Response to reviewers

We received two referees' reports. Referees 1 recommended minor revisions, and Referee 2 2 3 provided major comments. We address each point made by the referees below. Our responses 4 are in red, and the new text added to the manuscript is indented and italicized. 5 **Anonymous Referee #1** 6 The manuscript by Ge et al. 2019 (HESSD) presents a very important study on exploring the 7 impacts of revegetation of on regional water balance over the Loess Plateau, China. The 8 GFGP was initiated in late 1990s and has tremendous influences on the Loess Plateau. The 9 impacts of revegetation on the region's hydrological balance should be carefully investigated using both observation and model simulations. The current study has tried to answer this 10 11 question using WRF model, and provide knowledge and information for policy makers. In 12 general, I think it is a very interesting and important study, and I would recommend it for

13 publication after minor revision.

14 Thank you!

15 Please find my detailed comments below.

In introduction section, please add some introduction or examples about published studies
 that used WRF for hydrological balance analysis. The related references will add confidence
 of using WRF in the current study and demonstrate the solidness of the current results.

We have added some further literature using WRF for hydrological balance analysis in therevised manuscript:

21	Line 102: WRF has been shown to perform well in dynamic downscaling of regional
22	climate over China (e.g., He et al., 2017; Sato and Xue, 2013; Yu et al., 2015).
23	Additionally, WRF has been used to study the impact of land use and land cover change
24	on the hydrological balance at regional scales (Deng et al., 2015; Zhang et al., 2018).
25	While WRF is therefore potentially suitable for evaluating the impact of revegetation on
26	the hydrology of the Loess Plateau we undertake an evaluation of WRF in simulating
27	surface air temperature and rainfall for this region (See Section 3.1).
28	2. In regard to the findings of the current study, it would be better to add some discussions on
29	similarity/difference with existing studies. It will add extra values if published study has
30	found similar trend in evapotranspiration based on satellite products/groundbased
31	measurements, or model simulations.
32	We have added some discussions on similarity/difference with existing studies in the revised
33	manuscript. For example:
34	Line 383: Our results on changes of evapotranspiration, soil moisture and runoff are
35	broadly consistent with both field (Jia et al., 2017; Jian et al., 2015; Jin et al., 2011)
36	and satellite (Feng et al., 2017; Li et al., 2016; Xiao, 2014) observations. For example,
37	the spatial pattern of our simulated soil moisture decline in the growing season is

38	similar to observations from the Advanced Microwave Scanning Radiometer on the
39	Earth Observing System by the Japanese Aerospace Exploration Agency (Feng et al.,
40	2017). Although the increased evapotranspiration due to revegetation of the Loess
41	Plateau has been examined before (e.g., Cao et al., 2017, 2019; Li et al., 2018; Lv et al.,
42	2019), the reduction in runoff and soil moisture in response to revegetation of the Loess
43	Plateau, which is consistent with observations, has been rarely reported in modeling
44	results previously. Moreover, our simulated weak response of rainfall to revegetation of
45	the Loess Plateau, which is hard to determine from observations, is useful in assessing
46	the hydrometeorology of this region.
47 48	Line 408: WRF shows little response of rainfall to revegetation since the launch of the GFGP, which contradicts earlier results (Cao et al., 2017, 2019; Li et al., 2018; Lv et
49	al., 2019).
50	Line 443: These factors account for the discrepancy between our result and another
51	model based study (Li et al., 2018). Li et al (2018) found a positive rainfall feedbacks to
52	greening and consequently small changes in runoff and soil moisture over north China
53	using a Global Climate Model. In contrast, we demonstrate the rainfall change is too
54	small to compensate for the strongly enhanced evapotranspiration, causing a reduction
55	of runoff and soil moisture in response to revegetation over the Loess Plateau.

56 Anonymous Referee #2

Review comments for the manuscript "Impact of revegetation of the Loess Plateau of China
on the regional growing season water balance" by Jun Ge, Andrew J. Pitman, Weidong Guo,
Beilei Zan, Congbin Fu.

60 General

The paper investigated the impact of revegetation on the hydrology of the Loess Plateau. The introduction needs to be further clarified. For example, the authors stated that "the response of rainfall to large-scale revegetation is rarely investigated". As far as I known, there are studies (e.g., Ma et al., 2013; Chen et al., 2016; Yosef et al., 2018) that have investigated it.

65 We stated "the response of rainfall to large-scale revegetation is rarely investigated"

66 following the "The impact of revegetation on evapotranspiration, soil moisture and runoff

67 over the Loess Plateau has been studied" in the original manuscript. Our statement therefore

relates to the Loess Plateau. However, we recognize this could have been confusing and

69 therefore we have clarified the introduction:



- 72 revegetation over this region has commonly been overlooked. This is mainly due to the
- 73 *difficulty in detecting the impact of revegetation on rainfall from observations.*

74 Line 65: In contrast with observations, modeling can help disentangle the impact of

75 revegetation on rainfall from the impact of other drivers. Cao et al. (2017) and Li et al.

76	(2018) performed numerical experiments over the whole China and demonstrated that
77	the revegetation over the Loess Plateau can enhance the rainfall locally. Very recently,
78	Lv et al. (2019b) and Cao et al. (2019) performed simulations focused on the Loess
79	Plateau to examine the impact of revegetation or afforestation on rainfall. Lv et al.
80	(2019) reported a significant increase in rainfall while Cao et al. (2019) found spatially
81	divergent changes of rainfall. We also note some earlier studies investigating the
82	response of rainfall to land cover change across China (e.g., Chen et al., 2017; Ma et
83	al., 2013; Wang et al., 2014). Unfortunately, these studies either focused less on the
84	Loess Plateau (Ma et al., 2013) or applied land cover changes unable to reflect the
85	revegetation of the Loess Plateau (Chen et al., 2017; Wang et al., 2014). Therefore,
86	large uncertainties remain in the response of rainfall to revegetation of the Loess
87	Plateau owing to inconsistent conclusions derived from limited studies.
88	Furthermore, the authors mentioned in the discussion section that "Our results are broadly
89	consistent with both field (Jia et al., 2017; Jian et al., 2015; Jin et al., 2011) and satellite
90	(Feng et al., 2017; Li et al., 2016; Xiao, 2014) observations". Therefore, the new findings in
91	this work need to be further highlighted.

We have further clarified the new findings of this work in the revised manuscript. There are
basically three findings of this work. First, we used WRF to demonstrate the reduction in soil
moisture and runoff due to revegetation over the Loess Plateau, which has been rarely

95 reported in previous modeling studies and thereby further confirm the observations. We make96 this clear in the revised manuscript:

97	Line 383: Our results on changes of evapotranspiration, soil moisture and runoff are
98	broadly consistent with both field (Jia et al., 2017; Jian et al., 2015; Jin et al., 2011)
99	and satellite (Feng et al., 2017; Li et al., 2016; Xiao, 2014) observations. For example,
100	the spatial pattern of our simulated soil moisture decline in the growing season is
101	similar to observations from the Advanced Microwave Scanning Radiometer on the
102	Earth Observing System by the Japanese Aerospace Exploration Agency (Feng et al.,
103	2017). Although the increased evapotranspiration due to revegetation of the Loess
104	Plateau has been examined before (e.g., Cao et al., 2017, 2019; Li et al., 2018; Lv et al.,
105	2019), the reduction in runoff and soil moisture in response to revegetation of the Loess
106	Plateau, which is consistent with observations, has been rarely reported in modeling
107	results previously. Moreover, our simulated weak response of rainfall to revegetation of
108	the Loess Plateau, which is hard to determine from observations, is useful in assessing
109	the hydrometeorology of this region.

Second, we used WRF to demonstrate the marginal response of rainfall to revegetation over
the Loess Plateau, which contradicts previous modeling results that used older experimental
methods. We also demonstrate that the impact of revegetation on rainfall is very likely
overestimated in previous studies due to limited members in simulations. As we stated in the
revised manuscript:

115	Line 407: We focused on the response of rainfall to revegetation over the Loess Plateau,
116	which is probably the most uncertain of the hydrological components. WRF shows little
117	response of rainfall to revegetation since the launch of the GFGP, which contradicts
118	earlier results (Cao et al., 2017, 2019; Li et al., 2018; Lv et al., 2019). Moreover, the
119	rainfall is weakly affected by further revegetation despite large increase in
120	evapotranspiration. We also demonstrate that the rainfall change is strongly affected by
121	internal variability and a large number of realisations are required before any impact of
122	revegetation on rainfall might be robustly identified. We suggest that some previous
123	studies (Cao et al., 2017, 2019; Lv et al., 2019) based on model simulations may have
124	exaggerated the impact of revegetation on rainfall over the Loess Plateau due to the
125	lack of sufficient realisations. For example, Cao et al. (2017, 2019) and Lv et al. (2019)
126	used the same WRF to perform only three or five member simulations, and concluded a
127	significant change in rainfall caused by revegetation over the Loess Plateau. More
128	interestingly, Cao et al. (2017) and Cao et al. (2019) obtained different conclusions on
129	the rainfall change over the Loess Plateau with same WRF model. They used a broadly
130	similar experimental design but different spatial resolution (30 km and 10 km
131	respectively) and simulations from 2001-2002 with three ensembles and consecutive
132	simulation from 2000-2004 respectively. We could also demonstrate large changes in
133	rainfall over the plateau if we chose 3-5 members but we could demonstrate either large
134	increases or large decreases in 3-5 member averages. Returning to Fig. 6, ET shows a
135	highly consistent increase in response to revegetation among the 20 years, suggesting

136	that ET change is robustly linked with revegetation. Although changes in runoff and soil
137	moisture also show large variability among the 20 years, the distribution of the runoff
138	and soil moisture changes are negative biased. More importantly, the distribution of the
139	runoff and soil moisture changes systematically shift towards negative values. This
140	suggest runoff and soil moisture changes are very likely linked with revegetation. The
141	large variability in runoff or soil moisture changes is induced by the large variability of
142	rainfall. Given the tight linkage between rainfall and runoff or soil moisture, the
143	changes in runoff or soil moisture tends to be mistakenly represented if the rainfall
144	change is not robustly examined, and this requires internal model variability to be
145	thoroughly addressed.
146	Third, we investigated the potential future impact on the hydrology of the Loess Plateau if
147	revegetation was continued, which has never been assessed before. As we stated in the
148	revised manuscript:
149	Line 394: We also investigated the potential future impact on the hydrology of the Loess
150	Plateau if revegetation was continued, which has not been assessed before but is
151	important for both scientific communities and policymakers.
152	The applied land cover change in 2015 relative to 2001 was not consistent with the expected
153	fact. Explanations were missing in several places in the manuscript which kind of focused
154	more on the phenomenon.

155	We obtain the land cover change from the latest version (version 6) of MODIS land cover
156	product, which should be one of the most reliable datasets. We have added some explanations
157	in the revised manuscript accounting for this comment raised by the reviewer:
158	Line 188: In addition to the gain of forests (including evergreen needleleaf, evergreen
159	broadleaf, deciduous needleleaf, deciduous broadleaf and mixed forests) and savannas
160	(including woody savannas and savannas), other changes in land cover type include the
161	expansion of croplands (including croplands and cropland/natural vegetation mosaics)
162	at the expense of grasslands and savannas (Fig. 2g). These increased croplands
163	revealed by the MODIS land cover product, which seem unlikely, have been reported
164	previously (Fan et al., 2015; Lv et al., 2019), and are likely associated with expanded
165	irrigation activities along the Yellow River (Fan et al., 2015; Zhai et al., 2015).

