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2 **Using hydrologic landscape classification and climatic time series**  
3 **to assess hydrologic vulnerability of the Western U.S. to climate**

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26 **Abstract.** We apply the hydrologic landscapes (HL) concept to assess the hydrologic vulnerability of the western  
27 United States (U.S.) to projected climate conditions. Our goal is to understand the potential impacts for stakeholder-  
28 defined interests across large geographic areas. The basic assumption of the HL approach is that catchments that share  
29 similar physical and climatic characteristics are expected to have similar hydrologic characteristics. We map climate  
30 vulnerability by integrating the HL approach into a retrospective analysis of historical data to assess variability in  
31 future climate projections and hydrology, which includes temperature, precipitation, potential evapotranspiration,  
32 snow accumulation, climatic moisture, surplus water, and seasonality of water surplus. Projections that are not within  
33 two-standard deviations of the historical decadal average contribute to the vulnerability index for each metric. This  
34 allows stakeholders and/or water resource managers to understand the potential impacts of future conditions. In this  
35 paper, we present example assessments of hydrologic vulnerability of specific geographic locations (Sonoma Valley,  
36 Willamette Valley, and Mount Hood) that are important to the ski and wine industries to illustrate how our approach  
37 might be used by specific stakeholders. The resulting vulnerability maps show that temperature and potential  
38 evapotranspiration are consistently projected to have high vulnerability indices for the western U.S. Precipitation  
39 vulnerability is not as spatially uniform as temperature. The highest elevation areas with snow are projected to  
40 experience significant changes in snow accumulation. The seasonality vulnerability map shows that specific  
41 mountainous areas in the West are most prone to changes in seasonality, whereas many transitional terrains are  
42 moderately susceptible. This paper illustrates how the HL approach can help assess climatic and hydrologic  
43 vulnerability across large spatial scales. By combining the HL concept and climate vulnerability analyses, we provide  
44 a planning approach that could allow resource managers to consider how future climate conditions may impact  
45 important economic and conservation resources.

## 46 **1 Introduction**

47 A stable and predictable water supply is imperative to national security (National Intelligence Council, 2012),  
48 especially as it pertains to the global food supply, and the threats of increased flooding, droughts, wildfire, and more  
49 extreme temperatures (Mancosu et al., 2015; Mekonnen and Hoekstra, 2016). The recognition of the potential threats  
50 of climate on society is important, and the development of planning tools could help decision-makers assess the risk  
51 imposed by projected environmental changes, such as those imposed by climate, population growth, or habitat  
52 conversion (Glick et al., 2011; Lawler et al., 2010). Environmental changes related to climate and hydrology will not  
53 impact stakeholders equally across sectors, thus the specific concerns and adaptation strategies of different industries  
54 will vary.

55 Numerous studies have examined projected changes in climate and hydrology on regional and national scales that  
56 included the western U.S. The Third National Climate Assessment (<http://nca2014.globalchange.gov>) is a  
57 comprehensive resource for climate-related research in the U.S. (Melillo et al., 2014). Nolin and Daly (2006) mapped  
58 climate-related risk to snow-dominated areas and ski areas in the Pacific Northwest. Mote et al. (2005) compared the  
59 spatial patterns of snow water equivalent observations to model simulations in the western U.S. Brown and Mote  
60 (2009) examined projected changes in snow water equivalent globally based on 14 model projections. Barnett et al.  
61 (2005) identified potential climate-driven water supply deficits in snow-dominated areas around the globe, although  
62 rising water demands have been found to greatly outweigh potential climate impacts on future (year 2025) water



63 supply (Vorosmarty et al., 2000). McAfee (2013) examined projected changes in potential evapotranspiration (PET,  
64 calculated using numerous methods) between 2002-2011 and 2079-2098. The findings are consistent across studies  
65 in many areas of the globe including across the conterminous U.S., but other regional PET predictions were  
66 inconsistent and sensitive to the method of calculation. Hill et al. (2013, 2014) predicted thermal vulnerability of  
67 streams and river ecosystems to climate across the U.S., while Battin et al. (2007) found that in regards to salmon  
68 habitat, snow-dominated streams were more vulnerable habitat than lowland streams. The analyses of Nijssen et al.  
69 (2001) on hydrologic sensitivity of rivers globally found: 1) Ubiquitous warming, with greatest warming in winter  
70 months at higher latitudes, 2) More precipitation with high variability, 3) Early to mid-spring snowmelt caused  
71 increased spring streamflow peak in coldest basins, decreased spring runoff and increased winter runoff in transitional  
72 basins, 4) Tropical or mid-latitude basins had decreased annual runoff, and 5) High latitude basins had increased  
73 annual streamflow. In response to droughts of the recent past, Mann and Gleick (2015) highlight the strong correlation  
74 between very hot years and very dry years; thus as temperatures increase, precipitation is becoming more scarce. A  
75 study by Cook et al. (2015) found a growing risk of unprecedented drought in the western U.S. based on temperature  
76 projections and no clear pattern in future precipitation.

77 “Vulnerability” has many accepted definitions depending upon discipline and application (Adger, 2006; Füssel, 2007).  
78 Vulnerability assessments often integrate exposure, sensitivity, and adaptive capacity to stressors (Adger, 2006;  
79 Füssel, 2007; Füssel and Klein, 2006; IPCC, 2014). Researchers have studied vulnerability at varying scales across  
80 numerous regions for a diversity of stakeholders, and they tend to focus on the most relevant metrics for their particular  
81 application (Farley et al., 2011; Glick et al., 2011; IPCC, 2014; Nolin and Daly, 2006; U.S. Global Change Research  
82 Program, 2011; Watson et al., 2013). Yet, better products and services are needed to enable local communities to plan  
83 for and respond to hydrologic change, which includes services that improve understanding, observing, forecasting,  
84 and warning about significant hydrologic events (Tansel, 2013). Glick et al. (2011) and Lawler et al. (2010) both  
85 emphasize the importance to managers of understanding the potential impacts of climate on the resources that they  
86 manage.

87 There have been many efforts to assess hydrologic vulnerability related to specific stakeholders, ecosystems, or  
88 locations. For example, Vorosmarty et al. (2000) examined the vulnerability of global water resources to changes in  
89 climate and population growth. Hill et al. (2014) assessed stream temperature vulnerability to climate for sites across  
90 the U.S. In another example, Winter (2000) suggested that the vulnerability of wetlands to changes in climate depends  
91 upon their position within the hydrologic landscape.

92 There are opportunities to build upon previous efforts to map hydrologic vulnerability across large geographic areas,  
93 while creating tools that stakeholders may use to understand the potential impacts for their asset of interest in specific  
94 watersheds. Winter (2001) described the concept of classifying the physical landscape and climatic properties of  
95 catchments based on hydrologic landscapes (HL). Surface and ground water availability in watersheds is impacted by  
96 differences in geology, terrain, soils, seasonal temperature patterns, precipitation magnitude, and precipitation timing  
97 (Tague et al., 2013; Winter, 2001) and are not uniform across regions (Hamlet, 2011; Jung and Chang, 2012; Tague  
98 and Grant, 2004). Catchments that share similar key physical and climatic characteristics are expected to have similar



99 hydrologic characteristics; i.e., surface and ground water interactions, deposition, timing, and accumulation of  
100 precipitation, surface runoff patterns, and groundwater flow (Nolin, 2011; Thompson and Wallace, 2001).

101 The HL concept has been applied to the U.S. (Wolock et al., 2004) and modified approaches have been used in Oregon  
102 (Leibowitz et al., 2014; Patil et al., 2014; Wigington et al., 2013), Nevada (Maurer et al., 2004), the Pacific Northwest  
103 (Comeleo et al., 2014; Leibowitz et al., 2016), and Bristol Bay, Alaska (Todd et al., 2017). In applying the HL  
104 approach in Oregon and the Pacific Northwest, two climatic factors and three landscape characteristics were  
105 categorized for each catchment; the resulting classification allows the prediction of catchment-scale hydrologic  
106 behavior across large spatial scales. The approach shows promise in predicting seasonal and monthly hydrologic  
107 patterns (Leibowitz et al., 2014). Leibowitz et al. (2014) adapted the classification system applied by Wigington et al.  
108 (2013) to illustrate the applicability of HLs for representing normal (1971-2000) monthly average streamflow in three  
109 case study watersheds in Oregon. They used climate projections (2041-2070) to estimate hydrologic behavior of  
110 catchments relative to 1971-2000. Leibowitz et al. (2016) expanded the approach and applied the HL classification to  
111 Oregon, Washington, and Idaho.

112 A number of tactics have been used to investigate the influence of climate on hydrologic behavior (Luce and Holden,  
113 2009; Safeeq et al., 2014; Vano et al., 2015). To extend the work previously completed from HL-based climate  
114 projections, we assess climate vulnerability at the catchment scale by integrating the HL approach into an analysis of  
115 climatic variability. Our hydrologic landscape vulnerability analysis (HLVA) provides spatially continuous,  
116 application-specific estimates of climatic vulnerability. One of the benefits of the HLVA is to place modern and  
117 projected environmental changes in the context of available historic data. In the HLVA, we use proxies for the three  
118 components of vulnerability: a) historic climate data and their derivatives as proxies for sensitivity; b) climate  
119 projections as proxies for exposure; and c) qualitative considerations of ecosystems, stakeholders, or industries as  
120 proxies for adaptive capacity. The HLVA assesses vulnerability to changes in temperature, precipitation, potential  
121 evapotranspiration, snow accumulation, climatic moisture, surplus water, and seasonality of the water surplus. This  
122 method highlights areas that are projected to experience deviations from historic conditions to understand the patterns  
123 in magnitude, timing, and type of precipitation and the quantity and seasonality of available water at a catchment  
124 scale.

125 We apply the HL concept with the goal of assessing the hydrologic vulnerability of the western U.S. to magnitude and  
126 variability in climate projections. We analyzed this data to address three research objectives: 1) develop an index of  
127 vulnerability based on past and projected climate behavior; 2) map areas that are projected to be more vulnerable to  
128 environmental changes associated with climate; and 3) determine the vulnerability indices of seven metrics  
129 (temperature, precipitation, snow accumulation, PET, surplus water ( $S'$ ), Feddema Moisture Index (FMI; Feddema,  
130 2005), and seasonality) for specific geographic areas, including three examples of industries that are economically  
131 important in the region.

## 132 **2 Methods**

### 133 **2.1 Study Area**



134 The study area includes the states of Washington, Oregon, Idaho, California, Nevada, and Arizona in the western U.S.  
135 (Fig. 1). These states extend across a wide range of climates and diverse physiographic settings. The lowest elevation  
136 across the six states is 85 m below sea level (Death Valley, California), while the highest elevation is 4421 m above  
137 sea level (Mt. Whitney, California) [U.S.G.S. National Elevation Dataset available at:  
138 <https://nationalmap.gov/elevation.html>]. The Sierra-Nevada Mountains are oriented in a north-south direction near the  
139 eastern border of California and transition to the Cascade mountain range that runs in a north/south direction through  
140 Oregon and Washington. (US Topo Quadrangles available at: <https://nationalmap.gov/ustopo>). However, there are  
141 numerous mountain ranges in each of the other states as well. The Sierra-Nevada and Cascade mountain ranges  
142 generate orographic effects that cause upwind areas to the west to have much greater precipitation relative to the  
143 downwind, eastern regions (Dettinger et al., 2004; Siler et al., 2013). High elevation areas receive most of their  
144 precipitation as snow (Brekke et al., 2009; Mote et al., 2005), while lowland and coastal areas receive their  
145 precipitation mostly as rain (Brekke et al., 2009; Mock, 1996), but much of the six-state area receives a balance of  
146 snow and rain. The topographic differences across the landscape drive precipitation patterns across the six state study  
147 area and cause large differences in the total annual precipitation or the seasonality of maximum precipitation (Mock,  
148 1996). In the arid southwest, summer monsoons deliver most of the annual precipitation (Mock, 1996), whereas in the  
149 Pacific Northwest, winter rains and snows are the dominant form of precipitation (Mock, 1996). However, the western  
150 U.S. is regularly affected by atmospheric rivers that deliver large quantities of rain or snow over short periods  
151 (Dettinger, 2011; Hidalgo et al., 2009). The seasonal variability of surface air temperature varies widely across the  
152 study area. Portions of each state in our study area are classified as deserts with summer maximum temperatures  
153 regularly exceeding 40°C (NOAA State Climate Extremes Committee, 2016). Each state in the study area has also  
154 recorded temperatures less than -40°C (NOAA State Climate Extremes Committee, 2016). Some portions of the study  
155 area have very mild climates with little seasonal variation in temperature (Daly, 2016b). Bedrock geology in the study  
156 area varies from high permeability sedimentary deposits or relatively recent volcanic deposits, to low permeability  
157 igneous metamorphic and sedimentary formations and older volcanics (Comeleo et al., 2014; Stratton et al., 2016).

## 158 **2.2 Hydrologic landscape classification**

159 The study area was divided into 29,356 assessment units (AUs). The AUs are aggregations of NHDPlusV2 catchments  
160 (McKay et al., 2012) that were grouped to have a target area of 80 km<sup>2</sup>, as described in Wigington et al. (2013) and  
161 modified by Leibowitz et al. (2016). For this analysis, we retain an AU if its centroid was located within the boundary  
162 of our project area or if the AU extended across an international boundary. All AU polygons are also clipped to the  
163 international boundary of the U.S. These conditions allow us to avoid edge effects at international and state borders  
164 by avoiding overlapping AUs at state boundaries and analyzing the HLs up to all international borders. The project  
165 boundary was defined by merging these AUs into a single polygon.

166 Wigington et al. (2013) developed their HL classification based on climatic and physical characteristics of the physical  
167 watershed. They defined five indices to characterize the major drivers that control the magnitude and timing of water  
168 movement through the landscape and into the ground or stream network: (1) climate, which describes the overall  
169 availability of water on the landscape, (2) seasonality of water surplus, which is the season when the maximum excess  
170 of water is available to infiltrate into the soil column or flow as surficial runoff, (3) subsurface permeability, (4) terrain,



171 and (5) surface permeability. Note that Wigington et al. (2013) referred to subsurface and surface permeability as  
172 aquifer and soil permeability, respectively. The five HL indices, described in more detail below (Sections 2.2.1 through  
173 2.2.5), are typically concatenated into a 5-character HL code (e.g., WsLMH, SwHTh, or DfHfL) that characterizes an  
174 AU.

175 Leibowitz et al. (2016) developed an HL map of the Pacific Northwest (PNW, consisting of Oregon, Idaho, and  
176 Washington) based on a modification of the Wigington et al. (2013) approach (herein described as the modified  
177 Wigington et al. (2013) approach). For the current effort, we used the modified Wigington et al. (2013) approach to  
178 develop an HL classification of California, Nevada, and Arizona [referred to as the southwest]. This was then  
179 combined with the PNW map (Leibowitz et al., 2016) to create an HL map of the six western states.

### 180 2.2.1 Climate

181 The Wigington et al. (2013) approach derived the climate index from the FMI (Feddema, 2005):

$$182 \quad FMI = \begin{cases} 1 - \frac{PET}{P} & \text{if } P \geq PET \\ \frac{P}{PET} - 1 & \text{if } P < PET \end{cases} \quad (1)$$

183 where FMI (Eq. (1)) values range from -1.0 (arid) to 1.0 (very wet).  $P$  is the mean precipitation (mm) over a 30-year  
184 normal, which is derived from climate data described in Section 2.3, and  $PET$  is the potential evapotranspiration (mm)  
185 calculated using the Hamon (1961) method, that utilizes mean daily temperature, daytime length (calculated based on  
186 latitude), and a calibration coefficient. The range of FMI values was the basis for a climate index consisting of six  
187 classes: arid (A;  $-1.0 \leq FMI < -0.66$ ), semiarid (S;  $-0.66 \leq FMI < -0.33$ ), dry (D;  $-0.33 \leq FMI < 0.0$ ), moist (M;  $0.0 \leq$   
188  $FMI < 0.33$ ), wet (W;  $0.33 \leq FMI < 0.66$ ), and very wet (V;  $0.66 \leq FMI < 1.0$ ) (Wigington et al., 2013). FMI was  
189 calculated from regional precipitation rasters (described in Section 2.3) for each period of interest. The FMI value was  
190 then averaged over each AU.

