

Dear Editor and Reviewers,

Thank you for your detailed comments and suggestions about our manuscript entitled “Non-stationary stochastic rain type generation: accounting for climate drivers”.

The paper has been revised accordingly. Please find hereafter the details of the changes in the form of an item-by-item response (in blue) to your comments (in black). Please note that the page and line numbers indicated hereafter refer to the ones of the revised manuscript.

Hoping that our responses address your concerns, and that our propositions of improvements will fulfil your expectations,

Best regards,

Lionel Benoit, Mathieu Vrac and Gregoire Mariethoz.

Responses to the comments of Reviewer #1:

RC: Rainy images are considered those with $> 10\%$ rainy pixels (what rain intensity threshold was applied to set a pixel as rainy?). I wonder if the selection of 10% as a threshold is important and may affect the conclusions? For example, if there is a distinct rain type with a small coverage area ($<10\%$) we totally miss it. I understand a threshold needs to be set, but it should be clarified that there is no high sensitivity to this definition.

AR: The threshold of 10% is indeed pretty high, and leads to classify around one third of rainy images in the dry type. To deal with this ‘dry bias’, the typing method we use to classify radar images actually incorporates a second step (Benoit et al, 2018). It aims at assigning to images with less than 10% rain coverage the type of the closest (along the time axis) classified image. Therefore we do not define a distinct rain type for images with a low rain coverage ($<10\%$), but rather consider that this ‘pseudo-type’ is due to a transient behavior of rainfall at the onset and at the end of rain storms.

This point was overlooked in the early version of our manuscript, and we therefore address it in details in the revised manuscript. In particular, a paragraph has been added in Sect. 2.1 to discuss how we deal with images with rain coverage $<10\%$ (p5, L6 – p6, L7). In addition, a new supplementary material (supplementary material 1) has been added to show that the performance of the proposed rain type simulation method is not degraded when images with less than 10% rain coverage are considered as dry.

RC: Radar data are not gauge-adjusted – this may affect the indices, especially the intensity indices. For example, the “Mean rain intensity over all rainy pixels” index is obviously affected by biases in the radar data that could be corrected with gauge adjustment. It can be claimed that such biases will be consistent and therefore will not affect classification, but biases in radar data could change along the years and thus the inter-annual frequency of rain types may be affected. I suggest addressing this point in the paper.

AR: This is true that changing radar biases could negatively affect the results of this study. Fortunately, the use of uniformly processed radar images minimizes the risk of drift in radar biases. In practice, we do not find any long-term trend in observed rain type frequencies. In addition, the results of the leave-one-year-out cross-validation procedure do not show any disagreement between climate-driven simulated rain types and observed rain types, which reflects the absence of outliers in observed rain types.

The above is now addressed in the first paragraph of Section 2.1 when we present the radar dataset (p4, L16-22).

RC: Rain type duration: please explain how is it computed? is it simply derived from consecutive maps series with the same type and does a single map with a different type end this series?

AR: This is correct. A better description of rain type duration has been added in the revised manuscript (p7, L2-4).

RC: Long and short dry duration: is the 24h long/dry duration necessarily defined over days (i.e, midnight to midnight) or is it 24h with an arbitrary starting point? if the latter, how dry periods are split between “long” and “short” intervals?

AR: It is 24h with an arbitrary starting point. A dry period is split in as many ‘long’ intervals as possible, and the remaining dry time is distributed into two ‘short dry’ intervals at the beginning and at the end of the ‘long dry’ period. For example, in case of a 49h dry period, it is split into: 30min short dry + 2 x 24h long dry + 30min short dry.

This has been clarified in the description of the parametric model (Supplementary material 3, p1, L12-14).

RC: It would be helpful to indicate the percent of rain amount out of total amount for each rain type, as well as for “dry” time-steps (i.e., with <10% rainy pixels).

AR: This is now mentioned in Fig 3.

RC: Figure 2A: please indicate months so seasonality can be better realized.

AR: Seasonality is now indicated by colors in Fig 3 and 6.

RC: It would be helpful to mention statistical significance for the comparisons presented in Figure 3.

AR: Following the comments of Reviewer#2 the parametric model has been moved to appendix and the comparison between the two models has been removed.

RC: Eq. 1: I think that it should be written as: $P(St=j|St-1=i,x)=\dots$ if not, please explain what is the source of index j on the right side of the equal sign.

AR: This is indeed a better formulation. We corrected it in supplementary material 3.

RC: Page 9, Lines 9-10: can you explain why different data are used for determining the Sigma and Mue matrices?

AR: Our initial description was misleading, in fact the same data are used for determining the Sigma and Mue matrices. The description of these matrices has been reformulated in supplementary material 3, p1, L23-25.

RC: Fig. 6: can you explain the systematic negative bias in rain occurrence frequency for the two models?

AR: In our opinion the systematic negative bias is significant only for the parametric model. For the non-parametric model, the occurrence of dry and rain types is properly simulated. Regarding the dry bias in the parametric model, we believe that it is due to the difficulty of conditioning to covariates in the framework of semi-Markov models. The discussion about the performance of the parametric model has been modified accordingly (Supplementary material 3, p1, L41 – p2, L3).

Responses to the comments of Reviewer #2:

RC: Radar images are notoriously weak in quantifying precipitation amounts, which can lead to mismatches. Are the on ground rainfall characteristics really related to these types?

AR: This is correct that radar images are weak in quantifying precipitation amount; this is why we propose here to use them as a proxy of rain storm behavior, hence the concept of rain types. In case ground rainfall characteristics are the main focus of the application at hand, a stochastic rainfall model should therefore be calibrated (conditional to rain types) using more relevant rain estimates, e.g. in-situ observations or gauge-adjusted radar images. When combined with a properly set-up stochastic rainfall model, rain types have been shown to improve simulation results (Benoit et al, 2018). Ground rainfall characteristics are therefore related to rain types derived from radar images, but a second step of rain intensity simulation is needed to quantify the actual rain intensity at the ground level. To better explain the use of rain type simulation within the framework of stochastic rainfall simulation the last paragraph of the introduction has been improved (p2, L19-34), with the addition of the new figure 1.

RC: The 10 % wet pixels for the rain classifications means that the beginning and the end of the events are neglected. This leads to a reduction of the durations.

AR [Same answer than the reply to Reviewer#1. We repeat it here for easier readability]: The threshold of 10% is indeed pretty high, and leads to classify around one third of rainy images in the dry type. To deal with this ‘dry bias’, the typing method we use to classify radar images actually incorporates a second step (Benoit et al, 2018). It aims at assigning to images with less than 10% rain coverage the type of the closest (along the time axis) classified image. Therefore we do not define a distinct rain type for images with a low rain coverage (<10%), but rather consider that this ‘pseudo-type’ is due to a transient behavior of rainfall at the onset and at the end of rain storms.

This point was overlooked in the early version of our manuscript, and we therefore address it in details in the revised manuscript. In particular, a paragraph has been added in Sect. 2.1 to discuss how we deal with images with rain coverage <10% (p5, L6 – p6, L7). In addition, a new supplementary material (supplementary material 1) has been added to show that the performance of the proposed rain type simulation method is not degraded when images with less than 10% rain coverage are considered as dry.

RC: Wouldn't it be reasonable to apply a space-time classification?

AR: The present classification already resorts to space-time statistics to define rain types, and is therefore implicitly a space-time classification. We added a sentence in the description of the classification method to better explain this point (p4, L16-17). However, the whole area of interest is always considered as covered by statistically homogeneous rain fields (i.e. constant rain type in space), which is indeed a strong assumption. Nevertheless, also considering non-stationarity of rain types in space would make the classification very difficult. In particular, moving neighborhoods would have to be defined to assess the spatial statistics over sub-domains. We therefore restrict our present work to a temporal classification of space-time statistics, hence assuming that the study area is small enough to assume spatially stationary rain fields at each time step. This is obviously a simplifying assumption, and one should keep in mind that this can generate abrupt changes in simulated rain fields as shown in the new Fig 1. This restricts the use of our method to areas of limited extend to ensure as much spatial stationarity as possible, as specified in section 2.1 (*“We focus hereafter on a 100 km x 100 km squared area centered on the city of Jena in the Land of Thüringen, Germany (Fig. 2a). This area has been chosen because its flat topography and its location far from coastlines or major topographic barriers ensure spatially homogeneous rain fields, allowing to focus on the temporal component of rainfall non-stationarity.”*, p4, L8-10). In case of large areas, or areas where a strong non-stationarity of rainfall in space is suspected (e.g. mountains or coastlines), a truly space-time classification should be considered.

