1	Evaluation of global terrestrial evapotranspiration by state-of-the-art
2	approaches in remote sensing, machine learning, and land surface models
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33 Abstract

Evapotranspiration (ET) is critical in linking global water, carbon and energy cycles. Yet direct 34 measurement of global terrestrial ET is not feasible. Here, we first summarized the basic theory 35 36 and state-of-the-art approaches for estimating global terrestrial ET, including remote sensing-37 based physical models, machine learning algorithms and land surface models (LSMs). We then 38 utilized four remote sensing-based physical models, two machine-learning algorithms and fourteen 39 LSMs to analyze the spatial and temporal variations in global terrestrial ET. The results showed that the ensemble means of annual global terrestrial ET estimated by these three categories of 40 approaches agreed well, ranging from 589.6 mm yr⁻¹ to 617.1 mm yr⁻¹. For the period 1982-2011, 41 42 both the ensembles of remote sensing-based physical models and machine-learning algorithms suggested positive trends in global terrestrial ET (0.62 mm yr⁻², p<0.05 and 0.38 mm yr⁻², p<0.05, 43 44 respectively). In contrast, the ensemble mean of LSMs showed no statistically significant change $(0.23 \text{ mm yr}^2, p>0.05)$, even though many of the individual LSMs reproduced a positive trend. 45 Nevertheless, all the twenty models used in this study showed anthropogenic earth greening had a 46

47 positive role in increasing terrestrial ET. The concurrent small inter-annual variability, i.e. relative 48 stability, found in all estimates of global terrestrial ET, suggests there exists a potential planetary 49 boundary in regulating global terrestrial ET, with the value being about 6.74×10^4 km³ yr⁻¹ (603 50 mm yr⁻¹). Uncertainties among approaches were identified in specific regions, particularly in the 51 Amazon Basin and arid/semi-arid regions. Improvements in parameterizing water stress and 52 canopy dynamics, utilization of new available satellite retrievals and deep learning methods, and 53 model-data fusion will advance efforts in terrestrial ET estimates.

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55 **Keywords**: Evapotranspiration; Land surface models; Remote sensing; Machine learning.

56

57 **1. Introduction**

Terrestrial evapotranspiration (ET) is the sum of the water loss to the atmosphere from plant tissues 58 59 via transpiration and that from the land surface elements including soil, plants and open water bodies through evaporation. Processes controlling ET play a central role in linking the energy 60 61 (latent heat), water (moisture flux), and carbon cycles (photosynthesis-transpiration trade-off) in 62 the earth system. Over 60% of precipitation on the land surface is returned to the atmosphere through ET (Oki and Kanae, 2006), and the accompanying latent heat (λ ET, λ is the latent heat of 63 64 vaporization) accounts for more than half of the solar energy received by the land surface (Trenberth et al., 2009). ET is also coupled with the carbon dioxide exchange between canopy and 65 atmosphere through vegetation photosynthesis. These linkages make ET an important variable in 66 67 both the short-term numerical weather predication and long-term climate simulations. Moreover, ET is a critical indicator for ecosystem functioning across a variety of spatial scales. For enhancing 68

69 our predictive understanding of earth system and sustainability, therefore, it is essential to70 accurately assess land surface ET in a changing global environment.

However, large uncertainty still exists in quantifying the magnitude of global terrestrial ET and its 71 72 spatial and temporal patterns, despite extensive research (Allen et al., 1998; Liu et al., 2008; 73 Miralles et al., 2016; Mueller et al., 2011; Tian et al., 2010). The previous estimates of global land mean annual ET range from 417 mm yr⁻¹ to 650 mm yr⁻¹ for the whole or part of the 1982-2011 74 period (Mu et al., 2007; Mueller et al., 2011; Vinukollu et al., 2011a; Zhang et al., 2010). This 75 large discrepancy among independent studies may be attributed to lack of sufficient measurements, 76 77 uncertainty in forcing data, inconsistent spatial and temporal resolutions, ill-calibrated model parameters and deficiencies in model structures. Of the four components of ET (transpiration, soil 78 79 evaporation, canopy interception, and open-water evaporation), transpiration (T_v) contributes the 80 largest uncertainty, as it is modulated not only by surface meteorological conditions and soil moisture but also by the physiology and structures of plants. Changes in non-climatic factors such 81 82 as elevated atmospheric CO₂, nitrogen deposition, and land covers also serve as influential drivers 83 of T_y (Gedney et al., 2006; Mao et al., 2015; Pan et al., 2018b; Piao et al., 2010). As such, the 84 global ratio of transpiration to ET (T_v/ET) has long been of debate, with the most recent 85 observation-based estimate being 0.64 ± 0.13 constrained by the global water-isotope budget (Good et al., 2015). Most earth system models are thought to largely underestimate T_v/ET (Lian et al., 86 2018). 87

Global warming is expected to accelerate the hydrological cycle (Pan et al., 2015). For the period,
1982 to the late 1990s, ET was reported to increase by about 7 mm (~1.2%) per decade driven by
an increase in radiative forcing and consequently global and regional temperatures (Douville et al.,
2013; Jung et al., 2010; Wang et al., 2010). The contemporary near-surface specific humidity also

increased over both land and ocean (Dai, 2006; Simmons et al., 2010; Willett et al., 2007). More 92 93 recent studies confirmed that, since the 1980s, global ET has showed an overall increase (Mao et al., 2015; Yao et al., 2016; Zeng et al., 2018a; Zeng et al., 2012; Zeng et al., 2016; Zhang et al., 94 95 2015; Zhang et al., 2016b). However, the magnitude and spatial distribution of such a trend are far 96 from determined. Over the past 50 years, pan evaporation decreased throughout the world (Fu et 97 al., 2009; Peterson et al., 1995; Roderick and Farquhar, 2002), implying an increase in actual ET given the pan evaporation paradox. Moreover, the increase in global terrestrial ET was found to 98 cease or even be reversed during 1998 to 2008, primarily due to the decreased soil moisture supply 99 100 in the Southern Hemisphere (Jung et al., 2010). To reconcile the disparity, Douville et al. (2013) 101 argued that the peak ET in 1998 should not be taken as a tipping point because ET was estimated 102 to increase in the multi-decadal evolution. More efforts are needed to understand the spatial and 103 temporal variations of global terrestrial ET and the underlying mechanisms that control its 104 magnitude and variability.

105 Conventional techniques, such as lysimeter, eddy covariance, large aperture scintillometer and the 106 Bowen ratio method, are capable of providing ET measurements at point and local scales (Wang 107 and Dickinson, 2012). However, it is impossible to directly measure ET at the global scale because 108 dense global coverage by such instruments is not feasible and the representativeness of point-scale 109 measurements to comprehensively represent the spatial heterogeneity of global land surface is also 110 doubtful (Mueller et al., 2011). To address this issue, numerous approaches have been proposed 111 in recent years to estimate global terrestrial ET and these approaches can be divided into three 112 main categories: 1) remote sensing-based physical models, 2) machine learning methods, and 3) land surface models (Miralles et al., 2011; Mueller et al., 2011; Wang and Dickinson, 2012). 113 114 Knowledge of the uncertainties in global terrestrial ET estimates from different approaches is the

prerequisite for future projection and many other applications. In recent years, several studies have compared multiple terrestrial ET estimates (Khan et al., 2018; Mueller et al., 2013; Wartenburger et al., 2018; Zhang et al., 2016b). However, most of these studies analyzed multiple datasets of the same approach or focused on investigating similarities and differences among different approaches. Few studies have been conducted to identify uncertainties in multiple estimates of different approaches.

121 In this study, we integrate state-of-the-art estimates of global terrestrial ET, including data-driven 122 and process-based estimates, to assess its spatial pattern, inter-annual variability, environmental 123 drivers, long-term trend, and response to vegetation greening. Our goal is not to compare the 124 various models and choose the best one, but to identify the uncertainty sources in each type of 125 estimate and provide suggestions for future model development. In the following sections, we first 126 have a brief introduction to all methodological approaches and ET datasets used in this study. We 127 then quantify the spatiotemporal variations in global terrestrial ET during the period 1982-2011 128 by analyzing the results from the current state-of-the-art models. Finally, we discuss some 129 suggested solutions for reducing the identified uncertainties.

130 2. Methodology and data sources

131 **2.1** Overview of approaches to global ET estimation

132 2.1.1 Remote sensing-based physical models

Satellite remote sensing has been widely recognized as a promising tool to estimate global ET, because it is capable of providing spatially and temporally continuous measurements of critical biophysical parameters affecting ET, including vegetation states, albedo, fraction of absorbed photosynthetically active radiation, land surface temperature and plant functional types (Li et al., 2009). Since the 1980s, a large number of methods have been developed using a variety of satellite observations (Zhang et al., 2016a). However, part of these methods such as surface energy balance
(SEB) models and surface temperature-vegetation index (*Ts*-VI) are usually applied at local and
regional scales. At the global scales, the vast majority of existing remote sensing-based physical
models can be categorized into two groups: the Penman-Monteith (PM) based and the PriestleyTaylor (PT) based models.

