

1 Hydrological and Runoff Formation Processes Based on Isotope  
2 Tracing During Ablation Period in the Source Regions of Yangtze  
3 River

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30 **Abstract:** This study focused on the hydrological and runoff formation processes of  
31 river water by using stable isotope tracing in the source regions of the Yangtze river  
32 during different ablation episodes in 2016 and the ablation period from 2016 to 2018.  
33 The effects of altitude on stable isotope characteristics for the river in the glacier  
34 permafrost area were greater than for the mainstream and the permafrost area during  
35 the ablation period in 2016. There was a significant negative correlation (at the  
36 0.01 level) between precipitation and  $\delta^{18}\text{O}$ , while a significant positive correlation  
37 was evident between precipitation and d-excess. More interestingly, significant  
38 negative correlations appeared between  $\delta^{18}\text{O}$  and temperature, relative humidity, and  
39 evaporation. A mixed segmentation model for end-members was used to determine  
40 the proportion of the contributions of different water sources to the target water body.  
41 The proportions of precipitation, supra-permafrost water, and glacier and snow  
42 meltwater for the mainstream were 41.70%, 40.88%, and 17.42%, respectively. The  
43 proportions of precipitation, supra-permafrost water, and glacier and snow meltwater  
44 were 33.63%, 42.21%, and 24.16% for the river in the glacier permafrost area and  
45 20.79%, 69.54%, and 9.67%, respectively, for that in the permafrost area. The  
46 supra-permafrost water was relatively stable during the different ablation periods,  
47 becoming the main source of runoff in the alpine region, except for precipitation,  
48 during the ablation period.

49 **Keywords:** River water, stable isotope, ablation period, source region, Yangtze River

50

## 51 1. Introduction

52

53 Liquid precipitation, glaciers, snow, and permafrost in cold regions are important  
54 components of hydrological processes, serve as a key link in the water cycle, and are  
55 amplifiers and indicators of climate change (Yang et al., 2012; Chang et al., 2015; Li  
56 et al., 2016a; 2016b; 2018). They are not only important as the recharge sources of  
57 water in river basins but are also important resources to support regional development  
58 (Halder et al., 2015; Lafrenière et al., 2019). The temporal and spatial variations of  
59 runoff components are of great significance for water levels during wet and dry years  
60 in terms of ecological protection and the distribution of water resources (Wang et al.,  
61 2012; Pan et al., 2017; Mu et al., 2018). Therefore, the study on the composition  
62 change of runoff and its hydrological effect in cold areas can not only consolidate  
63 theories on runoff research, prediction, and adaptation, but also have important  
64 practical significance for construction, industry, and agriculture in cold regions (Wang  
65 et al., 2009; 2017; Wang et al., 2019).

66

67 The stable isotope tracer technique has become an important research method in  
68 hydrology. In recent years, the response of hydrological processes to climate change  
69 in cold regions has become a hot topic in the field of global change, which has greatly  
70 promoted the application of the stable isotope and chemical ion tracing methods in the  
71 analysis of runoff in cold regions (Li et al., 2015; 2019; Qu et al., 2017; Zhu et al.,  
72 2019). Liu et al. (2004) systematically studied the contribution of glacier and snow  
73 meltwater to runoff in a cold area in Colorado, USA. It was found that the  
74 contribution of glacier and snow meltwater to runoff in spring was as high as 82%.

75 Boucher and Carey (2010) systematically studied runoff segmentation in permafrost  
76 basins. Maurya et al. (2011) found that the average contribution of meltwater to runoff  
77 was 32% in typical glacial basins on the southern slope of the Himalayas. The  
78 application of the stable isotope tracer method in the analysis of runoff components in  
79 the cold regions of China has been relatively small. Gu and Longinelli (1993) first  
80 used  $\delta^{18}\text{O}$  as a tracer in the Urumqi River in the Tianshan Mountains. The recharge  
81 water source can be separated into rainfall, snow meltwater, groundwater, and ice  
82 melt water. The results showed that groundwater and snow melt water were the major  
83 recharge sources of the Urumqi River in different periods and locations. Since then,  
84 Kong and Pang (2012) have studied the contribution of meltwater to runoff and its  
85 climatic sensitivity in two typical glacial basins in the Tianshan Mountains. The  
86 composition of runoff from the Tizinafu River in the Tianshan Mountains shows that  
87 the average contribution of snow melt water is 43% (Fan et al., 2015). The  
88 contribution of glacier and snow meltwater to runoff in the Baishui River in the  
89 Yulong Snow Mountains was 53.4% in summer (Pu et al., 2013). A study of the  
90 Babao River and the Hulugou basin in the Qilian Mountains showed that different  
91 water sources were fully mixed into groundwater before recharging rivers in this  
92 alpine cold region, and that the contribution of meltwater in the cryosphere to runoff  
93 in the cold region was as high as 33% (Li et al., 2014a; 2014b). Although these  
94 studies determined the contribution of precipitation and glacier and snow meltwater to  
95 runoff in the cold regions, they neglected the contribution of supra-permafrost water  
96 to runoff and its impact on hydrological processes (Prasch et al., 2013; Lutz et al.,

97 2014). On the one hand, it increases the uncertainty of runoff analysis in the cold  
98 regions. On the other hand, it is difficult to comprehensively evaluate the impact of  
99 components on the runoff process and the hydrological effects in cold regions.

100

101 The source of the Yangtze River, which is a typical alpine frozen soil area, is an  
102 important ecological barrier and a protected water source in China (Liang et al., 2008;  
103 Li et al., 2017). The regional climate shows a significant warm and wet trend against  
104 the background of global climate change. **The regional climate shows a significant**  
105 **warm and wet trend against the background of global climate change. So regional**  
106 **evapotranspiration increases and ice and snow resources exhibit an accelerating**  
107 **melting trend** (Kang et al., 2007; Wang et al., 2019). **The increasing of ground**  
108 **temperature can cause it to melt significantly.** The active layer becomes thicker and  
109 degenerates remarkably (Shi et al., 2019). Given this background, the temporal and  
110 spatial patterns, mechanisms, and influences of precipitation, glacier and snow  
111 meltwater, meltwater in the active layer, and groundwater in the region undergo  
112 profound changes and impact runoff processes (Wu et al., 2015). These significant  
113 impacts and their hydrological effects on the entire basin have gradually become  
114 prominent.

115

116 In summary, due to the lack of data and the difficulty of observation and sampling in  
117 cold regions, current studies have paid more attention to the study of hydrological  
118 processes and water cycle characteristics at the watershed scale from the macroscopic

119 point of view. However, there is a lack of in-depth study on the mechanism of the  
120 temporal and spatial variations of runoff components from the microscopic point of  
121 view, and the understanding of its hydrological effects is still in the exploratory stage.  
122 At present, although stable isotope tracer techniques have been applied to the analysis  
123 of runoff in cold regions, most of the current studies are limited to the assessment of  
124 the contribution and impact of glacier and snow melt water but neglect the significant  
125 role of liquid precipitation increase and melt water in the active layer. The results in a  
126 lack of systematic understanding of the hydrological effects of runoff composition  
127 changes in cold regions. Meanwhile, different types of tributaries in runoff-producing  
128 areas are the key to runoff-producing processes and are the main links to  
129 understanding hydrological processes in cold regions. It is urgent to develop an  
130 understanding of how runoff is produced. In addition, the current study of  
131 hydrological processes in the source area of the Yangtze River focuses on the  
132 variation in runoff itself and its response mechanism to climate change, lacking  
133 in-depth analysis of runoff components and its hydrological effects. Therefore, taking  
134 the source area of the Yangtze River as an example, we conduct a study into the  
135 temporal and spatial variations of isotopes in different tributary rivers under the  
136 background of climate warming and their influencing factors by using the methods of  
137 field observation, experimental testing, stable isotope tracing, and analytical modeling  
138 of end-element mixed runoff. **Based on the conversion signals of stable isotopes in  
139 each link of the runoff process, at first, this study further explores the hydraulic  
140 relations, recharge-drainage relations and their transformation paths, and the processes**

141 of each water body. Furthermore, this study determines the composition of runoff,  
142 quantifies the contribution of each runoff component to different types of tributaries.  
143 Finally, this study analyzes the hydrological effects of the temporal and spatial  
144 variation of runoff components. On the one hand, the research results can reveal the  
145 evolution mechanism of runoff in cold regions under the background of climate  
146 warming. On the other hand, it provides parameter support and a theoretical basis for  
147 the simulation and prediction of runoff changes in cold regions, and then provides a  
148 scientific basis for a more systematic understanding of the hydrological effects caused  
149 by underlying surface changes in cold regions, ultimately providing decision-making  
150 basis for the rational development and utilization of water resources in river basins.

151

## 152 **2. Data and Methods**

153

### 154 **2.1 Study area**

155

156 The source region of the Yangtze River is located in the hinterland of the Tibetan  
157 Plateau (Fig. 1). It is an important ecological barrier and water conservation region in  
158 China. The southern boundaries are the Tanggula Mountains and Sederi Peak, which  
159 contain the watersheds of the Salween River and Lantsang River, respectively. The  
160 mean altitude reaches 4000 m above sea level with a decreasing elevation from west  
161 to east (Yu et al., 2013) that covers an area of approximately 138,000 km<sup>2</sup>, ~7.8% of  
162 the total area of the Yangtze River Basin. Most tributaries start from glaciers, and  
163 form very dense drainage networks, such as those of the Chumaer River in the north,  
164 Tuotuohe River in the middle, and Dangqu River in the south (Pu, 1994). The glaciers

165 in the study area are mainly distributed along the north-oriented slopes of the  
166 Tanggula Mountains and Sedir Mountains and the south-oriented slopes of the  
167 Kunlun Mountains, with a total area of 1496.04 km<sup>2</sup> (Yao et al., 2014). The  
168 permafrost has a thickness of 10 – 120 m, which accounts for 77% of the total basin  
169 area, and most surface soils are frozen during winter and thaw in summer, and active  
170 layer thicknesses range from 1–4 m (Gao et al., 2012). Annual average temperatures  
171 range from 3 – 5.5°C. The annual precipitation is 221.5 – 515 mm (Yu et al., 2014).  
172 The mean annual precipitation varies considerably over the reserve, and ~80% of the  
173 annual precipitation occurs during summer, with the highest precipitation occurring in  
174 August.

175

## 176 **2.2 Sample Collection**

177

178 This study mainly collects precipitation, glacier and snow melt-water,  
179 supra-permafrost water and river water to systematic analysis the recharge  
180 relationship between precipitation, glacier and snow melt-water, supra-permafrost  
181 water and river water in the source area of the Yangtze River. **In this study, the initial  
182 ablation period is from May to June, the strong ablation period is from July to August,  
183 and the end ablation period is from September to October. In order to analyze the  
184 influence of meteorological factors on the stable isotope in river water, samples were  
185 collected once per week at the ZMD and TTH stations throughout the sampling period.  
186 A total of 201 river water samples were collected in this study. The specific sampling  
187 process is as follows:**



188

189 River water: In order to analysis the spatial and temporal characteristic of stable  
190 isotope of river water in mainstream (25 samples) and major tributary (including river  
191 in glacier permafrost area (105 samples) and river in permafrost area (167 samples))  
192 in the study area, All of river water samples around the traffic routes in the source area  
193 of the Yangtze River were collected in initial ablation in 2016 (48 samples), ablation  
194 in 2016 (88 samples), end ablation in 2016 (45 samples), ablation in 2017 (55 samples)  
195 and ablation in 2018 (61 samples) (Fig.1).