RC: The choice of the meteorological covariates is not convincing. The seven variables seem to have weak relationships with the rain types. Are these variables really better if they are combined? A scatterplot of the variables with the indication of the rain types would be necessary to see if the variables are likely to explain the occurrence of the different rain types. Would not a similar typing of the spatial patterns of these variables be a better alternative to find a relationship?

AR: A new dedicated sub-section has been created to address the concerns raised above and to better emphasize on the importance of the chosen meteorological covariates (Sect 2.1: Meteorological covariates). In this section, we follow the advice of Reviewer#2 and study the joint influence of meteorological covariates on rain type occurrence (p7, L29-33 and Supplementary material 2, Figure 3). From the figure SI2-Fig3, it appears that if combined, the proposed covariates explain pretty well rain type occurrence. This is further reinforced by the ability of the proposed approach to capture the inter-annual variability of rain type occurrence, as highlighted for instance in figure 6. Finally, the use of weather types instead of a set of pointwise covariates is discussed in supplementary material 2, p1, L6-11.

RC: Another specific problem here is the use of daily covariates. Rainfall is often related to short time changes in temperature and air pressure. The suggested disaggregation procedure cannot cope with this and practically relates 10 min precipitation types to daily covariates through a pre-defined daily cycle. This of course reduces the possible influence of the covariates. Present observations could be used to see whether the covariates are better if available on higher resolution. The variable with the clearest signal is temperature, which may be the only reason why changes are detected in the RCM scenarios.

AR: We agree that rainfall is often related to short time changes in temperature and air pressure, and that the disaggregation procedure applied to meteorological covariates is therefore important to ensure high quality rain type simulations. As proposed by Reviewer#2, we compared our disaggregated covariates with their counterparts observed in-situ at high resolution by a weather station located within the area of interest. This is now discussed p7, L22-25, and in details in Supplementary material 2. In addition, we compared the performance of our rain type model when forced by (1) disaggregated covariates and (2) in-situ observations of the covariates. The results of this experiment are detailed in supplementary material 2 and mentioned in the main text p7, L22-25. These results show that the proposed disaggregation method performs well to capture sub-daily variations of the covariates. In addition, rain type simulations driven by the two covariate datasets (disaggregated vs high resolution in-situ) give very similar results. Consequently, we choose to keep the disaggregation of daily covariates to drive rain type simulations because this approach fits better with the illustration study considered in this paper.

RC: Simple year by year cross validation is not enough to show the applicability of the model for climate model downscaling. Instead a split sampling into dry and wet years and warm and cold years could help to know if the model is likely to handle climatic signals reasonably.

AR: We agree. A split-sampling has been added to the validation section (4.2: Sensitivity to climate variability, p11, L21 – p13, L2. The results of this split-sampling test show that our model properly captures the impact of the climatic signal on rain types.

RC: In my opinion the systematic bias of the parametric model indicates that it was not set up properly. Therefore, it would be important to modify it and remove the bias. I would certainly not try to apply a model which is biased.

AR: We agree that we should not apply a biased model, but we believe that the poor performance of the parametric model is not due to a wrong setting but rather to the inherent difficulty to condition a semi-Markov model to continuous-time covariates. This is now clearly stated in the revised manuscript (p8, L10-13). To avoid using a biased model, the parametric model has been removed from the main text and moved in supplementary material 3.

RC: Figure 2 upper panel: One cannot guess the fluctuations of the frequencies of the individual rain types except for type 1. I suggest to show individual lines instead.

AR: The figure (now Fig 3) has been revised accordingly.

RC: Figure 7 (c): due to the very high dry transition probabilities the other transitions cannot be judged from this presentation.

AR: The figure has been revised accordingly (in the revised manuscript it is figure 6).

Responses to the comments of Reviewer #3:

RC: The authors need to take the study to the end and show that the suggested rain types are indeed modelled easier separately than together, and that this approach is actually operationally feasible. From Figures 2 and 5 it shows that rain types can transition directly to one another, meaning that having individual models for each rain type could be very challenging.

AR: The interest of using rain types to improve stochastic rainfall generation has been demonstrated in a previous paper (Benoit et al, 2018). Hence, the main aim of the present study is rather to propose a new framework to simulate rain types conditional to meteorological covariates in order to ensure climate coherence. However, the reviewer is right when pointing out that the aim of the paper must be better stated. To this end, the introduction has been improved by adding the new figure 1 that shows how the proposed rain type simulation method can be embedded into the framework of stochastic rainfall simulation. In particular, this new figure illustrates that realistic transitions can be simulated when a rain type switch occurs within a single rain storm. In addition, the end of the introduction has been revised to better define the target of the paper (p2, L19-34).

RC: Also, the two stochastic rain types models compared both seem extremely cumbersome to work with, without showing really convincing results.

AR: The parametric model was indeed a bit complex, and following a comment from Reviewer#2 it has been moved to supplementary material 3. This hopefully makes the methodological part easier to follow. Regarding the non-parametric model (based on Multiple-Point Statistics), it is nothing but a resampling of an historical dataset that preserves patterns. It is therefore quite easy to work with this model, especially because the software used to perform simulations is freely available with an extensive documentation (<https://gaia-unil.github.io/G2S/>). In the present application of rain type simulation, a basic call (i.e. without complicated options) of the G2S software allows to get all the results shown in the paper.

RC: Convincing results could only be to actually model not only the sequence of rain types, but go the step further and model the weather.

AR: The main aim of this study is to simulate rain types conditional to meteorological covariates, and therefore to pave the way to stochastic rainfall simulations which are coherent with the co-occurring climate conditions. Hence, the target is not to design a stochastic weather generator, but to focus on ‘meteorologically realistic’ rainfall simulations. We believe that the frequent mentions to ‘weather generator(s)’ throughout the first version of this paper were misleading. We therefore removed most of these mentions in the revised manuscript, and modified the title of the paper to better state our objectives.

RC: It is very unclear how the final results could actually look. Could this approach be used to make stochastic rainfall output resembling the radar data used for the rain type data? That would indeed be impressive.

AR: The new figure 1 shows that it is indeed possible to simulate synthetic radar images resembling the radar data used for model calibration. In addition, it is now better specified in the introduction that using a stochastic rainfall model conditioned to rain types has been proved to improve the final simulations (Benoit et al, 2018).

RC: It would also be very interesting to compare such result to state-of-the-art 2D weather generators (like e.g. the AWE-GEN-2D).

AR: The way synthetic radar images have been simulated in the new Fig 1b is almost identical to the rain generation module of AWE-GEN-2D (i.e. truncated and transformed multivariate Gaussian field, cf Benoit et al, 2018a, Peleg et al, 2017 and Paschalis et al, 2013). In that respect, very similar results are expected. The other aspect that could be compared is the realism of the relationships between meteorological covariates and rainfall simulations. However, the philosophy of the two approaches is fundamentally different, which prevents a fair comparison. In AWE-GEN-2D, rainfall is simulated first, and the other meteorological parameters are simulated conditional to rainfall. Here we do the opposite, and condition rain types (and in turn rain intensity) to the state of the atmosphere. This is justified by the different aims of the two approaches, the focus of the present paper being to propose a stochastic parametrization of rainfall conditional to climatic conditions.

RC: As a standalone item, this manuscript is not very interesting. The fact that radar images can be used to make rain types has already been published elsewhere, and showing that you can get a 7-state Markov model to work is not very novel. You claim that it will improve stochastic modelling of rainfall, you need to also show it.

AR: We hope the above answers convinced you that stochastic rain type simulation is an interesting topic, and can improve stochastic rainfall modelling. We also hope that clarifications about the aims of the paper, and how our contribution fits within the context of stochastic rainfall (and not weather) generators respond your inquiries about practical applications of the proposed approach.

References:

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- Benoit, L., Allard, D. and Mariethoz, G.: Stochastic Rainfall Modelling at Sub-Kilometer Scale, *Water Resources Research*, 54, 4108-4130, doi:10.1029/2018WR022817, 2018a.
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- Peleg, N., Fatichi, S., Paschalis, A., Molnar, P. and Burlando, P.: An advanced stochastic weather generator for simulating 2-D high-resolution climate variables, *Journal of Advances in Modeling Earth Systems*, 9, 1595-1627, doi:10.1002/2016MS000854, 2017.

Non-stationary stochastic rain type generation: accounting for climate drivers

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Abstract. At sub-daily resolution, rain intensity exhibits a strong variability in space and time, which is favorably modelled using stochastic approaches. This strong variability is further enhanced because of the diversity of processes that produce rain (e.g. frontal storms, mesoscale convective systems, local convection), which results in a multiplicity of space-time patterns embedded into rain fields, and in turn leads to non-stationarity of rain statistics. To account for this non-stationarity in the context of stochastic weather generators, and therefore preserve the relationships between rainfall properties and climatic drivers, we propose to resort to rain types simulation.