166 Detailed comments are given below.

167 Specific Concerns/Comments

168 1) Line 55: The authors stated that "the response of rainfall to large-scale revegetation is

- 169 rarely investigated". As far as I known, there are studies that have investigated it.
- 170 Ma, D., M. Notaro, Z. Liu, G. Chen, and Y. Liu. Simulated impacts of afforestation in East
- 171 China monsoon region as modulated by ocean variability, Climate Dynamics, 41(9-10),
- 172 2439-2450, 2013, doi: 10.1007/s00382-012-1592-9

- 173 Chen, L., Z. Ma, R. Mahmood, T. Zhao, Z. Li, and Y. Li. Recent land cover changes and
- 174 sensitivity of the model simulations to various land cover datasets for China, Meteorology
- 175 and Atmospheric Physics, 129(4), 395-408, 2016, doi:10.1007/s00703-016-0478-5
- 176 Yosef, G., R. Walko, R. Avisar, F. Tatarinov, E. Rotenberg, and D. Yakir. Large-scale semi-
- arid afforestation can enhance precipitation and carbon sequestration potential. Scientific
- 178 Reports, 8(1), 996, 2018. doi:10.1038/s41598-018-19265-6
- 179 Wang, Y. L, Feng, J. M, Gao, H. Numerical simulation of the impact of land cover change on
- regional climate in China. Theoretical & Applied Climatology, 2014, 115(1-2):141-152
- 181 Chen, H. S et al. Numerical Simulation of the Impact of Land Use/Land Cover Change over
- 182 China on Regional Climates during the Last 20 Years. Chinese Journal of Atmospheric
- 183 Sciences, 2015
- 184 Xu, L., G. Yang, Y. Feng, Y. Du, and X. Han. A study on microclimate impacts of artificial
 185 vegetation on the Loess Plateau, Research of Soil and Water Conservation, 17(4), 170-179,
 186 2010
- 187 Ma, Y. Climatic and agricultural effect of converting farmland into forest or grass land in
- 188 ShanGanNing region in China, Chinese Academy of Meteorological Sciences and Nanjing
- 189 University of Information Science & Technology, 2011

190	We thank the reviewer for providing some literature that we should have cited. We think
191	some literature are helpful and related with this work, such as Ma et al. (2013), Chen et al.
192	(2017), Wang et al. (2014) and Yosef et al. (2018), so we have cited this four literature in the
193	revised manuscript. For example:
194	Line 70: We also note some earlier studies investigating the response of rainfall to land
195	cover change across China (e.g., Chen et al., 2017; Ma et al., 2013; Wang et al., 2014).
196	Unfortunately, these studies either focused less on the Loess Plateau (Ma et al., 2013) or
197	applied land cover changes unable to reflect the revegetation of the Loess Plateau
198	(Chen et al., 2017; Wang et al., 2014).
199	Line 456: It is very likely this would be the consequences in some regions such as
200	Amazonia (Lawrence and Vandecar, 2015; Perugini et al., 2017; Spracklen et al., 2018)
201	and Sahel (Kemena et al., 2018; Xue and Shukla, 1996; Yosef et al., 2018).
202	We also note the reviewer provided some literature in Chinese, such as Chen et al. (2015), Xu
203	et al. (2010) and Ma et al. (2011). Given that these literature are not accessible to the
204	readership of Hydrology and Earth System Sciences, we think it is unsuitable to cite these
205	literature in the revised manuscript.
206	2) Lines 69–70: "Thus, the impact of revegetation on the hydrology of the Loess Plateau
207	remains unclear due to the uncertainty in the rainfall response." The conclusion is kind of

arbitrary because there are multiple factors, for example, whether the applied land use change

209	data can reflect the reality, and whether a continuous change in the vegetation boundary
210	condition is considered in the modeling. To my knowledge, the existing modeling studies are
211	mainly about sensitivity experiments which cannot exactly reveal what happened in the real
212	world. This manuscript was also a sensitivity experiment. On the other hand, the change in
213	soil moisture under the GFGP was associated with the investigated soil layer depths. The soil
214	moisture above 1 m on the Loess Plateau was mainly controlled by precipitation.
215	We agree and we have rewritten this sentence in the revised manuscript:
216	Line 79: Here, we note it might be unfair to directly compare the observational and
217	modeling results because observational results commonly incorporate multiple factors
218	and modeling results are subject to uncertainties in both land cover change and
219	biophysical parametrization schemes implemented in models (de Noblet-Ducoudre et al.
220	2012; Pitman et al. 2009). These intrinsic differences between observational and
221	modeling cannot fully account for the disagreement on the runoff and soil moisture
222	change due to revegetation over the Loess Plateau.
223	3) As shown in Fig. 2g, the croplands mainly increased from 2015 to 2001, which is contrary
224	to the expected fact. The applied land cover change data cannot reflect the reality well.
225	Consequently, readers may wonder how much the simulation can represent the fact.
226	As we mentioned above, we obtained the land cover change from MODIS land cover
227	product, which is an authoritative dataset worldwide and should be reliable. We have

228	explained why croplands increased from 2001 to 2015 over the Loess Plateau in the revised
229	manuscript:

230	Line 19	92: These	increased	croplands	revealed l	by the MC	DDIS land	l cover	product,	whick
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- 231 seem unlikely, have been reported previously (Fan et al., 2015; Lv et al., 2019), and are
- 232 likely associated with expanded irrigation activities along the Yellow River (Fan et al.,
- 233 2015; Zhai et al., 2015).
- 234 We have also added some warnings in the revised manuscript. For example:
- Line 184: We note that the difference between LC2001 and LC2015 should not be
 regarded as equivalent to the impact of GFGP for two reasons.
- 237 Line 449: Last, we investigated the impact of revegetation or greening, rather than
- 238 *GFGP*, on the hydrology of the Loess Plateau. Directly linking our results to the impact
- 239 of GFGP on the hydrology of the Loess Plateau should be avoided.

4) Lines 70–71: "Moreover, as far as we know, there has been no study investigating how the

- regional hydrology would be affected if further revegetation was undertaken." The current
- 242 effects of land use/cover change still need to be further identified. Readers may want to know
- to what extent can we trust the conclusion of the study with further revegetation?

244 We have clarified why we study the impact of further revegetation on the hydrology of the

245 Loess Plateau in the revised manuscript:

246	Line 89: As far as we know, there has been no study investigating how the regional
247	hydrology would be affected by further revegetation over the Loess Plateau, something
248	important for informing policymakers on the mitigation and adaptation of climate
249	change for this region. Additionally, the vegetation over the Loess Plateau is fragile and
250	highly dependent on the water availability (Fu et al. 2017). How the hydrology would be
251	impacted by further revegetation determines the water availability, and in turn how
252	much more revegetation can be sustained over the Loess Plateau. Neglecting this
253	process risks errors in assessing the upper threshold of vegetation of the Loess Plateau
254	(Feng et al., 2016; Zhang et al., 2018). Given the importance of revegetation over the
255	Loess Plateau now and in the future we examine the impact of further revegetation on
256	the hydrology of the Loess Plateau and pay particular attention to the response of
257	rainfall to revegetation.

5) Lines 107–109: "The MCD12Q1 data were reprojected to Geographic Grid data with a
resolution of 30 second (approximately 0.9 km) by the MODIS Reprojection Tool to make
them suitable for WRF." Why didn't you resample the MCD12Q1 data into 10km that is

exactly the same to the domain 2?

262 We have further clarified this comment in the revised paper:

Line 134: We changed the land cover type within the Loess Plateau while retaining the

264 *default land cover type for other regions in our experiments (see details in Section 2.3).*

265 Therefore, the MCD12Q1 data were reprojected to Geographic Grid data with a

266	resolution of 30 second (approximately 0.9 km) by the MODIS Reprojection Tool to
267	make them consistent with the default land cover map in WRF.

268	6) Lines 155–156: "We note land cover change here, rather than revegetation or afforestation,
269	for two reasons. First, actual land cover changes since the launch of the GFGP are highly
270	spatially heterogeneous." However, the authors mentioned "revegetation" throughout the
271	manuscript including the title and abstract. If the applied land cover change cannot represent
272	the fact, the simulated conclusions cannot provide too much guidance for the implement of
273	GFGP.
274	We have rewritten this sentence:
275	Line 184: We note that the difference between LC2001 and LC2015 should not be
276	regarded as equivalent to the impact of GFGP for two reasons.
277	We have also added a caveat in discussions of the revised manuscript:
278	Line 449: Last, we investigated the impact of revegetation or greening, rather than
279	GFGP, on the hydrology of the Loess Plateau. Directly linking our results to the impact
280	of GFGP on the hydrology of the Loess Plateau should be avoided.
281	We think "revegetation" is a suitable word to basically describe the land cover change of the
282	Loess Plateau due to a significant greening trend in the past decades. It has been also widely
283	used in previous literature.

284	7) The significant changes (grey) in rainfall were not located in the main area of vegetation
285	changing under the "Grain for Green Program" in Fig. 111. What is the reason?
286	We have demonstrated these rainfall changes (e.g., increased rainfall of the northeast Loess
287	Plateau) is associated with model internal variability. Please see section 3.6 for details.
288	8) Lines 161–168: The used VEGFRA, LAI and changes also incorporated other factors
289	including improved agricultural management, climate variability, rising atmospheric CO2
290	concentration and nitrogen deposition. This may interfere the isolation of vegetation change
291	effect. Please clarify.
292	We have clarified this comment in the revised manuscript:
293	Line 449: Last, we investigated the impact of revegetation or greening, rather than
294	GFGP, on the hydrology of the Loess Plateau. Directly linking our results to the impact
295	of GFGP on the hydrology of the Loess Plateau should be avoided.
296	9) As shown in Fig. 5c, the latent heat flux (ET) increased significantly almost across the
297	Loess Plateau. However, the LAI and land cover almost didn't change in the region except
298	the ELP and SLP (i.e., the region near the internally draining area). Moreover, the extent of
299	changes in the green vegetation fraction was much larger than that of LAI (LC2015-LC2001).
300	Please clarify the reasons. Additionally, what induced the changes in albedo in the region

301 near the internally draining area? Furthermore, the LAI changed in the ELP and SLP regions,

302	but there was almost no change in albedo.	What is the reason? The Lines 248-250 need to be
303	further explained.	

304	We actually	v masked the small	changes in	LAI in the original	manuscript. We have	e changed

- 305 the colorbar scheme of Fig. 3 to avoid readers misunderstanding the biophysical changes. It is
- 306 visible that LAI indeed change outside ELP and SLP.
- 307 The difference between green vegetation fraction change and LAI change is not surprising
- 308 because green vegetation fraction and LAI are different measures.
- 309 To clarify the albedo change, we have added some text in the revised manuscript:
- Line 198: For example, the α decrease mostly occurs over grasslands in northwest (Fig.
- 311 3e), where land cover type is rarely changed (Fig 2c). This decreased α is attributed to
- 312 *increased precipitation as well as the restoration of grasslands benefiting from the*
- 313 Returning Rangeland to Grassland Program launched in 2003 over this region (Zhai et
- al., 2015). In contrast, the α change is negligible in the SLP and ELP, owing to the
- 315 *combined effects of increased forests (Fig. 2a) and croplands (Fig. 2d).*
- 10) The rainfall change mainly occurred in the region above the ELP (Fig. 7a), which was not
- 317 consistent with the mainly occurring area of GFGP. What is the reason? Moreover, the
- 318 convective rainfall increased and non-convective rainfall decreased for LC2015-LC2001 in
- 319 Fig. 7. Please clarify the reason.

