## Authors' answers to Anonymous Referee #3

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2 3 The authors have made an effort to carefully address all the comments put forward by the reviewers and 4 have improved the manuscript. In my opinion it is almost ready for publication, given some small 5 amendments. 6 Dear reviewer, we thank you for reviewing our manuscript for the second time. You will find our 7 answers in line with your comments below: 8 9 (title) 10 I am not convinced by the new title of the manuscript. The "rollercoaster" and the "swarm" are not sufficiently 11 self-explanatory acronyms to allow sense-making of the title. I strongly encourage finding a title that is catchy because it speaks for itself. Why not including the terms "variation" and "rooting depth" into the title? 12 We have changed the title to a version close to its original form, but integrating the important 13 keywords suggested by the referee: 14 "Disentangling temporal and population variability in plant root water uptake from stable 15 16 isotopic analysis: when rooting depth matters in labeling studies" 17 18 (abstract) 19 The abstract still includes some sentences that are difficult to understand without having read the paper. I 20 propose properly rephrasing. Specifically -Line 32-33: "the variability captured by δ tiller was spatial and may not correlate with the temporal dynamics 21 22 of  $\psi$  leaf." 23 We attempted to make our point clearer and edited the sentence as (now L32-35): 24 "...the variability captured by  $\delta_{\text{tiller}}$  reflected the spatial heterogeneity in rooting depth in the 25 soil region influenced by the labeling and may not correlate with the temporal dynamics of  $\psi_{\text{leaf.}}$  In other words, the strong variations of RWU as deduced from isotopic changes in the 26 27 tiller water may not translate into significant variations of leaf water potential value." 28 29 Line 36-37: The reference to hydraulic lift comes a bit out of the blue. I expected the last sentence of the 30 abstract to address further implications, but not a completely new topic. It is confusing. Can you 31 accommodate this? 32 We now underline that the very last sentence in the abstract is not a new topic but illustrates another 33 fundamental difference between the physical and statistical model (now L38-40): 34 "An important difference between the two types of RWU models was the ability of the physical model to simulate the occurrence of hydraulic lift in order to explain concomitant 35 36 increases of soil water content and isotopic composition observed overnight above the soil labeling region." 37 38 39 (List of variables) 40 Se\_j is now added to list of variables. However, please adhere to the HESS guidelines which require variable 41 abbreviations in equations to be one letter only. Therefore, the abbreviation Se should be changed. Thank you. The symbol "Se" is standard for soil relative water content in vadose zone hydrology, 42 so we modified it into "Se," with "e" part of the subscript so that the main symbol "S" is one letter as 43 requested by HESS guidelines. We therefore adapted the text in section "2.4 Modeling of RWU and 44 45  $\delta_{\text{tiller}}$ " (now L206-211):

46 47		The soil hydraulic conductivity function of Mualem [1976] and vused:	van Genuchten [1980] was
48		$k_{soil,j}(t) = k_{sat} \cdot S_{e,j}^{\lambda}(t) \left( 1 - \left( 1 - S_{e,j}^{\frac{1}{m}} \right)^m \right)^2$	(4)
49		where $()$ $S_{e,i}$ , the relative water content (dimensionless), is co	omputed from the saturated
50		$(\theta_{sat}, m3 m-3)$ and residual $(\theta_{res}, m3 m-3)$ water contents as:	
51		$S_{e,j} = \frac{\theta_j - \theta_{res}}{\theta_{sat} - \theta_{res}}$	(5)
52			
53			
54			
55	(Methods)		

Line 188: ", the right amount of plants" - do you mean ", the right number of plants"? Also, it is unclear what is meant with ", Could you please specify?

58 Thank you for spotting this point that requires clarification. In the revised version of the text, we 59 rephrased the sentence as follows (now L190-191):

"In order to reach a total number of virtual plants representative of the number of plants in the
 experimental setup, each root system was replicated 5 times, forming a "group"."

Line 222: In the response to the review 3 it says the new text would read "big leaf", but in the new manuscript
 version it only says "leaf". The "big leaf", as in the response to reviewer 3, would be better.

65 Thank you for noticing this oversight on our behalf. In the revised version of the text, we used the 66 term "big leaf" as in the reply to the referee (now L224-226):

67 "... K<sub>soli-root</sub> represents the water flow per unit water potential difference between the SSF-averaged
68 bulk soil water potential and the "big leaf" (assuming a negligible stem hydraulic resistance [Steudle and
69 Peterson, 1998]."

Figure 2:

71

I think panel c would be much easier to read, if the x-axis was simply log-scale instead of plotting log( \psi\_soil)
 You are right! This is done. The new Figure 2 is displayed below:

2

You are right! This is done. The new Figure 2 is displayed below:  $\delta_{\text{soil}}(\infty)$ -6 -4 -2 0 \_8 2 0.0 -0.5 Soil depth (m) natural Δ labelled 1.0 DaS 166 15:43
 DaS 167 07:00 DaS 167 17:00
 DaS 168 05:00 (a) (b) (c) . . 0.0 0.2 0.4 0.6 10 30 50 0.001 10 1000 0.1  $\theta$  (m<sup>3</sup> m<sup>-3</sup>)  $-\psi_{\text{soil}}$  (MPa)  $\delta_{\text{soil\_labelled}}\left(\%\right)$ 

# 76 **Disentangling temporal and population variability in plant root**

## 77 water uptake from stable isotopic analysis: when rooting depth

78 matters in labeling studies The rollercoaster and the swarm:

79 disentangling plant water isotopic composition variabilities in

- 80 response to soil water labelling
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93 Abstract. Isotopic labeling techniques have the potential to minimize the uncertainty of plant root water uptake (RWU)

94 profiles estimated through multi-source (statistical) modeling, by artificially enhancing soil water isotopic gradient.

95 On the other end of the modelling continuum, physical models can account for hydrodynamic constraints to RWU if

96 simultaneous soil and plant water status data is available.

97 In this study, a population of tall fescue (Festuca arundinacae cv Soni) was grown in a macro-rhizotron and monitored

98 for a 34-hours long period following the oxygen stable isotopic (<sup>18</sup>O) labeling of deep soil water. Aboveground

99 variables included tiller and leaf water oxygen isotopic compositions ( $\delta_{\text{tiller}}$  and  $\delta_{\text{teaf}}$ ) as well as leaf water potential

- 100 (*ψ*<sub>leat</sub>), relative humidity, and transpiration rate. Belowground profiles of root length density (RLD), soil water content
- 101 and isotopic composition were also sampled. While there were strong correlations between hydraulic variables as well
- 102 as between isotopic variables, the experimental results underlined the partial disconnection between temporal dynamics
- 103 of hydraulic and isotopic variables.

104 In order to dissect the problem, we reproduced both types of observations with a one-dimensional physical model of 105 water flow in the soil-plant domain, for 60 different realistic RLD profiles. While simulated  $\psi_{\text{leaf}}$  followed clear 106 temporal variations with little differences across plants as if they were "on board of the same rollercoaster", simulated 107  $\delta_{\text{tiller}}$  values within the plant population were rather heterogeneous ("swarm-like") with relatively little temporal 108 variation and a strong sensitivity to rooting depth. The physical model thus explained the discrepancy between isotopic 109 and hydraulic observations: the variability captured by  $\delta_{\text{titler}}$  reflected was the spatial heterogeneity in rooting depth in 110 the soil region influenced by the labeling and spatial and may not correlate with the temporal dynamics of  $\psi_{\text{leaf.}}$  In 111 other words, the strong variations of RWU as deduced from isotopic changes in the tiller water may not translate into 112 significant variations of leaf water potential value. 113 For comparison purposes, a Bayesian statistical model was also used to simulate RWU. While they predicted relatively 114 similar cumulative RWU profiles, the physical model could differentiate spatial from temporal dynamics of the isotopic 115 compositionsignature. An important difference between the two types of RWU models was the ability of the physical 116 model to simulate the occurrence of hydraulic lift in order It further supported that to explain concomitant increases of

117 soil water content and isotopic composition observed overnight above the soil region influenced by the labeling

118 regionwere due to hydraulic lift.

#### 119 List of variables with symbols and units

120	Name	Symbol		Units
121	Leaf water potential/head:	$\psi_{\text{leaf}}$		MPa
122	Soil water potential/head:	$\psi_{\rm soil}$		MPa
123	Water volumetric mass:	$\rho_{\rm w}$		kg m <sup>-3</sup>
124	Soil apparent density:	$\rho_b$		kg m <sup>-3</sup>
125	Soil gravimetric water content:	$\theta_{ m grav}$		kg kg-1
126	Soil volumetric water content:	$\theta$		m <sup>3</sup> m <sup>-3</sup>
127	Intensity of water uptake (sink term):	S		d-1
128	Transpiration rate per unit soil area:	Т		m d <sup>-1</sup>
129	Air relative humidity	RH		%
130	Soil horizontal area:	$A_{soil}$		m <sup>2</sup>
131	Soil layer depth (for each layer):	z		m
132	Soil layer thickness (for each layer):	$\Delta Z$		m
133	Root length (for each soil layer):	lroot		m
134	Relative Root Water Uptake	rRWU		dimensionless
135	Best run	br		dimensionless
136	Root Length Density:	RLD		m m <sup>-3</sup>
137	Soil water oxygen isotopic composition:	$\delta_{ m soil}$		‰
138	Tiller water oxygen isotopic composition:		$\delta_{ m tiller}$	‰
139	Leaf water oxygen isotopic composition:	$\delta_{\mathrm{leaf}}$		‰
140	Soil-root system conductance:	K <sub>soil-root</sub>		m3 MPa-1 s-1
141	Soil-root radial conductance:	Kradial		$m^3 MPa^{-1} s^{-1}$

