

# Rainfall interception and redistribution by a common North American understory and pasture forb, *Eupatorium capillifolium* (Lam. dogfennel)

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**Abstract.** In vegetated landscapes, rain must pass through plant canopies and litter to enter soils. As a result, some rainwater is returned to the atmosphere (i.e., interception,  $I$ ) and the remainder is partitioned into a canopy (and gap) drip flux (i.e., throughfall) or drained down the stem (i.e., stemflow). Current theoretical and numerical modelling frameworks for this process are near-exclusively based on data from woody overstory plants. However, herbaceous plants often populate the understory and are the primary cover for important ecosystems (e.g., grasslands and croplands). This study investigates how overstory throughfall ( $P_{T,o}$ ) is partitioned into understory  $I$ , throughfall ( $P_T$ ) and stemflow ( $P_S$ ) by a dominant forb in disturbed urban forests (as well as grass- and pasturelands), *Eupatorium capillifolium* (Lam., dogfennel). Dogfennel density at the site was 56,770 stems ha<sup>-1</sup>, enabling water storage capacities for leaves and stems of 0.90±0.04 mm and 0.43±0.02 mm, respectively. Total  $P_T:P_{T,o}$  was 71% (median  $P_T:P_{T,o}$  per gauge was 72% (59-91% interquartile range)).  $P_S$  data were highly skewed, where mean  $P_S:P_{T,o}$  per plant was 36.8%, but the median was 7.6% (2.8%-27.2% interquartile range) and total over the study period was 7.9%.  $P_S$  variability ( $n = 30$  plants) was high ( $CV > 200\%$ ) and may hypothetically be explained by fine-scale spatiotemporal patterns in  $P_{T,o}$  (since no plant structural factors explained the variability). Occult precipitation (Mixed dew/light rain events) occurred during the study period, revealing that dogfennel can capture and drain dew to their stem base as  $P_S$ . Dew-induced  $P_S$  may help explain dogfennel's improved invasion efficacy during droughts (as it tends to be one of the most problematic weeds in the southeastern US's improved grazing systems). Overall, dogfennel's precipitation/rainfall partitioning differed markedly from the site's overstory trees (*Pinus palustris*), and a synthesis of current discussion of the limited literature suggests that these differences may exist across vegetated ecosystems. Thus, more research on herbaceous plant canopy interactions with precipitation is merited.

Key words: Rain, throughfall, stemflow, canopy water storage, stem water storage, evaporation.

## 34 1. Introduction

35 Precipitation ( $P_g$ ) across most of the global land surface will interact with plant canopies. Precipitation-canopy  
36 interactions during storms result in three general hydrologic processes; one which returns water to the atmosphere  
37 (interception) and two others that route water to the surface (throughfall and stemflow). Interception is the evaporation  
38 of droplets splashing against (Dunkerley, 2009), or stored on, canopy surfaces, like leaves (Pereira et al., 2016), bark  
39 (Van Stan et al., 2017a, and epiphytes (Porada et al., 2018). Depending on the vegetation and storm conditions,  
40 interception can be small per unit area (David et al., 2006) or return half the annual precipitation to the atmosphere  
41 (Alavi et al., 2001). In this way, canopy interception can evaporatively cool regions (Davies-Barnard et al., 2014),  
42 recycle moisture to generate nearby storms (Van der Ent et al., 2014), and reduce stormwater runoff to save millions  
43 of dollars (US) in stormwater infrastructure costs (Nowak et al., 2020+9). Throughfall is the water that drips to the  
44 surface through gaps or from canopy surfaces, while stemflow is the water that drains down plant stems. The portion  
45 of precipitation that drains as throughfall versus stemflow is also highly variable depending on vegetation and storm  
46 conditions: ranging annually from 10-90% for throughfall and <1-60% for stemflow (Sadeghi et al., 2020+9). Since  
47 throughfall and stemflow reach the surface at different locations, they differentially interact with subsurface  
48 hydrological and biogeochemical processes—having been implicated in fine-scale patterns in soil physicochemistry  
49 (Gersper and Holowaychuk, 1971), microbial community composition (Rosier et al., 2015; 2016), N-cycling  
50 functional genes (Moore et al., 2016), and metazoan community composition (Ptatscheck et al., 2018). Accurate  
51 accounting for each of these precipitation partitioning fluxes is, therefore, necessary for the accurate prediction of  
52 atmospheric and surface hydro-biogeochemical processes.

53 Current theoretical and numerical modeling frameworks for canopy precipitation partitioning (see review by  
54 Muzylo et al. (2009)), are almost exclusively based on observations beneath woody plants, like forests and shrublands  
55 (Sadeghi et al., 2020+9). In forests, the past 150 years of research has primarily targeted dominant overstory trees  
56 (Ebermayer, 1873; Van Stan and Gordon, 2018). However, herbaceous plants commonly dominate forest understories  
57 and can be abundant beneath shrublands ([Jiménez-Rodríguez et al., 2020](#); Lajtha and Schlesinger, 1986; Specht and  
58 Moll, 1983). As a result, our current understanding of “net” precipitation (as measured beneath woody overstory  
59 canopies) is not representative of the actual precipitation that reaches the surface (or litter layer: Gerrits and Savenije,  
60 2011) beneath the understory. Herbaceous canopies are relevant to precipitation partitioning in more than the one-  
61 third of the global land surface represented by forests; they also cover 27% and 11% of the global land surface in  
62 grasslands and croplands, respectively (Alexandratos and Bruinsma, 2012; Suttie et al., 2005). It is unlikely that  
63 current knowledge on precipitation partitioning based on woody vegetation is applicable to herbaceous vegetation,  
64 since they differ in many hydrologically-relevant morphological features: smaller height, the lack of bark structure,  
65 and presence of other stem features (like trichome hairs or desiccated leaves), etc. This raises unanswered and little-  
66 researched, questions that must be addressed to include herbaceous plants in precipitation partitioning theory, e.g.:  
67 How do these significant morphological differences affect canopy and stem water storage capacities? Do herbaceous  
68 plants also favor throughfall generation, like woody plants, or do they more efficiently drain precipitation to their stem  
69 bases (and, thereafter, their shallow roots)? In fact, several long-standing (and hitherto unanswered) calls for greater  
70 research on the precipitation partitioning of non-woody plants (rooted in detailed observations) have been made (Price

71 et al., 1997; Price and Watters, 1989; Verry and Timmons, 1977; Yarie, 1980). These are general questions identified  
72 by the community; but, in this study we focus on: How is overstory throughfall ( $P_{T,o}$ : Figure 1) partitioned into  
73 understory interception, throughfall ( $P_T$ : Figure 1) and stemflow ( $P_S$ : Figure 1) by a dominant forb in disturbed urban  
74 forest understories (as well as grass- and pasturelands), *Eupatorium capillifolium* (Lam., dogfennel)?

75 Very little is known about how understory plants partition  $P_{T,o}$  into understory  $P_T$  and  $P_S$  (Figure 1). Overstory  
76 stemflow is currently assumed to bypass the understory and litter layers (Carlyle-Moses et al., 2018); however, this  
77 assumption, particularly regarding the bypass of litter, has rarely been tested (Friesen, 2020+9) and overstory stemflow  
78 has been observed to runoff for long distances away from the stem (Cattan et al., 2009; Keen et al., 2010). We do not  
79 investigate interactions between the understory and overstory stemflow in this study, because stemflow from this study  
80 site is negligible (<0.2%: Yankine et al., 2017). Most observations of precipitation partitioning beneath any plant  
81 besides overstory woody plants have been done on maize (Zheng et al. (2019) and references therein) and other cash  
82 crops (Drastig et al. (2019) and references therein), which leave plants of forest understories, grasslands or  
83 pasturelands relatively unresearched. Even the few studies on forest understory interception,  $P_T$ , and  $P_S$   
84 overwhelmingly focus, again, on woody plants (González-Martínez et al., 2017; Price and Watters, 1989), limiting  
85 net precipitation observations beneath understory herbaceous plants to ferns (Verry and Timmons, 1977) and  
86 nonvascular plants (Price et al., 1997). These scant observations, however, indicate that precipitation partitioning by  
87 non-woody understory plants is hydrologically relevant, as they can store as much water as woody plants (Klamerus-  
88 Iwan et al., 2020+9), evaporate significant portions of  $P_{T,o}$  (Coenders-Gerrits et al., 2020+9) and redistribute 7-90%  
89 of event  $P_{T,o}$  as  $P_S$  (Sadeghi et al., 2020+9). For our study on dogfennel, we hypothesized that, compared to past  
90 research on woody plants, dogfennel stems and leaves (i) can store a hydrologically relevant amount of rainwater (i.e.,  
91 within the range of water storage capacities reported for woody plants: (Klamerus-Iwan et al., 2020+9), (ii)  
92 significantly reduce net rainfall flux to the surface (i.e.,  $P_T + P_S \ll P_{T,o}$ ), and (iii) redistribute a substantial portion of  
93  $P_{T,o}$  to the surface via  $P_S$  (i.e.,  $P_S$  will often “funnel” more rainwater per storm to the soils surrounding stems than  $P_T$ ,  
94  $P_{T,o}$  or  $P_g$  over the same area).

