# To Reviewer #1:

We appreciate the reviewer for the positive comments. We have responded to all your comments and cited the references you recommended. Below are the reviewer's comments, followed by our responses and changes in manuscript.

# Sincerely,

Shufen Pan (on behalf of the author team)

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[**Reviewer #1 General Comment**] The authors provide a nice refresh reviewing global ET data products. Generally, it's a good literature review. Overall, however, the paper is excessively long and unfocused. Basically, the authors took a bunch of data products, calculated different comparative statistics, and discussed some patterns. That said, the title accurately depicts the unfocused nature of the paper, so it should not come as a surprise. The authors did try to throw in some science by looking at controls over ET, but this only served to make the paper even longer and more spread thin. Moreover, this type of product review has already been done by Mueller, Jimenez and others, so the novelty here is light. The science focus and strength are mostly on the land surface models, while the remote sensing is noticeably weak (there might be zero ET remote sensing authors on the list of 15 authors). The balanced title does not reflect the unbalanced paper. In general, I liked the paper as a source for a lit review.

[**Response**] We thank the reviewer for the positive comments. We admit that our paper is long. It is mainly because our study included a plenty of ET products of different types and we reviewed their principles, advantages, disadvantages and future directions. However, we think these descriptions and discussions are necessary because they give readers a comprehensive understanding in the strengths and limitations of each ET model and shows them possible solutions for overcoming the uncertainties identified in our analyses. As you stated, Mueller et al. (2011) and Jimenez et al. (2011) conducted analyses on different ET products. Nevertheless, the focus of our paper is different from theirs. Mueller et al. (2011) mainly focused on comparing IPCC AR4

ET estimates and observations-based ET estimates. Jimenez et al. (2011) mainly focused on the intercomparison of the seasonal variability of different latent heat, sensible heat and net radiative heat fluxes. Few discussion on the source of uncertainty and suggestions for future development was given. In comparison, our study emphasized on the analyses of uncertainty sources in different types of ET estimations and on the solutions for overcoming these identified uncertainties. In addition, our study incorporated ET estimates from fourteen state-of-the-art land surface models joining in the Trends and Drivers of the Regional Scale Sources and Sinks of Carbon Dioxide (TRENDY) Project, which is our strength over the previous studies. We want to clarify that although there is no ET remote sensing author on the list of 15 authors of our first version, the parts regarding remote sensing-based physical models have similar length with that of land surface models and machine learning algorithms in the text. As a synthesis of ET estimates from different approaches, we didn't focus too much on either land surface models or remote sensing-based models. In addition, Steven W Running, an expert in the area of remote sensing based ET, joined our author team and proposed several constructive suggestions which improved our manuscript. We proposed that terrestrial ET also has a potential planetary boundary (Page32 Line617-629 of the revised manuscript).

According to the references you recommended, we added citations and several sentences about the future development of remote sensing based ET models (Page35 Line671-679 of the revised manuscript).

"Most existing remote sensing-based ET studies focused on total ET, however, the partitioning of ET between transpiration, soil evaporation, and canopy interception may have significant divergence even though the total ET is accurately estimated (Talsma et al., 2018b). In current remote sensing-based ET models, soil evaporation, which is sensitive to precipitation events and soil moisture, is the part with the largest error (Talsma et al., 2018a). Therefore incorporating the increasing accessible satellite-based precipitation, soil moisture observations and soil property data will contribute to the improvement of soil evaporation estimation. Meanwhile, the consideration of soil evaporation under herbaceous vegetation and canopy will also reduce the errors."

# References

Mueller, B., Seneviratne, S.I., Jimenez, C., Corti, T., Hirschi, M., Balsamo, G., Ciais, P., Dirmeyer, P., Fisher, J., Guo, Z. (2011) Evaluation of global observations - based evapotranspiration datasets and IPCC AR4 simulations. Geophysical Research Letters 38.

Jimenez, C., Prigent, C., Mueller, B., Seneviratne, S.I., McCabe, M., Wood, E., Rossow, W., Balsamo, G., Betts, A., Dirmeyer, P. (2011) Global intercomparison of 12 land surface heat flux estimates. Journal of Geophysical Research: Atmospheres 116.

Talsma, C., Good, S., Miralles, D., Fisher, J., Martens, B., Jimenez, C., Purdy, A. (2018a) Sensitivity of Evapotranspiration Components in Remote Sensing-Based Models. Remote Sensing 10, 1601.

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# To Reviewer #2:

We appreciate the reviewer for the positive comments. We have addressed the stated comments point-by-point. Below are the reviewer's comments, followed by our responses and changes in manuscript.

Sincerely, Shufen Pan (on behalf of the author team) \*\*\*\*\*

[**Reviewer #2 General Comment**] This paper was already well-written, especially for the detailed discussion of limitations and possible next steps of different ET products. The reviewer thus has a few minor suggestions for the authors to consider.

**[Response]** We appreciate the reviewer for the positive comments.

[**Reviewer #2 Specific Comment 1**] The remote-sensing based, machine learning, and LSMs ET were comprehensively intercompared. However, how is the performance of ET outputs from the Earth system models (e.g., those from CMIP5 and CMIP6) and the reanalysis? There must be a reason why the authors did not include them. But please clarify this or add these comparison results.

[**Response**] Thanks for pointing out this issue. We didn't include ET outputs from the Earth system models (e.g., those from CMIP5 and CMIP6) because previous study confirmed systematic biases in global terrestrial ET estimated by CMPI5 models (Mueller and Seneviratne, 2014) and CMIP6 data were not available when we conducted our analyses. Reanalysis systems which are built upon the assimilation of extensive disparate observations in a physically consistent manner are capable of providing the estimates for a broad range of variables (Balsamo et al., 2015; Rienecker et al., 2011). ET estimates derived from both atmospheric and off-line land reanalysis datasets have been evaluated at local, regional and global scales (Baik et al., 2018; Feng et al., 2019; Mao and Wang,

2017) and have been compared with estimates from other approaches (Jimenez et al., 2011; Mueller et al., 2013; Mueller et al., 2011). The objective of this study is to identify the uncertainty sources in each type of ET estimations. However, these reanalysis systems integrate multiple process modules, multi-source remote sensing observations and ground-based measurements, and multiple assimilation algorithms, which lead to the accumulation of systematic errors and makes it hard to identify the sources of errors in ET estimations at the global scale. For above-mentioned reasons, our analyses didn't include ET outputs from the Earth system models and the reanalysis.

[**Reviewer #2 Specific Comment 2**] In lines 245-246, you indicated the benchmarking products are from the machine learning and physicalbased satellite datasets. It seems confusing both here and in Figs 3, 5, and 7. For example, in Fig. 7, if the benchmark product is the simple combination of the two data

**[Response]** The ensemble mean of benchmark products was calculated as the mean value of all machine learning and physical-based satellite estimates (6 datasets for Fig. 3 and 5, and 5 datasets for Fig. 7) rather than the mean value of machine learning ensemble mean and satellite ensemble mean, since we treated each benchmark dataset equally. We have added the sentence describing the calculation of the ensemble mean of benchmark products in section 2.2 (Page11 Line243-245).

"The ensemble mean of benchmark products was calculated as the mean value of all machine learning and physical-based satellite estimates since we treated each benchmark dataset equally."

[**Reviewer #2 Specific Comment 3**] The Abstract seems quite long. Please double check if the Abstract length fits this particular journal.

**[Response]** We have double checked journal's requirements for manuscript, there is no particular limitation on the length of abstract. Following your comment, we have shortened the abstract.

"Evapotranspiration (ET) is critical in linking global water, carbon and energy cycles. Yet direct measurement of global terrestrial ET is not feasible. Here, we first summarized the basic theory and stateof-the-art approaches for estimating global terrestrial ET, including remote sensing-based physical models, machine learning algorithms and land surface models (LSMs). We then utilized four remote sensing-based physical models, two machine-learning algorithms and fourteen LSMs to analyze the spatial and temporal variations in global terrestrial ET. The results showed that the ensemble means of annual global terrestrial ET estimated by these three categories of approaches agreed well, ranging from 589.6 mm yr<sup>-1</sup> to 617.1 mm yr<sup>-1</sup>. For the period 1982-2011, both the ensembles of remote sensing-based physical models and machine-learning algorithms suggested positive trends in global terrestrial ET (0.62 mm yr<sup>-2</sup>, p<0.05 and 0.38 mm yr<sup>2</sup>, p<0.05, respectively). In contrast, the ensemble mean of LSMs showed no statistically significant change (0.23 mm yr<sup>-2</sup>, p>0.05), even though many of the individual LSMs reproduced a positive trend. Nevertheless, all the twenty models used in this study showed anthropogenic earth greening had a positive role in increasing terrestrial ET. The concurrent small inter-annual variability, i.e. relative stability, found in all estimates of global terrestrial ET, suggests there exists a potential planetary boundary in regulating global terrestrial ET, with the value being about  $6.74 \times 10^4$  km<sup>3</sup> yr<sup>-1</sup> (603 mm yr<sup>-1</sup>). Uncertainties among approaches were identified in specific regions, particularly in the Amazon Basin and arid/semi-arid regions. Improvements in parameterizing water stress and canopy dynamics, utilization of new available satellite retrievals and deep learning methods, and model-data fusion will advance efforts in terrestrial ET estimates.<sup>\*</sup>

[Reviewer #2 Specific Comment 4] In line 483, Fig. 5 does not have subfigures.

**[Response]** We are sorry for the wrong numbering. "Fig. 5c-d" in our previous manuscript should be "Fig. 8c-d". We have corrected this error in the main text.

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# List of relevant changes made in the manuscript

(1) We shortened the abstract.

(2) We added one section 4.1.6 which is focused on ET variability across precipitation gradient and the ET planetary boundary.

(3) We added one paragraph in section 4.2.1 discussing the estimation of soil evaporation by remote sensing models.

(4) We corrected a few grammatical mistakes.

(5) We added two coauthors: Julia E.M.S. Nabel and Steven W. Running.

1	Evaluation of global terrestrial evapotranspiration by state-of-the-art					
2	approaches in remote sensing, machine learning, and land surface models					
3	Shufen Pan <sup>1</sup> , Naiqing Pan <sup>1,2</sup> , Hanqin Tian <sup>1</sup> , Pierre Friedlingstein <sup>3</sup> , Stephen Sitch <sup>4</sup> , Hao Shi <sup>1</sup> ,					
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5	Lombardozzi <sup>10</sup> , Julia E.M.S. Nabel <sup>11</sup> , Catherine Ottle <sup>44</sup> Ottlé <sup>12</sup> , Benjamin Poulter <sup>12</sup> Poulter <sup>13,43</sup> ,	Fo	rmatted:	rmatted: Font: (Defau	rmatted: Font: (Default) Times	rmatted: Font: (Default) Times New Ro
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24	Abstract
34	Abstract
35	Evapotranspiration (ET) is a critical component in linkingglobal water cycle and links terrestrial
36	global water, carbon and energy cycles. Accurate estimate of terrestrial ET is important for
37	hydrological, meteorological, and agricultural research and applications, such as quantifying
38	surface energy and water budgets, weather forecasting, and scheduling of irrigation. However, Yet
39	direct measurement of global terrestrial ET is not feasible. Here, we first gave a retrospective
40	introduction to summarized the basic theory and recent developments of state-of-the-art approaches
41	for estimating global terrestrial ET,including remote sensing-based physical models, machine
42	learning algorithms and land surface models (LSMs). Then, wWe then utilized six remote sensing-
43	based models (including four remote sensing-based physical models-and, two machine-learning
44	algorithms) and fourteen LSMs to analyze the spatial and temporal variations in global terrestrial
45	ET. The results showed that the ensemble means of annual global terrestrial ET estimated by these
46	three categories of approaches agreed well, ranging from 589.6 mm yr <sup>-1</sup> to 617.1 mm yr <sup>-1</sup> -the mean