Root radial conductivity:	$L_{\rm pr}$	m MPa <sup>-1</sup> s <sup>-1</sup>
Root axial conductance:	$\dot{K}_{axial}$	m3 MPa-1 s-1
Equivalent root axial conductivity:	$k_{axial}$	m4 MPa-1 s-1
Soil hydraulic conductivity:	$k_{\rm soil}$	m <sup>2</sup> MPa <sup>-1</sup> s <sup>-1</sup>
Saturated soil hydraulic conductivity:	$k_{\rm sat}$	m <sup>2</sup> MPa <sup>-1</sup> s <sup>-1</sup>
Soil hydraulic conductivity parameter	λ	dimensionless
Soil relative water content	SesS <sub>ie,i</sub>	dimensionless

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#### 150 1 Introduction

Since the seminal work of Washburn and Smith [1934] where it was first reported that willow trees did not fractionate 151 152 hydrogen stable isotopes in a hydroponic water solution during root water uptake (RWU), water stable isotopologues 153 (<sup>1</sup>H<sup>2</sup>H<sup>16</sup>O and <sup>1</sup>H<sub>2</sub><sup>18</sup>O) have been used as indicators for plant water sources in soils. In their review, Rothfuss and 154 Javaux [2017] reported in the period 2015-2016 about no less than 40 publications in which RWU was retrieved from 155 stable isotopic measurements. Novel measuring techniques (e.g., cavity ring-down spectroscopy - CRDS and off-axis 156 integrated cavity output spectroscopy - ICOS) providing ways for fast and cost-effective water stable isotopic analyses 157 certainly enable and emulate current research in that field. Water stable isotopologues are no longer powerful tracers 158 waiting for technological developments [Yakir and Sternberg, 2000] but are on the verge to be used to their full 159 potential for addressing eco-hydrological research questions and identify processes in the soil-plant-atmosphere 160 continuum [Werner et al., 2012; Dubbert and Werner, 2019; Sprenger et al., 2016]. 161 The isotopic determination of RWU profiles is based on the principle that the isotopic composition of xylem water at 162 the outlet of the root system (i.e., in the first aerial and non-transpiring node of the plant) equals the sum of the product 163 between the soil water isotopic composition and relative contribution to RWU across plant water sources. Results come 164 only with reasonable precision when (i) the soil water isotopic composition depth gradient is strong and monotonic 165 (thus avoiding issues of identifiability) and (ii) the temporal dynamics of RWU and soil water isotopic composition is 166 relatively low. Condition (i) is fulfilled mostly at the surface of the soil, while soil water isotopic composition gradients 167 become usually lower or null with increasing depth (due to the isotopic influence of the groundwater table and

- increasing dispersion with depth). As illustrated by Oerter and Bowen [2019], the lateral variability of the soil water
   isotopic composition profiles can become significant in the field and could have great implications on the
   representability and meaningfulness of isotopic-derived estimate of RWU profiles. Condition (ii) is often neglected
- 171 but is required due to the instantaneous nature of the sap flow samples.

To overcome these limitations, labeling pulses have been increasingly used in recent works to artificially alter the natural isotopic gradients [e.g., Beyer et al., 2016; Beyer et al., 2018; Grossiord et al., 2014; Jesch et al., 2018; Volkmann et al., 2016b]. However, a precise characterization of the artificial spatial (i.e., lateral and vertical) and temporal distributions of the soil water isotopic composition (driven by e.g., soil isotopic water flow) is crucial. The punctual assessments of the isotopic composition profiles following destructive sampling in the field and subsequent extraction of water in the laboratory might neither be spatially nor temporally representative and can lead to erroneous estimates of RWU profiles [Orlowski et al., 2018; Orlowski et al., 2016a].

- 179 The vast majority of isotopic studies use statistical (e.g., Bayesian) modeling to retrieve RWU profile solely from the
- 180 isotopic composition of water extracted in the soil and the shoot [Rothfuss and Javaux, 2017]. However, when data on
- soil and plant water status is available, hydraulic modeling tools can also be used to connect different data types in a
- 182 process-based manner and estimate root water uptake profiles [Passot et al., 2019]. Some of the most simplistic models

use 1-D relative root distribution and plant-scale hydraulic parameters [Sulis et al., 2019], while the most complex rely
on root architectures and root segment permeabilities [Meunier et al., 2017c]. Only a handful of studies coupled
isotopic measurements in plant tissues and soil material with models describing RWU in a mechanistic manner. For
instance, Meunier et al. [2017a] could both locate and quantify the volume of redistributed water by *Lolium multiflorum*by labeling of the soil with <sup>18</sup>O enriched water under controlled conditions.

Building on the work of Meunier et al. [2017a], the objective of the present study is to (i) model in a physically-based manner (i.e., by accounting for soil and plant and environmental factors) the temporal dynamics of the isotopic composition of RWU of a population of *Festuca arundinacae* cv Soni. (tall fescue) during a semi-controlled experiment following an isotopic labeling of deep soil water, (ii) investigate the implication of the model-to-data fit quality in terms of meaningfulness of the isotopic information to reconstruct RWU profiles, and finally (iii) confront the simulated root water uptake profiles with estimations obtained on basis of isotopic information alone (i.e., provided by a Bayesian mixing model).

#### 195 2 Material and methods

196 Our experiment consisted in supplying labeled water from the bottom to a macro-rhizotron in which tall fescue was 197 grown. Data on soil and plant oxygen stable isotopic signature composition and hydraulic status were monitored for 198 34 hours. In the following, the oxygen isotopic composition of water will be expressed in per mil (‰) on the "delta" 199  $(\delta^{18}O)$  scale with respect to the international water standard V-SMOW [Gonfiantini, 1978].

#### 200 2.1 Rhizotron experimental setup

201 The macro-rhizotron (dimensions: 1.6 m x 1.0 m x 0.2 m, see picture in Appendix A) was placed inside a glasshouse 202 (INRA Lusignan, France), where it was continuously weighed (KE1500, Mettler-Toledo, resolution: 20 g) to monitor 203 water effluxes (i.e., bare soil evaporation or evapotranspiration). Underneath the soil compartment and in contact with 204 it, a water reservoir (height: 0.1 m) filled with gravel acted as water table and allowed the supply of water to the 205 rhizotron. The rhizotron was equipped with two sets of CS616 time domain reflectometer (TDR) profiles (Campbell 206 Scientific, USA) with 30 cm long probe rods positioned at six depths (-0.05, -0.10, -0.30, -0.60, -1.05 and -1.30 m)207 and one profile of tensiometers (SMS 2000, SDEC-France) located at four depths (-0.05, -0.10, -0.30, and -0.60 m) 208 in order to monitor the evolution of soil water volumetric content ( $\theta$ , in m<sup>3</sup> m<sup>-3</sup>) and matric potential ( $\psi_{soil}$ , in MPa). 209 Finally, relative humidity (RH, %) was recorded above the vegetation with one humidity and temperature probe 210 (HMP45D, Vaisala, Finland). The transparent polycarbonate sides (front and back) allowed the daily observations of 211 root maximal depth. The experimental setup allowed precisely controlling the amount and  $\delta^{18}O$  of soil input water. Another important feature was the soil depth (i.e., 1.60 m) which minimized the influence of the water table on superficial layers water content and  $\delta^{18}$ O.

#### 214 2.2 Soil properties and installation

215 The soil substrate originates from the Lp horizon of an agricultural field part of the Observatory of Environment 216 Research (ORE), INRA Lusignan, France (0°60W, 46°250N) which is classified as District Cambisol (particle size 217 distribution: sand 15%, silt 65%, clay 20%). Prior installation in the rhizotron, the substrate was sieved at 2 mm and 218 dried out in an air oven at 110 °C during 48 h to remove most of the residual water. 450 kg of soil was filled in the rhizotron by 0.10 m increment and compacted in order to reach a dry bulk density value of  $\rho_b = 1420$  kg m<sup>-3</sup>. The 219 220 closed-form soil water retention curve of van Genuchten [1980] was derived in a previous study by Meunier et al. 221 [2017a] from synchronous measurements of soil water content and matric potential from saturated to residual water 222 content (see Appendix B for its hydraulic parameters). It was used to compute the soil water matric potential ( $\psi_{soil}$ , in 223 MPa) on basis of volumetric water content data during the present experiment.

#### 224 2.3 Experimental protocol

225 After installation, the soil was gradually flooded with local water ( $\delta^{18}O = -6.8$  %) from the bottom reservoir up to the 226 top of the profile for a period of three days in order to reduce as much as possible the initial lateral and vertical 227 heterogeneities in water content and  $\delta^{18}$ O. The tall fescue (*Festuca arundinaceae* cv Soni) was sown at a seeding 228 density of 3.6 g m<sup>-2</sup> (which corresponds for the rhizotron surface area of 0.2 m<sup>2</sup> to roughly 300 plants) when soil water 229 content reached 0.25 m<sup>3</sup> m<sup>-3</sup> (corresponding to pF 2.3) at -0.05 m, as measured by the soil water sensors, and emerged 230 12 days later. During a period of 165 day following seeding, the tall fescue cover was exclusively watered from the 231 reservoir with local water in order to (i) keep the soil bottom layer (<-1.3 m) close to water saturation, and to (ii) not 232 to disrupt the natural soil water  $\delta^{18}O$  profile.

