

1 **1 Response to Reviewer #2's comments**

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5 **General comments**

6 The authors discuss the causal effects of climate modes on past and future (global) evaporation
7 using an ensemble of simulations. With a (relatively) simple metric the authors show whether
8 changes in (land or ocean) evaporation are likely to be caused by climate modes. The authors
9 have done an impressive literature study, which backs up their own data-rich analysis. The main
10 conclusion is basically that individual climate modes have effects all over the globe. The
11 potential of this paper lies in using such a wealth of data (causal effects of different climate
12 modes on global evaporation and its consistency across an ensemble of climate model
13 simulations). In the end, most of the results are presented separately (per climate mode). I would
14 advise to synthesize all causal effects of different climate modes in one global figure, where the
15 authors could show in which regions (past or future) which climate mode is dominant. The text
16 abundantly mentions which areas are influenced most by which climate mode, but visualizing
17 this in a figure would give a much better overview in my opinion.

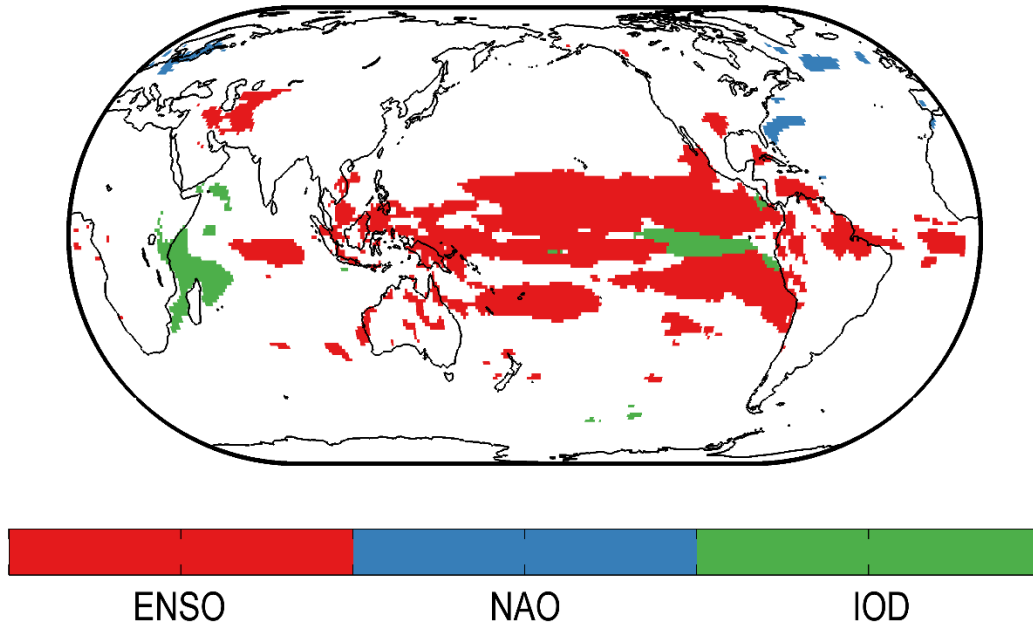
18 **Response:** We thank Reviewer Jasper Denissen for helpful comments. In this document, the
19 responses to the Reviewer's comments are provided below in **blue text**. The Reviewer's
20 comments are shown in **black text**.

21 We added Figure 7 as a summary for the dominance of individual climate modes on regional
22 evaporation as below. We chose to only show the regions with p value less than 0.25, (i.e.,
23 climate modes are unlikely to have no causal effects on evaporation (Stocker et al., 2013)).

