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3 **Running head:** Preferential water flow through decayed roots of three vegetation types

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5 **Title:** Preferential water flow through decayed root channels enhances soil water infiltration: Evaluation in
6 distinct vegetation types under semi-arid conditions

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27 **Abstract**

28 Topsoil desiccation alters soil physical characteristics and seriously limits plant growth in semi-arid and arid
29 areas. The phenomenon of dried soil layer has generated increasing attention, but the process of preferential
30 flow through decayed root channels –when the plants decompose after death– and its benefits on soil water
31 supply in the soil dry layers are rarely evaluated. This study examines the effects of root channels on soil
32 infiltrability in three contrasted vegetation types developed in a loessial soil, namely: Scrubland (*Caragana*
33 *korshinskii*), fruit tree plantation (*Armeniaca vulgaris*) and grassland (*Medicago sativa*; using data from a
34 previous study); setting bare land as control. The infiltration rates of the alive and decayed specimens were
35 measured using a double-ring infiltrometer, and methylene blue allowed us to trace the pathways of water
36 flow. Results indicated that scrubland species had the highest steady infiltration rates, which were about 23%
37 and 83% higher than those rates measured in the fruit tree plantation and grasslands, respectively. Regarding
38 root geometry, the steady infiltration rates were significantly and positively correlated with the average root
39 channel diameter (ARCD) and area (RCA). Under the same root diameter conditions, soil water infiltrability
40 significantly improved in the decayed root plots and compared with the alive root plots. Our findings
41 contribute to a better understanding of the effects of root channels of different degraded vegetation types on
42 soil moisture and infiltrability, which are conducive to provide knowledge base in the research of
43 hydrological processes in degraded soils in water-scarce regions.

44

45 **Key words:** Decayed root; Preferential flow; Double-ring infiltrometer; Soil infiltration; Vegetation type

46

47 **1. Introduction**

48 It is expected that droughts are going to be more severe in drylands over the coming years owing to ongoing
49 climate change (Brown, 2002; Breshears et al., 2005). Dried soil layers (DSL) are mainly caused by chronic



50 shortage of rainwater, high evapotranspiration and excessive consumption of deep soil water by plants (Jipp
51 et al., 1998). DSL have a negative impact on the water cycle in soil–plant–atmosphere continuum by
52 restraining water interchanges between the upper and deeper soil layers (Lucero et al. 2000;
53 Valdez-Hernandez et al. 2010). This process may affect the recharge of soil water (Robinson et al., 2006),
54 hinders vegetation restoration and may evolve towards soil degradation at a later stage (Ashton and Kelliher,
55 1996; Breshears et al., 2005).

56 Soil water content is highly dependant on water infiltration and groundwater. Under certain conditions,
57 roots create preferential flow paths, and preferential flows can occur via root channels which sometimes
58 represents up to 70% of soil macropores (Noguchi et al., 1999). Gerke et al. (2015) found that the biomat
59 bacteria layer in the soil can generate preferential flow paths in natural forests. The alive and dead plant roots
60 can both form the preferential flow paths that favour water infiltration (Newman et al., 2004; Ghestem et al.,
61 2011). Therefore, the macropores formed by root channels are one of the important mechanisms of surface
62 water infiltration. Recent studies have indicated that roots have significant effects on soil water infiltrability
63 (Wu et al., 2016; Huang et al., 2017), and the traits of plant roots have important effects on the preferential
64 flow (Jiang et al., 2018). In particular, the decay of plant roots is related to the vegetation type and root
65 density, because the root diameter and length determine the root channel development (Ghestem et al., 2011).
66 Wu et al. (2019) studied alfalfa fields and showed that roots decay would improve soil structure and promote
67 rainfall infiltration. Roots decay favours restoring soil water conditions, especially by promoting the
68 supplement of water in the deep soil layers (Germann et al., 2012). Thus, rational utilization of decayed root
69 channels may be an effective way to restore dried soil layers with a minimum environmental impact, and this
70 process could provide better soil water conditions for future plants.

