



1 **Novel Keeling plot based methods to estimate the isotopic composition of ambient water**  
2 **vapor**

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21 Highlights:

- 22 1. Two new methods were developed to estimate the isotopic composition of ambient  
23 vapor.
- 24 2. Theoretical derivations were provided for these two methods.
- 25 3. Linear regression showed strong agreement between the two methods.
- 26 4. The methods provide a possibility to calculate the proportion of evapotranspiration  
27 fluxes to total atmospheric vapor using the same instrumental setup for the traditional  
28 Keeling plot investigations.

29



30 **Abstract**

31 Keeling plot approach, a general method to identify the isotopic composition of source  
32 atmospheric CO<sub>2</sub> and water vapor (i.e., evapotranspiration), has been widely used in terrestrial  
33 ecosystems. The isotopic composition of ambient water vapor ( $\delta_a$ ), another important source of  
34 atmospheric water vapor, is not able to be estimated to date using the Keeling plot approach.  
35 Here we proposed two new methods to estimate  $\delta_a$  using the Keeling plot curves: one using  
36 intersection point method and another relying on intermediate value theorem. As actual  $\delta_a$   
37 value was difficult to measure directly, we used two indirect approaches to validate our new  
38 methods. First, we made an external vapor tracking using Hybrid Single Particle Lagrangian  
39 Integrated Trajectory (HYSPPLIT) model to facilitate explaining the variation of  $\delta_a$ . The  
40 trajectory vapor origin results were consistent with the expectations of the  $\delta_a$  values estimated  
41 by these two methods. Second, regression analysis was used to evaluate the relationship  
42 between  $\delta_a$  values estimated from these two independent methods and they are in strong  
43 agreement. This study provides an analytical framework to estimate  $\delta_a$  using existing facilities,  
44 and provides important insights into the traditional Keeling plot approach by showing: (a) an  
45 evidence that  $\delta_a$  was constant in a certain moment among different heights, a key assumption  
46 of the Keeling plot approach, (b) a possibility to calculate the proportion of evapotranspiration  
47 fluxes to total atmospheric vapor using the same instrumental setup for the traditional Keeling  
48 plot investigations, and c) perspectives on estimation of isotope composition of ambient CO<sub>2</sub>  
49 ( $\delta_a^{13C}$ ).

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51 **Key words:** HYSPPLIT, intersection point, Intermediate Value Theorem, Keeling plot



## 52      **1. Introduction**

53            Stable isotopes of hydrogen and oxygen ( $^1\text{H}^2\text{HO}$  and  $\text{H}_2^{18}\text{O}$ ) have been widely used in  
54 root water uptake source identification (Corneo et al., 2018; Mahindawansa et al., 2018) and  
55 evapotranspiration (ET) partitioning (Brunel et al., 1997) in terrestrial ecosystems based on  
56 Craig-Gordon model (Craig and Gordon, 1965), isotope mass balance and mechanism of  
57 isotopic fractionation (Majoube, 1971; Merlivat and Jouzel, 1979). After laser spectrometers  
58 being utilized to perform continuous high frequency (1 Hz) measurements of the isotopic  
59 composition of atmospheric water vapor ( $\delta_v$ ) and atmospheric water vapor content ( $C_v$ ) (Kerstel  
60 and Gianfrani, 2008; Wang et al., 2009), new insights into processes that affect  $\delta_v$  and the  
61 number of studies based on continuous ground level isotope measurements was continuously  
62 increasing (Wang et al., 2010; Galewsky et al., 2011; Steen-Larsen et al., 2013; Sprenger et al.,  
63 2015). Such increase in isotope data abundance allows an isotope-enabled global circulation  
64 models (Iso-GCMs) to estimate the variation of vapor isotope parameters at a global scale  
65 (Unger et al., 2010).

66            Keeling plot approach (Keeling, 1958), based on isotope mass balance and two-source  
67 assumption, was first used to explain carbon isotope ratios of atmosphere  $\text{CO}_2$  and to identify  
68 the sources that contribute to increases in atmospheric  $\text{CO}_2$  concentration. It has been further  
69 used to estimate isotopic composition of ET ( $\delta_{\text{ET}}$ ) in recent two decades (Yakir and Sternberg,  
70 2000). Keeling plot analyses can be applied using  $\delta_v$  and  $C_v$  output by laser based analyzer  
71 either from different heights (Yepez et al., 2003; Zhang et al., 2011; Good et al., 2012) or at one  
72 height with continuous observations (Wei et al., 2015; Keppler et al., 2016). Although the  
73 intercept of the curve was commonly used, the slope of the Keeling plot was also used to



74 estimate  $\delta_{ET}$  by re-arranging the Keeling plot equations (Miller and Tans, 2003; Fiorella et al.,  
75 2018). Keeling plot approach was based on bulk water and isotope mass balance using two  
76 equations with three unknowns. As a result, the isotopic composition of other potential sources  
77 (e.g., water vapor not from ET), as well as isotopic composition of ambient water vapor ( $\delta_a$ ),  
78 were not able to be estimated directly using the Keeling plot approach.

79 In this study, we proposed two new methods to estimate  $\delta_a$ , one based on the intersection  
80 of two Keeling plots of two continuous observation moments and another based on intermediate  
81 value theorem. Proposition and proof were provided, and the new methods were tested using  
82 field observations. As direct observations of  $\delta_a$  rarely exist (Griffis et al., 2016), we tested our  
83 methods by (a) making an external water vapor tracking investigation according to HYSPLIT  
84 model to explain the variation of estimated  $\delta_a$ , and (b) making a regression analysis using  $\delta_a$   
85 estimated by these two independent methods.