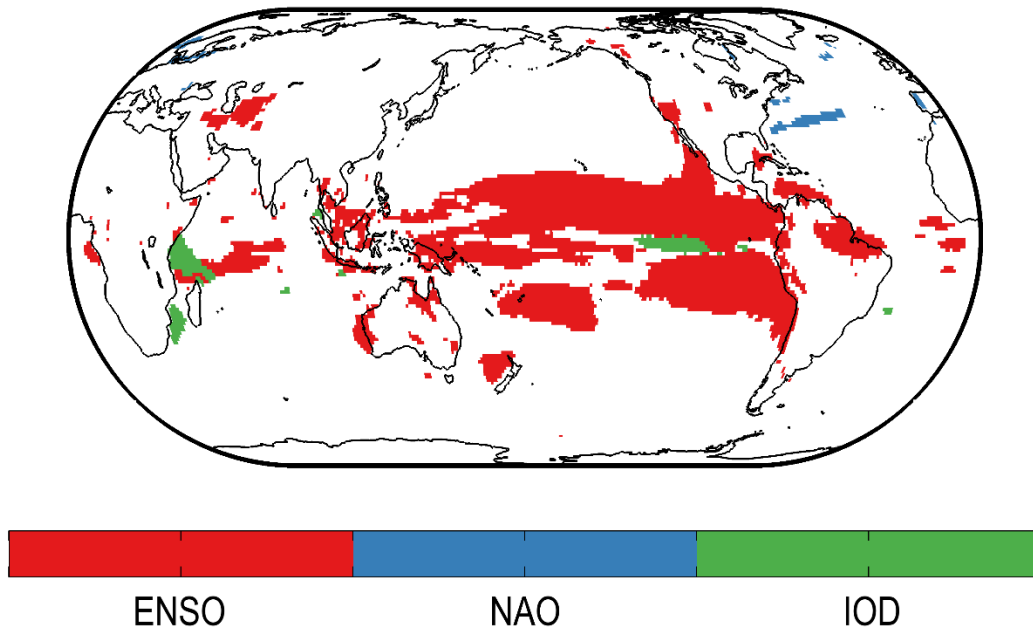
24 We also added the corresponding text to Discussions section:

25 “The dominance of an individual climate modes on evaporation is summarized in Figure 7 for
26 historical and future periods. Figure 7 shows the regions where the lowest probability for the
27 absence of Granger causality between climate modes and evaporation is less than 0.25 (i.e.,
28 climate modes are unlikely to have no causal effects on evaporation). This result indicates the
29 important role of ENSO on global evaporation.”

MODELS MEAN OF PREDOMINANCE BETWEEN ENSO, NAO AND IOD - EVAPORATION: PERIOD 1906-2000



MODELS MEAN OF PREDOMINANCE BETWEEN ENSO, NAO AND IOD - EVAPORATION: PERIOD 2006-2100



30

31 **Figure 1.** The predominance of single climate mode on regional evaporation for periods 1906-2000 (a) and 2006-2100 (b). The
32 predominance of a climate mode at a grid point is defined when the lowest p value of all climate modes (see also Figures 1, 2 and
33 3) at the given grid point is less than 0.25 (i.e., climate modes are unlikely to have no causal effects on evaporation). The
34 predominance of ENSO, NAO and the IOD on evaporation are shown in red, blue and green shades, respectively. ENSO = El
35 Niño–Southern Oscillation. NAO = North Atlantic Oscillation. IOD = Indian Ocean Dipole.

36 **Specific comments**

37 • Line 43: “Besides, evaluating the models’ consistency in reproducing the impacts of internal
38 climate variability on evaporation is important for understanding the difference between
39 models”. I do think this is important, but hardly mentioned in the paper. This could be
40 emphasized more (in the abstract/conclusions?).

41 **Response:** We thank the Reviewer for raising this point. We discussed the consistency of model
42 simulations in Section 3. For example: “There is high agreement between models (indicated by
43 stippling in Figure 1) in simulating ENSO-evaporation connection of these regions. Specifically,
44 high agreement of climate models in teleconnection between ENSO and tropical oceans
45 evaporation implies that models can simulate the impacts of ENSO on evaporation.”

46 and

47 “In historical simulations (Figure 2a), the IOD impacts might reach as far as the Southern Ocean
48 (region close to 150°W to 120°W; 45°S to 60°S) where there is high agreement between
49 models.”

50 and

51 “NAO mainly contributes to change in evaporation of the North Atlantic European sector where
52 high agreement between models is found (see Figure S7 for additional details).”

