



# 1 **Technical Note: On the confounding similarity of two water** 2 **balance formulas – Turc-Mezentsev vs Tixeront-Fu**

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4 Vazken Andréassian<sup>1</sup> & Tewfik Sari<sup>2</sup>

5 <sup>(1)</sup> Irstea, HYCAR Research Unit, Antony, France

6 <sup>(2)</sup> ITAP, Univ Montpellier, Irstea, Montpellier SupAgro, Montpellier, France

## 9 **Abstract**

10 This Technical Note documents and analyzes the confounding similarity of two widely used  
11 water balance formulas: Turc-Mezentsev and Tixeront-Fu. It details their history, their  
12 hydrological and mathematical properties, and discusses the mathematical reasoning behind  
13 their slight differences. Apart from the difference identified in their partial differential  
14 expressions, both formulas share the same hydrological properties and it seems impossible  
15 to recommend one over the other as more “hydrologically founded”: hydrologists should feel  
16 free to choose the one they feel more comfortable with.

17

## 18 **Keywords**

19 Water balance formulas, Turc-Mezentsev formula, Tixeront-Fu formula, Budyko hypothesis

## 20 **1. Introduction**

21 The Turc-Mezentsev (Mezentsev, 1955;Turc, 1954) and Tixeront-Fu (Fu, 1981;Tixeront,  
22 1964) formulas were introduced to model long-term water balance at the catchment scale.  
23 Both formulas are almost equivalent numerically (but differ nonetheless). Surprisingly,  
24 comparisons are rare: Tixeront knew Turc (1954) work, which he cites, but it seems that he  
25 did not realize that Turc’s formulation was numerically equivalent to the one he proposed.  
26 Similarly, Fu knew Mezentsev (1955) work because he starts his 1981 paper discussing it,  
27 but it seems that he did not realize that the formulation he obtained was so close numerically.  
28 As far as we know, Yang et al. (2008) were the first to compare the Turc-Mezentsev and the  
29 Tixeront-Fu formulas and to conclude that both formulas were “approximately equivalent.” In  
30 this note we further elaborate the confounding similarity between the two formulas and  
31 contribute complementary explanations on their underlying hypotheses.

32



33 **2. Presentation of the Turc-Mezentsev (TM) and the Tixeront-Fu**  
 34 **(TF) formulas**

35 The TM and TF formulas use as inputs long-term average precipitation  $P$  [mm/yr] and long-  
 36 term average maximum evaporation  $E_0$  [mm/yr]. They produce as outputs either long-term  
 37 average specific discharge  $Q$  [mm/yr] or long-term average actual evaporation  $E$  [mm/yr].  
 38 There are two formulations (one giving  $Q$  as a function of  $P$  and  $E_0$  and one giving  $E$  as a  
 39 function of the same variables), equivalent under the assumption that the catchment is  
 40 conservative (i.e., that it does not “leak” towards deep aquifers) so that  $E$  and  $Q$  can be  
 41 linked through the equation  $E = P - Q$ . Maximum evaporation is understood in the sense of  
 42 Budyko (1963 /1948/) as the water equivalent of the energy available to evaporation. In what  
 43 follows, the  $E_0/P$  ratio is called the aridity ratio, its inverse (i.e., the  $P/E_0$  ratio) is called the  
 44 humidity ratio. The formulas are presented in Table 1. Because none of the original papers  
 45 introducing them are in English, we also briefly document their origins in the appendix.

46  
 47 **Table 1. Turc-Mezentsev (TM) and Tixeront-Fu (TF) water–energy balance formulations ( $P$  –**  
 48 **precipitation,  $Q$  – streamflow,  $E_0$  – maximum evaporation,  $E$  – actual evaporation, all in**  
 49 **mm/year averaged over many years).  $n$  is the free parameter of the Turc-Mezentsev formula**  
 50 **[ $n > 0$ ];  $m$  is the free parameter of the Tixeront-Fu formula [ $m > 1$ ].**

Reference	Streamflow formulation	Actual evaporation formulation	Parameter
Turc (1954), Mezentsev (1955)	$Q = P - [P^{-n} + E_0^{-n}]^{-\frac{1}{n}}$ Eq. 1	$E = [P^{-n} + E_0^{-n}]^{-\frac{1}{n}}$ Eq. 2	$n > 0$
Tixeront (1964), Fu (1981)	$Q = [P^m + E_0^m]^{\frac{1}{m}} - E_0$ Eq. 3	$E = P + E_0 - [P^m + E_0^m]^{\frac{1}{m}}$ Eq. 4	$m > 1$

51  
 52 We need to clarify here that the TM and TF formulas can be found in the hydrologic literature  
 53 under different names. The naming convention we adopted is explained as follows: Eq. 1 and  
 54 Eq. 2 are named “Turc-Mezentsev” (TM) because Turc (1954) and Mezentsev (1955) worked  
 55 independently and published the same equation almost simultaneously. Eq. 3 and Eq. 4 are  
 56 named “Tixeront-Fu” (TF) because although Tixeront’s original publication predates Fu’s by  
 57 almost 20 years, both publications were independent, and the name of Fu has already  
 58 gained wide international recognition. Both formulas are sometimes referred to as “Budyko-  
 59 type,” although none of them were actually used by Budyko (1963 /1948/), who instead used



60 a parameter-free formula derived from the work of Oldekop (1911) (for a synthesis of  
 61 Oldekop's work and how it was used by Budyko, see Andréassian et al., 2016). Other  
 62 authors have published papers containing the TM formula: see e.g. Hsuen-Chun (1988) and  
 63 Choudhury (1999), and their names are sometimes used to designate it.

64

65 In our interpretation of the TM and TF formulas, we will use their partial derivatives, which we  
 66 present in Table 2 and Table 3.

