

# Flooding in the Mekong Delta: Impact of dyke systems on downstream hydrodynamics

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**Abstract.** Building high dykes is a common measure to cope with floods and plays an important role in agricultural management in the Vietnamese Mekong Delta. However, the construction of high dykes causes considerable changes in hydrodynamics of the Mekong River. This paper aims to assess the impact of the high dyke system on water level fluctuations and tidal propagation in the Mekong River branches. We developed a coupled 1D-2D unstructured grid with

15 Delft3D Flexible Mesh software. The model domain covered the Mekong Delta extending to the East (South China Sea) and West (Gulf of Thailand) seas, while scenarios included the presence of high dykes in the Long Xuyen Quadrangle (LXQ), Plains of Reeds (PoR) and TransBassac regions. The model was calibrated for the year 2000 high flow season. Results show that inclusion of high dykes varies the percentages of seaward outflow through the different Mekong branches and slightly redistributes flow over the low flow and high flow seasons. The LXQ and PoR high dykes result in an increase of daily mean  
20 water levels and a decrease of tidal amplitudes in their adjacent river branches. Moreover, the different high dyke systems not only have an influence on the hydrodynamics in their own branch, but also on other branches because of the connecting channel of Vam Nao. These conclusions also hold for extreme flood scenarios of 1981 and 1991 that had larger peak flows but smaller flood volumes. Peak flood water levels in the Mekong Delta in 1981 and 1991 are comparable to the 2000 flood since peak floods decrease and elongate due to upstream flooding in Cambodia. Future studies will focus on sediment  
25 pathways and distribution as well as climate change impact assessment.

## 1 Introduction

Rivers are the major source of fresh water supply for human use (Syvitski and Kettner, 2011). In addition the fresh water supply is an important resource for ecosystems. When river discharge exceeds their bank full discharge, their floodplains inundate. The fluvial floods bring both advantages and disadvantages to local residents. Floods are the main source of fresh  
30 water supply and deliver sediments as a natural and valuable fertilizer source for agricultural crops (Chapman and Darby,

2016). This is an important process in the Mekong Delta since the majority of local citizens are farmers. In contrast, extreme floods may damage crops and infrastructure.

In order to maintain agricultural cultivation during the high flow seasons, dyke rings have been built to protect agricultural crops in the Vietnamese Mekong Delta (VMD). As a result, the river system in the VMD has significantly changed, 5 especially after the severe floods in 2000 (Biggs et al., 2009; Renaud and Kuenzer, 2012). A dense canal system has been created in flood-prone areas to efficiently drain flood waters from the Long Xuyen Quadrangle and the Plains of Reed to the West Sea (Gulf of Thailand) and to the Vamco Rivers, respectively (Figure 1).

Recently large hydraulic structures have been built not only in the flood-prone areas but also in the coastal areas to protect 10 cropping systems from saline intrusion. Therefore, hydrodynamic processes have considerably changed. Understanding the prevailing hydrodynamics is essential for sustainable water management in these regions.

The high dyke system is intended to reduce local natural flood hazards, but may alter the hazard downstream (Triet et al., 2017). Besides, they also increase potential risk due to dyke breaks. Following different approaches, Tran et al. (2017) found that the high dyke system in the upstream of VMD causes an increase of the peak water levels in the downstream areas. However, water levels at these downstream stations are highly dominated by tidal motion. In fact, tides may result in an 15 increase of water levels in the central VMD. Thus an analysis of tidal fluctuation is needed to investigate water level changes on the Mekong River. The high dyke system may be an important factor, but sea level rise in combination with land subsidence enhances peak water levels at the central stations to a larger extent (Triet et al., 2017). The high dyke system influences not only the downstream hydrodynamics by reducing inundated floodplain areas, but also fluvial sediment deposition on floodplains.

20 There is a number of large-scale numerical models used for simulating the annual floods, suspended sediment transport and evaluating impacts of dyke construction in the Mekong Delta (Manh et al., 2014; Tran et al., 2018; Triet et al., 2017; Van et al., 2012; Wassmann et al., 2004). For instance, Tran et al. (2017) investigated the impacts of the upstream high dyke system on the downstream part of the VMD. By using a MIKE hydrodynamic model for Mekong Delta, they found that the high dykes system in the LXQ can reduce the discharge of the Song Tien, diverting around 7% of the total volume to Song Hau.

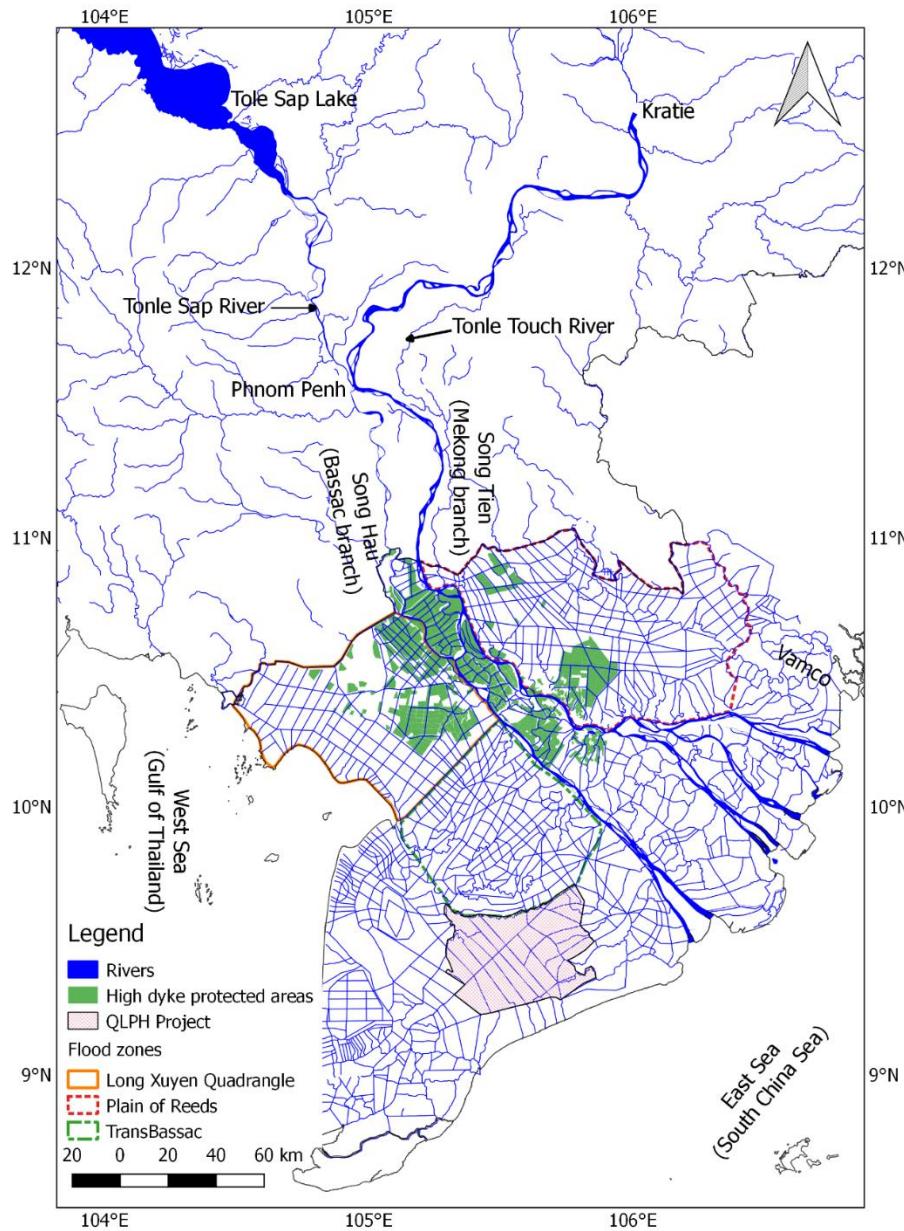
25 In addition, the yearly discharge variations have slight effects on the peak of water levels at Can Tho station, while Triet et al. (2017) found that the high dyke system caused an increase of the flood peaks from 9 to 13 cm at the central VMD stations. Moreover, Triet et al. (2017) show that the development of the dyke system upstream of the VMD reduces flood retention in this area, leading to a rise of 13.5 cm and 8.1 cm in the peak water levels in the downstream part of the VMD at Can Tho and My Thuan, respectively.

30 The studies mentioned previously evaluated the impact of the high dykes in the LXQ and the high dykes developed until 2011. The impact of the other floodplain regions needs to be considered, including the LXQ, PoR and TransBassac. Additionally, (Manh et al., 2014; Tran et al., 2018; Triet et al., 2017) used a common version one-dimension model of MIKE11 for the Mekong Delta and the downstream boundaries are defined at the Mekong's river mouths. However, Kuang

et al. (2017) found that river flows can contribute to a rise of water level at the river mouths. Thus, in the present study another modelling approach is used in order to address these issues.

This study aims at assessing the impacts of the high dyke system on water level fluctuation and tidal propagation on the Mekong River branches. An unstructured, combined 1D-2D grid is used to simulate the flood dynamics in 2000. The model 5 domain covers the Mekong Delta and extends from Kratie in Cambodia to the East (South China Sea) and West seas. Simulated scenarios present the impact of high dykes in different floodplain regions and the entire VMD. The specific objectives are:

- To develop a calibrated and validated hydrodynamic model using Delft3D Flexible Mesh that is able to simulate the annual floods in the Mekong Delta;
- 10 - To analyse spatial-temporal distribution of the Mekong River's flows for different extreme river flow scenarios; and
- To evaluate how the development of high dykes which are built to protect floodplains, influences the downstream hydrodynamics, particularly with respect to tidal propagation.



**Figure 1. Location of the Mekong Delta.**

### 1.1 The Mekong Delta

The Mekong is one the largest rivers in the world (MRC, 2010). It starts in Tibet, China, flowing through five riparian 5 countries and reaches the ocean via originally nine branches but now seven estuaries. It has a length of 4800 km and a total

draining catchment area of 795,000 km<sup>2</sup> (MRC, 2005). The Mekong Delta starts from Phnom Penh (Figure 1), where the Mekong river is separated into two branches, namely Mekong and Bassac (Gupta and Liew, 2007; Renaud et al., 2013). The Mekong Delta is formed by sediment deposition from the Mekong River, which provides a yearly amount of 416 km<sup>3</sup> of water and 73 Mt/year of sediment at Kratie, mainly distributed in the high flow season (Koehnken, 2014; MRC, 2005). The

5 Mekong Delta has a complex river network, especially in the Vietnamese part. The Mekong Delta's river network is illustrated in Figure 2. It has resulted from extensive man-made canal development from 1819 onwards (Hung, 2011).

Regarding land resources, the VMD area is about 4 million ha in which three-quarters is used for agricultural production (Kakonen, 2008). The livelihoods of the local citizens are mainly based on agriculture and aquaculture. The river infrastructure has been developing for the priority of agriculture. It provides just over one half of rice yields in Vietnam and 10 provides up to approximately 90% of exported rice yields from Vietnam (GSOVN, 2010). However, the rice cultivation is highly influenced by annual floods (MRC, 2009a).

The most intensive agricultural production in the VMD is found in An Giang province (Figure 2). Although it is also a flood-prone area, the inundation periods are slightly shorter due to flood withdraw to the West Sea. In the deep flooded zones (Long Xuyen Quadrangle and Plain of Reeds), the high dykes were densely built downstream of these zones. A reason for 15 this is that the downstream LXQ and PoR areas experience low flood peaks so the dyke rings do not need to be heightened as the upstream LXQ and PoR.

The Mekong Delta is dominated by a tropical monsoonal climate. There are two dominant monsoons. The southwest monsoon is from May to October, coinciding with the high flow season. The other, drier monsoon period is from November to March, followed by a transition period (MRC, 2010). The mean temperature is approximately 26.5° C. Although the 20 climate is seasonally changing, monthly averaged temperature differences are 4°C between the hottest and coldest months (Le Sam, 1996). However, seasonal rainfalls are drastically different in terms of time and space. The high flow seasons contribute approximately 90% of the total annual rainfall intensity, whereas the low flow season (from December to April) account for 10% of the total rainfall. The yearly mean rainfall is about 1600 mm in the VMD. The highest rainfall is found in the western coastal area of the Mekong Delta, ranging between 2000 and 2400 mm. The eastern coast receives about 1600 25 mm of rainfall, while the lowest rainfall is in the centre of the VMD (Le Sam, 1996; Thanh et al., 2014).

## 1.2 High dyke development in the Vietnamese Mekong Delta

The Mekong Delta has been modified extensively over the last two decades after the hugely damaging flood of 2000. Noticeable change is the hydraulic infrastructure, especially the dyke development. Before the dykes were built, a dense canal network was developed to drain floods to the West Sea and to clean acid sulphate soils.