## 86 2. Materials and Methods

### 87 2.1 Theory

88 The atmospheric vapor concentration in an ecosystem reflects the combination of  
89 ambient vapor that is already exist in the atmosphere and the vapor that is added through  
90 evaporation (E) and transpiration (T) (Yakir and Sternberg, 2000). Keeling plot approach is  
91 based on the combination of a bulk water mass balance equation and an isotope mass balance  
92 equation:

$$93 \quad C_v = C_a + C_{ET} \quad , \quad (1)$$

$$94 \quad C_v \delta_v = C_a \delta_a + C_{ET} \delta_{ET} \quad , \quad (2)$$

95 where  $\delta_a$ ,  $\delta_{ET}$  and  $\delta_v$  are isotope composition of ambient water vapor, ET, and atmospheric water



96 vapor, respectively, and  $C_a$ ,  $C_{ET}$  and  $C_v$  are the corresponding concentrations of water vapor.

97 Note that all quantities here are time dependent, and  $\delta_v$  and  $C_v$  also depend on heights.

98 Combining Eq. (1) and Eq. (2), we have the following traditional linear Keeling plot

99 relationship between  $\delta_v$  and  $1/C_v$  with intercept  $\delta_{ET}$  and slope  $C_a(\delta_a - \delta_{ET})$ ,

$$100 \quad \delta_v = C_a(\delta_a - \delta_{ET})/C_v + \delta_{ET} \quad (3)$$

101 For a given time, with various measurements of  $\delta_v$  and  $C_v$  collected at different heights,

102 we are able to estimate the intercept  $\delta_{ET}$  and slope  $C_a(\delta_a - \delta_{ET})$  for this moment from regression

103 analysis (Zhang et al., 2011; Wang et al., 2013). Here we focus on the estimation of  $\delta_a$  using

104 two new methods proposed below.

105 **Intersection point method.** Note that for two nearby time points  $t_1$  and  $t_2$ , we could use

106 local constant approximation to estimate  $\delta_a$  within this time interval since it is changing

107 smoothly over time. By assuming local constant for  $C_a$  and  $\delta_a$  within this time interval, we have

$$108 \quad \delta_{v_1} = C_a(\delta_a - \delta_{ET_1})/C_{v_1} + \delta_{ET_1} \quad (4)$$

$$109 \quad \delta_{v_2} = C_a(\delta_a - \delta_{ET_2})/C_{v_2} + \delta_{ET_2} \quad (5)$$

110 where  $\delta_{ET_i}$ ,  $\delta_{v_i}$  and  $C_{v_i}$  represent the value at  $t_i$  for  $i=1, 2$ . From (4) and (5), we can solve  $\delta_a$

111 as:

$$112 \quad \delta_a = \frac{C_{v_1}\delta_{ET_2}(\delta_{ET_1}-\delta_{v_1})-C_{v_2}\delta_{ET_1}(\delta_{ET_2}-\delta_{v_2})}{C_{v_1}(\delta_{ET_1}-\delta_{v_1})-C_{v_2}(\delta_{ET_2}-\delta_{v_2})} \quad (6)$$

113 The local constant approximation idea was first described in Yamanaka and Shimizu (2007) as

114 an assumption to quantify the contribution of local ET to total atmospheric vapor.

115 **Intermediate Value Theorem (IVT) method.** Denote the slope as  $k = C_a(\delta_a - \delta_{ET})$ .

116 Since  $C_a < C_v = C_a + C_{ET}$ , we have  $C_a = \frac{k}{(\delta_a - \delta_{ET})} < C_v$ . We can rearrange  $\frac{k}{(\delta_a - \delta_{ET})} < C_v$

117 to attain  $\delta_a$ :  $\delta_a < \frac{k}{C_v} + \delta_{ET} = \delta_v$  when  $k < 0$ , and  $\delta_a > \frac{k}{C_v} + \delta_{ET} = \delta_v$  when  $k > 0$ .



118 For the smooth function  $\delta_a(t)$  defined on the interval  $[t_1, t_2]$  with the two time points  
119 satisfying  $k(t_1)k(t_2) < 0$ , depending on the sign of the slopes  $k(t_1)$  and  $k(t_2)$  and the order  
120 of  $\delta_{v_1} = \delta_v(t_1)$  and  $\delta_{v_2} = \delta_v(t_2)$  at the two time points  $t_1$  and  $t_2$ , it will correspond to one  
121 of the six cases in **Fig. 1**. For all of the six situations, by the intermediate value theorem, there  
122 exists a sub-interval  $[t_1', t_2'] \subset [t_1, t_2]$  such that the whole range of  $\{\delta_a(t): t \in [t_1', t_2']\}$  is  
123 within  $[\min(\delta_{v_1}, \delta_{v_2}), \max(\delta_{v_1}, \delta_{v_2})]$ . Thus for the two nearby time points  $t_1$  and  $t_2$  with  $k_1$   
124 and  $k_2$  having different sign,  $\delta_a$  will be between  $\delta_{v_1}$  and  $\delta_{v_2}$ . This is the key observation to  
125 estimate the parameter of interest  $\delta_a$  based on Intermediate Value Theorem, which leads to  
126 approximation of  $\delta_a$  within the time interval between  $t_1$  and  $t_2$  using  $\delta_{v_1}$  and  $\delta_{v_2}$ :

$$127 \quad \delta_a \approx \frac{\delta_{v_1} + \delta_{v_2}}{2} \quad . \quad (7)$$

128 Using this method, we are able to compute  $\delta_a$  using data points when the slopes of  
129 Keeling plots change sign between two adjacent time points.

