# **Response to Referee #1 Comments**

**Point 1:** Statement of the third key point is not very clear. After reading the manuscript, I know the main point is the west coastal area, but the statement is not emphasizing this.

**Response 1:** Agreed and the following text has been added in the Section 4.4:

"The highest rainfall erosivity regions in the UK are concentrated in the mountainous areas along the western coast, related to their rainfall system. The moist air brought by the prevailing westerly wind from the Atlantic Ocean moves from west to east across the UK and rises when it encounters the mountains of western England. Therefore, the mountainous regions along the UK western coast have the highest rainfall amount and rainfall erosivity in the UK. In addition, western Scotland is under the subpolar oceanic climate, which enhances its humidity. On the contrary, eastern Scotland and northeastern England are more likely to expose continental polar air mass, which brings dry and cold air and lower rainfall erosivity."

**Point 2:** For interpolation of rainfall in section 4.1, CEH also published 1km gridded rainfall datasets for the whole UK, have you compared your interpolation rainfall with theirs? The reason I'm asking it is because rainfall interpolation is important in the following analysis of erosion, it's worthy to ensure that the interpolation is reliable.

**Response 2:** CEH is a dataset with 1 km gridded estimates of daily and monthly rainfall for the whole UK derived from the Met Office. The natural neighbour interpolation methodology, including a normalisation step based on average annual rainfall, was used to generate the product (Tanguy et al., 2019). The method for calculating  $EI_{30}$  requires hyetograph data for individual storms (Wischemier and Smith, 1978). Therefore, the monthly or daily rainfall data generated by CEH are hard to distinguish rainfall events and estimate  $EI_{30}$ , although some studies have proposed methods related daily rainfall data to estimate rainfall erosivity using statistical models.

The study area has the little climatic variability with same climate type named temperate oceanic climate, and the 304 hourly rain gauges are distributed throughout the UK evenly. Therefore, ordinary kriging interpolation was expected to produce realistic results. It should be noted that refined interpolation for rain gauges is not the focus of this research. Instead, we tried to propose a methodology based on the numerical weather prediction model for estimating rainfall erosivity anywhere around the world, especially those regions with sparse instruments.

Tanguy, M.; Dixon, H.; Prosdocimi, I.; Morris, D.G.; Keller, V.D.J. (2019). Gridded estimates of daily and

monthly areal rainfall for the United Kingdom (1890-2017) [CEH-GEAR]. NERC Environmental Information Data Centre. (Dataset). https://doi.org/10.5285/ee9ab43d-a4fe-4e73- afd5- cd4fc4c82556.

Wischmeier, W. H. and Smith, D. D. (1978). Predicting rainfall erosion losses-a guide to conservation planning. Department of Agriculture, Science and Education Administration, US.

**Point 3:** The empirical equation in table 1 and figure 1 did not perform very well with R2 not over 0.50, how well is the relationship in other studies? Is this acceptable based on previous studies?

**Response 3:** The Figure 2 in the manuscript has been replaced with the following figures, which is clearer in showing the method performance. Van Dijk et al. (2002) compared the three forms of *ke-I* relationships including exponential, logarithmic and power-law equations, based on the same observed data. The R<sup>2</sup> values are 0.53, 0.52 and 0.53, respectively. The R<sup>2</sup> values in this study is surely acceptable that many studies have obtained R2 value between 0.45-0.50 (Laws and Parsons, 1943; Kinnel, 1980; Brandt, 1988). Angulo-Martínez et al. (2016) compared simulated *ke* from 14 different exponential *ke–I* relationships with respect to the disdrometer-observed values, found that R<sup>2</sup> was low at 1 min resolution (~0.25). The low R<sup>2</sup> of empirical equations also indicate the large variability of DSD in nature. Therefore, we believed that the study of large-scale rainfall energy and rainfall erosivity based on NWP-derived DSD is of great significance.

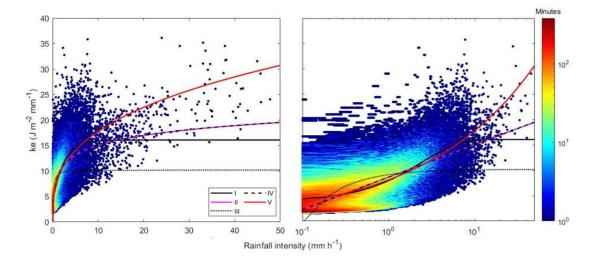


Figure 1 (new Figure 2 in manuscript). Minutes number per intensity class (x-axis) and *ke* class (y-axis) with five fitted *ke–I* curves at Chilbolton station (2004–2013), plotted on linear (left) and logarithmic (right) intensity scales.

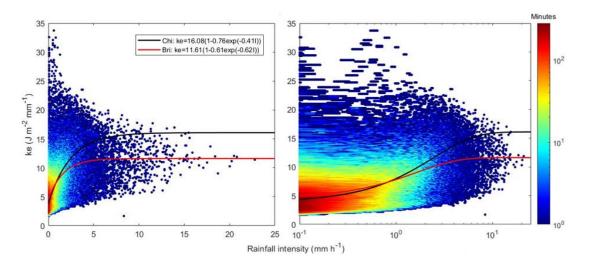


Figure 2 (new Figure 4 in manuscript). Minutes number per intensity class (x-axis) and *ke* class (y-axis) with fitted *ke–I* curves at Bristol station (2015–2018), plotted on linear (left) and logarithmic (right) intensity scales.

Angulo-Martínez, M., Beguería, S. and Kyselý, J. (2016). Use of disdrometer data to evaluate the relationship of rainfall kinetic energy and intensity (KE-I). Science of the Total Environment 568: 83-94.

Van Dijk, A., Bruijnzeel, L. and Rosewell, C. (2002). Rainfall intensity–kinetic energy relationships: a critical literature appraisal. Journal of Hydrology 261(1-4): 1-23.

**Point 4:** The two disdrometers are located in the same region, but the relationship is significantly different. Is it common in previous studies or any explanation about it?

**Response 4:** The current studies have showed that DSD and *ke-I* relationships changes significantly with geographical locations and weather systems, including climate, altitude and terrain (Van Dijk et al., 2002; Angulo-Martínez et al., 2016). Both disdrometers located in southern England, have the similar oceanic climate. However, there are still differences between the two stations in altitude, topography, land cover, etc. For instance, Chilbolton Observatory is located on the edge of the village of Chilbolton, at an attitude of 86m, while University of Bristol is an urban campus, at 77m attitude. The former is 11 kilometers from the coastline and the latter is above 37 kilometers. From the revised Figure 2 and Figure 4, the difference also shows that DSD-based estimation methods are needed to reflect rainfall microphysical characteristics on large-scale, which is the goal of this work.

Van Dijk, A., Bruijnzeel, L. and Rosewell, C. (2002). Rainfall intensity–kinetic energy relationships: a critical literature appraisal. Journal of Hydrology 261(1-4): 1-23.

**Point 5:** In figure 7, can you change the x axis tick to the real month, e.g. Jan/2013, so that seasonable patterns can be observed and analyzed?

**Response 5:** Agreed and amended. Figure 7 in manuscript has been changed and the following text has been added in the Section 4.3. We also added a figure (Figure 8) to show how monthly patterns performed.

"Based on the four-year data, the study area is rainy throughout the year with little R monthly, or seasonal patterns change (Figure 8), influenced by the temperate oceanic climate. Figure 8 also indicated that through the perspective of monthly average results,  $R_{W-WDM6}$  values are low,  $R_{W-TAA}$  has a good similarity with low  $R_D$ , and  $R_{W-Morrison}$  is the closest to  $R_D$  in value."

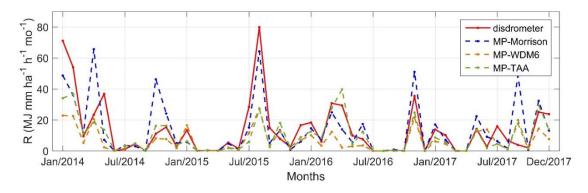


Figure 3 (new Figure 7 in manuscript). Comparison of disdrometer- and WRF-derived monthly rainfall erosivity estimations at Chilbolton station (2014–2017).

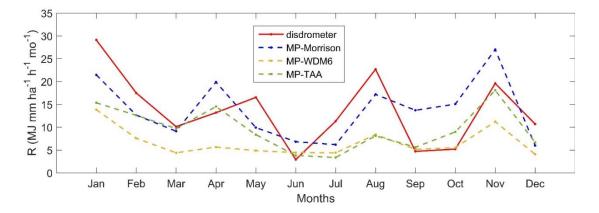


Figure 4 (added as Figure 8 in manuscript). Comparison of disdrometer- and WRF-derived average monthly rainfall erosivity estimations at Chilbolton station (2014–2017).

**Point 6:** Discussion part is weak in the manuscript, more discussions can be added in the result section or a separate section by comparing with previous studies and discussing about the potential limitations and applications of this approach.

**Response 6:** Discussion part is mainly contained in the conclusion section. The following text has been added in the Section 5 to enrich the discussion:

"The reliability of the WRF model is heavily dependent on the model-driving initial data provided by mesoscale or global models and complicated scheme setting and parameter adjustment (Liu et al., 2013; Thompson and Eidhammer, 2014; Kumar et al., 2017). However, numerous uncertainties are observed in the parameterization of the WRF simulation, and the choice of microphysical schemes has a significant influence on the inverted DSD (Ćurić et al., 2009; Yang et al., 2019). Therefore, combining the DSDs obtained by an increasing number of disdrometers and the WRF model is valuable. For example, the Disdrometer Verification Network (DiVeN) in the UK (Pickering et al., 2019) started in Feb 2017 can be introduced to support and improve our estimation in future studies."

"Soil erosion in the UK is dominated by water erosion  $(10-30 \text{ t km}^{-2} \text{ yr}^{-1})$ , especially in areas with abundant rainfall in Scotland, where the soil loss rate is approximately 5–10 times that of dry areas (Duck, 1996). Thus, it is significant to estimate rainfall erosivity to elucidate the microphysical characteristics of rainfall and rainfall–soil interactions. Benaud et al. (2020) collated empirical soil erosion observations from UK-based studies into a geodatabase. However, there is a limitation that this database does not cover the entirety of the UK, especially the limited records in northern Scotland. In our future work, we propose to compare the soil loss database with our estimated soil loss using WRF DSD based rainfall erosivity and a soil erosion model (such as RUSLE). We believe that not only can we better analyze the impact of rainfall and rainfall erosivity on the UK soil loss, but also help to better understand microphysical rainfall–soil interactions to support the rational formulation of soil and water conservation planning."

