study watersheds in the revised manuscript (lines 113-119 in sect. 2.1). Given the great difficulty that there is no free access for hydrological data in China, the number of study watersheds cannot be as large as we want. That means the best strategy for us is to locate a number of representative watersheds based on their hydrological data availability, watershed size, climate type and vegetation type. Given that the dominant climate zones in China include subtropical monsoon, alpine, temperate monsoon and temperate monsoon climate zones, there will be several representative study watersheds in each climate zone. The selected watersheds in each climatic zone are with watershed size greater than 500 km<sup>2</sup> along with long-time hydrological data available to meet the data requirements for statistical analysis ( $\geq$ 15 yrs). In addition, we only focus on vegetative watersheds with vegetation cover greater than 30% since less vegetated watersheds are mostly located in arid regions where the effect of vegetation change on streamflow is not dominant at a watershed scale. With these criteria, we select at least two large watersheds in each climate zone. In addition, the detailed descriptions of the study watersheds about their representativeness on climate, topography, soil, dominated vegetation type and hydrological regime are described in the Supplement S1.

(6) Line 115: How can the author define the equally divided dry and wet seasons for the watersheds located in the very much different climate zones across China? This is critical, please specify clearly.

**Response**: We specified how dry and wet seasons are divided in the revised manuscript in lines 124-128 and Table 1 on page 34. In this study, the dry and wet seasons are defined according to the long-term mean monthly precipitation within a hydrological year. For watersheds in subtropical monsoon climate (Pingjiang and Xiangshui), a wet season with more than 70% of annual precipitation falling in watersheds covers a period from March to August, while the dry season starts from September to February. In other watersheds from the alpine, temperate monsoon, and temperate continental climate zones, the wet season (also called rainy season mostly in summer) starts from May to October, while dry season is from November to April.

### (7) Line 117-118: Please give the PET formula.

Response: Revised. Please see lines 147-148.

### (8) Line 121-123: How to reclassify land cover types? What is the basis for this?

**Response**: We stated how we reclassify the land cover types in the revised manuscript in lines 151-159. There are 17 types of land covers in MODIS MCD12Q1, including evergreen needleleaf forests, deciduous needleleaf forests, evergreen broadleaf forests, deciduous broadleaf forests, mixed forests, closed shrublands, opened shrublands, woody savannas, savannas, grasslands, permanent wetlands, croplands, urban and built-up land, cropland/natural vegetation mosaics, permanent snow and ice, barren, and water bodies. We reclassify them into forest (evergreen needleleaf forests, deciduous needleleaf forests, evergreen broadleaf forests, deciduous broadleaf forests and mixed forests), shrubland (closed shrublands and opened shrublands), grassland (woody savannas, savannas and grasslands), agricultural (croplands and cropland/natural vegetation mosaics), snow (permanent snow and ice) and other lands (permanent wetlands, urban and built-up land, barren, and water bodies). Vegetation coverage including forest, shrubland and grassland can be then calculated.

# (9) Line 124-134: Have you compared the two RS products with observations in the field? Which is more accurate for your study?

**Response**: We understand that it will be helpful if the accuracy of the two RS products can be validated by field observations from our study watersheds. However, it is very challenging and expensive to conduct field observations in our study watersheds that distribute across large climatic gradients. Actually, these two RS products have been widely used and have already been validated by some studies in China (Xiao et al., 2016; Yang et al., 2017). Therefore, according to previous studies, we believe the application of the two RS products can be acceptable and reliable.

In fact, the two RS products (GLASS LAI and MODIS MCD12Q1) are used for different purposes in our study. The GLASS LAI is used for quantifying watershed vegetation level that is then used to calculate ecohydrological sensitivity, while the MODIS MCD12Q1 is applied for demonstrating land use in watersheds and then used to calculate landscape indices by FRAGSTATS 4.2. Therefore, we cannot conclude which product is more accurate for our study.

### References:

Xiao, Z., Liang, S., Wang, J., Xiang, Y., Zhao, X., and Song, J.: Long-Time-Series Global Land Surface Satellite Leaf Area Index Product Derived from MODIS and AVHRR Surface Reflectance, IEEE T. Geosci. Remote Sens., 54 (9), 5301-5318, 10.1109/TGRS.2016.2560522, 2016.

Yang, Y., Xiao, P., Feng, X., and Li, H.: Accuracy assessment of seven global land cover datasets over China, ISPRS Journal of Photogramm. 125, 156-173, https://doi.org/10.1016/j.isprsjprs.2017.01.016, 2017

### (10) Line 149-150: Remove it.

### Response: Done.

(11) Line 154: Repeat. Remove 'which is calculated by the improved single watershed approach'.

### Response: Revised.

### (12) Line 157: How do you consider the auto-correlation between the influencing drivers?

Response: If we understand right, you mean the influencing drivers are correlated with each other. We have selected 40 indices that are classified into five types including climate, vegetation, topography, soil and landscape in this study. There is no doubt that some of them are correlated with each other. To address this issue, we have firstly performed Kendall correlation analysis and linear regression to identify statistically significant correlations between seasonal ecohydrological sensitivities and 40 indices, where the insignificant indices were excluded for the prediction model. Then we have performed the factor analysis to further reduce the redundancy of indices. Factor analysis can reduce a large number of variables into fewer numbers of factors with important information being retained, which is similar to principal component analysis. Eventually, only a few indices with key influences on seasonal ecohydrological sensitivity are retained for multiple linear regression. In this way, the correlation between the influencing drivers could be greatly reduced. We think this concern might be caused by the confounding structure of the 'Method' section. We modified 'Method' section to make the descriptions more clear and friendly to readers. Please see lines 220-286. Improvements of structure in the 'Method' are: a) sect. 3.2: 'Quantification of influencing drivers to seasonal ecohydrological sensitivities' is replaced by 'Comparison of seasonal ecohydrological sensitivities between watershed conditions', and the context is revised accordingly; and (2) index selection, methods used for reducing correlation, and predicted model construction are described in sect. 3.3 'Prediction of seasonal ecohydrological sensitivity'. We also modified the statements to emphasize how we consider auto-correlation between the influencing factor (lines 254-259).

### (13) Line 189: Why estimate the significant at a level of 0.10 rather than usual 0.01 or 0.05?

**Response**: The significance level for a given hypothesis test is a value for which a p-value less than or equal to the significance is considered statistically significant. Typical values for the significance level are 0.10, 0.05, and 0.01, indicating that strong evidence against the null hypothesis, and the null hypothesis is rejected accordingly. Meanwhile, there are less than 10%, 5% and 1% probability that the null hypothesis is true. In other words, there are 10%, 5% and 1% probability that a wrong rejection of the null hypothesis could happen, respectively. We understand lots of studies choose the significance level of 0.01 and 0.05, while there are also many studies use 0.10 as a significance level according to their research purposes and sample size. In this study, given our sample size is relatively small, we choose a significance level of 0.10 in the correlation analysis in order to identify potential factors with significant influences on seasonal ecohydrological sensitivity as many as possible. Since it is used in the initial selection of influencing

factors, we believe a significance level of 0.10 is acceptable.

(14) Line 199: How do you consider the interaction effects? Or if there is collinearity between the selected variables? How to overcome this problem?

**Response**: Yes, collinearity should be considered in our analysis. As we mentioned before, we have applied correlation analysis and factor analysis to identify key factors as the input for multiple linear regression. Thus, only a few indices with key influences on seasonal ecohydrological sensitivity are retained for multiple linear regression. In this way, the correlation between the influencing drivers could be greatly reduced, which help us to establish a model without collinearity more easily. In addition, we have tested the collinearity of inputting variables for the multiple linear regression. Since the VIF (Variance Inflation Factor) is less than 10, we believe there is no collinearity between the inputting variables for the prediction model. We add a description on how to overcome collinearity. Please see lines 271-274.

(15) Figures 2-5: They cannot display the differences intuitively and clearly. Please redraw these figures.Response: Done. Please see pages 26-32.

(16) Line 331: should be 'a dominating factor'.

Response: Revised.

(17) Line 378-379: What are the uncertainties of the simple multiple linear model for providing a reliable and robust assessment framework based on the selected fourteen watersheds in China? I do believe that authors should address this issue.

**Response**: Yes, we totally agree with you that there are some uncertainties and limitations associated with the model. We discussed these uncertainties in lines 460-486 in the revised manuscript. The first limitation could be the sample size. Our models are generated from only 14 large watersheds. We understand more watersheds used for the study will lead to more robust conclusions. However, as we mentioned before, there is a great difficulty in free access to hydrological data in China, it is impossible for us to get an ideal sample size. Besides, the quantification of vegetation impact on seasonal streamflow involves tremendous analyses for each watershed, and there is a trade-off between the number of watersheds and workload. The second limitation could be that our models fail to capture some non-linear relationships between ecohydrological sensitivity and its influencing factors. Other methodologies such as machine learning or neural network could be applied to explore non-linear relationships between ecohydrological sensitivity and its influencing factors with a bigger sample size in future studies.

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### Updates need to be clarified

(1) We updated authors' affiliations in the revised version. Yiping Hou works for School of Resources and Environment, University of Electronic Science and Technology of China and Department of Earth, Environmental and Geographic Sciences, University of British Columbia (Okanagan campus). Qiang Li works for Center for Ecological Forecasting and Global Change, College of Forestry, Northwest A&F University. Ruiqi Zhao works for School of Resources and Environment, University of Electronic Science and Technology of China and Division of Ocean Science and Technology, Tsinghua Shenzhen International Graduate School, Tsinghua University. Xiangzhuo Liu works for School of Resources and Environment, University of Electronic Science and Technology of China and INRA, Centre INRA Bordeaux Aquitaine.

(2) We carefully checked the tables in the manuscript and found some typos in Table 1. We updated data in Table 1 on page 30. Updates are: a) dry season P in the Upper Zagunao is updated from 109.3 to 190.3; b) wet season ET in the Upper Zagunao is updated from 560.4 to 500.4; and c) wet season Q in the Heshuichuan is changed from 135.1 to 19.3.