196

197 Glacier and snow melt-water: This paper researched the hydrochemistry characteristic  
198 of melt-water in Cryosphere (Yuzhu peak Glacier, Geladandong Glacier and  
199 Dongkemadi Glacier) through collected water samples by fixed-point sampling from  
200 June to September in 2016 and 2017. The samples were collected once every 10 days  
201 at the glacier front during the ablation period. The sampling time is at 14 o'clock per  
202 day. The sampling location is in hydrological section at the end of the glacier.

203

204 Supra-permafrost water: Supra-permafrost water is the most widely distributed  
205 groundwater type in the SRYR, and it is mainly stored in the permafrost active layer  
206 (Li et al.,2018). The hydrochemistry characteristic of supra-permafrost water in the  
207 study area this paper collected water samples by comprehensive sampling from June  
208 to September in 2016 and 2018. The sampling process is manual operation. At first, a  
209 2-m deep profile of the permafrost active layer was dug at each of the sampling points.

210 Then, the collection of the water samples are immediately filtered with 0.45  $\mu\text{m}$   
211 Millipore filtration membrane. Then, samples were poured the filtered into a clean  
212 polyethylene bottle.

213

214 Precipitation: precipitation samples were collected at Zhimenda Hydrological Station  
215 (ZMD) at the mountain pass of the source area of the Yangtze River, Qumalai  
216 Meteorological Station(QML) in the middle reaches of the source area and Tuotuo  
217 River Meteorological Station(TTH) in the upper reaches of the source area. The  
218 sampling period extended from April 1, 2016 to October 31, 2018.

219

220 Before analysis, all samples were stored at 4°C in a refrigerator without evaporation.  
221 Precipitation and surface water samples were analyzed for  $\delta^{18}\text{O}$  and  $\delta\text{D}$  by means of  
222 laser absorption spectroscopy (liquid water isotope analyzer, Los Gatos Research  
223 DEL-100, USA) at the Key Laboratory of Ecohydrology of Inland River Basin,  
224 Northwest Institute of Eco-Environment and Resources, CAS. The results are reported  
225 relative to the Vienna Standard Mean Ocean Water (VSMOW). Measurement  
226 precisions for  $\delta^{18}\text{O}$  and  $\delta\text{D}$  were better than 0.5‰ and 0.2‰, respectively. Field  
227 measurements included pH, dissolved oxygen (DO), electrical conductivity (EC), and  
228 water temperature.

229

### 230 **2.3 End-Member Mixing Analysis**

231

232 Hooper (2003) introduced the end-member mixing analysis (EMMA) using

233 chemical/isotopic compositions in waters. The techniques involve graphical analyses,  
 234 in which chemical and isotopic parameters are used to represent the designated end  
 235 members. Tracer concentrations are constant in space and time. Essentially, the  
 236 composition of the water changing can be considered as a result of intersections  
 237 during its passage through each landscape zone. Tracers can be used to determine both  
 238 sources and flow paths. The EMMA tracer approach has been a common method for  
 239 analyzing potential water sources contributing to stream flow ( Li et al, 2014a; 2016a).  
 240 Here in a three end-member mass-balance mixing model is employed to calculate the  
 241 contribution of up to three water sources in stream water, such as the following:

$$242 \quad X_S = F_1 X_1 + F_2 X_2 + F_3 X_3 \quad (1a)$$

$$243 \quad Y_S = F_1 Y_1 + F_2 Y_2 + F_3 Y_3 \quad (1b)$$

244 In Eq. (1), X and Y represent concentrations of two types of different tracers. In this  
 245 study,  $\delta^{18}\text{O}$  and deuterium excess were chosen for comparison. The subscripts  
 246 represents stream water sample, and 1, 2, and 3 represent water from the respective  
 247 contribution of three respective source waters (end members) to stream water. The  
 248 fraction of each end-member is denoted by F. The solutions for  $F_1$ ,  $F_2$ , and  $F_3$  in  
 249 regards to tracer concentrations in Eq. (1) can be given as:

$$250 \quad F_1 = [(X_3 - X_S)/(X_3 - X_2) - (Y_3 - Y_S)/(Y_3 - Y_2)] / [(Y_1 - Y_3)/(Y_3 - Y_2) - (X_1 - X_3)/(X_3 - X_2)] \quad (2a)$$

$$251 \quad F_2 = [(X_3 - X_S)/(X_3 - X_1) - (Y_3 - Y_S)/(Y_3 - Y_1)] / [(Y_2 - Y_3)/(Y_3 - Y_1) - (X_2 - X_3)/(X_3 - X_1)] \quad (2b)$$

$$252 \quad F_3 = 1 - F_1 - F_2 \quad (2c)$$

253 This method has been used by previous study ( Li et al., 2014b; 2015; 2016b). This  
 254 study also used this method to evaluate the contribution of possible sources to the  
 255 river water.

256

## 257 **2.4 Uncertainty in hydrograph separation**

258

259 The uncertainty of tracer-based hydrograph separations can be calculated using the  
260 error propagation technique (Genereux, 1998; Klaus & McDonnell, 2013). This  
261 approach considers errors of all separation equation variables. Assuming that the  
262 contribution of a specific streamflow component to streamflow is a function of several  
263 variables  $c_1, c_2, \dots, c_n$  and the uncertainty in each variable is independent of the  
264 uncertainty in the others, the uncertainty in the target variable (e.g., the contribution of  
265 a specific streamflow component) is estimated using the following equation (Genereux,  
266 1998; Uhlenbrook & Hoeg, 2003):

$$267 \quad W_{fx} = \sqrt{\left(\frac{\partial z}{\partial c_1} W_{c_1}\right)^2 + \left(\frac{\partial z}{\partial c_2} W_{c_2}\right)^2 + \dots + \left(\frac{\partial z}{\partial c_n} W_{c_n}\right)^2}, \quad (3)$$

268 where  $W$  represents the uncertainty in the variable specified in the subscript.  $fx$  is the  
269 contribution of a specific streamflow component  $x$  to streamflow. The software  
270 package MATLAB is used to apply equation 3 to the different hydrograph separations  
271 in this study.

272

### 273 **3. Results**

274

#### 275 **3.1 Temporal Variation**

276

277 As shown in Fig. 2, stable isotope characteristics of  $\delta^{18}\text{O}$  and d-excess was different  
278 during different ablation for the different types of runoff. For the mainstream, the  $\delta^{18}\text{O}$   
279 in initial ablation was higher than end ablation, while the ablation period was the  
280 lowest. But  $\delta^{18}\text{O}$  in ablation period showed decreasing trend from 2016 to 2018. With

281 the same as  $\delta^{18}\text{O}$ , d-excess in the different ablation periods was different (Fig. 2a, d).  
282 For the river in the glacier permafrost area, the order of  $\delta^{18}\text{O}$  for the different ablation  
283 periods and the ablation period from 2016 to 2018 was the same as the mainstream  
284 order, but the values of  $\delta^{18}\text{O}$  were different for the mainstream (Fig. 2b, e). For the  
285 river in the permafrost area, the variation  $\delta^{18}\text{O}$  for the different ablation periods and  
286 ablation from 2016 to 2018 was the same as for the mainstream and the river in the  
287 glacier permafrost area. However, the order of d-excess was different for the river in  
288 the permafrost area and the glacier permafrost area (Fig. 2c, f). In general, the  $\delta^{18}\text{O}$  in  
289 the mainstream was more negative than those in the rivers in the glacier permafrost  
290 and permafrost areas. These results may be due to the fact that the highest runoff was  
291 for the mainstream and that the effects of dilution result in lower isotope values.  
292 However, the  $\delta^{18}\text{O}$  in the river in the glacier permafrost area was more positive than  
293 those in the mainstream and the river in the permafrost area. The effect of evaporation  
294 could explain these results and the change in d-excess could also demonstrate the  
295 same.

296

### 297 **3.2 Spatial Variation**

298

299 To analyze the spatial variation of  $\delta^{18}\text{O}$  based on the different ablation periods in 2016  
300 and ablation from 2016 to 2018, spatial interpolation of all river water samples in the  
301 study area was performed using ArcGIS. The results are shown in Fig. 3. The  $\delta^{18}\text{O}$   
302 value in the north-central region of the study area was more positive than those in  
303 other regions. In the southeastern part of the study area, especially the QML, ZMD,

304 and Tanggula Mountains, the values were more negative during the initial ablation  
305 period. The area of positive ablation during the ablation period, which was  
306 concentrated mainly in the northeast part of the study area, was larger than that during  
307 the initial ablation. The other regions, except some areas in the southwest, turned  
308 positive. The area of positive ablation was largest during the final of the different  
309 ablation periods in 2016; all areas, except some in the eastern region of the study area,  
310 were positive (Fig. 3). The area of positive ablation in the central and northern regions  
311 began to expand in 2017 compared to the area of ablation in 2016. Furthermore, the  
312 area of negative ablation appears mainly in the southeastern and southwestern  
313 portions of the study area. However, the positive ablation area was also concentrated  
314 in the central and northern regions in 2018 and it was greater than it was in 2016 and  
315 2017. Meanwhile, the negative ablation area appeared mainly in the southeastern and  
316 southwestern portions of the study area, but it was smaller than in 2016 and 2017.  
317 These results may be related to evaporation, possible recharge sources, or  
318 meteorological factors. These results were comprehensive and influenced by  
319 meteorological factors and the type and proportion of recharge sources. The  
320 evaporation effect was strong in the central and northern regions, which were also the  
321 major glacier and permafrost regions. The southeastern region was the downstream  
322 area where all runoff converged; thus, the dilution effect led to a more negative  $\delta^{18}\text{O}$   
323 here. Moreover, the Tanggula Mountains, with altitudes higher than those in other  
324 regions, were located southwest of the study area; thus, evaporation had a low  
325 influence on this region and the oxygen stable isotopes were more negative.

326

327 Just as with the spatial distribution of  $\delta^{18}\text{O}$ , there was a significant spatial distribution  
328 of d-excess in the study area (Fig. 4). Compared to the spatial distribution of  $\delta^{18}\text{O}$ , the  
329 d-excess in the central and northern regions were lower than those in the other regions.  
330 However, d-excess was higher in the latter, especially in the southwestern regions and  
331 in the southeastern regions during the initial ablation period. The lower area begin to  
332 expand during the ablation period in 2016, while the central and northeastern regions  
333 and the Tanggula Mountains were greater. Meanwhile, the negative ablation area  
334 continued to expand during the end ablation period; ablation was greater only in the  
335 southeastern part of the study area. **However, all regions except for areas in the**  
336 **eastern region where the ablation was low during the ablation period in 2017**  
337 **exhibited high ablation especially Tanggula Mountains.** Moreover, the lower ablation  
338 regions appeared mainly in the central and southeastern regions of the study area;  
339 values were higher in the other regions, especially in the Tanggula Mountains and the  
340 northeast. The spatial distribution of d-excess also confirmed the spatial distribution  
341 of the oxygen stable isotope because evaporation resulted in the enrichment of  
342 isotopes and led to a reduction in d-excess.