### 191 2.2.2 Seasonality

192 We used the Leibowitz et al. (2016) approach to develop a seasonality index that identifies the season of the maximum  
193 monthly average snowpack-corrected surplus water ( $S'_m$ ):

$$194 \quad S'_m = S_m - \Delta PACK_m^* \\ 195 \quad = (P_m - PET_m) - (PACK_m^* - PACK_{m-1}^*) \quad (2)$$

196 where  $S'_m$  (Eq. (2)) is the average snowpack-corrected water surplus (mm) for month  $m$ ,  $S_m$  is monthly water surplus  
197 ( $P - PET$ ), and  $P_m$  and  $PET_m$  are monthly precipitation and monthly PET, respectively.  $PACK_m^*$  is a monthly bias-  
198 corrected snowpack value (in mm of snow water equivalent, or SWE) restricted to values greater than zero, based on  
199 the Leibowitz et al. (2016) modifications to the Leibowitz et al. (2012) snowpack model. Note, however, that  
200  $\Delta PACK_m^*$  can have negative values, which represents snow melt. For each month,  $S'_m$  was calculated for the regional  
201 raster, before identifying the month of maximum  $S'_m$  for the majority of pixels in each AU. The month of maximum  
202  $S'_m$  was used to identify the season of maximum  $S'_m$  based upon four seasonality classes: fall (f; October–December),  
203 winter (w; January–March), spring (s; April–June), and summer (u; July–September). The PNW analysis by  
204 Leibowitz et al. (2016) only included two seasonality classes; summer seasonality did not occur, while fall and winter  
205 were combined into a winter class, since this represented the PNW's wet season. For our analysis, we kept winter and



206 fall separate and used all four seasonality classes, because fall and winter are distinct seasons in other parts of the  
207 nation.

### 208 **2.2.3 Subsurface permeability**

209 Leibowitz et al. (2016) utilized the Comeleo (2014) aquifer permeability dataset. We applied a similar approach from  
210 the Stratton et al. (2016) aquifer permeability datasets, which is herein referred to as subsurface permeability. Each of  
211 these datasets classify the subsurface permeability into high (H) and low permeability (L) classes, which are assigned  
212 with a threshold guideline of  $8.5 \times 10^{-2}$  m day<sup>-1</sup> hydraulic conductivity. Using these data, we analyzed the subsurface  
213 permeability of each AU by identifying the subsurface permeability class for the majority of pixels within each AU in  
214 the three south western states.

### 215 **2.2.4 Terrain**

216 To classify terrain, we used the same approach as Wigington et al. (2013). We analyzed a 30 m Digital Elevation  
217 Model to classify the landscape based upon the topographic characteristics of each AU. “Mountainous” (M) areas had  
218 AUs with <10 % of the area identified as flat (< 1 % slope) and greater than 300 m of total relief. AUs with more than  
219 50 % area having < 1 % slope were classified as “flat” (F). All other AUs were identified as “transitional” (T).

### 220 **2.2.5 Surface permeability**

221 For surface permeability, the Wigington et al. (2013) HL approach utilized the STATSGO soil permeability raster  
222 developed by Pennsylvania State University Center for Environmental Informatics ([www.cei.psu.edu](http://www.cei.psu.edu)) for the top 10  
223 cm of soil (Miller and White, 1998) in the conterminous U.S. The STATSGO soils database was selected because of  
224 its complete coverage of the conterminous U.S., despite SSURGO’s higher spatial resolution, which did not have  
225 complete spatial coverage of the U.S. They identified whether the majority of each AU had high (H; >1.52 cm/hr) or  
226 low (L;  $\leq 1.52$  cm h<sup>-1</sup>) soil permeability. We applied the same approach to classify surface permeability of each AU  
227 into two classes throughout the region.

## 228 **2.3 Climate analyses**

### 229 **2.3.1 Modern climate normal (1971–2000)**

230 Average monthly precipitation and mean temperature were acquired from Parameter-elevation Regressions on  
231 Independent Slopes Model (PRISM; Daly, 2016b) data for our normal climatic period at a resolution of approximately  
232 400 m. The PRISM Climate Mapping Program is an ongoing effort to produce detailed, spatial climate datasets (Daly,  
233 2016a; Daly et al., 2000). PRISM uses point measurements of climate data and a digital elevation model to map  
234 climate across the U.S. from 1895–present, including regions impacted by high mountains, rain shadows, temperature  
235 inversions, coastal regions, and associated complex meso-scale climate processes. Using ArcGIS (ESRI, 2016), the  
236 data were clipped to the project boundary and used to calculate the average for our seven metrics (monthly  
237 temperature, precipitation, PET, surplus water, snow water equivalent, FMI, climate index, and seasonality of water  
238 surplus) for the normal period. Each of these metrics are inputs to or products of the HL classification process.

### 239 **2.3.2 Historical climate analyses (1901–2010)**

240 Unlike with monthly precipitation and temperature data, a time series of gridded daily historical climate data at a  
241 spatial resolution of 400 m was not available. Daily PRISM data is freely available at 4 km resolution, and this was  
242 what we used to develop the historical climate analyses for the 1901–2010 period. Gridded data for daily mean





243 temperature and precipitation were clipped to the project boundary and averaged for each month over each decade  
244 (i.e., 1901–1910, 1911–1920, etc.). The data were then statistically downscaled to 400 m using the delta method  
245 (Hijmans et al., 2005; Ramirez-Villegas and Jarvis, 2010) to match the spatial resolution of the modern climate normal  
246 data (using the 400 m resolution, monthly PRISM climate normal for 1971–2000 period as the high resolution dataset).  
247 We acknowledge the inaccuracies and uncertainty imposed in the temperature and precipitation datasets by applying  
248 the downscaling functions to the original climate projections, however since these 400 m resolution monthly averages  
249 are normally distributed (Trzaska and Schnarr, 2014) and the data are to be aggregated to our 80 km<sup>2</sup> (on average)  
250 AUs, the trade-offs were deemed acceptable and preferable for characterizing the hydrology and climate for these  
251 analyses.

252 Using the approaches described herein, the downscaled data were used to calculate the average monthly PET, surplus  
253 water, snow water equivalent, FMI, and seasonality of water surplus for each decade. Summary figures were generated  
254 from this data depicting spatial distribution of climate and seasonality for each decade across the project area. These  
255 data were compared to the modern climate normals using spatially continuous time series analyses.

### 256 **2.3.3 Future climate analyses (2041–2070)**

257 In order to explore the potential range of modeled climatic response for the study area, we selected ten climate model  
258 projections from the full ensemble of World Climate Research Programme’s Coupled Model Intercomparison Project  
259 phase 5 multi-model ensemble climate dataset projections (WCRP CMIP5; <http://cmip-pcmdi.llnl.gov/cmip5>; Taylor  
260 et al., 2012). These models are based on the Representative Concentration Pathway (RCP) 8.5 emissions scenario,  
261 which assumes the highest rate of emissions into the 21<sup>st</sup> century. We only used this emissions scenario to reduce the  
262 complexity of the analyses. To select the specific model simulations to use in this study, we created a scatterplot  
263 comparing future temperature and precipitation change for the different CMIP5 models over the project area. We  
264 selected ten models that spanned the range of predicted climatic responses of the full ensemble (Fig. 2), including  
265 drier, wetter, colder, and warmer responses. Average monthly precipitation and temperature for the ten projections  
266 (Table 1) were acquired from the monthly Bias-Correction and Spatial Disaggregation (BCSD) archive (Bureau of  
267 Reclamation, 2014) for the 2041–2070 period. These data were clipped to the project boundary and resampled to a  
268 400 m grid using a bilinear approach (ESRI ArcGIS v10.4) to match the resolution and spatial extent of the modern  
269 climate normal data. The average monthly PET, surplus water, snow water equivalent, FMI, and seasonality of water  
270 surplus were calculated from the future climate data for each assessment unit. Summary figures were generated that  
271 illustrate the spatial distribution of climate and seasonality for each climate projection. The differences in FMI and  
272 seasonality of water surplus from the normal period were also mapped and compared.

### 273 **2.4 Mapping vulnerability indices**

274 As discussed in the introduction (Section 1), vulnerability can be measured by assessing the exposure, sensitivity, and  
275 adaptive capacity of a system to change (Adger, 2006; Füssel, 2007; Füssel and Klein, 2006; IPCC, 2014). Historic  
276 hydrology and climate are primary drivers for ecosystem change (Nelson, 2005), and are critical to certain industries  
277 and stakeholders in particular areas; thus historic hydrology and climate serve as proxies for the sensitivity of those  
278 systems to environmental change. In the assessment of hydrologic vulnerability, we evaluated the variability in  
279 historical climate data and our derived hydrologic metrics as a proxy for sensitivity. Likewise, we used future climate





280 projections as a proxy for exposure to environmental change. Projections that fell outside of historic observations  
281 should then be associated with increased levels of exposure. In terms of adaptive capacity, we assumed that the systems  
282 present in a location are adapted to the historic observed variability in conditions. We also assumed that the systems  
283 would become stressed by conditions far outside of those previously experienced. Further, we suggest that the larger  
284 the number of future climate projections that exceed or fall far below their historic range, the more vulnerable a system  
285 associated with a particular climate will be with respect to climate-induced changes. Our hydrologic landscape  
286 vulnerability analysis (HLVA) places modern and projected environmental changes in the context of available historic  
287 data. The HLVA assesses vulnerability to changes in temperature, precipitation, potential evapotranspiration, snow  
288 accumulation, climatic moisture, surplus water, and seasonality of the water surplus by identifying areas that are  
289 projected to experience deviations from historic conditions.

290 The ten future climate projections (for the 2041–2070 period) were compared to the decadal averaged data from 1901–  
291 2010 for each AU. We calculated the historical standard deviation of each metric for each AU within the project area.  
292 For each metric, we assume that any projection that is within two-standard deviations of the historical climate values  
293 does not contribute to an increase in vulnerability, whereas projections outside of that range increase the vulnerability.  
294 We then define vulnerability for a given index as the number of the ten projections that are outside of the historical  
295 two-standard deviation threshold. Thus, the HLVA index assesses the likelihood that a given metric will exceed a two-  
296 standard deviation threshold from the decadal mean under future climate scenarios. A vulnerability index of ten  
297 indicates that all ten climate projections were beyond two-standard deviations from the historical mean and so are  
298 expected to experience projected conditions that they are not adapted to. The least vulnerable areas will have an index  
299 of zero, which indicates that all future climate projections fell within the two-standard deviation threshold to which  
300 systems are adapted to. The use of standard deviations is not an appropriate threshold metric for seasonality, because  
301 it is a categorical variable. For the seasonality metric, any projected seasonality value that has not been observed  
302 decadal between 1900 and 2010 increases the seasonality vulnerability index. For example, consider an AU that had  
303 predominantly experienced Spring seasonality, with the occasional Fall seasonality and that 7 of 10 climate models  
304 project Fall seasonality and 3 of 10 models predict Winter seasonality for 2041–2070. Since Winter seasonality was  
305 not observed for any decade between 1900 and 2010, the three predictions for Winter seasonality each contribute to  
306 the vulnerability index for seasonality. Finally, we analyzed the dominant HL code by area of the most vulnerable  
307 AUs (those having a vulnerability index greater than seven on a scale of ten) for each metric in order to gain insight  
308 about the dominant HL characteristics that relate to hydrologic vulnerability.

### 309 **2.5 Locational time series analyses**

310 Forty-five locations (Fig. 1 and Table 2) were selected for potential applications of the HL approach, based in part to  
311 demonstrate the method's relevance to potential water resource stakeholders to identify areas where we thought results  
312 could be of use to land managers. The time series for the decadal averages for each of the seven HL metrics were  
313 analyzed for the AUs associated with each of these locations. Decadal averages were plotted at the decadal midpoint  
314 for each 10-year period from 1901 to 2010. In addition, the 1971–2000 normal average for each variable and ten  
315 climate projections (2041–2070) were plotted in a similar manner. The HLVA was then used to determine the mean  
316 vulnerability index and the dominant HL code for the AUs associated with each location.



317 **3 Results**

318 **3.1 Hydrologic landscape summary**

319 Table 3 shows the percent coverage of the HL categories for the six states. Thirty percent of the region is mountainous  
320 (elevation relief of AU > 300 m and < 10 % of AU area has slope < 1 %) and 7 % is flat (AUs with more than 50 %  
321 area having < 1 % slope). The remaining area is classified as transitional. According to the soil permeability dataset  
322 (Miller and White, 1998) produced from the STATSGO soils database (Soil Survey Staff, 2016), 98 % of the surface  
323 soils (defined as the top 10 cm) are highly permeable ( $> 4.23 \mu\text{m s}^{-1}$ ). Stratton et al. (2016) and Comeleo et al. (2014)  
324 classified the subsurface permeability of the six-state region as 60 % high permeability and 40 % low permeability.  
325 In terms of the 1971–2000 climate normal period, most of the area has the highest monthly water availability  
326 (seasonality) during the winter (63 %), fall (24 %), spring (13 %), with approximately 1 % experiencing summer  
327 seasonality. In addition, 30 % of the area is classified as having a moist, wet, or very wet climate, while 70 % is dry,  
328 semi-arid or arid. The HL maps for the study area (Washington, Oregon, Idaho, California, Nevada, and Arizona) are  
329 included in the appendix (Fig. A1). HL maps for the remainder of the conterminous US are also available and are also  
330 included as supplemental material (Fig. S1). Note that the subsurface permeability maps were not extended across the  
331 lower 48 states prior to submission but are available as supplemental material.

332 **3.2 Climate analyses**

333 **3.2.1 Regional (spatially continuous) time series analyses**

334 Figure 3 contains spatial trends in the change in FMI for the western U.S., showing wetter or drier decades relative to  
335 the 1971–2000 baseline period (Figure S2 in the supplemental material illustrates similar data for the continental US).  
336 Figure 4 displays projections of future (2041–2070) FMI values for the western U.S. relative to the 1971–2000 normal  
337 period, based on the ten climate projections (Figure S3 in the supplemental material illustrates similar data for the  
338 continental US). Three of the climate models (CCSM-R4, MRI-CGCM3, and CESM1) indicate that portions of the  
339 western U.S. may be wetter (as indicated by the blue areas in Fig. 4), while other areas will be drier (red) than or  
340 similar to the 1971–2000 normal. Similarly, the maps suggest that seven of the climate models (CCSM4, GFDL,  
341 inmcm4, CanESM2, HadGEM, CSIRO, and MIROC) project that much of the western U.S. will be considerably drier  
342 than the normal period. The remaining models indicate that some areas will be slightly drier, whereas much of the  
343 area will be similar to the 1971–2000 normal condition.

344 Figure 5 illustrates where the seasonal classes of surplus water have varied between 1901 and 2010 relative to the  
345 1971–2000 base period (Figure S4 in the supplemental material illustrates similar data for the continental US). Most  
346 areas throughout this historical period show little variation in the season of maximum available water (i.e., are shown  
347 in white), but there are patterns in the water surplus seasonality that can be observed in the West. The 1940s, 1960s,  
348 1980s, and 2000s seem to show later seasonality in southern Oregon and Idaho and Northern California and Nevada.  
349 In contrast, portions of Oregon, Washington, and Arizona are shown to have earlier seasonality in the 1900s, 1910s,  
350 1930s, 1950s, and 1970s.

351 Figure 6 illustrates the seasonal changes in surplus water as projected by the ten climate models for 2041–2070  
352 compared to 1971–2000 (Figure S5 in the supplemental material illustrates similar data for the continental US). In  
353 general, most of the climate models predict earlier surplus water in many of mountainous areas in the six western



354 states. Although most mountainous areas in Nevada are projected to have little change in seasonality, those that are  
355 projected to change are projected to have earlier seasonality. In Arizona, the White Mountains are predicted to have a  
356 later seasonality in two of ten climate projections (MIROC and GFDL), whereas seven projections predict earlier  
357 seasonality in western Arizona.