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47	annual global terrestrial ET ranged from 50.7×10 <sup>3</sup> km <sup>3</sup> yr <sup>-1</sup> (454 mm yr <sup>-1</sup> ) to 75.7×10 <sup>3</sup> km <sup>3</sup> yr <sup>-</sup>
48	$^{+}$ (697 mm yr <sup>-1</sup> ), with the average being 65.5×10 <sup>3</sup> km <sup>3</sup> yr <sup>-1</sup> (588 mm yr <sup>-1</sup> ), during 1982-2011. LSMs
49	had significant uncertainty in the ET magnitude in of tropical regions, especially the Amazon
50	Basin, while remote sensing based ET products showed larger inter-model range in arid and semi-
51	arid regions than LSMs. LSMs and remote sensing based physical models presented much larger
52	inter annual variability (IAV) of ET than machine learning algorithms in southwestern U.S. and
53	the Southern Hemisphere, particularly in Australia. LSMs suggested stronger control of
54	precipitation on ET IAV than remote sensing based models. For the period 1982-2011, both The
55	$\underline{\text{the}} \text{ ensemble} \underline{s \text{ of }} \text{ remote sensing-based physical models and machine-learning algorithm} \underline{s}$
56	suggested significant increasing positive trends in global terrestrial ET (at the rate of 0.62 mm yr
57	$^{2}$ , $(p<0.05)$ and 0.38 mm yr <sup>-2</sup> , $(p<0.05)$ , respectively). In contrast, the ensemble mean of LSMs
58	showed no statistically significant change (0.23 mm yr <sup>-2</sup> , $p$ >0.05), even though most-many of the
59	individual LSMs reproduced the <u>a increasingpositive</u> trend. <u>Nevertheless, all the twenty models</u>
60	used in this study showed anthropogenic earth greening had a positive role in increasing terrestrial
61	ET. The concurrent small inter-annual variability, i.e. relative stability, found in all estimates of
62	global terrestrial ET, suggests there exists a potential planetary boundary in regulating global
63	terrestrial ET, with the value being about 6.74×10 <sup>4</sup> km <sup>3</sup> yr <sup>-1</sup> (603 mm yr <sup>-1</sup> ). Moreover, all models
64	suggested a positive effect of vegetation greening on ET intensification. Spatially, all methods
65	showed that ET significantly increased in western and southern Africa, western India and
66	northeastern Australia, but decreased severely in southwestern U.S., southern South America and
67	Mongolia. Discrepancies in ET trend mainly appeared in tropical regions like the Amazon Basin.
68	The ensemble means of the three ET categories showed generally good consistency, however,
69	considerable-Uuncertainties among approaches were identified in specific regions, particularly in
I	

70	the Amazon Basin and arid/semi-arid regions. still exist in both the temporal and spatial variations	
71	in global ET estimates. The uncertainties were induced by multiple factors, including	
72	parameterization of land processes, meteorological forcing, lack of in situ measurements, remote	
73	sensing acquisition and scaling effects. Improvements in the representation of parameterizing water	
74	stress and canopy dynamics, are essentially needed to reduce uncertainty in LSM simulated ET.	
75	Utilization <u>utilization</u> of <u>latest new available</u> satellite <u>sensors retrievals</u> and deep learning methods,	
76	theoretical advancements in nonequilibrium thermodynamics, and application of integrated	
77	methods that fuse different ET estimates or relevant key biophysical variables will improve the	
78	accuracy of remote sensing based physical_modelsand model-data fusion will advance efforts in	
79	terrestrial ET estimates,	Formatted: Font: (Asian) SimSun
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83	Keywords: Evapotranspiration; Land surface models; Remote sensing; Machine learning.	Don't suppress line numbers
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#### 87 1. Introduction

Terrestrial evapotranspiration (ET) is the sum of the water loss to the atmosphere from plant tissues 88 89 via transpiration and that from the land surface elements including soil, plants and open water 90 bodies through evaporation. Processes controlling ET play a central role in linking the energy 91 (latent heat), water (moisture flux), and carbon cycles (photosynthesis-transpiration trade-off) in 92 the earth system.of the atmosphere, hydrosphere and biosphere. Over 60% of precipitation on the 93 land surface is returned to the atmosphere through ET (Oki and Kanae, 2006), and the 94 accompanying latent heat ( $\lambda$ ET,  $\lambda$  is the latent heat of vaporization) accounts for more than half of 95 the solar energy received by the land surface (Trenberth et al., 2009). ET is also coupled with the 96 carbon dioxide exchange between canopy and atmosphere through vegetation photosynthesis. 97 These linkages make ET an important variable in both the short-term numerical weather 98 predication and long-term climate simulations. Moreover, ET is an criticalexcellent indicator for 99 ecosystem functionings across a variety of spatial scales. For enhancing our predictive 100 understanding of earth system and sustainability, therefore, it is essential to aAccurately assess 101 estimation of land surface ET in a changing global environmentand understanding of the 102 underlying mechanisms that affect ET variability are therefore essentially required to address a 103 series of climatic, hydrological, ecological and economic issues such as global warming, runoff 104 yield, droughts, and agricultural production.

However, there still exists large uncertainty still exists in quantifying the magnitude of global terrestrial ET and its spatial and temporal patterns, despite extensive research (Allen et al., 1998;
Liu et al., 2008; Miralles et al., 2016; Mueller et al., 2011; Tian et al., 2010). The previous estimates of global land mean annual ET range from 417 mm year<sup>-1</sup> to 650 mm year<sup>-1</sup> for the whole or part of the 1982-2011 period (Mu et al., 2007; Mueller et al., 2011; Vinukollu et al., 2011a;

110 Zhang et al., 2010). This large discrepancy among independent studies may be attributed to lack of sufficient measurements, uncertainty in forcing data, inconsistent spatial and temporal 111 112 resolutions, ill-calibrated model parameters and deficiencies in model structures. Of the four 113 components of ET (transpiration, soil evaporation, canopy interception, and open-water 114 evaporation), transpiration  $(T_y)$  contributes the largest uncertainty, as it is modulated not only by 115 surface meteorological conditions and soil moisture but also by the physiology and structures of 116 plants. Changes in non-climatic factors such as elevated atmospheric CO<sub>2</sub>, nitrogen deposition, and land covers also serve as influential drivers of  $T_v$  (Gedney et al., 2006; Mao et al., 2015; Pan 117 118 et al., 2018b; Piao et al., 2010). As such, the global ratio of transpiration to ET ( $T_v/ET$ ) has long 119 been of debate, with the most recent observation-based estimate being 0.64±0.13 constrained by 120 the global water-isotope budget (Good et al., 2015). Most earth system models are thought to 121 largely underestimate  $T_v$ /ET (Lian et al., 2018).

122 Global warming is expected to accelerate the hydrological cycle (Pan et al., 2015). For the period, 123 1982 to the late 1990s, ET was reported to increase by about 7 mm ( $\sim$ 1.2%) per decade driven by 124 rising an increase in radiative forcing and consequently global and regional temperatures (Douville et al., 2013; Jung et al., 2010; Wang et al., 2010). The contemporary near-surface specific humidity 125 126 also increased over both land and ocean (Dai, 2006; Simmons et al., 2010; Willett et al., 2007). 127 More recent studies confirmed that, since the 1980s, global ET has showeds an overall increase 128 (Mao et al., 2015; Yao et al., 2016; Zeng et al., 2018a; Zeng et al., 2012; Zeng et al., 2016; Zhang 129 et al., 2015; Zhang et al., 2016b). However, the magnitude and spatial distribution of such a trend are far from determined. Over the past 50 years, pan evaporation decreased throughout the world 130 131 (Fu et al., 2009; Peterson et al., 1995; Roderick and Farquhar, 2002), implying an declining tendency of increase in actual ET given the pan evaporation paradox. Moreover, the increase in 132

global terrestrial ET was found to cease or <u>even</u> be <u>even</u>-reversed during 1998 to 2008, primarily due to the decreased soil moisture supply in the Southern Hemisphere (Jung et al., 2010). To reconcile the disparity, Douville et al. (2013) argued that the peak ET in 1998 should not be taken as a tipping point because ET was estimated to increase in the multi-decadal evolution. More efforts are needed to understand the spatial and temporal variations of global terrestrial ET and the underlying mechanisms that control its magnitude and variability.

139 Conventional techniques, such as lysimeter, eddy covariance, large aperture scintillometer and the 140 Bowen ratio method, are capable of providing ET measurements at point and local scales (Wang 141 and Dickinson, 2012). However, it is difficult-impossible to directly measure ET at the global scale 142 because dense global coverage by such instruments is not feasible and the representativeness of 143 point-scale measurements to comprehensively represent the spatial heterogeneity of global land 144 surface is also doubtful (Mueller et al., 2011). To address this issue, numerous approaches have 145 been proposed in recent years to estimate global terrestrial ET and these approaches can be divided 146 into three main categories: 1) remote sensing-based physical models, 2) machine learning methods, 147 and 3) land surface models (Miralles et al., 2011; Mueller et al., 2011; Wang and Dickinson, 2012). Knowledge of the uncertainties in global terrestrial ET estimates from different approaches is the 148 149 prerequisite for future projection and many other applications. In recent years, several studies have compared multiple terrestrial ET estimates (Khan et al., 2018; Mueller et al., 2013; Wartenburger 150 151 et al., 2018; Zhang et al., 2016b). However, most of these studies just-analyzed multiple datasets 152 of the same approach or focused on investigating similarities and differences among different 153 approaches. Few studies have been conducted to identify uncertainties in multiple estimates of 154 different approaches.

In this study, we integrate state-of-the-art estimates of global terrestrial ET, including data-driven 155 156 and process-based estimates, to assess its spatial pattern, inter-annual variability, environmental 157 drivers, long-term trend, and reaction response to vegetation greening. Our goal is not to compare the various models and choose the best one, but to identify the uncertainty sources in each type of 158 159 estimate and provide suggestions for future model development. In the following sections, we first 160 have a brief introduction to all methodological approaches and ET datasets used in this study. 161 Second, weWe then quantify the spatiotemporal variations in global terrestrial ET during the 162 period 1982-2011 by analyzing the results from the current state-of-the-art models. Finally, we 163 discuss the requiredsome suggested solutions for overcoming reducing the uncertainties 164 identified identified uncertainties.

165 2. Methodology and data sources

166 2.1 Overview of approaches to global ET estimation

#### 167 2.1.1 Remote sensing-based physical models

168 Satellite remote sensing has been widely recognized as a promising tool to estimate global ET, because it is capable of providing spatially and temporally continuous measurements of critical 169 170 biophysical parameters affecting ET, including vegetation states, albedo, fraction of absorbed 171 photosynthetically active radiation, land surface temperature and plant functional types (Li et al., 2009). Since the 1980s, a large number of methods have been developed using a variety of satellite 172 173 observations (Zhang et al., 2016a). However, part of these methods such as surface energy balance 174 (SEB) models and surface temperature-vegetation index (Ts-VI) space methods are usually applied 175 at local and regional scales. At the global scales, the vast majority of existing remote sensing-based 176 physical models can be categorized into two groups: the Penman-Monteith (PM) based and the 177 Priestley-Taylor (PT) based models.