53 We added the following sentence to the Abstract and Conclusions as your suggestion:

54 “There is high agreement between models in simulating the effects of climate modes on
55 evaporation of these regions.”

56 • Line 54-55: Why are the starting/ending years ‘roughly’ and not exactly...?

57 **Response:** Most models have exactly starting/ending years of 1850/2005 for historical
58 simulation and starting/ending years of 2006/2100 for future simulation. Several models have
59 different starting/ending years. For example, model MIROC5 has the ending year of 2012 in
60 historical simulation. We think it is more correct to use the word ‘roughly’.

61 • Line 59: From which climate model does ‘rlilpl’ come? Why only one member?

62 **Response:** All models have the simulation r1i1p1. Using one ensemble member per model is a
63 simple way to guarantee the “one model, one vote” rule (Knutti et al., 2010). Here we use 15
64 different models for the analysis, this common approach is widely used and helps to reduce the
65 uncertainties. We added the following text to Section 2.1 to clarify this point:

66 “As we use 15 different models for our analysis, the uncertainties related to the effects of climate
67 modes on evaporation are reduced. The results based on multi-model mean were shown to be
68 better and more reliable than single model results (Weigel et al., 2010).”

69 • Line 61-62: “Most of climate models do not provide separately the data of evaporation from
70 canopy (i.e. transpiration) and water evaporation from soil (i.e. evaporation).” What does this
71 mean for this research? I miss a connecting sentence here. Maybe append something like: “which
72 complicates attributing changes in evaporation to canopy or soil related processes.”

73 **Response:** We thank the Reviewer for this suggestion. We rewrote this sentence as follows:

74 “Most of the climate models do not provide separately the data of evaporation from canopy (i.e.
75 transpiration) and water evaporation from soil (i.e. evaporation) which complicates attributing
76 changes in evaporation to canopy or soil related processes. Hence, the term ‘evaporation’ used in
77 this study is referred to both transpiration and evaporation.”

78 • Line 65: “..., CMIP5 data is useful...” Why is the data useful? Because of the abundance of
79 data based on climate models with slightly different assumptions?

80 **Response:** The CMIP data is useful as they help to better understand past and future climate
81 change, not only because the abundance of data. In fact, all models are not correct and climate
82 models still have great uncertainties. Hence, using the results from multi models are important to
83 reduce these uncertainties.

84 We rewrote this sentence as follows to clarify this point:

85 “However, CMIP5 data is useful for better understanding of past and future climate and provides
86 additional understanding about the connections between major climate modes and global
87 evaporation.”

88 We also mentioned the usefulness of climate model simulations in the Introduction as follows:

89 “As long term and reliable evaporation data is lacking (e.g., Hegerl et al., 2015; Miralles et al.,
90 2016), climate model simulations provide additional opportunity to examine the impacts of main
91 climate modes on global evaporation.”

92 • Line 73-74: Why focus on the tropical Pacific, tropical Indian and North Atlantic Oceans with
93 the mentioned climate modes? Please motivate why these (and related climate modes) are in
94 focus.

95 **Response:** We added the following sentence to the Methods section to clarify this point:

96 “These climate modes are the main sources of global climate variability at interannual timecales
97 (e.g., Abram et al., 2003; Hurrell et al., 2003; McPhaden et al., 2006).”

98 • Line 79: Please elaborate on what the order of the causal model means.

99 **Response:** We rewrote this sentence as follows to clarify the meaning of the order:

100 “... $p \geq 1$ is the order (or the number of lagged time series) of the causal model...”

101 • Line 90: What is it that makes the applied techniques necessarily robust?