358

### 359 **3.2.2 Vulnerability analyses**

360 The vulnerability maps (Fig. 7) identify areas that are more or less subject to extreme future climatic and hydrologic  
361 variability (Similar vulnerability maps for the continental US are included in the supplemental materials (Fig. S6)).  
362 All climate projections indicate that temperature will change almost ubiquitously across the Pacific west, however  
363 changes in precipitation are much more spatially variable. The cold deserts and Mediterranean California Ecoregions  
364 (Level 2) are more consistently projected to experience changes in precipitation than has been observed since 1901 on  
365 a decadal basis. In contrast, major portions of Arizona, Washington, Oregon, and California have areas with low  
366 vulnerability to change with respect to precipitation. The Hamon (1961) method of calculating monthly PET uses  
367 temperature as the major input, so it is not surprising that the PET vulnerability map is similar to the temperature  
368 vulnerability map. The April 1 snow accumulation (snow water equivalent) vulnerability map seems to indicate that  
369 snow accumulation will change in many mountainous areas throughout the west, but particularly in the transitional  
370 areas when compared to the most snow prone areas of the West.  $S'$  is a measure of available water (excess water  
371 available for soil infiltration or overland flow). The map for  $S'$  suggests that the Warm Desert and Marine West Coast  
372 Forest Ecoregions are more likely to experience substantial changes in available water (i.e., high vulnerability) in the  
373 future. The FMI is calculated from the ratio of PET and precipitation per Eq. (1). The FMI vulnerability map indicates  
374 that the Cold Desert Ecoregions of central, Western Washington, the Warm Deserts of Southern California, and High  
375 Elevation Sierra Madre Mountains of south eastern Arizona are more likely to see substantial changes to the FMI. The  
376 regional time series analyses (below) provide more information about whether those areas are expected to become  
377 wetter or drier. The seasonality vulnerability map identifies AUs that are likely to have changes in seasonality. Portions  
378 of the Sierra-Nevada Mountains in California and the Cascades in Oregon, and mountainous areas in Idaho are  
379 projected to be more vulnerable to changes in seasonality. All other areas are not projected to be vulnerable to changes  
380 for seasonality.

### 381 **3.2.3 Study area as a hydrologic landscape**

382 Table 4 summarizes an analysis of the HL classifications of the most vulnerable AUs for each metric. For example,  
383 75 % of the AUs identified as vulnerable for snow accumulation were classified as dry, moist, or wet, therefore very  
384 wet, semi-arid, and arid AUs are less likely to be vulnerable to changes in snow accumulation. Likewise, 76 % of AUs  
385 vulnerable to changes in seasonality had a spring seasonality during the 1971–2000 normal period. The physical  
386 properties represented by the dominant HL classes in Table 4 could help determine how various climate vulnerabilities  
387 are ultimately expressed. For example, vulnerability to changes in snow or FMI mostly occur in regions with wetter  
388 climates (Moist, Wet, or Very Wet climate), with fall or spring Seasonality, in areas with low subsurface permeability.  
389 This could result in increased precipitation, with quicker runoff in areas that currently have delayed release of water.  
390 Similarly, areas vulnerable to changes in surface runoff are arid landscapes with winter seasonality and highly



391 permeable subsurface parent materials. This means that these changes in runoff could have a large impact on  
392 subsurface recharge and, ultimately, baseflow.

### 393 **3.2.4 Locational time series**

394 Historic and future changes in ecologically relevant variables are shown for three example locations (Napa-Sonoma  
395 Valley, Willamette Valley, Mt. Hood; Fig. 8). Similar analyses have been performed for areas of ecological, economic,  
396 or social significance (Table 2; see Appendix A (Fig. A2)). The number in the lower left corner of each graph in Fig.  
397 8 indicates the vulnerability index for the specific metric and location. The vulnerability index for each location is  
398 also listed in Table 2 for each metric. For instance, precipitation at Mt. Hood has a vulnerability index of '3', which  
399 indicates that three of the climate projections exceed the threshold of two-standard deviations from the historic mean.  
400 Table 2 indicates that 81 % of the 834 km<sup>2</sup> area analyzed for Mt. Hood (Site #7) had an HL code of VsHMH, (very  
401 wet climate with spring seasonality, high subsurface permeability, mountainous terrain, and high surface  
402 permeability). During the normal period, sixty-one percent of the 1867 km<sup>2</sup> Napa-Sonoma Valley (Site #26) had an  
403 MwHMH HL classification, thus much of the area was classified as having a moist climate with winter seasonality,  
404 high subsurface permeability, mountain terrain, and high surface permeability. Eighty-three percent of the 1234 km<sup>2</sup>  
405 Willamette Valley AUs (Site #8) had an HL code of WfHfTH during the normal period. Overall, the Willamette Valley  
406 had a wet climate, dominated by fall seasonality, high subsurface permeability, transitional terrain, and high surface  
407 permeability.

408 The time series in Fig. 8 (and Fig. A2) illustrate the trend in average decadal temperature, precipitation, SWE, PET,  
409 S', climate, and seasonality of water surplus. Note that each future (2041–2070) climate projection represents a single  
410 data point that represents the 2041 – 2070 30-year range and is connected to the 2001–2010 decade with a dotted red  
411 line. Additional figures for 41 other locations are provided in Appendix A (Fig. A2). Each of the three example areas  
412 is predicted to be warmer in the 2041–2070 future climate projections. Further, these projected temperatures are almost  
413 always outside of the historic (1901–2010) temperature range, and so all locations have high vulnerability with respect  
414 to future temperatures. None of the three examples show a strong trend relating to future precipitation projections. Mt.  
415 Hood appears to show increasing precipitation since 1901, but there is no evidence that the projected increases in  
416 precipitation are outside of historic behavior. Napa-Sonoma and the Willamette Valley have low vulnerability for  
417 change in snow, while Mt. Hood has high vulnerability for less April 1 snow accumulation in the 2041–2070 period.  
418 PET is calculated directly from temperature and therefore shows trends strongly correlated to temperature. There are  
419 no obvious trends in S' for the future projections for the selected examples; vulnerability of these sites for S' is low  
420 to moderate. The FMI projections for Napa-Sonoma Valley, the Willamette Valley and Mt. Hood are outside of two-  
421 standard deviations of historical trends in three to four out of ten of the projections (Table 2). In terms of seasonality,  
422 the vulnerability index is equal to zero in the Willamette and Napa-Sonoma Valleys. For Mt. Hood, vulnerability is  
423 low, with all of the future climate projections indicating that there will no longer be spring seasonality (the  
424 predominant historical season for runoff), but only 3 projections suggest that seasonality would transition to a winter  
425 seasonality that is not modeled to have occurred since at least 1900 on a decadal scale.



#### 426 **4 Discussion**

427 Vulnerability maps (Fig. 7) were developed that indicate what areas across the landscape are projected to experience  
428 conditions that exceed two-standard deviations of the historic decadal average conditions. These maps provide  
429 spatially explicit details about the areas of the landscape that are most likely to experience conditions outside of those  
430 observed previously for seven different climate indicators. These maps were developed to facilitate long-term planning  
431 for stakeholders to be able to assess their risk to climatic impacts. It is possible that ecosystems, businesses, and  
432 communities in areas mapped as vulnerable may not be able to adapt to the stresses imposed by future environmental  
433 conditions.

434 From the vulnerability maps (Fig. 7), it is apparent that temperature [similar to Nijssen et al. (2001)] and PET are  
435 consistently projected to exceed the two-standard deviation threshold of historic conditions for most regions, though  
436 changes in PET may be overestimated (Johnson et al., 2012; U.S. Environmental Protection Agency, 2013).  
437 Precipitation vulnerability maps are not as spatially uniform as temperature. The vulnerability maps for snow  
438 accumulation and S' (surplus water available for runoff or infiltration) show that the areas mapped as most vulnerable  
439 for the two metrics are almost reversed, other than central Idaho and the coastal areas of California, Oregon, and  
440 Washington. According to the snow vulnerability map, it appears that most areas that receive much snow are projected  
441 to experience significant changes in future snow accumulation. In a related study on snow cover, Nolin and Daly  
442 (2006) found that the areas with the warmest winter temperatures are most at risk of having no snow cover in the  
443 future. Regarding the Feddema Moisture Index, Fig. 7 suggests that most of the models indicate that the magnitude of  
444 the FMI change is mostly within two-standard deviations of normal. The seasonality vulnerability map (Fig. 7) shows  
445 that the high Sierra-Nevada mountains in California, the Cascade mountains, and the mountainous areas in Idaho are  
446 somewhat prone to changes in seasonality.

447 We used a retrospective analysis of PRISM climatic time series data to gain an understanding of the distribution of  
448 environmental conditions present since 1901. While others have mapped resource and hydrologic vulnerability (Hill  
449 et al., 2014; Nolin and Daly, 2006; Vorosmarty et al., 2000; Winter, 2000), we are aware of few that have used  
450 retrospective analyses to inform the mapping efforts (Deviney et al., 2006; Kim et al., 2011; O'Brien et al., 2004) and  
451 are not aware of studies that have mapped resource vulnerability at a large scale using these types of data. It is  
452 important to emphasize that our definition of vulnerability is based on agreement of models with respect to climate  
453 conditions that are outside of historic ranges. The inference is that systems dependent on historic climate conditions  
454 may not be adapted to future conditions, and so are vulnerable. It is possible that they have the adaptive capacity to  
455 maintain their ecological and economic systems, but this is not a certainty. The vulnerability maps do not show,  
456 however, watersheds or communities downstream of these source areas that would be impacted by these changes.

457 For this analysis, the 30-year normal climate conditions are compared to decadal (10-year) climate conditions since  
458 1901. In addition, the 30-year normal for future projections (2041-2070) is compared to the historic range of decadal  
459 climate data. While this may appear to be a discrepancy in the analysis, it was included intentionally to represent a  
460 conservative approach to quantifying vulnerability indices. Normal conditions are averaged over a 30-year period and  
461 therefore exhibit less variability than decadal averages or annual averages. By examining the past variability of the  
462 decadal averages since 1901, we use a period that exhibits variability without being an entirely smooth dataset. We



463 then compare that to the 30-year future climate normal, which inherently has much less variability. By using this  
464 approach, we recognize that we are not treating past data in the same manner as we treat future climate projections.  
465 We suggest that the resulting vulnerability conclusions are conservative, because if we had used decadal projections  
466 for future climate data, the range of output would have been more variable. Decadal data would potentially have  
467 increased our vulnerability indices for all parameters except those that are already at the maximum but should not  
468 have decreased the index in any case.

469 In Fig. 8, examples are provided (Napa-Sonoma Valley, Willamette Valley, and Mt. Hood) to illustrate how analyses,  
470 like the HLVA approach, can assist natural resource managers, business owners, or other stakeholders to understand  
471 the potential impacts that changes in climate may have on their environment and the local bottom line. It is necessary  
472 for a stakeholder to have an idea of the parameters most important to their ecosystem, industry, or resource of interest,  
473 and it should prove useful for land and resource managers that are seeking location specific information about potential  
474 climatic impacts (Glick et al., 2011; Lawler et al., 2010).

475 Important stakeholders in the western U.S. that may be expected to experience impacts from hydrological changes  
476 associated with climate include the wine and skiing industries. The Napa-Sonoma and Willamette Valleys are  
477 economically important for their grape vineyards and associated wineries. The Willamette Valley is recognized for  
478 the quality of its pinot noir varietals (<http://wine.appellationamerica.com/wine-region/Willamette-Valley.html>), which  
479 require narrower temperature ranges than other grape cultivars (Burakowski and Magnusson, 2012; Jones et al., 2010).  
480 Due to the importance of the pinot noir varietal to viticulturists in the Willamette Valley, they are likely more  
481 concerned with changes in temperature than FMI. The Napa-Sonoma region is recognized for a wider variety of grape  
482 cultivars (<http://wine.appellationamerica.com/wine-region/Napa-Valley.html>, Elliott-Fisk, 1993) that have higher  
483 tolerance for temperature fluctuations than the pinot noir varietals commonly grown in the Willamette Valley (Jones  
484 et al., 2010). Figure 8 indicates that both the Willamette Valley and Napa-Sonoma have temperature vulnerability  
485 indices of ten out of ten, and both have FMI vulnerability indices of three out of ten. These index values suggest that  
486 both locations are projected to have future temperatures that are significantly different than the historic observed  
487 temperatures. However, the Willamette Valley pinot noir vineyards may have more cause for concern, since pinot noir  
488 grapes are documented to be more sensitive to temperature. In the Napa and Sonoma Valleys, there may be less need  
489 for concern with temperature than in the Willamette Valley. In addition, while both locations have the same FMI  
490 vulnerability indices, Fig. 8 illustrates that FMI projections for Napa-Sonoma are much more variable than for the  
491 Willamette Valley. Thus, there is more uncertainty in the modeled water availability for Napa-Sonoma. Taken at face  
492 value, these modeled results suggest that a vintner growing warm temperature grape species in the Willamette Valley  
493 may have more confidence in his investments relative to a vintner in Napa-Sonoma, where there is more uncertainty  
494 regarding long-term water availability.

495 The skiing industry is also an important economic contributor. According to Burakowski and Magnusson (2012), the  
496 difference in economic impact between a high and low snowfall year for the State of Oregon is \$38.1 million, while  
497 California is estimated to lose more than \$75 million in low snow years. Mt. Hood is well known for its recreational  
498 snow sports and winter tourism in Oregon and would be impacted differently by the seven metrics than the Willamette  
499 and Napa-Sonoma examples (Fig. 8). Thus, resource managers and business leaders at Mt. Hood are likely more



500 concerned about snow accumulation in their watershed than those in the wine and grape industries (although grape  
501 grower's ability to irrigate may be impacted by snow accumulation in the region). According to our analyses, Mt.  
502 Hood has a snow vulnerability index of seven out of a maximum of ten. The analysis of seasonality suggests some  
503 chance of a shorter ski season due to the spring runoff occurring earlier during the winter season. Even though these  
504 conditions have occurred in the past (Fig. 8), this may be much more deleterious to the economics of the modern or  
505 future ski industry than it was in the 1900s, because it contributed much less to the historic economy.  
506 The quantity (as indicated by the FMI) and timing (as indicated by the seasonality of the water surplus) of moisture  
507 availability only account for a portion of the water balance for an area. The FMI and seasonality are assumed to be  
508 proxies for the quantity and timing of moisture availability, but when moisture is available as surface runoff, it may  
509 then infiltrate into the ground or act as surface runoff. Water may infiltrate the surface layer of soil (depending on the  
510 soil permeability) and may enter the subsurface layers (depending on the vertical conductivity of the subsurface  
511 layers). The velocity of water through the subsurface layers that flows towards a stream channel depend upon the  
512 horizontal conductivity of the subsurface layers. Thus, if the water was retained as surface or subsurface runoff, it may  
513 be transported more quickly in the downhill direction and into a stream channel depending upon the steepness of the  
514 terrain (included in the HL classification). As it relates to streamflow, the unique combination of the five HL  
515 characteristics (climate, seasonality, surface permeability, subsurface permeability, and terrain) allows for the  
516 estimation of catchment hydrologic responses to changes in temperature and climate (Leibowitz et al., 2014; Patil et  
517 al., 2014). The HL approach has proved useful for streamflow prediction in gaged basins for some HL classes and  
518 should be useful in many ungaged basins as well. However, this paper illustrates how the HL approach can help to  
519 assess climatic and hydrologic vulnerability across large spatial scales. The three examples we provided, show how  
520 the HLVA method could be useful to resource managers for considering how future climate conditions may impact  
521 important economic and conservation resources (for additional examples refer to the appendix (2)).

## 522 **5 Summary and conclusions**

523 The hydrologic landscapes (HL) concept has proved useful for gaining a better understanding of hydrologic behaviour  
524 at the assessment unit and watershed scales across large geographic regions. By applying the HL concept to climatic  
525 and vulnerability analyses, we provide a planning approach that allows resource managers to consider historic and  
526 projected climate behavior in their long-term planning efforts so they can better assess the risk imposed by potential  
527 changes. The methodology also allows stakeholders to focus on particular areas of interest, which provides the  
528 flexibility necessary for the information to be relevant across applications and sectors. By applying the modified  
529 Wigington et al. (2013) HL approach across the western US, resource managers will gain a better understanding of  
530 the projected vulnerability of water resource availability in a large portion of the United States.

## 531 **6 Data availability**

532 The geospatial data files (Jones et al., 2020) will be uploaded to the GeoPlatform (<https://www.geoplatform.gov>) and  
533 EPA Environmental Dataset Gateway (<https://edg.epa.gov>). Data cannot be made publicly available and the DOI link  
534 cannot go activated until the paper is published per internal US EPA policy.





535

536 **7 Code availability**

537 Authors may deposit code in a FAIR-aligned repository/archive upon final acceptance of the manuscript for  
538 publication.

539 **8 Video abstract**

540 No video abstract is available at this time.

541 **9 Author contribution**

542 CJ and SL conceptualized the study with significant input from KS. CJ performed the formal analyses, investigation,  
543 developed the methodologies (with input from SL, KS, and RC), managed the project, developed the model code,  
544 performed the analyses, developed the final figures and tables, and wrote draft versions of the manuscript, and  
545 incorporated co-author feedback into the final version of the manuscript. SL supervised the project and performed  
546 project administration. RC contributed technical expertise regarding spatial data analyses and familiarity with  
547 hydrologic landscapes data analyses. RC and LS developed the subsurface permeability datasets. PM and CW  
548 provided and advice regarding the use of the future climate projections and the processing of those datasets.

