

Hydrology and Earth System Sciences hess-2019-62  
River ice and water velocities using the Planet optical cubesat constellation  
Andreas Kääh, Bas Altena and Joseph Mascaro

## Response to referees and description of revisions

### General response

We would like to thank the two referees for their constructive and detailed reviews that certainly helped to improve the paper!

We agree with most of the comments made (as detailed below), and modified our manuscript accordingly. In summary, we expanded method descriptions and accuracy assessment, and some data analyses/interpretations. We added more literature about previous studies on water flow measurement/ice floe tracking. We preferred to dig not too deep into selected applications of our method, as the focus of our paper is the demonstration and assessment of a measurement method.

We hope to have addressed the below referee comments in a satisfactory way. Referee comments are in *italic*, and our response/revisions in normal font. A manuscript version with all changes made in red is attached.

### Response to individual referees

#### Referee #1

*The manuscript presents very interesting results of estimation of the velocity of the ice floes on the Yukon and the Amur rivers for ice-break and ice set-up periods. The authors state that the ice velocity is retrieved with unprecedented accuracy of  $\pm 0.01$  m/s. They provide detailed and very valuable figures of across channel velocity distribution along 60 km (the Amur R.) and 200 km (the Yukon R.) reaches. For the Yukon River, the authors calculate the average velocity and measure river width along 180 km river reach and provide an estimation of the surface flux. The manuscript contains a section dedicated to the errors estimation and short discussion on difficulties of the Planet cubesat velocities retrieval and potential application of the constellation. The manuscript provides very valuable snapshot on the river hydraulics for such a long river reaches, which cannot be measured or evaluated otherwise.*

*The manuscript is suitable for a publication on HESS. However, it needs a significant improvement.*

Thanks a lot for this positive overall judgement of our work.

**[1]** *The section Data and Methods needs an amelioration. More detailed (and separate) information on data used will ameliorate the reading. It seems that the authors, in addition*

*to main Planet images dataset, use the Landsat images for river mask. However, they do not describe them in the Data section.*

We added a paragraph on the Landsat (and ASTER) data used.

*In the section 4.2. the comparison with the ASTER derived results is made. Are these results new or already published? As it follows from the text, the only methodology is published. If the results are new, please, add their description into the Data and provide short paragraph of the method applied.*

Correct, the ASTER results are not published, only the method. We added text on the data and method. The purpose of including the ASTER data and results was also to demonstrate the increased potential from the higher-resolution Planet cubesat data. We made that clearer.

*Moreover, the method section on 2/3 consists of the text cited from previous publication. I have never seen it before in journals of natural science domain and recommend rewrite this section.*

This problem arose from our opinion that rewriting a technical description of an instrument (here: particular satellites) from an earlier paper by the same authors does not make much sense. We acknowledge the confusion, though, and rewrote the according sections. (See also referee #2)

**2.** *Calculation of the mean velocity and river width is the most interesting for potential applications part of the manuscript. However, the manuscript is lack of details on the method of calculation of these parameters. How is the multi-brunch geomorphology handled in this estimation? How does the variable floe density across the river affect the estimations of both parameters? How is the floe-free areas considered? What is the accuracy of the width calculation from the ice velocity vectors considering previous issues?*

Thanks for this perspective! We have considered the mean velocity only as one potential application of many. The purpose of our study is to demonstrate a methodology, but leave further exploitation, and the judgment of which applications are most useful to river specialists. Now, we give more details on the method of calculation of mean velocities and its performance, and discuss modifications. We also added a figure that demonstrates the performance (Fig 10c). We preferred, however, to not make this application the primary one of our method for the reason given above.

**3.** *The main accent in the manuscript is done on the Yukon River, while the Amur River is treated by side. Please, explain what the reason was. I would like to see the same details for the second river with the plot of the mean velocity and the width. As well, it will be interesting to compare in the Discussion the similar events (freezing) on these two rivers.*

We added a longitudinal profile of mean velocities for Amur River and some description of it (new Fig. 7). As the Amur River reach studied is “only” 60 km, the statistical significance of the results is reduced compared to the 200 km of Yukon River. A further reason for keeping the Amur River results short was that we wanted to build the paper by first demonstrating the raw method, and then performing more detailed analyses, and to use different rivers for that to include some geographic spread. Further, the Amur River reach studied is quite multi-branch, which impacts on the results (see above). We mention now also this issue.

4. For the Yukon River, the fig.7 presents the fields of velocity difference. What is the message that we could retain from the difference plots? Please, explain it in the text.

We elaborated more on that. In short, the purpose of the figure includes: the simple visualization of the raw results; different density of measurements; spatial variations in speed changes over time.

5. Paragraph 20 on the page 16 (Discussion) repeats very interesting finding of the periodicity in spatial distribution of the velocity peak along the river, presented in paragraph 10 of the page 11. The manuscript will gain if the authors add more explanation and discussion on this phenomenon. Moreover, overall impression that the article is really lack of general Discussion and of comparison with other studies.

We tried to expand the discussion and comparison with other studies, but also tried to make clearer that specific hydrologic/hydraulic/geomorphological findings are not the purpose of the paper as we are not sure which applications of our method are most useful. Discussion with experts did not give us a clear answer about a most promising application so far (cf. also reviewer #2 who focusses more on discharge; others seem most interested in the physical impact of ice floes on infrastructure, for instance, or validation of hydraulic models).

*Other comments.*

We implemented all below detail comments.

line 20 page 5. "Over the limited width of rivers, water..." Please, simplify the sentence. Done

Fig. 5. low panel. Please, explain in the sec 4.1. the noise on the islands and banks, or plot the river mask for clarity. Done

Figure 6. This is very interesting figure demonstrating the directions of the flow. The caption tells us that presented velocities are after thresholding of the correlation coefficient. Please, give more details. The arrows are small. If the directions can be guessed, the length (== to velocity is invisible). Please, colour the arrows. Done. After graphical tests we preferred a combination of color-coded speed with vectors superimposed.

line 25-31 page 6. Check the English. Done

line 1 page 8 "ice velocities" RETRIEVED "from near-simultaneous Planet" ... Done

line 11 page 8 "The images used.....to current sensors" ... Please, explain this sentence. What does it mean? Done

line 12 page 10. One Landsat image of 16 Sept 2013 was used to create the mask of the Yukon River. This mask is created using blue/TIR band ration. Please, explain the choice of the bands used or give a reference on work, where the performance of this ratio was investigated. Done

line 21 page 10. Please, provide the standard deviation for mean discharge value at 4 November. Meanwhile the 4 Nov 2018 discharge value is available and is now given.

*lines 25-31 page 10. This paragraph is rather subject for discussion section. Moved*

*line 29 page 16. ICESat is not widely used for monitoring the water height in rivers as its repeat cycle is of 91 days. I would cite recently launched Sentinel -3 missions instead of ICESat2. If the authors prefer to keep ICESat2, this will need a comprehensive discussion about potential application. We included Sentinel-3. We also kept ICESat-2 but added a reference to its potential water applications.*

*If all these questions will be addressed, I will recommend this manuscript for publication.*

*General comments*

*The focus of the research article is the exploitation of PlanetScope constellation satellite imagery to estimate high latitude river velocities through ice floe mapping during formation and break-up periods. The authors creatively exploit an unplanned advantage provided by satellite path overlap to assemble imagery with sufficient spatial coincidence and slight temporal separation to allow velocimetry to be conducted. The potential use of PlanetScope data for this purpose is important to report. However, from methodological and interpretive standpoints, this largely reads like a rewrite of the 2011 article by the first author. The lack of methodological details, literature review and more rigorous uncertainty assessment make this read more like a technical note than a research paper. At the same time, the length required to provide pertinent details of the constellation, which is largely a quoted excerpt from another previous work, and factors to be considered when using this technique, specifically likely sources of error, create the length associated with a research article. Caveats regarding use and sources of error are provided in a complete and succinct manner, that is much appreciated. Either the article should be shortened to technical note length by condensing much of the quoted material or revisions should be completed to make this a more useful and therefore impactful research article.*

Thanks for this judgement, which is on overall consistent with the comments by referee #1. As outlined above for referee #1, we expanded methodological details and uncertainty assessments (see referee #1 comments 1 and 2). We also expanded the literature review, assuming the referee means technical studies about measurement of river velocities from space, as this is the main focus of our study. We rewrote the description of the Planet cubesat constellation (referee #1 comment 1). Given the below comments and those of referee #1 we prefer to improve the manuscript towards a research article, as we else would not be able to respond adequately to all comments.

*Specific comments*

*There have been advances in other related application areas (e.g., sea ice monitoring) that should be considered and cited here. Some recent work also cites the 2011 work of the authors – which focused on the analytical approach employed, as opposed to the input data utilized. If this is to be a research article, additional consideration of the correlation technique should be provided.*

We expanded on literature (mostly in the discussion section; see above general response), and on the correlation technique used (remark: standard normalized cross-correlation, nothing special).

*Physical interpretations of observed velocities, while logical and illustrated by the figures provided, are still rather general in nature. That is, no specific uncertainty assessment is performed. Only qualitative judgement is possible. On the one hand, the method can provide insights regarding the timing, relative magnitude, and morphological information as illustrated – so what is provided has merit. None-the-less, it is important that the procedure one would use to conduct a more rigorous uncertainty assessment be at least outlined. Even reporting the specific challenges to conducting such an analysis so would help move the science forward.*

We elaborated more on uncertainty assessment and related challenges (see also referee #1 comment 2).

*Simply put: what would be needed to convert the velocities shown to a discharge value that might be compared in more quantitative manner to recorded (or in some cases estimated) discharges? For example, in Large Scale Particle Imaging Velocimetry (LSPIV) a relationship between surface and average cross-sectional velocity (i.e., what is used in discharge estimation) is assumed (and sometimes based on calibration). There is mention of friction effects in the 2011 article, but none here. Would the authors have suggestions regarding an appropriate approach in the case of ice floe tracking?*

We agree with the referee that discharge estimates from our measurements could be a potentially interesting application. We have demonstrated the principal feasibility of ice floe tracking for discharge estimates already in Beltaos and Kääh (2014). We hesitate however to focus in the present paper too much on that one application, as the focus of our study is not directed to a selected specific application. There are other potential applications (see referee #1 comment 5; e.g., river morphology, engineering, hydraulic modelling) and we prefer our manuscript to be open in that respect.

*I believe some further discussion of data coverage by this technique is also warranted. For example, is the Yukon river study area the closest possible to the Pilot Station gauge site or have cloud cover issues prevented selection of scenes in closer proximity? This is not meant as a criticism of the work or method, only as a request to help the reader understand the potential utility of the method.*

We elaborated more on actual coverage by useful data. We presented actually some similar data near Pilot Station at AGU2018, but found that a sound comparison of our measurements to discharge measurements/estimates requires more focus on hydraulic relations than reasonable within the intended focus of this manuscript, and that waiting a bit longer to collect more repeat data would further strengthen such analysis. Certainly, there are other reaches that are even better suited for such work (with available discharge, bathymetry, etc.; e.g., Beltaos and Kääh, 2014).