## 95 2. Materials and methods

### 96 2.1. Study site and study plant description

97 The study site, Herty Pines, is a forest fragment in Statesboro, Georgia, USA (Figure 2a), at Georgia Southern  
98 University’s main campus (32.430 N, -81.784 W, 65 m A.S.L.). Climate is subtropical (Köppen *Cfa*) where mean  
99 monthly temperatures (1925-2014) for July range from 21-33°C and winter months are generally mild, i.e., the lowest  
100 mean January temperature is 3.5°C (University of Georgia, 2019). Mean annual precipitation is 1,170 mm  $y^{-1}$  and  
101 precipitation occurs almost exclusively as rain, relatively evenly spread over the year. The overstory is dominated by  
102 *Pinus palustris* (longleaf pine) and overstory rainfall partitioning for this site has been reported (Mesta et al., 2017;  
103 Van Stan et al., 2018; Yankine et al., 2017). Trunk diameter at breast height (DBH) was relatively consistent across  
104 all trees in the study plot, 49.7 cm (mean) with an interquartile range of 36.2-55.7 cm. Mean tree height was 30.4±4.5  
105 m and was derived from terrestrial lidar (terrestrial lidar methods identical to Van Stan et al., 2017a). Stand density

106 was 223 trees ha<sup>-1</sup> with 12-650.4 m<sup>2</sup> ha<sup>-1</sup> of basal area. Dogfennel, our study plant, was particularly dominant along  
107 the forest edge. Dogfennel is a forb of the Asteraceae family, native to (and widespread across) North America (Van  
108 Deelen, 1991; Wunderlin and Hansen, 2003). Although dogfennel behaves as an annual plant throughout much of its  
109 North American range, it can behave as a perennial in the southern US by overwintering as a rosette, typically from  
110 January to March, before re-growing from a taproot in the spring, typically in April (Macdonald et al., 1994;  
111 Macdonald et al., 1992). Dogfennel can be abundant in disturbed forest understories, particularly pine forests  
112 (Brockway et al., 1998) and pastures (Figure 2b). In the study pine forest, dogfennel stem density was 56,770 stems  
113 ha<sup>-1</sup> along the stand edge. In pasturelands, dogfennel can reach this stem density within a single season and, if left  
114 unmanaged, dogfennel densities have been measured as high as 74 stems m<sup>-2</sup>, or ~740,000 stems ha<sup>-1</sup> (Dias et al.,  
115 2018). The growth habit of dogfennel results in “clumps” of stems. Dogfennel density was estimated in ten 10x10 m  
116 plots by counting the stems clump<sup>-1</sup> for 3 randomly-selected clumps in each plot. For each plot, the mean stems clump<sup>-1</sup>  
117 were multiplied by the number of clumps plot<sup>-1</sup>. Finally, all stems plot<sup>-1</sup> were summed and scaled to 1 ha. Three  
118 dogfennel clumps were randomly selected for throughfall and stemflow monitoring. Within these three clumps, 30  
119 individual dogfennel stems were randomly selected for stemflow monitoring. Individual plant attributes—canopy  
120 radius [cm], stem radius [cm], leaf angle at the stem [degrees from vertical] at various canopy heights (1.00, 1.25,  
121 1.50, 1.75, 2.00 m), and relative location within the clump, interior (I), middle (M), or exterior (E)—were measured  
122 for each stemflow-instrumented plant (Table 1). Canopy and stem radii were determined manually with a tape  
123 measure, where canopy radii were the mean of measurements from eight directions (N, NE, E, SE, S, SW, W, and  
124 NW) and stem radius was determined by a single manual measurement at the stem base. Leaf angle at the stem was  
125 determined for two leaves at each height using the iProtactor App for iPhone (2013, Phoenix Solutions) which logs  
126 an angle after the levelling of the iPhone camera (see Figure S1 for example).

## 127 2.2. Hydrometeorological monitoring

128 Rainfall amount, duration and intensity for discrete rain events were automatically logged every 5 min by a weather  
129 station installed above the canopy (on the rooftop of nearby Brannen Hall at ~40 m height), which is located 100 m  
130 from Herty Pines. Rainfall observations were recorded by three tipping bucket gauges (TE-525MM, Texas  
131 Electronics, Dallas, TX, USA) interfaced with a CR1000 datalogger (Campbell Scientific, Logan, Utah, USA). This  
132 weather station logged a suite of other meteorological variables; however, since these data do not represent the  
133 meteorological conditions experienced by the understory, they are not reported or examined here. As observing  $P_{T,o}$   
134 directly would prevent direct observation of  $P_T$  and  $P_S$  beneath dogfennel plants,  $P_{T,o}$  was estimated from previous  
135 field measurements at the site (Figure S2). We assume that the past observed rainfall relationship with  $P_{T,o}$  at the site  
136 was similar during our study period. Although we are unable to assess whether and to what degree there is a difference  
137 between these observation periods, the canopy is mature and there has been no known/noticeable disturbance or  
138 change in canopy structure since the previous observation period. A discrete event was defined as any atmospheric  
139 moisture (rainfall or dew) that resulted in a measurable quantity of throughfall and stemflow (more than a few mL)  
140 that occurred after a minimum interstorm dry period of 8 h. Few events consisted of early morning dew contributions  
141 (visually observed during sampling and as-verified by air temperatures equalling dew point temperatures), and these

142 occurred after low-magnitude nighttime rainfall. When dew was present in the understory, there was no response from  
143 above-canopy rain gauges; thus, a post-hoc estimate of occult dew contribution to  $P_{T_o}$  was made by assuming the dew  
144 contribution was equal to the understory canopy water storage capacity (1.33 mm – methods described later). An  
145 important limitation to this dew estimate is that it represents the maximum possible dew contribution. Rain events  
146 without dewfall required at least ~4 mm of rainfall for generation of  $P_T$  or  $P_S$  from the monitored dogfennel canopies.

147 Throughfall gauges consisted of 9 randomly placed funnels (506.7 cm<sup>2</sup> collection area each), three per  
148 dogfennel clump (1,520.1 cm<sup>2</sup> total collection area per clump), connected to HDPE bottles that were manually  
149 measured with graduated cylinders immediately after a storm ended (within 4 h). The total canopy area of dogfennel  
150 plants at this site rarely exceed 2,000 cm<sup>2</sup>, resulting that the total throughfall gauge area per clump generally  
151 represented >75% of canopy area; which is a comparatively much larger gauge-to-canopy area than most past  
152 throughfall studies on forest canopies (Van Stan et al., 2019).

153 Standard stemflow measurement methods developed for woody plants (use of flexible tubing wrapped around  
154 a woody stem: Sadeghi et al., 2019) are not suitable for dogfennel; moreover, no standard stemflow collection  
155 devices exist for herbaceous plants. Thus, stemflow collars were constructed from aluminum foil, 15-mm inner-  
156 diameter flexible polyethylene tubing, electrical tape, and silicon (see Figure S3). Aluminum foil was folded over  
157 itself several times to strengthen the collar (typically ~160 mm length of foil was folded to ~40 mm) and connected  
158 to plastic tubing with stainless steel staples. The aluminum collar was then folded around the lower stem of the dog  
159 fennel and secured with electrical tape. To seal the aluminum foil, staple connections, and the interstices between the  
160 foil, tubing and stem, silicon was thinned with hydrotreated light (95-100%) naphtha (VM&P Naphtha, Klean-Strip,  
161 Memphis TN USA), allowing for it to completely fill the aluminum cone up to the tube opening and make a water-  
162 tight seal. While naphtha-thinned silicon was poured into collars, the tube opening was covered. An additional benefit  
163 of naphtha-thinned silicon was that, due to the evaporation of naphtha, the silicon shrinks, thereby, pulling the collar  
164 taut and stiffening/strengthening the stemflow collection device and extending the lifespan of the collar. Stemflow  
165 was measured with a graduated pipette (with 1 mL graduations) from 500 mL plastic bottles connected to the tubing  
166 base.