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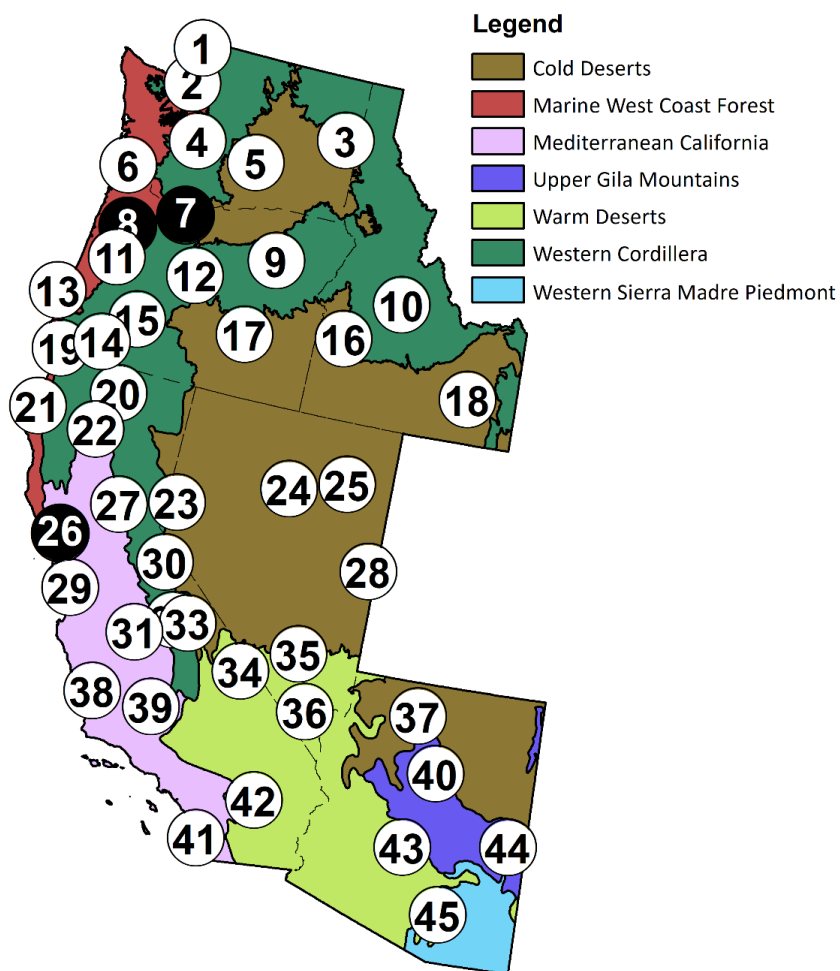


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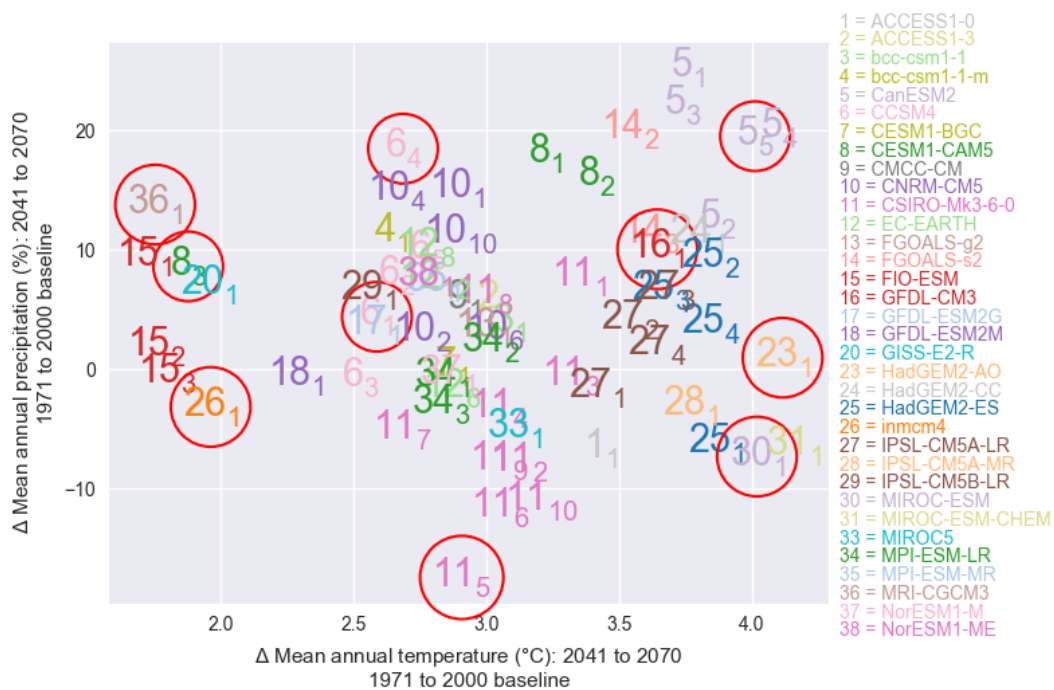


746 12 Figures



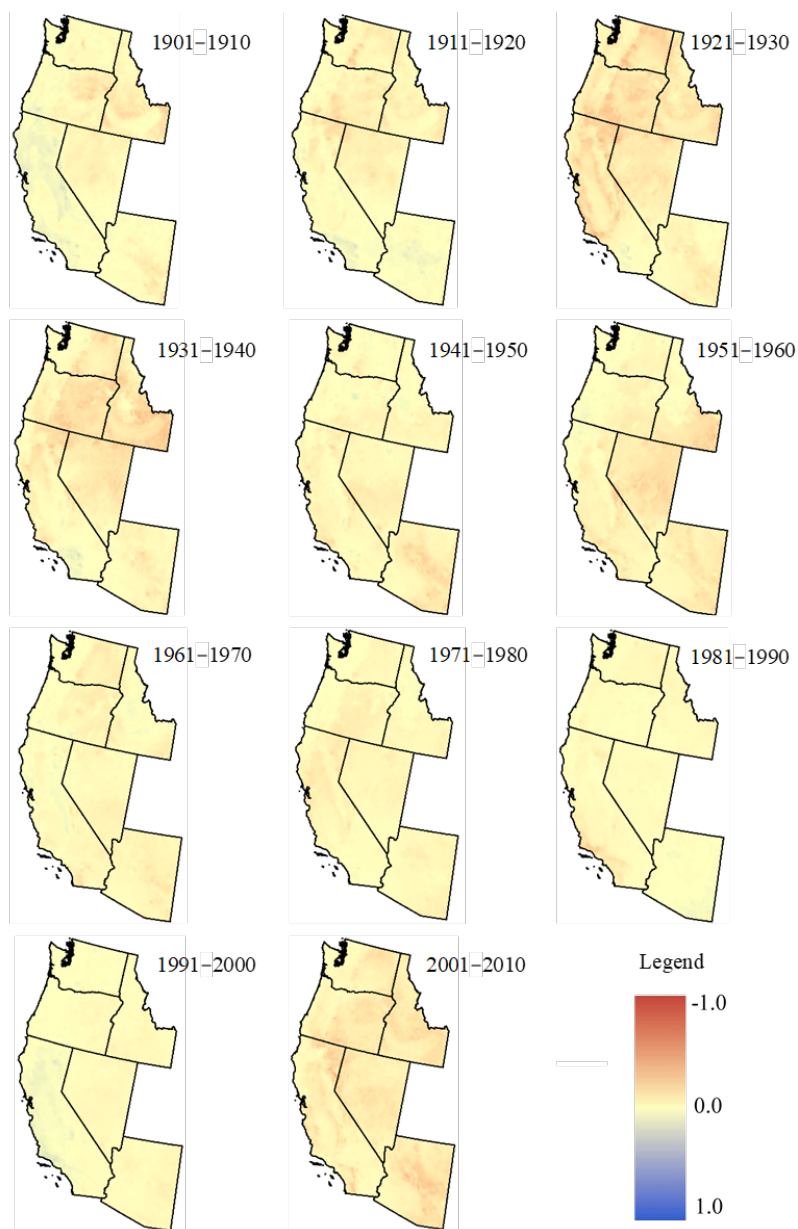
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748 Figure 1. Study area showing map with the six states of WA, OR, ID, CA, NV, and AZ. Also shown are the 7 EPA Level II  
749 Ecoregions and 45 locations identified by numbered circles with three example locations in black circles (Table 2).



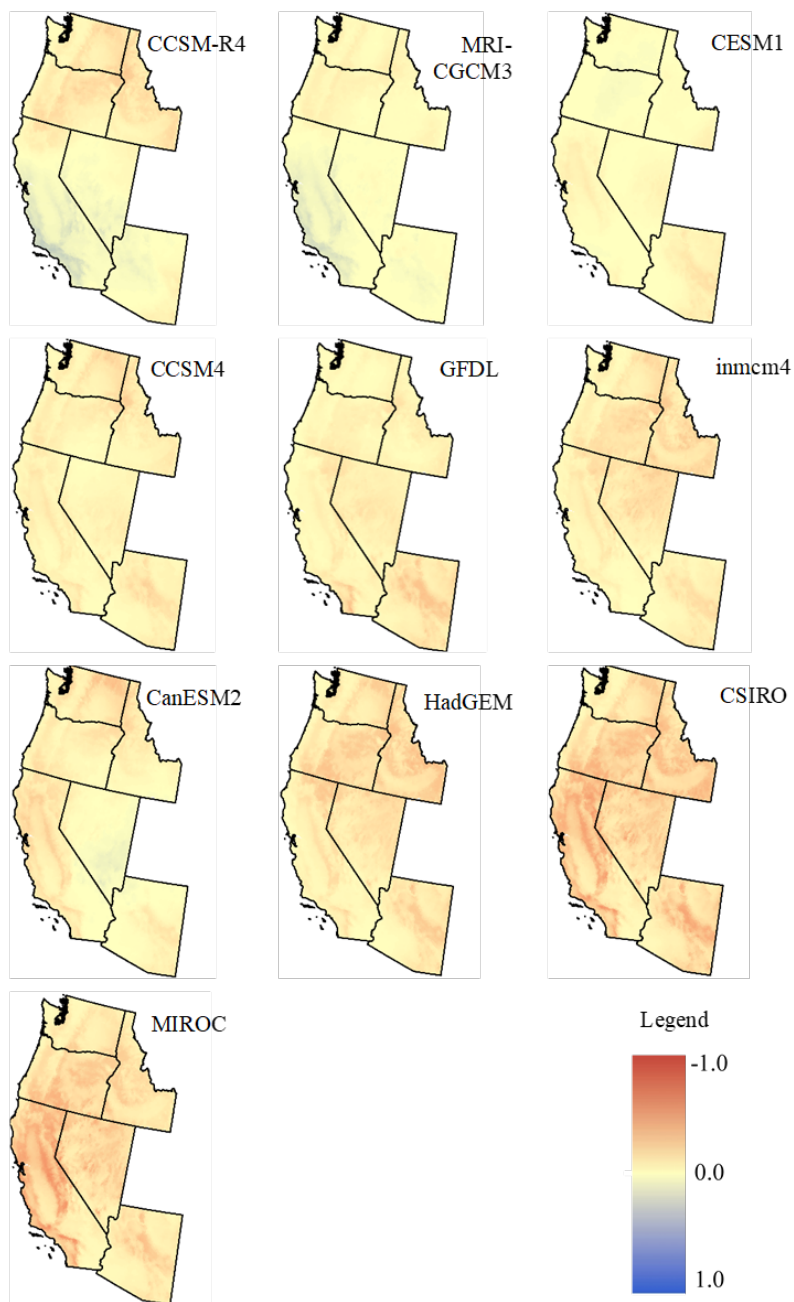
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**Figure 2.** Scatterplot showing the range of mean temperature and precipitation projections for the 2041–2070 climate models across the study area. The circled data points identify the climate projections used in our analyses.



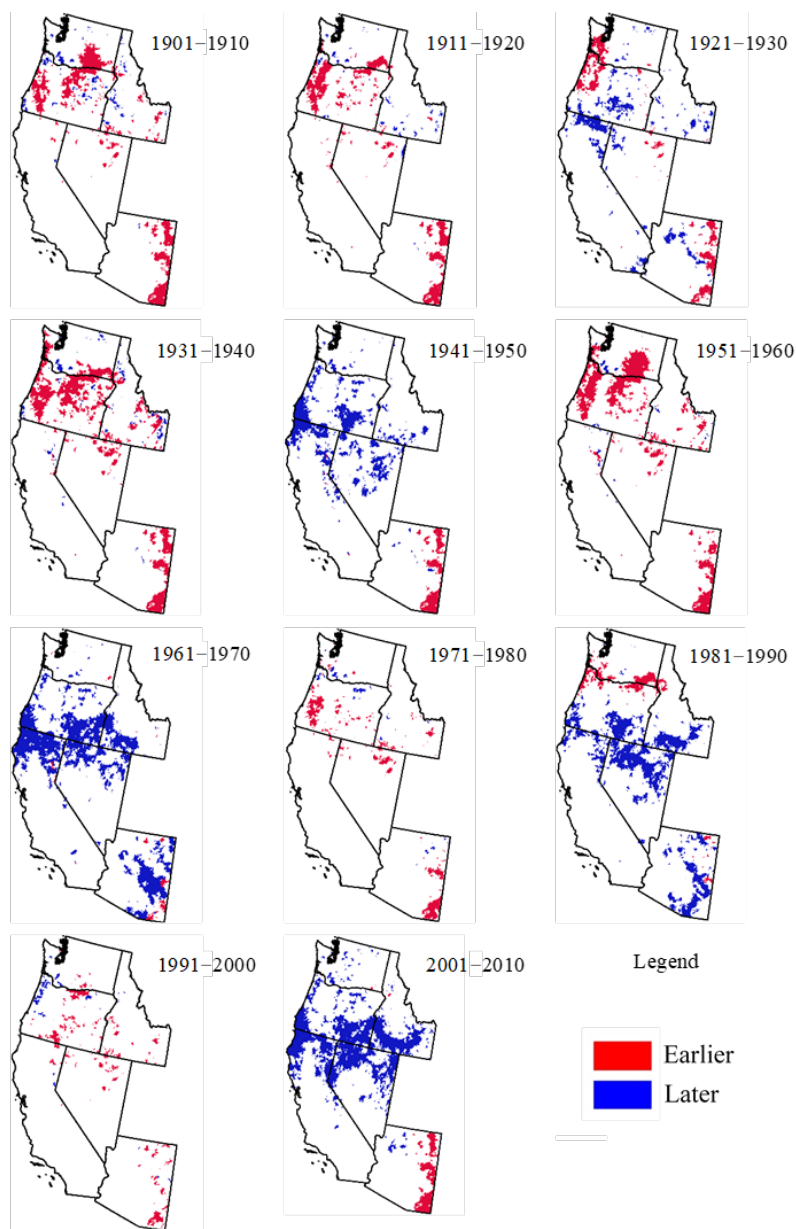
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754 **Figure 3. Decadal change in Feddema Moisture Index relative to 1971–2000 normal period. Red and blue colors indicate**  
755 **drier and wetter average conditions than 1971–2000, respectively.**



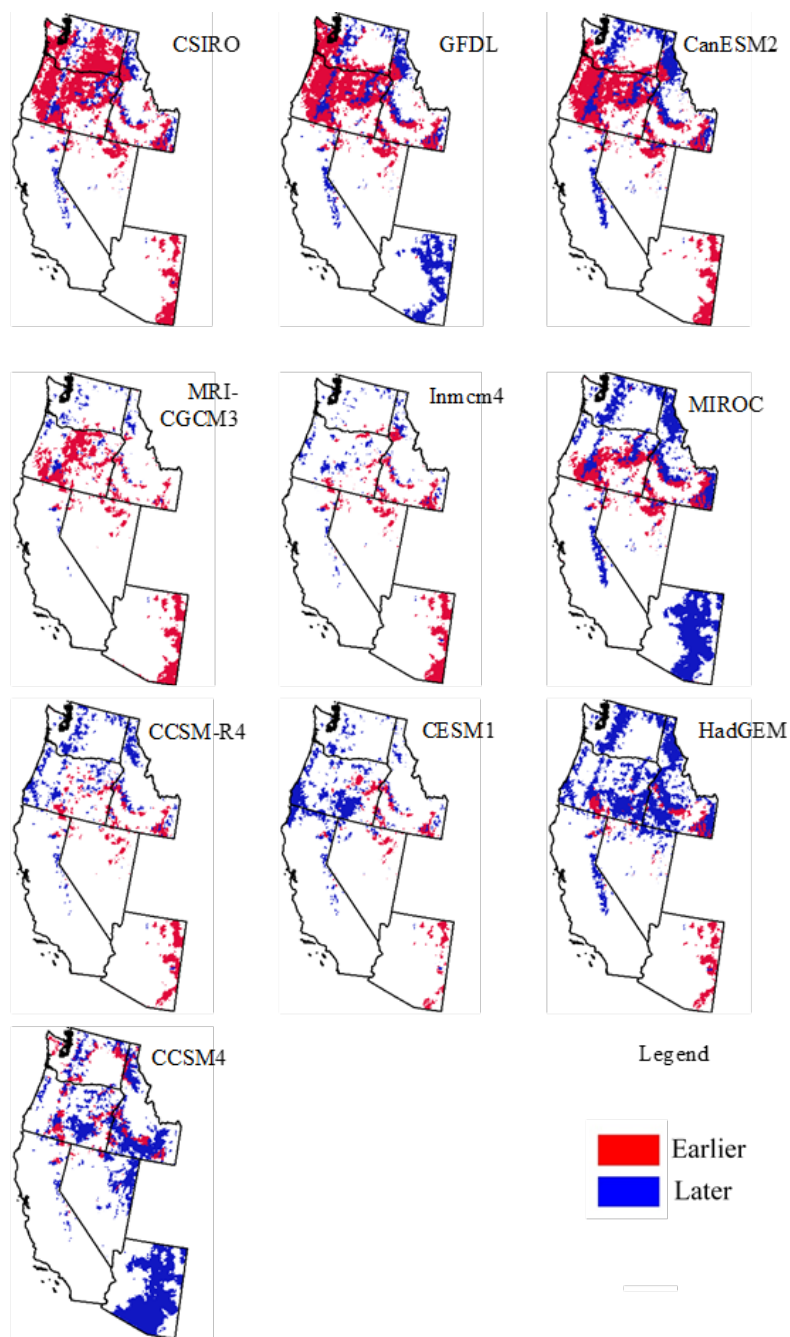
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757 **Figure 4.** Projected change in Feddema Moisture Index for 2041–2070 relative to 1971–2000 for ten climate models (Table  
758 1). Red and blue colors indicate drier and wetter conditions than the 1971–2000 base period, respectively. Abbreviated  
759 model names correlate to those in Table 1.



