1 Rainfall interception and redistribution by a common North

American understory and pasture forb, *Eupatorium capillifolium* (Lam. dogfennel)

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12 Abstract. In vegetated landscapes, rain must pass through plant canopies and litter to enter soils. As a result, some rainwater is returned to the atmosphere (i.e., interception, I) and the remainder is partitioned into a canopy (and gap) 13 drip flux (i.e., throughfall) or drained down the stem (i.e., stemflow). Current theoretical and numerical modelling 14 15 frameworks for this process are near-exclusively based on data from woody overstory plants. However, herbaceous 16 plants often populate the understory and are the primary cover for important ecosystems (e.g., grasslands and 17 croplands). This study investigates how overstory throughfall $(P_{T,o})$ is partitioned into understory I, throughfall (P_T) 18 and stemflow (P_s) by a dominant forb in disturbed urban forests (as well as grass- and pasturelands), Eupatorium 19 capillifolium (Lam., dogfennel). Dogfennel density at the site was 56,770 stems ha⁻¹, enabling water storage capacities 20 for leaves and stems of 0.90 ± 0.04 mm and 0.43 ± 0.02 mm, respectively. As direct measurement of $P_{T,o}$ (via tipping buckets or bottles, etc.) would remove $P_{T,o}$ or disturb the understory partitioning of $P_{T,o}$, overstory throughfall was 21 22 modelled (P'_{T,o}) using on-site observations of P_{T,o} from a previous field campaign. Relying on modelled P'_{T,o}, rather 23 than on observations of $P_{T,o}$ directly above individual plants, leaves significant uncertainty regarding (i) small-scale 24 relative values of P_T and P_S and (ii) factors driving P_S variability among individual dogfennels. Indeed, P_S data from 25 individual plants were highly skewed, where mean $P_{S:}P'_{T,o}$ per plant was 36.8%, but the median was 7.6% (2.8%-26 27.2% interquartile range) and total over the study period was 7.9%. P_s variability (n = 30 plants) was high (CV >27 200%) and may hypothetically be explained by fine-scale spatiotemporal patterns in actual overstory throughfall (since 28 no plant structural factors explained the variability). Total $P_T:P'_{T,o}$ was 71% (median $P_T:P'_{T,o}$ per gauge was 72%, 59-29 91% interquartile range). Occult precipitation (mixed dew/light rain events) occurred during the study period, 30 revealing that dogfennel can capture and drain dew to their stem base as P_s . Dew-induced P_s may help explain 31 dogfennel's improved invasion efficacy during droughts (as it tends to be one of the most problematic weeds in the 32 southeastern US's improved grazing systems). Overall, dogfennel's precipitation partitioning differed markedly from 33 the site's overstory trees (Pinus palustris), and a discussion of the limited literature suggests that these differences 34 may exist across vegetated ecosystems. Thus, more research on herbaceous plant canopy interactions with 35 precipitation is merited.

36 **Key words**: Rain, throughfall, stemflow, canopy water storage, stem water storage, evaporation.

37 **1. Introduction**

Precipitation (P_{e}) across most of the global land surface will interact with plant canopies. Precipitation-canopy 38 39 interactions during storms result in three general hydrologic processes; one which returns water to the atmosphere 40 (interception) and two others that route water to the surface (throughfall and stemflow). Interception is the evaporation 41 of droplets splashing against (Dunkerley, 2009), or stored on, canopy surfaces, like leaves (Pereira et al., 2016), bark 42 (Van Stan et al., 2017a, and epiphytes (Porada et al., 2018). Depending on the vegetation and storm conditions, 43 interception can be small per unit area (David et al., 2006) or return half the annual precipitation to the atmosphere 44 (Alavi et al., 2001). In this way, canopy interception can evaporatively cool regions (Davies-Barnard et al., 2014), 45 recycle moisture to generate nearby storms (Van der Ent et al., 2014), and reduce stormwater runoff to save millions 46 of dollars (US) in stormwater infrastructure costs (Nowak et al., 2020). Throughfall is the water that drips to the surface through gaps or from canopy surfaces, while stemflow is the water that drains down plant stems. The portion 47 48 of precipitation that drains as throughfall versus stemflow is also highly variable depending on vegetation and storm 49 conditions: ranging annually from 10-90% for throughfall and <1-60% for stemflow (Sadeghi et al., 2020). Since throughfall and stemflow reach the surface at different locations, they differentially interact with subsurface 50 51 hydrological and biogeochemical processes—having been implicated in fine-scale patterns in soil physicochemistry 52 (Gersper and Holowaychuk, 1971), microbial community composition (Rosier et al., 2015; 2016), N-cycling 53 functional genes (Moore et al., 2016), and metazoan community composition (Ptatscheck et al., 2018). Accurate 54 accounting for each of these precipitation partitioning fluxes is, therefore, necessary for the accurate prediction of 55 atmospheric and surface hydro-biogeochemical processes.

56 Current theoretical and numerical modeling frameworks for canopy precipitation partitioning (see review by 57 Muzylo et al. (2009)), are almost exclusively based on observations beneath woody plants, like forests and shrublands 58 (Sadeghi et al., 2020). In forests, the past 150 years of research has primarily targeted dominant overstory trees 59 (Ebermayer, 1873; Van Stan and Gordon, 2018). However, herbaceous plants commonly dominate forest understories 60 and can be abundant beneath shrublands (Jiménez-Rodríguez et al., 2020; Lajtha and Schlesinger, 1986; Specht and 61 Moll, 1983). As a result, our current understanding of "net" precipitation (as measured beneath woody overstory 62 canopies) is not representative of the actual precipitation that reaches the surface (or litter layer: Gerrits and Savenije, 63 2011) beneath the understory. Herbaceous canopies are relevant to precipitation partitioning in more than the onethird of the global land surface represented by forests; they also cover 27% and 11% of the global land surface in 64 65 grasslands and croplands, respectively (Alexandratos and Bruinsma, 2012; Suttie et al., 2005). It is unlikely that 66 current knowledge on precipitation partitioning based on woody vegetation is applicable to herbaceous vegetation, 67 since they differ in many hydrologically-relevant morphological features: smaller height, the lack of bark structure, and presence of other stem features (like trichome hairs or desiccated leaves), etc. This raises unanswered and little-68 69 researched, questions that must be addressed to include herbaceous plants in precipitation partitioning theory, e.g.: 70 How do these significant morphological differences affect canopy and stem water storage capacities? Do herbaceous 71 plants also favor throughfall generation, like woody plants, or do they more efficiently drain precipitation to their stem 72 bases (and, thereafter, their shallow roots)? In fact, several long-standing (and hitherto unanswered) calls for greater 73 research on the precipitation partitioning of non-woody plants (rooted in detailed observations) have been made (Price

et al., 1997; Price and Watters, 1989; Verry and Timmons, 1977; Yarie, 1980). These are general questions identified by the community; but, in this study we focus on: How is overstory throughfall ($P_{T,o}$: Figure 1) partitioned into understory interception, throughfall (P_T : Figure 1) and stemflow (P_S : Figure 1) by a dominant forb in disturbed urban forest understories (as well as grass- and pasturelands), *Eupatorium capillifolium* (Lam., dogfennel)?