143 A) Remote sensing models based on Penman-Monteith equation

The Penman equation, derived from the Monin-Obukhov similarity theory and surface energy balance, uses surface net radiation, temperature, humidity, wind speed and ground heat flux to estimate ET from an open water surface. For vegetated surfaces, canopy resistance was introduced into the Penman equation by Monteith (Monteith, 1965) and the PM equation is formulated as:

148
$$\lambda ET = \frac{\Delta (R_n - G) + \rho_a C_p VPD/r_a}{\Delta + \gamma (1 + r_s/r_a)}$$
(1)

149 where Δ , R_n, G, ρ_a , C_p, γ , r_s, r_a, VPD are the slope of the curve relating saturated water vapor 150 pressure to air temperature, net radiation, soil heat flux, air density, the specific heat of air, 151 psychrometric constant, surface resistance, aerodynamic resistance and vapor pressure deficit, 152 respectively. The canopy resistance term in the PM equation exerts a strong control on 153 transpiration. For example, based on the algorithm proposed by Cleugh et al. (2007), the MODIS 154 (Moderate Resolution Imaging Spectroradiometer) ET algorithm improved the model performance 155 through inclusion of environmental stress into canopy conductance calculation and explicitly 156 accounted for soil evaporation (Mu et al., 2007). Further, Mu et al. (2011) improved the MODIS 157 ET algorithm by considering nighttime ET, adding soil heat flux calculation, separating dry canopy 158 surface from the wet, and dividing soil surface into saturated wet surface and moist surface. 159 Similarly, Zhang et al. (2010) developed a Jarvis-Stewart-type canopy conductance model based 160 on normalized difference vegetation index (NDVI) to take advantage of the long-term Advanced 161 Very High Resolution Radiometer (AVHRR) dataset. More recently, this model was improved by 162 adding a CO₂ constraint function in the canopy conductance estimate (Zhang et al., 2015). Another 163 important revision for the PM approach is proposed by Leuning et al. (2008). The Penman-164 Monteith-Leuning method adopts a simple biophysical model for canopy conductance, which can 165 account for influences of radiation and atmospheric humidity deficit. Additionally, it introduces a 166 simpler soil evaporation algorithm than that proposed by Mu et al. (2007), which potentially makes 167 it attractive to use with remote sensing. However, PM-based models have one intrinsic weakness: 168 temporal upscaling which is required in translating instantaneous ET estimation into a longer time-169 scale value (Li et al., 2009). This could be easily done at the daily scale under clear-sky conditions 170 but faces challenge at weekly to monthly time-scales due to lack of cloud coverage information. 171 B) Remote sensing models based on Priestley-Taylor equation

The Priestley–Taylor (PT) equation is a simplification of the PM equation without parameterizing
aerodynamic and surface conductance (Priestley and Taylor, 1972) and can be expressed as:

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$$\lambda \text{ET} = f_{stress} \times \alpha \times \frac{\Delta}{\Delta + \nu} \times (R_n - G) \tag{2}$$

175 where f_{stress} is a stress factor and is usually computed as a function of environmental conditions. α 176 is the PT parameter with a value of 1.2–1.3 under water unstressed conditions and can be estimated 177 using remote sensing. Although the original PT equation works well in estimating potential ET 178 across most surfaces, the Priestley-Taylor coefficient, α , usually needs adjustment to convert 179 potential ET to actual ET (Zhang et al., 2016a). Instead, Fisher et al. (2008) developed a modified 180 PT model that keeps α constant but scales down potential ET by ecophysiological constraints and 181 soil evaporation partitioning. The accuracy of their model has been validated against eddy 182 covariance measurements conducted at a wide range of climates and plant functional types (Fisher 183 et al., 2009; Vinukollu et al., 2011b). Following this idea, Yao et al. (2013) further developed a 184 modified Priestley-Taylor algorithm that constrains soil evaporation using the Apparent Thermal 185 Inertia derived index of soil water deficit. Miralles et al. (2011) also proposed a novel PT type 186 model, Global Land surface Evaporation: the Amsterdam Methodology (GLEAM). GLEAM 187 combines a soil water module, a canopy interception model and a stress module within the PT 188 equation. The key distinguishing features of this model are the use of microwave-derived soil 189 moisture, land surface temperature and vegetation density, and the detailed estimation of rainfall 190 interception loss. In this way, GLEAM minimizes the dependence on static variables, avoids the 191 need for parameter tuning, and enables the quality of the evaporation estimates to rely on the 192 accuracy of the satellite inputs (Miralles et al., 2011). Compared with the PM approach, the PT 193 based approaches avoid the computational complexities of aerodynamic resistance and the 194 accompanying error propagation. However, the many simplifications and semi-empirical 195 parameterization of physical processes in the PT based approaches may lower its accuracy.

2.1.2 Vegetation index-based empirical algorithms and machine learning methods

197 The principle of empirical ET algorithms is to link observed ET to its controlling environmental 198 factors through various statistical regressions or machine learning algorithms of different 199 complexities. The earliest empirical regression method was proposed by Jackson et al. (1977). At 200 present, the majority of regression models are based on vegetation indices (Glenn et al., 2010), 201 such as NDVI and enhanced vegetation index (EVI), because of their simplicity, resilience in the 202 presence of data gaps, utility under a wide range of conditions and connection with vegetation 203 transpiration capacity (Maselli et al., 2014; Nagler et al., 2005; Yuan et al., 2010). As an alternative 204 to statistical regression methods, machine learning algorithms have been gaining increased 205 attention for ET estimation due to their ability to capture the complex nonlinear relationships 206 between ET and its controlling factors (Dou and Yang, 2018). Many conventional machine

207 learning algorithms, such as artificial neural networks, random forest, and support vector machine 208 based algorithms have been applied in various ecosystems (Antonopoulos et al., 2016; Chen et al., 209 2014; Feng et al., 2017; Shrestha and Shukla, 2015) and have proved to be more accurate in 210 estimating ET than simple regression models (Antonopoulos et al., 2016; Chen et al., 2014; Kisi 211 et al., 2015; Shrestha and Shukla, 2015; Tabari et al., 2013). In up-scaling FLUXNET ET to the 212 global scale, Jung et al. (2010) used the model tree ensemble method to integrate eddy covariance 213 measurements of ET with satellite remote sensing and surface meteorological data. In a recent 214 study (Bodesheim et al., 2018), the random forest approach was used to derive global ET at a half-215 hourly time-scale.

216 2.1.3 Process-based land surface models (LSMs)

217 Although satellite-derived ET products have provided quantitative investigations of historical 218 terrestrial ET dynamics, they can only cover a limited temporal record of about four decades. To 219 obtain terrestrial ET before 1980s and predict future ET dynamics, LSMs are needed, as they are 220 able to represent a large number of interactions and feedbacks between physical, biological, and 221 biogeochemical processes in a prognostic way (Jimenez et al., 2011). ET simulation in LSMs is 222 regulated by multiple biophysical and physiological properties or processes, including but not 223 limited to stomatal conductance, leaf area, root water uptake, soil water, runoff and sometimes 224 nutrient uptake (Famiglietti and Wood, 1991; Huang et al., 2016; Lawrence et al., 2007). Although almost all current LSMs have these components, different parameterization schemes result in 225 226 substantial differences in ET estimation (Wartenburger et al., 2018). Therefore, in recent years, 227 the multi-model ensemble approach has become popular in quantifying magnitude, spatiotemporal 228 pattern and uncertainty of global terrestrial ET (Mueller et al., 2011; Wartenburger et al., 2018).

Yao et al. (2017) showed that a simple model averaging method or a Bayesian model averagingmethod is superior to each individual model in predicting terrestrial ET.

231 **2.2 Description of ET models used in this study**

In this study, we evaluate twenty ET products that are based on remote sensing-based physical models, machine-learning algorithms, and LSMs to investigate the magnitudes and spatial patterns of global terrestrial ET over recent decades. Table 1 lists the input data, adopted ET algorithms, limitations, and references for each product. We use a simple model averaging method when calculating the mean value of multiple models.

237 Four physically-based remote sensing datasets, including Process-based Land Surface 238 Evapotranspiration/Heat Fluxes algorithm (P-LSH), Global Land surface Evaporation: the 239 Amsterdam Methodology (GLEAM), Moderate Resolution Imaging Spectroradiometer (MODIS) 240 and PML-CSIRO (Penman-Monteith-Leuning), and two machine-learning datasets, including 241 Random Forest (RF) and Model Tree Ensemble (MTE), are used in our study. Both machine 242 learning and physical-based remote sensing datasets (totally six datasets) were considered as 243 benchmark products. The ensemble mean of benchmark products was calculated as the mean value 244 of all machine learning and physical-based satellite estimates since we treated each benchmark 245 dataset equally.

Three of the four remote sensing-based physical models quantify ET through PM approaches. P-LSH adopts a modified PM approach coupling with biome-specific canopy conductance determined from NDVI (Zhang et al., 2010). The modified P-LSH model used in this study also accounts for the influences of atmospheric CO_2 concentrations and wind speed on canopy stomatal conductance and aerodynamic conductance (Zhang et al., 2015). MODIS ET model is based on the algorithm proposed by Cleugh et al. (2007). Mu et al. (2007) improved the model performance

252 through the inclusion of environmental stress into canopy conductance calculation, and explicitly 253 accounting for soil evaporation by combing complementary relationship hypothesis with PM 254 equation. The MODIS ET product (MOD16A3) used in this study was further improved by 255 considering night-time ET, simplifying vegetation cover fraction calculation, adding soil heat flux 256 item, dividing saturated wet and moist soil, separating dry and wet canopy, as well as modifying 257 algorithms of aerodynamic resistance, stomatal conductance, and boundary layer resistance (Mu 258 et al., 2011). PML-CSIRO adopts the Penman-Monteith-Leuning algorithm, which calculates surface conductance and canopy conductance by a biophysical model instead of classic empirical 259 260 models. The maximum stomatal conductance is estimated using the trial-and-error method (Zhang 261 et al., 2016b). Furthermore, for each grid covered by natural vegetation, the PML-CSIRO model 262 constrains ET at the annual scale using the Budyko hydrometeorological model proposed by Fu 263 (1981). GLEAM ET calculation is based on the PT equation, which requires fewer model inputs 264 than PM equation, and the majority of these inputs can be directly achieved from satellite 265 observations. Its rationale is to make the most of information about evaporation contained in the 266 satellite-based environmental and climatic observations (Martens et al., 2017; Miralles et al., 267 2011). Key variables including air temperature, land surface temperature, precipitation, soil 268 moisture, vegetation optical depth and snow-water equivalent are satellite-observed. Moreover, 269 the extensive usage of microwave remote sensing products in GLEAM ensures the accurate estimation of ET under diverse weather conditions. Here, we use the GLEAM v3.2 version which 270 271 has overall better quality than previous version (Martens et al., 2017).