67

68 **Table 2. Partial derivatives of the Turc-Mezentsev formula ( $P$  – precipitation,  $Q$  – streamflow,  $E_0$   
 69 – maximum evaporation,  $E$  – actual evaporation, all in mm/year averaged over many years).  $n$  is  
 70 the free parameter of the Turc-Mezentsev formula [ $n > 0$ ]**

71

Streamflow formulation	Actual evaporation formulation
$\frac{\partial Q}{\partial P} = 1 - \left(1 + \left(\frac{P}{E_0}\right)^n\right)^{-\frac{1}{n}-1}$ Eq. 5	$\frac{\partial E}{\partial P} = \left(1 + \left(\frac{P}{E_0}\right)^n\right)^{-\frac{1}{n}-1}$ Eq. 6
$\frac{\partial Q}{\partial E_0} = -\left(1 + \left(\frac{E_0}{P}\right)^n\right)^{-\frac{1}{n}-1}$ Eq. 7	$\frac{\partial E}{\partial E_0} = \left(1 + \left(\frac{E_0}{P}\right)^n\right)^{-\frac{1}{n}-1}$ Eq. 8

72

73 **Table 3. Partial derivatives of the Tixeront-Fu formula ( $P$  – precipitation,  $Q$  – streamflow,  $E_0$  –  
 74 maximum evaporation,  $E$  – actual evaporation, all in mm/year averaged over many years).  $m$  is  
 75 the free parameter of the Tixeront-Fu formula [ $m > 1$ ]**

Streamflow formulation	Actual evaporation formulation
$\frac{\partial Q}{\partial P} = \left(1 + \left(\frac{E_0}{P}\right)^m\right)^{\frac{1}{m}-1}$ Eq. 9	$\frac{\partial E}{\partial P} = 1 - \left(1 + \left(\frac{E_0}{P}\right)^m\right)^{\frac{1}{m}-1}$ Eq. 10
$\frac{\partial Q}{\partial E_0} = -1 + \left(1 + \left(\frac{P}{E_0}\right)^m\right)^{\frac{1}{m}-1}$ Eq. 11	$\frac{\partial E}{\partial E_0} = 1 - \left(1 + \left(\frac{P}{E_0}\right)^m\right)^{\frac{1}{m}-1}$ Eq. 12

76

### 77 3. Comparisons of the Turc-Mezentsev and Tixeront-Fu formulas

#### 78 3.1 Previous comparisons

79 We mentioned in the introduction that the first paper comparing the TM and TF formulas was  
 80 published by Yang et al. (2008), who note that the TM and TF formulas are “approximately  
 81 equivalent” and that their parameters have a “perfectly significant linear correlation  
 82 relationship,” which they identify as in Eq. 13:



$$m \sim n + 0.72$$

Eq. 13

83 where  $m$  stands for the parameter of the Tixeront-Fu relationship and  $n$  for the parameter of  
84 the Turc-Mezentsev relationship.

85 Note that Eq. 13 is an experimental relationship obtained by regression. It gives slightly more  
86 satisfying results than the “theoretical” relationship (found by posing  $P/E_0=1$  in both TM and  
87 TF) given below (Eq. 14):

$$m = \frac{\ln 2}{\ln \left[ 2 - 2^{\frac{-1}{n}} \right]}$$

Eq. 14

88

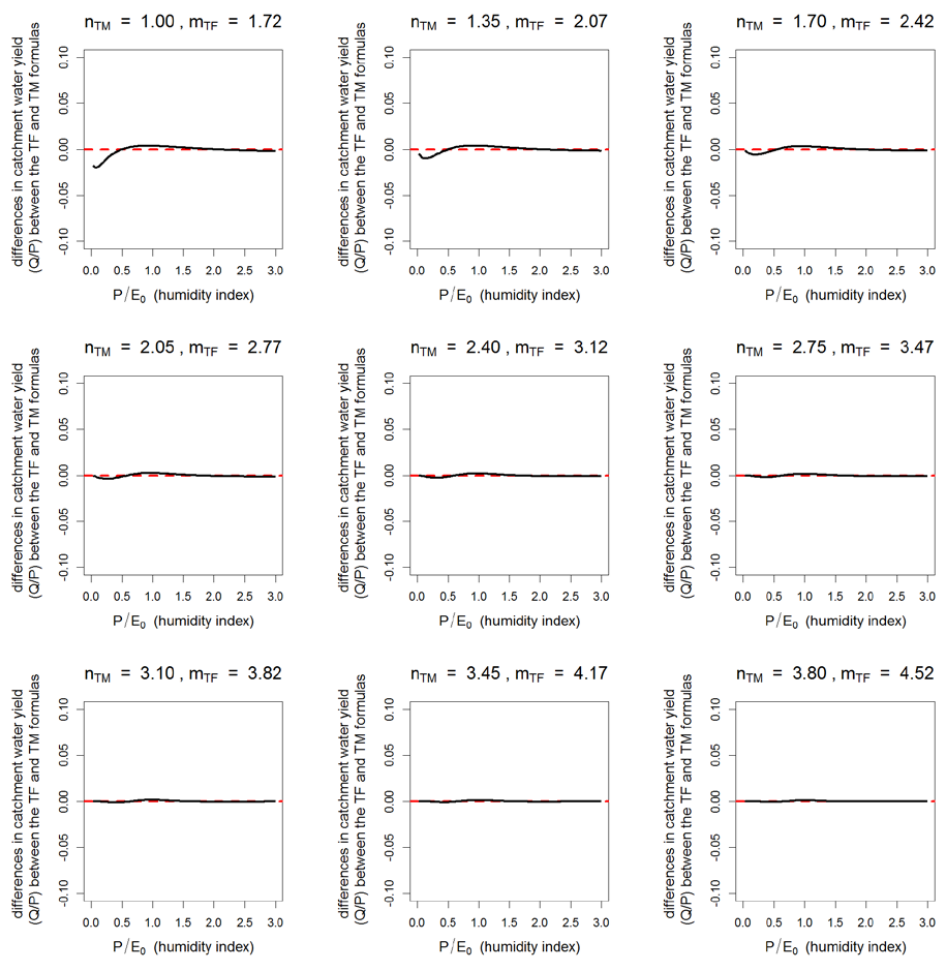
89 Recently, Andréassian et al. (2016) and de Lavenne and Andréassian (2018) used the Yang  
90 et al. (2008) results and further illustrated the nearly perfect similarity between the two  
91 formulas.

