We provide here a detailed answer to the questions raised by the reviewers (same answers were posted in the public discussion).

REVIEWER 1

1. The main point that the Turc-Mezentsev and the Tixeront-Fu are near equivalent has been established previously by Yang et al. (2008). Why is it worth repeating this point? What is really the novel addition of this work?

We completely agree that Yang et al. (2008) established the equivalence, and we do give them proper credit for it in our note. However, we do consider that their paper was not clear on a few points, and this is why we saw a need for a « clarifying » technical note. We find the Yang et al. paper unclear/incomplete on the following points:

- Equivalence between the two equations: Yang et al. write that the TM and TF equations are « approximately equivalent », we find the expression much too weak and this is why we wished to use the much stronger « confounding » ;
- Literature review: Yang et al. make no reference to the original work of Turc (1954) and Tixeront (1964). They likely were not aware of it ;
- Uniqueness of solution: Yang et al. wrote in their conclusion (p.8) that "this paper mathematically derived the general solution to the mean annual water-energy balance equation, and proved its uniqueness". This is obviously wrong (and to tell the truth this is extremely surprising because Yang et al. are comparing the TM and TF formulas, they know perfectly that the solution is not unique) and this is why we added table 6 to show that the TF formula respects both hypotheses.

Last, in our note we tried to treat as much as possible the two forms of the formulas in parallel (streamflow & actual evaporation) to provide a reference for those who wish to use one or the other.

2. What is the point of section 4.3: I read this section several times, but the description is not clear enough (for me) to understand what the value is of this paragraph (and I suspect other readers may suffer from the same problem as me).

Section 4.3 was an attempt to explain with a lot of words and little formulas what the TM and TF represented. This was not easy and we know that the result is not perfect. If you did not understand it, it very likely means that we failed to explain clearly what we had on our minds. We will remove this part from the main text, put it in appendix with a note showing how the two functions relate to the TF and TM formulas..

Detailed suggestions

3. Line 1: I am unsure that "confounding" is really useful here. Would removing this word not make the title simpler, more accurate, and more objective? The same applies for every time the word "confounding" is used throughout the manuscript.

We added "confounding" precisely because we thought that Yang et al. had not been affirmative enough when stating that both formulas were "approximately equivalent". But we take your point on the fact that this word is perhaps useful in the title, but not anymore is the rest of the paper: we did remove it elsewhere, and replace it by “puzzling” in the title

4. Line 13: “identified” seems redundant?

Yes indeed, removing it does simplify the sentence.

5. L36: why “maximum evaporation”, rather than “potential evaporation”? The latter term seems more consistent with commonly used hydrological terminology.

The hydrologists usually use only “potential evaporation” while the agronomists distinguish theoretical potential evaporation/potential evaporation/actual evapotranspiration/maximal actual evapotranspiration/potential (grass) evapotranspiration, etc. You are right that potential evaporation is more common in hydrology. Because the TM and TF formulas are considered as “Budyko-type” formulas, we wanted to utilize Budyko’s formulation, i.e. maximum evaporation to avoid any debate with our colleagues agronomists.

6. L65-66: Explain why.

We could rewrite L 65-66 as follows:

“In our interpretation of the TM and TF formulas, we will also use their partial derivatives, which we present in Table 2 and Table 3 (they are sometimes used to predict the hydrological impact of climatic change).”

7. L88: Is this a result from this paper, or is this sourced from literature?

It is in fact in Yang et al. paper (which as cited a few lines above). We will add a reference.

8. Table 4, property7: this statement is true for “absolute streamflow changes”, not for “relative streamflow changes (i.e. streamflow elasticity)”. Be explicit about this difference.

We are not sure to understand this remark, because we would define the relative elasticity as the linear relationship between (Qn/Qmean -1) and (Pn/Pmean -1), with n an index for the year. Could you be more explicit?

9. L138-140: explain in simple terms what is different.

The detailed mathematical explanation comes a few lines later (LL 144-151) so for this sentence we could simply complement the sentence:

What can be concluded from the analysis presented in the appendix is that both formulations are based on very similar but nonetheless slightly different hypotheses ;

Into

What can be concluded from the analysis presented in the appendix is that both formulations are based on very similar but nonetheless slightly different hypotheses, which set the dependency of the partial differences of streamflow to the partial differences of climatic variables ;

10. Section 4.3: I don't understand the point of this section.

We tried to explain the behavior of the generalized harmonic mean with plain language, in a less mathematical way, but if you did not understand, this probably mean that it did not help to make think clearer, so we will put this short section in appendix

REVIEWER 2 (Maik Renner)

The manuscript by Andréassian and Sari explains the historical background of two well known formulations which describe the partitioning of water and energy balances under climatological average conditions. They also clarify the naming of these formulas and I believe that this note can help to achieve a more consistent usage of the two formulas in the literature. The appendix on the genealogy of the two formulations is quite a treasure and I have a small concern that it might be overseen. I think that this appendix could be a section in the main text. Only the subsection on Yang's system is a bit long, but indeed very interesting. The paper is very well written and thereby provides a clear and easy to follow discussion of the hydrological interpretation and the mathematical derivation. Hence this paper will be a valuable source for hydrologists which need orientation in the vast literature on that topic. Minor remark: Figures: the limits of the y-axis could be decreased to better see the differences. In the moment there is too much unused space.

We hesitated to introduce the historical part in the main text, but we did not find a way to do it that would not turn the paper too complex to read. We left it in appendix but added a sentence to encourage readers to go and read this part.

REVIEWER 3 (Laurène Bouaziz)

1. The authors provide a comprehensive and well-written comparison of two independently derived water balance formulas: Turc-Mezentsev versus Tixeront-Fu. The authors show that the two formulas are numerically equivalent (also in their partial differentials), and even though the Tixeront-Fu formula can be characterized as slightly more general, hydrologists can feel free to choose either one of them. An interesting analogy is made between the mathematical characteristics of the shape of the formulas and their hydrological meaning. Additionally, the Appendix provides an overview of the history and derivation of the formulas. I enjoyed reading this comprehensive comparison of the two water balance formulas with a clear final message and I therefore recommend the publication of this manuscript after only a few minor corrections.