The first used machine learning model, MTE, is based on the Tree Induction Algorithm (TRIAL)
and Evolving Trees with Random Growth (ERROR) algorithm (Jung et al., 2009). The TRIAL
grows model trees from the root node and splits at each node with the criterion of minimizing the

275 sum of squared errors of multiple regressions in both subdomains. ERROR is used to select the 276 model trees that are independent from each other and have best performances under Schwarz 277 criterion. Canopy fraction of absorbed photosynthetic active radiation (fAPAR), temperatures, 278 precipitation, relative humidity, sunshine hours, and potential radiation are used as explanatory 279 variables to train MTE (Jung et al., 2011). The second machine learning model is the random forest 280 (RF) algorithm whose rationale is generating a set of independent regression trees through 281 randomly selecting training samples automatically (Breiman, 2001). Each regression tree is 282 constructed using samples selected by bootstrap sampling method. After fixing individual tree in 283 entity, the final result is determined by simple averaging. One merit of RF algorithm is its 284 capability of handling complicated nonlinear problems and high dimensional data (Xu et al., 2018). 285 For the RF product used in this study, multiple explanatory variables including enhanced 286 vegetation index, fAPAR, leaf area index, daytime and nighttime land surface temperature, 287 incoming radiation, top of atmosphere potential radiation, index of water availability and relative 288 humidity were used to train regression trees (Bodesheim et al., 2018).

289 The fourteen LSMs-derived ET products were from the Trends and Drivers of the Regional Scale 290 Sources and Sinks of Carbon Dioxide (TRENDY) Project (including CABLE, CLASS-CTEM, 291 CLM45, DLEM, ISAM, JSBACH, JULES, LPJ-GUESS, LPJ-wsl, LPX-Bern, O-CN, 292 ORCHIDEE, ORCHIDEE-MICT and VISIT). Daily gridded meteorological reanalyses from the 293 CRU-NCEPv8 dataset (temperature, precipitation, long- and short-wave incoming radiation, wind-294 speed, humidity, air pressure) were used to drive the LSMs. The TRENDY simulations were 295 performed in year 2017 and contributed to the Global Carbon Budget reported in Le Quéré et al. 296 (2018). We used the results of S3 experiment of TRENDY_V6 (with changing CO_2 , climate and land use) over the period 1982-2011, a time period consistent with other products derived fromremote sensing-based physical models and machine-learning algorithms.

299 **2.3 Description of other datasets**

300 To quantify the contributions of vegetation greening to terrestrial ET variations, we used the LAI 301 of TRENDY_V6 S3 experiment. We also used the newest version of the Global Inventory Modeling 302 and Mapping Studies LAI data (GIMMS LAI3gV1) as satellite-derived LAI. GIMMS LAI3gV1 303 was generated from AVHRR GIMMS NDVI3g using an Artificial Neural Network (ANN) derived 304 model (Zhu et al., 2013). It covers the period 1982 to 2016 with bimonthly frequency and has a 305 $1/12^{\circ}$ spatial resolution. To achieve a uniform resolution, all data were resampled to $1/2^{\circ}$ using the 306 nearest neighbour method. Following Pan et al. (2018a), grids with an annual mean NDVI<0.1 307 were assumed to be non-vegetated regions and were therefore masked out. NDVI data are from 308 GIMMS NDVI3gV1 dataset. Temperature, precipitation and radiation are from CRU-NCEPv8.

309 2.4 Statistical analysis

The significance of ET trends is analyzed using the Mann-Kendall (MK) test (Kendall, 1955; Mann, 1945). It is a rank-based non-parametric method that has been widely applied for detecting a trend in hydro-climatic time series (Sayemuzzaman and Jha, 2014; Yue et al., 2002). The Theil-Sen estimator was applied to estimate the magnitude of the slope. The advantage of this method over ordinary least squares estimator is that it limits the influence of the outliers on the slope (Sen, 1968).

Terrestrial ET IAV is mainly controlled by variations in temperature, precipitation, and shortwave
solar radiation (Zeng et al., 2018b; Zhang et al., 2015). In this study, we performed partial
correlation analyses between ET and these three climatic variables at an annual scale for each grid

319 cell to explore climatic controls on ET IAV. Variability caused by climatic variables was assessed 320 through the square of partial correlation coefficients between ET and temperature, precipitation, 321 and radiation. We chose partial correlation analysis because it can quantify the linkage between 322 ET and a single environmental driving factor while controlling the effects of other remaining 323 environmental factors. Partial correlation analysis is a widely applied statistical tool to isolate the 324 relationship between two variables from the confounding effects of many correlated variables 325 (Anav et al., 2015; Jung et al., 2017; Peng et al., 2013). All variables were first detrended in the 326 statistical correlation analysis since we focus on the inter-annual relationship. The study period is 327 from 1982 to 2011 for all models except MODIS and Rand Forest whose temporal coverage is 328 limited to 2001-2011 because of data availability.

To quantify the contribution of vegetation greening to terrestrial ET, we separated the trend in terrestrial ET into four components induced by climatic variables and vegetation dynamics by establishing a multiple linear regression model between global ET and temperature, precipitation, shortwave radiation, and LAI (Eq. 3-4):

333
$$\delta(ET) = \frac{\partial(ET)}{\partial(LAI)} \delta(LAI) + \frac{\partial(ET)}{\partial T} \delta(T) + \frac{\partial(ET)}{\partial(P)} \delta(P) + \frac{\partial(ET)}{\partial R} \delta(R) + \varepsilon$$
(3)

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$$\delta(ET) = \gamma_{ET}^{LAI} \delta LAI + \gamma_{ET}^{T} \delta T + \gamma_{ET}^{P} \delta P + \gamma_{ET}^{R} \delta R + \varepsilon$$
(4)

335 γ_{ET}^{LAI} , γ_{ET}^{T} , γ_{ET}^{P} , γ_{ET}^{R} are the sensitivities of ET to leaf area index (LAI), air temperature (T), 336 precipitation (P), and radiation (R), respectively. ε is the residual, representing the impacts of other 337 factors.

338 After calculating γ_{ET}^{LAI} , γ_{ET}^{T} , γ_{ET}^{P} , γ_{ET}^{R} , the contribution of trend in factor i (*Trend(i)*) to the trend 339 in ET (*Trend(ET*)) can be quantified as follows:

340
$$Contri(i) = (\gamma_{ET}^{i} \times Trend(i))/Trend(ET)$$
(5)

In performing multiple linear regression, we used GIMMS LAI for both remote sensing-based
physical models and machine learning methods, and individual TRENDYv6 LAI for each
TRENDY model. The gridded data of temperature, precipitation and radiation are from CRUNCEPv8

345 3. Results



346 **3.1** The ET magnitude estimated by multiple models

Figure 1. Average annual global terrestrial ET estimated by each model during the period 20012011. Error bars represent the standard deviation of each model. The four lines indicate the mean
value of each category.

The multi-year ensemble mean of annual global terrestrial ET during 2001-2011 derived by the machine learning methods, remote sensing-based physical models and TRENDY models agreed

well, ranging from 589.6 mm yr⁻¹ to 617.1 mm yr⁻¹. However, substantial differences existed among individual models (Fig. 1). LPJ-wsl (455.3 mm yr⁻¹) and LPX-Bern (453.7 mm yr⁻¹) estimated significantly lower ET than other models, even in comparison with most previous studies focusing on earlier periods (Table S1). In contrary, JULES gave the largest ET estimate (697.3 mm yr⁻¹, equals to 7.57×10^4 km³ yr⁻¹) among all models, and showed an obvious increase of ET compared to its estimation during 1950-2000 (6.5×10^4 km³ yr⁻¹, Table S1).