102 **Response:** We think the applied techniques (i.e., Granger causality test) are well established for
103 detecting causal effects. We rewrote the Methods section as follows to clarify this point:

104 “We apply test of Granger causality for the predictive model described in equation (1).
105 Specifically, in order to assess the causal influence from Y to X , we compute the probability of
106 the null hypothesis for an absence of Granger causality from Y to X . The model shown in
107 equation (1) is defined as a complete predictive model where all variables (i.e., past data of
108 evaporation and climate indices) are used to estimate evaporation. The null model of no causal
109 effects from given climate mode (i.e., variable Y) to evaporation is defined by removing the
110 terms related to Y (i.e., by setting $\beta_i = 0$ with $i = 1, \dots, p$) in equation (1). The complete model
111 and the null model are then compared by using the following indicator:

$$112 L_{Y \rightarrow X} = n(\log |\Omega_{p, \beta_i=0}| - \log |\Omega_p|)$$

113 where $|\Omega_p|$ is the determinant of the covariance matrix of the noise residual, and n is the length of
114 the data time series. We test the significance of the complete model by comparing the $L_{Y \rightarrow X}$

115 indicator against a χ_p^2 null distribution. This test results in a probability for no causal effect of the
116 considered variable Y on evaporation.”

117 • Line 100-102: “Specifically, high agreement of climate models in teleconnection between
118 ENSO and tropical ocean evaporation implies that models can simulate the impacts of ENSO on
119 evaporation.” Why does a high agreement between models necessarily signal a capability to
120 simulate impacts of ENSO on evaporation?

121 **Response:** We thank the Reviewer for raising this point. The map of ENSO-evaporation
122 connection presented here (Figures 1 and S1) confirm the results obtained previously (e.g.,
123 ENSO influence on evaporation of Australia and Amazonia where there is high consistency
124 between models as shown in Figure 1 and S1). Thus, we think this result show the capability of
125 the models. However, there is uncertainty in some other regions.

126 We removed this sentence to avoid confusing the readers.

127 • Line 105: Why focus on two significance levels and not just on one? If two significance levels
128 are necessary, please indicate why.

129 **Response:** The purpose of using two significance levels is only for visualisation. In Figures 2
130 and 3, the region with 5% significance level is too small (e.g., for the effects of NAO and the
131 IOD) and we think it would be useful to provide additional information with 10% significance
132 level. In some cases, different significance levels should be used to show different level of
133 uncertainty (e.g., Stocker et al., 2013).

134 • Line 145: Figure S3 and S4 do not show seasonal responses of evaporation to the IOD. Do you
135 mean Figure S5 and S6?

136 **Response:** We thank the reviewer for pointing this out. We corrected to Figure S5 and S6 for the
137 seasonal responses of evaporation to the IOD.

138 • Line 170: The differences in Fig. 4 do not imply unique combinations: a difference of -.1 can
139 result from Granger causalities 0 and .1 or .9 and 1. I would advise to test whether the difference
140 is significant or not.

141 **Response:** We agree with the reviewer that these differences do not imply unique combinations.
142 However, we note these values are original and are true values. Figure 4 is only the additional
143 result of Figures 1, 2 and 3 which show the multi-model mean map of probability for no Granger
144 causal impact from individual climate mode to global evaporation. The results described in
145 Figures 1, 2 and 3 are tested for significance.

146 We note that additional information is added to the Methods section as follows to clarify the
147 significance test:

148 “We apply test of Granger causality for the predictive model described in equation (1).
149 Specifically, in order to assess the causal influence from Y to X , we compute the probability of
150 the null hypothesis for an absence of Granger causality from Y to X . The model shown in
151 equation (1) is defined as a complete predictive model where all variables (i.e., past data of
152 evaporation and climate indices) are used to estimate evaporation. The null model of no causal
153 effects from given climate mode (i.e., variable Y) to evaporation is defined by removing the
154 terms related to Y (i.e., by setting $\beta_i = 0$ with $i = 1, \dots, p$) in equation (1). The complete model
155 and the null model are then compared by using the following indicator:

$$156 L_{Y \rightarrow X} = n(\log |\Omega_{p, \beta_i=0}| - \log |\Omega_p|)$$

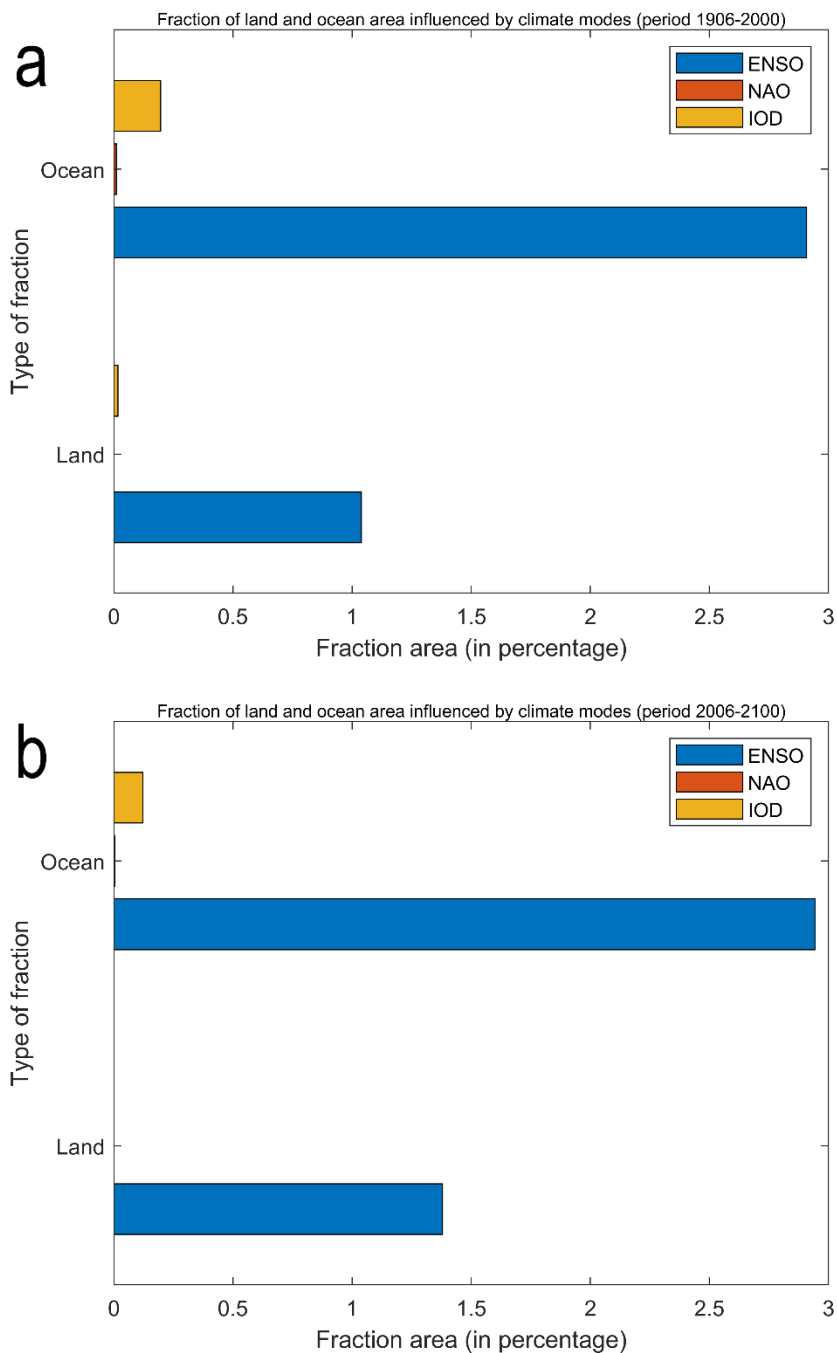
157 where $|\Omega_p|$ is the determinant of the covariance matrix of the noise residual, and n is the length of
158 the data time series. We test the significance of the complete model by comparing the $L_{Y \rightarrow X}$
159 indicator against a χ_p^2 null distribution. This test results in a probability for no causal effect of the
160 considered variable Y on evaporation.”

161 • Line 185-194: Are these results or do these belong in the discussion section?

162 **Response:** Yes, we think these sentences discuss the connection between the present study and
163 previous ones (Martens et al., 2018; Miralles et al., 2013).