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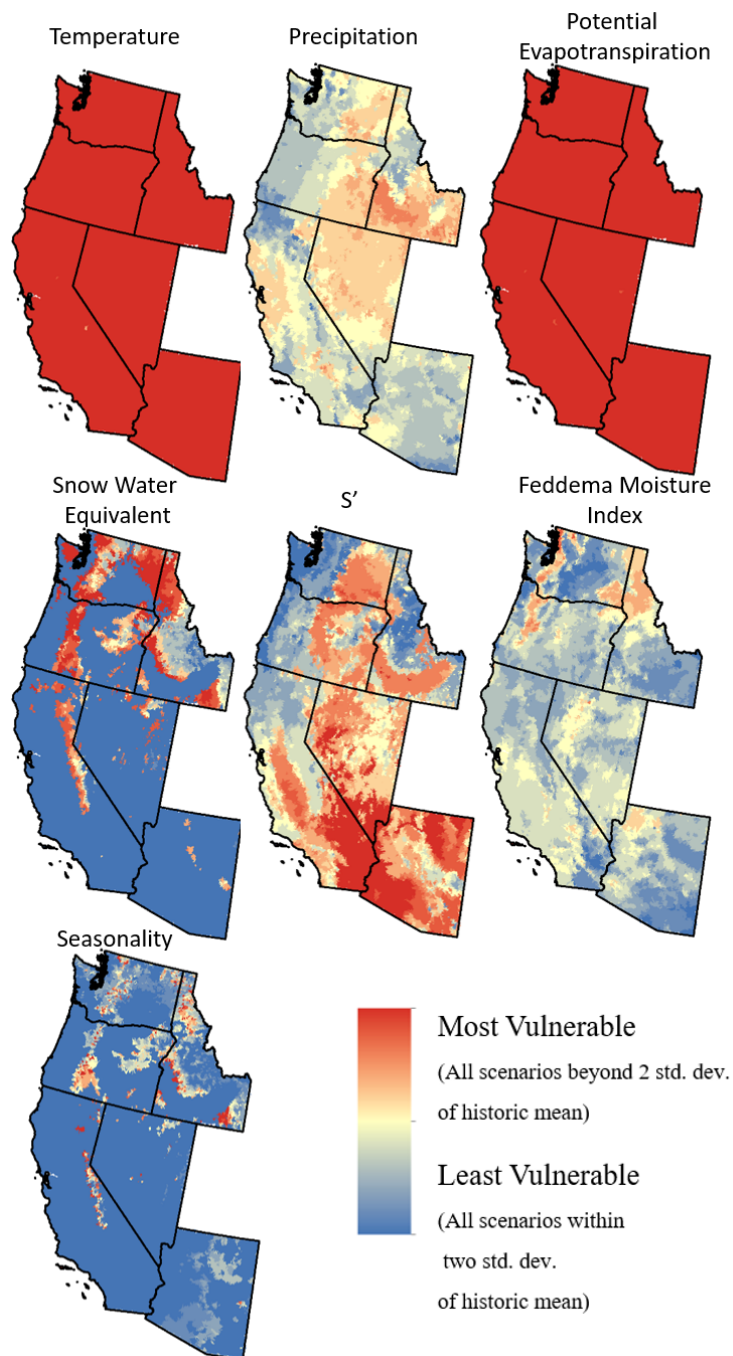
761 **Figure 5. Decadal change in seasonality of water surplus since 1901 relative to 1971–2000. Red and blue colors indicate**  
762 **earlier and later seasonality than the 1971–2000 base period, respectively.**



763

764 **Figure 6. Projected change in seasonality of water surplus for 2041–2070 relative to 1971–2000 for ten climate models. Red**  
765 **and blue colors indicate earlier and later seasonality than the 1971–2000 base period, respectively. Abbreviated model**  
766 **names correlate to those in Table 1.**





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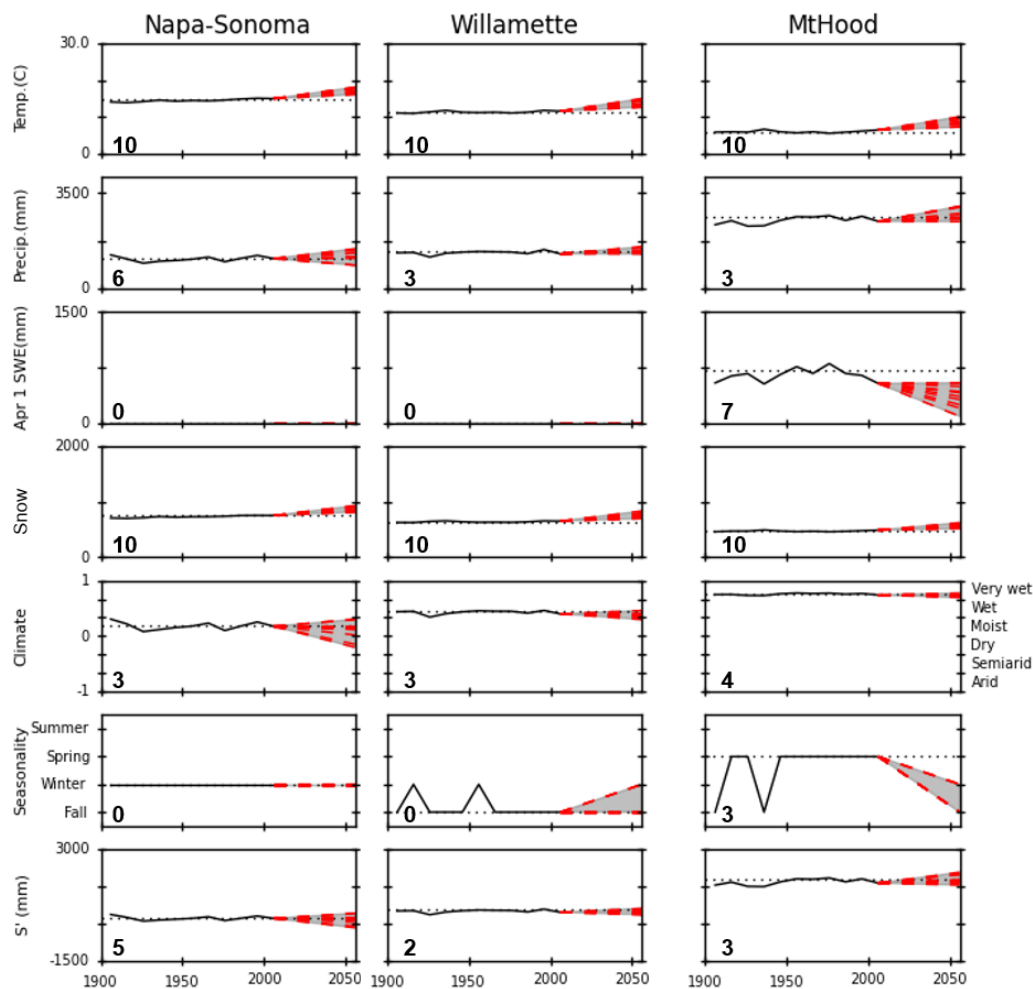
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Figure 7. Vulnerability indices for temperature, precipitation, potential evapotranspiration, snow water equivalent (April 1),  $S'$  (available water), Feddema Moisture Index, and seasonality. The least vulnerable locations are those projected to be within two-standard deviations of the historic (1901–2010) mean in all nine climate models.





771

772 **Figure 8.** Time series of average decadal temperature, precipitation, snow (April 1 snow water equivalent (mm)), potential  
 773 evapotranspiration (PET), available water (S'), FMI, and seasonality for three specific locations in the western U.S. Dotted  
 774 black line represents the 1971–2000 base period; the dashed red line connects the 2001–2010 value to the 2041–2070  
 775 climate projections. The number in lower left indicates the vulnerability index for the metric and location depicted in the  
 776 associated graph.



777 **13 Tables**

778 **Table 1. CMIP5 Climate model summary for 2041–2070 precipitation and temperature data (Bureau of Reclamation,**  
 779 **2014).**

WCRP CMIP5 Climate Model	Model abbreviated name	Model realization used herein	Abbreviated name used in this paper for realization
Canadian Earth System Model	CanESM2	r5i1p1	CanESM2
Community Climate System Model	CCSM4	r1i1p1	CCSM4
Community Climate System Model	CCSM4	r4i1p1	CCSM4-R4
Community Earth System Model	CESM1	r3i1p1	CESM1
Commonwealth Scientific and Industrial Research Organisation Mark 3.6	CSIRO-Mk3-6-0	r5i1p1	CSIRO
Geophysical Fluid Dynamics Laboratory Coupled Climate Model	GFDL-CM3	r1i1p1	GFDL
Hadley Global Environment Model	HadGEM2-AO	r1i1p1	HadGem
Institute for Numerical Mathematics Climate Model	INM-CM4	r1i1p1	inmcm4
Model for Interdisciplinary Research on Climate	MIROC-ESM	r1i1p1	MIROC
Meteorological Research Institute	MRI-CGCM3	r1i1p1	MRI-CGCM3

780



781 Table 2. Summary table for 45 study locations (sorted by decreasing latitude) provides numeric ID from Fig. 1, total analysis area, dominant HL class (representing  
 782 climate, seasonality, subsurface permeability, terrain, and surface permeability), percent area represented by dominant HL class, latitude and longitude of the center point  
 783 of the area, and vulnerability indices for temperature, potential evapotranspiration (PET), precipitation, S', snow, Feddema Moisture Index (FMI), and seasonality.

Site #	Name	Area (km <sup>2</sup> )	Dominant HL Class*	% Dominant Area	Coordinates			Vulnerability Index					
					Lat.	Long.	Temp.	PE T	Precip.	S'	Snow	FMI	Seasonality
1	Bellingham	212	WfLTH	99%	48.77	-122.45	10	10	5	1	0	9	0
2	Spokane	592	DfHTh	80%	47.64	-117.43	10	10	6	7	10	3	1
3	Seattle	669	WfLTH	78%	47.60	-122.25	10	10	4	1	0	5	2
4	MtRainier	718	VsLMH	76%	46.85	-121.79	10	10	4	2	7	4	2
5	Yakima	438	SfHTh	86%	46.63	-120.60	10	10	3	6	0	0	0
6	Portland	932	WfHTh	67%	45.53	-122.66	10	10	3	2	0	6	0
7	MtHood	834	VsHMH	81%	45.37	-121.70	10	10	3	3	7	4	3
8	UmatillaNF	2,147	MsLMH	29%	44.87	-118.70	10	10	6	3	6	3	4
9	Willamette	1,234	WfHTh	83%	44.84	-123.14	10	10	3	2	0	4	0
10	ChallisNF	4,348	WsLMH	74%	44.55	-114.75	10	10	6	0	3	2	0
11	Bend	948	SfHTh	68%	44.21	-121.26	10	10	4	8	0	3	0
12	Eugene	523	WfHTh	64%	44.10	-123.15	10	10	3	1	0	2	0
13	Boise	594	SwHTh	51%	43.61	-116.24	10	10	8	8	0	2	0
14	MalheurNWR	1,355	SwHTh	69%	43.27	-119.04	10	10	6	7	0	2	0
15	CraterLake	1,721	WsHTh	45%	42.98	-122.08	10	10	3	2	9	3	10
16	Pocatello	349	DwHTh	45%	42.88	-112.43	10	10	7	7	0	1	0
17	SiskiyouNF	926	VwLMH	100%	42.36	-124.29	10	10	2	0	0	2	0
18	Medford	375	DfLTH	60%	42.34	-122.89	10	10	1	5	0	2	0
19	SixRivers	1,527	VwLMH	100%	41.63	-123.79	10	10	2	2	0	4	0
20	MtShasta	956	WwHMH	49%	41.36	-122.23	10	10	1	2	0	3	0
21	RubyMtn Arcata-	1,132	DfLTH	44%	40.68	-115.31	10	10	6	5	9	4	0
22	HumboldtCo	2,511	WwLMH	63%	40.62	-124.01	10	10	3	2	0	3	0
23	Redding	478	MwHTh	59%	40.56	-122.38	10	10	2	2	0	2	0
24	BattleMtn	902	SwLMH	75%	40.09	-116.71	10	10	6	7	0	4	0
25	Reno	382	SwHTh	40%	39.54	-119.80	10	10	4	7	0	3	0



Site #	Name	Area (km <sup>2</sup> )	Dominant HL Class*	% Dominant Area	Coordinates			Vulnerability Index					
					Lat.	Long.	Temp.	PE T	Precip.	S'	Snow	FMI	Seasonality
26	GreatBasinNP	38	MsLMH	100 %	39.01	-114.26	10	10	4	5	0	4	1
27	Sacramento	855	SwHfH	88 %	38.57	-121.39	10	10	6	7	0	3	0
28	Napa-Sonoma	1,867	MwHfH	61 %	38.37	-122.53	10	10	6	5	0	3	0
29	YosemiteNP	2,455	VsLMH	44 %	37.93	-119.55	10	10	4	4	9	3	0
30	SanFranciscoBay	3,356	DwHfH	19 %	37.44	-122.29	10	10	6	5	0	5	0
31	SierraNF	5,349	WwLMH	31 %	37.17	-119.05	10	10	4	4	0	2	0
32	HighSierras	2,239	WsLMH	32 %	37.15	-118.81	10	10	2	4	1	2	0
33	NevadaTestSite	3,121	AwHfH	67 %	36.96	-116.22	10	10	5	10	0	4	0
34	Fresno	1,393	AwHfH	100 %	36.74	-119.91	10	10	5	8	0	4	0
35	DeathValleyNP	7,862	AwHfH	50 %	36.45	-117.03	10	10	5	10	0	5	0
36	LasVegas	977	AwHfH	65 %	36.23	-115.26	10	10	4	10	0	4	0
37	GrandCanyonNP	3,475	SwHfH	28 %	36.22	-112.11	10	10	4	10	0	6	0
38	SanLuisObispo	2,653	DwLMH	98 %	35.36	-120.63	10	10	4	4	0	4	0
39	Bakersfield	3,399	AwHfH	96 %	35.33	-119.14	10	10	4	9	0	4	0
40	Flagstaff	365	DwHfH	51 %	35.19	-111.60	10	10	3	4	0	4	0
41	JoshuaTreeNP	2,599	AwLMH	68 %	33.92	-115.99	10	10	5	7	0	5	0
42	WhiteMtns	4,855	WfLMH	23 %	33.87	-109.53	10	10	4	3	0	3	0
43	Phoenix	2,304	AwHfH	63 %	33.52	-112.11	10	10	3	10	0	2	1
44	SanDiego	1,276	SwLMH	37 %	32.90	-117.06	10	10	4	6	0	4	0
45	Tucson	1,838	AwHfH	62 %	32.19	-110.95	10	10	3	9	0	1	2