359 3.2 Spatial patterns of global terrestrial ET

360 As shown in Fig. 2, the spatial patterns of multi-year average annual ET of different categories 361 were similar. ET was the highest in the tropics and low in northern high latitudes and arid regions 362 such as Australia, central Asia, western U.S., and Sahel. Compared to remote sensing-based 363 physical models and LSMs, machine-learning methods obtained a smaller spatial gradient. In general, latitudinal profiles of ET estimated by different approaches were also consistent (Fig. 3). 364 365 However, machine-learning methods gave higher ET estimate at high latitudes and lower ET in 366 the tropics compared to other approaches. In the tropics, LSMs have significant larger uncertainties 367 than benchmark products, and the standard deviation of LSMs is about two times as large as that 368 of benchmark products (Fig. 3). In other latitudes, LSMs and benchmark ET products have 369 generally comparable uncertainties. The largest difference in ET of different categories was found 370 in the Amazon Basin (Fig. 2). In most regions of the Amazon Basin, the mean ET of remote sensing physical models are more than 200mm yr⁻¹ higher than the mean ET of LSMs and machine-371 372 learning methods. For individual ET estimates, the largest uncertainty was also found in the 373 Amazon Basin. MODIS, VISIT and CLASS-CTEM estimated that annual ET was larger than 1300 374 mm in the majority of Amazon, whereas JSBACH and LPJ-wsl estimated ET of smaller than 800 375 mm yr⁻¹ (Fig. S1). As is shown in Fig. S2, the difference in ET estimates among TRENDY models

were larger than those among benchmark estimates for tropical and humid regions. The uncertainty of ET estimates by LSMs is particularly large in the Amazon Basin where the standard deviation of LSMs estimates is more than two times as large as that of benchmark estimates. It is noteworthy that, in arid and semi-arid regions such as western Australia, central Asia, northern China and western US, the difference in ET estimates among LSMs is significantly smaller than those among remote sensing models and machine learning algorithms.



Figure 2. Spatial distributions of mean annual ET derived from (a) remote sensing-based physical
models, (b) machine-learning algorithms, (c) benchmark datasets and (d) TRENDY LSMs
ensemble mean, respectively.



Figure 3. Latitudinal profiles of mean annual ET for different categories of models. Each line
represents the mean value of the corresponding category and the shading represents the interval of
one standard deviation.

390 3.3 Inter-annual variations in global terrestrial ET

386

391 The ensemble mean inter-annual variability (IAV) of remote sensing ET estimates and LSMs ET 392 estimates showed similar spatial patterns (Fig. 4). Both remote sensing physical models and LSMs 393 presented low IAV in ET in northern high latitudes but high IAV in ET in southwestern U.S, India, south Sahara Africa, Amazon and Australia. In contrast, IAV of machine-learning based ET was 394 395 much weaker. In most regions, IAV of machine learning ET is smaller than 40% of IAV of remote 396 sensing physical ET and LSMs ET, and this phenomenon is especially pronounced in tropical 397 regions. Further investigation into the spatial patterns of ET IAV for individual model showed that 398 the two machine-learning methods performed equally in estimating spatial patterns of ET IAV

399 (Fig. S4). In contrast, differences in ET IAV among remote sensing physical estimates and LSMs 400 estimates were much larger. LSMs showed the largest differences in IAV of ET in tropical regions. For example, CABLE and JULES obtained an ET IAV of smaller than 15 mm yr⁻¹ in most regions 401 of the Amazon Basin, while LPJ-GUESS predicted an ET IAV of larger than 60 mm yr⁻¹. Figure 402 5 showed that, in the north of 20°S, remote sensing physical ET and LSMs ET had comparable 403 404 IAV, but IAV of the machine learning based ET was much smaller. In the region south of 20°S, 405 TRENDY ET showed the largest IAV, followed by those of remote sensing physical ET and 406 machine learning estimates. The three approaches agreed on that ET IAV in the Southern 407 Hemisphere was generally larger than that in the Northern Hemisphere.



409 Figure 4. Spatial distributions of the inter-annual variability in ET derived from (a) remote
410 sensing-based physical models, (b) machine learning algorithms, (c) benchmark datasets, and (d)

411 TRENDY LSMs ensemble mean, respectively. The study period used in this study for inter-annual

412 variability analysis is from 1982 to 2011.



Figure 5. Latitudinal profiles of ET IAV for different categories of models. Each line represents
the mean value of the corresponding category and the shading represents the interval of one
standard deviation.

417 **3.4 Climatic controls on ET**

418 According to the ensemble remote sensing models, temperature and radiation dominated ET IAV 419 in northern Eurasia, northern and eastern North America, southern China, the Congo River Basin 420 and the southern Amazon River Basin, while precipitation dominated ET IAV in arid regions and 421 semi-arid regions (Fig. 6a). The ensemble machine-learning algorithms had a similar pattern, but 422 suggested a stronger control of radiation in the Amazon Basin and a weaker control of precipitation 423 in several arid regions such as central Asia and northern Australia (Fig. 6b). In comparison, the 424 ensemble LSMs suggested the strongest control of precipitation on ET IAV (Fig. 6). According to 425 the ensemble LSMs, ET IAV was dominated by precipitation IAV in most regions of the Southern 426 Hemisphere and northern low latitudes. Temperature and radiation only controlled northern 427 Eurasia, eastern Canada and part of the Amazon Basin (Fig. 6d). As is shown in Fig. S6, the majority of LSMs agreed on the dominant role of precipitation in controlling ET in regions south 428 429 of 40°N. However, the pattern of climatic controls in the ORCHIDEE-MICT model is quite unique 430 and different from all other LSMs. According to the ORCHIDEE-MICT model, radiation and 431 temperature dominate ET IAVs in more regions, and precipitation only controls ET IAVs in 432 eastern Brazil, northern Russia, central Europe and a part of tropical Africa. Since ORCHIDEE-433 MICT was developed from ORCHIDEE, the dynamic root parameterization in ORCHIDEE-MICT 434 may explain why ET is less driven by precipitation compared to ORCHIDEE (Haverd et al., 2018). 435 It is noted that two machine learning algorithms MTE and RF had significant discrepancies in the 436 spatial pattern of dominant climatic factors. According to the result of MTE, temperature 437 controlled ET IAV in regions north of 45°N, eastern US, southern China and the Amazon basin 438 (Fig. S6e). By contrast, RF suggested that precipitation and radiation dominated ET IAV in these 439 regions (Fig. S6f).



Figure 6. Spatial distributions of climatic controls on inter-annual variation of ET derived from
the ensemble means of remote sensing-based physical models (a), machine learning algorithms
(b), benchmark data (c), and TRENDY LSMs (d). (red: temperature; green: precipitation; and blue:
radiation).

446 **3.5 Long-term trends in global terrestrial ET**

441

All approaches suggested an overall increasing trend in global ET during the period 1982-2011 447 (Fig. 7), although ET decreased over 1998-2009. This result is consistent with previous studies 448 (Jung et al., 2010; Lian et al., 2018; Zhang et al., 2015). Remote sensing physical models indicated 449 450 the largest increase in ET (0.62 mm yr⁻²), followed by the machine-learning method (0.38 mm yr⁻ 451 ²), and land surface models (0.23 mm yr⁻²). Mean ET of all categories except LSMs significantly 452 increased during the study period (p < 0.05). It is noted that the ensemble mean ET of different 453 categories are statistically correlated with each other (p < 0.001), even if the driving forces of 454 different ET approaches are different.



456 Figure 7. Inter-annual variations in global terrestrial ET estimated by different categories of457 approaches.

458 All remote sensing and machine learning estimates indicate a significant positive trend in ET during the study period (p < 0.05), although the increase rate of P-LSH (1.07 mm yr⁻²) is more than 459 three times as large as that of GLEAM (0.33 mm yr^{-2}). Nevertheless, there is a larger discrepancy 460 461 among LSMs in terms of ET trend. The majority of LSMs (10 of 14) suggest a positive trend with the average trend of 0.34 mm yr⁻² (p < 0.05), and eight of them are statistically significant (see Table 462 2). However, four LSMs (JSBACH, JULES, ORCHIDEE and ORCHIDEE-MICT) suggest a 463 negative trend with the average trend of -0.12 mm yr⁻² (p>0.05). Among the four negative trends, 464 only the trend of ORCHIDEE-MICT (-0.34 mm yr⁻²) is statistically significant (p < 0.05). 465

466 According to Fig. 8, the ensemble means of all the three approaches showed positive trends of ET 467 over western and southern Africa, western Indian, and northern Australia, and decreasing ET over western United States, southern South America and Mongolia. Discrepancies in ET trends mainly 468 469 appeared in East Europe, eastern India and central China. LSMs also suggested larger area of decreasing ET in both North America and South America. Although the differences in ET trends 470 471 among individual models were larger than those among the ensemble means of different 472 approaches, the majority of models agreed that ET increased in western and southern Africa, and 473 decreased in western United States and southern South America (Fig. S2). For both remote sensing 474 estimates and LSMs estimates, ET trends in Amazon Basin had large uncertainty. P-LSH, CLM-45 and VISIT suggested large area of increasing ET, in contrast, GLEAM, JSBACH and 475 476 ORCHIDEE suggested a large area of decreasing ET.



Figure 8. Spatial distributions of ET trends during the period 1982-2011 derived from (a) remote
sensing-based physical models, (b) machine learning algorithm, (c) benchmark datasets, and (d)
TRENDY LSMs ensemble mean, respectively. Regions with non-significant trends were
excluded.

