

The efforts taken by the authors to address the reviewers' concerns have resulted in a further strengthened paper that would be of broad enough interest to researchers working on isotope ecology/hydrology, and therefore merits publication in HESS.

Response: Thanks for the reviewer for the positive feedbacks.

I have only some minor points to raise, as below:

Lines 112-121: For this section, it seems to me there is still a lack of clarification why the method is called the intersection point method. It can be understood from your description that  $\delta_a$  and  $C_a$  remain constant across two consecutive measurement periods, but there is no further explanation how this local constant concept is related to the intersection point of two Keeling plots. To facilitate understanding by the reader, I would suggest that the authors state more explicitly that the local constant condition would cause two adjacent Keeling plots to intersect at a point that corresponds to the background air ( $\delta_a$ ,  $1/C_a$ ) (and that's where the method name intersection point comes from).

Response: We are grateful for the constructive comments from the reviewer. We agree that further explanation on the origin of intersection point method will improve clarity. We added related content as the reviewer suggested. The detailed addition is as following on line 119:

“Algebraically,  $\delta_a$  and  $C_a$  are common solutions in Eq. (4) and Eq. (5). Geometrically, point  $(\delta_a, 1/C_a)$  is the intersection of two Keeling plots at  $t_1$  and  $t_2$ . That is the reason the method was named IP method.”

Line 182: Here it was mentioned that calibration was done to correct for concentration dependence. I'm just wondering if there was any effort made to check/correct drift-induced measurement biases, as instrumental drift may sometimes (although not always) be a non-trivial issue for long-term isotope monitoring in the field.

Response: We are grateful for the constructive comments about a calibration issue from the reviewer. As we need continuous data, the observation should last uninterrupted as long as possible. As a result, the calibration was made in every 5-10 days, which is consistent with the frequency of calibration by other researchers such as Steen-Larsen et al. (2013) who conducted their work in Greenland. According to our calibration data on standards, the average drift (absolute value) was 0.16‰ between two adjacent calibrations. As the precision of the analyzer is 0.04‰ to 0.25‰ for  $\delta^{18}\text{O}$ , the biases of 0.16‰ should not affect the calculation results. We added the frequency of calibration at the end of this section.

Steen-Larsen, H. C., Johnsen, S. J., Masson-Delmotte, V., Stenni, B., Risi, C., Sodemann, H., Balslev-Clausen, D., Blunier, T., Dahl-Jensen, D., and Ellehøj, M. D.: Continuous monitoring of summer surface water vapor isotopic composition above the Greenland Ice Sheet, **Atmospheric Chemistry and Physics**, 13, 4815-4828, doi:10.5194/acp-13-4815-2013, 2013.

Line 319: negative slope is due to  $\delta\text{ET} < \delta a$  and positive slope is due to  $\delta\text{ET} > \delta a$   
Shouldn't be it the other way around instead, i.e., negative slope is due to  $\delta\text{ET} > \delta a$ ?

Response: We thank the reviewer for pointing this out and we apologize for the oversight. Changed as suggested.

1 **Novel Keeling plot based methods to estimate the isotopic composition of ambient water**  
2 **vapor**

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20  
21 **Highlights:**

- 22 1. Two new methods were developed to estimate the isotopic composition of ambient  
23 vapor.
- 24 2. Theoretical derivations were provided for these two methods.
- 25 3. Linear regression showed strong agreement between the two methods.
- 26 4. The methods provide a possibility to calculate the proportion of evapotranspiration  
27 fluxes to total atmospheric vapor using the same instrumental setup for the traditional  
28 Keeling plot investigations.

30 **Abstract**

31 Keeling plot approach, a general method to identify the isotopic composition of source  
32 atmospheric CO<sub>2</sub> and water vapor (i.e., evapotranspiration), has been widely used in terrestrial  
33 ecosystems. The isotopic composition of ambient water vapor ( $\delta_a$ ), an important source of  
34 atmospheric water vapor, is not able to be estimated to date using the Keeling plot approach.  
35 Here we proposed two new methods to estimate  $\delta_a$  using the Keeling plots: one using  
36 intersection point method and another relying on the Intermediate Value Theorem. As actual  $\delta_a$   
37 value was difficult to measure directly, we used two indirect approaches to validate our new  
38 methods. First, we made external vapor trackings using Hybrid Single Particle Lagrangian  
39 Integrated Trajectory (HYSPLIT) model to facilitate explaining the variations of  $\delta_a$ . The  
40 trajectory vapor origin results were consistent with the expectations of the  $\delta_a$  values estimated  
41 by these two methods. Second, regression analysis was used to evaluate the relationship  
42 between  $\delta_a$  values estimated from these two independent methods and they are in strong  
43 agreement. This study provides an analytical framework to estimate  $\delta_a$  using existing facilities,  
44 and provides important insights into the traditional Keeling plot approach by showing: a) a  
45 possibility to calculate the proportion of evapotranspiration fluxes to total atmospheric vapor  
46 using the same instrumental setup for the traditional Keeling plot investigations, and b)  
47 perspectives on estimation of isotope composition of ambient CO<sub>2</sub> ( $\delta_a^{13C}$ ).

48

49 **Key words:** HYSPLIT, intersection point, Intermediate Value Theorem, Keeling plot, stable  
50 isotope

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## 52 1. Introduction

53 Stable isotopes of hydrogen and oxygen ( $^1\text{H}^2\text{HO}$  and  $\text{H}_2^{18}\text{O}$ ) have been widely used in  
54 root water uptake source identification (Corneo et al., 2018, Mahindawansa et al., 2018,  
55 Lanning et al., 2020) and evapotranspiration (ET) partitioning (Brunel et al., 1997; Wang et al.,  
56 2010; Cui et al., 2020) in terrestrial ecosystems based on Craig-Gordon model (Craig and  
57 Gordon, 1965), isotope mass balance and mechanisms of isotopic fractionation (Majoube, 1971;  
58 Merlivat and Jouzel, 1979). With the advent of laser isotope spectrometry capable of high  
59 frequency (1 Hz) measurements of the isotopic composition of atmospheric water vapor ( $\delta_v$ )  
60 and atmospheric water vapor content ( $C_v$ ) (Kerstel and Gianfrani, 2008; Wang et al., 2009), the  
61 number of studies based on high frequency ground-level isotope measurements was  
62 continuously increasing. These studies generate new insights into the processes that affect  $\delta_v$ ,  
63 including meteorological factors (Galewsky et al., 2011; Steen-Larsen et al., 2013), biotic  
64 factors (Wang et al., 2010) and multiple factors (Parkes et al., 2016). Such increase in  $\delta_v$   
65 measurements allows an isotope-enabled global circulation models (Iso-GCMs) to estimate the  
66 variation of water vapor isotope parameters at a global scale (Werner et al., 2011).  
67 Concomitantly, more than  $\delta_v$ , several new methods using high frequency ground-level isotope  
68 measurements were devised to directly estimate the isotopic composition of leaf water (Song  
69 et al., 2015) and leaf transpired vapor (Wang et al., 2012).