In this paper, we develop a new approach based on multiple-point statistics to simulate rain type time series conditional to meteorological covariates. The rain type simulation method is tested by cross-validation using a 17-year long rain type time series defined over central Germany. Evaluation results indicate that the proposed approach successfully captures the relationships between rain types and meteorological covariates. This leads to a proper simulation of rain type occurrence, persistence and transitions. After validation, the proposed approach is applied to generate rain type time series conditional to meteorological covariates simulated by a Regional Climate Model under an RCP8.5 emission scenario. Results indicate that, by the end of the century, the distribution of rain types could be modified over the area of interest, with an increased frequency of convective- and frontal-like rains at the expense of more stratiform events.

1 Introduction

Stochastic weather generators are statistical models designed to simulate realistic random sequences of atmospheric variables (e.g. temperature, rain and wind). Their main target is to reproduce both the internal variability of each variable of interest, and the relationships between these variables (Wilks and Wilby, 1999; Furrer and Katz, 2007; Ailliot et al., 2015). These features make stochastic weather generators particularly well suited for producing synthetic climate histories in view of impact studies (Mavromatis and Hansen, 2001; Verdin et al., 2015; Paschalis et al., 2014), as well as for stochastic downscaling of climate projections (Burton et al., 2010; Wilks, 2010; Volosciuk et al., 2017). Within stochastic weather generators, rainfall has long been recognized as a critical variable, in particular because of the strong intermittency (Pardo-Igúzquiza et al., 2006; Schleiss et al., 2011) and variability (Smith et al., 2009; Gires et al., 2014) of the rain process. The apparent intermittency and variability of rainfall increase with the time resolution of interest (Krajewski et al, 2003; Mascaro et al., 2013), and if resolutions of the

order of 1 h (or finer) are considered, it appears that storms caused by different generation processes (e.g. frontal storms, mesoscale convective systems, local convection) result in different rain field organizations and temporal patterns (Emmanuel et al., 2012; Marra and Morin, 2018).

Such changes in rainfall characteristics make rain statistics time-varying. In terms of stochastic modelling, this implies that the stochastic process used to model rainfall is non-stationary through time, i.e. the parameters of the stochastic model change over time. The most common way to deal with the non-stationarity of rain statistics is to define a priori (i.e. prior to model calibration) the time periods during which stationarity is assumed. Afterwards, a piecewise-stationary modelling is applied, i.e. model parameters are kept constant within a single stationary period, but are allowed to vary between stationary periods. The temporal scale at which non-stationarity occurs is defined by the modeler according to prior knowledge and assumptions about the rain process at hand, and ranges from seasons (Paschalis et al., 2013; Bárdossy and Pegram, 2016; Peleg et al., 2017) to single rain storms (Caseri et al., 2016; Benoit et al., 2018a). However, several empirical studies have shown that at the sub-daily scale, rain statistics can change at a higher rate than alleged in most piecewise-stationary stochastic rainfall models. More precisely, rain statistics have been shown to abruptly change within a single day (Emmanuel et al., 2012), and even within a single rain storm (Kumar et al., 2011; Ghada et al., 2019). To model rain non-stationarity on a more data-driven basis, and thereby account for the sub-daily non-stationarities reported above, it has recently been proposed to classify rain fields into rain types (e.g. based on weather radar images) prior to stochastic modelling of rain intensity (Lagrange et al., 2018; Benoit et al., 2018b). Rain fields belonging to the same rain type are then deemed statistically similar, and periods with a constant rain type can be regarded as stationary periods for the simulation of rain intensity.

In this context, the main goal of this paper is to propose a new approach to leverage the use of rain types for encoding non-stationarity in the framework of stochastic weather generators. However, the finality differs from that of classical weather generators (Richardson, 1981; Wilks and Wilby, 1999; Peleg et al., 2017) since we aim at simulating rainfall conditional to already known meteorological covariates, instead of simulating jointly the whole weather (i.e. all variables). More precisely, we develop a method for stochastic simulation of rain type time series conditional to the current state of the atmosphere, i.e. conditional to meteorological variables such as pressure, temperature, humidity or wind (Fig 1a). These meteorological covariates are assumed to be known beforehand, either from observations, numerical weather model outputs, or from other stochastic simulations. The advantage of the proposed approach is twofold: firstly, using a stochastic simulation to generate rain types allows to properly reproduce the natural variability of rain type occurrence, and thereby to indirectly model the non-stationarity of rain statistics observed in historical datasets. Secondly, the conditioning of the stochastic rain type model to the state of the atmosphere preserves the relationships between rain type occurrence and climatological drivers. Once realistic rain type time series have been simulated (i.e. the core of this study, Fig 1a), high-resolution rain fields can be generated conditional to rain types using any high-resolution stochastic rainfall generator (Vischel et al., 2011; Leblois and Creutin, 2013; Paschalis et al., 2013; Nerini et al., 2017; Benoit et al., 2018a) as illustrated in Fig 1b. Using rain types to guide the stochastic generation of synthetic rains has been shown to improve the realism of the resulting high-resolution space-time simulations (Benoit et al., 2018b).

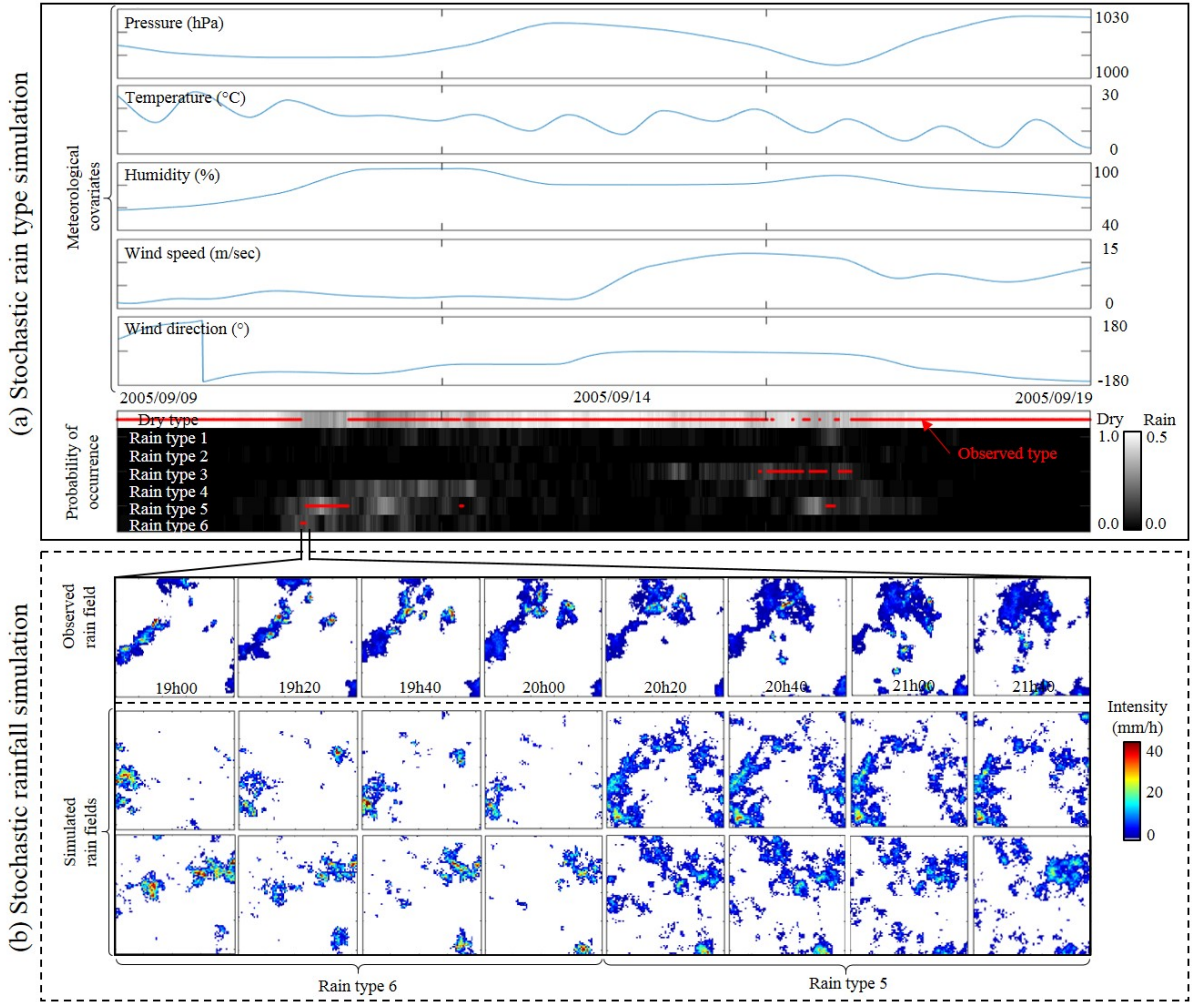


Figure 1. Overview of stochastic rain type generation (core of this study), and its application to simulate high-resolution synthetic rain fields whose statistical properties depend on meteorological conditions. (a) Rain type simulation framework developed in this study. (b) Illustration of stochastic rainfall simulation conditioned to changing rain types.