## 130 2.2 Field observations

### 131 2.2.1 Study site

132 A field measurement was conducted over a maize field (39 ha) from 1<sup>st</sup> May 2017 to 1<sup>st</sup>  
133 September 2017 at Shiyanghe Experimental Station of China Agricultural University, located  
134 in Wuwei of Gansu Province, northwest China (37°85'N, 102°88'E; altitude 1581m). The  
135 region belongs to temperate continental climate and is in the oasis within the Shiyang river  
136 basin. The annual mean temperature of the study area is about 8.8°C with pan evaporation of  
137 2000 mm, annual precipitation of 164.4 mm, mean sunshine duration of 3000 h, and frost-free  
138 period of more than 150 d. The local crops are irrigated using groundwater with electrical  
139 conductivity of 0.62 dSm<sup>-1</sup>. The groundwater table is 30-40 m below the surface. Maize was



140 sowed on 23 April and harvested on 15 September 2017, with row spacing of 40 cm and plant  
141 spacing of 23 cm. The maize growing stage was divided into seedling stage (April 21<sup>st</sup> –May  
142 20<sup>th</sup>), jointing stage (May 21<sup>st</sup>-July 10<sup>th</sup>), heading period (July 11<sup>th</sup>-July 31<sup>st</sup>), pustulation period  
143 (August 1<sup>st</sup>-August 31<sup>st</sup>) and mature period (September 1<sup>st</sup>-September 20<sup>th</sup>).

#### 144 2.2.2 Instrument setup and measurement design

145 A 24-meter flux tower, located in the middle of maize field, was used to measure ET flux  
146 and isotopic composition of water vapor at different heights. The field is approximately 600 m  
147 long and 240 m wide, with a 10% slope decreasing from southwest to northeast. Five gas traps  
148 were installed on the flux tower at heights of 4 m, 8 m, 12 m, 16 m and 20 m, respectively. An  
149 iron pillar was placed 20 m away from the flux tower. Three gas traps were installed on the iron  
150 pillar, one was close to the canopy, and the other two were 2 m and 3 m above the ground.  
151 Canopy gas trap was adjusted weekly according to the height of maize.

152 *In situ*  $\delta_v$  and  $C_v$  collected by the eight gas traps were monitored by an isotope and gas  
153 concentration analyzer (L2130-i, Picarro Inc., Sunnyvale, CA, USA), which was a wavelength  
154 scanned cavity ring down spectroscope (WS-CRDS) instrument. Vapor specifications include  
155 a measurement range from 1000 to 50000 ppm, the precision is 0.040‰ to 0.25‰ for  $\delta^{18}\text{O}$   
156 (Zhao et al., 2019). Interfacing with the gas trap and the isotope analyzer, Teflon tube was  
157 wrapped by thermal insulation cotton to avoid vapor condensation during transmission. The  
158 measurement of  $\delta_v$  and  $C_v$  on 19<sup>th</sup> May, 11<sup>th</sup> June, 20<sup>th</sup> July, and 12<sup>th</sup> August were selected to  
159 test the theoretical framework because they fit the criteria requirements of the IP method and  
160 IVT method: 1) a complete and continuous 24-hour dataset and 2) opposite Keeling plots slope  
161 occurrence at least once in a day. These four days corresponded to seedling stage, jointing stage,



162 heading stage, and pustulation stage, respectively, through the maize growth period.

### 163 2.2.3 Calibration of $\delta_v$ and $C_v$

164 The water vapor from eight inlets were sampled continuously over a 24-hour-period.

165 Since only one analyzer was used to measure the  $\delta_v$  and  $C_v$ , the values of eight sampling inlets

166 were recorded in turn every 225s in a 30 mins cycle. The switch procedure was automatic. As

167 the analyzer makes a measurement every 0.9-1s, approximately 259-264 values for each inlet

168 was recorded within the cycle. For each 225s measurement period, No. 195 to No. 253 data

169 points were used to avoid memory issue and influence of transient pressure variation. The mean

170 value of the selected data points was regarded as the measured  $\delta_v$  and  $C_v$  in a specific inlet.

171 Measured  $C_v$  was used directly as actual  $C_v$ , while measured  $\delta_v$  was calibrated to minimize the

172 influence of isotopic concentration dependence. The  $C_v$  in our measurement ranged from 5386

173 ppm to 30255 ppm. Thus,  $C_v$  gradients of 10000 ppm, 20000 ppm and 30000 ppm were selected

174 as calibration concentrations to improve the precision of  $\delta_v$ .

### 175 2.3 Explanations of $\delta_a$ using backward trajectories

176 To explain the variations of estimated  $\delta_a$ , air mass backward trajectories were calculated

177 using the Hybrid Single Particle Lagrangian Integrated Trajectory (HYSPLIT) model (Draxler

178 and Hess, 1997; Draxler, 2003; Stein et al., 2015; Kaseke et al., 2018) and meteorological data

179 from the Global Data Assimilation System 0.5 Degree (GDAS0p5) with  $0.5^\circ \times 0.5^\circ$  spatial

180 resolution and 3-hour time resolution for the selected four days. Five hundred meters height

181 was selected in the modeling. Each backward trajectory was initialized from the station

182 ( $37^\circ 85'N$ ,  $102^\circ 88'E$ ) at 12:00 pm (local time), and calculated backward for 72 hours. Four

183 trajectories were computed.