### 167 2.3. Water storage capacity estimation

168 Maximum water storage capacity ( $S_u$  [mm]) was estimated for the dogfennel canopy and stem, both as volume [L] per  
169 unit surface area [m<sup>2</sup>]. All field leaf and stem samples were collected during an inter-storm dry period (>24 h after  
170 any rainfall). For the canopy, 50 leaves representing the median size of the site dogfennel plants were sampled (broken-  
171 off at the base of the leaf), taken back to the lab, their “field-dry” mass [g] determined on a bench scale, and then the  
172 broken end of their leaf-stems were sealed with silicon to prevent water exchange from an area that was not previously  
173 exposed in its natural state. Sampling for the stems was similar; however, since dogfennel heights reach (and can  
174 exceed) 2 m, the stems were cut into 5 cm sections. Just as with the leaves, 50 representative samples of these stem  
175 sections were weighed in the lab, then sealed with silicon on both ends. Next, all leaf samples and stem sections were  
176 submerged in water for three days until achieving maximum saturation (per Van Stan et al., 2015), whereupon the  
177 maximum saturation mass [g] was recorded. For comparison with the field-dry mass, all samples were oven-dried

178 until their mass no longer changed (mass recorded every 3 h), whereupon the oven-dried mass [g] was recorded. No  
179 leaf or stem samples were oven dried longer than 15 h. The gravity convection oven (Isotemp, Fisher Scientific) was  
180 set to 40 °C (confirmed with a standard thermometer). The maximum volume of all samples' water storage capacity  
181 is the difference between saturation and oven-dried mass. The oven-dried leaves and stems did not visually appear to  
182 be damaged (aside from the sampling cuts, obviously) and care was taken to ensure the plant samples were not  
183 damaged. It is likely that internal (not externally intercepted) water was exchanged during this process; however, this  
184 is not entirely problematic as plant surfaces are known to permit interaction between externally intercepted water and  
185 internal water (Berry et al., 2019). Moreover, we explicitly acknowledge ~~note~~ that these methods produce the  
186 “maximum” possible water storage capacity. (hence, our objective to estimate maximum water storage capacity), as  
187 multiple intrinsic and extrinsic factors of plant surfaces could reduce the available water storage capacity in situ  
188 (Klamerus-Iwan et al., 2020).

189 Specific water storage capacity [mm] for the leaves and stems was determined by dividing the lab-derived  
190 maximum volume [mL] by the samples' surface area [cm<sup>2</sup>] ~~and corrected per cover fraction.~~ For leaves, after sampling,  
191 levelled photos of each sample were taken on a grid system (every block representing 2.5 cm x 2.5 cm for scale), then  
192 the leaf images were vectorized and processed for 2-D projected surface area using the “Measure Path” extension in  
193 Inkscape (v. 0.92, Inkscape.org). An example vectorized image of leaf area is provided in the supplemental materials  
194 (Figure S4). Error in this vector-based leaf surface area estimate was estimated by repeating the process five times for  
195 each leaf. Stem surface area for all samples was estimated from their radii and height. Specific water storage capacity  
196 estimates for the stem (0.436 mm) and ~~canopy~~ leaves (0.195 mm) were then scaled to  $S_u$  [mm as L m<sup>-2</sup>] using stem and  
197 leaf surface area estimates per plant (171.9 cm<sup>2</sup> plant<sup>-1</sup> and 807.5 cm<sup>2</sup> plant<sup>-1</sup>, respectively), and multiplied by the site  
198 plant density (5.68 plants m<sup>-2</sup>) site leaf area and stem area indices and divided by 1000. Plant stem and leaf surface  
199 area estimates were determined from 5 representative plants that were cut from the site and separated into leaves and  
200 stems, then the sum of leaf and stem areas (determined as mentioned earlier in the paragraph) were divided by 5. Total  
201 leaf surface area compares well to values reported from ~1 m tall dogfennel plants, 212 cm<sup>2</sup> plant<sup>-1</sup> (Carlisle et al.,  
202 1980), considering our plants were much taller (~2 m).

## 203 2.4. Data analysis

204 Descriptive statistics were compiled for all variables presented and regression analyses were performed to relate plant  
205 canopy and hydrologic variables. All statistical analyses were done using Statistica 12 (StatSoft, Tulsa, OK, USA).  
206 Throughfall volumes [L] from all gauges were summed and converted to yields [mm] by dividing by the total gauge  
207 area [m<sup>2</sup>]. Stemflow yield [mm] for an individual plant was determined by dividing its volume [L] by the projected  
208 canopy area [m<sup>2</sup>]. To compare stemflow production across plants, two metrics were computed per plant for each storm:  
209 normalized stemflow ( $\bar{P}_{S,i}$  [-]) and the funneling ratio ( $F$  [-]).  $\bar{P}_{S,i}$  was computed per Keim et al. (2005):

$$210 (1) \bar{P}_{S,i} = \frac{(P_{S,i} - \bar{P}_S)}{s_S}$$

211 where  $P_{S,i}$  is stemflow volume [mL] from each individual plant in a single storm,  $\bar{P}_S$  is the mean stemflow for all plants  
212 in a single storm, and  $s_S$  is the standard deviation of stemflow for all plants in a single storm.  $F$  for individual plants  
213 in each storm were computed per (Herwitz, 1986):

$$214 \quad (2) F = \frac{P_{S,i}}{B_i P}$$

215 where  $B_i$  is the basal area [cm<sup>2</sup>] at the base of an individual plant and  $P$  will be either  $P_g$  or  $P_{T,o}$  (this will be explicitly  
216 indicated in the results). There are an increasing number of  $F$  metrics (Carlyle-Moses et al., 2018; Levia and Germer,  
217 2015); however, the selected method is the most common  $F$  metric applied to stemflow data to date. Moreover, in situ  
218 observations of non-collared dogfennel plants during rainfall confirmed that dogfennel  $P_S$  rates did not produce visible  
219 runoff areas.

## 220 **3. Results**

### 221 **3.1. Storm and plant structural conditions**

222 Discrete rain events, as measured above the forest canopy, ranged in magnitude from 0.1 mm (during dewfall) to 101.3  
223 mm (Table 1). The distribution of storm magnitudes was skewed, such that the mean, 16.5 mm, was many times  
224 greater than the median, 6.6 mm (Table 1). Estimated overstory throughfall ( $P_{T,o}$ ) ranged from 0 (again, during dewfall)  
225 to 72.2 mm, with a median of 3.5 mm (Table 1). Thirty of the plants in the selected dogfennel clusters - those being  
226 monitored for stemflow - had an average canopy radius of 18.3 cm ( $\pm 4.5$  cm standard deviation), which was nearly  
227 identical to the median canopy radius (Table 1). The stem radii of all measured dogfennel plants ranged from 0.1 - 0.7  
228 cm, with a mean radius of 0.6 cm (Table 1). The resulting ratio of canopy:stem radii was also normally distributed,  
229 with a mean and median of  $\sim 36$  (dimensionless), but ranging from 24 to 50 (Table 1). For all plants, the mean leaf  
230 angle decreased from 54° to 32° from vertical with increasing canopy height; i.e., the higher in the dogfennel canopy,  
231 the closer the leaf angle approaches vertical (Table 1). This trend appears consistent across each individual study plant  
232 regardless of which clump the plants' resided, as the standard deviation across all elevations are low, 1.8-3.1° from  
233 vertical, and do not overlap (Table 1).

### 234 **3.2. Partitioning into water storage, throughfall and stemflow**

235 The sum of data from all storms throughout the study period resulted in  $P_T$ ,  $P_S$  and  $I$  of 71%, 8%, and 21% as a portion  
236 of  $P_{T,o}$ , respectively, beneath dogfennels at our site. Water storage capacity achieved by dogfennel leaves in the lab  
237 was  $0.90 \pm 0.04$  mm, while dogfennel stems stored a capacity of  $0.43 \pm 0.02$  mm (Figure 3). This resulted in the total  
238  $S_U$  of dogfennel plants in the understory of this study site being approximately 1.3 mm. This  $S_U$  estimate agrees with  
239 the reductions of  $P_{T,o}$  observed below dogfennels: for example, mean  $P_T:P_{T,o}$  was 76.6% for rain-only storms (Table  
240 2), or a mean yield of  $P_T = 12.9$  mm which exceeds a 1.3 mm reduction (due to  $S_U$  and evaporation) in the estimated  
241 mean  $P_{T,o}$  yield, 16.5 mm (from Table 1). A large portion of the rainwater captured on dogfennel canopies was able  
242 to overcome stem water storage capacity and generate  $P_S$ . Dogfennel  $P_S$  data were highly skewed, producing a mean  
243 relative  $P_S$  ( $P_S:P_{T,o}$ ) of 36.8%, but a median of 7.6% within a narrow interquartile range, 2.8%-27.2% (Table 2). For

244 ~~mixed storms (rain + dew) events including occult precipitation~~, both maximum  $P_S:P_{T,o}$  and  $P_T:P_{T,o}$  exceeded 100%:  
245  $P_T:P_{T,o}$  during mixed storms maximized at 192%; whereas, the maximum for  $P_S:P_{T,o}$  was just over 900% (Table 2).  
246 Note that dew in the understory was not measured by the above-canopy rainfall gauges and estimated  $P_{T,o}$  was only  
247 increased by an assumed maximum dew contribution equal to  $S_U$  (1.33 mm), thus dew accumulation allows  $P_T$  and  $P_S$   
248 to exceed 100% of  $P_g$  and  $P_{T,o}$  (Table 2). When compared to rainfall above the overstory ( $P_g$ ), the medians are much  
249 smaller:  $P_T:P_g$  being 45% and 58% for rain-only storms and mixed storms, respectively, and  $P_S:P_g$  being 4.1% and  
250 14.7%, respectively (Table 2).

