Dear Editor,

Please find attached our revised version of our paper entitled "On the potential of variational calibration for a fully distributed hydrological model: application on a Mediterranean catchment"

We would like to thank you and the three anonymous reviewers for your constructive comments and suggestions.

Best regards,

On behalf of the authors

# Answers to reviewer #1

We thank reviewer#1 for her/his constructive comments and suggestions.

#	Reviewer comments	Authors answers	Change location in the new paper
1	Major remarks: The paper lacks clear scientific questions. Three points are mentioned by the authors at the end of the introduction:upgrading the GRD model,- calibrating GRD with a variational approach, and finally,- upgrading the variational approach. These three objectives are more similar to a model development process that is de-scribed in a project report than to science objectives tackled to fill a gap in knowledge in a research article. They are very specific to the chosen model and very general in terms of objectives (upgrading and calibrating are broad terms). They do not introduce valuable objectives for a scientific paper. Moreover, the proposed scientific objectives are not supported by a proper experiment protocol: the new GRD model is not compared to any benchmark (i.e. the former GRD model or another model), the calibration with the variational approach is not compared to a calibration with another approach,only with overly simple homogeneous parameter sets, and the improved variational algorithm is not compared with the classical variational approach. As a consequence,we don't know if the developments of this work are valuable for other research works,we just know their performance, with no landmark.	New scientific objectives have been defined	P04L30-34
2	This lack of clear scientific questions comes with (in my opinion) a deficient introduction. Actually, an introduction aims at clarifying the work presented, through an introduction of the problem and proposed solution, a relevant literature review about what has been done and what is the gap of knowledge the authors want to address. These aspects are barely present in the introduction proposed by the authors. They start by presenting pros and cons of distributed models (raising the issue of equifinality, I acknowledge it, but not saying they are going to work on that somehow in this study), then they introduce DA methods used in hydrology, later on they introduce the concept of variational approaches and some DA methods applied to hydrology. Then we have a small paragraph about the issue of calibration for distributed models, with only two references and none over the last 10 years. Finally the issue for flash floods forecasting and the goal of the paper are mentioned. There is no clear continuity in this sequence of topics not really	been entirely re- written	P01P04

line	nnected together or to this study. For example, do we need 15 es about DA methods used in hydrology for state updating while s study is not about that?		
reg hyd exp pro oth cali cha and mei	ould recommend presenting a deep analysis of the literature arding the calibration of distributed or semi-distributed drological models. If this is a challenge, then explain why, plain what has been tried before and to which extent what you pose in this article is new. As mentioned in the introduction, er distributed models exist. As a consequence, they are librated, some of them with sophisticated methods. If this is a allenge, then explain why, explain what has been tried before to which extent what you propose in this article is new. As nationed in the introduction, other distributed models exist. As a assequence, they are calibrated, some of them with sophisticated thods.	The novelty is the use of a VA for calibrating over a continuous long period with a split sample test (2x5years)	P04L25-30
(20	cent examples include Rakovec et al. (2016), Piniewski et al. (17), among others. However, as they are not mentioned, we do know how the work compares to these previous studies	We ve completed the bibliography related to distributed calibration	P02L23-31
sho mo per the me eler and	e abstract is written in a very unusual way. Usually, an abstract ould contain some context, a description of the methodology, the st important results and finally one or two sentences about spectives, in terms of further research or improvements. Here, context is given, and then the rest of the abstract is about the thodology implemented. Only the last sentence provides some ments of results ("encouraging results") with no much detail, I perspectives are never provided. In my opinion, theabstract ould be entirely rewritten.	The abstract has been entirely rewritten	L01_P01-17
par the hyde Enl var the see firs sen (20 the app hav Car sen enc	riational methods are powerful tools for data assimilation and ameter estimation, which are used for quite some time already in fields of meteorology or oceanography. Their use in the field of drology is clearly less developed, especially compared to the KF or particle filter, but I highly doubt that "In hydrology, the fiational estimation method as described above (i.e. including adjoint model) has not been reported so far." (page 3, line 12; also the conclusions "To the best of our knowledge, this is the st time when the variational estimation involving the adjoint sitivities. For instance, in this journal, HESS, Castaings et al. (209) seem to have developed a similar method. In addition, in ir paper Castaings et al. cite some other works (see "Early olications of the adjoint state method to hydrological systems are been carried out in groundwater hydrology (Chavent, 1974; prera and Neuman, 1986; Sun and Yeh, 1990)" and the following stences). Nguyen et al. (2016) might also be relevant. I courage the authors to make a proper literature review on this position aspect, which is necessary for the HESS audience to	bibliography related to use of variational approach in hydrology	P04L09-21

	identify the added value of this specific study. In addition, the use of variational methods for data assimilation (i.e. state updating) is quite common in 'hydrology' in a broad sense.		
	The assessment of the performance is not developed. Only NSE values are calculated, while the authors specifically want to address flash floods. No criterion about peak-over-threshold, timing, intensity, is used. Since the study is already limited to a single watershed, limiting the analysis to a single criterion is out of the standards of nowadays hydrological studies	New criterias were added	P15L14- P16L04
	The presentation of scores is poor. In figures 3, 4 and 5, the stations are ranked by their performance. It is a pity that we cannot identify any more the stations. What a hydrologist would like would be to analyze whether there is a difference between experiments for a specific station, whether there are links between performances over upstream / downstream stations, etc. This kind of analyses is impossible to perform from the presented graphs. In addition, the fact that scores are mixed between the two periods (P1 and P2), if I understand well, is even more confusing.	New paragraph and new fig4 were added to do this analyse	P17L01- P20L11
9	As I mentioned at the beginning of my review, the analysis and discussion of the results is insufficient. The description of the results consists in a one-page long text and the discussion in less than 20 lines. Only NSE is presented to assess the performance, as well as the maps of parameters. This is a pity, as there would be a lot to say. First, it is clear from figures 6 and 7 that the parameter values are highly different when calibrated over P1 or P2. For many grid points, Cp and Ct can reach the lower bound for a period and the upper bound for the other. The authors blame the change of precipitation between the periods or the chosen model. In my opinion, it simply indicates equifinality. Indeed, the performances do not decrease a lot between the periods but the parameter values are completely opposite. It comes from the fact that not enough information is provided to the algorithm to calibrate 540 x 3 parameter values. In other words, the optimization problem is ill posed. It indicates that calibrating these three parameters over each grid cell is not possible with only discharge time series and the variational algorithm. While the presentation of negative results is interesting and should be encouraged in my opinion, it has to come with a proper experiment protocol and in depth analyses.	2 new paragraphs were added to discuss that point	P17L01- P22L09
10	If different precipitation patterns are the key factor for explaining these results, then the reader has no element to assess that: no mean or extreme precipitation values or even maps are provided.	This has been removed	
11	The discussion of the results comes with several rude and coarse judgments, not supported by evidence. For instance, the authors blame "a structural deficiency of the chosen model" (page 16, line 1), saying it is not surprising since "the model is conceptual". Then, they state that "the hydrological modeling at the cell scale is	You are right. This has been mentioned as future work	P24L22-2

	very primitive". These two elements may explain why the parameter values are so different according to the authors. First, these assertions are very surprising, as the authors did develop the model they used, if I understand well. Second, being simple or conceptual is not necessarily a deficiency. On the opposite, it is often considered as being an advantage, as such models are easier to run or to understand. Third, if the authors identified a structural deficiency, then it has to be shown and analyzed, and solutions for improvement must be discussed. It is true that some processes are not modeled, but what shows that this is the reason of the poor results? In addition, the authors also suspect the "routing scheme" (line 3). I am surprised by that, as figure 7 and page 14,lines 29 to 30 indicate that the routing velocity is the best determined parameter.		
12	The quality of the English used in this work is sometimes rather poor. The manuscript contains a high number of formulation that are more typical from oral English than from written scientific English ("Let us note", "As we already said", etc.). I strongly recommend that a native English speaker reads and corrects the manuscript	English has been corrected	
13	Page 1, lines 4 to 6: it is not clear whether AIGA is a forecasting system (as said online 4) or not (as we learn on line 6 that it uses radar observations, not forecasts).	This has been removed	
14	Page 1, line 8: "greater": do you mean "higher"?	This has been removed	
15	Page 1, line 10: "have also" must be changed into "also have".	This has been removed	
16	Page 1, line 10: "This must be larger enough": what do you mean? Do you mean "large enough"?	This has been removed	
17	Page 3, lines 23 to 29: local methods indeed sometimes fail to identify global optima. However, between local methods and DA approaches, one can find the global optimization algorithms, which prove to be sometimes more efficient than local methods. DA is an option, not necessarily the only option!	Global optimisation has been mentioned	P04L06
18	Page 6; line4: I would say that the soil reaches its "minimal" absorption capacity when all rainfall contributes to runoff, rather than "maximal".	This has been removed	
19	What is the meaning of the word "scalable" used in the introduction and in section 2.2?	scalable has been defined	P03L19
20	Page 9, lines 14 to 19: this paragraph and equation is introduced, to finish by saying that it is not going to be used. This can be deleted not to confuse readers.	We did not remove this paragraph, because usually DA is used in this way in flood	

		forecasting	
21	Page 10, line 2: this equation is not numbered.	we prefer to leave as it is	
22	Page 11, line 31: what is an "active" cell? Why mentioning the rectangular 1600 km2grid? GRD is not capable of running over catchments irregular shapes?	"active cell" has been defined	P13L14
23	Page 12, line 1: this is a classical split sample test as defined by Klemes 30 years ago, it is worth mentioning it.	We added the paper from Klemes. Thank you.	P14L01
24	Page 14, line 14: "One can see that the model spatial predictive performance is also better if the distributed calibration (red) is used, with one exception.": is that true? Since the stations are ranked, it might not be true, the reader cannot know	This has been removed	
25	Table 2 and 3 show a wrong unit for Ct. Indeed, if we check equation 8, then Ct must have the same unit as h and q, i.e. mm.	It is now tab3	P20L00
26	Page 15, the authors state that "For a chosen observation period and the associated test signal (rainfall) one can get a relatively stable set of calibrated parameters.". This statement is not supported by any kind of evidence in the manuscript and is not very clear. I guess that stability stands for temporal stability, but then how can it be assessed from a single period?	This has been removed	
27	Page 17, line 23: is the code available under a GPL license? The proposed websiterequires a username but there is no possibility to register.	This has been removed	

# Answers to reviewer #2

We thank reviewer#2 for her/his constructive comments and suggestions.