## **Quantification of Ecohydrological Sensitivities and Their Influencing Factors at the Seasonal Scale**

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### Abstract

Ecohydrological sensitivity, is-defined as the response intensity of streamflow to per unit vegetation change is an integrated indicator for assessing hydrological sensitivity to vegetation change. Understanding of ecohydrological sensitivity and its influencing factors is crucialimportant for managing water supply, reducing water-related hazards, and ensuring aquatic

- 25 functions by vegetation management. Yet, there still lacks a systematic assessment on ecohydrological sensitivity and associated driving factors especially at a seasonal scale. However, this topic has rarely been examined. In this study, 14 large watersheds across various environmental gradients in China were selected to quantify their ecohydrological sensitivities at athe seasonal scale and to examine their the role of associated influencing factors such as climate, vegetation, topography, soil, and landscape. Based on the variables identified by correlation analysis and factor analysis, the prediction models of seasonal
- 30 ecohydrological sensitivity were constructed to test their utilities for the design of watershed management and protection strategies. Our key findings were: (1) ecohydrological sensitivities were more sensitive <u>underin</u> dry conditions than-in wet conditions, for example, 1% LAI (leaf area index) change averagely induced 5.05% and 1.96% change in <u>the</u> dry and wet season streamflows, respectively; (2) seasonal ecohydrological sensitivities were highly variable across the study watersheds with different climate conditions, dominant soil types, and hydrological regimes; and (3) the dry season ecohydrological
- 35 sensitivity was mostly determined by topography (slope, slope length, valley depth, downslope distance gradient), soil (topsoil organic carbon, topsoil bulk density), and vegetation (LAI), while the wet season ecohydrological sensitivity was mainly

controlled by soil (topsoil available water holding capacity), landscape (edge density), and vegetation (leaf area index). Our study provided a useful and practical framework to assess and predict ecohydrological sensitivities at the seasonal scale. The established we expect that ecohydrological sensitivity prediction models can be applied to ungauged watersheds or watersheds

40 with limited hydrological data to help decision makers and watershed managers to effectively manage hydrological impacts through vegetation restoration programs. We conclude that ecohydrological sensitivities at the seasonal scale <u>arewere</u> varied by climate, vegetation, and watershed property, and their understanding can greatly support <u>the</u> management of hydrological risks and protection of aquatic functions.

### **1** Introduction

- 45 Natural rivers often have a distinctive seasonal pattern of flow, where flow is highly related to precipitation and shows large variations over dry and wet seasons. Seasonal flows determine ecosystem functions (Toledo-Aceves et al., 2011; Bruijnzeel et al., 2011; Salve et al., 2011), and their responses to vegetation change are highly variable and consequently affect watershed ecosystem equilibrium (Maeda et al., 2015). On the one hand, wet season flows and their variability regulate flood magnitudes (Arias et al., 2012), determine the structure of floodplains and channel morphology (Jansen and Nanson, 2010), and provide opportunities for theof recruitment of large woody debris (Warfe et al., 2011; de Paula et al., 2011). On the other hand, dry
- season flows are critical for maintaining a stable water supply and protecting aquatic ecosystem, as well as playing important roles in sustaining aquatic biota and refuging juvenile fishes (Bunn et al., 2006; Palmer and Ruhi, 2019). However, seasonal streamflow can be significantly affected by forest or vegetation change (Dai, 2011; Hirabayashi et al., 2013). Researches ha<u>sve</u> showed shown that vegetation change can influence water retention time (Moore and Wondzell, 2005; Baker and Wiley, 2009;
- 55 Bisantino et al., 2015), alter snow accumulation and snowmelt processes (Lin and Wei, 2008; Zhang and Wei, 2012; Calder, 2005), and route river flow quickly to downstream (Winkler et al., 2010; Chang, 2012) and consequently increase the frequency and size of floods in wet season. Vegetation change can also affect dry season flows, which may influence baseflow level and the risk of droughts, and degrade or enrich in-channel habitat for aquatic species (Simonit and Perrings, 2013; Sun et al., 2016). Thus, understanding-of seasonal hydrological variations to vegetation change is critical for maintaining the sustainable water supply, preventing large floods and droughts, and developing the bestsound-watershed management plans.

Obviously, seasonal streamflow responses to vegetation change <u>areis</u> highly variable among watersheds worldwide. To better understand the general pattern of streamflow response to vegetation change, <u>Zhang et al. (2017) has introduced a</u> uniform indicator named ecohydrological sensitivity (defined as the response intensity of streamflow to per unit forest <del>or</del> <del>vegetation</del> change) to express the hydrological sensitivity to forest change for a given watershed. has been firstly introduced

65 by Zhang et al. (2017). And eE cohydrological sensitivity is believed to be controlled not only by the proportion of forest or vegetation coverage change but also by climate condition, hydrological regime, and forest or vegetation type (Zhang et al., 2017; Li et al., 2017). Assessing ecohydrological sensitivity can provide various benefits. For example, it provides a dimensionless index on the vegetation-water relationship so that any watersheds can be effectively compared. It-also allows

for predicting ecohydrological sensitivities for a landscape or region so that negative hydrological impacts in the areas with

70 high ecohydrological sensitivities can be minimized through suitable arrangements of vegetation or watershed management strategies. However, in spite of its usefulness, ecohydrological sensitivity and its influencing factors have been rarely quantified. To our knowledge, there is no any study on quantification of seasonal ecohydrological sensitivity.

Ecohydrological sensitivity is likely varied with time scales. The hydrological responses to vegetation change at the annual scale are the averaged and cumulative effects from those at shorter time intervals, which are typically associated with total annual magnitudes such as water yield or production, while those at daily or monthly or seasonal scales affect flow patterns and are normally related to floods and droughts. The seasonal scale is a medium level between daily and annual scales, which can affect both magnitude and pattern in terms of hydrological response and sensitivity. For example, the interactions between vegetation and water are quite different between dry and wet seasons (Donohue et al., 2010; Asbjornsen et al., 2011). Abundant water is available for vegetation growth in wet season, while vegetation in dry season mostly relies on limited soil moisture or groundwater for limited photosynthesis and transpiration. Besides, streamflow generation in wet season and groundwater discharge. Thus, the contrasted processes in different seasons suggest that ecohydrological sensitivity must be examined at a seasonal scale.

- Various factors, including climate, vegetation, and watershed property affect hydrological responses or sensitivities 85 (Zhou et al., 2015; Li et al., 2017; Zhang et al., 2017). For examples, hydrological responses to land cover-forest change tend to be more sensitive in non-humid regions-(Zhou et al., 2015) (Zhang et al., 2017). Evapotranspiration change related to vegetation change is controlled by energy and water (Zhang et al., 2004; Creed et al., 2014; Yang et al., 2007). Topography controls hydrological processes by affecting the distribution and routing of water (Woods, 2007). Soil and landscape conditions are important for erosion, sediment, and flow connectivity (Borselli et al., 2008). Clearly, fully assessing and understanding 90 ecohydrological sensitivity requires a consideration of various influencing variables. Yet, current Although past-studies have only focused on the hydrological influences of a single type of variables such as vegetation change (Beck et al., 2013; Feng et al., 2016; van Dijk et al., 2012), climate (Creed et al., 2014; Miara et al., 2017), topography (Lyon et al., 2012; Jencso and McGlynn, 2011; Li et al., 2018a), and landscape (Nippgen et al., 2011; Buma and Livneh, 2017; Teutschbein et al., 2018), The inclusion of various types of variables into an integrated assessment framework of hydrological responses remains has 95 been a challenging subject. Despite the recognization that ecohydrological sensitivity can be a good index that faciliates the understanding of variations in hydrological response to vegetation change, there still lacks a commonly accepted definition or framework for its quantitative assessment and comparisons especially at a seasonal scale. To our best knowledge, there is no study on quantifying seasonal ecohydrological sensitivity.
- China has experienced substantial and dynamic vegetation change over the past few decades. Deforestation and biomass loss dominated vegetation change from <u>the</u> 1950s to 1980s (Wei et al., 2008), while the large-scale revegetation programs have been implemented since <u>the</u> 1980s (Li et al., 2018b). <u>These large-scale vegetation changes can inevitably affect</u> local and regional water cycles. However, given the large variations in climate, vegetation, soil, topography, and landscapes

in China, hydrological responses to vegetation change can be quite different among watersheds. Since assessing the hydrological impact of vegetation change in every single watershed can be very challenging and time-consuming, a general

105 framework for an efficient evaluation of ecohydrological sensitivity at a watershed scale is in an urgent need for the support of future water and forest resource management. Substantial and dynamic vegetation change in China provides such a great opportunity for assessing seasonal ecohydrological sensitivity and its influencing factors. The objectives of this study were: (1) to evaluate seasonal ecohydrological sensitivity in the selected large watersheds across environmental gradients; (2) to examine the role of climate, vegetation, topography, soil, and landscape in seasonal ecohydrological sensitivity; and (3) to simulate and predict seasonal ecohydrological sensitivity based on the selected factors. 110

### 2 Study watersheds and data

### 2.1 Study watersheds

Given that the dominant climate zones in China include subtropical monsoon, alpine, temperate monsoon, and temperate continental climate zones, 2-4 representative study watersheds in each climate zone are identified according to their

- 115 hydrological data availability, watershed size, climate type, and vegetation type. The selected watersheds in each climatic zone are with the watershed size greater than 500  $\text{km}^2$  and long-time hydrological data available to meet the data requirements for statistical analysis ( $\geq$ 15 yrs). In addition, only vegetative watersheds with vegetation coverage greater than 30% are included since the climate (e.g., precipitation) is a more influencing factor than vegetation on river flows in less vegetative watersheds. With these criteria, fourteen large watersheds across climatic zones with the area ranging from 832 to 19189 km<sup>2</sup> are selected.
- Fourteen large watersheds across climatic zones with the area ranging from 832 to 19189 km<sup>2</sup> in China were selected in this 120 study. They include the Pingjiang and Xiangshui watersheds in Southeast China, the Tangwang River and Xinancha River watersheds in Northeast China, the Upper Zagunao, Zagunao, Upper Heishui River, Heishui River, Gongbujiangda and Gengzhang watersheds in Southwest China and the Dongchuan, Heishuichuan, Jingchuan and Rui River watersheds in Northwest China (Fig. 1). In this study, the dry and wet seasons are defined according to the long-term mean monthly
- 125 precipitation in a hydrological year. For subtropical monsoon climate dominated watersheds (the Pingjiang and Xiangshui), wet season starts from March to August with its precipitation amount accounting for over 70% of the annual total, while dry season lasts from September to February. For those from the alpine, temperate monsoon, and temperate continental climate zones, wet season is from May to October with dry season from November to April. Table 1 provides a brief summaryise of seasonality, climate, vegetation, hydrology, and topography in the study watersheds. Detailed descriptions of study watersheds
- 130 can be found in Sect. S1 in the Supplement.. The selected watersheds are mainly located in subtropical monsoon climate, temperate continental monsoon climate, alpine climate and temperate continental climate zones. In addition, substantial vegetation restoration programs caused large-scale vegetation change from the 1980s onwards. To evaluate seasonal ecohydrological sensitivity, the study periods start from 1983. Detailed information about climate, topography, soil and vegetation in each watershed can be found in the Supplement (Sect. S1).