184       **3. Results**

185           3.1 Diurnal variations of  $\delta_{ET}$ ,  $\delta_v$ ,  $k$  and ambient vapor source in four typical days during  
186 the maize growing period

187           The parameters of the Keeling plot curve in four typical days were shown in **Fig. 2**. The  
188 average  $\delta_{ET}$  were -15.23‰, -10.20‰, -8.20‰ and -10.59‰, respectively in the four typical  
189 days. At daytime (7:00am-7:00pm), average  $\delta_{ET}$  were -11.75‰, -8.42‰, -5.76‰ and -9.00‰,  
190 respectively, while at nighttime (7:00pm-7:00am the next day), average  $\delta_{ET}$  were -18.76‰, -  
191 11.98‰, -10.63‰ and -12.18‰, respectively. The trend of  $\delta_v$  values were similar to  $\delta_{ET}$ , but  
192 with a smaller fluctuation than  $\delta_{ET}$ . About 65% of  $k$  values were negative during the four days,  
193 and most positive  $k$  values occurred at nighttime (82%). The 500 m height water vapor  
194 backward trajectories revealed that vapor was from outside the study regions on 19<sup>th</sup> May and  
195 20<sup>th</sup> July while vapor was from local ET on 11<sup>th</sup> June and 12<sup>th</sup> August (**Fig. 3**).

196           3.2 Diurnal variations of  $\delta_a$  using two methods during the maize growing period

197           Data screening was needed on the calculation of  $\delta_a$ . When  $\delta_a$  was not satisfied with the  
198 relationship of  $\delta_{ET} < \delta_v < \delta_a$  or  $\delta_{ET} > \delta_v > \delta_a$ , these  $\delta_a$  values were in contradiction with Eq. (1) and  
199 were not used. As a result, 88 and 26 of  $\delta_a$  values were attained based on IP method and IVT  
200 method, respectively during the four days (**Fig. 2**).

201           As for the IP method, 46.8% of  $\delta_a$  values were acceptable, and 59.1% of acceptable  $\delta_a$   
202 values were during the daytime (7:00am-7:00pm). The average  $\delta_a$  values were -12.95‰, -  
203 12.13‰, -14.39‰ and -12.77‰ for the four days, respectively. Smaller values occurred on  
204 19<sup>th</sup> May (-12.95‰) and 20<sup>th</sup> July (-14.39‰) than those on 11<sup>th</sup> June (-12.13‰) and 12<sup>th</sup> August  
205 (-12.77‰). The average  $\delta_a$  values in all four days were -13.60‰ and -12.04‰ during the



206 daytime and the nighttime, respectively.

207 As for the IVT method, only 13.8% of  $\delta_a$  values were acceptable, and 34.6% of  
208 acceptable  $\delta_a$  values were during the daytime (7:00am-7:00pm). The average  $\delta_a$  values were -  
209 13.93‰, -11.03‰, -14.76‰ and -11.83‰ for the four days, respectively. Smaller values  
210 occurred on 19<sup>th</sup> May (-13.93‰) and 20<sup>th</sup> July (-14.67‰) than those on 11<sup>th</sup> June (-11.03‰)  
211 and 12<sup>th</sup> August (-11.83‰). The average  $\delta_a$  values in all four days were -12.84‰ and -12.86‰  
212 during the daytime and the nighttime, respectively.

213 Fourteen observation periods overlapped for  $\delta_a$  calculation using both methods, which  
214 accounted for 15.9% of  $\delta_a$  values using IP method ( $\delta_{a(IP)}$ ) and 53.8% of  $\delta_a$  values using IVT  
215 method ( $\delta_{a(IVT)}$ ). Linear regression between  $\delta_{a(IP)}$  and  $\delta_{a(IVT)}$  was significant for these fourteen  
216 observation periods with slope close to one (**Fig. 4.**  $\delta_{a(IP)} = 0.95\delta_{a(IVT)} - 0.75$ ,  $R^2 = 0.98$ ,  $p < 0.01$ ,  
217  $n=14$ ).

## 218 4. Discussion

### 219 4.1 The reliability of $\delta_a$ estimating methods

220 The IP method was based on the assumption that the ambient sources were the same  
221 between two continuous observation moments. This is a reasonable assumption for short time  
222 intervals. For the IVT method,  $\delta_a$  was derived from  $\delta_v$  in two continuous moments when their  
223 Keeling plot slopes were opposite. The opposite slopes of the Keeling plots were the only  
224 requirement. As  $\delta_v$  was almost constant in two continuously moments,  $\delta_{a(IVT)}$  was able to be  
225 constrained into a small range. The derivation was supported by the intermediate value theorem.  
226 Therefore, both methods of estimating  $\delta_a$  were theoretically sound.

227 The  $\delta_a$  results were also examined by HYSPLIT backward trajectories to identify the



228 different sources of water vapor, which assesses the reliability of both methods indirectly. Based  
229 on the trajectory analysis, water vapor in the study area came from westerlies, northern polar  
230 region and local recirculation. Water vapor from southwest monsoon and northwest Pacific  
231 were not detected in this study. Based on the isotope variation of meteoric water (Fricke et al.,  
232 1999), water vapor from westerlies and northern polar was more  $^{18}\text{O}$  depleted than local  
233 recycled moisture through ET. It was also reported that the water vapor from outside the study  
234 regions will lower  $\delta_v$  values (Ma et al., 2014; Chen et al., 2015). Backward trajectory revealed  
235 the local origin of water vapor on 11<sup>th</sup> June and 12<sup>th</sup> August. The calculated  $\delta_a$  values on 11<sup>th</sup>  
236 June and 12<sup>th</sup> August based on the IP method and interval intermediate value theorem approach  
237 was higher than those in other two days, which was consistent with our expectation. The results  
238 indicate that quantifying  $\delta_a$  using the IP method and intermediate value theorem approach was  
239 reliable. The reliability of two methods were also supported by the close relationship of  $\delta_a$  using  
240 these two independent methods.