\*Climate class (1st letter): V=very wet; W=wet; M=moist; D=dry; S=semi-arid; A=arid  
 Seasonality class (2nd letter): f=fall; w=winter; s=spring; u=summer  
 Subsurface permeability class (3rd letter): L=low; H=high  
 Terrain class (4th letter): M=mountain; T=transitional; F=flat  
 Surface permeability class (5th letter): L=low; H=high



789 **Table 3. Percent of area of each HL category and classification within the six-state region (1971–2000)**

Category	Classification	Area (%)
Climate	Arid	21 %
	Semi-arid	34 %
	Dry	15 %
	Moist	9 %
	Wet	14 %
	Very wet	7 %
Season	Spring (AMJ <sup>1</sup> )	13 %
	Summer (JAS <sup>2</sup> )	1 %
	Fall (OND <sup>3</sup> )	24 %
	Winter (JFM <sup>4</sup> )	63 %
Subsurface Perm.	Low	40 %
	High	60 %
Terrain	Flat	7 %
	Transitional	63 %
	Mountain	30 %
Surface Perm.	Low	2 %
	High	98 %

790 <sup>1</sup>AMJ: April, May, and June  
 791 <sup>2</sup>JAS: July, August, and September  
 792 <sup>3</sup>OND: October, November, and December  
 793 <sup>4</sup>JFM: January, February, and March



794 **Table 4. Hydrologic landscape characteristics of assessment units identified as vulnerable (having a vulnerability index**  
 795 **greater than 7 on a scale of 10) for each metric.**

		% Assessment units that share HL classification									
		Climate <sup>1</sup>		Seasonality <sup>2</sup>		Subsurface Perm. <sup>3</sup>		Terrain <sup>4</sup>		Surface perm. <sup>3</sup>	
Vulnerability Parameter	Snow	75 %	D, M, or W	87 %	f or s	53 %	L	82 %	M	100 %	H
	FMI	71 %	V or W	65 %	f	75 %	L	75 %	M	100 %	H
	Seasonality	75 %	W or M	76 %	s	51 %	H	83 %	M	99 %	H
	S'	92 %	A or S	79 %	w	75 %	H	87 %	M or T	99 %	H
	ppt	72 %	D or S	79 %	f or w	71 %	H	97 %	M or T	98 %	H
	tmean	70 %	D, S, or A	87 %	f or w	60 %	H	93 %	M or T	98 %	H
	PET	70 %	D, S, or A	87 %	f or w	60 %	H	93 %	M or T	98 %	H

796 <sup>1</sup>A=arid, S=semiarid, D=dry, M=moist, W=wet

797 <sup>2</sup>f=fall, w=winter, s=spring

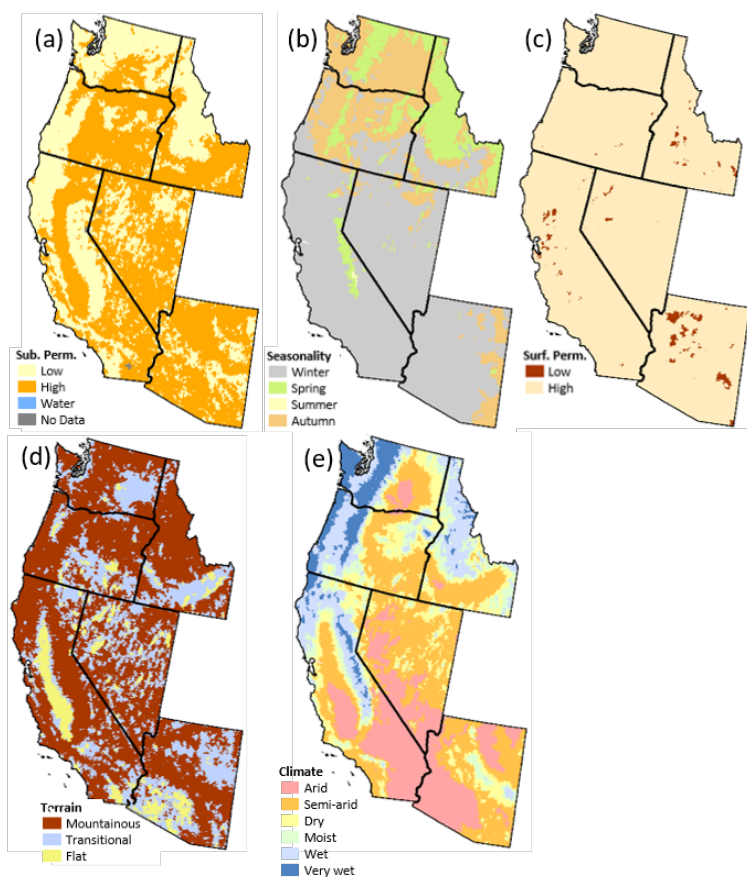
798 <sup>3</sup>L=low, H=high

799 <sup>4</sup>T=transitional, M=mountainous

800



801 **Appendix A**



802

803 **Figure A1.** Hydrologic Landscape maps of Washington, Idaho, Oregon, California, Nevada, and Arizona were used in the  
804 HLVA analysis [(a) Subsurface Permeability, (b) Seasonality of precipitation surplus, (c). Surface permeability, (d) Climate,  
805 and (e) Terrain]. Notes: The seasonality map for the PNW has been updated from the original Leibowitz 2016 HL map, as  
806 we separated their winter seasonality into two seasons (winter and fall).

807

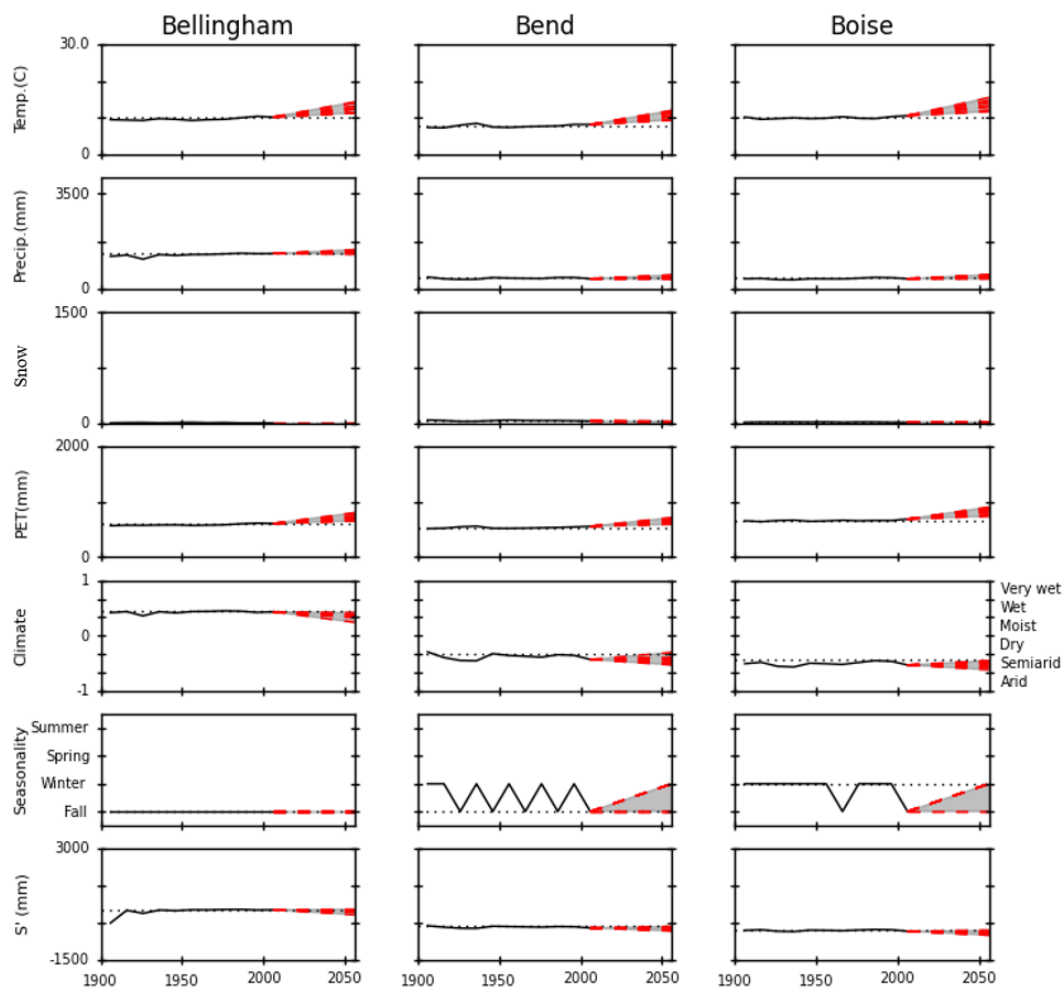
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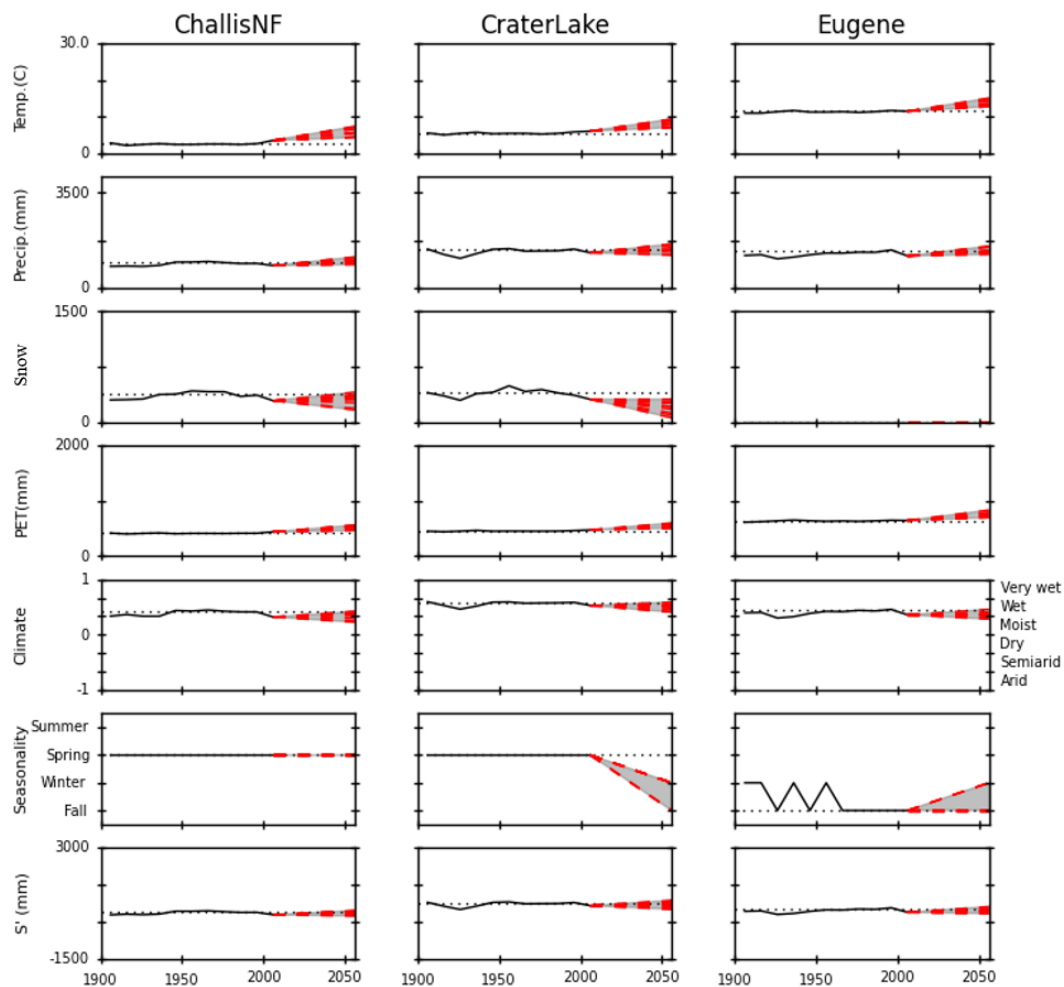


809 Figure A2 (Plates 1–15)

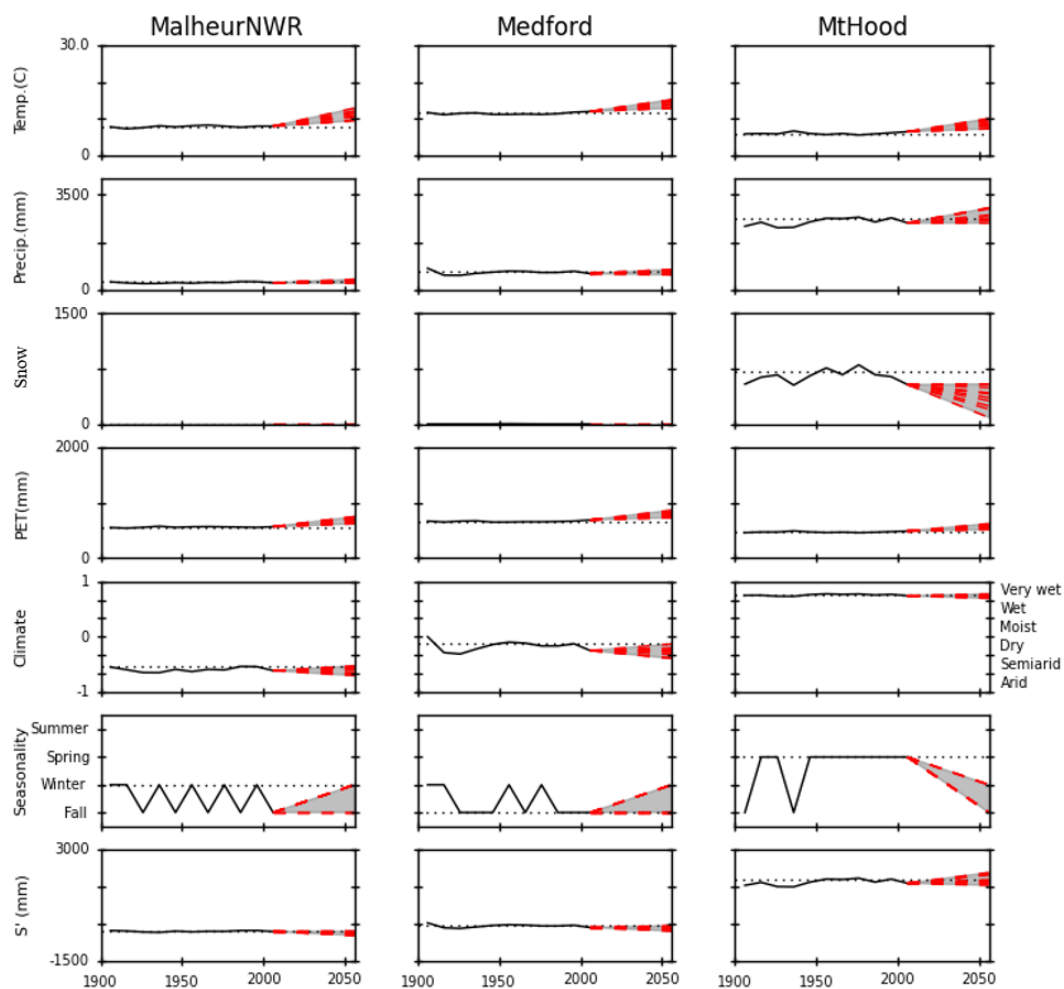
810 Time series of average decadal temperature, precipitation, snow (April 1 snow water equivalent (mm)), potential  
811 evapotranspiration (PET), available water (S'), FMI, and seasonality for specific locations identified in Fig. 1 and Table 2  
812 in the western United States Dotted black line represents the 1971–2000 base period; the dashed red line connects the 2001–  
813 2010 value to the 2041–2070 climate projections. Note that Oregon, Washington, and Idaho locations are displayed first in  
814 alphabetical order and are followed by those of California, Nevada, and Arizona.