#### 178 A) Remote sensing models based on Penman-Monteith equation

The Penman equation, derived from the Monin-Obukhov similarity theory and surface energy balance, uses surface net radiation, temperature, humidity, wind speed and ground heat flux to estimate ET from an open water surface. For vegetated surfaces, canopy resistance was introduced into the Penman equation by Monteith (Monteith, 1965) and the PM equation is formulated as:

183 
$$\lambda \text{ET} = \frac{\Delta (R_n - G) + \rho_a C_p VPD/r_a}{\Delta + \gamma (1 + \frac{r_s}{r_a})}$$
(1)

where  $\Delta$ , R<sub>n</sub>, G,  $\rho_a$ , C<sub>p</sub>,  $\gamma$ , r<sub>s</sub>, r<sub>a</sub>, VPD are the slope of the curve relating saturated water vapor 184 185 pressure to air temperature, net radiation, soil heat flux, air density, the specific heat of air, 186 psychrometric constant, surface resistance, aerodynamic resistance and vapor pressure deficit, 187 respectively. The canopy resistance term in the PM equation exerts a strong control on 188 transpiration. For example, based on the algorithm proposed by Cleugh et al. (2007), the MODIS 189 (Moderate Resolution Imaging Spectroradiometer) ET algorithm improved the model performance through inclusion of environmental stress into canopy conductance calculation and explicitly 190 accounted for soil evaporation (Mu et al., 2007). Further, Mu et al. (2011) improved the MODIS 191 192 ET algorithm by considering nighttime ET, adding soil heat flux calculation, separating dry canopy 193 surface from the wet, and dividing soil surface into saturated wet surface and moist surface. 194 Similarly, Zhang et al. (2010) developed a Jarvis-Stewart-type canopy conductance model based 195 on normalized difference vegetation index (NDVI) to take advantage of the long-term Advanced 196 Very High Resolution Radiometer (AVHRR) dataset. More recently, this model was improved by 197 adding a CO<sub>2</sub> constraint function in the canopy conductance estimate (Zhang et al., 2015). Another 198 important revision for the PM approach is proposed by Leuning et al. (2008). The Penman-199 Monteith-Leuning method adopts a simple biophysical model for canopy conductance, which can 200 account for influences of radiation and atmospheric humidity deficit. Additionally, it introduces a

simpler soil evaporation algorithm than that proposed by Mu et al. (2007), which potentially makes
it attractive to use with remote sensing. However, PM-based models have one intrinsic weakness:
temporal upscaling which is required in translating instantaneous ET estimation into a longer timescale value (Li et al., 2009). This could be easily done at the daily scale under clear-sky conditions
but faces challenge at weekly to monthly time-scales due to lack of the cloud coverage information.
B) Remote sensing models based on Priestley-Taylor equation

The Priestley–Taylor (PT) equation is a simplification of the PM equation without parameterizing
aerodynamic and surface conductances (Priestley and Taylor, 1972) and can be expressed as:

209

$$\lambda \text{ET} = f_{stress} \times \alpha \times \frac{\Delta}{\Delta + \gamma} \times (R_n - G) \tag{2}$$

210 where  $f_{stress}$  is a stress factor and is usually computed as a function of environmental conditions.  $\alpha$ 211 is the PT parameter with a value of 1.2-1.3 under water unstressed conditions and can be estimated 212 using remote sensing. Although the original PT equation works well in estimating potential ET 213 across most surfaces, the Priestley-Taylor coefficient,  $\alpha$ , usually needs adjustment to convert 214 potential ET to actual ET (Zhang et al., 2016a). Instead, Fisher et al. (2008) developed a modified 215 PT model that keeps  $\alpha$  constant but scales down potential ET by ecophysiological constraints and 216 soil evaporation partitioning. The accuracy of their model has been validated against eddy 217 covariance measurements conducted at a wide range of climates and plant functional types (Fisher 218 et al., 2009; Vinukollu et al., 2011b). Following this idea, Yao et al. (2013) further developed a 219 modified Priestley-Taylor algorithm that constrains soil evaporation using the Apparent Thermal 220 Inertia derived index of soil water deficit. Miralles et al. (2011) also proposed a novel PT type 221 model, Global Land surface Evaporation: the Amsterdam Methodology (GLEAM). GLEAM 222 combines a soil water module, a canopy interception model and a stress module within the PT 223 equation. The key distinguishing features of this model are the use of microwave-derived soil

moisture, land surface temperature and vegetation density, and the detailed estimation of rainfall interception loss. In this way, GLEAM minimizes the dependence on static variables, avoids the need for parameter tuning, and enables the quality of the evaporation estimates to rely on the accuracy of the satellite inputs (Miralles et al., 2011). Compared with the PM approach, the PT based approaches avoid the computational complexities of aerodynamic resistance and the accompanying error propagation. However, the many simplifications and semi-empirical parameterization of physical processes in the PT based approaches may lower its accuracy.

# 231 2.1.2 <u>VIVegetation index</u>-based empirical algorithms and machine learning methods

232 The principle of empirical ET algorithms is to link observed ET to its controlling environmental 233 factors through various statistical regressions or machine learning algorithms of different 234 complexities. The earliest empirical regression method was proposed by Jackson et al. (1977). At 235 present, the majority of regression models are based on vegetation indices (Glenn et al., 2010), 236 such as NDVI and enhanced vegetation index (EVI), because of their simplicity, resilience in the 237 presence of data gaps, utility under a wide range of conditions and connection with vegetation 238 transpiration capacity (Maselli et al., 2014; Nagler et al., 2005; Yuan et al., 2010). As an alternative 239 to statistical regression methods, machine learning algorithms have been gaining increased 240 attention for ET estimation for due to their ability to capture the complex nonlinear relationships between ET and its controlling factors (Dou and Yang, 2018). Many conventional machine 241 242 learning algorithms, such as artificial neural networks, random forest, and support vector machine 243 based algorithms have been applied in various ecosystems (Antonopoulos et al., 2016; Chen et al., 2014; Feng et al., 2017; Shrestha and Shukla, 2015) and have proved to be more accurate in 244 245 estimating ET than simple regression models (Antonopoulos et al., 2016; Chen et al., 2014; Kisi 246 et al., 2015; Shrestha and Shukla, 2015; Tabari et al., 2013). In up-scaling FLUXNET ET to the

global scale, Jung et al. (2010) used the model tree ensemble method to integrate eddy covariance
measurements of ET with satellite remote sensing and surface meteorological data. In a latest
<u>recent</u> study (Bodesheim et al., 2018), the random forest approach was used to derive global ET at
a half-hourly time-scale.

## 251 2.1.3 Process-based land surface models (LSMs)

252 Although satellite-derived ET products have provided quantitative investigations of historical 253 terrestrial ET dynamics, they can only cover a limited temporal record of about four decades. To 254 obtain terrestrial ET before 1980s and predict future ET dynamics, LSMs are needed, as they are 255 able to represent a large number of interactions and feedbacks between physical, biological, and 256 biogeochemical processes in a prognostic way (Jimenez et al., 2011). ET simulation in LSMs is 257 regulated by multiple biophysical and physiological properties or processes, including but not 258 limited to stomatal conductance, leaf area, root water uptake, soil water, runoff and sometimes 259 nutrient uptake (Famiglietti and Wood, 1991; Huang et al., 2016; Lawrence et al., 2007). Although 260 almost all current LSMs have these components, different parameterization schemes result in 261 substantial differences in ET estimation (Wartenburger et al., 2018). Therefore, in recent years, 262 the multi-model ensemble approach has become popular in quantifying magnitude, spatiotemporal 263 pattern and uncertainty of improving the accuracy of global terrestrial ET-estimation (Mueller et 264 al., 2011; Wartenburger et al., 2018). Yao et al. (2017) showed that a simple model averaging 265 method or a Bayesian model averaging method is superior to each individual model in predicting 266 terrestrial ET.

## 267 2.2 Description of ET datasetsmodels used in this study

In this study, we evaluate twenty ET products that are based on remote sensing-based physicalmodels, machine-learning algorithms, and LSMs to investigate the magnitudes and spatial patterns

of global terrestrial ET over recent decades. Table 1 lists the input data, adopted ET algorithms,
limitations, and references for each product. We use a simple model averaging method when
calculating the mean value of multiple models.

273 Four physically-based remote sensing datasets, including Process-based Land Surface 274 Evapotranspiration/Heat Fluxes algorithm (P-LSH), Global Land surface Evaporation: the 275 Amsterdam Methodology (GLEAM), Moderate Resolution Imaging Spectroradiometer (MODIS) 276 and PML-CSIRO (Penman-Monteith-Leuning), and two machine-learning datasets, including 277 Random Forest (RF) and Model Tree Ensemble (MTE), are used in our study. Both machine 278 learning and physical-based remote sensing datasets (totally six datasets) were considered as 279 benchmark products. The ensemble mean of benchmark products was calculated as the mean value 280 of all machine learning and physical-based satellite estimates, since we treated each benchmark 281 dataset equally.

P-LSH, MODIS and PML-CSIRO Three of the four remote sensing-based physically based models 282 283 quantify ET through PM approaches. P-LSH adopts a modified PM approach coupling with biomespecific canopy conductance determined from NDVI (Zhang et al., 2010). The modified P-LSH 284 285 model used in this study also accounts for the influences of atmospheric CO<sub>2</sub> concentrations and 286 wind speed on canopy stomatal conductance and aerodynamic conductance (Zhang et al., 2015). 287 MODIS ET model is based on the algorithm proposed by Cleugh et al. (2007). Mu et al. (2007) 288 improved the model performance through the inclusion of environmental stress into canopy 289 conductance calculation, and explicitly accounting for soil evaporation by combing 290 complementary relationship hypothesis with PM equation. The MODIS ET product (MOD16A3) 291 used in this study was further improved by considering night-time ET, simplifying vegetation 292 cover fraction calculation, adding soil heat flux item, dividing saturated wet and moist soil,

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293 separating dry and wet canopy, as well as modifying algorithms of aerodynamic resistance, 294 stomatal conductance, and boundary layer resistance (Mu et al., 2011). PML-CSIRO adopts the 295 Penman-Monteith-Leuning algorithm, which calculates surface conductance and canopy 296 conductance by a biophysical model instead of classic empirical models. The maximum stomatal 297 conductance is estimated using the trial-and-error method (Zhang et al., 2016b). Furthermore, for 298 each grid covered by natural vegetation, the PML-CSIRO model constrains ET at the annual scale 299 using the Budyko hydrometeorological model proposed by Fu (1981). GLEAM ET calculation is 800 based on the PT equation, which requires less-fewer model inputs than PM equation, and the 301 majority of these inputs can be directly achieved from satellite observations. Its rationale is to 302 make the most of information about evaporation contained in the satellite-based environmental 303 and climatic observations (Martens et al., 2017; Miralles et al., 2011). Key variables including air 304 temperature, land surface temperature, precipitation, soil moisture, vegetation optical depth and 305 snow-water equivalent are satellite-observed. Moreover, the extensive usage of microwave remote 306 sensing products in GLEAM ensures the accurate estimation of ET under diverse weather 307 conditions. Here, we use the GLEAM  $v_{3.2}$  version which has overall better quality than previous 308 version (Martens et al., 2017).

The <u>first used machine learning model</u>, MTE, <u>approach</u> is based on the Tree Induction Algorithm (TRIAL) and Evolving Trees with Random Growth (ERROR) algorithm (Jung et al., 2009). The TRIAL grows model trees from the root node and splits at each node with the criterion of minimizing the sum of squared errors of multiple regressions in both subdomains. ERROR is used to select the model trees that are independent from each other and have best performances under Schwarz criterion. Canopy fraction of absorbed photosynthetic active radiation (fAPAR), temperatures, precipitation, relative humidity, sunshine hours, and potential radiation are used as 816 explanatory variables to train MTE (Jung et al., 2011). The second machine learning model is the 817 rationale of random forest (RF) algorithm whose rationale is generating a set of independent 318 regression trees through randomly selecting training samples automatically (Breiman, 2001). Each 319 regression tree is constructed using samples selected by bootstrap sampling method. After fixing 320 individual tree in entity, the final result is determined by simple averaging. One merit of RF algorithm is its capability of handling complicated nonlinear problems and high dimensional data 321 (Xu et al., 2018). For the RF product used in this study, multiple explanatory variables including 322 323 enhanced vegetation index, fAPAR, leaf area index, daytime and nighttime land surface 324 temperature, incoming radiation, top of atmosphere potential radiation, index of water availability 325 and relative humidity were used to train regression trees (Bodesheim et al., 2018).

326 The fourteen LSMs-derived ET products were from the Trends and Drivers of the Regional Scale 327 Sources and Sinks of Carbon Dioxide (TRENDY) Project (including CABLE, CLASS-CTEM, CLM45, DLEM, ISAM, JSBACH, JULES, LPJ-GUESS, LPJ-wsl, LPX-Bern, O-CN, 328 329 ORCHIDEE, ORCHIDEE-MICT and VISIT). Daily gridded meteorological reanalyses from the 330 CRU-NCEPv8 dataset (temperature, precipitation, long- and short-wave incoming radiation, wind-331 speed, humidity, air pressure) were used to drive the LSMs. The TRENDY simulations were 332 performed in year 2017 and contributed to the Global Carbon Budget reported in Le Quéré et al. (2018). We used the results of S3 experiment of TRENDY<sub>V</sub>6 (with changing  $CO_2$ , climate and 333 834 land use) over the period 1860-20161982-2011, a time period consistent with other products derived from remote sensing-based physical models and machine-learning algorithms. 835

**336 2.3 Description of other datasets** 

837 To quantify the contributions of vegetation greening to terrestrial ET variations, we used the LAI of TRENDY<sub>V</sub>6 S3 experiment. We also used the newest version of the Global Inventory Modeling 338 339 and Mapping Studies LAI data (GIMMS LAI3gV1) as satellite-derived LAI. GIMMS LAI3gV1 340 was generated from AVHRR GIMMS NDVI3g using an Artificial Neural Network (ANN) derived 341 model (Zhu et al., 2013). It covers the period 1982 to 2016 with bimonthly frequency and has a  $1/12^{\circ}$  spatial resolution. To achieve a uniform resolution, all data were resampled to  $1/2^{\circ}$  using the 342 343 nearest neighbour method. According to Following Pan et al. (2018a), grids with an annual mean B44 NDVI<0.1 were thought-assumed to be non-vegetated regions and were therefore masked out. B45 NDVI data were-are from GIMMS NDVI3gV1 dataset. Temperature, precipitation and radiation 346 are from CRU-NCEPv8.

