

1 **Novel Keeling plot based methods to estimate the isotopic composition of ambient water**  
2 **vapor**

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21 **Highlights:**

- 22 1. Two new methods were developed to estimate the isotopic composition of ambient  
23 vapor.
- 24 2. Theoretical derivations were provided for these two methods.
- 25 3. Linear regression showed strong agreement between the two methods.
- 26 4. The methods provide a possibility to calculate the proportion of evapotranspiration  
27 fluxes to total atmospheric vapor using the same instrumental setup for the traditional  
28 Keeling plot investigations.

29

30 **Abstract**

31 Keeling plot approach, a general method to identify the isotopic composition of source  
32 atmospheric CO<sub>2</sub> and water vapor (i.e., evapotranspiration), has been widely used in terrestrial  
33 ecosystems. The isotopic composition of ambient water vapor ( $\delta_a$ ), an important source of  
34 atmospheric water vapor, is not able to be estimated to date using the Keeling plot approach.  
35 Here we proposed two new methods to estimate  $\delta_a$  using the Keeling plots: one using  
36 intersection point method and another relying on the Intermediate Value Theorem. As actual  $\delta_a$   
37 value was difficult to measure directly, we used two indirect approaches to validate our new  
38 methods. First, we made an external vapor tracking using Hybrid Single Particle Lagrangian  
39 Integrated Trajectory (HYSPLIT) model to facilitate explaining the variation of  $\delta_a$ . The  
40 trajectory vapor origin results were consistent with the expectations of the  $\delta_a$  values estimated  
41 by these two methods. Second, regression analysis was used to evaluate the relationship  
42 between  $\delta_a$  values estimated from these two independent methods and they are in strong  
43 agreement. This study provides an analytical framework to estimate  $\delta_a$  using existing facilities,  
44 and provides important insights into the traditional Keeling plot approach by showing: a) a  
45 possibility to calculate the proportion of evapotranspiration fluxes to total atmospheric vapor  
46 using the same instrumental setup for the traditional Keeling plot investigations, and b)  
47 perspectives on estimation of isotope composition of ambient CO<sub>2</sub> ( $\delta_a^{13C}$ ).

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49 **Key words:** HYSPLIT, intersection point, Intermediate Value Theorem, Keeling plot, stable  
50 isotope

51           **1. Introduction**

52           Stable isotopes of hydrogen and oxygen ( $^1\text{H}^2\text{HO}$  and  $\text{H}_2^{18}\text{O}$ ) have been widely used in  
53 root water uptake source identification (Corneo et al., 2018, Mahindawansa et al., 2018,  
54 Lanning et al., 2020) and evapotranspiration (ET) partitioning (Brunel et al., 1997; Wang et al.,  
55 2010; Cui et al., 2020) in terrestrial ecosystems based on Craig-Gordon model (Craig and  
56 Gordon, 1965), isotope mass balance and mechanisms of isotopic fractionation (Majoube, 1971;  
57 Merlivat and Jouzel, 1979). With the advent of laser isotope spectrometry capable of high  
58 frequency (1 Hz) measurements of the isotopic composition of atmospheric water vapor ( $\delta_v$ )  
59 and atmospheric water vapor content ( $C_v$ ) (Kerstel and Gianfrani, 2008; Wang et al., 2009), the  
60 number of studies based on high frequency ground-level isotope measurements was  
61 continuously increasing. These studies generate new insights into the processes that affect  $\delta_v$ ,  
62 including meteorological factors (Galewsky et al., 2011; Steen-Larsen et al., 2013), biotic  
63 factors (Wang et al., 2010) and multiple factors (Parkes et al., 2016). Such increase in  $\delta_v$   
64 measurements allows an isotope-enabled global circulation models (Iso-GCMs) to estimate the  
65 variation of water vapor isotope parameters at a global scale (Werner et al., 2011).  
66 Concomitantly, more than  $\delta_v$ , several new methods using high frequency ground-level isotope  
67 measurements were devised to directly estimate the isotopic composition of leaf water (Song  
68 et al., 2015) and leaf transpired vapor (Wang et al., 2012).

69           Evapotranspiration is a crucial component of water budget across scales such as field  
70 (Wagle et al., 2020), watershed (Zhang et al., 2001), regional (Hobbins et al., 2001) and global  
71 (Jung et al., 2010) scales. The water isotopic composition of ET ( $\delta_{\text{ET}}$ ) was generally estimated  
72 by Keeling plot approach (Keeling, 1958). It was first used to explain carbon isotope ratios of

73 atmosphere CO<sub>2</sub> and to identify the sources that contribute to increases in atmospheric CO<sub>2</sub>  
74 concentration, and has been further used to estimate  $\delta_{ET}$  in recent two decades (Yakir and  
75 Sternberg, 2000). Keeling plot analyses can be applied using  $\delta_v$  and  $C_v$  output by laser based  
76 analyzer either from different heights (Yepez et al., 2003; Zhang et al., 2011; Good et al., 2012)  
77 or at one height with continuous observations (Wei et al., 2015; Keppler et al., 2016). Although  
78 the intercept of the linear regression line was commonly used as estimated  $\delta_{ET}$ , the slope of the  
79 Keeling plot was also used to estimate  $\delta_{ET}$  by re-arranging the Keeling plot equations (Miller  
80 and Tans, 2003; Fiorella et al., 2018). Keeling plot approach was based on isotope mass balance  
81 and two-source assumption using two equations with three unknowns. As a result, the isotopic  
82 composition of other potential sources (e.g., water vapor not from ET), as well as isotopic  
83 composition of ambient water vapor ( $\delta_a$ ), were not able to be estimated directly using the  
84 Keeling plot approach. That is one of the reasons why field scale moisture recycling is difficult  
85 to estimate to date.

86 In this study, we proposed two new methods to estimate  $\delta_a$ , one based on the intersection  
87 of two Keeling plots of two continuous observation moments and the other based on the  
88 Intermediate Value Theorem. Proposition and proof were provided, and the new methods were  
89 tested using field observations. As direct observations of  $\delta_a$  rarely exist (Griffis et al., 2016),  
90 we tested our methods by (a) making an external water vapor tracking investigation according  
91 to HYSPLIT model to explain the variation of estimated  $\delta_a$ , and (b) making a regression analysis  
92 on daily scale and point to point scale using  $\delta_a$  estimated by these two independent methods.

93 **2. Materials and Methods**

94 **2.1 Theory**

95 The atmospheric vapor concentration in an ecosystem reflects the combination of  
96 ambient vapor that is already exist in the atmosphere and the vapor that is added through  
97 evaporation (E) and transpiration (T) (Yakir and Sternberg, 2000). Keeling plot approach is  
98 based on the combination of a bulk water mass balance equation and an isotope mass balance  
99 equation:

100  $C_v = C_a + C_{ET}$  , (1)

101  $C_v \delta_v = C_a \delta_a + C_{ET} \delta_{ET}$  , (2)

102 where  $\delta_a$ ,  $\delta_{ET}$  and  $\delta_v$  are isotope composition of ambient water vapor, ET, and atmospheric water  
103 vapor, respectively, and  $C_a$ ,  $C_{ET}$  and  $C_v$  are the corresponding concentrations of water vapor.  
104 Note that all quantities here are time dependent, and  $\delta_v$  and  $C_v$  also depend on heights.