320	Similar to comment #7, we have demonstrated the increased rainfall of the northeast Loess
321	Plateau is associated with model internal variability. Please see section 3.6 for details.
322	The reviewer thinks the convective rainfall increased and non-convective rainfall decreased
323	for LC2015-LC2001 in Fig. 7, while these changes are negligible small. Basically the RAIN
324	change is divided almost evenly between RAINC and RAINNC (Fig. 7c and 7e). This
325	demonstrates the weak linkage between RAIN, RAINC and RAINNC changes and
326	revegetation, and these rainfall changes appears randomness which is more likely induced by
327	model internal variability.
328	11) Lines 260–262: "Moreover, the increased rainfall in northeast Loess Plateau occurring in
329	LC2015-LC2001 dissipate when further revegetation is implemented suggesting that this
330	change is largely associated with internal model variability." However, the initial conditions
331	were the same between LC2015-LC2001 and LCfutr-LC2001 with the only differences in
332	land cover and the biogeophysical parameters.
333	To clarify this comment, we have rewritten this sentence in the revised manuscript:
334	Line 297: Moreover, the increased RAIN in northeast Loess Plateau occurring in
335	LC2015-LC2001 dissipate when further revegetation is implemented while the changes
336	in both land cover type and biophysical parameters are relatively small over this

337 regions. This increased RAIN should be maintained in LCfutr-LC2001 if the change in

338	RAIN is robust for LC2015-LC2001. We will analyse the increased RAIN of the
339	northeast Loess Plateau in LC2015-LC2001 in Section 3.6.
340	12) Suggest the authors to add one more figure of spatial P-ET changes which is highly
341	correlated with runoff and soul moisture above 1 m.
342	We have added the figure of spatial P-ET changes in the revised manuscript. Please see Fig.
343	8e and 8f.
344	13) The rainfall responses were obviously different in different years in Figure 10 under the
345	same vegetation change. Please give some explanation. The Figure 12 was used to
545	same vegetation change. Thease give some explanation. The Figure 12 was used to
346	demonstrate the impact of model internal variability, but one important factor for the
347	phenomenon may be the large variability in rainfall.
348	We have explained why rainfall responses are different in different years:
349	Line 308: This large variability in RAIN changes among the twenty members can be
350	attributed to either different boundary conditions (background climate), which causes
351	the impact of land cover change to diverge (Pitman et al., 2011), or model internal
352	variability.
353	We further chose 2001 as a case to examine the whether the increased rainfall in 2001 can be
354	robustly linked with revegetation. We demonstrated that the rainfall changes in 2001 are not

robust as we can modified the rainfall changes only by changing the initial conditions. As wesaid:

357	Line 357: We therefore show the RAIN change in each realisation for LCENS2015-
358	LCENS2001 in Fig. 11. These eleven ensemble members share the same boundary
359	conditions with small differences in initial conditions. In contrast with the increased
360	RAIN obtained from setting initial date on 1st May (Fig. 10f), the RAIN changes are
361	modified by an advance of 1 to 10 days in initial conditions. For example, WRF cannot
362	simulate the increased RAIN over northeast Loess Plateau when using an initial date of
363	22nd, 25th, 27th and 30th April, highlighting that the RAIN change is very sensitive to
364	the initial conditions. Thus, the RAIN increase in 2001 with an initial date of 1st May is
365	likely associated with internal variability rather than revegetation. In another words, the
366	RAIN change due to revegetation is negligible relative to the RAIN change induced by
367	internal variability. We therefore conclude that the multiyear averaged RAIN increase
368	over northeast Loess Plateau for LC2015-LC2001 (Fig. 7a) cannot be robustly linked
369	with revegetation.

Fig. 12 is to demonstrate that running more members and averaging them can effectively
reduce the noise induced by model internal variability. Running multiple years or running a
year but with multiple realisations are both effective. As a the reviewer said "one important
factor for the phenomenon may be the large variability in rainfall", so it is necessary to

examine the rainfall change in a single year with multiple realisations, in which case the largevariability in rainfall is absent due to the same boundary condition (background climate).

14) Lines 365–371: Generally, if a continuous simulation is conducted, much time will be 376 377 taken. This may be why the simulation periods were usually not too long in a certain number 378 of studies. If long time spans are considered, continuous simulations usually cannot be 379 realized like this study (only including the growing season). On the other hand, the effects of 380 land cover change are likely associated with the backgrounds of circulation, which suggests 381 that the effects could be different for different research time periods. Tobella et al. (2014) 382 reported that tree planting had both negative and positive effects on water resources in 383 drylands and the net effect was the result of a balance between them. Similarly, this 384 manuscript found that there existed both positive and negative effects of vegetation change 385 on rainfall, and the effects were not small as stated in Line 267 and Fig. 6b. The authors concluded that the results show no impact on rainfall in most places of this manuscript. It 386 387 seems that the expression is inappropriate because the negative and positive effects likely 388 canceled each other out from 1996 to 2015.

We agree that the time scale should be considered when the impact of revegetation isevaluated. As we stated in the revised manuscript:

Line 430: First, observations of soil moisture declines associated with revegetation can
be alleviated once trees mature (Jia et al., 2017; Jin et al., 2011). Our simulations only
capture an initial decline in runoff and soil moisture linked with the higher

394	evapotranspiration and we note that the impact of revegetation on the long-time trend
395	(25 - 50 years) would be valuable. Second, we used current boundary conditions (1996-
396	2015) for WRF to predict the impact of further revegetation on the hydrology, which
397	means the boundary conditions do not change in the future in response to climate
398	change. This suggests that we might underestimate the impact of further revegetation in
399	the future if future climate of the Loess Plateau suffers from large changes in response
400	to global warming.
401	We have added some discussions on the work of Tobella et al. (2014):
402	Line 459: Additionally, Tobella et al. (2014) reported a positive impact of trees on soil
403	hydraulic properties influencing groundwater recharging when termite mound is taken

404 into account in Africa. While the termite mound is rare over the Loess Plateau

405 suggesting this positive impact of trees is unlikely to occur.

The reviewer think "It seems that the expression is inappropriate because the negative and positive effects likely canceled each other out from 1996 to 2015". This statement is true if the rainfall change in individual year can be robustly linked with revegetation. While we demonstrate that the rainfall change in individual year (we take 2001 for instance) cannot be linked with revegetation. In another word, the rainfall change in individual year, which is induced by model internal variability, cancelled each other from 1996 to 2015. It is therefore legitimate to conclude revegetation has no impact on the rainfall of the Loess Plateau.

413	15) Lines 307–316: It was first stated that "the RAIN increase in 2001 with an initial date of
414	1st May is likely associated with internal variability rather than land cover change." Then, it
415	was concluded "the multiyear averaged RAIN change over northeast Loess Plateau for
416	LC2015-LC2001 (Fig. 7a) cannot be robustly linked with land cover change." If the rainfall
417	response was not associated with the land cover change, does it mean all the results in the
418	manuscript were not linked with the vegetation change?

419 We have added a text to clarify this comment:

420	Line 421: Returning to Fig. 6, ET shows a highly consistent increase in response to

1 . 11

- revegetation among the 20 years, suggesting that ET change is robustly linked with 421
- revegetation. Although changes in runoff and soil moisture also show large variability 422
- 423 among the 20 years, the distribution of the runoff and soil moisture changes are
- 424 negative biased. More importantly, the distribution of the runoff and soil moisture
- 425 changes systematically shift towards negative values. This suggest runoff and soil
- 426 moisture changes are very likely linked with revegetation. The large variability in runoff
- 427 or soil moisture changes is induced by the large variability of rainfall. Given the tight
- linkage between rainfall and runoff or soil moisture, the changes in runoff or soil 428
- moisture tends to be mistakenly represented if the rainfall change is not robustly 429
- 430 examined, and this requires internal model variability to be thoroughly addressed.

431 16) The authors mentioned that their simulations were at high resolution of 10 km many times in the manuscript. However, I don't think a 10 km resolution is high nowadays. 432

- We have replaced "high resolution" with "relatively high resolution" throughout the revisedmanuscript.
- 435 17) Suggest the authors to give the study periods (1996-2015 or just 2001) in the figure

436 captions.

- 437 We have added the study periods in the figure captions.
- 438 18) Typo mistakes:
- 439 Line 20: Results suggests that...
- 440 This has been revised.
- Line 122: "As we only focus the growing season" should be "focus on the growing season".
- 442 This has been revised.
- 443 Line 308: "cf. Fig. 7a and 10f"
- 444 This has been revised.

Impact of revegetation of the Loess Plateau of China on the regional growing season water balance

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458 Abstract. To resolve a series of ecological and environmental problems over the Loess Plateau, the "Grain for 459 Green Program (GFGP)" was initiated at the end of 1990s. Following the conversion of croplands and bare land 460 on hillslopes to forests, the Loess Plateau has displayed a significant greening trend with soil erosion being 461 reduced. However, the GFGP has also affected the hydrology of the Loess Plateau which has raised questions 462 whether the GFGP should be continued in the future. We investigated the impact of revegetation on the hydrology 463 of the Loess Plateau using relatively high resolution simulations and multiple realisations with the Weather 464 Research and Forecasting (WRF) model. Results suggests that land cover change the revegetation since the launch 465 of the GFGP has reduced runoff and soil moisture due to enhanced evapotranspiration. Further revegetation 466 associated with the GFGP policy is likely to increase evapotranspiration further, and thereby reduce runoff and 467 soil moisture. The increase in evapotranspiration is associated with biophysical changes, including deeper roots 468 that deplete deep soil moisture stores. However, despite the increase in evapotranspiration our results show no 469 impact on rainfall. Our study cautions against further revegetation over the Loess Plateau given the reduction in 470 water available for agriculture and human settlements, without any significant compensation from rainfall.

471 ■ 1 Introduction

472 The Loess Plateau is a highland region of north central China, covering about 640,000 km². The loess soils are 473 well suited for agriculture so natural forests have been progressively converted to farmland to support the growing 474 population over the last 7000 years (Fu et al., 2017). However, the loess is also prone to wind and water erosion, 475 and the long history of deforestation is associated with soil erosion, resulting in land degradation, low agricultural 476 productivity and significant local poverty in some farming communities (Bryan et al., 2018; Chen et al., 2015; Fu 477 et al., 2017). The soil erosion aggravates the flux of sediment into the Yellow River (Fu et al., 2017; Miao et al., 478 2010; Peng et al., 2010) increasing the risk of catastrophic flooding in some densely populated regions 479 downstream (Bryan et al., 2018; Chen et al., 2015; Fu et al., 2017).

To minimise soil erosion, mitigate flood risk, store carbon and improve livelihoods over the Loess Plateau, the "Grain for Green Program (GFGP)" was initiated by reforesting hillslopes in the late 1990s (Bryan et al., 2018; Fu et al., 2017; Liu et al., 2008). Consequently, the Loess Plateau has displayed a <u>significant</u> "greening" trend (Chen et al. 2015; Fu et al., 2017; Li et al., 2017). The large scale vegetation restoration program has also reduced soil erosion over the Loess Plateau and alleviated sediment transport into the Yellow River (Fu et al., 2017; Liang et al., 2015; Miao et al., 2010; Peng et al., 2010; Wang et al., 2016).

486 As a consequence of the beneficial outcomes of the GFGP, further investment is planned with a commitment of 487 around \$US33.9 billion by China through to 2050 (Feng et al., 2016). However, further revegetation over the 488 Loess Plateau is controversial (Cao et al., 2011; Chen et al., 2015; Fu et al., 2017) with evidence from field (Jia 489 et al., 2017; Jin et al., 2011; Wang et al., 2012) and satellite (Feng et al., 2017; Lv et al., 2019a; Xiao, 2014) 490 observations that revegetation has affected the hydrological balance of the region. Compared with croplands or 491 barren surfaces, the planted forests enable higher evapotranspiration associated with a larger leaf area, higher 492 aerodynamic roughness and deeper roots (Anderson et al., 2011; Bonan, 2008; Bright et al., 2015). Consequently, 493 revegetation tends to decrease soil moisture and runoff with the associated risk of limiting water availability for 494 agriculture, human consumption and industry (Cao et al., 2011; Chen et al., 2015; Fu et al., 2017). Indeed, the 495 present vegetation over the Loess Plateau, which to some extent reflects decades of reafforestation, may already 496 exceed the limit that the local water supply can support, and hence further revegetation may not be sustainable 497 (Feng et al., 2016; Zhang et al., 2018).