Comments:

2. Line 24: Apostrophe s is missing in: "Turc's work" : done
3. Line 86: 'than' instead of 'that'? done
4. Line 97: It is mentioned that both formulas are equivalent except for very low values of the humidity index and I wonder if there is an explanation to this observation. We could not

think of any mathematical explanation (and because these hyper-arid catchments are anyway extremely difficult to model, we stopped looking for it)

5. Section 4.3 (line 163-180): This section makes an interesting mathematical analysis of the hydrological formulas, but it would make it easier for the reader to explicitly refer to Eq. 2 and Eq. 4 to explain the analogy with Eq. 15 and Eq. 16. Thank you, however we are not sure that we will keep this section, reviewer 1 found it extremely difficult to understand. We found that interpreting the two formulas as an approximation of the classical Min and Max functions would help the reader "visualize" what the formula was doing… but it seems that it remained to abstract?
6. - Line 255: I believe a typo was introduced in this formula and that the authors meant $E/E0 \sim P/E0$ instead of P/Ex - done, thank you
7. - Line 259: here also I think a typo was introduced and that the formula should read $x = P/E0$ instead of $x = P/E$ - done, thank you

Technical Note: On the ~~confounding~~ puzzling similarity of two water balance formulas – Turc-Mezentsev *vs* Tixeront-Fu

Vazken Andréassian[1] & Tewfik Sari[2]
(1) Irstea, HYCAR Research Unit, Antony, France
(2) ITAP, Univ Montpellier, Irstea, Montpellier SupAgro, Montpellier, France

Abstract

This Technical Note documents and analyzes the ~~confounding~~ puzzling similarity of two widely used water balance formulas: Turc-Mezentsev and Tixeront-Fu. It details their history, their hydrological and mathematical properties, and discusses the mathematical reasoning behind their slight differences. Apart from the difference ~~identified~~ in their partial differential expressions, both formulas share the same hydrological properties and it seems impossible to recommend one over the other as more “hydrologically founded”: hydrologists should feel free to choose the one they feel more comfortable with.

Keywords

Water balance formulas, Turc-Mezentsev formula, Tixeront-Fu formula, Budyko hypothesis

1. Introduction

The Turc-Mezentsev (Mezentsev, 1955;Turc, 1954) and Tixeront-Fu (Fu, 1981;Tixeront, 1964) formulas were introduced to model long-term water balance at the catchment scale. Both formulas are almost equivalent numerically (but differ nonetheless). Surprisingly, comparisons are rare: Tixeront knew the work of Turc (1954) ~~work~~, which he cites, but it seems that he did not realize that Turc’s formulation was numerically equivalent to the one he proposed. Similarly, Fu knew the work of Mezentsev (1955) ~~work~~ because he precisely starts his 1981 paper discussing it, but it seems that he did not realize that the formulation he obtained was so close numerically.

As far as we know, Yang et al. (2008) were the first to compare the Turc-Mezentsev and the Tixeront-Fu formulas and to conclude that both formulas were “approximately equivalent.” In

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this note we further elaborate the ~~confounding~~ similarity between the two formulas and contribute complementary explanations on their underlying hypotheses.

2. Presentation of the Turc-Mezentsev (TM) and the Tixeront-Fu (TF) formulas

The TM and TF formulas use as inputs long-term average precipitation *P* [mm/yr] and long-term average maximum evaporation E_0 [mm/yr]. They produce as outputs either long-term average specific discharge *Q* [mm/yr] or long-term average actual evaporation *E* [mm/yr]. There are two formulations (one giving *Q* as a function of *P* and E_0 and one giving *E* as a function of the same variables), equivalent under the assumption that the catchment is conservative (i.e., that it does not "leak" towards deep aquifers) so that *E* and *Q* can be linked through the equation $E = P - Q$. Maximum evaporation is understood in the sense of Budyko (1963 /1948/) as the water equivalent of the energy available to evaporation. In what follows, the E_0/P ratio is called the aridity ratio, its inverse (i.e., the P/E_0 ratio) is called the humidity ratio. The formulas are presented in Table 1~~Table 1~~. Because none of the original papers introducing them are in English, we also ~~briefly~~ document their origins in the appendix, in order to provide interested readers with a more detailed description of the origine of each formula.

Table 1. Turc-Mezentsev (TM) and Tixeront-Fu (TF) water–energy balance formulations (*P* – precipitation, *Q* – streamflow, E_0 – maximum evaporation, *E* – actual evaporation, all in mm/year averaged over many years). *n* is the free parameter of the Turc-Mezentsev formula [*n* >0]; *m* is the free parameter of the Tixeront-Fu formula [*m* >1].

Reference	Streamflow formulation	Actual evaporation formulation	Parameter
Turc (1954), Mezentsev (1955)	$Q = P - [P^{-n} + E_0^{-n}]^{\frac{-1}{n}}$ Eq. 1	$E = [P^{-n} + E_0^{-n}]^{\frac{-1}{n}}$ Eq. 2	$n > 0$
Tixeront (1964), Fu (1981)	$Q = [P^m + E_0^m]^{\frac{1}{m}} - E_0$ Eq. 3	$E = P + E_0 - [P^m + E_0^m]^{\frac{1}{m}}$ Eq. 4	$m > 1$

We need to clarify here that the TM and TF formulas can be found in the hydrologic literature under different names. The naming convention we adopted is explained as follows: Eq. 1~~Eq. 1~~ and Eq. 2~~Eq. 2~~ are named "Turc-Mezentsev" (TM) because Turc (1954) and Mezentsev

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(1955) worked independently and published the same equation almost simultaneously. Eq. 3~~Eq. 3~~ and Eq. 4~~Eq. 4~~ are named “Tixeront-Fu” (TF) because although Tixeront’s original publication predates Fu’s by almost 20 years, both publications were independent, and the name of Fu has already gained wide international recognition. Both formulas are sometimes referred to as “Budyko-type,” although none of them were actually used by Budyko (1963 /1948/), who instead used a parameter-free formula derived from the work of Oldekop (1911) (for a synthesis of Oldekop’s work and how it was used by Budyko, see Andréassian et al., 2016). Other authors have published papers containing the TM formula: see e.g. Hsuen-Chun (1988) and Choudhury (1999), and their names are sometimes used to designate it.

In our interpretation of the TM and TF formulas, we will use their partial derivatives, which we present in Table 2~~Table 2~~ and Table 3~~Table 3~~.