*Especially as you make mention of Sentinel and Landsat satellites for potential use in this application, what are the average sizes of ice patches (or the scale lengths of features in tropical waters) necessary for them to be actually “tracked” (correlated)? I expect this has been covered by the authors in previous manuscripts, but deserves explicit mention here.*

We elaborated more on this.

*A few more comments that are more than typographical or minor grammatical ones, provided in the order in which they arise in the manuscript (as opposed to priority):*

*Page 2, Line 13: What constitutes “small reaches”? Please indicate the length of reaches used in the studies mentioned as the reader can’t rely on figures for more specific information.*

We specified (a few tens of km). We meant “short” with respect to 600 km mentioned in the following sentence.

*Page 7, Line 6: Please clarify what is meant by 'juxtaposed'. At first read, it is easy to presume this relates to processes discussed later in the manuscript. Do you mean that individual ice pieces are NOT colliding and landing on top of one another or twirling in a circular fashion? I find this sentence confusing. Please revise it (add several more sentences if necessary) to clarify what you mean as I suspect the point you are trying to convey is important.*

We mean 'not colliding'. We clarified and described in more detail.

*Page 7, Line 9 It seems that the lowest velocities are also at the lowest elevation end of the study reach. I assume the focus is on velocity and not geography in this case. If that is correct, change "close the lower end of the river reach" to "close to the lower end of velocities for the river reach".*

We mean the geographic location where the maximum speeds are found (=lowest elevation of the study reach). We clarified.

*Page 7, line 13: What is meant by "strong and little sensitive contrast"?*

Clarified to: "Despite these two complications, matches of ice floes seem robust with accuracy and reliability little affected because the bright floes offer particularly strong visual contrast against the surrounding dark water surface."

*Regarding figures: Figure 8 requires a legend (even though one is provided in figure 7). Figure 9 should be a little larger if possible.*

Changed.

*Technical corrections*

We implemented all below corrections.

*Page 2, Line 11 change to read ': : ALOS PRISM sensors. Agile stereo is: : .' Done*

*Page 2, Line 20 change 'prevent from applying the method' to 'prevent application of the method' Done*

*Page 2, Line 24 change 'second' to 'secondary' Done*

*Page 2, Line 27 change 'offers thus' to 'thus offers' Done*

*Page 2, Line 32 change 'shortly' to 'briefly' Done*

*Page 3. I don't believe it necessary to make the statement provided in parentheses or place the large sections of text in quotes. You wrote this text originally. By citing the source and providing the brief statement regarding update and specification (although I'm not sure what is meant by the latter), you can remove the quotes.*

It turned out that editors, referees, and authors of this manuscript have all different opinions about how to deal with a technical description of an instrument by the same authors from an earlier publication. To avoid this confusion we rewrote the text of concern. (See also referee #1 comment 1).

*Page 4, Line 5 remove period and right parentheses between citations. Done*

*Page 5 Remove double quotation marks. Done*

*Page 5, Line 9 change "is" to "are" Done*

Page 5, Line 18 remove “an” Done  
Page 5, Line 21 remove ‘strictly’ Done  
Page 6, Line 15 should read ‘smaller than 0.7’ Done  
Page 6, Line 20 change ‘estimate’ to ‘estimating’ Done  
Page 7, Line 6 should read: ...velocities. Ice floes directly... Done  
Page 7, Line 7 The text on this line is confusing. Please revise, paying attention to specific comments above. Done  
Page 10, Line 3 change “choose” to “chose” Done  
Page 15, Line 2 change “necessary completely eliminated” to “necessarily eliminated” Done  
Page 15, Line 5 remove comma following ‘registration’ Done  
Page 15, Line 6 remove first ‘actual’ Done  
Page 16, Line 4 change indicator to indicators Done  
Page 16, Line 13 change “seems not untypical” to “seems typical’ Done  
Page 16, Line 23 change ‘A major purpose of satellite observations of rivers are attempts to estimate discharge in order to spatially: : :’ to “A major purpose of satellitebased river observations is to estimate discharge in order to spatially: : :” Done  
Page 16, Line 25 remove ‘validation’ Done  
Page 16, Line 30 change ‘missions, and’ to ‘missions. And,’ Done  
Page 16, Line 30 change “actual river surface parameters” to “river width.” Done  
Page 17, Line 3 change ‘and better understanding of’ to ‘as well as provide better understanding of’ Done  
Kaab and Leprence 2014 citation seems incomplete. Done

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# River ice and water velocities using the Planet optical cubesat constellation

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**Abstract.** The PlanetScope constellation consists of ~150 optical cubesats that are evenly distributed like strings of pearls in two orbital planes and scan the Earth's land surface once per day with ~3 m spatial image resolution. Subsequent cubesats in each of the orbital planes image the Earth surface with a nominal time lapse of ~90 s between each other, which produces

10 | **over the across-track overlaps of the cubesat swaths** near-simultaneous **image** pairs. We exploit this short time lapse between subsequent Planet cubesat images to track river ice floes on Northern rivers as indicators of water surface velocities. The method is demonstrated for a 60 km long reach of the Amur River in Siberia, and a 200 km long reach of the Yukon River, Alaska. The accuracy of the estimated horizontal surface velocities is on the order of  $\pm 0.01 \text{ m s}^{-1}$ . The application of our

15 | ice floes, and by the fact that the near-simultaneous swath overlaps by design do not cover the complete Earth surface. Still, the approach enables direct remote sensing of river surface velocities **at a number of locations of**~~over~~ many cold-region rivers and **occasionally** several times per year — much more frequent and over much larger areas than feasible so far, if at all. We find that freeze-up conditions seem in general to offer ice floes that are more suitable for tracking, and over longer time periods, compared to typical ice break-up conditions. The coverage of river velocities obtained could be particularly

20 | useful in combination with satellite measurements of river area, and river surface height and slope.

## 1 Introduction

Knowledge about water-surface velocities on rivers supports understanding a wide range of processes. In cold regions, river-ice freeze-up and in particular break-up, and the associated transport of and action by ice debris is often the most important hydrological event of the year, producing flood levels typically exceeding those for other periods (Fig. 1) and with dramatic

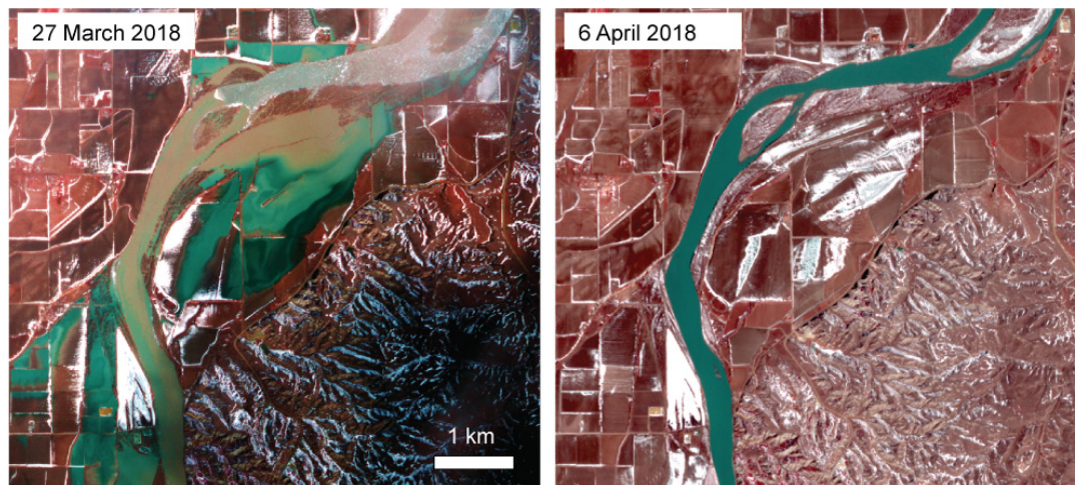
25 | consequences for river ecology and infrastructure (e.g., Prowse et al., 2007; Kääh and Prowse, 2011; Rokaya et al., 2018a). River discharge measurements are complicated during freeze-up and break-up due to the physical impact of ice on instrumentation, and determination of water surface speeds from tracking river ice floes can contribute to estimate discharge (Beltaos and Kääh, 2014). This possibility is of particular importance for the major Arctic rivers of North America and

Siberia, which transport large amounts of freshwater into the Arctic ocean, but the discharge of which is least known for the time of ice break-up – notably the time where annual discharge peaks (Zakharova et al., 2019).

In addition to in-situ measurements and ground-based remote sensing (e.g., Lin et al., 2019), water surface velocities can be mainly retrieved using air- or spaceborne radar interferometry (Romeiser et al., 2007). During periods where rivers carry ice floes, or other visible surface objects, water velocities can be measured using near-simultaneous satellite (or airborne) images, optimally with time separations on the order of minutes (Kääb and Leprince, 2014). Such near-simultaneous imaging of the Earth surface is provided by satellite stereo sensors, where the two or more stereo image partners are by necessity temporally separated by ~1-2 minutes (Kääb and Leprince, 2014). Ice floes (or other floating objects) are then tracked over this time lapse to estimate water surface velocities during the time of image acquisition. Satellite stereo imaging that is useful for this purpose stems either from fixed stereo or agile stereo. (In principle, also satellite video could be used to track ice floes but has to our best knowledge not been demonstrated yet for this purpose; (d'Angelo et al., 2014; d'Angelo et al., 2016)). Fixed stereo is provided by two or more fixed cameras with different along-track viewing angles; e.g., the ASTER or ALOS PRISM sensors. Agile stereo is provided by one single camera that is rotated during overflight to point repeatedly to the same ground target; e.g., the WorldView or Pleiades satellites. Kääb and Prowse (2011) demonstrated the method deriving river ice and water velocities over short-reaches of a few tens of kilometres of the Mackenzie and St. Lawrence Rivers, Canada, using both types of satellite stereo images. Kääb et al. (2013) used ASTER fixed satellite stereo to measure and analyse river ice flux and water velocities over a 600 km long reach of Lena River, Siberia. Finally, Beltaos and Kääb (2014) demonstrated how such-derived water surface velocity fields can be used to estimate river discharge. Even if Kääb and Leprince (2014) indicate other seasons and satellite constellations to track river ice floes over short time spans, all the above studies have in common that they (i) use for the most part images during ice break-up, (ii) use dedicated stereo systems, and (iii) use mostly rare and opportunistic acquisitions. Point (i) limits application of the method to one short time period of the year, and (ii) and in particular (iii) prevent from application of the method operationally and systematically over large reaches of many rivers. The PlanetScope cubesat constellation offers a new, so far not explored possibility to perform systematic worldwide observations of river ice velocities and water velocities indicated by them. The primary aim of the present study is to demonstrate and explore these possibilities, and a secondary aim is to evaluate estimation of water velocities during river freeze-up, instead of during break-up. As the main focus of this study is a methodological one, we do not study in detail selected hydrological, hydraulic, or geomorphological applications that seem possible.