482 **3.6 Impacts of vegetation changes on ET variations**

483 During the period 1982-2011, global LAI trends estimated from remote sensing data and from the ensemble LSMs are $2.51 \times 10^{-3} \text{ m}^2 \text{ m}^{-2} \text{ yr}^{-1}$ (p<0.01) and $4.63 \times 10^{-3} \text{ m}^2 \text{ m}^{-2} \text{ yr}^{-1}$ (p<0.01), respectively 484 485 (Table 2). All LSMs suggested a significant positive trend in global LAI (greening). It was found 486 that, for both benchmark estimates and LSMs estimates, the spatial pattern of trends in ET matched 487 well with that of trends in LAI (Fig. 8c-d and Fig. S5a-b), indicating significant effects of 488 vegetation dynamics on ET variations. According to the results of multiple linear regression, all 489 models agreed that greening of the Earth since the early 1980s intensified terrestrial ET (Table 2), 490 although there was a significant discrepancy in the magnitude of ET intensification which varied from 0.04 mm yr⁻² to 0.70 mm yr⁻². The ensemble LSMs suggested a smaller ET increase (0.23 mm 491 492 vr^{-2}) than the ensemble remote sensing physical models (0.62 mm vr^{-2}) and machine-learning algorithm (0.38 mm yr⁻²). Nevertheless, the greening-induced ET intensification estimated by 493 LSMs (0.37 mm yr⁻²) is larger than that estimated by remote sensing models (0.28 mm yr⁻²) and 494 machine-learning algorithms (0.09 mm yr⁻²) because LSMs suggested a stronger greening trend 495 496 than remote sensing models. The contribution of vegetation greening to ET intensification 497 estimated by the ensemble LSMs is larger than 100% while the contributions estimated by the ensemble remote sensing physical models (0.62 mm yr⁻²) and machine-learning algorithm are 498 499 smaller than 50%. Although TRENDY LSMs were driven by the same climate data and remote 500 sensing physical models were driven by varied climate data, TRENDY LSMs still showed a larger

discrepancy in terms of the effect of vegetation greening on terrestrial ET than remote sensing physical models because of the significant differences in both LAI trends $(1.74-13.63 \times 10^{-3} \text{ m}^2 \text{ m}^ ^2 \text{ yr}^{-1}$) and the sensitivities of ET to LAI (4.04-217.39 mm yr}^2 per m² m⁻²). In comparison, remote sensing physical models had smaller discrepancies in terms of the sensitivity of ET to LAI (55.78-143.43 mm yr⁻² per m² m⁻²).

506 **4. Discussion and perspectives**

507 **4.1 Sources of uncertainty**

508 4.1.1 Uncertainty in the ET estimation of Amazon Basin

509 LSMs show large discrepancies in the magnitude and trend of ET in the Amazon Basin (Fig. 3 and 510 Fig. S3). However, it is challenging to identify the uncertainty sources. Given that the TRENDY 511 LSMs used uniform meteorological inputs, the discrepancies in ET estimates among the 512 participating models mainly arise from the differences in underlying model structures and 513 parameters. One potential source of uncertainty is the parameterization of root water uptake. In the 514 Amazon Basin, large root depth was confirmed by field measurements (Nepstad et al., 2004). 515 However, many LSMs have an unrealistically small rooting depth (generally less than 2 m), 516 neglecting the existence and significance of deep roots. The incorrect root distributions enlarge the 517 differences in plant available water and root water uptake, producing large uncertainties in ET. In 518 addition, differences in the parameterization of other key processes pertinent to ET such as LAI 519 dynamics (Fig. S5), canopy conductance variations (Table 1), water movements in the soil 520 (Abramopoulos et al., 1988; Clark et al., 2015; Noilhan and Mahfouf, 1996) and soil moisture's 521 control on transpiration (Purdy et al., 2018; Szutu and Papuga, 2019) also increase the uncertainty 522 in ET. The above-mentioned processes are not independent of each other but interact in complex 523 ways to produce the end result.

524 **4.1.2** Uncertainty in the ET estimation of arid and semi-arid regions

525 In arid and semi-arid regions, benchmark products show much larger differences in the magnitude 526 of ET than LSMs (Fig. S2). One cause of this phenomenon is the difference in meteorological 527 forcing. Remote sensing and machine learning datasets used different forcing data. For 528 precipitation, RF used the CRUNCEPv6 dataset, MTE used the Global Precipitation Climatology 529 Centre (GPCC) dataset, MODIS used the Global Modeling and Assimilation Office (GMAO) 530 dataset, GLEAM used the Multi-Source Weighted-Ensemble Precipitation (MSWEP) dataset, 531 PML-CSIRO used the Princeton Global Forcing (PGF) and the WATCH Forcing Data ERA-532 Interim (WFDEI) datasets, and P-LSH used data derived from four independent sources. Since 533 precipitation is the key climatic factor controlling ET in arid and semi-arid regions (Fig. 6), 534 discrepancies between different forcing precipitation (Sun et al., 2018) may be the main source of 535 large uncertainty there. In comparison, the uniform forcing data reduced the inter-model range in 536 ET estimates of TRENDY LSMs. Nevertheless, it is noted that the congruence across LSMs ET 537 estimates doesn't necessarily mean they are the correct representation of ET. The narrower inter-538 model range may suggest shared biases. All remote sensing models and machine learning 539 algorithms except GLEAM do not explicitly take the effects of soil moisture into account (Table 540 S1). Given that soil moisture is pivotal to both canopy conductance and soil evaporation in arid 541 and semi-arid regions (A et al., 2019; De Kauwe et al., 2015; Medlyn et al., 2015; Purdy et al., 2018), the lack of soil moisture information also increases the bias in ET estimation. In addition, 542 543 the accuracy of remotely-sensing data itself is also an uncertainty source. The retrieval of key land 544 surface variables, such as leaf area index and surface temperature, is influenced by vegetation 545 architecture, solar zenith angle and satellite observational angle, particularly over heterogeneous 546 surface (Norman and Becker, 1995).

547 **4.1.3** Uncertainty in the ET IAV in the Southern Hemisphere

548 In regions south of 20°S (including Australia, southern Africa and southern South America), the 549 ET IAVs of remote sensing models and machine learning algorithms are smaller than that of LSMs 550 (Fig. 4 and 5), although their spatial patterns are similar. In these regions, GLEAM, the only remote 551 sensing model that explicitly considers the effects of soil moisture, has larger ET IAVs than other 552 remote sensing models and has similar ET IAVs with LSMs (Fig. S4). This could imply that most 553 existing remote sensing models may underestimate ET IAVs in the Southern Hemisphere because 554 the effects of soil moisture are not explicitly considered. Machine learning algorithms show much 555 smaller IAVs than other models (Fig. 4 and S4). The main reason is that ET inter-annual variability 556 is partly neglected in the training process because the magnitude of ET inter-annual variability is 557 usually smaller than the spatial and seasonal variability (Anav et al., 2015; Jung et al., 2019). 558 Moreover, the IAV of satellite-based key land surface variables such as LAI, fAPAR and surface 559 temperature may be not reliable because of the effects of clouds, which also affects the estimation of IAV of satellite-based ET. It is noted that LSMs ET IAVs show large differences in latitudes 560 561 south of 20°S (Fig. 5). This divergence in ET IAV indicates that LSMs need better representation 562 of ET response to climate in the Southern Hemisphere.

563 **4.1.4 Uncertainty in global ET trend**

All the three categories of ET models detected an overall positive trend in global terrestrial ET since the early 1980s, which is in agreement with previous studies (Mao et al., 2015; Miralles et al., 2014; Zeng et al., 2018a; Zeng et al., 2018b; Zeng et al., 2014; Zhang et al., 2015; Zhang et al., 2016b). Benchmark products generally suggested stronger ET intensification than LSMs. The weaker ET intensification in LSMs may be induced by the response of stomatal conductance to increasing atmospheric CO_2 concentration. The increasing CO_2 affects ET in two ways. On one hand, increasing CO₂ can effectively reduce stomatal conductance and thus decrease transpiration
(Heijmans et al., 2001; Leipprand and Gerten, 2006; Swann et al., 2016); on the other hand, it can
increase vegetation productivity and thus increase LAI. For benchmarks, the second effect could
be captured by remotely sensed LAI, NDVI or fAPAR, while the first effect was neglected by all
models except P-LSH (Zhang et al., 2015). In contrast, both effects were modeled in all TRENDY
LSMs.

576 LAI dynamics have significant influences on ET. The increased LAI trend (greening) since the 577 early 1980s was reported by previous studies (Mao et al., 2016; Zhu et al., 2016) and is also 578 confirmed by remote sensing data and all TRENDY LSMs used in this study (Table 2 and Fig. S5). Zhang et al. (2015) found that the positive trend of global terrestrial ET over 1982-2013 was 579 mainly driven by an increase in LAI and the enhanced atmosphere water demand. Using a land-580 581 atmosphere coupled global climate model (GCM), Zeng et al. (2018b) further estimated that global LAI increased about 8%, resulting in an increase of 0.40 ± 0.08 mm yr⁻² in global ET (contributing 582 to 55%±25% of the ET increase). This number is close to the estimates of ensemble LSMs 583 (0.37±0.18 mm yr⁻²). In comparison, remote sensing models and machine learning algorithms used 584 585 in this study suggested smaller greening-induced ET increases. It is noted that TRENDY LSMs 586 still showed a larger discrepancy in terms of the effect of vegetation greening on terrestrial ET 587 than remote sensing physical models (Table 2) because of the significant differences in LAI trend $(1.74-13.63 \times 10^{-3} \text{ m}^2 \text{ m}^{-2} \text{ yr}^{-1})$ and in the sensitivity of ET to LAI (4.04-217.39 mm yr^{-2} per m² m⁻¹) 588 ²). Uncertainties in LAI trend may arise from inappropriate carbon allocations and deficits in 589 responding to water deficits (Anav et al., 2013; Hu et al., 2018; Murray-Tortarolo et al., 2013; 590 Restrepo - Coupe et al., 2017). Additionally, for machine-learning algorithms, the results from 591

insufficient long-term in situ measurements and sparse observations in tropical, boreal and aridregions imply that there likely are deficiencies in representing the temporal variations.