Table 2. Partial derivatives of the Turc-Mezentsev formula (*P* – precipitation, *Q* – streamflow, E_0 – maximum evaporation, *E* – actual evaporation, all in mm/year averaged over many years). *n* is the free parameter of the Turc-Mezentsev formula [*n* >0]

Streamflow formulation		Actual evaporation formulation	
$\frac{\partial Q}{\partial P} = 1 - \left(1 + \left(\frac{P}{E_0}\right)^n\right)^{-\frac{1}{n}-1}$	**Eq. 5**	$\frac{\partial E}{\partial P} = \left(1 + \left(\frac{P}{E_0}\right)^n\right)^{-\frac{1}{n}-1}$	**Eq. 6**
$\frac{\partial Q}{\partial E_0} = -\left(1 + \left(\frac{E_0}{P}\right)^n\right)^{-\frac{1}{n}-1}$	**Eq. 7**	$\frac{\partial E}{\partial E_0} = \left(1 + \left(\frac{E_0}{P}\right)^n\right)^{-\frac{1}{n}-1}$	**Eq. 8**

Table 3. Partial derivatives of the Tixeront-Fu formula (*P* – precipitation, *Q* – streamflow, E_0 – maximum evaporation, *E* – actual evaporation, all in mm/year averaged over many years). *m* is the free parameter of the Tixeront-Fu formula [*m* >1]

Streamflow formulation		Actual evaporation formulation	
$\frac{\partial Q}{\partial P} = \left(1 + \left(\frac{E_0}{P}\right)^m\right)^{\frac{1}{m}-1}$	**Eq. 9**	$\frac{\partial E}{\partial P} = 1 - \left(1 + \left(\frac{E_0}{P}\right)^m\right)^{\frac{1}{m}-1}$	**Eq. 10**
$\frac{\partial Q}{\partial E_0} = -1 + \left(1 + \left(\frac{P}{E_0}\right)^m\right)^{\frac{1}{m}-1}$	**Eq. 11**	$\frac{\partial E}{\partial E_0} = 1 - \left(1 + \left(\frac{P}{E_0}\right)^m\right)^{\frac{1}{m}-1}$	**Eq. 12**

3. Comparisons of the Turc-Mezentsev and Tixeront-Fu formulas

3.1 Previous comparisons

We mentioned in the introduction that the first paper comparing the TM and TF formulas was published by Yang et al. (2008), who note that the TM and TF formulas are “approximately equivalent” and that their parameters have a “perfectly significant linear correlation relationship,” which they identify as in Eq. 13~~Eq. 13~~:

$$m \sim n + 0.72 \qquad \textbf{Eq. 13}$$

where m stands for the parameter of the Tixeront-Fu relationship and n for the parameter of the Turc-Mezentsev relationship.

Note that Eq. 13~~Eq. 13~~ is an experimental relationship obtained by regression. It gives slightly more satisfying results ~~that~~ than the “theoretical” relationship (found by posing P/E_0=1 in both TM and TF) given below (Eq. 14~~Eq. 14~~):

$$m = \frac{ln2}{ln\left[2 - 2^{\frac{-1}{n}}\right]} \qquad \textbf{Eq. 14}$$

Recently, Andréassian et al. (2016) and de Lavenne and Andréassian (2018) used the Yang et al. (2008) results and further illustrated the nearly perfect similarity between the two formulas.

3.2 Graphical illustration of the similarity of the TM and the TF formulas

Figure 1~~Figure 1~~, which illustrates the ~~confounding~~ numerical proximity of the two formulas, speaks for itself: while we tested a wide range of (n,m) couples respecting Eq. 13~~Eq. 13~~, the difference (TM-TF) between the two formulas is at maximum 2.5%, and most of the time much less. Numerically, both formulas are equivalent (except for very low values of the humidity index P/E_0).

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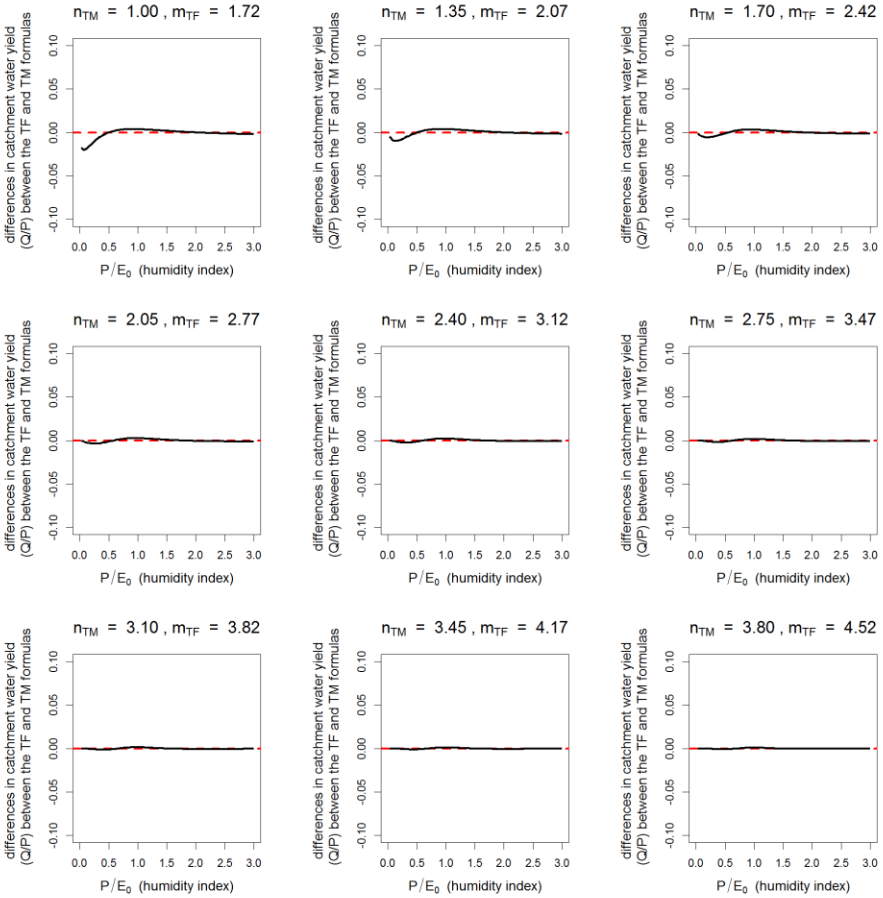

Figure 1. Illustration of the similarity between the values of the Turc-Mezentsev (TM) and the Tixeront-Fu (TF) formulas for a range of values of *n* (the parameter of the TM formula) and *m* (the parameter of the TF formula), using the Yang et al. (2008) relationship: *m* = *n* + 0.72

Figure 2~~Figure 2~~ and Figure 3~~Figure 3~~ also present the differences between the partial derivatives of the TM and TF formulas. The reason for this is that both formulas are sometimes used to predict the hydrological impact of climatic change, i.e., to evaluate the evolution or differences between future and current conditions. Again, both formulas appear numerically equivalent.