### 135 2.2 Data

Daily or monthly discharges for 14 watersheds were obtained from various government agencies. The details about the study periods and hydrometric stations can be found in the Supplement (Table S3). Discharges (m<sup>3</sup>/s) were converted into the unit of mm according to the drainage area. According to the definitions of seasonality in Table 1, aA hydrological year was equally divided into dry season and wet season, and then seasonal flows were calculated accordingly.

140 The historical climate data used in this study include three sources: daily climate records from National Meteorological Information Centre of China Meteorological Administration (CMA: http://data.cma.cn/), spatial-interpolated gridded climate data by use of the ANUSPLIN model and meteorological data collected at the associated hydrological stations or rain gauges (Sect. S1.2 and Table S3). In this study, daily or monthly climate data including mean temperature (T<sub>mean</sub>), minimum temperature (T<sub>min</sub>), maximum temperature (T<sub>max</sub>)<sub>a</sub> and precipitation (P) were derived and calculated accordingly.
145 Monthly potential evapotranspiration (PET) was calculated based on estimated T<sub>max</sub> and T<sub>min</sub> by using Hargreaves' equation (Equation 1) (Hargreaves and Samani, 1985).

 $PET = 0.0023 \times Ra \times [(T_{max} + T_{max})/2 + 17.8] \times (T_{max} - T_{max})^{0.5}$ (1) where, *Ra* is the extraterrestrial radiation; and *T\_{min}* and *T\_{max}* are the minimum and maximum temperatures in °C.

Moderate Resolution Imaging Spectroradiometer (MODIS) land cover product MODIS MCD12Q1 with <u>the</u> spatial resolution of 500m w<u>asere</u> downloaded from Land Process Distributed Active Archive Centre (LPDAAC: https://lpdaac.usgs.gov/products/mcd12q1v006/) (Sulla-Menashe et al., 2019). <u>There are 17 types of land covers in MODIS</u> <u>MCD12Q1, including evergreen needleleaf forests, deciduous needleleaf forests, evergreen broadleaf forests, deciduous</u> <u>broadleaf forests, mixed forests, closed shrublands, opened shrublands, woody savannas, savannas, grasslands, permanent</u> <u>wetlands, croplands, urban and built-up land, cropland/natural vegetation mosaics, permanent snow and ice, barren, and water</u>

- 155 bodies. We reclassified them into forest (evergreen needleleaf forests, deciduous needleleaf forests, evergreen broadleaf forests, deciduous broadleaf forests and mixed forests), shrubland (closed shrublands and opened shrublands), grassland (woody savannas, savannas and grasslands), agricultural (croplands and cropland/natural vegetation mosaics), snow (permanent snow and ice), and other lands (permanent wetlands, urban and built-up land, barren, and water bodies) (Table S2). Vegetation coverage including forest, shrubland and grassland can be then calculated. Because MODIS MCD12Q1 has
- 160 17 types of land covers, we reclassified all land cover types into forest, shrubland, grassland, agricultural, snow and other lands. MODIS MCD12Q1 in the year of 2001 was used to derive forest coverage and vegetation coverage (total coverage of forest, shrubland and grassland) (Table S2).

Leaf area index (LAI) derived from the Global Land Surface Satellite LAI Product (GLASS LAI) was used as a vegetation index to express vegetation change in this study (GLASS: http://glass-product.bnu.edu.cn/). The GLASS LAI

165 product dataset provides continuous global LAI at a high temporal resolution of eight days (Liang et al., 2013; Xiao et al., 2014). There are two types of GLASS LAI products with different spatial resolutions and available periods. The first GLASS LAI product is based on Advanced Very High Resolution Radiometer (AVHRR) reflectance data with the spatial resolution

of 0.05°, and this dataset is available from 1982 to 2016. The other one, with a higher spatial resolution of 1 km is retrieved from Moderate Resolution Imaging Spectroradiometer (MODIS) reflectance data, but it only covers a period of 17 years from

170 2000 to 2016. As the study watersheds are large <u>watersheds</u> (>500 km<sup>2</sup>) and the study periods are ended before 2006, the former GLASS LAI product was chosen for this study, <u>wherein-</u> two data series of LAI, dry season LAI (mean value of the LAIs in the dry season) and wet season LAI (mean value of the LAIs in the wet season) from the entire study period were generated.

Harmonized World Soil Database (HWSD) published by Food and Agriculture Organization (FAO) and International
Institute for Applied Systems Analysis (IIASA) with the spatial resolution of 1km was used to collect soil indices (Wieder, 2014). HWSD classifies soil into topsoil from surface to 30 cm below ground, and subsoil between 30 cm and 100 cm below ground.

<u>Digital elevation models (DEMs)</u> with the spatial resolution of 30m derived from GDEMDEM were provided by Geospatial Data Cloud site, Computer Network Information Centre, Chinese Academy of Sciences (http://www.gscloud.cn). Topographical information of the study watersheds was derived from DEMs.

### **3 Methods**

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### 3.1 Definition and calculation of ecohydrological sensitivity

In this study, an improved single watershed approach was employed to quantify seasonal streamflow variations attributed to climate variability, vegetation change, and other factors (Hou et al., 2018a; Hou et al., 2018b). The modified double mass

- 185 <u>curve (MDMC) was firstly used to remove the effects of climate variability on seasonal streamflow variation. The multivariate ARIMA (ARIMAX) model was then adopted to quantify seasonal streamflow variation attributed to non-climatic factors (vegetation change and other factors). The 95% confidence intervals (95%CIs) criterion was applied to separate the statistical errors and the seasonal streamflow variation attributed to other factors. The seasonal streamflow variation caused by vegetation change (ΔQ<sub>v</sub>) can be quantified eventually and be used to calculate the seasonal ecohydrological sensitivity. A more detailed description of the methodology is provided in the Supplement Sect. 2.
  </u>
- Similar to the concept of ecohydrological sensitivity proposed by Zhang et al. (2017), introduced the ecohydrological sensitivity as the response intensity of annual streamflow to forest cover change. In this study, we defined seasonal ecohydrological sensitivity ( $S_f$ ) as the response intensity of seasonal streamflow variations to per unit vegetation change (using the leaf area index (LAI) as a proxy), which can be computed with equations (24)-(32). Seasonal streamflow variations to vegetation change ( $\Delta Q_v$ ) were determined by an improved single watershed approach (see Sect. 2 in the Supplement for more details) (Hou et al., 2018a; Hou et al., 2018b). The value of seasonal ecohydrological sensitivity refers to the percentage of seasonal streamflow changes induced by 1% of LAI change. Given seasonal streamflow response to vegetation change in mm ( $\Delta Q_v$ ) can be influenced by its background value ( $\bar{Q}$ , the long-term mean seasonal streamflow during the study period), seasonal streamflow response to vegetation change in percentage ( $\Delta Q_v$ %) is used for the calculation of ecohydrological sensitivity.

200 <u>Here,  $\Delta Q_v$  is divided by  $\overline{Q}$  to calculate  $\Delta Q_v$ %. Through this normalization,  $\Delta Q_v$ % representing relative change (%) in seasonal streamflow compared to its average state can be a better indicator for hydrological sensitivity analysis than  $\Delta Q_v$ . Ecohydrological sensitivity is highly dependent on factors such as climate, hydrological regime, vegetation type, soil condition, and etc.</u>

$$\Delta Q_v \,\% = 100 \,\times \frac{\Delta Q_v}{\bar{\varrho}} \tag{24}$$

$$205 \quad S_f = \left| \frac{\Delta Q_v \,\%}{\Delta LAI} \right| \tag{32}$$

where,  $\bar{Q}$  refers to the long-term mean seasonal streamflow during the study period;  $\Delta Q_{\nu}$  is seasonal streamflow response to vegetation change in mm, which is calculated by the improved single watershed approach;  $\Delta Q_{\nu}\%$  is seasonal streamflow response to vegetation change in percentage (%); and  $\Delta LAI$  is LAI variation compared to average LAI in the reference period in %.

### 210 <u>3.2 Comparison of seasonal ecohydrological sensitivities between watershed conditions</u>

According to dryness index (*DI*), watersheds were grouped into energy-limited (EL), equitant (EQ) and water-limited (WL) conditions (McVicar et al., 2012). The most widely distributed soil type in a watershed was treated as the dominant soil type. Following our analysis, four dominant soil types (LIXISOLS, LUVISOLS, LEPTOSOLS, and CAMBISOLS) were shown in this study. Additionally, the selected watersheds were categorized into rain-dominated (RD) and rain-snow hybrid (Hybrid)

215 watersheds according to their hydrological regimes. Table 2 showed the detailed classifications for each watershed in terms of climate condition, dominant soil type and hydrological regime.

<u>Non-parametric Mann Whitney U test was performed to detect the statistically significant differences between the</u> watershed groups. Mann Whitney U test can test whether there are significant differences in the median values of seasonal ecohydrological sensitivities between two groups (Birnbaum, 1956).