30 Depending on the dyke function, the dykes can be classified into two categories. The low dykes are built to protect the rice harvest of Summer-Autumn crops in August. This is the rising phase of the annual floods. The low dykes allow flood overflows and inundation of floodplains so the crests of the low dykes are designed to just equal the maximum water level in

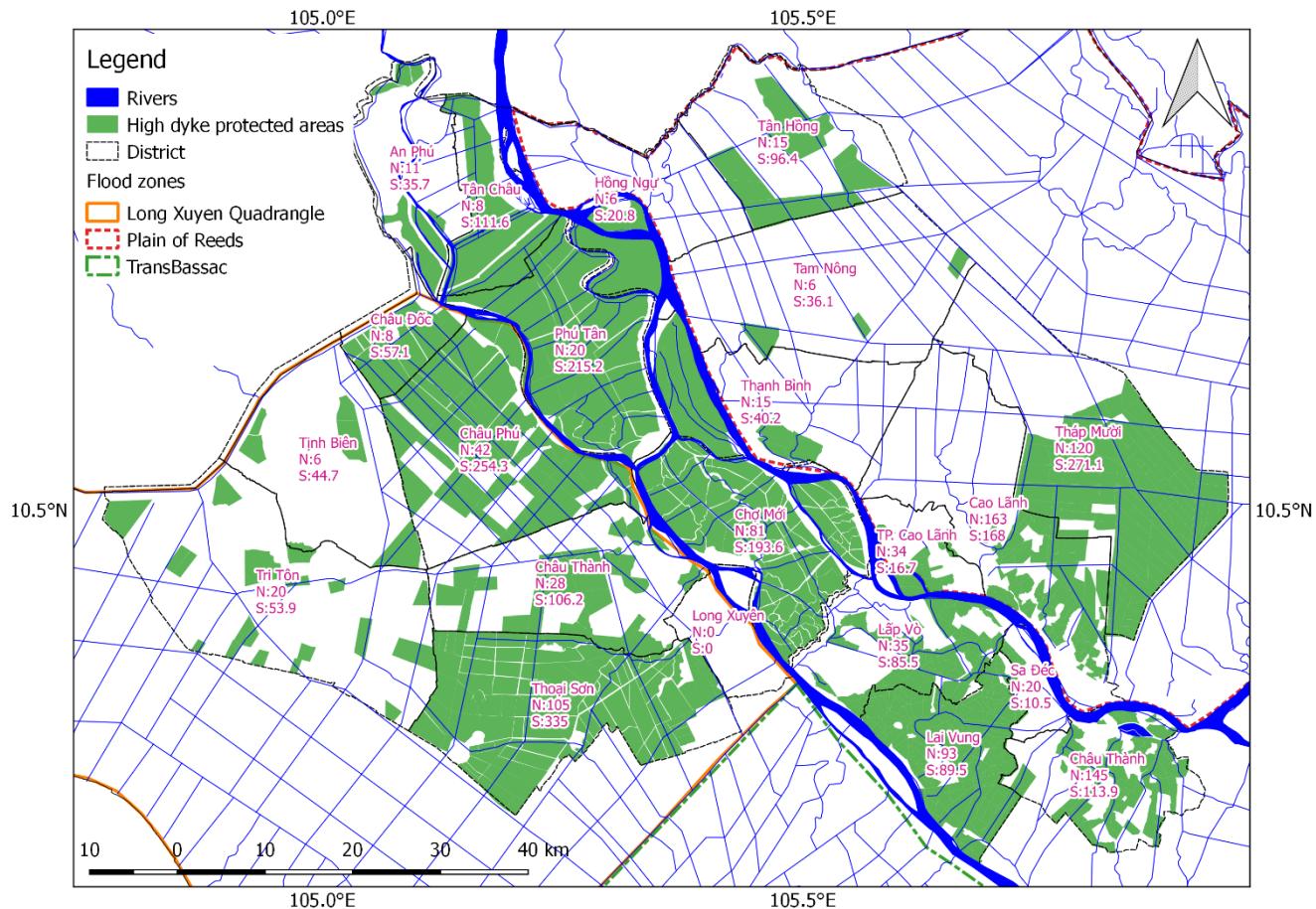
August. The high dykes are constructed in order to completely prevent the annual floods and enable intensive agricultural production. Generally the high dykes are designed at a crest level of 0.5 meter above the year 2000 flood peak. The flood 2000 was a severe flood which has a 50-year recurrent interval in terms of flood volumes (MRC, 2005). In An Giang, there are two types of high dykes. The first type of high dykes has a single dyke ring only. The hydrodynamics just outside of the 5 dyke ring are dominated by floods. These dykes have a straightforward floodplain protection function but a high risk of breaching. The other type contains some first-type high dykes which is protected by a large overall dyke. The hydrodynamics outside of these high dykes are controlled by structures (sluice gates) in the overall dyke.

Several studies have mapped the high dykes in the VMD by using remotely sensed images (e.g. Duong et al., 2016; Fujihara et al., 2016; Kuenzer et al., 2013). By this method, the high dykes are identified via flooded and non-flooded areas.

10 However, these results are easily affected by water management of the high dyke rings. For example, in An Giang, the high dyke areas are managed according to the rule of the 3+3+2 cropping cycle. In other words, these areas are cultivated for eight (3+3+2) agricultural crops in 3 years and allowed to inundate during part of the year once every 3 years. Thus the results need to be verified with observations for reliability of the maps.

High dykes were hardly constructed in the VMD before 2000 (Duong et al., 2016). The year 2000 historical flood, 15 particularly, caused enormous damage to infrastructure and residents' properties. After the flood event, the local authorities planned and built a cascade of high dykes in order to protect the residents and cultivations which are the major livelihood in this region. In addition, the VMD has great potential for intensification of agricultural production. Until 2009 the area protected by high dykes was about 1,222 km<sup>2</sup>, covering around 35% of the An Giang province area and this percentage increased to over 40% (about 1,431 km<sup>2</sup>) in 2011. Dong Thap has a much lower coverage of about 30%, corresponding to an 20 area of 990 km<sup>2</sup>. Dong Thap has deep inundated areas and its soil contains high concentration of sulphates, causing low potential for agriculture (Kakonen, 2008).

Figure 2 presents numbers and areas of high dykes by districts in An Giang and Dong Thap provinces until 2011. In 2011, An Giang and Dong Thap numbers of high dykes were 329 and 657, respectively. The total area protected by high dykes in An Giang was larger than in Dong Thap (about 14 compared to 10 km<sup>2</sup> respectively). As a result, the mean area of a high 25 dyke in An Giang is larger than in Dong Thap. In fact, high dykes are located mainly along the banks of the Song Tien and Song Hau (Figure 2) where the soils are alluvial (Nguyen et al., 2015).

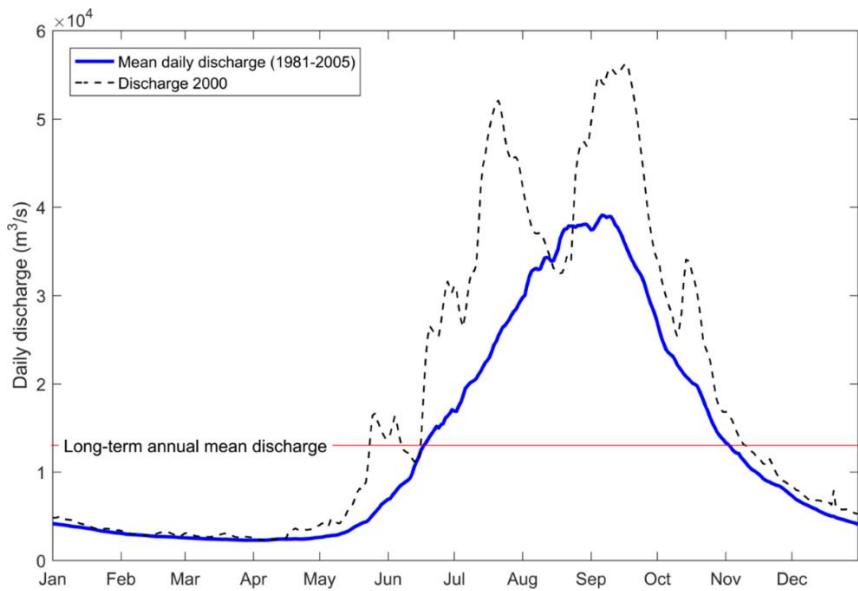


**Figure 2. Spatial distribution of high dykes which were built until 2011. The high dykes are presented by districts. The number of high dykes (N) and their protected areas (S) in km<sup>2</sup>.**

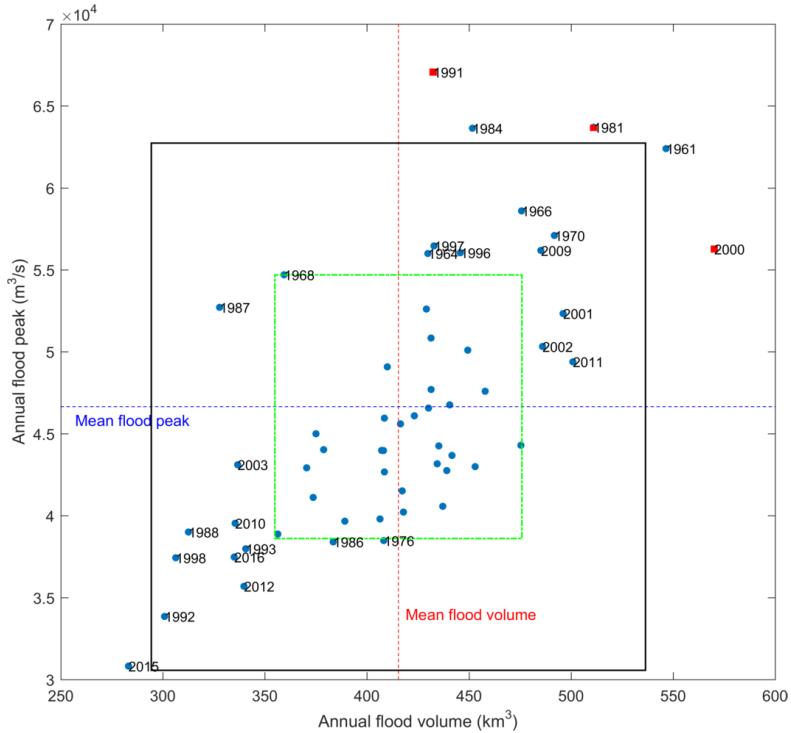
### 1.3 Flood dynamics in the Mekong Delta

5 The Mekong Delta is spatially separated into inner and outer parts. The former is dominated by fluvial processes, while the latter is dominated by marine processes, including tides and waves (Ta et al., 2002). The Mekong River supplies approximately 416 km<sup>3</sup> of water volume annually, or on average 13,200 m<sup>3</sup>/s through Kratie (MRC, 2005). Figure 3 shows that water discharge varies from 1700 m<sup>3</sup>/s to 40,000 m<sup>3</sup>/s between low flow and high flow seasons (Frappart et al., 2006; Le et al., 2007; MRC, 2009b; Wolanski et al., 1996). During the high flow season high water discharge causes inundation in the

10 delta floodplains in Cambodia and Vietnam. The annual floods in the Mekong Delta can be indicated by their peaks and volumes. The analysis of flood peaks and volumes at Kratie from 1961 to 2017 shows that the floods in 1991 and 2000 was extreme (Figure 4).



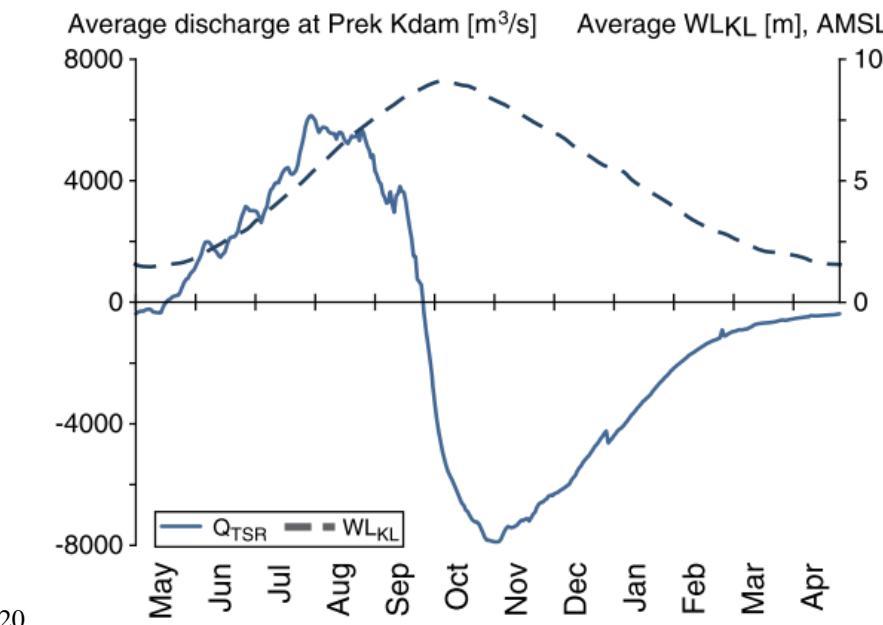
**Figure 3. Temporal distribution of daily water discharge at Kratie (available data from Darby et al., 2016).**



**Figure 4. The annual flood peaks and volumes at Kratie from 1961 to 2017. The green and black boxes indicate significant ( $\text{mean} \pm \text{standard deviation}$ ) and extreme ( $\text{mean} \pm 2 \times \text{standard deviation}$ ) drought or flood years, respectively.**

From Kratie to Phnom Penh, the hydrodynamics of the Mekong River are dominated by fluvial flows. The river banks are lower than water levels in the high flow seasons, leading to water overflowing into the floodplains. The floodplains on the west side convey water to the Tonle Sap River while the flood water flows into the Tole Touch River on the east side (Figure 1). The floodplains on the west side receive less water than those on the east side, with water volumes of 24.7 and 35.4 km<sup>3</sup>, 5 respectively. The peak discharges of the Mekong River to the left and right floodplains are approximately 5,400 and 7,800 m<sup>3</sup>/s (Fujii et al., 2003). These floodplains in combination with the Tonle Sap River cover about half of the Mekong's peak discharge.

At Phnom Penh, the Mekong is divided into two branches (Mekong and Bassac). In addition the Mekong River confluences with the Tonle Sap River. The Tonle Sap Lake is the largest freshwater body in Southeast Asia and it has a crucially 10 important role in controlling water levels in the Mekong Delta. Its surface area would cover an area of 3,500 km<sup>2</sup> during low flow seasons and is about four times larger during high flow seasons (MRC, 2005). The water volume of the lake is up to 70 km<sup>3</sup> in the high flow season (MRC, 2005). The Tonle Sap Lake has a function as a natural flood retention basin of the Mekong River, leading to a reduction of annual variations of water discharge flowing into the Delta. The flood flows to the 15 Lake and reverses back during low flows to the Mekong River at the Phnom Penh confluence. Figure 5 shows long-term daily average water discharge flowing in and out of the Tonle Sap Lake at the Prek Kdam station. When water levels at Kampong Luong increase, reaching the peak of over 9 meters, the Lake supplies water to the Delta, increasing Mekong River flows after the flood season and helping to reduce saline intrusion in the coastal areas during the low flow seasons. From May to September, Mekong water feeds into the Tonle Sap Lake. From October until the following April it drains back 20 into the Mekong.