105 Combining Eq. (1) and Eq. (2), we have the following traditional linear Keeling plot  
106 relationship between  $\delta_v$  and  $1/C_v$  with intercept  $\delta_{ET}$  and slope  $C_a(\delta_a - \delta_{ET})$ ,

107  $\delta_v = C_a(\delta_a - \delta_{ET})/C_v + \delta_{ET}$  . (3)

108 For a given time, with various measurements of  $\delta_v$  and  $C_v$  collected at different heights,  
109 we are able to estimate the intercept  $\delta_{ET}$  and slope  $k = C_a(\delta_a - \delta_{ET})$  for this moment from  
110 regression analysis (Zhang et al., 2011; Wang et al., 2013). Here we focus on the estimation of  
111  $\delta_a$  using two new methods proposed below.

112 **Intersection point (IP) method.** Note that for two nearby time points  $t_1$  and  $t_2$ , we could  
113 use local constant approximation to estimate  $\delta_a$  within this time interval since it remains  
114 relatively constant over a short period of time. By assuming local constant for  $C_a$  and  $\delta_a$  within

115 this time interval, we have

$$116 \quad k_1 = C_a(\delta_a - \delta_{ET_1}) \quad , \quad (4)$$

$$117 \quad k_2 = C_a(\delta_a - \delta_{ET_2}) \quad , \quad (5)$$

118 where  $k_i$  and  $\delta_{ET_i}$  represent the value at  $t_i$  for  $i=1, 2$ . From (4) and (5), we can solve  $\delta_a$  as:

$$119 \quad \delta_a = \frac{k_1\delta_{ET_2} - k_2\delta_{ET_1}}{k_1 - k_2} \quad . \quad (6)$$

120 The local constant approximation idea was first described in [Yamanaka and Shimizu \(2007\)](#) as  
 121 an assumption to quantify the contribution of local ET to total atmospheric vapor.

122 **Intermediate Value Theorem (IVT) method.** Denote the slope as  $k = C_a(\delta_a - \delta_{ET})$ .

123 Since  $C_a < C_v = C_a + C_{ET}$ , we have  $C_a = \frac{k}{(\delta_a - \delta_{ET})} < C_v$ . We can rearrange  $\frac{k}{(\delta_a - \delta_{ET})} < C_v$

124 to attain  $\delta_a$ :  $\delta_a < \frac{k}{C_v} + \delta_{ET} = \delta_v$  when  $k < 0$ , and  $\delta_a > \frac{k}{C_v} + \delta_{ET} = \delta_v$  when  $k > 0$ .

125 For the smooth function  $\delta_a(t)$  defined on the interval  $[t_1, t_2]$  with the two time points  
 126 satisfying  $k(t_1)k(t_2) < 0$ , depending on the sign of the slopes  $k(t_1)$  and  $k(t_2)$  and the order  
 127 of  $\delta_{v_1} = \delta_v(t_1)$  and  $\delta_{v_2} = \delta_v(t_2)$  at the two time points  $t_1$  and  $t_2$ , it will correspond to one  
 128 of the situations in **Fig. 1**. For all of the situations, by the Intermediate Value Theorem, there  
 129 exists a sub-interval  $[t_1', t_2'] \subset [t_1, t_2]$  such that the whole range of  $\{\delta_a(t): t \in [t_1', t_2']\}$  is  
 130 within  $[\min(\delta_{v_1}, \delta_{v_2}), \max(\delta_{v_1}, \delta_{v_2})]$ . Proof details of this proposition is shown in the  
 131 appendix. Thus for the two nearby time points  $t_1$  and  $t_2$  with  $k_1$  and  $k_2$  having different signs,  $\delta_a$   
 132 will be between  $\delta_{v_1}$  and  $\delta_{v_2}$ . This provides a prerequisite for estimating the parameter of  
 133 interest  $\delta_a$  based on Intermediate Value Theorem, which leads to approximation of  $\delta_a$  within the  
 134 time interval between  $t_1$  and  $t_2$  using  $\delta_{v_1}$  and  $\delta_{v_2}$ :

$$135 \quad \delta_a \approx \frac{\delta_{v_1} + \delta_{v_2}}{2} \quad . \quad (7)$$

136 Using this method, we are able to compute  $\delta_a$  using data points when the slopes of

137 Keeling plots change signs between two adjacent time points.

## 138 2.2 Field observations

### 139 2.2.1 Study site

140 A field measurement was conducted over a maize field (39 ha) from 1<sup>st</sup> May 2017 to 30<sup>st</sup>  
141 September 2017 at Shiyanghe Experimental Station of China Agricultural University, located  
142 in Wuwei of Gansu Province, northwest China (37°85'N, 102°88'E; altitude 1581m). The  
143 region belongs to temperate continental climate and is in the oasis within the Shiyang river  
144 basin. The annual mean temperature of the study area is about 8.8°C with pan evaporation of  
145 2000 mm, annual precipitation of 164.4 mm, mean sunshine duration of 3000 h, and frost-free  
146 period of more than 150 d. The local crops are irrigated using groundwater with electrical  
147 conductivity of 0.62 dSm<sup>-1</sup>. The groundwater table is 30-40 m below the surface. Maize was  
148 sowed on April and harvested on September 2017, with row spacing of 40 cm and plant spacing  
149 of 23 cm. The maize growing stage was divided into seedling stage (April 21<sup>st</sup> –May 20<sup>th</sup>),  
150 jointing stage (May 21<sup>st</sup>-July 10<sup>th</sup>), heading period (July 11<sup>th</sup>-July 31<sup>st</sup>), pustulation period  
151 (August 1<sup>st</sup>-August 31<sup>st</sup>) and mature period (September 1<sup>st</sup>-September 20<sup>th</sup>).

### 152 2.2.2 Instrument setup and measurement design

153 A 24-meter flux tower, located in the middle of maize field, was used to measure ET flux  
154 and isotopic composition of water vapor at different heights. The field is approximately 600 m  
155 long and 240 m wide, with a 10% slope decreasing from southwest to northeast. Five gas traps  
156 were installed on the flux tower at heights of 4 m, 8 m, 12 m, 16 m and 20 m, respectively. An  
157 iron pillar was placed 20 m away from the flux tower. Three gas traps were installed on the iron  
158 pillar, one was close to the canopy, and the other two were 2 m and 3 m above the ground.

159 Canopy gas trap was adjusted weekly according to the height of maize.

160 *In situ*  $\delta_v$  and  $C_v$  collected by the eight gas traps were monitored by a water vapor isotope  
161 analyzer (L2130-i, Picarro Inc., Sunnyvale, CA, USA), which was a wavelength scanned cavity  
162 ring down spectroscopy (WS-CRDS) instrument. Vapor specifications include a measurement  
163 range from 1000 to 50000 ppm, the precision is 0.040‰ to 0.25‰ for  $\delta^{18}\text{O}$  (Zhao et al., 2019).  
164 Interfacing with the gas trap and the isotope analyzer, teflon tube was wrapped by thermal  
165 insulation cotton to avoid vapor condensation during transmission. The measurement of  $\delta_v$  and  
166  $C_v$  were conducted from May to September, which should have 153 days of data. Forty-nine  
167 days among them were complete with 24-hour continuous datasets. There were missing data  
168 for either a whole day or several hours of a day for other days due to the maintenance of the  
169 analyzer. These 49 days was chosen in our study for data analysis.