498 Despite the increasing observational evidence demonstrating thethat revegetation tends to impair the hydrological 499 balance of the Loess Plateau, the response of rainfall to revegetation over this region has always commonly been 500 overlooked. This is mainly due to the difficulty in detecting the impact of revegetation on rainfall from 501 observations. The impact of revegetation on evapotranspiration, soil moisture and runoff over the Loess Plateau 502 has been studied; however the response of rainfall to large scale revegetation is rarely investigated. As an 503 important component of hydrological cycle of the Loess Plateau, rainfall not only controls the terrestrial water 504 budget, but also influences soil erosion and the discharge of sediment into the Yellow River (Liang et al., 2015; 505 Miao et al., 2010; Peng et al., 2010; Wang et al., 2016). Therefore, how rainfall responds to revegetation is critical 506 to a comprehensive assessment of the impact of revegetation on the hydrology of the region. Indeed, if rainfall 507 responds to revegetation, this may influence national policies on whether to continue large scale vegetation 508 restoration programs. Afforestation or deforestation does have the potential to affect rainfall via changes in 509 biogeophysical processes, but any impact of afforestation or deforestation reforestation on rainfall tends to be 510 highly regionally specific (Chen and Dirmeyer, 2017; Quesada et al., 2017Findell et al., 2006; Lorenz et al., 2016; 511 Winckler et al., 2017).

512 SuperiorIn contrast with to observations, modeling can help to effectively disentangle the impact of revegetation 513 on rainfall from the impact of other drivers. Cao et al. (2017) and Li et al. (2018) earried-outperformed numerical 514 experiments over the whole China and demonstrated that the revegetation over the Loess Plateau can enhance the 515 rainfall locally. Very recently, Lv et al. (2019b) and Cao et al. (2019) earried outperformed simulations 516 numerical experiments focussed on targeting the Loess Plateau to examine the impact of revegetation or 517 afforestation on rainfall. Lv et al. (2019) reported a significant increase in rainfall while Cao et al. (2019) found 518 spatially divergent changes of rainfall. We also note some earlier studies investigating the response of rainfall to 519 land cover change across China (e.g., Chen et al., 2017; Ma et al., 2013; Wang et al., 2014). Unfortunately, these 520 studies either focused less on the Loess Plateau (Ma et al., 2013) or applied land cover changes unable to reflect 521 the revegetation of the Loess Plateau (Chen et al., 2017; Wang et al., 2014). Therefore, there remains-large 522 uncertainties remain in the response of rainfall to revegetation of the Loess Plateau owing to inconsistent 523 conclusions derived from limited studies. Several studies have used coupled models to assess the hydrological 524 impact of revegetation across China (Cao et al., 2017; Li et al., 2018). Lv et al. (2019b) examined revegetation 525 over the Loess Plateau and found an increase in the simulated rainfall.

526 Furthermore We note, Li et al. (2018) also reported that a positive feedback of the increased rainfall due to 527 revegetation over North China (covering but not limited to the Loess Plateau), which was large enough to 528 compensate for the increase in evapotranspiration and resulted in little impact on soil moisture. This simulated 529 negligible soil moisture change associated with revegetation is contradicted by extensive studies based on 530 observations (e.g., Feng et al., 2017; Jia et al., 2017; Wang et al., 2012). Here, we note it might be unfair to directly 531 compare the observational and modeling results because observational results commonly incorporate multiple 532 factors and modeling results are subject to uncertainties in both land cover change and biophysical parametrization 533 schemes implemented in models (de Noblet-Ducoudre et al. 2012; Pitman et al. 2009). Despite these These 534 intrinsic differences between observational and modeling results, they can't cannot fully -totally account for the 535 disagreement on the runoff and soil moisture change due to revegetation over the Loess Plateau. Thus, the impact 536 of revegetation on the hydrology of the Loess Plateau remains unclear and needs careful re-evaluations.-due to 537 the uncertainty in the rainfall response.

538 Moreover, as far as we know, there has been no study investigating how the regional hydrology would be affected 539 if further revegetation was undertaken<u>over the Loess Plateau. This is highly relevant with the policymakers'</u> 540 decision on the mitigation and adaptation of climate change for this region. Additionally, the vegetation over the 541 Loess Plateau is fragile and highly dependent on the water availability (Fu et al. 2017). How the hydrology would 542 be impacted by further revegetation determines the water availability, and in turn how much more revegetation 543 can be sustained over the Loess Plateau. Neglecting this process risks to overestimate or underestimate the upper 544 threshold of vegetation of the Loess Plateau (Feng et al., 2016; Zhang et al., 2018). Thus, the impact of further 545 revegetation on the hydrology of the Loess Plateau should be explicitly investigated.

546

In this study, we examine the impact of revegetation following the launch of the GFGP on the hydrology of the Loess Plateau using <u>relatively</u> high resolution simulations with the Weather Research and Forecasting model. We also examine the impact of further revegetation on the hydrology of the Loess Plateau with the goal of providing helpful information to policy-makers. <u>As far as we know, there has been no study investigating how the regional</u> hydrology would be affected by further revegetation over the Loess Plateau, something important for informing policymakers on the mitigation and adaptation of climate change for this region. Additionally, the vegetation over

553	the Loess Plateau is fragile and highly dependent on the water availability (Fu et al. 2017). How the hydrology
554	would be impacted by further revegetation determines the water availability, and in turn how much more
555	revegetation can be sustained over the Loess Plateau. Neglecting this process risks errors in assessing the upper
556	threshold of vegetation of the Loess Plateau (Feng et al., 2016; Zhang et al., 2018). Given the importance of
557	revegetation over the Loess Plateau now and in the future we examine the impact of further revegetation on the
558	hydrology of the Loess Plateau and We pay particular attention to the response of rainfall to revegetation, which
559	is rarely available from observations.

560

1

561 2 Methods

562 **2.1 Model configuration**

563 The Weather Research and Forecasting (WRF, version 3.9.1.1, Skamarock et al., 2008), a fully coupled land-564 atmosphere regional weather and climate model, was used in our study. WRF has been extensively 565 demonstrated shown to perform well in dynamic downscaling of regional climate over China (e.g., He et al., 2017; 566 Sato and Xue, 2013; Yu et al., 2015). Additionally, WRF has been used to study the impact of land use and land 567 cover change on the hydrological balance at regional scales (Deng et al., 2015; Zhang et al., 2018). While WRF 568 is therefore potentially suitable to for _ evaluating the impact of revegetation on the hydrology of the Loess 569 Plateau we undertake an evaluation of . While the performance of WRF in simulating surface air temperature and 570 rainfall of the Loess Plateau was validated in this study for this region -(See Section 3.1). Therefore, WRF is 571 potentially suitable to evaluate the impact of revegetation on the hydrology of the Loess Plateau. While the 572 performance of WRF in simulating surface air temperature and rainfall of the Loess Plateau was validated in this 573 study (See Section 3.1).- To perform simulations at high spatial resolution over the Loess Plateau region, we 574 applied two-way nested runs, with two domains at different grid resolutions running simultaneously. The ERA-575 Interim reanalysis data (Dee et al., 2001, Table 1) provided the boundary conditions for the larger and coarser 576 resolution (30 km) domain, and the larger domain provided boundary conditions for the smaller and higher 577 resolution (10 km) domain. The ERA-Interim reanalysis data also provided the initial conditions for both domains. 578 Using a lambert projection, the larger domain was centred at 100°E, 37°N, with 180 grid points in west-east 579 direction and 155 grid points in south-north direction, covering most of China and some surrounding regions (Fig.

1a). The inner domain covers the entire Loess Plateau with 166 grid points in west-east direction and 151 grid
points in south-north direction (Fig. 1a and 1b). Both domains had 28 sigma levels in vertical direction with the
top level set at 70 hPa. Figure-Fig. 1b shows the region analysed in this paper.

583 The main physical parameterization schemes used in our study included the WRF Single-Moment 6-class scheme 584 (Hong and Lin, 2006) for microphysics, the Dudhia scheme (Dudhia, 1989) for shortwave radiation, the Rapid 585 Radiative Transfer Model (RRTM, Mlawer et al., 1997) for longwave radiation, a revised MM5 scheme (Jimenez 586 et al., 2012) for the surface layer, the Noah Land Surface Model (Ek, 2003), the Yonsei University scheme (Hong 587 et al., 2006) for the planetary boundary layer, and the Kain-Fritsch scheme (Kain, 2004) for cumulus convection. 588 The Noah Land Surface Model used the Unified NCEP/NCAR/AFWA scheme with soil temperature and moisture 589 in four layers (1st layer: 0-10 cm, 2nd layer: 10-40 cm, 3rd layer: 40-100 cm, 4th layer: 100-200 cm), fractional snow 590 cover and frozen soil physics. A sub-tiling option considering three land cover types within each grid cell was 591 applied to help improve the simulations of the land surface fluxes and temperature (Li et al., 2013).

592 **2.2** Data

593 2.2.1 Satellite data

594 We used satellite observed land cover type data obtained from the Moderate Resolution Imaging 595 Spectroradiometer (MODIS) Land Cover Type product (MCD12Q1, Version 6, Friedl and Sulla-Menashe, 2019, 596 Table1). This provides land cover types based on International Geosphere-Biosphere Program (IGBP) 597 classification scheme (Table 2) globally at a spatial resolution of 500 m, and at yearly intervals from 2001 to 2017. 598 The MCD12Q1 Version 6 is improved over previous versions via substantial improvements to algorithms, 599 classification schemes and spatial resolution (Sulla-Menashe et al., 2019). We changed the land cover type within 600 the Loess Plateau while retaininged the default land cover type for other regions in our experiments (see details 601 in Section 2.3). Therefore, tThe MCD12Q1 data were reprojected to Geographic Grid data with a resolution of 30 602 second (approximately 0.9 km) by the MODIS Reprojection Tool to make them suitable for WRF consistent with 603 the default land cover map in WRF.

604 Key land surface biogeophysical parameters include the green vegetation fraction (*VEGFRA*), snow free albedo 605 (α), leaf area index (*LAI*), and the background roughness length (Z_0). The fraction of Photosynthetically Active 606 Radiation (*FPAR*) can be used as a proxy of *VEGFRA* (Kumar et al., 2014; Liu et al., 2006) enabling both *VEGFRA* 607 and LAI data to be obtained from the MODIS Terra+Aqua LAI/FPAR product (MCD15A2H, Version 6, Myneni 608 et al., 2015a, Table 1). This provides 8-day composite LAI and FPAR globally at a spatial resolution of 500 m 609 since 4th July, 2002. The MODIS Terra LAI/FPAR product (MOD15A2H, Version 6, Myneni et al., 2015b, Table 610 1) was also used to provide observations prior to 2002 as it started on 8th February, 2000. Although MOD15A2H 611 has a longer span time, MCD15A2H is generally preferred. This is because only observations from the MODIS 612 sensor on NASA's Terra satellite is used to generate MOD15A2H, but observations from sensors on both Terra 613 and Aqua satellites are used for MCD15A2H. The MCD15A2H and MOD15A2H Sinusoidal Tile Grid data were 614 reprojected before use. The 8-day LAI and FPAR data were composited to monthly data to make them suitable for 615 WRF.