594 4.1.5 Lack of knowledge of the effects of irrigation

595 Irrigation accounts for about 90% of human consumptive water use and largely affects ET in 596 irrigated croplands (Siebert et al., 2010). Global water withdrawals for irrigation were estimated to be within the range of 1161-3800 km³ yr⁻¹ around the year 2000, and largely increased during 597 598 the period 2000-2014 (Chen et al., 2019). However, none of the remote sensing-based physical 599 models and machine-learning algorithms explicitly accounted for the effects of irrigation on ET, 600 although these effects could be taken into account to some extent by using observed LAI, NDVI, 601 or fAPAR to drive the models (Zhang et al., 2015). Considering that annual ET may surpass annual 602 precipitation in cropland, Zhang et al. (2016b) used the Budyko hydrometeorological model to 603 constrain PML-CSIRO model only in grids covered by non-crop vegetation. But the process of 604 irrigation affecting evaporation was still not taken into consideration. For TRENDY LSMs, only 605 2 of 14 models (DLEM and ISAM) included the irrigation processes (Le Quéré et al., 2018). 606 Therefore, the effects of irrigation are largely neglected in existing global ET datasets, which 607 reduces the accuracy of local ET estimates in regions with a large proportion of irrigated cropland.

608 **4.1.6 ET** variability across precipitation gradient and its planetary boundary

Precipitation is the source of terrestrial evapotranspiration. According to Fig. 9a, the vast majority of models agree that ET has the largest IAV in regions with annual precipitation between 700 mm and 1000 mm, although the magnitude of ET IAV has substantial discrepancies among different models. The low ET IAV in arid and semi-arid regions doesn't mean ET is stable in these regions. In fact, ET has the largest coefficient of variation (CoV, the ratio of ET standard deviation to ET mean value) in arid regions, and all models show a clear negative trend of CoV with increasing

precipitation (Fig. 9b). This is mainly caused by the large CoV of precipitation in arid regions(Fatichi et al., 2012).

In comparison, terrestrial ET shows a much smaller IAV at the global scale (Table 2), ranging 617 from 4.8 to 12.2 mm yr⁻¹ (one standard deviation), which only equals to 1.0-1.8% of global annual 618 mean ET. The model results suggest that global terrestrial ET stabilizes at about 6.74×10⁴ km³ yr⁻ 619 ¹ (603 mm yr⁻¹), which is close to previous estimates (Alton et al., 2009; Mueller et al., 2011; Oki 620 621 and Kanae, 2006; Zeng et al., 2012). The stability of global terrestrial ET is probably based on 622 partitioning the solar constant and suggests that, at a global scale, droughts in one place are 623 balanced by excess rain in other places so it all evens out. It implies that ET also has a potential 624 planetary boundary, a suggestion made by Running (2012) on NPP as a planetary boundary. ET 625 integrates four aspects of the current planetary boundaries defined by Steffen et al. (2015) : climate 626 change, freshwater use, land-system change, and biochemical flows. Given ET's importance in 627 linking terrestrial water, carbon, nutrient and energy cycles, more studies on the ET planetary 628 boundary are needed under the background of intensifying global change and increasing human 629 perturbations on the Earth system.







In short, the multi-model inter-comparison indicates that considerable uncertainty exists in boththe temporal and spatial variations in global ET estimates, even though a large portion of models

adopt similar ET algorithms (Table 1). The major uncertainty source is different for different types of models and regions. The uncertainty is induced by multiple factors, including problems pertinent to parameterization of land processes, lack of in situ measurements, remote sensing acquisition, scaling effects and meteorological forcing. Based on the results of different approaches, we suggest that global terrestrial ET also has a potential planetary boundary, with the value being about 6.74×10^4 km³ yr⁻¹ (603 mm yr⁻¹), which is consistent with previous estimates.

641 **4.2 Recommendations for future development**

642 **4.2.1 Remote sensing-based physical methods**

643 In the past decades, the development of remote sensing technologies has contributed to the boom 644 of various ET estimating methods. However, there is still a large room for remote sensing 645 technologies to improve (Fisher et al., 2017). Developing new platforms and sensors that have 646 improved global spatiotemporal coverage and using multi-band, multi-source remote sensing data 647 are the key points. Planned or newly launched satellites, such as NASA's GRACE Follow-On 648 (GRACE-FO) mission and ECOsystem Spaceborne Thermal Radiometer Experiment on Space 649 Station (ECOSTRESS) mission, will improve the accuracy of terrestrial ET estimates. 650 ECOSTRESS's thermal infrared (TIR) multispectral scanner is capable of monitoring diurnal 651 temperature patterns at high-resolutions, which gives insights into plant response to water stress 652 and the means to understand sub-daily ET dynamics (Hulley et al.). GRACE Follow-On 653 observations can be used to constrain subsurface lateral water transfers, which helps to correct soil 654 moisture and subsequently improves the accuracy of ET estimates (Rouholahnejad and Martens, 655 2018). Moreover, building integrated methods that fuse different ET estimates or the upstream 656 satellite-based biophysical variables from different platforms and the other forcing data will be

helpful to improve the accuracy and spatiotemporal coverage of ET (Ke et al., 2016; Ma et al.,
2018; Semmens et al., 2016).

659 The theories and retrieval algorithms of ET and related key biophysical variables also need to be 660 further improved. For example, the method for canopy conductance calculation may be improved 661 by integrating remote sensing based solar-induced chlorophyll fluorescence (SIF) data. SIF data 662 in existing Global Ozone Monitoring Experiment-2 (GOME-2), Orbiting Carbon Observatory-2 (OCO-2) and TROPOspheric Monitoring Instrument (TROPOMI) and the forthcoming OCO-3 663 664 and Geostationary Carbon Cycle Observatory (GeoCarb) satellites provide a good opportunity for 665 diagnosing transpiration and for ET partitioning at multiple spatiotemporal scales (Pagán et al., 666 2019; Stoy et al., 2019; Sun et al., 2017). Theoretical advancements in nonequilibrium thermodynamics and Maximum Entropy Production (MEP) could be incorporated into the 667 668 classical ET theories (Xu et al., 2019; Zhang et al., 2016a). In addition, quantifying the effects of 669 CO₂ fertilization on stomatal conductance is pivotal for remote sensing models to capture the long-670 term trend of terrestrial ET.

671 Most existing remote sensing-based ET studies focused on total ET, however, the partitioning of 672 ET between transpiration, soil evaporation, and canopy interception may have significant 673 divergence even though the total ET is accurately estimated (Talsma et al., 2018b). In current 674 remote sensing-based ET models, soil evaporation, which is sensitive to precipitation events and soil moisture, is the part with the largest error (Talsma et al., 2018a). Therefore incorporating the 675 676 increasing accessible satellite-based precipitation, soil moisture observations and soil property 677 data will contribute to the improvement of soil evaporation estimation. Meanwhile, the 678 consideration of soil evaporation under herbaceous vegetation and canopy will also reduce the 679 errors.

680 **4.2.2 Machine learning methods**

681 It is well known that the capability of machine-learning algorithms in providing accurate ET 682 estimates largely depends on the representativeness of training datasets in describing ecosystem 683 behaviors (Yao et al., 2017). As a result, machine-learning algorithms may not perform well 684 outside the range of the data used for their training. Unfortunately, long-term field observations 685 out of northern temperate regions are still insufficient. This is an important cause of the small 686 spatial gradient and small IAVs of machine-learning ET. Given that remote sensing is capable of 687 providing broad coverage of key biophysical variables at reasonable spatial and temporal 688 resolutions, one way to overcome this challenge is to exclusively use remote sensing observations 689 as training data (Jung et al., 2019; Poon and Kinoshita, 2018). Another simple way to make IAVs 690 of machine-learning ET more realistic is normalizing the yearly anomalies when comparing with 691 ET estimates from LSMs and remote sensing physical models (Jung et al., 2019). New machine-692 learning techniques, including the extreme learning machine and the adaptive neuro-fuzzy 693 inference system, can be used to improve the accuracy of ET estimation (Gocic et al., 2016; Kişi 694 and Tombul, 2013). The emerging deep learning methods such as recurrent neural network (RNN) 695 and Long Short-Term Memory (LSTM) have large potential to outcompete conventional machine-696 learning methods in modelling ET time series (Reichstein et al., 2018; Reichstein et al., 2019). 697 Almost all machine-learning datasets used precipitation rather soil moisture as explanatory 698 variable when training. However, soil moisture rather than precipitation directly controls ET. As 699 more and more global remote sensing based soil moisture datasets become available, using soil 700 moisture products as input is expected to improve the accuracy of ET estimates, especially for 701 regions with sparse vegetation coverage (Xu et al., 2018).

702 **4.2.3 Land surface models**

703 In contrast to observation-based methods, LSMs are able to project future changes in ET, and can 704 disentangle the effects of different drivers on ET through factorial analysis. However, results from 705 LSMs are only as good as their parameterizations of complex land surface processes which are 706 limited by our incomplete understanding of physical and biological processes (Niu et al., 2011). 707 Although TRENDY LSMs are the state-of-the-art process-based global land surfaces models, 708 improvements are still needed because several important processes are missing or not being 709 appropriately parameterized. Most of the TRENDY LSMs did not simulate the processes relevant to human management including irrigation (Chen et al., 2019) and application of fertilizers (Mao 710 711 et al., 2015), and natural disturbances like wildfire (Poon and Kinoshita, 2018). Incorporating these 712 processes into present LSMs is critical, although introduction of new model parameters potentially 713 also leads to an increase in a model's uncertainty.