### 170 2.2.3 Calibration of $\delta_v$ and $C_v$

171 Our calibration procedure mainly followed the study by Steen-Larsen et al. (2013) with  
172 some modifications to fit our specific experimental setup. The water vapor from eight inlets  
173 were sampled continuously over a 24-hour-period. Since only one analyzer was used to measure  
174 the  $\delta_v$  and  $C_v$ , the values of eight sampling inlets were recorded in turn every 225s in a 30 mins  
175 cycle. The switch procedure was automatic. As the analyzer makes a measurement every 0.9-  
176 1s, approximately 259-264 values for each inlet was recorded within the cycle. For each 225s  
177 measurement period, No. 195 to No. 253 data points were used to avoid memory issue and  
178 influence of transient pressure variation. The absolute value of coefficient of variations ( $|CV|$ )  
179 of  $\delta_v$  and  $C_v$  were no more than 0.016 and 0.002, respectively, which was far below the critical  
180 value of 15% (Lovie, 2005). The mean value of the selected data points was regarded as the



181 measured  $\delta_v$  and  $C_v$  in a specific inlet. Measured  $C_v$  was used directly as actual  $C_v$ , while  
182 measured  $\delta_v$  was calibrated to minimize the influence of isotopic concentration dependence.  
183 The  $C_v$  in our measurement ranged from 5386 ppm to 30255 ppm. Thus,  $C_v$  gradients of 10000  
184 ppm, 20000 ppm and 30000 ppm were selected as calibration concentrations to improve the  
185 precision of  $\delta_v$ .

### 186 2.3 Data quality control for $\delta_a$ estimation

187 With a 30-min interval for 49 days, we should in theory produce 2352  $\delta_a$  values for both  
188 IP method and IVT method. However, because of the precondition of  $k_1k_2 < 0$  required for the  
189 IVT method, 166  $\delta_a$  values was able to be calculated using the IVT method ( $\delta_{a(IVT)}$ ).  $\delta_a$  values  
190 using the IP method ( $\delta_{a(IP)}$ ) was not restricted by this precondition. Furthermore, a filter  
191 ( $\delta_{ET} < \delta_v < \delta_a$  or  $\delta_{ET} > \delta_v > \delta_a$ ) was used for both methods because  $\delta_v$  was a mixture of  $\delta_{ET}$  and  $\delta_a$ .  
192 Therefore,  $\delta_a$  values that meet both precondition  $k_1k_2 < 0$  and the condition of  $\delta_{ET} < \delta_v < \delta_a$  or  
193  $\delta_{ET} > \delta_v > \delta_a$  were considered satisfying the criteria for the IVT method;  $\delta_a$  values that meet the  
194 condition of  $\delta_{ET} < \delta_v < \delta_a$  or  $\delta_{ET} > \delta_v > \delta_a$  were considered satisfying the criteria for the IP method.  
195 In the end, we obtained 1264 and 103  $\delta_a$  values using IP and IVT methods, respectively (**Table**  
196 **1**). Eighty eight time points were overlapped between the  $\delta_{a(IP)}$  and  $\delta_{a(IVT)}$  based  $\delta_a$  results. These  
197 88 time points were selected to test the reliability of two methods at point to point scale. During  
198 the 49 days, there were 21 days when more than one  $\delta_{a(IVT)}$  was attained for each day. These 21  
199 days was also used to investigate the time series of daily scale  $\delta_a$  variations and other isotopic  
200 variations. Further analysis in section 2.4 in the following was made on these 21 days.

### 201 2.4 Explanations of $\delta_a$ using backward trajectories

202 To explain the variations of estimated  $\delta_a$ , air mass backward trajectories were calculated

203 using the Hybrid Single Particle Lagrangian Integrated Trajectory (HYSPLIT) model (Draxler  
204 and Hess, 1997; Draxler, 2003; Stein et al., 2015; Kaseke et al., 2018) and meteorological data  
205 from the Global Data Assimilation System 0.5 Degree (GDAS0p5) with  $0.5^{\circ} \times 0.5^{\circ}$  spatial  
206 resolution and 3-hour time resolution for the 21 days mentioned in section 2.3. Five hundred  
207 meters height was selected in the modeling. Each backward trajectory was initialized from the  
208 station ( $37^{\circ}85'N$ ,  $102^{\circ}88'E$ ) at 12:00 pm (local time), and calculated backward for 72 hours.  
209 Eighteen trajectories were computed, except for June 21<sup>st</sup>, August 18<sup>th</sup> and September 29<sup>th</sup> when  
210 vertical velocity data were missing. Finally, we used these 18 trajectories represented the vapor  
211 origin in the corresponding 18 days.

## 212 3. Results

### 213 3.1 Time series variations of $\delta_{ET}$ , $\delta_v$ , $\delta_a$ and $k$

214 Time series of isotopic variations were shown in **Fig. 2**. The  $\delta_v$  here is the average value  
215 of eight heights. The average  $\delta_{ET}$ ,  $\delta_v$ ,  $\delta_{a(IP)}$  and  $\delta_{a(IVT)}$  were -11.04‰, -13.00‰, -13.60‰ and -  
216 13.29‰, respectively in those 21 days when more than one  $\delta_{a(IVT)}$  was attained for each day.  
217 Daytime (7:00am-7:00pm) average  $\delta_{ET}$ ,  $\delta_v$ ,  $\delta_{a(IP)}$  and  $\delta_{a(IVT)}$  were -10.73‰, -13.33‰, -14.08‰  
218 and -13.63‰, respectively. While at nighttime (7:00pm-7:00am the next day), average  $\delta_{ET}$  was  
219 lower than that at daytime, which was on the contrary with  $\delta_v$ ,  $\delta_{a(IP)}$  and  $\delta_{a(IVT)}$ . The trend of  $\delta_{a(IP)}$   
220 and  $\delta_{a(IVT)}$  were similar to  $\delta_v$ . In majority of circumstances,  $\delta_{ET}$  is the largest of those four  
221 isotopic parameters, except on May 19<sup>th</sup>, June 4<sup>th</sup> and June 9<sup>th</sup>. About 76% of  $k$  values were  
222 negative, and most positive  $k$  values occurred at nighttime (60%). The percentage of positive  $k$   
223 values were 33%, 34%, 24%, 34% and 10% in May, June, July, August and September,  
224 respectively. Standard deviation were used here to evaluate the constancy among isotopic

225 parameters at daily scale. The standard deviation of  $\delta_{ET}$ ,  $\delta_v$ ,  $\delta_{a(IP)}$  and  $\delta_{a(IVT)}$  were 6.08‰, 0.91‰,  
226 1.38‰ and 0.59‰, respectively. Therefore, the constancy of  $\delta_a$  was similar to the constancy of  
227  $\delta_v$  at daily scale.

### 228 3.2 Daily variations of HYSPLIT backward trajectories and $\delta_a$ using two methods

229 The 500 m height water vapor backward trajectories revealed that water vapor was from  
230 outside the study regions for ten days (**Fig. 3a**), and water vapor was from local ET for eight  
231 days (**Fig. 3b**).

232 As for the IP method, 53.7% of  $\delta_{a(IP)}$  values met the criteria, and 49.4% of  $\delta_{a(IP)}$  values  
233 meeting the criteria were during the daytime (7:00am-7:00pm). The range of  $\delta_{a(IP)}$  values  
234 meeting the criteria were between -16.79‰ and -12.95‰ for the ten days with external origins  
235 (**Fig. 3a**). The range of  $\delta_{a(IP)}$  values meeting the criteria were between -12.77‰ and -9.51‰  
236 for the eight days with local origins (**Fig. 3b**).