78 Very little is known about how understory plants partition $P_{T,\rho}$ into understory P_T and P_S (Figure 1). Overstory 79 stemflow is currently assumed to bypass the understory and litter layers (Carlyle-Moses et al., 2018); however, this 80 assumption, particularly regarding the bypass of litter, has rarely been tested (Friesen, 2020) and overstory stemflow 81 has been observed to runoff for long distances away from the stem (Cattan et al., 2009; Keen et al., 2010). We do not 82 investigate interactions between the understory and overstory stemflow in this study, because stemflow from this study 83 site is negligible (<0.2%: Yankine et al., 2017). Most observations of precipitation partitioning beneath any plant 84 besides overstory woody plants have been done on maize (Zheng et al. (2019) and references therein) and other cash 85 crops (Drastig et al. (2019) and references therein), which leave plants of forest understories, grasslands or 86 pasturelands relatively unresearched. Even the few studies on forest understory interception, P_T , and P_S 87 overwhelmingly focus, again, on woody plants (González-Martínez et al., 2017; Price and Watters, 1989), limiting 88 net precipitation observations beneath understory herbaceous plants to ferns (Verry and Timmons, 1977) and 89 nonvascular plants (Price et al., 1997). These scant observations, however, indicate that precipitation partitioning by 90 non-woody understory plants is hydrologically relevant, as they can store as much water as woody plants (Klamerus-91 Iwan et al., 2020), evaporate significant portions of $P_{T,e}$ (Coenders-Gerrits et al., 2020) and redistribute 7-90% of event 92 $P_{T,o}$ as P_S (Sadeghi et al., 2020). For our study on dogfennel, we hypothesized that, compared to past research on 93 woody plants, dogfennel stems and leaves (i) can store a hydrologically relevant amount of rainwater (i.e., within the 94 range of water storage capacities reported for woody plants: (Klamerus-Iwan et al., 2020), (ii) significantly reduce net 95 rainfall flux to the surface (i.e., $P_T + P_S \ll P_{T,o}$), and (iii) redistribute a substantial portion of $P_{T,o}$ to the surface via P_S 96 (i.e., P_S will often "funnel" more rainwater per storm to the soils surrounding stems than P_T , $P_{T,o}$ or P_g over the same 97 area). To test these hypotheses, $P_{T,o}$ was modelled from past on-site observations ($P'_{T,o}$) as monitoring $P_{T,o}$, P_S , and P_T 98 simultaneously were not possible without disrupting or removing $P_{T,o}$. We explicitly acknowledge that the decision to 99 rely on modelled P'_{To} leaves a non-trivial uncertainty regarding the influence of actual overstory throughfall

100 spatiotemporal patterns on small-scale values of P_T and individual plants' P_S .

101 **2. Materials and methods**

102 **2.1. Study site and study plant description**

The study site, Herty Pines, is a forest fragment in Statesboro, Georgia, USA (Figure 2a), at Georgia Southern University's main campus (32.430 N, -81.784 W, 65 m A.S.L.). Climate is subtropical (Köppen *Cfa*) where mean

- 105 monthly temperatures (1925-2014) for July range from 21-33°C and winter months are generally mild, i.e., the lowest
- 106 mean January temperature is 3.5° C (University of Georgia, 2019). Mean annual precipitation is 1,170 mm y⁻¹ and
- 107 precipitation occurs almost exclusively as rain, relatively evenly spread over the year. The overstory is dominated by
- 108 *Pinus palustris* (longleaf pine) and overstory rainfall partitioning for this site has been reported (Mesta et al., 2017;

109 Van Stan et al., 2018; Yankine et al., 2017). Trunk diameter at breast height (DBH) was relatively consistent across 110 all trees in the study plot, 49.7 cm (mean) with an interquartile range of 36.2-55.7 cm. Mean tree height was 30.4±4.5 111 m and was derived from terrestrial lidar (terrestrial lidar methods identical to Van Stan et al., 2017a). Stand density 112 was 223 trees ha⁻¹ with 50.4 m² ha⁻¹ of basal area. Dogfennel, our study plant, was particularly dominant along the forest edge. Dogfennel is a forb of the Asteraceae family, native to (and widespread across) North America (Van 113 114 Deelen, 1991; Wunderlin and Hansen, 2003). Although dogfennel behaves as an annual plant throughout much of its 115 North American range, it can behave as a perennial in the southern US by overwintering as a rosette, typically from 116 January to March, before re-growing from a taproot in the spring, typically in April (Macdonald et al., 1994; 117 Macdonald et al., 1992). Dogfennel can be abundant in disturbed forest understories, particularly pine forests 118 (Brockway et al., 1998) and pastures (Figure 2b). In the study pine forest, dogfennel stem density was 56,770 stems 119 ha⁻¹ along the stand edge. In pasturelands, dogfennel can reach this stem density within a single season and, if left unmanaged, dogfennel densities have been measured as high as 74 stems m⁻², or ~740,000 stems ha⁻¹ (Dias et al., 120 121 2018). The growth habit of dogfennel results in "clumps" of stems. Dogfennel density was estimated in ten 10x10 m 122 plots by counting the stems clump⁻¹ for 3 randomly-selected clumps in each plot. For each plot, the mean stems clump⁻ ¹ were multiplied by the number of clumps plot⁻¹. Finally, all stems plot⁻¹ were summed and scaled to 1 ha. Three 123 124 dogfennel clumps were randomly selected for throughfall and stemflow monitoring. Within these three clumps, 30 125 individual dogfennel stems were randomly selected for stemflow monitoring. Individual plant attributes—canopy 126 radius [cm], stem radius [cm], leaf angle at the stem [degrees from vertical] at various canopy heights (1.00, 1.25, 127 1.50, 1.75, 2.00 m), and relative location within the clump, interior (I), middle (M), or exterior (E)—were measured 128 for each stemflow-instrumented plant (Table 1). Canopy and stem radii were determined manually with a tape 129 measure, where canopy radii were the mean of measurements from eight directions (N, NE, E, SE, S, SW, W, and 130 NW) and stem radius was determined by a single manual measurement at the stem base. Leaf angle at the stem was 131 determined for two leaves at each height using the iProtactor App for iPhone (2013, Phoenix Solutions) which logs 132 an angle after the levelling of the iPhone camera (see Figure S1 for example).