233 166 days after seeding (DaS 166) the following conditions were fulfilled: (i) there was a strong soil water content 234 gradient between the soil deep [-1.5 m, -1.0 m] and superficial [-0.3 m, 0 m] layers, (ii) the tall fescue roots had 235 reached a depth of -1.5 m (observed through polycarbonate transparent sides). That same day at 17:00, the reservoir's 236 water was labelled and its  $\delta^{18}$ O measured at +470 %. Soil was sampled before (DaS 166 - 15:45) and after labeling on 237 DaS 167 - 07:00, DaS 167 - 17:00 and DaS 168 - 05:00 using a 2 cm diameter auger through the transparent polycarbonate side of the rhizotron on four occasions from the surface down to -1.3 m for the determination of soil 238 239 gravimetric water content ( $\theta_{grav}$ , in kg kg<sup>-1</sup>) and oxygen stable isotopic composition ( $\delta_{soil}$ , in ‰). Gravimetric water content was then converted to volumetric water content ( $\theta = \theta_{grav} * \rho_b / \rho_w$ , in m<sup>3</sup> m<sup>-3</sup>, where  $\rho_b$  is the bulk soil density 240 241 and  $\rho_{\rm w}$  is the water density). The hypothesis of a constant value for  $\rho_{\rm b}$  across the reconstructed soil profile was further

242 validated from the quality of the linear fit (coefficient of determination  $R^2 = 1.0$ ) between the  $\theta$  values measured by the 243 sensors at the six available depths and (-0.05, -0.10, -0.30, -0.60, -1.05 and -1.30 m) and those computed from  $\theta_{\text{orav}}$ . 244 On 40 occasions during a 34-hour long period three whole plants were sampled from the vegetation (i.e., 120 plants 245 were sampled in total from the cover). Each plant's tiller and leaves were pooled into two separate vials. Dead material 246 as well as the oldest living leaf around each tiller were removed in order not to contaminate tiller samples with 247 transpiring material [Durand et al., 2007]. In addition, air water vapor was collected from the ambient atmosphere 248 surrounding the rhizotron. The air was run at a flow rate of 1.5 l min<sup>-1</sup> through two glass cold traps in series immersed 249 in a mixture of dry ice and pure ethanol at - 80°C. Water from plant (i.e., tillers and leaves) and soil samples was 250 extracted by vacuum distillation for 14 to 16 hours depending on the sample mass (e.g., ranging between 18 to 28 g 251 for soil) at temperatures of 60 and 90°C, respectively. The residual water vapor pressure at the end of each successful 252 extraction procedure invariably reached  $10^{-1}$  mbar. The oxygen isotopic compositions of tiller, leaf, and soil water 253 (i.e.,  $\delta_{\text{tiller}}$ ,  $\delta_{\text{leaf}}$ , and  $\delta_{\text{soil}}$ ) together with that of atmospheric water vapor ( $\delta_{\text{atm}}$ ) were measured with an IRMS (Isoprep 254 18 - Optima, Fison, Great-Britain, precision accuracy of 0.15 %). Finally, leaf water potential ( $\psi_{\text{leaf}}$ , in MPa) was 255 monitored with a pressure chamber on two leaves per sampled plant, and evapotranspiration rate (in m d<sup>-1</sup>) was derived 256 from the changes in mass of the rhizotron at the same temporal scale as plant sampling.

Root biomass was determined from the horizontal sampling of soil between the polycarbonate sides using a 2 cm diameter auger at -0.02, -0.08, -0.10, -0.40, -0.55, -0.70, -0.90, -1.10, and -1.30 m soil depth. Each depth was sampled once to thrice. Each soil core was washed of soil particles and roots were collected over a 0.2 mm mesh filter, and dried at 60°C for 48 hours. Finally, Root Length Density (RLD, in m root (m soil)<sup>-3</sup>) distribution was determined from the root dry mass using the specific root length determined by Gonzalez-Dugo et al. [2005] specifically for tall fescue (95 m g<sup>-1</sup>). The reader is referred to Appendix C for an overview of the type and timing of the different destructive measurements during the intensive sampling period.

#### 264 2.4 Modeling of RWU and $\delta_{\text{tiller}}$

265 The experimental setup included about 300 tall fescue plants. In order to limit the computational requirement in the 266 inverse modelling loop, we only generated 60 virtual root systems whose rooting depths ranged from -1.30 to -1.60267 m depth [based on our own observations and those of the literature, e.g., Schulze et al., 1996; Fan et al., 2016] with 268 the root architecture simulator CRootBox [Schnepf et al., 2018], so that the simulated RLD matched observations (Fig. 269 1a). In order to reach a total number of virtual plants representative of the number of plants in the experimental setupIm 270 order to reach the right amount of plants, each root system was replicated 5 times, forming a "group". Each group was 271 assumed to occupy one sixtieth of the total horizontal area, and considered as a "big root" hydraulic network (5 identical 272 plants per "big root") with equivalent radial and axial hydraulic conductances (thus neglecting architectural aspects 273 but accounting for each group's respective root length density profile).

274 The radial soil-root conductance between the bulk soil and each group's (i) root surfaces in soil layer j ( $K_{radial,i}$ , m<sup>3</sup> 275 MPa<sup>-1</sup> d<sup>-1</sup>), as derived by Meunier et al. [2017a], was assumed as variable in time (t):

276 
$$K_{radial,i,j}(t) = \frac{2\pi r_{root} \cdot l_{root} \cdot j_{i} \cdot B_{j} \cdot L_{pr} \cdot k_{soil,j}(t)}{B_{j} \cdot k_{soil,j}(t) + r_{root} \cdot L_{pr}}$$
(1)

277 with  $r_{\text{root}}$  (m) the root radius,  $l_{\text{root},i,j}$  (m) the root length of plants of group i in soil layer j,  $L_{\text{pr}}$  (m MPa<sup>-1</sup> d<sup>-1</sup>) the root 278 radial hydraulic conductivity,  $k_{\text{soil},i}$  (m<sup>2</sup> MPa<sup>-1</sup> d<sup>-1</sup>) the soil hydraulic conductivity in layer *j*, and  $B_j$  (dimensionless) a 279 geometrical factor simplifying the horizontal dimensions into radial domains between the bulk soil and root surfaces, 280 as given by Schroeder et al. [2009]:

281 
$$B_j = \frac{2(1-\rho_j)(1+\rho_j)}{2\rho_j^{-2}\ln\rho_j - \rho_j^{2} + 1}$$
(2)

282 where  $\rho$  (dimensionless) represents the ratio of the distance between roots and the root averaged diameter. It can be

283 deduced from the observed root length density (RLD<sub>*i*</sub>, m m<sup>-3</sup>):

$$284 \qquad \rho_j = \frac{\sqrt{\frac{1}{\pi R L D_j}}}{r_{root}} \tag{3}$$

285 The soil hydraulic conductivity function of Mualem [1976] and van Genuchten [1980] was used:

$$286 \qquad k_{soil,j}(t) = k_{sat} \cdot S_{\mathcal{e}_{e,j}}^{\lambda}(t) \left( 1 - \left( 1 - S_{\mathcal{e}_{e,j}}^{\frac{1}{m}} \right)^m \right)^2 \tag{4}$$

287 where  $k_{sat}$  (m<sup>2</sup> MPa<sup>-1</sup> d<sup>-1</sup>), m (dimensionless) and  $\lambda$  (dimensionless) are soil hydraulic parameters (with m = 1 - 2/n)

288 and  $\frac{Se_{iS}S_{re,i}}{S_{re,i}}$ , the relative water content (dimensionless), is computed from the saturated ( $\theta_{sat}$ , m<sup>3</sup> m<sup>-3</sup>) and residual ( $\theta_{res}$ , 289 m3 m3) water contents as:

290 
$$\frac{SesS_{e,j}}{\theta_{sat} - \theta_{res}} = \frac{\theta_j - \theta_{res}}{\theta_{sat} - \theta_{res}}$$
291 (5)

I.

292 Unlike the geometrical parameter B, which defines a domain geometry between the bulk soil and roots of the overall 293 population, the l<sub>root</sub> term is group specific (i) and uses the simulated root length density profiles over an area 294 corresponding to one sixtieth of the total setup horizontal area:

$$l_{root,i,j} = \frac{\Delta Z_{j} \cdot A_{soli} \cdot RLD_{i,j}}{60}$$
(6)

296 with  $\Delta Z$  (m) and  $A_{\text{soil}}$  (m<sup>2</sup>) the soil layer thickness and horizontal surface area, respectively.

297 To finalize the connection between root xylem and shoot, axial conductances per root system group ( $K_{\text{axial}}$ , m<sup>3</sup> MPa<sup>-1</sup>

 $d^{-1}$ ) were calculated as equivalent "big root" specific axial conductance per root system group ( $k_{axial}$ , m<sup>4</sup> MPa<sup>-1</sup> d<sup>-1</sup>, to 298

299 be optimized by inverse modelling) as:

 $K_{axial,j} = \frac{k_{axial}}{\Delta Z_j}$ 300 (7) 301 At each time step, both the total soil-root system conductance  $(K_{\text{soil-root}}, \text{m}^3 \text{MPa}^{-1} \text{d}^{-1})$  and the standard sink distribution

302 (*SSF*, dimensionless, summing up to 1), were calculated from  $K_{radial}$  and  $K_{axial}$ , using the algorithm of Meunier et al. 303 [2017b]. The variable *SSF* is the relative distribution of water uptake in each soil layer under vertically homogeneous 304 soil water potential conditions [Couvreur et al., 2012], and  $K_{soil-root}$  represents the water flow per unit water potential 305 difference between the *SSF*-averaged bulk soil water potential and the <u>"big leaf"</u> (assuming a negligible stem hydraulic 306 resistance [Steudle and Peterson, 1998]).