251 Yield [mm-per-catchment-area] were estimated for dogfennel  $P_T$  and  $P_S$  across storms, and both event-level  
252  $P_T$  and  $P_S$  yields linearly correlated with estimated event-level  $P_{T,o}$  (Figure 4a-b). Since, for  $P_T$ , the catchment area  
253 (canopy area above the gauge) is equal to the input area (soil area below the gauge),  $P_T$  yield from the canopy and  $P_T$   
254 supply to the surface are equal and the term “yield” will be applied for both. Median  $P_T$  yield beneath dogfennel for  
255 the measured storms was 4.4 mm with an interquartile range of 1.1 mm to 11.3 mm (Figure 4c). Maximum  $P_T$  yield  
256 approached 50 mm during a large-magnitude rain storm (where  $P_g = 101.3$  mm). Since the canopy area that generates  
257 stemflow is many times greater than the surface area around plant stems that receive stemflow (see Table 1),  $P_S$  yield  
258 and  $F$  will differ.  $F$  are typically used to represent  $P_S$  supply to soils, and is done so in the proceeding section. Yields  
259 of  $P_S$  from dogfennel were as high as 24 mm, but the median was 0.4 mm and the interquartile range was narrow, 0.1-  
260 1.3 mm (Figure 4c).

### 261 3.3. Stemflow and throughfall variability

262 Coefficients of variability (CV) and quartile variability (CQV) were computed for both  $P_S$  and  $P_T$ , relative to  $P_g$  and  
263  $P_{T,o}$  (Table 2), and storm-normalized temporal stability plots were generated for  $P_S$  yield only (Figure 5). Storm-  
264 normalized temporal stability plots were not generated for  $P_T$  yields because the experimental design accounts for its  
265 spatial variability through deployment of large gauge areas (compared to dogfennel canopy area); which permit  
266 estimates of variability across a few large-area gauges (Table 2), but limits the observable variability. CV and CQV  
267 for relative  $P_T$  ranged from 22-90% and were generally lower for rain-only storms, <40%, than for mixed storms,  
268 >60% (Table 2). Variability in relative  $P_S$  across study plants, ranging from 77-257%, was always greater than  
269 observed for relative  $P_T$  for the monitored storms (Table 2). Due to the greater skew in the relative  $P_S$  data compared  
270 to relative  $P_T$ , CV was many times greater than CQV for relative  $P_S$  (Table 2). CV and CQV for  $P_S:P_{T,o}$  was similar  
271 for rain and the mixed storms; however, the CV for  $P_S:P_g$  was greater for rain-only storms compared to mixed storms.

272 Temporal stability of normalized stemflow,  $\bar{P}_{S,i}$  (Figure 5a) indicates that there were only a few plants that  
273 captured most of the  $P_{T,o}$  drained as stemflow (three plants' mean  $\bar{P}_{S,i} \gg 1$ ). Thus, most of the studied dogfennel  
274 plants captured similar amounts of  $P_{T,o}$  as stemflow—having  $\bar{P}_{S,i}$  between -1 and 1 ( $y = 0$  represents the central  
275 tendency of  $\bar{P}_{S,i}$  data). Funneling ratios ( $F$  based on  $P_{T,o}$ ) show that all plants concentrated  $P_S$  yields to the surface  
276 around their stem bases (Figure 5a). Mean  $F$  across all plants was 87, and for the 27 plants whose mean  $\bar{P}_{S,i}$  fell  
277 between -1 and 1, median  $F$  ranged 18-200 (Figure 5a). However, for the three plants with the highest  $\bar{P}_{S,i}$ , their mean  
278  $F$  values were 287, 476 and 484 (Figure 5a). These voluminous stemflow-generating plants, alone, account for one-  
279 third of total  $P_S$  volume (8,734 mL / 27,870 mL). To evaluate possible canopy structural influences over  $P_S$  variability,



280 various directly-measured structural metrics were compared: radii of canopies and stems (Figure 5b) and the vertical  
281 variability in leaf angle (see supplemental Figure S5e). No clear visible or statistical correlations or correspondences  
282 were found between these structural variables and  $\bar{P}_{S,i}$  across plants (Figure S5). In fact, variability in the measured  
283 canopy structural variables was low (Table 1; Figure 5b-e) compared to the variability observed for dogfennel  $P_S$  and  
284  $\bar{P}_{S,i}$  (Figure 5a).

## 285 4. Discussion

### 286 4.1. Overstory throughfall partitioning by dogfennel

287 Partitioning of overstory throughfall by this example dominant understory and pasture forb resulted in hydrologically  
288 relevant losses of rainwater to the surface at our site (Table 2). As maximum water storage capacity is a major driver  
289 of rainfall interception (Klaassen et al., 1998), the magnitude of dogfennel's overstory throughfall interception may  
290 be attributed to its canopy being able to store a sizeable magnitude of rainwater per unit area, 1.33 mm (Figure 3). A  
291 synthesis of water storage capacities for the leaves of herbaceous plants has been done (alongside other plant types)  
292 (Breuer et al., 2003), but less research has estimated the stem component (or a reported a total including the stem  
293 component) of water storage capacity for short vegetation (Bradley et al., 2003; Wang et al., 2016; Wohlfahrt et al.,  
294 2006; Yu et al., 2012). However, the stems of herbaceous plants, even thick smooth stems (>1 cm in diameter) can  
295 store nearly 0.5 mm: e.g., *Taraxacum officinale* (dandelion) (Wohlfahrt et al., 2006). Even thin (<1 cm radius)  
296 herbaceous stems with epidermal outgrowths, like hairs, can store large amounts of rainwater: e.g., 0.25 mm for  
297 *Achillea millefolium* (yarrow) and 0.20 mm for *Trifolium pretense* (red clover) (Wohlfahrt et al., 2006). In the case of  
298 dogfennel stem water storage capacity at our site, the 0.43 mm estimate is within this range and its magnitude is likely  
299 a result of two principal factors: (1) dense stem coverage by desiccated leaves (photo in Figure 3); and (2) this species  
300 can achieve large densities, up to 700,000 stems ha<sup>-1</sup> (Dias et al., 2018) - 56,770 stems ha<sup>-1</sup> at our study site. We note  
301 that, to our knowledge, stem water storage capacities for herbaceous plants with spines, thorns, etc. have not been  
302 evaluated.

303 Overstory throughfall was also redistributed into a highly spatially variable (Table 2), but temporally  
304 persistent pattern (Figure 5a) beneath dogfennel canopies (where CV or CQV was approximately 20-40% for  $P_T$  and  
305 80-250% for  $P_S$ ; Table 2), despite all measured canopy structures—like branch angle, stem size, canopy size, etc—  
306 being similar (Table 1; Figure 5b-e). Since our sampling plan measured  $P_T$  over a large area of the dogfennel canopy  
307 (rather than at numerous localized points), this discussion point will focus on the intraspecific  $P_S$  observations. The  
308 high spatial variability and temporal persistence of  $P_S$  across plants despite canopy structural similarity, raises the  
309 question: What caused the intraspecific  $P_S$  patterns observed in this study? A likely explanation may be that, in this  
310 case, access to precipitation for stemflow production is related to overstory throughfall patterns. Overstory throughfall  
311 patterns are well-known to be spatially variable, but temporally persistent across forest types (Van Stan et al., 2020).  
312 Specifically For example, individual dogfennel plants that persistently generated greater  $P_S$  than other plants may have  
313 just received greater overstory throughfall from persistent overstory drip points. If the overstory throughfall pattern is  
314 a major driver of intraspecific variability in  $P_S$  in this study, then the funneling ratios computed from mean overstory

315 throughfall would be incorrect (in Figure 5a). In this case, funneling ratios (computed from the localized overstory  
316 throughfall above each plant) would be similar across ~~all~~ the monitored dogfennels. Testing this hypothesized  
317 relationship between dogfennel  $P_S$  patterns and overstory throughfall patterns was not possible in the field, since  
318 sampling overstory throughfall would prevent  $P_S$  from being generated by the plant. Future work to test this hypothesis  
319 could, however, make use of rainfall simulators.

320 The large diversion of rainwater and dew to their stem base may be partially responsible for dogfennel  
321 survival during extended periods of drought (or improved invasion efficacy during droughts: Loveless, 1959;  
322 Forthman, 1973), and may also explain why this species tends to be one of the most problematic in improved grazing  
323 systems located in Florida (Sellers et al., 2009). Rainfall patterns in central and south Florida may also intersect with  
324 dogfennel's canopy water balance to "tip the scales" in its favor. Specifically, rainfall in ~~our study~~ that region is often  
325 limited from January through May, with the bulk of rainfall occurring from June through October, and the water  
326 storage capacity of burgeoning dogfennel plants during early spring may enhance chances of individual plant survival  
327 (resulting in large infestations as referenced previously).