#### 241 4.2 The application of $\delta_a$ for moisture recycling

242 When  $\delta_a$  was estimated, moisture recycling (e.g.,  $f_{ET}$ , the contribution of ET fluxes to the  
243 total water vapor) can be estimated using the following equations with known  $\delta_a$ ,  $\delta_{ET}$ ,  $\delta_v$ ,  $C_{ET}$   
244 and  $C_v$ :

$$245 \quad C_{ET} = C_v \cdot \frac{\delta_a - \delta_v}{\delta_a - \delta_{ET}} \quad , \quad (8)$$

$$246 \quad f_{ET} = \frac{C_{ET}}{C_v} \quad , \quad (9)$$

247 According to Eq. (8) and Eq. (9),  $f_{ET}$  was only related to  $\delta_a$ ,  $\delta_v$ , and  $\delta_{ET}$ . These three  
248 parameters were obtained for relatively small temporal and spatial scales in this study, making  
249 it possible to estimate  $f_{ET}$  at a tower scale. The  $f_{ET}$  estimate will provide a baseline value for



250 rainfall recycling ratio calculations. Previous studies quantified the contribution of recycled  
251 vapor to annual or monthly precipitation in river basins using two-element mixture model (Kong  
252 et al., 2013) and three-element mixture (Peng et al., 2011). At the watershed scale, recycled  
253 vapor rate refers to the contributions of moisture from terrestrial ET to annual or monthly  
254 precipitation (Trenberth, 1999). It is a key part of local water cycle and the atmospheric water  
255 vapor balance (Seneviratne et al., 2006; Aemisegger et al., 2014). In our study, the role of  $f_{ET}$   
256 to regional vapor is similar to the role of recycled vapor rate to annual or monthly precipitation,  
257 but  $f_{ET}$  was calculated with fine temporal (e.g., hourly) and spatial (i.e., field scale) scales. At  
258 the watershed scale, assumption was made that no isotopic fractionation between transpiration  
259 and source water (Flanagan et al., 1991); advected vapor was assumed to be the precipitation  
260 vapor of the upwind station (Peng et al., 2011). However, the isotope composition of  
261 transpiration is variable in a day especially under non-steady-state conditions (Farquhar and  
262 Cernusak, 2005; Lai et al., 2008). In addition, sometimes it is difficult to select an upwind  
263 station without precipitation events. In this study, a field site was selected to calculate the  
264 proportion of ET fluxes to total atmospheric vapor and  $f_{ET}$  was only related to  $\delta_a$ ,  $\delta_v$ , and  $\delta_{ET}$   
265 according to Eq. (8) and Eq. (9). This indicates that  $f_{ET}$  calculations is possible for small  
266 temporal and spatial scales after estimating  $\delta_a$  using the methods we proposed.

#### 267 4.3 Implications of $\delta_a$

268 The signature of  $\delta_E$  and  $\delta_T$  was first introduced by a hypothetical graph shown on **Fig.**  
269 **5a** (Moreira et al., 1997). Line 1 and line 2 was idealized Keeling plot with pure T and pure E,  
270 and Line 3 was the Keeling plot with mixed T and E. The IVT method in this study provided a  
271 general explanation of this figure. As T is a major component of ET in the daytime in non-arid



272 region, the slope is generally negative. When E dominates ET in an ecosystem, such as in the  
273 nighttime in non-arid region or in arid region, the slope should be positive. Mathematically,  
274 negative slope is due to  $\delta_{ET} < \delta_a$  and positive slope is due to  $\delta_{ET} > \delta_a$ . It also reflected that IVT  
275 method could only be used in non-arid ecosystems. Yamanaka and Shimizu (2007) used the  
276 assumption that  $\delta_a$  of an area of 219.9 km<sup>2</sup> was represented by the intersection point of two  
277 Keeling plot lines in different sites with synchronous measurements and they used the  
278 intersection value as an approximate value of  $\delta_a$ . This study was conducted in a maize field  
279 using 30-min interval measurements, the results indicate that accurate  $\delta_{a(IP)}$  could be estimated  
280 from the intersection of two Keeling plots regardless the slope being positive or negative, while  
281 the  $\delta_{a(IVT)}$  should be restricted in the area between two dotted lines as shown in **Fig. 5b** (i.e.,  
282 between the minimum value of  $\delta_v$  in positive slope and the maximum value of  $\delta_v$  in negative  
283 slope).

284 While this study is about water vapor <sup>18</sup>O, the “Keeling plot” was first used by Keeling  
285 (1958, 1961) to interpret carbon isotope ratios of mixed CO<sub>2</sub> and to identify the sources that  
286 contribute to increases in atmospheric CO<sub>2</sub> concentrations on a regional basis. Compared with  
287 ET in water vapor which is consisted of E and T, net ecosystem CO<sub>2</sub> exchange (NEE) is  
288 comprised of soil respiration (R) and gross primary productivity (GPP). As <sup>13</sup>CO<sub>2</sub> isotopic  
289 Keeling plot reveals a positive slope during both daytime and nighttime (Yakir and Wang, 1996;  
290 Unger et al., 2010), the IVT method may not be able to estimate ambient <sup>13</sup>CO<sub>2</sub> isotopic  
291 composition ( $\delta_a^{13}C$ ) since there are no opposite slopes in a day. In such case, the IP method may  
292 be implemented in two continuous moments to estimate  $\delta_a^{13}C$  and may consequently further  
293 calculate the contribution of NEE to atmospheric CO<sub>2</sub>.



## 294 5. Conclusions

295 In this study, we established two methods to quantify  $\delta_a$  using intersection point method  
296 and the Intermediate Value Theorem method. The IVT method was used under the condition of  
297 opposite slope of Keeling plots in two continuously moments. The results of estimated  $\delta_{a(IP)}$  and  
298  $\delta_{a(IVT)}$  were consistent with the expectation whether it was local origin or regional origin using  
299 external vapor tracking investigation by HYSPLIT model. The linear regression between  $\delta_{a(IP)}$   
300 and  $\delta_{a(IVT)}$  was highly ( $R^2=0.98$ ,  $p < 0.01$ ) significant.