237 As for the IVT method, only 4.4% of  $\delta_a$  values met the criteria, and 35.9% of  $\delta_a$  values  
238 meeting the criteria were during the daytime (7:00am-7:00pm). The range of  $\delta_{a(IVT)}$  values  
239 meeting the criteria were between -16.31‰ and -13.93‰ for the ten days with external origins  
240 (**Fig. 3a**). The range of  $\delta_{a(IVT)}$  values meeting the criteria were between -12.67‰ and -9.12‰  
241 for the eight days with local origins (**Fig. 3b**).

### 242 3.3 Linear regression between $\delta_{a(IP)}$ and $\delta_{a(IVT)}$

243 Method comparison was made at both daily scale (**Fig. 4a**) and point to point scale (**Fig.**  
244 **4b**). The 21 days (see method section 2.3) in **Fig. 3a** and **Fig. 3b** were selected to figure out the  
245 daily scale relationship between  $\delta_{a(IP)}$  and  $\delta_{a(IVT)}$ . Point to point scale data was based on the 88  
246 point of overlapped  $\delta_{a(IP)}$  and  $\delta_{a(IVT)}$  (see method section 2.3) among all 49 days, which

247 accounted for 7.0% of  $\delta_a$  values using IP method and 85.4% of  $\delta_a$  values using IVT method.  
248 Linear regression between  $\delta_{a(IP)}$  and  $\delta_{a(IVT)}$  was significant at both daily scale and point to point  
249 scale. The degree of agreement was less for the daily time scale than point to point scale and  
250 the RMES between these two methods at daily scale and point to point scale were 0.618‰ and  
251 0.167‰, respectively.

## 252 4. Discussion

### 253 4.1 The reliability of $\delta_a$ estimating methods

254 The IP method was based on the assumption that the ambient sources were the same  
255 between two continuous observation moments. This is a reasonable assumption for short time  
256 intervals. For the IVT method,  $\delta_a$  was derived from  $\delta_v$  in two continuous moments when their  
257 Keeling plot slopes were opposite. The opposite slopes of the Keeling plots were the only  
258 requirement. As  $\delta_v$  was almost constant in two continuously moments,  $\delta_{a(IVT)}$  was able to be  
259 constrained into a small range. The derivation was supported by the  
260 Intermediate Value Theorem. Therefore, both methods of estimating  $\delta_a$  were theoretically  
261 sound.

262 The  $\delta_a$  results were also examined by HYSPLIT backward trajectories to identify the  
263 different sources of water vapor, which assesses the reliability of both methods indirectly. Based  
264 on the trajectory analysis, water vapor in the study area came from westerlies, northern polar  
265 region and local recirculation. Water vapor from southwest monsoon and northwest Pacific  
266 were not detected in this study. Based on the isotope variation of meteoric water (Fricke et al.,  
267 1999), water vapor from westerlies and northern polar was more  $^{18}\text{O}$  depleted than local  
268 recycled moisture through ET. It was also reported that the water vapor from outside the study

269 regions will lower  $\delta_v$  values (Ma et al., 2014; Chen et al., 2015). The calculated  $\delta_a$  values of the  
 270 ten days with external sources (**Fig. 3a**) based on the IP method and IVT approach were higher  
 271 than those of eight days with local origin (**Fig 3b**), which was consistent with our expectation.  
 272 The results indicate that quantifying  $\delta_a$  using both the IP method and IVT approach was reliable.  
 273 The reliability of two methods at point to point scale were also supported by the close  
 274 relationship of  $\delta_a$  using these two independent methods. Daily time scale result is less reliable  
 275 than point to point scale.

#### 276 4.2 The application of $\delta_a$ for moisture recycling

277 When  $\delta_a$  was estimated, moisture recycling (e.g.,  $f_{ET}$ , the contribution of ET fluxes to the  
 278 total water vapor) can be estimated using the following equations with known  $\delta_a$ ,  $\delta_{ET}$ ,  $\delta_v$ ,  $C_{ET}$   
 279 and  $C_v$ :

$$280 \quad C_{ET} = C_v \cdot \frac{\delta_a - \delta_v}{\delta_a - \delta_{ET}} \quad , \quad (8)$$

$$281 \quad f_{ET} = \frac{C_{ET}}{C_v} \quad , \quad (9)$$

282 According to Eq. (8) and Eq. (9),  $f_{ET}$  was only related to  $\delta_a$ ,  $\delta_v$ , and  $\delta_{ET}$ . These three  
 283 parameters were obtained for relatively small temporal and spatial scales in this study, making  
 284 it possible to estimate  $f_{ET}$  at a tower scale. The  $f_{ET}$  estimate will provide a baseline value for  
 285 rainfall recycling ratio calculations. Previous studies quantified the contribution of recycled  
 286 vapor to annual or monthly precipitation in river basins using two-element mixture model (Kong  
 287 et al., 2013) and three-element mixture (Peng et al., 2011). At the watershed scale, recycled  
 288 vapor rate refers to the contributions of moisture from terrestrial ET to annual or monthly  
 289 precipitation (Trenberth, 1999). It is a key part of local water cycle and the atmospheric water  
 290 vapor balance (Seneviratne et al., 2006; Aemisegger et al., 2014). In our study, the role of  $f_{ET}$

291 to regional vapor is similar to the role of recycled vapor rate to annual or monthly precipitation,  
292 but  $f_{ET}$  was calculated with fine temporal (e.g., hourly) and spatial (i.e., field scale) scales. At  
293 the watershed scale, assumption was made that no isotopic fractionation between transpiration  
294 and source water (Flanagan et al., 1991); advected vapor was assumed to be the precipitation  
295 vapor of the upwind station (Peng et al., 2011). However, the isotope composition of plant  
296 transpired vapor is variable in a day especially under non-steady-state conditions (Farquhar and  
297 Cernusak, 2005; Lai et al., 2008; Song et al., 2011). In addition, sometimes it is difficult to  
298 select an upwind station without precipitation events. In this study, a field site was selected to  
299 calculate the proportion of ET fluxes to total atmospheric vapor and  $f_{ET}$  was only related to  $\delta_a$ ,  
300  $\delta_v$ , and  $\delta_{ET}$  according to Eq. (8) and Eq. (9). This indicates that  $f_{ET}$  calculations is possible for  
301 small temporal and spatial scales after estimating  $\delta_a$  using the methods we proposed.

302 If we assumed that the parameter  $\delta_v$  in Eq. (8) is the average  $\delta_v$  value measured from all  
303 the eight heights.  $f_{ET}$  in this study was 23.3% and 12.7% in May and September 2017 based on  
304 daily  $\delta_{a(IP)}$  and daily  $\delta_{a(IVT)}$ , respectively. It was reported that recycled vapor rate in all Shiyang  
305 river basin, oasis region, mountain region and desert region were 23%, 28%, 17% and 15%,  
306 respectively (Li, et al., 2016; Zhu, et al., 2019). The  $f_{ET}$  based on daily  $\delta_{a(IP)}$  in our study was  
307 close to these earlier studies. The deviation of  $f_{ET}$  based on daily  $\delta_{a(IVT)}$  compared with previous  
308 studies may be because 64.1% of point to point  $\delta_{a(IVT)}$  was observed at nighttime. Normally, ET  
309 at nighttime is lower than that of daytime.  $f_{ET}$  may be underestimated using daily  $\delta_{a(IVT)}$ . It could  
310 also be inferred that  $f_{ET}$  estimation using Eq. (9) may be more reliable using daily  $\delta_{a(IP)}$  than  
311 daily  $\delta_{a(IVT)}$ .