In the bottom row of (a) the observed rain types are in red, and the gray shaded background denotes the probability of rain type occurrence derived from stochastic rain type simulations conditioned to the meteorological covariates displayed in the 4 top lines.

In (b), the upper row displays actual rain fields observed by radar imagery, and the two bottom rows display two stochastic simulations of synthetic rain fields for the same period generated using the approach of Benoit et al. (2018a).

The remainder of the paper is structured as follows. First, Sect. 2 presents an example of sub-daily rain type time series, and Sect. 3 proposes a stochastic model able to capture the main statistical features of this dataset. Next, Sect. 4 assesses the performance of the model through a cross-validation procedure and Sect. 5 illustrates the application of the proposed approach

to the downscaling of EURO-CORDEX RCM precipitation future projections. Finally, Sect. 6 provides some conclusions about stochastic rain type modelling.

2 Example dataset of rain type time series and related meteorological covariates

Before proposing a stochastic model able to mimic the rain type occurrence process (Sect. 3), the present section explains how rain type time series are derived from weather radar observations, and investigates the main features of rain type occurrence in a mid-latitude climate.

2.1 Rain type time series

We focus hereafter on a 100 km x 100 km squared area centered on the city of Jena in the Land of Thüringen, Germany (Fig. 2a). This area has been chosen because its flat topography and its location far from coastlines or major topographic barriers ensure spatially homogeneous rain fields, allowing to focus on the temporal component of rainfall non-stationarity. Over this area, data used for rain typing consists of radar images extracted from the RADOLAN (RAdar-OnLine-ANeichung) dataset (Winterrath et al., 2012; Kaspar et al., 2013), which is provided in open access by the German meteorological agency (Deutscher Wetterdienst - DWD). It consists of raw (i.e. not adjusted on rain gauges) radar image composites over entire Germany from 01/01/2001 to present. RADOLAN radar image resolution is 1 km x 1km in space, and 5 min in time. In practice, however, we resampled radar images at a 10 min resolution and restricted our study to the period 01/01/2001 – 31/12/2017. The RADOLAN dataset is used as baseline information for rain typing, following a space-time classification approach (Benoit et al., 2018b). Using raw radar images can lead to biases in estimated rain intensities, but the impact of such biases on the classification are deemed negligible since the adopted approach focuses on rainfall space-time behavior rather than rainfall intensity. A problematic source of errors would be the change of radar biases along time, which could alter the inter-annual frequency of rain types. To alleviate this problem, uniformly reprocessed radar images are used as basis for the classification, which ensures a consistent data-cube throughout the period of interest. In practice, no adverse trend is noted in the observed rain type distribution (Fig. 3).

In a nutshell, the classification method proposed by Benoit et al. (2018b) and used hereafter for rain typing consists of the following. First, rainy time steps are defined as periods with more than 10% radar pixels measuring rain. The other time steps are classified as dry, and are not considered for rain typing. For classification, 10 statistical metrics are computed for each rainy radar image in order to assess the space-time-intensity behavior of the rain field observed in the image. Among these metrics, 3 relate to the statistical distribution of rain intensity observed in the radar image, 3 characterize the spatial arrangement of rain patterns within the image, and 4 evaluate the temporal evolution of the rain field due to rain advection and diffusion between consecutive periods. Then, the 10 metrics are used as a basis for classification using a Gaussian Mixture Model (GMM). All details about the 10 metrics and the clustering approach with GMM can be found in Benoit et al. (2018b). The resulting clusters correspond to rain fields with similar space-time behaviors. The number of rain types is selected as a compromise between goodness of fit to rain field statistics and model parsimony. In the present case, the parsimony is favored in order to

allow for a physical interpretation of the resulting rain types. As a result, 6 rain types are identified in the example dataset (Fig. 2b). Among them, rain types 1 and 4 correspond to rather stratiform and spread rain events, rain type 3 corresponds to frontal rain storms, and rain types 5 and 6 can be associated with rather convective rains. Rain type 2 cannot be associated to a specific rain behavior, but rather gather rain fields that are not classified otherwise, and often correspond to partial rain coverage.

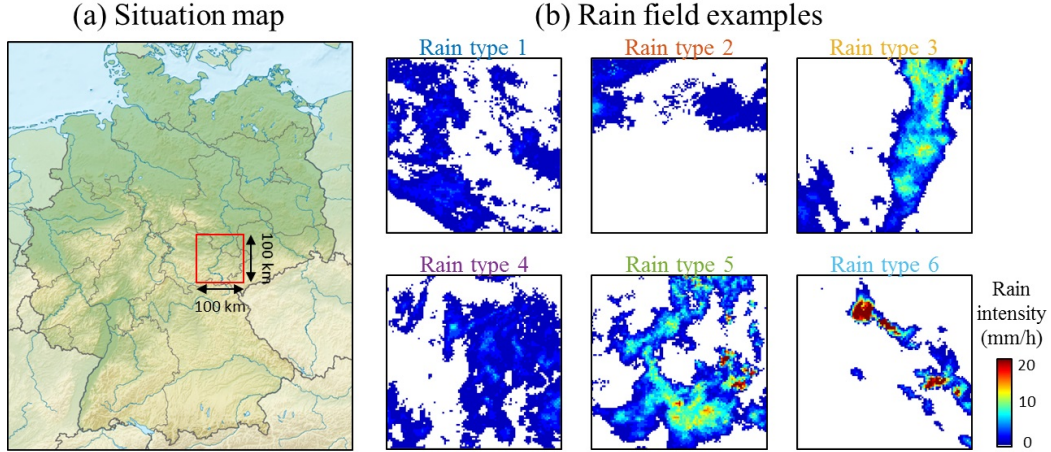


Figure 2. Radar dataset used for rain typing. (a) Study area. The red square denotes the area of interest, centered on Jena, Thüringen, Germany. (Background map from Wikipedia.org, licensed under CC BY 3.0) (b) Example of RADOLAN radar images (cropped over the area of interest) for each rain type.

Using only radar images with more than 10% wet pixels to define rain types ensures a reliable classification, but at the cost of a dry bias (in the present dataset 32% of the images have a rain fraction between 0% and 10%, and encompass 19% of the rain total, cf Fig. 3). To deal with images with less than 10% wet pixels, Benoit et al. (2018b) proposed to classify images with a small rain fraction (i.e. $0\% < \text{rain fraction} < 10\%$) in a second step by assigning them the type of the closest classified image (i.e. nearest neighbor interpolation in time). This post-processing scheme is not directly transferable to the context of simulation because no information about low rain coverage images is available in simulation outputs. Two options can be considered to alleviate this problem. First, the rain type model defined in Sect 3 can be calibrated on the final classification (i.e. including images with low rain coverage), which results in simulations that preserve the actual rain proportion. However, using a classification that includes the onset and the end of rain storms leads to less clear relationships between climate covariates and rain type occurrence, which may degrade simulation results. Hence the second option, which we follow in this paper, which consists in (1) calibrating and running the rain type model for rain types defined only from radar images with more than 10% rain coverage, and next (2) re-adjusting the wet/dry balance by post-processing. The dry bias is corrected assuming the ratio $R = \frac{N_s}{N_l}$ between the number N_s of images with low rain coverage and the number N_l of images with large rain coverage as constant in observations and simulations. Subsequently, the number of epochs for which rain is simulated is increased by

propagating the closest rain type to the $R \times N_t$ dry epochs located at the beginning and at the end of rain storms. Supplementary material 1 shows that such post-processing performs well to mitigate the dry bias originating from the use of a 10% rain coverage threshold to define a wet image. However, since the present study focuses on climate - rain type relationships, which are better defined when considering only the first step of the classification, the aforementioned post-processing is not applied in the remainder of this paper. Hence, one should keep in mind that in the following the dry type also includes epochs with a low rain coverage (under 10%), and that a post-processing is required if the end-use application involves stochastic simulation of actual rain fields.