The PlanetScope optical cubesat constellation scans the Earth surface systematically and daily (Figs. 2 and 3) involving overlap of consecutive acquisitions with a time-lag of around 1.5 min. Such order of time-lag is perfectly suited to track floating matter, in particular river-ice floes. PlanetScope thus offers the possibility for systematic daily measurement of water surface velocities, as long as ice floes are present on the water and sky conditions are clear. In this study, we first introduce in more detail the PlanetScope cubesat constellation. After a description of the methods used to track ice floes over minute-scale time-lags, we demonstrate and discuss typical ice-floe conditions suitable for tracking, and derived velocities

over a 60 km long reach of Amur River, Siberia, and a 200 km long reach of Yukon River, Alaska. We also discuss the error budget of the measurements in detail. Finally, we draw conclusions on the potential for systematically measuring river ice and water velocities from the PlanetScope constellation and ~~briefly~~~~shortly~~ sketch out possible application fields.



**Figure 1: Planet images over an ice jam on Yellowstone River at Sidney, NE, USA (47.75° N, 104.09° W). The river flows from bottom to top (North). Left: ice jam (top) and associated flooding. Right: after break of the ice jam.**

## 2 The Planet cubesat constellation

The following descriptions of the PlanetScope constellation and data, and the methods used, are an update and specification of the descriptions given by Käab et al. (2017). ~~(Text in “quotation marks” is only slightly updated from the latter reference.)~~

~~“The Planet cubesat constellation, called PlanetScope, consists of small satellites or more popular ‘Doves’, that have a size of about 10 cm × 10 cm × 30 cm, making them 3-unit (3U) cubesats. Their main component is a telescope and CCD area array sensor, complemented by solar panels for power generation, a GNSS receiver for satellite position, a star tracker for satellite orientation, reaction wheels for attitude control and stabilisation, an antenna for down and uplink, batteries and on board storage. One half of the 6600 × 4400 pixel CCD array acquires red-green-blue (RGB) data and the other half near-infrared (NIR), both in 12 bit radiometric resolution. At the time of writing the majority of t~~The PlanetScope satellites provides images of about 3.7 m spatial resolution at an altitude of 475 km (delivered as resampled to 3 m; Fig. 1), and a size of individual scenes of roughly 25-30 km × 8-10 km (Planet Team, 2019). ~~Ground resolution and scene size vary slightly with flying height and satellite version.~~While most other optical Earth observation instruments in space ~~deliver images~~acquire in pushbroom geometry (i.e. ~~one-dimensional~~ sensor arrays scanning ~~the swath width~~ in orbit direction), the data from the Planet satellites are ~~two-dimensional~~ frame images, ~~so far mostly known for airborne or ground sensors.~~ That is, ~~Each complete scene-image~~ is taken at one single point in time, has one single acquisition position and one single bundle of

projection rays. For comparison, pushbroom sensors integrate an image over a ~~certain~~-time interval of a few seconds so that acquisition time, position and attitude angles vary throughout an image, ~~which may lead to higher order image distortions~~ (Nuth and Käab, 2011; Käab et al., 2013; Girod et al., 2015) .”

5 “The Planet cubesat constellation consists ~~currently at the time of writing~~ of around 150 cubesats following each other in two near-polar orbits of  $\sim 8^\circ$  and  $\sim 98^\circ$  inclination, respectively, and an altitude of  $\sim 475$  km (Fig. 2), imaging the Earth at local morning from both an ascending and descending orbit. The distance ~~along orbit between of~~ the cubesats ~~to each other in each orbit~~ is ~~designed-constructed~~ in a way so that the longitudinal progression between them over the rotating Earth leads to a void-less scan of the surface ~~(except the polar hole)~~, and ~~the~~ full constellation ~~thus~~ provides sun-synchronous coverage of the entire Earth ~~(except the polar hole)~~ with daily ~~temporal~~-resolution (Fig. 2)(Foster et al., 2015; Käab et al., 2017). To  
10 guarantee this void-less surface imaging at all latitudes and also ~~during times~~ when satellite positions and pointing angles are not exactly nominal, the swaths of subsequent cubesats overlap in across-track direction by some kilometres (Figs. 2 and 3). Within these swath-overlaps Earth surface targets are imaged twice (rarely also more) with a time lag of very roughly 1.5 minutes. ~~It is~~ ~~This~~ time lag ~~is that we~~ exploited in the present study. The PlanetScope constellation involves also other time-lags ~~that are however not considered here~~ (e.g.,  $< 1$  s between RGB and NIR acquisitions; ~~or~~ a few hours, depending on  
15 latitude, between acquisitions from ascending and descending orbits) ~~that are however not the focus here~~.

During the PlanetScope constellation’s technological demonstration phase the cubesats were mostly launched from the International Space Station into an orbit of  $52^\circ$  inclination and  $\sim 375$  km height (Fig. 2)(Käab et al., 2017). Data from these satellites form the majority of Planet’s cubesat data archive holding for 2016 and into early 2017, before acquisitions from the near-polar sun-synchronous orbits took over. ~~The built-up of the PlanetScope constellation and frequent replacement of  
20 its cubesats enables among others fast technological turnover and improvement of the image sensors. As one result, images from the more recent cubesat generations used in this study have typically better radiometric contrast than images from earlier generations.~~

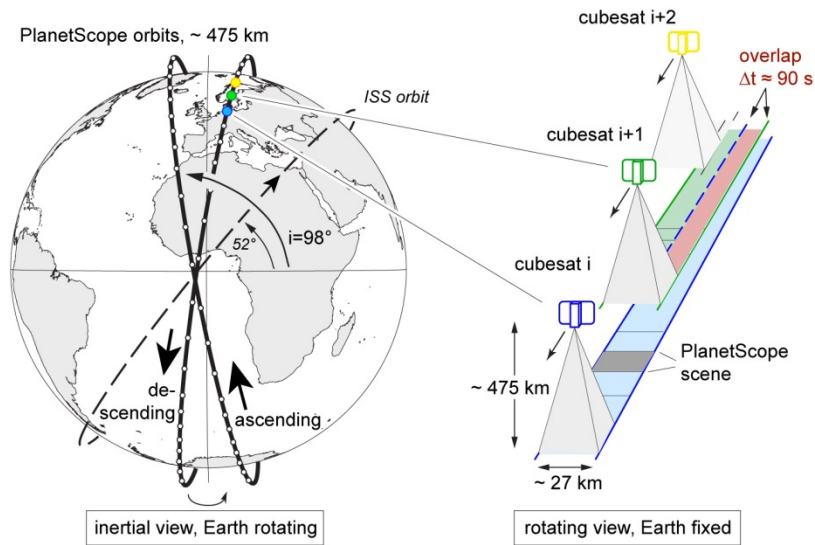


Figure 2: Planet orbits. Left, inertial view: final PlanetScope descending and ascending orbits (bold) and ISS test-bed orbit (dashed). Cubesat positions (white dots on the orbits) are only schematically indicated. Right, rotating view: scheme of complete scan of the Earth surface by successive PlanetScope cubesats in the same orbit producing a time lapse of around 90 s over the swath overlaps.

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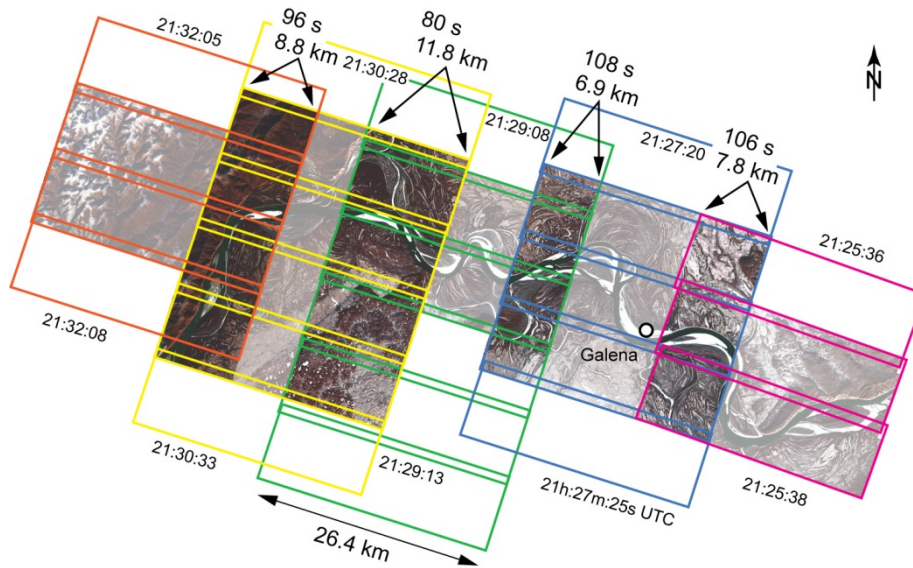


Figure 3: Typical PlanetScope acquisition pattern on a cloud-free day during freeze-up (28 October 2018) over the Yukon River at Galena, Alaska ( $64.75^\circ$  N,  $157^\circ$  W). Each colour indicates one satellite swath with individual scenes. Non-dimmed image parts indicate scene sections where two images with time lapse between them exist and river ice floes can be tracked. Time lapse and width of the overlaps are given together with UTC time of the acquisitions.

10

### 3 Data and methods

Within the swath overlaps and over the corresponding ~1.5 min time lapse we track river ice floes using **standard** image matching techniques. “For image matching purposes the geometric characteristics of repeat imagery **are** of particular interest. PlanetScope images are available in different processing levels, and here we use ‘analytic’ data. ‘Analytic’ data are radiometrically processed and orthorectified. ~~The examples—~~In this study we do not apply ‘unrectified’ data, another processing level available, which comes with minimal radiometric processing and in the original ~~frame geometry, i.e.~~ central projection. The image orientation ~~parameters—~~from on-board measurements ~~are~~ refined by Planet by matching the scenes onto a global reference mosaic (~~currently at the time of writing~~ from Landsat, ALOS and Open Street Map layers) and the images are orthoprojected using a DEM. As for all orthoprojected satellite data, vertical errors in the orthorectification DEM ~~lead to cause~~ lateral distortions in the resulting PlanetScope orthoimages. The size of ~~these offsets—which~~ is proportional to the DEM error and the off-nadir viewing angle (Kääb et al., 2016; Altena and Kääb, 2017; Kääb et al., 2017). For a worst-case scenario for PlanetScope data (Kääb et al., 2017) a DEM error of 10 m results in ~~an~~ orthorectification offsets of around 30 cm in the scene centre and 65 cm at the outer scene margin. For repeat river observations the differential effect of these offsets can be reduced by co-registering the near-simultaneous (~~1.5 min~~) images using stable points along shorelines. Over the limited width of rivers **of a few kilometres in maximum**, water surface topography is approximately planar. ~~This which~~ makes a first-order **polynomial** co-registration model ~~strictly—~~sufficient to bring repeat ‘unrectified’ frame images into overlap. ~~but~~ ~~This~~ **co-registration** procedure will also greatly reduced offsets between the orthorectified ‘analytic’ images used here as the same DEM is used for both near-simultaneous images (Kääb et al., 2017). Errors in the DEMs used for orthorectifying PlanetScope images are a composite of (i) DEM elevation errors with respect to the real topography at the time of DEM acquisition, and of (ii) real-world elevation changes between elevations at DEM acquisition and elevations at satellite image acquisition. Orthorectification DEMs are by necessity outdated (though generally with limited consequences) unless acquired simultaneously with image acquisition. For river surfaces, the latter elevation deviations will primarily stem from water-level variations between DEM and image acquisition dates. ~~However, t~~The small field of view of PlanetScope cubesats and the resulting small sensitivity to ~~topographic distortions~~ **orthorectification DEM errors**, the frame geometry of the PlanetScope cameras, and the accessibility of unrectified images, if needed, all contribute to minimize ~~and potentially remove~~ topographic distortions.”