71 Shrubs are the main plant in drylands (Cushman et al., 2010), and *Caragana korshinskii* (*C. korshinskii*) is
72 widely cultivated in these regions (Deng et al., 2017) because it is a long-lived legume shrub with good



73 drought tolerance. However, large-scale planting of *C. korshinskii* can enhance the soil water deficit and
74 further promote the formation of dried soil layers (Gardiol et al., 2003; Maestre et al., 2009). Fruit tree
75 plantations are also a common phenomenon in these regions to improve farmers' income. However and due
76 to the limitation of water recharge to the soil and increasing soil desiccation, the mortality of plants has
77 increased, which was not conducive to the ecological restoration and economic development.

78 As a results of the death of some of these plants, the roots have decayed and formed large channels,
79 favouring rainfall infiltration. The soil water condition may improve when rainfall happens, providing a
80 better growing environment for the latter plants (Wu et al., 2019). Moreover, a number of studies have
81 proved that gramineous plants –with thin and shallow root system– and leguminous plants –with taproot and
82 deep root system– have different effects on soil water infiltrability due to their different root architecture
83 (Huang et al., 2017; Zhang et al., 2019). Especially different plant types, such as grass, shrubs and trees have
84 different effects on soil infiltrability. Although the soil water consumption of trees and shrubs is higher than
85 that of grasses (Shangguan, 2007), the effects of root channel formed by decayed roots after vegetation die
86 off on soil water reservoir are still not clear. To the best of our knowledge, most studies have concentrated
87 the research on one plant type or species (Wu et al., 2016; Jiang et al., 2018; Guo et al., 2019), neglecting the
88 comparison between different plant types.

89 This study evaluates the effects of decayed and alive roots on soil infiltrability in three contrasted
90 vegetation species: Scrubland (*C. korshinskii*), fruit tree plantation (*A. vulgaris*) and grassland (*Medicago*
91 *sativa*; from a previous study made by Guo et al., 2019). This study explores the effects of alive and decayed
92 roots on soil water infiltration rates in three common vegetation types in semi-arid regions, and determines
93 the contribution of the preferential flow to soil water. This goal fits well with the Land Degradation
94 Neutrality target No. 15.3 of the Sustainable Development Goals established by the United Nations (Kapović
95 Solomun et al., 2018).



96

97 **2. Materials and methods**

98 **2.1. Study areas**

99 The experimental site is located in Shanghuang village, Hechuan township, Yuanzhou district, Guyuan city,
100 in Ningxia Hui Autonomous Region (35°59′–36°02′N, 106°26′–106°30′E) at an altitude of between
101 1530–1822 m a.s.l. This study area is located in the western part of the Loess Plateau, China, and has a
102 semi-arid cold climate. The average annual precipitation is ca. 419 mm, but it is widely variable and about
103 70% of the total rainfall depth occurs between May and October. The average annual temperature is 6.9 °C.
104 The soil in the study area is loessial soil with 26.6% clay, 62.0% silt and 11.4% sand (Chai et al., 2019).
105 Since 1980s, a native shrub (*Caragana korshinskii*) was used to restore the degraded sloping lands in most
106 area (ca. 90%). In addition, large areas are cultivated with red plum apricot (*Armeniaca vulgaris*), which is
107 the main economic crop in the area.

108

109 **2.2. Experimental design**

110 Three different vegetation types were selected in this study, namely: Scrubland (*C. korshinskii*), fruit tree
111 plantation (*A. vulgaris*) and grassland (*Medicago sativa*) (Fig. 1). The data of the grassland species comes
112 from a previous study done by Guo et al. (2019). In order to have comparable values between the new and
113 old data, we replicated the treatment conditions of the previous study that are the following: Bare land as
114 control area, and alive and decayed specimens of *C. korshinskii* and *A. vulgaris*. Thirty years ago, *C.*
115 *korshinskii* was planted in this area because it survives easily in arid and semi-arid environments and
116 nowadays it has become the dominant species. However, with the increase of the planting age of the artificial
117 *C. korshinskii*, the plantation has been degraded. The plantation of *A. vulgaris* started 40 years ago, but some
118 plants dead about 5 years ago. The following field measurements experimental design was carried out: Bare



119 land (4 repetitions), decayed (7 repetitions) and alive (5 repetitions) *C. korshinskii*, and decayed (8
120 repetitions) and alive (6 repetitions) *A. vulgaris*.