301 This study provided insights into the traditional Keeling plot and provided two methods  
302 to estimate  $\delta_a$  using the same instrumental setup for the traditional Keeling plot investigations.  
303 The results shown an evidence that  $\delta_a$  was constant in a certain moment among different heights,  
304 a key assumption of Keeling plot approach. The estimated  $\delta_a$  will make it possible to calculate  
305 the ET contribution to regional vapor at a 30 min interval at field scale. The results indicate that  
306 using similar framework,  $\delta_a^{13C}$  is also solvable by the IP method.

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314 method.

## 315 7. Code and Data availability



316 Code and data are available on request.

#### 317 **8. Author contribution**

318 YY, TD and LW conceptualized the main research questions. YY collected data and  
319 performed the data analyses. YY and LW wrote the first draft. HW contributed to  
320 additional data analyses. All the authors contributed ideas and edited the manuscript.

#### 321 **9. Competing interests**

322 There authors declare no competing interests.

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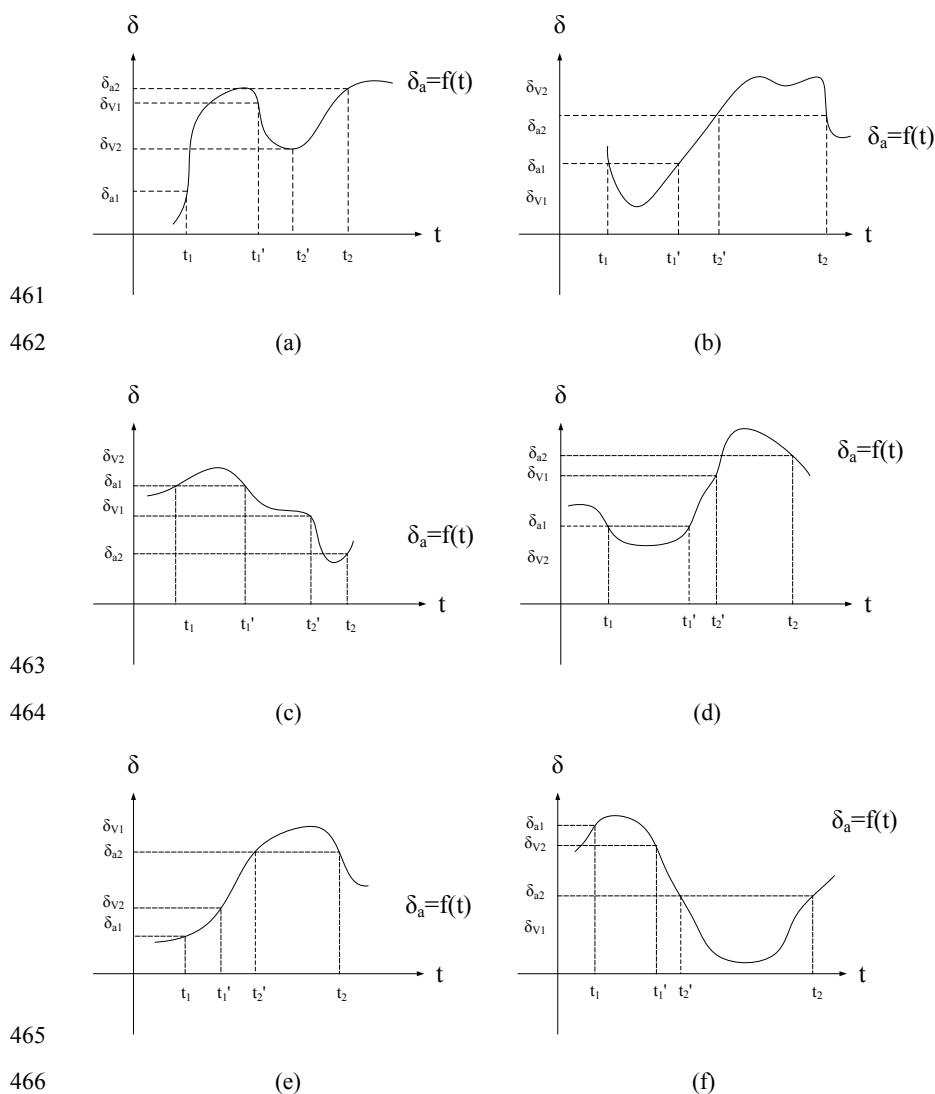