**Figure 5. Daily averaged (from 1997 to 2004) water discharge hydrograph at Prek KDam and water level variation at Kampong Luong (Kummu et al., 2014). The solid line presents river flow coming in the Tonle Sap Lake at the Prek Kdam station, while the dashed line shows water levels at Kampong Luong station.**

From Phnom Penh to the Cambodia-Vietnam (CV) border, the Mekong River flows mainly through the Mekong branch, 5 reaching up to 26,800 m<sup>3</sup>/s during flood peaks (Fujii et al., 2003). During the flood peaks, the floods discharge onto the VMD through the Mekong, Bassac branches and the floodplains overflow by 73%, 7% and 20% of the total discharge, respectively (Figure 1).

In the VMD, the Mekong River flow diverts partly from the Song Tien (Mekong branch) to Song Hau (Bassac branch). Regarding flood distribution, water discharges at Tan Chau (Song Tien) and Chau Doc (Song Hau) are estimated to be 80% 10 and 20% of the total flood flow, respectively. However, the connecting channel of Vam Nao leads to a relative balance between the Song Tien (at My Thuan) and the Song Hau (at Can Tho) downstream (Figure 6). At these stations water levels are strongly dominated by tides of the East Sea. Water levels in the coastal VMD fluctuate by tides from both the East Sea and West Sea, but the tidal range of the East Sea is much higher than that of the West Sea. Therefore, the East Sea's tides play a more important role and become the main dominant factor controlling hydrodynamics in the VMD coastal areas.

## 15 2 Methodology

This section introduces the methodology of our study. Section 2.1 describes the model setup. Section 2.2 provides the model calibration and validation. Sections 2.3 and 2.4 elaborate on the scenarios of high dyke development and further analysis of these scenarios, respectively.

### 2.1 Model description and setup

#### 20 2.1.1 Software description

The hydrodynamic model applied in this study is the Delft3D Flexible Mesh (DFM) Model Suite which has been developed by Deltares (deltares.nl). DFM is a multi-dimensional model which includes one, two and three dimensions in the same setup. It solves the two- and three-dimensional shallow water equations (Kernkamp et al., 2011). These equations describes mass and momentum conservation (Deltares, 2018).

$$25 \quad \frac{\partial h\mathbf{u}}{\partial t} + \nabla \cdot (h\mathbf{u}) = 0$$

$$\frac{\partial h\mathbf{u}}{\partial t} + \nabla \cdot (h\mathbf{u}\mathbf{u}) = -gh\nabla \zeta + \nabla \cdot (vh(\nabla \mathbf{u} + \nabla \mathbf{u}^T)) + \frac{\tau}{\rho}$$

Where  $\nabla = \left( \frac{\partial}{\partial x}, \frac{\partial}{\partial y} \right)^T$ ,  $\zeta$  is the water level,  $h$  the water depth,  $u$  the velocity vector,  $g$  the gravitational acceleration,  $v$  the viscosity,  $\rho$  the water mass density and  $\tau$  is the bottom friction.

DFM allows computation on unstructured grids so it is suitable for regions with complex geometry (Achete et al., 2015), including combinations of 1D, 2D and 3D grids. This feature is efficient for taking into account small canals. Therefore, in 5 this study DFM is selected for simulating floods dynamics in the Mekong Delta which comprises a dense river network and highly variable river widths, dykes and flood plains.

### 2.1.2 Model setup

The model in this study was improved from the model used by Thanh et al. (2017). In the present configuration, the model uses a depth-averaged setting.

#### 10 Grid generation and improvement

The unstructured model was constructed with an approach of multi-scale modelling; specifically, it consists of a combination of 1-D (canals) and 2-D (the main branches of the Mekong River, its floodplains and shelf) parts. The approach shows efficiency in the case of complex geometry such as the entire Mekong delta. To capture the hydrodynamics of the main branches and estuaries of the Delta, the main channels are represented in enough horizontal detail to resolve the flow patterns 15 over channels and shoals and at the main bifurcations and confluences. Regarding the shelf, the model extended to approximately 80 km from the coastline of the Delta to fully contain the river plume (Figure 6).

The grid includes the river system of the Mekong River from Kratie to the East Sea and its shelf. The mainstream of the Mekong River, the subaqueous delta and floodplains are represented by 2D cells while the primary and secondary canals are modelled as 1D networks. The 2-D cells are a combination of curvilinear (in the main channels) and triangular grid cells.

20 The grid creation was introduced and recommended by Bomers et al. (2019) and Kernkamp et al. (2011). The grid/element sizes vary from about 0.1 km in rivers to 3 km in the delta shelf. The lengths of grid are various depending on river geometry. The lengths of cells are generally around 700 m on the Mekong River mainstreams and reduce to approximately 200 m at river bifurcations and confluences. The larger cells of the Tonle Sap Lake, floodplains and Sea are up to 2000 m. The uniform length of 1D segments is 400 m. The grid quality is critical to accurate simulations, so the grid has been made 25 orthogonal, smooth and sufficiently dense, to orthogonality values of less than 10%.

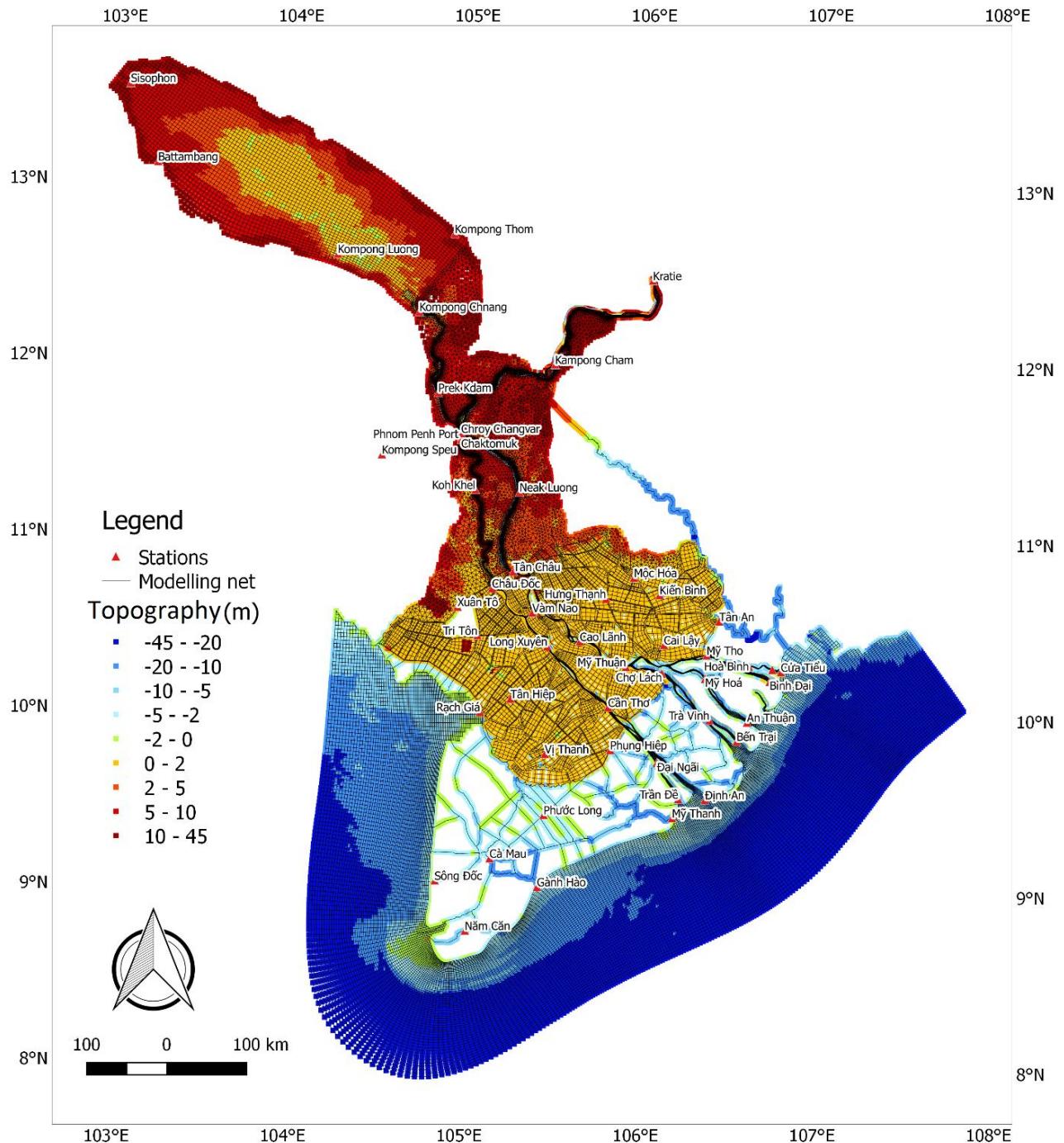
From the survey data, it can occur that a dyke ring in the model can consist of high dykes and low dykes together. This situation may occur because the model only includes the main rivers and secondary canal network, but tertiary and small canals are not included. In order to determine whether the dykes are fully protected or partly protected, the ratio of high dyke area and low dyke/non-dyke area is calculated. If the ratio is higher than or equal to 1, the dyke is recognised as a high dyke.

30 If not, it is determined as a non-high dyke. In the modelling approach, a high dyke is not allowed water flow from linked canals to its protected floodplains and we did not consider overflows the crest of dykes.

The VMD witnessed 3 large floods continuously from 2000 to 2002 based in the flood classification of the Tan Chau's flood peaks. Thus the 2000 and 2001 floods were chosen to calibrate and validate the model respectively. Another reason for selecting the 2000 flood is that datasets for this flood are comprehensive.

### **Bathymetry data**

5 For modelling the flood dynamics in the Mekong Delta, bathymetry is a key element. However, available data of the Mekong Delta is limited. For river bathymetry, cross-sectional data has been used that was collected by the Mekong River Commission and used to develop the 1D hydrodynamic model (ISIS) to simulate fluvial flood propagation (Van et al., 2012). To use these profile data for 2D modelling, the cross-sectional data were interpolated to river bathymetry for the main branches while the primary and secondary canals directly used the cross-sectional data from the 1D ISIS model. The  
10 bathymetry of the sea area is extracted from ETOPO1 (Amante and Eakins, 2009). The floodplains topography is obtained from the freely available digital elevation model of SRTM90m (Reuter et al., 2007). Although SRTM is not a high quality digital elevation model, it was reasonably used for flood modelling in the Mekong Delta (e.g. (Dung et al., 2011; Tran et al., 2018).



**Figure 6. Mekong Delta modelling grid and river interpolated topography from 1D ISIS cross-section data and shelf topography of the Mekong Delta.**

## Boundary conditions

Open boundaries are defined as water discharge (at Kratie) and water levels (the Sea). The measured water discharges were used for the upstream boundary at Kratie and were collected from the Mekong River Commission. The latter were defined as astronomical tidal constituents and extracted from a global tidal model (TPXO, Egbert and Erofeeva, 2002). Besides, in order to allow alongshore transport, the North cross-shore boundary is defined as Neumann boundary which is driven by the longshore water level gradient (Tu et al., 2019).

## Initial conditions

Water levels in the Mekong Delta vary highly in space due to large-scale flood retention. The model takes a long time to capture the system behaviour, especially to arrive at the correct flood storage of the Tonle Sap Lake. The Tonle Sap Lake plays a significant role in controlling upstream discharge in the low flow season. Therefore, the model was spun up over the year 1999; simulated results at the end of this year were used as initial conditions for the year 2000 simulation.

## 2.2 Model calibration and validation

The years of 2000 and 2001 were chosen for calibrating and validating the model respectively. The model calibration parameter is the roughness coefficient. This parameter is also selected for calibration without any sensitivity analysis since it is commonly used for calibrating hydrodynamic model (Manh et al., 2014; Wood et al., 2016). In this study, the 'trial and error' method is used for calibration. The roughness coefficients are extracted from the previous calibrated models, including ISIS (Van et al., 2012) and MIKE11 (Manh et al., 2014), in order to speed up the calibration process. The model was calibrated against measured data, with the objective function of Nash-Sutcliffe efficiency (NSE). NSE is a normalised statistical indicator that used comparison of residual variance and measured data variance (Nash and Sutcliffe, 1970) and calculated as:

$$E = 1 - \frac{\sum_{t=1}^T (Q_m^t - Q_o^t)^2}{\sum_{t=1}^T (Q_o^t - \bar{Q}_o)^2}$$

where  $\bar{Q}_o$  is the mean of observed discharges,  $Q_m^t$  is simulated discharges, and  $Q_o^t$  is observed discharge at time t.

In this study, we used different temporal intervals of observation data. The daily data are used in the Cambodia Mekong Delta (CMD) and hourly in the VMD. The reason is that hydrodynamics in the CMD are unlikely affected by tides, particularly in high flow seasons; while hydrodynamics in the VMD are strongly dominated by tides even in the high flow seasons, so the hourly data are better for representing tidal fluctuation.

NSE is commonly used for evaluating hydrological models. Model performance is acceptable if NSE is higher than 0 (Moriasi et al., 2007). NSE is higher than 0; this mean the simulation is a better predictor than the mean observation. The NSE of 1 corresponds to a perfect match of modelled results to the observed data. The hydrodynamic model is defined as well calibrated if NSE in terms of water levels and discharges is higher than 0.5. (Moriasi et al., 2007) classified model

performance based on NSE, as *very good* ( $\text{NSE} > 0.75$ ), *good* ( $0.75 \leq \text{NSE} \leq 0.65$ ), *satisfactory* ( $0.65 \leq \text{NSE} \leq 0.5$ ) and *unsatisfactory* ( $\text{NSE} < 0.5$ ).