70 Evapotranspiration is a crucial component of water budget across scales such as field  
71 (Wagle et al., 2020), watershed (Zhang et al., 2001), regional (Hobbins et al., 2001) and global  
72 (Jung et al., 2010, Wang et al., 2014) scales. The water isotopic composition of ET ( $\delta_{\text{ET}}$ ) was  
73 generally estimated by Keeling plot approach (Keeling, 1958). It was first used to explain

74 carbon isotope ratios of atmosphere CO<sub>2</sub> and to identify the sources that contribute to increases  
75 in atmospheric CO<sub>2</sub> concentration, and has been further used to estimate  $\delta_{ET}$  in recent two  
76 decades (Yakir and Sternberg, 2000). Keeling plot analyses can be applied using  $\delta_v$  and  $C_v$   
77 output by laser based analyzer either from different heights (Yepez et al., 2003; Zhang et al.,  
78 2011; Good et al., 2012) or at one height with continuous observations (Wei et al., 2015;  
79 Keppler et al., 2016). Although the intercept of the linear regression line was commonly used  
80 as estimated  $\delta_{ET}$ , the slope of the Keeling plot was also used to estimate  $\delta_{ET}$  by re-arranging the  
81 Keeling plot equations (Miller and Tans, 2003; Fiorella et al., 2018). Keeling plot approach was  
82 based on isotope mass balance and two-source assumption using two equations with three  
83 unknowns. As a result, the isotopic composition of other potential sources (e.g., water vapor  
84 not from ET), as well as isotopic composition of ambient water vapor ( $\delta_a$ ), were not able to be  
85 estimated directly using the Keeling plot approach. That is one of the reasons why field scale  
86 moisture recycling is difficult to estimate to date.

87 In this study, we proposed two new methods to estimate  $\delta_a$ , one based on the intersection  
88 of two Keeling plots of two continuous observation moments and the other based on the  
89 Intermediate Value Theorem. Proposition and proof were provided, and the new methods were  
90 tested using field observations. As direct observations of  $\delta_a$  rarely exist (Griffis et al., 2016),  
91 we tested our methods by (a) making an external water vapor tracking investigation according  
92 to HYSPLIT model to explain the variations of estimated  $\delta_a$ , and (b) making a regression  
93 analysis on daily scale and point to point scale using  $\delta_a$  estimated by these two independent  
94 methods.



117 this time interval, we have

118  $k_1 = C_a(\delta_a - \delta_{ET1})$  , (4)

119  $k_2 = C_a(\delta_a - \delta_{ET2})$  , (5)

120 where  $k_i$  and  $\delta_{ETi}$  represent the value at  $t_i$  for  $i=1, 2$ . From (4) and (5), we can solve  $\delta_a$  as:

121 
$$\delta_a = \frac{k_1\delta_{ET2} - k_2\delta_{ET1}}{k_1 - k_2}$$
 . (6)

122 ~~Algebraically,  $\delta_a$  and  $C_a$  are solutions in Eq. (4) and Eq. (5). Geometrically, point  $(\delta_a, 1/C_a)$  is~~  
123 ~~the intersection of two Keeling plots at  $t_1$  and  $t_2$ . That is the reason the method was named IP~~  
124 ~~method.~~ The local constant approximation idea was first described in Yamanaka and Shimizu  
125 (2007) as an assumption to quantify the contribution of local ET to total atmospheric vapor.

126 **Intermediate Value Theorem (IVT) method.** Denote the slope as  $k = C_a(\delta_a - \delta_{ET})$ .

127 Since  $C_a < C_v = C_a + C_{ET}$ , we have  $C_a = \frac{k}{(\delta_a - \delta_{ET})} < C_v$ . We can rearrange  $\frac{k}{(\delta_a - \delta_{ET})} < C_v$   
128 to attain  $\delta_a$ :  $\delta_a < \frac{k}{C_v} + \delta_{ET} = \delta_v$  when  $k < 0$ , and  $\delta_a > \frac{k}{C_v} + \delta_{ET} = \delta_v$  when  $k > 0$ .

129 For the smooth function  $\delta_a(t)$  defined on the interval  $[t_1, t_2]$  with the two time points  
130 satisfying  $k(t_1)k(t_2) < 0$ , depending on the sign of the slopes  $k(t_1)$  and  $k(t_2)$  and the order  
131 of  $\delta_{v_1} = \delta_v(t_1)$  and  $\delta_{v_2} = \delta_v(t_2)$  at the two time points  $t_1$  and  $t_2$ , it will correspond to one  
132 of the situations in **Fig. 1**. For all of the situations, by the Intermediate Value Theorem, there  
133 exists a sub-interval  $[t'_1, t'_2] \subset [t_1, t_2]$  such that the whole range of  $\{\delta_a(t) : t \in [t'_1, t'_2]\}$  is  
134 within  $[\min(\delta_{v_1}, \delta_{v_2}), \max(\delta_{v_1}, \delta_{v_2})]$ . Proof details of this proposition is shown in the  
135 appendix. Thus for the two nearby time points  $t_1$  and  $t_2$  with  $k_1$  and  $k_2$  having different signs,  $\delta_a$   
136 will be between  $\delta_{v_1}$  and  $\delta_{v_2}$ . This provides a prerequisite for estimating the parameter of  
137 interest  $\delta_a$  based on Intermediate Value Theorem, which leads to approximation of  $\delta_a$  within the  
138 time interval between  $t_1$  and  $t_2$  using  $\delta_{v_1}$  and  $\delta_{v_2}$ :

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$$\delta_a \approx \frac{\delta_{v_1} + \delta_{v_2}}{2} \quad (7)$$

144 Using this method, we are able to compute  $\delta_a$  using data points when the slopes of  
145 Keeling plots change signs between two adjacent time points.

## 146 2.2 Field observations

### 147 2.2.1 Study site

148 A field measurement was conducted over a maize field (39 ha) from 1<sup>st</sup> May 2017 to 30<sup>st</sup>  
149 September 2017 at Shiyanghe Experimental Station of China Agricultural University, located  
150 in Wuwei of Gansu Province, northwest China (37°85'N, 102°88'E; altitude 1581m). The  
151 region belongs to temperate continental climate and is in the oasis within the Shiyang river  
152 basin. The annual mean temperature of the study area is about 8.8°C with pan evaporation of  
153 2000 mm, annual precipitation of 164.4 mm, mean sunshine duration of 3000 h, and frost-free  
154 period of more than 150 d. The local crops are irrigated using groundwater with electrical  
155 conductivity of 0.62 dSm<sup>-1</sup>. The groundwater table is 30-40 m below the surface. Maize was  
156 sowed on April and harvested on September 2017, with row spacing of 40 cm and plant spacing  
157 of 23 cm. The maize growing stage was divided into seedling stage (April 21<sup>st</sup> –May 20<sup>th</sup>),  
158 jointing stage (May 21<sup>st</sup>-July 10<sup>th</sup>), heading period (July 11<sup>th</sup>-July 31<sup>st</sup>), pustulation period  
159 (August 1<sup>st</sup>-August 31<sup>st</sup>) and mature period (September 1<sup>st</sup>-September 20<sup>th</sup>).