## 220 3.<u>32 Prediction of seasonal ecohydrological sensitivity</u>Quantification of influencing drivers to seasonal ecohydrological sensitivities

Five types of indices including climate, vegetation, topography, soil, and landscape were adopted in this study. Detailed information on the interpretations and calculations of 40 indices were presented in Table <u>32</u>. Climate indices, including dryness index and effective precipitation can demonstrate water input and climate condition in a given watershed (van Dijk et al., 2012;

Jones et al., 2012; Zhang et al., 2004). Dryness index is calculated at the annual scale to demonstrate dryness condition, while effective precipitation (an integrated index of climatic variability) in dry season and wet season denotes seasonal water inputs. Vegetation growth is highly dependent on temperature, water, soil, and geographical location (Chang, 2012). Vegetation coverage or forest coverage indicates a proportion of vegetation or forest in a watershed, but it cannot express vegetation growth, mortality, and seasonality. Thus, LAI is recognized as a better indicator mainly because it is an important biophysical

- 230 variable relating to photosynthesis, transpiration, and energy balance (Launiainen et al., 2016; Verrelst et al., 2016; González-Sanpedro et al., 2008). Topographic indices can be classified into two groups: primary and secondary (also known as compounded topographic indices) (Li et al., 2018a; Moore et al., 1991). Primary topographic indices can be directly derived from DEM, whilst compounded topographic indices are based on one or more primary indices (Li et al., 2018a). <u>Based on previously published studies</u>, <u>In this study</u>, 17 topographic indices including 5 primary indices and 12 compounded indices
- which are most frequently used in studying the topograohic effect on hydrological processes were selected to describe watershed characteristics includinglike visibility, generation process, and morphology (Yokoyama et al., 2002; Park et al., 2001; Jenness, 2004; Li et al., 2018a). Calculations of the topographic indices were made in ArcGIS 10.2 (ERSI) and SAGA GIS 2.1. Soil types were based on the FAO-85 system classification, while soil organic carbon and sanity were directly derived from HWSD in ArcGIS 10.2 (ERSI), and soil available water holding capacity, saturated hydraulic conductivity, and bulk density were calculated using Soil-Plant-Air-Water (SPAW) hydrology model. We used the weighted average value to represent watershed-scale soil indices. Seven landscape indices including patch number (PN), patch density (PD), largest patch index (LPI), edge density (ED), contagion index (CONTAG), Shannon's diversity index (SHDI), and Simpson's diversity index (SIDI) at the landscape level which are most correlated with hydrological processes were selected in the analysis (Zhou

245 4.2 software.

According to dryness index (*DI*), watersheds were grouped into energy limited (EL), equitant (EQ) and water limited (WL) conditions (McVicar et al., 2012). The most widely distributed soil type in a watershed was treated as the dominant soil type. Following our analysis, four dominant soil types (LIXISOLS, LUVISOLS, LEPTOSOLS and CAMBISOLS) were shown in this study. Additionally, the selected watersheds were categorized into rain dominated (RD) and rain snow hybrid (Ukbrid) watersheds according to their hydrological regimes. Table 2 showed the detailed elegsifications for each watershed

and Li, 2015; Boongaling et al., 2008). The calculations of landscape indices were performed by derived from FRAGSTATS

250 (Hybrid) watersheds according to their hydrological regimes. Table 3 showed the detailed classifications for each watershed in terms of climate condition, dominant soil type and hydrological regime.

Non parametric Mann Whitney U test was performed to detect the statistically significant differences between the watershed groups. Mann Whitney U test was applied to test whether there are significant differences in the median values of seasonal ecohydrological sensitivities between two groups (Birnbaum, 1956). Obviously, the prediction with a large number
 of indices may cause model redundancy. Moreover, some of these indices can be correlated with each other, wherein a multicollinearity problem may arise. To address these issues, we have firstly performed Kendall correlation analysis and linear regression to identify indices that are significantly correlated with seasonal ecohydrological sensitivities, and then have conducted the factor analysis to further reduce the redundancy of indices. Eventually, only a few indices with key influences on seasonal ecohydrological sensitivity are retained for multiple linear regression.

260 <u>To be specific, Kk</u>endall correlation analysis and linear regression were used to identify statistically significant correlations between seasonal ecohydrological sensitivities and 40 indices at a significant level of p=0.10. The insignificant indices were excluded for prediction described below.

### **3.3 Prediction of seasonal ecohydrological sensitivity**

Seasonal ecohydrological sensitivity can be predicted and simulated based on those identified significant variables.

- 265 To accomplish this, fFactor analysis (FA) was introduced to further reduce the redundancy of indices. Similar to principal component analysis (PCA), indices after filtering by factor analysis could retain important information, which means that <u>fewerless</u> indices can be used to represent most information (Lyon et al., 2012). Three criteria were used to pick highly related indices: the coefficient of Kaiser-Meyer-Olkin (KMO) test, the *p*-value of Bartlett's test, and the diagonal coefficients of the anti-image correlation matrix (Li et al., 2018a). Indices filtered by factor analysis with the coefficient of KMO being greater
- 270 than 0.50, the *p*<sub>-</sub>-value of Bartlett's test being less than 0.05 and the diagonal coefficients of <u>the</u> anti-image correlation matrix being greater than 0.50 were selected for further analysis. <u>After filtering, only a few indices with key influences on seasonal ecohydrological sensitivity were retained for the prediction models. In this way, the correlation between the influencing drivers could be greatly reduced. In addition, the collinearity of inputting variables for the multiple linear regression was assessed by variance inflation factor (VIF). Models with the VIF less than 10 were selected to address collinearity.</u>
- 275 Multiple linear regression model modified by stepwise regression was employed to predict seasonal ecohydrological sensitivity. Influencing factors filtered by correlation analysis and factor analysis were regarded as independent variables and ecohydrological sensitivity was considered as a dependent variable in a linear regression model. Independent variables were inputted into a model one by one, and the ANOVA test was conducted accordingly. Once the  $p_{-}$ value of the ANOVA test was greater than 0.10, the input independent variable at this stage would be dropped. The optimal linear regression model was 280 reached when no independent variables were inputted and no variables were dropped. The Akaike Information Criterion (AIC) and  $R^2$  were used to find optimal multiple linear regression models for prediction. Except for quantitative indices, climate condition, dominant soil type and hydrological regime might also make contributions to the prediction of ecohydrological sensitivity. As a result, we introduced dummy variables to quantify the influence of climate condition, dominant soil type and hydrological regime on model accuracy (Hardy, 1993). In this study, ecohydrological sensitivity based on the improved single watershed approach was called the observed  $S_f$ , while ecohydrological sensitivity from the multiple linear regression model was named as the predicted  $S_f$ .

### 4 Results

### 4.1 Seasonal ecohydrological sensitivity and its variations

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Table 4 showed the comparison of <u>compared</u> ecohydrological sensitivities between the dry and wet seasons. The ecohydrological sensitivities in the dry season were significantly greater than those in the wet season (Fig. 2 and Fig. S8-S10). As shown in Fig. 2, 1% LAI change averagely induced 5.05% change in dry season streamflow, while in wet season, this value dropped to 1.96%. There were large variations in seasonal ecohydrological sensitivity among the study watersheds. The dry season ecohydrological sensitivity of the Tangwang River watershed was highest, up to 27.75, while the dry season

ecohydrological sensitivity of the Upper Heishui River watershed was the lowest (1.01). Similarly, the wet season

295 ecohydrological sensitivity with the value of 4.36 in the Tangwang River watershed was also the highest among all watersheds in the wet season, whereas the lowest wet season ecohydrological sensitivity (0.40) was found in the Xiangshui watershed (Table S4).

Comparisons of seasonal ecohydrological sensitivities were made among the study watersheds grouped by their climate conditions, dominant soil types and hydrological regimes (Fig. 3, Fig. 4 and Fig. 5). As suggested by Fig. 3 and Table 5, significant differences in both dry season and wet season ecohydrological sensitivities between energy-limited (EL) and equitant (EQ) watersheds and between energy-limited and water-limited (WL) watersheds were found. Significant differences in the medians of wet season ecohydrological sensitivity in the pair of EQ-WL were also detected. 1% vegetation change caused 2.092.11%, 5.86% and 5.23% change of dry season streamflow in the energy-limited, equitant and water-limited watersheds, respectively (Fig. 3a), while it only led to 0.589%, 2.82% and 1.64% change of wet season streamflow in the EL,
EQ and WL watersheds, respectively (Fig. 3b). These results clearly demonstrated that ecohydrological sensitivity was greater in the EQ and WL conditions, particularly in the dry season.

- When seasonal ecohydrological sensitivity in watersheds grouped by dominant soil types was compared (Fig.4 and Table 5), the median of dry season ecohydrological sensitivity in the LIXISOLS-dominated watersheds was significantly different from those of the LUVISOLS- and CAMBISOLS-dominated watersheds at  $\alpha$ =0.05, and the significant differences
- 310 in median of dry season ecohydrological sensitivity were also detected in the LUVISOLS-LEPTOSOLS, LIXISOLS-LEPTOSOLS, LUVISOLS-CAMBISOLS and LEPTOSOLS-CAMBISOLS pairs at  $\alpha$ =0.05 (Table 5). Similarly, the median of dry season ecohydrological sensitivity in the LIXISOLS-dominated watersheds was significantly different from those of the LUVISOLS-, LEPTOSOLS- and CAMBISOLS-dominated watersheds at  $\alpha$ =0.05. On average 1% change in vegetation led to 2.11%, 3.29%, 5.62% and 13.01% change of dry season streamflow in the LIXISOLS-, LEPTOSOLS-, CAMBISOLS- and
- 315 LUVISOLS-dominated watersheds, respectively (Fig. 4a), while it caused only 0.58%, 2.20%, 2.11% and 2.24% change of wet season streamflow (Fig. 4b).

Fig. 5 demonstrated the differences of seasonal ecohydrological sensitivity in watersheds grouped by hydrological regime. Mann-Whitney U test showed that there were significant differences between rain-dominated and hybrid watersheds in medians of dry season ecohydrological sensitivity (Table 5). 1% vegetation change can result in 6.51% and 3.29% change of dry season streamflow in rain-dominated and hybrid watersheds, respectively (Fig. 5a), while it only led to 1.75% and 2.20% change of wet season streamflow in rain-dominated and hybrid watersheds, respectively (Fig. 5b).