“Bright ice floes on a dark water surface constitute features of strong visual contrast and tracking them over short time intervals is a particularly easy task for image matching algorithms. For matching the repeat PlanetScope data we **thus** use a standard **method**, normalized cross-correlation (NCC), solving the cross-correlation in the spatial domain and reaching sub-pixel accuracy by interpolation of the image (Kääb and Vollmer, 2000; Debella-Gilo and Kääb, 2011b; Kääb, 2014). **NCC solves for translations between corresponding image elements. We apply the software Correlation Image Analysis software (CIAS; Kääb, 2014), but established scripts or routines for normalized cross-correlation between images exist for many programming languages. As the tracking of ice floes over short time intervals represents little challenge for image matching,**



we expect that other image matching methods (Heid and Käab, 2012; Lin et al., 2019) bring no substantial advantage. Over longer time intervals, though, or strong horizontal water turbulences such as backwaters, ice floe rotation over time will get significant so that image matching methods that are able to model feature rotation in addition to translation could be advantageous (Debella-Gilo and Käab, 2012). The matching window sizes used in this study for the PlanetScope data are 30×30 pixels (90×90 m) as found roughly optimal from a few tests. Tests with different window sizes are, though, not the focus of this study (Debella-Gilo and Käab, 2011a). Measurements with a correlation coefficient smaller than 0.7 are removed and no other post-processing is applied.” (Käab et al., 2017).

For comparing and supplementing our results based on Planet cubesats, we also use data from other satellites. A Landsat 8 scene of 16 September 2013 (i.e. ice-free conditions) is employed to automatically delineate the river water surface over our Yukon River study reach. Indexes used for the purpose of mapping water areas from multispectral satellite data are typically based on the reflectance contrast of water between blue (high reflectance) and near-infrared wavelengths (low reflectance) (McFeeters, 1996; Pekel et al., 2016). For our study site and conditions, we find however that the contrast between the blue and thermal infrared Landsat bands is larger than the blue vs. infrared contrast because of high suspended sediment concentration that increases the near-infrared reflectance and thus reduces the contrast to reflectance at blue wavelengths. To increase index sensitivity compared to the often used normalized difference indexes (McFeeters, 1996), we apply a band ratio. River outlines were thus obtained from a raster-to-vector conversion of a noise-filtered (3×3 median filter) and thresholded band ratio image (Paul et al., 2002) between the blue and thermal infrared bands of Landsat 8. The Landsat 8 blue band has 30 m spatial resolution, and the thermal infrared bands are also provided at 30 m resolution, though originally taken at 60 m resolution.

For one of our Planet cubesat acquisition pairs over Yukon River, a Sentinel-2 scene exists taken with 1 h time difference. Sentinel-2 multispectral data have a spatial resolution of up to 10 m (Drusch et al., 2012; Käab et al., 2016). We visually identified the position of a number of large ice floes corresponding between the Planet and Sentinel-2 images and measured the associated displacement along the river to estimate average velocities over the 1 h time period.

In order to compare the velocity retrieval from Planet cubesat data to a method used earlier for the same purpose we measure short-term ice floe displacements over the Yukon River reach also from an Advanced Spaceborne Thermal Emission and Reflection Radiometer (ASTER) stereo strip. The ASTER fixed satellite stereo, taken at 15 m spatial resolution and in near-infrared, implies a time lapse of around 50 s between the two images of a stereo pair that can be exploited to track ice floes in a way very similar to the Planet cubesat images. The exact procedures, performance, and accuracies are presented in Käab et al. (2013). As a speciality, satellite vibrations (so-called jitter) were modelled and corrected for when using the ASTER data. The results presented here for the Yukon River are based on a specially tasked ASTER acquisition, and have not been published before.

The river flow results of this study are presented as simple maps of measured velocity vectors or magnitudes, or as longitudinal profiles of water flow speed and derived parameters. For the latter we have to average the velocity vectors along the river reach. For that purpose we move a running window of 4 km length in reach direction and infinite width in 100 m steps along the mean direction of a study reach. The window length of 4 km and step size of 100 m are experimentally chosen for our study sites to smooth the measurements substantially but at the same time leave enough details.

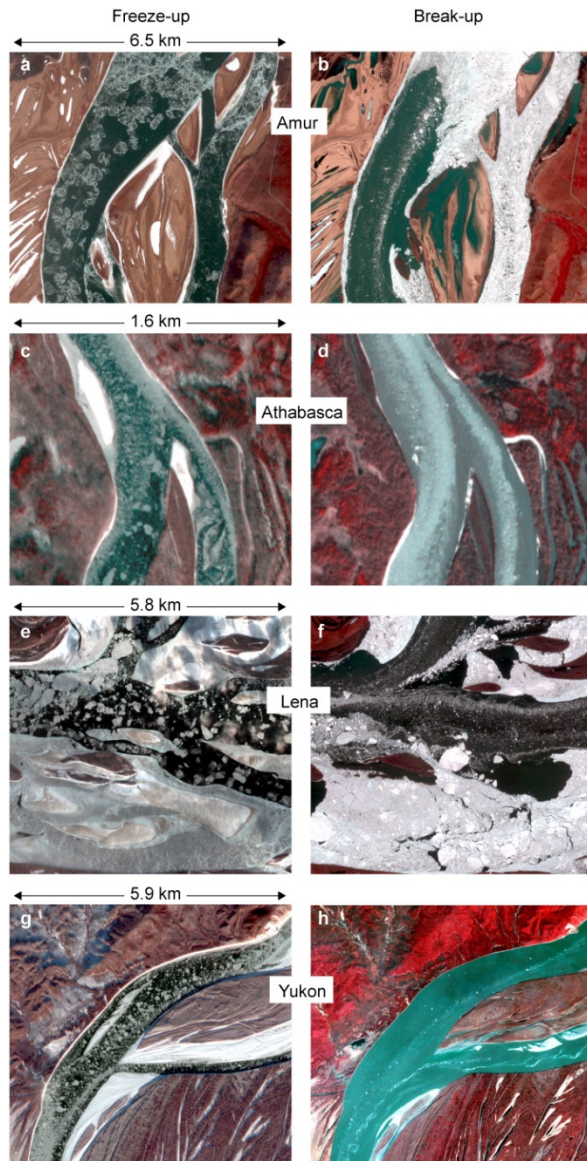
At each window position the number of measurement grid points within the river mask from Landsat 8 data, and average (or median) speeds and directions for the velocity measurements within the correlation threshold are computed. Dividing the number of grid elements within the river mask by the window length gives then an approximate river width for each step. This river width is then corrected for the deviation between mean flow direction per window step against the overall mean direction of the river reach, essentially rotating the window at each step to align with the actual flow direction. (Note that other procedures exist that are more specialised for estimating river width without flow vectors available (Allen and Pavelsky, 2018)). The surface area flux is then the multiplication of average river speed and (corrected) width for each window step.

## 15 4 Results

### 4.1 River ice conditions

Figure 4 illustrates a small subset of typical river ice conditions in Planet images that are suitable for tracking ice floes or ice features, and estimating water velocities. During break-up we find predominantly smaller ice floes with very variable densities of ice-floe cover (Fig. 4, right column). During freeze-up we find typically bigger ice floes and a more equal distribution of ice-floe cover density over the river surface. Clearly, this simple description of differences between freeze-up and break-up ice conditions is an overall and qualitative one based on a substantial, though, visual exploration of Planet archive holdings, but a range of exceptions and natural variations certainly exist. Our extensive searches in the Planet image archive ~~research~~ suggests clearly that during river freeze-up the ice conditions that are suitable for tracking velocities are more constant over time and they stretch over longer time periods (up to several days or even a week, roughly) compared to break-up conditions. Break-up ice conditions that are suitable for tracking last typically only one or several ice pulses of a few days in maximum, often just a day or two. This makes ~~the-it more probable capture to acquire/find images~~ of suitable ice conditions during freeze-up ~~less sensitive to cloud cover~~ than during break-up. On the other hand, though, for the northernmost latitudes the freeze-up period reaches ~~at the northernmost latitudes~~ into the season of low sun angle, where Planet cubesats (and other optical satellite instruments) do not acquire data anymore due to too little solar radiation reaching the Earth surface. Still, ~~the-our~~ clear overall impression ~~from our archive research~~ is that it is typically easier to find Planet images that are suitable for tracking ice floes over freeze-up than over break-up periods.