#	Reviewer #2 comments	Authors answers	Change location in the new paper
1	The present article lacks: (i) a clear presentation of the novelty of the study in the general context of data assimilation using variational methods in hydrology or more broadly fo environmental model calibration	The novelty is the use of a VA for calibrating over a continuous long period with a split sample test (2x5years)	P04L25-30
	(ii) a more thorough and substantiated analysis of their results as detailed in the comments below	New analysis are provided (see below)	
2	1. The abstract should be more precise and synthetic, both on the context and on the results of the study	The abstract has been entirely rewritten	L01_P01- 17
3	2. P1 L5 Replace "accounting for spatial variability of the rainfall and the catchment properties, based on the radar rainfall observation inputs" by "accounting for spatial variability of the catchment properties and the rainfall, based on the radar rainfall observation inputs"	This has been removed	
4	3. P1 L15 "scalable" has not been defined yet.	Removed from the abstract but defined later see P03L19	P03L19
5	4. P3 L12 and P17 L9-10 The calibration of a distributed hydrological model using variational methods including the adjoint model has already been tested, at least several years ago in two PhD Thesis using the MARINE model (References below). I think a thorough and well-documented review of the state of the art research in this topic is needed to emphasize the novelty of the present study	We ve completed the bibliography related to distributed calibration	P02L23-31
6	idem	We ve completed the bibliography related to use of variational	P04L09-21

		approach in hydrology	
7	5. P4 L26 Replace "based on the temperature" with "based on the air temperature"	done	P13L02
8	6. P6 L20 Replace "build" with "built".	done	P07L09
9	6. P6 L20 "This model is build on top of a digital elevation model, which defines therunoff directions between the routing nodes.". How the runoff directions are defined? How many runoff directions are allowed for each cell: 4or 8? Is there a fill sink algorithm?	We have 8 directions	P13L06
10	7. P8 figure 1 The model doesn't include any representation of the surface flow? It is quite surprising for flash flood simulation. Even if the authors mentioned that for the Gardon catchment "the water circulation appends mainly underground" (P11 L20), this is likely not to be the case for all the catchments prone to flash flood. Moreover, even if the dominant process in the generation of runoff is not the Hortonian one, surface runoff can also be generated by soil saturation. Can the authors comment on this?	We added some sentences explaining that the GR models don't represent explicitly the hydrological processes	P05L26-29
11	8. P9 L4 It is quite confusing to use the same letter P both for precipitation and for theparameter vector even if one is in capital letters and the other not	p has been changed in teta anywhere	P09L12
12	9. P10 equation (20) the "T" is not in the right place, I assume it should be the limit of the integral.	done	P11L02
13	10. P12 L6 "A model warm-up of one year long is performed before starting the simu-lations." Did you test the impact of the duration of the warm-up period on the simulation results? Is the initial state of both reservoirs completely "forgotten" after one year of simulation?	No, but this has been verified by Perrin et al (2003)	P14L04
14	11. P13 L10-13 From a chronological point of view, it would be more relevant to calibrate on P2 and validate on P1 for forecasting purpose. Indeed, if there is a trend in the data, you will miss it when calibrating on P1 and validating on P2. Did you see any impact on your results?	New paragraph added and new fig4	P17L01- P20L11
15	12. P14 L15 "One can see that the model spatial predictive performance is also better if the distributed calibration (red) is used, with one exception" on fig. 4 right. There is also one exception on fig. 3 left (rank 8). Can the authors comment on these exceptions:any reasons? Maybe related to the catchment or the period? For the same reasons,it would be interesting to mention in the fig. 3 and 4 not the rank which is obvious but the catchment and the calibration period in order to see if there are any correlations between the performances and the calibration sets (also see previous comment on that aspect).	New paragraph and new fig4 were added to do this analyse	P17L01- P20L11
16	13. P14 L16 "This depends, however, on the spatial variability of the test signal (rain-fall)." It would have been interesting to correlate the performances with the spatial variability of the	This has been removed	

		I	
	rainfall, for instance using Zoccatelli et al. (2011) indices. This could also have helped justifying the analysis P14 L25 "This effect can be attributed to quite a different rainfall pattern over the reference periods."		
	14. P14 L28 "calibrating the model independently for different hydrological regimes" or maybe calibrating the model using a data set including the different hydrological regimes?	This has been removed	
	15. P16 Table 2 The parameter ct is presented as the capacity of the transfer reservoir(P4 L32) why isn't it in mm as cp?	It is now tab3	P20L00
19	P16 Table 2 never mentioned in the text.	It is now tab3	P20L01
20	P17 Table 3 are never mentioned in the text.	done	P20L01
	17. P16 L3-5 "This poor hydraulic behaviour can be partially compensated by the others model parameters during the calibration process, which may explain the extreme (equal to the upper bound) parameter values." I assume this refers to the value of 5 m/s for v in Table 2 and 3? What about 1000 mm for cp, is it the upper bound too? What does it mean for a physical point of view if you need a biggest production reservoir?	2 new paragraphs has been added to discuss that point	
22	18. P16 L11 "hit" instead of "heat"?	This has been removed	
	19. It would have been interesting to study the correlation of the value of the calibrated parameters ct and cp with the actual soil properties: storage capacities but also soil texture and soil depths. Of course GRD is a conceptual model but the calibration of the local routing velocity v clearly shows a distinct behaviour of the drainage network. Is it the same for the 2 others parameters? Can the analysis of the correlation between ct, cp and the actual soil properties be instructive to improve the model structure or the calibration methodology? I think that could be one of the interesting contributions of the study.	You are right. This has been mentioned as future work	P24L24-28

# Answers to reviewer #3

We thank reviewer#3 for her/his constructive comments and suggestions.

#	Reviewer comments	Authors answers	Change location in the new paper
1	The methodology is quite well described but lacks for deeper evaluation. For instance, some hydrographs could be included to show how the model behaves at the different stations depending on whether they are used or not in the calibration process	A new section and Fig 8 analyse now some hydrographs	P18L17- and P34
2	do some stations always show good/bad performances?	New paragraph and new fig4 were added to do this analyse	P17L01- P20L11
3	It lacks some time series to get an idea of interannual and spatial variability, flood severity (Qmax/Qmin)	To have an idea of the intensity of the observed peak flood 2-y and 10-y flood quantiles were added in tab1	P14L00
4	P2L20. Do the authors know if there is any effort in the community to relate parameters of lumped or conceptual models to physical characteristics (e.g. average slope of the basin, concentration time)? This could have important implication for the extension to ungauged basins.	We ve completed the bibliography related to distributed calibration	P02L23-31
5	P4L25. Is there any name or reference for the radar precipitation estimates provided by Météo- France?	The name is ANTILOPE. This has been added	P13L01
6	P6. It appears to me that q (from transfer function, Eq. (8)) represents the runoff, while Q (from the routing model) represents the discharge in rivers. It is not clear in the text (L7 and L19).	We tried to make clear the distinction between q and Q.	P07L17-18
7	P6L12. "Assuming Pn is the impulse function": isn't it Pr?	Done / thank you	P07L01
	P6L22. Tau_i represents the runoff (or discharge? See previous comment) delay from node i-1 to node i. In a drainage network, a particular node i may have multiple direct upstream nodes i-1. Does Eq. (9) mean that all nodes i-1 flowing into node i share the same velocity and distance to node i? Rather, I would have defined v_i and d_i as the velocity in node i and the distance	You are right. Thank you.	P07L13-15

	between node i and node i+1. Please correct me if I am wrong.		
	P6L22. N is not defined.	This has been removed	
	P6L22. Also, I would have removed "i=1,,N" from the formula. Idem for Eq. (10)	This has been removed	
	P6L27. Variable q_i in Eq. (10) is not defined. I guess it is q at node i.	Yes. It is now defined	P07L17-18
12	define K	This has been removed	
13	P7L20. What does "without the initial shock" mean?	This has been added	P08L14-18
			P08L23
	P8Fig1. I would suggest that variables that appear in the figure should be defined in the figure caption, even if they are defined in the text.	This has been added	P09L00
	P9L1. Ns is not defined. Does it represent the number of nodes within the entire domain? Is it equal to N that appears previously (but not defined as well)?		P09L13
	P9L2. It is not clear from the formulation of Eq. (15) if Qk(t) only depends on P(xk,t),E(xk,t) or P and E over the entire domain. Actually, Qk(t), which represents the discharge at node xk and time t, should depend on P and E over the upstream subbasin (which could be extended to the entire domain with a zero impact for nodes not inside the upstream sub-basin) and over a past period with a certain depth (which depends on the flow velocity of all the upstream cells, and which could be extended to the entire past period). Given that, I would have removed "k=1,Ns" from the equation and I would have changed t in the right hand side into t' with t' within the interval (0,t).	This has been corrected. Thank you	P09L10-11
	Besides, in all the equations of section 2.1, i represents the node (discrete space) and k the time step (discrete time), which is not consistent with Eq. (15).	k has been changed in l in (13)	P08L04-10
	P9L3. $h(x)$ should be $h(x,0)$ . Moreover, $h(x,0)$ only represents the states of production and transfer reservoirs at node $x$ , not over the entire domain. Same for $p(x)$ .	done	P09L10-11
	P10L1. The authors may explain that the scaling of p is done to get parameters within a [0,1] range.	done	P10L24
	P10L6. May p_tilde be equal to 0 or 1?  yes. We made the change accordingly		P10L24
	P10L7-11. Could the authors explain the implications of such assumptions?	We added some explanations	P11L09-11

23	P11L6. "Study area"	changed	P11L12
24	P11L27. "Investigating methodology"	changed	P13L11
25	P13L4. Letter "v" is missing in "validation". Please check this out throughout the section.	corrected	
26	P14L9. Please show it in a new figure (e.g. time series of P averaged over the entire domain).	This has been removed	
27	P14L11-12. Is this shown in Fig. 4?	comment added	P16L23-24
28	P14L25-26. Could this be shown (see also previous comment)?	This has been removed	
29	P16Table2. Is Table 2 cited in the text?	It is now tab3	P20L01
30	P16L1-2. Physically based model can also suffer from structural deficiencies.	You are right. This has been mentioned as future work	P24L22-24
31	P16L5. What justifies the given bound values? Would the results be better without these bounds? In my opinion, it is better not to constrain parameter values except in case of numerical constraints. This is especially true for a conceptual model for which parameter values have no interpretable physical meaning.	This information was added	P12L03-09
32	P17Table3. Is Table 3 cited in the text?	done	P20L01
33	P17L1-2. Typically, this is a result that could be shown in a figure.	new Fig 8	P34
34	P17L5. In addition to the cumulative surface, the distributed parameters could be compared to land cover maps or soil texture maps. If any relationship appears, such data could be used to better constrain the calibration process and then limit equifinality issues.	You are right. This has been mentioned as future work	P24L24-28
35	P17L14-17. Please reformulate.	removed	
36	P23Figure6. Parameter values are very different whether P1 or P2 is considered as the calibration period. What are the implications on the stability of the calibration (similar performances with P1 or P2)?	2 new paragraphs has been added to discuss that point	P17L01- P22L09
34	P17L5. In addition to the cumulative surface, the distributed parameters could be compared to land cover maps or soil texture maps. If any relationship appears, such data could be used to better constrain the calibration process and then limit equifinality issues.  P17L14-17. Please reformulate.  P23Figure6. Parameter values are very different whether P1 or P2 is considered as the calibration period. What are the implications on the stability of the calibration (similar	You are right. This has been mentioned as future work  removed 2 new paragraphs has been added to discuss that	P24L

# On the potential of variational calibration for a fully distributed hydrological model: application on a Mediterranean catchment

Maxime Jay-Allemand<sup>1,2</sup>, Pierre Javelle<sup>1</sup>, Igor Gejadze<sup>2</sup>, Patrick Arnaud<sup>1</sup>, Pierre-Olivier Malaterre<sup>2</sup>, Jean-Alain Fine<sup>3</sup>, and Didier Organde<sup>3</sup>

**Abstract.** Calibration of a conceptual distributed model is challenging due to a number of reasons, which include fundamental (model adequacy, identifiability) and algorithmic (e.g. local-search versus global-search) issues. The aim of the presented study is to investigate the potential of the variational approach for calibrating a simple continuous hydrological model (GRD) involved in several flash-flood modelling applications. This model is defined on a rectangular  $1 \, km^2$  resolution grid, with three parameters being associated to each cell. The Gardon d'Anduze watershed (543 $km^2$ ) is chosen as the study benchmark. For this watershed, the discharge observations at five gauging stations, gridded rainfall and potential evapotranspiration estimates are continuously available for the 2007-2018 period at an hourly time step.