In addition, we used an index of bias in order to recognize if the model has systematic under- or over estimates of water levels. In this study, a commonly used bias measure that is mean error is used to represent systematic error of the model (Walther and Moore, 2005). The bias is computed based on the following equation.

$$\text{Bias} = \bar{S} - \bar{O}$$

Where  $\bar{S}$  is the simulated yearly mean and  $\bar{O}$  is the observed yearly mean. The Bias is calculated for water levels over the year 2000.

## 10 2.3 High dyke development scenarios

To investigate the roles of different floodplains in the VMD and impact of these floodplains on the VMD's hydrodynamics and downstream tidal propagation, we developed scenarios that include contributions of each floodplains' water retention. These scenarios used the hydrograph of the flood 2000 which is an extremely wet year in order to estimate the maximum impacts of high dykes. The results of a statistical analysis of flood peaks and volumes encourage to choose the flood 2000 (Figure 4).

The hydrodynamic forcing is the same in these scenarios; the only difference is development of high dykes.

Scenario 1 (Base): This is the base scenario of the flood 2000, without high dykes. The floodplains in the VMD were not protected by high dykes before 2000 (Duong et al., 2016), so no high dyke is considered in this scenario.

Scenario 2 (Dyke 2011): Including the high dyke system in 2011 as illustrated in Figure 2. The number of high dykes and the protected floodplain areas are described in Section 1.2.

Scenario 3 (Dyke LXQ): High dyke system developed only in the LXQ. The floodplain area protected by the high dykes in LXQ is approximately 3,034 km<sup>2</sup>.

Scenario 4 (Dyke PoR): High dyke system developed only in the PoR. The PoR is a deep inundation region in the high flow season (Kakonen, 2008). In this scenario, the high dykes in PoR protects a floodplain area of around 5,020 km<sup>2</sup>.

Scenario 5 (Dyke TransBassac): High dyke system developed only in the Trans Bassac region. This region is a shallow inundated area of 3,152 km<sup>2</sup>.

Scenario 6 (Dyke VMD): High dyke system totally developed over the VMD's floodplains. This scenario is to investigate the possible impacts of high dykes if they are built to protect the entire VMD floodplains. The total area of floodplains, considering in the model, is about 13,059 km<sup>2</sup>.

## 2.4 Analysis of simulations

### Tidal harmonic analysis

The peak water level is a good index to indicate extreme events in the flooded areas. Tran et al. (2017) and Triet et al. (2017) used the flood peaks to assess the impact of high dykes in the VMD. However, the VMD coastal area is drastically dominated by tides. As a result, amplitudes of tidal constituents are good indices for presenting average variations of water levels in coastal areas. The water levels at the stations along the Song Tien and Song Hau were analysed over the whole year 2000 by T\_TIDE (Pawlowicz et al., 2002).

$$x(t) = b_0 + b_1 t + \sum_{k=1}^N (a_k e^{i\sigma_k t} + a_{-k} e^{-i\sigma_k t})$$

where N is a number of tidal constituents. We analysed the 8 main tidal constituents. Each constituent has a frequency  $\sigma_k$  which is known, and a complex amplitude  $a_k$  which is not known.  $x(t)$  is a time series.  $a_k$  and  $a_{-k}$  are complex conjugates.

### Water balance calculation

To understand flow dynamics, the water balance analysis is conducted by using hourly discharge data of simulations. The targeted stations for this analysis are located on the Mekong's mainstreams and boundaries of the flood-prone zones.

$$V_{in}^t = \sum_t Q * dt$$

where  $V_{in}^t$  is total water volume flowing in the targeted regions in accordance with the Mekong flow's direction.  $Q$  is hourly simulated discharge.  $dt$  is the temporal interval.  $t$  is selected periods of an entire year and seasons.

## 3 Results

In this section we present results of model performance and analysis. The model performance in calibration and validation is indicated by NSE values (section 3.1). The results of spatial distribution and temporal variation are shown in section 3.2. In addition, section 3.3 presents impact of high dykes on water levels and tidal propagation.

### 3.1 Model calibration and validation

The overall model performance is generally satisfactory for simulating flood dynamics in the Mekong Delta. For water level calibration, there are up to 36 stations used for calibration and the majority of these stations has NSE values high than the satisfactory level of 0.5. The model performance shows its stability in validation as NSE values are higher than 0.7.. Generally, the model slightly overestimates water levels. The large biases were found in the CMD, with the largest bias of

around 1 m at Kratie. The absolute values of biases decrease to smaller than 0.2 m at the stations in the VMD. Particularly, the biases at the middle and coastal VMD stations are smaller than 0.1 m (Appendix A).

The annual flood flows through the VMD by the Mekong mainstreams and over floodplains so discharge data of stations on these are employed in calibration. There are 11 stations on the mainstreams and across the CV border used in calibration.

5 Simulated and measured discharges at these stations have a good agreement and this is indicated by high NSE values. As a result, the Manning roughness coefficients of the Mekong River reaches and its floodplains after calibration and validation are illustrated in Table 1. The range of roughness coefficients is relatively similar to the previous modelling efforts (Dang et al., 2018a; Manh et al., 2014; Tran et al., 2018; Triet et al., 2017; Van et al., 2012).

10 **Table 1. Calibrated values of Manning roughness coefficient.**

River reaches/floodplains	Manning roughness coefficient	River reaches/floodplains	Manning roughness coefficient
Kratie to Phnom Penh	0.031	The Tonle Sap Lake and River	0.032
Cambodia floodplains	0.036	Phnom Penh to Vam Nao (Song Hau)	0.033
Phnom Penh to Tan Chau	0.031	Vam Nao to Can Tho (Song Hau)	0.027
Tan Chau to My Thuan	0.029	Can Tho to Song Hau mouths	0.021
VMD floodplains	0.018	VMD channels	0.027
My Thuan to Song Tien mouths	0.023	Continental shelf	0.016

### 3.2 Spatial distribution and temporal variation of water volume in the VMD

#### 3.2.1 Spatial distribution

Water enters the VMD by three ways: Song Tien, Song Hau and flows across the CV border. Figure 7 presents spatial distribution of water volume in the VMD. The VMD received around 580 km<sup>3</sup> in 2000, with volume of 405, 83, 61 and 31

15 km<sup>3</sup> through the Song Tien, Song Hau, the right and left CV border, respectively. The Song Tien diverts a considerable amount of 152 km<sup>3</sup> water to the Song Hau by the Vam Nao canal. This is the major mechanism to balance the flows seaward between the Song Tien and Song Hau. In fact, the streamflows are relatively equal between the Song Tien and Song Hau, with amounts of 247 (at My Thuan) and 235 km<sup>3</sup> (at Can Tho) respectively. The Song Tien is drained by its five estuary branches, while the Song Hau only has two branches. The Song Hau flows into the East Sea discharging 162 and 69 km<sup>3</sup> via 20 the Dinh An and Tran De branches respectively. The Song Tien's estuary branches, namely Cung Hau, Co Chien, Ham

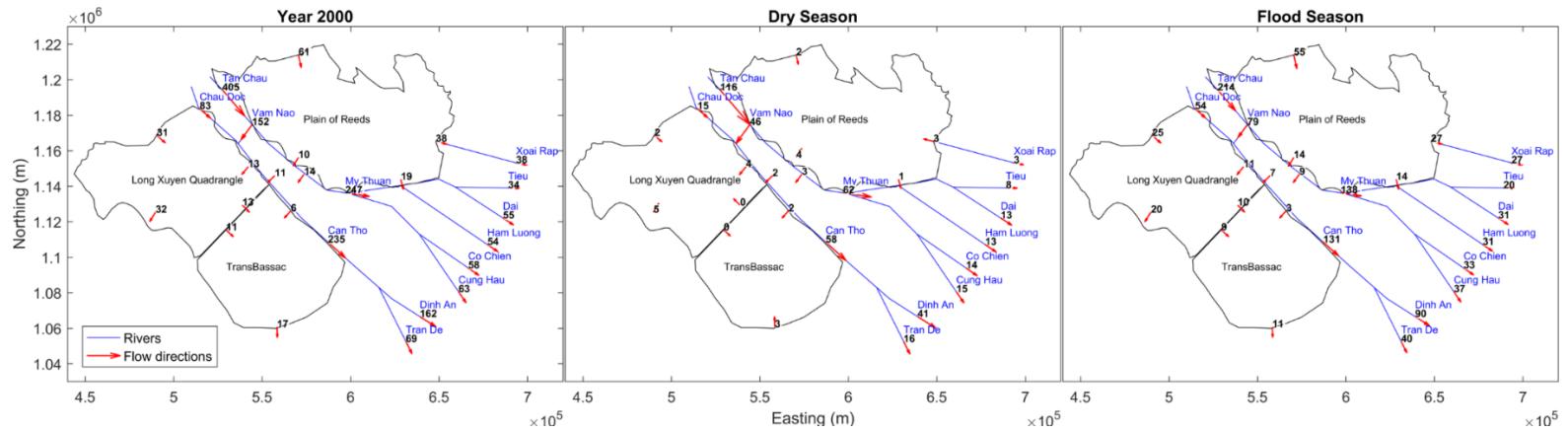
Luong, Dai and Tieu, drain a similar volume to the East Sea, with a range of 54-63 km<sup>3</sup>, except for the Tieu branch discharging only 34 km<sup>3</sup>.

Besides the mainstreams of the Mekong River, floodplains have a substantial role in changing hydrodynamics in the VMD. Hence, we analysed the water balance on the three main flood-prone areas, consisting of the LXQ, PoR and TransBassac.

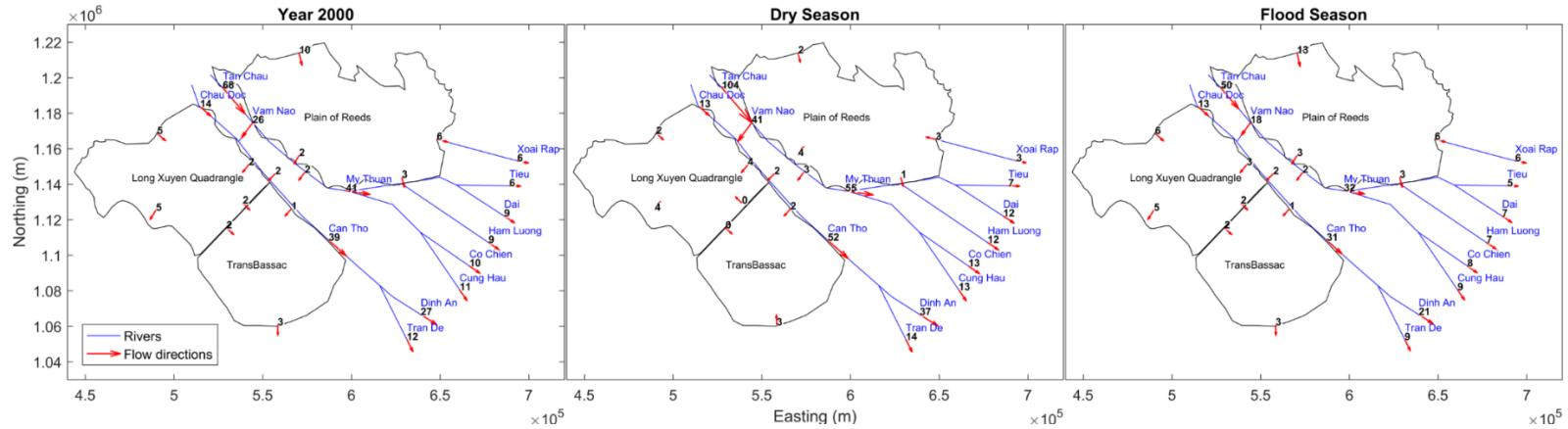
5 Among of these, the PoR harbours the largest amount of floodwater, so it is a main flood storage of the VMD. Water flows into the PoR primarily across the eastern part of the CV border in the Delta area. In fact, this way conveyed approximately 61 km<sup>3</sup> in 2000. The simulated results show a volume deficit of 29 km<sup>3</sup> from the West and South boundary of PoR that is drained to the Song Tien. The South of PoR drain a volume of around 38 km<sup>3</sup> to the Soai Rap estuarine branch by the Vamco River. Analysing the water balance of the LXQ shows that it receives water from the North and East sides, while it drains 10 water to the West and South sides. The yearly inflow of the LXQ is about 44 km<sup>3</sup>, with amounts of 31 and 13 km<sup>3</sup> from the North and East boundaries, respectively. It is found that a similar amount of water drains out of the LXQ. The LXQ mainly releases water to the West bounday (32 km<sup>3</sup>) into the West Sea, followed by the South boundary (13 km<sup>3</sup>). The drained amount of 11 km<sup>3</sup> from the South LXQ mostly enters the TransBassac floodplains. An additional source into this 15 TransBassac region is from the Song Hau, with yearly volume of 6 km<sup>3</sup>. The sum of inflows is drained by the South canals of this region.

The principal dynamical characteristic of the Mekong Delta floods is their seasonal variation. Figure 7 and Figure 8 illustrate the seasonal variation of water volume and volume percent (compared to the yearly and seasonal entering volumes at Kratie) respectively. Obviously, the flows in the high flow season is significantly higher than those in the low flow season. The 20 flood flows contribute up to 53-65% of the annual flows throughout the mainstreams and the percentages increase to over 80% on the floodplains. The Mekong River flowing into the VMD in 2000 is about 97% of the total flow at Kratie. However, the water volume coming in the VMD is higher than the entry volume at Kratie in the low flow season.

In the low flow season, there are slight discrepancies of water volumes in the segments of the Mekong River, e.g. from Tan Chau to My Thuan. A part of the discrepant proportion is stored in the river segment. As evidence, water levels at Tan Chau at the beginning of the low flow season is about 2 m, and increase to 3.5 m at the beginning of the high flow season.



**Figure 7.** Spatial distribution of water volume ( $\text{km}^3$ ) throughout the VMD in 2000. The low flow season is calculated from 01/Jan to 30/Jun and the high flow season is from 01/Jul to 30/Oct.