# 347 2.4 Statistical analysis

The significance of ET trends is analyzed using the Mann-Kendall (MK) test (Kendall, 1955; Mann, 1945). It is a rank-based non-parametric method that has been widely applied for detecting a trend in hydro-climatic time series (Sayemuzzaman and Jha, 2014; Yue et al., 2002). The Theil-Sen estimator was applied to estimate the magnitude of the slope. The advantage of this method over ordinary least squares estimator is that it limits the influence of the outliers on the slope (Sen, 1968).

Terrestrial ET IAV is mainly controlled by variations in temperature, precipitation, and shortwave solar radiation (Zeng et al., 2018b; Zhang et al., 2015). In this study, we performed partial correlation analyses between ET and these three climatic variables at <u>an</u> annual scale for each grid cell to explore climatic controls on ET IAV. Variability caused by climatic variables was assessed through the square of partial correlation coefficients between ET and temperature, precipitation, and radiation. We chose partial correlation analysis because it can quantify the linkage between ET and <u>a</u> single environmental driving factor while controlling the effects of other remaining environmental factors. Partial correlation analysis is a widely applied statistical tool to isolate the relationship between two variables from the confounding effects of many correlated variables (Anav et al., 2015; Jung et al., 2017; Peng et al., 2013). All variables were first detrended in the statistical correlation analysis since we focus on the inter-annual relationship. The study period is from 1982 to 2011 for all models except MODIS and Rand Forest whose temporal coverage is limited to 2001-2011 because of data availability.

To quantify the contribution of vegetation greening to terrestrial ET, we separated the trend in terrestrial ET into four components induced by climatic variables and vegetation dynamics by establishing a multiple linear regression model between global ET and temperature, precipitation, shortwave radiation, and LAI (Eq. 3-4):

371 
$$\delta(ET) = \frac{\partial(ET)}{\partial(LAI)} \delta(LAI) + \frac{\partial(ET)}{\partial T} \delta(T) + \frac{\partial(ET)}{\partial(P)} \delta(P) + \frac{\partial(ET)}{\partial R} \delta(R) + \varepsilon$$
(3)

(4)

372 
$$\delta(ET) = \gamma_{ET}^{LAI} \delta LAI + \gamma_{ET}^{T} \delta T + \gamma_{ET}^{P} \delta P + \gamma_{ET}^{R} \delta R + \varepsilon$$

373  $\gamma_{ET}^{LAI}$ ,  $\gamma_{ET}^{T}$ ,  $\gamma_{ET}^{P}$ ,  $\gamma_{ET}^{R}$  are the sensitivities of ET to leaf area index (LAI), air temperature (T), 374 precipitation (P), and radiation (R), respectively.  $\varepsilon$  is the residual, representing the impacts of other 375 factors.

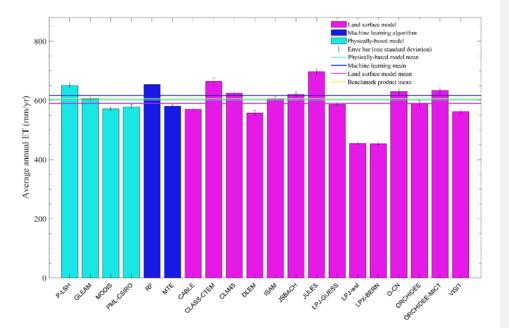
After calculating  $\gamma_{ET}^{LAI}$ ,  $\gamma_{ET}^{T}$ ,  $\gamma_{ET}^{P}$ ,  $\gamma_{ET}^{R}$ , the contribution of trend in factor i (Trend(i)) for to the trend in ET (Trend(ET)) can be quantified as follows:

378 
$$Contri(i) = (\gamma_{ET}^{i} \times Trend(i))/Trend(ET)$$
(5)

In performing multiple linear regression, we used GIMMS LAI for both remote sensing-based
physical models and machine learning methods, and used-individual TRENDYv6 LAI for each
TRENDY model. <u>The gridded data of t</u>Temperature, precipitation and radiation are from CRUNCEPv8

#### 383 **3. Results**

# 384 3.1 The ET magnitude estimated by multiple models



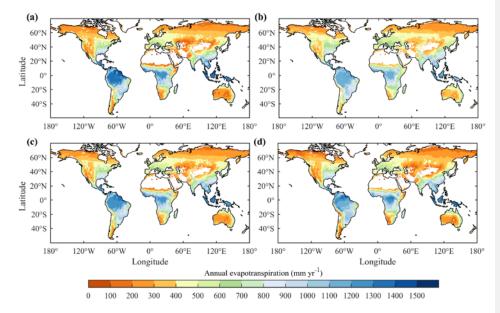
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Figure 1. Average annual global terrestrial ET estimated by each model during the period 20012011. Error bars represent the standard deviation of each <u>datasetmodel</u>. The four lines indicate the
mean value of each category.

The multi-year ensemble mean of annual global terrestrial ET during 2001-2011 derived by the machine learning methods, remote sensing-based physical models-methods and TRENDY models agreed well, ranging from 589.6 mm yr<sup>-1</sup> to 617.1 mm yr<sup>-1</sup>. However, substantial differences existed among individual datasets-models (Fig. 1). LPJ-wsl (455.3 mm yr<sup>-1</sup>) and LPX-Bern (453.7 mm yr<sup>-1</sup>) estimated significantly lower ET than other models, even in comparison with most previous studies focusing on earlier periods (Table S1). In contrary, JULES gave the largest ET estimate (697.3 mm yr<sup>-1</sup>, equals to 7.57×10<sup>4</sup> km<sup>3</sup> yr<sup>-1</sup>) among <u>all models used in this study</u>, and
showed an obvious increase of ET compared to its estimation during 1950-2000 (6.5×10<sup>4</sup> km<sup>3</sup> yr<sup>-1</sup>,
Table S1).

## 398 3.2 Spatial patterns of global terrestrial ET

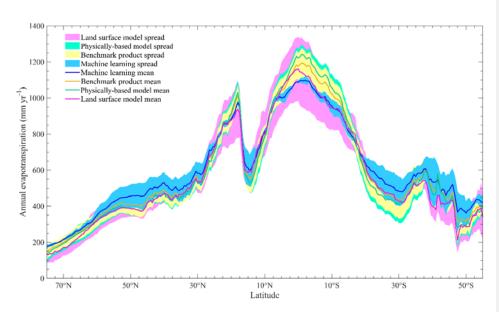
399 As shown in Fig. 2, the spatial patterns of multi-year average annual ET derived by of different 400 approaches categories were similar. ET was the highest in the tropics and low in northern high 401 latitudes and arid regions such as Australia, central Asia, western U.S., and Sahel. Compared to 402 remote sensing-based physical models and LSMs, machine-learning methods obtained a smaller 403 spatial gradient. In general, latitudinal profiles of ET estimated by different approaches were also 404 consistent (Fig. 3). However, machine-learning methods gave higher ET estimate at high latitudes 405 and lower ET in the tropics compared to other approaches. In the tropics, LSMs have significant 406 larger uncertainties than benchmark products, and the standard deviation of LSMs is about two 407 times as large as that of benchmark products (Fig. 3). In other latitudes, LSMs and benchmark ET 408 products have generally comparable uncertainties. The largest difference in ET of different 409 categories was found in the Amazon Basin (Fig. 2). In most regions of the Amazon Basin, the 410 mean ET of remote sensing physical models are more than 200mm  $\underline{yr^1}$  higher than the mean ET 411 of LSMs and machine-learning methods. For individual ET estimates, the largest uncertainty was 412 also found in the Amazon Basin. MODIS, VISIT and CLASS-CTEM estimated that annual ET 413 was larger than 1300 mm in the majority of Amazon, whereas JSBACH and LPJ-wsl estimated 414 ET of smaller than 800 mm yr<sup>-1</sup> (Fig. S1). As is shown in Fig. S2, the differences in ET estimates 415 among TRENDY models were larger than those among benchmark estimates in-for tropical and 416 humid regions. The uncertainty of ET estimates by LSMs is particularly large in the Amazon Basin 417 where the standard deviation of LSMs estimates is more than two times as large as that of benchmark estimates. It is noteworthy that, in arid and semi-arid regions such as western Australia,
central Asia, northern China and western US, the differences in ET estimates among LSMs is
significantly smaller than those among remote sensing models and machine learning algorithms.



422 Figure 2. Spatial distributions of mean annual ET derived from (a) remote sensing-based physical
423 models, (b) machine-learning algorithms, (c) benchmark datasets and (d) TRENDY LSMs

424 ensemble mean, respectively.

421



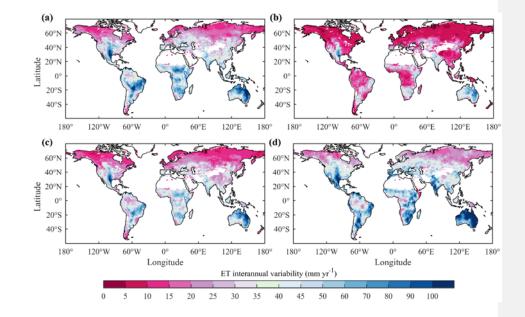
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Figure 3. Latitudinal profiles of mean annual ET for different categories of models. Each line
represents the mean value of the corresponding category and the shading represents the interval of
one standard deviation.

## 429 3.3 Inter-annual variations in global terrestrial ET

430 The ensemble mean inter-annual variability (IAV) of remote sensing ET estimates and LSMs ET 431 estimates showed similar spatial patterns (Fig. 4). Both remote sensing physical models and LSMs 432 presented low IAV in ET in northern high latitudes but high IAV in ET in southwestern U.S, India, 433 south Sahara Africa, Amazon and Australia. In contrast, IAV of machine-learning based ET was 434 much weaker. In most regions, IAV of machine learning ET is smaller than 40% of IAV of remote 435 sensing physical ET and LSMs ET, and this phenomenon is especially pronounced in tropical 436 regions. Further investigation into the spatial patterns of ET IAV for individual models showed 437 that the two machine-learning methods performed equally in estimating spatial patterns of ET IAV

438 (Fig. S4). In contrast, differences in ET IAV among remote sensing physical estimates and LSMs 439 estimates were much larger. LSMs showed the largest differences in IAV of ET in tropical regions. For example, CABLE and JULES obtained an ET IAV of smaller than 15 mm yr<sup>-1</sup> in most regions 440 of the Amazon Basin, while LPJ-GUESS predicted an ET IAV of larger than 60 mm yr<sup>-1</sup>. Figure 441 442 5 showed that, in the north of 20°S, remote sensing physical ET and LSMs ET had comparable 443 IAV, but IAV of the machine learning based ET was much smaller. In the region south of 20°S, 444 TRENDY ET showed the largest IAV, followed by those of remote sensing physical ET and 445 machine learning estimates. The three categories of modelsapproaches agreed on that ET IAV in 446 the Southern Hemisphere was generally larger than that in the Northern Hemisphere.