Adding soil hydraulic conductance to the one-dimensional hydraulic model of Couvreur et al. [2014] yields the following solutions of leaf water potential ( $\psi_{\text{leaf}}$ , MPa) and water sink terms (S, d<sup>-1</sup>) whose formulation approaches that of Nimah and Hanks [1973]:

310 
$$\psi_{leaf}(t) = -\frac{T(t)}{K_{soil-root}(t)} + \sum SSF_j(t) \cdot \psi_{soil,j}(t)$$
(8)

Where one sixtieth of the overall transpiration rate (*T*, m d<sup>-1</sup>) is allocated to each group, and  $\psi_{\text{soil},j}$  (Mpa) is the soil water potential in soil layer *j*.

313 
$$S_{i,j}(t) = \frac{\kappa_{soil-root,i}(t)\cdot SSF_{i,j}(t)\cdot (\psi_{soil,j}(t) - \psi_{leaf,i}(t))}{A_{soil} \cdot \Delta Z_j}$$
(9)

where  $K_{soil-root}$  was assumed to control the compensatory RWU which arise from a heterogeneously distributed soil water potential, due to large axial conductances [Couvreur et al., 2012].

Finally, the tiller water oxygen isotopic composition ( $\delta_{tiller}$ ) was calculated as the average of local soil water oxygen isotopic compositions ( $\delta_{soil}$ ) weighted by the relative distribution of positive water uptakes (i.e., not accounting for  $\delta_{soil}$ ) at locations where water is exuded by the root), assuming a perfect mixture of water inside the root system [Meunier et al., 2017a]:

$$320 \qquad \delta_{tiller} = \frac{\sum_{j>0} s_{j'} A_{solit'} \Delta z_{j'} \delta_{solit(\Delta z_j)}}{\sum_{S_j>0} s_{j(t)'} A_{solit'} \Delta z_j} \tag{10}$$

Like in the experiment,  $\delta_{\text{tiller}}$  from three plants were randomly pooled at each observation time. A hundred pools of 3 plants (possibly including several plants of the same group) were randomly selected in order to obtain the pooled simulated  $\delta_{\text{tiller}}$  by arithmetic averaging.

The unknown parameters of the soil-root hydraulic model, i.e., the root radial conductivity ( $L_{pr}$ ), the root axial conductance ( $k_{axial}$ ), the soil saturated hydraulic conductivity ( $k_{sat}$ ), and the soil tortuosity factor ( $\lambda$ ) were finally determined by inverse modeling. For details on the procedure, the reader is referred to Appendix D.

327 In order to evaluate the robustness of the hydraulic model predictions (parametrized solely based on the reproduction

328 of shoot observations in the inverse modeling scheme) from independent perspectives, we also compared predictions

329 and measurements over 4 quantitative "soil-root domain" criteria: (i) the depth at which the transition between

nighttime water uptake and exudation ( $S_{i,i} < 0$ , i.e. release of water from root to soil) takes place, (ii) quantities of exuded

331 water and overnight increase of soil water content, (iii) the enrichment of labelled water at the depth where water 332 content increase is observed overnight, and (iv) the order of magnitude of the optimal root radial conductivity value as

333 compared to literature data in tall fescue.

334 Finally, and as a comparison point, the Bayesian inference statistical model SIAR [Parnell et al., 2013] was used to 335 determine the profiles of water sink terms of ten identified potential water sources. These water sources were defined 336 to originate from 10 distinct soil layers (0.00-0.03, 0.03-0.07, 0.07-0.15, 0.15-0.30, 0.30-0.60, 0.60-0.90, 0.90-1.20, 1.20-1.32, 1.32-1.37, and 1.37-1.44 m) for which corresponding  $\delta_{soil}$  values were computed [Rothfuss and Javaux, 337 338 2017]. SIAR solely bases its estimates from the comparison of  $\delta_{\text{tiller}}$  observations to the isotopic compositions of the 339 soil water sources ( $\delta_{soil}$ ). For this,  $\delta_{tiller}$  measurements were pooled in twelve groups corresponding to different time periods, selected to best reflect the observed temporal dynamics of  $\delta_{\text{tiller}}$ . The reader is here referred to Appendix E for 340 341

details on the model parametrization and running procedure.

#### 342 3 Results and discussion

#### 343 3.1 Experimental data

#### 344 3.1.1 Soil profiles

345 Figure 2a and b show a very stable soil water content profile and a more variable  $\delta_{soil}$  profile from DaS 166 - 15:45 to 346 DaS 168 - 05:00. Soil was dry at the surface (0.058 m<sup>3</sup> m<sup>-3</sup> <  $\theta$  < 0.092 m<sup>3</sup> m<sup>-3</sup> for layer 0.015 - 0.040 m) whereas closer to saturation at depth -1.30 m ( $\theta = 0.34$  m<sup>3</sup> m<sup>-3</sup>  $\pm 0.012$  m<sup>3</sup> m<sup>-3</sup>, estimated  $\theta_{sat} = 0.40$  m<sup>3</sup> m<sup>-3</sup>, see Appendix A). 347 348 According to the measured soil matric potentials (Fig. 2c), soil water was virtually unavailable ( $\leq -1.5$  MPa) above – 349 0.5 m depth. Soil moisture remained unchanged in the top 25 cm during the sampling period ( $\theta = 0.08 \pm 0.00 \text{ m}^3 \text{ m}^{-3}$ ) 350 as well as at -1.30 m from DaS 166 - 15:45 to DaS 168 - 05:00 ( $\theta = 0.33 \pm 0.01$  m<sup>3</sup> m<sup>-3</sup>), showing that roots were 351 predominantly extracting water from deep soil layers. 352 Water in the top soil layers (-0.040 m < z < -0.015 m) was isotopically enriched ( $-3.2 \text{ \%} < \delta_{\text{soil}} < 0.3 \text{ \%}$ ) as opposed

353 to the deepest layer ( $\delta_{soil} = -7.34 \ \% \pm 0.30 \ \%$  at  $-1.30 \ m$ ). Following labeling of the reservoir water on DaS 166 -354 17:00,  $\delta_{soil}$  reached a value of 36.9 ‰ at -1.50 m on DaS 167 - 17:00. The development of the vegetation on DaS 166-355 168 (LAI = 5.6) and the observed surface  $\theta$  values lead us to assume that the rhizotron water losses were due to 356 transpiration flux solely (i.e., evapotranspiration = transpiration). The soil water oxygen isotopic exponential-shaped 357 profiles were the product of fractionating evaporation flux, and to a great extent when the soil was bare or when the 358 tall fescue cover was not fully developed. The differences in soil water oxygen isotopic profile observed at the four 359 different sampling dates were therefore either due to lateral heterogeneity (e.g., upper soil layers), to the soil capillary 360 rise of labelled water from the reservoir (deep soil layers), or to the hydraulic redistribution of water through roots (to 361 the condition that the isotopic composition of the redistributed water differs from that of the soil water at the release

362 location). We noted an isotopic enrichment of 1.0 ‰ of soil water observed on DaS 168 - 05:00 at -0.9 m with respect 363 to the mean  $\delta_{soil}$  value across previous sampling dates. This could partly be due to, e.g., upward preferential flow of 364 labelled water from the bottom soil layers and therefore be the sign of the lateral heterogeneity of the soil. Another 365 reason for this would be hydraulic redistribution of labelled water by the roots. It was however not possible to evaluate 366 the relative importance of these three processes (lateral heterogeneity, capillary rise/preferential flow, and hydraulic 367 redistribution) in the setting of the soil water isotopic profile since the physically-based soil-root model presented in 368 section 2.4 does not account for soil liquid and vapor flow. This was also not the primary intent of the present study. 369 The observed RLD profile (Fig. 1a) showed a typical exponential shape, i.e., maximum at the surface  $(5.42 \pm 0.34 \text{ cm})$ 370 cm<sup>-3</sup>) down to a minimum at  $-1.10 \text{ m} (0.540 \pm 0.35 \text{ cm cm}^{-3})$ , while it increased again from the latter depth up to a 371 value of 1.660 cm cm<sup>-3</sup> at -1.30 m. This significant trend was most probably a direct consequence of the high soil 372 water content value in this deeper layer.

## 373 3.1.2 Plant water and isotopic temporal dynamics

374 The temporal variation of  $\delta_{\text{tiller}}$  (Fig. 3a) was found to be either (i) moderate during day and night, i.e., from DaS 167 -375 06:00 to 11:00 ( $\delta_{\text{tiller}} = -2.6 \pm 1.4$  ‰) and from DaS 167 - 21:30 to DaS 168 - 00:00 ( $\delta_{\text{tiller}} = -2.7 \pm 0.4$  ‰), or (ii) strong during the day, i.e., from DaS 167 - 11:00 to 18:00 (maximum value of 20.9 ‰ at DaS 167 - 12:40), or else (iii) strong 376 377 during the night, i.e., from DaS 167 - 04:00 to 06:00 (max = 36.4 ‰ at DaS 167 - 05:15) and from DaS 168 - 00:00 to 378 06:00 (max = 14.6 % at 28:00, DaS 168). Note that transpiration (Fig. 3b) occurred also at night during the sampling 379 period, due to relatively high temperature in the glasshouse leading to a value of atmospheric relative humidity smaller than 85%, Fig. 3b). From 12:00 to 14:00 and from 16:00 to 17:00 on DaS 167 (case (ii)) high values of leaf transpiration 380 381 corresponded to high values of  $\delta_{\text{tiller}}$ .

