



1 2 3	Estimation of evapotranspiration through an improved daily global solar radiation in SEBAL model: a case study of the middle Heihe River Basin
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9	
10	Abstract: The agricultural activities, hydrologic cycle, and ecological environment are
11	seriously influenced by evapotranspiration (ET), especially in arid and semi-arid areas. A new
12	method for estimating daily global solar radiation (GSR) over rugged terrains in the middle
13	Heihe River Basin is developed on the basis of Iqbal model C. And with the land surface
14	parameters retrieved from multisource remote sensing data, a daily surface ET on June 21-24,
15	2009, is simulated by using surface energy balance algorithm for land (SEBAL) model. The
16	results show:
17	1. An improved daily GSR with a resolution of 100 m $\times 100$ m is implemented. The mean
18	absolute bias error (MABE) is 9 $W/m^2$ , and the mean absolute relative bias error (MARBE) is
19	2.5%. The MABE of the daily GSR using the SEBAL model is 122.2 $W/m^2$ , and the MARBE
20	is 33.9%.
21	2. The spatial distribution of the daily GSR is more reasonable using the improved model
22	than the original model. The GSR is larger on a sunny slope (an open place) than on a shady
23	slope (a rugged place).
24	3. Bringing the new model into SEBAL significantly improves the accuracy of the ET.
25	The MABE of ET decreases from 2.1 mm (original scheme) to 0.6 mm (improved scheme),

26





- and the MARBE declines from 44% to 13% accordingly. Moreover, the spatiotemporal 27 resolution of the ET simulation is effectively improved by the combined moderate-resolution imaging spectroradiometer and thematic mapper surface parameters. 28 4. All highest ET value appeared in all types of water bodies, followed by farmland, 29 30 forest, wetland, and residential areas, the lowest values appeared over bare rock land. The water consumption in these areas is dominated by agriculture. The new results provide better 31 32 theoretical basis and scientific guidance for ecosystem protection and sustainable utilization 33 of water resources.
- 34

#### 1. Introduction 35

36 About 60% of global precipitation is consumed by evapotranspiration (ET), while 99% of water in farmland system is consumed by ET (Kit, 2000). As an 37 important part of water cycle, ET is of great significance for understanding the water 38 cycle process, regulating the hydrological process by vegetation, and rational 39 utilization of limited water resources (Sellers et al., 1996), especially in arid and 40 semi-arid areas. Therefore, the accurate determination and estimation of the daily ET 41 can satisfy the requirements of not only agricultural production but also other 42 43 ecological water requirements and provide scientific guidance for the rational utilization and distribution of water resources (Nie et al., 2004; Rahman et al., 2016), 44 45 especially during the growing season when numerous water resources are exhausted 46 in the middle reaches of the Heihe River Basin in China.





Surface energy balance algorithm for land (SEBAL) model (Bastiaanssen et al., 47 1998a and 1998b) is currently a crucial method for estimating water and heat fluxes. 48 Using remote sensing data and meteorology knowledge, calculating water and heat 49 fluxes on a daily scale is feasible and widely applied (Bastiaanssen et al., 2000; 50 51 Teixeira et al., 2009; Liu, 2008; Yin, 2014; Li et al., 2010; Ahmad et al., 2014; Usman et al., 2015). Liu (2008) verified that the SEBAL model is sensitive to daily 52 53 global solar radiation (GSR). Therefore, the daily GSR is an important input 54 parameter for ET estimation using the SEBAL model.