Benaud, P., Anderson, K., Evans, M., Farrow, L., Glendell, M., James, M. R., ... & Brazier, R. E. (2020). National-scale geodata describe widespread accelerated soil erosion. Geoderma, 371: 114378.

Curić, M., Janc, D., Vučković, V. and Kovačević, N. (2009). The impact of the choice of the entire drop size distribution function on Cumulonimbus characteristics. Meteorologische Zeitschrift 18(2): 207-222.

Duck, R. W. (1996). Regional variations of fluvial sediment yield in eastern Scotland. Erosion and Sediment Yield: Global and Regional Perspectives: Proceedings of an International Symposium Held at Exeter, UK, IAHS.

Kumar, P., Kishtawal, C. and Pal, P. (2017). Impact of ECMWF, NCEP, and NCMRWF global model analysis on the WRF model forecast over Indian Region. Theoretical and Applied Climatology 127(1-2): 143-151.

Liu, J., Bray, M. and Han, D. (2013). Exploring the effect of data assimilation by WRF - 3DVar for numerical rainfall prediction with different types of storm events. Hydrological Processes 27(25): 3627-3640.

Pickering, B. S., Neely III, R. R., & Harrison, D. (2019). The Disdrometer Verification Network (DiVeN): a UK network of laser precipitation instruments. Atmospheric Measurement Techniques 12: 5845-5861.

Thompson, G. and Eidhammer, T. (2014). A study of aerosol impacts on clouds and precipitation development in a large winter cyclone. Journal of the Atmospheric Sciences 71(10): 3636-3658.

Yang, Q., Dai, Q., Han, D., Chen, Y. and Zhang, S. (2019). Sensitivity analysis of raindrop size distribution parameterizations in WRF rainfall simulation. Atmospheric Research 228: 1-13.

# **Response to Referee #2 Comments**

**Point 1:** You have used two distrometers in the same locations (considering the whole UK study area) and in the same elevation ranges (low elevation), but they differ considerably. What about the high elevation then? And how much they are representative of the whole UK?

**Response 1:** The current studies showed that DSD and *ke-I* relationships changes with geographical locations and weather systems, including climate, altitude and terrain (Van Dijk et al., 2002; Angulo-Martínez et al., 2016). Both the two disdrometers located in southern England, have the similar oceanic climate. The focus of this study is not to use disdrometers to estimate rainfall erosivity. On the contrary, we chose the two disdrometers in similar locations to illustrate the spatial uncertainty of the *ke-I* relationship exactly. The results indicated that it is inappropriate to rely on an empirical formula in a large scale. The widely used (R)USLE approach to predict *ke-I* relationships based on measurement at a single location only (Wischmeier et al., 1978; Renard et al., 1997). Therefore, the proposed method based on NWP DSD is expected to effectively improve large-scale rainfall KE and rainfall erosivity estimation.

Angulo-Martínez, M. and Barros, A. (2015). Measurement uncertainty in rainfall kinetic energy and intensity relationships for soil erosion studies: An evaluation using PARSIVEL disdrometers in the Southern Appalachian Mountains. Geomorphology 228: 28-40.

Renard, K. G., Foster, G. R., Weesies, G., McCool, D. and Yoder, D. (1997). Predicting soil erosion by water: a guide to conservation planning with the Revised Universal Soil Loss Equation (RUSLE), United States Department of Agriculture Washington, DC.

Van Dijk, A., Bruijnzeel, L. and Rosewell, C. (2002). Rainfall intensity–kinetic energy relationships: a critical literature appraisal. Journal of Hydrology 261(1-4): 1-23.

Wischmeier, W. H. and Smith, D. D. (1978). Predicting rainfall erosion losses-a guide to conservation planning. Department of Agriculture, Science and Education Administration, US.

**Point 2:** Could you use the recently published and open access Disdrometer Verification Network of UK (Disdrometer Verification Network (DiVeN): a UK network of laser precipitation instruments, https://amt.copernicus.org/articles/12/5845/2019/) to support the finding of your study and refine better the findings?

Response 2: This study used two disdrometers in Chilbolton and Bristol to calibrate R results derived by WRF

model. Both two disdrometers have long running periods and have been fully studied and calibrated from a series of research work by our team (Islam et al., 2012; Dai et al., 2014; Yang et al., 2019). The DiVeN disdrometer network may provide an interesting support for our follow-up research, such as finding an empirical formula that is most suitable for the UK as a whole. However, for this study, DiVeX has less overlap with the period studied here, which has limitations for verifying. The following text has been added at the end of Section 5:

"For example, the Disdrometer Verification Network (DiVeN) in the UK (Pickering et al., 2019) started in Feb 2017 can be introduced to support and improve our estimation in future studies."

Dai, Q. and Han, D. (2014). Exploration of discrepancy between radar and gauge rainfall estimates driven by wind fields. Water Resources Research 50(11): 8571-8588.

Islam, T., Rico-Ramirez, M. A., Thurai, M. and Han, D. (2012). Characteristics of raindrop spectra as normalized gamma distribution from a Joss–Waldvogel disdrometer. Atmospheric Research 108: 57-73.

Pickering, B. S., Neely III, R. R., & Harrison, D. (2019). The Disdrometer Verification Network (DiVeN): a UK network of laser precipitation instruments. Atmospheric Measurement Techniques 12: 5845-5861.

Yang, Q., Dai, Q., Han, D., Chen, Y., and Zhang, S. (2019). Sensitivity analysis of raindrop size distribution parameterizations in weather research and forecasting rainfall simulation. Atmospheric Research 228:1-13

**Point 3:** The performance (R2) of equations of the relationship between Ke-I presented in Table 1 are low and very similar (except ID-III). The exponential (ID-I) and power-law (ID-V) are exactly the same, and did not support the statement given in Line 73 where the exponential relationship is used in preference. Would you discuss this in detailed and how much these values are in line with former investigations?

**Response 3:** The figures below replaced Figure 2 in the manuscript, expressed the number of minutes per intensity class (x-axis) and ke class (y-axis). It clearly showed how the five equations performed, plotted on linear and logarithmic intensity scales, respectively. Figure 4 in manuscript also changed to a similar expression. A detailed discussion about the comparison of relationships has been added in section 4.1 as follows:

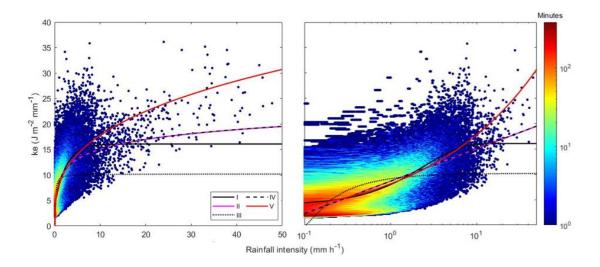


Figure 1 (new Figure 2 in manuscript). Minutes number per intensity class (x-axis) and *ke* class (y-axis) with five fitted *ke–I* curves at Chilbolton station (2004–2013), plotted on linear (left) and logarithmic (right) intensity scales.

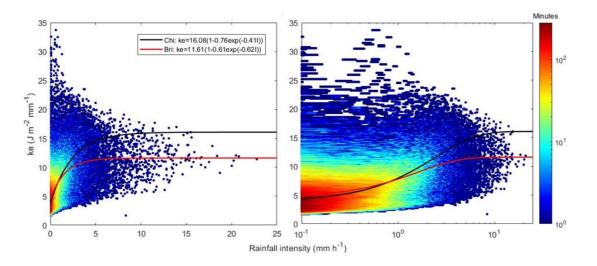


Figure 2 (new Figure 4 in manuscript). Minutes number per intensity class (x-axis) and *ke* class (y-axis) with fitted *ke–I* curves at Bristol station (2015–2018), plotted on linear (left) and logarithmic (right) intensity scales.

"Figure 2 shows the ke-I relationship and five fitted curves at Chilbolton station. It can be seen that the two logarithmic curves (Equation II and IV) invariably overlap. The logarithmic form has been used for a long time in USLE (Wischemier and Smith, 1978). It describes ke well at both low and high I, but does not have an upper limit. The power law curve (Equation V) can predict ke well at lower I but overestimates ke at high I. The exponent-based relationship (Equation I) is widely used in the literature and in forecast models such as RUSLE (Renard et al., 1997), which fits the data particularly well in Figure 2. Even though ke in exponential curve has a minimum value at very low I, it also should be noted that higher rainfall intensities are much more important in determining overall storm energy than lower intensities. Therefore, we adopted it here as the empirical formula to estimate rainfall erosivity in the UK."

**Point 4:** The Discussion section is one of the most exciting parts of any study and preferably to be presented separately from the Results section. Add the Discussion section and compared the study finding with previous studies.

**Response 4:** Discussion part is mainly contained in the conclusion section. The following text has been added in the Section 5 to enrich the discussion:

"The reliability of the WRF model is heavily dependent on the model-driving initial data provided by mesoscale or global models and complicated scheme setting and parameter adjustment (Liu et al., 2013; Thompson and Eidhammer, 2014; Kumar et al., 2017). However, numerous uncertainties are observed in the parameterization of the WRF simulation, and the choice of microphysical schemes has a significant influence on the inverted DSD (Ćurić et al., 2009; Yang et al., 2019). Therefore, combining the DSDs obtained by an increasing number of disdrometers and the WRF model is valuable. For example, the Disdrometer Verification Network (DiVeN) in the UK (Pickering et al., 2019) started in Feb 2017 can be introduced to support and improve our estimation in future studies."