714 In light of the importance of soil water availability in constraining canopy conductance and 715 dynamics, accurate representation of hydrological processes is a core task for LSMs, particularly 716 in dry regions. Integrating a dynamic root water uptake function and hydraulic redistribution into 717 the LSM can significantly improve its performance of estimating seasonal ET and soil moisture 718 (Li et al., 2012). Moreover, other hydrological processes including groundwater (Decker, 2015), 719 lateral flow (Rouholahnejad and Martens, 2018) and water vapor diffusion at the soil surface 720 (Chang et al., 2018) need to be simulated and correctly represented to reproduce the dynamics of 721 soil water and ET. Since canopy LAI plays an important role in regulating ET, correctly simulating 722 vegetation dynamics is also critical. One way is to correct the initialization, distribution, and 723 parameterization of vegetation phenology in LSMs (Murray-Tortarolo et al., 2013; Zhang et al., 724 2019). Appropriate carbon allocation scheme and parameterization of vegetation's response to 725 water deficits are also important for reproducing vegetation dynamics (Anav et al., 2013).

727 In this study, we evaluated twenty global terrestrial ET estimates including four from remote 728 sensing-based physical models, two from machine-learning algorithms and fourteen from 729 TRENDY LSMs. The ensemble mean values of global terrestrial ET for the three categories agreed well, ranging from 589.6 mm yr⁻¹ to 617.1 mm yr⁻¹. All the three categories detected an overall 730 positive trend in global ET during the period 1982-2011 and suggested a positive effect of 731 732 vegetation greening on ET intensification. However, the multi-model inter-comparison indicates 733 that considerable uncertainties still exist in both temporal and spatial variations in global ET 734 estimates. LSMs had significant differences in the ET magnitude in tropical regions especially the 735 Amazon Basin, while benchmark ET products showed larger inter-model range in arid and semi-736 arid regions than LSMs. Trends in LSMs ET estimates also had significant discrepancies. These 737 uncertainties are induced by parameterization of land processes, meteorological forcing, lack of in 738 situ measurements, remote sensing acquisition and scaling effects. Model developments and 739 observational improvements provide two parallel pathways towards improving the accuracy of 740 global terrestrial ET estimation.

741 Code and data availability

TRENDYv6 data are available from S.S. (s.a.sitch@exeter.ac.uk) on reasonable request. MODIS
ET data are available from http://files.ntsg.umt.edu/data/NTSG_Products/MOD16/. GLEAM ET
are available from https://www.gleam.eu/. Both Model Tree Ensemble and Random Forest ET are
available from https://www.bgc-jena.mpg.de/geodb/projects/FileDetails.php. P-LSH ET are
available from http://files.ntsg.umt.edu/data/ET_global_monthly/Global_8kmResolution/.
PML-CSIRO ET are from https://data.csiro.au/dap/landingpage?pid=csiro:17375. CRU-

748 NCEPv8 data are available from Nicolas Viovy on reasonable request. GIMMS LAI3gV1 data are

- available from R. B. Myneni on reasonable request. GIMMS NDVI3gV1 data are available from
- 750 https://ecocast.arc.nasa.gov/data/pub/gimms/3g.v1/.

751 Author contributions

S.P. initiated this research and was responsible for the integrity of the work as a whole. N.P. carried
out the analyses. S.P., N.P., H.T. and H.S. wrote the manuscript with contributions from all
authors. P.F., S.S., V.K.A., V.H., A.K.J., E.K., S.L., D.L., J.E.M.S.N., C.O., B.P., H.T. and S.Z.
contributed to the TRENDY results.

756 Competing interests

757 The authors declare that they have no conflict of interest.

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- 1200 Table 1. Descriptions of models used in this study, including their drivers, adopted algorithms,
- 1201 key equations, limitations and references

Name	Input	Algorithm	Spatial resolution	Temporal resolution	Key equations	Limitations	References

MTE	Climate: precipitation, temperature, sunshine hour relative humidity, we days Vegetation:	TRIAL ERROR	+0.5°×0.5°	Monthly	No specific equation	Insufficient flux Jung et al. observations in(2011) tropical regions; with no CO2 effect
RF	fAPAR enhanced vegetation index, fAPAR leaf area index land surface temperature, radiation, potential radiation, index of wate availability, relative humidity	Randomized decision tree , , e	0.5°×0.5°	Half-hourly	No specific equation	The same with MTE Bodesheim et al. (2018)
P-LSH	Climate: radiation, ai temperature, vapor pressure wind speed CO2 Vegetation: AVHRR NDVI	Modified r Penman– Monteith ,	0.083 °×0.083°	Monthly	$E_{v} = \frac{\Delta R_{n} + \rho C_{p} V P D g_{a}}{\lambda_{v} (\Delta + \Upsilon \left(1 + \frac{g_{a}}{g_{s}}\right))}$ $E_{s} = R H^{\frac{VPD}{k}} \frac{\Delta R_{n} + \rho C_{p} V P D g_{a}}{\lambda_{v} (\Delta + \Upsilon \left(1 + \frac{g_{a}}{g_{s}}\right))}$	Advantages: Zhang et al. more robust physical(2015) basis; consider the effects of CO ₂ Limitations: high meteorological forcing requirements; canopy conductance
GLEAM	Climate: precipitation, net radiation surface soi moisture, land surface temperature, ai temperature, snow depth Vegetation: vegetation optical depth	Modified Priestley– , Taylor 1 1	0.25°×0.25°	Daily	$E_{s} = f_{s}S_{s}\alpha_{s}\frac{\Delta}{\lambda_{\nu}\rho_{w}(\Delta+\gamma)}(R_{n}^{s}-G_{s})$ $E_{sc} = f_{sc}S_{sc}\alpha_{sc}\frac{\Delta}{\lambda_{\nu}\rho_{w}(\Delta+\gamma)}(R_{n}^{sc}-G_{sc})$ $E_{tc} = f_{tc}S_{tc}\alpha_{tc}\frac{\Delta}{\lambda_{\nu}\rho_{w}(\Delta+\gamma)}(R_{n}^{tc}-G_{tc})-\beta E_{t}$	is based on proxies; Advantages: (Miralles et simple; low al., 2011) requirement for meteorological data; well-suited for remote sensing observable variables; soil moisture is considered Limitations: many simplifications of physical processes; neither VPD nor surface and aerodynamic resistances are explicitly accounted for; strong dependency on net
MODIS	Climate: ai temperature, shortwave radiation, wind speed, relative humidity, ai pressure Vegetation: LAI, fAPAR albedo	r Penman– Monteith– Leuning d e r	0.05 °×0.05 °	' Monthly	$E_{i} = f_{wet} f_{c} \frac{\Delta(R_{n} - G) + \rho c_{p} \frac{VPD}{r_{a}^{wc}}}{\lambda_{v} \rho_{w} (\Delta + \gamma \frac{r_{s}^{wc}}{r_{a}^{wc}})}$ $E_{v} = (1 - f_{wet}) f_{c} \frac{\Delta(R_{n} - G) + \rho c_{p} \frac{VPD}{r_{a}^{t}}}{\lambda_{v} \rho_{w} (\Delta + \gamma \frac{r_{s}^{t}}{r_{a}^{t}})}$ $\frac{E_{s}}{B} = [f_{wet} + \frac{(1 - f_{wet})hVPD}{\beta}] \frac{(sA_{soil} + \frac{\rho c_{p}(1 - f_{c})VPD}{r_{as}})}{\lambda_{v} \rho_{w} (S + \gamma \frac{r_{tot}}{r_{as}})}$	radiation Advantages: Mu et al. more robust physical(2011) basis; Limitations: require many variables that are difficult to observe or not observable with satellites; canopy conductance is based on proxies; do not consider soil moisture but use atmospheric humidity as a surrogate; do not

						CO_2	
PML- CSIRO	Climate: Pe precipitation, air M temperature, Le vapor pressure, shortwave radiation, longwave radiation, wind speed	enman– Ionteith– euning	0.5°×0.5°	Monthly	$E_{v} = \frac{\Delta R_{n} + \rho C_{p} VPD g_{a}}{\lambda_{v} (\Delta + \Upsilon \left(1 + \frac{g_{a}}{g_{s}}\right))}$ $E_{s} = \frac{f \Delta A_{s}}{\Delta + \gamma}$ E _i : an adapted version of Gash rainfall interception model (Van et al., 2001)	Advantages: more robust physica basis (compared t Priestley–Taylor equation); biophysically base estimation of surfac conductance	Zhang et al. 11(2016b) o d e
	Vegetation: AVHRR LAI, emissivity and albedo					Limitations: high meteorologica forcing requirements canopy conductanc is based on proxies do not consider th effects of CO ₂	ıl s; e s; e

consider the effects of

Friend (2010)

TRENDY LSMs

Advantages: land surface models are process-oriented and physically-based. Given their structure almost all models are capable to allow factorial analysis, where one forcing can be applied at a time. Most models also consider the physiological effect of CO₂ on stomatal closure.

Disadvantages: most models typically do not allow integration/assimilation of observation-based vegetation characteristics. Model parameterizations remain uncertain and a same process is modelled in different ways across models. Model parameters may or may not be physically-based and therefore measurable in the field.