312 4.3 Implications of  $\delta_a$

313 The signature of  $\delta_E$  and  $\delta_T$  was first introduced by a hypothetical graph shown on **Fig.**  
314 **5a** (Moreira et al., 1997). Line 1 and line 2 was idealized Keeling plot with pure T and pure E,  
315 and Line 3 was the Keeling plot with mixed T and E. The IVT method in this study provided a  
316 general explanation of this figure. As T is a major component of ET in the daytime in non-arid  
317 region (Wang et al., 2014), the slope is generally negative. When E dominates ET in an  
318 ecosystem, such as in the nighttime in non-arid region or in arid region, the slope should be  
319 positive. Mathematically, negative slope is due to  $\delta_{ET} < \delta_a$  and positive slope is due to  $\delta_{ET} > \delta_a$ .  
320 It also reflected that IVT method could only be used in non-arid ecosystems to ensure the  
321 appearance of transfer plus or minus in Keeling plots' slope. On the contrary, IP method may  
322 not be restricted by the type of ecosystems. Yamanaka and Shimizu (2007) used the assumption  
323 that  $\delta_a$  of an area of 219.9 km<sup>2</sup> was represented by the intersection point of two Keeling plot  
324 lines in different sites with synchronous measurements and they used the intersection value as  
325 an approximate value of  $\delta_a$ . This study was conducted in a maize field using 30-min interval  
326 measurements. The results verified Yamanaka and Shimizu's (2007) assumption in such spatial  
327 and temporal scale, and indicate that accurate  $\delta_{a(IP)}$  could be estimated from the intersection of  
328 two Keeling plots regardless the slope being positive or negative, while the  $\delta_{a(IVT)}$  should be  
329 restricted in the area between two dotted lines as shown in **Fig. 5b** (i.e., between the minimum  
330 value of  $\delta_v$  in positive slope and the maximum value of  $\delta_v$  in negative slope). Although IVT  
331 method relies on more stringent precondition for data filtering, this method requires a very  
332 simple expression, which only need two parameters to be measured according to Eq. (7).

333 While this study is about water vapor <sup>18</sup>O, the “Keeling plot” was first used by (Keeling,

334 1958, 1961) to interpret carbon isotope ratios of mixed CO<sub>2</sub> and to identify the sources that  
335 contribute to increases in atmospheric CO<sub>2</sub> concentrations on a regional basis. Compared with  
336 ET in water vapor which consists of E and T, net ecosystem CO<sub>2</sub> exchange is comprised of soil  
337 respiration (R) and gross primary productivity (GPP). As <sup>13</sup>CO<sub>2</sub> isotopic Keeling plot reveals a  
338 positive slope during both daytime and nighttime (Yakir and Wang, 1996; Unger et al., 2010),  
339 the IVT method may not be able to estimate ambient <sup>13</sup>CO<sub>2</sub> isotopic composition ( $\delta_a^{13}\text{C}$ ) since  
340 there are no opposite slopes in a day. In such case, the IP method may be implemented in two  
341 continuous moments to estimate  $\delta_a^{13}\text{C}$  and may consequently further calculate the contribution  
342 of NEE to atmospheric CO<sub>2</sub>.

## 343 5. Conclusions

344 In this study, we established two methods to quantify  $\delta_a$  using intersection point method  
345 and the Intermediate Value Theorem method. The IVT method was used under the condition of  
346 opposite slope of Keeling plots in two continuously moments. The results of estimated  $\delta_{a(\text{IP})}$  and  
347  $\delta_{a(\text{IVT})}$  were consistent with the expectation whether it was local origin or external origin using  
348 external vapor tracking investigation by HYSPLIT model. The linear regression between  $\delta_{a(\text{IP})}$   
349 and  $\delta_{a(\text{IVT})}$  was highly significant both on daily time scale and point to point scale.

350 This study provided insights into the underexplored traditional Keeling plots and  
351 provided two methods to estimate  $\delta_a$  using the same instrumental setup for the traditional  
352 Keeling plot investigations. The estimated  $\delta_a$  will make it possible to calculate the ET  
353 contribution to regional vapor at a 30 min interval at field scale. The results indicate that using  
354 similar framework,  $\delta_a^{13}\text{C}$  may also solvable by the IP method.



355        **6. Acknowledgements**

356        We acknowledge support from the National Natural Science Foundation of China  
357 (51725904, 51621061, 51861125103), the National Key Research Program  
358 (2016YFC0400207), the Discipline Innovative Engineering Plan (111 Program, B14002), and  
359 the President’s International Research Awards from Indiana University and the Division of  
360 Earth Sciences of National Science Foundation (EAR-1554894). We thank Dr. Qianning Liu  
361 from Jiangxi University of Finance and Economics and Dr. Zhengxiang Chen from Capital  
362 Normal University for checking the validity of the Intermediate Value Theorem method.

363        **7. Code and Data availability**

364        Code and data are available on request.

365        **8. Author contribution**

366        YY, TD and LW conceptualized the main research questions. YY collected data  
367 and performed the data analyses. YY and LW wrote the first draft. HW contributed to  
368 additional data analyses. All the authors contributed ideas and edited the manuscript.

369        **9. Competing interests**

370        There authors declare no competing interests.

371        **10. References**

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555

556 11. Appendix

557 **Proposition.** In the traditional linear Keeling plot system, denote  $\delta_a = f(t)$ ,  $\delta_v = g(t)$ ,  
558  $\delta_{ET} = h(t)$  and  $C_a = I(t) > 0$  as continuous functions of time. And for two definite  
559 moments  $t_1$  and  $t_2$  ( $t_1 < t_2$ ),  $\delta_{a_1} \neq \delta_{a_2} \neq \delta_{v_1} \neq \delta_{v_2} \neq \delta_{ET_1} \neq \delta_{ET_2}$ . The slopes of  
560 corresponding keeling plot curve are  $k_1 = C_{a_1}(\delta_{a_1} - \delta_{ET_1})$  and  $k_2 = C_{a_2}(\delta_{a_2} - \delta_{ET_2})$ ,  
561 respectively. Then we have that when  $k_1 k_2 < 0$ , there exists  $[t_1', t_2'] \subset [t_1, t_2]$ , such that  
562  $[\min(f(t_1'), f(t_2')), \max(f(t_1'), f(t_2'))] \subset [\min(\delta_{v_1}, \delta_{v_2}), \max(\delta_{v_1}, \delta_{v_2})]$ .

563 **Remark:** To make a proof of the proposition, classical Intermediate Value Theorem (IVT)  
564 was used. It states that if  $f$  is a continuous function from the interval  $I = [a, b]$  to real number  
565 (R). Then *Version I*. if  $u$  is a number between  $f(a)$  and  $f(b)$ , there is  $c$  in  $(a, b)$  such that  $f(c) =$   
566  $u$ . *Version II*. the image set  $f(I)$  is also an interval, and it contains  
567  $[\min(f(a), f(b)), \max(f(a), f(b))]$ . While in this study, IVT was able to be explained as  
568 follows: if  $f$  is a continuous function from the interval  $I = [t_1, t_2]$  to R with  
569  $\min[f(t_1), f(t_2)] < \delta_v$  and  $\max[f(t_1), f(t_2)] > \delta_v$ , then *Version I* implies that there is  $t'$   
570  $\in (t_1, t_2)$  such that  $f(t') = \delta_v$ . And *Version II* implies that the image set  $f(I)$  is also an  
571 interval, and it contains  $[\min(f(t_1), f(t_2)), \max(f(t_1), f(t_2))]$ .