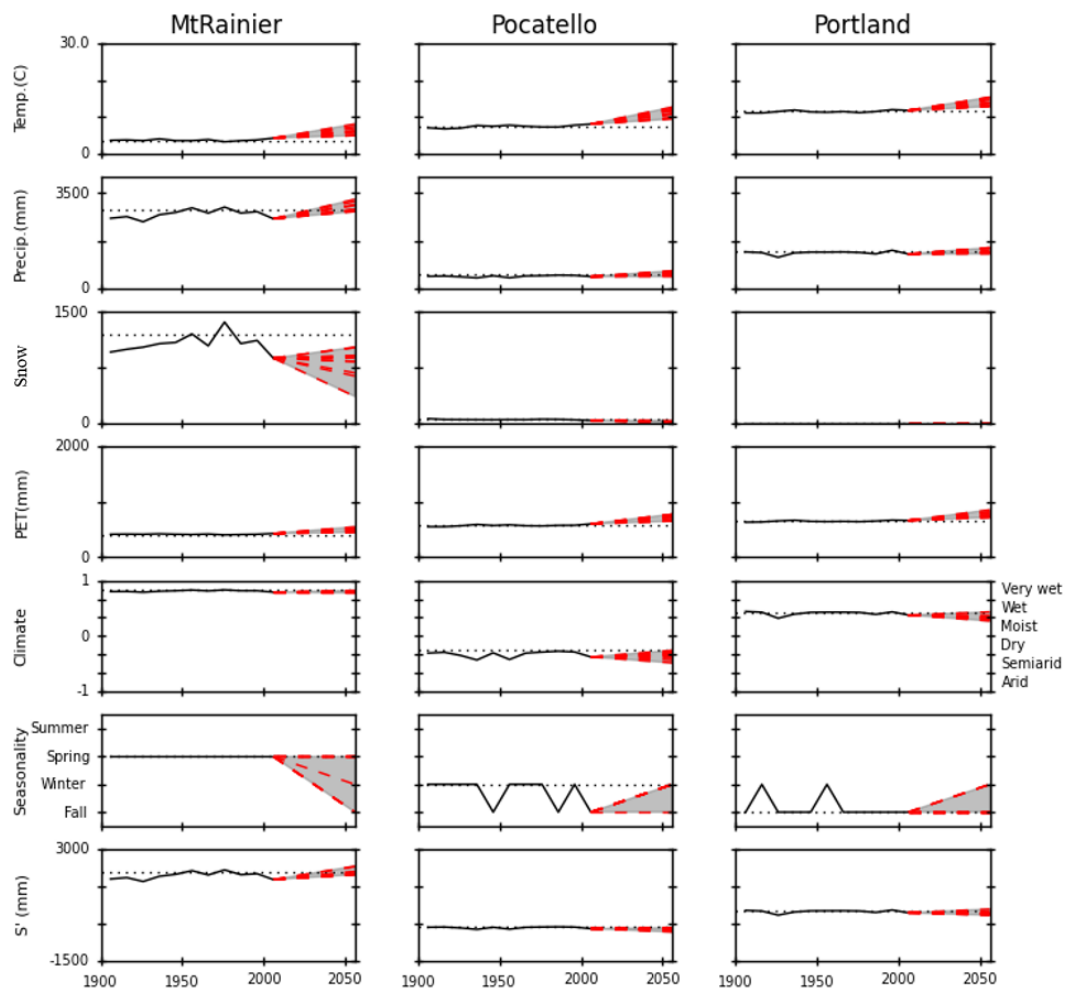
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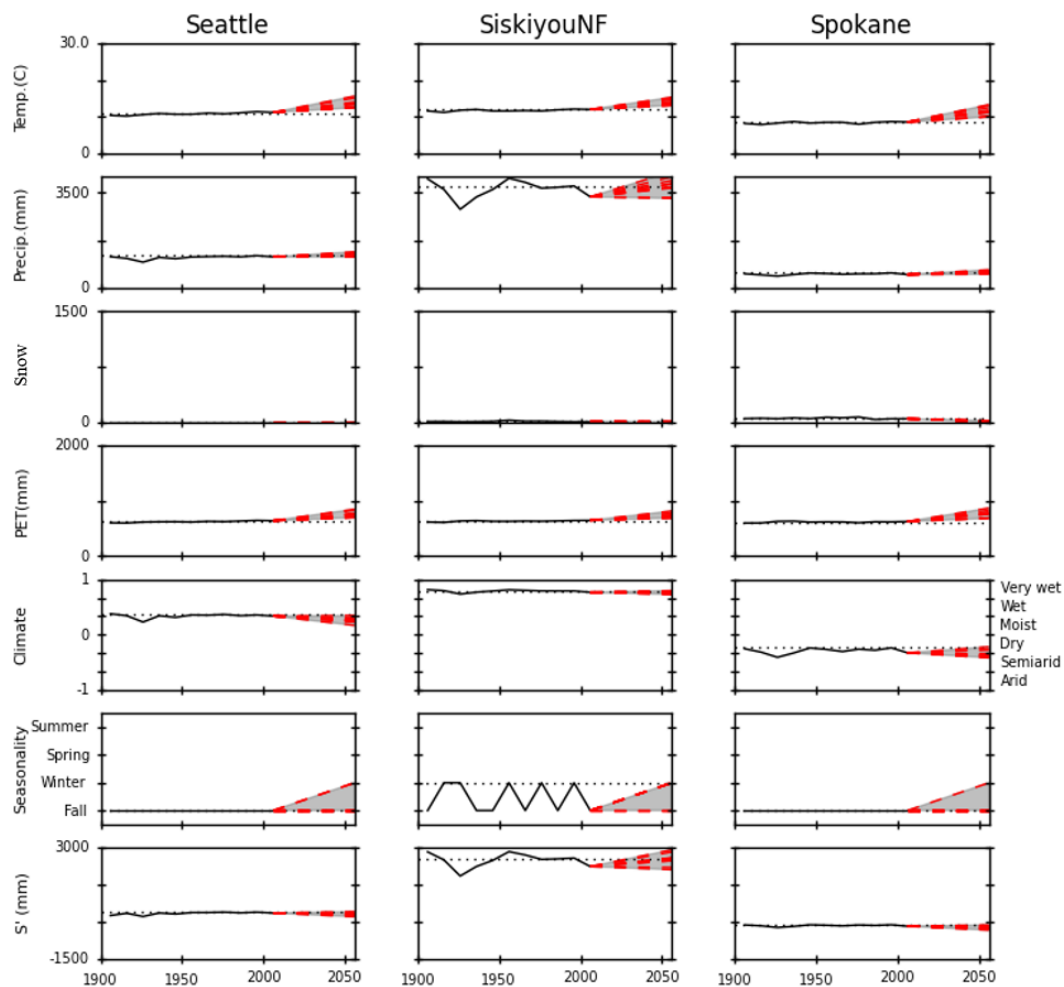
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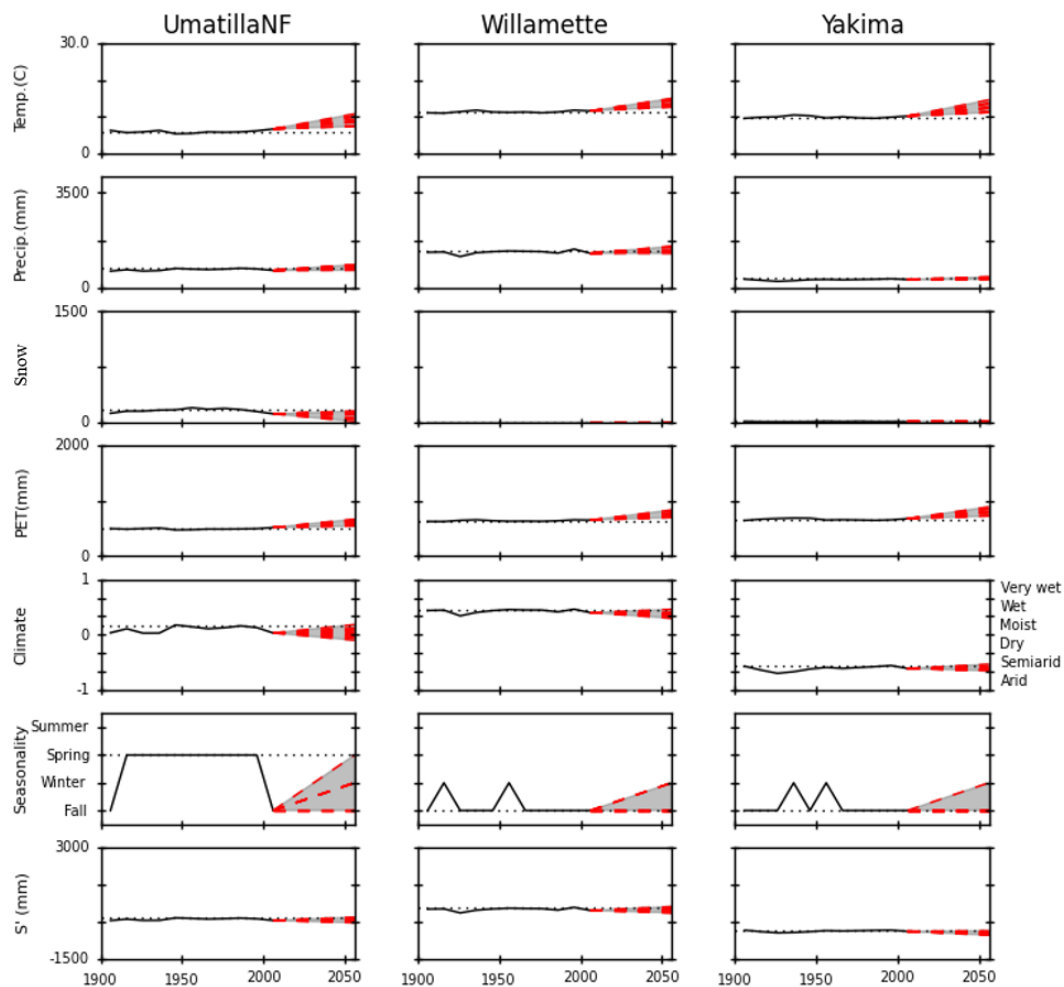
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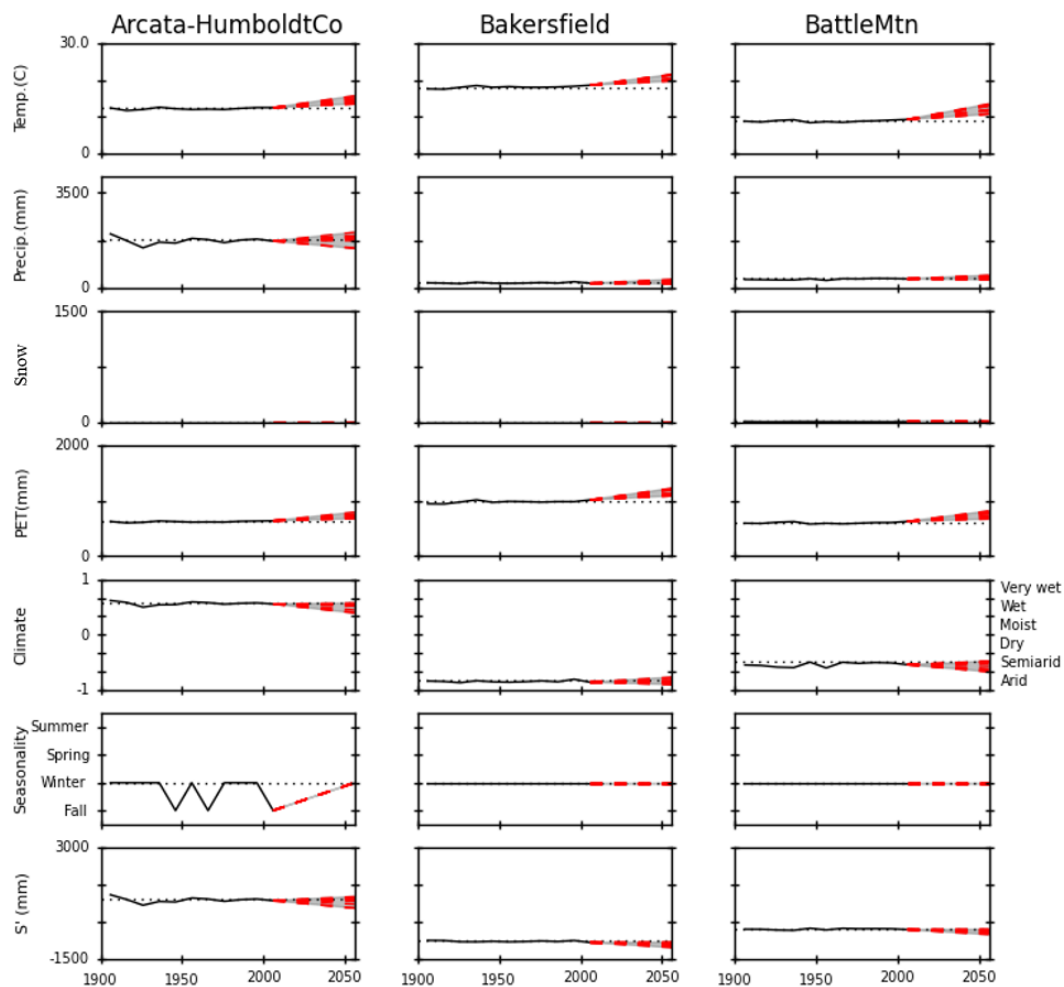
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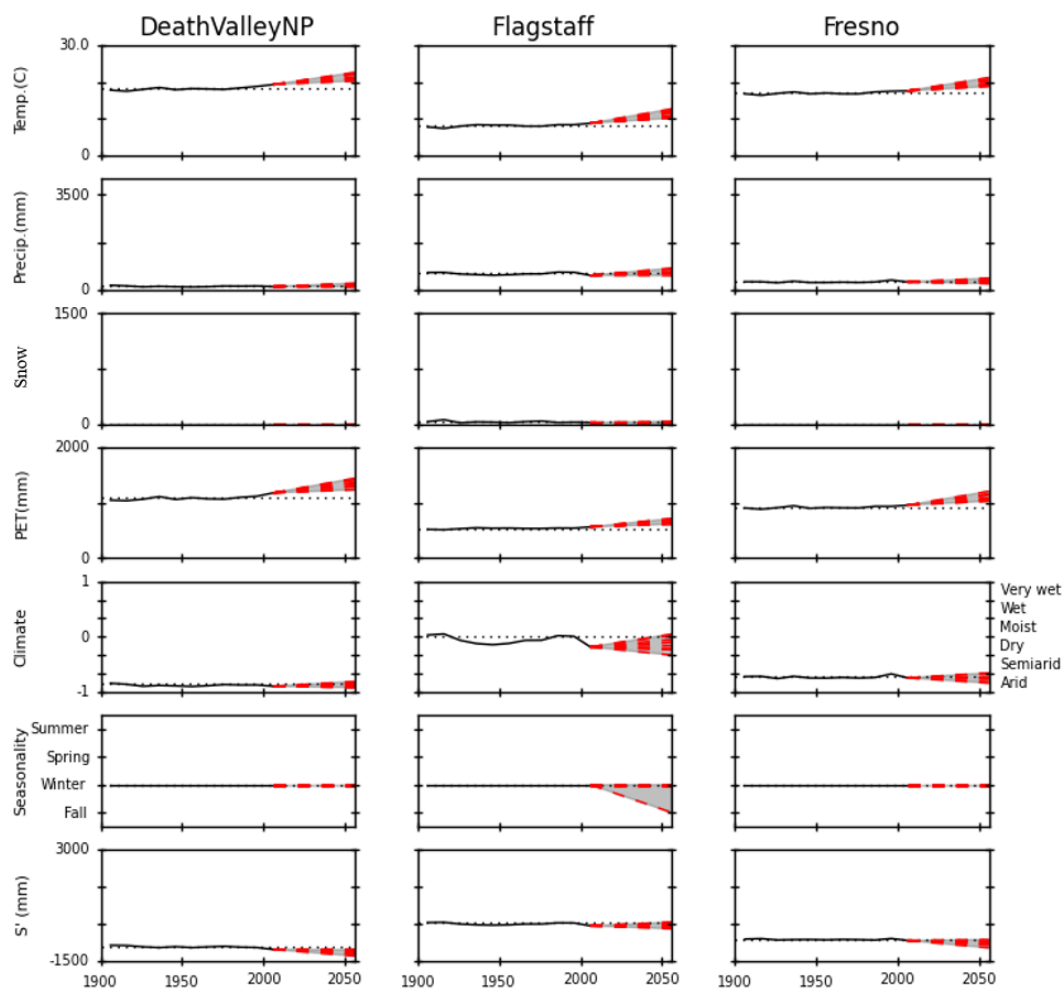