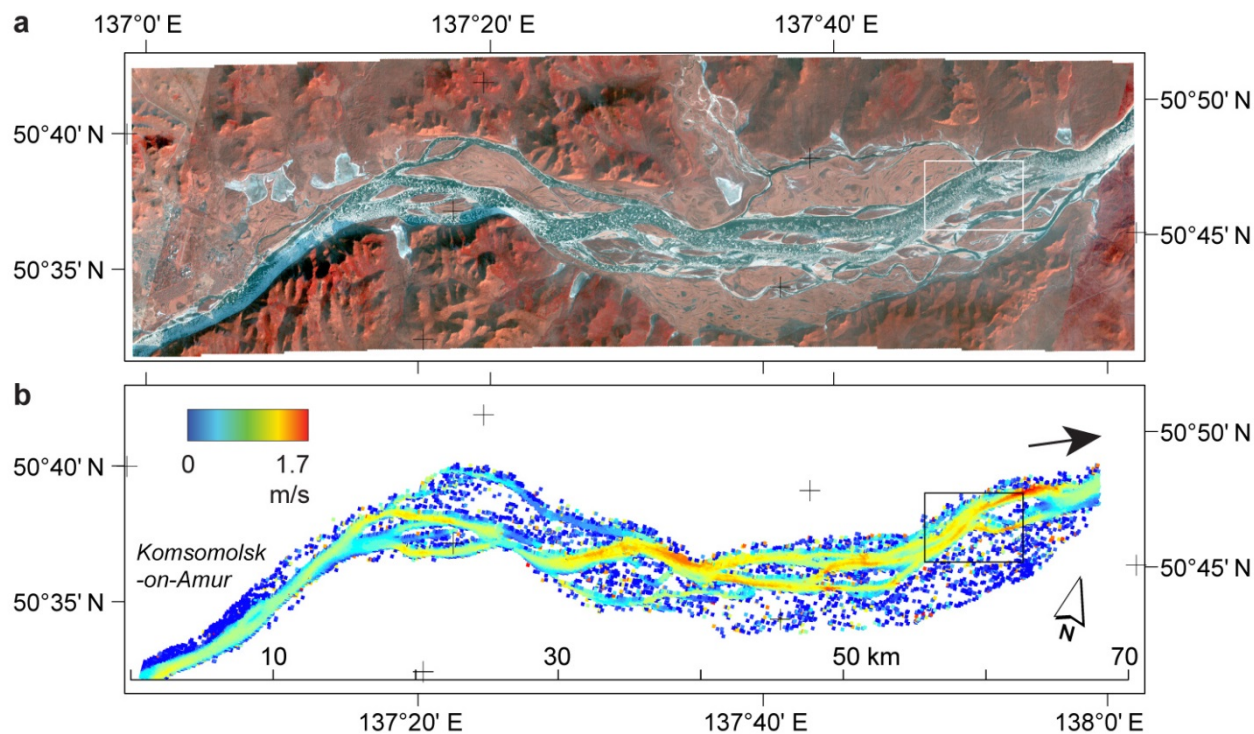
**Figure 4: Typical river ice conditions in Planet imagery (shown in infrared false colour) that is suitable for tracking ice floes to estimate water velocities. Left column: during freeze-up; right column: during break-up.**

#### 4.2.1 Amur River, Siberia

For a first example of river ice velocities ~~retrieved~~ from near-simultaneous Planet cubesat images we mosaic two overlapping sets of 12 scenes each into two image strips covering a ~60 km long reach of the Amur River near the city of Komsomolsk-on-Amur, eastern Siberia. The image-strip pair was acquired on 1 November 2016 (~22:46 UTC) from an International Space Station (ISS) orbit, with a 73 s time lapse. Figure 5a shows one of the two image strips as an infrared false colour composite. The freeze-up river ice conditions during the acquisition were close to perfect for matching velocities. Ice floes densely covered most of the water surface, but were at the same time for most areas not ~~juxtaposed~~ ~~colliding with each other~~ so that ice floe velocities should to a large extent indicate water velocities at their locations. Ice floe collisions would transfer additional lateral forces that overly the downstream drag by the river flow. Ice conditions on 1 November 2016 are shown in Fig. 6a. The diameter of visible ice floes ranges for the most part from around one pixel (3 m) to roughly 100 m, with a number of individual floes reaching up to 200-300 m. Figure 5b shows the magnitudes of the velocities derived, with maximum speeds of  $1.7 \text{ m s}^{-1}$  close to the lowest ~~elevation~~ ~~end~~ of the river reach investigated (right margin of Fig. 5b). The displacement measurements were done within a manually digitized polygon roughly delineating the river floodplain around the river. The same correlation threshold of 0.7 was applied to all measurements, both on the river and outside. Successful displacements (i.e. measurements that passed the correlation threshold) are dense on the river but ~~sparse~~ on the floodplain surrounding the river ~~are sparse~~ as the surface there seems to consist mostly of homogenous shrubs that offer little visual contrast to match at the image resolution of 3 m. The images used in this example ~~over Amur River~~ stem from an early generation of Planet cubesats ~~providing images with~~ ~~with reduced~~ less good radiometric contrast compared to ~~images from current Planet cubesat sensors~~ (see section 2). In addition, contrast is reduced by the low sun angle during the acquisition. ~~The~~ ~~Despite these~~ ~~latter~~ two complications, ~~however,~~ ~~have little adverse effect on the~~ matches of ice floes seem robust with accuracy and reliability little affected because ~~as~~ the bright floes ~~these~~ offer particularly strong ~~and little sensitive~~ visual contrast against the surrounding dark water surface. In summary, the sparse displacements surrounding the river (scattered blue results in Fig. 5.) reflect on the one hand the lack of good visual contrast to match between the two images on the floodplain. On the other hand the small magnitude of these sparse displacements confirms that the two images ~~co-register well~~. Figure 6 shows a detail (rectangle in Fig. 5) of the original velocity vectors measured. Grid spacing of the vectors is 75m.

Figure 7 presents the longitudinal profile of speeds for the 1 November 2016 data set, together with the river width automatically derived from the velocity vectors. Further we also compute the 2-dimensional (2D) surface area flux as a function of transverse velocity profiles. As an example for interpretation of the longitudinal profile, at ~25 km 2D surface area flux is relatively low, suggesting under mass conservation that the Amur River should be relatively deep at this part of the reach. In contrast, the river should be on average relatively shallow at, for instance, ~55 km. Interpretation of the longitudinal profile is influenced by the multi-branch geomorphology of the Amur River reach studied. In the individual speed measurements (grey dots in Fig. 7) branches become expressed by clusters of different speeds at the same reach

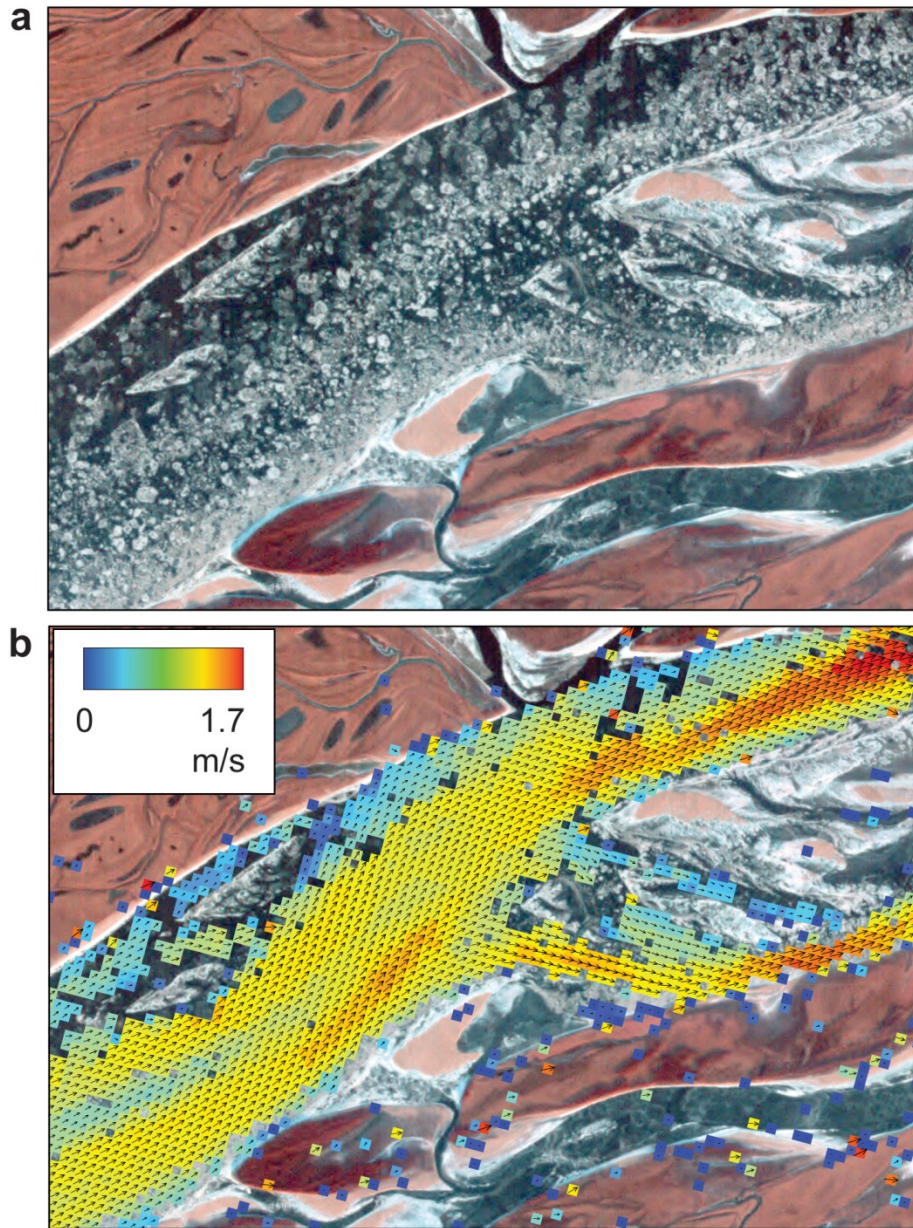
section. For instance, at ~15-20 km speeds on one branch are around  $0.7 \text{ m s}^{-1}$ , on the other branch up to  $1.2 \text{ m s}^{-1}$ . Two clusters with different mean speeds on different branches are also well visible at around 30 km.



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**Figure 5:** Amur River near the city of Komsomolsk-on-Amur, Siberia (lower left corner). River surface velocities of 1 November 2016 are tracked over a 73 s time lapse between overlapping Planet cubesat images. (a) False colour composite of one of the image strips. (b) Derived surface speeds. Overall flow direction is from left to right. The small rectangle marks the location of detail Fig. 6.





**Figure 6: Detail of Fig. 5 (small rectangle in Fig. 5). (a) Planet cubesat image of 1 November 2016. (b) Original matched surface velocities after thresholding of the correlation coefficient. Grid spacing of vectors is 75 m. Matching results are given in colour-coded speed and with velocity vectors superimposed. Maximum speed  $1.7 \text{ m s}^{-1}$ .**

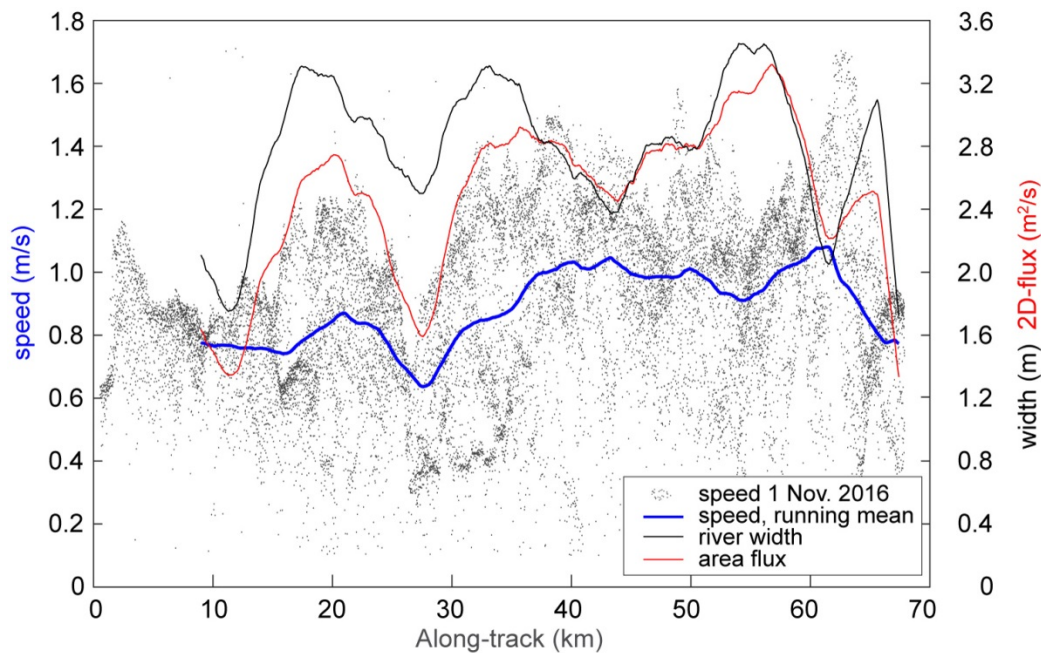


Figure 7: Longitudinal profile of mean speeds and river widths derived from near-simultaneous Planet cubesat images of 1 November 2016 over a reach of Amur River (Fig. 5). Small dots: individual speed measurements; blue line: 4 km running mean of individual measurements; black line: river width from velocities (running mean); red line: surface area flux as product of cross-sectional average speed and river width (running mean).