133 **2.2. Hydrometeorological monitoring**

134 2.2.1. Rainfall measurements

135 Rainfall amount, duration and intensity for discrete rain events were automatically logged every 5 min by a weather 136 station installed above the canopy (on the rooftop of nearby Brannen Hall at ~40 m height), which is located 100 m 137 from Herty Pines. Rainfall observations were recorded by three tipping bucket gauges (TE-525MM, Texas Electronics, Dallas, TX, USA) interfaced with a CR1000 datalogger (Campbell Scientific, Logan, Utah, USA). This 138 139 weather station logged a suite of other meteorological variables; however, since these data do not represent the 140 meteorological conditions experienced by the understory, they are not reported or examined here. A discrete event 141 was defined as any atmospheric moisture (rainfall or dew) that resulted in a measurable quantity of throughfall and 142 stemflow (more than a few mL) that occurred after a minimum interstorm dry period of 8 h. Few events consisted of early morning dew contributions (visually observed during sampling and verified by air temperatures equalling dew 143 144 point temperatures), and these occurred after low-magnitude nighttime rainfall. When dew was present in the

- 145 understory, there was no response from above-canopy rain gauges; thus, a post-hoc estimate of occult dew contribution
- 146 to $P_{T,o}$ was made by assuming the dew contribution was equal to the understory canopy water storage capacity (1.33
- 147 mm methods described later). An important limitation to this dew estimate is that it represents the maximum possible
- 148 dew contribution. Rain events without dewfall required at least ~ 4 mm of rainfall for generation of P_T or P_S from the
- 149 monitored dogfennel canopies.

150 **2.2.2. Overstory throughfall estimation**

- 151 As observing $P_{T,o}$ directly would prevent direct observation of P_T and P_S beneath dogfennel plants, $P_{T,o}$ was estimated 152 from previous field measurements at the site (Figure 3). Automated $P_{T,o}$ monitoring was performed from September
- 153 2016 to September 2017 using ten 3.048-m long and 10.16 cm diameter PVC troughs oriented at a moderate slope,
- 154 with a 5.08 cm slot cut lengthwise for collection and drainage of $P_{T,o}$ to a Texas Electronics (Dallas, Texas, USA) TR-
- 155 525I tipping bucket gauge, resulting in 1.65 m² of collection area. Tipping bucket gauges and their associated troughs
- 156 were randomly placed within a 0.25 ha plot and recorded every 5 minutes by a CR1000 datalogger. All trough angles
- 157 were measured with a digital clinometer to correct computations of trough area receiving $P_{T,o}$. Trough and tipping
- bucket assemblies were field tested to ensure accuracy (\pm 5%) under storm conditions typical for the region (Van Stan
- 159 et al., 2016). These *P_{T,o}* data were reported by Mesta et al. (2017). To estimate overstory throughfall, *P'_{T,o}*, a regression
- 160 model was generated from the association between $P_{T,o}$ [% of rainfall] measured on site and storm size, R [mm storm⁻
- 161 ¹] using the "Aston" curve:
- 162 (2) $P'_{T,o} = a (1 e^{-b R})$
- where *a* and *b* are regression coefficients. This model and its fit statistics are provided in Figure 3. We assume that the past observed rainfall relationship with $P_{T,o}$ at the site was similar during our study period. Although we are unable to assess whether and to what degree there is a difference between these observation periods, the canopy is mature and there has been no known/noticeable disturbance or change in canopy structure since the previous observation period.
- 168 **2.2.3.** Understory throughfall and stemflow measurements.

Throughfall gauges consisted of 9 randomly placed funnels (506.7 cm² collection area each), three per dogfennel clump (1,520.1 cm² total collection area per clump), connected to HDPE bottles that were manually measured with graduated cylinders immediately after a storm ended (within 4 h). The total canopy area of dogfennel plants at this site rarely exceed 2,000 cm², resulting that the total throughfall gauge area per clump generally represented >75% of canopy area; which is a comparatively much larger gauge-to-canopy area than most past throughfall studies on forest canopies (Van Stan et al., 2020).

Standard stemflow measurement methods developed for woody plants (use of flexible tubing wrapped around a woody stem: Sadeghi et al., 2020) are not suitable for dogfennel; moreover, no standard stemflow collection devices exist for herbaceous plants. Thus, stemflow collars were constructed from aluminum foil, 15-mm inner-diameter flexible polyethylene tubing, electrical tape, and silicon (see Figure S2). Aluminum foil was folded over itself several times to strengthen the collar (typically ~160 mm length of foil was folded to ~40 mm) and connected to plastic tubing 180 with stainless steel staples. The aluminum collar was then folded around the lower stem of the dog fennel and secured

181 with electrical tape. To seal the aluminum foil, staple connections, and the interstices between the foil, tubing and

182 stem, silicon was thinned with hydrotreated light (95-100%) naphtha (VM&P Naphtha, Klean-Strip, Memphis TN

183 USA), allowing for it to completely fill the aluminum cone up to the tube opening and make a water-tight seal. While

184 naphtha-thinned silicon was poured into collars, the tube opening was covered. An additional benefit of naphtha-

- 185 thinned silicon was that, due to the evaporation of naphtha, the silicon shrinks, thereby, pulling the collar taut and
- 186 stiffening/strengthening the stemflow collection device and extending the lifespan of the collar. Stemflow was
- 187 measured with a graduated pipette (with 1 mL graduations) from 500 mL plastic bottles connected to the tubing base.
- 188 **2.3. Water storage capacity estimation**

189 Maximum water storage capacity (S_u [mm]) was estimated for the dogfennel canopy and stem, both as volume [L] per 190 unit surface area [m²]. All field leaf and stem samples were collected during an inter-storm dry period (>24 h after 191 any rainfall). For the canopy, 50 leaves representing the median size of the site dogfennel plants were sampled (broken-192 off at the base of the leaf), taken back to the lab, their "field-dry" mass [g] determined on a bench scale, and then the 193 broken end of their leaf-stems were sealed with silicon to prevent water exchange from an area that was not previously 194 exposed in its natural state. Sampling for the stems was similar; however, since dogfennel heights reach (and can 195 exceed) 2 m, the stems were cut into 5 cm sections. Just as with the leaves, 50 representative samples of these stem 196 sections were weighed in the lab, then sealed with silicon on both ends. Next, all leaf samples and stem sections were 197 submerged in water for three days until achieving maximum saturation (per Van Stan et al., 2015), whereupon the 198 maximum saturation mass [g] was recorded. For comparison with the field-dry mass, all samples were oven-dried 199 until their mass no longer changed (mass recorded every 3 h), whereupon the oven-dried mass [g] was recorded. No 200 leaf or stem samples were oven dried longer than 15 h. The gravity convection oven (Isotemp, Fisher Scientific) was 201 set to 40 °C (confirmed with a standard thermometer). The maximum volume of all samples' water storage capacity 202 is the difference between saturation and oven-dried mass. The oven-dried leaves and stems did not visually appear to 203 be damaged (aside from the sampling cuts, obviously) and care was taken to ensure the plant samples were not 204 damaged. It is likely that internal (not externally intercepted) water was exchanged during this process; however, this 205 is not entirely problematic as plant surfaces are known to permit interaction between externally intercepted water and 206 internal water (Berry et al., 2019). Moreover, we explicitly acknowledge that although these submersion methods are 207 commonly used, they produce the "maximum" possible water storage capacity (hence, our objective to estimate 208 maximum water storage capacity), as multiple intrinsic and extrinsic factors of plant surfaces could reduce the 209 available water storage capacity in situ (Klamerus-Iwan et al., 2020).