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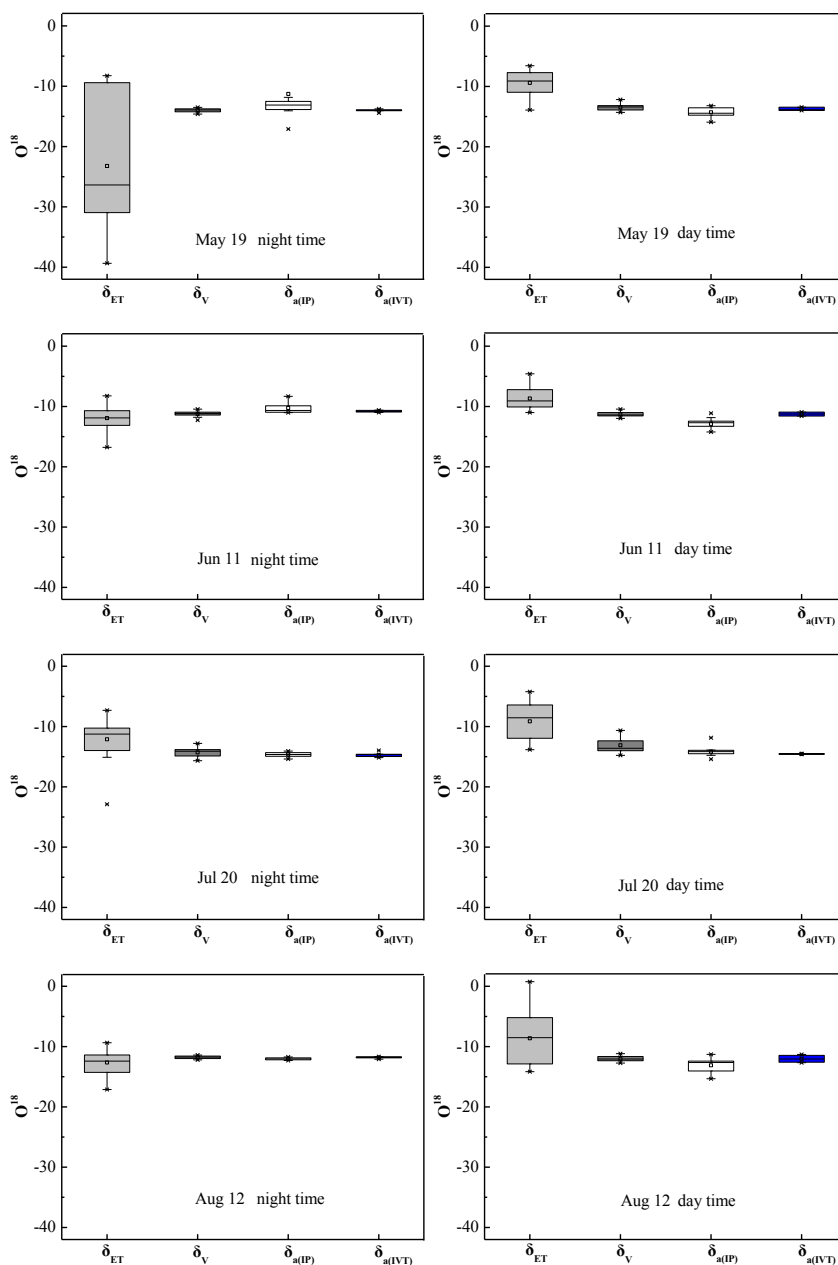


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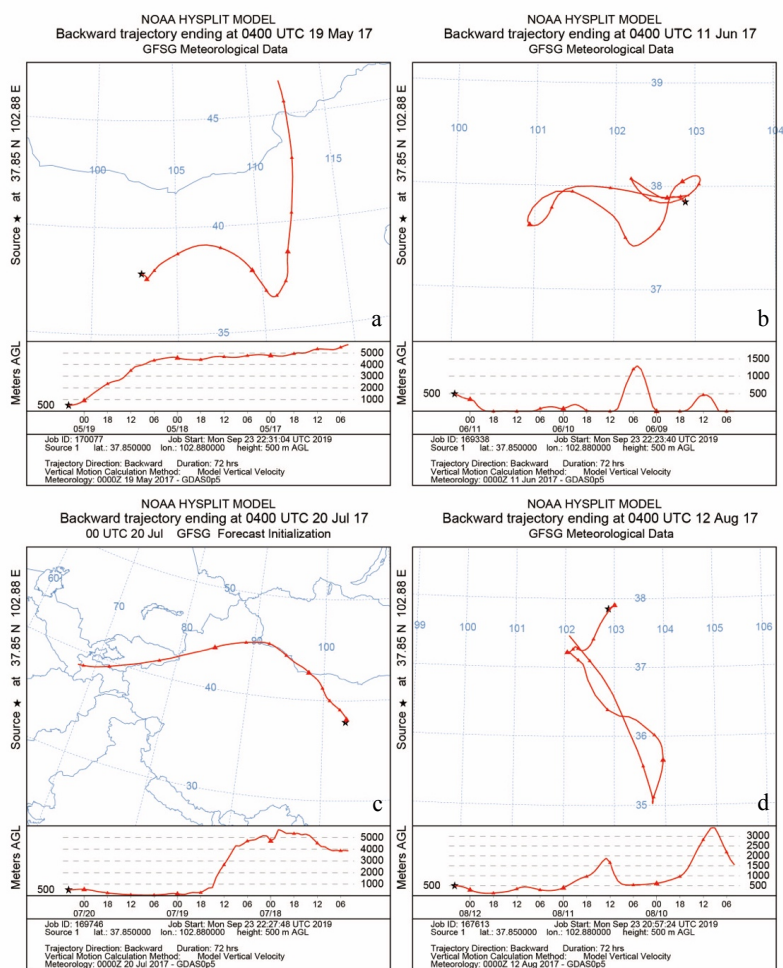


467 Fig. 1 Theoretical diagrams of all possible combinations of the relationships between isotope  
 468 composition of ambient vapor ( $\delta_a$ ) and observed isotope composition of atmospheric vapor ( $\delta_v$ )  
 469 of two continuous moments  $t_1$  and  $t_2$ , ( $t_1 < t_2$ ).  $\delta_{a1}$  and  $\delta_{a2}$  represent  $\delta_a$  value in  $t_1$  and  $t_2$ ,  
 470 respectively.  $\delta_{v1}$  and  $\delta_{v2}$  represent  $\delta_v$  value in  $t_1$  and  $t_2$ , respectively.  $t_1'$  and  $t_2'$  represent the time  
 471 of two specific moments between  $t_1$  and  $t_2$  with  $t_1 < t_1' < t_2' < t_2$ . For all of the six situations,  
 472 there exists some sub-intervals  $[t_1', t_2'] \subset [t_1, t_2]$  such that the whole range of  $\{\delta_a(t): t \in$   
 473  $[t_1', t_2']\}$  is within  $[\min(\delta_{v1}, \delta_{v2}), \max(\delta_{v1}, \delta_{v2})]$ .  
 474