### 4.2 Prediction models for seasonal ecohydrological sensitivity

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According to correlations between seasonal ecohydrological sensitivity and 40 indices detected by Kendall correlation and linear regression, 17 indices being significantly related to dry season ecohydrological sensitivity were identified (Table 6). Dry 325 season ecohydrological sensitivity wasis significantly and positively correlated with dryness index (*DI*), topographic wetness index (TWI), downslope distance gradient (DDG), topographic positive openness (PO), topographic negative openness (NO),

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topsoil salinity ( $T_{ece}$ ), topsoil bulk density ( $T_d$ ), while its correlations with all vegetation indices (LAI, vegetation coverage and forest coverage), slope, slope length factor (LS), terrain ruggedness index (TRI), valley depth (Depth), topsoil organic carbon ( $T_{oc}$ ), patch density (PD), and edge density (ED) were significantly negative. In contrast, only 8 indices were significantly correlated with wet season ecohydrological sensitivity. Wet season ecohydrological sensitivity <u>has-had</u> a significantly positive correlation with convergence (CON), topsoil available water holding capacity ( $T_w$ ), topsoil saturated hydraulic conductivity ( $T_{hy}$ ), subsoil saturated hydraulic conductivity ( $S_{hy}$ ), and subsoil salinity ( $S_{ece}$ ) whereas a negative relation with effective precipitation ( $P_e$ ), soil types, and edge density (ED).

- 8 out of 17 indices significantly related to dry season ecohydrological sensitivity werewas further identified by factor 335 analysis, which included factors such as DI, slope, LS, TWI, DDG, TRI, Depth, and NO. For the factor analysis of dry season ecohydrological sensitivity, the coefficient of KMO was 0.730, the *p*-value of Bartlett's test was less than 0.05, and diagonal coefficients of the anti-image correlation matrix were greater than 0.53 (Table 7). Meanwhile, factor analysis identified 6 indices ( $P_e$ , CON,  $T_w$ ,  $T_{hy}$ ,  $S_{hy_2}$  and ED) associated with wet season ecohydrological sensitivity based on correlation analysis. For wet season subset, the coefficient of KMO with the value of 0.634 was lower than that in dry season subset, but diagonal
- [340 coefficients of <u>the</u> anti-image correlation matrix were higher than those in wet season subset (≥0.57). The *p*\_value of Bartlett's test was 0.00. Given it is an important ecohydrological indicator for vegetation status in a watershed, LAI was also manually added as a predictor in the predicted model. Fig. 6 showed the structure, parameters and statistics of the established prediction models for ecohydrological sensitivity. The dry season model had a better performance with a higher  $R^2$  of 0.966 (Fig. 6a), while the  $R^2$  was only 0.501 for the wet season model (Fig. 6b).

### 345 5 Discussion

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### 5.1 Seasonal ecohydrological sensitivity and climate conditions

Climate conditions in terms of energy (temperature) and water (precipitation) are the most important drivers for vegetation growth. Ecohydrological processes of vegetative watersheds vary greatly with climate conditions (Donohue et al., 2010). As suggested by our study, both dry season and wet season ecohydrological sensitivities of the water-limited watersheds were
higher than those of the energy-limited watersheds (Fig. 3), and the dry season ecohydrological sensitivities were much higher than the wet season ecohydrological sensitivities (Fig. 2). In addition, the dry season ecohydrological sensitivity significantly increased with rising dryness index while the wet season ecohydrological sensitivity significantly decreased with increasing effective precipitation (Table 6). In other words, under dry conditions (during dry periods or in dry regions), streamflow is more sensitive to vegetation change than under wet conditions (during wet periods or in wet regions). These findings are in accordance with results from previous studies, which indicate streamflow response to vegetation in driver regions might be more pronounced than in wetter regions (Jackson et al., 2005; Vose et al., 2011; Li et al., 2017; Zhang et al., 2017). For

water yield reduction was identified in drier sites based on the analysis of 26 catchments globally. Sun et al. (2006) modelled

example, Farley et al. (2005) demonstrated that afforestation produced 27% water yield reduction in wetter sites, whilst 62%

streamflow responses to large-scale reforestation in China, and found increased vegetation cover produced a nearly 30%

- 360 reduction in streamflow in humid regions, but the streamflow reduction rose to approximately 50% in semi-arid and arid areas. Creed et al. (2014) indicated water use efficiencies in forests were higher in drier years than in wetter years by assessing water yield variations in North America. The different ecohydrological sensitivities between dry and wet seasons might be explained by their various mechanisms of water use by vegetation. Vegetation growth in wet conditions with abundantly available water, sufficient soil moisture and saturated aquifers is more sensitive to energy factors including temperature, radiation and heat
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input (Newman et al., 2006; Hou et al., 2018a; Zhang et al., 2011; Brooks et al., 2012). Changes in energy input in wet conditions can alter stomatal conductance and transpiration, and consequently affect the photosynthesis, transpiration, and biomass of vegetation (de Sarrau et al., 2012; Van Dover and Lutz, 2004). In contrast, in dry conditions with limited precipitation input, water is more critical for vegetation growth where vegetation mainly relies on its access to soil water through root systems to support photosynthesis and transpiration (Zhou et al., 2015).

### 370 5.2 Seasonal ecohydrological sensitivity and soils

Soils as the interface between streamflow and groundwater play vital roles in water cycle (Bockheim and Gennadiyev, 2010; Schoonover and Crim, 2015). Our study showed that watersheds with different dominant soil types could have contrasting seasonal ecohydrological sensitivity. As shown in Fig. 4, the ecohydrological sensitivities in both dry and wet seasons in the LIXISOLS-dominated watersheds were the lowest-as compared with those of CAMBISOLS-, LEPTOSOLS- and LUVISOLS-375 dominated watersheds. This result clearly illustrates the importance of soil types in hydrological responses and sensitivities (Rieu and Sposito, 1991; Srivastava et al., 2010; Chadli, 2016). Soil properties including organic carbon, salinity, available water holding capacity, saturated hydraulic conductivity and bulk density can affect soil water infiltration and lateral movement (Hillel, 1974; Leu et al., 2010). For example, soils with higher available water holding capacity haves the ability to store more water for vegetation growth (Mukundan et al., 2010). Saturated hydraulic conductivity is positively correlated to available 380 water holding capacity, suggesting that soils in a watershed with a higher value of saturated hydraulic conductivity might promote interactions between streamflow and groundwater (Sulis et al., 2010). Large differences between topsoil and subsoil bulk densities suggest a frequent moisture movement, leading to more active interactions and feedbacks above and below the soil (Zhao et al., 2010). LIXISOLS is characterized by the lowest saturated hydraulic conductivity and the smallest difference between topsoil and subsoil bulk densities as compared to other three types of soils (Table S1), indicating its lowest water 385 storage capacity and less frequent water movement between topsoil and subsoil. Therefore, hydrological responses in the LIXISOLS-dominated watersheds were less sensitive to vegetation change, and consequently led to the lowest seasonal

ecohydrological sensitivity.

### 5.3 Seasonal ecohydrological sensitivity and hydrological regimes

Hydrological regime is another determinant for ecohydrological sensitivity (Zhang et al., 2017). Our study found that the dry 390 season ecohydrological sensitivity in the rain-dominated watersheds was significantly higher than that in the hybrid watersheds (Fig. 5), while <u>an</u> insignificant difference in wet season ecohydrological sensitivity between <u>the rain-dominated and hybrid</u> <u>watershedsthem</u> was estimated (Table 5). The differences in dry season ecohydrological sensitivity between the rain-dominated and hybrid watersheds <u>arecan be</u> associated with their differences in the mechanisms of streamflow generation. In the rain-dominated watersheds, dry season streamflow is mainly maintained by groundwater discharge while both groundwater and

- 395 snow water might be the sources of dry season streamflow in the hybrid watersheds. Thus, the generation of the dry season streamflow in the hybrid watersheds tend to be more complex and stable, and can be more resilient to vegetation change in comparison with that in rain-dominated watersheds. This is supported by several reviews which found that forest cover change in rain-dominated watersheds can produce greater hydrological impacts than in snow-dominated watersheds (Zhang et al., 2017; Moore and Wondzell, 2005). In hybrid watersheds, forestation or vegetation removal can lead to changes in snowmelt processes by altering snow accumulation, melting timing, energy input and wind speed in dry season (Frank et al., 2015), resulting in hydrological de-synchronization effects. These de-synchronization effects may offset negative impacts of
  - vegetation change on dry season streamflow, and eventually lower dry season ecohydrological sensitivity in the hybrid watersheds.
  - The lack of a significant difference in the wet season ecohydrological sensitivity between the rain-dominated and hybrid watersheds might be due to the fact that only precipitation form during wet season is rainfall. It is expected that there are similar interactions and feedback mechanisms between vegetation and water in wet season in all watersheds, leading to insignificant differences in wet season ecohydrological sensitivity between the rain-dominated and hybrid watersheds.

### 5.4 Seasonal ecohydrological sensitivity and topography

- Topography as <u>an</u> dominating factor for hydrological processes (Zeng et al., 2016; Jenness, 2004; Scown et al., 2015;
  Yokoyama et al., 2002; Park et al., 2001; Li et al., 2018a) plays an important role in determining streamflow response to vegetation change (Price, 2011; Smakhtin, 2001). According to the established prediction model of dry season ecohydrological sensitivity (Fig. 6a), topographic factors including slope and downslope distance gradient had positive effects on dry season ecohydrological sensitivity, while slope length factor and valley depth yielded negative effects. The <u>vegetated vegetative</u> watersheds with steeper slopes often have faster water movement from slopes to river channel and severe soil erosion in wet
  season if vegetation <u>cover</u> is destroyed, which can greatly reduce wet season soil water storage for supply to dry season streamflow, and therefore have greater dry season ecohydrological sensitivity (Desmet and Govers, 1996; Zhang et al., 2012). Similarly, <u>vegetated vegetative</u> watersheds with smaller slope length factor and valley depth can <u>also</u> have greater dry season ecohydrological sensitivity. This is <u>probablypossibly</u> because these watersheds generally have a generally flatter topography and longer water residence time, and consequently allow for more interactions between vegetation and water, which likely
- 420 lead to greater ecohydrological sensitivity in dry season.

Unlike the dry season ecohydrological sensitivity, no topographic indices were associated with wet season ecohydrological sensitivity (Fig. 6b). As we know, climate and vegetation are two major drivers to hydrological variations in <u>vegetative forested</u>-watersheds (Wei et al., 2018; Li et al., 2017). This indicates that in wet season, climate plays a more

dominate dominant role in hydrological responses or variations, which means a decreasing role of vegetation on streamflow

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and consequently reduction of ecohydrological sensitivity. The decreasing role of vegetation on streamflow in wet season may explain the insignificant impact of topographic indices on wet season ecohydrological sensitivity.