In the variational approach one looks for the optimal solution by minimizing the standard quadratic cost-function which penalizes the misfit between the observed and predicted values, under some additional a-priori constraints. The cost-function gradient is efficiently computed using the adjoint model. In numerical experiments, the benefits of using the distributed against the uniform calibration are measured in terms of the model predictive performance, in temporal, spatial and spatio-temporal validation, both globally and for particular flood events. Overall, distributed calibration shows encouraging results, providing better model predictions and relevant spatial distribution of some parameters. The numerical stability analysis has been performed to understand the impact of different factors on the calibration quality. This analysis indicates the possible directions for future developments, which may include considering a non-gaussian likelihood and upgrading the model structure.

Keywords: fully distributed rainfall-runoff model, calibration, variational data assimilation, adjoint model

## 1 Introduction

Flash flood prediction remains a challenging task of modern hydrology due to a number of reasons. First, the heavy precipitation events (HPEs) leading to flash floods are difficult to forecast due to complexity of the processes in-

<sup>&</sup>lt;sup>1</sup>INRAE, Aix Marseille Université, RECOVER, 3275 Route de Cézanne, Aix-en-Provence, 13182, France

<sup>&</sup>lt;sup>2</sup>INRAE, UMR G-EAU, 361 rue Jean-François Breton, 34196 Montpellier, France

<sup>&</sup>lt;sup>3</sup>Hydris-Hydrologie, Parc Scientifique Agropolis II, 2196 Boulevard de la Lironde, 34980 Montferrier sur Lez, France Correspondence: Maxime Jay-Allemand (maxime jay-allemand@inrae.fr)

volved (deep convection triggered by orographic lifting, low level wind convergence and/or cold pools (Ducrocq et al., 2016). Second, the hydrological response of the watershed is difficult to model, since it depends on many factors. These include the watershed properties (topography, geology, land-cover) and its initial state, for example the soil moisture (Braud et al., 2016). For the Western Mediterranean region which is often affected by flash floods, the HyMeX program (Hydrological Cycle in the Mediterranean Experiment) offers a good opportunity to conduct multi-disciplinary studies on the relevant subjects (Drobinski et al., 2014).

In order to better predict flash floods and reduce their potentially devastating impact, warning systems have been developed or are currently under development (Collier, 2007; Hapuarachchi et al., 2011; Gourley et al., 2017). The distributed hydrological models utilizing the rainfall radar measurements are widely implemented in such systems. These models take into account the spatial variability of the catchment properties and of the rainfall, and are capable of predicting the discharge at ungauged locations. The latter is important for small-/medium-sized watersheds which are not covered by an extensive gauging network (Borga et al., 2011). Among operational models presently used for flash flood prediction at a national scale one could mention the CREST model in the USA developed by Wang et al. (2011) or the G2G model (UK) from Bell et al. (2007). Those distributed models are often 'conceptual', because considering more complex 'physically-based' models may not be justified for the flash flood prediction purpose (Beven, 1989). Since the conceptual parameters are not directly observable, they have to be defined using calibration. However, due to a potentially significant number of cells or sub-catchments, the calibration process have to deal with over-parametrisation and uniqueness (equifinality) issues (Beven, 1993, 2001). Another set of difficulties stems from a dubious adequacy of such models, in which case the very definitions of 'over-parametrisation' and 'over-fitting' should be refined.

As noticed in De Lavenne et al. (2019), all existing calibration methods developed for the distributed hydrological models involve some sort of the regularization strategy. One possible approach is the control set reduction. For example, for each distributed parameter one can try to evaluate a non-uniform spatial pattern from information about the catchment characteristics, including its geological formation, soil properties and land use (Anderson et al., 2006). Then, instead of calibrating the local values of parameters associated to each grid cell, one calibrates a few 'superparameters' (additive constant, multiplier, power) that modify this pattern according to a chosen law, see e.g. Pokhrel and Gupta (2010). The same idea but in the multiscale setting is implemented in the multiscale parameter regionalization (MPR) method described in Samaniego et al. (2010). Other strategies can also include the use of additional data, as in Rakovec et al. (2016), where satellite-based total water storage (TWS) anomaly is used to complement the discharge data. For a low-dimensional unknown vector one can use a variety of probabilistic or gradient-free methods to find the sought estimate. It has to be recognized, however, that evaluating useful spatial patterns from auxiliary information is a difficult task by itself. In the presented paper we investigate the possibility of calibrating the distributed parameters without considering any pre-defined spatial structures. Such calibration

problem falls into the category of high-dimensional inverse problems which can be addressed through the appropriate data assimilation methods.

Methods of data assimilation (DA) have been engaged for several decades in geosciences, including meteorology, oceanography, river hydraulics and hydrology applications. These methods are used for estimating the driving conditions, states and/or parameters (calibration) of a dynamical model describing the evolution of a natural phenomena. The estimates are conditioned on observations (usually incomplete) of a prototype system. Some early applications of DA in hydrology are described in the review paper of McLaughlin (1995). More recently the review paper of Liu et al. (2012) report the progress and challenges of data assimilation applications in operational hydrological forecasting. It seems that the Kalman filtering has been recently the most popular DA method in hydrology (Sun et al., 2016). For instance, in Quesney et al. (2000) the Extended Kalman Filter is applied with a lumped conceptual rainfall-runoff model to estimate the soil moisture by assimilating the SAR (synthetic aperture radar) data. In Munier et al. (2014), the standard Kalman Filter is applied with the semi-distributed conceptual model TGR, where the discharge observations are assimilated to adjust the initial model states. It has been shown that the predictive performance depends on the degree of 'spatialization' of the watershed and on the number of gauging stations engaged. In Sun et al. (2015), the Extended Kalman filter is used with the distributed SWAT model to improve flood prediction on the upstream Senegal river catchment. In this work, given the large number of state variables, only the spatially-averaged low-resolution updates are estimated. This shows that for DA involving distributed models, scalable methods must be used (scalable algorithm is the one able to maintain the same efficiency when the workload grows). The choice of DA methods is, therefore, limited to the Ensemble Kalman Filter and the variational estimation.

In variational estimation, one looks for the minimum of the cost-function dependent on the control vector (i.e. vector of unknown model inputs) using a gradient-based iterative process. The cost-function itself represents the maximum a posteriori (MAP) estimator, which turns into the standard 4D-Var cost-function (Rabier and Courtier, 1992) under the Gaussian assumption. The key issue of variational estimation is the method used for computing the gradient. For low-dimensional control vector the finite-difference approach can be used. For example, Abbaris et al. (2014) explored such variational estimation algorithm involving the lumped conceptual HBV model in operational setting. It has been used to update the soil moisture and the states of the routing tank reservoirs on some events. It has been shown that DA helps to improve the peak flow prediction, however the correct choice of the assimilation period and the forecast horizon is vital. In Thirel et al. (2010), the cost-function is minimized iteratively using the BLUE formulation, which is equivalent to the 'algebraic' form of the Gauss-Newton method. Here, DA is implemented involving the SIM model. It has been shown that the improved estimate of the moisture of the soil layers leads to a significantly better discharge simulation. However, the genuine variational estimation method relies on the adjoint model, which allows the precise (up to round-off errors) gradient of the cost-function to be computed in a single adjoint run. Then, different minimization methods can be applied. For example, in weather/ocean forecast-

ing, where the models involved are computationally very expensive, the Gauss-Newton method (e.g. 'incremental approach') is used. This method (as any local search method) leads to a nearest local minimum in the vicinity of the prior guess. This could be a serious problem if the posterior distribution is multimodal. Certain past attempts with the local search methods in hydrology were not always successful and several authors have reported that these methods fail to deliver the global optimal solution (Moradkhani and Sorooshian, 2009; Abbaspour et al., 2007). For high-dimensional, but relatively inexpensive models, the gradient-enhanced global search minimization methods can be considered (Laurent et al., 2019).

Using the variational estimation involving the adjoint is very common in atmospheric and oceanographic applications. But, in hydrology, only a very few cases have been actually reported. In particular, in Castaings et al. (2009) and Nguyen et al. (2016), the adjoint model has been generated/derived for the kinematic wave overland flow model with the source term including the rainfall as a driving condition and the infiltration term described by a Green-Ampt model (Castaings et al., 2009) or the Horton model (Nguyen et al., 2016). Since models are represented by a partial differential equation, this is a standard case for which a significant experience has been accumulated within the data assimilation community. The major difference between the two papers is that in Castaings et al. (2009) the adjoint has been generated by automatic differentiation applied to the existing 'Marine' model, and in Nguyen et al. (2016) - derived and implemented manually. In Castaings et al. (2009) the distributed parameters of the infiltration model has been calibrated considering a single flood event in an identical twin experiment framework, whereas in Nguyen et al. (2016) the author looks for a few global parameters considering two realistic events. In Seo et al. (2009) the adjoint is used for state updating of a lumped model, in Lee et al. (2012) - for state updating of a distributed model.