55 The solar radiation that reaches the ground is affected by astronomical, atmospheric (i.e., air molecules, water vapor, and cloud), and surface factors (i.e., 56 slope, aspect, terrain shading, and surface coverage). Thus, the simulation of GSR in a 57 58 rugged terrain is significantly complex (Zeng et al., 2005; Yeom et al., 2016; Shi et al., 2018). Geographical information system (GIS) and remote sensing techniques have 59 provided new methods for estimating solar radiation, including the above mentioned 60 factors. Digital elevation model (DEM) data have been extensively used to simulate 61 62 solar radiation in mountainous areas (Williams et al., 1972; Bocquet, 1984; Dozier and Frew, 1990; Qiu et al., 2003; Chen et al., 2013; Shi et al., 2018). However, 63 research on the daily GSR is limited to accurately estimating climate factors on a 64 daily scale. Chen et al., (2013) developed a DEM-based radiation model to estimate 65 66 instantaneous clear-sky solar radiation for surface energy balance system to obtain accurate energy absorbed by the mountain surface. However, terrain shading is 67 insufficiently considered. Shi et al., (2018) used Iqbal model C (1983) and DEM to 68





estimate the daily GSR to investigate the effects of topography. The daily GSR was
acquired in a relatively coarse grid of 1 km×1 km. However, their method was not
applied to a high spatiotemporal resolution estimation of the GSR directly, thereby
making the performance of agriculture activities infeasible.

73 In the present study, a distributed model for daily GSR is proposed on the basis of possible solar radiation, which includes astronomical, atmospheric, and land 74 75 surface factors in a relatively high spatiotemporal resolution. Based on the DEM data 76 with 100 m resolution, weather station information (radiation, wind, and pressure), and remote sensing images of thematic mapper (TM) and moderate-resolution 77 imaging spectroradiometer (MODIS), this study aims to 1) realize the estimation of 78 daily GSR in a 100 m  $\times$ 100 m resolution, 2) compare and validate the estimated results 79 80 with measured data, and 3) improve the application of simulated daily GSR by calculating ET using the SEBAL model. 81

# 82 2. Methodology

# 83 2.1. Study Area

The study area is located in the middle reaches of the Heihe River Basin in Northwestern China, including Linze County and Ganzhou District, with an elevation range of 1,360–3,600 m and an area of approximately 60,000 km2 (Fig. 1). An oasis is situated along the river, surrounded by a desert (Fig. 2). This area is typical warm temperate desert arid climate.





89 2.2. Data

#### 90 2.2.1. Remote sensing data

Landsat TM data can provide high-resolution information about land surface temperature, land cover classification, albedo, normalized difference vegetation index(NDVI), and emissivity. This study adopts the Landsat TM5 image that was captured on June 24, 2009, in which the cloud cover in the study area was below 5% due to a favorable weather condition (Fig. 5a).

MODIS Levels 2 and 3 images on June 21–24, 2009, without clouds are collected. NDVI, emissivity, and surface albedo are retrieved by using Level 2 products (500 m×500 m). Daily land surface temperature (LST) with a spatial resolution of 1 km comes from Level 3 MOD11A1.

#### 100 2.2.2. Meteorological data

To supplement the TM and MODIS images, the heights of vegetation and the following meteorological data are required: air pressure, relative humidity, air temperature, sunshine duration, LST, wind speed, net radiation, and daily GSR. In addition, two ET measurements are placed in a farmland and a wetland station (Fig. 1). All the meteorological data must be measured hourly throughout the day.

106 **2.2.3. GIS data** 

107 Considering the spatiotemporal resolution of remote sensing data, this study uses 108 the DEM with a spatial resolution of 100 m×100 m and the vector map of the 109 administrative boundary with the map scale of 1:250,000. All data are obtained from





- 110 the website of Digital Heihe (http://heihe.westgis.ac.cn). The land cover map is
- 111 created by a computer-assisted visual interpretation at the scale of 1:100,000 on the
- 112 basis of the TM image (Fig. 2).
- 113 **2.3. Methodology**
- 114 2.3.1. Improved daily GSR model
- 115 The daily GSR in the SEBAL model is calculated as follows (Bastiaanssen et al.,
- 116 1998a and 1998b; Chen et al., 2000):

117 
$$R_{a24} = G_{sc} \times d_r \times \int_{\omega_1}^{\omega_2} \cos \theta d\,\omega, \qquad (1)$$

118 where  $R_{a24}$  is the daily GSR,  $G_{sc}$  is the solar constant, that is, 1367W/m<sup>2</sup>,  $d_r$  is the 119 earth-sun distance factor (dimensionless),  $\omega_1$  and  $\omega_2$  represent the solar hour angles 120 (radians) at 5 min after sunrise and at 5 min before sunset, respectively, and  $\theta$  is the 121 solar zenith angle.  $\cos \theta$  is calculated by Chen et al., method(2013).