343

344 In general, the influence of evaporation on the isotope and d-excess was only  
345 manifested in some places, such as the central and northern parts of the study area, in  
346 the initial ablation and the ablation periods. However, the influence of evaporation  
347 on the isotope and d-excess was manifested in most places, except the southeast of the

348 study area. Meanwhile, these results also indicated that there may be a hysteresis for  
349 the influence of meteorological factors on isotopes and d-excess. On the one hand,  
350 river water was the result of the final convergence of various recharge sources that  
351 include precipitation, supra-permafrost water, and glacier and snow meltwater. On the  
352 other hand, meteorological factors directly affected the main recharge sources of river  
353 water.

354

355 As shown in Fig. 5, there was a significant difference in the variation of  $\delta^{18}\text{O}$  and  
356 d-excess with altitude for the mainstream, the river in the glacier permafrost area, and  
357 the river in the permafrost area of the study area. For the mainstream, the oxygen  
358 stable isotope showed a decreasing trend, with increases in altitude, during the  
359 ablation periods in 2016 and 2018. In other words, the altitude effect only appeared in  
360 the ablation periods during these two years and had values of  $-0.16\text{‰}/100\text{ m}$   
361 ( $p < 0.05$ ) and  $-0.14\text{‰}/100\text{ m}$  ( $p < 0.05$ ), respectively. However,  $\delta^{18}\text{O}$  showed an  
362 increasing trend with an increase in altitude during the initial and end ablation periods  
363 in 2016 and ablation period in 2017. The anti-altitude effects of the initial and end  
364 ablation periods in 2016, and ablation period in 2017, were  $0.11\text{‰}/100\text{ m}$  ( $p < 0.05$ ),  
365  $0.13\text{‰}/100\text{ m}$  ( $p < 0.01$ ), and  $0.04\text{‰}/100\text{ m}$  ( $p < 0.05$ ), respectively. For the  
366 phenomenon of anti-altitude effect, the following reasons can explain this  
367 phenomenon: on the one hand, in the source area of the river, the stable isotope  
368 concentration of precipitation and glacier snow meltwater is relatively low and the  
369 value of groundwater in the permafrost active layer is relatively positive due to the



370 influence of soil evaporation; On the other hand, the more the inflow of precipitation,  
371 the greater the contribution of precipitation. So there is an obvious diluting effect of  
372 biotin, which makes the concentration more negative. D-excess showed a decreasing  
373 trend during the initial and end ablation periods in 2016 and a significant increasing  
374 trend in the ablation period from 2016 to 2018. For the river in the glacier  
375 permafrost area,  $\delta^{18}\text{O}$  showed a decreasing trend with increase in altitude during the  
376 ablation periods in 2016 and 2018, but the ablation in 2018 was not significant. The  
377 altitude effect was  $-0.66\text{‰}/100\text{ m}$  ( $p < 0.05$ ) and  $-0.15\text{‰}/100\text{ m}$  ( $p > 0.05$ ),  
378 respectively, during the former two periods. Moreover, a significant anti-altitude  
379 effect of  $0.47\text{‰}/100\text{ m}$  ( $p < 0.05$ ),  $0.67\text{‰}/100\text{ m}$  ( $p < 0.05$ ), and  $0.97\text{‰}/100\text{ m}$   
380 ( $p < 0.05$ ), appeared in the initial and end ablation periods in 2016 and ablation  
381 period in 2017, respectively. Just as with the mainstream, d-excess showed a  
382 decreasing trend in the initial and end ablation periods in 2016 and an increasing trend  
383 in the ablation from 2016 to 2018. For the river in the permafrost area,  $\delta^{18}\text{O}$  showed  
384 a decreasing trend with an increase in altitude in the initial ablation period and  
385 ablation period in 2016, with an altitude effect of  $-0.38\text{‰}/100\text{ m}$  ( $p < 0.05$ ) and  
386  $-0.12\text{‰}/100\text{ m}$  ( $p > 0.05$ ), respectively. However,  $\delta^{18}\text{O}$  showed an increasing trend  
387 with increase in altitude in the end ablation period in 2016 and the ablation periods  
388 in 2017 and 2018, with an anti-altitude effect of  $0.21\text{‰}/100\text{ m}$  ( $p < 0.05$ ),  
389  $0.01\text{‰}/100\text{ m}$  ( $p > 0.05$ ), and  $0.68\text{‰}/100\text{ m}$  ( $p < 0.05$ ), respectively. d-excess showed  
390 an increasing trend with increase in altitude in the initial and end ablation periods in  
391 2016 and ablation periods in 2016 and 2017. However, d-excess also showed a

392 decreasing trend with increase in altitude in the ablation period in 2018.

393

394 In summary, the altitude effect mainly appeared during ablation, whether it was in the  
395 mainstream, the river in the glacier permafrost area, or the river in the permafrost area.

396 The altitude effects were higher for the river in the glacier permafrost area than for the  
397 mainstream or the river in the permafrost area during the ablation period in 2016.

398 Meanwhile, the anti-altitude effect of the river in the glacier permafrost area was  
399 higher than that of the other areas. The  $\delta^{18}\text{O}$  during the initial and end ablation periods

400 in 2016 showed a significant anti-altitude effect for the mainstream and the river in

401 the glacier permafrost area; a significant altitude effect appeared during the initial

402 ablation period for the river in the permafrost area. **These results may be due to the**

403 **comprehensive influence of possible recharge sources and different recharge**

404 **proportions caused by the influence of meteorological factors. This kind of**

405 **comprehensive influence is mainly due to the significant seasonality of climate factors**

406 **in the cold regions, which directly determines the types and contribution proportion of**

407 **possible recharge sources. Therefore, this result can not be said to be caused by any**

408 **one factor, but can only be explained by the comprehensive influence of possible**

409 **recharge sources and different recharge proportions caused by the influence of**

410 **meteorological factors.**

### 411 **3.3 Evaporation Line**

412

413 The variations in the location of the evaporation line for river water during the

414 different ablation periods in 2016 and the ablation periods from 2016 to 2018 are

415 shown in Fig. 6. The slope and intercept of the LEL for river water showed an  
416 increasing trend from the initial to end ablation periods in 2016. The LEL in the initial  
417 ablation period was  $\delta D = 6.59\delta^{18}O - 3.60$  ( $p < 0.01$ ) and it was  $\delta D = 6.88\delta^{18}O - 1.37$   
418 ( $p < 0.01$ ) during the ablation period. The LEL during the end ablation period was  
419  $\delta D = 7.39\delta^{18}O + 5.88$  ( $p < 0.01$ ). These results indicate that the effect of evaporation  
420 on the stable isotopes in river water gradually weakened from the initial ablation to  
421 the end ablation periods. The slope and intercept of the LEL of river water during the  
422 ablation period in 2017 were lower than those in 2016. The LEL during the ablation  
423 period in 2017 was  $\delta D = 6.59\delta^{18}O - 3.63$  ( $p < 0.01$ ). However, whether the slope or  
424 the intercept of LEL of river water in 2018 was higher than that in 2016 and 2017,  
425 with the LEL was:  $\delta D = 7.63\delta^{18}O + 5.82$  ( $p < 0.01$ ). This phenomenon showed that  
426 the influence of evaporation on stable isotope levels was greatest during the ablation  
427 period in 2017, followed by that in 2016. In general, the lower slope and intercept  
428 indicate that the water body was affected by evaporation or non-equilibrium dynamic  
429 fractionation. This conclusion could also explain the results of this study.

430

### 431 **3.4 Recharge Sources**

432

433 The distribution of  $\delta D$  and  $\delta^{18}O$  for river water among other water bodies are shown  
434 in Fig. 7 during the different ablation periods in 2016 and ablation from 2016 to 2018.

435 The results of the distribution of  $\delta D$  and  $\delta^{18}O$  of river water indicate the possible  
436 recharge sources of river water. However, the  $\delta D$  and  $\delta^{18}O$  of river water,  
437 supra-permafrost water, glacier snow meltwater, and precipitation exhibited little

438 change during the initial ablation in 2016 (Fig. 7a, b). This phenomenon suggests that  
439 precipitation may be the major recharge sources for river water during the initial  
440 ablation. A plot of  $\delta D$  versus  $\delta^{18}O$  for river and supra-permafrost water, glacier snow  
441 meltwater, and precipitation is shown in Fig. 7c. The  $\delta D$  and  $\delta^{18}O$  values of glacier  
442 and snow meltwater from above the LMWL are the most negative compared to other  
443 water bodies. The stable isotope of supra-permafrost water was relatively more  
444 positive, located below the LMWL, confirming the influence of strong evaporation.  
445 The stable isotope of river water was close to the LMWL, and its concentration value  
446 was between precipitation, glacier and snow meltwater, and supra-permafrost water,  
447 reflecting that river water was recharged and affected by multi-source water in the  
448 study area. Moreover, the distribution of river water, glacier and snow meltwater, and  
449 supra-permafrost water also indicated that there was a hydraulic relationship between  
450 the source and target in the different ablation periods in 2016 and ablation from 2016  
451 to 2018.

452

453 The mixed segmentation model of the end-member is used to determine the  
454 contribution proportions of different water sources to the target water. **Owing to the**  
455 **two stable isotope concentrations in different water bodies have significant spatial and**  
456 **temporal differences, it can effectively distinguish different water bodies and their**  
457 **mixing relationships.** The d-excess and  $\delta^{18}O$  are used as tracers of the mixed  
458 segmentation model of the end-elements. **As shown in Fig. 8, according to the**  
459 **locations of the different types of water and the distance from other water bodies,**

460 which reflected the mixed recharge of three water bodies, supra-permafrost water was  
461 the first end element, precipitation was the second end element, and glacier and snow  
462 meltwater was the third end element. However, the different runoffs likely have  
463 different recharge sources and different recharge proportions. The glacier permafrost  
464 area river comprised glacier and snow meltwater more in the ablation period than in  
465 other periods. Compared with the permafrost area river and the glacier permafrost  
466 area river, the mainstream was governed by the supra-permafrost water in the initial  
467 ablation period while containing nearly equal proportions of supra-permafrost water  
468 and precipitation in the end ablation period. However, the mainstream received  
469 significant contributions from all three end members in the ablation period from  
470 2016 to 2018 and particularly in 2017.