92

### 93 3.2 Graphical illustration of the similarity of the TM and the TF formulas

94 Figure 1, which illustrates the confounding numerical proximity of the two formulas, speaks  
95 for itself: while we tested a wide range of  $(n,m)$  couples respecting Eq. 13, the difference  
96 (TM-TF) between the two formulas is at maximum 2.5%, and most of the time much less.  
97 Numerically, both formulas are equivalent (except for very low values of the humidity index  
98  $P/E_0$ ).

99

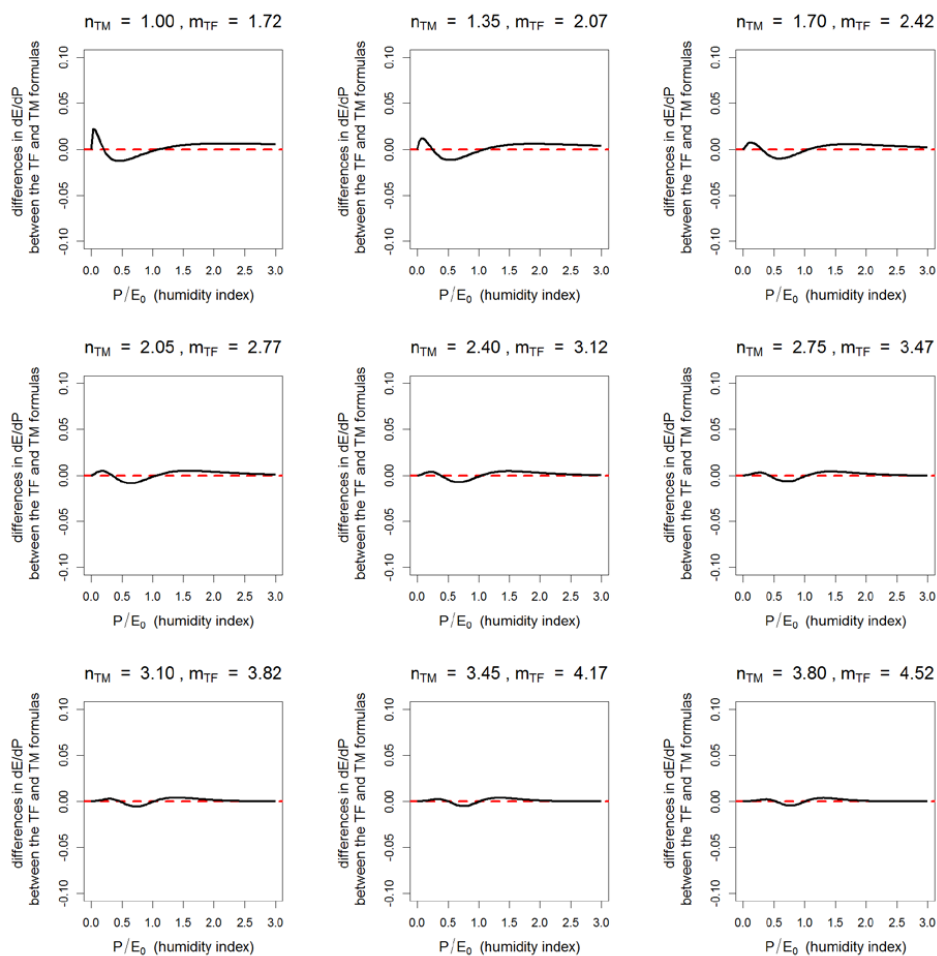


100

101 **Figure 1. Illustration of the similarity between the values of the Turc-Mezentsev (TM) and the**  
 102 **Tixeront-Fu (TF) formulas for a range of values of  $n$  (the parameter of the TM formula) and  $m$**   
 103 **(the parameter of the TF formula), using the Yang et al. (2008) relationship:  $m = n + 0.72$**