In Eq. (1), only sun-earth spatial relations on a specific date, slope, and aspect relations are considered. The effects of wet-clean air conditions and the terrain shading are disregarded. The improved daily GSR model includes the above mentioned factors, which can be categorized into two main models.

#### 126 (1) Determination of GSR over a horizontal surface

The effects of air molecules, O<sub>3</sub>, CO<sub>2</sub>, oxygen, and other mixed gas and water
vapor on short-wave solar radiation are considered in this part by using Iqbal Model C.

- 129 A detailed description is presented in Shi et al., method (2018).
- 130 We obtain the daily global radiation under wet-clean air conditions using

131 
$$Q_{0w} = \frac{T}{2\pi} \int_{-a_0}^{a_0} I_t d\omega, \qquad (2)$$





- 132 where  $-\omega_0$  and  $\omega_0$  represent the solar hour angles at sunrise and sunset, T is the
- 133 length of a day, and  $I_t$  is global irradiance.

#### 134 (2) Determination of GSR over rugged terrains

- 135 The effects of aerosol, land surface factors (slope, aspect, terrain shading, and
- 136 surface cover), and cloud are considered in this part.
- 137 The daily GSR received on land surface consists of three parts (Fu, 1983).

138 
$$Q_{\alpha\beta} = Q_{b\alpha\beta} + Q_{d\alpha\beta} + Q_{r\alpha\beta}, \qquad (3)$$

139 where  $Q_{\alpha\beta}$  is the daily GSR over rugged terrains,  $Q_{b\alpha\beta}$  is the daily direct solar 140 radiation over rugged terrains,  $Q_{d\alpha\beta}$  is the daily diffuse solar radiation over rugged 141 terrains, and  $Q_{r\alpha\beta}$  is the daily reflected solar radiation over rugged terrains.

142 To determine 
$$Q_{h\alpha\beta}$$
, we assume that

143 
$$Q_{b\alpha\beta} = \frac{Q_{0\alpha\beta}}{Q_{0w}} Q_b = R_b Q_b, \qquad (4)$$

144

$$Q_{b\alpha\beta} = Q_{0\alpha\beta} \frac{Q_b}{Q_{0w}} = Q_{0\alpha\beta} \frac{Q_b}{Q} \frac{Q_b}{Q_{0w}} = Q_{0\alpha\beta} f_b k_t$$
<sup>(5)</sup>

where  $Q_{0\alpha\beta}$  and  $Q_{0\pi}$  are the daily astronomical solar radiation over a terrain and a horizontal surface, correspondingly, and  $Q_b$  is the direct solar radiation over a horizontal surface.  $R_b$  represents the effects of slope, aspect, and topographic shadow, Q is the daily GSR over a horizontal surface,  $f_b$  is the direct component coefficient, and  $k_t = a_G + b_G s$  (where s is the percentage of sunshine duration, and  $a_G$  and  $b_G$  are empirical coefficients ) is the clear sky coefficient. The effects of aerosol and cloud are considered in the term  $a_G + b_G s$ .