#### 382 3.1.3 Partial decorrelation between water and isotopic state variables

383 Figure 4 shows that variables describing plant water status, i.e., T and RH (Fig. 4a) and T and  $\psi_{\text{leaf}}$  (Fig. 4b) were well correlated: coefficient of determination R<sup>2</sup> was equal to 0.78 and 0.70 for the entire experimental duration, respectively. 384 385 However, linear relationships between water status and isotopic variables were either nonexistent, e.g., between T and 386  $\delta_{\text{tiller}}$  (R<sup>2</sup>=0.01, Fig. 4c) and between  $\psi_{\text{leaf}}$  and  $\delta_{\text{tiller}}$  (R<sup>2</sup>=0.00, Fig. 4h) or characterized by a low R<sup>2</sup> and high p-value 387 (e.g., between T and  $\delta_{\text{leaf}}$ , R<sup>2</sup>=0.43, p>0.05, Fig. 4d). The partial temporal disconnection between  $\delta_{\text{leaf}}$  and T could not be attributed to problems of the isotopic methodology, during e.g., the vacuum distillation of the water from the plant 388 389 tillers and leaves: water recovery rate was always greater than 99 % and Rayleigh distillation corrections [Dansgaard, 390 1964; Galewsky et al., 2016] were applied to standardize the observed oxygen isotopic composition values to a 100 % 391 water recovery (based on the comparison of sample weight loss during distillation and mass of collected distilled 392 water). The evolution of  $\delta_{\text{leaf}}$  was strongly correlated with that of  $\delta_{\text{tiller}}$  during the day (R<sup>2</sup> = 0.90) whereas non-correlated

during the night ( $R^2 = 0.00$ , Fig. 4j). These observed correlations are in agreement with the Craig and Gordon [1965] model revisited by Dongmann [1974] and later by -and Farquhar et al. [2007; 2005]. The model, which is extensively used in the current literature [e.g., Dubbert et al., 2017]--] states that, at isotopic steady-state,  $\delta_{teaf}$  is a function of the input water oxygen isotopic composition ( $\delta_{tiller}$ ) among other variables, i.e., leaf temperature (not measured during the experiment), stomatal and boundary layer conductances, oxygen isotopic composition of atmospheric water vapor, and relative humidity.

399 It is generally difficult to observe a statistically significant  $\delta_{\text{leaf}}$ - $\delta_{\text{tiller}}$  (Fig. 4) relationship at this temporal scale under 400 natural abundance conditions in the field since the soil water isotopic weak gradient translates into weaker  $\delta_{\text{tiller}}$ 401 temporal dynamics. The quality of linear fit between  $\delta_{\text{iteaf}}$  and  $\delta_{\text{tiller}}$  data collected during the day (R<sup>2</sup>=0.90) was made 402 possible in this specific experiment by the artificial isotopic labeling pulse that enhanced the soil water isotopic 403 gradient, which in turn increased the range of variation of  $\delta_{\text{tiller}}$ , ultimately highlighting the  $\delta_{\text{heaf}}$ - $\delta_{\text{tiller}}$  temporal 404 correlation. Air relative humidity is a driving variable of  $\delta_{\text{leaf}}$  in the model of Dongmann [1974] via the competing 405 terms  $(1-RH)\cdot\delta_{tiller}$  and  $RH\cdot\delta_{atm}$ , where  $\delta_{atm}$  is the atmospheric water vapor isotopic composition inside the glasshouse. 406 An overall significant linear correlation was observed between RH and  $\delta_{\text{leaf}}$  during the experiment (R<sup>2</sup>=0.57, Fig. 4g). 407 During the two night periods (i.e., from 04:00-06:00 and from 20:30-07:00), as relative humidity increased in the 408 glasshouse (51 % < RH < 85 %, Fig. 3b), the influence of the isotopic labeling of the tiller water (due to the labeling 409 of deep soil water) through term (1–RH)  $\delta_{\text{tiller}}$  decreased to the benefit of term RH  $\delta_{\text{atm}}$  (with  $\delta_{\text{atm}}$  values ranging from 410 -15.9 to -10.7 %, mean =  $-13.1\pm1.6$ %, data not shown). This was especially visible between 04:50 and 06:00 on DaS 411 167 and between 01:00 to 06:00 on DaS 168, when  $\delta_{\text{tiller}}$  reached greater values than  $\delta_{\text{leaf}}$ .

- 412 From a different perspective, as three plant water samples were pooled to reach a workable volume for the isotopic
- 413 analysis at each observation time without replicates, the isotopic signal fluctuations may reflect both its temporal 414 dynamics and its variability within the plant population.

#### 415 3.2 Simulations

## 416 **3.2.1 Rooting depth and transpiration rate control** $\delta_{\text{tiller}}$ and $\psi_{\text{leaf}}$ fluctuations, respectively

417 Despite the use of a global optimizer and 4 degrees of freedom ( $L_{pr}$ ,  $k_{axial}$ ,  $k_{sat}$ ,  $\lambda$ , see optimal values in Table 1) 418 specifically aiming at matching the simulated and observed temporal dynamics of  $\delta_{\text{tiller}}$ , none of the 60 root system 419 groups or average population could reproduce the measured fluctuations in time ( $R^2$ =0.00, Fig. 5a), regardless of the weight attributed to this criterion in the objective function. The predicted versus observed  $\delta_{\text{tiller}}$  distributions including 420 421 all plant groups and observation times differed noticeably but not significantly (6.6  $\pm$  8.4 ‰ and 3.7  $\pm$  8.4 ‰, 422 respectively) when pooling 3 simulated  $\delta_{\text{tiller}}$  randomly at each observation time (P>0.01 in 92 cases out of 100 repeated 423 drawings), as in measurements. Besides, the simulated  $\psi_{\text{leaf}}$  fitted well the observations (R<sup>2</sup>=0.67, overall distributions: 424  $-0.175 \pm 0.053$  MPa and  $-0.177 \pm 0.053$  MPa, respectively, Fig. 5c). When analyzing the distributions of  $\psi_{\text{leaf}}$  and  $\delta_{\text{tiller}}$ 

per maximum root system depth (Fig. 5b and d), it appears that the  $\psi_{\text{tear}}$  signal is not sensitive to the rooting depth (Fig.

5d), while  $\delta_{\text{tiller}}$  is more sensitive to rooting depth than to the temporal evolution of the plant environment (Fig. 5b).

427 This leaves us with two hypotheses. The "rollercoaster hypothesis":  $\delta_{\text{tiller}}$  rapidly goes up and down with all

individuals on board of the same car (i.e. little variability within the population, unlike predictions in Fig. 5a, but like the simulated  $\psi_{\text{leaf}}$  in Fig. 5c). If that is correct, the physical model lacks a process that would capture the observed temporal fluctuations of  $\delta_{\text{tiller}}$ . The "swarm pattern hypothesis":  $\delta_{\text{tiller}}$  is rather stable in time but its values within the plant population are dispersed like in a flying swarm, so that  $\delta_{\text{tiller}}$  values sampled at different times fluctuate, not due

432 to temporal dynamics but to the fact that different individuals are sampled (Fig. 5a).

433 The model suggests that the tall fescue population  $\psi_{\text{leaf}}$  follows a "rollercoaster" dynamics driven by transpiration rate,

434 while the population  $\delta_{\text{tiller}}$  follows a "swarm" pattern driven by the maximum rooting depth of the sampled plants. As 435 no correlation could be expected between the drivers (the maximum rooting depth of the sample plants and canopy

436 transpiration rate), our analysis explains the absence of correlation between  $\delta_{\text{titler}}$  and  $\psi_{\text{leaf}}$  or transpiration rate.

437 In future experiments and in the specific context of labeling pulses, sampling more plants at each observation time

would help disentangle the spatial from temporal sources of variability of  $\psi_{\text{leaf}}$  and  $\delta_{\text{iiller}}$ . It would however be at the cost of the temporal resolution of observations, or would necessitate a larger setup with more plants in the case of controlled conditions experiments.

#### 441 3.2.2 Independent observations support the validity of the hydraulic model predictions

In the last 12 hours of the experiment (DaS 167 – 17:00 to DaS 168 – 05:00), the measured soil water content increased 442 443 by 0.029 m<sup>3</sup> m<sup>-3</sup> at -0.9 m depth, which could be a sign of nighttime hydraulic redistribution. During the same period, 444 the physical model predicted a cumulative water exudation sufficient to increase soil water content by 0.003 m<sup>3</sup> m<sup>-3</sup>, 445 as soil water potential was sufficiently low to generate reverse flow, but high enough not to disrupt the hydraulic 446 continuity between soil and roots [Carminati and Vetterlein, 2013; Meunier et al., 2017a]. While this increase is smaller 447 than the observed water content change, it is only a component in the soil water mass balance. Given the soil water 448 potential vertical gradient, upward soil capillary water flow may have accounted for another part of the observed 449 moisture change. Experimental observations also show that  $\delta_{soil}$  increased by 1.0 % at 0.9 m depth during that time (-450 6.2 %, a value significantly higher than  $-7.1 \% \pm 0.1 \%$  at earlier times based on ANOVA analysis, P<0.01), while our simulations of hydraulic redistribution generated an increase of  $\delta_{soil}$  by 0.34 ‰. As soil capillary flow may not 451 452 generate local maxima of  $\delta_{soil}$  (no enrichment observed at surrounding depths, see Fig. 2b), and soil evaporation is 453 assumed negligible at that depth, it is likely that the observed local enrichment was entirely due to hydraulic 454 redistribution, which would then be underestimated by a factor of about 3 in our simulations. Increasing water 455 exudation by a factor 3 would imply a simulated water content change due to exudation of 0.0090 m<sup>3</sup> m<sup>-3</sup> absolute 456 water content, which remains compatible with the experimental observation. Between -1.1 m and -0.9 depths, the

457nighttime water flow pattern transitioned from exudation to uptake in both measurements and predictions. At -1.1 m,458the model predicted a cumulative water uptake sufficient to decrease soil water content by 0.0101 m<sup>3</sup> m<sup>-3</sup>, as compared459to the observed 0.0141 m<sup>3</sup> m<sup>-3</sup> total soil water content decrease. The remaining 0.004 m<sup>3</sup> m<sup>-3</sup> water content decrease460may have contributed to the recharge to the soil layers above through capillary flow, which was not simulated.461Therefore, all relevant measurements (local increase of soil water content, local enrichment of water isotopic462signaturecomposition) and simulation results (S<0, i.e. local water release from roots) clearly converge to the</td>463conclusion that hydraulic lift occurred in the vicinity of -0.9 m depth in the early morning of DaS 168.