- Specific water storage capacity, S_L [mL cm⁻²], for the leaves and stems was determined by dividing the labderived maximum volume [mL] by the samples' surface area [cm²]. For leaves, after sampling, levelled photos of each sample were taken on a grid system (every block representing 2.5 cm x 2.5 cm for scale), then the leaf images were vectorized and processed for 2-D projected surface area using the "Measure Path" extension in Inkscape (v. 0.92, Inkscape.org). An example vectorized image of leaf area is provided in the supplemental materials (Figure S3). Error
- 215 in this vector-based leaf surface area estimate was estimated by repeating the process five times for each leaf. Stem

- surface area for all samples was estimated from their radii and height. S_L estimates for the stem (0.436 mL cm⁻²) and
- 217 leaves (0.195 mL cm⁻²) were then scaled to S_U [mm as L m⁻²] using stem and leaf surface area estimates per plant (A
- $= 171.9 \text{ cm}^2 \text{ plant}^{-1} \text{ and } 807.5 \text{ cm}^2 \text{ plant}^{-1}, \text{ respectively}), \text{ and multiplied by the site plant density } (D = 5.68 \text{ plants m}^{-2})$
- and divided by 1000:
- 220 (2) $S_U = (S_{L_{stem}} \times A_{stem} \times D)/1000 + (S_{L_{leaf}} \times A_{leaf} \times D)/1000$

Plant stem and leaf surface area estimates were determined from 5 representative plants that were cut from the site and separated into leaves and stems, then the sum of leaf and stem areas (determined as mentioned earlier in the paragraph) were divided by 5. Total leaf surface area compares well to values reported from \sim 1 m tall dogfennel plants, 212 cm² plant⁻¹ (Carlisle et al., 1980), considering our plants were much taller (\sim 2 m).

225 2.4. Data analysis

- 226 Descriptive statistics were compiled for all variables presented and regression analyses were performed to relate plant
- 227 canopy and hydrologic variables. All statistical analyses were done using Statistica 12 (StatSoft, Tulsa, OK, USA).
- 228 Throughfall volumes [L] from all gauges were summed and converted to yields [mm] by dividing by the total gauge
- area [m²]. Stemflow yield [mm] for an individual plant was determined by dividing its volume [L] by the projected
- 230 canopy area [m²]. To compare stemflow production across plants, two metrics were computed per plant for each storm:
- normalized stemflow ($\bar{P}_{S,i}$ [-]) and the funneling ratio (F [-]). $\bar{P}_{S,i}$ was computed per Keim et al. (2005):

232 (3)
$$\bar{P}_{S,i} = \frac{(P_{S,i} - \bar{P}_S)}{s_S}$$

where $P_{S,i}$ is stemflow volume [mL] from each individual plant in a single storm, \overline{P}_S is the mean stemflow for all plants in a single storm, and s_S is the standard deviation of stemflow for all plants in a single storm. *F* for individual plants

235 in each storm were computed per (Herwitz, 1986):

$$236 \qquad (4) F = \frac{P_{S,i}}{B_i P}$$

- where B_i is the basal area [cm²] at the base of an individual plant and P will be either P_g or $P'_{T,o}$ (this will be explicitly
- indicated in the results). There are an increasing number of F metrics (Carlyle-Moses et al., 2018; Levia and Germer,
- 239 2015); however, the selected method is the most common F metric applied to stemflow data to date. Moreover, in situ
- observations of non-collared dogfennel plants during rainfall confirmed that dogfennel P_s rates did not produce visible
- runoff areas.

242 **3. Results**

243 **3.1. Storm and plant structural conditions**

Discrete rain events, as measured above the forest canopy, ranged in magnitude from 0.1 mm (during dewfall) to 101.3

- 245 mm (Table 1). The distribution of storm magnitudes was skewed, such that the mean, 16.5 mm, was many times
- greater than the median, 6.6 mm (Table 1). Estimated overstory through fall (P_{Ta}) , per Figure 3, ranged from 0 (again,
- during dewfall) to 72.2 mm, with a median of 3.5 mm (Table 1). Thirty of the plants in the selected dogfennel clusters

- those being monitored for stemflow had an average canopy radius of 18.3 cm (±4.5 cm standard deviation), which
- 249 was nearly identical to the median canopy radius (Table 1). The stem radii of all measured dogfennel plants ranged
- from 0.1 0.7 cm, with a mean radius of 0.6 cm (Table 1). The resulting ratio of canopy:stem radii was also normally
- distributed, with a mean and median of ~36 (dimensionless), but ranging from 24 to 50 (Table 1). For all plants, the
- 252 mean leaf angle decreased from 54° to 32° from vertical with increasing canopy height; i.e., the higher in the dogfennel
- canopy, the closer the leaf angle approaches vertical (Table 1). This trend appears consistent across each individual
- study plant regardless of which clump the plants' resided, as the standard deviation across all elevations are low, 1.8-
- 255 3.1° from vertical, and do not overlap (Table 1).