#### 4.32 Yukon River, Alaska

For a second case study we chose a ~200 km long reach of the Yukon River, Alaska (Fig. 87). Over this reach, the overall river azimuth coincides with the azimuth of the near-polar descending orbit of the Planet cubesats. We mosaic sequences of around 25 scenes each to obtain two image strips for 16 May 2017 (~21:12 UTC) with 15 s time lapse, and two images strips for 4 November 2018 (~21:30 UTC) with 171 s time lapse. Typical ice conditions for these acquisitions are demonstrated in Figs. 4h and g, respectively. The diameter of visible ice floes on the 4 November 2018 images ranges between around one pixel (3 m) and 100 m and more. There are many large ice floes of up to around 100 m in diameter. Larger ice floes of up to around 200 m can be found but are less frequent than on the Amur River images. For 16 May 2017 the ice floe diameters are significantly smaller, typically not exceeding a few pixels. The velocity magnitudes derived are shown in Figs. 87 c and e, speed differences between them in Fig. 87d, and a detail of these three items in Fig. 98. For comparison to a method that was used earlier, we add river ice speeds derived for 13 May 2014 from a strip of ASTER stereo pairs (i.e. 55 s time lapse)

following the method by Käab et al. (2013) and compute differences to the 16 May 2017 Planet data set (Figs 87 a and b). On 13 May 2014, river ice cover was comparably sparse and subsequently also the successful velocity matches. The freeze-up conditions of 4 November 2018 clearly offered the most complete cover by river ice floes and thus the most complete velocity field. The river outlines used in Figs. 87 and 98 were obtained ~~as a raster to vector conversion of a noise filtered and thresholded band ratio image between the blue and thermal infrared bands of~~ from a Landsat scene of 16 September 2013 ~~as described in section 3~~. Visually, these outlines represent the actual outlines of May 2014 and May 2017 very well, without significant changes over time. At shallow river parts, outlines of November 2018 (i.e. low water conditions) were of course more narrow than for September 2013. The outlines produced here are however only used for visualisation, and initial result segmentation into classes “river” and “outside river” for accuracy assessment on stable ground.

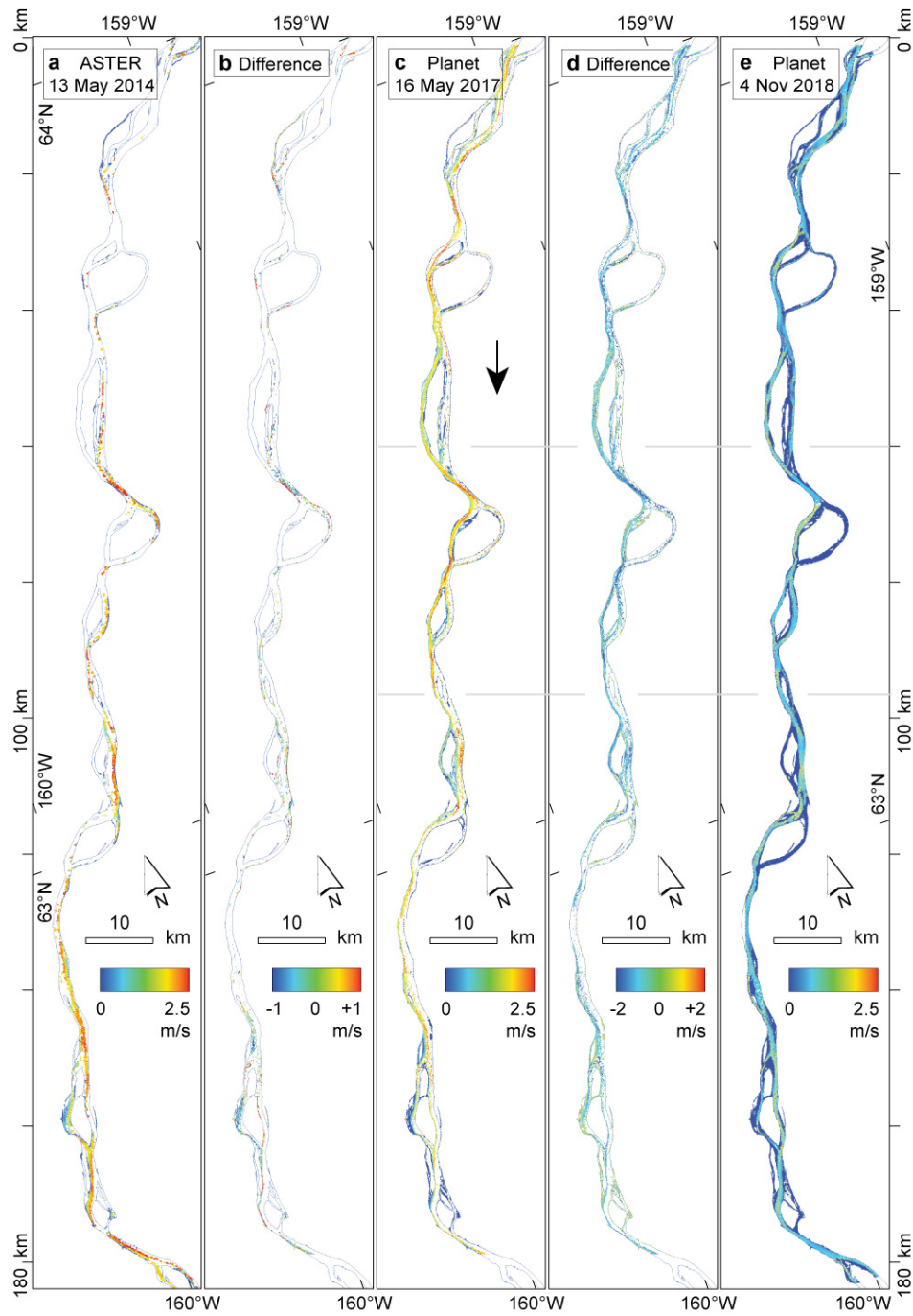
The closest river discharge measurements to our Yukon River reach are done at Pilot Station (no. 15565447), some 300 km downstream of the lower end of the reach studied. For 13 May 2014, ~~and~~ 16 May 2017, and 4 November 2018 discharge estimates at Pilot Station are  $11,383 \text{ m}^3 \text{ s}^{-1}$ , ~~and~~  $8,410 \text{ m}^3 \text{ s}^{-1}$ , and  $5,437 \text{ m}^3 \text{ s}^{-1}$  respectively. ~~At the time of writing values for 4 November 2018 were not yet available, but the average of the years 2014-2017 for this day of the year is  $5,324 \text{ m}^3 \text{ s}^{-1}$ .~~ Taking into account the distance between the reach investigated and Pilot Station, we also give the discharges 3 days later:  $13,450 \text{ m}^3 \text{ s}^{-1}$ ,  $11,213 \text{ m}^3 \text{ s}^{-1}$ ,  $4,927 \text{ m}^3 \text{ s}^{-1}$  for 16 May 2014, 19 May 2017, and 7 November 2018. Similar to the discharges also the surface velocities measured for 13 May 2014 are higher than for 16 May 2017, and the latter ones are higher than for 4 November 2018, as can be seen from panels Fig 8b and d. Mean speed of 4 November 2018 is  $0.80 \text{ m}^3 \text{ s}^{-1}$ , and  $1.35 \text{ m}^3 \text{ s}^{-1}$  for 16 May 2017. Due to the sparse coverage by successful measurements in the ASTER data (Fig. 8a), only few differences can be computed to the Planet data (Fig. 8b). The differences between the two Planet data sets (Fig. 8d) are much denser, demonstrating the advantage of the high-resolution Planet cubesat data in combination with the denser coverage by ice floes during freeze up. Speeds between 19 May 2017 and 7 November 2018 vary both on longitudinal average (Fig. 10b) and across the river (Figs. 8d and 9). In future applications, these measured spatio-temporal variations of surface water speed could be analysed in combination with known bathymetry and/or hydraulic formula.

~~Although not exploited closer in this study, we would like to note the existence of a Sentinel 2 scene of 4 November 2018, taken about one hour after the Planet scenes. Due to this large time lapse between the Planet and Sentinel 2 scenes and the related large displacements and deformations/rotations of river ice features, traditional image matching methods are complicated, but manual tracking of distinct floes is still clearly possible. Tests show good agreement between the speeds derived over 1h and those over 171s. The fact that most Planet cubesats, Sentinel 2A and 2B, and Landsat 7 and 8 are on similar orbits can thus create additional opportunities for tracking river ice movement, for investigating short-term changes in river ice cover and speed, and for additional, or combined, multispectral mapping and analysis with respect to the Planet cubesats.~~

Figure 109a shows the longitudinal profile of speeds for the 4 November 2018 data set, together with the river width automatically derived from the velocity vectors. Further we also compute the 2-dimensional (2D) surface flux as a function of transverse velocity profiles. As an example for interpretation of the longitudinal profile, at ~80 km 2D surface flux is relatively low, suggesting under mass conservation that the Yukon River should be relatively deep at this part of the reach. In contrast, the river should be on average relatively shallow at, for instance, ~120 km.