104

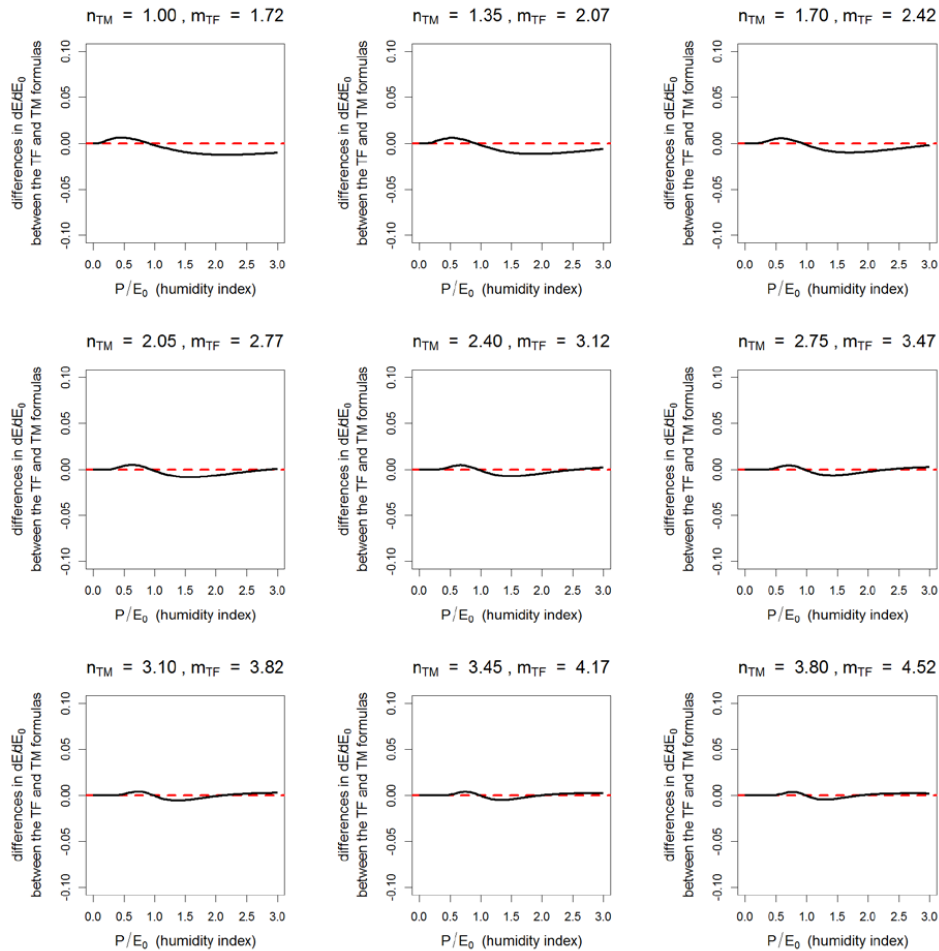
105 Figure 2 and Figure 3 also present the differences between the partial derivatives of the TM  
 106 and TF formulas. The reason for this is that both formulas are sometimes used to predict the  
 107 hydrological impact of climatic change, i.e., to evaluate the evolution or differences between  
 108 future and current conditions. Again, both formulas appear numerically equivalent.



109

110 **Figure 2. Illustration of the similarity between the Turc-Mezentsev (TM) and the Tixeront-Fu (TF)**  
 111 **formulas for a range of values of  $n$  (the parameter of the TM formula) and  $m$  (the parameter of**  
 112 **the TF formula), using the Yang et al. (2008) relationship:  $m = n + 0.72$  : difference in the partial**  
 113 **differentials  $\frac{\partial E}{\partial P}$**

114



115

116 **Figure 3. Illustration of the similarity between the Turc-Mezentsev (TM) and the Tixeront-Fu (TF)**  
 117 **formulas for a range of values of  $n$  (the parameter of the TM formula) and  $m$  (the parameter of**  
 118 **the TF formula), using the Yang et al. (2008) relationship:  $m = n + 0.72$  : difference in the partial**  
 119 **differentials  $\frac{\partial E}{\partial E_0}$**

120

121



122 **4. Interpretation of the TM and TF formulas**

123 **4.1 Hydrological interpretation**

124 The TM and TF formulas share a set of hydrological properties that we summarize in Table 4  
 125 and Table 5, following the presentation proposed by Lebecherel et al. (2013).

126

127 **Table 4. Hydrological interpretation of the Turc-Mezentsev and Tixeront-Fu formulas, applied to**  
 128 **streamflow ( $P$  – precipitation,  $Q$  – streamflow,  $E_0$  – maximum evaporation, all in mm/year**  
 129 **averaged over many years).**

	<b>Mathematical property</b>	<b>Hydrological interpretation</b>
1	$Q < P$	A catchment cannot produce more water than it receives from precipitation
2	$P - Q < E_0$	A catchment cannot lose more water than it receives energy to evaporate water
3	$Q/P \rightarrow 1$ when $P \gg E_0$	Water yield of very humid catchments tends towards 1
4	$Q/P \rightarrow 0$ when $E_0 \gg P$	Water yield of very arid catchments tends towards 0
5	$\frac{\partial Q}{\partial P} \rightarrow 1$ when $P \gg E_0$	On very humid catchments, all additional precipitation tends to be transformed into streamflow
6	$\frac{\partial Q}{\partial E_0} \rightarrow -1$ when $P \gg E_0$	On very humid catchments, all additional energy tends to be subtracted from streamflow
7	$\frac{\partial Q}{\partial P} \rightarrow 0$ when $E_0 \gg P$	On very arid catchments, streamflow is not sensitive to additional precipitation
8	$\frac{\partial Q}{\partial E_0} \rightarrow 0$ when $E_0 \gg P$	On very arid catchments, streamflow is not sensitive to additional energy

130

131 **Table 5. Hydrological interpretation of the Turc-Mezentsev and Tixeront-Fu formulas, applied to**  
 132 **actual evaporation ( $P$  – precipitation,  $E_0$  – maximum evaporation,  $E$  – actual evaporation, all in**  
 133 **mm/year averaged over many years).**

	<b>Mathematical property</b>	<b>Hydrological interpretation</b>
1	$E < P$	A catchment cannot evaporate more water than it receives from precipitation
2	$E < E_0$	A catchment cannot evaporate more water than it receives energy
3	$E \rightarrow P$ when $E_0 \gg P$	Very arid catchments tend to use all incoming rainfall for evaporation
4	$E \rightarrow E_0$ when $P \gg E_0$	Very humid catchments tend to use all incoming energy for evaporation
5	$\frac{\partial E}{\partial P} \rightarrow 0$ when $P \gg E_0$	On very humid catchments, actual evaporation is not sensitive to additional precipitation
6	$\frac{\partial E}{\partial E_0} \rightarrow 1$ when $P \gg E_0$	On very humid catchments, all the additional energy tends to be transformed into evaporation
7	$\frac{\partial E}{\partial P} \rightarrow 1$ when $E_0 \gg P$	On very arid catchments, all the additional precipitation tends to be transformed into evaporation
8	$\frac{\partial E}{\partial E_0} \rightarrow 0$ when $E_0 \gg P$	On very arid catchments, actual evaporation is not sensitive to additional energy