### 160 2.2.2 Instrument setup and measurement design

161 A 24-meter flux tower, located in the middle of maize field, was used to measure ET flux  
162 and isotopic composition of water vapor at different heights. The field is approximately 600 m  
163 long and 240 m wide, with a 10% slope decreasing from southwest to northeast. Five gas traps  
164 were installed on the flux tower at heights of 4 m, 8 m, 12 m, 16 m and 20 m, respectively. An

165 iron pillar was placed 20 m away from the flux tower. Three gas traps were installed on the iron  
166 pillar, one was close to the canopy, and the other two were 2 m and 3 m above the ground.  
167 Canopy gas trap was adjusted weekly according to the height of maize.

168 *In situ*  $\delta_v$  and  $C_v$  collected by the eight gas traps were monitored by a water vapor isotope  
169 analyzer (L2130-i, Picarro Inc., Sunnyvale, CA, USA), which was a wavelength scanned cavity  
170 ring down spectroscopy (WS-CRDS) instrument. Vapor specifications include a measurement  
171 range from 1000 to 50000 ppm, the precision is 0.04‰ to 0.25‰ for  $\delta^{18}\text{O}$  (Zhao et al., 2019).  
172 Interfacing with the gas trap and the isotope analyzer, teflon tube was wrapped by thermal  
173 insulation cotton to avoid vapor condensation during transmission. The measurement of  $\delta_v$  and  
174  $C_v$  were conducted from May to September, which should have 153 days of data. Forty-nine  
175 days among them were complete with 24-hour continuous datasets. There were missing data  
176 for either a whole day or several hours of a day for other days due to the calibration and  
177 maintenance of the analyzer. These 49 days were chosen in our study for data analysis.

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### 178 2.2.3 Calibration of $\delta_v$ and $C_v$

179 Our calibration procedure mainly followed the study by Steen-Larsen et al. (2013) with  
180 some modifications to fit our specific experimental setup. The water vapor from eight inlets  
181 were sampled continuously over a 24-hour-period. Since only one analyzer was used to measure  
182 the  $\delta_v$  and  $C_v$ , the values of eight sampling inlets were recorded in turn every 225s in a 30 mins  
183 cycle. The switch procedure was automatic. As the analyzer makes a measurement every 0.9-  
184 1s, approximately 259-264 values for each inlet was recorded within the cycle. For each 225s  
185 measurement period, No. 195 to No. 253 data points were used to avoid memory issue and  
186 influence of transient pressure variation. The absolute value of coefficient of variations (|CV|)

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189 of  $\delta_v$  and  $C_v$  were no more than 0.016 and 0.002, respectively, which was far below the critical  
190 value of 15% (Lovie, 2005). The mean value of the selected data points was regarded as the  
191 measured  $\delta_v$  and  $C_v$  in a specific inlet. Measured  $C_v$  was used directly as actual  $C_v$ , while  
192 measured  $\delta_v$  was calibrated to minimize the influence of isotopic concentration dependence.  
193 The  $C_v$  in our measurement ranged from 5386 ppm to 30255 ppm. Thus,  $C_v$  gradients of 10000  
194 ppm, 20000 ppm and 30000 ppm were selected as calibration concentrations to improve the  
195 precision of  $\delta_v$ . [As we need continuous data, the observation should last uninterrupted as long](#)  
196 [as possible. As a result, the calibration was made in every 5-10 days, which is consistent with](#)  
197 [the frequency of calibration by other researchers such as Steen-Larsen et al. \(2013\). According](#)  
198 [to our calibration data on standards, the average drift \(absolute value\) was about 0.16‰](#)  
199 [between two adjacent calibrations.](#)

### 200 2.3 Data quality control for $\delta_a$ estimation

201 With a 30-min interval for 49 days, we should in theory produce 2352  $\delta_a$  values for both  
202 IP method and IVT method. However, because of the precondition of  $k_1k_2 < 0$  required for the  
203 IVT method, 166  $\delta_a$  values was able to be calculated using the IVT method ( $\delta_{a(IVT)}$ ).  $\delta_a$  values  
204 using the IP method ( $\delta_{a(IP)}$ ) was not restricted by this precondition. Furthermore, a filter  
205 ( $\delta_{ET} < \delta_v < \delta_a$  or  $\delta_{ET} > \delta_v > \delta_a$ ) was used for both methods because  $\delta_v$  was a mixture of  $\delta_{ET}$  and  $\delta_a$ .  
206 Therefore,  $\delta_a$  values that meet both precondition  $k_1k_2 < 0$  and the condition of  $\delta_{ET} < \delta_v < \delta_a$  or  
207  $\delta_{ET} > \delta_v > \delta_a$  were considered satisfying the criteria for the IVT method;  $\delta_a$  values that meet the  
208 condition of  $\delta_{ET} < \delta_v < \delta_a$  or  $\delta_{ET} > \delta_v > \delta_a$  were considered satisfying the criteria for the IP method.  
209 In the end, we obtained 1264 and 103  $\delta_a$  values using IP and IVT methods, respectively (**Table**  
210 **1**). Eighty eight time points were overlapped between the **IP** and **IVT** based  $\delta_a$  results. These 88

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214 time points were selected to test the reliability of two methods at point to point scale. During  
215 the 49 days, there were 21 days when more than one  $\delta_{a(IVT)}$  was attained for each day. These 21  
216 days was also used to investigate the time series of daily scale  $\delta_a$  variations and other isotopic  
217 variations. Further analysis in section 2.4 in the following was made on these 21 days.

#### 218 2.4 Explanations of $\delta_a$ using backward trajectories

219 To explain the variations of estimated  $\delta_a$ , air mass backward trajectories were calculated  
220 using the Hybrid Single Particle Lagrangian Integrated Trajectory (HYSPLIT) model (Draxler  
221 and Hess, 1997; Draxler, 2003; Stein et al., 2015; Kaseke et al., 2018) and meteorological data  
222 from the Global Data Assimilation System 0.5 Degree (GDAS0p5) with  $0.5^\circ \times 0.5^\circ$  spatial  
223 resolution and 3-hour time resolution for the 21 days mentioned in section 2.3. Five hundred  
224 meters height was selected in the modeling. Each backward trajectory was initialized from the  
225 station ( $37^\circ 85'N$ ,  $102^\circ 88'E$ ) at 12:00 pm (local time), and calculated backward for 72 hours.  
226 Eighteen trajectories were computed, except for June 21<sup>st</sup>, August 18<sup>th</sup> and September 29<sup>th</sup> when  
227 vertical velocity data were missing. Finally, we used these 18 trajectories ~~representing~~ the vapor  
228 origin in the corresponding 18 days.