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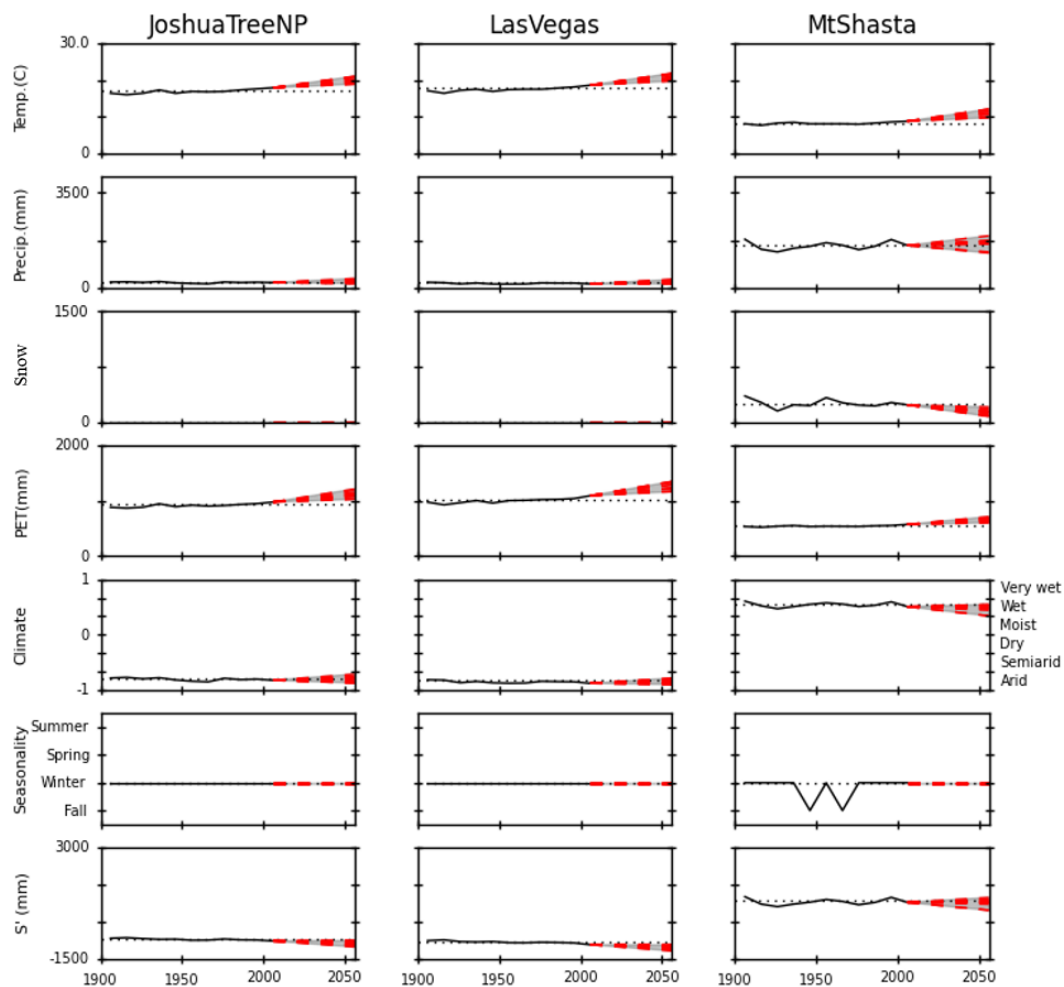


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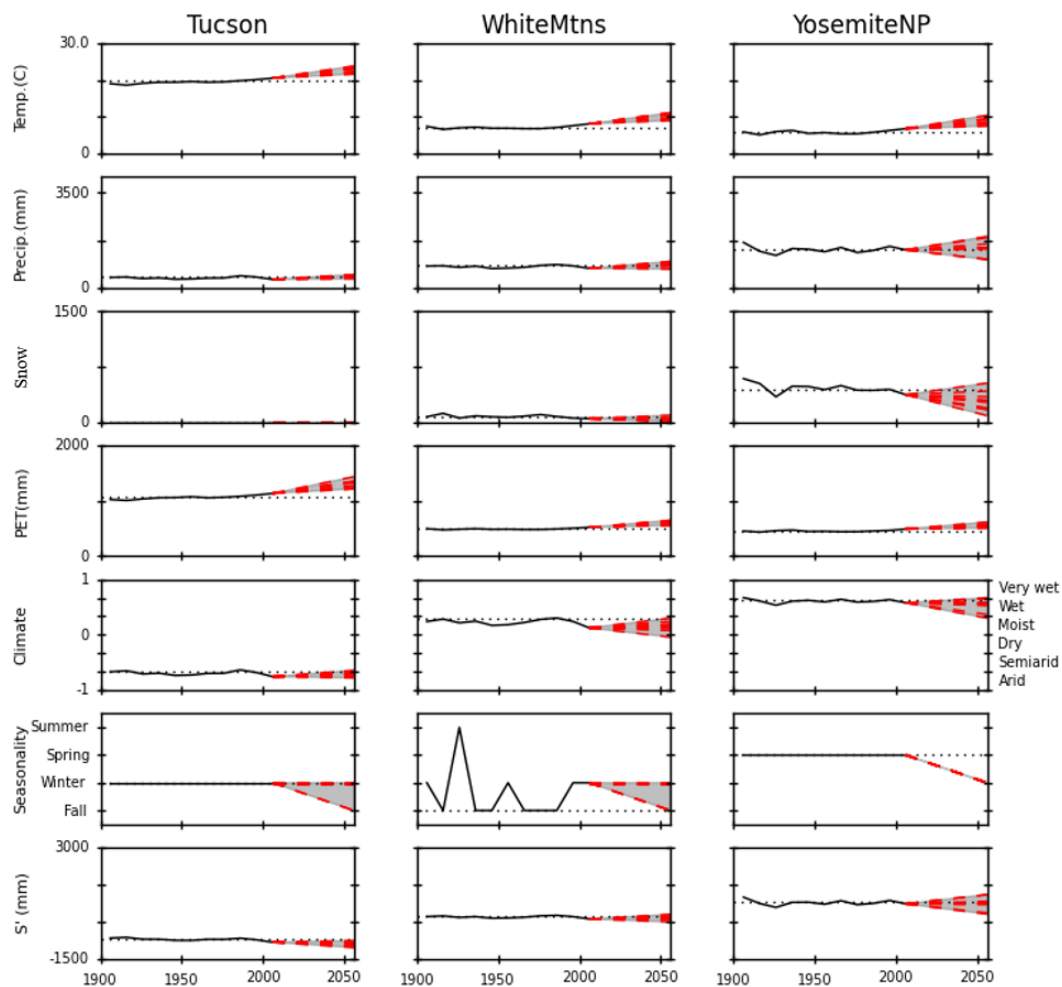




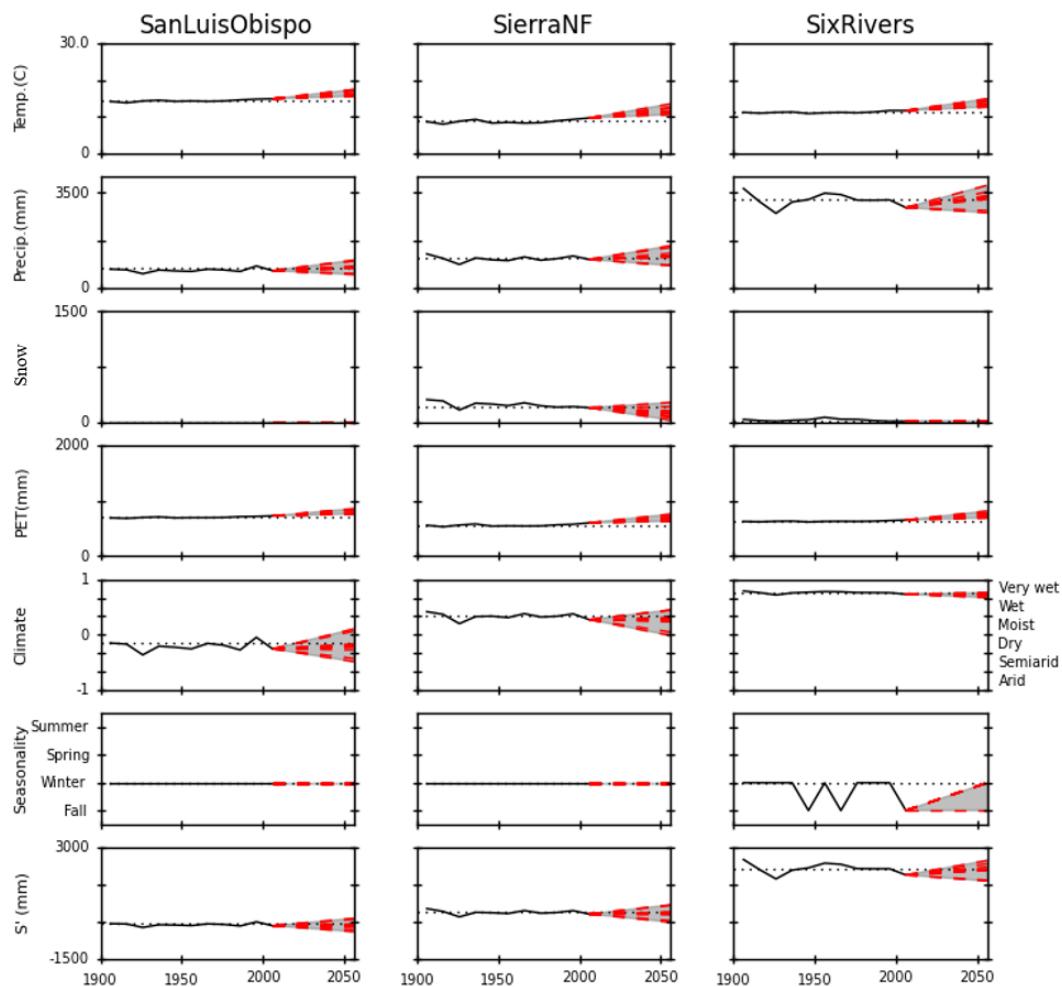
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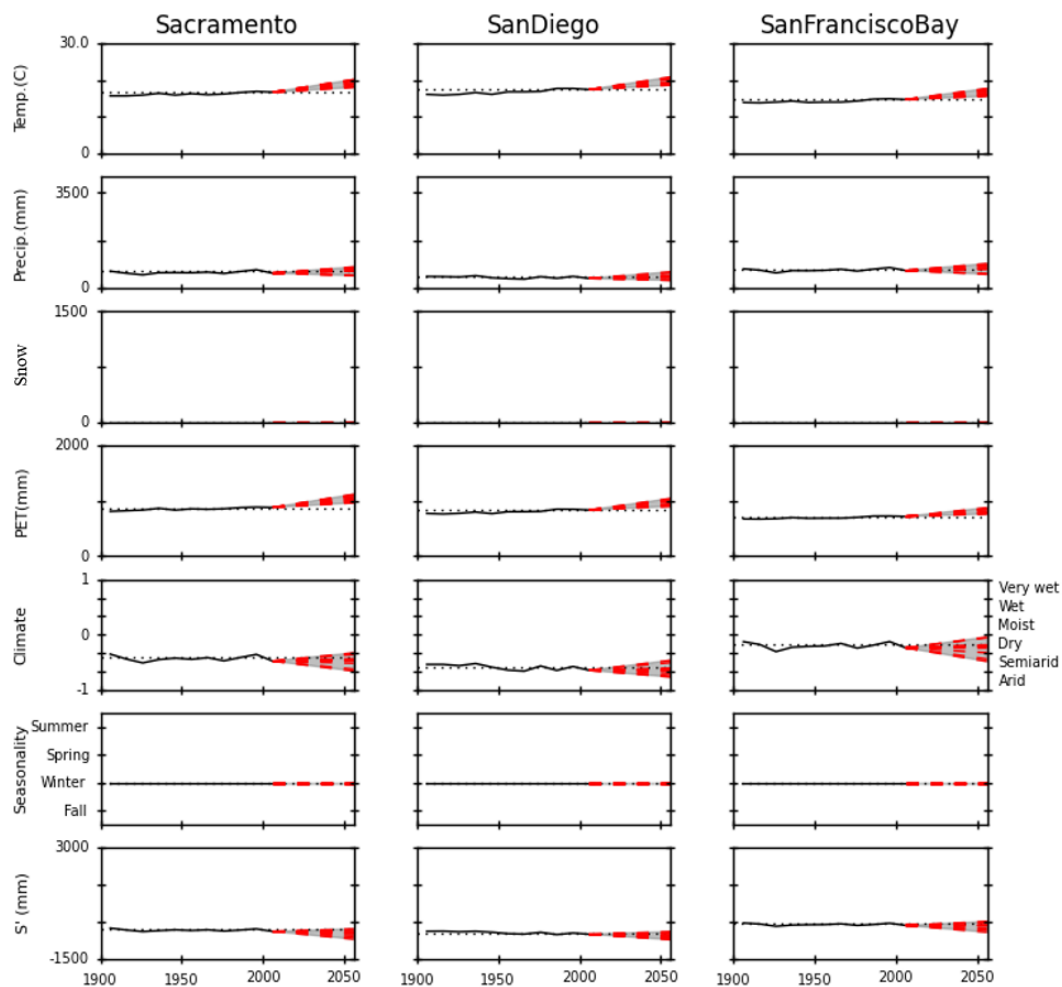
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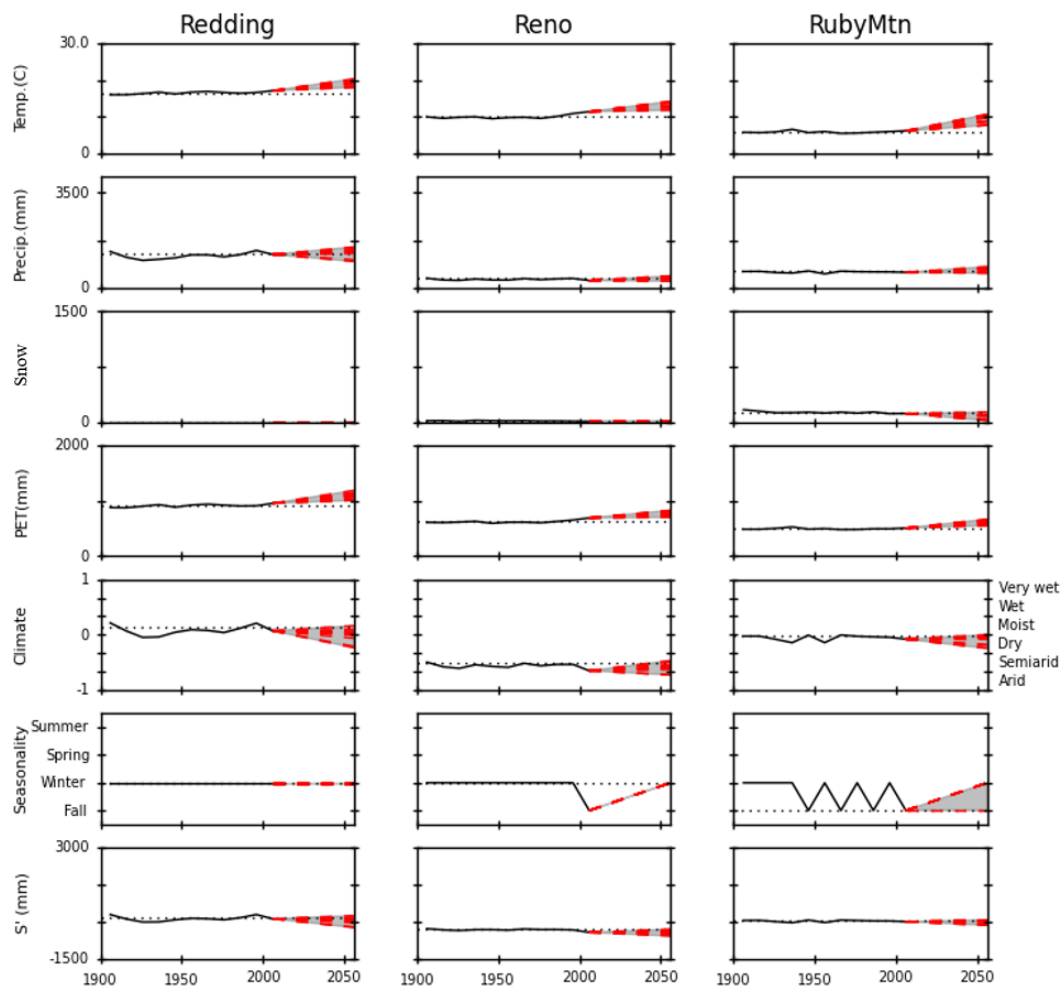
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