"Soil erosion in the UK is dominated by water erosion  $(10-30 \text{ t km}^{-2} \text{ yr}^{-1})$ , especially in areas with abundant rainfall in Scotland, where the soil loss rate is approximately 5–10 times that of dry areas (Duck, 1996). Thus, it is significant to estimate rainfall erosivity to elucidate the microphysical characteristics of rainfall and rainfall–soil interactions. Benaud et al. (2020) collated empirical soil erosion observations from UK-based studies into a geodatabase. However, there is a limitation that this database does not cover the entirety of the UK, especially the limited records in northern Scotland. In our future work, we propose to compare the soil loss database with our estimated soil loss using WRF DSD based rainfall erosivity and a soil erosion model (such as RUSLE). We believe that not only can we better analyze the impact of rainfall and rainfall erosivity on the UK soil loss, but also help to better understand microphysical rainfall–soil interactions to support the rational formulation of soil and water conservation planning."

Benaud, P., Anderson, K., Evans, M., Farrow, L., Glendell, M., James, M. R., ... & Brazier, R. E. (2020). National-scale geodata describe widespread accelerated soil erosion. Geoderma, 371: 114378.

Ćurić, M., Janc, D., Vučković, V. and Kovačević, N. (2009). The impact of the choice of the entire drop size distribution function on Cumulonimbus characteristics. Meteorologische Zeitschrift 18(2): 207-222.

Duck, R. W. (1996). Regional variations of fluvial sediment yield in eastern Scotland. Erosion and Sediment Yield: Global and Regional Perspectives: Proceedings of an International Symposium Held at Exeter, UK, IAHS.

Kumar, P., Kishtawal, C. and Pal, P. (2017). Impact of ECMWF, NCEP, and NCMRWF global model analysis on the WRF model forecast over Indian Region. Theoretical and Applied Climatology 127(1-2): 143-151.

Liu, J., Bray, M. and Han, D. (2013). Exploring the effect of data assimilation by WRF - 3DVar for numerical rainfall prediction with different types of storm events. Hydrological Processes 27(25): 3627-3640.

Pickering, B. S., Neely III, R. R., & Harrison, D. (2019). The Disdrometer Verification Network (DiVeN): a UK network of laser precipitation instruments. Atmospheric Measurement Techniques 12: 5845-5861.

Thompson, G. and Eidhammer, T. (2014). A study of aerosol impacts on clouds and precipitation development in a large winter cyclone. Journal of the Atmospheric Sciences 71(10): 3636-3658.

Yang, Q., Dai, Q., Han, D., Chen, Y. and Zhang, S. (2019). Sensitivity analysis of raindrop size distribution parameterizations in WRF rainfall simulation. Atmospheric Research 228: 1-13.

**Point 5:** What about ground truthing validation of your results in the whole UK or using previous studies with experimental and in-situ data?

**Response 5:** Rainfall erosivity are difficult to measure, because they refer to erosive potential of rainfall, not the amount of soil erosion that rainfall specifically causes. In RUSLE, soil loss can be estimated by multiplying the rainfall erosivity factor (R-factor) by five other factors: soil erodibility (K-factor), slope length (L-factor), slope steepness (S-factor), crop type and management (C-factor), and supporting conservation practices (P-factor). For ground verification, we believe that disdrometer is the most accurate measurement instrument currently for rainfall erosivity estimation. Results derived by disdrometers are sufficient as a reference to support this study. Moreover, DiVeN you pointed out in Point 2 may be a great data source for ground verification in our future in-depth work.

**Point 6:** How much your study can be compared or can support the very recently published research entitled "National-scale geodata describe widespread accelerated soil erosion" https://doi.org/10.1016/j.geoderma.2020.114378. The latter publication can enrich the discussion part of the study.

**Response 6:** Thanks for your kind advice. As mentioned in the Point 5, rainfall erosivity and soil erosion caused by rainfall are completely different concepts. The publication you pointed out collected all readily available and empirically-derived soil erosion data from UK-based studies into a geodatabase. However, the database did not cover the entire UK completely. For instance, compared to England data, Scotland has very few soil erosion records in the database. Based on the analysis of existing records, authors found that there was a weak positive relationship between the total annual precipitation and soil erosion rates in some areas. We believe that putting the rainfall erosivity estimation based on WRF DSD into a soil erosion model (such as RUSLE) and estimating large-scale soil loss can enrich the UK soil loss database. In this way, not only can we better analyze the impact of rainfall and rainfall erosivity on UK soil loss, but also help to better understand microphysical rainfall–soil interactions to support the rational formulation of soil and water conservation planning.

The corresponding text has been added (see Point 4).

Point 7: Avoid using the abbreviation in the abstract and key points.

**Response 7:** Agreed and amended.

**Point 8:** Enrich the Figures and Tables captions, ensuring selfexplaining to the readers without referring to the main text and avoiding abbreviations.

**Response 8:** Agreed and amended.

1	Estimation of rainfall erosivity based on WRF-derived raindrop size distributions
2	Qiang Dai <sup>1, 2</sup> , Jingxuan Zhu <sup>1</sup> , Shuliang Zhang <sup>1</sup> , Shaonan Zhu <sup>3</sup> , Dawei Han <sup>2</sup> and Guonian Lv <sup>1</sup>
3	<sup>1</sup> Key Laboratory of VGE of Ministry of Education, Nanjing Normal University, Nanjing, China.
4	<sup>2</sup> Department of Civil Engineering, University of Bristol, Bristol, UK.
5	<sup>3</sup> College of Geographical and Biological Information, Nanjing University of Posts and
6	Telecommunications, Nanjing, China
7	Corresponding author: Qiang Dai (qd_gis@163.com)
8	Key Points:
9	• WRF derived rRainfall kinetic energy derived from the Weather Research and
10	Forecasting model offers a novel way to estimate large-scale soil erosion.
11	• Annual rainfall and erosivity are not always positively correlated.
12	• Highest rainfall erosivity of UK occurs in the west coast area during 2013_2017.
13	

### 14 Abstract

Soil erosion can cause various ecological problems, such as land degradation, soil fertility loss, 15 16 and river siltation. Rainfall is the primary water-driving force for soil erosion, and its potential effect on soil erosion is reflected by rainfall erosivity that relates to the raindrop kinetic energy 17 (KE). As it is difficult to observe large-scale dynamic characteristics of raindrops, all the current 18 19 rainfall erosivity models use the function based on rainfall amount to represent the raindrops 20 kinetic energyKE. With the development of global atmospheric re-analysis data, numerical 21 weather prediction (NWP) techniques become a promising way to estimate rainfall kinetic energy<del>KE</del> directly at regional and global scales with high spatial and temporal resolutions. This 22 23 study proposed a novel method for large-scale and long-term rainfall erosivity investigations based on the Weather Research and Forecasting (WRF) model, avoiding errors caused by 24 25 inappropriate rainfall-energy relationships and large-scale interpolation. We adopted three microphysical parameterizations schemes (Morrison, WDM6, and Thompson aerosol-aware 26 27 [TAA]) to obtain raindrop size distributions, rainfall kinetic energyKE and rainfall erosivity, with validation by two disdrometers and 304 rain gauges around the United Kingdom. Among 28 the three WRF schemes, Thompson aerosol-aware TAA had the best performance compared with 29 30 the disdrometers at a monthly scale. The results revealed that high rainfall erosivity occurred in the west coast area at the whole country scale during 2013-2017. The proposed methodology 31 makes a significant contribution to improving large-scale soil erosion estimation and for better 32 understanding microphysical rainfall-soil interactions to support the rational formulation of soil 33 and water conservation planning. 34

35

# 36 **1 Introduction**

Soil erosion <u>has-plays</u> a pivotal role in shaping the Earth's physical landscape; however, it can threaten both ecosystems and human societies (Alewell et al., 2015). Accurate quantification of soil loss impact at large spatial scales is therefore important for developing land-use planning and sustainable conservation practices (Bilotta et al., 2012). The soil erosion rate is driven by a combination of factors, <u>which</u>-includ<u>inge</u> rainfall, topography, soil characteristics, land cover, and land management applications (Wischmeier and Smith, 1958; Panagos et al., 2015b). Among these, rainfall is a driving force that accounts for a large proportion of soil loss throughout most of <u>the</u> world (Panagos et al., 2015b). The erosive force of
rainfall with consequent runoff is represented as erosivity of rainfall. <u>This</u>, <u>which</u> is a crucial
factor for estimating soil loss in large-scale soil erosion models; for instance, the Universal Soil
Loss Equation (USLE (Wischmeier and Smith, 1978) or RUSLE (Renard et al., 1997)), Limburg
Soil Erosion Model (LISEM) (De Roo et al., 1996), and USLE-M (Kinnell and Risse, 1998).

49 Rainfall erosivity estimation involves the microphysical properties of rainfall and rainfall-soil interactions on different time steps (Petan et al., 2010). Impact of rainfall, the main 50 51 mechanism driving the splashing of soil particles from the soil mass, which leads to soil erosion 52 through soil disintegration and mobilization, relies on the kinetic energy (KE) of raindrop motions (Wischmeier and Smith, 1958; Wang et al., 2014). Robust measurement of raindrop size 53 and terminal velocity is vital for estimating and predicting rainfall erosivity. Many measurements 54 55 can be used to obtain these two parameters, including the stained paper or flour pellet methods 56 (Marshall and Palmer 1948; Wischmeier and Smith, 1958), high speed cameras (Jones, 1959; Kinnell, 1981; McIsaac, 1990), and disdrometers (Petan et al., 2010; Angulo-Martinez et al., 57 58 2012). Accurate measurements of raindrop size can be provided in all their methods, and terminal velocity of raindrops can be further measured by video cameras and disdrometers. 59 Velocity can also be estimated as the function of raindrop diameter from the empirical 60 relationship (Beard, 1976; Atlas and Ulbrich, 1977; Uplinger, 1981; Van Dijk et al., 2002). 61 62 When using ground observations, rainfall KE can be estimated at a given site.