471

472 The recharge proportions of precipitation, supra-permafrost water, and glacier and  
473 snow meltwater at different altitudes are depicted in Fig. 9, from the mixed  
474 segmentation model of the three end-members during the ablation periods mentioned  
475 above. The recharge proportions of the three end members in the ablation periods  
476 were significantly different. This may be due to the different effects of the runoff  
477 recharge sources in different ablation periods, as well as the significant differences in  
478 recharge and drainage relationships in the different ablation periods. The recharge  
479 proportions of precipitation in the initial ablation in 2016, ablation in 2016, end  
480 ablation in 2016, ablation in 2017, and ablation in 2018, obtained by calculating  
481 the average contribution proportion from each altitude, were 28.71%, 44.41%,

482 44.60%, 42.53%, and 51.03%, respectively. Meanwhile, the recharge proportions of  
483 supra-permafrost water in the initial ablation in 2016, ablation in 2016, end ablation  
484 in 2016, ablation in 2017, and ablation in 2018 were 55.38%, 36.51%, 40.21%,  
485 37.56%, and 28.87%, respectively. The recharge proportions of glacier and snow  
486 meltwater in the initial ablation in 2016, ablation in 2016, end ablation in 2016,  
487 ablation in 2017, and ablation in 2018 were 15.91%, 19.08%, 15.19%, 19.90%, and  
488 20.09%, respectively. The recharge proportion of precipitation decreased with  
489 increase in altitude in the initial ablation, while the proportion of supra-permafrost  
490 water and glacier and snow meltwater exhibited an increasing trend with increase in  
491 altitude. However, the recharge proportion of the supra-permafrost water was higher  
492 than that of precipitation or glacier and snow meltwater, and also showed a decreasing  
493 trend from low to high altitude in the end ablation in 2016. The proportion of glacier  
494 and snow meltwater increased with increase in altitude, but the recharge proportion of  
495 supra-permafrost water was stable with the change in altitude in the end ablation in  
496 2016. The trend of precipitation and glacier and snow meltwater for the ablation  
497 was the same as that for the initial and end ablation. However, the recharge proportion  
498 of precipitation was higher than the proportion of supra-permafrost water and glacier  
499 and snow meltwater in the ablation period. Meanwhile, the recharge proportion of  
500 glacier and snow meltwater in ablation was higher than that in the initial and end  
501 ablation period. In general, the recharge of supra-permafrost water to runoff was  
502 stable, whether in the different ablation periods in 2016 or the ablation from 2016 to  
503 2018. However, the proportion of supra-permafrost water was relatively low, mainly

504 due to the larger runoff during the ablation period.

505

506 Using the approach shown in Equation (3), the uncertainty originating from the  
507 variation in the tracers of components and measurement methods could be calculated  
508 separately (Uhlenbrook & Hoeg, 2003; Pu et al., 2013). According to the calculations  
509 made using Equation (3), the uncertainty was estimated to be 0.07 for the three -  
510 component mixing model in the study region. The uncertainty terms for  
511 supra-permafrost water accounted for more than 50.0% of the total uncertainty,  
512 indicating that the  $\delta^{18}\text{O}$  and  $\delta\text{D}$  variations of supra-permafrost water accounted for the  
513 majority of the uncertainty. Although there is some uncertainty for hydrograph  
514 separation, isotope-based hydrograph separations are still valuable tools for evaluating  
515 the contribution of meltwater to water resources, and they are particularly helpful for  
516 improving our understanding of hydrological processes in cold regions, where there is  
517 a lack of observational data.

518

## 519 **4. Discussions**

520

### 521 **4.1 Meteorological Factors**

522

523 To further explain the reason for the variation in temporal and spatial characteristics  
524 of stable isotopes and LEL, this study includes the analysis of the monthly change in  
525 precipitation, temperature, relative humidity, and evaporation during the sampling  
526 period (from January 2016 to December 2018). The results are shown in Fig. 10. The  
527 average of the precipitation was 371.9 mm during the sampling period, and the

528 precipitation in the ablation period accounted for 78.87%. The average of the  
529 temperature, relative humidity, and evaporation during the sampling period were  
530  $-1.42\text{ }^{\circ}\text{C}$ , 52.20%, and 4.14 mm, respectively. However, the average of the  
531 temperature, relative humidity, and evaporation during the ablation period were  
532  $8.04\text{ }^{\circ}\text{C}$ , 66.47%, and 5.57 mm, respectively.

533

534 More importantly, the precipitation during the initial, total, and end ablation periods in  
535 2016, and the ablation periods in 2017 and 2018, were 50.40 mm, 107.90 mm,  
536 42.90 mm, 70.60 mm, and 119.00 mm, respectively. For precipitation, the isotope  
537 levels tend to decrease with the increase in rainfall; Precipitation is also the major  
538 source of water for all water bodies (Maurya et al., 2011; Pu et al., 2013; Li et al.,  
539 2014b; 2015; 2016a; 2018; Pan et al., 2017) and, in general, more precipitation  
540 resulted in a greater dilution effect. A more negative  $\delta^{18}\text{O}$  appeared in the ablation  
541 period in 2016 whether in all three study areas given the change in  $\delta^{18}\text{O}$  (Fig. 2). This  
542 result showed that dilution does not only play an important role in the precipitation  
543 effect; it also affects river water. However, the dilution effect was also significant  
544 when precipitation was the major recharge source for river water (Abongwa and  
545 Atekwana, 2018; Li et al., 2015).

546

547 Temperature for the initial, total, and end ablation periods in 2016, and the ablation  
548 periods in 2017 and 2018, were  $6.82\text{ }^{\circ}\text{C}$ ,  $9.58\text{ }^{\circ}\text{C}$ ,  $3.77\text{ }^{\circ}\text{C}$ ,  $9.47\text{ }^{\circ}\text{C}$ , and  $11.09\text{ }^{\circ}\text{C}$ ,  
549 respectively. For atmospheric precipitation, the lower the temperature was, the higher



550 the condensation degree of water vapor exhibited and the lower the isotope content in  
551 precipitation. Therefore, there is a positive correlation between the stable isotope and  
552 temperature in precipitation (Li et al., 2016a). However, the influence of temperature  
553 on the stable isotope of river water was not significant from the variation in river  
554 water isotope during the different ablation periods. However, the variation trend of the  
555 stable isotope of river water in the ablation period from 2016 to 2018 was similar to  
556 that for the change in temperature. Meanwhile, the variation trend of d-excess can  
557 also be confirmed by this analysis (Fig. 2).

558

559 Relative humidity in the initial ablation, ablation, and end ablation periods in 2016  
560 and the ablation periods in 2017 and 2018 were 60.07%, 63.16%, 70.57%, 63.39%,  
561 and 63.48%, respectively. When the relative humidity is low, the dynamic  
562 fractionation increases and the slope decreases, and vice versa. The variation trend of  
563 the slope of the LEL for the different ablation periods in 2016 was the same as that for  
564 the change in relative humidity (Fig. 6). Meanwhile, the intercept of the LEL for the  
565 different ablation periods in 2016 also showed the same trend.

566

567 Evaporation in the initial ablation, ablation, and end ablation periods in 2016 and  
568 ablation periods in 2017 and 2018 were 6.69 mm, 6.96 mm, 4.02 mm, 6.48 mm, and  
569 6.02 mm, respectively. **The stable isotopes of hydrogen and oxygen in river water are**  
570 **comprehensively affected by the evaporation process, runoff change, precipitation**  
571 **recharge, glacier and snow meltwater recharge and supra-permafrost water in cold**

572 regions. During the process of evaporation, lighter water isotopes are separated  
573 preferentially from the surface of water while heavier isotopes are enriched in the  
574 remaining water body. Evaporation enriches the oxygen and hydrogen stable isotopes  
575 and reduces excess deuterium (Li et al., 2015; 2018). The trend in the oxygen isotope  
576 in the ablation periods from 2016 to 2018 was the same as that for the change in  
577 evaporation (Fig. 2). Meanwhile, the spatial distribution of  $\delta^{18}\text{O}$  and d-excess also  
578 responded to this change (Fig. 3, 4).

579

580 To further analyze the influence of meteorological factors on the stable isotope, the  
581 correlation analysis between meteorological factors and the monthly value of  $\delta^{18}\text{O}$   
582 and d-excess, which showed continuous observations at two fixed-point stations was  
583 analyzed (Table 1), and the results are shown in Table 1. There was a significant  
584 negative correlation between precipitation and  $\delta^{18}\text{O}$  at the 0.01 level (2-tailed), while  
585 a significant positive correlation between precipitation and d-excess was also present.  
586 More interestingly, just as with precipitation, a significant negative correlation  
587 appeared between  $\delta^{18}\text{O}$  and temperature, relative humidity, and evaporation, with  
588 coefficients of  $-0.671$ ,  $-0.555$ , and  $-0.636$ , respectively. Meanwhile, a significant  
589 positive correlation occurred between d-excess and temperature, relative humidity,  
590 and evaporation, with coefficients of  $0.602$ ,  $0.524$ , and  $0.533$ , respectively. This  
591 results indicated that the direct influence of meteorological factors on stable isotopes  
592 of river water was significant and definite.

593

594 Hydrogen and oxygen isotope compositions in river water are the result of the  
595 combined effects of the isotopes making up present in precipitation, glacier and snow  
596 meltwater, and supra-permafrost water as well as evaporative fractionation (Li et al.,  
597 2015). The main influential hydrometeorological factors include precipitation,  
598 temperature, relative humidity, and evaporation. On the whole, river water isotopes  
599 were not influenced by a single factor; instead, they were based on the comprehensive  
600 influence of many factors in the cold regions. The influence of meteorological factors  
601 on different types of river water (mainstream, rivers in glacier permafrost areas, and  
602 rivers in permafrost areas) showed that apart from their directly influences, each  
603 factor indirectly affected the river water recharge source. This indirect influence was  
604 mainly felt on precipitation, glacier, snow, and permafrost.

605

606

## 607 **4.2 Hydrological processes**

608

609 To systematically quantify the main recharge sources of different types of runoff in  
610 the alpine regions, the possible sources and recharge proportions of runoff of different  
611 types in different ablation periods were deeply analyzed by using the mixed  
612 segmentation model of the three end-members in this study. The conceptual model  
613 map of the recharge form and proportion of the river water in the different ablation  
614 periods is shown in Fig. 11.

615

616 For the river in the glacier permafrost area, there was a significant difference in the

617 recharge proportion in the runoff area, in which there were several glaciers and  
618 permafrost in the basin, and other areas during the various ablation periods. The  
619 proportion of recharge from precipitation during the initial, total, and end ablations in  
620 2016, the ablation in 2017, and the ablation in 2018 were 27.69%, 33.71%,  
621 32.38%, 33.21%, and 41.48%, respectively. However, the proportion of  
622 supra-permafrost water in the initial, total, and end ablations in 2016, the ablation in  
623 2017, and the ablation in 2018 were 54.68%, 35.96%, 46.38%, 37.39%, and 36.63%,  
624 respectively. The proportions of glacier and snow meltwater in the initial, total, and  
625 end ablations in 2016, the ablation in 2017, and the ablation in 2018 were 17.63%,  
626 30.33%, 21.24%, 29.39%, and 22.19%, respectively. These results show that  
627 supra-permafrost water was the important recharge source for runoff during the initial  
628 and end ablation periods. The proportion of supra-permafrost water was 50.53%  
629 during the initial and end ablation periods. It was also the next highest source of  
630 runoff recharge, next to precipitation, during the ablation from 2016 to 2018; the  
631 proportions were 36.13% and 36.66%, respectively. The recharge proportions for  
632 glacier and snow meltwater was higher during the ablation period than in the initial  
633 and end ablation periods, at 19.44% and 27.30%, respectively.