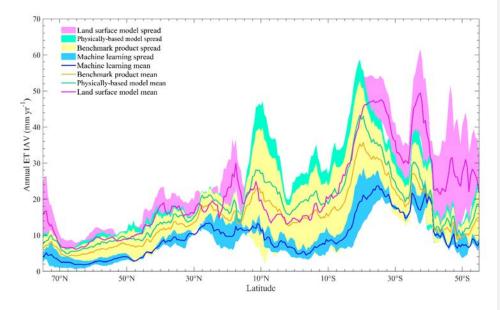


448 Figure 4. Spatial distributions of the inter-annual variability in ET derived from (a) remote
449 sensing-based physical models, (b) machine learning algorithms, (c) benchmark datasets, and (d)

447

## 450 TRENDY LSMs ensemble mean, respectively. The study period used in this study for inter-annual

451 variability analysis is from 1982 to 2011.



452

Figure 5. Latitudinal profiles of ET IAV for different categories of models. Each line represents
the mean value of the corresponding category and the shading represents the interval of one
standard deviation.

#### 456 3.4 Climatic controls on ET

According to the ensemble remote sensing models, temperature and radiation dominated ET IAV
in the-northern Eurasia, northern and eastern North America, southern China, the Congo River
Basin and the southern Amazon River Basin, while precipitation dominated ET IAV in arid regions
and semi-arid regions (Fig. 6a). The ensemble machine-learning algorithms had a similar pattern,
but suggested a stronger control of radiation in the Amazon Basin and a weaker control of

precipitation in several arid regions such as central Asia and northern Australia (Fig. 6b). In 462 463 comparison, the ensemble LSMs suggested the strongest control of precipitation on ET IAV (Fig. 464 6). According to the ensemble LSMs, ET IAV was dominated by precipitation IAV in most regions 465 of the Southern Hemisphere and northern low latitudes. Temperature and radiation only controlled 466 northern Eurasia, eastern Canada and part of the Amazon Basin (Fig. 6d). As is shown in Fig. S6, the majority of LSMs agreed on the dominant role of precipitation in controlling ET in regions 467 468 south of 40°N. However, the pattern of climatic controls in the ORCHIDEE-MICT model is quite 469 unique and different from all other LSMs. According to the ORCHIDEE-MICT model, radiation 470 and temperature dominate ET IAVs in more regions, and precipitation only controls ET IAVs in eastern Brazil, northern Russia, central Europe and a part of tropical Africa. Since ORCHIDEE-471 472 MICT was developed from ORCHIDEE, the dynamic root parameterization in ORCHIDEE-MICT 473 may explain why ET is less driven by Precipitation precipitation compared to ORCHIDEE (Haverd 474 et al., 2018). It is noted that two machine learning algorithms MTE and RF had significant 475 discrepancies in the spatial pattern of dominant climatic factors. According to the result of MTE, temperature controlled ET IAV in regions north of 45°N, eastern US, southern China and the 476 Amazon basin (Fig. S6e). By contrast, RF suggested that precipitation and radiation dominated ET 477 478 IAV in these regions (Fig. S6f).

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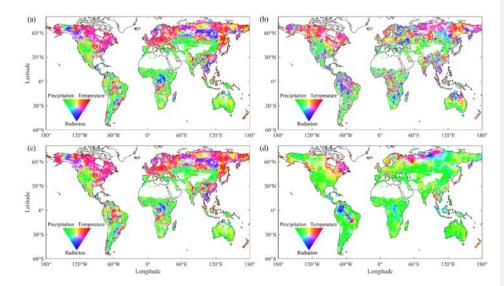
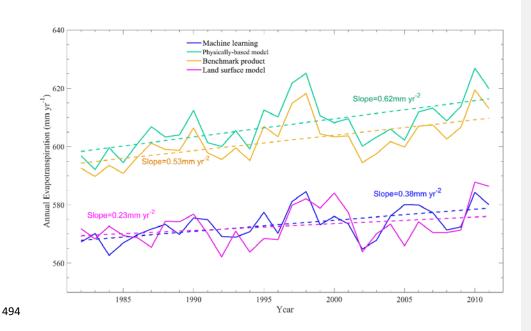


Figure 6. Spatial distributions of climatic controls on inter-annual variation of ET derived from
the ensemble means of remote sensing-based physical models (a), machine learning algorithms
(b), benchmark data (c), and TRENDY LSMs (d). (red: temperature; green: precipitation; and blue:
radiation).

## 485 3.5 Long-term trends in global terrestrial ET

480

486 All approaches suggested an overall increasing trend in global ET during the period 1982-2011 (Fig. 7), although ET decreased over 1998-2009. This result is consistent with previous studies 487 (Jung et al., 2010; Lian et al., 2018; Zhang et al., 2015). Remote sensing physical models indicated 488 the largest increase in ET (0.62 mm yr<sup>-2</sup>), followed by the machine-learning method (0.38 mm yr<sup>-</sup> 489 <sup>2</sup>), and land surface models (0.23 mm yr<sup>-2</sup>). Mean ET of all categories except TRENDY 490 models LSMs significantly increased during the study period (p < 0.05). It is noted that the ensemble 491 492 mean ET of different categories are statistically correlated with each other (p<0.001), even <u>if</u> the 493 driving forces of different ET approaches are different.



495 Figure 7. Inter-annual variations in global terrestrial ET estimated by different categories of496 approaches.

497 All remote sensing and machine learning estimates indicate a significant increasing positive trend in ET during the study period (p < 0.05), although the increase rate of P-LSH (1.07 mm yr<sup>-2</sup>) is more 498 than three times as large as that of GLEAM (0.33 mm yr<sup>-2</sup>). Nevertheless, there is a larger 499 500 discrepancy among LSMs in terms of ET trend. The majority of LSMs (10 of 14) suggest an 501 increasing a positive trend with the average trend of 0.34 mm yr<sup>-2</sup> (p<0.05), and eight of them are statistically significant (see Table 2). However, four LSMs (JSBACH, JULES, ORCHIDEE and 502 503 ORCHIDEE-MICT) suggest a decreasing negative trend with the average trend of -0.12 mm yr<sup>-2</sup> (p>0.05). Among the four negative trends, and only the trend of ORCHIDEE-MICT (-0.34 mm 504 505  $yr^{-2}$ ) is statistically significant (*p*<0.05).

506 According to Fig. 8, the ensemble means of all the three approaches showed positive increasing 507 trends of ET over western and southern Africa, western Indian, and northern Australia, and decreasing ET over western United States, southern South America and Mongolia. Discrepancies 508 509 in ET trends mainly appeared in East Europe, eastern India and central China. LSMs also suggested 510 larger area of decreasing ET in both North America and South America. Although the differences 511 in ET trends among individual models were larger than that those among the ensemble means of 512 different approaches, the majority of models agreed-on that ET increased in western and southern 513 Africa, and decreased in western United States and southern South America (Fig. S2). For both remote sensing estimates and LSMs estimates, ET trends in Amazon Basin had large uncertainty. 514 515 P-LSH, CLM-45 and VISIT suggested large area of increasing ET, in contrast, GLEAM, JSBACH 516 and ORCHIDEE suggested a large area of decreasing ET.

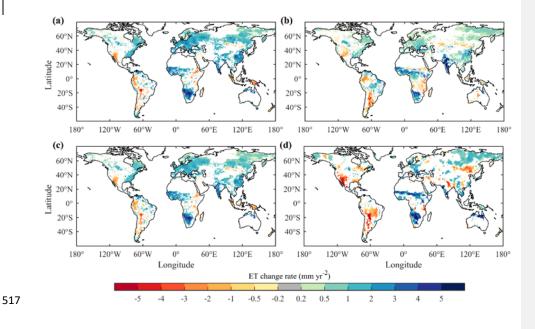


Figure 8. Spatial distributions of ET trends during the period 1982-2011 derived from (a) remote sensing-based physical models, (b) machine learning algorithm, (c) benchmark datasets, and (d) TRENDY LSMs ensemble mean, respectively. Regions with non-significant trends were excluded.

522 3.6 Impacts of vegetation changes on ET variations

523 During the period 1982-2011, global LAI trends estimated from remote sensing data and from the 524 ensemble LSMs are  $2.51 \times 10^{-3} \text{ m}^2 \text{ m}^{-2} \text{ yr}^{-1}$  (p<0.01) and  $4.63 \times 10^{-3} \text{ m}^2 \text{ m}^{-2} \text{ yr}^{-1}$  (p<0.01), respectively 525 (Table 2). Each-All LSMs suggested a significant increasing positive trend in global LAI 526 (greening). It was found that, for both benchmark estimates and LSMs estimates, the spatial pattern 527 of trends in ET matched well with that of trends in LAI (Fig. 5e8c-d and Fig. S5a-b), indicating 528 significant effects of vegetation dynamics on ET variations. According to the results of multiple 529 linear regression, all models agreed on that greening of the Earth since the early 1980s intensified 530 terrestrial ET (Table 2), although there was a significant discrepancy in the magnitude of ET intensification which varied from  $0.04 \text{ mm yr}^2$  to 0.70 mm yr<sup>-2</sup>. The ensemble LSMs suggested a 531 532 smaller ET increase (0.23 mm yr<sup>-2</sup>) than the ensemble remote sensing physical models (0.62 mm 533 yr<sup>-2</sup>) and machine-learning algorithm (0.38 mm yr<sup>-2</sup>). Nevertheless, the greening-induced ET 534 intensification estimated by LSMs ( $0.37 \text{ mm yr}^{-2}$ ) is larger than that estimated by remote sensing models (0.28 mm yr<sup>-2</sup>) and machine-learning algorithms (0.09 mm yr<sup>-2</sup>) because LSMs suggested 535 536 a stronger greening trend than remote sensing models. The contribution of vegetation greening to ET intensification estimated by the ensemble LSMs is larger than 100% while that the 537 contributions estimated by the ensemble remote sensing physical models (0.62 mm yr<sup>-2</sup>) and 538 machine-learning algorithm are smaller than 50%. Although TRENDY LSMs were driven by the 539 540 same climate data and remote sensing physical models were driven by varied climate data, TRENDY LSMs still showed a larger discrepancy in terms of the effect of vegetation greening on
terrestrial ET than remote sensing physical models because of the significant differences in both
LAI trends (1.74-13.63×10<sup>-3</sup> m<sup>2</sup> m<sup>-2</sup> yr<sup>-1</sup>) and the sensitivitiesy of ET to LAI (4.04-217.39 mm yr<sup>-2</sup>
<sup>2</sup> per m<sup>2</sup> m<sup>-2</sup>). In comparison, remote sensing physical models had smaller discrepancies in terms
of the sensitivity of ET to LAI (55.78-143.43 mm yr<sup>-2</sup> per m<sup>2</sup> m<sup>-2</sup>).

- 546 4. Discussion and perspectives
- 547 4.1 Sources of uncertainty

## 548 4.1.1 Uncertainty in the ET estimation of Amazon Basin

549 LSMs have show large discrepancies in the magnitude and trend of ET in the Amazon Basin (Fig. 550 3 and Fig. S3). However, it is challenging to identifying the uncertainty sources is complex. Given 551 that the TRENDY LSMs used uniform meteorological inputs, the discrepancies in ET estimates 552 amongferences of the participating models mainly arise from the differences in underlying model structures and parameters. One potential source of uncertainty is the parameterization of root water 553 uptake. In the Amazon Basin, large root depth was confirmed by field measurements (Nepstad et 554 555 al., 2004). However, many LSMs have an unrealistically small rooting depth (generally less than 556 2 m), neglecting the existence and significance of deep roots. The incorrect root distributions 557 enlarge the differences in plant available water and root water uptake, producing large 558 uncertainties in ET. In addition, differences in the parameterization of other key processes 559 pertinent to ET such as LAI dynamics (Fig. S5), canopy conductance variations (Table 1), water 560 movements in the soil (Abramopoulos et al., 1988; Clark et al., 2015; Noilhan and Mahfouf, 1996) 561 and soil moisture's control on transpiration (Purdy et al., 2018; Szutu and Papuga, 2019) also increase the uncertainty in ET. The above-mentioned processes are not independent of each other 562 563 but interact in complex ways to produce the end result.