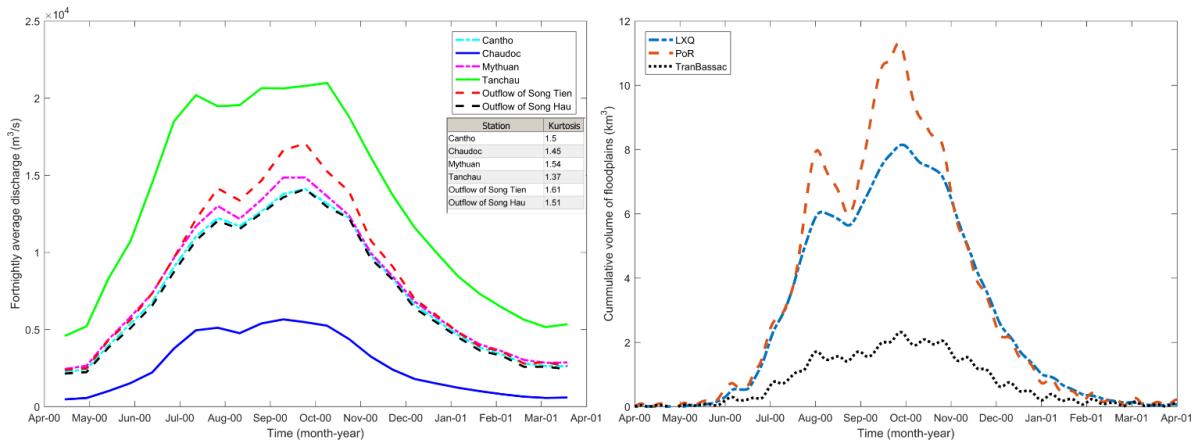
**Figure 8.** Percentages (compared to total water volume at Kratie) of water distribution throughout the VMD in 2000.

### 3.2.2 Temporal variation

Figure 9 presents simulated fortnightly average discharges at in- and out-flows of the Mekong branches and cumulative water storage in the main VMD's floodplains from Apr-2000 to Apr-2001. The yearly average inflows of the Mekong branches at Tan Chau and Chau Doc are approximately 13,000 and 2,700 m<sup>3</sup>/s, respectively. Playing a great role in water diversion between the two Mekong branches, the Vam Nao canal makes water discharges on the Song Tien and Song Hau more balanced seaward of the Vam Nao canal (Figure 7). Consequently, the water discharges at My Thuan (Song Tien) and Can Tho (Song Hau) stations become almost similar, with annually average amounts of about 7,900 and 7,500 m<sup>3</sup>/s respectively. The proportion at Can Tho station is simultaneously drained through the Song Hau mouths. The total outflow of the Song Tien is slightly greater than at My Thuan due to added flows from the southern PoR, discharging of around 8,400 m<sup>3</sup>/s.

The water discharges on the Song Tien and Song Hau are highly variable over time. As shown for the results of discharge variations, the high flow season is from the beginning of July to the end of October and the remaining period is defined as the low flow season. The largest seasonal difference is at Tan Chau, with the maximum and minimum discharges of about 21,000 and 4,500 m<sup>3</sup>/s in the high flow and low flow seasons, respectively. The flood flow at Chau Doc reaches a peak of 5,600 m<sup>3</sup>/s while the lowest flow is only 500 m<sup>3</sup>/s in the low flow season. However, the high and low flows on the Song Hau at Can Tho increase to over 14,100 and 2,200 m<sup>3</sup>/s, respectively. A similar fluctuation is found at the Song Hau's mouths. On the Song Tien, the flood discharge at My Thuan is just 14,800 m<sup>3</sup>/s and slightly rise to 17,000 m<sup>3</sup>/s at the Song Tien mouths, but the low flows are similarly of 2,400 m<sup>3</sup>/s at these stations.

The hydrographs at the upstream VMD are flatter than those of the downstream. The hydrograph shapes are indicated by their kurtoses and illustrated in Figure 9. The kurtosis index is a measure of the peakedness of the distribution. Downstream, the hydrographs are narrower at Can Tho, My Thuan and outflows of the Song Tien and Song Hau, with kurtosis higher than 1.5. One of the noticeable points is that during the high flow season flows at Can Tho and My Thuan stations at the beginning are relatively lower than at the end, while the flood flows are stable throughout the high flow season at Tan Chau and Chau Doc stations. This clearly shows how the early flood peak is stored in the major floodplains of the VMD. Figure 9 depicts the cumulative volumes in the major floodplains. At the beginning of the high flow season, these floodplains are almost empty. By early October storage increases to 11, 8 and 2 km<sup>3</sup> in the PoR, LXQ and TranBassac, respectively. When these floodplains are filled, the flood flows at Can Tho and My Thuan reach their maxima during the year.



**Figure 9. Fortnightly average discharges at stations along the Mekong branches (left) and cumulative water volumes of the major floodplains in the VMD (right).**

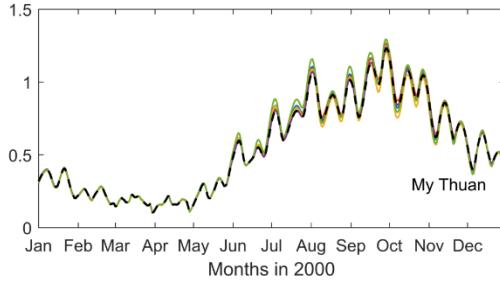
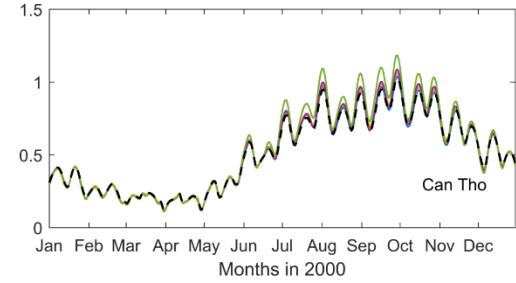
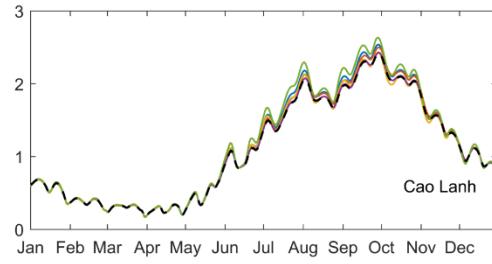
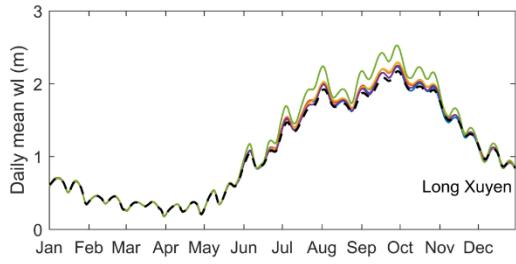
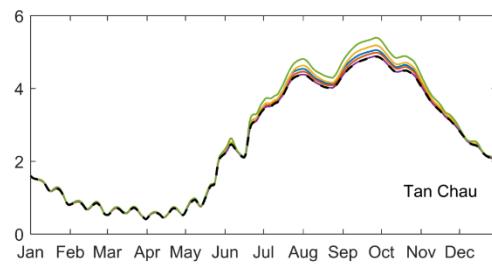
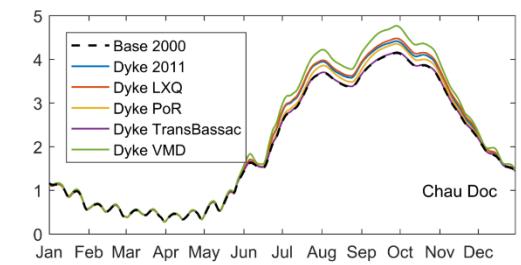
### 3.3 Water level changes under high dyke development

5 Figure 10 shows that including high dykes increases daily mean water levels on Song Hau (Chau Doc, Long Xuyen and Can Tho) and on Song Tien (Tan Chau, Cao Lanh and My Thuan), especially in the high flow season. The highest increase was found at Chau Doc and Tan Chau stations while increases decline more seaward.

#### 3.3.1 Daily water levels

10 On the Song Hau the dyked floodplains in the LXQ, PoR and TransBassac cause increases of 12.3, 6.1 and 1.1 cm of annual mean water levels at Chau Doc station, respectively. However, Table 2 shows that the effect of the PoR dykes on water level at Long Xuyen and Can Tho is larger than that of the LXQ dykes. With the high dykes built until 2011, the yearly averaged water levels would increase by 10.2 cm (Chau Doc), 1.5 cm (Long Xuyen) and 0.2 cm (Can Tho). If the high dykes would be extended over the VMD (Scenario 6), the yearly mean water levels would rise up to 22.3 cm at Chau Doc station.

15 Generally, water levels on the Song Tien are less affected by the high dykes. Among the considered floodplains, the PoR has the highest effect on Song Tien's water levels since they are directly connected. For example, the yearly mean water level at Tan Chau increases by about 8.8 cm, but only 0.6 cm at Cao Lanh station. Interestingly, the PoR slightly reduces water levels at My Thuan due to reducing conveyed capacity of floodwater from the CV border. Although the LXQ is not directly linked to the Song Tien, it causes rising water levels by around 3.6 and 1.1 at Tan Chau and My Thuan, respectively. As the high dykes were covering 2,421 km<sup>2</sup> until 2011, the mean water levels are projected to rise by approximately 0.6 cm at My Thuan and up to 6.1 cm at Tan Chau. In addition, the mean water level at Tan Chau could increase by 16.9 cm if the VMD's floodplains are fully dyked. **Noticeably, the model errors at these stations were comparable to the variability of water levels changed among the scenarios. The differences at the selected station, except Tan Chau were fallen into the model error variations (Table 2). The differences of water levels among the scenarios may be influenced by the model setup.**



**Figure 10. Daily mean water level variations at selected stations along the Song Hau (left) and Song Tien (right) under different scenarios of high dyke development.**

**Table 2. Increases of yearly mean water levels (in cm) over the year 2000 at the selected stations along the Song Tien and Song Hau under different scenarios of high dyke development.**

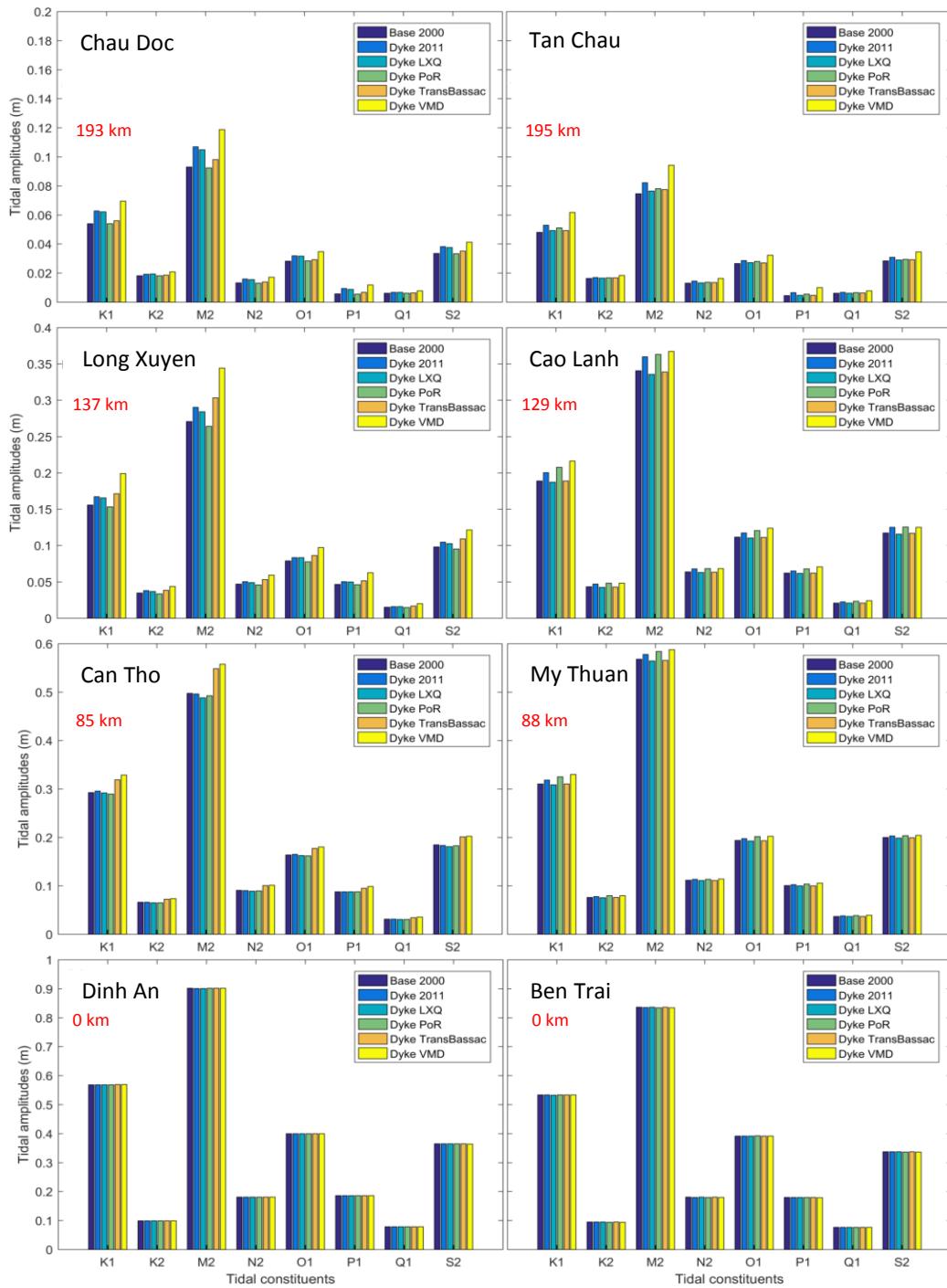
Station Scenario	Song Hau				Song Tien			
	Chaudoc (cm) <sup>*</sup>	Longxuyen (cm) <sup>*</sup>	Cantho (cm) <sup>*</sup>	Dinhhan (cm) <sup>*</sup>	Tanchau (cm)	Caolanh (cm) <sup>*</sup>	Mythuan (cm) <sup>*</sup>	Bentrai (cm) <sup>*</sup>
Dyke 2011	10.2	1.5	0.2	0.0	6.1	3.4	0.6	0.0
Dyke LXQ	12.3	3.2	1.0	0.0	3.6	2.6	1.1	0.0
Dyke PoR	6.1	3.6	1.2	0.0	8.8	0.6	-0.8	0.0
Dyke TransBassac	1.1	1.9	0.7	0.0	0.8	0.7	0.3	0.0
Dyke VMD	22.3	9.2	3.0	0.0	16.9	6.6	1.4	0.0

(\* the differences are fallen into the model error variations; <sup>\*</sup> no measured data is available)

### 3.3.2 Tidal amplitudes

The hydrodynamics in the Mekong Delta are significantly influenced by tides from the East Sea. A tidal harmonic analysis is conducted over the year 2000 to explore possible changes of the main tidal constituents. Figure 11 depicts the projected changes of tidal amplitudes along the Song Tien and Song Hau starting from the river mouths to approximately 195 km landward under high dyke development.