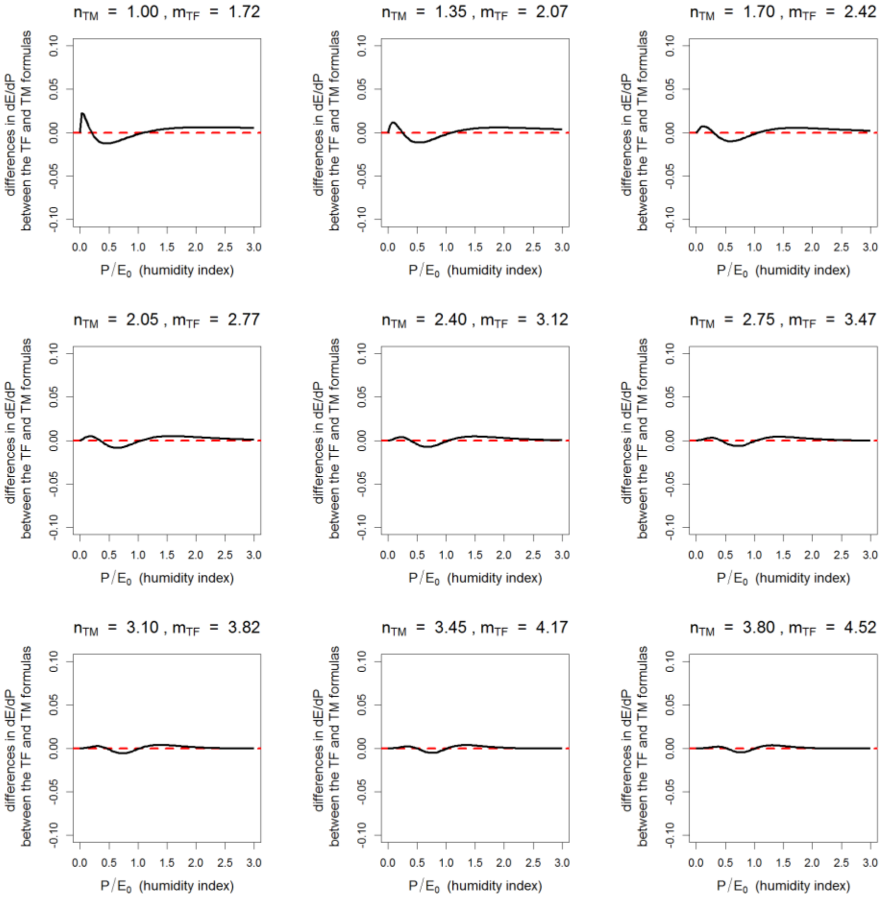

Figure 2. Illustration of the similarity between the Turc-Mezentsev (TM) and the Tixeront-Fu (TF) formulas for a range of values of *n* (the parameter of the TM formula) and *m* (the parameter of the TF formula), using the Yang et al. (2008) relationship: $m = n + 0.72$: difference in the partial differentials $\frac{\partial E}{\partial P}$

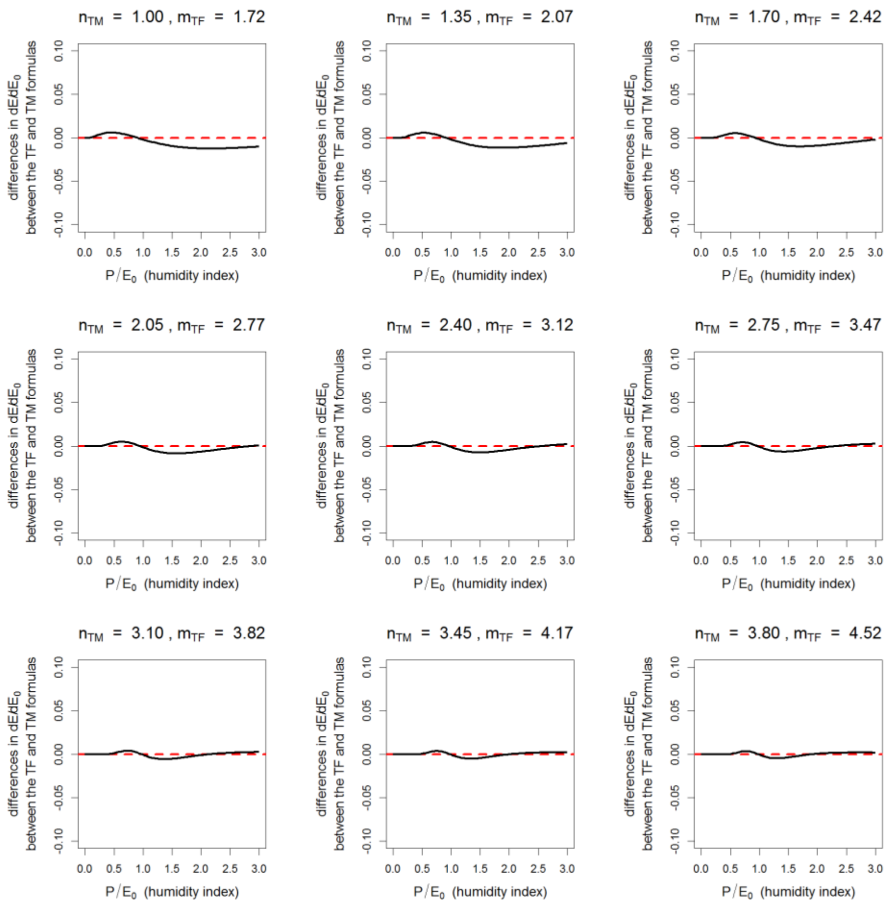

Figure 3. Illustration of the similarity between the Turc-Mezentsev (TM) and the Tixeront-Fu (TF) formulas for a range of values of *n* (the parameter of the TM formula) and *m* (the parameter of the TF formula), using the Yang et al. (2008) relationship: *m* = *n* + 0.72 : difference in the partial differentials $\frac{\partial E}{\partial E_0}$

4. Interpretation of the TM and TF formulas

4.1 Hydrological interpretation

The TM and TF formulas share a set of hydrological properties that we summarize in Table 4~~Table 4~~ and Table 5~~Table 5~~, following the presentation proposed by Lebecherel et al. (2013).

Table 4. Hydrological interpretation of the Turc-Mezentsev and Tixeront-Fu formulas, applied to streamflow (*P* – precipitation, *Q* – streamflow, E_0 – maximum evaporation, all in mm/year averaged over many years).

	Mathematical property	Hydrological interpretation
1	$Q < P$	A catchment cannot produce more water than it receives from precipitation
2	$P - Q < E_0$	A catchment cannot lose more water than it receives energy to evaporate water
3	$Q/P \rightarrow 1\ when\ P \gg E_0$	Water yield of very humid catchments tends towards 1
4	$Q/P \rightarrow 0\ when\ E_0 \gg P$	Water yield of very arid catchments tends towards 0
5	$\frac{\partial Q}{\partial P} \rightarrow 1\ when\ P \gg E_0$	On very humid catchments, all additional precipitation tends to be transformed into streamflow
6	$\frac{\partial Q}{\partial E_0} \rightarrow -1\ when\ P \gg E_0$	On very humid catchments, all additional energy tends to be subtracted from streamflow
7	$\frac{\partial Q}{\partial P} \rightarrow 0\ when\ E_0 \gg P$	On very arid catchments, streamflow is not sensitive to additional precipitation
8	$\frac{\partial Q}{\partial E_0} \rightarrow 0\ when\ E_0 \gg P$	On very arid catchments, streamflow is not sensitive to additional energy

Table 5. Hydrological interpretation of the Turc-Mezentsev and Tixeront-Fu formulas, applied to actual evaporation (P – precipitation, E_0 – maximum evaporation, E – actual evaporation, all in mm/year averaged over many years).