256 **3.2.** Partitioning into water storage, throughfall and stemflow

- Note that $P'_{T,o}$ is an event-scale estimate derived from past observations, limiting its utility in examining fine-scale P_T 257 and individual-plant scale P_S . The sum of data from all storms throughout the study period resulted in P_T , P_S and I of 258 259 71%, 8%, and 21% as a portion of $P'_{T,o}$, respectively, beneath dogfennels at our site. Water storage capacity achieved 260 by dogfennel leaves in the lab was 0.90 ± 0.04 mm, while dogfennel stems stored a capacity of 0.43 ± 0.02 mm (Figure 261 4). This resulted in the total S_U of dogfennel plants in the understory of this study site being approximately 1.3 mm. 262 This S_U estimate agrees with the reductions of $P'_{T,o}$ below dogfennels: for example, mean $P_T:P'_{T,o}$ was 76.6% for rain-263 only storms (Table 2), or a mean yield of $P_T = 12.9$ mm which exceeds a 1.3 mm reduction (due to S_U and evaporation) 264 in the estimated mean P'_{T,o} yield, 16.5 mm (from Table 1). A large portion of the rainwater captured on dogfennel 265 canopies was able to overcome stem water storage capacity and generate P_s . Dogfennel P_s data were highly skewed, producing a mean relative $P_S(P_{S:P'T,o})$ of 36.8%, but a median of 7.6% within a narrow interquartile range, 2.8%-266 27.2% (Table 2). For events including occult precipitation, both maximum $P_S:P'_{T,o}$ and $P_T:P'_{T,o}$ exceeded 100%: 267 $P_T:P'_{T,o}$ during mixed storms maximized at 192%; whereas, the maximum for $P_S:P'_{T,o}$ was just over 900% (Table 2). 268 269 Note that dew in the understory was not measured by the above-canopy rainfall gauges and $P'_{T,\rho}$ was only increased 270 by an assumed maximum dew contribution equal to S_U (1.33 mm), thus dew accumulation allows P_T and P_S to exceed 271 100% of P_g and $P'_{T,o}$ (Table 2). When compared to rainfall above the overstory (P_g), the medians are much smaller: 272 $P_T:P_g$ being 45% and 58% for rain-only storms and mixed storms, respectively, and $P_S:P_g$ being 4.1% and 14.7%,
- 273 respectively (Table 2).
- 274 Yield [mm] were estimated for dogfennel P_T and P_S across storms, and both event-level P_T and P_S yields 275 linearly correlated with estimated event-level $P'_{T,\rho}$ (Figure 5a-b). Since, for P_T , the catchment area (canopy area above 276 the gauge) is equal to the input area (soil area below the gauge), P_T yield from the canopy and P_T supply to the surface 277 are equal and the term "yield" will be applied for both. Median P_T yield beneath dogfennel for the measured storms 278 was 4.4 mm with an interquartile range of 1.1 mm to 11.3 mm (Figure 5c). Maximum P_T yield approached 50 mm 279 during a large-magnitude rain storm (where $P_g = 101.3$ mm). Since the canopy area that generates stemflow is many 280 times greater than the surface area around plant stems that receive stemflow (see Table 1), P_S yield and F will differ. F are typically used to represent P_s supply to soils, and is done so in the proceeding section. Yields of P_s from 281 282 dogfennel were as high as 24 mm, but the median was 0.4 mm and the interquartile range was narrow, 0.1-1.3 mm
- 283 (Figure 5c).

284 **3.3. Stemflow and throughfall variability**

Coefficients of variability (CV) and quartile variability (CQV) were computed for both P_s and P_T , relative to P_g and 285 286 $P'_{T,o}$ (Table 2), and storm-normalized temporal stability plots were generated for P_S yield only (Figure 6). Storm-287 normalized temporal stability plots were not generated for P_T yields because the experimental design accounts for its 288 spatial variability through deployment of large gauge areas (compared to dogfennel canopy area); which permit 289 estimates of variability across a few large-area gauges (Table 2), but limits the observable variability. CV and CQV 290 for relative P_T ranged from 22-90% and were generally lower for rain-only storms, <40%, than for mixed storms, 291 >60% (Table 2). Variability in relative P_{S} across study plants, ranging from 77-257%, was always greater than 292 observed for relative P_T for the monitored storms (Table 2). Due to the greater skew in the relative P_S data compared 293 to relative P_T , CV was many times greater than CQV for relative P_S (Table 2). CV and CQV for $P_S:P'_{T,o}$ was similar 294 for rain and the mixed storms; however, the CV for $P_S:P_g$ was greater for rain-only storms compared to mixed storms. 295 Temporal stability of normalized stemflow, $\overline{P}_{S,i}$ (Figure 6) indicates that there were only a few plants that captured most of the $P_{T,o}$ drained as stemflow (three plants' mean $\bar{P}_{S,i} >> 1$). Thus, most of the studied dogfennel 296 plants captured similar amounts of $P'_{T,o}$ as stemflow—having $\overline{P}_{S,i}$ between -1 and 1 (y = 0 represents the central 297 298 tendency of $\overline{P}_{S,i}$ data). Funneling ratios (F based on $P'_{T,o}$) show that all plants concentrated P_S yields to the surface 299 around their stem bases (Figure 6). Mean F across all plants was 87, and for the 27 plants whose mean $\overline{P}_{S,i}$ fell between 300 -1 and 1, median F ranged 18-200 (Figure 6). However, for the three plants with the highest $\overline{P}_{S,i}$, their mean F values were 287, 476 and 484 (Figure 6). These voluminous stemflow-generating plants, alone, account for one-third of total 301 302 P_s volume (8,734 mL / 27,870 mL). To evaluate possible canopy structural influences over P_s variability, various 303 directly-measured structural metrics were compared: radii of canopies and stems and the vertical variability in leaf 304 angle (see supplemental Figure S4). No clear visible or statistical correlations or correspondences were found between 305 these structural variables and $\bar{P}_{S,i}$ across plants (Figure S4). In fact, variability in the measured canopy structural 306 variables was low (Table 1) compared to the variability observed for dogfennel P_s and $\bar{P}_{s,i}$ (Figure 6).

4. Discussion

308 4.1. Overstory throughfall partitioning by dogfennel

309 Partitioning of overstory throughfall by this example dominant understory and pasture forb resulted in hydrologically 310 relevant losses of rainwater to the surface at our site (Table 2). As maximum water storage capacity is a major driver 311 of rainfall interception (Klaassen et al., 1998), the magnitude of dogfennel's overstory throughfall interception may 312 be attributed to its canopy being able to store a sizeable magnitude of rainwater per unit area, 1.33 mm (Figure 4). 313 Although mass changes of dried-and-submerged vegetation samples are discrepant from the processes and temporal 314 scales of natural rainfall interception, it is a common method with well-known and long-discussed limitations selected to estimate water storage capacity since more direct water storage capacity estimation methods are still under 315 316 development to date—see discussions in reviews by Friesen et al. (2015) and Klamerus-Iwan et al. (2020). 317 Methodological limitations with standing, the S_U estimates in this study fit within the range of water storage capacities