Tidal amplitudes at the river mouths are unlikely to change. However, differences become significant more inland. At Chau Doc, the LXQ causes the largest increase of tidal amplitudes compared to the other zones. It slightly increases M2 and K1 tidal amplitude by about 13% and 15% respectively. The TransBassac area has a main role in tidal amplitude change from Long Xuyen to Can Tho. Its dyked floodplains lead to an increase of the tidal amplitudes with 8 to 13%. Additionally, the M2 and K1 amplitudes could rise close to 28, 27 and 12% at Chau Doc, Long Xuyen and Can Tho, respectively. In contrast, high dykes in the PoR result in a marginal reduction of the amplitudes on the Song Hau. Similarly, the LXQ and TransBassac cause slight decreases of tidal variation on the Song Tien. High dykes constructed on the PoR leads to higher tidal amplitudes on the Song Tien, with increases of about 6%. These increases could reach to 28% at Tan Chau, 11% at Cao Lanh and 4.4% at My Thuan.



**Figure 11. Tidal amplitudes of the 8 main constituents at the selected stations along the Song Tien (right) and Song Hau (left) from the river mouths to about 195 km landward in the scenarios of high dyke development.**

## 4 Discussion

### 4.1 Model performance

The calibration presented in this study considered a larger number of stations compared to previous studies (e.g. Thanh et al., 2017; Tran et al., 2018; Triet et al., 2017; Van et al., 2012). These stations are mainly located in the VMD (Figure A1).

5 The majority of stations on the main Mekong River's branches have a NSE higher than 0.8 (good). In contrast, the stations located further away from the main stream have a lower NSE. The NSE values of Phuoc Long and Ca Mau stations are lower than the acceptable level because the water levels at these stations are highly influenced by local infrastructure, specifically the Quan Lo Phung Hiep Project (QLPH, see Figure 1). The QLPH is built to protect this area from saline intrusion. Flows entering QLPH are controlled by a series of sluice gates mainly located along the coast to prevent saline 10 intrusion into areas for rice cultivation and control fresh water sources. We did not consider these sluice gates in the model, because they do not have a fixed operation schedule, but one that is based on crop calendars and in-situ hydrodynamics (Manh et al., 2014). For example, observed water level at Phuoc Long station is relatively unchanged at 0.2 m over the year 2000, while the model estimates that water levels at this station have semi-diurnal variations between -0.2 m and 0.6 due to 15 tidal effects of the East Sea. During validation, a better fit was found at My Thuan station, while the others stations have comparable NSE values. As such, we are confident that the model is capable of capturing hydrodynamics in the Mekong Delta accurately.

The modelling approach in this study overcomes a limitation of previous 1D models which define their boundaries at the river mouths. The boundary conditions (usually water levels) at these locations are not always available because the water level measurement system of the VMD is not installed in all river mouth locations. Imposing a simple tidal forcing is not 20 justifiable because river flow will impact on the mean water level as well as tidal characteristics in river mouths. Our model grid, which considers part of the shelf, allows for a proper description of these dynamics.

25 Although the SRTM data were used for the VMD floodplains, this contribute to the model error. Recently, several studies reported that the SRTM data contains high uncertainties, including stripe noise, speckle noise, absolute bias and tree height bias (Hawker et al., 2018; Tarekegn and Sayama, 2013; Yamazaki et al., 2017). These studies suggest that the SRTM data should be processed to remove these errors. However, the model in this study enables to better understand the hydrodynamics in the Mekong Delta and might serve as a tool for comparative studies.

### 4.2 Spatio-temporal distribution of water volume in the VMD

The total net water volume flow through the Mekong Delta at Kratie is approximately 600 km<sup>3</sup> in 2000 where the annual 30 flood contributed about 480 km<sup>3</sup>. This is considerably higher than the average volume of 330 km<sup>3</sup>. However, the annual flood peak in 2000 is just slightly higher than the mean flood peak of 52.000 m<sup>3</sup>/s (MRC, 2009a). Thus, the 2000 flood is characterized by a broader than usual hydrograph.

Several studies have investigated the distribution of flood volume in the Mekong Delta (e.g. Manh et al. (2014), Nguyen et al. (2008) and Renaud and Kuenzer (2012)). Manh et al. (2014) calculated flood volume distribution for the floods between 2009 and 2011 in the upper VMD and concluded that the flood distribution is marginally changed over the mentioned period. However, they did not estimate flow distribution through the river mouths. We found a similar pattern of flood volume distribution on the mainstreams, but our model estimated a larger discharge across the VC border to the VMD. A possible explanation is that the 2000 flood is considerably larger than the floods during the 2009-2011 period. Table 3 shows a comparison of the VMD's outflows of the current study and five other model as summarized by Nguyen et al. (2008). There is only a small variation among the used models which is attributed to different topographical data and boundary conditions (Nguyen et al., 2008). The flow distribution of the current study falls within the range of variation of the other five models, though it differs in some important branches such as Song Tien and Song Hau, below Vam Nao.

**Table 3. Distribution of water discharge throughout the river mouths (after Nguyen et al., 2008).**

Model name	The Song Tien below Vam Nao (%)	The Song Hau below Vam Nao (%)	Co Chien (%)	Cung Hau (%)	Dinh An (%)	Tran De (%)	Ba Lai (%)	Ham Luong (%)	Tieu (%)	Dai (%)	Others (%)
NEDECO 1974	51	49	13	15	28	21	0	15	2	6	0
VNHS 1984	55	45	13	18	27	18	0	17	1	6	0
SALO89 1991	44	54	12	8	26	24	2	14	5	2	8
Nguyen Van So 1992	—	—	11	12	19	16	1	14	1.5	6	20
VRSAP 1993	50	44	11	5	18	18	0	9	2	8	29
This study	41	39	10	11	27	12	0	9	6	9	14

Note: in this study the percentages are calculated based on the total volume at Kratie.

The water distributions slightly vary over the high flow and low flow seasons. The largest changes are found in the discharges onto the floodplains. For instance, water volumes are highly seasonal at the CV border stations. The water flows 15 in the low flow season contribute to 2 - 6% of the yearly flows at these stations. The relative percentages of the Mekong flow, existing via the Song Hau estuaries in the low flow season are higher than those in the high flow season while the percentages at the Song Tien estuaries are relatively constant.

There are several studies which investigate the roles of the Tonle Sap Lake in regulating the flood regimes on the Mekong River (Fujii et al., 2003; Kummu et al., 2014; Manh et al., 2014). Kummu et al. (2014) estimated that the Tonle Sap Lake is 20 capable of reducing about 20% of the Mekong mainstream discharge and its greatest storing volume is in August, with an

amount of around 15 km<sup>3</sup> from the Mekong River flows. The highest monthly released volume occurs in November and peaks at nearly 20 km<sup>3</sup>. Consequently, the Tonle Sap Lake has a crucial role on the temporal scale in regulating the Mekong River flows. The VMD floodplains have a different role compared to the Tonle Sap Lake in changing the Mekong mainstream flows. They mainly store early flood waters in August. This leads to reduce flood flows at downstream stations 5 along these floodplains. These stations reach the peak discharges when the VMD floodplains are nearly fully filled. Therefore, the peak flows at the downstream stations occur in October. These results are consistent with the analysis of Dang et al. (2018).

#### 4.3 Impact of high dyke development

The Mekong Delta is presently facing several threats, such as the impact of hydropower dams, sea level rise, delta land 10 subsidence, and hydraulic infrastructure (Dang et al., 2018a; Kondolf et al., 2018). Impacts of these threats are highly various in terms of timescales. Among of these, hydraulic infrastructure (especially high dykes) has considerable influence on the hydrodynamics in the region on a short timescale. The high dykes in the VMD are built to protect agricultural land during floods. As a result, flood discharges on the rivers increase and hydrodynamics in the VMD change. Specifically, the 15 results indicate that lack of flood retention in the LXQ leads to an increase of water levels on the Song Hau, with a downward trend of increases from Chau Doc to Can Tho. This rising pattern is found by Tran et al. (2017) as well, albeit with different magnitudes because of different years. They compared the peak water levels while we used daily mean water levels for comparison. Tran et al. (2017) found that the water level peaks would be drastically higher if the high dykes were built. These peaks have specially increased in the upper VMD (e.g. by 66 cm at Chau Doc and only 4 cm at Can Tho).

Interestingly, the high dykes in the PoR has slightly stronger impacts on water levels at Long Xuyen station than those in the 20 LXQ. The reason for that is an increase of water levels on the Song Tien, causing an increase of water diversion from the Song Tien to the Song Hau. Because of the connecting canal of Vam Nao, the PoR floodplains have not only influenced water level fluctuation on the Song Tien, but also on the Song Hau. In addition, the LXQ floodplains affect both the Song Tien and Song Hau water levels. Nevertheless, the increasing levels on the Song Tien remain slightly lower than the levels 25 on the Song Hau since the Song Tien has more river mouths and has a higher conveyance capacity in comparison to the Song Hau.

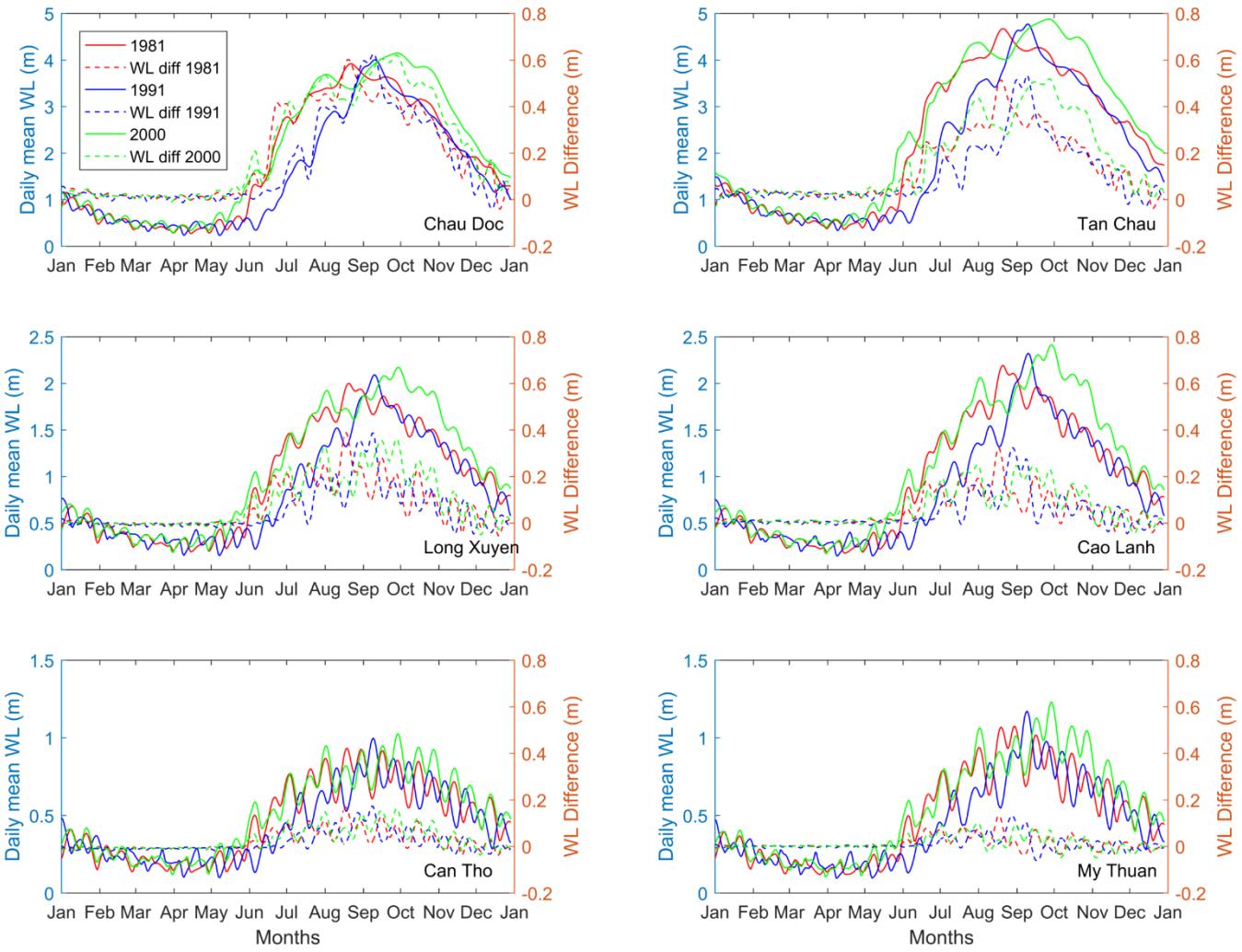
Recent studies on impact of high dykes in the VMD (e.g. Tran et al. (2017) and Triet et al. (2017)) only compared the maximum water levels. However, we found that the high dykes also resulted in reduction of minimum water levels. This mean the high dykes have effects on tidal fluctuation on the main branches. We analysed tidal amplitudes of the 8 main constituents over the year 2000 in order to quantify how water levels on the main branches changed. Noticeably, the 30 complete implementation of the high dyke system over the VMD floodplains can cause increases of about 12% and 4% tidal amplitudes at Can Tho and My Thuan stations, respectively. Additionally, high dykes in the PoR directly adjacent to Song Tien cause tidal amplitude reduction on Song Hau and vice versa. The reason is that river water cannot flow into the

floodplains, leading to an increase of river discharge in the main streams. This increased river discharge causes significant M2 amplitude reduction (Guo et al., 2016). The amplitudes and mean water level at the river mouth stations are unlikely to change under high dyke development (Table 2). The reason is that flood retention loss due to floodplain areas protected by the high dykes has insignificant changes on the water discharge at that location. In contrast and as an example, Kuang et al. 5 (2017) found that if the water discharges from the Yangtze River upstream would increase by 20,000 m<sup>3</sup>/s, the water levels at the mouth could rise approximately 1 cm. An explanation is that the water discharge change due to high dyke development is not larger enough to increase water levels at the river mouths.