Here we present a variational calibration method using the adjoint applied on a simple fully distributed model (GRD), involving a conceptual cell-to-cell routing scheme. This scheme has been designed keeping in mind the differentiability requirement. The adjoint is obtained by automatic differentiation and manually optimized to provide the capacity to work for long time periods (up to several consecutive years) over large spatial areas, with fine resolution. This requires a memory efficient and fast code. The distributed parameters of the GRD model are calibrated over a French Mediterranean catchment, the Gardon d'Anduze, using rainfall radar data and the discharge data from the outlet gauge station. The discharge data from other gauge stations available in this catchment are used for cross-validation (10 years split in two periods). Thus, the major questions addressed in this paper are: a) can we, in principle, benefit from considering the spatially distributed set of coefficients given by the method instead of the uniform (homogeneous) set of coefficients and to what extent? In particular, does it help to improve the discharge prediction over the catchment area including 'ungauged' locations? b) What are the major difficulties associated with this approach (insufficient data, structural deficiency of the model, identifiability issue, etc.) and what could

possibly be done to improve the model predictive performance?

The paper is organized as follows. In Section 2.1 the GRD model used in this study is described. In Section 2.2 we present the variational estimation algorithm adapted for the parameter calibration purpose. The testing benchmark is described in Section 2.3, and the testing methodology in Section 2.4. The results are presented in Section 3, followed by the Discussion and Conclusions section.

# 2 Methodology and data

#### 2.1 Distributed rainfall-runoff model GRD

The GRD model (i.e. GR 'distributed') is a conceptual distributed hydrological model designed for flash flood prediction (Javelle et al., 2010; Arnaud et al., 2011; Javelle et al., 2014, 2016). Since March 2017, it is used operationally by the national French flash flood warning system called 'Vigicrues Flash' (Javelle et al., 2019). The model version used in the present study has been specially developed for testing the potential of distributed calibration using the variational approach. It is defined on a regular 1 km² grid and runs at hourly time step. For each time step the model input includes the gridded rainfall and potential evapotranspiration, and the output is the discharge field defined at the routing scheme nodes.

Our model incorporates some features from the 'GR' (Génie Rural) models family, which include several lumped and semi-distributed bucket-style continuous models developed over the last 30 years at INRAE-Antony. Those models have been extensively tested and have demonstrated good performances in various conditions and for different time steps (Perrin et al., 2003; Mouelhi et al., 2006; Lobligeois et al., 2014; Ficchì et al., 2016; Santos et al., 2018; Riboust et al., 2019; De Lavenne et al., 2019).

Let us consider a 2D-spatial domain (basin/catchment/watershed)  $\Omega$  covered by the rectangular grid. For each cell (pixel), the model involves the production and transfer reservoirs, characterized by capacities  $c_p$  and  $c_t$ , correspondingly, and the discharge generated within each cell is routed between cells with local routing velocity v (see Fig.1). It means only 3 parameters need to be defined for each cell. The integral flow, without distinction between surface, subsurface or groundwater flow, is simulated by the production and transfer reservoirs at the cell level, and by routing scheme at the inter-cell level. Obviously, such model conceptually describes the overall hydrological process, rather than its physically meaningful components.

The water balance operator:

Let P(t) be the local total rainfall (function of time t), and E(t) - the local total potential evapotranspiration. For each cell (pixel), a water balance function determines the effective rainfall  $P_r$ , i.e. the amount of rainfall that 5 will participate to the flow. This function is defined via the following steps. First, the net rainfall  $P_n$  and the net potential evapotranspiration  $E_n$  are defined from the following equation:

$$\begin{cases}
P_n = P - E, E_n = 0, & \text{if } P \ge E, \\
P_n = 0, E_n = E - P, & \text{if } P < E
\end{cases}$$
(1)

Next, the production reservoir is filled by  $P_p$ , a part of  $P_n$ . Similarly, the production reservoir is emptied by  $E_p$ , a part of  $E_n$  representing the actual evapotranspiration. The variation of the reservoir level  $h_p$  is driven by the following differential equation (Edijatno, 1991):

$$dh_p = \left[1 - \left(\frac{h_p}{c_p}\right)^2\right] dP_n - \frac{h_p}{c_p} \left(2 - \frac{h_p}{c_p}\right) dE_n. \tag{2}$$

Assuming a stepwise approximation of input variables P(t) and E(t), equation (2) is integrated over one time step  $\Delta t$  to obtain the amount  $P_p$  filling the reservoir and the amount  $E_p$  evaporated from it:

$$P_p = c_p \left( 1 - \left( \frac{h_p}{c_p} \right)^2 \right) \frac{\tanh\left(\frac{P_n}{c_p}\right)}{1 + \left(\frac{h_p}{c_p}\right) \tanh\left(\frac{P_n}{c_p}\right)},\tag{3}$$

$$E_p = h_p \left( 2 - \frac{h_p}{c_p} \right) \frac{\tanh\left(\frac{E_n}{c_p}\right)}{1 + \left(1 - \frac{h_p}{c_p}\right) \tanh\left(\frac{E_n}{c_p}\right)}.$$
 (4)

It should be noted that with this discretized temporal formulation,  $h_p$  is the reservoir level at the beginning of  $\Delta t$ ,  $P_p$  and  $E_p$  are the volume of water gained or lost by the reservoir over  $\Delta t$ . At the end of  $\Delta t$ ,  $h_p$  is updated by adding  $P_p$  or removing  $E_p$ , before progressing to the next time step. Finally,  $P_r$  is the part of the net rainfall that does not enter into the production reservoir:

$$P_r = P_n - P_p \tag{5}$$

One can see, that the state of the production reservoir  $h_p$  plays the role of the humidity state of the soil. An empty reservoir  $(h_p = 0)$  means that the soil is completely dry: effective rainfall and evapotranspiration are equal to zero, and all net the rainfall enters into the production reservoir  $(E_p = 0, P_p = P_n, P_r = 0)$ . On the contrary, a full reservoir  $(h_p = c_p)$  means that the soil is completely saturated: no more rainfall enters into the production reservoir, the evapotranspiration is maximal and all the net rainfall produces the effective rainfall  $(E_p = E_n, P_p = 0, P_r = P_n)$ .

The transfer operator:

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The effective rainfall  $P_r(t)$  fills the transfer reservoir characterized by state  $h_t$  and capacity  $c_t$ . The emission from the transfer reservoir during  $\Delta t$  gives the elementary discharge q. This transformation is modeled by a conservative operator which is derived from the differential equation describing the evolution of  $h_t$  under the mass conservation condition:

$$5 \quad \frac{dh_t}{dt} + c_t h_t^{\alpha} = P_r, \tag{6}$$

It has been noticed (Perrin et al., 2003) that equation (6) correctly replicates the flooding and drying processes for  $\alpha = 5$ . This is an empirical knowledge which has no physical justification. Assuming  $P_r$  is the impulse function, equation (6) is integrated over one time step  $\Delta t$  to obtain the expression for q:

$$q = h_t - (h_t^{-4} + c_t^{-4})^{-0.25} (7)$$

10 More details about the production and the transfer reservoirs can be found in (Perrin et al., 2003).

The routing scheme (cell-to-cell):

The total discharge (Q) in a cell is then obtained by routing through the catchment all the upstream elementary discharges (q). This routing is built on top of a digital elevation model which, for a given node, defines the flow direction. The routing nodes are placed at the center of the corresponding cells.

For the sake of simplicity we describe the routing model in the one-dimensional setting. The total discharge from node i-1 to node i is delayed by time

$$\tau(v_{i-1,i}) = \frac{d_{i-1,i}}{v_{i-1,i}},\tag{8}$$

where  $d_{i-1,i}$  and  $v_{i-1,i}$  are the distance and the routing velocity between the nodes, respectively. In the simplest implementation, the output discharge (more precisely, the mass over the time step  $\Delta t$ ) is given as

$$Q_i(t) = q_i(t) + Q_{i-1}(t - \tau(v_{i-1,i})), \tag{9}$$

where  $Q_i$  is the total discharge in cell i,  $Q_{i-1}$  - the total discharge in the neighbouring upstream cell, and  $q_i$  - the elementary discharge emitted from the transfer reservoir over time period  $\delta t$  at cell i. Note that in a 2D case, the second term of the right-hand side of (9) could be a sum of a few contributions from direct neighbouring cells, with their own values of d and v. Since no explicit expression for Q is provided, Q is not differentiable with respect to v. That is why the above formulation is not suitable for variational approach, which requires the gradient of the cost function to be computed. In order to tackle this issue we represent the second term in equation (9) in the integral form as follows

$$Q_{i-1}(t-\tau(v_{i-1,i})) = \int_{t'=-\infty}^{t} Q_{i-1}(t') \,\delta(t'-\tau(v_{i-1,i})) \,dt'. \tag{10}$$

Next, instead of  $\delta$ -function we use the unscaled Gaussian function, i.e.

$$Q_{i-1}(t - \tau(v_{i-1,i})) = \int_{t'=-\infty}^{t} Q_{i-1}(t') \omega(t' - \frac{d_{i-1,i}}{v_{i-1,i}}, \sigma) dt',$$
(11)

where

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$$\omega(t,\sigma) = exp\left(-\frac{t^2}{2\sigma^2}\right)$$
. (12)

It is easy to see that function (11) explicitly depends on  $v_i$  via  $\omega$ , therefore the gradient of  $Q_{i-1}$  with respect to  $v_i$  can be computed. Assuming Q(t) is a constant during a time step period  $\Delta t$ , equation (11) can be written in the discrete form as follows:

$$Q_{i-1}(t - \tau(v_{i-1,i})) = \sum_{l=1}^{L} \bar{\beta}_{i,l} Q_{i-1}(t - (l-1)\Delta t), \tag{13}$$

10 where

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$$\bar{\beta}_{i,l} = \beta_{i,l} / \sum_{l=1}^{L} \beta_{i,l},$$

$$\beta_{i,l} = w(t - (l-1)\Delta t - \frac{d_{i-1,i}}{v_{i-1,i}}, \sigma), \ l = 1, \dots, L.$$

and L defines the finite time period (in terms of  $\Delta t$ ) instead of the semi-infinite period considered in (10). For the given estimate of routing velocities  $v_{i-1,i}$ , the coefficients  $\beta_{i,l}$  does not change with time and, therefore, can be precomputed and saved in memory. In order to avoid instability the spread parameter  $\sigma = 0.5$  is used in computations. In terms of using the exponential weights the presented routing model resembles the Lag and Route (LR) model described in (Laganier et al., 2014) and (Tramblay et al., 2010). However, the Gaussian function represents the hydraulic response function in a more realistic way. Indeed, in the Lag and Route method, the kernel function  $\omega$  is discontinuous, being zero for  $t' > t - \tau$ . It means the outflow from cell i - 1 arrives to cell i in a 'shock' manner. If the Gaussian function is used, there is no discontinuity, i.e. outflow from cell i - 1 arrives to cell i progressively. This scheme is more suitable for cell-to-cell implementation as it is more stable for direct modelling and the absence of discontinuity is a necessary condition to achieve the differentiability of the forward operator.