of other herbaceous plants synthesized by Breuer et al. (2003). This synthesis is focuses on the leaves of herbaceous 318 319 plants (alongside other plant types) (Breuer et al., 2003), but less research has estimated the stem component (or a 320 reported a total including the stem component) of water storage capacity for short vegetation (Bradley et al., 2003; 321 Wang et al., 2016; Wohlfahrt et al., 2006; Yu et al., 2012). The stems of herbaceous plants, even thick smooth stems 322 (>1 cm in diameter) can store nearly 0.5 mm: e.g., Taraxacum officinale (dandelion) (Wohlfahrt et al., 2006). Even 323 thin (<1 cm radius) herbaceous stems with epidermal outgrowths, like hairs, can store large amounts of rainwater: 324 e.g., 0.25 mm for Achillea millefolium (yarrow) and 0.20 mm for Trifolium pretense (red clover) (Wohlfahrt et al., 325 2006). In the case of dogfennel stem water storage capacity at our site, the 0.43 mm estimate is within this range and 326 its magnitude is likely a result of two principal factors: (1) dense stem coverage by desiccated leaves (photo in Figure 4); and (2) this species can achieve large densities, up to 700,000 stems ha⁻¹ (Dias et al., 2018) – 56,770 stems ha⁻¹ at 327 328 our study site. We note that, to our knowledge, stem water storage capacities for herbaceous plants with spines, thorns,

329 etc. have not been evaluated.

330 Overstory throughfall was also redistributed into a highly spatially variable (Table 2), but temporally 331 persistent pattern beneath dogfennel canopies (where CV or CQV was approximately 20-40% for P_T and 80-250% for 332 P_S : Table 2), despite all measured canopy structures—like branch angle, stem size, canopy size, etc—being similar (Table 1). Since our sampling plan measured P_T over a large area of the dogfennel canopy (rather than at numerous 333 334 localized points), this discussion point will focus on the intraspecific P_S observations. The high spatial variability and 335 temporal persistence of P_S across plants despite canopy structural similarity, raises the question: What caused the 336 intraspecific P_S patterns observed in this study? A likely explanation may be that, in this case, access to precipitation 337 for stemflow production is related to overstory throughfall patterns (which, we reiterate, were not able to measure 338 without removing or disrupting P_T and P_S). Overstory throughfall patterns are well-known to be spatially variable, 339 but temporally persistent across forest types (Van Stan et al., 2020). Specifically, individual dogfennel plants that 340 persistently generated greater P_s than other plants may have just received greater overstory throughfall from persistent 341 overstory drip points. If the overstory throughfall pattern is a major driver of intraspecific variability in P_{S} in this 342 study, then the funneling ratios computed from mean overstory throughfall (per Figure 3) would be incorrect (in Figure 343 6). In this case, funneling ratios (computed from the localized overstory throughfall above each plant) could be similar 344 across the monitored dogfennels. Testing this hypothesized relationship between dogfennel P_{S} patterns and overstory 345 throughfall patterns was not possible in the field, since sampling overstory throughfall would prevent P_S from being 346 generated by the plant. Future work to test this hypothesis could, however, make use of rainfall simulators.

347 The large diversion of rainwater and dew to their stem base may be partially responsible for dogfennel 348 survival during extended periods of drought (or improved invasion efficacy during droughts: Loveless, 1959; 349 Forthman, 1973), and may also explain why this species tends to be one of the most problematic in improved grazing 350 systems located in Florida (Sellers et al., 2009). Rainfall patterns in central and south Florida may also intersect with 351 dogfennel's canopy water balance to "tip the scales" in its favor. Specifically, rainfall in our study region is often 352 limited from January through May, with the bulk of rainfall occurring from June through October, and the water 353 storage capacity of burgeoning dogfennel plants during early spring may enhance chances of individual plant survival 354 (resulting in large infestations as referenced previously).

4.2. Overstory (woody) and understory (herbaceous) canopies may partition rainfall differently

356 The dominant understory plant at our study site, dogfennel, intercepted similar amounts of modelled overstory throughfall, interquartile range 11-59% storm⁻¹ (Table 2), as compared to the gross rainfall interception by their 357 overstory pine canopy, interquartile range 19-60% storm⁻¹ (Van Stan et al., 2017b). Similar rainwater interception 358 359 between dogfennel and the pine overstory may be due to dogfennel's maximum water storage capacity comparing 360 favorably to that of overstory tree species, 0.07-4.30 mm (Klamerus-Iwan et al., 2020). Even the maximum stem water 361 storage capacity is of similar magnitude to values reported by past work on woody plants, 0.2-5.9 mm (Klamerus-362 Iwan et al., 2020), albeit on the lower end of the range. Most current research on stem water storage has focused on 363 intrinsic factors of woody plant stems, like bark thickness, porosity, microrelief, or roughness (Ilek et al., 2017; Levia 364 and Herwitz, 2005; Levia and Wubbena, 2006; Sioma et al., 2018; Van Stan et al., 2016; Van Stan and Levia, 2010); however, other stem structures besides bark may be capable of storing substantial water: e.g., the desiccated leaves of 365 366 our study plant.

367 There were differences in how gross rainfall was redistributed by the overstory canopy compared to how 368 modelled overstory throughfall was redistributed by the dogfennel understory. Stemflow from the overstory, P. 369 *palustris*, was negligible at this site, 0.2% of gross rainfall (Yankine et al., 2017), but median dogfennel P_S was 7.6% of modelled overstory throughfall (with an interquartile range of 2.8-27.2%) (Table 2). Annual relative P_S (and P_T) 370 estimates from trees and herbaceous plants reported by previous work indicates that herbaceous plants are generally 371 372 greater stemflow producers than woody plants (Sadeghi et al., 2020). Although relative P_T beneath dogfennel was 373 similar to observations of relative overstory throughfall beneath P. palustris at this site (Mesta et al., 2017), throughfall 374 has been found to be generally lower beneath herbaceous plant canopies than for woody ones (Sadeghi et al., 2020). 375 This seems reasonable, because, if interception is similar between herbaceous plants and woody plants, then an 376 increase in relative stemflow would necessitate a decrease in relative throughfall. The results of this study support 377 statements by several past studies suggesting that plants in the understory and overstory interact differently with 378 rainfall. Thus, we repeat the long-standing calls for greater research on understory precipitation partitioning, 379 particularly stemflow, research (Price et al., 1997; Price and Watters, 1989; Verry and Timmons, 1977; Yarie, 1980).