#### 328 4.2. Overstory (woody) and understory (herbaceous) canopies may partition rainfall differently

329 The dominant understory plant at our study site, dogfennel, intercepted similar amounts of overstory throughfall,  
330 interquartile range 11-59% storm<sup>-1</sup> (Table 2), as compared to the gross rainfall interception by their overstory pine  
331 canopy, interquartile range 19-60% storm<sup>-1</sup> (Van Stan et al., 2017b). Similar rainwater interception between dogfennel  
332 and the pine overstory may be due to dogfennel's maximum water storage capacity comparing favorably to that of  
333 overstory tree species, 0.07-4.30 mm (Klamerus-Iwan et al., 2020~~19~~). Even the maximum stem water storage capacity  
334 is of similar magnitude to values reported by past work on woody plants, 0.2-5.9 mm (Klamerus-Iwan et al., 2020~~19~~),  
335 albeit on the lower end of the range. Most current research on stem water storage has focused on intrinsic factors of  
336 woody plant stems, like bark thickness, porosity, microrelief, or roughness (Ilek et al., 2017; Levia and Herwitz, 2005;  
337 Levia and Wubbena, 2006; Sioma et al., 2018; Van Stan et al., 2016; Van Stan and Levia, 2010); however, other stem  
338 structures besides bark may be capable of storing substantial water: e.g., the desiccated leaves of our study plant.

339 There were differences in how gross rainfall was redistributed by the overstory canopy compared to how  
340 overstory throughfall was redistributed by the dogfennel understory. Stemflow from the overstory, *P. palustris*, was  
341 negligible at this site, 0.2% of gross rainfall (Yankine et al., 2017), but median dogfennel  $P_S$  was 7.6% of overstory  
342 throughfall (with an interquartile range of 2.8-27.2%) (Table 2). Annual relative  $P_S$  (and  $P_T$ ) estimates from trees and  
343 herbaceous plants reported by previous work (~~Figure 6a-b~~), ~~shows~~ indicates that herbaceous plants are generally  
344 greater stemflow producers than woody plants (~~Sadeghi et al., 2002~~20)(~~Figure 6b~~). Although relative  $P_T$  beneath  
345 dogfennel was similar to observations of relative overstory throughfall beneath *P. palustris* at this site (Mesta et al.,  
346 2017), throughfall has been found to be generally lower beneath herbaceous plant canopies than for woody ones  
347 (Sadeghi et al., 2020)(Figure 6a). This seems reasonable, because, if interception is similar between herbaceous plants  
348 and woody plants, then an increase in relative stemflow would necessitate a decrease in relative throughfall. The  
349 results of this study ~~and the synthesis presented in Figure 6 confirm~~ support statements by several past studies  
350 suggesting that plants in the understory and overstory interact differently with rainfall. Thus, we repeat the long-

351 standing calls for greater research on understory precipitation partitioning, particularly stemflow, research (Price et  
352 al., 1997; Price and Watters, 1989; Verry and Timmons, 1977; Yarie, 1980).

### 353 4.3. A brief discussion on dew-generated throughfall and stemflow

354 For a few storms ( $n = 5$ ), dew contributed significantly to  $P_T$  and  $P_S$  by the studied dogfennel plants. The median  $P_T$   
355 generated from dew beneath dogfennels at our site was 0.74 mm plant<sup>-1</sup> with an interquartile range of 0.47-0.99 mm  
356 plant<sup>-1</sup>, resulting in a total dew-related contribution to T of 17.1 mm over the study period. Volumes of stemflow under  
357 dewfall totaled 558 mL for all study plants, with individuals supplementing the dew-related  $P_T$  with up to 61 mL plant<sup>-1</sup>  
358 (yielding an additional ~0.6 mm). Dew contributions to net precipitation below plant canopies have rarely been  
359 studied. The earliest quantity for dew drainage was 0.08 mm from a single event on a single tree in Johanniskreuz,  
360 Germany (Ney, 1893). Since then, to our knowledge, only one other study has examined dew-related drainage from  
361 plants, focusing on stemflow from the herbaceous *Ambrosia artemisiifolia* (common ragweed) (Shure and Lewis,  
362 1973). They estimated that the drainage of dew via  $P_S$  resulted in an additional input of 1.1 L month<sup>-1</sup> during the  
363 growing season, and hypothesized that this process may “play a vital role in governing the density, diversity, and  
364 distribution of plant species within field ecosystems” (Shure and Lewis, 1973). Dew drainage from plant canopies and  
365 down stems may, in addition to being a valuable water source, influence plant-soil interactions by transporting leached  
366 or dry deposited materials to the soils—something also discussed by (Shure and Lewis, 1973). Globally, dew  
367 contributes a small percentage to the annual precipitation (Baier, 1966), however, in semiarid and arid (Baier, 1966;  
368 Hao et al., 2012), as well as summer-dry climates (Tuller and Chilton, 1973), dew can form a significant water input.  
369 It is reasonable to suppose, then, that in such ecologic settings as these any factor which doubles the frequency of  
370 plant-moisture availability, even though the amounts be small, must materially affect the plant growing condition.  
371 Therefore, further research is needed to assess dew (and mixed storms) drainage in arid and semiarid climates, with  
372 days on which dew occurs being  $\geq 70\%$  per year (Hao et al., 2012). [The global importance of occult precipitation and  
373 resulting wet canopy conditions has recently been reviewed and described as a critical future research direction for  
374 plant sciences \(Dawson and Goldsmith, 2018\).](#) Given these scant but ecologically relevant findings, further research  
375 on the influence of condensation events on plant-soil interactions via throughfall and stemflow may be merited.

## 376 5. Conclusions

377 *Eupatorium capillifolium* (Lam., dogfennel) in the understory of an urban forest fragment intercepted 20.4% of  
378 overstory throughfall from *Pinus palustris* (Mill.). The remaining 71.2.0% and 7.69% of overstory throughfall reached  
379 the surface beneath dogfennels as understory throughfall and stemflow, respectively. The partitioning of overstory  
380 throughfall by this understory forb differs considerably from the rainfall partitioning of the woody overstory,  
381 especially regarding stemflow (7.96% versus <0.2%). During a few storms that occurred in tandem with dewfall,  
382 dogfennels were able to augment stemflow (and throughfall) production through capturing dew. These processes may  
383 help explain how dogfennels survive extended droughts, and even show improved invasion efficacy during droughts,  
384 making it one of the most problematic weeds in southeastern US grazing systems. Stemflow variability among  
385 individual plants was very high (CV ~250%), but no dogfennel canopy structures measured in this study provided

386 statistically significant insights into this stemflow variability. Future work will assess to what extent overstory  
387 throughfall variability drives understory stemflow variability for plants, like dogfennel, of similar intraspecific canopy  
388 structure. However, in forests, overstory throughfall is not the final frontier for determining net rainfall, and  
389 investigations on how it is intercepted and redistributed by herbaceous plants is needed to improve our understanding  
390 of exactly how much (and in what pattern) rainfall reaches the surface. For other vegetated ecosystems where  
391 herbaceous plants are the overstory (grasslands and croplands), precipitation partitioning research is also needed.

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### 395 **Code/Data availability**

396 Data is permanently archived at <https://digitalcommons.georgiasouthern.edu/> and freely available.

### 397 **Author contribution**

398 DARG conceived and designed the study in consultation with JTVS and AMJCG. DARG designed field collection  
399 devices in consultation with JTVS and AMJCG, then deployed devices, collected data, performed the data analysis,  
400 and drafted the initial manuscript with input from all authors. BAS contributed expertise regarding relevant range-  
401 and pastureland topics, assisting with data analysis/interpretation. SMMS performed a literature synthesis for  
402 discussions comparing herbaceous and woody plants' rainfall partitioning and used this synthesis to assist in  
403 manuscript writing. JTVS was the principal undergraduate research supervisor for DARG. All authors contributed to  
404 manuscript revisions.

### 405 **Competing interests**

406 The authors have no competing interests.

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571 **Table 1:** Descriptive event statistics for rainfall (observed), overstory throughfall (estimated per Figure S2) and  
 572 measured individual plant traits. When minimum overstory throughfall was zero, dew occurred – as verified by air  
 573 temperatures equalling dew point temperatures.  
 574

Parameter (units)	Mean	Median	±SD	Min.	Max.
Rainfall (mm)	16.5	6.6	25.8	0.1	101.3
Overstory throughfall (mm)	11.0	3.5	18.7	0.0	72.2
Canopy radius (cm)	18.3	18.4	4.5	12.2	26.2
Stem radius (cm)	0.5	0.6	0.1	0.3	0.7
Canopy:stem radii	36.3	36.1	7.4	24.1	50.0
Leaf angle at the stem (degrees from vertical)					
1.00 m height	54.0	54.0	2.0	50.5	59.0
1.25 m height	45.9	46.5	3.1	40.5	50.5
1.50 m height	39.6	39.5	1.8	36.0	43.0
1.75 m height	34.0	34.5	2.3	30.0	39.0
2.00 m height	31.9	32.0	2.8	25.0	36.5