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136 **4.2 Mathematical interpretation**

137 The appendix summarizes the underlying mathematical reasoning presented by the authors  
 138 of the TM and TF formulas and by Zhang et al. (2004) and Yang et al. (2008). What can be  
 139 concluded from the analysis presented in the appendix is that both formulations are based on  
 140 very similar but nonetheless slightly different hypotheses; Table 6 illustrates them after  
 141 rewriting the partial differentials to make  $E$  appear (for the TM formula see Yang et al., 2008,  
 142 and Eq. 31 and Eq. 32 in appendix; for the TF formula see Fu, 1981, and Eq. 25 and Eq. 26  
 143 in the appendix):

- 144 • For the Turc-Mezentsev formula, Table 6 shows that  $\frac{\partial E}{\partial P}$  and  $\frac{\partial E}{\partial E_0}$  can only be written  
 145 as functions of the  $\frac{P}{E}$  and  $\frac{E_0}{E}$  ratios;
- 146 • For the Tixeront-Fu formula, Table 6 shows that  $\frac{\partial E}{\partial P}$  and  $\frac{\partial E}{\partial E_0}$  can be written as  
 147 functions of the  $\frac{P}{E}$  and  $\frac{E_0}{E}$  ratios (as for the TM formulation). But in addition,  $\frac{\partial E}{\partial P}$  can be  
 148 written a function of  $\frac{E_0-E}{P}$  (i.e., the remaining energy divided by  $P$ ) and  $\frac{\partial E}{\partial E_0}$  can be  
 149 written as a function of  $\frac{P-E}{E_0}$  (the remaining water divided by  $E_0$ ). In fact, Fu (1981)  
 150 demonstrated in a rigorous mathematical way that the TF formulation was the only  
 151 possible solution to this set of hypotheses (i.e., Eq. 22 in the appendix).

152  
 153 **Table 6. Comparison of the partial differentials of the Turc-Mezentsev and the Tixeront-Fu**  
 154 **formula ( $P$  – precipitation,  $E_0$  – maximum evaporation,  $E$  – actual evaporation, all in mm/year**  
 155 **averaged over many years;  $n$  is the free parameter of the Turc-Mezentsev formula [ $n > 0$ ];  $m$  is**  
 156 **the free parameter of the Tixeront-Fu formula [ $m > 1$ ])**

	Turc-Mezentsev formulation	Tixeront-Fu formulation	
$\frac{\partial E}{\partial P}$	$\left(\frac{P}{E}\right)^{-1} \left[1 - \left(\frac{E_0}{E}\right)^{-n}\right]$	$1 - \left[1 + \left(\frac{P}{E}\right)^{-1} \left(\frac{E_0}{E} - 1\right)\right]^{1-m}$	$1 - \left(1 + \frac{E_0 - E}{P}\right)^{1-m}$
$\frac{\partial E}{\partial E_0}$	$\left(\frac{E_0}{E}\right)^{-1} \left[1 - \left(\frac{P}{E}\right)^{-n}\right]$	$1 - \left(1 + \frac{P - E}{\frac{E_0}{E}}\right)^{1-m}$	$1 - \left(1 + \frac{P - E}{E_0}\right)^{1-m}$
Expression using $\frac{P}{E}$ and $\frac{E_0}{E}$ ratios			Expression using $\frac{P-E}{E_0}$ and $\frac{E_0-E}{P}$ ratios

157  
 158 What can we conclude from this? Does this make the TF formula (slightly) more general and  
 159 the TM formula (slightly) more restrictive? Perhaps, but from the user's point of view, both  
 160 formulas are so close numerically (see Figure 1 and also compare the maps presented by de  
 161 Lavenne and Andréassian, 2018) that any data-based distinction is impossible.



162

### 163 4.3 Mathematico-hydrological interpretation

164 We can suggest another interpretation of both equations, which we label “mathematico-  
165 hydrological.” For this, we need to define two simple functions, which we may tentatively call  
166 “ $D_{min}$  – minimum by default” and “ $E_{max}$  – maximum by excess.” Let  $x$  and  $y$  be strictly positive  
167 quantities:

$$Dmin_n(x, y) = [x^{-n} + y^{-n}]^{-\frac{1}{n}} \quad \text{Eq. 15}$$

$$Emax_m(x, y) = [x^m + y^m]^{\frac{1}{m}} \quad \text{Eq. 16}$$

168

169  $Dmin_n$  gives the *minimum by default* because for all positive values of parameter  $n$  it returns  
170 a value that is lower than the minimum of  $x$  and  $y$  and larger than 0. When  $n$  is large,  $Dmin_n$   
171 returns a value that is very close to the minimum of  $x$  and  $y$ .  $Emax_m$  gives the *maximum by*  
172 *excess* because for all positive values of parameter  $m$  it returns a value that is larger than the  
173 maximum of  $x$  and  $y$ . When  $m$  is large,  $Emax_m$  returns a value that is very close to the  
174 maximum of  $x$  and  $y$ . Only for values of  $m$  greater than 1 is the value taken by  $Emax_m$   
175 smaller than the sum of  $x$  and  $y$ .

176 We can now hydrologically interpret the TM formula by saying that it states that catchment-  
177 scale actual evaporation  $E$  is equal to the *minimum by default* of the forcing fluxes,  $E_0$  and  $P$ .  
178 Similarly, the interpretation of the TF formula is that  $E$  is equal to the sum of the forcing  
179 fluxes,  $E_0$  and  $P$ , minus their *maximum by excess*. A positive  $E$  requires  $m$  to be greater than  
180 one.