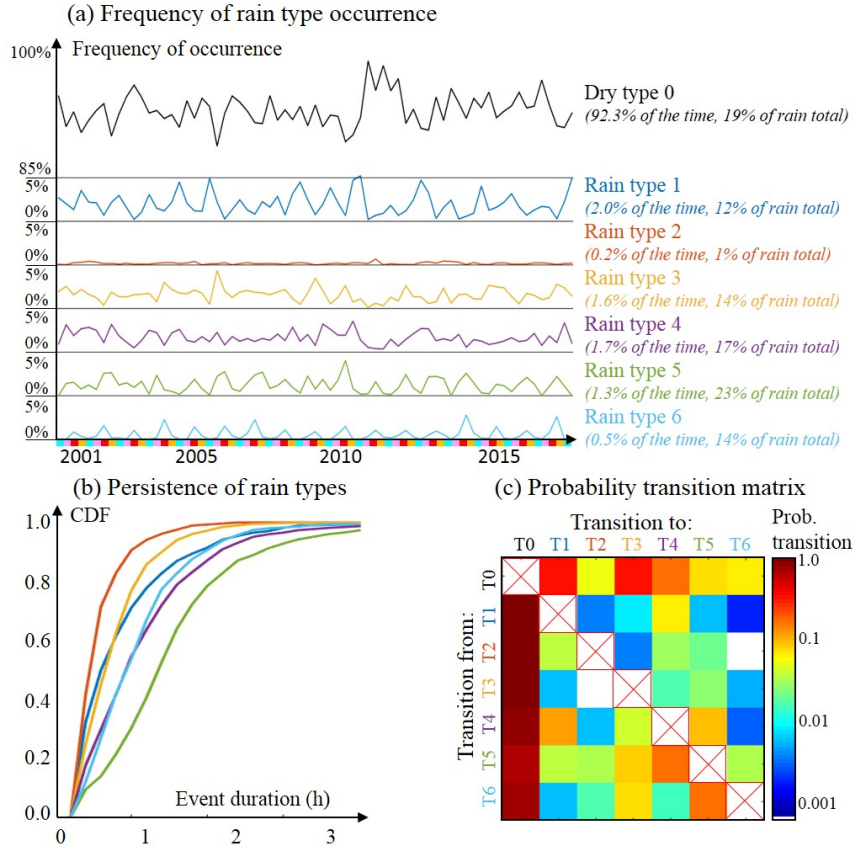


Figure 3. Main features of a rain type time series (2000-2017) observed over central Germany. (a) Frequency of rain type occurrence computed at a seasonal basis (Seasons are DJF (light blue), MAM (pink), JJA (red) and SON (yellow)). (b) CDF of event duration stratified by rain type. (c) Empirical matrix of transition probability between rain types.

Fig. 3 investigates the occurrence of rain types through time, and highlights some features. Fig. 3a displays the frequency of each rain type at the seasonal scale. It appears from Fig. 3a that the frequency of occurrence of individual rain types is strongly variable across the year. For example, stratiform rain types 1 and 4 occur mostly in winter, while convective rain types 5 and 6 are most common in summer. In addition, one can notice a strong inter-annual variability in rain occurrence, summers 2003

and 2011 having a low occurrence of rain, while rain occurrence is particularly high during winters 2006 and 2011. Figure 3b displays the Cumulative Density Function (CDF) of the duration of each rain type. Here rain type duration is defined as the duration (i.e. length along the time axis) of a segment of constant rain type. Each curve in Fig. 3b therefore corresponds to the probability that a rain event of a given type does not exceed the duration given in abscissa. This figure shows that all rain types are persistent in time with durations ranging from few minutes to more than 3 hours, and that some types (e.g. rain types 4 and 5) are more persistent than others (e.g. rain types 2 and 3). Finally, Fig. 3c displays the empirical transition matrix between rain types, and focuses on inter-type transitions (i.e. transitions to the same type are ignored and denoted by red crosses). This figure shows that the patterns of transition between rain types are complex, and that the transitions involving type 0 (i.e. no rain) are largely dominant.

2.2 Meteorological covariates

The strong seasonality and inter-annual variability of rain type occurrence emerging from Fig. 3a can be explained to a large extent by regional meteorological conditions. Hereafter, we investigate the links between rain type occurrence and a set of 5 meteorological covariates that are deemed to influence rainfall behavior (Vrac et al., 2007; Willems, 2001; Rust et al., 2013), namely: sea level pressure, temperature at 2 m, relative humidity, and direction and intensity of synoptic wind at 850 hPa. The actual values of the meteorological covariates used in this study are extracted from the ERA-5 reanalyses (Hersbach et al., 2018) and averaged over the whole area of interest before further use. Only parameters provided at daily resolution are used hereafter in order to be compatible with the temporal resolution of RCM projections used for the illustration of our framework (see Sect. 5). To match the resolution of rain type data, the above daily-resolution meteorological covariates are disaggregated to a 10 min resolution. To this end, the mean daily pressure, humidity, wind direction and wind intensity are assumed to occur at 12 PM local time, and are then interpolated at a 10 min resolution using a polynomial interpolation. For temperature, the daily minimum is assumed to occur at 5 AM local time, the daily maximum at 3 PM, and the diurnal cycle is captured by spline interpolation. This disaggregation framework leads to 10-min resolution meteorological covariates in good agreement with actual 10-min resolution observations carried out by a weather station located close to the center of the area of interest, and rain type simulations conditioned to these two sets of covariates (in-situ observations and disaggregated reanalysis data) give very similar results (Supplementary material 2, Fig. 2).

Figure 4 displays the relationships between meteorological covariates and rain type occurrence, and confirms the strong influence of temperature and wind speed on rain types. Indeed, stratiform rain types 1 and 4 occur at lower temperatures than convective types 5 and 6, and co-occur with stronger winds. The frontal rain type 3 is characterized by strong westerlies, but occurs for a broad range of temperatures. In contrast to temperature and wind speed, the standalone knowledge of pressure, relative humidity or wind direction does not allow to discriminate between all rain types. However, when considered jointly (Supplementary material 2, Fig. 3), all meteorological covariates bring information about rain type occurrence. In particular, pressure and humidity are key drivers for rain occurrence (no matter the type) and are therefore useful to predict dry and wet spells. Furthermore, wind direction informs the occurrence of rain type 3 and helps discriminating between rain types 5 and 6.

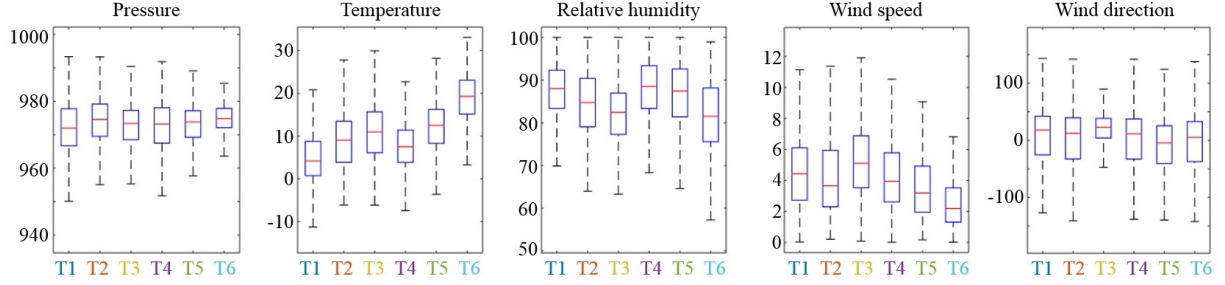


Figure 4. Statistics of meteorological covariates for each rain type. The meteorological data have been extracted from the ERA-5 reanalysis dataset.

3 Stochastic rain type model

Daily resolution stochastic weather generators do not distinguish between rain types and typically resort to Markov-chain models to simulate rain occurrence (Richardson, 1981; Wilby, 1994; Wilks and Wilby, 1999). Within Markov-chain models, semi-Markov models are often favored when the persistence of wet and dry spells is of prime importance (Foufoula-Georgiou and Lettenmaier, 1987; Bárdossy and Plate, 1991), and non-homogeneous Markov models are preferred when the dry/wet sequence has to be conditioned to meteorological covariates (Hughes and Guttorp, 1999; Vrac et al., 2007). An easy option to deal with rain type simulation conditional to meteorological covariates would therefore be to extend one of these Markov-chain-based frameworks by simply increasing the number of states of the Markov chain in order to account for the diversity of rain types. However, both rain type persistence and conditioning to covariates are equally important for the targeted application, which led us to combine both frameworks to build a non-homogeneous semi-Markov model. Unfortunately, semi-Markov models do not allow for an easy conditioning to continuous-time covariates, and our attempt to build a non-homogeneous semi-Markov model led to a significant dry bias in rain type simulations (Supplementary material 3). Hence, it appeared that even relatively sophisticated parametric models are challenged by the complexity of rainfall at sub-daily resolution (Oriani et al., 2018).