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### 229 3. Results

#### 230 3.1 Time series variations of $\delta_{ET}$ , $\delta_v$ , $\delta_a$ and k

231 Time series of isotopic variations were shown in **Fig. 2**. The  $\delta_v$  here is the average value  
232 of eight heights. The average  $\delta_{ET}$ ,  $\delta_v$ ,  $\delta_{a(IP)}$  and  $\delta_{a(IVT)}$  were -11.04‰, -13.00‰, -13.60‰ and -  
233 13.29‰, respectively in those 21 days when more than one  $\delta_{a(IVT)}$  was attained for each day.  
234 Daytime (7:00am-7:00pm) average  $\delta_{ET}$ ,  $\delta_v$ ,  $\delta_{a(IP)}$  and  $\delta_{a(IVT)}$  were -10.73‰, -13.33‰, -14.08‰  
235 and -13.63‰, respectively. While at nighttime (7:00pm-7:00am the next day), average  $\delta_{ET}$  was

237 lower than that at daytime, which was on the contrary with  $\delta_v$ ,  $\delta_{a(IP)}$  and  $\delta_{a(IVT)}$ . The trend of  $\delta_{a(IP)}$   
238 and  $\delta_{a(IVT)}$  were similar to  $\delta_v$ . In majority of circumstances,  $\delta_{ET}$  is the largest of those four  
239 isotopic parameters, except on May 19<sup>th</sup>, June 4<sup>th</sup> and June 9<sup>th</sup>. About 76% of k values were  
240 negative, and most positive k values occurred at nighttime (60%). The percentage of positive k  
241 values were 33%, 34%, 24%, 34% and 10% in May, June, July, August and September,  
242 respectively. Standard deviation ~~was~~ used here to evaluate the constancy among isotopic  
243 parameters at daily scale. The standard deviation of  $\delta_{ET}$ ,  $\delta_v$ ,  $\delta_{a(IP)}$  and  $\delta_{a(IVT)}$  were 6.08‰, 0.91‰,  
244 1.38‰ and 0.59‰, respectively. Therefore, the constancy of  $\delta_a$  was similar to the constancy of  
245  $\delta_v$  at daily scale.

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### 246 3.2 Daily variations of HYSPLIT backward trajectories and $\delta_a$ using two methods

247 The 500 m height water vapor backward trajectories revealed that water vapor was from  
248 outside the study regions for ten days (**Fig. 3a**), and water vapor was from local ET for eight  
249 days (**Fig. 3b**).

250 As for the IP method, 53.7% of  $\delta_{a(IP)}$  values met the criteria, and 49.4% of  $\delta_{a(IP)}$  values  
251 meeting the criteria were during the daytime (7:00am-7:00pm). The range of  $\delta_{a(IP)}$  values  
252 meeting the criteria were between -16.79‰ and -12.95‰ for the ten days with external origins  
253 (**Fig. 3a**). The range of  $\delta_{a(IP)}$  values meeting the criteria were between -12.77‰ and -9.51‰  
254 for the eight days with local origins (**Fig. 3b**).

255 As for the IVT method, only 4.4% of  $\delta_a$  values met the criteria, and 35.9% of  $\delta_a$  values  
256 meeting the criteria were during the daytime (7:00am-7:00pm). The range of  $\delta_{a(IVT)}$  values  
257 meeting the criteria were between -16.31‰ and -13.93‰ for the ten days with external origins  
258 (**Fig. 3a**). The range of  $\delta_{a(IVT)}$  values meeting the criteria were between -12.67‰ and -9.12‰

260 for the eight days with local origins (**Fig. 3b**).

261 3.3 Linear regression between  $\delta_{a(IP)}$  and  $\delta_{a(IVT)}$

262 Method comparison was made at both daily scale (**Fig. 4a**) and point to point scale (**Fig.**  
263 **4b**). The 21 days (see method section 2.3) in **Fig. 3a** and **Fig. 3b** were selected to figure out the  
264 daily scale relationship between  $\delta_{a(IP)}$  and  $\delta_{a(IVT)}$ . Point to point scale data was based on the 88  
265 point of overlapped  $\delta_{a(IP)}$  and  $\delta_{a(IVT)}$  (see method section 2.3) among all 49 days, which  
266 accounted for 7.0% of  $\delta_a$  values using IP method and 85.4% of  $\delta_a$  values using IVT method.  
267 Linear regression between  $\delta_{a(IP)}$  and  $\delta_{a(IVT)}$  was significant at both daily scale and point to point  
268 scale. The degree of agreement was less for the daily time scale than point to point scale and  
269 the RMES between these two methods at daily scale and point to point scale were 0.618‰ and  
270 0.167‰, respectively.

#### 271 4. Discussion

272 4.1 The reliability of  $\delta_a$  estimating methods

273 The IP method was based on the assumption that the ambient sources were the same  
274 between two continuous observation moments. This is a reasonable assumption for short time  
275 intervals. For the IVT method,  $\delta_a$  was derived from  $\delta_v$  in two continuous moments when their  
276 Keeling plot slopes were opposite. The opposite slopes of the Keeling plots were the only  
277 requirement. As  $\delta_v$  was almost constant in two continuously moments,  $\delta_{a(IVT)}$  was able to be  
278 constrained into a small range. The derivation was supported by the  
279 Intermediate Value Theorem. Therefore, both methods of estimating  $\delta_a$  were theoretically  
280 sound.

281 The  $\delta_a$  results were also examined by HYSPLIT backward trajectories to identify the

282 different sources of water vapor, which assesses the reliability of both methods indirectly. Based  
 283 on the trajectory analysis, water vapor in the study area came from westerlies, northern polar  
 284 region and local recirculation. Water vapor from southwest monsoon and northwest Pacific  
 285 were not detected in this study. Based on the isotope variation of meteoric water (Fricke et al.,  
 286 1999), water vapor from westerlies and northern polar was more <sup>18</sup>O depleted than local  
 287 recycled moisture through ET. It was also reported that the water vapor from outside the study  
 288 regions will lower  $\delta_v$  values (Ma et al., 2014; Chen et al., 2015). The calculated  $\delta_a$  values of the  
 289 ten days with external sources (Fig. 3a) based on the IP method and IVT approach were lower  
 290 than those of eight days with local origin (Fig. 3b), which was consistent with our expectation.  
 291 The results indicate that quantifying  $\delta_a$  using both the IP method and IVT approach was reliable.  
 292 The reliability of two methods at point to point scale were also supported by the close  
 293 relationship of  $\delta_a$  using these two independent methods. Daily time scale result is less reliable  
 294 than point to point scale.