572 **Proof.** Since  $k_1 k_2 < 0$ , we have  $\delta_{a_1} < \delta_{v_1}$  and  $\delta_{a_2} > \delta_{v_2}$ , or  $\delta_{a_1} > \delta_{v_1}$  and  $\delta_{a_2} <$   
573  $\delta_{v_2}$ . As a result, the cases  $\delta_{a_1} < \delta_{v_1} < \delta_{a_2} < \delta_{v_2}$ ,  $\delta_{v_1} < \delta_{a_1} < \delta_{v_2} < \delta_{a_2}$ ,  $\delta_{v_2} < \delta_{a_2} <$   
574  $\delta_{v_1} < \delta_{a_1}$ ,  $\delta_{a_2} < \delta_{v_2} < \delta_{a_1} < \delta_{v_1}$  and  $[\min(\delta_{v_1}, \delta_{v_2}), \max(\delta_{v_1}, \delta_{v_2})] \cap$   
575  $[\min(\delta_{a_1}, \delta_{a_2}), \max(\delta_{a_1}, \delta_{a_2})] = \emptyset$  do not meet the precondition  $k_1 k_2 < 0$ . There are only  
576 four cases below. We will prove the proposition in each of the four cases.

577 Case 1:  $[\min(\delta_{v_1}, \delta_{v_2}), \max(\delta_{v_1}, \delta_{v_2})] \subset [\min(\delta_{a_1}, \delta_{a_2}), \max(\delta_{a_1}, \delta_{a_2})]$  (**Fig. 1 a**).

578 According to IVT *Version I*, there exists  $t_1' \in [t_1, t_2]$ , such that  $f(t_1') = \delta_{v_1}$ ;  
579 similarly, there exists  $t_2' \in [t_1, t_2]$ , such that  $f(t_2') = \delta_{v_2}$ . Based on IVT *Version II*, there  
580 exists  $[t_1', t_2'] \subset [t_1, t_2]$ , such that  $[\min(f(t_1'), f(t_2')), \max(f(t_1'), f(t_2'))] =$   
581  $[\min(\delta_{v_1}, \delta_{v_2}), \max(\delta_{v_1}, \delta_{v_2})]$ .

582 Case 2:  $[\min(\delta_{a_1}, \delta_{a_2}), \max(\delta_{a_1}, \delta_{a_2})] \subset [\min(\delta_{v_1}, \delta_{v_2}), \max(\delta_{v_1}, \delta_{v_2})]$  (**Fig. 1 b**).

583 According to IVT *Version I*, there exists  $t_1' \in [t_1, t_2]$ , such that  $f(t_1') = \delta_{a_1}$ ;  
584 similarly, there exists  $t_2' \in [t_1, t_2]$ , such that  $f(t_2') = \delta_{a_2}$ . Based on IVT *Version II*, there  
585 exists  $[t_1', t_2'] \subset [t_1, t_2]$ , such that  $[\min(f(t_1'), f(t_2')), \max(f(t_1'), f(t_2'))] =$   
586  $[\min(\delta_{a_1}, \delta_{a_2}), \max(\delta_{a_1}, \delta_{a_2})] \subset [\min(\delta_{v_1}, \delta_{v_2}), \max(\delta_{v_1}, \delta_{v_2})]$ .

587 Case 3:  $\delta_{v_2} < \delta_{a_1} < \delta_{v_1} < \delta_{a_2}$ , or  $\delta_{a_2} < \delta_{v_1} < \delta_{a_1} < \delta_{v_2}$  (**Fig. 1 c and Fig. 1 d**).

588 According to IVT *Version I*, there exists  $t_2' \in [t_1, t_2]$ , such that  $f(t_2') = \delta_{v_1}$ .  
589 Given case (2), when  $[\min(\delta_{a_1}, \delta_{v_1}), \max(\delta_{a_1}, \delta_{v_1})] \subset [\min(\delta_{v_1}, \delta_{v_2}), \max(\delta_{v_1}, \delta_{v_2})]$ , there  
590 exists  $[t_1', t_2'] \subset [t_1, t_2'] \subset [t_1, t_2]$ , such that  $[\min(f(t_1'), f(t_2')), \max(f(t_1')$   
591  $), f(t_2'))] \subset [\min(\delta_{a_1}, \delta_{v_1}), \max(\delta_{a_1}, \delta_{v_1})] \subset [\min(\delta_{v_1}, \delta_{v_2}), \max(\delta_{v_1}, \delta_{v_2})]$ .

592 Case 4:  $\delta_{v_1} < \delta_{a_2} < \delta_{v_2} < \delta_{a_1}$ , or  $\delta_{a_1} < \delta_{v_2} < \delta_{a_2} < \delta_{v_1}$  (**Fig. 1 e and Fig. 1 f**).

593 According to IVT *Version I*, there exists  $t_1' \in [t_1, t_2]$ , such that  $f(t_1') = \delta_{v_2}$ . Based  
594 on case (2), when  $[\min(\delta_{a_2}, \delta_{v_2}), \max(\delta_{a_2}, \delta_{v_2})] \subset [\min(\delta_{v_1}, \delta_{v_2}), \max(\delta_{v_1}, \delta_{v_2})]$ , there  
595 exists  $[t_1', t_2'] \subset [t_1', t_2] \subset [t_1, t_2]$ , such that  $[\min(f(t_1'), f(t_2')), \max(f(t_1')$   
596  $), f(t_2'))] \subset [\min(\delta_{a_2}, \delta_{v_2}), \max(\delta_{a_2}, \delta_{v_2})] \subset [\min(\delta_{v_1}, \delta_{v_2}), \max(\delta_{v_1}, \delta_{v_2})]$ .

597 Thus the proposition is true for all four possible scenarios, which make the estimation of  
598  $\delta_a$  theoretically feasible when  $k_1 k_2 < 0$  and  $\delta_{v_1}$  and  $\delta_{v_2}$  adequately close. Actual  $\delta_a$   
599 between  $t_1$  and  $t_2$  can be ensured in the interval  $[\min(\delta_{v_1}, \delta_{v_2}), \max(\delta_{v_1}, \delta_{v_2})]$ .

600 To simplify the result, actual  $\delta_a$  between  $t_1$  and  $t_2$  can be approximately regarded as what Eq. (7)

601 reveals.

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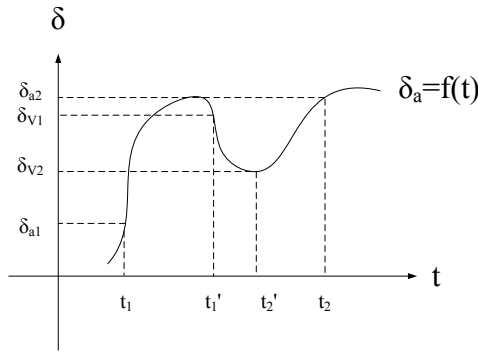
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607 Table 1. The number of estimated isotope composition of ambient vapor meeting the criteria  
 608 using the intersection point method ( $\delta_{a(IP)}$ ) and the Intermediate Value Theorem method ( $\delta_{a(IVT)}$ )  
 609 among all 49 days.