	Mathematical property	Hydrological interpretation
1	$E < P$	A catchment cannot evaporate more water than it receives from precipitation
2	$E < E_0$	A catchment cannot evaporate more water than it receives energy
3	$E \rightarrow P\ when\ E_0 \gg P$	Very arid catchments tend to use all incoming rainfall for evaporation
4	$E \rightarrow E_0\ when\ P \gg E_0$	Very humid catchments tend to use all incoming energy for evaporation
5	$\frac{\partial E}{\partial P} \rightarrow 0\ when\ P \gg E_0$	On very humid catchments, actual evaporation is not sensitive to additional precipitation
6	$\frac{\partial E}{\partial E_0} \rightarrow 1\ when\ P \gg E_0$	On very humid catchments, all the additional energy tends to be transformed into evaporation
7	$\frac{\partial E}{\partial P} \rightarrow 1\ when\ E_0 \gg P$	On very arid catchments, all the additional precipitation tends to be transformed into evaporation
8	$\frac{\partial E}{\partial E_0} \rightarrow 0\ when\ E_0 \gg P$	On very arid catchments, actual evaporation is not sensitive to additional energy

4.2 Mathematical interpretation

The appendix summarizes the underlying mathematical reasoning presented by the authors of the TM and TF formulas and by Zhang et al. (2004) and Yang et al. (2008). What can be concluded from the analysis presented in the appendix is that both formulations are based on very similar but nonetheless slightly different hypotheses; Table 6~~Table 6~~ illustrates them after rewriting the partial differentials to make *E* appear (for the TM formula see Yang et al., 2008, and Eq. 29~~Eq. 31~~ and Eq. 30~~Eq. 32~~ in appendix; for the TF formula see Fu, 1981, and Eq. 23~~Eq. 25~~ and Eq. 24~~Eq. 26~~ in the appendix):

- For the Turc-Mezentsev formula, Table 6~~Table 6~~ shows that $\frac{\partial E}{\partial P}$ and $\frac{\partial E}{\partial E_0}$ can only be written as functions of the $\frac{P}{E}$ and $\frac{E_0}{E}$ ratios;
- For the Tixeront-Fu formula, Table 6~~Table 6~~ shows that $\frac{\partial E}{\partial P}$ and $\frac{\partial E}{\partial E_0}$ can be written as functions of the $\frac{P}{E}$ and $\frac{E_0}{E}$ ratios (as for the TM formulation). But in addition, $\frac{\partial E}{\partial P}$ can be written a function of $\frac{E_0-E}{P}$ (i.e., the remaining energy divided by *P*) and $\frac{\partial E}{\partial E_0}$ can be written as a function of $\frac{P-E}{E_0}$ (the remaining water divided by E_0). In fact, Fu (1981) demonstrated in a rigorous mathematical way that the TF formulation was the only possible solution to this set of hypotheses (i.e., Eq. 20~~Eq. 22~~ in the appendix).

Table 6. Comparison of the partial differentials of the Turc-Mezentsev and the Tixeront-Fu formula (P – precipitation, E_0 – maximum evaporation, E – actual evaporation, all in mm/year averaged over many years; *n* is the free parameter of the Turc-Mezentsev formula [*n* >0]; *m* is the free parameter of the Tixeront-Fu formula [*m* >1])

	Turc-Mezentsev formulation	Tixeront-Fu formulation	
$\frac{\partial E}{\partial P}$	$\left(\frac{P}{E}\right)^{-1}\left[1-\left(\frac{E_0}{E}\right)^{-n}\right]$	$1-\left[1+\left(\frac{P}{E}\right)^{-1}\left(\frac{E_0}{E}-1\right)\right]^{1-m}$	$1-\left(1+\frac{E_0-E}{P}\right)^{1-m}$
$\frac{\partial E}{\partial E_0}$	$\left(\frac{E_0}{E}\right)^{-1}\left[1-\left(\frac{P}{E}\right)^{-n}\right]$	$1-\left(1+\frac{\frac{P}{E}-1}{\frac{E_0}{E}}\right)^{1-m}$	$1-\left(1+\frac{P-E}{E_0}\right)^{1-m}$
Expression using $\frac{P}{E}$ and $\frac{E_0}{E}$ ratios			**Expression using $\frac{P-E}{E_0}$ and $\frac{E_0-E}{P}$ ratios**

What can we conclude from this? Does this make the TF formula (slightly) more general and the TM formula (slightly) more restrictive? Perhaps, but from the user's point of view, both formulas are so close numerically (see Figure 1 and also compare the maps presented by de Lavenne and Andréassian, 2018) that any data-based distinction is impossible.

~~Mathematico-hydrological interpretation~~

~~We can suggest another interpretation of both equations, which we label "mathematico-hydrological." For this, we need to define two simple functions, which we may tentatively call "D_{min} – minimum by default" and "E_{max} – maximum by excess." Let x and y be strictly positive quantities:~~

$$\cancel{Dmin_n(x,y) = [x^{-n} + y^{-n}]^{\frac{-1}{n}}} \qquad \text{~~Eq. 15~~}$$

$$\cancel{Emax_m(x,y) = [x^{m} + y^{m}]^{\frac{1}{m}}} \qquad \text{~~Eq. 16~~}$$

~~$Dmin_n$ gives *the minimum by default* because for all positive values of parameter *n* it returns a value that is lower than the minimum of *x* and *y* and larger than 0. When *n* is large, $Dmin_n$ returns a value that is very close to the minimum of *x* and *y*. $Emax_m$ gives *the maximum by excess* because for all positive values of parameter *m* it returns a value that is larger than the maximum of *x* and *y*. When *m* is large, $Emax_m$ returns a value that is very close to the maximum of *x* and *y*. Only for values of *m* greater than 1 is the value taken by $Emax_m$ smaller than the sum of *x* and *y*.~~

~~We can now hydrologically interpret the TM formula by saying that it states that catchment-scale actual evaporation *E* is equal to the *minimum by default* of the forcing fluxes, E_0 and *P*. Similarly, the interpretation of the TF formula is that *E* is equal to the sum of the forcing fluxes, E_0 and *P*, minus their *maximum by excess*. A positive *E* requires *m* to be greater than one.~~