181

## 182 5. Conclusion

183 The Turc-Mezentsev and Tixeront-Fu formulas are two sound and numerically equivalent  
184 representations of the long-term water balance at the catchment scale. This note  
185 investigated the underlying assumptions of the two formulas and showed that the Tixeront-Fu  
186 formula is slightly more general than the Turc-Mezentsev formula, because its partial  
187 differences can be written both as a function of the  $\frac{P}{E}$  and  $\frac{E_0}{E}$  ratios and as a function of the  
188  $\frac{E_0 - E}{P}$  and  $\frac{P - E}{E_0}$  ratios (the TM formula can only write its partial differences as a function of the  $\frac{P}{E}$   
189 and  $\frac{E_0}{E}$  ratios). Apart from this difference, both formulas share the same hydrological  
190 properties and we can see no reason to recommend one over the other as more  
191 “hydrologically founded.” This should not be considered disappointing: it simply means that  
192 hydrologists should feel free to choose the formula they feel more comfortable with.



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196

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241

## 242 **8. Appendix: Genealogy of the Turc-Mezentsev and the Tixeront-** 243 **Fu formulations**

### 244 **8.1 Turc formula**

245 Lucien Turc was a French soil scientist. He produced his formula while working on his PhD  
246 thesis, defended in April 1953 (and published in 1954 in the *Annales Agronomiques*). Turc  
247 used water balance data for a set of 254 catchments from all over the world, collected with  
248 the help of Prof. Maurice Pardé, a well-known hydrologist of that time. He computed  
249 catchment-scale long-term average actual evaporation ( $E$ ) from estimates of long-term  
250 average precipitation ( $P$ ) and long-term average discharge ( $Q$ ) by writing  $E = P - Q$  (all  
251 variables in mm/yr), and he used a polynomial relationship to compute  $E_0$  from temperature.  
252 After plotting his catchment data in the  $E/E_0=f(P/E_0)$  nondimensional space, Turc looked for a  
253 mathematical function running through the experimental points and respecting the two  
254 following constraints:

- 255 •  $\frac{E}{E_0} \sim \frac{P}{E_0}$  when  $\frac{P}{E_0}$  is small
- 256 •  $\frac{E}{E_0} \sim 1$  when  $\frac{P}{E_0}$  is large

257 Turc (1954, p. 504) wrote that the simplest function respecting these two conditions would  
258 be:

$$259 \quad y = \frac{x}{1+x}, \quad \text{with } y = \frac{E}{E_0} \text{ and } x = \frac{P}{E}$$

260 and that the most general would be:

$$261 \quad y = \frac{x}{(1+x^n)^{\frac{1}{n}}}, \text{ i.e., } \frac{E}{E_0} = \frac{\frac{P}{E_0}}{\left[1 + \left(\frac{P}{E_0}\right)^n\right]^{\frac{1}{n}}} \text{ or } \frac{E}{P} = \frac{1}{\left[1 + \left(\frac{P}{E_0}\right)^n\right]^{\frac{1}{n}}} \quad \text{Eq. 17}$$

261

262 in which  $n$  is an exponent to estimate. Turc graphically looked for the most convenient value  
263 for  $n$  and concluded that the best fit was "with  $n=3$ , or maybe  $n=2$ " (Turc, 1954, p. 563). Since  
264 the choice of  $n=2$  allowed the simplest computations, he retained this value for further  
265 developments.

266

### 267 **8.2 Mezentsev formula**

268 Varfolomeï Mezentsev was a Soviet geographer, working at the University of Omsk in  
269 Siberia. He published his formula in 1955, and continued working on it throughout his life



270 (Mezentsev, 1955, 1982, 1993). Mezentsev started his analysis from a formula proposed by  
271 Bagrov (1953) (Eq. 18):

$$\frac{dE}{dP} = 1 - \left(\frac{E}{E_0}\right)^n \quad \text{Eq. 18}$$

272 The Bagrov formula can be interpreted as follows: when  $\frac{E}{E_0}$  is small, i.e., when water is the  
273 limiting factor, an increase in precipitation  $P$  is integrally transformed into an increase of  
274 actual evaporation  $E$ . Conversely, when  $\frac{E}{E_0}$  approaches 1 (i.e., when water does not limit  
275 evaporation) none of the additional  $P$  is transformed into  $E$  because no more energy is  
276 available for evaporation. Bagrov showed that this formula presents the interesting property  
277 of integrating into the Oldekop (1911) water balance formula for  $n=2$ . For  $n=1$ ,  $n=4/3$  and  
278  $n=3/2$ , Bagrov found analytical solutions, but he could not find a generic solution for all  
279 values of  $n$ .

280 Mezentsev (1955) reasoned that in order to find a generic solution, Bagrov's formula could  
281 be rewritten as follows:

$$\frac{dE}{dP} = \left[1 - \left(\frac{E}{E_0}\right)^n\right]^{1+\frac{1}{n}} \quad \text{Eq. 19}$$

282 which keeps the same interpretation as Eq. 18.

283 Eq. 19 can be integrated analytically and yields Eq. 20:

$$\frac{E}{P} = \frac{1}{\left[1 + \left(\frac{P}{E_0}\right)^n\right]^{\frac{1}{n}}} \quad \text{Eq. 20}$$

284 which is identical to the general formulation proposed by Turc (i.e., Eq. 20, Eq. 17 and Eq. 2  
285 are identical). Based on a set of 35 catchments of the Siberian plain, Mezentsev suggested  
286 using the value of 2.3 for parameter  $n$ , which is also close to the value chosen by Turc.