#### 2.2 Variational calibration algorithm

Calibrating a distributed model is often difficult due to a number of reasons. First, the total number of the sought parameters can be quite large (high dimensionality). This strictly limits the choice of suitable inference methodologies. Second, there is an identifiability issue given the sparsity of observations in space, the information content of the test signal (rainfall variability) and, possibly, the chosen model structure. The first two can be partially compensated by increasing the observation period or observation frequency to better analyze the system dynamics.

For distributed models the variational estimation algorithm could be a natural choice, since it is scalable, i.e. it works efficiently for practically unlimited size of the control vector. That is why this method (branded as 4D-Var) is commonly used in meteorology and oceanography for operational forecasting and reanalysis (Ledimet and Talagrand, 1986; Rabier and Courtier, 1992). The method provides the exact mode of the posterior distribution by minimizing the cost-function defined over the given observation window. The key element of the method is the adjoint model which provides the precise gradient of the cost-function with respect to all elements of the control vector in a single run (Errico, 1997). This allows the fast converging gradient-based minimization methods to be

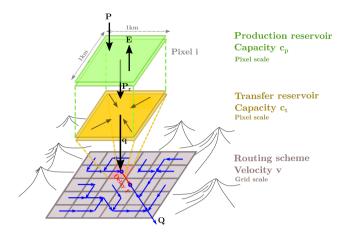


Figure 1. General outlines of the GRD model: P represents the local rainfall over one cell, E - the potential evapotranspiration,  $P_r$  - the effective rainfall, q - the elementary discharge and Q - the total routed discharge.

used, such as the BFGS or the Newton-type. Quite often, the need for development of the adjoint model becomes an obstacle for practical implementation of this method. Heuristic methods such as the Nelder-Mead algorithm do not require the gradient to evaluate the descent directions, but converge slowly and are not suitable for solving problems in high dimensions. The same is true as for the general purpose statistical methods such as the Markov Chain Monte Carlo (e.g. Metropolis-Hastings algorithm), so for the methods specially designed for hydrology applications, such as SUFI-2 (Abbaspour et al., 2007).

Let us consider the rainfall and potential evapotranspiration fields P(x,t), E(x,t),  $\forall x \in \Omega$ . We represent the hydrological model in Section 2.1 as an operator A mapping the input fields P(x,t) and E(x,t) into the discharge  $Q_k(t)$  at the gauged nodes  $x_k \in \Omega$ ,  $k = 1, N_g$ :

$$Q_k(t) = A(P(x,t'), E(x,t'), h(x,0), \theta(x), t), \forall x \in \Omega, t' \in (0,t),$$
(14)

where h(x,t) = (h<sub>p</sub>(x,t),h<sub>t</sub>(x,t))<sup>T</sup> is the state vector which includes the states of production and transfer reservoirs for all cells at time t, θ(x) = (c<sub>p</sub>(x),c<sub>t</sub>(x),v(x))<sup>T</sup> is the parameter vector which includes the corresponding capacities and the routing velocities at all routing nodes, and N<sub>g</sub> - the number of gauged routing nodes, i.e. where discharge observations are available. If the observation period is much longer than the characteristic time of the system (which is the case for calibration/re-analysis), one can use the trivial initial state h(x,0) = 0, but consider the observation window t∈ (t\*,T), where t\* is the relaxation period. Given the observed inputs P\*(x,t'), E\*(x,t'), t' ∈ (0,t) and the

output  $Q_k^*(t)$ , the calibration cost-function can be defined as follows:

$$J(\theta) = \sum_{k=1}^{N_g} a_k^{-1}(t^*) \int_{t=t^*}^T \left( A(P^*, E^*, 0, \theta, t) - Q_k^*(t) \right)^2 dt + \alpha \|B^{-1/2}(\theta - \theta^*)\|_{L^2}^2,$$
(15)

where B is the background error covariance,  $\theta^*$  is a prior guess on  $\theta$ , which comes from special measurements, land expertise or a modeling,  $\alpha$  is the regularization parameter and  $a_k$  are the scaling constraints. If we consider

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$$a_k(t^*) = \int_{t=t^*}^T (\langle Q_k^* \rangle - Q_k^*(t))^2 dt,$$

where  $\langle Q_k^* \rangle$  is the temporal mean of  $Q_k^*(t)$ , then for each k the misfit term becomes 1-NSE, where NSE stands for the Nash-Sutcliffe efficiency criterion (Nash and Sutcliffe, 1970) widely used in hydrology. In essence, (15) is more or less a standard quadratic cost-function similar to the one used in variational data assimilation (4D-Var), where the weight  $\alpha$  is introduced to mitigate the uncertainty in  $\theta^*$  and B.

Let us note that for the short-range forecasting (T comparable to the characteristic time of the system), the parameter vector is likely to be fixed at its optimal value  $\hat{\theta}$  and the initial state of reservoirs h = h(x,0) will serve as a control vector. In this case, the cost-function looks as follows:

$$J(h) = \sum_{k=1}^{N_g} a_k^{-1}(0) \int_{t=0}^{T} \left( A(P^*, E^*, h, \hat{\theta}, t) - Q_k^*(t) \right)^2 dt + \alpha \|B^{-1/2}(h - h^*)\|_{L^2}^2, \tag{16}$$

where  $h^*$  is the background value of h. However, this paper is focused on the parameter calibration problem involving long time series of observations, thus formulation (15) is considered.

We use additional constraints in the form

$$\theta_{min} \le \theta \le \theta_{max},$$
 (17)

where  $\theta_{min}$  and  $\theta_{max}$  are the bounds which come from the empirical knowledge or physical considerations. Thus, the optimal estimate of the parameters  $\hat{\theta}$  is obtained from the condition

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$$\hat{\theta} = \underset{\theta}{\operatorname{arg\,min}} J(\theta),$$
 (18)

given constraints (14) and (17).

Matrix B can be represented in the form  $B = \sigma_{\theta} \cdot I C \sigma_{\theta} \cdot I$ , where  $\sigma_{\theta}$  is the vector of mean deviations of  $\theta$ , C is the correlation matrix, I - the identity matrix, and '' stands for the element-wise (Hadamar) product. Next, the scaling of parameters is introduced, such that  $\theta = \theta_{min} + \tilde{\theta}(\theta_{max} - \theta_{min})$ , to ensure that  $0 \le \tilde{\theta} \le 1$ . Then, the penalty term in (15) takes the form

$$\alpha \| (\theta_{max} - \theta_{min}) \cdot \sigma_{\theta}^{-1} \cdot I \, C^{-1/2} (\tilde{\theta} - \tilde{\theta}^*) \|_{L^2}^2.$$

Assuming that  $(\theta_{max} - \theta_{min}) \cdot \sigma_{\theta}^{-1} = const$ , the cost-function (15) reads as follows:

$$5 \quad J(\tilde{\theta}) = \sum_{k=1}^{N_g} a_k^{-1}(t^*) \int_{t=t^*}^T \left( A(P^*, E^*, 0, \theta) - Q_k^* \right)^2 dt + \alpha \|C^{-1/2}(\tilde{\theta} - \tilde{\theta}^*)\|_{L^2}^2, \tag{19}$$

given

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$$\theta = \theta_{min} + \tilde{\theta}(\theta_{max} - \theta_{min}), \ 0 \le \tilde{\theta} \le 1. \tag{20}$$

The results presented in this paper correspond to the simplest approach to regularization: we assume that O = I, C = I, and the regularization parameter is chosen a-priori as a small value ( $\alpha = 10^{-4}$ ) to insure the formal well-posedness of the calibration problem. More sophisticated approaches for regularization (non-trivial correlation matrix C and the optimal choice of  $\alpha$  using the L-curve approach) have been utilized (Jay-Allemand et al., 2018), but not presented in this paper for the sake of simplicity. In practice, the mentioned above simplifications lead to a significantly more oscillating parameter fields, which does not seem to have a critical influence on the predictive performance of the model (in the open loop forecasting, at least).

Minimization of (19) given constraints (20) is performed by LBFGS-B (Limited memory Broyden-Fletcher-Goldfarb-Shanno Bound-constrained (Zhu et al., 1994)). The minimization process can be written in the form

$$\tilde{\theta}_{i+1} = \tilde{\theta}_i + \beta H^{-1}(\theta_i) R[J'_{\tilde{\theta}}(\theta_i)], \ i = 0, 1, \dots, \ \tilde{\theta}_0 = \tilde{\theta}^*, \tag{21}$$

where  $J'(\theta_i)$  and  $H^{-1}(\theta_i)$  are the gradient (with respect to  $\tilde{\theta}$ ) and the limited-memory inverse Hessian of (19) at point  $\theta_i$ , respectively, i is the iteration number, and R is the gradient projection operator to account for the box constraints. Let us note that  $H^{-1}(\theta_i)$  is directly computed inside the minimization algorithm in such a way that its norm is always bounded. This serves as an additional regularization, thus the solution  $\hat{\theta}$  is always bounded, even for  $\alpha = 0$  in (19), i.e. even without the penalty term. The gradient  $J'(\theta_i)$  is obtained by solving the adjoint model. This model has been generated by the Automatic Differentiation engine Tapenade (Hascoet and Pascual, 2013), then manually optimized and, finally, verified using the standard gradient test.

The background value  $\theta^*$  is used both as a starting point for iterations and in the penalty term. Given the fact that the information content of the test signal (rainfall) and observations (discharge) may not be sufficient to uniquely identify the distributed coefficients, evaluating an appropriate  $\theta^*$  becomes an important issue. Thus, the overall calibration process involves two steps. In the first step we consider a uniform approximation:  $c_p(x) = \bar{c}_p$ ,  $c_t(x) = \bar{c}_t$ ,  $v(x) = \bar{v}$ ,  $\forall x \in \Omega$ . In this case (referred as 'uniform calibration') the sought vector  $\bar{\theta} = (\bar{c}_p, \bar{c}_t, \bar{v})^T$  consists of just three elements. For such low-dimensional problem, obtaining the globally optimal estimate  $\hat{\theta}$  (i.e. the one which corresponds to the global minimum of (19)), is feasible by a variety of methods. In particular, we use a simple global minimization algorithm, the steepest descent method summarised in Edijatno (1991) In the second step we estimate the distributed parameters using the uniform estimate as a background, i.e.  $\theta^* = \hat{\bar{\theta}}$ . Here, three unknown parameters

for each cell are estimated using the variational algorithm described above. This two step algorithm is referred as 'distributed calibration'.