634

635 For permafrost area river, the runoff area only with permafrost and no glacier in the  
636 basin, there was also an obvious difference for the recharge proportion in different  
637 ablation period. Compared with the glacier permafrost area river the recharge  
638 proportion of supra-permafrost water was higher for permafrost area river than that

639 for the glacier permafrost area river (42.21%). The recharge proportion of  
640 supra-permafrost water was 69.54%. With the same as the glacier permafrost area  
641 river, the supra-permafrost water was the important recharge sources to runoff in the  
642 initial and end ablation, and the proportion was 80.97% in the initial and end ablation  
643 period. Meanwhile, the proportion of supra-permafrost water was 61.92% in the  
644 ablation period. The proportion was higher than that for precipitation (24.13%) in the  
645 ablation period. In general, the supra-permafrost water was the major recharge source  
646 for the permafrost area river in the different ablation periods in the study area.  
647 Meanwhile, the glacier and snow meltwater had little contribution to the permafrost  
648 area river in the initial and end ablation periods.

649

650 For the mainstream, the recharge proportions for precipitation during the initial, total,  
651 and end ablations in 2016, the ablation in 2017, and the ablation in 2018 were  
652 28.67%, 48.35%, 43.18%, 46.97%, and 41.33%, respectively. The proportion was  
653 35.93% in the initial and end ablation periods and 45.55% in the ablation period.  
654 However, the proportions of supra-permafrost water during the initial, total, and end  
655 ablation in 2016, the ablation in 2017, and the ablation in 2018 were 52.37%,  
656 33.52%, 42.61%, 39.68%, and 38.21%, respectively. The proportion was 47.49%  
657 during the initial and end ablation periods and 36.47% during the ablation period.  
658 These results indicate that, for the study area, the supra-permafrost water was the  
659 major recharge source for the mainstream in the first two of these ablation periods  
660 while precipitation was the major recharge source for the mainstream in the ablation

661 period. The proportions of glacier and snow meltwater during the initial, total, and  
662 end ablation in 2016, the ablation in 2017, and the ablation in 2018 were 18.96%,  
663 20.13%, 14.21%, 13.35%, and 20.46%, respectively. The proportion of glacier and  
664 snow meltwater for the mainstream (16.59%) was higher than that for the river in the  
665 permafrost area (3.25%) but lower than that for the river in the glacier permafrost area  
666 (19.44%) during the initial and end ablation periods. The former proportion was also  
667 higher than that for the river in the permafrost area (17.98% vs 13.95%) but lower  
668 than that for the river in the glacier permafrost area (27.30%) during the ablation  
669 period.

670

671 The hydrological process in cold regions has one particularity. The low permeability  
672 in permafrost layer and the freeze-thaw depths of the soil reduces soil infiltration (Wu  
673 et al., 2015; Wang et al., 2019). Therefore, the rapid replenishment of meltwater by  
674 runoff results in a difference in the runoff generation mechanism in the permafrost  
675 and non-permafrost regions (Yang et al., 2010; Li et al., 2018). Moreover, because the  
676 freeze-thaw depths of the soil changes with annual fluctuations in temperature, there  
677 is an effect on soil water storage capacity that results in a difference in the runoff  
678 generation mechanism during different ablation periods (Wang et al., 2019). Wang et  
679 al. (2008) also found that the seasonal distributions and variations in rainfall runoff in  
680 the permafrost basin were controlled by the freeze-thaw process because of the  
681 impermeable nature of the freeze-thaw front and permafrost layer. During the initial  
682 ablation period, the supra-permafrost water—whether in the mainstream, the river in

683 the glacier permafrost area, or the river in the permafrost area—was the major  
684 recharge source. During the ablation period, precipitation was the main source of  
685 runoff recharge, followed by supra-permafrost water. Although there was little  
686 difference the proportion of precipitation and supra-permafrost water during the  
687 ablations from 2016 to 2018, precipitation was the major recharge source of runoff in  
688 this period. Supra-permafrost water was the main source of runoff recharge in the end  
689 ablation period, just as it was in the initial ablation period. In summary, runoff in the  
690 cold region during the different ablation periods was mainly composed of runoff from  
691 rainfall, meltwater, and supra-permafrost. **Because of the inherent seasonal variation  
692 in precipitation, there were significant changes in precipitation during the different  
693 ablation periods.** Glacier and snow meltwater was also greatly affected by climatic  
694 factors during the different ablation periods, while the supra-permafrost water was  
695 relatively stable; the latter became the main source of runoff supply, except for  
696 precipitation, in the alpine region. Thus, with the changes that the low temperatures  
697 made in the physical properties of the underlying surface, the change in the  
698 permafrost had the most significant effect on the hydrological process in cold regions.

699

### 700 **4.3 Hydrological significance of permafrost**

701

702 **The source region of the Yangtze River is a typical permafrost area. The permafrost  
703 area is 107619.13 km<sup>2</sup>, which accounting for 77% of the total area. The seasonal  
704 frozen soil is mainly distributed in the valley area, with an area of 30754.34 km<sup>2</sup>.  
705 Field observation and research confirmed that most of the precipitation in permafrost**

706 area is frozen on the ground or used to recharge the deficit of soil water, and does not  
707 directly form runoff in permafrost area. Under the background of permafrost  
708 degradation, the area of permafrost is gradually shrinking and the thickness of  
709 permafrost is gradually decreasing with the increase of the thickness of active layer.  
710 The degradation of ice rich permafrost in the cold regions has an important  
711 contribution to the development of surface runoff and hot karst lakes. Due to the  
712 decrease of permafrost water storage capacity in the Qinghai Tibet Plateau, the  
713 availability of water resources will be reduced in dry season, and the increase of water  
714 melting may lead to the increase of flood risk, and the resilience of ecosystem will be  
715 reduced through the seasonal changes of river flow and groundwater abundance. All  
716 these changes will affect the water resources balance and sustainable development of  
717 the Qinghai Tibet Plateau, including the headwaters of major rivers in Asia, including  
718 the Yellow River, the Yangtze River, the Salween River, the Mekong River, the  
719 Brahmaputra River, the Ganges River, the Indus River, the Ili River, the Tarim River,  
720 the Erqis River and the Yenisei River Rivers, these rivers provide fresh water  
721 resources for the survival of about 2 billion people.

722

723 In brief, the freeze-thaw of soil in the active layer plays an important role in  
724 controlling river runoff. The increase in melting depth leads to a decrease in the direct  
725 runoff rate and slow dewatering process. The two processes of runoff retreat are the  
726 result of soil freeze-thaw in the active layer. Permafrost has two hydrological functions:  
727 on the one hand, permafrost is an impervious layer, and it has the function of



728 preventing surface water or liquid water from infiltrating into deep soil; on the other  
729 hand, it forms a soil temperature gradient, which makes the soil moisture close to the  
730 ice cover. Therefore, changes in the soil water capacity, soil water permeability, and  
731 soil water conductivity, as well as the redistribution of water in the soil profile, are  
732 caused by the freeze-thaw of the active layer. The seasonal freeze-thaw process of the  
733 active layer directly leads to seasonal flow changes in surface water and groundwater,  
734 which affects surface runoff. Climate warming is the main driving force in the  
735 degradation of cold ecosystems (Wang et al., 2009; Wu et al., 2015; Li et al., 2018;  
736 Wang et al., 2019). More importantly, under the background of intense melting, the  
737 melting water of the cryosphere has had a significant impact on the hydrological  
738 process in the cold region. The hydrological function of groundwater in the  
739 permafrost active layer should be paid more attention, especially in the cold region  
740 where glaciers are about to subside, its hydrological function needs to be recognized.  
741 The stable isotope characteristics of the cryosphere are more complex than other  
742 regions, and its mechanism is more complex. Further research is needed.

743

## 744 **5. Conclusions**

745

746 Through systematically analysis of the characteristics of  $\delta^{18}\text{O}$ ,  $\delta\text{D}$ , and d-excess of  
747 river water in the different ablation periods in 2016 and the ablation periods from 2016  
748 to 2018, the results were as follows. The temporal and spatial characteristics of stable  
749 isotopes of river water were significant in the study area. The  $\delta^{18}\text{O}$  in mainstream  
750 was more negative than that in the glacier permafrost area river and permafrost area

751 river. The influence of evaporation on isotope and d-excess is only prevalent in some  
752 places, such as the central and northern parts of the study area in the initial ablation  
753 and ablation periods. However, the influence of evaporation on isotope and d-excess  
754 is prevalent in most places except the southeastern part of the study area. Meanwhile,  
755 this results also indicated that there may be a hysteresis for the influence of  
756 meteorological factors on isotopes and d-excess. The altitude effect is only present  
757 during the ablation periods in 2016 and 2018, and the altitude effect was  $-0.16\text{‰}/100$   
758  $\text{m}$  ( $p < 0.05$ ) and  $-0.14\text{‰}/100$   $\text{m}$  ( $p < 0.05$ ). The slope of LEL for river water showed  
759 an increasing trend from initial ablation to end ablation in 2016. Meanwhile, the  
760 intercept of LEL for river water also increased from the initial ablation to the end  
761 ablation period. Moreover, the mixed segmentation model of the end-member is used  
762 to determine the contribution proportion of different water sources to the target water.  
763 The results showed that the supra-permafrost water was the major recharge source for  
764 the permafrost area river in the study area. Meanwhile, the glacier and snow  
765 meltwater contributed little to the permafrost area river in the initial and end ablation  
766 periods. For the mainstream, the proportion was 35.93% in initial and end ablation  
767 periods, and 45.55% in the ablation period. However, the proportion was 47.49% in  
768 the initial and end ablation periods, and 36.47% in the ablation period.  
769 The proportion of glacier and snow meltwater for the mainstream (16.59%) was higher  
770 than that for the permafrost area river (3.25%) but was lower than that for the glacier  
771 permafrost area river (19.44%) in the initial and end ablation periods. Meanwhile, the  
772 proportion of glacier and snow meltwater for the mainstream (17.98%) was higher

773 than that for the permafrost area river (13.95%) but was lower than that for the glacier  
774 permafrost area river (27.30%) in the ablation period.

775

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777

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784

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1017 **Tables:**

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1019 Table 1 The correlation analysis of  $\delta^{18}\text{O}$  and d-excess and meteorological factors in  
1020 the fixed point (TTH and ZMD) from March,16 to July, 18.

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1047 Table 1 The correlation analysis of  $\delta^{18}\text{O}$  and d-excess and meteorological factors in  
 1048 the fixed point (TTH and ZMD) from March,16 to July, 18.