However, direct measurement of rainfall KE in a large area is difficult because it requires 63 64 considerable effort, as well as a dense network of expensive instruments that provide accurate outputs (Fornis et al., 2005; Mikoš et al., 2006; Meshesha et al., 2016; Dai et al., 2017). Previous 65 studies have, therefore, mainly employed more readily accessible records like rainfall intensity, 66 and attempted to estimate rainfall KE from the empirical relationship of unit KE (ke) with 67 intensity (ke-I). Since Marshall and Palmer (1948) first observed a two-parameter exponential 68 relationship between drop size and intensity, several forms of ke-I mathematical expressions for 69 specific locations and climatic conditions have been proposed, including power-law (Park et al., 70 1982; Meshesha et al., 2016), linear (Sempere-Torres et al., 1998; Nyssen et al., 2005), 71 polynomial (Carter et al., 1974), logarithmic (Wischmeier and Smith, 1978; Davison et al., 2005; 72 73 Meshesha et al., 2014), and exponential (Kinnell, 1981; Brown and Foster, 1987) relationships. 74 Among these, the exponential function has been preferentially used currently (Van Dijk et al.,

2002; Fornis et al., 2005; Petan et al., 2010; Sanchez-Moreno et al., 2012; Lim et al., 2015). 75 Accurate raindrop size distribution (DSD) measured by disdrometers is widely used to derive ke-76 77 I relationships (Angulo-Mart nez et al., 2016; Meshesha et al., 2016). However, such empirically derived formulas indicate that rainfall ke will increase infinitely with increasing intensity, 78 whereas studies (Rosewell, 1986; Angulo-Mart nez et al., 2016; Meshesha et al., 2019) have 79 found that rainfall ke reaches an top value when intensity is around 70 mm  $h^{-1}$  (Hudson, 1963; 80 Wischmeier and Smith, 1978). More importantly, such a ke-I relationship only represents local 81 climate and precipitation microphysics, and is valid for such regions. There is great uncertainty 82 associated with rainfall erosivity estimation using this ke-I relationship in a large domain 83 (Angulo-Mart nez and Barros, 2015), especially due to the poor spatial and temporal 84 predictability of the ke-I relationship. This has motivated researchers to directly calculate KE 85 86 based on large-scale DSD measurements.

87 Ground- and space-based radar can be used to obtain DSD parameters (Atlas et al., 1973; Doelling et al., 1998). For example, the space-borne Dual-frequency Precipitation Radar (DPR) 88 89 radar containing Ku- and Ka-bands in the Global Precipitation Measurement (GPM) satellite allows researchers to estimate the global three-dimensional spatial distribution of hydrometeors. 90 91 Unfortunately, ground dual-polarization radars are available in limited areas (Prigent, 2010) with large uncertainties (Dai et al., 2019), and the GPM DPR instrument, which measures DSD with 92 93 daily or longer temporal resolutions, fail to capture a full storm and meet the requirement for 94 rainfall kinetic estimation. Mesoscale numerical weather prediction models, for instance, the WRF model, can simulate microphysical cloud processes and predict the evolution of particle 95 size distribution through computationally feasible parametrization schemes (Dai et al., 2014; 96 Brown et al., 2016). DSD on the ground can be derived from the WRF model through 97 consideration of various physical processes, types of hydrometeor, and free degrees of size 98 distributions in hydrometeor. As such, a number of recent researches have investigated the 99 retrieval and uncertainty of DSD parameters by WRF (Gilmore et al., 2004; Ćurić et al., 2009; 100 101 Brown et al., 2016; Yang et al., 2019).

The WRF model runs with initial and boundary conditions using global reanalysis datasets, such as those of the European Centre for Medium-range Weather Forecasts (ECMWF) and National Centers for Environmental Prediction (NCEP). In other words, WRF-derived DSD can be obtained for any given area with fine spatial and temporal resolutions rather than traditional course linear interpolations. We therefore attempted to estimate rainfall erosivity for the entirety of the whole United Kingdom (UK) domain using WRF-derived DSD. For comparison, we also calculated interpolated traditional disdrometer-derived rainfall erosivity. To our knowledge, this work is the first attempt to take advantage of a numerical weather prediction model for estimating rainfall erosivity anywhere around the world. The current study contributes to the development of large-scale soil erosion estimation and provides a better comprehension of microphysical rainfall–soil interactions.

# 113 2 Methodology

114 2.1 Disdrometer-based rainfall KE estimation

115 KE dominates the ability of raindrops to separate soil particles. The KE (*e*, unit: J) of a 116 raindrop with mass *m* (g) and terminal velocity v (m s<sup>-1</sup>) is defined by:

$$e = \frac{1}{2} m v^2 \tag{1}$$

Assuming a spherical volume for every raindrop shape, the mass of a drop can be calculated from the cube of the diameter D (mm). Because instruments (e.g., disdrometers) generally sample drop size, the mean radius and falling velocity of the corresponding sampling drop-size class is are used to represent D and v, expressed as  $D_i$  and  $v_i$ , respectively. In such cases, the  $e_i$  with any drop of a given class is given as:

$$e_{i} = \frac{1}{12} 10^{-6} \pi \rho V_{i}^{2} D_{i}^{3}$$
<sup>(2)</sup>

where  $\rho$  is the water density (g cm<sup>-3</sup>). The sum of the KE of each individual raindrop within a given rain depth that hits a given area defines the total KE. The unit rainfall KE  $ke_t$  in the  $t^{\text{th}}$ minute (MJ ha<sup>-1</sup> mm<sup>-1</sup>) can be calculated as the sum of each drop KE in each size set, as follows:

$$ke_t = \frac{e_{sum}}{AP_t} = \frac{1}{AP_t} \sum_{i=1}^{ni} N_i e_i$$
(3)

where *A* represents the sample area of the sensor,  $P_t$  is rainfall depth at time *t*, and  $N_i$  is the drops number in class *i*. The instrument sums up the number of raindrops in each sampling class and produces the raindrop spectra for a time step. Here, we use the term *ke* to represent the disdrometer-based KE estimated by DSD <u>directly</u> measured <u>directly</u> every minute. The terminal
velocity of a raindrop can be estimated from its power law empirical relationship with raindrop
diameter (Atlas and Ulbrich, 1977), with this considered more suitable for Chilbolton in the UK
(Islam et al., 2012):

$$V_{Atl} = 3.78 D_i^{0.67} \tag{4}$$

132 Thus, unit rainfall KE estimates per minute are obtained by replacing  $v_i$  in Eq. (2) with  $v_{Atl}$ .

The other form of rainfall KE is expressed at an event scale and represents the sum of the storm energy covering all time steps covering an event. The individual event energy (MJ ha<sup>-1</sup>) is calculated as follows:

$$E = \sum_{t=1}^{nt} k e_t P_t \tag{5}$$

where  $P_t$  is the rainfall amount (mm) in the  $t^{th}$  minute and nt is the time steps number. Historical rainfall data are divided into wet and dry periods. A string of erosive rainfall storms are-is first extracted through the predefined rules. A continuous 6-h dry period interval was used to divide rainfall events (Hanel et al., 2016), following the "minimum dry-period duration" definition of a rainfall event (Bonta, 2004). Moreover, a rainfall amount of 12.7 mm was set as the threshold to filter effective rainfall events (Renard et al., 1997).

Rainfall KE is obtained for a given site based on size and velocity of raindrops. When disdrometer data are absenceabsent, energy can be estimated from empirical relationships using rainfall intensity *I* (mm). Five commonly used functions (including exponential, logarithmic, power law, and inverse proportion) have been mentioned in Section 1. Taking the exponential form as an example, the rainfall KE at any location can be estimated as:

$$E_{\max} = e_{\max}(1 - ae^{-bI})$$
(6)

where  $e_{max}$  is the mean maximal value of energy measured under high rainfall intensity, and *a* and *b* are coefficients modeling the equation curve. Here, minimum KE can be determined by parameters *a* and  $e_{max}$  together, while the overall shape of the curve is modeled by parameter *b*.

#### 150 2.2 WRF-based rainfall KE estimation

Differing from disdrometer measurements, the complete DSD cannot be obtained from the WRF model. Instead, the DSD of the microphysical parameterization (MP) scheme is handled with a constrained-gamma distribution model, which is defined as:

$$N(D) = N_0 D^{\mu} e^{-\lambda D} \tag{7}$$

where  $N_0$ ,  $\mu$ , and  $\lambda$  are the intercept, shape, and slope parameters of the DSD. In terms of double-moment bulk schemes,  $N_0$  and  $\lambda$  can be abstracted from the number concentration N and predicted mixing ratio q, as shown below:

$$N_0 = \frac{N\lambda^{u+1}}{\Gamma(\mu+1)}$$
(8)

$$\lambda = \left[\frac{cM\Gamma(\mu+d+1)}{q\Gamma(\mu+1)}\right]^{\frac{1}{d}}$$
(9)

157 *c* and *d* are the assumed power-law coefficients between diameter and mass  $(m = cD^d)$ , and  $\Gamma$ 158 represents the function in gamma form (Morrison et al., 2009). The value of the shape parameter 159  $\mu$  ( $\mu = 0$ ) in double-moment schemes is fixed, except for the WRF double-moment 6-class 160 (WDM6) schemes, following gamma distribution, which defined  $\mu = 1$  (Jung et al., 2010; 161 Johnson et al., 2016).

Because DSD retrieval is sensitive to MPs (Cintineo et al., 2014; Morrison et al., 2015), 162 the WRF model this study adopted completely or partially three types of double-moment cloud 163 MP schemes. The Morrison double-moment scheme involves the number concentrations and 164 mixing ratios of multiple hydrometeors (Morrison et al., 2009). Moreover, the WDM6 scheme 165 further considers a prognostic factor to estimate and predict the cloud condensation nuclei (CCN) 166 number concentration (Hong et al., 2010; Lim and Hong, 2010). Finally, the Thompson aerosol-167 aware (TAA) scheme can predict both ice nuclei (IN) and CCN number concentrations 168 (Thompson and Eidhammer, 2014). 169

The DSD parameters were thus obtained under the three WRF MPs. For theoretical DSD, *ke* estimates per minute were obtained by integration of the full raindrop size spectrum using:

$$ke'_{t} = \frac{1}{AR_{t}} \int_{0}^{\infty} N(D) \frac{1}{12} 10^{-6} \pi \rho v_{i}^{2} D_{i}^{3} dD$$
(10)

For the WRF-derived DSD covering the whole study area, there was no need to construct a *ke*–I relationship to interpolate KE in ungauged areas. The WRF-based rainfall KE under storm event scale is thus given as:

$$E_{W} = \sum_{t=1}^{nt} k e'_{t} P_{t}$$
(11)

#### 175 2.3 Rainfall erosivity estimation

Most storm events have relatively low intensities and KEs with occasional peaks, based on the disdrometer DSD data used to evaluate the rainfall ke-I function. Proper estimation of rainfall erosivity potential should consider total KE over a long period. The rainfall erosivity factor (or R-factor) is calculated by a multi-annual average of the total storm erosivity index (Wischmeier and Smith, 1958; Van Dijk et al., 2002), while annual rainfall erosivity *R* can be obtained using:

$$R = \sum_{m=1}^{M} (EI_{30})_m \tag{12}$$

where *M* is the total number of erosive events within a year.  $(EI_{30})_m$  are total rainfall kinetic energy and maximum 30-min rainfall intensity recorded within 30 consecutive minutes (unit: mm h<sup>-1</sup>), respectively, for the *m*<sup>th</sup> event.