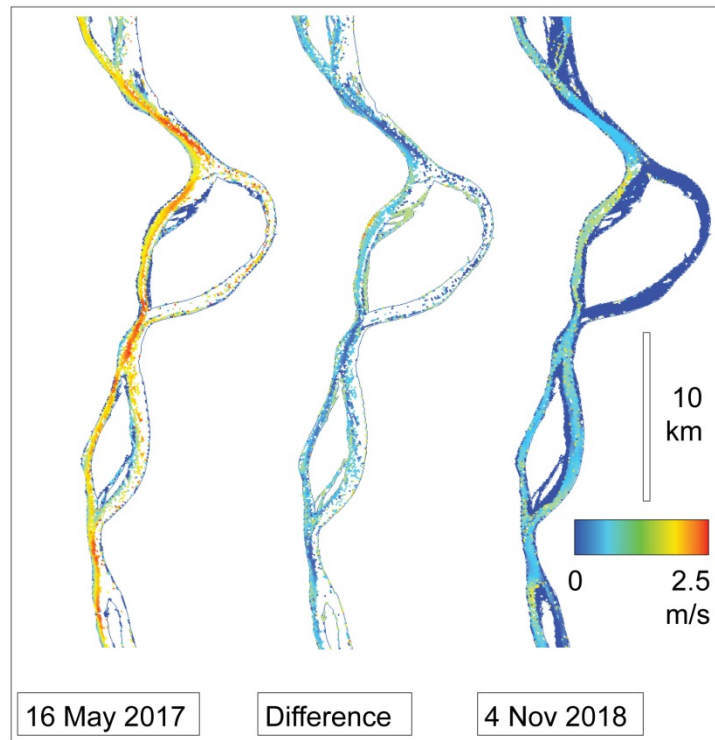
From a similar profile of river surface speed along 400 km of the Lena River during 27 May 2011, Kääb et al. (2013) found a striking peak in the power spectrum of river surface speed at 20.8 km. For the Yukon River profile Fig. 10 we find a somewhat less prominent but still significant peak in the power spectrum of speeds at 20.5 km. The similar number for both river reaches might point to similar processes and parameters for the development of the respective river morphologies (Lanzoni, 2000a, b). Kääb et al. (2013) provide some more discussion on the ~21 km speed variations including comparison to a topographic profile.

Profile Fig. 109b compares river surface speeds and river widths of 16 May 2017 and 4 November 2018. The four data sets are consistent in the sense of mass conservation; higher discharges in May 2017 compared to November 2018 (see above discharges for Pilot Station) correspond to a combination of larger widths and higher surface speeds. For instance, at sections where river width is significantly larger in May 2017 than November 2018, speed differences between May 2017 and November 2018 are smaller (e.g. at ~60, 110 or 170 km). Conversely, at sections with relatively small changes in river width, surface speeds change more (e.g. at ~30, 90, or 130 km).



**Figure 87:** Surface velocities on Yukon River, Alaska, from near-simultaneous satellite images. Flow direction roughly from north to south, top to bottom of figure. Velocities from (a) an ASTER stereo pair of 13 May 2014 (55 s time lapse), (c) two Planet cubesat image strips of 16 May 2017 (15 s), and (e) of two Planet cubesat image strips of 4 November 2018 (171 s). Panels (b) and (d) show the differences (c)-(a) and (e)-(c), respectively. The horizontal grey lines in panels (c) to (e) indicate the detail shown in Fig. 9.





**Figure 98:** Detail of **water surface velocities** shown in Fig. 87. For more information see caption of Fig. 87.

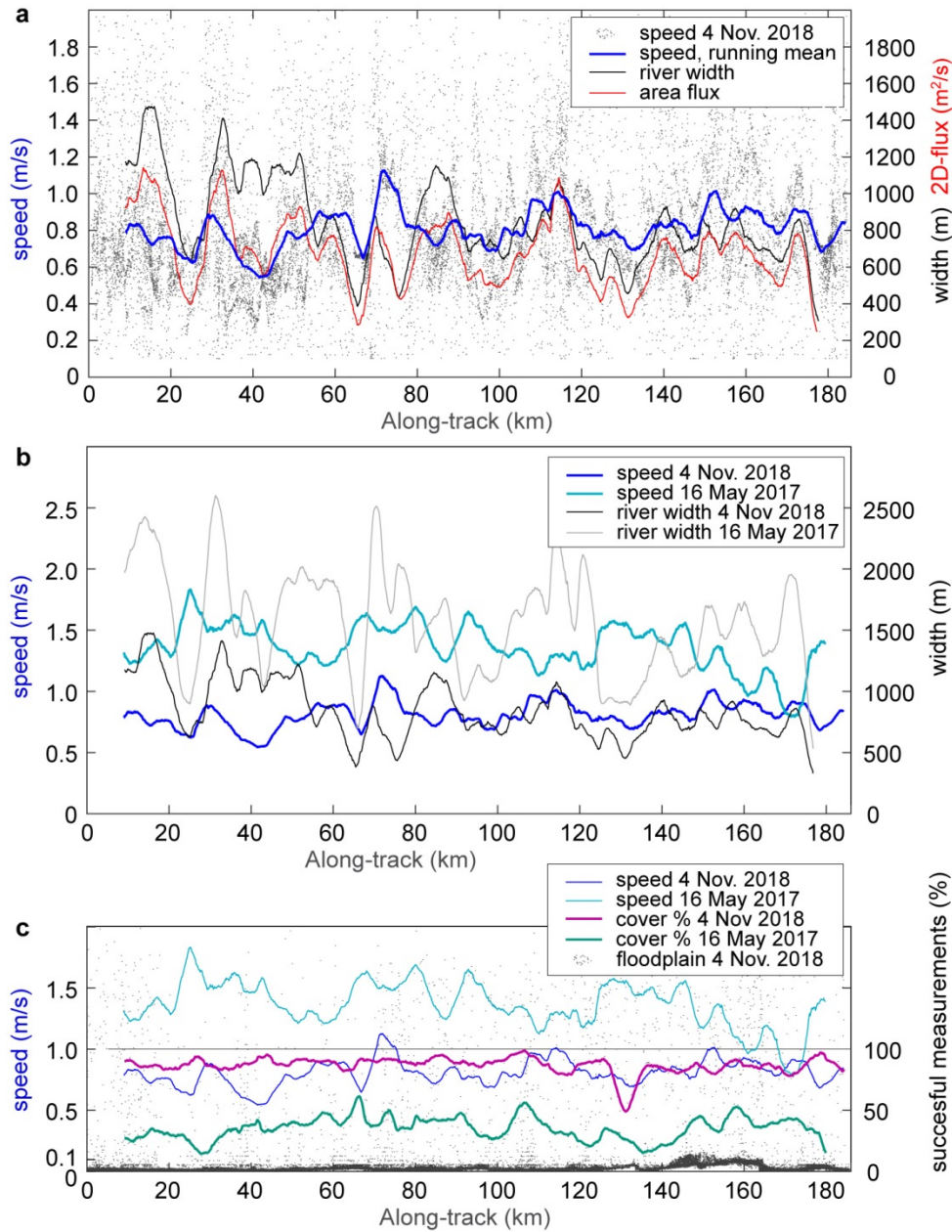


Figure 109: Longitudinal profile of speeds and river widths derived from near-simultaneous Planet cubesat images. (a) Measurements of 4 November 2018. Small dots: individual speed measurements; blue line: 4 km running mean of individual measurements; black line: river width from velocities (running mean); red line: surface area flux as product of cross-sectional average speed and river width (running mean). (b) Running means of surface speeds and river width for 4 November 2018 (dark blue and black, respectively) and 16 May 2017 (light blue and grey, respectively). (c) Indicators of result quality. Small dots are speeds on stable ground for 4 November 2018, i.e. outside of the river. The green and turquoise lines are the percentage of successful measurements (i.e. measurements passing the correlation coefficient threshold) compared to the complete river mask. Blue and light blue lines are the speeds as in panel (b).

#### 4.4.3 Error budget

The error budget for individual river surface velocity measurements consists of three main components: (i) absolute georeference of a set of repeat images, (ii) relative distortions and offsets between repeat images, and (iii) errors from matching features between the repeat images. The first category, the uncertainty of the absolute georeference, stems mostly from matching the Planet images onto a reference image. This step is part of the Planet in-house processing and is to our experience typically on the order of one pixel or less, but can be larger for partially cloud-covered or snow-covered scenes. Failure or gross uncertainties of this georeference refinement step and subsequent gross georeference errors are flagged by Planet in the image meta-data. To our best knowledge, an absolute georeference accuracy of a few meters or pixels for the locations of derived velocities should not be a problem for most applications, in particular when considering that the derived velocities anyway represent a window of several tens of metres (here  $90\text{ m} \times 90\text{ m}$ ). The second category of uncertainty, (ii), distortions and offsets between the images matched, can be minimized by co-registration, which is typically possible with sub-pixel accuracy. This uncertainty source is not necessarily ~~completely~~ eliminated for small-scale higher-order distortions (see section 3) that differ between the stable ground used for co-registration (river shore, flood plain, etc.) and the actual river surface. The parts of this second error component that are not eliminated by image co-registration, mix with the third error category, that is the actual matching accuracy for the stable ground or river ice features (iii). This relative matching accuracy between already co-registered images defines the ~~actual~~ uncertainty of the actual displacements or velocities derived, and we thus consider it here as the error component of largest interest (Kääb et al., 2013) and focus on it in more detail in the following.

Uncertainties of individual velocity measurements or outliers (our above error component iii) stem from uncertainties in definition of river-ice features over time, i.e. how sharp can features be matched that change over time and how (precisely) is a displacement between slightly modified features defined. This error component includes the representativeness of displacements matched using a  $90\text{ m} \times 90\text{ m}$  window for actual point-wise velocities, and the degradation of the matching accuracy by rotation or deformation of river-ice features over the minute-scale time lapse exploited (Kääb et al., 2013). We estimate the accuracy of our river ice velocity measurements in three ways: (1) inferring from previous studies, (2) stable ground matches, and (3) variance of velocities within homogenous parts of the derived flow field. (1) Based on ASTER data over the Lena river, Kääb et al. (2013) suggest for most optimal imaging and ice conditions a displacement accuracy of up to  $1/8$  of a pixel, which would in our case translate to about  $\pm 0.4 - 0.5\text{ m}$  (or  $0.005\text{ m s}^{-1}$  for a  $90\text{ s}$  time lapse). (2) Based on about 27'000 matches on the floodplains around the rivers investigated in this study we obtain a mean displacement  $dx$  of  $-0.1 \pm 0.5\text{ m}$ ,  $dy$  of  $-0.2 \pm 0.6\text{ m}$ , and mean displacement length (Pythagoras of individual  $dx$  and  $dy$ ) of  $0.4 \pm 0.6\text{ m}$ . Besides a good co-registration accuracy of around  $0.2\text{ m}$  (i.e. about  $1/15$  of a pixel), our stable ground tests suggest thus an accuracy of

individual velocity measurements of  $\pm 0.6$  m (1/5 of a pixel;  $0.007$  m s<sup>-1</sup>). This latter number agrees well with the accuracy estimates for co-seismic displacement measurements from repeat Planet data of 1/4 of a pixel (Kääb et al., 2017). Figure 10c shows a longitudinal profile of stable ground matches (in m s<sup>-1</sup>; black dots) for the 4 November 2018 data. The stable ground median speed is  $0.02$  m s<sup>-1</sup>, and the mean  $0.03$  m s<sup>-1</sup>. Similar results are found for 16 May 2017. These values can be considered an upper limit for the accuracy of ice floe measurements as the river ice floes offer better visual contrast for the matching than the areas surrounding the river (see section 4.2), and the image areas outside the river are likely subject to larger topographic distortions than the river surface (see section 3). Finally, (3), variations of velocities within homogenous parts of the derived flow fields, i.e. the standard deviation of means over such parts of the flow fields, range in our tests between  $\pm 0.3$  m for the shortest time lapse in our study (15 s; translating to  $0.02$  m s<sup>-1</sup>) and  $\pm 3$  m for our longest time lapse (171 s;  $0.02$  m s<sup>-1</sup>). Especially for the longer time lapses, deformations of the river ice features matched and rotations of individual ice floes certainly degrade the actual matching accuracy. From all our above three approaches we suggest thus as a rule of thumb an accuracy on the order of  $\pm 0.01$  m s<sup>-1</sup> for individual river ice velocities derived from near-simultaneous PlanetScope data. Note that this accuracy improves following standard error propagation rules, once individual velocities are averaged, for instance for cross-sectional or longitudinal means.