#### 152 Similar to Eq. (5), we derive an expression for the diffuse component.

153 
$$Q_{d\alpha\beta} = Q_d [f_b k_t R_b + V(1 - f_b k_t)],$$
 (6)





- 154 where  $Q_d$  is the diffuse solar radiation in the horizontal surface, and V is the terrain
- openness (terrain openness + terrain shading = 1). The method for determining V is
- 156 described by Qiu (2003).
- 157  $Q_{0\alpha\beta}$  is calculated using Qiu's (2003) model, and  $Q_b$  and  $Q_d$  are calculated on the
- 158 basis of the empirical model exhibited by daily direct solar radiation and diffuse solar
- 159 radiation in the radiation station considering the effects of aerosol and cloud.

160 Finally, the reflected radiation from the sloped surface can be computed by the

161 following expressions:

162 
$$\begin{cases} Q_{ra\beta} = Q\rho_g (1-V) & V <=1\\ Q_{ra\beta} = 0 & V > 1 \end{cases}$$
 (7)

163 where  $\rho_{g}$  is the surface albedo, which can be retrieved from Landsat TM5 and

164 MODIS09GA.  $\rho_g$  is described in detail in Appendix.

#### 165 2.3.2. SEBAL model principle

The SEBAL procedure consists of a series of algorithms. In this study, this procedure is implemented using the ModelMaker module of ERDAS software. The algorithms solve the complete energy balance equation

$$\lambda ET = R_n - G - H, \qquad (8)$$

where  $\lambda ET$  is the latent heat flux,  $R_n$  is the surface net radiation flux, G is the oil heat flux, and H is the sensible heat flux.

The parameterization of  $R_{t}$  and G is mature. Thus, the core issue of the model is calculating H and ET. SEBAL model introduces a Monin-Obukhov loop iteration to estimate H.





175	The above mentioned instantaneous results ( $H$ , $R_n$ , and $G_0$ ) are substituted in the
176	energy balance Eq. (8) to calculate instantaneous latent heat flux $\lambda ET$ . The daily
177	time scaling extension of the model is implemented on the basis of the evaporative
178	fraction ( <i>EF</i> ) method.

179 
$$\frac{\lambda ET}{R_n - G} = EF, \qquad (9)$$

Following Shuttleworth et al., (1989), the instantaneous EF is assumed to be similar to its 24 h counterpart (Brutsaert et al., 1992; Crago, 1996) and the assumption supported by numerous field studies (Bastiaanssen et al., 1998a, b; Morse et al., 2000).

Soil heat flux for 24 h periods is assumed to be nearly 0 for vegetated surfaces given the canceling effect of positive G during daylight and negative G during nighttime. Therefore, an actual  $\lambda ET_{24}$  for the 24-h evaporation can be computed as  $\lambda ET_{24} = EF \times R_{n24}$ , (10)

where  $R_{n,24}$  is the daily net radiation. The accuracy of the daily GSR estimation largely determines the accuracy of  $R_{n,24}$  estimation.

#### 190 2.3.3. Combination of multi-source remote sensing data for ET simulation

Liu (2008) conducted a sensitivity analysis of the SEBAL model and suggested that the LST and emissivity are highly sensitive to the simulated ET, whereas the surface albedo, wind speed, NDVI, and aerodynamic roughness of a surface are slightly sensitive to ET.

195 Only MODIS data can be used to calculate the daily ET because no TM data





196	from June 21 to 23, 2009, are available. Therefore, a co-simulation experiment on the
197	ET simulation, which uses three strategies of land surface parameters, is performed in
198	the present study. TM strategy refers to all the land surface parameters retrieved by
199	the TM image. MODIS strategy refers to all the land surface parameters retrieved by
200	the MODIS image. The TM/MODIS hybrid strategy, which combines the advantages
201	of the first two methods, refers to the LST from the MODIS11A1 image and the
202	surface albedo and NDVI from the TM image. The addition of the TM surface albedo
203	and NDVI can improve spatial resolution, whereas adding the MODIS LST can
204	improve temporal resolution. We can approximate that no change will occur in the
205	surface albedo and NDVI within a few days.