Date	number of $\delta_{a(IP)}$ values	number of $\delta_{a(IVT)}$ values
	meeting the criteria in a whole day	meeting the criteria in a whole day
5/19	27	8
5/27	13	3
5/28	30	3
5/31	25	5
6/4	38	5
6/5	28	0
6/7	29	6
6/9	32	5
6/10	26	2
6/11	21	4
6/12	22	4
6/15	32	0
6/16	33	0
6/17	24	1
6/18	26	0
6/21	26	3
6/22	22	0
6/26	22	0
6/27	29	3
7/4	23	0
7/5	23	1
7/7	30	0
7/8	29	0
7/14	28	4
7/16	28	0
7/18	25	1
7/19	28	6
7/20	27	6
7/21	29	0
7/22	19	0
8/3	18	1
8/4	22	3
8/5	25	3
8/6	28	1
8/12	13	8
8/18	19	3
8/19	30	0
8/28	23	0
8/29	22	1
8/30	27	1
8/31	27	0
9/20	25	0
9/21	24	1
9/22	31	1
9/23	28	1
9/27	28	2
9/28	25	1
9/29	30	5
9/30	25	1

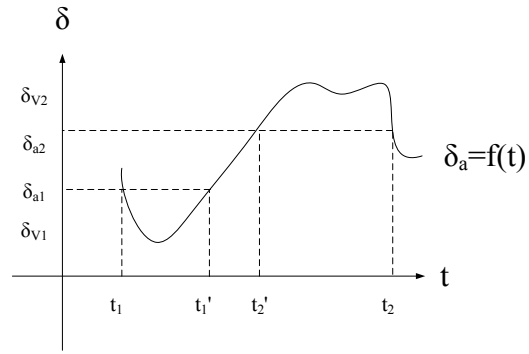
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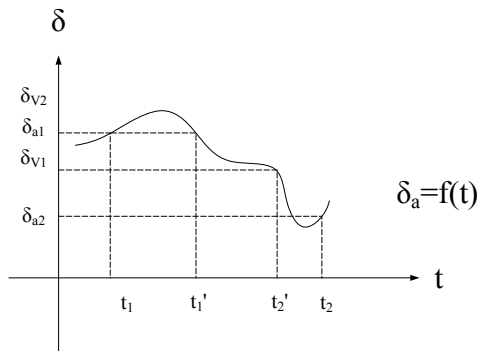
611

612

(a)



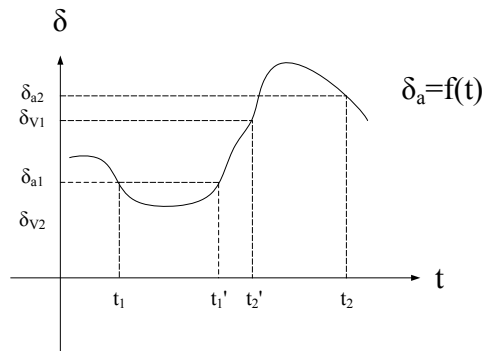
(b)



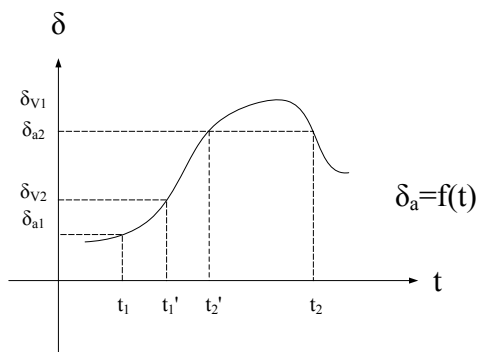
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(c)



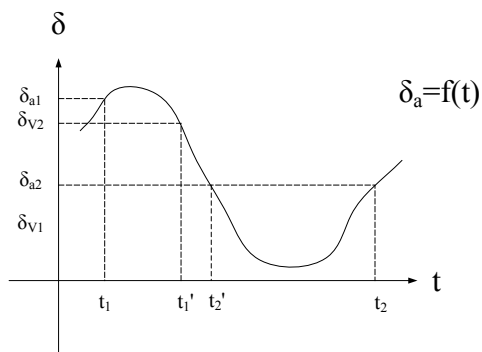
(d)



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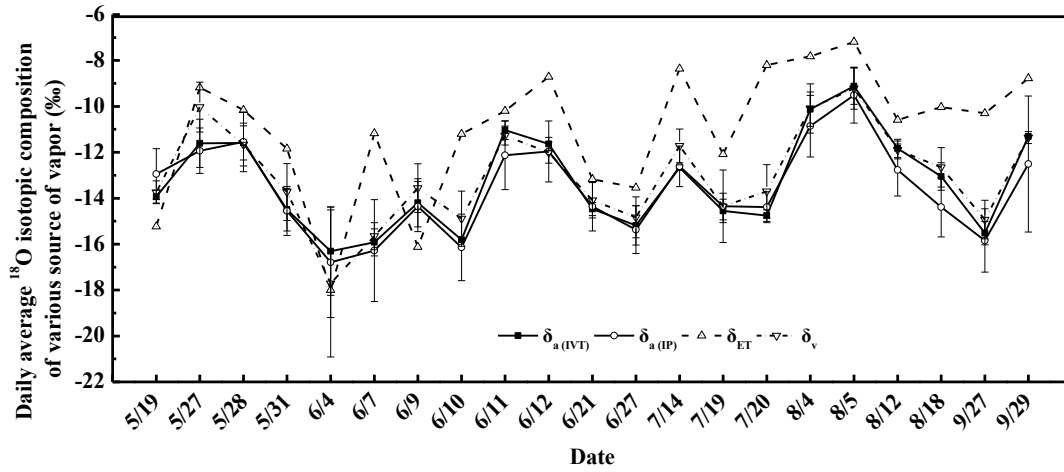
(e)



(f)

617 Fig. 1 Theoretical diagrams of all possible combinations of the relationships between isotope  
 618 composition of ambient vapor ( $\delta_a$ ) and observed isotope composition of atmospheric vapor ( $\delta_v$ )  
 619 of two continuous moments  $t_1$  and  $t_2$ , ( $t_1 < t_2$ ).  $\delta_{a1}$  and  $\delta_{a2}$  represent  $\delta_a$  value in  $t_1$  and  $t_2$ ,  
 620 respectively.  $\delta_{v1}$  and  $\delta_{v2}$  represent  $\delta_v$  value in  $t_1$  and  $t_2$ , respectively.  $t_1'$  and  $t_2'$  represent the time  
 621 of two specific moments between  $t_1$  and  $t_2$  with  $t_1 < t_1' < t_2' < t_2$ . For all of the six situations,  
 622 there exists some sub-intervals  $[t_1', t_2'] \subset [t_1, t_2]$  such that the whole range of  $\{\delta_a(t): t \in$   
 623  $[t_1', t_2']\}$  is within  $[\min(\delta_{v1}, \delta_{v2}), \max(\delta_{v1}, \delta_{v2})]$ .

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Fig. 2 The daily average values of the isotope composition of evapotranspiration vapor ( $\delta_{ET}$ ),

627

the isotope composition of atmospheric vapor ( $\delta_v$ ), the estimated isotope composition of

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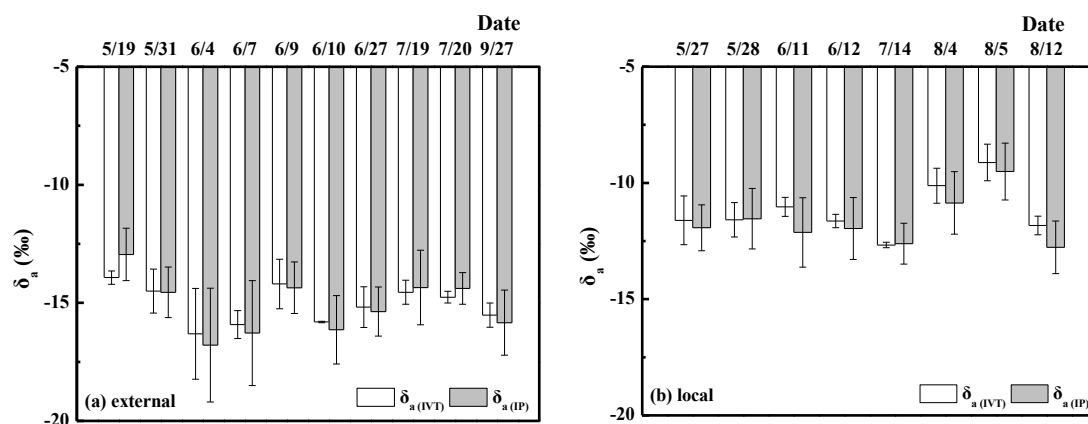
ambient vapor using the intersection point method ( $\delta_{a(IP)}$ ) and the Intermediate Value Theorem

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method ( $\delta_{a(IVT)}$ ) in the 21 days (see method section 2.3).