One alternative to account for such complexity is to consider high-order properties through a non-parametric approach based on the resampling of historical datasets (Oriani et al., 2014). For the simulation of rain types conditional to meteorological covariates, we therefore adopt the framework of multiple-point simulations (MPS). MPS consist of using a training dataset (here a past rain type record) to estimate empirically the probability distribution of the variable of interest (here rain type occurrence at a given time step) conditionally to the values already simulated in its temporal neighborhood (Fig. 5a). In the specific MPS algorithm we use (Gravey and Mariethoz, 2018, Under Review), the conditional pdf is indirectly assessed by making a random sampling of the training dataset that aims at finding a pattern that is similar to the local conditioning neighborhood (Fig. 5b). In practice, we use a 100 h neighborhood for the present application. Once a match is found (i.e. a pattern in the training image that minimizes the Hamming distance with the target pattern), the corresponding value is imported in the simulation grid (Fig. 5c), and the procedure is iterated until the full simulation grid is filled. In the MPS framework, the

dependence of rain type occurrence to meteorological covariates can be handled by multivariate-MPS simulation (Mariethoz et al., 2010). It consists of stacking time series of meteorological covariates with the time series of rain type occurrence, and to evaluate the conditioning neighborhood on the time series of the resulting vector-variable. Here we use a simplified version of multivariate-MPS where only the co-located covariates (i.e. the values of the covariates observed at the exact time step to simulate) are accounted for during the matching procedure.

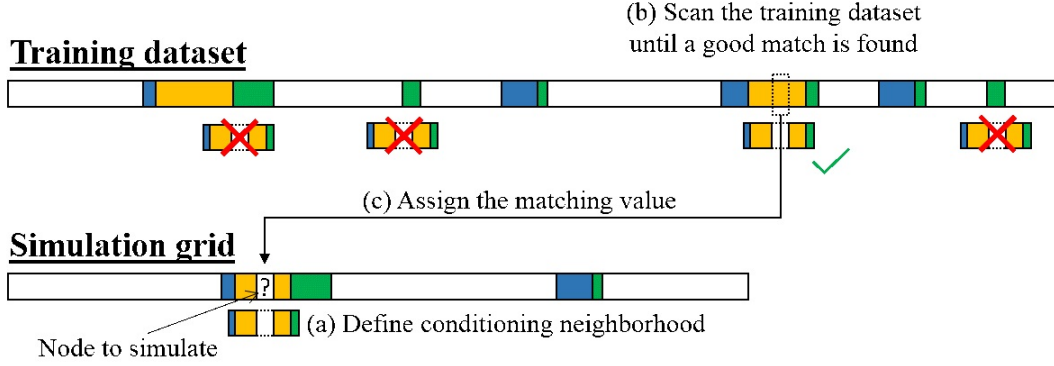


Figure 5. Schematic view of the MPS algorithm used for non-parametric resampling of historical rain type time series.

Since MPS is a non-parametric approach, it does not require model calibration strictly speaking. Instead, it requires a training dataset to resample, which should include both the variable of interest (here a rain type time series) and optional covariates (here meteorological covariates). To produce reliable results, and in particular meaningful uncertainty estimates, MPS requires large training datasets (Emery and Lantuéjoul, 2014). In the present case, the training dataset is the historical record of joint rain types and meteorological covariates observations available over the target area (17 years). After selection of a training dataset, simulations are obtained by resampling the training dataset using the MPS algorithm described above.

4 Model assessment

4.1 Cross-validation

Model performance is assessed by cross-validation, using the dataset introduced in Sect. 2. In practice, we adopt a leave-one-year-out procedure. For a given simulation year, the rain type model is first trained using data from the 2001-2017 period, excluding the year to simulate. Next, 50 realizations of rain type time series are generated for the year of interest by MPS simulation, conditioned to observations of the meteorological covariates derived from the ERA5 reanalysis as described in Sect. 2. Finally, the same procedure is iterated for each year of the test period (i.e. 2001-2017), and 50 realizations of 17-years-long rain type time series are obtained by concatenating in time the 17 yearly simulations.

The 50 simulations are compared to the reference rain type time series in Fig. 6. Focusing first on the ability of the model to simulate dry and wet conditions (i.e. without distinction between rain types), the first panel of Fig. 6a compares the observed

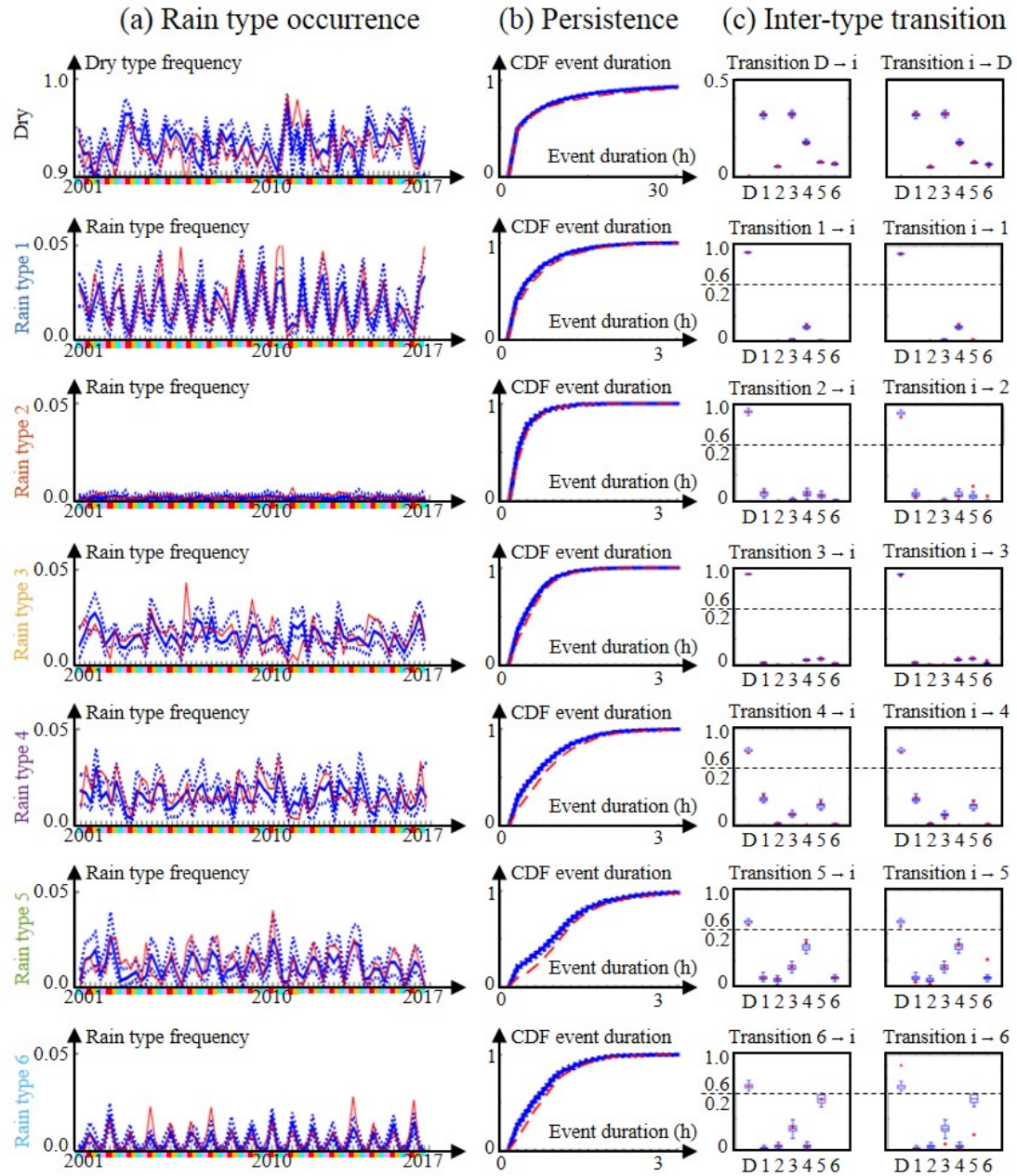


Figure 6. Results of the cross-validation experiment. (a) Seasonality of rain (and dry) type occurrence (Seasons are DJF (light blue), MAM (pink), JJA (red) and SON (yellow)), (b) rain type persistence, and (c) probability of transition between rain types. Observations are in red and simulations in blue. In simulations, continuous lines represent the median of the simulated ensembles (50 realizations), and dashed lines represent the Q10 and Q90 quantiles.

and simulated frequencies of dry occurrence for each season of the validation period 2001-2017. The results show that our model properly simulates the overall proportion of rain (ratio simulated/observed rain frequency = 0.93). In addition, the chronology of dry/wet occurrence at the seasonal scale is reasonably simulated (correlation between observed and simulated dry type occurrence=0.6).