As we only focus <u>on</u> the growing season (see Section 2.3.1), α can be assumed to be equivalent to satellite observed snow-free albedo. The α data was derived from the blue sky albedo for shortwave provided by the Global Land Surface Satellite (GLASS) product (Liang and Liu, 2012, Table 1). This provides an 8-day composite albedo globally at a spatial resolution of 0.05° from 1981 to present. Compared with the MODIS albedo product, the GLASS albedo product has a higher temporal resolution and captures the surface albedo variations better (Liu et al. 2013). The 8-day α data were composited to monthly data.

622 The background roughness length (Z_0) was calculated following Eq. (1):

$$623 Z_0 = Z_{min} + \frac{VEGFRA - VEGFRA_{min}}{VEGFRA_{max} - VEGFRA_{min}} \times (Z_{max} - Z_{min}) (1)$$

where Z_{max} and Z_{min} were land cover dependent maximum and minimum background roughness length respectively, provided by lookup tables. *VEGFRA*, *VEGFRA*_{max} and *VEGFRA*_{min} are the instantaneous, maximum and minimum green vegetation fraction, which were calculated from satellite observed *VEGFRA* (equal to *FPAR*) which would be implemented in WRF (see Section 2.3).

628 **2.2.2 Observation data**

To evaluate the WRF model performance in simulating the surface air temperature and rainfall over the Loess Plateau, we used a gridded observation dataset developed by the National Meteorological Information Centre of the China Meteorological Administration (Zhao et al., 2014, Table 1). The dataset provides monthly surface air temperature and rainfall at a spatial resolution of 0.5° from 1961 to present and was produced by merging more
than 2400 station observations across China using Thin Plate Spline interpolation. The dataset has been widely
used to analyse the surface air temperature and rainfall over the Loess Plateau (Sun et al., 2015; Tang et al., 2018).
To facilitate the comparison between simulations and observations, the observation data were bilinearly
interpolated to the WRF inner domain grid.

637 **2.3 Experiment design**

638 **2.3.1** The impact of land cover change<u>revegetation</u> since the launch of the GFGP

639 To examine the impact of land cover changerevegetation on the hydrology of the Loess Plateau since the launch 640 of the GFGP we conducted a control experiment (LC₂₀₀₁) and a sensitivity experiment (LC₂₀₁₅). For the LC₂₀₀₁, 641 satellite observed land cover type, VEGFRA, LAI and α in 2001 were used to approximate land cover type and 642 land surface biogeophysical parameters before the launch of the GFGP. There is a one-year gap between the 643 launch of the GFGP (end of 1999) and 2001, but any bias introduced by this gap is small compared with the 644 changes in land cover type and land surface biogeophysical parameters between 1999 and present. Satellite 645 observed land cover type, VEGFRA, LAI and α in 2015, representing the current land cover type and land surface 646 biogeophysical status, were used for the LC_{2015} . Model configurations were identical for the LC_{2001} and LC_{2015} 647 except for land cover type and land surface biogeophysical parameters. Comparing the LC_{2015} therefore 648 isolates the impact of land cover changerevegetation since the launch of the GFGP.

649 <u>Here it should be noted</u> We note that the difference between LC_{2001} and LC_{2015} should not be regarded as equivalent 650 to the impact of GFGP We note land cover change here, rather than revegetation or afforestation, for two reasons. 651 First, actual changes in land cover type changes since the launch of the GFGP are highly spatially heterogeneous 652 due to various anthropogenic activities including GFGP, irrigation and urbanization. MCD12Q1 suggests that 653 most changes in land cover type changes have occurred in the south Loess Plateau (SLP, 105-111°E, 35-37°N) 654 and east Loess Plateau (ELP, 111-114°E, 35-39°N) (Fig. 2a, 2c, 2e and 2g). In addition to the gain of forests 655 (including evergreen needleleaf, evergreen broadleaf, deciduous needleleaf, deciduous broadleaf and mixed 656 forests) and savannas (including woody savannas and savannas), other changes in land cover changes type include 657 the expansion of croplands (including croplands and cropland/natural vegetation mosaics) at the expense of 658 grasslands and savannas (Fig. 2g). These increased croplands revealed by the MODIS land cover product, which

659 seem contrary to the reality unlikely, have also been reported previously (Fan et al., 2015; Lv et al., 2019), and are 660 likely associated with expanded irrigation activities along the Yellow River (Fan et al., 2015; Zhai et al., 2015). 661 Second, the observed VEGFRA, LAI and α changes also incorporate other factors including improved agricultural 662 management, climate variability, rising atmospheric CO₂ concentration and nitrogen deposition (Li et al., 2017; 663 Fan et al., 2015; Piao et al., 2015). As shown in Fig. 3a, 3c, 3e, and 3g, the biogeophysical changes are not strictly 664 limited to the regions undergoing <u>changes in</u> land cover <u>typechange</u>. For example, the α <u>change decrease</u> mostly 665 occurs over grasslands in northwest (Fig. 3e), where land cover type ehanges are less intense is rarely changed 666 (Fig 2c). This decreased α is attributed to increased precipitation as well as the restoration of grasslands benefiting 667 from the Returning Rangeland to Grassland Program launched in 2003 over this region (Zhai et al., 2015). In 668 contrast, the α change is negligible small-in the SLP and ELP, which is likely owing to the combined effects of 669 increased forests (Fig. 2a) and croplands (Fig. 2d). Overall however, the MCD12Q1 demonstrates a significant 670 greening trend (increased VEGFRA, LAI and Z_0 and decreased α) over the Loess Plateau since the launch of the 671 GFGP (Figure Fig. 3), which are spatially consistent with previous studies (e.g., Cao et al., 2019; Xiao, 2014; 672 Zhai et al., 2015).

Both LC_{2001} and LC_{2015} were run from 1st May to 30th September for years from 1996 to 2015 resulting in twenty realisation members for each of LC_{2001} and LC_{2015} . We only run for the growing season; any impact of reforestation revegetation should be most apparent during the growing season given that over 70% of the annual rainfall occurs over the Loess Plateau in this season (Sun et al., 2015; Tang et al., 2018).

677 **2.3.2** The impact of further revegetation on the Loess Plateau

If the GFGP is continued in the future, further revegetation could impact the hydrology of the Loess Plateau. We therefore conducted a third experiment (LC_{futr}) in which the coverage of forests was assumed to be maximum over the Loess Plateau following the policy of the GFGP. To maximise forests we first assumed all croplands and barren on hillslopes were converted to forests. Second, we assumed savannas or forests with low coverage (e.g., low *VEGFRA*) became dense forests. The land cover and land surface biogeophysical parameters for the LC_{futr} were then constructed following two steps.

First, all croplands, barren and savannas pixels on hillslopes (>15°) were replaced by forests pixels over the Loess
Plateau based on the land cover map of 2015. The slope is derived from the Shuttle Radar Topography Mission

(SRTM version 2.0, Table 1) Digital Elevation Model at a spatial resolution of 3 second (about 90 m). The pixel resolution of the land cover type is 30 second, so every land cover type pixel covered 100 (10×10) slope values. To maximise the revegetation, land cover type pixels with maximum slope values over 15° were regarded as hillslopes. For a pixel to be changed, the forest class was determined by the class of neighbouring forests pixels, considering the adaptation of planted trees to local climate. Using this strategy, forests pixels increased by 164% and croplands pixels decreased by nearly a half in the constructed land cover map compared with the land cover type in 2001, with most conversions occurring in SLP (Fig. 2b and 2h).

Second, we constructed the *VEGFRA*, *LAI* and α map in line with the land cover type map-constructed in the first step. For each forests class, we screened out the "dense forests" pixels with *VEGFRA* over the 95th percentile among the pixels labelled as the same forests class over the Loess Plateau. The monthly values of *VEGFRA*, *LAI* and α of the "dense forest" pixels were calculated for each forests class. We then adjusted the monthly *VEGFRA*, *LAI* and α of other "non-dense forests" pixels to the values of the "dense forests" pixels. Using this strategy, all forests pixels over the Loess Plateau were changed to more dense forest. Consequently, the Loess Plateau shows an amplified greening trend in LC_{futr}, especially in SLP (Fig. 3b, 3d, 3f and 3h).

The LC_{futr} was run from 1st May to 30th September for years from 1996 to 2015. Therefore comparing LC_{2001} and LC_{futr} isolates the impact of further revegetation on the hydrology of the Loess Plateau.

702 **■ 2.3**

2.3.3 Identification of the impact of revegetation

703 Model internal variability is defined as the difference between realisation members where the only differences are 704 the initial conditions. These differences result from nonlinearities in the model physics and dynamics (Giorgi and 705 Bi, 2000; Christensen et al., 2001). This means some differences between LC_{2001} and LC_{2015} (or LC_{futr}) will be 706 caused by internal variability in addition to land cover changesrevegetation (Lorenz et al., 2016; Ge et al., 2019). 707 To minimise the impact of internal model variability we performed multiple simulations for the year 2001 by 708 changing initial conditions. Specifically, we carried out a pair of experiments named LCENS₂₀₀₁ and LCENS₂₀₁₅, 709 which were the same as LC_{2015} and LC_{2015} except that $LCENS_{2001}$ and $LCENS_{2015}$ were only run for the year 2001 710 but initialized for each day between 21st to 30th April, and ending on 30th September. This led to a total of eleven 711 members (including the members with initial dates of 1st May in LC2001 and LC2015) for LCENS2001 and LCENS2015 respectively. Comparing LCENS₂₀₀₁ and LCENS₂₀₁₅, simulated changes were likely robust if the impact from revegetation was large and consistent relative to the differences caused by the change in the initial condition.

Results before 1st June was discarded as spin-up time in each simulation. Our analysis focusses on June, July,
August and September (JJAS) averages.

716 **2.5 Local significance test**

To test the statistical significance of the local impact of land cover changerevegetation on the hydrology we calculate a grid-point by grid-point Student's *t*-test. This tests the null hypothesis that the two groups of data are from independent random samples from normal distributions with equal means and equal but unknown variances. The local difference is regarded as statistically significant when the *p*-value of the two-tailed *t*-test passes the significance level of 95%.

722 **3 Results**

723 **3.1** Evaluation of WRF's skill in simulating temperature and rainfall

724 We first evaluate WRF's simulation of surface 2m air temperature (T2) and rainfall (RAIN), the quantities with 725 the most credible observations available over the Loess Plateau, usingby comparing the averaged value of the 726 eleven members in LCENS₂₀₀₁ with the observed values in 2001. After topographic correction (Zhao et al., 2008), 727 WRF simulates T2 over the Loess Plateau mostly within 2°C of the observations (Fig. 4a, 4c, 4e) although there 728 are small areas where WRF simulates warmer temperatures than the observations by 4°C. The model also performs 729 well in simulating RAIN (Fig. 4b, 4d, 4f) including a region of higher observed rainfall from the southwest to the 730 central Loess Plateau. The RAIN bias between the WRF simulations and the observations is below 0.5 mm/day 731 for almost the entire Loess Plateau (Fig. 4f). Larger RAIN biases mostly occur around the eastern and southern 732 borders of the Loess Plateau, most likely due to extremely complex topography in these locations. Since we focus 733 on the impact of land cover change on the hydrology of the region, the reasonable simulation of RAIN gives us 734 confidence in the results from WRF, particularly in SLP.