Parameter bounds are defined for each step. Numerical and physical considerations enforce the lower bounds, so that  $(c_p, c_t, v) > 0$ . For the uniform calibration, the upper bounds are chosen to preserve the model dynamics. For example, 5m/s is used as the velocity upper bound since above this value the flow delay does not decrease significantly. For the production and transfer reservoirs the upper bounds are set to 5000mm and 2000mm, respectively, since the higher values do not noticeably change the model dynamics (reservoir states remain almost constant in time). For the distributed calibration, upper bounds are recomputed as  $\theta_{max} = b\hat{\theta}$  and  $\theta_{min} = \hat{\theta}/b$ , where b = 4 is used for results presented in this paper.

#### 2.3 Study area and data

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A French watershed, the Gardon of Anduze, has been considered for testing our model and calibration algorithm. Located in the western Mediterranean region, this catchment and its surrounding have been deeply studied in the framework of the HYMEX program (Drobinski et al., 2014) to understand the processes leading to flash floods. For instance, some studies exploited a number of very detailed field measurements during severe storm events (Braud et al., 2014; Vannier et al., 2014). Others tested hypothesis using physically distributed models, for instance the MARINE model (Roux et al., 2011; Garambois et al., 2013, 2015; Douinot et al., 2016, 2018) or the VCN-p model (Braud et al., 2010; Vannier et al., 2016). A few conceptual distributed models were also considered in this area, such as those implemented into the ATHYS platform (Bouvier and DelClaux, 1996; Laganier et al., 2014; Tramblay et al., 2010).

The main properties of the Gardon d'Anduze are described in Darras (2015). In brief, this is a steep mountainous watershed with a dense hydrographic network spreading over  $540 \ km^2$  in the East part of the Cévennes mountain (France). The difference in levels between the highest elevation point and Anduze is about 800 meters and the slope reaches 50% in the upstream part. Metamorphic (schist) but fractured geological formation dominates the watershed. Water infiltrates very quickly (the saturated hydraulic conductivity is greater than  $100 \ mm.h^{-1}$ ). The water circulation appends mainly underground, but with very short response times (less than 12-h). This area is governed by a transitional Mediterranean-Oceanic climate with warm and dry summers, alleviated by the oceanic influence, followed by recurrent short, intense but persistent heavy rainfalls in autumn and winter, known as "épisode méditerranéen", generating flash floods.

This watershed is well gauged: at least five stations with continuous data collection are operational here (see Fig.2 and Table 1). For numerical experiments, the discharge data have been extracted from the HYDRO database of

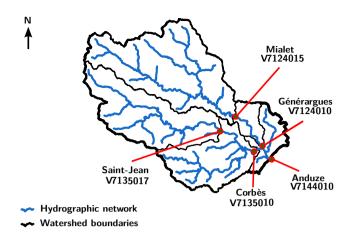


Figure 2. The Gardon watershed at Anduze: hydrographic network (blue) and gauging stations V7124015, V7124010, V7135017, V7135010, V7144010 (red).

the French ministry in charge of environment. Rainfall gridded data has been provided by Météo-France. It is is a pseudo-real time reanalysis (ANTILOPE J+1, i.e. available one day after the current date), merging radar rainfall estimation with in-situ rain gauges. The gridded potential evapotranspiration is computed using inter-annual air temperature values and the formula developed by Oudin et al. (2005). The temperature data was provided by the SAFRAN reanalysis (Vidal et al., 2010). All the time series have been processed at an hourly time step, over the continuous 2007-2018 period. All the gridded data is defined at a  $1km \times 1km$  spatial resolution, over the same  $46km \times 40km$  domain overlapping the watershed. Furthermore, the flow direction (8 directions) map and the flow accumulation map have been carefully checked, in order to ensure that every cell is connected to the correct downstream cell.

#### 5 2.4 Investigating methodology

The variational algorithm described in Sect. 2.2 is applied to the hydrological model presented in Sect. 2.1, using the Gardon d'Anduze watershed as a benchmark. The number of 'active' cells (i.e. included into the watershed) is 540, so the total number of parameters to be calibrated is  $3 \times 540$ .

The calibrated model validation step consists in checking the model predictive performance (referred as MPP onwards) using the data not involved in calibration. That is, the full set of observations  $Q_k^*(t)$ ,  $k = 1, ..., N_g$ ,  $t \in (0, T)$  is divided in two complementary subsets: calibration subset and validation subset. Since  $Q^*$  depends on k (defines the spatial distribution of sensors) and t, we distinguish the temporal, spatial, and spatio-temporal validation, following

**Table 1.** Characteristics of the five gauging stations on the Gardon watershed. QIX2 and QIX10 stand for the quantiles discharge, respectively two and ten years return period.

Rivers and station names	Codes	Surfaces $(km^2)$	QIX2 $(m^3/s)$	QIX10 $(m^3/s)$
The Gardon de Mialet at Mialet	V7124015	219	243	486
The Gardon de Mialet at Générargues	V7124010	244	346	618
The Gardon de Saint-Jean at Saint-Jean-du-Gard	V7135017	158	230	460
The Gardon de Saint-Jean at Saint-Jean de Corbès	V7135010	262	320	598
The Gardon d'Anduze at Anduze	V7144010	543	634	1300

the split sample test defined by Klemes (1986). In particular, we divide the whole period in two parts: P1 - from 01/01/2008 to 01/01/2013 and P2 - from 01/01/2013 to 01/01/2018. Each period P1 and P2 can be considered as calibration or validation period. A model warm-up of one year long is performed before starting the simulations. We assume that one year is enough, according to recommendations by Perrin et al. (2003).

If data from a station is used in calibration, the corresponding catchment is called the "calibration catchment", otherwise it is call the "validation catchment".

Both the calibration quality and the MPP in validation are measured using the NSE criterion and the Kling–Gupta efficiency (KGE) criterion (Gupta et al., 2009).

The spatio-temporal measured discharge data is partitioned into calibration and validation complementary sets. Then, we refer to:

- a) "temporal validation" if MPP is evaluated for all calibration catchments over the validation period;
- 25 b) "spatial validation" for all validation catchments over the calibration period;
  - c) "spatio-temporal validation" for all validation catchments over the validation period.

The following numerical experiments have been performed:

- 1. calibration uniform-5-sta / calibration distributed-5-sta uniform and distributed calibration, respectively, using discharge data from all five gauging stations, calibration periods P1 or P2. This is followed by temporal validation, i.e. the parameter estimate obtained using data from P1 is validated on data from P2, and vice versa. The appends uniform-5-sta and distributed-5-sta to the 'temporal validation' in Fig.3 indicate the relevant calibration procedure.
- 2. calibration uniform-1-sta / calibration distributed-1-sta uniform and distributed calibration, respectively, using discharge data from one downstream gauging station (Anduze), calibration periods P1 or P2. This is followed by spatial validation using data from remaining four gauging stations (Générargues, Mialet, Saint-Jean and Corbès),

Table 2. Selection of major floods for each period P1 and P2 at station Anduze (V7144010)

Events names	Date	Maximum peak discharg			
EVE1/P1	2008/10/21 - 2008/10/23	$1020 \ m^3/s$			
EVE2/P1	2008/10/31 - 2008/11/07	$1030 \ m^3/s$			
EVE3/P1	2009/01/31 - 2009/02/05	$390  m^3/s$			
EVE4/P1	2011/10/31 - 2011/11/08	$1070 \ m^3/s$			
EVE1/P2	2014/09/17 - 2014/09/21	$1080 \ m^3/s$			
EVE2/P2	2014/10/09 - 2014/10/16	$1180 \ m^3/s$			
EVE3/P2	2015/09/12 - 2015/09/15	$1120 \ m^3/s$			
EVE4/P2	2015/10/27 - 2015/10/29	$1530 \ m^3/s$			

calibration periods P1 or P2, and by spatio-temporal validation on data from remaining four gauging stations, but for validation periods P2 or P1.

3. ensemble distributed-1-sta - multiple distributed calibration using discharge data from the Anduze gauging station, starting from different uniform priors.

The main purpose of experiments 1 and 2 is to quantify the anticipated MPP improvement, achieved in "distributed calibration". Given the rainfall data, we compare the ability of the calibrated model to predict the discharge at observation points without using the discharge data for the validation period (exp.1, temporal validation); then the ability to resolve the spatial distribution of discharge in ungauged areas, given the discharge data for the validation period at the catchment outlet (exp.2, spatial validation), and the ability to predict the discharge in ungauged areas and without using the discharge data for the validation period (exp.2, spatio-temporal validation). Clearly, the latter is the ultimate performance test. The purpose of experiment 3 is to investigate the stability of the parameter estimates with respect to their priors. This is necessary to assess the impacts of equifinality and limitations related to the local-search minimization.

In addition, the current MPP is analyzed for the eight major flood events for periods P1 and P2, listed in Tab.2. Three others criteria from (Artigue et al., 2012) are used, which compare the magnitude and the synchronization of the modeled and observed flood peak:

1. The Percentage Peak Discharge  $P_D$ :

$$P_D = 100 \times \frac{Q_{max}}{Q_{max}^*},\tag{22}$$

where  $Q_{max} = Q(t_m)$  and  $Q_{max}^* = Q^*(t_m^*)$  are the predicted and the observed maximum discharges, respectively. These maximum values are achieved at different time instants  $t_m$  and  $t_m^*$ , within the chosen time period; 2. The Synchronous Percentage of the Peak Discharge  $S_{PPD}$ :

$$S_{PPD} = 100 \times \frac{Q(t_m^*)}{Q_{max}^*};$$
 (23)

3. The Peak delay  $P_D$ :

$$P_d = t_m - t_m^*. (24)$$

15

#### 3 Results

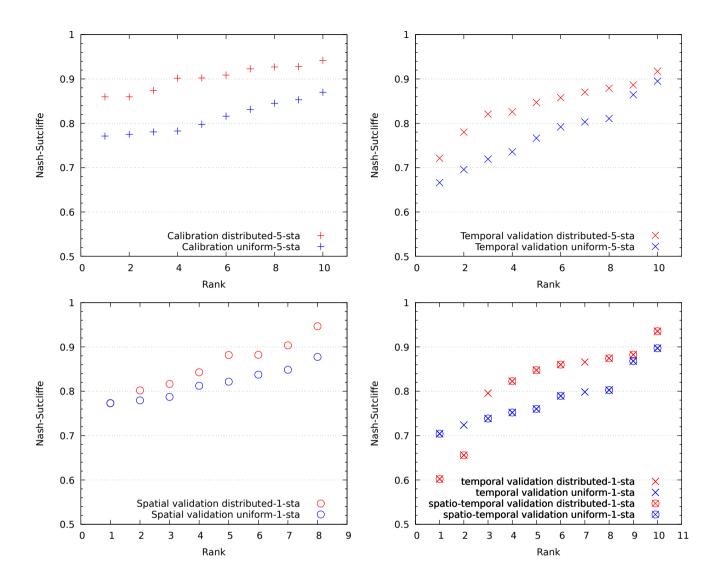
# 3.1 Performance analysis

The results associated with experiments 1 and 2 are presented in Fig.3 in a "statistical" form (as a distribution of the NSE criterion ranked in increasing order), without relation to the period or gauge station.