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#### 295 4.2 The application of $\delta_a$ for moisture recycling

296 When  $\delta_a$  was estimated, moisture recycling (e.g.,  $f_{ET}$ , the contribution of ET fluxes to the  
 297 total water vapor) can be estimated using the following equations with known  $\delta_a$ ,  $\delta_{ET}$ ,  $\delta_v$ ,  $C_{ET}$   
 298 and  $C_v$ :

$$299 \quad C_{ET} = C_v \cdot \frac{\delta_a - \delta_v}{\delta_a - \delta_{ET}}, \quad (8)$$

$$300 \quad f_{ET} = \frac{C_{ET}}{C_v}, \quad (9)$$

301 According to Eq. (8) and Eq. (9),  $f_{ET}$  was only related to  $\delta_a$ ,  $\delta_v$ , and  $\delta_{ET}$ . These three  
 302 parameters were obtained for relatively small temporal and spatial scales in this study, making  
 303 it possible to estimate  $f_{ET}$  at a tower scale. The  $f_{ET}$  estimate will provide a baseline value for

305 rainfall recycling ratio calculations. Previous studies quantified the contribution of recycled  
306 vapor to annual or monthly precipitation in river basins using two-element mixture model (Kong  
307 et al., 2013) and three-element mixture (Peng et al., 2011). At the watershed scale, recycled  
308 vapor rate refers to the contributions of moisture from terrestrial ET to annual or monthly  
309 precipitation (Trenberth, 1999). It is a key part of local water cycle and the atmospheric water  
310 vapor balance (Seneviratne et al., 2006; Aemisegger et al., 2014). In our study, the role of  $f_{ET}$   
311 to regional vapor is similar to the role of recycled vapor rate to annual or monthly precipitation,  
312 but  $f_{ET}$  was calculated with fine temporal (e.g., hourly) and spatial (i.e., field scale) scales. At  
313 the watershed scale, assumption was made that no isotopic fractionation between transpiration  
314 and source water (Flanagan et al., 1991); advected vapor was assumed to be the precipitation  
315 vapor of the upwind station (Peng et al., 2011). However, the isotope composition of plant  
316 transpired vapor is variable in a day especially under non-steady-state conditions (Farquhar and  
317 Cernusak, 2005; Lai et al., 2008; Song et al., 2011). In addition, sometimes it is difficult to  
318 select an upwind station without precipitation events. In this study, a field site was selected to  
319 calculate the proportion of ET fluxes to total atmospheric vapor and  $f_{ET}$  was only related to  $\delta_a$ ,  
320  $\delta_v$ , and  $\delta_{ET}$  according to Eq. (8) and Eq. (9). This indicates that  $f_{ET}$  calculations is possible for  
321 fine temporal and spatial scales after estimating  $\delta_a$  using the methods we proposed.

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322 If we assumed that the parameter  $\delta_v$  in Eq. (8) is the average  $\delta_v$  value measured from all  
323 the eight heights.  $f_{ET}$  in this study was 23.3% and 12.7% from May to September 2017 based  
324 on daily  $\delta_{a(IP)}$  and daily  $\delta_{a(TVT)}$ , respectively. It was reported that recycled vapor rate in all  
325 Shiyang river basin, oasis region, mountain region and desert region were 23%, 28%, 17% and  
326 15%, respectively (Li, et al., 2016; Zhu, et al., 2019). The  $f_{ET}$  based on daily  $\delta_{a(IP)}$  in our study

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330 was close to these earlier studies. The deviation of  $f_{ET}$  based on daily  $\delta_{a(IVT)}$  from previous  
331 studies may be because 64.1% of point to point  $\delta_{a(IVT)}$  was observed at nighttime. Normally, ET  
332 at nighttime is lower than that of daytime.  $f_{ET}$  may be underestimated using daily  $\delta_{a(IVT)}$ . It could  
333 also be inferred that  $f_{ET}$  estimation using Eq. (9) may be more reliable using daily  $\delta_{a(IP)}$  than  
334 daily  $\delta_{a(IVT)}$ .

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### 335 4.3 Implications of $\delta_a$

336 The signature of  $\delta_E$  and  $\delta_T$  was first introduced by a hypothetical graph shown on **Fig.**  
337 **5a** (Moreira et al., 1997). Line 1 and line 2 was idealized Keeling plot with pure T and pure E,  
338 and Line 3 was the Keeling plot with mixed T and E. The IVT method in this study provided a  
339 general explanation of this figure. As T is a major component of ET in the daytime in non-arid  
340 region (Wang et al., 2014), the slope is generally negative. When E dominates ET in an  
341 ecosystem, such as in the nighttime in non-arid region or in arid region, the slope should be  
342 positive. Mathematically, negative slope is due to  $\delta_{ET} > \delta_a$  and positive slope is due to  $\delta_{ET} < \delta_a$ .

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343 It also reflected that IVT method could only be used in non-arid ecosystems to ensure the  
344 occurrence of sign switch (e.g., from negative to positive) in Keeling plot slopes. On the  
345 contrary, IP method may not be restricted by the type of ecosystems. Yamanaka and Shimizu  
346 (2007) used the assumption that  $\delta_a$  of an area of 219.9 km<sup>2</sup> was represented by the intersection  
347 point of two Keeling plot lines in different sites with synchronous measurements and they used  
348 the intersection value as an approximate value of  $\delta_a$ . This study was conducted in a maize field  
349 using 30-min interval measurements. The results verified Yamanaka and Shimizu's (2007)  
350 assumption in such fine spatial and temporal scale, and indicate that accurate  $\delta_{a(IP)}$  could be  
351 estimated from the intersection of two Keeling plots regardless the slope being positive or

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358 negative, while the  $\delta_{a(IVT)}$  should be restricted in the area between two dotted lines as shown in  
359 **Fig. 5b** (i.e., between the minimum value of  $\delta_v$  in positive slope and the maximum value of  $\delta_v$   
360 in negative slope). Although IVT method relies on more stringent precondition for data filtering,  
361 this method requires a very simple expression, which only needs two parameters to be measured  
362 according to Eq. (7).

363 While this study is about water vapor  $^{18}\text{O}$ , the “Keeling plot” was first used by Keeling  
364 (1958, 1961) to interpret carbon isotope ratios of mixed  $\text{CO}_2$  and to identify the sources that  
365 contribute to increases in atmospheric  $\text{CO}_2$  concentrations on a regional basis. Compared with  
366 ET in water vapor which consists of E and T, net ecosystem  $\text{CO}_2$  exchange is comprised of soil  
367 respiration (R) and gross primary productivity (GPP). As  $^{13}\text{CO}_2$  isotopic Keeling plot reveals a  
368 positive slope during both daytime and nighttime (Yakir and Wang, 1996; Unger et al., 2010),  
369 the IVT method may not be able to estimate ambient  $^{13}\text{CO}_2$  isotopic composition ( $\delta_a^{13}\text{C}$ ) since  
370 there are no opposite slopes in a day. In such case, the IP method may be implemented in two  
371 continuous moments to estimate  $\delta_a^{13}\text{C}$  and may consequently further calculate the contribution  
372 of NEE to atmospheric  $\text{CO}_2$ .

### 373 5. Conclusions

374 In this study, we established two methods to quantify  $\delta_a$  using intersection point method  
375 and the Intermediate Value Theorem method. The IVT method was used under the condition of  
376 opposite slope of Keeling plots in two continuously moments. The results of estimated  $\delta_{a(IP)}$  and  
377  $\delta_{a(IVT)}$  were consistent with the expectation whether it was local origin or external origin using  
378 external vapor tracking investigation by HYSPLIT model. The linear regression between  $\delta_{a(IP)}$   
379 and  $\delta_{a(IVT)}$  was highly significant at both daily time scale and point to point scale.

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383 This study provided insights into the underexplored traditional Keeling plots and  
384 provided two methods to estimate  $\delta_a$  using the same instrumental setup for the traditional  
385 Keeling plot investigations. The estimated  $\delta_a$  will make it possible to calculate the ET  
386 contribution to regional vapor at a 30 min interval at field scale. The results [also](#) indicate that  
387 using similar framework,  $\delta_a^{13C}$  may also solvable by the IP method.