5. Conclusion

The Turc-Mezentsev and Tixeront-Fu formulas are two sound and numerically equivalent representations of the long-term water balance at the catchment scale. This note investigated the underlying assumptions of the two formulas and showed that the Tixeront-Fu formula is slightly more general than the Turc-Mezentsev formula, because its partial differences can be written both as a function of the $\frac{\mathrm{P}}{\mathrm{E}}$ and $\frac{\mathrm{E_0}}{\mathrm{E}}$ ratios and as a function of the $\frac{\mathrm{E_0-E}}{\mathrm{P}}$ and $\frac{\mathrm{P-E}}{\mathrm{E_0}}$ ratios (the TM formula can only write its partial differences as a function of the $\frac{\mathrm{P}}{\mathrm{E}}$ and $\frac{\mathrm{E_0}}{\mathrm{E}}$ ratios). Apart from this difference, both formulas share the same hydrological properties and we can see no reason to recommend one over the other as more "hydrologically founded." This should not be considered disappointing: it simply means that hydrologists should feel free to choose the formula they feel more comfortable with.

6. Acknowledgements

The authors gratefully acknowledge the review provided by ~~Dr Charles Perrin~~Ms Laurène Bouaziz, Dr Maik Renner, Dr Charles Perrin and an anonymous reviewer, which all contributed to clarify the manuscript.

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8. Appendix: ~~Genealogy~~ Supplementary genealogical and mathematical considerations ~~of~~ concerning the Turc-Mezentsev and the Tixeront-Fu formulations

8.1 Origin of the Turc formula

Lucien Turc was a French soil scientist. He produced his formula while working on his PhD thesis, defended in April 1953 (and published in 1954 in the *Annales Agronomiques*). Turc used water balance data for a set of 254 catchments from all over the world, collected with the help of Prof. Maurice Pardé, a well-known hydrologist of that time. He computed catchment-scale long-term average actual evaporation (*E*) from estimates of long-term average precipitation (*P*) and long-term average discharge (*Q*) by writing $E = P - Q$ (all variables in mm/yr), and he used a polynomial relationship to compute E_0 from temperature. After plotting his catchment data in the $E/E_0 = f(P/E_0)$ nondimensional space, Turc looked for a mathematical function running through the experimental points and respecting the two following constraints:

- $\frac{E}{E_0} \sim \frac{P}{E_{\cancel{x}0}}$ when $\frac{P}{E_0}$ is small
- $\frac{E}{E_0} \sim 1$ when $\frac{P}{E_0}$ is large

Turc (1954, p. 504) wrote that the simplest function respecting these two conditions would be:

$y = \frac{x}{1+x}$, with $y = \frac{E}{E_0}$ and $x = \frac{P}{\cancel{E}E_0}$

and that the most general would be:

$$y = \frac{x}{(1+x^n)^{\frac{1}{n}}}, \text{ i.e., } \frac{E}{E_0} = \frac{\frac{P}{E_0}}{\left[1+\left(\frac{P}{E_0}\right)^n\right]^{\frac{1}{n}}} \text{ or } \frac{E}{P} = \frac{1}{\left[1+\left(\frac{P}{E_0}\right)^n\right]^{\frac{1}{n}}} \qquad \textbf{Eq. 15~~17~~}$$

in which *n* is an exponent to estimate. Turc graphically looked for the most convenient value for *n* and concluded that the best fit was "with *n*=3, or maybe *n*=2" (Turc, 1954, p. 563). Since the choice of *n*=2 allowed the simplest computations, he retained this value for further developments.

8.2 Origin of the Mezentsev formula

Varfolomeï Mezentsev was a Soviet geographer, working at the University of Omsk in Siberia. He published his formula in 1955, and continued working on it throughout his life (Mezentsev, 1955, 1982, 1993). Mezentsev started his analysis from a formula proposed by Bagrov (1953) (Eq. 16~~Eq. 18~~):

$$\frac{dE}{dP} = 1 - \left(\frac{E}{E_0}\right)^n \qquad \textbf{Eq. 16}\cancel{18}$$

The Bagrov formula can be interpreted as follows: when $\frac{E}{E_0}$ is small, i.e., when water is the limiting factor, an increase in precipitation *P* is integrally transformed into an increase of actual evaporation *E*. Conversely, when $\frac{E}{E_0}$ approaches 1 (i.e., when water does not limit evaporation) none of the additional *P* is transformed into *E* because no more energy is available for evaporation. Bagrov showed that this formula presents the interesting property of integrating into the Oldekop (1911) water balance formula for n=2. For n=1, n=4/3 and n=3/2, Bagrov found analytical solutions, but he could not find a generic solution for all values of n.

Mezentsev (1955) reasoned that in order to find a generic solution, Bagrov's formula could be rewritten as follows:

$$\frac{dE}{dP} = \left[1 - \left(\frac{E}{E_0}\right)^n\right]^{1+\frac{1}{n}} \qquad \textbf{Eq. 17}\cancel{19}$$

which keeps the same interpretation as Eq. 16~~Eq. 18~~.

Eq. 17~~Eq. 19~~ can be integrated analytically and yields Eq. 18~~Eq. 20~~:

$$\frac{E}{P} = \frac{1}{\left[1 + \left(\frac{P}{E_0}\right)^n\right]^{\frac{1}{n}}} \qquad \textbf{Eq. 18}\cancel{20}$$

which is identical to the general formulation proposed by Turc (i.e., Eq. 18~~Eq. 20~~, Eq. 15~~Eq. 17~~ and Eq. 2~~Eq. 2~~ are identical). Based on a set of 35 catchments of the Siberian plain, Mezentsev suggested using the value of 2.3 for parameter n, which is also close to the value chosen by Turc.

8.3 Origin of the Tixeront formula

Jean Tixeront (1901–1984), a graduate of Ecole Nationale des Ponts et Chaussées, was a French hydrologist who spent most of his professional career in Tunisia. The most accessible reference for his formula is a paper published in the proceedings of the General Assembly of the IAHS in 1964 (Tixeront, 1964). The formula had been first published in 1958, in the note accompanying a map of mean annual runoff in Tunisia (Berkaloff and Tixeront, 1958). There,

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the authors give more explanation on their reasoning, stating that two desirable properties of such a formula would be that (i) “when precipitation increases, runoff tends to equal precipitation minus potential evapotranspiration” and (ii) “when precipitation tends towards zero, the runoff to the precipitation ratio tends towards zero.” They proposed Eq. 19~~Eq. 21~~ as the “simplest formula satisfying these conditions”:

$$Q = [P^{\mathrm{m}} + E_0^{\mathrm{m}}]^{\frac{1}{\mathrm{m}}} - E_0$$ **Eq. 19~~21~~**

Unfortunately, Tixeront never published the detailed computations that led him to the formula.