### 5.5 Seasonal ecohydrological sensitivity and landscape

Landscape pattern can directly affect hydrological connectivity within a watershed, and can also indirectly influence hydrological processes by controlling soil activities such as soil erosion and sediment (Buma and Livneh, 2017; Teutschbein
 et al., 2018; Karlsen et al., 2016). Based on the prediction models of seasonal ecohydrological sensitivity(Fig. 6), the landscape pattern played a more important role in wet season ecohydrological sensitivity than in dry season ecohydrological sensitivity. Only edge density was identified as an effective, negative landscape predictor for wet season ecohydrological sensitivity. Watersheds with a higher value of edge density are often featured by landscape fragmentation and segmentation, e.g., scatter distributed vegetation, higher road densities, leading to poor hydrological connectivity and <u>a</u> high risk of soil erosion. The increasing role of watershed property (edge density) means that the relative role of vegetation in hydrological response would be lower, which consequently leads to decreasing of wet season ecohydrological sensitivity.

### **5.6 Implications**

Ecohydrological studies at the seasonal scale are limited due to the lack of the understanding of complex and variable streamflow responses to climate, vegetation, topography, soil and landscape (McDonnell et al., 2018; Singh et al., 2014; Wei

- et al., 2018; Li et al., 2018a; Oppel and Schumann, 2020; Guswa et al., 2020). Our findings clearly showed that seasonal ecohydrological sensitivity was not only highly associated with climate and vegetation change, but also significantly related to watershed properties like topography, soil and landscape. As indicated by the constructed prediction models, the dry season ecohydrological sensitivity could be better described by vegetation, topography and soil (Fig. 6a), while the wet season hydrological response was mainly controlled by vegetation (leaf area index), soil (topsoil available water holding capacity)
  and landscape (edge density) (Fig. 6b). Given complex and variable hydrological responses to vegetation change among the study watersheds due to their differences in watershed properties (Zhou et al., 2015; Wei et al., 2018), our seasonal ecohydrological sensitivity prediction model can provide valuable information for the understanding of the relative role of climate, vegetation and watershed characteristics, of topography, soil and landscape in seasonal ecohydrological processes
  - (Fig. 6).

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Since many watersheds lack long-term monitoring data on climate, hydrology and vegetation, a quantitative assessment of hydrological response to vegetation change at the watershed scale is very challenging and time-consuming. However, physical watershed data on climate, vegetation, and watershed property can be easily derived from on-line climate datasets, remote sensing-based products, DEMs and soil databases. <u>The Dd</u>evelopment of a seasonal ecohydrological sensitivity prediction model can be an efficient tool for watershed managers to evaluate hydrological impacts of vegetation restoration programs with easily accessible data on climate, vegetation, topography, soil and landscape. Once seasonal

ecohydrological sensitivity for different watersheds can be predicted quickly, future forest management can be implemented in a more sustainable way. We expect that the assessment framework from this study can be effectively applied to any watersheds where physical watershed data are available to support sustainable watershed planning and management.

### 5.7 Uncertainties and limitations

This study may have some uncertainties and limitations regarding the ecohydrological sensitivity quantification and its 460 prediction model development. The accuracy of ecohydrological sensitivity quantification relies on the methodology for quantifying seasonal streamflow variation attributed to vegetation change. In this study, the improved single watershed approach used to separate the effects of vegetation change, climate variability and other factors on seasonal streamflow has several limitations. An important assumption of this approach is that the vegetation-water relationship during the study period must be stationary, which limits its application under nonstationary conditions. In addition, various watershed disturbances 465 such as urbanization, dam regulations, and other human activities are considered as an integrated driver (other factors). Thus, the impact of each watershed disturbance (e.g., urbanization, dam regulation, and irrigation) cannot be quantified separately. Given the ecohydrological sensitivity prediction models were generated from only 14 large respresentative watersheds, an uncertainty associated with the sample size may arise. Admittedly, a larger number of study watersheds would 470 yield more robust conclusions. However, the quantification of vegetation impact on seasonal streamflow involves tremendous data processing analyses for each watershed, and there is a trade-off between the number of study watersheds and workload. The selection of indices and models may also give rise to some uncertainties and limitations of the prediction models. In this study, topographic and landscape indices were identified based on previously published studies, which were most frequently used in studying the topographic and landscape effects on hydrological processes. As is known, every feature can have a certain impact on the watershed hydrological responses. For example, area, perimeter, mean elevation, and elevation 475 differences provide basic topographic conditions for each watershed, showing watershed heterogeneity. Slope, flow path length (Length), and slope length factor (LS) are indices used for assessing erosion hazard. Topographic wetness index (TWI) is a critical topographic index related to soil water content and surface saturation. Shannon's diversity index (SHDI) and Simpson's diversity index (SIDI) could be applied to indicate a patch diversity of landscape. The ideal way is to include all indices in the 480 analysis. Nevertheless, some of these indices are highly linearly related to others, possibly resulting in a multicollinearity problem in a prediction model. In this study, multicollinear relationships between these indices were detected and confirmed first and then to identify the key factors mostly related to seasonal ecohydrological sensitivities by factor analysis and stepwise regression. The whole selection process is a trade-off between the model complexity and model performance. In addition, our linear prediction models fail to capture some non-linear relationships between ecohydrological sensitivity and its influencing factors. Other methodologies such as machine learning or neural network could be applied to explore non-linear relationships 485 between ecohydrological sensitivity and its influencing factors with a sufficient sample size in future studies.

### **6** Conclusions

Ecohydrological sensitivities at the seasonal scale were quantified in 14 large watersheds across various environmental

- 490 gradients in China. Our main conclusions are: (1) hydrological responses were greater and more sensitive underin dry conditions than-in wet conditions; (2) seasonal ecohydrological sensitivities were highly variable across climate gradient, dominant soil type and hydrological regime; and (3) dry season ecohydrological sensitivity could be better controlled by vegetation, topography and soil while wet season hydrological sensitivity by vegetation, soil and landscape. Our study also demonstrated the usefulness of constructing an ecohydrological sensitivity prediction model for predicting ecohydrological sensitivity in ungauged watersheds or watersheds with insufficient hydrological data to help watershed managers to effectively
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manage hydrological impacts and risks through vegetation restoration programs.

Data availability. Climate, vegetation, topography, soil and landscape indices of study watersheds are freely available upon request by sending an email to the corresponding author.

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Author contributions. YH and MF proposed the analysis, designed the experiment, performed the result analysis and wrote the paper. QL and XW interpreted results and reviewed the manuscript. SL, TC, WL and XL collected the data. RZ calculated landscape indices. All authors participated in the 'Discussion' section and manuscript revision.

505 *Competing interests.* The authors declare that they have no conflict of interest.

Financial support. This paper was supported by China National Science Foundation (No.31770759) and National Program on Key Research and Development Project of China (No. 2017YFC0505006).

510 Acknowledgements. We would like to thank the editor, Dr. Stacey Archfield, and two anonymous reviewers for their constructive comments and suggestions on this paper.

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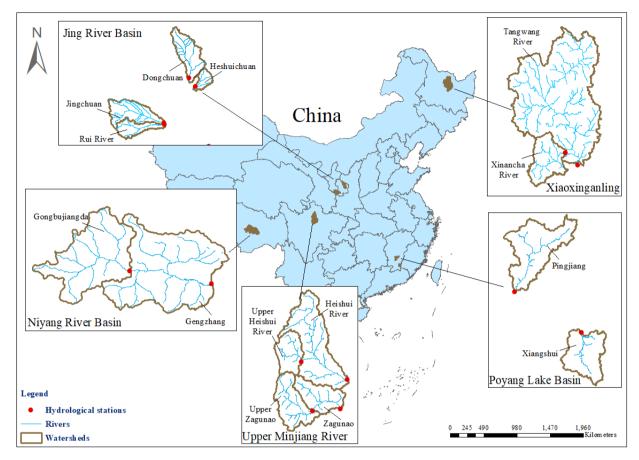
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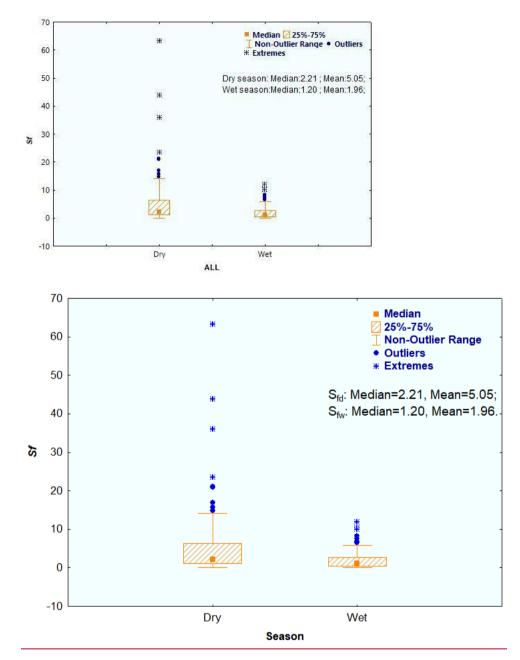
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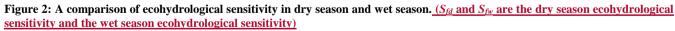
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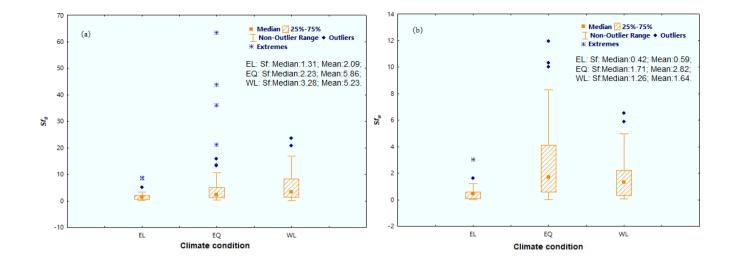
#### Figures:



760 Figure 1: Locations of the study watersheds.







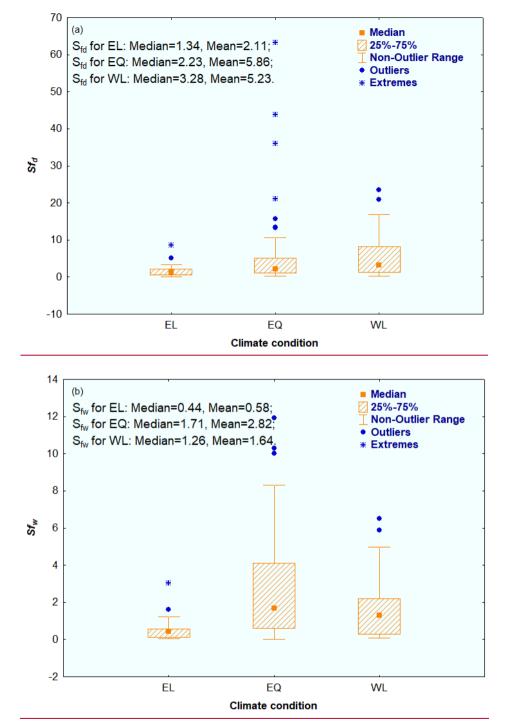
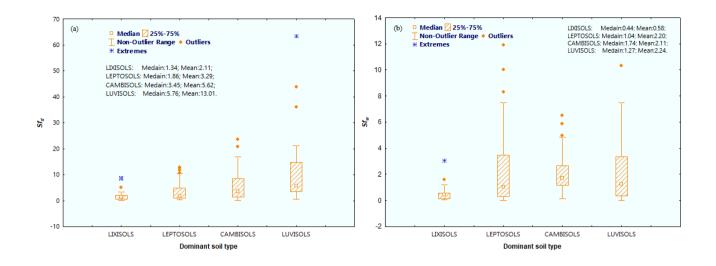
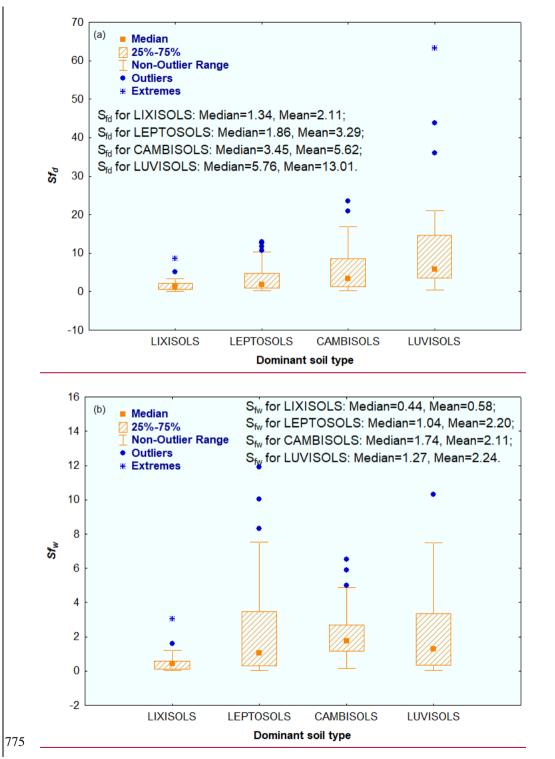
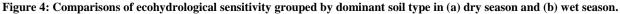
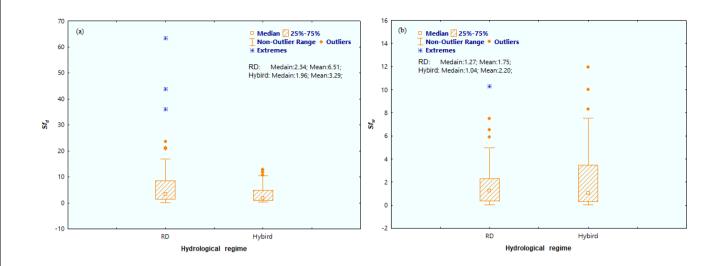


Figure 3: Comparisons of ecohydrological sensitivity grouped by energy-limited (EL), equitant (EQ) and water-limited (WL) conditions in (a) dry season and (b) wet season. (*S<sub>fd</sub>* and *S<sub>fw</sub>* are the dry season ecohydrological sensitivity and the wet season ecohydrological sensitivity)









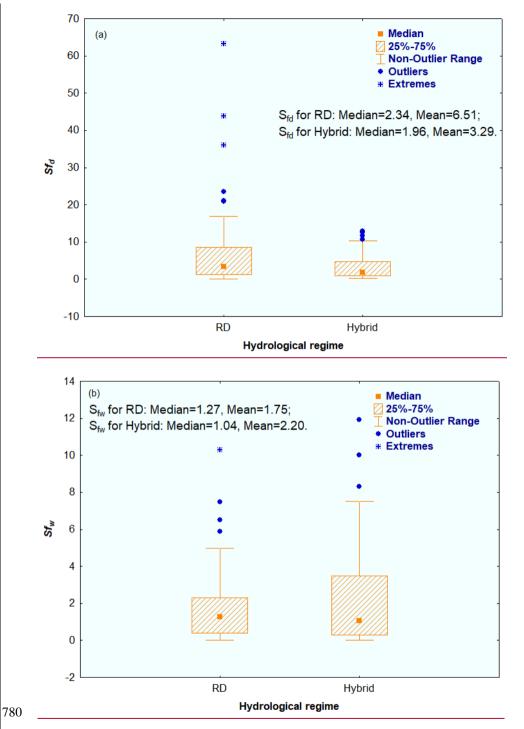
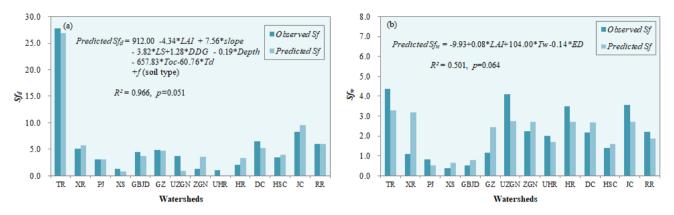


Figure 5: Comparisons of ecohydrological sensitivity grouped by rain-dominated (RD) and hybrid regimes in (a) dry season and (b) wet season.



785 Figure 6: Comparisons of observed and predicted ecohydrological sensitivity in (a) dry season and (b) wet season. (TR, XR, PJ, XS, GBJD, GZ, UZGN, ZGN, UHR, HR, DC, HSC, JC and RR refer to the Tangwang River, Xinancha River, Pingjiang, Xiangshui, Gongbujiangda, Gengzhang, Upper Zagunao, Zagunao, Upper Heishui River, Heishui River, Dongchuan, Heishuichuan, Jingchuan and Rui River watersheds, respectively)

### 790 Tables:

Table 1: Watershed characteristics in the study watersheds

	Area	Mean	Slope	Climate	Dry season						Wet se	eason				
Watersheds	(km <sup>2</sup> )	elevation (m)	(°)	zone	Period	T <sub>mean</sub> -(°C)	P (mm)	ET (mm)	Q (mm)	LAI (m <sup>2</sup> /m <sup>2</sup> )	Period	T <sub>mean</sub> (°C)	P (mm)	ET (mm)	Q (mm)	LAI (m <sup>2</sup> /m <sup>2</sup> )
Pingjiang	2778	314	15.1	6) (G	September-	13.5	501.1	254.0	236.2	1.45	March-	22.3	1310.7	585.5	604.3	1.90
Xiangshui	1742	440	17.6	<u>SMC</u>	February	14.3	472.0	274.6	242.5	2.54	August	22.0	1402.7	659.5	616.4	3.17
Tangwang River	19189	447	8.7	TOMO		-9.6	60.3	42.4	30.1	0.73		14.8	517.1	367.4	239.9	3.53
Xinancha River	2585	507	11.3	<u>TCMC</u>		-11.1	81.0	47.5	37.3	0.71		12.8	567.5	398.9	293.6	3.59
Upper Zagunao	2442	3814	31.0			5.3	<u>190.3</u> <del>109.3</del>	146.2	176.9	0.83		17.0	848.6	<u>500.4</u> <del>560.4</del>	672.9	1.87
Zagunao	4629	3622	31.7			5.0	164.4	139.9	144.0	0.86		16.6	759.9	484.2	583.2	2.14
Upper Heishui River	1710	3858	27.8	AC		-1.8	121.1	102.7	136.9	0.50		9.7	599.8	408.6	630.0	1.78
Heishui River	7170	3619	27.3	AC	November-	-1.8	121.1	103.0	117.8	0.53	May-	9.7	599.8	410.5	471.2	1.93
Gongbujiangda	6323	4946	27.2		<u>April</u>	2.5	61.0	52.3	60.8	0.11	October	12.9	611.8	352.4	530.7	0.45
Gengzhang	16000	4752	28.3			3.8	83.1	68.2	95.8	0.22		13.4	783.6	404.8	880.3	0.59
Dongchuan	3049	1415	16.3			0.1	68.1	59.2	6.6	0.19		16.6	438.5	321.0	23.1	0.59
Heshuichuan	832	1340	16.8	TCC		0.9	83.5	71.1	12.4	0.34		17.0	471.9	336.8	<u>19.3</u> <del>135.1</del>	1.51
Jingchuan	3155	1678	13.5	<u></u>		2.2	81.1	66.7	16.6	0.26		18.1	444.4	305.3	39.5	0.98
Rui River	1688	1608	13.0			0.1	83.6	74.0	17.6	0.28		15.2	488.3	364.0	55.1	1.23

Note: T<sub>mean</sub>, P, ET, Q and LAI stand for mean temperature, precipitation, actual evapotranspiration, streamflow and leaf area index during the study period. <u>SMC</u>,

TCMC, AC and TCC refer to subtropical monsoon climate, temperate continental monsoon climate, alpine climate and temperate continental climate, respectively.