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397 method.

## 398 7. Code and Data availability

399 Code and data are available on request.

## 400 8. Author contribution

401 YY, TD and LW conceptualized the main research questions. YY collected data  
402 and performed the data analyses. YY and LW wrote the first draft. HW contributed to  
403 additional data analyses. All the authors contributed ideas and edited the manuscript.

404 9. Competing interests

405 There authors declare no competing interests.

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590

## 591 11. Appendix

592 **Proposition.** In the traditional linear Keeling plot system, denote  $\delta_a = f(t)$ ,  $\delta_v = g(t)$ ,  
593  $\delta_{ET} = h(t)$  and  $C_a = I(t) > 0$  as continuous functions of time. And for two definite  
594 moments  $t_1$  and  $t_2$  ( $t_1 < t_2$ ),  $\delta_{a_1} \neq \delta_{a_2} \neq \delta_{v_1} \neq \delta_{v_2} \neq \delta_{ET_1} \neq \delta_{ET_2}$ . The slopes of  
595 corresponding keeling plot curve are  $k_1 = C_{a_1}(\delta_{a_1} - \delta_{ET_1})$  and  $k_2 = C_{a_2}(\delta_{a_2} - \delta_{ET_2})$ ,  
596 respectively. Then we have that when  $k_1 k_2 < 0$ , there exists  $[t_1', t_2'] \subset [t_1, t_2]$ , such that  
597  $[\min(f(t_1'), f(t_2')), \max(f(t_1'), f(t_2'))] \subset [\min(\delta_{v_1}, \delta_{v_2}), \max(\delta_{v_1}, \delta_{v_2})]$ .

598 **Remark:** To make a proof of the proposition, classical Intermediate Value Theorem (IVT)  
599 was used. It states that if  $f$  is a continuous function from the interval  $I = [a, b]$  to real number  
600  $(\mathbb{R})$ . Then *Version I*. if  $u$  is a number between  $f(a)$  and  $f(b)$ , there is  $c$  in  $(a, b)$  such that  $f(c) =$   
601  $u$ . *Version II*. the image set  $f(I)$  is also an interval, and it contains  
602  $[\min(f(a), f(b)), \max(f(a), f(b))]$ . While in this study, IVT was able to be explained as  
603 follows: if  $f$  is a continuous function from the interval  $I = [t_1, t_2]$  to  $\mathbb{R}$  with  
604  $\min[f(t_1), f(t_2)] < \delta_v$  and  $\max[f(t_1), f(t_2)] > \delta_v$ , then *Version I* implies that there is  $t'$   
605  $\in (t_1, t_2)$  such that  $f(t') = \delta_v$ . And *Version II* implies that the image set  $f(I)$  is also an

606 interval, and it contains  $[\min(f(t_1), f(t_2)), \max(f(t_1), f(t_2))]$ .

607 **Proof.** Since  $k_1 k_2 < 0$ , we have  $\delta_{a_1} < \delta_{v_1}$  and  $\delta_{a_2} > \delta_{v_2}$ , or  $\delta_{a_1} > \delta_{v_1}$  and  $\delta_{a_2} <$   
608  $\delta_{v_2}$ . As a result, the cases  $\delta_{a_1} < \delta_{v_1} < \delta_{a_2} < \delta_{v_2}$ ,  $\delta_{v_1} < \delta_{a_1} < \delta_{v_2} < \delta_{a_2}$ ,  $\delta_{v_2} < \delta_{a_2} <$   
609  $\delta_{v_1} < \delta_{a_1}$ ,  $\delta_{a_2} < \delta_{v_2} < \delta_{a_1} < \delta_{v_1}$  and  $[\min(\delta_{v_1}, \delta_{v_2}), \max(\delta_{v_1}, \delta_{v_2})] \cap$   
610  $[\min(\delta_{a_1}, \delta_{a_2}), \max(\delta_{a_1}, \delta_{a_2})] = \emptyset$  do not meet the precondition  $k_1 k_2 < 0$ . There are only  
611 four cases below. We will prove the proposition in each of the four cases.

612 Case 1:  $[\min(\delta_{v_1}, \delta_{v_2}), \max(\delta_{v_1}, \delta_{v_2})] \subset [\min(\delta_{a_1}, \delta_{a_2}), \max(\delta_{a_1}, \delta_{a_2})]$  (**Fig. 1 a**).

613 According to IVT *Version I*, there exists  $t_1' \in [t_1, t_2]$ , such that  $f(t_1') = \delta_{v_1}$ ;  
614 similarly, there exists  $t_2' \in [t_1, t_2]$ , such that  $f(t_2') = \delta_{v_2}$ . Based on IVT *Version II*, there  
615 exists  $[t_1', t_2'] \subset [t_1, t_2]$ , such that  $[\min(f(t_1'), f(t_2')), \max(f(t_1'), f(t_2'))] =$   
616  $[\min(\delta_{v_1}, \delta_{v_2}), \max(\delta_{v_1}, \delta_{v_2})]$ .

617 Case 2:  $[\min(\delta_{a_1}, \delta_{a_2}), \max(\delta_{a_1}, \delta_{a_2})] \subset [\min(\delta_{v_1}, \delta_{v_2}), \max(\delta_{v_1}, \delta_{v_2})]$  (**Fig. 1 b**).

618 According to IVT *Version I*, there exists  $t_1' \in [t_1, t_2]$ , such that  $f(t_1') = \delta_{a_1}$ ;  
619 similarly, there exists  $t_2' \in [t_1, t_2]$ , such that  $f(t_2') = \delta_{a_2}$ . Based on IVT *Version II*, there  
620 exists  $[t_1', t_2'] \subset [t_1, t_2]$ , such that  $[\min(f(t_1'), f(t_2')), \max(f(t_1'), f(t_2'))] =$   
621  $[\min(\delta_{a_1}, \delta_{a_2}), \max(\delta_{a_1}, \delta_{a_2})] \subset [\min(\delta_{v_1}, \delta_{v_2}), \max(\delta_{v_1}, \delta_{v_2})]$ .

622 Case 3:  $\delta_{v_2} < \delta_{a_1} < \delta_{v_1} < \delta_{a_2}$ , or  $\delta_{a_2} < \delta_{v_1} < \delta_{a_1} < \delta_{v_2}$  (**Fig. 1 c and Fig. 1 d**).

623 According to IVT *Version I*, there exists  $t_2' \in [t_1, t_2]$ , such that  $f(t_2') = \delta_{v_1}$ .  
624 Given case (2), when  $[\min(\delta_{a_1}, \delta_{v_1}), \max(\delta_{a_1}, \delta_{v_1})] \subset [\min(\delta_{v_1}, \delta_{v_2}), \max(\delta_{v_1}, \delta_{v_2})]$ , there  
625 exists  $[t_1', t_2'] \subset [t_1, t_2]$ , such that  $[\min(f(t_1'), f(t_2')), \max(f(t_1')$   
626  $), f(t_2'))] \subset [\min(\delta_{a_1}, \delta_{v_1}), \max(\delta_{a_1}, \delta_{v_1})] \subset [\min(\delta_{v_1}, \delta_{v_2}), \max(\delta_{v_1}, \delta_{v_2})]$ .