The TM image cycle is 16 days with a high spatial resolution, whereas the MODIS image cycle is 1 day. However, the spatial resolution is less than the TM image. Many local features retrieved by the TM image are generalized in the image retrieved by MODIS. The TM/MODIS hybrid combines the advantages of the spatiotemporal resolution of both strategies.

# 211 **3. Results and discussion**

#### 212 **3.1. Daily GSR**

### 213 **3.1.1. Accuracy of simulated result**

The validation results of the two models are summarized in Table 1. The mean absolute bias error (MABE) of the improved daily GSR model is 9  $W/m^2$ , and the mean absolute relative bias error (MARBE) is 2.5%. The MABE of the daily GSR in





217 tł	e SEBAL model is $122.2 \text{ W/m}^2$ , and the MARBE is $33.9\%$ .
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218	The results show that the GSR on June 24, 2009, calculated in the SEBAL model
219	ranged from 438 $W/m^2$ to 465.7 $W/m^2$ with a mean of 446 $W/m^2\!,$ which was much
220	higher than the improved daily GSR model with a range of 350–394 $W/m^2$ and a mean
221	of $370 \text{ W/m}^2$ .
222	In Eq. (7), the surface albedo is calculated by two remote sensing data. The result
223	indicates that a slight difference of 0.4 $\ensuremath{W/m^2}$ in the daily GSR emerges by using the

surface albedo from the TM and MODIS images on June 24, 2009.

#### 225 3.1.2. Spatial pattern of daily GSR

Theoretically, the GSR is larger on a sunny slope (an open place) than on a shady slope (a rugged place), thereby indicating that the daily GSR is large where the sunshine duration is long.

The spatial distribution of the daily GSR calculated using the SEBAL model presents discontinuous and improper stripes (Fig. 3a). However, the calculation of the improved model is more reasonable, because the effects of the spatial position relations, percentage of sunshine, slope, aspect, terrain shading, and atmospheric influence are comprehensively reflected.

An obvious difference between the SEBAL and the improved models is observed in the southern area and the Longshou Mountain (Fig. 3b). The daily GSR in the SEBAL model has reached the maximum value in the two areas. However, the daily GSR in the improved model is near the minimal value. This result can be attributed to the terrain shading that included a diffuse and reflected solar radiation in the new





239	model. In addition, the percentage of sunshine is higher in the northwest, thus
240	implying that sunshine duration is long, and the corresponding daily GSR is high (Fig.
241	3b). However, these distribution features are unclear in the daily GSR in the SEBAL
242	model.
243	The daily GSR of 0 $^\circ,$ 45 $^\circ,$ 90 $^\circ,$ and 360 $^\circ$ in the slope direction of the study area
244	are statistically analyzed, and then the mean value is calculated (Fig. 4). In terms of
245	local surface distribution pattern, the daily GSR must reach the maximum value near
246	the south slope at 180 $\stackrel{\circ}{.}$ However, the simulated results using the SEBAL model show
247	that the daily GSR is only the minimum value (Fig. 4a), and the error is corrected by
248	the improved model (Fig. 4b).
249	Therefore, the improved daily GSR model improves the calculation accuracy and
250	makes the spatial distribution more reasonable than the original model.

# 251 3.2. Daily ET

252 3.2.1. Accuracy of simulated Daily ET

The comparative analysis of oasis stations (farmland [plain area]) is listed in Tables 2 and 3. The accuracy of the daily ET is higher in the improved scheme than in the original scheme, and ET is near the measured ET. The results show that the improved scheme using the TM/MODIS hybrid strategy is the optimum among the three models.

258	The simulated ET analysis of the TM/MODIS hybrid strategy of oasis stations
259	from June 21 to 24, 2009, is summarized in Table 4. The mean measured ET for 4
260	days was 4.8 mm. The MABE of the improved scheme-simulated ET is 0.6 mm, and





the MARBE is 12%. For the original scheme ET, the MABE is 1.85 mm, and the
MARBE is 39%. The mean ET of the wetland station (saline land) in 4 days is 2.2
mm. The simulated ET changes from 3.5 mm (original scheme) to 2.2 mm (improved
scheme).