No.	Category	Abbreviation	Metrics	Definition or description
1	Climate DI		Dryness index	DI=PET/P, annual potential evaporation (PET) was calculated by Hargreaves method (Hargreaves and Samani, 1985). It shows interactions between energy and water and indicates the water availability for vegetation growth.
2		Pe	Effective precipitation	<i>Pe=P-E</i> , actual evapotranspiration was calculated by Zhang's equation (Zhang et al., 2001).
3	Vegetation	LAI	Leaf area index	One-half of the total green leaf area per unit of horizontal ground surface area. Derived from GLASS Product.
4		Forest coverage	Forest coverage	Forest coverage in a watershed.
5		Vegetation coverage	Vegetation coverage	Vegetation coverage in a watershed (total coverage of forest, shrubland and grassland).
6	Soil	Soil types	Number of soil types	Total number of soil types in a watershed.
7		$T_{oc}$	Topsoil organic carbon	Amount of carbon bound in human, animal and plant residues
8		$S_{oc}$	Subsoil organic carbon	and microorganisms formed by microbial action in soil.
9		$T_{ece}$	Topsoil salinity	Soil total salinity.
10		Sece	Subsoil salinity	
11		$T_w$	Topsoil available water holding capacity	Soil moisture in a stable level.
12		$S_w$	Subsoil available water holding capacity	
13		$T_{hy}$	Topsoil saturated hydraulic conductivity	Infiltration rate of each hydraulic gradient.
14		Shy	Subsoil saturated hydraulic conductivity	
15		$T_d$	Topsoil bulk density	Soil mass of each volume.
16		$S_d$	Subsoil bulk density	
17	Landscape	PN	Patch number	Total number of patches within a specified land cover class.
18		PD	Patch density	The number of patches per unit area.
19		LPI	Largest patch index	The ratio of the largest patch area to total area.
20		ED	Edge density	The total length of patches per unit area.
21		CONTAG	Contagion index	Indicates the aggregation of patches.
22		SHDI	Shannon's diversity index	Based on information theory, indicates the patch diversity in landscape.
23		SIDI	Simpson's diversity index	Indicates the patch diversity in landscape.

# 795 Table 32: Definition or description of the selected influencing factors

## 800 Table <u>32</u>: Definition or description of the selected influencing factors (continued)

No.	Category	Abbreviation	Metrics	Definition or description
24	Topographic	Area	Area of a watershed	Area draining to watershed outlet.
25		Perimeter	Perimeter of a watershed	Perimeter of a watershed.
26		Elevation	Mean elevation	Mean value of all DEM pixels in a watershed.
27		△Elevation	Elevation difference	Difference between the highest elevation and the lowest elevation in a watershed.
28		Slope	Average slope	Slope degree of each DEM pixel, can be used in estimation of energy budgets.
29		LS	Slope Length Factor	A combined factor of slope length and slope gradient.
30		Length	Flow Path Length	The average flow path length starting from the seeds.
31		Max Length	Maximum Flow Path Length	The maximum distance of water flow to a point.
32		TWI	Topographic Wetness Index	TWI=ln (SCA/tan(slope)), it shows the spatial distribution of zones of surface saturation and soil water content (Ambroise et al., 1996).
33		CON	Convergence	Convergence of a cell, which is calculated based on the surrounding eight cells. 100% convergence means all surrounding grid cells flow into the center cell.
34		DDG	Downslope distance gradient	An indicator for assessing the impact of the local slope characteristics on a hydraulic gradient. Values are lower on concave slope profiles and higher on convex slope profiles.
35		SA	Surface Area	Land area of each DEM.
36		TPI	Topographic Position Index	TPI $\approx$ 0 indicates flat area. TPI>0 tends towards ridge tops and hilltops. TPI< 0 tends towards the valley and canyon bottoms.
37		TRI	Terrain Ruggedness Index	The degree of difference in elevation among adjacent cells.
38		РО	Topographic Positive Openness	The degree of dominance or enclosure of a location on an irregular surface. Values are high for convex forms.
39		NO	Topographic Negative Openness	
40		Depth	Valley depth	Difference between the elevation and an interpolated ridge level.

# Table 23: Classification of watersheds

Watersheds	Climate condition	Dominant soil type	Hydrological regime
		51	, , ,
Pingjiang	Energy-limited	LIXISOLS	Rain-dominated
Xiangshui	Energy-limited	LIXISOLS	Rain-dominated
Tangwang River	Equitant	LUVISOLS	Rain-dominated
Xinancha River	Equitant	LUVISOLS	Rain-dominated
Upper Zagunao	Equitant	LEPTOSOLS	Hybrid
Zagunao	Equitant	LEPTOSOLS	Hybrid
Upper Heishui River	Equitant	LEPTOSOLS	Hybrid
Heishui River	Equitant	LEPTOSOLS	Hybrid
Gongbujiangda	Water-limited	LEPTOSOLS	Hybrid
Gengzhang	Water-limited	LEPTOSOLS	Hybrid
Dongchuan	Water-limited	CAMBISOLS	Rain-dominated
Heshuichuan	Water-limited	CAMBISOLS	Rain-dominated
Jingchuan	Water-limited	CAMBISOLS	Rain-dominated
Rui River	Water-limited	CAMBISOLS	Rain-dominated

#### 

## Table 4: Mann Whitney U test for ecohydrological sensitivity between dry season and wet season

Dry season vs. Wet season	5.63	0.00*	
Season	Z	р	

*Note:* The bolded number with \* indicates statistically significant at p < 0.05.

Watershed classification	Pairs		Sfd		$Sf_w$
watersned classification	Pairs	Z	р	Z	р
	EL-EQ	-2.14	0.03*	-3.98	<0.001*
Climate condition	EL-WL	-3.09	<0.002*	-3.15	<0.002*
	EQ-WL	-1.41	0.16	2.20	0.03*
	LIXISOLS-LUVISOLS	-3.70	<0.001*	-2.19	0.028*
	LIXISOLS-LEPTOSOLS	-1.79	0.074*	-2.93	0.003*
Dominant soil type	LIXISOLS-CAMBISOLS	-2.95	0.003*	-4.62	<0.001*
Dominant son type	LUVISOLS-LEPTOSOLS	3.53	<0.001*	0.02	0.98
	LUVISOLS-CAMBISOLS	1.88	0.059*	-0.80	0.42
	LEPTOSOLS-CAMBISOLS	-2.20	0.027*	-1.42	0.15
Hydrological regime	RD-Hybrid	1.97	0.05*	-0.26	0.79

# Table 5: Mann Whitney U tests for the differences of seasonal ecohydrological sensitivity between climate condition, dominant soil type and hydrological regime

Note: Sfd and Sfd are dry season and wet season ecohydrological sensitivity, respectively; EL, EQ and WL refer to energy-limited, equitant

825 and water-limited watersheds, respectively; RD is Rain-dominated.

The bolded number with \* indicates statistically significant at p < 0.10.

	Variables		$Sf_d$			$Sf_w$	
	variables	Kendall	а	$R^2$	Kendall	а	$R^2$
Climate	DI	0.44*	2.37*	0.41	0.19	0.51	0.05
Cilliate	$P_e$	-0.23	-0.01	0.09	-0.32	-0.03*	0.23
	Vegetation coverage	-0.51*	-0.08*	0.53	0.08	0.01	0.01
Vegetation	Forest coverage	-0.36*	-0.03	0.13	0.21	0.01	0.06
	LAI	-0.44*	-1.62*	0.05	0.09	-0.08	0.00
	Area <sup>c</sup>	0.15	0.28	0.01	0.19	0.28	0.02
	Perimeter <sup>c</sup>	0.23	1.75	0.07	0.25	1.08	0.15
	Elevation <sup>c</sup>	0.00	-0.10	0.00	0.12	0.23	0.03
	$\Delta$ Elevation <sup>c</sup>	0.10	0.71	0.05	0.27	0.5	0.06
	Slope	-0.39*	-0.15*	0.28	-0.03	-0.01	0.00
	LS	-0.40*	-0.20*	0.24	0.04	0.48	0.01
	Length	-0.18	-4.3*10 <sup>-3</sup>	0.10	0.21	-1.2*10 <sup>-2</sup>	0.03
	Max Length	-0.23	-1.9*10 <sup>-3</sup>	0.15	0.32	-1.4*10-3	0.10
Topography	TWI	0.62*	4.30*	0.51	0.19	1.05	0.15
	CON	0.12	0.04	0.04	0.20	0.05*	0.20
	DDG	0.49*	0.10*	0.45	0.03	0.02	0.05
	SA	-0.13	1.5*10-3	0.00	0.14	4.2*10-3	0.15
	TPI	-0.04	3.45	0.00	-0.05	8.86	0.03
	TRI	-0.33	-0.32*	0.23	0.01	0.02	0.00
	Positive Openness	0.36*	14.23*	0.26	0.08	0.63	0.00
	Negative Openness	0.34	14.78*	0.25	0.03	0.43	0.00
	Depth	-0.31	-0.01*	0.32	-0.10	-0.01	0.01
	$T_w$	0.25	74.26	0.05	0.53*	125.46*	0.38
	$T_{hy}$	-0.03	0.04	0.01	0.41*	0.15*	0.25
	$T_d$	0.28	32.32*	0.28	0.10	2.49	0.01
	$T_{oc}$	-0.21	-3.99*	0.27	-0.11	-0.29	0.00
	Tece	0.39*	10.74*	0.28	0.30	3.99	0.19
Soil	$S_w$	-0.09	-17.10	0.03	0.06	-3.80	0.01
	$S_{hy}$	0.15	0.28	0.07	0.30	0.30*	0.22
	$S_d$	0.00	13.30	0.06	0.15	8.66	0.08
	$S_{oc}$	0.17	3.80	0.03	-0.09	1.76	0.02
	Sece	0.34	7.71	0.16	0.28	3.87*	0.22
	Soil types	-0.30	-0.11	0.14	0.37*	0.06	0.13
	PN	-0.18	-5.2*10-4	0.02	0.01	-4.2*10 <sup>-5</sup>	0.00
	PD	-0.54*	-10.83*	0.30	-0.25	-4.73	0.15
	LPI	0.08	0.02	0.04	0.06	0.04	0.00
Landscape	ED	-0.36*	-0.27	0.17	-0.32	-0.19*	0.23
	CONTAG	0.03	0.02	0.01	0.10	0.03	0.09
	SHDI	-0.05	-0.31	0.00	-0.06	-0.66	0.03
	SIDI	-0.08	-0.74	0.00	-0.03	-0.69	0.01

Table 6: Correlation analysis between seasonal ecohydrological sensitivities and contributing factors.

*Note*: Linear regressions are built as y=ax+b, where *a* is the slope of the linear regression; c means parameters are transferred into ln() format.

830 The bolded number with \* indicates statistically significant at p < 0.10.

	Influencing factors	MSA	KMO	Bartlett's test
Dry season	DI, slope, LS, TWI, DDG, TRI, Depth, NO	≥0.53	0.730	0.000
Wet season	$P_e$ , CON, $T_w$ , $T_{hy}$ , $S_{hy}$ , ED	≥0.57	0.634	0.000