Fig.3 (upper/left), shows the results of "uniform" and "distributed" calibration from exp.1. All five gauging stations are involved in calibration over 2 periods, thus we have  $5 \times 2$  calibration points. One can see that the distributed calibration allows a much better approximation of the observed discharge than the uniform one. This result is expected and simply confirms that the data assimilation procedure works. On the other hand, the mismatch between the predicted and observed discharges remains significant. Since the model looks over-parametrized and the regularization parameter in (19) is very small, this indicates that a few issues, either alone or in combination, may be presented: corrupted data (the data used is not a synthetic one), deficient model structure (such as unaccounted sink term, for example), a local minimum is reached instead of the global one. Some of these issues will be later discussed. Fig.3 (upper/right), shows the same results for exp.1., but this time in temporal validation. One can see that the distributed calibration allows to achieve a better "global" temporal MPP than the uniform one.

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Fig.3 (lower/left), shows the results of spatial validation of exp.2 (i.e. calibrating only with data from the Anduze gauging station). The remaining four gauging stations are involved in validation for two time periods, thus we have  $4 \times 2$  spatial validation points. The calibration results for the Anduze station are not presented graphically, but the NSE values achieved are as follows (for P1/P2 respectively): uniform 0.82/0.78, distributed 0.93/0.88, whereas the KGE values are: uniform 0.81/0.71, distributed 0.89/0.69. One can see once again that the distributed calibration allows clearly to achieve a better global spatial MPP than the uniform one. Finally, Fig.3 (lower/right) shows the results of spatio-temporal validation (exp.2), evaluated over the period not used for calibration. As before, only data from the Anduze gauging station is used for calibration, but data from all five gauging stations from a different time period is used for validation, giving  $5 \times 2$  validation points. Two of them (in " $\times$ ")) show the corresponding temporal validation results, other (shown in " $\otimes$ ")) - the spatio-temporal validation results. One can see that the spatio-temporal MPP is better if the distributed calibration is used.



**Figure 3.** 'Statistical' distribution of the *NSE* criterion comparing distributed versus uniform calibration and the corresponding validation results: calibration of exp.1 - upper/left, temporal validation of exp.1 - upper/right, spatial validation of exp.2 - lower/left, and spatio-temporal validation exp.2 - lower/right.

# 5 3.2 Period-based analysis

To get a more detailed view on the results of exp 2., Fig.4 relates each NSE value to the particular time period and gauging station. Here, the upper/left panel presents the NSE values calculated over the period P1, with parameters optimized over the same period (calibration); the upper/right panel presents the NSE values calculated over P1, with parameters optimized over P2 (validation). Conversely, the lower/left panel presents the NSE values calculated

- lated over the period P2, with parameters optimized over the same period; the lower/right panel presents the values calculated over P2, with parameters optimized over P1. All panels presents results for the uniform and distributed parameters (blue and red respectively). The similar figure has been obtained for exp. 1, but not presented here since the results are also similar. Based on this figure the following observations can be made:
  - 1. Considering the results obtained with uniform paramters (blue), the NSE values are always better (larger) when calculated over the period P1, whatever case is considered: calibration (+), spatial validation (o), temporal validation ( $\times$ ) or spatio-temporal validation ( $\otimes$ ).
  - 2. Considering the results obtained with distributed parameters (red), better results are always obtained when the NSE values are calculated over P1 in calibration (+) and temporal validation ( $\times$ ). But in spatial validation (o), better results are obtained on P2, for two out of four upstream stations: Mialet and Corbes (V7124015 and V7135010, respectively).
  - 3. Comparing the NSE values for the uniform (blue) and the distributed (red) calibration, we notice that for the latter we obtain better results, except in two cases: in spatial validation over P1 for Mialet and Corbes (V7124015 and V7135010), and in spatio-temporal validation over P2 for Corbes and Saint-Jean (V7135010 and V7135017). Note that in both cases the distributed parameters have been calibrated over P1.

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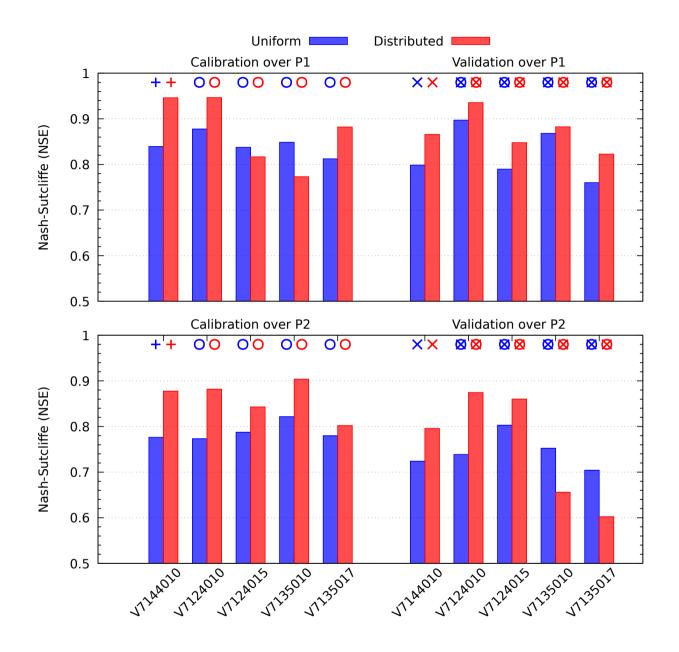
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To complete the analysis, the MPP obtained in spatio-temporal validation at Mialet (V7124015) and Saint-Jean (V7135017) - two stations identified as 'particular' just above - have been studied in more detail, for  $2 \times 4$  flood events described in Tab.2, corresponding to the four biggest flood over each period. The corresponding hydrographs are plotted in Fig.8, and the resulting MPP criteria NSE, KGE, PPD,  $S_{PPD}$  and  $P_D$  are presented in Tab.5 and Tab.6. Based on these results the following conclusions can be made:

- 1. Flood events at those stations which occur during the period P1 are well simulated using both uniform and distributed set of parameters calibrated over P2 ( $\overline{NSE} > 0.70$  and  $\overline{KGE} > 0.60$ ). In particular, the prediction of the event 3/P1 is noticeably improved for the distributed calibration. The simulated flood peak is well synchronized with the observed one, though slightly shifted when the distributed calibration is used. Note that these minor shifts are not critical for the peak discharge prediction as PPD and  $S_{PDD}$  remain similar;
- 2. In contrast, the prediction of flood events which occur during P2 is unsatisfactory. Moreover, the results are even worse for distributed calibration. Modeled flood peaks are severely under-estimated at station Saint-Jean (V7135017) for all four events, both for uniform and distributed calibration. At station Mialet (V7124015) the peak discharge is over-estimated for the distributed calibration.

These results are consistent with those from Fig.4 in spatio-temporal validation ( $\otimes$ ), for the same two stations. A smaller cost achieved during calibration does not necessary imply a better MPP, indicating an excessive assimilation of errors associated to P1.

Fig. 5 and Fig. 6 represent the maps of the calibrated parameters obtained in exp. 1 and exp. 2, respectively, whereas



**Figure 4.** *NSE* criterion calibration and corresponding validation results for exp.2 (one upstream gauging station), by stations and periods (*P*1 upper, *P*2 lower, for uniform parameters (blue) and distributed parameters (red).

Table 3. Optimal uniform set of parameters for experiments uniform-5-sta / uniform-1-sta.

Parameters	Period P1 (5-sta/1-sta)	Period P2 (5-sta/1-sta)
$c_p \; (\mathrm{mm})$	1014.2 / 1276.5	$1288.5\ /\ 1202.3$
$c_t \ (mm)$ v $(m/s)$	250 / 214.7	$150.7 \ / \ 150.1$
v $(m/s)$	5 / 5	5 / 5

Tab.3 provides the corresponding uniform values  $\hat{\theta}$  used as priors in the variational estimation step. Comparing the left and right panels in Fig.6 corresponding to different time periods P1 and P2 one can notice a significant difference between the calibrated capacities  $c_p$  and  $c_t$ . The spatial variability of  $c_p$  and  $c_t$  is also higher when the calibration is performed on period P1. One can notice that the results of the uniform calibration are also different for both periods. Concerning the routing velocity v, the maps are rather similar and are related to the network drainage (see Fig.2). Moreover, the fast routing velocities obtained are generally consistent with the fast hydrological response of the Gardon watershed (Darras, 2015). A few questions concerning the results in Fig.5 and Fig.6 should be answered. For example, why are the estimates associated to periods P1 and P2 so different? Is it an 'algorithmic' issue (for example, due to the use of the local-search minimization, uncorrelated priors, etc.), which can be resolved by improving the calibration algorithm, or a 'fundamental' issue related to identifiability? We try to answer these questions in the next section.

## 3.3 Stability analysis

An inverse problem is well-posed if a solution to the problem exists, is unique, and is continuous with respect to the input data. Let us consider a mathematical model describing a certain physical phenomenon and assume that some variables of this phenomenon are partly observed. If the model is perfect (adequate) and observations are exact then, given the input, the model's output has to match observations. This implies that the minimum of a cost-function penalizing the mismatch (mismatch functional) equals to zero. Parameter calibration problems are often ill-posed in terms of the uniqueness (equifinality): there may exist a set of solutions for which the mismatch functional equals to zero. However, the 'true' value of parameters does exist as one element of this set. If the model involved is nonlinear with respect to its parameters, the mismatch functional may contain additional minimum points where its value is not zero. While the corresponding parameters do not belong to the set of solutions, in the local-search minimization methods such points are interpreted as solutions. Adding a penalty (regularization) term to the mismatch functional allows one particular solution, which is the 'closest' to the prior in terms of a chosen norm, to be defined. This makes the problem formally well-posed but, in practical terms, transforms the non-uniqueness issue into the issue

of choosing the prior. Thus, investigating the stability of the estimates with respect to the priors is an important step in design and validation of a calibration algorithm. In particular, such analysis allows the parts of the solution dominated by observations and by the prior to be distinguished.