Wischmeier and Smith (1958) first proposed the use of  $EI_{30}$ , as the rainfall erosivity for 185 each event, based on research data from many sources.  $I_{30}$  was calculated to have higher 186 relevance to soil erosion than maximum 5-min, 15-min, or 60-min rainfall intensities 187 (Wischmeier and Smith, 1958). The calculation of  $EI_{30}$  initially uses recording-rain gauge data to 188 divide continuous rainfall into time periods with equal rainfall intensity. Because Though rainfall 189 measurements with high temporal resolutions are required, it isbut difficult to obtain them from 190 191 accuracy over multiple years are preferred for estimating  $EI_{30}$ . For example, Xie et al. (2016) 192 193 used 1-min rainfall data instead of recording-rain gauge records. For coarse-resolution, equally

spaced data, researchers have proposed a conversion factor to reduce bias error (Weiss, 1964;
Williams and Sheridan, 1991).

The rainfall erosivity can be derived from rainfall KE. It plays a main dynamic role in USLE/RUSLE, representing the potential for soil erosion caused by rainfall. To distinguish the disdrometer- and WRF-derived rainfall erosivity in this study, we use the terms  $R_D$  and  $R_W$ , respectively.

200 2.4 Evaluation methods

Because there is no direct way to measure rainfall erosivity across a large area, it is difficult to validate outcomes using observations. However,  $R_D$  is considered to be relatively accurate due to its specific measurement of raindrops. We therefore assumed that  $R_W$  values were accurate if it closely matched  $R_D$  of a given location. A long-term comparison of  $R_W$  and  $R_D$  at disdrometer stations was thus conducted to evaluate the validity of  $R_W$ .

Three indicators were introduced for the evaluation: Pearson's correlation coefficient, mean absolute error (MAE), and coefficient of determination ( $\mathbb{R}^2$ ) (Borrelli et al., 2017). Pearson correlation coefficient is an index used to evaluate the linear correlation between two variables, and is defined as follows:

$$Pearson = \frac{n \sum R_{D_i} \sum R_{W_i} - \sum R_{D_i} \sum R_{W_i}}{\sqrt{n \sum R_{D_i}^2 - (\sum R_{D_i})^2} \sqrt{n \sum R_{W_i}^2 - (\sum R_{W_i})^2}}$$
(13)

where n is the number of variable samples. Because this correlation cannot reveal the absolute bias of rainfall erosivity values, the MAE was also used; this is defined as:

$$MAE = \frac{\sum \left| R_{W_i} - R_{D_i} \right|}{n} \tag{14}$$

 $R^2$  is an indicator to assess the fit of the trend line, expressed as the ratio of the variance in the dependent variable predicted from the independent variable. It measures the extent to which the model replicates observations based on the proportion of the results interpreted by the model to the total change, written as:

$$R^2 = 1 - \frac{SS_{res}}{SS_{tot}} \tag{15}$$

where  $SS_{res}$  is the sum of squares of residuals between two variables, and  $SS_{tot}$  is the total sum of squares.

#### 218 **3 Study area and data sources**

219 The whole of the UK was set as the experimental area for investigating rainfall erosivity estimation. The UK consists of mostly lowland terrain, with a maximum elevation of 1345 m. 220 221 Water and wind are the most significant forces of soil erosion in the UK, and. Ttogether, they cause approximately 2.2 million tons of topsoil to be eroded annually, seriously affecting soil 222 223 productivity, water quality, and aquatic ecosystems through siltation of watercourses (EA, 2004). According to the Environmental Agency, the total cost of soil erosion in the UK is approximately 224 \$88 million each year, including an agricultural production loss of \$17.6 million (O'Neill, 2007). 225 More importantly, the changing climate may exacerbate the degree of erosion. For example, 226 hotter, drier climates make soils more susceptible to wind erosion, and intense storms increase 227 rainfall erosivity (Defra, 2009). Studies of water erosion in England and Wales (Morgan, 1985; 228 Evans, 1990) have found that loose soils (especially sand), such as the soils found in Shropshire 229 and Herefordshire in Wales, are more susceptible to water erosion. In a study of rainfall erosion 230 in Europe, Panagos et al. (2015a) found that the humid Atlantic climate results in highly variable 231 rainfall erosivity, such as higher R-factor values in western England and lower values in the 232 eastern UK. 233

234 The gauge datasets used are from the land surface and marine surface measurements datasets (data availability: 1853-present) provide by the UK Met Office. A network of rain 235 gauges covering 304 stations across the whole UK observes continuous rainfall data in hours 236 237 (Figure 1). The base data of most stations comprises the times of each tip (0.2 mm per tip), converted into 1-h rain accumulations. The rainfall observations are not always valid for each 238 239 hour at each station. The hourly grid-based rainfall maps are then calculated based on ordinary kriging interpolation of rain gauge network data to obtain the spatial distribution of rainfall for 240 241 each time step, as inputs for rainfall erosivity estimation. This wide-range-use geostatistical approach can account for both the distance and pairwise spatial relationship between points 242

through variograms. The precipitation interpolation method uses sample gauge points taken at
different locations and creates a continuous surface to achieve an accurate spatial variation
estimation of rainfall patterns.

We used data from two disdrometers in southern England. The first was Chilbolton 246 station (51 °08'N, 1 °26'W), with an impact-type Joss–Waldvogel disdrometer (JWD) mainly 247 used to compute rainfall erosivity. It can measure drop sizes from 0.3 to 5.0 mm in 127 bins. The 248 sampling period and collector area were 10 s and 50 cm<sup>2</sup>, respectively. Data were available for 249 April 2003 to July 2018. The second was the University of Bristol station (51 27'N, 2 36'W), 250 with an OTT Parsivel<sup>2</sup> disdrometer (OPD). Data were available for November 2015 to December 251 2018. This disdrometer subdivides particles into appropriate classes and has a nominal cross-252 sectional area of 54 cm<sup>2</sup>. The 10-s period measurement data from the two disdrometers were 253 254 averaged into a 1-min period to filter out time variations (Montopoli et al., 2008; Islam et al., 255 2012; Song et al., 2017).

Meteorological data comes from the ERA-Interim dataset, a global atmosphere re-256 analysis product, generated by the ECMWF. For the scientific community, ERA-Interim is 257 258 considered to be one of the most important atmospheric datasets, with its data-rich period available since 1979 and updated in current time (Dee et al., 2011). The Integrated Forecasting 259 System released in 2006 contains a 12-h analysis window derived 4-D variational analysis, 260 driving the data assimilation system to generate ERA-Interim. The dataset covers 60 vertical 261 classes of approximately 80 km from the ground to 0.1 hPa. The Gridded Binary format is used 262 263 to store data for three months in a separate file. A data processing scheme was established to collect and retrieve ERA-Interim data of each rainfall event. 264

The rain gauge and Chilbolton disdrometer datasets can be obtained from British Atmospheric Data Centre in National Centre for Atmospheric Science research center (MO, 2012). ERA-Interim data can be obtained from the ECMWF Public Dataset website (https://apps.ecmwf.int/). Considering the availability of the above datasets and model requirements, we mainly used data covering the period 2004–2017.

23

#### **4 Results** 270

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### 4.1 Empirically derived rainfall erosivity estimation

To evaluate the  $R_W$ , the raindrop spectrum collected by the Chilbolton station disdrometer 272 is used to estimate rainfall KE first. The key in estimating rainfall KE by disdrometer lies on-in 273 274 building an empirical relationship between rainfall amount and KE. We used DSD measurements from 2004 to 2013 to establish five empirical relationships between unit rainfall kinetic energy 275 (ke) and intensity (I) (Table 1), and used 2014–2017 data for the cross-cross-validation. It can be 276 seen from Table 1 that the inverse proportional relationship (Equation III in Table 1) had the 277 worst performance, in that both the calibration and validation  $R^2$  values were < 0.3. The values 278 of the other equations were > 0.48, among which the exponential formula (Equation I in Table 1) 279 had the highest calibration  $R^2$  (0.50) and validation  $R^2$  (0.45), respectively. In addition, the 280 power law formula (Equation V in Table 1) showed a similar performance to the exponential 281 formula at rainfall intensities  $< 5 \text{ mm h}^{-1}$ . However, the power law formula also had a 282 continuously increasing trend, which may not be suitable for high-intensities. Figure 2 shows the 283 ke-I relationship and five fitted curves at Chilbolton station. It can be seen that the two 284 logarithmic curves (Equation II and IV) invariably overlap. The logarithmic form has been used 285 for a long time in USLE (Wischemier and Smith, 1978). It describes ke well at both low and high 286 I, but does not have an upper limit. The power law curve (Equation V) can predict ke well at 287 lower I but overestimates ke at high I. The exponent-based relationship (Equation I) is widely 288 used in the literature and in forecast models such as RUSLE (Renard et al., 1997), which fits the 289 data particularly well in Figure 2. Even though ke in exponential curve has a minimum value at 290 very low I, it also should be noted that higher rainfall intensities are much more important in 291 determining overall storm energy than lower intensities. Therefore, we adopted it here as the 292 empirical formula to estimate rainfall erosivity in the UK.Figure 2 shows the fitted relationship 293 of ke-I based on exponential regression. 294