	Precipitation (mm)	Temperature (°C)	Ralative humidity (%)	Evaporation (mm)	$\delta^{18}\text{O}(\text{‰})$	D-excess (‰)
Precipitation(mm)	1					
Temperature(°C)	0.853**	1				
Ralative humidity(%)	0.760**	0.836**	1			
Evaporation(mm)	0.658**	0.865**	0.586**	1		
$\delta^{18}\text{O}(\text{‰})$	-0.518**	-0.671**	-0.555**	-0.636**	1	
D-excess(‰)	0.500**	0.602**	0.524**	0.533**	-0.568**	1

1049 Note: \*\*, Correlation is significant at the 0.01 level (2-tailed).

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1081 **Figures:**

1082 Fig.1 The map of the study area and the sampling point of river water in different  
1083 ablation period

1084 (Fig.1a was the detail location of the study area in China and Asian and the distribution of fixed  
1085 point for precipitation, river water and glacier and snow meltwater; Fig.1b was the distribution of  
1086 sampling point in initial ablation in 2016; Fig.1c was the distribution of sampling point in ablation  
1087 in 2016; Fig.1d was the distribution of sampling point in end ablation in 2016; Fig.1e was the  
1088 distribution of sampling point in ablation in 2017; Fig.1f was the distribution of sampling point in  
1089 ablation in 2018)

1090 Fig.2 Temporal variation of  $\delta^{18}\text{O}$  and d-excess during the sampling period in study  
1091 area

1092 (This figure mainly showed the temporal variation of  $\delta^{18}\text{O}$  and d-excess for different type runoff  
1093 based on different ablation in 2016 and strong ablation from 2016 to 2018; Fig.2a, b, c showed the  
1094 change of  $\delta^{18}\text{O}$  and d-excess in different ablation period for mainstream, glacier and snow runoff  
1095 and river in permafrost area; Fig.2d, e, f showed the change of  $\delta^{18}\text{O}$  and d-excess in ablation  
1096 period from 2016 to 2018 for mainstream, glacier and snow runoff and river in permafrost area)

1097 Fig.3 Spatial variation of  $\delta^{18}\text{O}$  based on different ablation in 2016 and ablation from  
1098 2016 to 2018

1099 Fig.4 Spatial variation of d-excess based on different ablation in 2016 and ablation  
1100 from 2016 to 2018

1101 Fig.5 The variation of  $\delta^{18}\text{O}$  and d-excess with the altitude change in study area

1102 (Fig.5a was the variation of  $\delta^{18}\text{O}$  and d-excess with the altitude change for mainstream; Fig.5b



1103 was the variation of  $\delta^{18}\text{O}$  and d-excess with the altitude change for river in glacier permafrost  
1104 area; Fig.5c was the variation of  $\delta^{18}\text{O}$  and d-excess with the altitude change for river in permafrost  
1105 area; IA in 2016 represents Initial ablation in 2016; A in 2016 represents Ablation in 2016; EA in  
1106 2016 represents End ablation in 2016; A in 2017 represents Ablation in 2017; A in 2018  
1107 represents Ablation in 2018)

1108 Fig.6 The variation of location evaporation line (LEL) of river water based on  
1109 different ablation in 2016 and ablation from 2016 to 2018

1110 Fig.7 The distribution of  $\delta\text{D}$  and  $\delta^{18}\text{O}$  for river water among other water bodies in  
1111 study area

1112 (Fig.7a was the plot of  $\delta^{18}\text{O}$  for river water in different type, supra-permafrost water, glacier snow  
1113 meltwater and precipitation; Fig.7b was the plot of  $\delta\text{D}$  for river water in different type,  
1114 supra-permafrost water, glacier snow meltwater and precipitation; Fig.7c was the plot of  $\delta\text{D}$   
1115 versus  $\delta^{18}\text{O}$  for river water, supra-permafrost water, glacier snow meltwater and precipitation)

1116 Fig.8 Three end element diagram using the mean values of  $\delta^{18}\text{O}$  and d-excess for river  
1117 water in different ablation in 2016 and ablation from 2016 to 2018

1118 Fig.9 Recharge proportion from possible sources to river water in different altitude  
1119 during different ablation in 2016 and ablation from 2016 to 2018

1120 Fig.10 Variation of meteorological factors during sampling period

1121 (Shadow represents the ablation period)

1122 Fig.11 Conceptual model map of the recharge form and proportion of the river water  
1123 in different ablation period

1124 (Dark green represents the basin of river in permafrost area; Gray and light green represents the

1125 basin of the river in glacier permafrost area)

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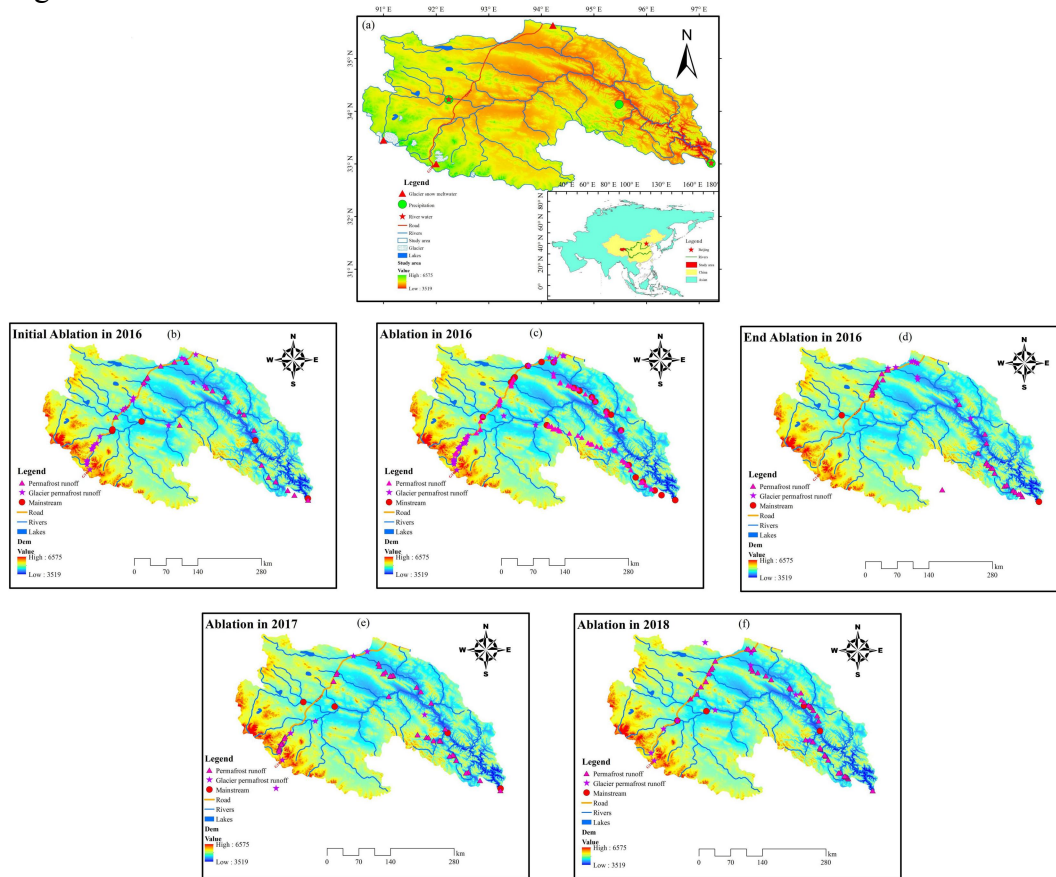
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1167 Fig.1



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1169 Fig.1 The map of the study area and the sampling point of river water in different

1170 ablation period (Fig.1a was the detail location of the study area in China and Asian and the

1171 distribution of fixed point for precipitation, river water and glacier and snow meltwater; Fig.1b

1172 was the distribution of sampling point in initial ablation in 2016; Fig.1c was the distribution of

1173 sampling point in ablation in 2016; Fig.1d was the distribution of sampling point in end ablation in

1174 2016; Fig.1e was the distribution of sampling point in ablation in 2017; Fig.1f was the distribution

1175 of sampling point in ablation in 2018)

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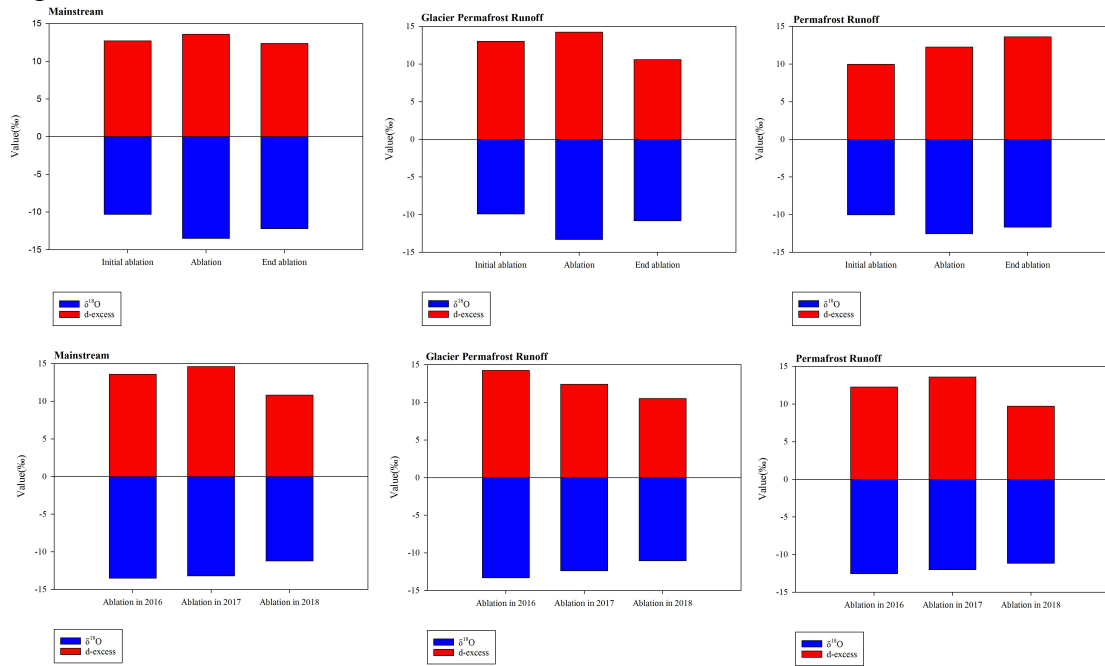
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1184 Fig.2



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1186 Fig.2 Temporal variation of  $\delta^{18}O$  and d-excess during the sampling period in study

1187 area (This figure mainly showed the temporal variation of  $\delta^{18}O$  and d-excess for different type

1188 runoff based on different ablation in 2016 and strong ablation from 2016 to 2018; Fig.2a, b, c

1189 showed the change of  $\delta^{18}O$  and d-excess in different ablation period for mainstream, glacier and

1190 snow runoff and river in permafrost area; Fig.2d, e, f showed the change of  $\delta^{18}O$  and d-excess in

1191 ablation period from 2016 to 2018 for mainstream, glacier and snow runoff and river in permafrost

1192 area)

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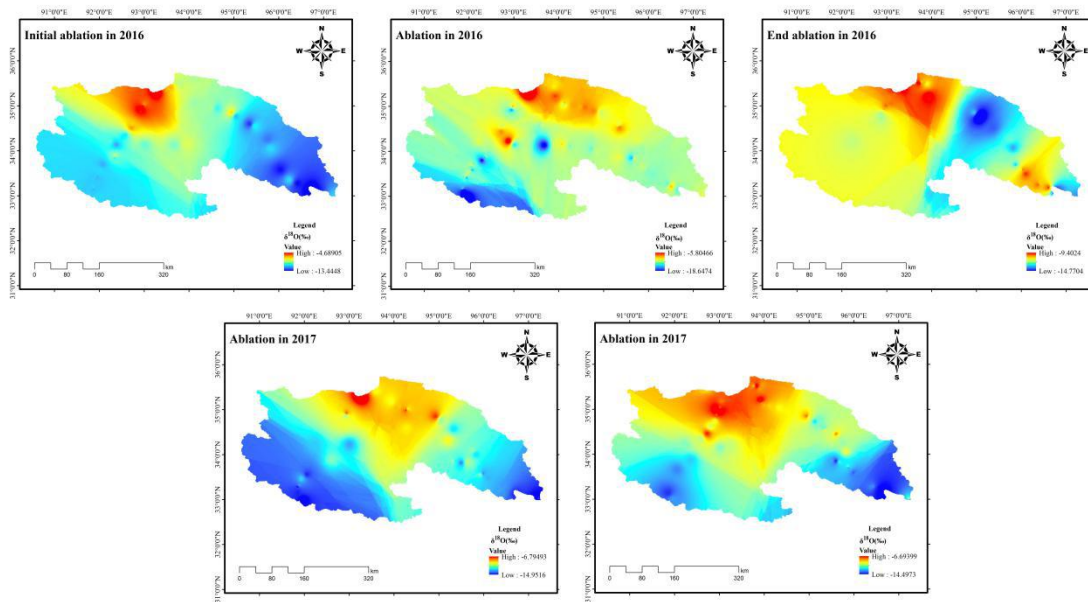
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Fig.3