121

122 **2.3. Measurement of infiltration rates**

123 Water infiltration processes were evaluated by using a double-ring infiltrometer in the selected sites. This
124 device is composed of a 16-cm diameter inner ring and a 32-cm diameter outer ring. It was made by using
125 1-cm wall thickness and 20-cm height PVC pipes. For reducing the influence of artificial disturbance on soil
126 structure, the infiltrometer were gently and vertically inserted into the soil. The litter and plants were
127 removed from the soil surface before inserting the infiltrometer. Then, simultaneous and rapidly addition of
128 water to the inner (with methylene blue) and outer (without methylene blue) rings was done up to 5 cm
129 height. The time was record each time the water level in the inner ring dropped 1 cm, and measurements
130 stopped when they reached three roughly consecutive equal values. Water line in these two rings stayed the
131 same, and water was refilled up to the 5 cm height when the water line dropped to 1 cm over the course of
132 the experiment. The initial infiltration rate was calculated by the mean value of the first three minutes.
133 Similarly, the steady-state infiltration rate was calculated by using the last three values (Fig. 2).

134

135 **2.4. Soil sampling and analysis**

136 Vertical soil profiles were excavated along the perimeter of the double ring when the experiment ended after
137 24 hours. The vertical soil profiles of the fruit tree plantation (*Armeniaca vulgaris*), scrubland (*Caragana*
138 *korshinskii*) and grassland (*Medicago sativa*) are shown in Fig. 1. The wetted vertical profiles were recorded
139 according to the wetted area. Soil bulk density (BD , g cm^{-3}) was obtained by means of using soil bulk
140 samplers (100 cm^3); at a sampling interval of 10 cm up to 50 cm soil depth. Three replicates were taken at
141 each soil layer. Oven-drying method was used to measure soil gravimetric water content (SWC, %). Total



142 porosity (TP , %) of the soil layers was computed as:

$$143 \quad TP = \left(1 - \frac{BD}{ds}\right) \times 100 \quad (1)$$

144 where ds is the soil particle density, and in this study it was 2.65 g cm^{-3} .

145

146 **2.5. Measurement of root channels**

147 The root channels diameter ($ARCD$, cm) was measured by using a vernier caliper (precision of 0.1 mm) that
148 allowed us to measure the diameter of the stubbles on the soil surface (Wu et al., 2017; Guo et al., 2019).
149 Moreover, the amount of root channels in the inner ring was recorded to reckon the root channel area (RCA ,
150 cm^2). $ARCD$ and RCA were calculated as follows (Wu et al., 2017):

$$151 \quad ARCD = \sum_{i=1}^n \frac{di}{n} \quad (n = 1, 2, 3, \dots) \quad (2)$$

$$152 \quad RCA = n \cdot \pi \cdot \frac{ARCD^2}{4} \quad (n = 1, 2, 3, \dots) \quad (3)$$

153 where di is the root channel diameter of each root that was measured in the same ring (cm), and n is the sum
154 of all root channels that were measured in the same ring.

155

156 **2.6. Statistical analysis**

157 The one-way analysis of variance (ANOVA) and the least significant difference (LSD) test were applied to
158 examine the differences of the soil characteristics in the same soil layer within the different experimental
159 treatments. Significant differences level was set at 0.05. The correlation between $ARCD$, RCA and the steady
160 infiltration rate was evaluated by using regression analysis. All statistical analyses were carried out using
161 SPSS 22.0 (IBM, USA) software. All figures were created using SigmaPlot 14.0, except figure 1 that was
162 created using Microsoft PowerPoint 2010.



163

164 3. Results

165 3.1. Soil physical characteristics in different treatments

166 The highest *SWC* appeared in the top soil layer (0-10 cm) in all treatments with the highest values in the
167 decayed *C. korshinskii* ($\overline{SWC} = 16.15\%$) followed by the bare land ($\overline{SWC} = 15.83\%$) and alive *C.*
168 *korshinskii* ($\overline{SWC} = 14.13\%$), whereas the lowest *SWC* was obtained in the decayed *A. vulgaris* ($\overline{SWC} =$
169 13.36%) (Table 1). The differences of *SWC* between the treatments were significant at all soil layers. In
170 particular, in the 0–40 cm soil layer, the *SWC* of the decayed *C. korshinskii* was significantly higher than in
171 the other experimental treatments. Moreover, *SWC* of the bare land was significantly higher than *SWC* of the
172 other treatments at the 40-50 cm soil layer. An overall general trend was observed in all experimental
173 treatments, showing that *SWC* decreased with increasing soil depth. Regarding *BD*, the measured values
174 decreased with increasing soil depth in the bare land, whereas increasing *BD* values were found in the other
175 treatments. The *BD* of the bare land in the 0-30 cm soil layer was significantly higher ($\overline{BD} = 1.24 \text{ g cm}^{-3}$)
176 than in the other treatments (\overline{BD} between 1.12 and 1.14 g cm^{-3}). While *TP* showed an opposite tendency
177 compared with *BD*, the differences between the different soil layers were not marked within the same
178 treatment.