265 According to the research purpose and China's land use classification system, the study area is divided into 22 underlying surface coverage types (Fig. 2). Therefore, 266 267 the TM/MODIS hybrid strategy of the original and improved schemes are used to 268 calculate the ET of the 23rd and 24th days, respectively. The mean ETs of the two 269 days in each surface coverage type are calculated and listed in Table 5. The mean measured ET for the two days is 4.6 mm in the farmland station. It changes from 6.3 270 mm (original scheme) to 4.3 mm (improved scheme). The mean measured ET for the 271 272 two days is 2.2 mm in the wetland station. It changes from 3.7 mm (original scheme) 273 to 2.4 mm (improved scheme).

In Table 5, the highest ET value has appeared in all types of water bodies, followed by farmland, forest, wetland, and residential areas. The ET values in the Gobi Desert and bare rock land are low. The water consumption in these areas is dominated by agriculture. The mean daily water consumption in the study area is  $1.46 \times 10^7 \text{ m}^3$ . The farmland, which accounts for 44.8%, has consumed  $6.5 \times 10^6 \text{ m}^3$  in the improved scheme, and  $9.5 \times 10^6 \text{ m}^3$  in the original scheme.

#### 280 3.2.2. Spatial pattern of daily ET

Figs. 5 and 6 illustrate that, for all land cover types, except for water bodies, the highest ET value is found over the farmland given the presence of irrigation water. In





addition, the ET is low in the area around the oasis. The differences in ET over the
various land types mainly depends on the NDVI. A large NDVI value indicates a high
ET.

In Fig. 5 and 6, the simulation ET based on the improved scheme declines more than the original scheme. In the improved scheme, the desert ET is mainly distributed in the vicinity of 0 mm, and the oasis ET is in the vicinity of 4.8 mm. In the original scheme, the desert ET is mainly distributed in the vicinity of 0 mm, and the oasis ET is in the vicinity of 6.5 mm.

The TM strategy has the highest resolution (Figs. 5a and 6a), whereas the MODIS strategy has the lowest (Fig. 5b and 6b); many features retrieved by the TM strategy are generalized in the image. The TM/MODIS hybrid strategy (Fig. 5c and 6c) combines the advantages of the spatiotemporal resolution of both strategies to provide a feasible scheme for the estimation of the daily ET on improving temporal resolution and maintaining a relatively detailed spatial resolution.

Figs. 5a and 6a are images with cloud and cloud shadows at the northwest corner, correspondingly. Several parts of the LST image in Figs. 5b and 6b are missing; this phenomenon mostly occurs in desert areas. These areas are smaller than the entire study area and cannot affect the statistical characteristics of various types of land ET.

#### 302 **4. Conclusions**

303 The new model fully considers the atmospheric and surface factors, especially





304 the influence of terrain shading on diffuse solar radiation and reflected solar radiation

305 over rugged terrains.

In order to estimate daily ET accurately, a new method for estimating the daily 306 GSR over the rugged terrains in the middle reaches of the Heihe River Basin is 307 308 proposed in this study. This method is based on Iqbal model C, fully considering the atmospheric and surface factors, especially the influence of terrain shading on diffuse 309 310 solar radiation and reflected solar radiation over rugged terrains. The daily surface ET 311 on June 21–24, 2009, is simulated by using the SEBAL model, and a portion of the 312 daily GSR in the SEBAL model is improved. The results can be summarized as follows: 313

The improved daily GSR with a resolution of 100 m×100 m is implemented; the MABE of the simulated results is 9 W/m<sup>2</sup>, and the MARBE is 2.5%. The MABE of the daily GSR in the SEBAL model is 122.2 W/m<sup>2</sup>, and the MARBE is 33.9%.