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The exponent based relationship is widely used in the literature and in forecast models such as RUSLE (Renard et al., 1997). We therefore adopted it here as the empirical formula to 296 estimate rainfall erosivity in the UK. 297

Based on rainfall KE, the point  $R_D$  can be obtained at a disdrometer location. In the 298 current study, we established a method to estimate the R-factor using 60-min rainfall data.  $EI_{30}$ 299

300 obtained from 1-min DSD data was considered as the standard *R*-factor at Chilbolton Station. Hourly rain gauge data at the same location were used to calculate  $(EI_{30})_{60}$ , which refers to  $EI_{30}$ 301 calculated from 60-min data. The regression relationship between  $EI_{30}$  and  $(EI_{30})_{60}$  was then 302 established. The  $(EI_{30})_{60}$  of each month, obtained from the 60-min rainfall data of the Chilbolton 303 Station rain gauge in 2004–2013, was calculated. The regression relationship between the 304 305 monthly sum of  $(EI_{30})_{60}$  and the standard monthly  $EI_{30}$  from DSD was calculated to obtain a coefficient of 1.836. Rainfall erosivity can subsequently be calculated by multiplying  $(EI_{30})_{60}$  by 306 the coefficient. 307

308 Beyond assuming that the disdrometer-derived ke-I relationship can be applied to a whole study area; point rainfall measurements must be interpolated to obtain areal rainfall values 309 in traditional rainfall erosivity estimation. We obtained 60-min rainfall data from 304 rain gauges 310 around the UK from 2004 to 2017. Note that not all rain gauges were available for the whole 311 period (available gauges each year are indicated in Figure 3). We used the ordinary kriging 312 interpolation method to obtain the spatial distribution of rainfall for each time step. This wide-313 314 range-use geostatistical approach can account for both the distance and pairwise spatial relationship between points through variograms. Figure 3 shows the results of annual rainfall 315 (*Rain*), annual rainfall kinetic energy (*E*), and annual rainfall erosivity (*R*) for different years. 316 317 The distribution trends of *Rain*, *E*, and *R* were similar, and were-positively correlated except for certain locations or periods. For instance, in 2013, Rain in the northwestern UK decreased from 318 319 west to east, while E and *R*-factor decreased from south to north; furthermore, areas with large E and *R* values in southeastern UK could not be directly observed from the rain map. 320

321 The key concern in traditional rainfall erosivity estimation is the spatial predictability of the ke-I relationship. To verify the regional reliability of this relationship, we used data from a 322 newer disdrometer located at the University of Bristol, approximately 87 km from Chilbolton 323 Station. The validation data at Bristol Station discontinuously covered the period 2016–2019. 324 Figure 4 shows the exponential relationship of ke-I at Bristol station, which differed 325 substantially from that based on data from Chilbolton station. A comparison of the modeled and 326 observed event rainfall erosivity is shown in Figure 5. The modeled erosivity of rainfall event 327 was not consistent with the observed event rainfall erosivity. The linear regression coefficient 328 between these values was > 1.2, which was the result of the low ke for Bristol Station, and  $R^2$ 329

was < 0.85, indicating <u>large-considerable</u> uncertainty associated with disdrometer-based rainfall
 erosivity estimation.

In summary, the point rainfall erosivity estimated by disdrometer is considered to be accurate compared to other methods. However, a large-scaled rainfall erosivity through a simple interpolation of rainfall KE is subjected to a <u>large-significant</u> uncertainty. In the following analysis, the point  $R_D$  is used to appraise the performance of <u>the</u> proposed WRF-based estimated method, and the  $R_D$  in the whole UK is only be used for a general comparison of spatial and temporal distribution of rainfall erosivity.

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#### 4.2 Rainfall and DSD estimation by WRF

We used the WRF model ver. 3.8, which has an Advanced Research WRF dynamical core, to downscale the ERA-Interim reanalysis data. The double-nested domain configuration used in the WRF model was centered at 55 °19'N, 2 °21'W and applied at a downscaling ratio of 1:5, a finest grid of 5 km, and a temporal resolution of 1 h. Table 2 lists the detailed parameters used in this domain configuration. With the top pressure level set at 50 hPa in each, both domains include 28 vertical levels. To obtain favorable initial weather conditions, the model ran continuously to obtain five years of WRF simulation results.

Simulations were performed using three different bulk double moment MPs: the 346 347 Morrison (Morrison et al., 2009), WDM6 (Hong et al., 2010; Lim and Hong, 2010) and TAA (Thompson and Eidhammer, 2014) schemes. All three can predict the number concentration and 348 349 hydrometeors mixing ratio for each time step. The WDM6 scheme also predicts the number concentration of CCN (Hong et al., 2010; Lim and Hong, 2010), while the TAA scheme are able 350 351 to predict both IN and CCN number concentrations (Thompson and Eidhammer, 2014). Additionally, other physical parameterizations include the Dudhia shortwave radiation scheme 352 (Dudhia, 1989), Mellor-Yamada-Janjic planetary boundary layer scheme (Janjić, 1994), RRTM 353 longwave radiation scheme (Mlawer et al., 1997), the Noah land-surface model (Ek et al., 2003), 354 and the Kain-Fritsch cumulus scheme (Kain, 2004),. 355

The median volume diameter parameter ( $D_0$ ) and generalized intercept parameter ( $N_w$ ) are generally used in <u>the DSD</u> model of WRF (Islam et al., 2012).

$$N_{W} = \frac{N_0 D_m^{\ \mu}}{f(\mu)}$$
(16)

$$f(\mu) = \frac{6(4+\mu)^{\mu+4}}{4^4 \Gamma(\mu+4)}$$
(17)

where  $D_m$  is the mass-weighted mean diameter. The  $f(\mu)$  is a function of the shape parameter  $\mu$ . 358 359 The parameter  $\mu$  is assumed as zero or one (based on microphysical scheme configuration) in WRF. Figure 6 displays the spatial distribution of  $D_0$  and generalized intercept parameter  $N_w$  for 360 361 a given day with rainfall countrywide (January 10, 2013).  $D_0$  and  $N_w$  had similar patterns, and were mainly distributed across the southwestern and northeastern UK. The white strip in the 362 middle of Figure 6 represents an area that received no rain. However, the three MPs yielded large 363 differences;  $D_0$  of MP-TAA was the highest among three MPs, whereas  $N_w$  of MP-WDM6 was 364 <u>much-significant</u> larger than <u>the</u> others. In addition,  $D_0$  and  $N_w$  did not consistently show a 365 positive correlation. The different MP estimation results underscore the complexity of the 366 367 rainfall process, which is the reason we estimated rainfall KE using WRF schemes instead of traditional formulas. 368

369

#### 4.3 Comparison of WRF- and disdrometer-derived rainfall erosivity at Chilbolton station

With the WRF-based rainfall intensity and DSD estimations, rainfall erosivity was 370 derived using Equations (10)–(12). Hereafter, this is referred to as  $R_W$ , which is further 371 distinguished based on the three MP schemes used: Rw-Morrison, Rw-WDM6, and Rw-TAA. Figure 7 372 compares disdrometer- and WRF-derived monthly rainfall erosivity estimations at Chilbolton 373 374 sstation for the period 2014–2017. The general patterns of the four rainfall erosivity values were similar.  $R_{W-Morrison}$  tended to be larger than  $R_D$  in some months, whereas  $R_{W-TAA}$  matched the  $R_D$ 375 value relatively well for smaller values. Because WRF data were taken from a 2-x-2-km grid 376 around Chilbolton sstation, there was a spatial error in addition to the systematic error of 377 estimating rainfall erosivity. Based on the four-year data, the study area is rainy throughout the 378 year with little R monthly, or seasonal patterns change (Figure 8), influenced by the temperate 379 oceanic climate. Figure 8 also indicated that through the perspective of monthly average results, 380 <u>*Rw-wDM6*</u> values are low,  $R_{W-TAA}$  has a good similarity with low  $R_D$ , and  $R_{W-Morrison}$  is the closest to 381 <u>*R<sub>D</sub>* in value.</u> 382

Table 3 shows the correlation indicator results between <u>monthly</u>  $R_D$  and the three types of  $R_W$  at Chilbolton station. The Pearson correlation coefficients generally exceeded 0.7, supporting the potential utility of WRF-based estimation. In terms of MAE,  $R_{W-TAA}$  had the best performance (6.51), whereas  $R_{W-Morrison}$  and  $R_{W-WDM6}$  showed slightly worse performance (approximately 8). Among the three schemes,  $R_{W-TAA}$  had the best fit with  $R_D$ . The indicators and comparison results suggest that the deviations in results need to be considered; <u>therefore</u>, a method of bias elimination is therefore described in Section 4.4.

# $4.4 R_W$ estimation for the whole UK

The  $R_W$  at Chilbolton station showed obvious systematic deviations compared with the disdrometer-derived results (see Section 4.2 and 4.3). A <u>sS</u>imple bias correction was therefore applied to adjust the individual storm KE estimations of  $R_W$ . The biases from dividing average  $R_{W-Morrison}$ ,  $R_{W-WDM6}$ , and  $R_{W-TAA}$  by average  $R_D$  during 2014-2017 were 0.55, 0.20, and 0.36, respectively.

The rainfall erosivity distribution for the whole UK was then obtained. Figure <u>98</u> shows the distribution of  $R_W$  at the annual scale covering the period 2013–2017. The pattern of the rainfall erosivity maps showed a general regional-dominant characteristic. For example, it always decreased from west to east, predominantly shaped by orography. Affected by the prevailing westerly winds, there was abundant rainfall in the western and northern mountains, as indicated by high rainfall KE values in these regions. In addition, among the study years, 2014 and 2015 showed higher national rainfall erosivity, with a large range in the west coast area.