575

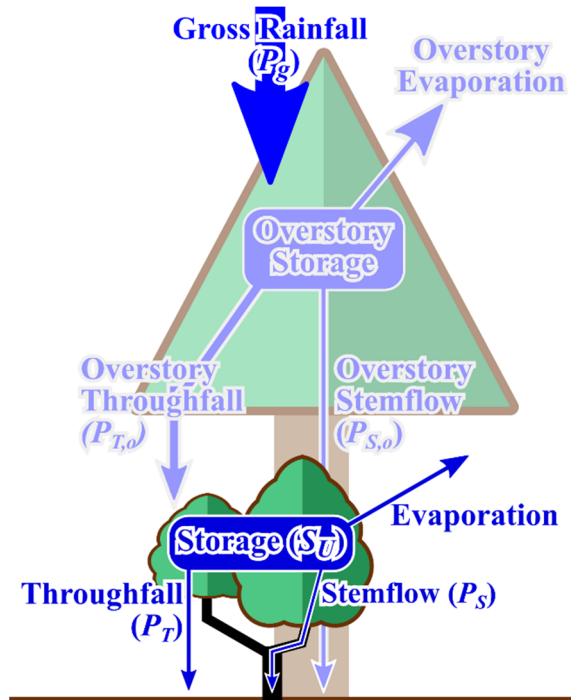


576 **Table 2:** Descriptive statistics of relative throughfall ( $P_T$ ) and stemflow ( $P_S$ ) yield from dogfennel plants expressed  
 577 as a proportion of gross rainfall ( $P_g$ ) and estimated overstory throughfall ( $P_{T,o}$ ). Coefficients of variation (CV) and  
 578 quartile variation (CQV) are also provided. For storms where dew occurred in the understory, dew was not measured  
 579 by above-canopy  $P_g$  gauges, but was included in the estimated  $P_{T,o}$  estimate by assuming dew represented at least  
 580 additional 1.33 mm (i.e.,  $S_u$ ).  
 581

Parameter	Mean (SD)	Median	Q1	Q3	Max	CV	CQV
Rain storms							
$P_T:P_g$ (%)	43.6 (15.2)	44.9	34.3	52.4	101.7	34.9	20.9
$P_S:P_g$ (%)	18.8 (47.3)	4.1	1.7	13.8	434.3	251.6	78.1
$P_T:P_{T,o}$ (%)	76.6 (29.3)	72.0	58.5	91.1	190.6	38.3	21.8
$P_S:P_{T,o}$ (%)	36.8 (93.5)	7.6	2.8	27.2	900.3	254.1	81.3
Mixed storms*							
$P_T:P_g$ (%)	70.3 (43.7)	58.0	39.5	102.9	149.4	62.2	44.5
$P_S:P_g$ (%)	32.7 (45.2)	14.7	5.2	39.7	198.0	138.2	76.8
$P_T:P_{T,o}$ (%)	72.0 (30.2)	69.1	53.2	86.9	191.6	41.9	24.1
$P_S:P_{T,o}$ (%)	33.4 (86.2)	8.1	3.0	24.3	900.3	257.4	78.0

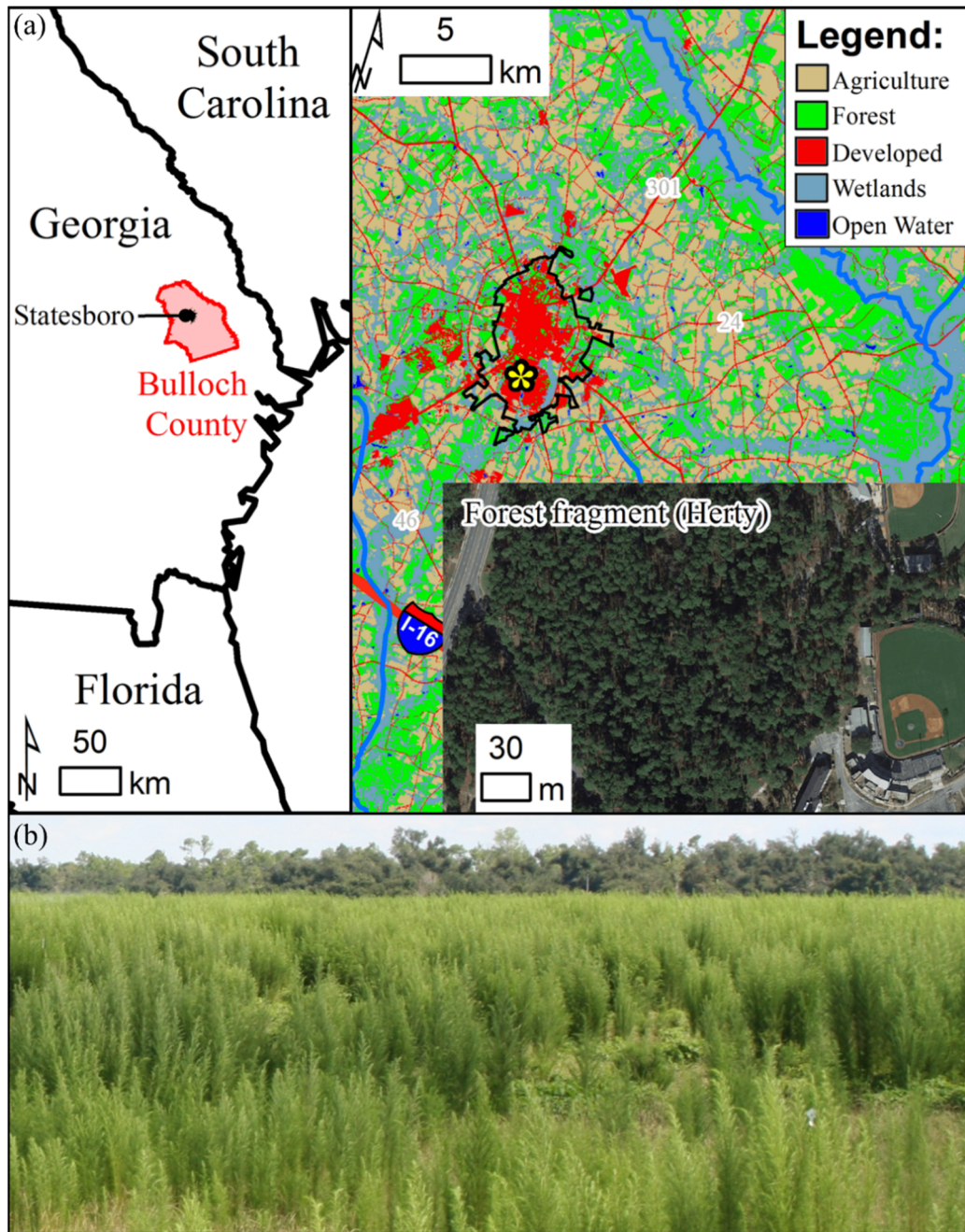
\*Storms with ~~light rain + dew~~occult precipitation.

582

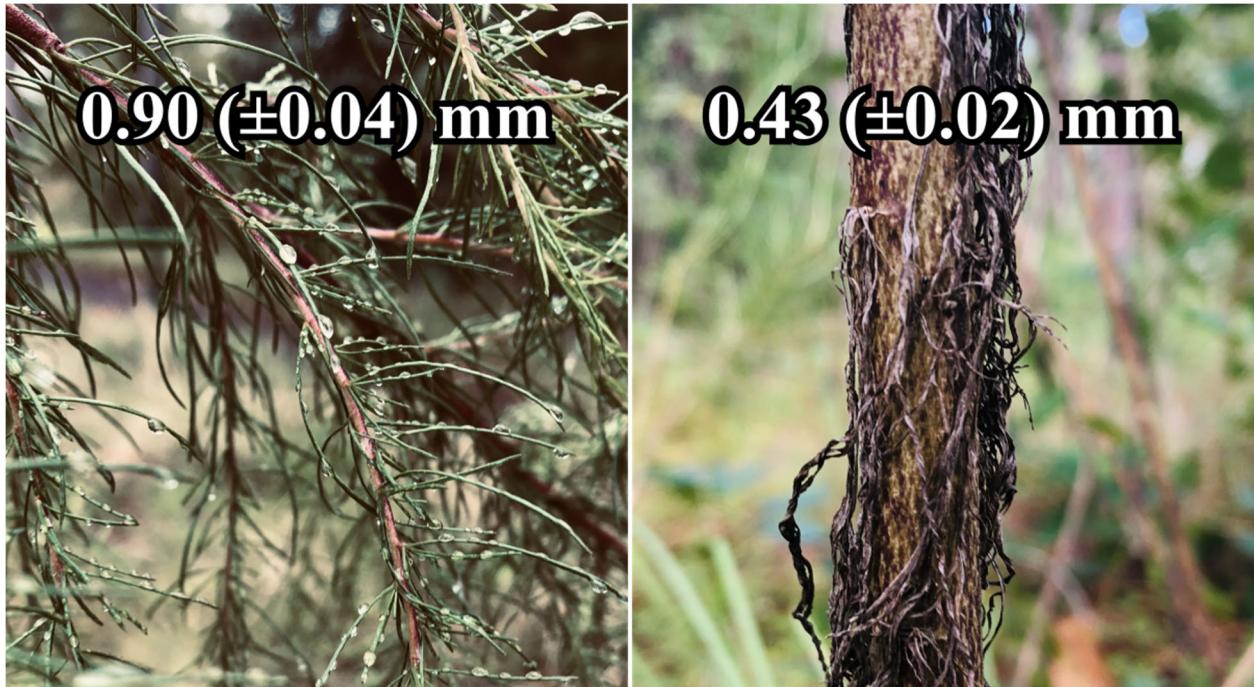


583

584 **Figure 1:** Partitioning of gross rainfall by the overstory (light blue) and by the understory (dark blue). Overstory  
 585 throughfall ( $P_{T,o}$ ), the input to the understory canopy, was estimated from past work at the site (see supplemental  
 586 materials). The proceeding of maximum understory water storage capacity ( $S_U$ ), throughfall ( $P_T$ ), and stemflow  
 587 ( $P_S$ ) were measured in this study.