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1208 Fig.3 Spatial variation of  $\delta^{18}\text{O}$  based on different ablation in 2016 and ablation from

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2016 to 2018

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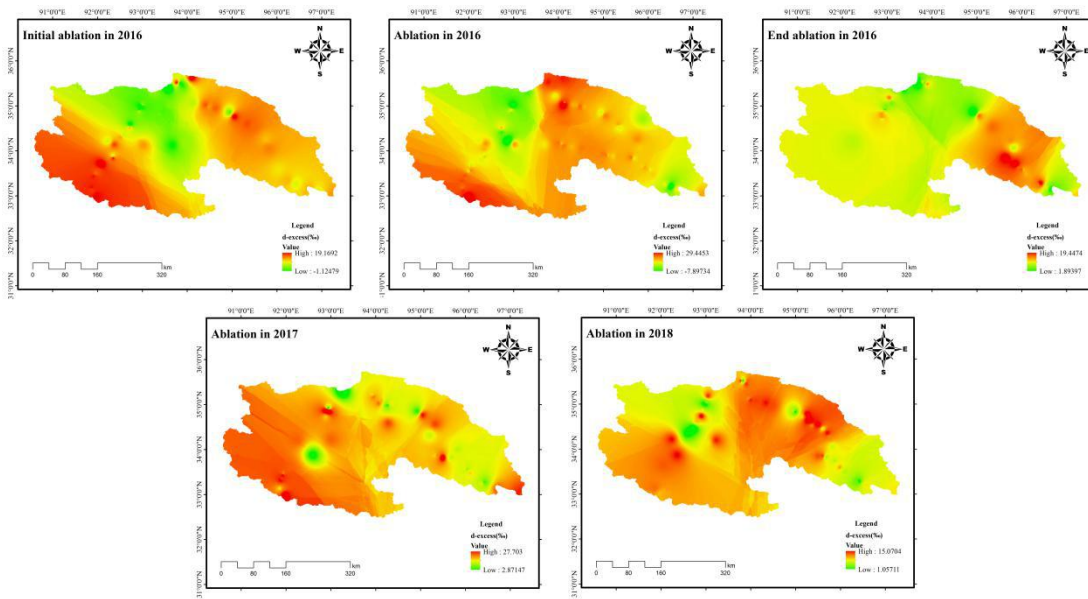
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1234 Fig.4



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1236 Fig.4 Spatial variation of d-excess based on different ablation in 2016 and ablation

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from 2016 to 2018

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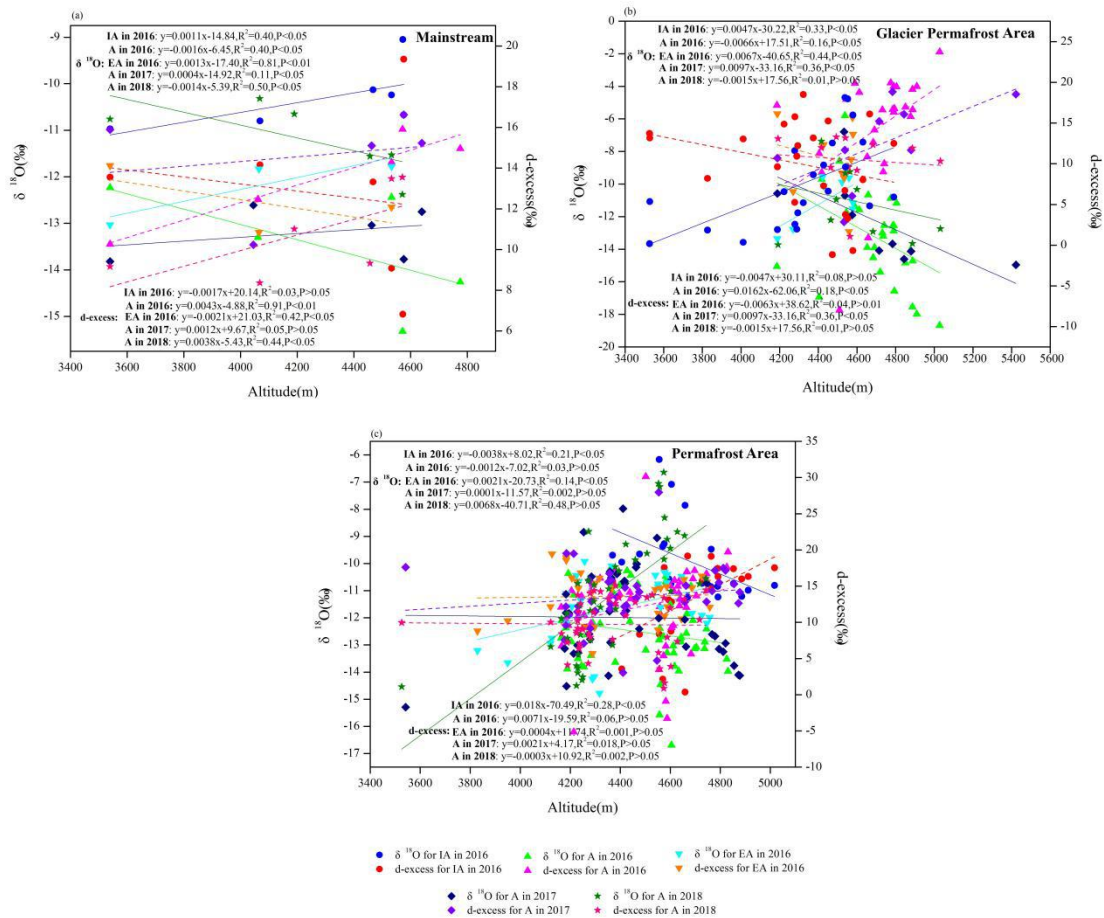
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1262 Fig.5



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1264 Fig.5 The variation of  $\delta^{18}O$  and d-excess with the altitude change in study area

1265 (Fig.5a was the variation of  $\delta^{18}O$  and d-excess with the altitude change for mainstream; Fig.5b

1266 was the variation of  $\delta^{18}O$  and d-excess with the altitude change for river in glacier permafrost

1267 area; Fig.5c was the variation of  $\delta^{18}O$  and d-excess with the altitude change for river in permafrost

1268 area; IA in 2016 represents Initial ablation in 2016; A in 2016 represents Ablation in 2016; EA in

1269 2016 represents End ablation in 2016; A in 2017 represents Ablation in 2017; A in 2018

1270 represents Ablation in 2018)

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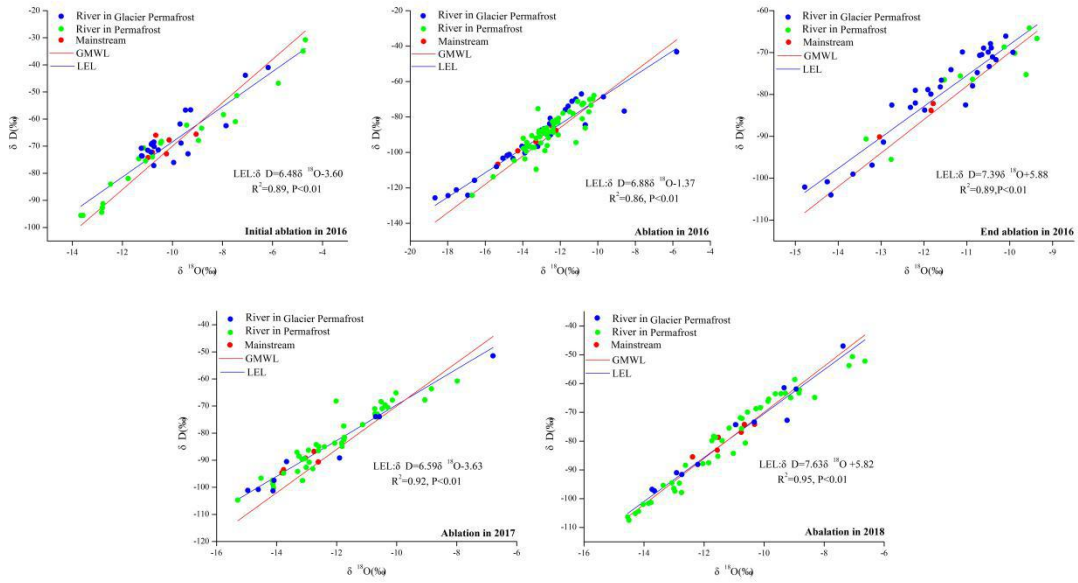
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1278 Fig.6



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1280 Fig.6 The variation of location evaporation line (LEL) of river water based on

1281 different ablation in 2016 and ablation from 2016 to 2018

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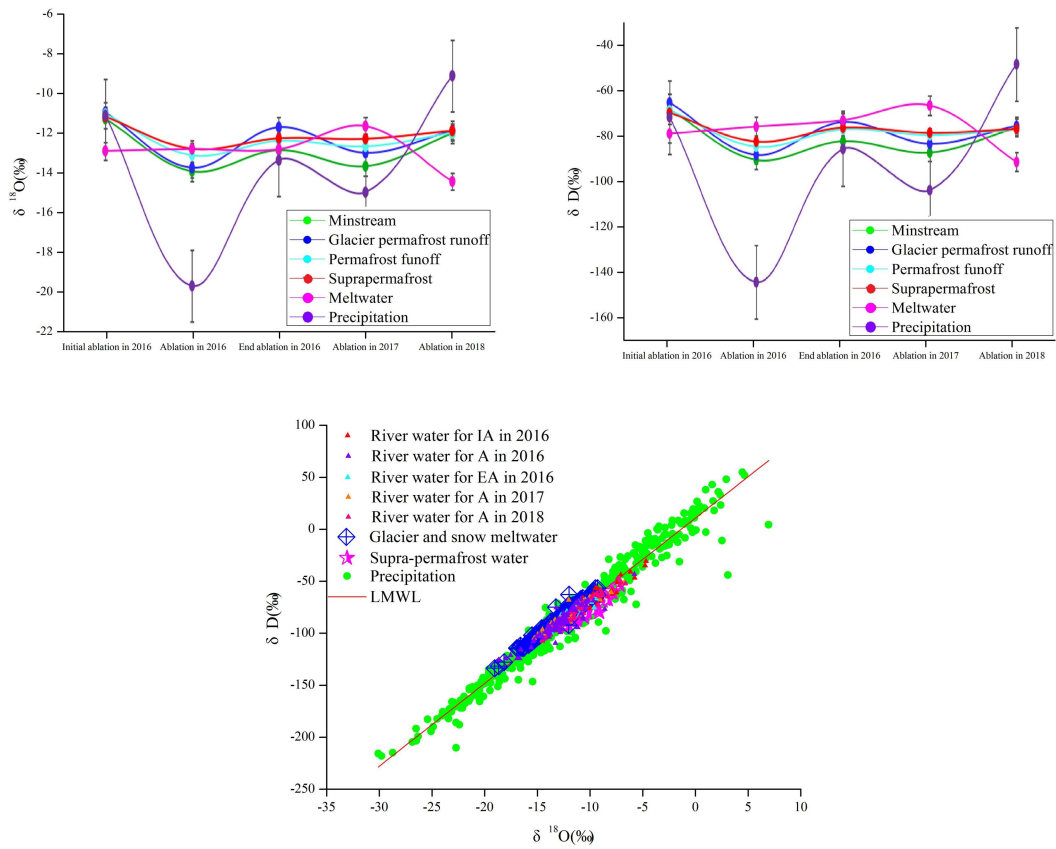
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1304 Fig.7