The impact of high dyke development on the downstream hydrodynamics are considered different and less than those of hydropower dams, climate change and sea level rise. (Dang et al., 2018a) reveal that hydropower development increases the 10 monthly water levels at Tan Chau by 0.4 m and at My Thuan by 0.05 m in a wet year. Sea level rise causes a gradual but drastic increase of water levels. For instance, the water level at My Thuan could increase by 0.3 m under sea level rise of 0.38 m. However, the high dyke development in the VMD causes another different effect on the floodplains. It prevents flood waters from entering to the floodplains and this excludes sediment deposition on these floodplains. Sediment deposition on the floodplains would benefit agricultural production. (Chapman et al., 2016) estimated that annual sediment 15 deposition in An Giang would be worth USD 26 million.

#### **4.4 Flood discharges and volumes scenarios**

In order to investigate the impact of the distribution of hydrological conditions, we selected three years of 1981, 1991 and 2000 for comparison since these include the extreme floods in terms of the flood peaks and highest volumes. The simulations considered conditions with and without the high dykes. Figure 12 shows that the water levels in the VMD stations are 20 comparable for all three years, although the 1991 peak flood at Kratie is about 20% larger than the 2000 peak flood. Even more, the 2000 year flood with largest flood volume leads to highest water levels. Flood volume is thus more important than flood peak flows for extreme flood conditions. The reason for this is that high flood peaks flood the area and fill the Tonle Sap Lake in Cambodia so that the peak flood flow decreases and elongates downstream (Triet et al., 2017). In addition Figure 12 shows that the water level difference for scenarios with and without high dykes is similar and decreases 25 downstream for all three years.



**Figure 12. Simulated daily mean water levels in high-flood years of 1981, 1991 and 2000 at selected stations on the Mekong River. The dashed lines indicate water level differences due to impacts of high dykes.**

## 5 Conclusions

5 In this study, we applied a process-based model (DFM) in order to simulate hydrodynamics in the entire Mekong Delta from Kratie to the shelf areas. The model was calibrated by a dataset of water levels and discharge at 36 stations over the Mekong Delta. The model shows a good agreement between simulations and observations. This model is an improved version of the model used by Thanh et al. (2017), by taking into account the Cambodian and Vietnamese floodplains and the dense river/canal network in the VMD. Nevertheless, it does not contain tertiary rivers/canals and hydraulic structures for salinity regulation.

10

We found that the change in seasonal flow distribution throughout the Mekong's mouths is insignificant, except at the Dinh An mouth which has a slight increase in the low flow season. In contrast, the Mekong River network discharging to the sea through the Soai Rap mouth and the West LXQ dramatically dropped in the low flow season compared to the high flow season due to overflow reduction at the CV border.

5 This study found that the dyked floodplains in the LXQ and PoR not only influence water regimes on its directly linked Mekong' branch, but also on the other branches. The LXQ high dykes cause an increase in daily mean water levels, but a decrease in tidal amplitudes on the Song Tien (after the connecting channel of Vam Nao). A similar pattern is also found for the interaction between the PoR high dykes and the Song Hau. The high dykes built in the PoR, LXQ and TransBassac regions have a demonstrated impact on water levels at Tan Chau, Chau Doc and Can Tho, respectively. These outcomes will  
10 benefit sustainable water management and planning in the VMD.

### **Code and data availability**

The data used in this manuscript are not publicly accessible. However, the data and codes can be available from the corresponding author on reasonable request.

### **Competing interests**

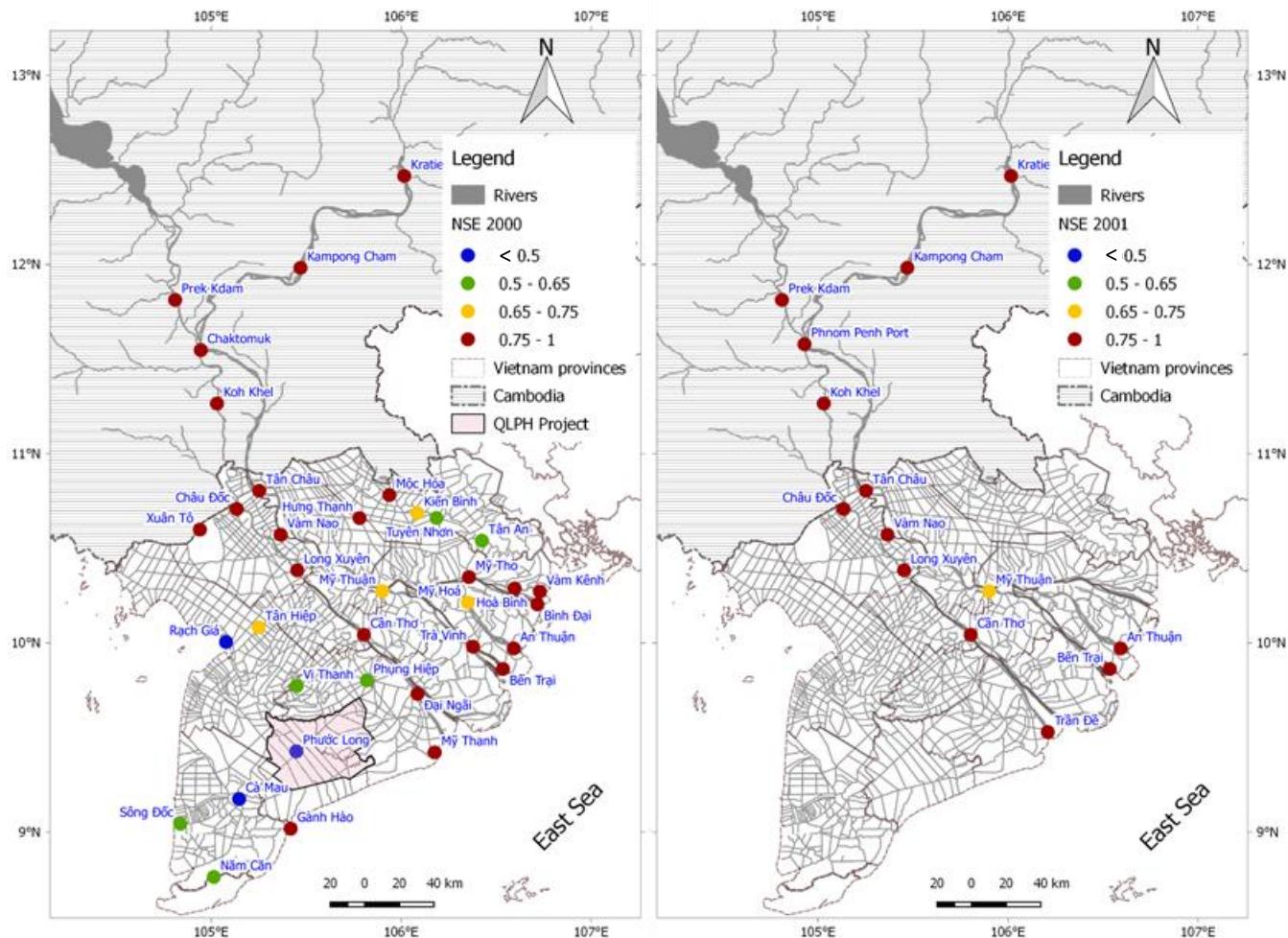
15 The authors declare that they have no conflict of interest.

### **Appendix A: Model calibration**

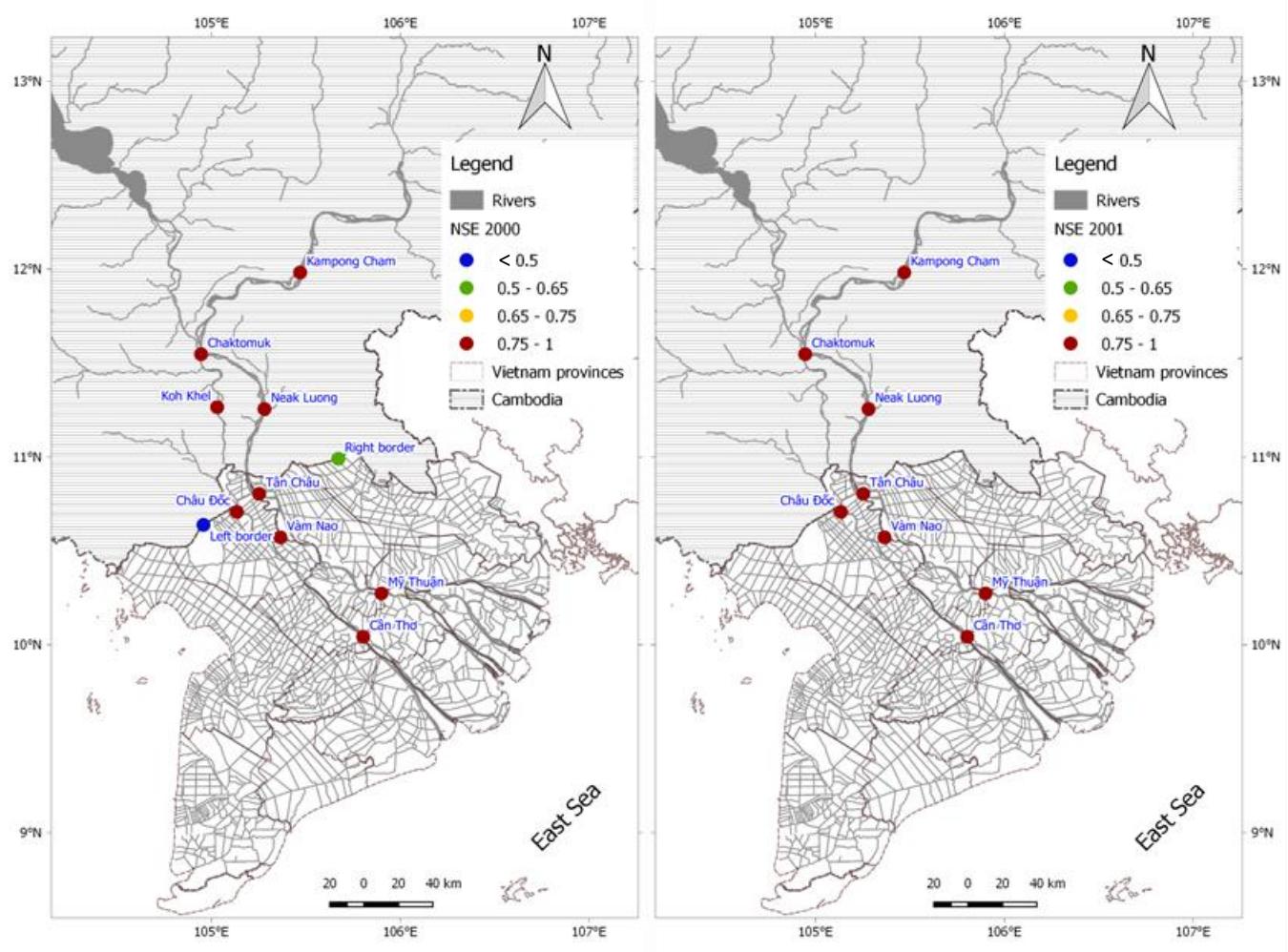
For water level calibration, there are up to 36 stations used for calibration. Based on the mentioned classification, NSE at 33 of 36 stations are higher than the acceptable value of 0.5 in which 23, 4 and 6 stations are classified as very good, good, and satisfactory categories, respectively (Figure A1). The 3 unsatisfactory stations are at Ca Mau, Phuoc Long and Rach Gia.

20 Regarding to model validation, there are only 14 stations used for validation due to availability of observed data. Over the validation period, these stations have NSE values in the same groups with calibration. They are in good and very good classes, but the values at My Thuan stations are increased from 0.69 in calibration to 0.74 in validation.

For water discharges calibration, there are 11 stations on the mainstreams and across the CV border used in calibration. Nine out of 11 stations have NSE values in very good category (Figure A2). The two stations of across the border, namely Right  
25 Border (to the PoR) and Left Border (to the LXQ), are in satisfactory and unsatisfactory categories, respectively; however, the NSE values are 0.49 at Left Border and 0.54 at Right Border, fluctuating around the acceptable criteria. Data at these stations are not available for validation. All stations used for validation are in very good group. Compared to calibration, NSE values in validation are relatively stable, except My Thuan station which has an increase from 0.84 to 0.95.



**Figure A1.** NSE values of water levels at the gauging stations in the Mekong Delta. The calibration (the year 2000) and validation (the year 2001) are presented on the left and right maps respectively.



**Figure A2. NSE values of water discharges at the gauging stations in the Mekong Delta. The calibration (the year 2000) and validation (the year 2001) are presented on the left and right maps respectively.**

Table A1. Calculated bias for water level calibration at stations in different regions.