#### 564 4.1.2 Uncertainty in the ET estimation of arid and semi-arid regions

565 In arid and semi-arid regions, benchmark products show much larger differences in the magnitude 566 of ET than LSMs (Fig. S2). One cause of this phenomenon is the differences in meteorological forcing. Remote sensing and machine learning datasets used different forcing data. For 567 568 precipitation, RF used the CRUNCEPv6 dataset; MTE used the Global Precipitation Climatology 569 Centre (GPCC) dataset;-, MODIS used the Global Modeling and Assimilation Office (GMAO) 570 dataset;-. GLEAM used the Multi-Source Weighted-Ensemble Precipitation (MSWEP) dataset;-. 571 PML-CSIRO used the Princeton Global Forcing (PGF) and the WATCH Forcing Data ERA-572 Interim (WFDEI) datasets - and P-LSH used data derived from four independent sources. Since 573 precipitation is the key climatic factor controlling ET in arid and semi-arid regions (Fig. 6), 574 discrepancies between different forcing precipitation (Sun et al., 2018) may be the main source of 575 large uncertainty there. In comparison, the uniform forcing data reduced the inter-model range in 576 ET estimates of TRENDY LSMs. Nevertheless, it is noted that the congruence across LSMs ET 577 estimates doesn't necessarily mean they are the correct representation of ET. The narrower inter-578 model range may suggest shared biases. All remote sensing models and machine learning 579 algorithms except GLEAM do not explicitly take the effects of soil moisture into account (Table 580 S1). Given that soil moisture is pivotal to both canopy conductance and soil evaporation in arid and semi-arid regions (A et al., 2019; De Kauwe et al., 2015; Medlyn et al., 2015; Purdy et al., 581 582 2018), the lack of soil moisture information also increases the bias in ET estimation. In addition, the accuracy of remotely-sensing data itself is also an uncertainty source. The retrieval of key land 583 584 surface variables, such as leaf area index and surface temperature, is influenced by vegetation 585 architecture, solar zenith angle and satellite observational angle, particularly over heterogeneous 586 surface (Norman and Becker, 1995).

#### 587 4.1.3 Uncertainty in the ET IAV in the Southern Hemisphere

In regions south of 20°S (including Australia, southern Africa and southern South America), the 588 589 ET IAVs of remote sensing models and machine learning algorithms are smaller than that of LSMs 590 (Fig. 4 and 5), although their spatial patterns are similar. In these regions, GLEAM, the only remote 591 sensing model that explicitly considers the effects of soil moisture, has larger ET IAVs than other 592 remote sensing models and has similar ET IAVs with LSMs (Fig. S4). It implies This could imply 593 that most existing remote sensing models may underestimate ET IAVs in the Southern Hemisphere 594 because the effects of soil moisture is are not explicitly considered. Machine learning algorithms 595 have show much smaller IAVs than other models (Fig. 4 and S4). The main reason is that ET inter-596 annual variability is partly neglected in the training process because the magnitude of ET inter-597 annual variability is usually smaller than the spatial and seasonal variability (Anav et al., 2015; 598 Jung et al., 2019). Moreover, the IAV of satellite-based key land surface variables such as LAI, 599 fAPAR and surface temperature may be not reliable because of the effects of clouds, which also 600 affects the estimation of IAV of satellite-based ET. It is noted that LSMs ET IAVs show large 601 differences in latitudes south of 20°S (Fig. 5). This divergence in ET IAV indicates that land 602 surface modelsLSMs need better representation of ET response to climate in the Southern 603 Hemisphere.

604

#### 605 4.1.4 Uncertainty in global ET trend

All of the three categories of ET models detected an overall increasing positive trend in global terrestrial ET since the early 1980s, which is in agreement with previous studies (Mao et al., 2015; Miralles et al., 2014; Zeng et al., 2018a; Zeng et al., 2018b; Zeng et al., 2014; Zhang et al., 2015; Zhang et al., 2016b). Benchmark products generally suggested stronger ET intensification than 610 LSMs. The weaker ET intensification in LSMs may be induced by the response of stomatal 611 conductance to increasing atmospheric CO<sub>2</sub> concentration. The increasing CO<sub>2</sub> affects ET in two 612 ways. On one hand, increasing CO<sub>2</sub> can effectively reduce stomatal conductance and thus decrease 613 transpiration (Heijmans et al., 2001; Leipprand and Gerten, 2006; Swann et al., 2016); on the other 614 hand, it can increase vegetation productivity and thus increase LAI. For benchmarks, the second effect could be captured by remotely sensed LAI, NDVI or fAPAR, while the first effect was 615 616 neglected by all models except P-LSH (Zhang et al., 2015). In contrast, both effects were modeled in all TRENDY LSMs. 617

618 LAI dynamics have significant influences on ET. The increased LAI trend (greening) since the early 1980s was reported by previous studies (Mao et al., 2016; Zhu et al., 2016) and is also 619 620 confirmed by remote sensing data and all TRENDY LSMs used in this study (Table 2 and Fig. 621 S5). Zhang et al. (2015) found that the increasing-positive trend of global terrestrial ET over 1982-622 2013 was mainly driven by an increase in LAI and the enhanced atmosphere water demand. Using 623 a land-atmosphere coupled global climate model (GCM), Zeng et al. (2018b) further estimated that global LAI increased about 8%, resulting in an increase of 0.40±0.08 mm yr<sup>+2</sup>\_in global ET 624 625 (contributing to  $55\% \pm 25\%$  of the ET increase). This number is close to the estimates of ensemble LSMs  $(0.37\pm0.18 \text{ mm yr}^{+2})$ . In comparison, remote sensing models and machine learning 626 algorithms used in this study suggested smaller greening-induced ET increases. It is noted that 627 628 TRENDY LSMs still showed a larger discrepancy in terms of the effect of vegetation greening on 629 terrestrial ET than remote sensing physical models (Table 2) because of the significant differences in LAI trend  $(1.74-13.63\times10^{-3} \text{ m}^2 \text{ m}^{-2} \text{ yr}^{-1})$  and in the sensitivity of ET to LAI (4.04-217.39 mm 630 yr<sup>-2</sup> per m<sup>2</sup> m<sup>-2</sup>). Uncertainties in LAI trend may arise from inappropriate carbon allocations and 631 632 deficits in responding to water deficits (Anav et al., 2013; Hu et al., 2018; Murray-Tortarolo et al.,

633 2013; Restrepo - Coupe et al., 2017). Additionally, for machine-learning algorithms, the results
634 from insufficient long-term in situ measurements and sparse observations in tropical, boreal and
635 arid regions imply that there likely are deficiencies in representing the temporal variations.

## 636 4.1.5 Ignorance Lack of knowledge of the effects of irrigation

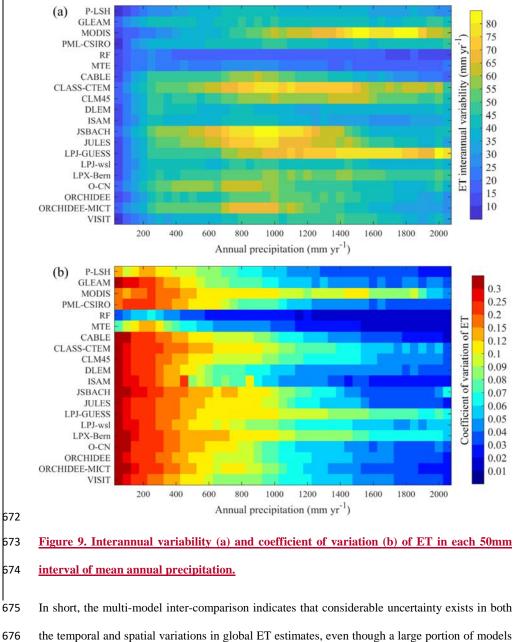
637 Irrigation accounts for about 90% of human consumptive water use and largely aeffects-on ET in 638 irrigated croplands (Siebert et al., 2010). Global water withdrawals for of irrigation wereas estimated to be within the range of 1161-3800 km<sup>3</sup>-yr<sup>-1</sup> around the year 2000, and largely increased 639 640 during the period 2000-2014 (Chen et al., 2019). However, none of the remote sensing-based 641 physical models and machine-learning algorithms explicitly accounted for the effects of irrigation 642 on ET, although these effects could be taken into account to some extent by using observed LAI, 643 NDVI, or fAPAR to drive the models (Zhang et al., 2015). Considering that annual ET may surpass 644 annual precipitation in cropland, Zhang et al. (2016b) used the Budyko hydrometeorological model 645 to constrain PML-CSIRO model only in grids covered by non-crop vegetation. But the process of irrigation affecting evaporation was still not taken into consideration. For TRENDY LSMs, only 646 647 2 of 14 models (DLEM and ISAM) included the irrigation processes (Le Quéré et al., 2018). 648 Therefore, the effects of irrigation are largely neglected in existing global ET datasets, which 649 reduces the accuracy of local ET estimates in regions with a large proportion of irrigated cropland.

# 650 4.1.6 ET variability across precipitation gradient and its planetary boundary

Precipitation is the source of terrestrial evapotranspiration. According to Fig. 9a, the vast majority
of models agree that ET has the largest IAV in regions with annual precipitation between 700 mm
and 1000 mm, although the magnitude of ET IAV has substantial discrepancies among different
models. The low ET IAV in arid and semi-arid regions doesn't mean ET is stable in these regions.
In fact, ET has the largest coefficient of variation (CoV, the ratio of ET standard deviation to ET

656	mean value) in arid regions, and all models show a clear negative trend of CoV with increasing
657	precipitation (Fig. 9b). This is mainly caused by the large CoV of precipitation in arid regions
658	(Fatichi et al., 2012) <u>.</u>
659	In comparison, terrestrial ET shows a much smaller IAV at the global scale (Table 2), ranging
660	from 4.8 to 12.2 mm yr <sup>-1</sup> (one standard deviation), which only equals to 1.0-1.8% of global annual
661	mean ET. The model results suggest that global terrestrial ET stabilizes at about 6.74×10 <sup>4</sup> km <sup>3</sup> yr <sup>-</sup>
662	<sup>1</sup> (603 mm yr <sup>-1</sup> ), which is close to previous estimates (Alton et al., 2009; Mueller et al., 2011; Oki
663	and Kanae, 2006; Zeng et al., 2012). The stability of global terrestrial ET is probably based on
664	partitioning the solar constant and suggests that, at a global scale, droughts in one place are
665	balanced by excess rain in other places so it all evens out. It implies that ET also has a potential
666	planetary boundary, a suggestion made by Running (2012) on NPP as a planetary boundary. ET
667	integrates four aspects of the current planetary boundaries defined by Steffen et al. (2015) : climate
668	change, freshwater use, land-system change, and biochemical flows. Given ET's importance in
669	linking terrestrial water, carbon, nutrient and energy cycles, more studies on the ET planetary
670	boundary are needed under the background of intensifying global change and increasing human
671	perturbations on the Earth system.

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adopt similar ET algorithms (Table 1). The major uncertainty source <u>could beis</u> different for different types of models and regions. The uncertainty is induced by multiple factors, including problems pertinent to parameterization of land processes, lack of in situ measurements, remote sensing acquisition, scaling effects and meteorological forcing. <u>Based on the results of different</u> <u>approaches, we suggest that global terrestrial ET also has a potential planetary boundary, with the</u> value being about  $6.74 \times 10^4$  km<sup>3</sup> yr<sup>-1</sup> (603 mm yr<sup>-1</sup>), which is consistent with previous estimates.

## 683 4.2 Recommendations for future development

# 684 4.2.1 Remote sensing-based physical methods

685 In the past decades, the development of remote sensing technologies has contributed to the boom 686 of various ET estimating methods. However, there is still a large room for remote sensing technologies to improve (Fisher et al., 2017). Developing new platforms and sensors that have 687 688 improved global spatiotemporal coverage and using multi-band, multi-source remote sensing data 689 are the key points. Planned or newly launched satellites, such as NASA's GRACE Follow-On 690 (GRACE-FO) mission and ECOsystem Spaceborne Thermal Radiometer Experiment on Space 691 Station (ECOSTRESS) mission, will improve the accuracy of terrestrial ET estimates. 692 ECOSTRESS's thermal infrared (TIR) multispectral scanner is capable of monitoring diurnal 693 temperature patterns at high-resolutions, which gives insights into plant response to water stress 694 and the means to understand sub-daily ET dynamics (Hulley et al.). GRACE Follow-On 695 observations can be used to constrain subsurface lateral water transfers, which helps to correct soil 696 moisture and subsequently improves the accuracy of ET estimates (Rouholahnejad and Martens, 2018). Moreover, building integrated methods that fuse different ET estimates or the upstream 697 satellite-based biophysical variables from different platforms and the other forcing data will be 698

helpful to improve the accuracy and spatiotemporal coverage of ET (Ke et al., 2016; Ma et al.,2018; Semmens et al., 2016).