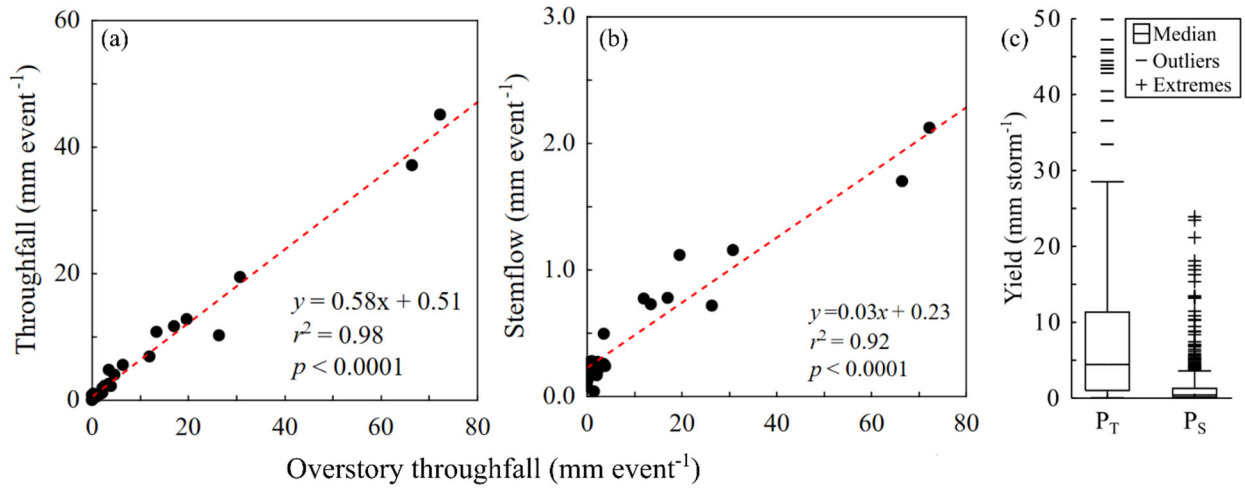


588  
 589 **Figure 2:** (a) Location of the studied *Pinus palustris* (longleaf pine) forest fragment, Charles H. Herty Pines Nature  
 590 Preserve, on the Statesboro, Georgia (USA) campus of Georgia Southern University, where *Eupatorium capillifolium*  
 591 (dogfennel) is a dominant understory plant. (b) Dogfennel can dominate pastures as well, as shown by the photograph  
 592 (credit: Brent A. Sellers). Map layer sources: State and county boundaries, and aerial imagery ©ESRI, TomTom North  
 593 America, Inc. The land use layer was derived from the National Land Cover Database 2011 (full metadata and data  
 594 access link: <https://gdg.sc.egov.usda.gov/Catalog/ProductDescription/NLCD.html>).



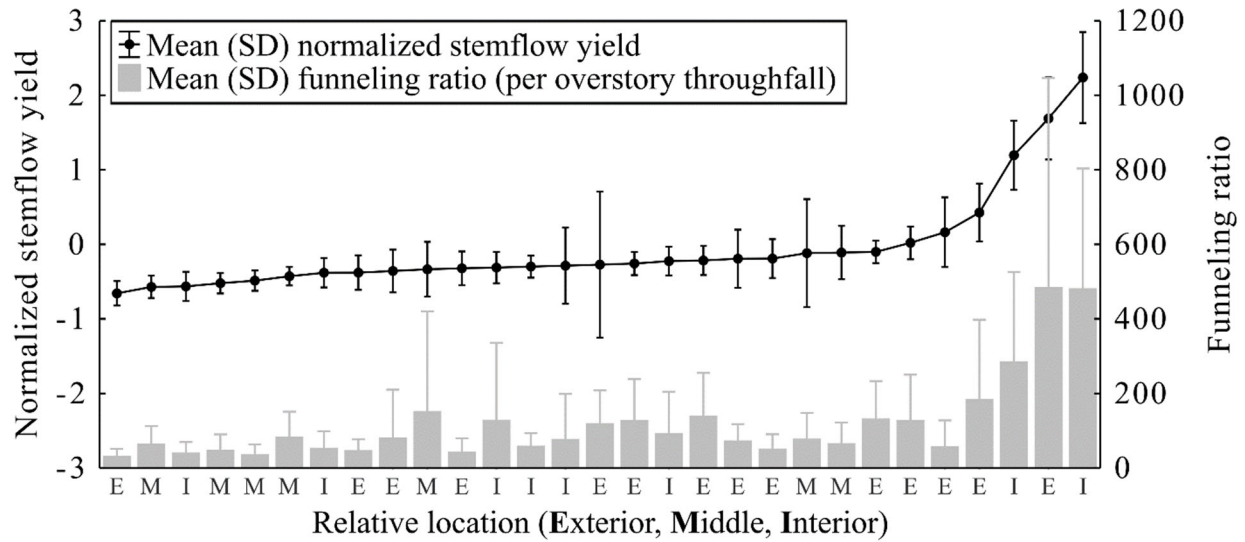
595

596 **Figure 3:** Water storage capacity (standard error) for the (left) canopy and (right) stem of *Eupatorium capillifolium*  
597 (dogfennel) per lab-based submersion tests on samples collected from the Herty Pines understory.



598

599 **Figure 4:** Scatter plots showing the response of *Eupatorium capillifolium* (dogfennel) (a) throughfall ( $P_T$ ) and  
 600 (b) stemflow ( $P_S$ ) yields across all rainfall events (without occult precipitation). (c) Boxplot showing yields from  
 601 individual  $P_T$  gauges and plants'  $P_S$ . (Line and box: median and interquartile range; whiskers: non-outlier range; other  
 602 symbols represent outliers and extreme values).



603

604 **Figure 5:** ~~No dogfennel (*Eupatorium capillifolium*) plant structural metrics correlate ( $r^2 < 0.1$  for all regression lines)~~  
 605 ~~with normalized stemflow yields or funnelling ratio.~~ (a) Mean and standard deviation (SD) of normalized stemflow  
 606 yield ~~per plant<sup>-1</sup>~~ and associated funnelling ratio per (Herwitz, (1986) ~~in order of rank per mean normalized stemflow~~  
 607 ~~yield. Plants compared to their~~ locations ~~are indicated~~ (E = external, M = middle, between the interior  
 608 and exterior, and I = interior).

**Reviewer 1:** “Gordon et al. present observations of understory rainfall interception in the southeastern US. Dogfennel, the understory plant they study, is a tall and dense forb that the authors show can have a major effect on rainfall partitioning. The topic is of great interest to HESS readers, as rainfall interception is an important component of the water cycle that is nevertheless relatively poorly studied and represented in models. The authors make a compelling case that this is particularly so for low-stature and understory vegetation, such as the dogfennel communities they study.”

**Response:** We thank Reviewer 1 for their appreciation of the manuscripts’ strengths and insightful comments regarding the study weaknesses. We have addressed these comments as described below and believe our revised manuscript has been greatly improved.

“The overstory throughfall fluxes, which act as the normalization factor in the most important event-level rainfall partitioning estimates and are thus essential to the authors’ conclusions, are interpolated rather than measured. The authors acknowledge this potentially major source of uncertainty only briefly when discussing the spatial variability (I280, Fig. 5). I feel that this issue needs to be addressed head on, as I have several concerns. First, it further introduces spatial variability. However, the spatial variability of understory throughfall (and overstory throughfall) is not analysed, despite the redundancy in the measurements. Second, there could well be an association between overstory throughfall and relevant dogfennel parameters such as their density. Such an association would need to be addressed if the authors want to draw robust ecosystem level conclusions. Third, there may be a temporal bias here as well, but it is difficult to say because the study periods in which overstory and understory throughfall measurements were conducted are neither stated nor compared. Fourth, while the interpolation of spatially averaged overstory throughfall (supplement) provides a decent fit overall, the linear association is clearly insufficient for small rain events. For zero rainfall, it predicts negative throughfall. The authors, however, analyse small events in great detail. It is not clear to me how these issues impinge on their estimates of understory throughfall for small events.”