627 Case 4:  $\delta_{v_1} < \delta_{a_2} < \delta_{v_2} < \delta_{a_1}$ , or  $\delta_{a_1} < \delta_{v_2} < \delta_{a_2} < \delta_{v_1}$  (**Fig. 1 e and Fig. 1 f**).

628 According to IVT Version I, there exists  $t_1' \in [t_1, t_2]$ , such that  $f(t_1') = \delta_{v_2}$ . Based  
629 on case (2), when  $[\min(\delta_{a_2}, \delta_{v_2}), \max(\delta_{a_2}, \delta_{v_2})] \subset [\min(\delta_{v_1}, \delta_{v_2}), \max(\delta_{v_1}, \delta_{v_2})]$ , there  
630 exists  $[t_1', t_2'] \subset [t_1', t_2] \subset [t_1, t_2]$ , such that  $[\min(f(t_1'), f(t_2')), \max(f(t_1'$   
631  $), f(t_2'))] \subset [\min(\delta_{a_2}, \delta_{v_2}), \max(\delta_{a_2}, \delta_{v_2})] \subset [\min(\delta_{v_1}, \delta_{v_2}), \max(\delta_{v_1}, \delta_{v_2})]$ .

632 Thus the proposition is true for all four possible scenarios, which make the estimation of  
633  $\delta_a$  theoretically feasibly when  $k_1 k_2 < 0$  and  $\delta_{v_1}$  and  $\delta_{v_2}$  adequately close. Actual  $\delta_a$   
634 between  $t_1$  and  $t_2$  can be ensured in the interval  $[\min(\delta_{v_1}, \delta_{v_2}), \max(\delta_{v_1}, \delta_{v_2})]$ .  
635 To simplify the result, actual  $\delta_a$  between  $t_1$  and  $t_2$  can be approximately regarded as what Eq. (7)  
636 reveals.

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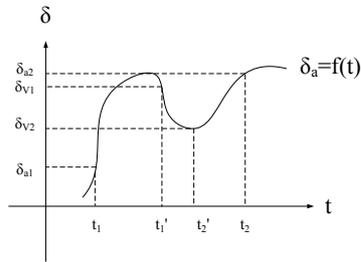
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642 Table 1. The number of estimated isotope composition of ambient vapor meeting the criteria  
 643 using the intersection point method ( $\delta_{a(IP)}$ ) and the Intermediate Value Theorem method ( $\delta_{a(IVT)}$ )  
 644 among all 49 days.

Date	number of $\delta_{a(IP)}$ values	number of $\delta_{a(IVT)}$ values
	meeting the criteria in a whole day	meeting the criteria in a whole day
5/19	27	8
5/27	13	3
5/28	30	3
5/31	25	5
6/4	38	5
6/5	28	0
6/7	29	6
6/9	32	5
6/10	26	2
6/11	21	4
6/12	22	4
6/15	32	0
6/16	33	0
6/17	24	1
6/18	26	0
6/21	26	3
6/22	22	0
6/26	22	0
6/27	29	3
7/4	23	0
7/5	23	1
7/7	30	0
7/8	29	0
7/14	28	4
7/16	28	0
7/18	25	1
7/19	28	6
7/20	27	6
7/21	29	0
7/22	19	0
8/3	18	1
8/4	22	3
8/5	25	3
8/6	28	1
8/12	13	8
8/18	19	3
8/19	30	0
8/28	23	0
8/29	22	1
8/30	27	1
8/31	27	0
9/20	25	0
9/21	24	1
9/22	31	1
9/23	28	1
9/27	28	2
9/28	25	1
9/29	30	5
9/30	25	1

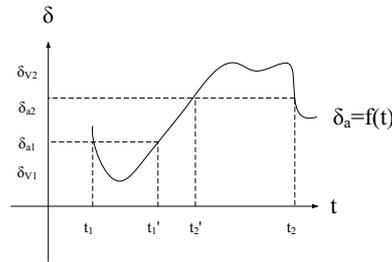
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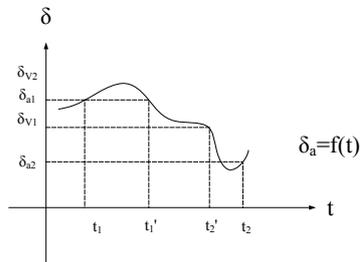
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(a)



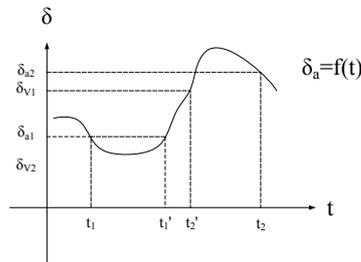
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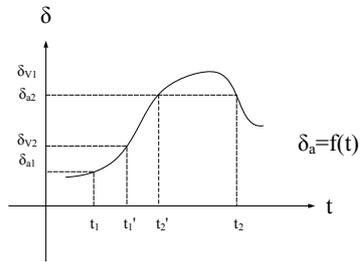
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(c)



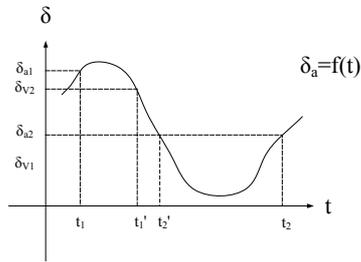
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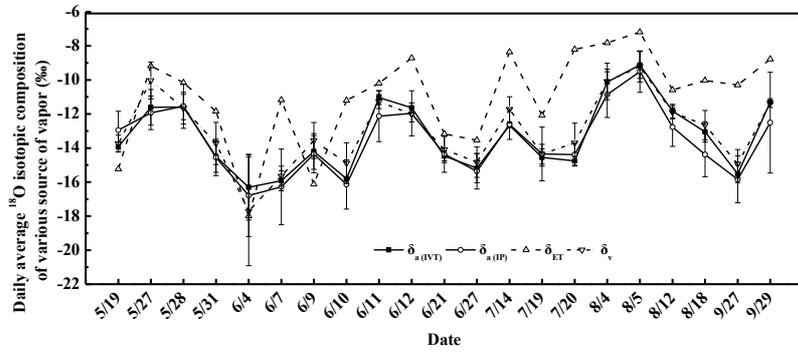
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(e)



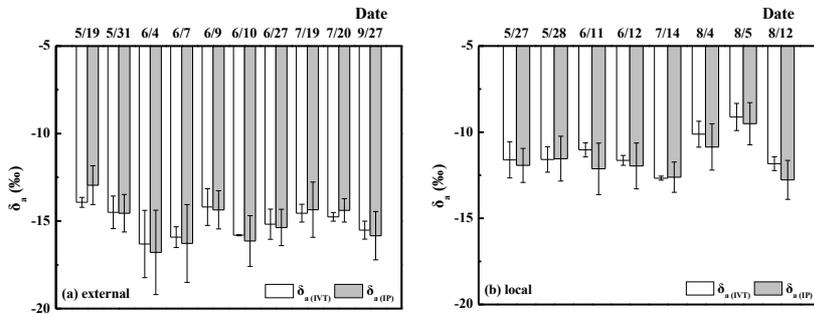
(f)