403 Figure 9-10 shows the average R distribution for 2013–2017 estimated by rain gauges and 404 WRF MPs. WRF grids could cover all regions in the UK evenly, offering more detailed erosivity results, especially in the mountainous northwestern region. Here, values of average R map 405 406 calculated by rain gauges were much higher than three types of  $R_W$ , although they all have R decreased from west to east. Noted that ke-I empirical equation at Chilbolton station used in the 407 408 whole UK, will not always be accurate in regions with different rainfall characteristics. In terms of  $R_W$  results, the three MPs obtained the same spatial pattern in rainfall erosivity, where  $R_{W-WDM6}$ 409 yielded the greatest geographical difference. It is clear that the proposed WRF-based estimated 410 method can capture more details of the spatial change of rainfall erosivity compared compared 411 with the traditional disdrometer-based method. 412

413 The highest rainfall erosivity regions in the UK are concentrated in the mountainous areas along the western coast, related to their rainfall system. The moist air brought by the 414 prevailing westerly wind from the Atlantic Ocean moves from west to east across the UK and 415 rises when it encounters the mountains of western England. Therefore, the mountainous regions 416 along the UK western coast have the highest rainfall amount and rainfall erosivity in the UK. In 417 addition, western Scotland is under the subpolar oceanic climate, which enhances its humidity. 418 On the contrary, eastern Scotland and northeastern England are more likely to expose continental 419 polar air mass, which brings dry and cold air and lower rainfall erosivity. 420

To evaluate the change in rainfall erosivity with time in the UK, the average value of all the WRF grids covering the whole UK was calculated over 2013–2017 (Figure 1011). The average  $R_W$  trends of  $R_{W-Morrison}$  and  $R_{W-TAA}$  were similar, both increasing from a minimum in 2013 to a maximum in 2014, and then gradually decreasing from 2014 to 2017. The red line in Figure 10–11 indicates a series of mean values of the three MPs results, which varied from 36,782 to 51,600 MJ mm ha<sup>-1</sup> h<sup>-1</sup> y<sup>-1</sup> (mean: 43,216 MJ mm ha<sup>-1</sup> h<sup>-1</sup> y<sup>-1</sup>).

The maximum values for  $R_{W-Morrison}$  and  $R_{W-TAA}$  occurred in 2014, whereas that of  $R_{W-WDM6}$ 427 occurred in 2015. A sequence of extreme weather events occurred in the UK in 2014, including 428 429 major winter storms in late January to mid-February, which caused widespread flooding and other economic losses, and greatly increased rainfall erosivity that year. However, the gauge-430 based interpolation map shows the average annual rainfall amount for the years 2013–2017 were 431 884.9, 1014.0, 1008.5, 894.9, and 937.3 mm, respectively. The large rainfall erosivity difference 432 433 between 2014 and 2015, and the two years with similar rainfall amount, indicates that much rainfall erosion occurs during the rainfall events of high intensity instead of simply high rainfall 434 amount. A memory notable variation pattern of rainfall erosivity may be found with longer 435 simulation. The strength of the proposed method lies on its ability to estimate large covering and 436 long-term rainfall erosivity. 437

## 438 **5 Discussion and cConclusions**

This study presented a novel method for large-scale rainfall KE and erosivity estimation
based on <u>high-high-</u>resolution, WRF-derived DSDs. Three microphysical parameterizations
schemes (Morrison, WDM6, and Thompson aerosol-aware [TAA]) were designed to obtain
raindrop size distributions, rainfall KE and rainfall erosivity <u>at-for</u> the <u>whole-entire of the</u> UK

scale-covering the period of 2013–2017. With validation by from the long-term observations of 443 a disdrometer, the WRF-based rainfall erosivity showed exhibited an acceptable performance at 444 Chilbolton station. Among the three WRF schemes, TAA exhibited the most superior 445 performanceed best and was recommended for the future investigation. The results revealed that 446 high rainfall erosivity occurred in the west coast area in-of the UK. Compared with the 447 traditional empirical method, the proposed method can explain rainfall erosivity from a 448 microphysical perspective, and reflect more spatial variation due tobecause of changes in rainfall 449 KE at the whole-country scale. Therefore, the development of a numerical weather prediction 450 model therefore offers an opportunity to better understand rainfall erosivity directly from its true 451 definition. More importantly, because the WRF model is able to be driven by the global 452 reanalysis data to obtain large-scale rainfall kinetic information, the proposed scheme can be 453 easily applied to other regions, especially in ungauged areas. 454

Although an acceptable rainfall erosivity estimation is obtained using the WRF model, 455 some uncertainties associated with it cannot be ignored. For example, as the MPs of WRF were 456 457 closely related to DSD, improper determination of MPs will introduce additional uncertainty. The marked discrepancy among the three schemes (especially between Morrison and the others) 458 459 in this study underscored the possible uncertainty associated with  $R_W$ . The reliability of the WRF model is heavily dependent on the model-driving initial data provided by mesoscale or global 460 models and complicated scheme setting and parameter adjustment (Liu et al., 2013; Thompson 461 and Eidhammer, 2014; Kumar et al., 2017). However, numerous uncertainties are observed in the 462 parameterization of the WRF simulation, and the choice of microphysical schemes has a 463 significant influence on the inverted DSD (Curić et al., 2009; Yang et al., 2019). Therefore, 464 combining the DSDs obtained by an increasing number of disdrometers and the WRF model is 465 valuable. For example, the Disdrometer Verification Network (DiVeN) in the UK (Pickering et 466 al., 2019) started in Feb 2017 can be introduced to support and improve our estimation in future 467 studies. Moreover, the measurement error by disdrometer may also contaminate the evaluation 468 process. For example, when comparing the observed raindrop velocities based on the 469 disdrometer at Bristol station with their empirical values, we observed a dispersion of raindrops, 470 471 with a number of drops showing significant deviations. This velocity distribution resulted in an uncertainty in ke estimation. 472

Soil erosion in the UK is dominated by water erosion (10–30 t  $\text{km}^{-2}$  yr<sup>-1</sup>), especially in 473 areas with abundant rainfall in Scotland, where the soil loss rate is approximately 5–10 times that 474 of dry areas (Duck, 1996). Thus, it is significant to estimate rainfall erosivity to elucidate the 475 microphysical characteristics of rainfall and rainfall-soil interactions. Benaud et al. (2020) 476 collated empirical soil erosion observations from UK-based studies into a geodatabase. However, 477 there is a limitation that this database does not cover the entirety of the UK, especially the 478 limited records in northern Scotland. In our future work, we propose to compare the soil loss 479 database with our estimated soil loss using WRF DSD based rainfall erosivity and a soil erosion 480 model (such as RUSLE). We believe that not only can we better analyze the impact of rainfall 481 and rainfall erosivity on the UK soil loss, but also help to better understand microphysical 482 rainfall-soil interactions to support the rational formulation of soil and water conservation 483 <u>planning</u>. 484

In addition, other sources of uncertainty, such as temporal downscaling of rainfall and point-to-area representative error by WRF, may introduce further uncertainty.<u>, This</u> which should be put in perspective of future work. It is expected that <u>more-further</u> exploration of research areas with different climatic and geographical characteristics would help us to establish a greater degree of accuracy on this matter.

# 490 **Author contributions**

491 QD and JZ carried out the experiments, analyzed the data, and prepared the manuscript
 492 with contributions from all the co-authors. SLZ modified the text and provided financial support.
 493 SNZ carried out quality checks of WRF. GL and DH principally conceived the idea and design
 494 of the study.

# 495 Competing interests

496 The authors declare that they have no conflict of interest.

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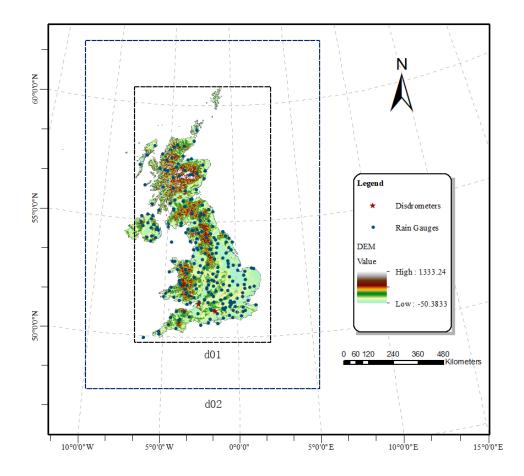
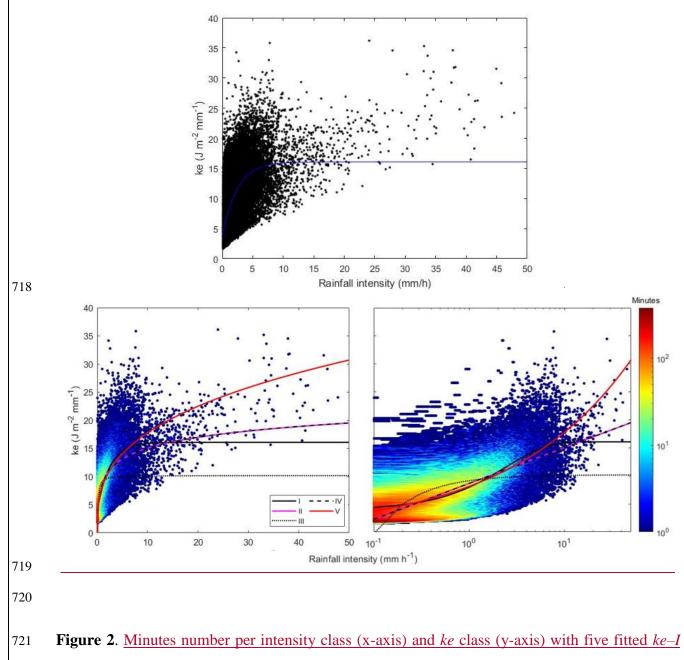


Figure 1. Location of rain gauges, Joss–Waldvogel disdrometer (JWD) at Chilbolton
Observatory, OTT Parsivel<sup>2</sup> disdrometer (OPD) at Bristol Observatory and configurations of
domain setups in the WRF model.