8.4 On Fu’s system of differential equations

Bao-Pu Fu was a Chinese hydrologist working at the University of Nanjing. He published his formula in 1981, and an English abstract of his computation is given in the appendix of the paper by Zhang et al. (2004). It is interesting to note that Fu’s paper (1981) starts with a well-informed review of the formulas in the literature, where he cites the works of Bagrov (1953) and Mezentsev (1955). Then he makes assumptions on a system of differential equations that should be respected by an actual evaporation formula (eq. A1 in Zhang’s paper):

$$\begin{cases} \dfrac{\partial E}{\partial P} = F(u) \\ \dfrac{\partial E}{\partial E_0} = G(v) \end{cases}$$ **Eq. 20~~22~~**

where *u* and *v* are given by

$$u = \frac{E_0 - E}{P} \; and \; v = \frac{P - E}{E_0}$$ **Eq. 21~~23~~**

The mathematical integration of the system given in Eq. 20~~Eq. 22~~ with the boundary conditions given by lines 5, 6, 7 and 8 in Table 5~~Table 5~~ led to the following formula, which is equivalent (in actual evaporation terms) to Tixeront’s formula (i.e., Eq. 22~~Eq. 24~~ below and Eq. 4~~Eq. 4~~ are the same):

$$E = P + E_0 - [P^{\mathrm{m}} + E_0^{\mathrm{m}}]^{\frac{1}{\mathrm{m}}}$$ **Eq. 22~~24~~**

Actually, from Eq. 10~~Eq. 10~~ and Eq. 4~~Eq. 4~~, it can easily be seen that:

$$\frac{\partial E}{\partial P} = 1 - P^{m-1}(P^m + E_0^m)^{\frac{1-m}{m}} = 1 - P^{m-1}(P + E_0 - E)^{1-m}$$

Therefore:

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$$\frac{\partial E}{\partial P} = 1 - \left(1 + \frac{E_0 - E}{P}\right)^{1-m}$$ **Eq. 23~~25~~**

Similarly, from Eq. 12~~Eq. 12~~ and Eq. 4~~Eq. 4~~, it can easily be seen that:

$$\frac{\partial E}{\partial E_0} = 1 - E_0^{m-1}(P^m + E_0^m)^{\frac{1-m}{m}} = 1 - E_0^{m-1}(P + E_0 - E)^{1-m}$$

Therefore:

$$\frac{\partial E}{\partial E_0} = 1 - \left(1 + \frac{P - E}{E_0}\right)^{1-m}$$ **Eq. 24~~26~~**

Hence, Eq. 23~~Eq. 25~~ and Eq. 24~~Eq. 26~~ show that the Tixeront function is indeed the solution of the Fu system of differential equations in Eq. 20~~Eq. 22~~, with the following functions:

$$F(u) = 1 - (1 + u)^{1-m}, \quad G(v) = 1 - (1 + v)^{1-m}$$ **Eq. 25~~27~~**

8.5 On Yang et al.'s system of differential equations

Yang et al. (2008) were not only the first to compare the Turc-Mezentsev and the Tixeront-Fu formulas, they also made a mathematical analysis of the Turc-Mezentsev formula, that we reflect on now. They start to write down a system of differential equations that should be respected by an actual evaporation formula (Eq. (14) in their 2008 paper):

$$\begin{cases} \dfrac{\partial E}{\partial P} = f(x, y) \\ \dfrac{\partial E}{\partial E_0} = g(x, y) \end{cases}$$ **Eq. 26~~28~~**

where *x* and *y* are given by:

$$x = \frac{P}{E}, y = \frac{E_0}{E}$$ **Eq. 27~~29~~**

The mathematical integration of the system given in Eq. 26~~Eq. 28~~ with the boundary conditions given in lines 5, 6, 7 and 8 of Table 5~~Table 5~~ led to the following formula, which is equivalent to the Turc-Mezentsev formula (i.e., Eq. 28~~Eq. 30~~ below and Eq. 2~~Eq. 2~~ are the same):

$$E = [P^{-\mathrm{n}} + E_0^{-\mathrm{n}}]^{\frac{-1}{\mathrm{n}}}$$ **Eq. 28~~30~~**

Actually, from Eq. 6~~Eq. 6~~ it is easily seen that:

$$\frac{\partial E}{\partial P} = P^{-n-1}(P^{-n} + E_0^{-n})^{\frac{-1}{n}-1} = \frac{(P^{-n} + E_0^{-n})^{\frac{-1}{n}}}{P}\frac{P^{-n}}{P^{-n} + E_0^{-n}}$$

Therefore, using Eq. 2~~Eq. 2~~ we have:

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$$\frac{\partial E}{\partial P}=\frac{E}{P}\left(1-\frac{E_0^{-n}}{E^{-n}}\right)$$ **Eq. 29~~31~~**

Similarly, from Eq. 8~~Eq. 8~~ it is easy to see that:

$$\frac{\partial E}{\partial E_0}=E_0^{-n-1}\left(P^{-n}+E_0^{-n}\right)^{\frac{-1}{n}-1}=\frac{\left(P^{-n}+E_0^{-n}\right)^{\frac{-1}{n}}}{E_0}\frac{E_0^{-n}}{P^{-n}+E_0^{-n}}$$

Therefore, using Eq. 2~~Eq. 2~~ we have:

$$\frac{\partial E}{\partial E_0}=\frac{E}{E_0}\left(1-\frac{P^{-n}}{E^{-n}}\right)$$ **Eq. 30~~32~~**

Hence, Eq. 29~~Eq. 31~~ and Eq. 30~~Eq. 32~~ show that the Turc-Mezentsev function is indeed a solution of the Yang et al. system of differential equations (Eq. 26~~Eq. 28~~) with the following functions:

$$f(x,y)=x^{-1}(1-y^{-n}),\qquad g(x,y)=y^{-1}(1-x^{-n})$$ **Eq. 31~~33~~**

We wish to underline that the Turc-Mezentsev function is not the only solution of the Yang et al. system of differential equations (Eq. 26~~Eq. 28~~). This system is also satisfied by the Tixeront-Fu function. Indeed, *u* and *v* defined in Eq. 21~~Eq. 23~~ can also be expressed using the *x* and *y* ratios defined in Eq. 27~~Eq. 29~~:

$$\frac{E_0-E}{P}=\frac{E_0}{E}\frac{E}{P}-\frac{E}{P}=\frac{y-1}{x}\qquad,\qquad\frac{P-E}{E_0}=\frac{P}{E}\frac{E}{E_0}-\frac{E}{E_0}=\frac{x-1}{y}$$