464 As far as fitted parameter values are concerned,  $L_{\rm or}$  (2.3 10<sup>-7</sup> m MPa<sup>-1</sup> s<sup>-1</sup>) was in the range found by Martre et al. [2001] in tall fescue (2.2  $10^{-7} \pm 0.1 \text{ m MPa}^{-1} \text{ s}^{-1}$ ) and falls in the range obtained by Meunier et al. [2017a] for another 465 466 grass (Lolium multiflorum Lam., 6.8  $10^{-8}$  to 6.8  $10^{-7}$  m MPa<sup>-1</sup> s<sup>-1</sup>). Our  $k_{\text{axial}}$  value cannot be compared to values of 467 axial root conductance from the literature as it transfers the water absorbed by roots in a single "big root" per group 468 of 5 identical plants. The optimal value of  $k_{sat}$  was quite high (Table 1) but reportedly very correlated to  $\lambda$  (i.e. soil 469 unsaturated hydraulic conductivity is proportional to  $k_{sat}$ , but also to  $S_{e}^{\lambda}$  [van Genuchten, 1980]), so that the low value of the latter compensated the high value of the former, thus they should be considered as effective rather than physical 470 471 parameters.

## 472 3.2.3 Other sources of variability and observational error

473 Our treatment of the soil medium in this experiment (sieving, irrigation from the bottom) makes it laterally more 474 homogeneous than natural soils. This method allowed us to study specifically the impact of the vertical gradients of 475  $\delta_{\text{soil}}$  on  $\delta_{\text{tiller}}$ . It also justified the use of a simplistic 1-D model adapted to the vertically resolved measurements. If 476 lateral heterogeneity of soil water content remained and was accounted for, our predictions of root water uptake 477 distribution,  $\delta_{\text{tiller}}$  and  $\psi_{\text{leaf}}$  would be altered. Observational errors in the gravimetric soil water content measurement 478 (turned into soil water potential using the soil water retention curve) would as well alter these predictions. In order to 479 quantify the sensitivity of our simulated results to such heterogeneity or observational error, we varied the soil water 480 content input by  $\pm 0.02$  m<sup>3</sup> m<sup>-3</sup> at three critical depths (-0.9, -1.1 and -1.3 m, before interpolation), at the last 481 observation time, during which measurements and simulations suggested that hydraulic lift occurred. Our results were 482 mostly sensitive to soil water content alterations at -0.9 m, and barely differed in response to alterations at -1.1 and -1.3 m, though the conclusions were not affected qualitatively. No statistically significant difference between predicted 483 484 and observed  $\delta_{\text{tiller}}$  distributions for the overall dataset could be found when pooling 3 simulated  $\delta_{\text{tiller}}$  randomly at each 485 observation time (predicted and observed  $\delta_{\text{tiller}}$  distributions were closest to differ when soil water content was reduced 486 by 0.02 m<sup>3</sup> m<sup>-3</sup> at 0.9 m depth; P>0.01 in 76 cases out of 100 repeated drawings). Measured and simulated  $\psi_{\text{leaf}}$  remained 487 very correlated in all cases (from R<sup>2</sup>=0.69 to 0.74 when adding or removing 0.02 m<sup>3</sup> m<sup>-3</sup> at 0.9 m depth, respectively). Furthermore, when adding or removing 0.02 m<sup>3</sup> m<sup>-3</sup> at 0.9 m depth, cumulative water exudation at -0.9 m varied 488

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between 0.0019 and 0.0035 m<sup>3</sup> m<sup>-3</sup>, uptake at -1.1 m varied between 0.0080 and 0.0108 m<sup>3</sup> m<sup>-3</sup>, and the simulated change of  $\delta_{soil}$  ranged between 0.28 and 0.40 ‰, respectively.

491 Lateral heterogeneity of soil water isotopic composition may as well occur at the microscopic scale. As water in 492 micropores is less mobile than water in meso- and macropores [Alletto et al., 2006], it is likely that, in the lower half 493 of the profile, the capillary rise of labelled water affected the signature composition of water in meso- and macropores 494 more than in micropores. If roots have more access to meso- and macropore water, then the water absorbed by roots 495 would be isotopically enriched, as compared to the "bulk soil water" characterized experimentally. The importance of 496 this possible bias depends on soil texture and heterogeneity (e.g. existence of more isolated "pockets" of soil or compact 497 clusters), as well as on the speed of water mixing between mobile and immobile water fractions [Gazis and Feng, 498 2004]. Including this process in the modelling would necessitate sufficient observations to estimate the aforementioned 499 properties, and ideally some quantification of the lateral heterogeneity of soil water isotopic composition at the micro-500 scale.

The lateral heterogeneity of soil hydraulic properties and root distribution may also have participated to the generation of lateral soil water potential heterogeneities, particularly in undisturbed soils. If one had access to data on lateral heterogeneity of soil properties and rooting density, it would be possible to simulate 3D soil-root water flow with a tool such as R-SWMS [Javaux et al., 2008], using a randomization technique for soil properties distribution as in Kuhlmann et al. [2012], in order to obtain estimations of the relative importance of this type of heterogeneity on  $\delta_{tiller}$ and  $\psi_{teat}$  variability.

507 Unlike the tiller water isotopic signature composition, leaf water potential turned out to be very sensitive to transpiration 508 rate in our simulations (see temporal fluctuations of grey lines in Figure 5 panel c) and not very sensitive to root 509 distribution (see small variations of leaf water potential across individuals in Figure 5 panel d). This suggests that in 510 this setup the hydraulic conductance of the soil-root system limited shoot water supply more than the distribution of 511 roots, as in Sulis et al. [2019]. Simulated baseline (i.e. for uniform transpiration rates) leaf water potentials are shown 512 as grey lines in Figure 5 panel c, and measured leaf water potentials as a green line in the same panel. The fact that 513 they match well, despite the high sensitivity of leaf water potential to transpiration rate, reinforces the idea that 514 transpiration rate was likely not spatially heterogeneous among the plant population. Therefore, the tiller water isotopic 515 compositionsignature, whose sensitivity to transpiration rate is already very low, was likely not affected by 516 transpiration rate heterogeneity.

#### 517 **3.2.4** Do root water uptake profiles predicted by hydraulic and Bayesian models differ?

The root water uptake dynamics predicted by the mechanistic model are shown in Fig. 6a. The overall pattern of peaking water uptake in the lower part of the profile during daytime matched that of the statistical model, and the correlation coefficient of both model predictions was relatively high ( $R^2$ =0.53) in average over the simulation period, 521 see Figure 7). The main differences were the following: (i) in the upper soil layers where the soil water potential was 522 lower -1.5 MPa, the statistical model predicted water uptake, which is theoretically impossible given the leaf water 523 potential above -0.4 MPa [van Den Honert, 1948]; (ii) In the upper half of the profile, the physical model predicted 524 exudation at a rate limited by the low hydraulic conductivity between root surface and bulk soil, with a peak at night, 525 at -0.9 m depth (quantitative analysis in previous section); (iii) Below -1.0 m depth, the water uptake rate predicted 526 by the statistical model steadily increased with depth while that of the physical model was more uniform, likely due to 527 axial hydraulic limitation [e.g., Bouda et al., 2018] counteracting the increasing soil water potential with depth. Note 528 that the outcome of the statistical model may significantly depend on the definition of the a priori relative RWU 529 (rRWU) profile. In the present study, we set it to follow a "flat" uniform distribution (i.e., rRWUj = 1/10, see Appendix 530 E), in other words, each layer was initially defined to contribute equally to RWU. To the contrary of other studies [e.g., 531 Mahindawansha et al., 2018], where the a priori rRWU profile was empirically constructed on basis of soil water 532 content and root length density profiles, we decided not to further arbitrarily constrain the Bayesian model for the sake 533 of comparison with the physically-based soil-root model.

#### 534 3.3 Progresses and Challenges in soil water isotopic labeling for RWU determination

535 Often in the field, the vertical dynamics of both soil water oxygen and hydrogen isotopic compositions are not strong 536 enough (or show convolutions leading to issues of identifiability) for partitioning RWU among different contributing 537 soil water sources. As a consequence, we unfortunately cannot make use of the natural variability in isotopic 538 abundances for deciphering soil-root transfer processes [Beyer et al., 2018; Burgess et al., 2000]. To address this 539 limitation of the isotopic methodology, labeling pulses have been applied locally at different depths in the soil profile 540 [e.g., Beyer et al., 2016] or at the soil upper/lower boundaries under both lab and field conditions by mimicking rain 541 events [e.g., Piayda et al., 2017] and/or rise of the groundwater table [Meunier et al., 2017a; Kühnhammer et al., 2019]. 542 After labeling, we are faced with two problems: (i) the labeling pulse might enhance RWU at the labeling location if 543 the volume of added water significantly changes the value of soil water content. It therefore poses the question of the 544 meaningfulness of the derived RWU profiles, and this independently from the model used (i.e., physically-based soil-545 root model or statistical multi-source mixing model). In other worlds: are we observing a natural RWU behavior of the 546 plant individual or population or are we seeing the influence of the labeling pulse? Certainly a way to move forward 547 is environmental observatories such as ecotron and field lysimeters [e.g., Groh et al., 2018; Benettin et al., 2018] that 548 provide means to better constrain hydraulic boundary conditions and reduced their isotopic heterogeneity. They allow 549 for a mechanistic and holistic understanding of soil-root processes from stable isotopic analysis.