5 Focusing next on the simulation of rain types, Fig. 6 (lines 2-7) assesses the ability of the model to reproduce the typical features of rain type occurrence highlighted in Sect. 2, namely seasonality, persistence and transition. Figure 6a assesses rain type seasonality and shows that for strongly seasonal rain types (types 1, 5 and 6) the annual cycle of rain type occurrence is properly simulated. It is also worth noting that the inter-annual variability of the annual cycle is reasonably well simulated, as well as the inter-annual variability of weakly seasonal rain types (types 3 and 4). This shows that the proposed approach
 10 not only reproduces the annual cycle of rain type occurrence driven by monthly-scale variations of the covariates, but also captures the impact of short term fluctuations of meteorological conditions that trigger rain storms of type 3 and 4. However, when scrutinizing the minima and maxima in Fig 6a, one can notice that peaks in observations are smoothed out in simulations, which traduces the difficulty of the model to simulate extreme cases. This is a known drawback of MPS simulations (Mariethoz and Caers, 2015), and it constitutes the main limitation of the present approach for the simulation of future climates in which
 15 unusual climatic conditions are deemed to become more frequent. Regarding rain type persistence, Fig 6b shows that this feature is in general very well reproduced, except for long lasting types 4 and 5 for which persistence is slightly underestimated. Finally Fig. 6c assesses inter-type transitions. One can notice that the transition patterns are almost perfectly reproduced, except for the transition from dry (and to a lesser extend from type 5) to rain type 6 that is underestimated (respectively overestimated). Overall, the proposed stochastic rain type model properly reproduces the main features of observed rain type time series. This
 20 good performance is linked to the ability of MPS to accurately reproduce high-order statistics of rain type time series.

4.2 Sensitivity to climate variability

To ensure that the proposed rain type model is able to capture the impact of climatic signals on rain type occurrence, the results of the cross-validation procedure are stratified according to annual climatic signatures. To this end, Fig. 7 compares simulated and observed rain type occurrences at the monthly scale for four sub-datasets: the 5 coldest years of the 2001-2017 period
 25 (Fig. 7a), the 5 warmest years (Fig. 7b), the 5 driest years (Fig. 7c), and finally the 5 wettest years (Fig. 7d). Observations (red curves in Fig. 7) show that years with different climatic signatures indeed develop distinct dry/wet ratios and rain type distributions. Simulation results (blue curves in Fig. 7) show that the proposed model properly reproduces these climatically-driven differences in rain type distribution.

Figure 7 therefore allows for a detailed investigation of the impact of climatic signals on local rain type distribution over
 30 central Germany. Comparing first cold and warm years (Fig. 7a-b), one can notice that warm years tend to be drier, in particular in late winter and spring. This is mostly caused by a deficit of type 1 precipitations during warm years, which correspond to less snow (type 1 occurs mostly at low temperatures, cf Fig. 4). Drier springs during warm years are also caused by a deficit of type 5 (slightly convective), which probably correspond for this time of the year to rain and sleet showers. Finally, one can notice an increased occurrence of type 6 (strongly convective) during warm years, which is captured by the model despite a

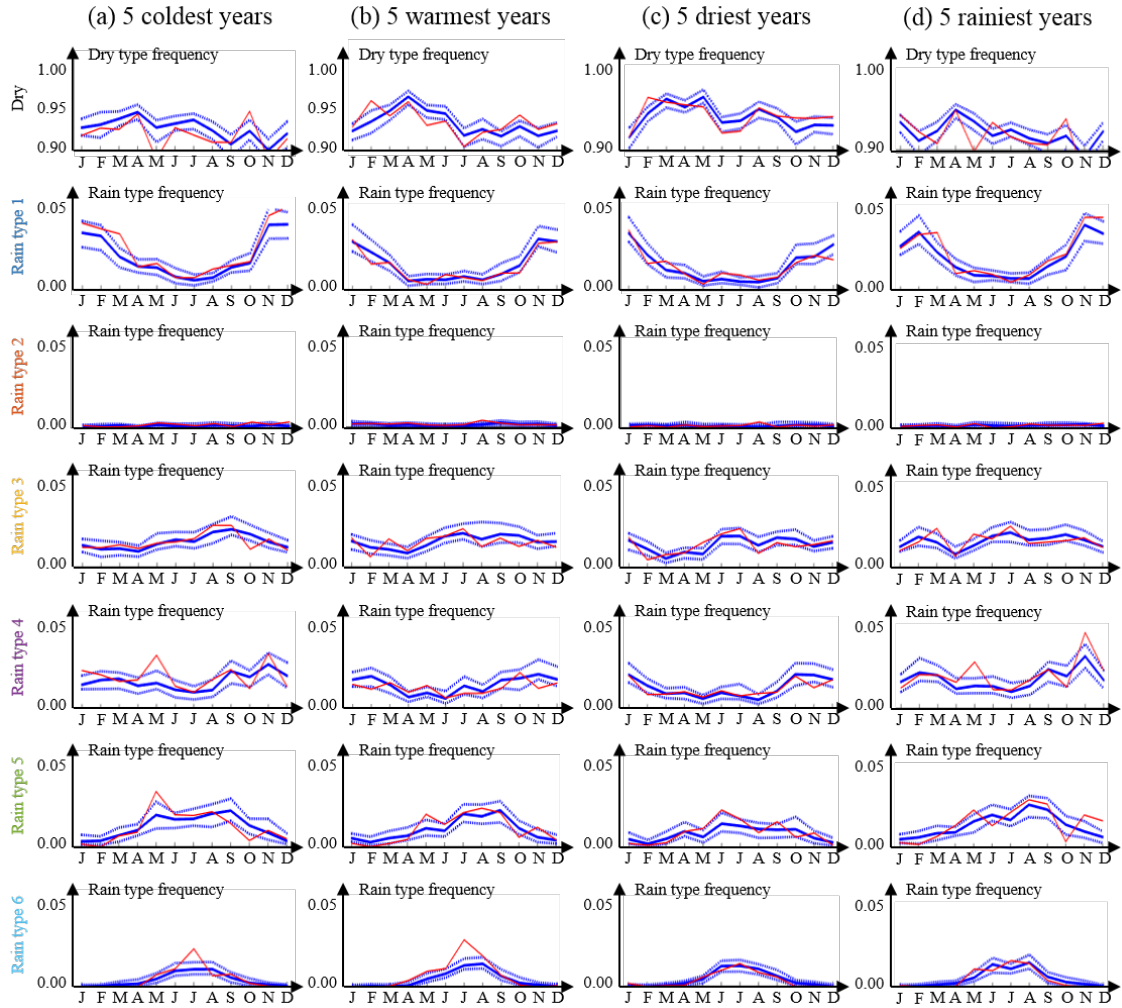


Figure 7. Monthly rain type occurrence stratified according to climate forcing: (a) 5 coldest years of the 2001-2017 period (2001, 2004, 2005, 2010, 2013), (b) 5 warmest years (2007, 2011, 2014, 2015, 2017), (c) 5 driest years (2003, 2011, 2012, 2015, 2016), and (d) 5 wettest years (2001, 2002, 2007, 2009, 2010). Observations are in red and simulations in blue. In simulations, continuous lines represent the median of the simulated ensembles (50 realizations), and dashed lines represent the Q10 and Q90 quantiles.

slight underestimation of this type for both cold and warm years. Comparing next dry and wet years (Fig. 7c-d), one can notice that all months contribute to the rain imbalance, but that the rain deficit is more pronounced in spring and autumn. In terms of rain type distribution, this is mostly caused by a deficit of rain types 1 and 4 (stratiform) as well as 5 (slightly convective) during dry years. It is also worth noting that rain type 3 (frontal) tends to be slightly more common during rainy years, but in contrast to other types its increased occurrence is spread along the whole year.

Overall, the proposed approach properly captures the impact of climatic signals on rain type occurrence. This property is

essential to preserve the relationships between rain types and climatological drivers, and paves the way to RCM precipitation downscaling.

5 Application to RCM precipitation downscaling

For illustration purposes, the stochastic rain type model developed in Sect. 3 is used to simulate the evolution of rain type occurrence in a changing climate simulated by one RCM run extracted from the EURO-CORDEX climate downscaling experiment (Jacob et al., 2014). To drive the simulation of rain types in a changing climate, the same set of meteorological covariates as the one used for cross-validation is derived from one RCM run, more precisely from the Regional Atmospheric Climate Model of the Dutch national weather service (RACMO-KNMI (Van Meijgaard et al., 2008)) driven by the CNRM-CM5 Earth system model (Voldoire et al., 2013) forced according to the RCP8.5 emission scenario. Three intervals of 20 years each are selected to investigate the evolution of rain type occurrence over the 21st century: 1997-2017 (reference period that encompasses the 2001-2017 calibration period for which rain type observations are available), 2037-2057, and 2077-2097. For each period, the meteorological covariates are extracted from the RCM simulation, averaged over the area of interest, and disaggregated at a 10 min resolution as described in Sect. 2. In addition, RCM outputs are bias-corrected using the CDF-t method for each variable separately (Vrac et al., 2012). After bias-correction of RCM data, the performance of the model to simulate rain types in the present climate is almost identical for meteorological covariates derived from the RACMO-KNMI RCM and the ones derived from the ERA-5 reanalysis (Supplementary material 4).