164 • Line 209: In Figure 5, panels a and b can be combined, just as panels c and d to be able to be
165 able to visually compare a bit better. The authors could even think of combining all the panels
166 together, to see be able to compare easily between land and ocean, past and future (there are only
167 12 bars).

168 **Response:** We thank the reviewer for this advice. We replaced Figure 5 by a new Figure as
169 follows:



170
171 Figure 2. Fraction of Earth surface for land and ocean with probability for the absence of Granger causality between climate
172 modes and evaporation less than 0.1 (i.e., p value < 0.1). The results are shown for the influence of individual climate mode on
173 annual mean evaporation for periods 1906-2000 (a) and 2006-2100 (b). Fraction areas influenced by ENSO, NAO and IOD are
174 shown in blue, red and yellow bars, respectively. Several fraction areas are close to zero. ENSO = El Niño–Southern Oscillation.
175 NAO = North Atlantic Oscillation. IOD = Indian Ocean Dipole.

176 • Line 217-220: What is the added value of Figure S10 (showing Figure 5 with p 0.25)? The
177 conclusion remains the same and inferences of this figure aren't mentioned.

178 **Response:** At standard significance level of 5%, the fraction areas for NAO and IOD are low
179 and close to zero. We think it is important to provide additional information to show that NAO
180 and the IOD still have the causal effects on evaporation at higher significance level. We also
181 think this Figure S10 makes it easier to compare the effects of NAO and the IOD.

182 We added the following text to the Discussions Section:

183 “Figure S10 indicates that the land and ocean area influenced by the IOD is slightly higher
184 compared to NAO.”

185 • Line 231: Same comment as for Figure 4: I would advise to test whether the means are
186 significantly different or not.

187 **Response:** We thank the Reviewer for pointing this out. Figure 6 is only additional result of
188 Figures 1, 2 and 3 which show the multi-model mean map of probability for no Granger causal
189 impact from individual climate mode to global evaporation. The results described in Figures 1, 2
190 and 3 are tested for significance.

191 • Line 231-232: “Specifically, the fraction area of Earth surface showing lower probability of
192 ENSO effects for 2006-2100 period is approximately 52.9% (Figure 6a).” I highly doubt this
193 conclusion. The authors should first assess whether the differences are significant or not and
194 after that determine reassess the overall ENSO effects. 52.9% is just slightly higher than just
195 assigning an de(in)crease of ENSO effects randomly (50%). This also goes for following similar
196 conclusions.

197 **Response:** The differences shown here are original and true values because all the p-values are
198 the results of Granger causality test for all climate models. The value 52.9% is not a random
199 number but it is an original value and it shows the fact that ENSO causal effects on global
200 evaporation are slightly different between the two periods 1906-2000 and 2006-2100 (See also
201 Figure 1). Figure 6 is only an additional result of Figures 1, 2 and 3 which show the multi-model
202 mean map of probability for no Granger causal impact from individual climate mode to global
203 evaporation. The results described in Figures 1, 2 and 3 are tested for significance.

204 **Technical corrections**

205 • Line 40: "... between individual climate modes"

206 **Response:** We corrected as your suggestion.

207 • Line 56: "Using other data periods with similar lengths (i.e., 95 years) **do** not alter..."

208 **Response:** We corrected this sentence as follows:

209 "Using other data periods with similar lengths (i.e., 95 years) do not alter the results and
210 conclusions."

211 • Line 61: "Most of **the** climate models..."

212 **Response:** We corrected as your suggestion.

213 • Line 158: "...of NAO impacts..."

214 **Response:** We corrected as your suggestion.

215 • Line 187: "...in agreement with previous studies..."

216 **Response:** Here we only cite one study.

217 • Line 198: "in **the** Australian continent..."

218 **Response:** We corrected as your suggestion.

219 • Line 229-230: "...for **the** future period 2006-2100 and **the** historical period 1906-2000..."

220 **Response:** We corrected as your suggestion.

221 • Line 264: "...for a short term..."

222 **Response:** We corrected as your suggestion.

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