CMD	Bias (m)	Upstream VMD	Bias (m)	Middle VMD	Bias (m)	Coastal VMD	Bias (m)	Coastal VMD	Bias (m)
Kratie	1.02	Tan Chau	0.12	Kien Binh	-0.03	An Thuan	-0.02	Nam Can	-0.24
Kampong Cham	0.54	Chau Doc	-0.20	My Thuan	-0.08	Ben Trai	-0.04	Phung Hiep	-0.07
Prekdam	0.92	Vam Nao	-0.10	Can Tho	0.02	Binh Dai	0.00	Phuoc Long	-0.06
Chaktomuk	-0.15	Xuan To	0.09	Hung Thanh	0.00	Ca Mau	-0.18	Rach Gia	-0.08
Kohkhel	-0.51	Moc Hoa	0.04	Tuyen Nhon	0.06	Dai Ngai	-0.02	Song Doc	-0.03

		Long Xuyen	-0.12			Ganh Hao	-0.07	Tan An	0.08
						Hoa Binh	0.09	Tan Hiep	-0.10
						My Hoa	0.00	Tra Vinh	0.05
						My Thanh	0.02	Vam Kenh	0.07
						My Tho	0.02	Vi Thanh	0.00

## Acknowledgements

This project is part of the ONR Tropical Deltas DRI and is funded under grants N00014-12-1-0433 and N00014-15-1-2824.

The authors would like to thank Dr. Tran Duc Dung and the Mekong River Commission for providing the data. Simulations were carried out on the Dutch national e-infrastructure with the support of the SURF Cooperative. We highly appreciate the

5 three anonymous reviewers for their comments and suggestions to improve this paper.

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# **“Flooding in the Mekong Delta: Impact of dyke systems on downstream hydrodynamics” by Vo Quoc Thanh et al.**

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## **Responses to Referee #2's comments**

### **Overview and general remarks**

The second version of the manuscript has been improved compared to the first one. The authors put obvious effort in editing the text after the referee's comments and suggestions. There are significant improvements in the Methods and Discussion/Conclusions section in terms of the content required for the study and readability. The Figures were improved. Nevertheless, the authors did not consider one of the most important remarks. The pre-processing of DEM and possible errors related to vertical accuracies of SRTM need to be described.

**Author response:** Thank you for your review. The remaining comments would be answered below.

Large stripe noise and speckle noise have been extensively investigated in numerous studies (See Fig.1). For instance, Rodriguez et al 2006, Tarekegen et al 2013 and Kuenzer et al 2013 have reported that inaccuracies coming from data acquisitions for SRTM can cause significant errors in flood modelling, as such errors "... can seriously affect DEM derivatives such as slope, aspect and flow direction that affect the flow accumulation and consequently results in uncharacteristic channel network introducing a directional bias into subsequent flow routing in hydraulic and hydrologic modelling" (Tarekegen et al 2013). Recent advances of the Yamazaki et al 2017 have reported that original SRTM may have vertical errors up to 10m.

One of the previous studies the authors referred to, Triet et al. 2017, used higher-resolution LiDAR DEM obtained from the Ministry of Natural Resources and Environment of Vietnam (MONRE), not SRTM.

First, in order to prove the validity of the results (sub-centimetre mean water level changes) the information on SRTM pre-processing and uncertainties coming from DEM data must be included in the manuscript. Without this crucial information, overall validity of the current study is compromised.

**Author response:** As we observed, the floodplains in the Vietnamese Mekong Delta are quite flat (Figure 1). The elevation of these floodplains are from 0-4 m (Tuan et al. 2007). In fact, the flood

peak at Tan Chau station was about 5.2 m in 2000 and it causes inundation of these floodplains. Therefore, samples from SRTM DEM which have higher 5.2 m elevation were eliminated, were used for the VMD's floodplain. In our modelling approach, the floodplains in the VMD is represented by large 2D cells and small canals in these floodplains are excluded. Thus these floodplains mainly have a function of water storage during the high flow season. We do not use the SRTM DEM to generate the channel network, but the channel network and river topography were extracted from the 1D-ISIS model. I totally agree with the reviewer comment on the errors coming from the SRTM DEM and they should be assessed, but this needs ground truth data. Thus the possible errors of the SRTM DEM was reviewed and this limitation is also highlighted.



Figure 1. A floodplain in Chau Phu district, An Giang province (Vo Quoc Thanh, 2012).

Second, I suggest authors to include a deliberate review on the previous studies done to model floods in Mekong delta (a table). Data used, domain modelled, modelling tools used.

**Author response:** The previous studies on modelling floods in the Mekong Delta were reviewed and discussed in the manuscript.

Therefore, I suggest to return the manuscript for major revisions.

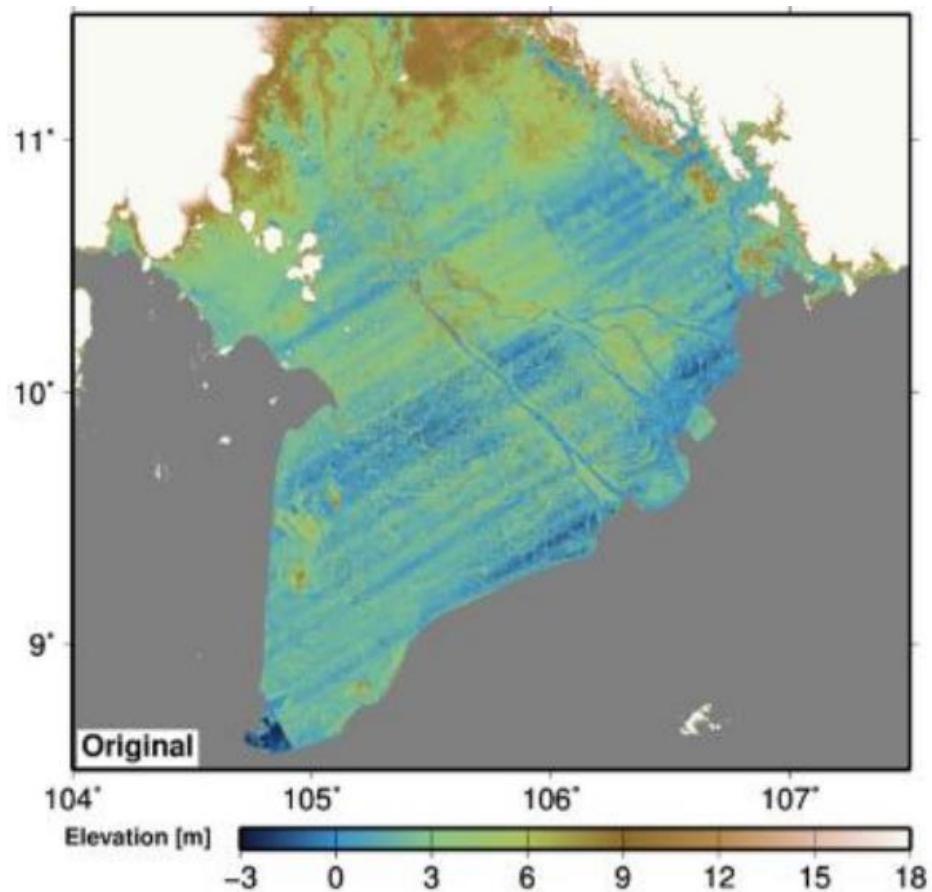


Figure 1. Image representing original SRTM data over Mekong data. Taken from [http://hydro.iis.u-tokyo.ac.jp/~yamadai/MERIT\\_DEM/](http://hydro.iis.u-tokyo.ac.jp/~yamadai/MERIT_DEM/).

**Author response:** I hope that our answers and responses address your comments.

#### Responses to Referee #3's comments

The Authors have improved the manuscript, which I believe is now almost ready for publication. However, they have partially faced some of my previous comments. I believe some clarifications are needed:

**Author response:** Thank you very much for your comments. These comments were responded below.

- Accuracy of the model: I think the Authors have misunderstood my previous comment (at least looking at their reply), which is reported below:

“Differences in terms of water elevation are in most of cases very minimal and of the same magnitude of the error of the model. What is the representativeness of such results? How can you exclude that those limited variations obtained among different configurations do not depend on the

model itself, or on the way it reproduces the interaction between river and dyke rings?"

**Author response:** I clearly understand your comment. This is an interesting question. The fact that the differences in the cases are minimal and relatively equal to the model error. In order to separate the model error due to model setup of dyke rings and rivers, uncertainties of dyke rings need to be quantified. This limitation is added in the manuscript.

Errors of the model after calibration are comparable to the variability of water levels founded among different scenarios, if not even larger at some locations. Let's say, if your model is on average precise with an error of +- 15 cm, what is the reliability of differences of 0.0x cm (see Table 2). I know it is difficult to establish the accuracy of your model but, at least, this point must be clearly pointed out. Your model can be used in relative terms, while you should be careful when providing specific water levels and discharges.

**Author response:** This is a great suggestion. We would like to include it in the manuscript. We did compare the model errors and the differences among scenarios at some selected stations. Due to the fact that the differences of water levels among the scenarios are small, the differences are fallen into the model error variations, except at Tanchau station (Figure 2).

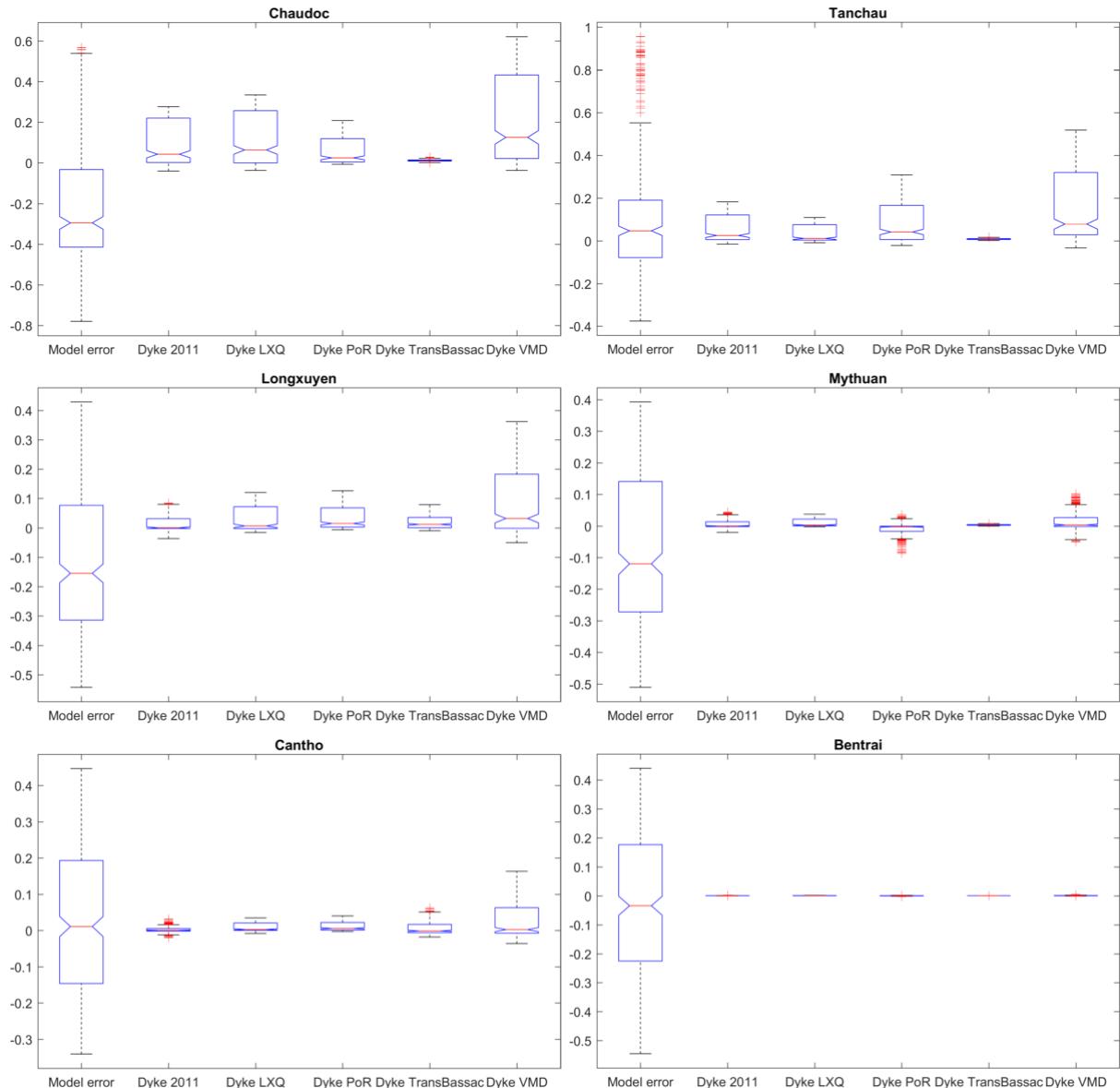


Figure 2. A statistical comparison of model errors and differences among the scenarios.

This comment is also related to another one risen by a different Reviewer, who highlight the uncertainty of topographic data (SRTM) adopted for the model. Although SRTM is used only for the floodplains, the errors on this data are not negligible and they can significantly affect the simulation results. This limitation should also be highlighted, emphasizing the fact that the model enables to better understand the dynamics of the system and might serve as a tool for comparative studies.

**Author response:** The limitation of SRTM data would be included in the manuscript.

Finally, I haven't understood the reply to my following comment; can you please further elaborate the concept?

- Comment: "Figure 2 and 3: why the number of dykes is significant? Probably reporting their overall length is more relevant"

- Authors' response: In my opinion, the high dykes are separated by the canal system. Thus the mean

areas of floodplain protected by high dykes can reflect flood water conveyance. For example, in the same area of floodplain protected by high dykes, the smaller mean area has higher water conveyed capacity.

**Author response:** I think the overall length and the mean area of floodplain protected by high dykes are similar to indicate the channel density in the floodplain in the case of the floodplain completely protected by high dykes. However, we introduced the case of mixed high and low dykes in the Vietnamese Mekong Delta, so calculating the total length of dyke rings is difficult. Therefore, we used the indicator of the mean area of floodplain protected by high dykes.