735 **3.2 Impacts on surface fluxes**

We first examine the change in the land surface radiation budget, energy and water fluxes as these are directly impacted by <u>changes in land cover type</u> and the surface biogeophysical changesparameters. Comparing LC_{2001} 738 and LC₂₀₁₅ (LC₂₀₁₅-LC₂₀₀₁), land surface net radiation (R_{nel}), latent heat flux (Q_E) and sensible heat flux (Q_H) 739 changes mainly occur where land cover type and land surface biogeophysical parameters are changed, suggesting 740 a strong local effect on R_{net} , Q_E and Q_H . R_{net} increases by around 5-20 W·m⁻² (Fig. 5a), over most of the region 741 due to a reduction in α (Fig. 3e). While Q_E increases by 10-30 W·m⁻² (Fig. 5c) and Q_H reduces by around 10 W·m⁻ 742 ² (Fig. 5e), mostly in SLP and ELP as a result of increased VEGFRA, LAI and Z_0 (Fig. 3a, 3c and 3g). Changes in 743 R_{net} and Q_E are statistically significant at a 95% confidence level over most of the region, but statistically 744 significant changes in Q_H are mostly limited to SLP and ELP (see the embedded subplots in each panel, Fig. 5a, 745 5c and 5e). As a consequence of further revegetation (LC_{futr}-LC₂₀₀₁), R_{net} , Q_E and Q_H changes are intensified (Fig. 746 5b, 5d and 5f), especially in SLP where large areas of croplands are converted to forest leading to large changes 747 in land surface biogeophysical parameters in LC_{futr} (Fig. 2 and 3).

748 Focusing on SLP, the increase in evapotranspiration (*ET*) is 0.49 mm day⁻¹ between LC₂₀₁₁ and LC₂₀₁₅ (Fig. 6a). 749 WRF simulates further water loss $(0.85 \text{ mm} \cdot \text{day}^{-1})$ through ET if the revegetation is continued in the future (Fig. 750 6c). For ELP, where relative fewer croplands or barren can be further converted to forests in LC_{futr}, the future ET 751 increase is still considerable (0.72 mm day⁻¹, Fig. 6b and 6d). The values of regional mean ET change among the 752 twenty members of LC2015-LC2001 and LCfutr-LC2001 remain consistently positive over SLP and ELP. This indicates 753 that the simulated higher ET is a consistent result from WRF as a consequence of the land cover changes 754 revegetation since the launch of the GFGP, and is likely to be further strengthened by continued revegetation over 755 the Loess Plateau.

756 **3.3 Impacts on rainfall**

757 Increased ET can contribute to the formation of clouds and rainfall, and we therefore examine whether this is the 758 case for the Loess Plateau. The RAIN is composed of convective rainfall (RAINC) calculated by the cumulus 759 convection scheme, and non-convective rainfall (RAINNC) calculated by microphysics scheme in WRF. Thus we 760 separate RAINC and RAINNC changes in addition to the RAIN change in Fig.7. As for LC₂₀₁₅-LC₂₀₀₁, the change 761 in RAIN is spatially heterogeneous, with an increase of up to 1.2 mm day-1 in small parts of the northeast and a 762 decrease around -1.0 mm·day⁻¹ along the southeast border of the Loess Plateau (Fig. 7a). The RAIN change is 763 divided almost evenly between RAINC and RAINNC (Fig. 7c and 7e). However, most of the RAIN, RAINC and 764 RAINNC changes are not statistically significant. In terms of LC_{futr}-LC₂₀₀₁, RAIN, RAINC and RAINNC are not
significantly changed by further revegetation (Fig. 7b, 7d and 7f). Moreover, the increased <u>rainfall_RAIN</u> in northeast Loess Plateau occurring in LC₂₀₁₅-LC₂₀₀₁ dissipate when further revegetation is implemented while the changes in both land cover type and biophysical parameters are relatively small over this regions. In another word,T_this increased *RAIN* should be maintained in LC_{futr}-LC₂₀₀₁ if this the change in *RAIN* change is robust for LC₂₀₁₅-LC₂₀₀₁. We will in detail_analyze the increased *RAIN* of the northeast Loess Plateau in LC₂₀₁₅-LC₂₀₀₁ suggesting that this change is largely associated with internal model variability.in Section 3.6.

771 For both LC_{2015} - LC_{2001} and LC_{futr} - LC_{2001} cases, most *RAIN* changes seems to be randomly scattered around the 772 Loess Plateau instead of being located coincident with SLP or ELP where land cover type, land surface 773 biogeophysical parameters and land surface fluxes are most strongly modified (Fig. 7a and 7b). In contrast, tThe 774 RAIN change is negligible over SLP and ELP for both LC₂₀₁₅-LC₂₀₀₁ and LC_{futr}-LC₂₀₀₁ cases (Fig. 6 and 7). 775 However, the RAIN change in individual realisations is not small, e.g., the RAIN change varies from -2.11 to 2.21 776 mm day⁻¹ over the ELP for LC₂₀₁₅-LC₂₀₀₁ (Fig. 6b). So averaging the divergent *RAIN* changes among the twenty 777 members causes a negligible RAIN change overall. This large variability in RAIN changes among the twenty 778 members can be attributed to either different boundary conditions (background climate), which causes the impact 779 of land cover change to diverge (Pitman et al., 2011), or model internal variability. This will be further analysed 780 in Section 3.6.

781 **3.4 Impacts on runoff**

782 As a consequence of the significant ET increase and negligible and statistically insignificant RAIN change, 783 underground runoff (UDROFF) is reduced by up to 1.5 mm·day⁻¹ locally for LC₂₀₁₅-LC₂₀₀₁ (Fig 8c). Averaged 784 over the SLP and ELP, the UDROFF decreases by 0.16 mm day⁻¹ (-23%) and 0.34 mm day⁻¹ (-23%) for SLP and 785 ELP respectively (Fig. 6a and 6b). These UDROFF changes are not statistically significant and vary strongly 786 among the twenty members, suggesting a large uncertainty in the UDROFF change. WRF simulated a larger 787 UDROFF decrease due to further revegetation (Fig. 8d), especially over SLP and ELP where the regional mean 788 UDROFF decreases by 0.38 mm day⁻¹ (-54%) and 0.63 (-42%) respectively (Fig. 6c and 6d). These UDROFF 789 decreases are statistically significant at a 95% confidence level for both SLP and ELP. Moreover, the upper 790 quartile of UDROFF changes among the twenty members systematically shift below the 0 mm day⁻¹ value for 791 both the SLP and ELP. These results indicate a larger chance of the UDROFF decrease if the revegetation is continued over the SLP and ELP. <u>Moreover, the spatial change in UDROFF is highly-consistent with that of the</u>
 net budget of *RAIN* and *ET* (*RAIN-ET*) for both LC₂₀₁₅-LC₂₀₀₁ and LC_{futr}-LC₂₀₀₁ (Fig. 8e and 8f), suggesting that
 the UDROFF change can be mostly explained by the change of *RAIN-ET*. We also note some UDROFF changes
 in adjacent regions of the Loess Plateau (Fig. 8c and 8d) associated with *RAIN* changes (Fig. 7a and 7b)._

Compared with the *UDROFF* change, the surface runoff (*SUROFF*) change are mostly small for both LC₂₀₁₅-LC₂₀₀₁ and LC_{futr}-LC₂₀₀₁ (Fig. 8a and 8b). However, the relative change of *SUROFF* is considerable, especially for the LC_{futr}-LC₂₀₀₁ case in which *SUROFF* decreased by 21% for the SLP and 14% for the ELP respectively (Fig. 6c and 6d). We also find the upper quartile of the *SUROFF* change systematically shifts below the 0 mm·day⁻¹ value although the *SUROFF* change are not statistically significant for the LC_{futr}-LC₂₀₀₁.

801 **3.5 Impacts on soil moisture**

802 In addition to the decline in runoff, the soil moisture (SMOIS) of each layer is significantly reduced over the Loess 803 Plateau for LC₂₀₁₅-LC₂₀₀₁ (Fig. 9a, 9c, 9e and 9g) with larger decreases in the middle two layers. The regional 804 mean SMOIS for the SLP decreases by 0.02 m·m⁻³ (-8%) and 0.03 m·m⁻³ (-12%) for the second and third layers 805 (Fig. 6a). WRF simulated further falls in soil moisture following further revegetation, with a larger impact on 806 deeper soil layer moisture (Fig. 9b, 9d, 9f and 9h). For example, the decrease in regional mean soil moisture of 807 the bottom layer for the SLP varies from -0.01 (or -5%) in $LC_{2015}-LC_{2001}$ (Fig. 6a) to -0.04 (or -17%) in LC_{futr} 808 LC₂₀₀₁ (Fig. 6c). Similar to the UDROFF change, the spatial change in SMOIS offor each layer is also-consistent 809 with that of RAIN-ET for both LC₂₀₁₅-LC₂₀₀₁ and LC_{futr}-LC₂₀₀₁ (Fig.8e and 8f).

810 **3.6 Robust identification of rainfall change**

811 We found a large variability in changes in RAIN among the twenty members over the SLP and ELP for both 812 LC₂₀₁₅-LC₂₀₀₁ and LC_{futr}-LC₂₀₀₁. We next examine whether these can be attributed to land cover 813 changerevegetation. We first show the *RAIN* change in individual members for LC₂₀₁₅-LC₂₀₀₁ (Fig. 10). The large 814 variability of RAIN changes among the twenty members occur throughout the study region. Even the increase in 815 RAIN over the northeast Loess Plateau (Fig. 7a), which is available by comparing multiyear mean RAIN between 816 LC₂₀₁₁ and LC₂₀₁₅, is not consistent for every year. As for the northeast Loess Plateau, the RAIN shows an increase 817 in 8 years (1997, 2001, 2003, 2004, 2007, 2010, 2012 and 2015), decrease in 5 years (1996, 1999, 2006, 2009 and 818 2014) and negligible changes in other 7 years. This results in a net increase in RAIN over the twenty years, but a different selection of years could show an overall decrease (the result is similar for LC_{futr}-LC₂₀₀₁, not shown).
Similarly, other statistically significant *RAIN* changes scattedoccur in the study region (e.g., decreased *RAIN* to
the southwest Loess Plateau shown in Fig. 7a) but these are not consistent amongacross the twenty years-either.
As mentioned beforecarlier, this large variability in *RAIN* changes among the twenty members is possibly
attributed to different boundary conditions (background climate), and we next examine whether this case-is true
over the Loess Plateau.

825

826 We note that the pattern of RAIN change in 2001 is very similar to the multiyear averaged one, but with a larger 827 magnitude (ef. Fig. 7a and 10f). The RAIN increase of the northeast Loess Plateau in just 2001 explains about 30% 828 of the multiyear mean RAIN increase in the same region. We therefore show the RAIN change in each realisation 829 for LCENS₂₀₁₅-LCENS₂₀₀₁ in Fig. 11. It should be noted that T-these to highlight that the changes are not consistent 830 among the eleven ensemble members despite their sharging the same boundary conditions (background climate), 831 with tinysmall differences in initial conditions. In contrast of with the increased RAIN obtained from setting initial 832 date on 1st May (Fig. 10f), the RAIN changes are mostly-modified due toby an advance of 1 to 10 days in initial 833 conditions. For example, WRF cannot simulate the increased RAIN over northeast Loess Plateau when using an 834 initial date of 22nd, 25th, 27th and 30th April, highlighting that the RAIN change is very sensitive to the initial 835 conditions. Thus, the RAIN increase in 2001 with an initial date of 1st May is likely associated with internal 836 variability rather than land cover changerevegetation. In another words, the RAIN change due to revegetation is 837 negligible small-relative to the RAIN change induced by internal variability-of model. We therefore conclude that 838 the multiyear averaged RAIN change-increase over northeast Loess Plateau for LC₂₀₁₅-LC₂₀₀₁ (Fig. 7a) cannot be 839 robustly linked with land cover changerevegetation.