179

180 3.2. Soil infiltration rates of different treatments

181 The initial infiltration rate of the bare land was the lowest (279.07 mm h^{-1}) among all treatments, and it was
182 approximately 37%, 57%, 58% and 68% lower than that of the alive and decayed *C. korshinskii* and *A.*
183 *vulgaris*, respectively (Fig. 3). Compared with alive and decayed *C. korshinskii*, the initial infiltration rate of
184 the alive and decayed *A. vulgaris* increased by 48% and 37%, respectively. In addition, the steady infiltration
185 rates of decayed *C. korshinskii* and *A. vulgaris* were about 68% higher than that of the alive *C. korshinskii*



186 and *A. vulgaris*, respectively. Steady infiltration rates of decayed *C. korshinskii* and *A. vulgaris* were
187 approximately 83% and 48% higher than that of the bare land, respectively (Fig. 3).

188

189 **3.3. Correlation between root channel properties and soil water infiltration rates**

190 The *ARCD* in the alive and decayed *C. korshinskii* was positively and significantly correlated to the steady
191 infiltration rates (Fig. 4a). Moreover, a significant relationship existed between *RCA* and the steady
192 infiltration rates in the alive ($R^2 = 0.796$; $P = 0.108$) and decayed ($R^2 = 0.906$; $P < 0.001$) *C. korshinskii* (Fig.
193 4b). For the alive and decayed *A. vulgaris*, significant and positive relationships were also found between
194 *ARCD*, *RCA* and the steady infiltration rates (Fig. 4c, d). Furthermore, the steady infiltration rates of the
195 decayed root increased 41% compared to the alive roots when the diameter of the roots were similar in the *C.*
196 *korshinskii* (Fig. 4a). The steady infiltration rates of the decayed *A. vulgaris* increased by 14.21% compared
197 with the alive *A. vulgaris* at the same root diameter (Fig. 4c).

198

199 **4. Discussion**

200 Understanding the effects of root characteristics on soil properties is essential to succeed in vegetation
201 restoration in drylands (Costantini et al., 2015; Neris et al., 2012). Roots can release large amounts of
202 exudates and have a physical winding function that improves soil structure, and further influences soil
203 infiltrability (Bronick and Lal, 2005; Wu et al., 2019). Gerke et al. (2015) reported that biomats can transmit
204 considerable lateral subsurface flow in some hillslope soils. Moreover, soil preferential flow caused by root
205 channels has crucial effects on water infiltration process, which is conducive to the recharge of groundwater
206 and has great significance in predicting runoff generation (Weiler and Naef, 2003). Previous studies indicate
207 that macropores formed by decayed roots may ease soil water to move down to deep soil layers, especially in
208 dried areas (Bogner et al., 2010). Our results provide a clear evidence that the decayed root systems of a



209 shrub species (*Caragana korshinskii*) and a fruit tree species (*Armeniaca vulgaris*) act as preferential flow
210 channels for increasing soil water infiltration into soil. The steady infiltration rates of *C. korshinskii* and *A.*
211 *vulgaris* significantly and positively correlated with the *ARCD* and *RCA* (Fig. 4). The preferential flow
212 formed by the root channels is considered to be the main effect factor on macropore flow (Weiler and Naef,
213 2003). This process may be relevant for the restoration of the soil water conditions in deep layers, and thus,
214 to mitigate dried soil layers.