Another topic of concern is (ii) the difficulty to properly observe in situ (1) the propagation of the labeling pulse in the

soil after application and (2) the temporal dynamics of the plant RWU isotopic composition. Beyer and Dubbert [2019]

552 presented a comprehensive review on recent isotopic techniques for non-destructive, online, and continuous

determination of soil and plant water isotopic compositions [e.g., Rothfuss et al., 2013; Quade et al., 2019; Volkmann

et al., 2016a] as alternatives of the widely used combination of destructive sampling and offline isotopic analysis

following cryogenic vacuum extraction [Orlowski et al., 2016b] or liquid-vapor direct equilibration [Wassenaar et al.,

556 2008]. These techniques have the potential for a paradigm change in isotopic studies on RWU processes to the

557 condition that, e.g., isotopic effects during sample collection are fully understood.

558 The present study highlights the need not to "trust" our isotope data alone and always complement them by information

559 on environmental factors as well as on soil and plant water status to go beyond the simple application of statistical

560 models. This is especially the case in the framework of labeling studies where strong soil water isotopic gradients may

561 induce strong dynamics of the RWU isotopic composition from a low variability of rooting depths.

#### 562 4 Conclusion

563 In the present study, light could be shed on RWU of Festuca arundinacae by specifically manipulating the lower 564 boundary conditions for water content and oxygen isotopic composition. The new version of the one-dimensional 565 model of Couvreur et al. (2014) implemented here accounted for both root and soil hydraulics in a population of "big" 566 root systems of known root length density profile. This approach underlined the high sensitivity of  $\delta_{\text{tiller}}$  to rooting 567 depth and suggested that if  $\delta_{\text{tiller}}$  is measured on a limited number of individuals, its variations in time may reflect the 568 heterogeneity of rooting depth within the population, rather than temporal dynamics which was minor in our 569 simulations. The model avoided the prediction of water uptake at locations where it was physically unavailable (e.g., 570 in the top half of the soil profile), by accounting for water potential differences observed between the leaves and the 571 soil, and explained quantitatively the local isotopic enrichment of soil water as the occurrence of nighttime Hydraulic 572 Lift at -0.9 m depth. On the other hand, the Bayesian statistical approach tested for comparison, which was driven by 573 isotopic information solely, naturally translated the observed changes of  $\delta_{tiller}$  into profound temporal dynamics of 574 RWU, at the expense of eco-physiological consideration (e. g., temporal dynamics of leaf water potential and 575 transpiration rate).

576 This case study highlights (i) the potential limitations of water isotopic labeling techniques for studying RWU: the soil 577 water isotopic artificial gradients induced from water addition result in an improvement in RWU profiles determination 578 to the condition that they are properly characterized spatially and temporally. As already pointed out in the review of 579 Rothfuss and Javaux (2017), the study also (ii) underlines the interest of complementing in-situ isotopic observations 580 in soil and plant water with information on soil water status and plant ecophysiology; it finally (iii) calls for the use of 581 simple soil-root models (though requiring additional water status measurements and making more explicit assumptions 582 on the description of the soil-plant system, as compared to the traditional Bayesian approach) for inversing isotopic data and gain insights into the RWU process. 583

## 584 Acknowledgements

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#### 592 Data sets

Upon acceptance, all research data needed for creating plots will be available in reliable FAIR-aligned data repositorieswith assigned DOIs.

#### 595 Author contribution

- 596 TB, JLD, and PB designed the experiments and TB, JLD, PB, and YR carried them out. VC, FM, and MJ developed
- the physically-based root water uptake model code and VC and FM performed the simulations. YR performed the statistical simulations. VC, YR, FM, and MJ prepared the manuscript with contributions from all co-authors.

#### 599 Competing interests

- 600 The authors declare that they have no conflict of interest.
- 601

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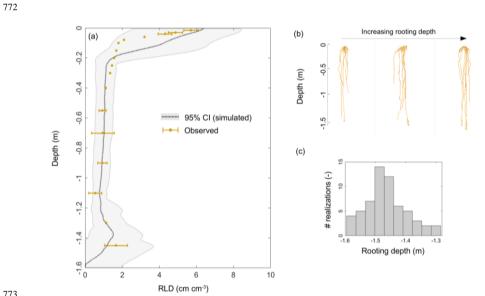
#### 767 5 Tables

	$L_{\rm pr}$ (m MPa <sup>-1</sup> s <sup>-1</sup> )	$k_{\rm axial} ({ m m}^4{ m MPa}^{-1}{ m s}^{-1})$	$k_{\rm sat} ({ m m^2MPa^{-1}s^{-1}})$	λ(-)
Lower limit	10 <sup>-11</sup>	10 <sup>-13</sup>	10 <sup>-5</sup>	-5
Upper limit	10-6	10 <sup>-8</sup>	10-2	2
Value at best fit	2.3 10-7	4.5 10 <sup>-11</sup>	9.5 10 <sup>-3</sup>	-4.9

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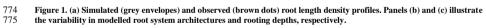
Table 1. Optimum and limits of the four-dimensional parametric space explored by the global optimization algorithm aiming at minimizing the difference between simulated and observed  $\delta_{\text{tiller}}$  and  $\psi_{\text{leaf}}$ , as well as their standard deviation from average

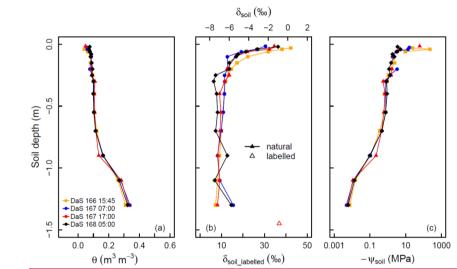
values during the full experiment.

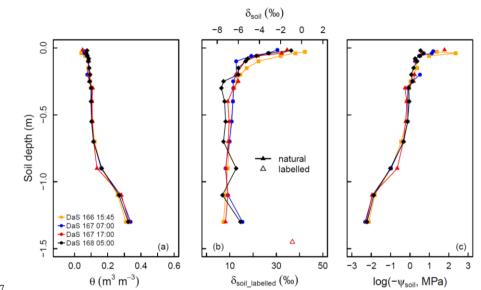




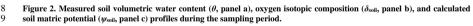
6 Figures

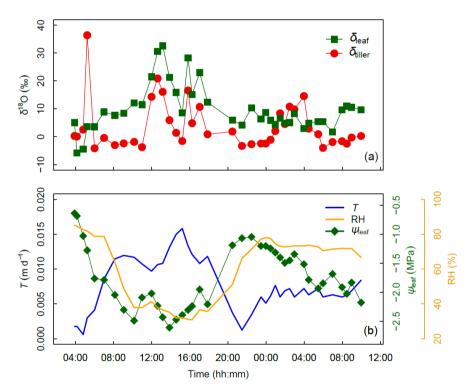




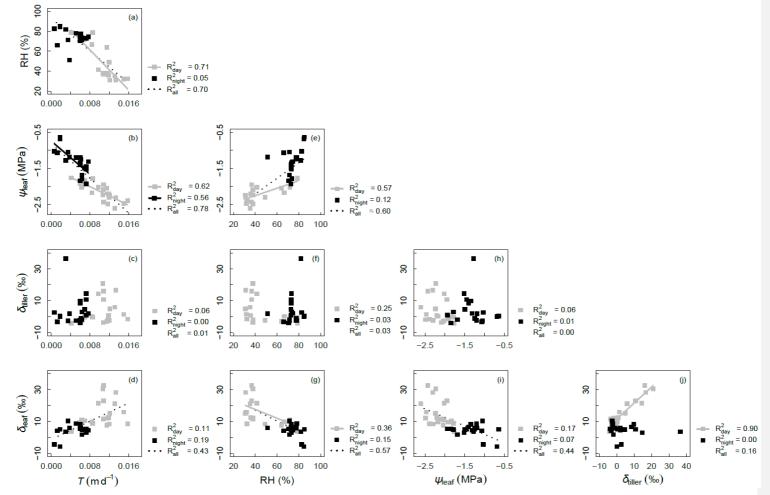




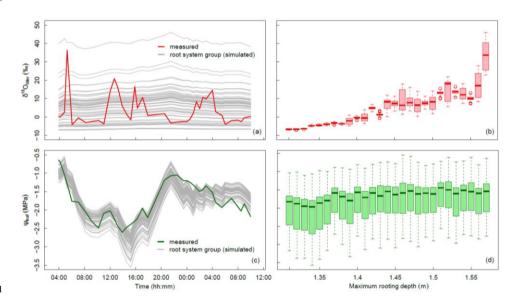




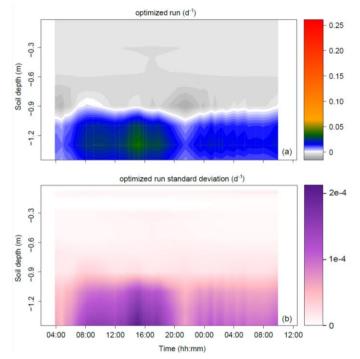
781Figure 3. (a) Time series of tiller and leaf water oxygen isotopic compositions ( $\delta_{tiller}$  and  $\delta_{soil}$ ,  $\ll$ ). (b) Transpiration flux (T,782in m d<sup>-1</sup>), relative humidity (HR,  $\ll$ ), and leaf water potential ( $\psi_{leaf}$ , in MPa, panel b) from days after seeding DaS 167 –78304:00 to DaS 168 – 11:00. Time of Labeling was DaS 166 – 17:00.