As another possible indicator of measurement quality, Fig. 10c shows the percentage of successful matches on the river. Clearly, this percentage is much higher for the 4 November 2018 freeze-up conditions than for the 16 May 2017 break-up conditions on Yukon River. This indicator can be used in several ways, for instance for masking out the results for reach sections with low values, developing a multiplier to the above nominal accuracy, or testing for unwanted dependencies between results and measurement density. The stable ground matches (dots in Fig 10c) also exhibit errors in co-registration. For the 4 November 2018 data, a small co-registration problem can be seen at ~140-160 km with elevated speeds. Kääb et al. (2013) demonstrate a procedure to correct such offsets.

## 5 Discussion, conclusions, and outlook

In this study we exploit the fact that the cross-track overlaps of the swaths of subsequent PlanetScope cubesats (Figs. 2 and 3) produce near-simultaneous optical acquisitions, separated by ~90 s. Over this time lapse we track river ice floes and use them as indicators for water surface velocities. Planet cubesats scan the entire land surface of the Earth at daily repeat and with ~3 m spatial image resolution. Our study shows that these data substantially extend the possibilities to measure river ice and water surface flow from near-simultaneous optical satellite data. Over many rivers that carry river ice, ice floes can be tracked during freeze-up and/or break-up with accuracies on the order of  $\pm 0.01$  m s<sup>-1</sup>. Freeze-up conditions appear to be particularly well suited for this work due to the longer time periods and more favourable types and densities of ice floes present.

We find three main obstacles when applying the method. By constellation design the PlanetScope cross-track overlaps (never intended for measuring minute-scale changes and motions) cover not the entire Earth surface but only parts of it, depending on latitude, for instance 2/3 of a cubesat swath for 65° North (Fig. 3). Second, cloud cover seems ~~not un~~rather typical for the river freeze-up and break-up seasons, and **considerably** complicates the acquisition of suitable Planet cubesat data — as for any optical satellite instrument. Third, freeze-up for some northern-most rivers or river reaches seems to happen during sun angles that are too low to acquire suitable images. **As a very rough guess from our Planet cubesat archive searches, we estimate that there is for a given river location a 50% chance to get a least one cubesat image per year with drifting ice visible. The chances that the river location is then included in the swath overlap from a subsequent cubesat is lower, and considerably lower for the river being covered several times (per year or in total) by overlaps that enable tracking.**

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10 Despite these ~~three main~~ limitations, though, the tracking of river ice in near-simultaneous Planet cubesat data substantially increases the possibilities for deriving surface velocities on cold-region rivers **compared to the very few occasional optical stereo acquisitions suitable for the same purpose.**

The strong visual contrast provided by bright ice floes on the dark water surface together with the short time lapse of around one minute exploited here lead to little other motion components than translation and represent quite optimal conditions for image matching. Therefor, and because the focus of our study lies on evaluating the potential of the Planet cubesat constellation rather than the image matching algorithm, we used standard normalized cross-correlation (NCC) as tracking method. Future work could test if other tracking methods have advantages against NCC for tracking ice floes in near simultaneous satellite images. In particular for sea-ice tracking, other matching procedures are used that are optimized to work on sequences of low-resolution satellite data with time lags of hours to days (e.g., Lavergne et al., 2010; Petrou and Tian, 2017). **An overview and assessment of state-of-the-art image-based tracking approaches for water flow measurements, where some are certainly relevant for near-simultaneous Planet cubesat data, is given in Lin et al. (2019).**

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The parameters provisionally chosen for the moving windows to compute longitudinal flow averages (4 km length, 100 m step width) could easily be adjusted. Our visualisations turn out to be little sensitive to the exact choice of window parameter values. For the long river reaches studied here, the mean river direction defining the initial window orientations is almost identical with the orbit azimuth. The image matches on the floodplain outside the rivers can thus easily be transformed into their satellite along-track and cross-track components, which is a preferred coordinate system to analyse the geometric performance and errors in satellite data (Kääb et al., 2013). In the present study we do not find geometric errors of concern, such as for instance satellite jitter, which Kääb et al. (2013) find and correct for a similar study based on another satellite data type.

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As we use our longitudinal averaging procedure only for visualisation purposes, it is not optimised for specific applications such as estimating river width, discharge, or parameters of river morphology and flow. In particular, larger voids in the measured velocity field, due to low correlation coefficients, will bias the flow averages per window step. This effect seems

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strongly reduced for freeze-up conditions as the coverage by ice floes during these conditions appears to be typically much more complete compared to break-up conditions (see section 4.1). River areas without ice floes lead only to voids in the measurements if they are larger than the matching window size (here 30×30 pixels; 90×90 m) in at least one dimension as the matching algorithm used (NCC) is not sensitive to where the matched features are located in the window. A first measure to indicate problems from voids in the velocity field in the profiles is to plot the percentage of void pixels per window position (Fig. 10c). Smaller voids could be filled, whereby the measured velocities enable application of a directional interpolator. Or, the matching window sizes can be automatically adapted to the distribution of ice floes – small windows for dense ice floes and larger ones for sparse ice floes (Debella-Gilo and Käab, 2011a). The effect of voids on derived parameters can be tested by simulating voids for a rather complete data set (e.g., Yukon River 4 November 2018) from actual voids in another data set (e.g., Yukon River 16 May 2017) (McNabb et al., 2019).

Another effect to be taken into account is the influence of river branching on the averages. Again, also treatment of this effect depends much on application and parameters of interest. For instance, the mean flow speed and surface area flux that we compute are not affected, while making connections between our surface flow measurements and river discharge would require to take branching into account. An initial simple procedure for that purpose would be to intersect the moving window at each step with the river outlines and compute the flow averages for each intersection area separately.

Although not exploited closer in this study, we would like to note the existence of a Sentinel-2 scene of 4 November 2018, taken about one hour after the Planet scenes over Yukon River. Due to this large time lapse between the Planet and Sentinel-2 scenes and the related large displacements and deformations/rotations of river ice features, traditional image matching methods that solve only for translations are complicated, but manual tracking of distinct floes is still clearly possible. Tests show good agreement between the speeds derived over 1 h and those over 171 s. The fact that most Planet cubesats, Sentinel-2A and 2B, and Landsat 7 and 8 are on similar orbits can thus create additional opportunities for tracking river ice movement, for investigating short-term changes in river ice cover and speed, and for additional, or combined multispectral mapping and analysis together with the Planet cubesats.

As demonstrated here for a 200 km long reach of the Yukon River, remotely sensed water velocities over long reaches might offer improved insights in river morphology. For instance, we find a variation of water speeds of ~20-21 km wavelength for the Yukon River (and the Lena River; Käab et al. (2013)) that could be compared to according wavelengths found from laboratory experiments and models on bar formation (Lanzoni, 2000a, b).

A major purpose of satellite-based river observations is ~~A major purpose of satellite observations of rivers are attempts~~ to estimate discharge in order to spatially or temporally complement the sparse in-situ measurements available from gauging stations (Beltaos and Käab, 2014; Bjerklie et al., 2018; Zakharova et al., 2019; and many others, see references in the cited ones). River velocities from the approach demonstrated here can offer an additional type of input measurement, or a possibility for independent comparison/~~validation~~, when linking satellite-based measurements of river height and slope from

altimetry data, and measurement of river surface from optical (Allen and Pavelsky, 2018) or radar images, to standard discharge equations (Bjerklie et al., 2018; Zakharova et al., 2019). Such satellite data are available over large regions (Allen and Pavelsky, 2018) and fit thus well to the river velocities as derived by our approach. Satellite-altimetric river heights will even improve in the (near) future through the new **Sentinel-3 and high-resolution ICESat-2 missions** (Brown, 2019), and the  
5 **upcoming SWOT missions** (Durand et al., 2010). **And Landsat 8 and Sentinel-2 together offer sub-weekly repeat to measure ~~actual river surface~~ parameters such as river width.**

As further outlook, the water mapping opportunities from the daily repeat Planet data (Cooley et al., 2017) together with opportunities to measure ice velocities from them as demonstrated here could aid detecting ice jams and related flooding  
(~~Cooley et al., 2017~~)(Fig. 1), **and as well as provide** better understanding of the mechanisms involved in ice jam formation.

10 The damages from ice jam floods cause annual economic costs on the order of several hundred millions EUR per year in North America and Siberia (Prowse et al., 2007; Rokaya et al., 2018b, a). Finally, while substantially fewer in number, we speculate that near-simultaneous overpasses in tropical and temperate rivers could similarly be exploited, tracking sediment or floating matter in place of ice (Kääb and Leprince, 2014).

#### **Code availability**

15 The image matching code used for this study (Correlation Image Analysis, CIAS) is available from <http://www.mn.uio.no/icemass>.

#### **Data availability**

Sentinel-2 data are freely available from the ESA/EC Copernicus Sentinels Scientific Data Hub at <https://scihub.copernicus.eu/> , Landsat 8 data from USGS at <http://earthexplorer.usgs.gov/> , ASTER data from  
20 <https://earthdata.nasa.gov/> , Yukon River discharge data from <https://waterdata.usgs.gov>. Planet data are not openly available as Planet is a commercial company. However, scientific access schemes to these data exist.

#### **Author contribution**

A.K. developed the study, did most of the analyses and wrote the paper. B.A. supported the analyses and edited the paper. J.M. helped with data acquisition, technical details to the Planet constellation and data, and edited the paper.

## Competing interests

A.K. and B.A. declare that they have no competing interests. J.M. ~~is~~-was program manager for impact initiatives at Planet. He ~~did-influnced~~ in no manner ~~-influence~~ the results or conclusions of the study.

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