380 4.3. A brief discussion on dew-generated throughfall and stemflow

381 For a few storms (n = 5), dew contributed significantly to P_T and P_S by the studied dogfennel plants. The median P_T 382 generated from dew beneath dogfennels at our site was 0.74 mm plant⁻¹ with an interquartile range of 0.47-0.99 mm 383 plant⁻¹, resulting in a total dew-related contribution to T of 17.1 mm over the study period. Volumes of stemflow under dewfall totaled 558 mL for all study plants, with individuals supplementing the dew-related P_T with up to 61 mL plant 384 385 ¹ (yielding an additional ~ 0.6 mm). Dew contributions to net precipitation below plant canopies have rarely been 386 studied. The earliest quantity for dew drainage was 0.08 mm from a single event on a single tree in Johanniskreuz, 387 Germany (Ney, 1893). Since then, to our knowledge, only one other study has examined dew-related drainage from 388 plants, focusing on stemflow from the herbaceous Ambrosia artemisiifolia (common ragweed) (Shure and Lewis, 1973). They estimated that the drainage of dew via P_S resulted in an additional input of 1.1 L month⁻¹ during the 389 390 growing season, and hypothesized that this process may "play a vital role in governing the density, diversity, and

- 391 distribution of plant species within field ecosystems" (Shure and Lewis, 1973). Dew drainage from plant canopies and
- down stems may, in addition to being a valuable water source, influence plant-soil interactions by transporting leached
- 393 or dry deposited materials to the soils-something also discussed by Shure and Lewis (1973). Globally, dew
- 394 contributes a small percentage to the annual precipitation (Baier, 1966), however, in semiarid and arid (Baier, 1966;
- Hao et al., 2012), as well as summer-dry climates (Tuller and Chilton, 1973), dew can form a significant water input.
- 396 It is reasonable to suppose, then, that in such ecologic settings as these any factor which doubles the frequency of
- 397 plant-moisture availability, even though the amounts be small, must materially affect the plant growing condition.
- 398 Therefore, further research is needed to assess dew (and mixed storms) drainage in arid and semiarid climates, with
- days on which dew occurs being \geq 70% per year (Hao et al., 2012). The global importance of occult precipitation and
- 400 resulting wet canopy conditions has recently been reviewed and described as a critical future research direction for
- 401 plant sciences (Dawson and Goldsmith, 2018). Given these scant but ecologically relevant findings, further research
- 402 on the influence of condensation events on plant-soil interactions via throughfall and stemflow may be merited.

403 **5.** Conclusions

Eupatorium capillifolium (Lam., dogfennel) in the understory of an urban forest fragment intercepted 20.4% of 404 modelled overstory throughfall from *Pinus palustris* (Mill.). The remaining 71.0% and 7.9% of modelled overstory 405 406 throughfall reached the surface beneath dogfennels as understory throughfall and stemflow, respectively. At the stand 407 scale, the partitioning of modelled overstory throughfall by this understory forb differs considerably from the rainfall 408 partitioning of the woody overstory, especially regarding stemflow (7.9% versus < 0.2%). During a few storms that 409 occurred in tandem with dewfall, dogfennels were able to augment stemflow (and throughfall) production through 410 capturing dew. These processes may help explain how dogfennels survive extended droughts, and even show improved 411 invasion efficacy during droughts, making it one of the most problematic weeds in southeastern US grazing systems. 412 Stemflow variability among individual plants was very high (CV ~250%), but no dogfennel canopy structures 413 measured in this study provided statistically significant insights into this stemflow variability. Future work will assess 414 to what extent actual overstory throughfall variability drives understory stemflow variability for plants, like dogfennel, 415 of similar intraspecific canopy structure. The inability to measure fine-scale overstory throughfall patterns without 416 disturbing understory rainfall partitioning in the field is a non-trivial limitation of this study—a limitation that future 417 work may overcome with rainfall simulations. Still, in forests, overstory throughfall is not the final frontier for 418 determining net rainfall, and investigations on how it is intercepted and redistributed by herbaceous plants is needed 419 to improve our understanding of exactly how much (and in what pattern) rainfall reaches the surface. For other 420 vegetated ecosystems where herbaceous plants are the overstory (grasslands and croplands), precipitation partitioning 421 research is also needed.

422 Acknowledgements

DARG acknowledges support from the US Dept of Education Ronald E. McNair Program and AMJC acknowledges
 support from NWO Earth and Life Sciences (ALW), veni-project 863.12.022.

425 Code/Data availability

426 Data is permanently archived at <u>https://digitalcommons.georgiasouthern.edu/ and freely available</u>.

427 Author contribution

DARG conceived and designed the study in consultation with JTVS and AMJCG. DARG designed field collection devices in consultation with JTVS and AMJCG, then deployed devices, collected data, performed the data analysis, and drafted the initial manuscript with input from all authors. BAS contributed expertise regarding relevant rangeand pastureland topics, assisting with data analysis/interpretation. SMMS performed a literature synthesis for discussions comparing herbaceous and woody plants' rainfall partitioning and used this synthesis to assist in manuscript writing. JTVS was the principal undergraduate research supervisor for DARG. All authors contributed to manuscript revisions.

435 **Competing interests**

436 The authors have no competing interests.

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Table 1: Descriptive event statistics for rainfall (observed), overstory throughfall (estimated per Figure N) and measured individual plant traits. When minimum overstory throughfall was zero, dew occurred – as verified by air temperatures equalling dew point temperatures. 602

Parameter (units)	Mean	Median	$\pm SD$	Min.	Max.				
Rainfall (mm)	16.5	6.6	25.8	0.1	101.3				
Overstory throughfall (mm)	11.0	3.5	18.7	0.0	72.2				
Canopy radius (cm)	18.3	18.4	4.5	12.2	26.2				
Stem radius (cm)	0.5	0.6	0.1	0.3	0.7				
Canopy:stem radii	36.3	36.1	7.4	24.1	50.0				
Leaf angle at the stem (degrees from vertical)									
1.00 m height	54.0	54.0	2.0	50.5	59.0				
1.25 m height	45.9	46.5	3.1	40.5	50.5				
1.50 m height	39.6	39.5	1.8	36.0	43.0				
1.75 m height	34.0	34.5	2.3	30.0	39.0				
2.00 m height	31.9	32.0	2.8	25.0	36.5				

605 **Table 2:** Descriptive statistics of relative throughfall (P_T) and stemflow (P_S) yield from dogfennel plants expressed 606 as a proportion of gross rainfall (P_g) and estimated overstory throughfall ($P_{T,o}$). Coefficients of variation (CV) and

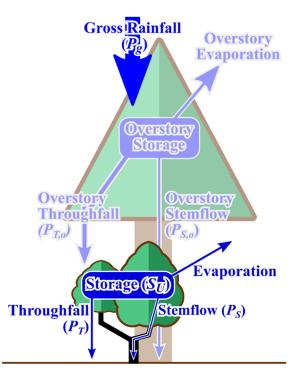
 $r_{r,o}$ as a proportion of gross rannah (r_g) and estimated overstory unoughnan ($r_{r,o}$). Coefficients of variation (C V) and quartile variation (CQV) are also provided. For storms where dew occurred in the understory, dew was not measured

by above-canopy P_g gauges, but was included in the estimated $P_{T,o}$ estimate by assuming dew represented at least additional 1.33 mm (i.e., S_u).