840

■ 3.7 How many members do we need to get a robust signal?

Model internal variability is inevitable when we use models to investigate the impact of land cover change on climate. The model internal variability can be minimised as the number of individual realisations is increased to form a larger sample to calculate any average. We therefore examine the relationship between the *RAIN* change and the number of realisation members (Fig. 12). Focusing on the SLP and ELP, the range of *RAIN* change decreases as the number of realisations increase. For example, the *RAIN* change over the ELP varies from -0.97 to 1.07 mm·day⁻¹ when only three members are included. The range of *RAIN* is narrowed to between -0.25 and 0.24 mm·day⁻¹ when fifteen members are simulated. It is similar for LCENS₂₀₁₅-LCENS₂₀₀₁; the range in the change in *RAIN* decreases as the number of simulation members increases. The change in *RAIN* suggests an increase of 0.48 and 0.40 mm·day⁻¹ for the SLP and ELP respectively when the simulation members are increased to eleven.

851 **4 Discussion**

Following the launch of the GFGP by China in the late 1990s, the Loess Plateau has shown a significant greening trend, but with simultaneous concerns about water security for agriculture and other human activities. We investigated the impact of land cover changerevegetation since the launch of the GFGP on the hydrology of the Loess Plateau using WRF. Simulations show that the revegetation of the plateau is associated with a decrease in runoff and soil moisture as a consequence of higher evapotranspiration and little feedback from rainfall.

857 Our results on changes of evapotranspiration, soil moisture and runoff are broadly consistent with both field (Jia 858 et al., 2017; Jian et al., 2015; Jin et al., 2011) and satellite (Feng et al., 2017; Li et al., 2016; Xiao, 2014) 859 observations. For example, the spatial pattern of our simulated soil moisture decline in the growing season is 860 similar to observations from the Advanced Microwave Scanning Radiometer on the Earth Observing System by 861 the Japanese Aerospace Exploration Agency (Feng et al., 2017). Although the increased evapotranspiration due 862 to revegetation of the Loess Plateau has been extensively simulated examined before (e.g., Cao et al., 2017, 2019; 863 Li et al., 2018; Lv et al., 2019), the reduction in runoff and soil moisture in response to revegetation of the Loess 864 Plateau, which is consistent with observations, has been rarely reported in modeling results previously. Moreover, 865 our simulated littleweak response of rainfall to revegetation of the Loess Plateau, which is rarely obtained fromis 866 hard to determine from observations, is useful in assessing the hydrometeorology of this region. also fill in the gap 867 of our knowledge - Observations of soil moisture declines associated with revegetation are not always permanent 868 and may be alleviated once trees exceed 25 years (Jia et al., 2017; Jin et al., 2011). Our simulations only capture 869 the initial decline in runoff and soil moisture linked with the higher evapotranspiration and we note that the impact 870 of revegetation on the long time trend (25 50 years) would be valuable.

871 We also investigated the potential future impact on the hydrology of the Loess Plateau if revegetation was 872 continued-, which has nevernot been assessed before but is important for both scientific communities and 873 policymakers. WRF suggests that further revegetation would exacerbate soil moisture and runoff declines with 874 particularly large effects on the underground runoff and soil moisture in deeper layers. Our simulations suggested 875 that the potential revegetation that could still be achieved would have larger consequences than those simulated 876 since the launch of the GFGP. However, we note some limitations in our experiment design. First we use current 877 boundary conditions (1996 2015) to drive WRF, which means the background climate does not change in the 878 future in response to climate change. Second, uncertainties exist in the current land surface model used to represent 879 the response of vegetation to climate change in future. While using satellite observations to construct the land 880 surface biogeophysical parameters helps overcome some land surface parameter limitations, this approach is 881 obviously limited looking forward in terms of the status of future vegetation. Despite these limitations, our Our 882 results provide useful advances in our understanding of the impact of further revegetation on the Loess Plateau. 883 For example, both Feng et al. (2016) and Zhang et al. (2018) estimated the current vegetation over the Loess 884 Plateau is approaching or may have exceeded the threshold of ecological equilibrium. They omitted the potential 885 response of rainfall to further revegetation over the Loess Plateau when predicting future thresholds (Feng et al., 886 2016; Zhang et al., 2018). Our result demonstrate that there is almost no feedback of rainfall associated with 887 further revegetation, supporting the approach of Feng et al. (2016) and Zhang et al. (2018) in this specific region. 888 That said, our approach does not attempt to incorporate changes in climate over the Loess Plateau and so the 889 viability of large-scale reforestation in this region is not something we attempted to assess.

890 We focused on the response of rainfall to revegetation over the Loess Plateau, which is probably the most uncertain 891 of the hydrological components. WRF shows little response of rainfall to the land cover changerevegetation since 892 the launch of the GFGP, which contradicts another modeling-earlier results (Cao et al., 2017, 2019; Li et al., 2018; 893 Lv et al., 2019). Moreover, the rainfall is weakly affected by further revegetation despite large increase in 894 evapotranspiration. We also demonstrate that the rainfall change is strongly affected by internal variability and a 895 large number of realisations are required before any impact of land cover change revegetation on rainfall might be 896 robustly identified. We suggest that some previous studies (Cao et al., 2017, 2019; Lv et al., 2019) based on model 897 simulations may have exaggerated the impact of land cover changerevegetation on rainfall over the Loess Plateau 898 due to the lack of sufficient realisations. For example, Cao et al. (2017, 2019) and Lv et al. (2019) used the same 899 WRF to perform only three and or five member simulations, and concluded a significant increase change in rainfall 900 caused by land cover changerevegetation over the Loess Plateau. More interestingly, Cao et al. (2017) and Cao et

901 al. (2019) obtained different conclusions on the rainfall change over the Loess Plateau with same WRF model. 902 They used a broadly - similar experimental design but same physical parameters schemes and same changes in 903 land cover type and biophysical parameters despite some differences in different spatial resolution (30 km and 10 904 km respectively) and simulation strategy (simulations from 2001-2002 with three ensembles and consecutive 905 simulation from 2000-2004 respectively). We could also demonstrate large changes in rainfall over the plateau if 906 we chose 3-5 members but we could demonstrate either large increases or large decreases in 3-5 member averages. 907 Returning to Fig. 6, ET shows a highly consistent increase trend-in response to revegetation among the 20 years, 908 suggesting that ET change is robustly linked with revegetation. Although changes in runoff and soil moisture also 909 show large variability among the 20 years, the distribution of the runoff and soil moisture changes are negative 910 biased. More importantly, the distribution of the runoff and soil moisture changes systematically shift towards 911 negative values. This suggest runoff and soil moisture changes are very likely linked with revegetation. TAetually, 912 the *large* variability in runoff or soil moisture changes is induced by the large variability of rainfall. Given the 913 tight linkage between rainfall and runoff or soil moisture, the changes in runoff or soil moisture tends to be 914 mistakenly represented if the rainfall change is not robustly examined, and this <u>-Clearly</u>, a robust result requires 915 internal model variability to be thoroughly addressed.

916 Our studies are also subject to some caveats. First, observations of soil moisture declines associated with 917 revegetation are not always permanent and maycan be alleviated once trees exceed 25 years mature (Jia et al., 918 2017; Jin et al., 2011). ThereforeO-our simulations only capture thean initial decline in runoff and soil moisture 919 linked with the higher evapotranspiration and we note that the impact of revegetation on the long-time trend (25 920 - 50 years) would be valuable. Second, we used current boundary conditions (1996-2015) for WRF to predict the 921 impact of further revegetation on the hydrology, which means the boundary conditions do not change in the future 922 in response to climate change. This suggests that we might underestimate the impact of further revegetation in the 923 future if future climate of the Loess Plateau suffers from large changes in response to global warming. Third, 924 uncertainties exist in the current land surface model used to represent the response of vegetation to climate change 925 in future. While using satellite observations to construct the land surface biogeophysical parameters helps 926 overcome some land surface parameter limitations, this approach is obviously limited looking forward in terms 927 of the status of future vegetation. Furthermore, We we note that our results are likely model dependeant as we 928 only used one model. Although we performed relatively high resolution (10 km for the nested domain), the

929 cumulus convection scheme remains necessary which is a further potential source of uncertainty. These factors 930 account for the discrepancy between our result and another model based study (Li et al., 2018). which-Li et al 931 (2018) found a positive rainfall feedbacks to greening and consequently littlesmall changes- in side effects on 932 runoff and soil moisture over north China using a Global Climate Model. In contrast, we demonstrate the rainfall 933 change is too small to compensate for the strongly enhanced evapotranspiration, causing a reduction of runoff and 934 soil moisture in response to revegetation over the Loess Plateau. A large ensemble of models, each with a 935 reasonable number of realisations, is needed to build a model independent assessment of the impact of revegetation 936 but this is clearly beyond the scope of this study. Last, we investigated the impact of revegetation or greening, 937 rather than GFGP, on the hydrology of the Loess Plateau. It is still a great challenging to quantify the contribution 938 of GFGP to observed changes in both land cover type and biophysical parameters as far as now. Directly linking 939 our results to the impact of GFGP on the hydrology of the Loess Plateau Thus it should be avoided to regard our 940 results equivalent to the impact of GFGP on the hydrology of the Loess Plateau.

941

942 Overall, our results highlight how the GFGP led to a greening revegetation of the Loess Plateau, how thisled to 943 increased evapotranspiration and how as a consequence the runoff and soil moisture declined. This is consistent 944 with the understanding of land-surface processes and how they respond to land cover change (Bonan, 2008). 945 Critical in this impact of revegetation on the hydrology is what happens to rainfall. If the higher evapotranspiration 946 increases rainfall, then revegetation has the potential to increase soil moisture and runoff. It is very likely this 947 would be the consequences in some regions such as Amazonia (Lawrence and Vandecar, 2015; Perugini et al., 948 2017; Spracklen et al., 2018) and Sahel (Kemena et al., 2018; Xue and Shukla, 1996; Yosef et al., 2018). However, 949 over the Loess Plateau we find no such result and thus the higher evapotranspiration simply leads to lower soil 950 moisture and runoff. Additionally, Tobella et al. (2014) reported a positive impact of trees on soil hydraulic 951 properties influencing groundwater recharging when termite mound is taken into account in Africa. While the 952 termite mound is rare over the Loess Plateau suggesting this positive impact of trees is unlikely to occur. An 953 implication of this result is that further revegetation, which requires water to be sustained, may not be viable. We 954 also recognize that afforestation can help to sequester carbon, mitigate warming and alleviate soil erosion.

955 Therefore whether and how to implement further revegetation should be cautiously determined with the pros and 956 cons of afforestation being carefully weighted for the Loess Plateau.

957 **5** Conclusions

958 We evaluated how the growing season hydrology of the Loess Plateau is impacted by revegetation since the launch 959 of the "Grain for Green Program", and by further revegetation in the future using the WRF model. We used 960 satellite observations to describe key biophysical parameters including decreased albedo and increased leaf area 961 index and fraction of photosynthetically active radiation. The observed greening trend increased 962 evapotranspiration but because the impact on rainfall was negligible the underground runoff and soil moisture 963 both decreased. Further future revegetation enhanced evapotranspiration, but still had little impact on rainfall. Overall therefore, revegetation over the Loess Plateau leads to higher evapotranspiration, and as a consequence 964 965 lower water availability for agriculture or other human demands. Considering the negative impact of revegetation 966 on runoff and soil moisture, and the lack of benefits on rainfall, we caution that further revegetation may threaten 967 local water security over the Loess Plateau.