215 This study shows that the steady infiltration rates of both the alive and decayed roots increased compared
216 with the bare land, and more important, this pattern was higher in the decayed roots treatment. In general,
217 both alive and decayed roots could form relatively large, continuous, well-connected and open channel
218 networks, considering preferential flow paths (Aubertin, 1971). Compared with alive roots, decayed roots
219 were more likely to form long and continuous paths (Mitchell et al., 1995). However, tree root morphology
220 could have an adverse effect on soil slope stability of forested hillslopes, caused by hydrological processes,
221 due to the increase of pore water pressure in the sensible soil zone (Sidle, 2000). After aggregating our field
222 measurements with the previous research findings (Guo et al., 2019), we found that the infiltrability of
223 scrubland species (*C. korshinskii*) was significantly improved compared with the rates obtained in the fruit
224 tree (*A. vulgaris*) and grassland (*Medicago sativa*) species. This result may be explained by the coarser roots
225 of *A. vulgaris* than those of *C. korshinskii*, which led to different decay rates. Moreover, this finding was also
226 explained by the dye tracer experiment. The decayed root hole in *C. korshinskii* contains a blue dye (Fig.1)
227 that clearly indicated that the decayed root of *C. korshinskii* formed a channel to promote water flow, but the
228 dye was not found in the decayed root of *A. vulgaris* (Fig. 1).

229 It is worth mentioning that this study proved the positive correlation between *ARCD* and *RCA* with
230 steady infiltration rates. The *ARCD* in the leguminous grasslands (*M. sativa*) and scrublands (*C. korshinskii*)
231 was approximately 3 cm, whereas in the fruit tree plantations (*A. vulgaris*) it was more than 10 cm. The



232 relative larger RCA was conducive to improve the soil water steady infiltration rate. Moreover, the
233 distribution of roots in the soil profile may lead to the difference of infiltration depth. Results indicated that
234 infiltration depth of the scrublands was significantly higher than that of the fruit tree plantations (Fig. 5),
235 which may be resulted from the unrotten root system of the orchard. Normally, the root system of fruit trees
236 is deeper than that of the scrublands and grasslands. This study has explored the effects of decayed root
237 channels on soil hydrology, which may contribute to the sustainability of vegetation restoration in drylands.
238 This task is of particular importance in water-scarce areas, and further in-depth study is still needed. Hence,
239 future study should pay more attention on preferential flow related to complex root morphologies and
240 different decay degrees in different vegetation types.

241

242 **5. Conclusions**

243 The effects of root channels on soil water infiltrability of three distinct vegetation types were studied in a
244 semi-arid area, and the study was divided into those channels formed by decayed roots or alive roots.
245 Combining the author's previous research findings, we concluded that scrubland species had the highest
246 value of steady infiltration rates, followed by fruit tree and grassland species. The results of this study clearly
247 demonstrated that the root channel formed by decayed roots could improve soil water infiltration rates
248 compared with the rates obtained in the alive roots of the same species. Importantly, the steady infiltration
249 rates were significantly and positively correlated to the *ARCD* and *RCA*. Under the same root diameter
250 condition, the steady infiltration rates of the decayed roots were significantly higher than those of the alive
251 roots. Our results suggested that the decayed root channels significantly increased the soil infiltrability
252 compared with the alive root channels. Our research contributes to better understanding the role played by
253 the decayed root channels of different degraded vegetation types on soil infiltrability and soil moisture, and
254 thus, to improve the dried soil layer in semi-arid regions. This process of soil water replenishment is



255 environmentally friendly and matches the target of Land Degradation Neutrality.

256

257 **Declaration of Competing Interest**

258 The authors declare no conflict of interest.

259

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265

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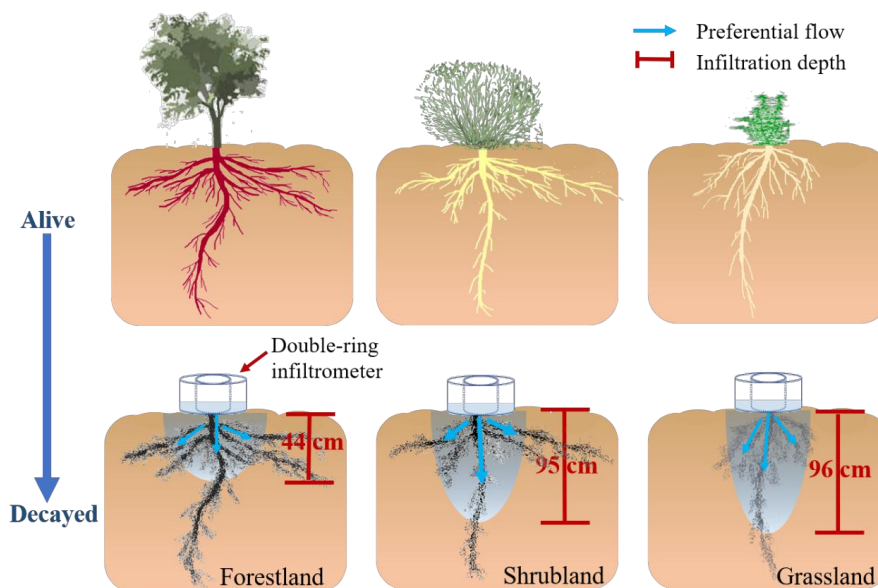
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354 **Table 1.** The characteristics of soil water content (SWC), soil bulk density (BD) and total porosity (TP) of
 355 bare land, *Caragana korshinskii* and *Armeniaca vulgaris* at 0–50 cm soil layers (Mean ± SD).