287

### 288 8.3 Tixeront formula

289 Jean Tixeront (1901–1984), a graduate of Ecole Nationale des Ponts et Chaussées, was a  
290 French hydrologist who spent most of his professional career in Tunisia. The most accessible  
291 reference for his formula is a paper published in the proceedings of the General Assembly of  
292 the IAHS in 1964 (Tixeront, 1964). The formula had been first published in 1958, in the note  
293 accompanying a map of mean annual runoff in Tunisia (Berkaloff and Tixeront, 1958). There,  
294 the authors give more explanation on their reasoning, stating that two desirable properties of  
295 such a formula would be that (i) “when precipitation increases, runoff tends to equal  
296 precipitation minus potential evapotranspiration” and (ii) “when precipitation tends towards



297 zero, the runoff to the precipitation ratio tends towards zero.” They proposed Eq. 21 as the  
 298 “simplest formula satisfying these conditions”:

$$Q = [P^m + E_0^m]^{\frac{1}{m}} - E_0 \quad \text{Eq. 21}$$

299 Unfortunately, Tixeront never published the detailed computations that led him to the  
 300 formula.

301

#### 302 **8.4 Fu’s system of differential equations**

303 Bao-Pu Fu was a Chinese hydrologist working at the University of Nanjing. He published his  
 304 formula in 1981, and an English abstract of his computation is given in the appendix of the  
 305 paper by Zhang et al. (2004). It is interesting to note that Fu’s paper (1981) starts with a well-  
 306 informed review of the formulas in the literature, where he cites the works of Bagrov (1953)  
 307 and Mezentsev (1955). Then he makes assumptions on a system of differential equations  
 308 that should be respected by an actual evaporation formula (eq. A1 in Zhang’s paper):

$$\begin{cases} \frac{\partial E}{\partial P} = F(u) \\ \frac{\partial E}{\partial E_0} = G(v) \end{cases} \quad \text{Eq. 22}$$

309 where  $u$  and  $v$  are given by

$$u = \frac{E_0 - E}{P} \text{ and } v = \frac{P - E}{E_0} \quad \text{Eq. 23}$$

310

311 The mathematical integration of the system given in Eq. 22 with the boundary conditions  
 312 given by lines 5, 6, 7 and 8 in Table 5 led to the following formula, which is equivalent (in  
 313 actual evaporation terms) to Tixeront’s formula (i.e., Eq. 24 below and Eq. 4 are the same):

$$E = P + E_0 - [P^m + E_0^m]^{\frac{1}{m}} \quad \text{Eq. 24}$$

314 Actually, from Eq. 10 and Eq. 4, it can easily be seen that:

$$\frac{\partial E}{\partial P} = 1 - P^{m-1}(P^m + E_0^m)^{\frac{1-m}{m}} = 1 - P^{m-1}(P + E_0 - E)^{1-m}$$

315 Therefore:

$$\frac{\partial E}{\partial P} = 1 - \left(1 + \frac{E_0 - E}{P}\right)^{1-m} \quad \text{Eq. 25}$$

316 Similarly, from Eq. 12 and Eq. 4, it can easily be seen that:

$$\frac{\partial E}{\partial E_0} = 1 - E_0^{m-1}(P^m + E_0^m)^{\frac{1-m}{m}} = 1 - E_0^{m-1}(P + E_0 - E)^{1-m}$$

317 Therefore:



$$\frac{\partial E}{\partial E_0} = 1 - \left(1 + \frac{P - E}{E_0}\right)^{1-m} \quad \text{Eq. 26}$$

318 Hence, Eq. 25 and Eq. 26 show that the Tixeront function is indeed the solution of the Fu  
 319 system of differential equations in Eq. 22, with the following functions:

$$F(u) = 1 - (1 + u)^{1-m}, \quad G(v) = 1 - (1 + v)^{1-m} \quad \text{Eq. 27}$$

320

### 321 8.5 Yang et al.'s system of differential equations

322 Yang et al. (2008) were not only the first to compare the Turc-Mezentsev and the Tixeront-Fu  
 323 formulas, they also made a mathematical analysis of the Turc-Mezentsev formula, that we  
 324 reflect on now. They start to write down a system of differential equations that should be  
 325 respected by an actual evaporation formula (Eq. (14) in their 2008 paper):

$$\begin{cases} \frac{\partial E}{\partial P} = f(x, y) \\ \frac{\partial E}{\partial E_0} = g(x, y) \end{cases} \quad \text{Eq. 28}$$

326

327 where  $x$  and  $y$  are given by:

$$x = \frac{P}{E}, y = \frac{E_0}{E} \quad \text{Eq. 29}$$

328 The mathematical integration of the system given in Eq. 28 with the boundary conditions  
 329 given in lines 5, 6, 7 and 8 of Table 5 led to the following formula, which is equivalent to the  
 330 Turc-Mezentsev formula (i.e., Eq. 30 below and Eq. 2 are the same):

$$E = [P^{-n} + E_0^{-n}]^{\frac{-1}{n}} \quad \text{Eq. 30}$$

331 Actually, from Eq. 6 it is easily seen that:

$$\frac{\partial E}{\partial P} = P^{-n-1} (P^{-n} + E_0^{-n})^{\frac{-1}{n}-1} = \frac{(P^{-n} + E_0^{-n})^{\frac{-1}{n}}}{P} \frac{P^{-n}}{P^{-n} + E_0^{-n}}$$

332 Therefore, using Eq. 2 we have:

$$\frac{\partial E}{\partial P} = \frac{E}{P} \left(1 - \frac{E_0^{-n}}{E^{-n}}\right) \quad \text{Eq. 31}$$

333 Similarly, from Eq. 8 it is easy to see that:

$$\frac{\partial E}{\partial E_0} = E_0^{-n-1} (P^{-n} + E_0^{-n})^{\frac{-1}{n}-1} = \frac{(P^{-n} + E_0^{-n})^{\frac{-1}{n}}}{E_0} \frac{E_0^{-n}}{P^{-n} + E_0^{-n}}$$

334 Therefore, using Eq. 2 we have:



$$\frac{\partial E}{\partial E_0} = \frac{E}{E_0} \left( 1 - \frac{P^{-n}}{E^{-n}} \right) \quad \text{Eq. 32}$$