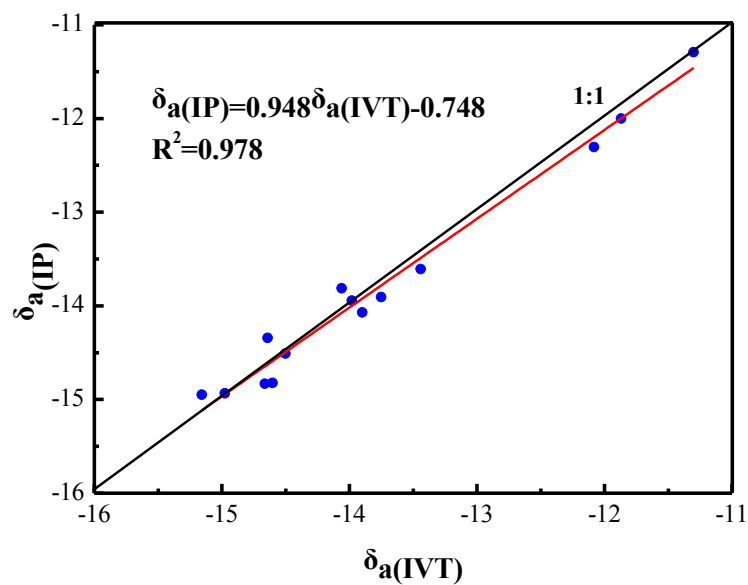


475

476 Fig. 2 The average values of the isotope composition of evapotranspiration vapor ( $\delta_{ET}$ ), the  
477 isotope composition of atmospheric vapor ( $\delta_v$ ), the estimated isotope composition of ambient  
478 vapor using the intersection point method ( $\delta_{a(IP)}$ ) and the Intermediate Value Theorem method  
479 ( $\delta_{a(IVT)}$ ) in daytime (7:00 am-7:00 pm) and nighttime (7:00 pm-7:00 am), respectively on May  
480 19<sup>th</sup>, June 11<sup>th</sup>, July 20<sup>th</sup>, and August 12<sup>th</sup>.



481  
482 Fig. 3 Hybrid Single Particle Lagrangian Integrated Trajectory (HYSPLIT) backward  
483 Trajectory on 19<sup>th</sup> May (a), 11<sup>th</sup> June (b), 20<sup>th</sup> July (c) and 12<sup>th</sup> August 2019 (d), respectively,  
484 which were initialized at 12:00 pm and were calculated backward for 72 hours.



485  
486 Fig. 4 Linear regression between the estimated isotope composition of ambient vapor using the  
487 intersection point method ( $\delta_{a(\text{IP})}$ ) and the Intermediate Value Theorem method ( $\delta_{a(\text{IVT})}$ ) for all  
488 the observation periods meeting the criteria of each method.

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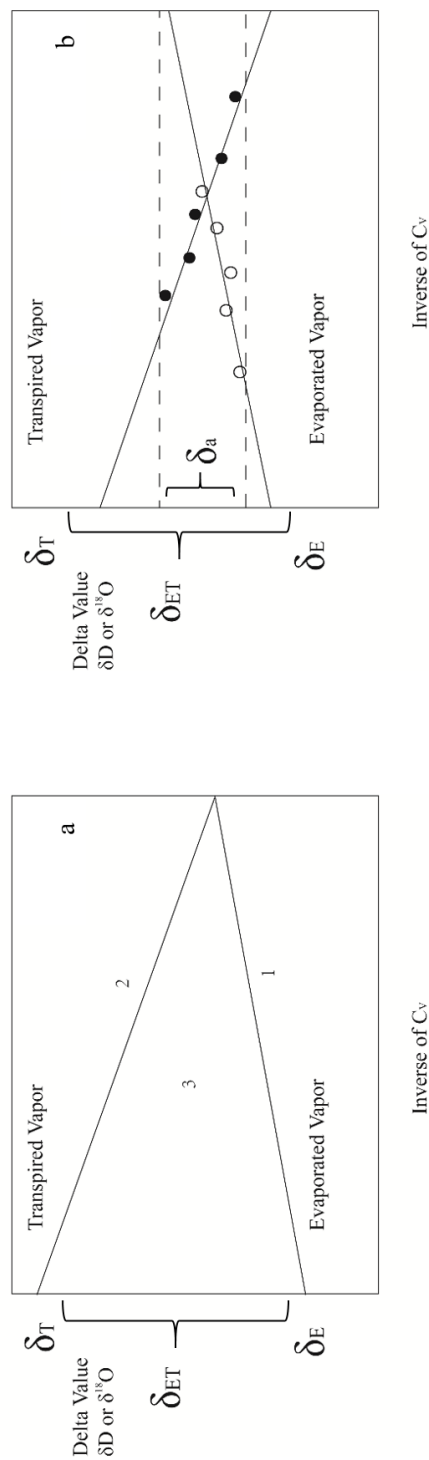


Fig. 5 Hypothetical graph of the idealized Keeling plot curve of the isotope composition of evaporation vapor ( $\delta_E$ ) curve (line 1), the isotope composition of transpiration vapor ( $\delta_T$ ) curve (line 2) and the isotope composition of evapotranspiration vapor ( $\delta_{ET}$ ) curve (area 3) (a), and hypothetical graph of idealized  $\delta_E$ ,  $\delta_T$  lines and the interval of possible the isotope composition of ambient vapor ( $\delta_a$ ) in the Keeling plots (b).