Theoretically, the spatial distribution of the daily GSR is more reasonable using the improved model than the original model. The GSR is larger on a sunny slope (an open place) than on a shady slope (a rugged place). In addition, if the percentage of sunshine in the northwest is high, then the daily GSR is also high. The estimated result of the improved daily GSR model is consistent with the distribution law, but the estimated result of the SEBAL daily GSR model cannot fully reflect.

The co-simulation experiment on the ET simulation is designed for three strategies, namely, TM, MODIS, and TM/MODIS hybrid strategies. They are used in the original SEBAL model (original scheme) and the improved daily GSR SEBAL





326	model (improved scheme). The results show that the improved scheme ET is more
327	accurate than the original scheme. Moreover, the TM/MODIS hybrid strategy in the
328	improved scheme is the most reasonable in terms of accuracy and spatial distribution.
329	The simulated ET declines more based on the improved scheme than based on
330	the original scheme. The mean measured ET of the oasis station in 4 days is 4.8 mm.
331	The original scheme ET is 6.9 mm, and the improved scheme ET is 4.2 mm using the
332	TM/MODIS hybrid strategy. The MABE of ET decreases from 2.1 mm (original
333	scheme) to 0.6 mm (improved scheme), and the MARBE declines from 44% to 13%
334	accordingly. And in all schemes desert ET is mainly distributed in the vicinity of 0
335	mm.

All simulated ETs show that the highest ET value is found in farmland, except for water bodies considering the presence of irrigation water, followed by farmland, forest, wetland, and residential areas, the lowest values appeared over bare rock land. Different combination strategies show that the TM strategy has the highest resolution, and the MODIS strategy has the lowest; however, the TM image cycle is

16 days, whereas the MODIS image cycle is 1 day. The TM/MODIS hybrid strategy

342 combines the advantages of the spatiotemporal resolution of both strategies.

During the growing season, the surface albedo and the height of the vegetation change daily. The calculation of ET is influenced by the satellite image quality, and the clouding images cannot be used. Thus, simulating continuously is difficult, especially using the TM/MODIS hybrid scheme. An approach that combines the ET estimates obtained from the TM with the drainage lysimeters has been verified useful





in computing cumulative ET (Morse et al., 2000).

349	In the growing season, the water of Heihe River is mainly consumed in the
350	middle reaches. Therefore, accurate calculation of water consumption of various land
351	types in the middle reaches, especially agricultural production, can effectively use
352	limited water resources, leave more water to the downstream, and make the
353	downstream ecological environment better.

# 354 Appendix

#### 355 MODIS09GA

356 Broadband shortwave surface albedo is calculated from the normalized reflection

values of Channels 1, 2, 3, 4, 5, and 7 using the following equation (Liang et al.,

358 2003):

359  $\rho_g = 0.160 \,\alpha_1 + 0.291 \,\alpha_2 + 0.243 \,\alpha_3 + 0.116 \,\alpha_4 + 0.112 \,\alpha_5 + 0.081 \,\alpha_7 - 0.0015.$  (1)

360 TM5

361 
$$\rho_g = \frac{\alpha_{toa} - \alpha_{path\_radiance}}{\tau_{sw}^2}, \qquad (2)$$

where  $\alpha_{toa}$  is the atmospheric top reflectivity and can be obtained from the weighted average of the reflectivity of the shortwave bands (1, 2, 3, 4, 5, and 7 bands of TM) in remote sensing images.  $\alpha_{path_radiance}$  is the albedo path radiance, and  $\tau_{sw}^{2}$  is the two-way transmittance (Morse et al., 2000).