652 Fig. 1 Theoretical diagrams of all possible combinations of the relationships between isotope  
 653 composition of ambient vapor ( $\delta_a$ ) and observed isotope composition of atmospheric vapor ( $\delta_v$ )  
 654 of two continuous moments  $t_1$  and  $t_2$ , ( $t_1 < t_2$ ).  $\delta_{a1}$  and  $\delta_{a2}$  represent  $\delta_a$  value in  $t_1$  and  $t_2$ ,  
 655 respectively.  $\delta_{v1}$  and  $\delta_{v2}$  represent  $\delta_v$  value in  $t_1$  and  $t_2$ , respectively.  $t_1'$  and  $t_2'$  represent the time  
 656 of two specific moments between  $t_1$  and  $t_2$  with  $t_1 < t_1' < t_2' < t_2$ . For all of the six situations,  
 657 there exists some sub-intervals  $[t_1', t_2'] \subset [t_1, t_2]$  such that the whole range of  $\{\delta_a(t) : t \in$   
 658  $[t_1', t_2']\}$  is within  $[\min(\delta_{v1}, \delta_{v2}), \max(\delta_{v1}, \delta_{v2})]$ .  
 659



660  
 661 Fig. 2 The daily average values of the isotope composition of evapotranspiration vapor ( $\delta_{ET}$ ),  
 662 the isotope composition of atmospheric vapor ( $\delta_v$ ), the estimated isotope composition of  
 663 ambient vapor using the intersection point method ( $\delta_{a(IP)}$ ) and the Intermediate Value Theorem  
 664 method ( $\delta_{a(IVT)}$ ) in the 21 days (see method section 2.3).  
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668 Fig. 3 The daily average values of the estimated isotope composition of ambient vapor using  
669 the intersection point method ( $\delta_{a(IPT)}$ ) and the Intermediate Value Theorem method ( $\delta_{a(IVT)}$ ) after  
670 filter. Hybrid Single Particle Lagrangian Integrated Trajectory (HYSPLIT) backward trajectory  
671 showed external origin (a) and local origin (b), respectively.

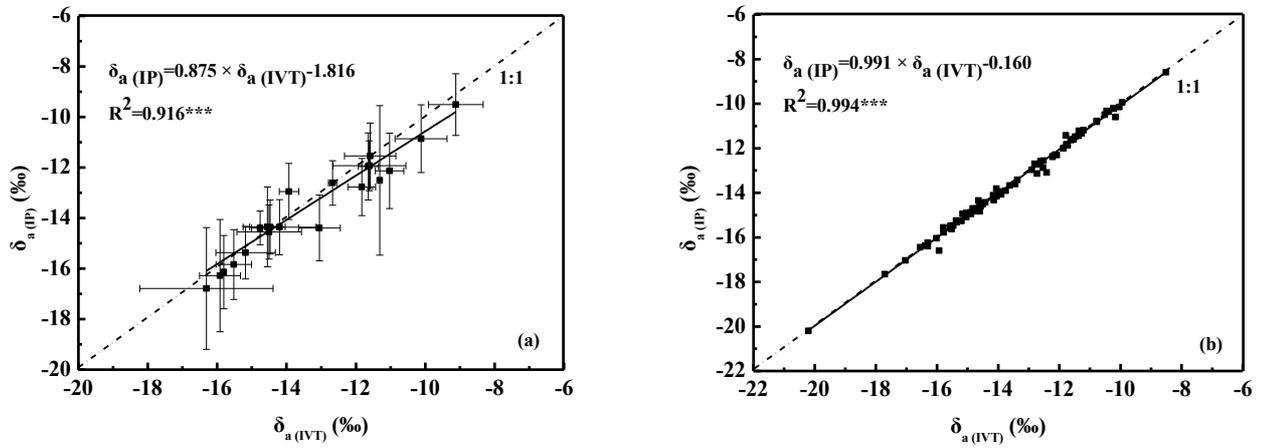


Fig. 4 Linear regression between the estimated isotope composition of ambient vapor using the intersection point method ( $\delta_{a(\text{IP})}$ ) and the Intermediate Theorem method ( $\delta_{a(\text{IVT})}$ ) on daily scale (a) and point to point scale (b), respectively.

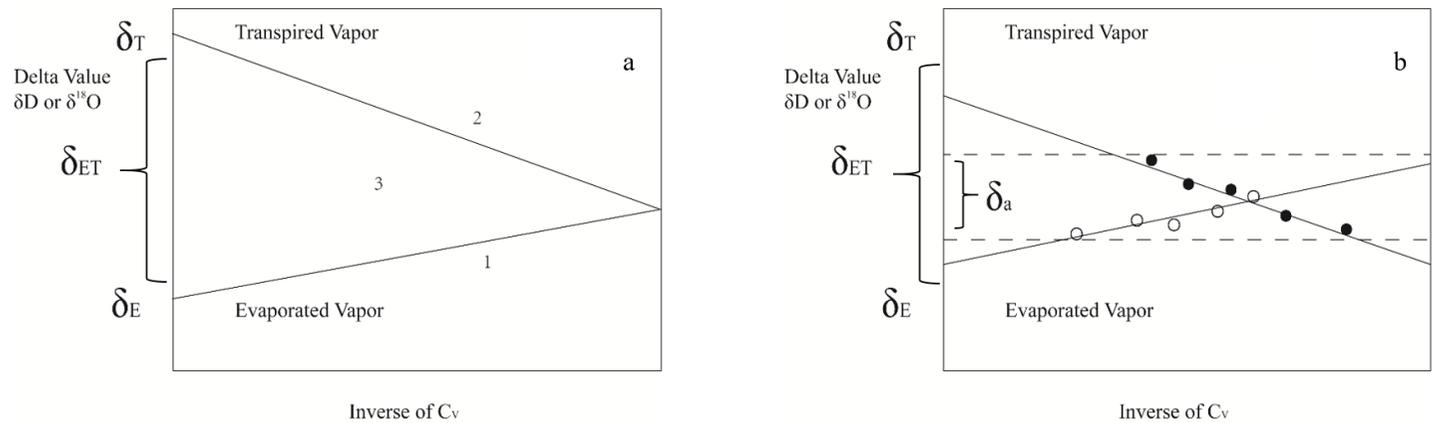


Fig. 5 Hypothetical graph of the idealized Keeling plots of the isotope composition of evaporation vapor ( $\delta_E$ ) (line 1), the isotope composition of transpiration vapor ( $\delta_T$ ) (line 2) and the isotope composition of evapotranspiration vapor ( $\delta_{ET}$ ) (area 3) (a), and hypothetical graph of idealized  $\delta_E$ ,  $\delta_T$  lines and the interval of possible the isotope composition of ambient vapor ( $\delta_a$ ) in the Keeling plots (b).

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