Therefore, Eq. 23~~Eq. 25~~ and Eq. 24~~Eq. 26~~ show that Tixeront-Fu's formula satisfies the following conditions:

$$\frac{\partial E}{\partial P}=1-\left(1+\frac{y-1}{x}\right)^{1-m}\qquad\qquad\frac{\partial E}{\partial E_0}=1-\left(1+\frac{x-1}{y}\right)^{1-m}$$

These formulas show that Tixeront-Fu's function is a solution of the Yang et al. system of differential equations (Eq. 26~~Eq. 28~~) with the following functions:

$$f(x,y)=1-\left(1+\frac{y-1}{x}\right)^{1-m}\quad,\quad g(x,y)=1-\left(1+\frac{x-1}{y}\right)^{1-m}$$ **Eq. 32~~34~~**

Thus, when Yang et al. (2008) wrote in their conclusion (p.8) that "this paper mathematically derived the general solution to the mean annual water-energy balance equation, and proved its uniqueness" this is obviously an error. It is interesting to look where in their demonstration they "missed" the Tixeront-Fu formulation (which they knew perfectly). In their integration of Eq. 26~~Eq. 28~~, these authors used the following computations. Assuming *P* and E_0 are independent, the differentiation of Eq. 26~~Eq. 28~~ gives the following formulas:

$$\frac{\partial^2 E}{\partial E_0 \partial P}=-\frac{x}{E}g\frac{\partial f}{\partial x}+\frac{1-yg}{E}\frac{\partial f}{\partial y}$$

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$$\frac{\partial^2 E}{\partial P \partial E_0} = -\frac{y}{E} f \frac{\partial g}{\partial y} + \frac{1 - xf}{E} \frac{\partial g}{\partial x}$$

A solution of Eq. 26~~Eq. 28~~ must satisfy the equation:

$$\frac{\partial^2 E}{\partial E_0 \partial P} = \frac{\partial^2 E}{\partial P \partial E_0}$$

Hence (Eq. (15) in the Yang et al. paper):

$$-xg \frac{\partial f}{\partial x} + (1 - yg) \frac{\partial f}{\partial y} = -yf \frac{\partial g}{\partial y} + (1 - xf) \frac{\partial g}{\partial x} \quad \textbf{Eq. 33}\sout{\textbf{35}}$$

Assume that functions *f* and *g* satisfy both Eq. (16a) and Eq. (16b) in the Yang et al. paper:

$$xg \frac{\partial f}{\partial x} = yf \frac{\partial g}{\partial y} \quad \textbf{Eq. 34}\sout{\textbf{36}}$$

$$(1 - yg) \frac{\partial f}{\partial y} = (1 - xf) \frac{\partial g}{\partial x} \quad \textbf{Eq. 35}\sout{\textbf{37}}$$

Then they obviously satisfy Eq. 33~~Eq. 35~~. However, the general solution of Eq. 33~~Eq. 35~~ does not necessarily satisfy both Eq. 34~~Eq. 36~~ and Eq. 35~~Eq. 37~~. The computations given in Yang et al. (2008) consist in solving these equations. They show that the functions given by Eq. 31~~Eq. 33~~ satisfy both Eq. 34~~Eq. 36~~ and Eq. 35~~Eq. 37~~.

Straightforward computations show that the functions given by Eq. 32~~Eq. 34~~ do not satisfy Eq. 35~~Eq. 37~~, although they satisfy Eq. 34~~Eq. 36~~. This is the reason why Yang et al. (2008) missed the solution given by Tixeront-Fu's formula in their demonstration. For the functions *f* and *g* given by Eq. 32~~Eq. 34~~ we have:

$$xg \frac{\partial f}{\partial x} = (1 - m)\left(1 - \left(1 + \frac{x - 1}{y}\right)^{1-m}\right)\left(1 + \frac{y - 1}{x}\right)^{-m}\left(\frac{y - 1}{x}\right)$$

$$yf \frac{\partial g}{\partial y} = (1 - m)\left(1 - \left(1 + \frac{y - 1}{x}\right)^{1-m}\right)\left(1 + \frac{x - 1}{y}\right)^{-m}\left(\frac{x - 1}{y}\right)$$

Therefore:

$$xg \frac{\partial f}{\partial x} \neq yf \frac{\partial g}{\partial y}$$

so that Eq. 35~~Eq. 37~~ is not satisfied. On the other hand we have:

$$-xg \frac{\partial f}{\partial x} + (1 - yg) \frac{\partial f}{\partial y} = (m - 1)\left(1 + \frac{y - 1}{x}\right)^{1-m}\left(1 + \frac{x - 1}{y}\right)^{1-m} \frac{1}{x + y - 1}$$

$$-yf \frac{\partial g}{\partial y} + (1 - xf) \frac{\partial g}{\partial x} = (m - 1)\left(1 + \frac{y - 1}{x}\right)^{1-m}\left(1 + \frac{x - 1}{y}\right)^{1-m} \frac{1}{x + y - 1}$$

Therefore Eq. 34~~Eq. 36~~ is satisfied.

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8.6 Another interpretation of the Turc-Mezentsev and Tixeront-Fu formulas

We present here another interpretation of both equations, which is partly mathematical and partly hydrological. For this, we define two simple functions, which we tentatively call "D_{min} – minimum by default" and "E_{max} – maximum by excess." Let x and y be strictly positive quantities:

$$Dmin_n(x,y) = [x^{-n} + y^{-n}]^{\frac{-1}{n}} \quad \textbf{Eq. 36}$$

$$Emax_m(x,y) = [x^{m} + y^{m}]^{\frac{1}{m}} \quad \textbf{Eq. 37}$$

Obviously, $Dmin_n$ reminds Eq. 2 (the Turc-Mezentsev formulation) and $Emax_m$ reminds Eq. 3 (the Tixeront-Fu formulation). These two functions have interesting mathematical properties which we can try to interpret also hydrologically:

$Dmin_n$ gives *the minimum by default* because for all positive values of parameter *n* it returns a value that is lower than the minimum of *x* and *y* and larger than 0. When *n* is large, $Dmin_n$ returns a value that is very close to the minimum of *x* and *y*. $Emax_m$ gives *the maximum by excess* because for all positive values of parameter *m* it returns a value that is larger than the maximum of *x* and *y*. When *m* is large, $Emax_m$ returns a value that is very close to the maximum of *x* and *y*. Only for values of *m* greater than 1 is the value taken by $Emax_m$ smaller than the sum of *x* and *y*.

We can now hydrologically interpret the TM formula by saying that it states that catchment-scale actual evaporation *E* is equal to the *minimum by default* of the forcing fluxes, E_0 and *P*. Similarly, the interpretation of the TF formula is that *E* is equal to the sum of the forcing fluxes, E_0 and *P*, minus their *maximum by excess*. A positive *E* requires *m* to be greater than one.