and

### 366 **Data availability.** Meteorological data are available from the authors by request.

- 367 DEM and vector map of the boundary were provided by Digital Heihe
- 368 (http://heihe.westgis.ac.cn). Remote sensing data are available from
- 369 <u>https://ladsweb.modaps.eosdis.nasa.gov/</u>
- 370 <u>http://www.gscloud.cn/sources/?cdataid=263&pdataid=10</u>
- 371 Author contributions. Prof. Qiu and Liu give theoretical guidance, Doc. Li gives
- technical guidance and data support, Doc. Shi gives help in daily GSR calculation.
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**Figures** 



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Fig.2. Land-cover map of the study area

- 465 (High-coverage grassland: HCGrassland, Moderate-coverage grassland: MCGrassland,
- 466 Low-coverage grassland: LCGrassland, Sand desert: Sand, Gobi desert: Gobi, Other
- 467 woodlands:woodlands2, Other construction:construction2, Rural residential: Rural, farmland
- 468 (mountain):Farmland (m), Farmland (plain):Farmland(P))
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# 502 Tables

503	Table	

# 504 Daily GSR of middle Heihe River Basin on June 21–24, 2009 (Unit: W/m<sup>2</sup>)

	Date	measure	simulated daily GSR		MABE		MARBE (%)	
		daily GSR	improved	original	improved	original	improved	original
	6.21	354.2	346.1	484	8.1	129.8	2.3	36.6
	6.22	360	355.3	484.1	4.7	124.1	1.3	34.5
	6.23	377.3	375	484.3	2.3	107	0.6	28.4
	6.24	356.5	377.3	484.4	20.8	127.9	5.8	35.9
	Mean	362	363.4	484.2	9	122.2	2.5	33.9
505								





Classification	measured	original	improve
	ET	scheme	scheme
TM strategy	4.8	6.3	5.0
MODIS strategy	4.8	6.3	4.2
TM/MODIS Hybrid	4.8	7.0	4.8
strategy			





# 575 Table 3

576	Errors of ETs of three combination strategies on 24 June, 2009(Unit: mm						
	simulation	simulation scheme	MABE	MABRE (%)			
	strategy						
		original scheme	1.5	31			
	TM strategy	improved scheme	0.2	4			
	MODIS	original scheme	1.5	31			
	strategy	improved scheme	0.6	13			
	TM/MODIS strategy	original scheme	2.2	46			
		improved scheme	0	0			





Date	Measure	simulated ET		MABE		MARBE (%)	
	ET	improved	original	improved	original	improved	origir
6.21	4.9	3.8	6.6	1.1	1.7	22	35
6.22	5.1	3.9	6.5	1.2	1.4	24	27
6.23	4.5	4.4	6.6	0.1	2.1	2	47
6.24	4.8	4.8	7	0	2.2	0	46
Mean	4.8	4.2	0.7	0.6	1.85	12	





# 640 Table 5641 ET of ea

ET of each land-cover types						
Underlying	Area	improved scheme	original scheme Mean			
Surface Type	(km <sup>2</sup> )	Mean ET (mm)	ET (mm)			
Forest land	16.43	3.5	5.6			
Shrubbery	15.73	2.1	3.6			
Woodland	56.99	3.4	5			
Other woodlands	7.89	3.5	5.3			
High-coverage grassland	36.43	3	4.9			
Moderate-coverage grassland	76.43	2.5	4.2			
Low-coverage grassland	731.89	1.9	3			
Canals	20.24	4.5	6.6			
Lake	0.16	3.6	5.4			
Reservoir pond	4.2	4	5.6			
Beach	106.18	2.1	3.2			
Urban Land	15.33	3.8	5.5			
Rural residential land	164.1	4	5.9			
Other construction lands	4.51	3.8	5.6			
Sand desert	472.64	1.4	2.3			
Gobi desert	2321.37	1.4	2.1			
Saline land	49.2	2.4	3.7			
Swampland	4.48	4.2	6			
Bare land	78.74	1.1	1.7			
Bare rock land	558.52	1.5	2.3			
farmland (mountain area)	0.13	3.7	5.9			
Farmland (plain area)	1515.16	4.3	6.3			

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