701	The theories and retrieval algorithms of ET and related key biophysical variables also need to be	
702	further improved. For example, the method for canopy conductance calculation may be improved	
703	by integrating remote sensing based solar-induced chlorophyll fluorescence (SIF) data. SIF data	
704	in existing Global Ozone Monitoring Experiment-2 (GOME-2), Orbiting Carbon Observatory-2	
705	(OCO-2) and TROPOspheric Monitoring Instrument (TROPOMI) and the forthcoming OCO-3	
706	and Geostationary Carbon Cycle Observatory (GeoCarb) satellites provide a good opportunity for	
707	diagnosing transpiration and for ET partitioning at multiple spatiotemporal scales (Pagán et al.,	
708	2019; Stoy et al., 2019; Sun et al., 2017). Theoretical advancements in nonequilibrium	
709	thermodynamics and Maximum Entropy Production (MEP) could be incorporated into the	
710	classical ET theories (Xu et al., 2019; Zhang et al., 2016a). In addition, quantifying the effects of	
711	CO2 fertilization on stomatal conductance is pivotal for remote sensing models to capture the long-	
712	term trend of terrestrial ET.	
713	Most existing remote sensing-based ET studies focused on total ET, however, the partitioning of	For
714	ET between transpiration, soil evaporation, and canopy interception may have significant	
715	divergence even though the total ET is accurately estimated (Talsma et al., 2018b). In current	For
716	remote sensing-based ET models, soil evaporation, which is sensitive to precipitation events and	For
717	soil moisture, is the part with the largest error (Talsma et al., 2018a). Therefore incorporating the	For
718	increasing accessible satellite-based precipitation, soil moisture observations and soil property	For
719	data will contribute to the improvement of soil evaporation estimation. Meanwhile, the	
720	consideration of soil evaporation under herbaceous vegetation and canopy will also reduce the	
721	errors.	
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#### 722 4.2.2 Machine learning methods

723 It is well known that the capability of machine-learning algorithms in providing accurate ET 724 estimates largely depends on the representativeness of training datasets in describing ecosystem 725 behaviors (Yao et al., 2017). As a result, machine-learning algorithms may not perform well 726 outside the range of the data used for their training. Unfortunately, long-term field observations out of northern temperate regions are still insufficient.; T this is an importance important cause for 727 728 of the small spatial gradient and small IAVs of machine-learning ET. Given that remote sensing 729 is capable of providing broad coverage of key biophysical variables at reasonable spatial and temporal resolutions, one way to overcome this challenge is to exclusively use remote sensing 730 observations as training data (Jung et al., 2019; Poon and Kinoshita, 2018). Another simple way 731 732 to make IAVs of machine-learning ET more realistic is normalizing the yearly anomalies when 733 comparing with ET estimates from LSMs and remote sensing physical models (Jung et al., 2019). 734 New machine-learning techniques, including the extreme learning machine and the adaptive neuro-fuzzy inference system, can be used to improve the accuracy of ET estimation (Gocic et al., 735 736 2016; Kişi and Tombul, 2013). The emerging deep learning methods such as recurrent neural 737 network (RNN) and Long Short-Term Memory (LSTM) have large potential to outcompete 738 conventional machine-learning methods in modelling ET time series (Reichstein et al., 2018; Reichstein et al., 2019). Almost all machine-learning datasets used precipitation rather soil 739 740 moisture as explanatory variable when training. However, soil moisture rather than precipitation directly controls ET. As more and more global remote sensing based soil moisture datasets become 741 742 available, using soil moisture products as input is expected to improve the accuracy of ET 743 estimates, especially for regions with spares sparse vegetation coverage (Xu et al., 2018).

744 **4.2.3 Land surface models** 

745 In contrast to observation-based methods, LSMs are able to predict-project future changes in ET, 746 and can disentangle the effects of different drivers on ET through factorial analysis. However, 747 results from LSMs are only as good as their parameterizations of complex land surface processes 748 which are limited by our incomplete understanding of physical and biological processes (Niu et 749 al., 2011). Although TRENDY LSMs are the state of the art state-of-the-art process-based global 750 land surfaces models, improvements are still needed because several important processes are 751 missing or not being appropriately parameterized. Most of the TRENDY LSMs did not simulate 752 the processes relevant to human management including irrigation (Chen et al., 2019) and 753 fertilization application of fertilizers (Mao et al., 2015), and natural disturbances like wildfire 754 (Poon and Kinoshita, 2018). Incorporating these processes into present LSMs is critical, although 755 However, we need to keep it in mind that these processes should be added with caution, because 756 adding more processes and introducing introduction of new model parameters may potentially also 757 leads to an increase in a model's uncertainty.

758 In light of the importance of soil water availability in constraining canopy conductance and 759 dynamics, accurate representation of hydrological processes is a core task for LSMs, particularly 760 in dry regions. Integrating a dynamic root water uptake function and hydraulic redistribution into 761 the LSM can significantly improve its performance of estimating seasonal ET and soil moisture 762 (Li et al., 2012). Moreover, other hydrological processes including groundwater\_(Decker, 2015), 763 lateral flow (Rouholahnejad and Martens, 2018) and water vapor diffusion at the soil surface 764 (Chang et al., 2018) need to be simulated and correctly represented to reproduce the dynamics of 765 soil water and ET. Since canopy LAI plays an important role in regulating ET, correctly simulating 766 vegetation dynamics is also critical. One way is to correct the initialization, distribution, and 767 parameterization of vegetation phenology in LSMs (Murray-Tortarolo et al., 2013; Zhang et al.,

2019). Appropriate carbon allocation scheme and parameterization of vegetation's response towater deficits are also important for reproducing vegetation dynamics (Anav et al., 2013).

770 5. Conclusion

771 In this study, we evaluated twenty global terrestrial ET estimates including four from remote 772 sensing-based physical models, two from machine-learning algorithms and fourteen from 773 TRENDY LSMs. The ensemble mean values of global terrestrial ET for the three categories agreed 774 well, ranging from 589.6 mm yr<sup>-1</sup> to 617.1 mm yr<sup>-1</sup>. All of the three categories detected an overall 775 increasing positive trend in global ET during the period 1982-2011 and suggested a positive effect 776 of vegetation greening on ET intensification. However, the multi-model inter-comparison 777 indicates that, considerable uncertainties still exist in both-the temporal and spatial variations in 778 global ET estimates. LSMs had significant differences in the ET magnitude in tropical regions 779 especially the Amazon Basin, while benchmark ET products showed larger inter-model range in 780 arid and semi-arid regions than LSMs. Trends in LSMs ET estimates also had significant 781 discrepancies. These uncertainties are induced by parameterization of land processes, 782 meteorological forcing, lack of in situ measurements, remote sensing acquisition and scaling 783 effects. Model developments and observational improvements provide two parallel pathways 784 towards improving the accuracy of global terrestrial ET estimation.

## 785 Code and data availability

TRENDYv6 data are available from S.S. (s.a.sitch@exeter.ac.uk) on reasonable request. MODIS
ET data are available from http://files.ntsg.umt.edu/data/NTSG\_Products/MOD16/. GLEAM ET
are available from https://www.gleam.eu/. Both Model Tree Ensemble and Random Forest ET are
available from https://www.bgc-jena.mpg.de/geodb/projects/FileDetails.php. P-LSH ET are

790	available from	http://files.ntsg.umt.edu/data/ET_global_monthly/Global_8kmResolution/.
791	PML-CSIRO ET	are from https://data.csiro.au/dap/landingpage?pid=csiro:17375. CRU-
792	NCEPv8 data are ava	ailable from Nicolas Viovy on reasonable request. GIMMS LAI3gV1 data are
793	available from R. B.	Myneni on reasonable request. GIMMS NDVI3gV1 data are available from
794	https://ecocast.arc.r	nasa.gov/data/pub/gimms/3g.v1/.

## 795 Author contributions

S.P. initiated this research and was responsible for the integrity of the work as a whole. N.P. carried
out the analyses. S.P., N.P., H.T. and H.S. wrote the manuscript with contributions from all
authors. P.F., S.S., V.K.A., V.H., A.K.J., E.K., S.L., D.L., <u>J.E.M.S.N.</u>, C.O., B.P., H.T. and S.Z.
contributed to the TRENDY results.

# 800 **Competing interests**

801 The authors declare that they have no conflict of interest.

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814

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# 1245 Table 1. Descriptions of models used in this study, including their drivers, adopted algorithms,

# 1246 key equations, limitations and references

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Name	Input	Algorithm	Spatial resolution	Temporal resolution	Key equations	Limitations	Reference
MTE		TRIAL - ERROR	-0.5°×0.5°	Monthly	No specific equation		ix Jung et a in (2011) th
RF		Randomized decision tree	0.5°×0.5°	Half-hourly	No specific equation	The same with MTE	E Bodesheim et a (2018)
P-LSH	Climate: radiation, air	Modified Penman– Monteith	0.083 °×0.083°	Monthly	$E_{v} = \frac{\Delta R_{n} + \rho C_{p} V P D g_{a}}{\lambda_{v} (\Delta + \Upsilon \left(1 + \frac{g_{a}}{g_{s}}\right))}$ $E_{s} = R H^{\frac{V P D}{k}} \frac{\Delta R_{n} + \rho C_{p} V P D g_{a}}{\lambda_{v} (\Delta + \Upsilon \left(1 + \frac{g_{a}}{g_{s}}\right))}$	Advantages: more robust physic basis; consider th effects of CO <sub>2</sub> Limitations: high meteorologic forcing_requirement canopy conductam	al s;
GLEAM		Modified Priestley– Taylor	0.25°×0.25°	Daily	$\begin{split} E_{s} &= f_{s}S_{s}\alpha_{s}\frac{\Delta}{\lambda_{v}\rho_{w}(\Delta+\gamma)}(R_{n}^{s}-G_{s})\\ E_{sc} &= f_{sc}S_{sc}\alpha_{sc}\frac{\Delta}{\lambda_{v}\rho_{w}(\Delta+\gamma)}(R_{n}^{sc}-G_{sc})\\ E_{tc} &= f_{tc}S_{tc}\alpha_{tc}\frac{\Delta}{\lambda_{v}\rho_{w}(\Delta+\gamma)}(R_{n}^{tc}-G_{tc})-\beta E_{l} \end{split}$	Limitations: many simplification of physical_processe neither VPD n surface ar aerodynamic	te le sil sd ns s; or nd re sd
MODIS	temperature,	Penman– Monteith– Leuning	0.05 °×0.05 °	Monthly	$\begin{split} E_{i} &= f_{wet} f_{c} \frac{\Delta(R_{n}-G) + \rho c_{p} \frac{VPD}{r_{w}c}}{\lambda_{v} \rho_{w} (\Delta + \gamma \frac{T_{c}^{Sw}}{r_{w}^{Tw}})} \\ E_{v} &= (1-f_{wet}) f_{c} \frac{\Delta(R_{n}-G) + \rho c_{p} \frac{VPD}{r_{c}^{4}}}{\lambda_{v} \rho_{w} (\Delta + \gamma \frac{T_{c}^{5}}{r_{c}^{4}})} \end{split}$	radiation <del>n</del> Advantages: more robust physic basis; Limitations: require mar	Mu et al (2011) ny re or

	Vegetation: LAI, fAPAR, albedo		$\begin{split} & E_{s} \\ &= [f_{wet} \\ &+ \frac{(1 - f_{wet})hVPD}{\beta}] \frac{(sA_{soil} + \frac{\rho c_{p}(1 - f_{c})VPD}{r_{as}})}{\lambda_{v}\rho_{w}(S + \gamma \frac{r_{tot}}{r_{as}})} \end{split}$	satellites; canopy conductance is based on proxies; do not consider soil moisture but use atmospheric humidity as a surrogate; do not consider the effects of CO <sub>2</sub>
PML- CSIRO	Climate: Penman– 0.5°×0.5° precipitation, air Monteith– temperature, Leuning vapor pressure, shortwave radiation, longwave radiation, wind speed	Monthly	$\begin{split} E_{v} &= \frac{\Delta R_{n} + \rho C_{p} VPD g_{a}}{\lambda_{v} (\Delta + \Upsilon \left(1 + \frac{g_{a}}{g_{s}}\right))} \\ E_{s} &= \frac{f \Delta A_{s}}{\Delta + \gamma} \\ E_{i}: \text{ an adapted version of Gash rainfall interception} \\ \text{model (Van et al., 2001)} \end{split}$	Advantages: Zhang et al. more robust physical (2016b) basis (compared to Priestley–Taylor equation);
	Vegetation: AVHRR LAI, emissivity and albedo			Limitations: high meteorological forcing_requirements; canopy conductance is based on proxies; do not consider the effects of CO <sub>2</sub>

### TRENDY LSMs

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Advantages: land surface models are process-oriented and physically-based. Given their structure almost all models are capable to allow factorial analysis, where one forcing can be applied at a time. Most models also consider the physiological effect of CO<sub>2</sub> on stomatal closure.