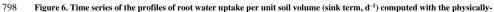


785Figure 4. Correlations between measured variables: oxygen isotopic compositions of xylem and leaf waters ( $\delta_{tiller}$  and  $\delta_{leaf}$ ,786in ‰), transpiration rate (T, in m d<sup>-1</sup>), relative humidity (RH, %), and leaf water potential ( $\psi_{leaf}$ , in MPa). Coefficient of787determinations ( $\mathbf{R}^2$ ) are reported for all data, and separately for 'day' data (gray symbols) and 'night' data (black symbols)788(see Appendix C for definition of 'day' and 'night' experimental periods). Regression lines are drawn for linear models with789p-value < 0.01</td>

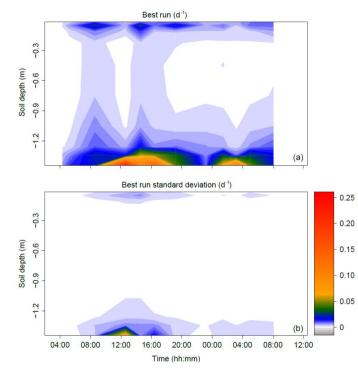


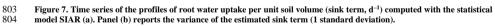
792Figure 5. Variation of  $\delta_{iiller}$  and  $\psi_{leaf}$  in time and across the 60 groups of simulated root systems. (a) Temporal dynamics of793 $\delta_{iiller}$  measured (thick red line) and simulated (thin grey lines, one line per root system group, following a "swarm" pattern).794(b) Boxplot of simulated  $\delta_{iiller}$  values for each root system maximum depth, by 1 cm increment. (c) Temporal dynamics of795 $\psi_{reaf}$  measured (thick green line) and simulated (thin grey lines, one line per root system group, following a "rollercoaster"796pattern).(d) Boxplot of simulated  $\psi_{reaf}$  values for each root system maximum depth, by 1 cm increment.



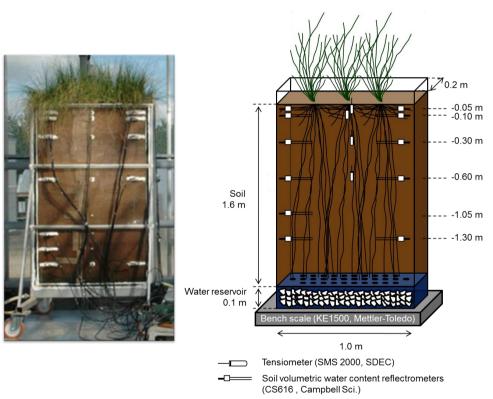


based model. (a) Sum of sink terms across the 60 groups of the population. (b) Variability of sink terms within the 60 groups of the population (1 standard deviation).









808 Appendix A. Soil macro-rhizotron experimental setup with tall fescue cover

θ <sub>sat</sub> (m <sup>3</sup> m <sup>-3</sup> )	θ <sub>res</sub> (m <sup>3</sup> m <sup>-3</sup> )	α (m⁻¹)	n (-)	Formatted: Font: (Default) Arial
				Formatted Table
0.4	0.044	0.0285	2.29	Formatted: Font: (Default) Arial

810 Appendix B. Soil retention curve and parameters optimized values [van Genuchten, 1980 - Burdine] [Meunier et al., 2017a]

# 811 Appendix C. Timeline of destructive sampling

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#### 813 Appendix D. Inverse modeling scheme

The parametrization method was inverse modeling, with four targets: (i) minimizing the differences between observed and predicted  $\delta_{\text{tiller}}$  in each pool *p*, (ii) minimizing the difference between the standard deviations of observed and predicted  $\delta_{\text{tiller}}$  (temporal and population deviations altogether), (iii) minimizing the differences between observed and predicted  $\psi_{\text{leaf}}$  in each root system group *i*, (iv) minimizing the difference between the standard deviations of observed and predicted  $\delta_{\text{tiller}}$  (temporal and population deviations altogether). (iii) minimizing the differences between observed and predicted  $\delta_{\text{tiller}}$  (temporal and population deviations altogether). These targets translated as an objective function (*OF*) to be minimized, where differences were normalized by the standard deviation (*SD*) of observations in order to make the error function dimensionless:

$$822 = \sqrt{\frac{1}{2} \left( \frac{1}{N_p N_t} \sum_{l} \sum_{t} \left( \frac{\delta_{tiller,obs}(t) - \delta_{tiller,p,sim}(t)}{SD\left(\delta_{tiller,obs}(t)\right)} \right)^2 + \frac{1}{N_l N_t} \sum_{l} \sum_{t} \left( \frac{\psi_{leaf,obs}(t) - \psi_{leaf,i,sim}(t)}{SD\left(\psi_{leaf,obs}(t)\right)} \right)^2 \right)}$$

$$823 + \left| \frac{SD(\delta_{tiller,obs}(t)) - SD(\delta_{tiller,p,sim}(t))}{SD(\delta_{tiller,obs}(t))} \right| + \left| \frac{SD(\psi_{leaf,obs}(t)) - SD(\psi_{leaf,i,sim}(t))}{SD(\psi_{leaf,obs}(t))} \right|$$
(D1)

where  $N_p$  is the number of  $\delta_{tiller}$  pools simulated (100) at each observation time,  $N_i$  is the number of plant groups simulated (60), and  $N_t$  the total number of observation times (40).

826 The global optimizer Multistart heuristic algorithm OQNLP (Optimal Methods Inc.) of the MATLAB (The

- 827 MathWorks, Inc., USA) optimization toolbox was used to minimize the error function within the lower and upper
- 828 limits of the parametric space reported in Table 1.

#### 829 Appendix E. Statistical determination of relative RWU profiles with SIAR

The Bayesian inference statistical model SIAR [Parnell et al., 2013] was used to determine the profiles of relative contributions to RWU (rRWU, dimensionless) of ten identified potential water sources. These water sources were defined to originate from the soil layers 0.00-0.03, 0.03-0.07, 0.07-0.15, 0.15-0.30, 0.30-0.60, 0.60-0.90, 0.90-1.20, 1.20-1.32, 1.32-1.37, and 1.37-1.44 m. Their corresponding isotopic compositions were obtained from the measured soil water isotopic compositions ( $\delta_{soil}$ ) and volumetric content ( $\theta$ ) values following Eq. (E1) [Rothfuss and Javaux, 2017]:

836 
$$\delta_{soil,J} = \frac{\sum_{j \in J} \delta_{soil,j} \cdot \theta_j \cdot \Delta Z_j}{\sum_{j \in J} \theta_j \cdot \Delta Z_j}$$
(E1)

where J is the soil layer index, j is the soil sub-layer index, and  $\Delta Z_j$  is the thickness of the soil sub-layer j. Therefore, equation (E1) translates the soil water isotopic composition measured across sub-layers j into representative isotopic compositions of the different sources (i.e., across layers J). The computed  $\delta_{\text{soil,J}}$  were compared to  $\delta_{\text{tiller}}$  values. For this,  $\delta_{\text{tiller}}$  measurements were pooled in twelve groups corresponding to different time periods. These groups were defined to best reflect the apparent temporal dynamics of  $\delta_{\text{tiller}}$ .

842 For each of the twelve time periods:

843	(i)	the	function	siarmcmcdirichletv4	of	the	SIAR	R	package	(https://cran.r-
844		projec	t.org/web/pa	ckages/siar/index.html)	was run	500,00	00 times	with	prescribed by	urnin and thinby
845		equal	to 50000 and	15, respectively. The o	output of	the mo	odel (i.e.,	the a	posteriori rR	WU distribution
846		across	the ten soil v	water sources J) was ob	tained fro	om a fla	at Dirichl	let a p	riori rRWU o	distribution (i.e.,
847		rRWU	<sub>J</sub> =1/10);							

1	348	(ii)	the 'best run' (br, dimensionless) was selected from SIAR's output. It was defined as the closest solution
;	349		of relative contributions across sources from the set of most frequent values ( $mfv$ , dimensionless), i.e.,
;	350		the relative contribution with the greatest probability of occurrence. The best run was identified as
;	351		minimizing the objective function below, i.e., the RMSE (root mean square error) with respect to the set
;	352		of <i>mfv</i> <sub>1</sub> :

853 
$$OF = \sqrt{\frac{\sum_{j=1}^{10} (mfv_j - br_j)^2}{10}}$$
(E2)

854 (iii) *br* was then multiplied by transpiration rate (in m d<sup>-1</sup>) and divided by soil layer thicknesses ( $\Delta Z_{J}$  in m)

- 855 to obtain sink terms (S<sub>J</sub>, i.e. root water uptake rate per unit soil volume, expressed in d<sup>-1</sup>). The interest
- 856 of sink terms in a comparison is that they do not vary with soil vertical discretization.
- 857 Steps (i)-(iii) were repeated a 1,000 times to estimate the variance of the best run for each time period and soil water
- 858 source J.