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1307 Fig.7 The distribution of  $\delta D$  and  $\delta^{18}O$  for river water among other water bodies in  
 1308 study area (Fig.7a was the plot of  $\delta^{18}O$  for river water in different type, supra-permafrost water,  
 1309 glacier snow meltwater and precipitation; Fig.7b was the plot of  $\delta D$  for river water in different  
 1310 type, supra-permafrost water, glacier snow meltwater and precipitation; Fig.7c was the plot of  $\delta D$   
 1311 versus  $\delta^{18}O$  for river water, supra-permafrost water, glacier snow meltwater and precipitation)

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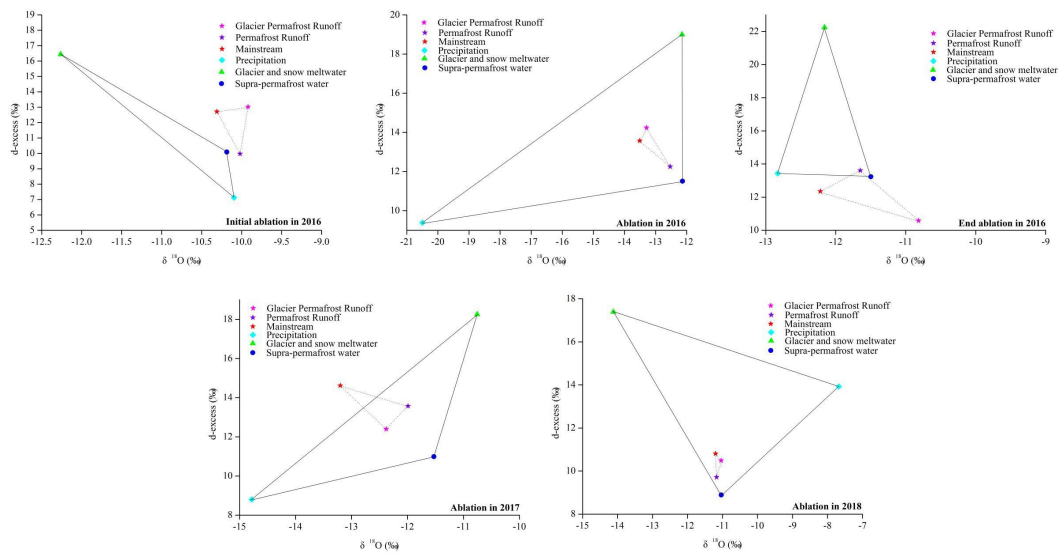
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1323 Fig.8



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1325 Fig.8 Three end element diagram using the mean values of  $\delta^{18}\text{O}$  and d-excess for river

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water in different ablation in 2016 and ablation from 2016 to 2018

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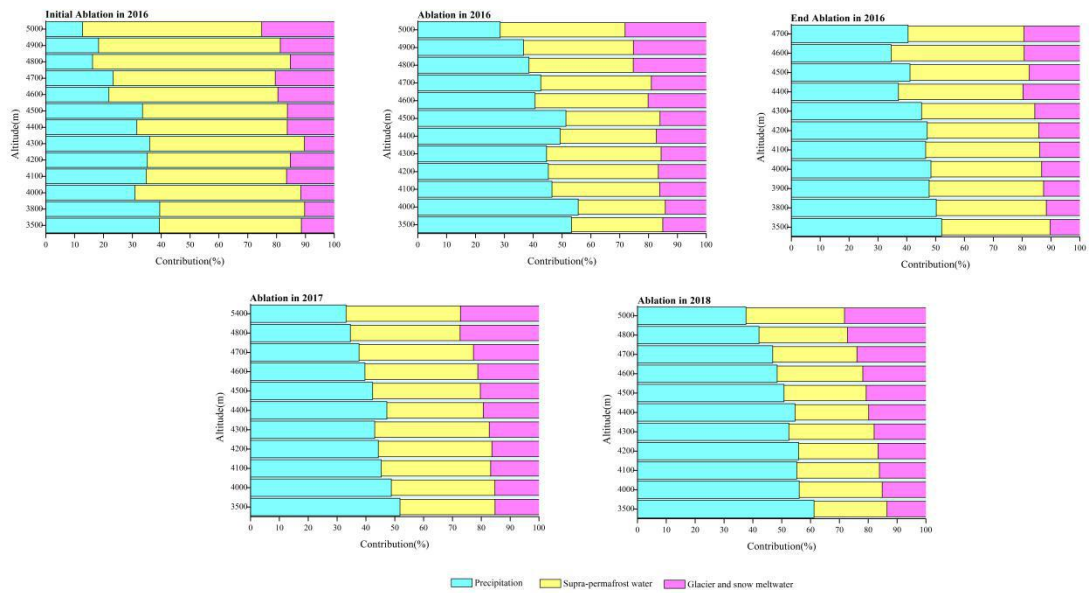
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1352 Fig.9



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1354 Fig.9 Recharge proportion from possible sources to river water in different altitude

1355 during different ablation in 2016 and ablation from 2016 to 2018

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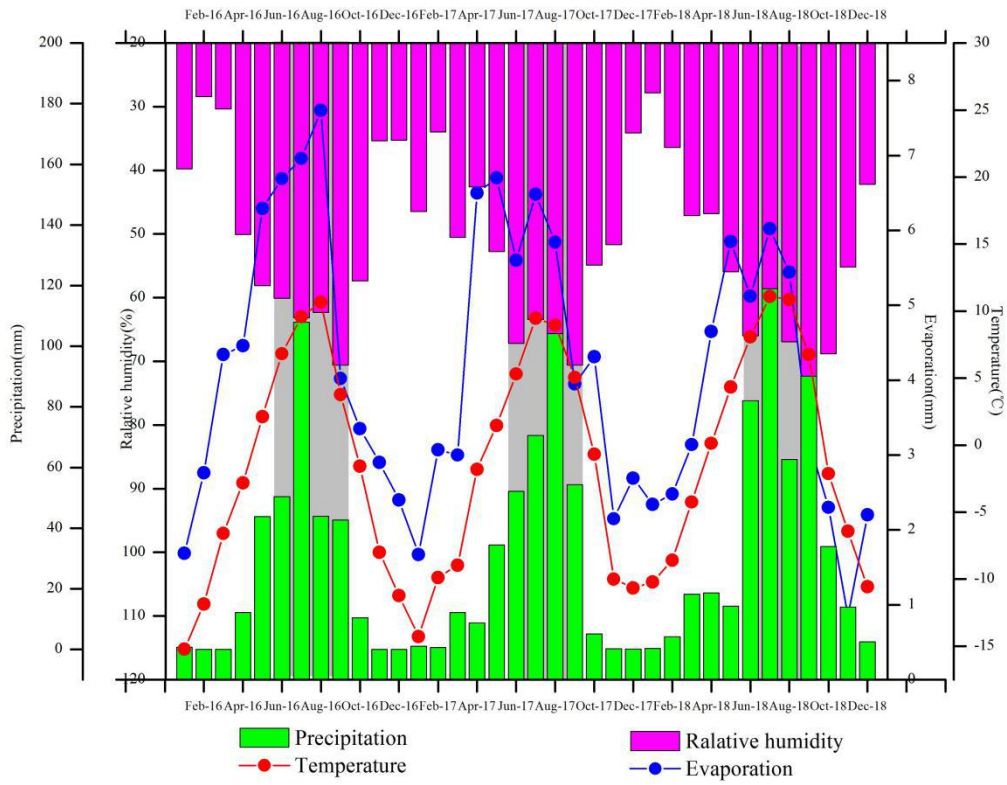
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1380 Fig.10



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1382 Fig.10 Variation of meteorological factors during sampling period (Shadow represents

1383 the ablation period)

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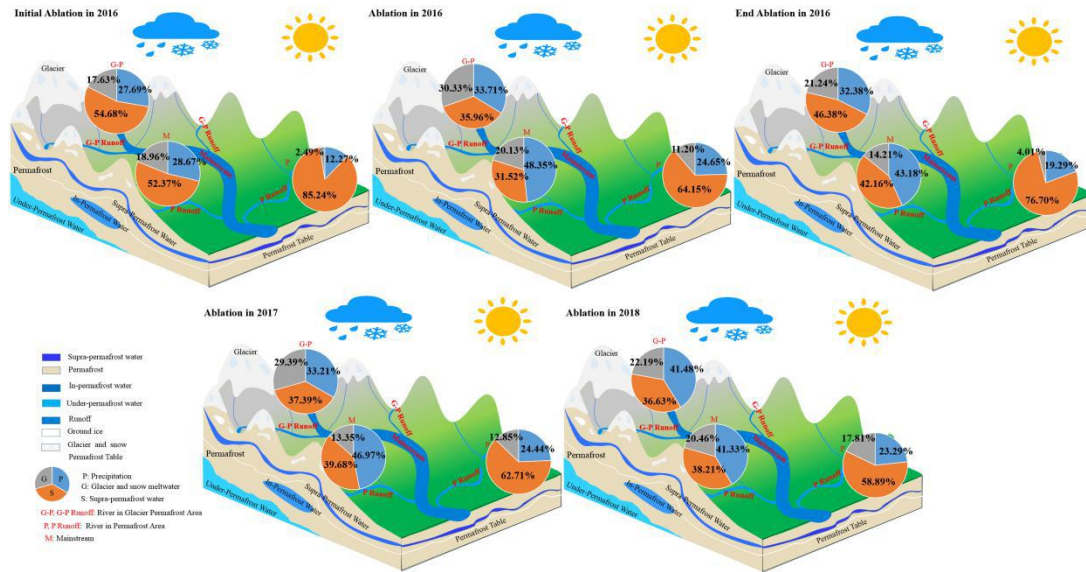
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1403 Fig.11



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1405 Fig.11 Conceptual model map of the recharge form and proportion of the river water

1406 in different ablation period (Dark green represents the basin of river in permafrost area; Gray

1407 and light green represents the basin of the river in glacier permafrost area)