335 Hence, Eq. 31 and Eq. 32 show that the Turc-Mezentsev function is indeed a solution of the  
 336 Yang et al. system of differential equations (Eq. 28) with the following functions:

$$f(x, y) = x^{-1}(1 - y^{-n}), \quad g(x, y) = y^{-1}(1 - x^{-n}) \quad \text{Eq. 33}$$

337

338 We wish to underline that the Turc-Mezentsev function is not the only solution of the Yang et al.  
 339 al. system of differential equations (Eq. 28). This system is also satisfied by the Tixeront-Fu  
 340 function. Indeed,  $u$  and  $v$  defined in Eq. 23 can also be expressed using the  $x$  and  $y$  ratios  
 341 defined in Eq. 29:

$$\frac{E_0 - E}{P} = \frac{E_0 E}{E P} - \frac{E}{P} = \frac{y - 1}{x}, \quad \frac{P - E}{E_0} = \frac{P E}{E E_0} - \frac{E}{E_0} = \frac{x - 1}{y}$$

342 Therefore, Eq. 25 and Eq. 26 show that Tixeront-Fu's formula satisfies the following  
 343 conditions:

$$\frac{\partial E}{\partial P} = 1 - \left( 1 + \frac{y - 1}{x} \right)^{1-m}, \quad \frac{\partial E}{\partial E_0} = 1 - \left( 1 + \frac{x - 1}{y} \right)^{1-m}$$

344 These formulas show that Tixeront-Fu's function is a solution of the Yang et al. system of  
 345 differential equations (Eq. 28) with the following functions:

$$f(x, y) = 1 - \left( 1 + \frac{y - 1}{x} \right)^{1-m}, \quad g(x, y) = 1 - \left( 1 + \frac{x - 1}{y} \right)^{1-m} \quad \text{Eq. 34}$$

346 Thus, when Yang et al. (2008) wrote in their conclusion (p.8) that "this paper mathematically  
 347 derived the general solution to the mean annual water-energy balance equation, and proved  
 348 its uniqueness" this is obviously an error. It is interesting to look where in their demonstration  
 349 they "missed" the Tixeront-Fu formulation (which they knew perfectly). In their integration of  
 350 Eq. 28, these authors used the following computations. Assuming  $P$  and  $E_0$  are independent,  
 351 the differentiation of Eq. 28 gives the following formulas:

$$\frac{\partial^2 E}{\partial E_0 \partial P} = -\frac{x}{E} g \frac{\partial f}{\partial x} + \frac{1 - yg}{E} \frac{\partial f}{\partial y}$$

$$\frac{\partial^2 E}{\partial P \partial E_0} = -\frac{y}{E} f \frac{\partial g}{\partial y} + \frac{1 - xf}{E} \frac{\partial g}{\partial x}$$

352 A solution of Eq. 28 must satisfy the equation:

$$\frac{\partial^2 E}{\partial E_0 \partial P} = \frac{\partial^2 E}{\partial P \partial E_0}$$

353 Hence (Eq. (15) in the Yang et al. paper):





$$-xg \frac{\partial f}{\partial x} + (1 - yg) \frac{\partial f}{\partial y} = -yf \frac{\partial g}{\partial y} + (1 - xf) \frac{\partial g}{\partial x} \quad \text{Eq. 35}$$

354 Assume that functions  $f$  and  $g$  satisfy both Eq. (16a) and Eq. (16b) in the Yang et al. paper:

$$xg \frac{\partial f}{\partial x} = yf \frac{\partial g}{\partial y} \quad \text{Eq. 36}$$

$$(1 - yg) \frac{\partial f}{\partial y} = (1 - xf) \frac{\partial g}{\partial x} \quad \text{Eq. 37}$$

355 Then they obviously satisfy Eq. 35. However, the general solution of Eq. 35 does not  
356 necessarily satisfy both Eq. 36 and Eq. 37. The computations given in Yang et al. (2008)  
357 consist in solving these equations. They show that the functions given by Eq. 33 satisfy both  
358 Eq. 36 and Eq. 37.

359 Straightforward computations show that the functions given by Eq. 34 do not satisfy Eq. 37,  
360 although they satisfy Eq. 36. This is the reason why Yang et al. (2008) missed the solution  
361 given by Tixeront-Fu's formula in their demonstration. For the functions  $f$  and  $g$  given by Eq.  
362 34 we have:

$$xg \frac{\partial f}{\partial x} = (1 - m) \left( 1 - \left( 1 + \frac{x-1}{y} \right)^{1-m} \right) \left( 1 + \frac{y-1}{x} \right)^{-m} \left( \frac{y-1}{x} \right)$$

$$yf \frac{\partial g}{\partial y} = (1 - m) \left( 1 - \left( 1 + \frac{y-1}{x} \right)^{1-m} \right) \left( 1 + \frac{x-1}{y} \right)^{-m} \left( \frac{x-1}{y} \right)$$

363 Therefore:

$$xg \frac{\partial f}{\partial x} \neq yf \frac{\partial g}{\partial y}$$

364 so that Eq. 37 is not satisfied. On the other hand we have:

$$-xg \frac{\partial f}{\partial x} + (1 - yg) \frac{\partial f}{\partial y} = (m - 1) \left( 1 + \frac{y-1}{x} \right)^{1-m} \left( 1 + \frac{x-1}{y} \right)^{1-m} \frac{1}{x + y - 1}$$

$$-yf \frac{\partial g}{\partial y} + (1 - xf) \frac{\partial g}{\partial x} = (m - 1) \left( 1 + \frac{y-1}{x} \right)^{1-m} \left( 1 + \frac{x-1}{y} \right)^{1-m} \frac{1}{x + y - 1}$$

365

366 Therefore Eq. 36 is satisfied.