**Response:** The reviewer is correct that we estimated the stand-level overstory throughfall flux using recent data measured at the site. Unfortunately, it is not possible to directly measure overstory throughfall AND measure understory partitioning simultaneously. This is because the direct measurement (via tipping buckets or bottles, etc) of overstory throughfall would disturb or remove understory throughfall and stemflow. We respectfully disagree that we have “only addressed [this issue] in a cursory manner.” In fact, we explicitly state in lines 133-138 (and in the supplement) how and why we estimated overstory throughfall, and discussed how this constrains our ability to broadly interpret understory throughfall and stemflow patterns.

The reviewer raises two issues regarding the overstory throughfall estimation methods: (i) the linear association between rainfall and overstory throughfall “predicts negative throughfall” in small events; and (ii) there “may be a temporal bias” as the data for estimating overstory throughfall were collected prior to the start of this study. Regarding point (i), the reviewer is absolutely correct. However, overstory throughfall estimates for our small storms were not negative; so, after returning to the analysis spreadsheet, we realized that the wrong method was reported. Overstory throughfall (TF\_o) was estimated from the association between TF\_o (as a % of rainfall) and storm size (R) using the so-called “Aston” curve:  $TF_o[\%] = a * (1 - EXP(-b * R[mm]))$ . This does not return negative TF\_o for small storms. We apologize for this error and have updated the supplemental figure to reflect the correct

method. Regarding point (ii), we do not believe that there is any significant temporal bias. The canopy is mature and there has been no known/noticeable disturbance or change in canopy structure. As a result, although one can never be entirely certain, we assume that the association between overstory throughfall and storm size has not changed. This is now explicitly stated in the methods in lines 135-138.

“the uncertainties that arise from the ad-hoc estimation of dewfall are not addressed or quantified.”

**Response: The dew estimation was, in fact, done post-hoc: after dew was observed during sampling. Still, we have edited the manuscript in lines 140-145 to explicitly state the conditions surrounding our post-hoc dew estimate, including: (1) the assumption underlying the dew estimates (equating it with canopy water storage capacity), (2) the implications of this assumption (that dew estimates are maximums), and (3) confirmation of dew occurrence using quantitative meteorological measurements (beyond the binary/qualitative present or absent, to the eye, during sampling).**

“Apart from the issues raised above, the statistical analyses of rainfall partitioning are insufficient. The authors do not report the overall partitioning over the entire study period (e.g. stemflow vs total rainfall or overstory throughfall) and the associated uncertainties. The analyses at the event level that are shown are insufficient for three reasons. First, the overall partitioning is not reported. The individual ratios (e.g. stemflow divided by rainfall) in Tab. 1 cannot be averaged to obtain the overall ratio. The authors, however, do just that in the conclusions (they even report the median rather than the average) when they write: ‘Eupatorium capillifolium (Lam., dogfennel) in the understory of an urban forest fragment intercepted 20.4% of overstory throughfall from Pinus palustris (Mill.).. I would expect both errors (aggregating ratios, median instead of mean) to overemphasize small events, and thus to overestimate throughfall/rainfall. The event-level fluxes need to be summed, see e.g. doi:10.1029/2000WR900074, doi:10.1016/S0022-1694(01)00393-6, doi:10.1088/1748-9326/ab1049, for how to estimate overall partitioning and its uncertainties (due to spatial variability, stems that were not instrumented, observation errors, etc.). Second, only summary statistics such as the median are shown (Tab. 1). A scatter plot would allow the reader to draw additional inferences, such as in what way stemflow increases disproportionately for larger events. Third, it is not clear how the data were spatially aggregated. Three clumps were instrumented, and I assume they were averaged over, but how?

**Response: We appreciate the reviewer’s comments on the statistical analyses and, firstly, we agree that the overall median is not the standard statistic reported for annual precipitation partitioning fractions – it is the sum. Therefore, we now include the total precipitation partitioning fractions from scaled summations across the study. Secondly, the reviewer requested a scatter plot of event summed data with respect to storm size. This has been added to the manuscript (panels a-b in the revised Figure 4). Thirdly, regarding spatial considerations, no spatial analyses (beyond comparison of CV and normalized stemflow values) were done. Even these spatial analyses are rarely done (see recent review: doi: 10.1007/978-3-030-29702-2\_6).**



“I could not follow the rationale behind the interception capacity measurements. How long were they dried in the oven? Did the leaf itself (not the intercepted water) lose weight during that period? Why not compare it to the weight before wetting? The other issue, which is that the submersion in the lab is very different from the wetting due to rainfall in the field, would remain. This needs to be spelled out clearly, cf. doi:10.1016/S0022-1694(01)00393-6.

**Response: We agree with the reviewer that the water storage capacity estimation methods require clarification. The details requested by the reviewer (and some additional information) is now provided in Lines 178-188. We also agree that we should clarify differences between this method and how leaves/stems wet in nature. This has been added to the manuscript.**

“The throughfall funnels are not described in detail, and there is not a single picture. The authors argue that they provide more robust estimates because they are larger than most rain gauges that are commonly used for such purposes, but at approximately 25x25 cm, this difference does not strike me as particularly noteworthy. Given the relatively large density of dogfennel plants, however, it is not clear to what extent the plants and hence the throughfall were disturbed by the installation of the funnels.

**Response: Dimensions of funnels are described, and we now provided a photograph of a deployed throughfall gage in the supplemental materials. To clarify: we did not state that these funnels were bigger than most funnels – rather, we noted that funnel size was larger per unit canopy area for the studied plant, dogfennel, compared to trees.**

“I would not be able to reproduce the scaling of the rainfall interception capacity measurements from the leaf to the plot scale. The authors mention in l176 that they use estimates of leaf area, but these estimates are never introduced. Equations would also help, as would a consistent terminology (surface area seemingly refers to very different things in the same paragraph).”

**Response: We agree and have provided greater detail on the scaling methods for water storage capacity in lines 195-202, stating “Specific water storage capacity estimates for the stem (0.436 mm) and leaves (0.195 mm) were then scaled to  $S_u$  [mm as  $L\ m^{-2}$ ] using stem and leaf surface area estimates per plant (171.9  $cm^2\ plant^{-1}$  and 807.5  $cm^2\ plant^{-1}$ , respectively), and multiplied by the site plant density (5.68  $plants\ m^{-2}$ ) and divided by 1000. Plant stem and leaf surface area estimates were determined from 5 representative plants that were cut from the site and separated into leaves and stems, then the sum of leaf and stem areas (determined as mentioned earlier in the paragraph) were divided by 5.”**

“It is not clear how dogfennel density (e.g. at what scale) was determined and whether the numbers given in Section 2.1 refer to the clumps the authors study or to other areas.”

**Response: We agree. Details for estimating stem density are now provided in lines 115-117, stating “Dogfennel density was estimated in ten 10x10 m plots by counting the stems clump<sup>-1</sup> for 3 randomly-selected clumps in each plot. For each plot, the mean stems clump<sup>-1</sup> were multiplied by the number of clumps plot<sup>-1</sup>. Finally, all stems plot<sup>-1</sup> were summed and scaled to 1 ha.”**

“The three clumps the authors study are not described in detail. How do they differ? What do they look like? Does that have an impact on the rainfall partitioning?”

**Response: The plants from each clump are described in detail in Table 1. From the details in Table 1, the plants were all very similar.**

“The regression analysis shown in Fig. 5 (of doubtful value because it relies on the unrealistic assumption on overstory throughfall) is not described. According to the figures, it looks like the authors regressed the ranks rather than the actual observations, which would need justification. So would the fact that the authors apparently did not consider the joint influences of explanatory variables.”

**Response: We have removed the bottom panels of Fig. 5 and now provide scatterplots in the supplement (new Figure S5) that shows no statistically significant correlations for the variables (or no significant differences for the categorical variable) presented in the old Figure 5. No multivariate statistical methods were applied to assess multivariate influences over stemflow variability as all bivariate results were very highly un-significant ( $r^2 \sim 0$  and  $p > 0.9$ ).**

“Figure 6 compares rainfall partitioning of herbaceous plants and trees, but I suppose the climatic conditions differ between the two and thus constitute a major confounding factor. These concerns are, however, not addressed.”

**Response: We have removed Figure 6 from the manuscript for two reasons (1) there is already a synthesis work published that we can cite and (2) we believe that this synthesis figure merits greater consideration in a different, broader paper.**

**Reviewer 2.**

“In my view, the submitted study is highly interesting and convincing, carefully conducted, and well presented. It is well suited for the journal and should be of high interest for the readers. For further clarification, I suggest to add more details on the conducted lab investigations: how long were the plant samples dried in the oven?, and more details on the mass balances (how the final values of storage capacity were obtained) would be helpful.”

**Response: We thank Reviewer 2 for their appreciation of the manuscript and their suggested revisions. We have addressed these comments by providing greater details on the lab investigations and related data analyses in lines 115-117, 135-138, 140-145, and 178-202; as well as by providing additional figures to the manuscript (Figure 4a-b) and the supplemental materials (Figures S2, S3b, and S5).**