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632

633 Fig. 3 The daily average values of the estimated isotope composition of ambient vapor using  
634 the intersection point method ( $\delta_{a(IP)}$ ) and the Intermediate Value Theorem method ( $\delta_{a(IVT)}$ ) after  
635 filter. Hybrid Single Particle Lagrangian Integrated Trajectory (HYSPLIT) backward trajectory  
636 showed external origin (a) and local origin (b), respectively.

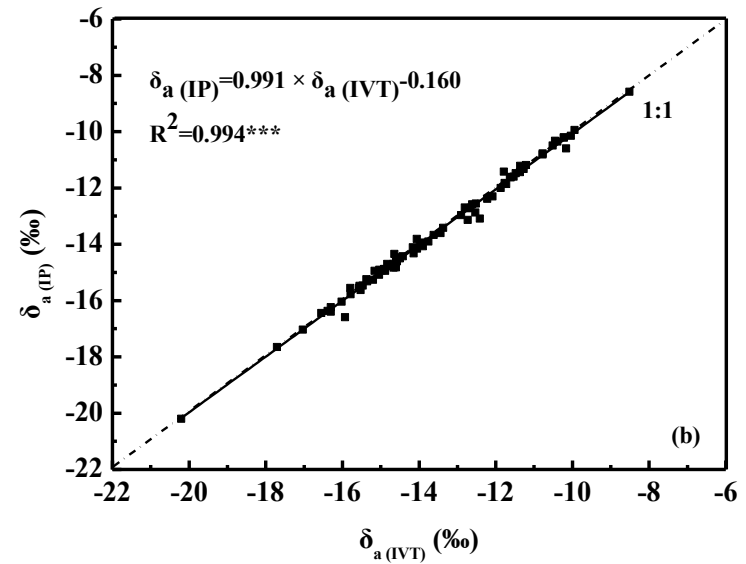
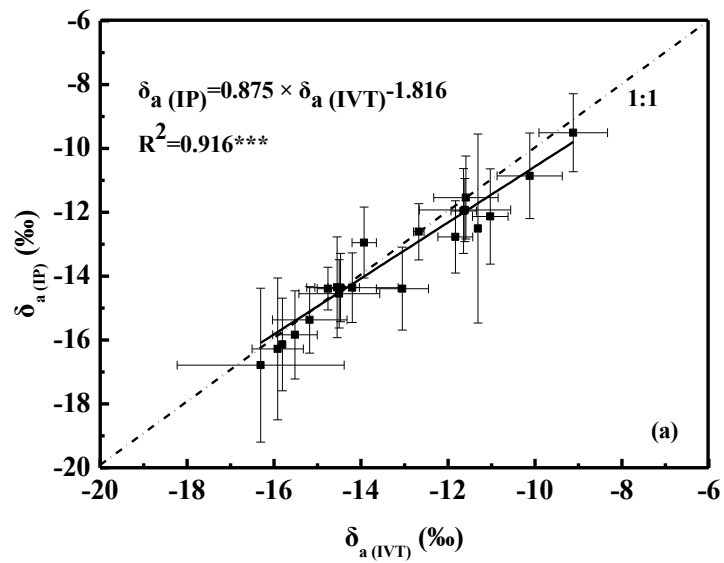
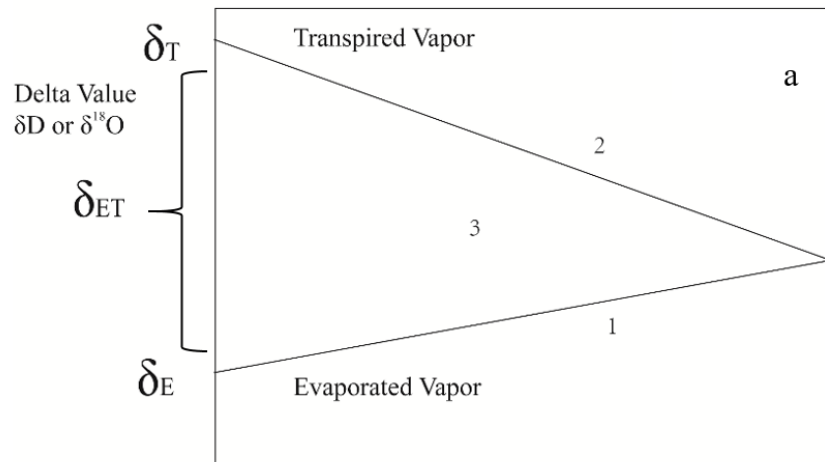
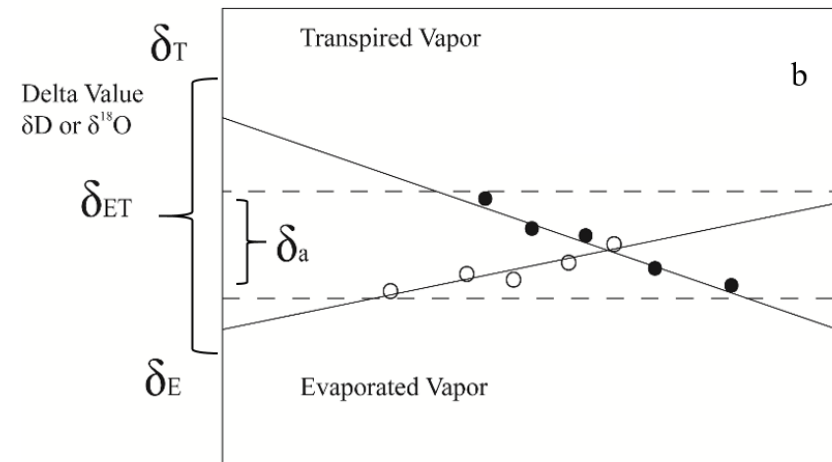


Fig. 4 Linear regression between the estimated isotope composition of ambient vapor using the intersection point method ( $\delta_{a(\text{IP})}$ ) and the Intermediate Value Theorem method ( $\delta_{a(\text{IVT})}$ ) on daily scale (a) and point to point scale (b), respectively.



Inverse of  $C_v$



Inverse of  $C_v$

Fig. 5 Hypothetical graph of the idealized Keeling plot curve of the isotope composition of evaporation vapor ( $\delta_E$ ) curve (line 1), the isotope composition of transpiration vapor ( $\delta_T$ ) curve (line 2) and the isotope composition of evapotranspiration vapor ( $\delta_{ET}$ ) curve (area 3) (a), and hypothetical graph of idealized  $\delta_E$ ,  $\delta_T$  lines and the interval of possible the isotope composition of ambient vapor ( $\delta_a$ ) in the Keeling plots (b).