968 Code and data availability. The MODIS land cover type product (MCD12Q1) and LAI/FPAR products 969 (MCD15A2H and MOD15A2H) are available on NASA's Land Processes Distributed Active Archive Center (LP 970 DAAC), https://lpdaac.usgs.gov/data/. The GLASS albedo product is available on Global land surface satellite 971 (GLASS) products download and service, http://glass-product.bnu.edu.cn/. The ERA-Interim reanalysis data is 972 available on the ECMWF Data Server, https://www.ecmwf.int/en/forecasts/datasets/reanalysis-datasets/era-973 interim. The gridded observation dataset is available on the National Meteorological Information Centre of the 974 China Meteorological Administration, http://data.cma.cn/data/cdcindex.html. The code of Weather Research and 975 Forecasting model is available on http://www2.mmm.ucar.edu/wrf/users/.

Author contributions. CF, JG and WG led the overall scientific questions and designed the research. JG, AJP and
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revising the manuscript.

979 *Competing interest.* The authors declare that they have no conflict of interest.

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Tables

Table 1. Descriptions of datasets used in this study

Variable	Dataset	Time span available	Temporal resolution	Spatial resolution
Land cover	MCD12Q1	2001-2017	Yearly	500 m
LAI/FPAR	MCD15A2H	4 th July, 2002 to present	8-day	500 m
LAI/FPAR	MOD15A2H	8 th Feburary, 2000 to present	8-day	500 m
Albedo	GLASS	1981 to present	8-day	0.05°
Initial and boundary conditions for WRF	ERA-Interim	1979 to present	6 hour	0.75°
Surface air temperature	National Meteorological Information Centre	1961 to present	Monthly	0.5°
Rainfall	National Meteorological Information Centre	1961 to present	Monthly	0.5°
Slope	SRTM	_	_	3 second (about 90 m)

Table 2. The International Geosphere-Biosphere Programme (IGBP) classification and class descriptions

Name	Value	Description
Evergreen Needleleaf Forests	1	Dominated by evergreen conifer trees (canopy $>2m$). Tree cover $>60\%$.
Evergreen Broadleaf Forests	2	Dominated by evergreen broadleaf and palmate trees (canopy >2m). Tree cover >60%.
Deciduous Needleleaf Forests	3	Dominated by deciduous needleleaf (larch) trees (canopy >2m). Tree cover >60%.
Deciduous Broadleaf Forests	4	Dominated by deciduous broadleaf trees (canopy $>2m$). Tree cover $>60\%$.
Mixed Forests	5	Dominated by neither deciduous nor evergreen (40-60% of each) tree type (canopy >2m). Tree cover >60%.
Closed Shrublands	6	Dominated by woody perennials (1-2m height) >60% cover.
Open Shrublands	7	Dominated by woody perennials (1-2m height) 10-60% cover.
Woody Savannas	8	Tree cover 30-60% (canopy >2m).
Savannas	9	Tree cover 10-30% (canopy >2m).
Grasslands	10	Dominated by herbaceous annuals (<2m).
Permanent Wetlands	11	Permanently inundated lands with 30-60% water cover and >10% vegetated cover.
Croplands	12	At least 60% of area is cultivated cropland.
Urban and Built-up Lands	13	At least 30% impervious surface area including building materials, asphalt, and vehicles.
Cropland/Natural Vegetation Mosaics	14	Mosaics of small-scale cultivation 40-60% with natural tree, shrub, or herbaceous vegetation.
Permanent Snow and Ice	15	At least 60% of area is covered by snow and ice for at least 10 months of the year.
Barren	16	At least 60% of area is non-vegetated barren (sand, rock, soil) areas with less than 10% vegetation.
Water Bodies	17	At least 60% of area is covered by permanent water bodies.

Table 3. Description of the experiment design

Experiment	Land cover	VEGFRC	LAI	α	Simulation period	
LC ₂₀₀₁	2001	2001	2001	2001	1 st May to 30 th Sep. for years from 1996 to 2015	
LC ₂₀₁₅	2015	2015	2015	2015	1 st May to 30 th Sep. for years from 1996 to 2015	
LC _{futr}	Artifically constructed land cover and land surface biogeophysical parameters (see text)			1 st May to 30 th Sep. for years form 1996 to 2015		
LCENS ₂₀₀₁	2001	2001	2001	2001	From varying initial time (from 21 st April to 1 st May) to 30 th Sep. for the year 2001	
LCENS ₂₀₁₅	2015	2015	2015	2015	From varying initial time (from 21 st April to 1 st May) to 30 th Sep. for the year 2001	

1221 Figures



Figure 1. (a) The larger domain labelled D01 and (b) the inner nested domain labelled D02 configured for the
WRF model. The topography (meters above sea level) is shown as colour shading. The Loess Plateau is enclosed
by the black border. The black rectangle covers the region to be analysed in this study.



Figure 2. (a, c, e and g)-Land cover type_changes (a, c, e and g) between the LC_{2001} and LC_{2015} (LC_{2015} - LC_{2001}), and (b, d, f and h) between the LC_{2001} and LC_{futr} (LC_{futr} - LC_{2001}). The green, brown and grey colours denote the gained, lost and unchanged land cover type_respectively in the LC_{2015} (a, c, e and g) and LC_{futr} (b, d, f and h) compared with the LC_{2001} . Forests include evergreen needleleaf, evergreen broadleaf, deciduous needleleaf, deciduous broadleaf and mixed forests (see Table 2). Savannas include woody savannas and savannas. Croplands include croplands and cropland/natural vegetation mosaics. The south (105-111°E, 35-37°N) and east (111-114°E, 35-39°N) Loess Plateau are enclosed by black rectangles and labelled SLP and ELP respectively.





Figure 3. Changes in June-July-August-September mean (a and b) green vegetation fraction (%), (c and d) leaf
 area index (m³·m⁻³), (e and f) albedo and (g and h) roughness length (m) between the LC₂₀₀₁ and LC₂₀₁₅ (LC₂₀₁₅-

- 1238 LC_{2001} ; a, c, e and g), and between the LC_{2001} and LC_{futr} (LC_{futr} - LC_{2001} ; b, d, f and h). The south (SLP) and east
- 1239 (ELP) Loess Plateau regions are defined in Figure 2.



Figure 4. The WRF simulated June-July-August-September (JJAS) mean (a) <u>observed</u> surface air temperature (°C), (b) observed rainfall (mm·day⁻¹), (c) simulated surface air temperature (°C), (d) simulated rainfall (mm·day⁻¹), (e) the differences between observed and simulated surface air temperature (°C; simulation minus observation) and (f) the differences between observed and simulated rainfall (mm·day⁻¹; simulation minus observation) over the Loess Plateau in 2001. The observed surface air temperature and rainfall are and (c) precipitation (mm·day⁻¹), and the observed JJAS mean (b) surface air temperature (°C) and (d) precipitation (mm·day⁻¹) from the gridded

- observation dataset developed by the National Meteorological Information Centre of the China Meteorological
 Administration₂₇ The simulated surface air temperature and rainfall are obtained by averaging the 11 members
 (with different initial conditions) of LCENS₂₀₀₁, and the differences in JJAS mean (e) surface air temperature (°C)
 and (f) precipitation (mm-day⁻¹) between WRF simulations (WRF) and observations (OBS, WRF OBS) over the
- 1251 Loess Plateau in 2001.-



Figure 5. Changes in June-July-August-September mean (a and b) land surface net radiation ($W \cdot m^{-2}$), (c and d) latent heat flux ($W \cdot m^{-2}$) and (e and f) sensible heat flux ($W \cdot m^{-2}$) between the LC₂₀₀₁ and LC₂₀₁₅ (LC₂₀₁₅-LC₂₀₀₁; a, c, and e), and between the LC₂₀₀₁ and LC_{futr} (LC_{futr}-LC₂₀₀₁; b, d, and f) over the Loess Plateau from 1996 to 2015. The south (SLP) and east (ELP) Loess Plateau regions are defined in Figure 2. The map of statistical significance test is shown in the embedded figure on the upper left corner of each panel. The grey denotes the local change is statistically significant at 95% confidence level using a two-tailed Student's *t*-test.



1259

1260 Figure 6. Box plot of changes in June-July-August-September mean evapotranspiration (ET, mm·day⁻¹), rainfall 1261 (RAIN, mm·day⁻¹), surface runoff (SFROFF, mm·day⁻¹), underground runoff (UDROFF, mm·day⁻¹) and soil 1262 moisture (m³·m⁻³) of 1st layer (*SMOIS1*, 0-10 cm), 2nd layer (*SMOIS2*, 10-40 cm), 3rd layer (*SMOIS3*, 40-100 cm) 1263 and 4th layer (SMOIS4, 100-200 cm) averaged over (a and c) south Loess Plateau and (b and d) east Loess Plateau 1264 between LC₂₀₀₁ and LC₂₀₁₅ (LC₂₀₁₅-LC₂₀₀₁; a and b), and between LC₂₀₀₁ and LC_{futr} (LC_{futr}-LC₂₀₀₁; c and d) from 1265 1996 to 2015. The south (SLP) and east (ELP) Loess Plateau regions are defined in Figure 2. The 1st and 2nd line 1266 members denote absolute and relative changes averaged by twenty members. The black asterisk denotes the 1267 change is statistically significant at 95% confidence level using a two-tailed Student's t-test.



1269Figure 7. Same as Figure 5, but for (a and b) total rainfall (mm·day⁻¹), (c and d) convective rainfall (mm·day⁻¹)1270and (e and f) non-convective rainfall (mm·day⁻¹). The south (SLP) and east Loess Plateau (ELP) regions are

1271 defined in Figure 2.





1275 (mm·day⁻¹) and (e and f) rainfall minus evapotranspiration (mm·day⁻¹). The south (SLP) and east Loess Plateau

1276 (ELP) regions are defined in Figure 2.



1278Figure 9. Same as Figure 5, but for the soil moisture change $(m^3 \cdot m^{-3})$ of (a and b) first layer (0-10 cm), (c and d)1279second layer (10-40 cm), (e and f) third layer (40-100 cm) and (g and h) forth layer (100-200 cm). The south (SLP)1280and east (ELP) Loess Plateau regions are defined in Figure 2.



Figure 10. Changes in June-July-August-September mean rainfall (mm·day⁻¹) of each realisation members (years)

1283 between the LC_{2001} and LC_{2015} (LC_{2015} - LC_{2001}) over the Loess Plateau from 1996 to 2015. The south (SLP) and





1286Figure 11. Changes in June-July-August-September mean rainfall (mm·day⁻¹) of each realisation member (a-k)1287and ensemble mean (l) between the LCENS2001 and LCENS2015 (LC2015-LC2001) over the Loess Plateau in 2001.1288The south (SLP) and east Loess Plateau (ELP) regions are defined in Figure 2. The map of statistical significance1289test is shown in the imbed figure on the upper left corner of panel 1. The grey denotes the local change is1290statistically significant at 95% confidence level using a two-tailed Student's *t*-test.



1292

1293 Figure 12. The relationship between the changes in June-July-August-September mean rainfall (mm day⁻¹) and 1294 the number of members. The number of members ranges from 1 to 20 for (a and b) LC₂₀₁₅-LC₂₀₀₁ and (c and d) 1295 LC_{futr}-LC₂₀₀₁, and from 1 to 11 for (e and f) LCENS₂₀₁₅-LCENS₂₀₀₁. The mean rainfall change is averaged over (a, 1296 c and e) south Loess Plateau and (b, d and f) east Loess Plateau respectively. The south (SLP) and east (ELP) 1297 Loess Plateau regions are defined in Figure 2. For a given number of realisations, the rainfall is averaged over 1298 these members. The grey area denotes the range of rainfall changes from all possible combinations of a given 1299 number of members. The red dashed line denotes the 5th and 95th percentile of the rainfall changes from all possible 1300 combination of a given number of members.