Disadvantages: most models typically do not allow integration/assimilation of observation-based vegetation characteristics. Model parameterizations remain uncertain and a same process is modelled in different ways across models. Model parameters may or may not be physically-based and therefore measurable in <u>the</u> field.

Models participating in the TRENDY<u>v62017</u> comparison were forced by precipitation, air temperature, specific humidity, shortwave radiation, longwave radiation, wind speed based on the CRU-NCEPv8 data as explained in Le Quere et al. 2018. It is very difficult to list all key equations for all land surface models. Here, we just list the stomatal conductance equation for each model.

Name	Algorithm	Spatial resolution	Temporal resolution	Key equations	References
CABLE	Penman-Monteith	0.5°×0.5°	Monthly	$g_s = g_0 + \frac{g_1 f_w A}{c_a - c_a} (1 + \frac{VPD}{VPD_0})^{-1}$	Haverd et al. (2018)
CLASS- CTEM	Modified Penman-Monteith	2.8125°×2.81 25 °	Monthly	$g_c = m \frac{A_n p}{(c_s - \Gamma)} \frac{1}{(1 + VPD/VPD_0)} + b \ LAI$	Melton and Arora (2016)
CLM45	Modified Penman-Monteith	1.875 °×2.5 °	Monthly	$g_s = g_0 + \frac{g_1 A}{c_a} R H$	Oleson et al. (2010)
DLEM	Penman-Monteith	0.5°×0.5°	Monthly	$g_s = \max(g_{smax}r_{corr}bf(ppdf)f(T_{min})f(VPD)f(CO_2), g_{smin})$	Pan et al. (2015)
ISAM	Modified Penman-Monteith	0.5°×0.5°	Monthly	$\begin{split} g_s &= m \frac{A}{C_s/P_{atm}} \times \frac{e_s}{e_t} + b_t \beta_t \\ g_s &= \beta_w \frac{1.6A_{n,pot}}{c_a - c_{l,pot}} \end{split}$	Barman et al. (2014)
JSBACH	Penman-Monteith	<u>3.9131.9</u> ⁰× <u>3.9131.9</u> °	Monthly	$g_s = \beta_w \frac{\frac{din}{1.6A_{n,pot}}}{c_n - c_{1,pot}}$	Knauer et al. (2015)
JULES	Penman–Monteith	2.5 °×3.75 °	Monthly	Bare soil conductance: $g_{soll} = \frac{1}{100} (\frac{\theta_i}{\theta_c})^2$ Stomatal conductance is calculated by solving the two equations: $A_l = g_s(C_s - C_l)/1.6;$ $\frac{C_l - \Gamma^*}{C_c - \Gamma^*} = f_0(1 - \frac{\Delta}{q_c})$	Li et al. (2016)
LPJ- GUESS	Equations proposed b Monteith (1995)	y0.5°×0.5°	Monthly	$g_s = g_{smin} + \frac{1.6A_{dt}}{c_s(1-\lambda_s)}$	Smith (2001)
LPJ-wsl	Priestley-Taylor	0.5°×0.5°	Monthly	$g_s = g_{smin} + \frac{1.6A_{dt}}{c_a(1 - \lambda_c)}$ $g_s = g_{smin} + \frac{1.6A_{dt}}{c_a(1 - \lambda_c)}$	Sitch et al. (2003)
LPX-Berr	n Modified equation of Monteit (1995)	h 1°×1°	Monthly	$g_s = g_{smin} + \frac{1.6A_{dt}}{c_a(1 - \lambda_c)}$	Keller et al. (2017)

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O-CN	Modified Penman-Monteith	1°×1°	Monthly	$g_s = g_{smin} + \frac{1.6A_{dt}}{c_a(1-\lambda_c)}$	Zaehle and Friend
ORCHID EE	Modified Penman-Monteith	0.5°×0.5°	Monthly	$g_s = g_0 + \frac{A + R_d}{c_a - c_p} f_{vpd}$	(2010) d'Orgeval et al. (2008)
				$g_{soil} = exp(8.206\text{-}4.255W/W_{sat})$	
ORCHID EE-MICT	Modified Penman-Monteith	0.5°×0.5°	Monthly	$g_s = g_0 + rac{A+R_d}{c_a-c_p} f_{vpd}$	Guimbertea u et al. (2018)
VISIT	Penman-Monteith	0.5°×0.5°	Monthly	$g_s = g_0 + \frac{g_1 f_w A}{c_a - c_p} (1 + \frac{VPD}{VPD_0})^{-1}$	Ito (2010)

1247 Notes: A: net assimilation rate;  $A_{dt}$ : total daytime net photosynthesis;  $A_{n,pot}$ : unstressed net 1248 assimilation rate; b: soil moisture factor; bt: stomatal conductance intercept; ca: atmospheric CO<sub>2</sub> 1249 concentration; c<sub>i</sub>: critical CO<sub>2</sub> concentration; c<sub>i</sub>: internal leaf concentration of CO<sub>2</sub>; c<sub>i, pot</sub>: internal 1250 leaf concentration of CO<sub>2</sub> for unstressed conditions; c<sub>s</sub>: leaf surface CO<sub>2</sub> concentration; c<sub>p</sub>: CO<sub>2</sub> 1251 compensation point; es: vapor pressure at leaf surface; ei: saturation vapor pressure inside the leaf;  $E_s$ : soil evaporation;  $E_c$ : canopy evapotranspiration;  $E_{dry}$ : dry canopy evapotranspiration;  $E_{wet}$ : wet 1252 canopy evapotranspiration; Ev: canopy transpiration; Ei: canopy interception; Etc: transpiration 1253 1254 from tall canopy; E<sub>sc</sub>: transpiration from short canopy; f: fraction of P to equilibrium soil 1255 evaporation;  $f_s$ : soil fraction;  $f_{sc}$ : short canopy fraction;  $f_{tc}$ : tall canopy fraction;  $f_{vpd}$ : factor of the 1256 effect of leaf-to-air vapor pressure difference; fw: a function describing the soil water stress on 1257 stomatal conductance; fwet: relative surface wetness parameter; fo: the maximum ratio of internal 1258 to external  $CO_2$ ; f(ppdf): limiting factor of photosynthetic photo flux density;  $f(T_{min})$ : limiting factor 1259 of daily minimum temperature; f(VPD): limiting factor of vapor pressure deficit;  $f(CO_2)$ : limiting factor of carbon dioxide; G: ground energy flux; ga: aerodynamic conductance; gm: 1260 1261 empiricalparameter; gs: stomatal conductance; gsmax: maximum stomatal conductance; gsmin: minimum stomatal conductance; gsoil: bare soil conductance; go: residual stomatal conductance 1262 when the net assimilation rate is 0;  $g_1$ ; sensitivity of stomatal conductance to assimilation, ambient 1263 CO<sub>2</sub> concentration and environmental controls; I: tall canopy interception loss; m: stomatal 1264 1265 conductance slope; Patm: atmospheric pressure; PEs: potential soil evaporation; PEcanopy: potential 1266 canopy evaporation;  $q_a$ : specific air humidity;  $q_c$ : critical humidity deficit;  $q_s$ : specific humidity of 1267 saturated air;  $r_a$ : aerodynamic resistance;  $r_s$ : stomatal resistance;  $R_n$ : net radiation; Rd: day 1268 respiration; RH: relative humidity; T<sub>s</sub>: actual surface temperature; VPD: vapor pressure deficit; 1269 VPD<sub>0</sub>: the sensitivity of stomatal conductance to VPD; W: top soil moisture; W<sub>canopy</sub>: canopy water; 1270  $W_{sat}$ : soil porosity;  $\alpha$ : Priestley-Taylor coefficient;  $\alpha_m$ : empirical parameter;  $\beta$ : a constant accounting for the times in which vegetation is wet;  $\beta_t$ : soil water availability factor between 0 and 1271 1272 1;  $\beta_w$ : A scaling factor to account for water stress empirical water stress factor which is a linear 1273 function of soil water content;  $\beta_s$ : moisture availability function;  $\rho$ : air density;  $\gamma$ : psychrometric 1274 constant;  $\lambda_v$ : latent heat of vaporization;  $\lambda_c$ : ratio of intercellular to ambient partial pressure of CO<sub>2</sub>; 1275  $r_{corr}$ : correction factor of temperature and air pressure on conductance;  $\Gamma^*$ : CO<sub>2</sub> compensation point 1276 when leaf day respiration is zero;  $\theta_1$ : parameter of moisture concentration in the top soil layer;  $\theta_c$ : 1277 parameter of moisture concentration in the spatially varying critical soil moisture;  $\Delta$ : slope of the 1278 vapor pressure curve.

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**Table 2.** Inter-annual variability (IAV, denoted as standard deviation) and trend of global
terrestrial ET during 1982-2011 and the contribution of vegetation greening to ET trend. \* suggests
significance of the trend at the 95% confidence level (*p*<0.05).</li>

	Model	ET IAV			Sensitivity of ET to L		Formatted Table
		(mm yr-1)	(mm yr <sup>-2</sup> )	ET change (mm yr <sup>-2</sup> )	$\frac{\text{LAI (mm yr}^{-2} \text{ per}}{\text{m}^2 \text{ m}^{-2})}$	m <sup>-2</sup> yr <sup>-1</sup> )	Formatted: Superscript
Machine	MTE	<u>5.93</u>	0.38*	0.09	35.86	2.51*	
learning							
	P-LSH	<u>9.95</u>	1.07*	0.34	135.46	2.51*	
RS models	GLEAM	8.47	0.33*	0.14	55.78	2.51*	
	PML-CSIRO	<u>7.18</u>	0.41*	0.36	143.43	2.51*	
	RS model mean	<u>7.98</u>	0.62*	0.28	111.55	2.51*	
	CABLE	<u>9.63</u>	0.07	0.35	102.64	3.41*	
	CLASS-CTEM	<u>12.22</u>	0.35*	0.53	134.52	3.94*	
	CLM45	<u>8.68</u>	0.38*	0.31	67.54	4.59*	
	DLEM	<u>7.21</u>	0.26*	0.53	200.76	2.64*	
	ISAM	<u>7.50</u>	0.22	0.16	32.26	4.96*	
	JSBACH	<u>10.12</u>	-0.05	0.50	217.39	2.30*	
	JULES	<u>11.33</u>	-0.02	0.34	85.21	3.99*	
LSMs	LPJ-GUESS	<u>7.48</u>	0.50*	0.28	160.92	1.74*	
	LPJ-ws1	4.77	0.24*	0.19	31.56	6.02*	
	LXP-Bern	4.80	0.20*	0.04	4.04	9.90*	
	O-CN	<u>10.41</u>	0.32*	0.53	89.23	5.94*	
	ORCHIDEE	<u>9.28</u>	-0.17	0.21	96.33	2.18*	
	ORCHIDEE-MICT	<u>10.70</u>	-0.34*	0.50	171.23	2.92*	
	VISIT	<u>6.31</u>	0.87*	0.70	51.40	13.62*	
	LSM mean	7.73	0.23	0.37	79.91	4.63*	Formatted: Font: (Asian) PMingLiU, (Asian) Chinese (Taiwan)