722 <u>curves at Chilbolton station (2004–2013)</u>, plotted on linear (left) and logarithmic (right) intensity

723 <u>scales. The fitted relationship of *ke-I* based on exponential regression (2004–2013).</u>

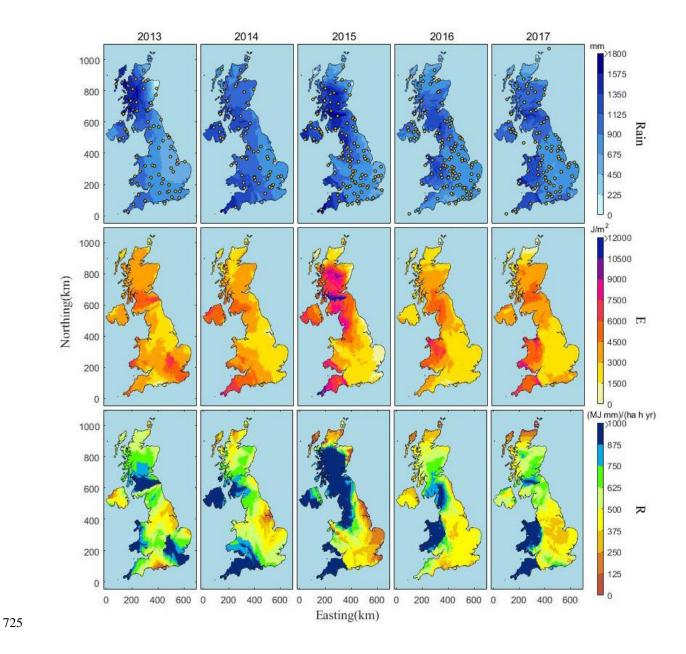


Figure 3. Gauge-based interpolation maps of annual rainfall amount (*Rain*), rainfall kinetic energy (*E*) and rainfall erosivity (*R*) in –2017.

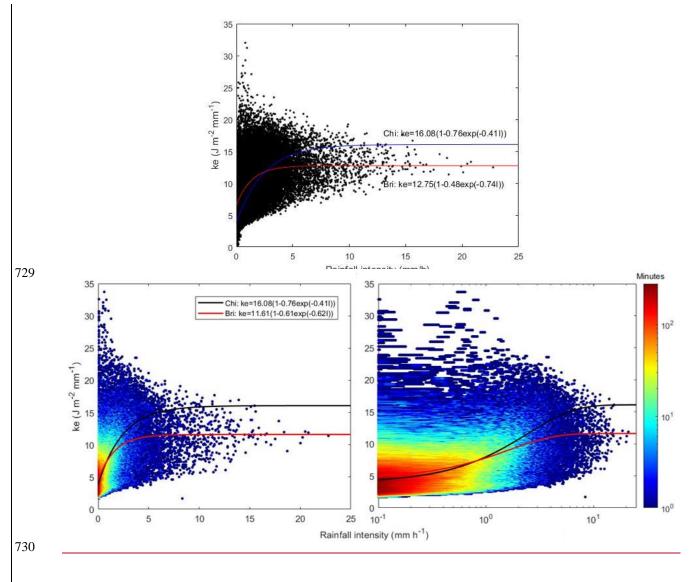


Figure 4. Minutes number per intensity class (x-axis) and ke class (y-axis) with fitted *ke–I* curves at Bristol station (2015–2018), plotted on linear (left) and logarithmic (right) intensity
 scales.Relationship of *ke–I* at Bristol station.

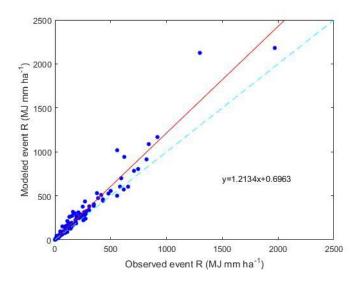




Figure 5. Comparison of observed and modeled event rainfall erosivity <u>at Bristol Station</u>,
covering the period of 2016–2019.

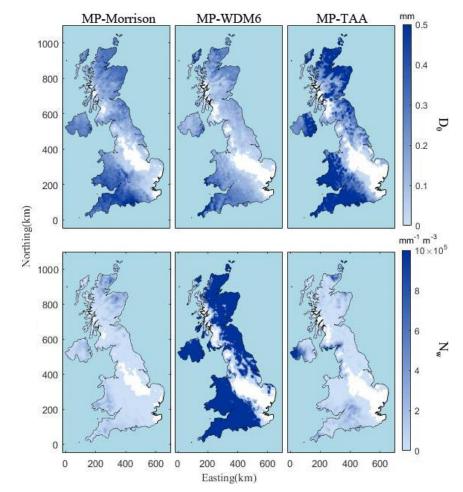


Figure 6. Map of average WRF DSD  $D_0$  and  $N_w$  (January 10, 2013).

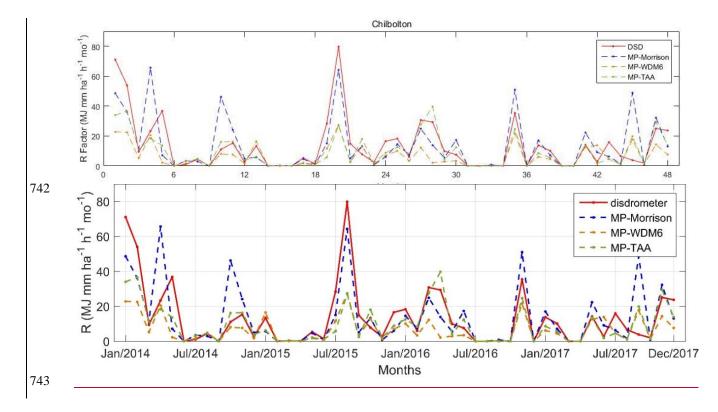
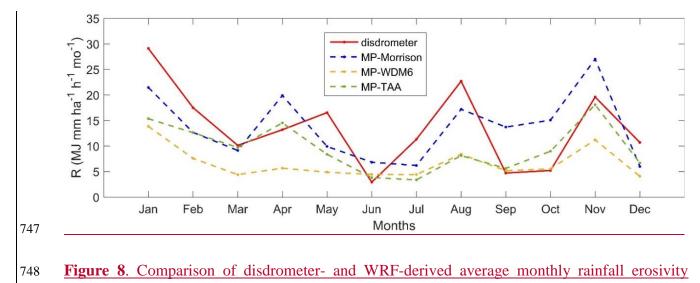


Figure 7. Comparison of disdrometer- and WRF-derived monthly rainfall erosivity estimations
at Chilbolton station (2014–2017).



749 estimations at Chilbolton station (2014–2017).

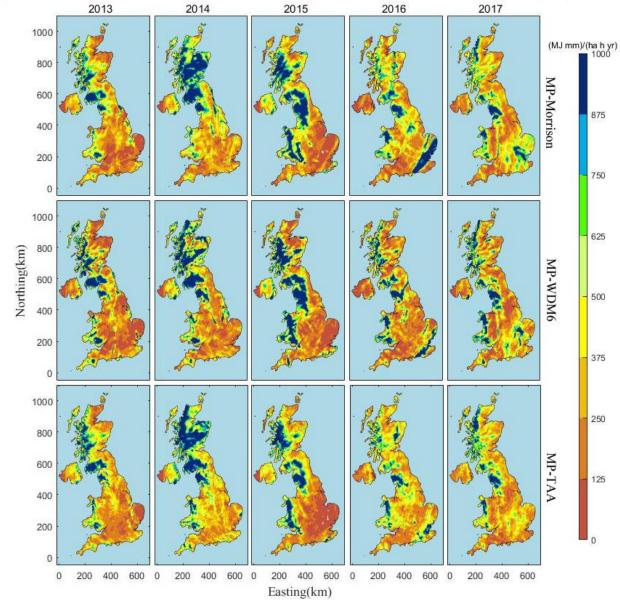


Figure 89. WRF-derived Rw-annual rainfall erosivity maps of the whole UK for different years.

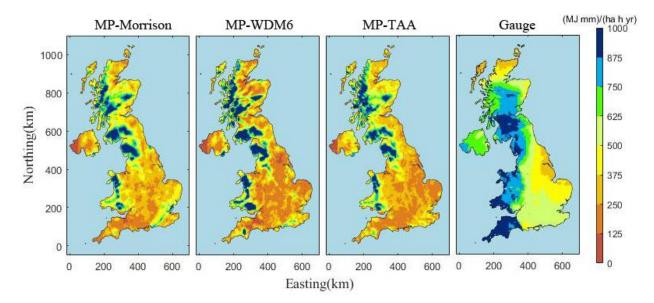


Figure <u>109</u>. The 5-year (2013–2017) average <u>R-annual rainfall erosivity</u> maps based on WRF
 grids and rain gauge interpolation.

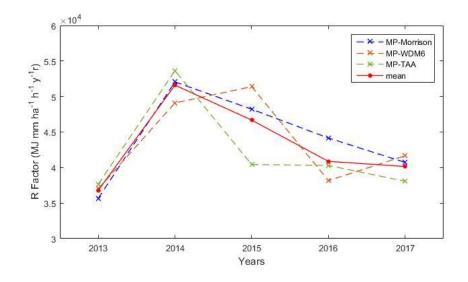




Figure 1011. The <u>WRF-derived</u> average <u>annual rainfall erosivity</u>  $R_W$ -of all the WRF grids covering the whole UK (2013–2017).

ID	Equation	Calibration R <sup>2</sup>	Validation R <sup>2</sup>
Ι	$ke = 16.08(1 - 0.76e^{-0.41I})$	0.50	0.45
Π	$ke = 8.65 + 6.39 \lg(l)$	0.48	0.43
III	ke = 10.19 - 1.05/I	0.29	0.25
IV	$ke = 8.65 + 2.78 \ln(I)$	0.48	0.43
$\mathbf{V}$	$ke = 8.12I^{0.34}$	0.50	0.45

Table 1. Relationship of ke-I at Chilbolton Station (2004–2013).

Domain	Domain size (km)	Grid Spacing (km)	Grid size	Downscaling ratio
d01	1,125 ×1,675	25	45 ×67	-
d02	655 ×1,230	5	131 ×246	1:5

**Table 2.** The configurations of WRF model for two nested domains.

**Table 3.** Indicators comparison between disdrometer-derived rainfall erosivity  $R_D$  and three769types of WRF-derived rainfall erosivity  $R_W$ -at Chilbolton station on monthly scale (2014-2017).

Indicators	MP-Morrison	MP-WDM6	MP-TAA
Pearson	0.71	0.77	0.79
MAE	8.05	8.42	6.51
$\mathbb{R}^2$	0.42	0.31	0.54