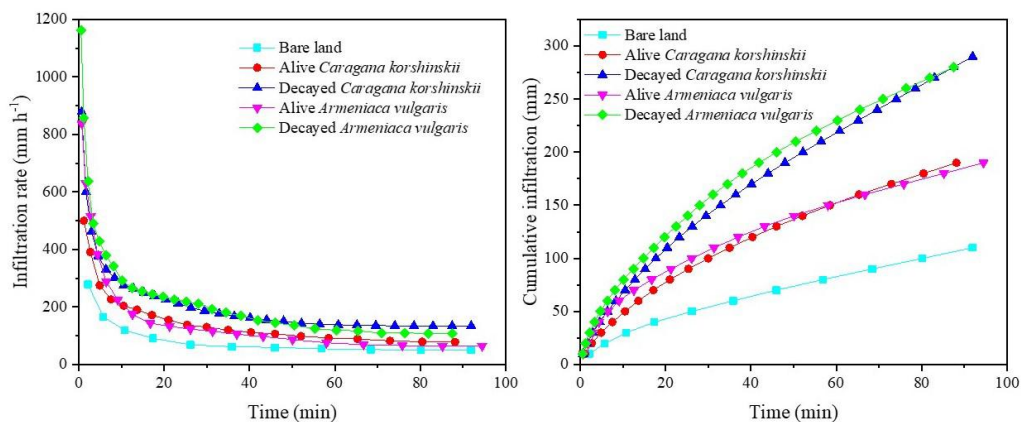
Treatments	Soil depth (cm)	SWC (%)	BD (g cm ⁻³)	TP (%)
Bare land	0-10	15.83±1.10ab	1.25±0.05a	52.74±1.98b
	10-20	11.62±0.21b	1.26±0.02a	52.56±0.74b
	20-30	11.10±1.07b	1.22±0.03a	53.93±1.05b
	30-40	9.73±0.46b	1.17±0.05a	55.81±1.88a
	40-50	10.56±0.56a	1.13±0.01a	57.25±0.40a
Alive <i>Caragana korshinskii</i>	0-10	14.13±0.51b	1.11±0.06b	57.94±2.14a
	10-20	13.86±0.14ab	1.13±0.06b	57.26±2.15a
	20-30	13.78±0.47ab	1.12±0.03b	57.70±1.14a
	30-40	11.69±0.71a	1.13±0.01a	57.41±0.32a
	40-50	7.98±0.62c	1.17±0.08a	56.03±3.05a
Decayed <i>Caragana korshinskii</i>	0-10	16.15±0.14a	1.09±0.06b	58.93±2.22a
	10-20	14.43±1.14a	1.10±0.07b	58.42±2.64a
	20-30	14.84±0.56a	1.16±0.04ab	56.16±1.69a
	30-40	11.17±0.40a	1.12±0.05a	57.56±1.99a
	40-50	9.21±0.79b	1.17±0.03a	55.84±0.94a
Decayed <i>Armeniaca vulgaris</i>	0-10	13.36±1.44b	1.15±0.06ab	56.50±2.28ab
	10-20	12.69±1.60ab	1.08±0.06b	59.17±2.42a
	20-30	9.43±2.70b	1.19±0.02a	55.04±0.65b
	30-40	6.78±0.37c	1.14±0.07a	56.86±2.78a
	40-50	7.23±0.17c	1.21±0.06a	54.40±2.30a

356 Note: For each soil depth but different treatment, the values followed by a different letter are significantly
 357 different at 0.05 level.