610

Parameter	Mean (SD)	Median	Q1	Q3	Max	CV	CQV
Rain storms							
$P_{T}:P_{g}(\%)$	43.6 (15.2)	44.9	34.3	52.4	101.7	34.9	20.9
$P_{S}:P_{g}(\%)$	18.8 (47.3)	4.1	1.7	13.8	434.3	251.6	78.1
$P_{T}:P_{T,o}$ (%)	76.6 (29.3)	72.0	58.5	91.1	190.6	38.3	21.8
$P_{S}:P_{T,o}$ (%)	36.8 (93.5)	7.6	2.8	27.2	900.3	254.1	81.3
Mixed storms*							
$P_{T}:P_{g}(\%)$	70.3 (43.7)	58.0	39.5	102.9	149.4	62.2	44.5
$P_{S}:P_{g}(\%)$	32.7 (45.2)	14.7	5.2	39.7	198.0	138.2	76.8
$P_{T}:P_{T,o}$ (%)	72.0 (30.2)	69.1	53.2	86.9	191.6	41.9	24.1
$P_{S}:P_{T,o}$ (%)	33.4 (86.2)	8.1	3.0	24.3	900.3	257.4	78.0

*Storms with occult precipitation.



- 613 **Figure 1:** Partitioning of gross rainfall by the overstory (light blue) and by the understory (dark blue). Overstory
- 614 through fall $(P_{T,o})$, the input to the understory canopy, was estimated from past work at the site (see supplemental
- 615 materials). In this study, overstory throughfall was modelled ($P'_{T,o}$ per Methods Section 2.2.2.) and maximum
- 616 understory water storage capacity (S_U) , throughfall (P_T) , and stemflow (P_S) were measured.

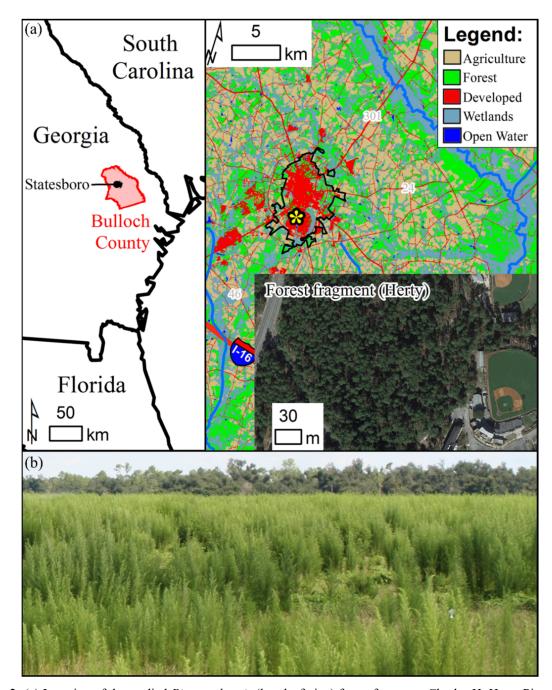


Figure 2: (a) Location of the studied *Pinus palustris* (longleaf pine) forest fragment, Charles H. Herty Pines Nature
Preserve, on the Statesboro, Georgia (USA) campus of Georgia Southern University, where *Eupatorium capillifolium*(dogfennel) is a dominant understory plant. (b) Dogfennel can dominate pastures as well, as shown by the photograph
(credit: Brent A. Sellers). Map layer sources: State and county boundaries, and aerial imagery ©ESRI, TomTom North

- 622 America, Inc. The land use layer was derived from the National Land Cover Database 2011 (full metadata and data
- 623 access link: https://gdg.sc.egov.usda.gov/Catalog/ProductDescription/NLCD.html).

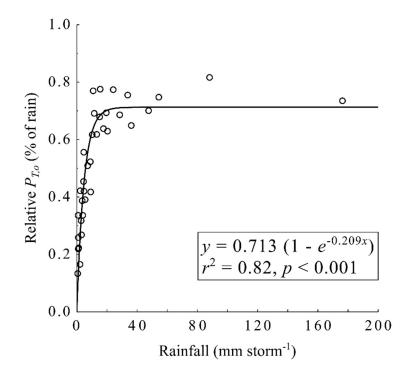


Figure 3: Observed relative overstory throughfall $(P_{T,o})$ in relation to above-canopy rainfall at the study site.



627 Figure 4: Water storage capacity (standard error) for the (left) canopy and (right) stem of *Eupatorium capillifolium*

628 (dogfennel) per lab-based submersion tests on samples collected from the Herty Pines understory.

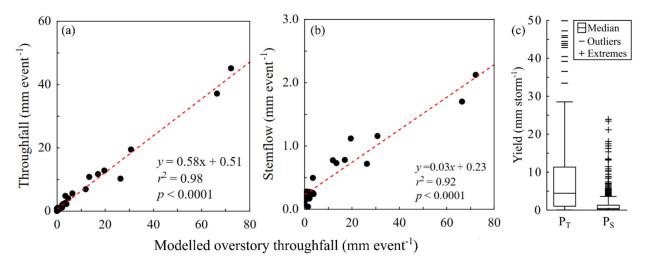
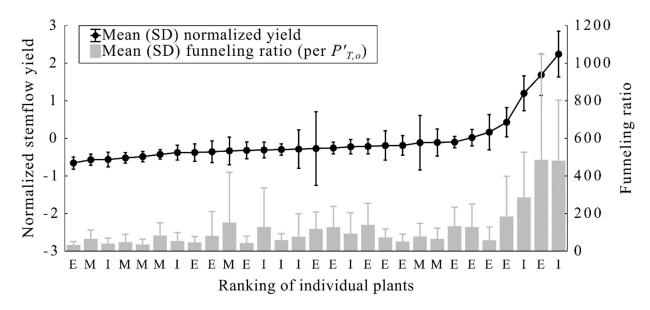


Figure 5: Scatter plots showing the response of *Eupatorium capillifolium* (dogfennel) (a) throughfall (P_T) and (b) stemflow (P_S) yields across all rainfall events (without occult precipitation). (c) Boxplot showing yields from individual P_T gauges and plants' P_S (Line and box: median and interquartile range; whiskers: non-outlier range; other symbols represent outliers and extreme values).



635 **Figure 6:** Mean and standard deviation (SD) of normalized stemflow yield plant⁻¹ and associated funneling ratio per

636 Herwitz (1986) and using modelled overstory throughfall $(P'_{T,o})$ in order of rank per mean normalized stemflow yield.

637 Plant locations within clusters are indicated (E = external, M = middle, between the interior and exterior, and I = 638 interior).