Models participating in the TRENDYv6 comparison were forced by precipitation, air temperature, specific humidity, shortwave radiation, longwave radiation, wind speed based on the CRU-NCEPv8 data as explained in Le Quere et al. 2018. It is very difficult to list all key equations for all land surface models. Here, we just list the stomatal conductance equation for each model.

Name	Algorithm	Spatial resolution	Temporal resolution	Key equations	References
CABLE	Penman-Monteith	0.5°×0.5°	Monthly	$g_s = g_0 + \frac{g_1 f_w A}{c_a - c_n} (1 + \frac{VPD}{VPD_0})^{-1}$	Haverd et al. (2018)
CLASS- CTEM	Modified Penman-Monteith	2.8125°×2.81 25 °	Monthly	$g_c = m \frac{A_n p}{(c_s - \Gamma)} \frac{1}{(1 + VPD/VPD_0)} + b LAI$	Melton and Arora (2016)
CLM45	Modified Penman-Monteith	1.875 °×2.5 °	Monthly	$g_s = g_0 + \frac{g_1 A}{c_a} RH$	Oleson et al. (2010)
DLEM	Penman-Monteith	0.5°×0.5°	Monthly	$g_{s} = \max(g_{smax}r_{corr}bf(ppdf)f(\tilde{T}_{min})f(VPD)f(CO_{2}), g_{smin})$	Pan et al. (2015)
ISAM	Modified Penman–Monteith	0.5°×0.5°	Monthly	$g_s = m \frac{A}{C_s/P_{e_tm}} \times \frac{e_s}{e_i} + b_t \beta_t$	Barman et al. (2014)
JSBACH	Penman-Monteith	1.9 °×1.9 °	Monthly	$g_s = \beta_w \frac{1.6A_{n,pot}}{C_s - C_{i,not}}$	Knauer et al. (2015)
JULES	Penman–Monteith	2.5 °×3.75 °	Monthly	Bare soil conductance: $g_{soil} = \frac{1}{100} \left(\frac{\theta_1}{\theta_c}\right)^2$ Stomatal conductance is calculated by solving the two equations: $A_l = g_s(C_s - C_l)/1.6;$ $\frac{C_l - \Gamma^*}{C_c - \Gamma^*} = f_0(1 - \frac{\Delta}{q_c})$	Li et al. (2016)
LPJ- GUESS	Equations proposed b Monteith (1995)	y0.5°×0.5°	Monthly	$g_s = g_{smin} + \frac{1.6A_{dt}}{c_a(1-\lambda_c)}$	Smith (2001)
LPJ-wsl	Priestley-Taylor	0.5°×0.5°	Monthly	$g_s = g_{smin} + \frac{\frac{1.6A_{dt}}{c_a(1 - \lambda_c)}}$	Sitch et al. (2003)
LPX-Bern	Modified equation of Monteit (1995)	h1⁰×1°	Monthly	$g_s = g_{smin} + \frac{1.6A_{dt}}{c_s(1 - \lambda_s)}$	Keller et al. (2017)
O-CN	Modified Penman-Monteith	1°×1°	Monthly	$g_s = g_{smin} + \frac{\frac{1.6A_{at}}{c_a(1 - \lambda_c)}}{c_a(1 - \lambda_c)}$	Zaehle and Friend

ORCHID Modified Penman-Monteith $0.5^{\circ} \times 0.5^{\circ}$ Monthly EE

$$g_s = g_0 + \frac{A + R_d}{c_a - c_p} f_{vpd}$$
 d'Orgeval
et al.
(2008)

 $g_{soil} = exp(8.206-4.255W/W_{sat})$

ORCHID
EE-MICTModified Penman-Monteith $0.5^{\circ} \times 0.5^{\circ}$ Monthly $g_s = g_0 + \frac{A + R_d}{c_a - c_p} f_{vpd}$ Guimbertea
uet al.
(2018)VISIT
Penman-Monteith $0.5^{\circ} \times 0.5^{\circ}$ Monthly $g_s = g_0 + \frac{g_1 f_w A}{c_a - c_p} (1 + \frac{VPD}{VPD_0})^{-1}$ Ito (2010)

Notes: A: net assimilation rate; Adt: total daytime net photosynthesis; An,pot: unstressed net 1202 assimilation rate; b: soil moisture factor; bt: stomatal conductance intercept; ca: atmospheric CO₂ 1203 1204 concentration; c_c : critical CO₂ concentration; c_i : internal leaf concentration of CO₂; $c_{i, pot}$: internal 1205 leaf concentration of CO₂ for unstressed conditions; c_s: leaf surface CO₂ concentration; c_p: CO₂ compensation point; es: vapor pressure at leaf surface; ei: saturation vapor pressure inside the leaf; 1206 Es: soil evaporation; Ec: canopy evapotranspiration; Edry: dry canopy evapotranspiration; Ewet: wet 1207 1208 canopy evapotranspiration; E_v : canopy transpiration; E_i : canopy interception; E_{tc} : transpiration 1209 from tall canopy; Esc: transpiration from short canopy; f: fraction of P to equilibrium soil evaporation; f_s : soil fraction; f_{sc} : short canopy fraction; f_{tc} : tall canopy fraction; f_{ypd} : factor of the 1210 effect of leaf-to-air vapor pressure difference; f_w: a function describing the soil water stress on 1211 stomatal conductance; fwet: relative surface wetness parameter; fo: the maximum ratio of internal 1212 1213 to external CO₂; f(ppdf): limiting factor of photosynthetic photo flux density; $f(T_{min})$: limiting factor 1214 of daily minimum temperature; f(VPD): limiting factor of vapor pressure deficit; $f(CO_2)$: limiting factor of carbon dioxide; G: ground energy flux; ga: aerodynamic conductance; gm: 1215 empiricalparameter; gs: stomatal conductance; gsmax: maximum stomatal conductance; gsmin: 1216 1217 minimum stomatal conductance; g_{soil} : bare soil conductance; g_0 : residual stomatal conductance when the net assimilation rate is 0; g_1 : sensitivity of stomatal conductance to assimilation, ambient 1218 CO₂ concentration and environmental controls; I: tall canopy interception loss; m: stomatal 1219 conductance slope; Patm: atmospheric pressure; PEs: potential soil evaporation; PEcanopy: potential 1220 1221 canopy evaporation; qa: specific air humidity; qc: critical humidity deficit; qs: specific humidity of 1222 saturated air; r_a : aerodynamic resistance; r_s : stomatal resistance; R_n : net radiation; Rd: day respiration; RH: relative humidity; T_s : actual surface temperature; VPD: vapor pressure deficit; 1223 VPD₀: the sensitivity of stomatal conductance to VPD; W: top soil moisture; W_{canopy}: canopy water; 1224 W_{sat}: soil porosity; α : Priestley-Taylor coefficient; α_m : empirical parameter; β : a constant 1225 accounting for the times in which vegetation is wet; β_t : soil water availability factor between 0 and 1226 1227 1; β_w : A scaling factor to account for water stress; β_s : moisture availability function; ρ : air density; y: psychrometric constant; λ_v : latent heat of vaporization; λ_c : ratio of intercellular to ambient partial 1228 pressure of CO₂; r_{corr} : correction factor of temperature and air pressure on conductance; Γ^* : CO₂ 1229 1230 compensation point when leaf day respiration is zero; θ_1 : parameter of moisture concentration in 1231 the top soil layer; θ_c : parameter of moisture concentration in the spatially varying critical soil 1232 moisture; Δ : slope of the vapor pressure curve.

Table 2. Inter-annual variability (IAV, denoted as standard deviation) and trend of global terrestrial ET during 1982-2011 and the contribution of vegetation greening to ET trend. * suggests significance of the trend at the 95% confidence level (p<0.05).

	Model	ET IAV (mm yr ⁻¹)	ET Trend (mm yr ⁻²)	Greening-induced ET change (mm yr ⁻²)	Sensitivity of ET to I LAI (mm yr ⁻² per m ² m ⁻²)	LAI trend $(10^{-3} \text{ m}^2 \text{ m}^{-2} \text{ yr}^{-1})$
Machine learning	MTE	5.93	0.38*	0.09	35.86	2.51*
	P-LSH	9.95	1.07*	0.34	135.46	2.51*
RS models	s GLEAM	8.47	0.33*	0.14	55.78	2.51*
	PML-CSIRO	7.18	0.41*	0.36	143.43	2.51*
	RS model mean	7.98	0.62*	0.28	111.55	2.51*
	CABLE	9.63	0.07	0.35	102.64	3.41*
	CLASS-CTEM	12.22	0.35*	0.53	134.52	3.94*
	CLM45	8.68	0.38*	0.31	67.54	4.59*
	DLEM	7.21	0.26*	0.53	200.76	2.64*
	ISAM	7.50	0.22	0.16	32.26	4.96*
	JSBACH	10.12	-0.05	0.50	217.39	2.30*
	JULES	11.33	-0.02	0.34	85.21	3.99*
LSMs	LPJ-GUESS	7.48	0.50*	0.28	160.92	1.74*
	LPJ-wsl	4.77	0.24*	0.19	31.56	6.02*
	LXP-Bern	4.80	0.20*	0.04	4.04	9.90*
	O-CN	10.41	0.32*	0.53	89.23	5.94*
	ORCHIDEE	9.28	-0.17	0.21	96.33	2.18*
	ORCHIDEE-MICT	10.70	-0.34*	0.50	171.23	2.92*
	VISIT	6.31	0.87*	0.70	51.40	13.62*
	LSM mean	7.73	0.23	0.37	79.91	4.63*