358

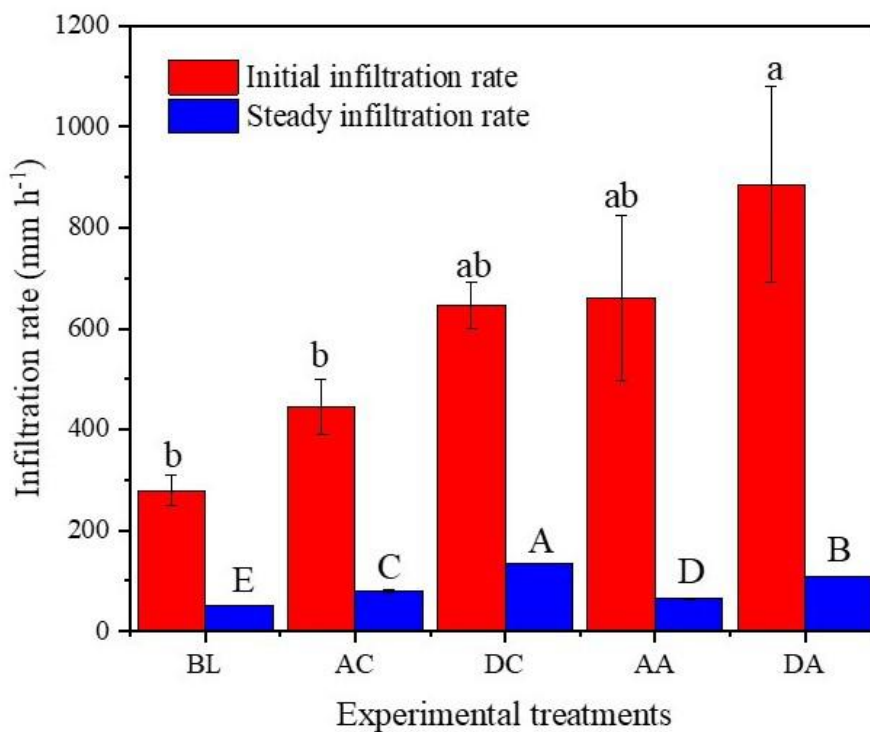
359 **Figure 1.** The experimental sites in the fruit tree plantation (*Armeniaca vulgaris*), scrubland (*Caragana*
360 *korshinskii*) and grassland (*Medicago sativa*). This figure showed the roots from alive to decay when the
361 plants die off. The light blue area indicated the wetting front when measure the infiltration rate by using
362 double-ring infiltrometer. The infiltration depth was measured after the experiment stopped 24 h when the
363 infiltration rate was stable.



364

365 **Figure 2.** Infiltration processes and cumulative infiltration curves for the bare land, scrubland (*Caragana*

366 *korshinskii*), fruit tree plantation (*Armeniaca vulgaris*) and grassland (*Medicago sativa*).