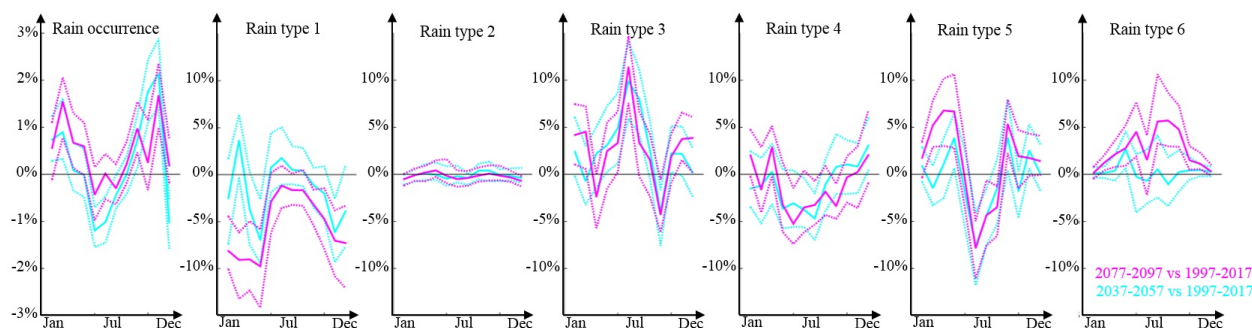


Figure 8. Changes in rain occurrence frequency (left panel) and rain type distribution conditional to the presence of rain (other panels) simulated using the stochastic rain type model developed in Sect. 3: 2037-2057 vs 1997-2017 (light blue) and 2077-2097 vs 1997-2017 (purple). Continuous lines represent the median of the simulated ensembles (50 realizations), and dashed lines represent the Q10 and Q90 quantiles.

For each 20-year period, 50 realizations are simulated conditional to bias-corrected RCM-derived meteorological covariates. To evaluate the projected changes in rain type distribution, Fig. 8 displays the evolution of the monthly frequency of rain type occurrence between the reference period 1997-2017 and the two future periods 2037-2057 and 2077-2097. Observed changes in rain type occurrence frequency are considered as significant if they exceed the uncertainty of the projection that is defined

as the Q10-Q90 interval of the 50 realizations. It should be noted that in contrast to rain type occurrence, the simulated persistence and transition behavior of rain types remain constant over the whole test period (not shown). Results in Fig. 8 show that the frequency of rain occurrence slightly decreases in summer and increases in winter, spring and autumn. Among these changes, only the increase of rain occurrence during autumn and winter is significant. The distribution of rain types conditional to the presence of rain is more significantly modified than rain occurrence. More precisely, during winter, rain type 1 (stratiform) significantly declines while the frequency of rain type 3 (frontal) and 5 (moderately convective) significantly increases. During spring and fall, rain types 1 (stratiform) significantly declines while rain types 5, 6 (convective) tend to increase but not significantly. Finally, during summer, rain types 1, 4 (stratiform) and 5 (moderately convective) decrease while rain types 3 (frontal) and 6 (strongly convective) increase, most of these changes being significant. Overall, it appears from this exploratory study that under the assumption of the specific RCM run used to simulate the meteorological covariates, convective and frontal rains could become more frequent at the expense of stratiform rains by the end of the 21st century. The most significant changes are obtained during winter and summer. It is worth mentioning that the evolution of rain behavior along the 21st century simulated in the present study is qualitatively in line with results obtained over Western Europe by studies using physical models, which anticipate more frequent heavy rains driven by convection or active fronts (Molnar et al., 2013; Faranda et al., 2019) at the expense of low intensity stratiform precipitations.

6 Concluding remarks

6.1 Discussion

By introducing a step of rain type simulation in the framework of stochastic rainfall generators, we suggest that for high temporal resolution applications, the simulation of rain can be split in two steps (Fig. 1). In a first instance, rain types are simulated conditional to meteorological covariates to account for the diversity of rain storms at the regional scale. This first step is the main focus of the present paper. For subsequent applications, we assume that the rain intensity can be simulated conditional to rain types. This is the classical aim of space-time distributed stochastic rainfall generators, which are becoming more and more common to address the needs of high-resolution hydrometeorological impact studies (Vischel et al., 2011; Leblois and Creutin, 2013; Paschalis et al., 2013; Nerini et al., 2017; Benoit et al., 2018a). Hence, two main applications can be considered for stochastic rain type simulation. The first one, briefly illustrated in Sect. 5, consists of assessing the evolution of the statistical signature of rainfall in a changing climate simulated by RCMs. It is worth noting that if one want to carefully evaluate the change in rain type occurrence that may emerge in the future, one should rely on a large ensemble of RCM-GCM-Emission scenarios combinations to properly capture the uncertainty on meteorological covariates. In addition, one should keep in mind that the present approach only accounts for changes in the distribution of existing rain types, and therefore ignores the possible emergence of new rain types in response to climate conditions that have never been observed over the area of interest. Such new rain types could potentially be modelled by reparametrizing the stochastic rainfall model used to simulate local rain fields (Peleg et al., 2019), by using rain analogs from areas that experience today the climate that is simulated in the future over the area of interest (Hallegatte et al., 2007; Fitzpatrick and Dunn, 2019),

or by running a convection-permitting climate model (Prein et al., 2015) for the newly emerging climate conditions. The development of a framework to model emerging rain types is however left for future research.

The second application is the simulation of rain intensity at high space-time resolution while preserving consistency with climatological drivers such as temperature, pressure, humidity and wind. As mentioned in the introduction, simulating rain intensity would require setting up and calibrating a high-resolution stochastic rainfall model for each rain type over the area of interest, and was therefore not considered in the present study except in Fig 1b for illustration purposes. However, two advantages are expected to emerge from adding a rain type simulation step into stochastic rainfall modelling: first, a relatively low number of rain types can be specified, which implies that the model of rain intensity has to be calibrated a limited number of times. This ensures that enough observations are available to calibrate the rain intensity model for each rain type, and therefore prevents model overfitting. The second advantage is the added flexibility to simulate rain storm dynamics, which allows to generate intra-storm variations of the space-time rainfall statistics.

6.2 Outlook

In this paper, a non-parametric approach based on the resampling of historical records using multiple-point statistics has been proposed and thoroughly tested for the simulation of rain types time series conditional to meteorological covariates. Evaluation results based on a 17-year long rain type dataset in a mid-latitude climate (central Germany) show that MPS simulations are able to reproduce both the internal variability of rain type time series, as well as relationships with meteorological covariates. After validation, stochastic rain type simulation is applied to the downscaling of RCM projections over the 21st century. Rain type simulations conditioned to meteorological covariates simulated by a Regional Climate Model under an RCP8.5 emission scenario indicate a possible change in rain type distribution by the end of the century, with an increased frequency of heavy rains driven by convection or active fronts, and a decline of low intensity stratiform precipitations.

The ability of stochastic simulations to generate realistic rain type time series when conditioned to meteorological covariates advocates for including stochastic rain type simulation into rainfall generators in order to: (1) reproduce the internal variability or rain type occurrence, in particular inter-annual variability, seasonality, persistence and inter-type transitions, and (2) preserve the relationships between rain statistics and meteorological covariates, in the present case temperature, pressure, humidity and wind. The above features make stochastic rain type simulation a convenient tool to account for the non-stationarity of rain statistics driven by meteorological conditions. This opens the door to sub-daily stochastic downscaling of climate projections, and to improved stochastic rainfall simulations.

Competing interests. The authors declare that they have no conflict of interests.

Acknowledgements. All data and codes used in this study are open source and freely available in the following repositories:

– Radar data: https://opendata.dwd.de/climate_environment/CDC/grids_germany/5_minutes/radolan.

- Rain type data: https://github.com/LionelBenoit/Stochastic_Raintype_Generator/Raintype_data.
- Rain typing software: https://github.com/LionelBenoit/Rain_typing.
- Stochastic rain type models: https://github.com/LionelBenoit/Stochastic_Raintype_Generator/codes.
- MPS simulation software: <https://github.com/GAIA-UNIL/G2S>.

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