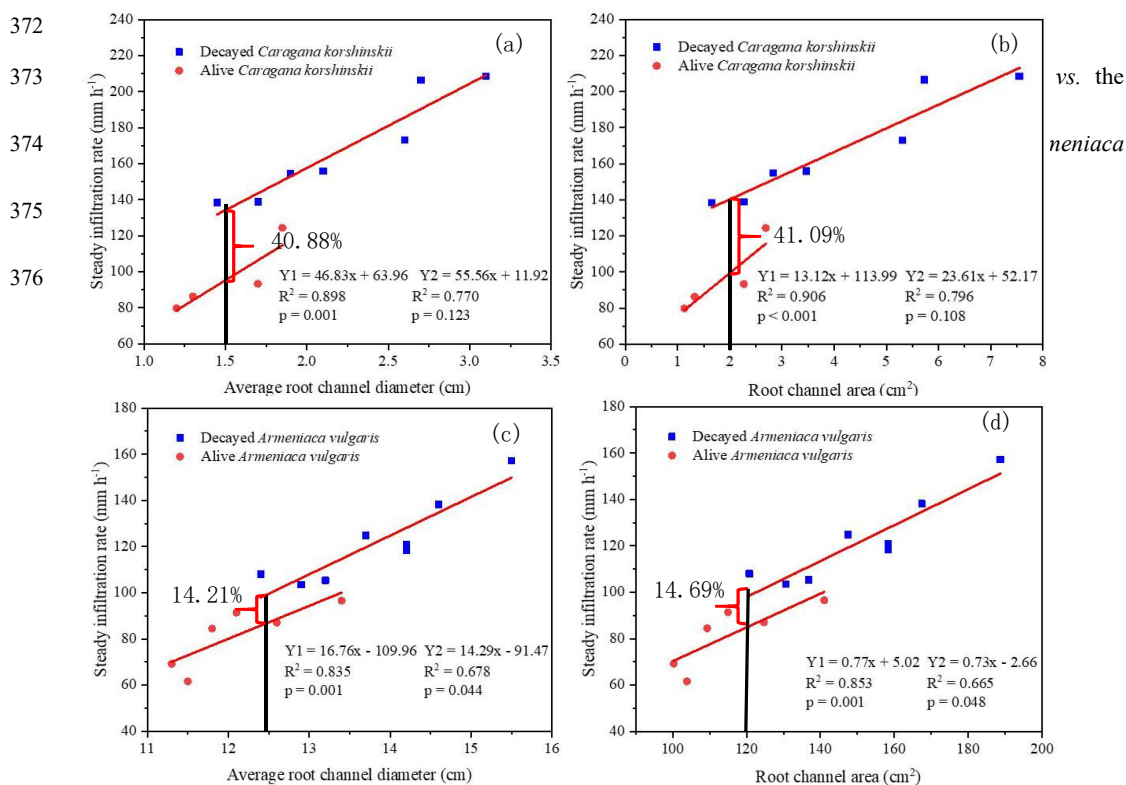
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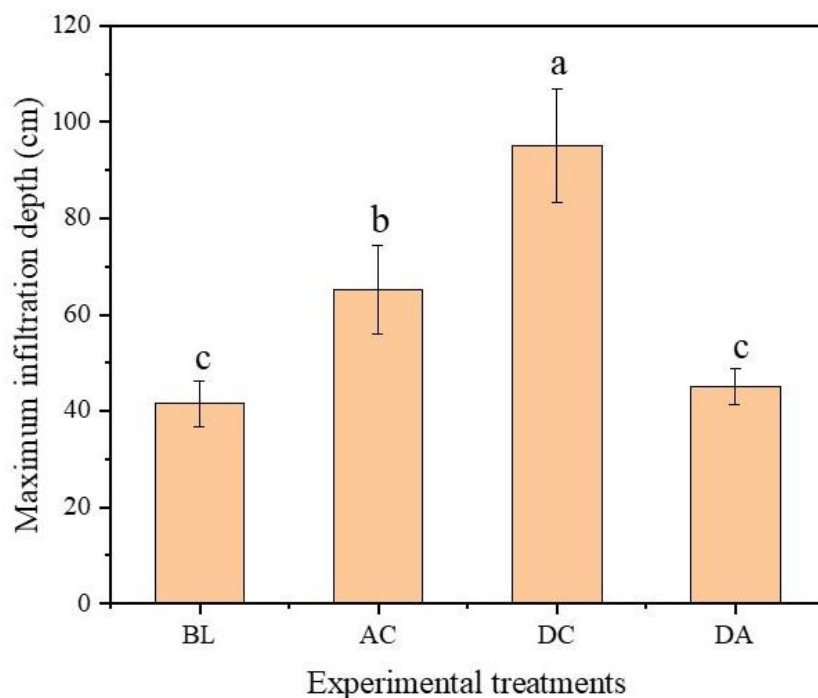
368 **Figure 3.** Initial infiltration rate and steady infiltration rate of different experimental treatments (mean ± SD).

369 Note: BL, bare land; AC, alive *Caragana korshinskii*; DC, decayed *Caragana korshinskii*; AA, alive

370 *Armeniaca vulgaris*; DA, decayed *Armeniaca vulgaris*.

371





377

378 **Figure 5.** The maximum soil water infiltration depth of different experimental treatments (mean ± SD). Note:

379 The maximum infiltration depth is the maximum depth the water reached along the soil profile after 24 h. BL,

380 bare land; AC, alive *Caragana korshinskii*; DC, decayed *Caragana korshinskii*; DA, decayed *Armeniaca*

381 *vulgaris*.