A straightforward approach implies solving an ensemble of calibration problems involving random (or quasi-random) uniform priors subjected to box constraints (17). Let us remind that the priors are used in two ways: as starting points for minimization, and to define the penalty term. The influence of the latter has been neglected by choosing small  $\alpha$  in (19). Let  $\hat{\theta}|_{\bar{\theta}_l}$  be the parameter estimate conditioned on the uniform prior  $\bar{\theta}_l$ ,  $l=1,\ldots,L$  from the ensemble of priors of size L. Then, the stability for each element of the parameter vector is measured by the 'standard deviation' given as follows:

$$\sigma_{\theta} = sign(a)\sqrt{|a|}, \quad a = \frac{1}{L}\sum_{l=1}^{L} \left[ (\hat{\theta}|_{\bar{\theta}_{l}} - \langle \hat{\theta} \rangle)^{2} - (\bar{\theta}_{l} - \langle \bar{\theta} \rangle)^{2} \right], \tag{25}$$

where  $\langle \bar{\theta} \rangle$  and  $\langle \hat{\theta} \rangle$  are the ensemble average of priors and estimates, respectively. One can see that the negative values of  $\sigma_{\theta}$  correspond to the case when the solution tends to approach the same value for all priors, i.e. it is dominated (or stabilized) by observations, whereas the positive values of  $\sigma_{\theta}$  - to the case when the solution is dominated by the prior.

In reality, the conceptual GRD model used in this study is a fairly crude approximation of the hydrological phenomenon. Thus, no solution can be considered as a 'truth', but as an interpretation of data, given the model and the judgment criteria (cost-function). Moreover, the measured data (test signal and observations) are not perfect. These imperfections result into a 'generalized observation error'. The stability analysis described above can also be applied in this case, though understanding of results is more difficult. For example, the ensemble average of the mismatch functional values (achieved in minimization) can be considered as a reference level. The parameter estimates which correspond to the values around this level can be considered as possible solutions, whereas the outliers must be discarded.

The stability analysis (exp.3) has been performed for L=16 configurations of uniform priors  $\bar{c}_p$  and  $\bar{c}_t$ , see Table 4, with the same routing velocity  $\bar{v}=5$  m/s. The Table shows the values of the cost-function  $J(\theta)$  (19) for  $\theta$  before and after minimization.

One can see that all minimization processes for a chosen assimilation period converge to a similar value of the cost-function: for P1 the mean value is 0.055 and the standard deviation is 0.002, i.e. about 3.6% of the mean, for P2 - the corresponding numbers are 0.129, 0.004 and 3.1%. No obvious outliers have been observed, which indicates that the cost-function surface is sufficiently regular and the issue of 'local-search against global-search' is not critical. The latter is not surprising since, considering the operators involved, the model seems to be mildly nonlinear. The spatial distributions of  $\sigma_{\theta}$  are presented in Fig.7, where the stable areas of the estimated parameter fields, i.e. those influenced by observations, are shown in blue. The purpose of this analysis is to understand why the estimates associated to periods P1 and P2 are so different. In particular, the question rises concerning the high capacities

**Table 4.** Initial and final values of the cost-function (19) for different uniform priors for periods P1 (upper table) and P2 (lower table), exp.3.

		1	2	3	4		
		$\bar{c}_p = 425.5$	$\bar{c}_p = 851.0$	$\bar{c}_p = 1276.5$	$\bar{c}_p = 1702.0$		
1	$\bar{c}_t = 71.5$	1.20/0.054	0.689/0.054	0.528/0.057	0.451/0.057		
2	$\bar{c}_t = 143.1$	0.563/0.055	0.280/0.056	0.210/0.057	0.195/0.058		
3	$\bar{c}_t = 214.7$	0.350/0.057	0.186/0.053	0.161/0.054	0.177/0.054		
4	$\bar{c}_t = 286.2$	0.280/0.057	0.185/0.055	0.185/0.056	0.217/0.052		
-							
		1	2	3	4		
		$\bar{c}_p = 413.0$	$\bar{c}_p = 825.9$	$\bar{c}_p = 1238.9$	$\bar{c}_p = 1651.9$		
1	$\bar{c}_t = 50.0$	1.39/0.130	0.924/0.130	0.776/0.131	0.707/0.130		
2	$\bar{c}_t = 100.1$	0.642/0.125	0.369/0.126	0.296/0.132	0.288/0.125		
3	$\bar{c}_t = 150.1$	0.396/0.134	0.252/0.124	0.224/0.130	0.24/0.129		
4	$\bar{c}_t = 200.1$	0.325/0.131	0.26/0.121	0.258/0.136	0.286/0.126		

obtained for P1, see red areas in Fig.6(left) for  $c_p$  and dark-yellow areas for  $c_t$ . In contrast, the capacities obtained for P2 are the lowest in the corresponding areas. However, comparing Fig.6 and Fig.7 we notice that these 'questionable' areas in Fig.6 largely coincide with the blue (stable) areas in Fig.7. This clearly indicates that the corresponding estimates are determined by the observed data used in each case. Thus, one may suspect here the data information content issue, and/or the data interpretation criteria (cost-function) issue, and/or the model adequacy issue, rather than algorithmic issues. This is the main conclusion drawn from the stability analysis. One can also notice that the estimates associated to P2 (right) are generally more stable than those associated to P1 (left), whereas the ensemble average cost-function value achieved for P2 is larger than for P1, i.e. 0.129 against 0.055. While a better minimization result has been achieved for P1, it looks like more error have been assimilated in this case.

#### 4 Discussion and Conclusions

The validation results presented in Sec. 3.1 and 3.2 confirm that the distributed calibration globally improves the temporal and spatial MPP (measured by NSE) as compared to the uniform calibration. The conclusions are less definite with the spatio-temporal MPP, but this is the most difficult performance test. Moreover, the global improvement does not mean that any particular event could be better predicted, as follows from Sec.3.2. We observe that, on one hand, the capacity  $c_p$  and  $c_t$  estimates obtained using different calibration periods are quite different; on the

**Table 5.** MPP criteria  $(NSE, KGE, S_{PPD}, P_d)$  computed for major flood events selected over the period P1, using distributed (dist) and uniform (unif) calibration over P2.

		$N^{\lambda}$	SE	KGE		PPD		$S_{PPD}$		$P_D$ (l	nours)
		dist	unif	dist	unif	dist	unif	dist	unif	dist	unif
EVE1/P1	V7124015	.38	.64	.36	.47	47.00	54.72	40.15	54.72	1	0
EVE1/P1	V7135017	.71	.64	.84	.59	80.69	121.23	77.15	121.23	1	0
EVE2/P1	V7124015	.95	.93	.90	.91	92.33	87.73	92.33	87.73	0	0
EVE2/P1	V7135017	.79	.87	.68	.84	66.26	79.28	64.43	79.28	-1	0
EVE3/P1	V7124015	.92	.68	.91	.57	102.38	126.21	100.43	126.21	-1	0
EVE3/P1	V7135017	.76	.20	.57	.18	119.18	141.48	115.08	134.26	-2	-3
EVE4/P1	V7124015	.93	.92	.82	.81	94.62	120.92	94.62	120.92	0	0
EVE4/P1	V7135017	.71	.86	.47	.63	90.10	100.70	87.82	100.70	1	0
Ave	rage	0.77	0.72	0.69	0.63	86.57	104.03	84.00	103.13	-0.13	-0.38

**Table 6.** MPP criteria  $(NSE, KGE, S_{PPD}, P_d)$  computed for major flood events selected over the period P2, using distributed (dist) and uniform (unif) calibration over P1.

		N.	SE	KGE		PPD		$S_{PPD}$		$P_D$	(hours)
		dist	unif	dist	unif	dist	unif	dist	unif	dist	unif
EVE1/P2	V7124015	.43	.45	.72	.64	105.32	86.69	17.00	30.70	31	32
EVE1/P2	V7135017	10	.24	12	.22	9.69	16.27	5.14	14.15	31	2
EVE2/P2	V7124015	.43	.86	.51	.90	148.00	73.79	126.57	73.79	-1	0
EVE2/P2	V7135017	.31	.47	.13	.37	18.76	29.48	17.86	17.51	39	40
EVE3/P2	V7124015	.59	.63	.41	.34	124.36	98.42	123.30	91.35	-1	4
EVE3/P2	V7135017	.27	.35	.09	.27	14.96	22.68	13.08	13.33	5	6
EVE4/P2	V7124015	.94	.79	.83	.73	85.69	58.20	85.69	58.20	0	0
EVE4/P2	V7135017	.26	.46	.02	.24	21.64	32.94	21.64	31.17	0	1
Ave	rage	0.39	0.53	0.32	0.46	66.05	52.31	51.29	41.28	13	10.625

other hand, for a chosen calibration period these estimates are relatively stable with respect to their priors. The latter means that the difference is rather due to a different data involved in calibration, than due to the algorithmic or identifiability problems, see Sect.3.3. Let us note that the cross-validation experiments could help the best set of parameters to be selected. For example, the MPP analysis above suggests that the sets calibrated using data from P2 should be preferred.

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It seems that the routing velocity v is the most stable among all estimated parameters: the velocity fields plotted in Fig.5 and Fig.6 remain similar for different experiments. Looking at the hydrographic network in Fig.2, one can see that the velocities are generally much higher along the main drains than on the side slopes, which is in agreement with the true physical behavior of the system, though the routing scheme applied is conceptual. One can notice a few occasions where the velocities are lower in the drain: the Gardon de Saint-Jean,  $\exp.1(P2)$ , the south-west tributary connected to Corbès,  $\exp.1(P1)$ , and the upstream Gardon catchment in  $\exp.2(P2)$ . This is likely to be a consequence of an overestimated uniform background,  $\bar{v} = 5$  m/s.

Calibration of the distributed hydrological models is a difficult task, with the data information content issue, the data interpretation criteria issue, and the model adequacy. While the first one (data information content) is not the data processing issue, the other two can be addressed. For example, the use of the Gaussian likelihood which leads to the quadratic cost-function of the type (15) does not seems to be the best choice. Taking into account that the discharge itself is a positive variable and the discharge observation error is, most likely, an increasing function of discharge, using the gamma likelihood looks more appropriate.

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It is evident that, because of its conceptual nature and simplicity, the GRD model has some structural limitations. Looking for a simple structural upgrade, which may help to improve the adequacy without increasing noticeably the dimensions and computational costs, is an important future task. Another one is to provide better priors. For instance, one can use the non-uniform priors. In particular, for the capacities  $c_p$  and  $c_t$  one could consider locally uniform values relevant to the given hydro-geological or soil occupation class. For the velocity, a higher value in the drainage network with respect to the accumulated flow surface have to be enforced. The mentioned above subjects will be addressed in the immediate future research.

5

In summary, the variational approach based on the adjoint model has proved its great computational efficiency and relevance for solving the calibration problem involving the distributed hydrological model GRD. Technically, this problem can be solved over long time periods and for large spatial areas. The difficulties discovered in this process are the fundamental issues of calibration, not related to the chosen method. The answer to the main research question formulated at the beginning of this paper is positive: it is possible and beneficial to calibrate and, then, use distributed parameters, rather than uniform parameters. The calibration quality is expected to be improved by

5 using a more appropriate cost-function and by enhancing the model structure. Overall, this means that the suggested research and hydrological forecasting tool development direction is quite promising.

Competing interests. The authors declare that there are no competing interests.

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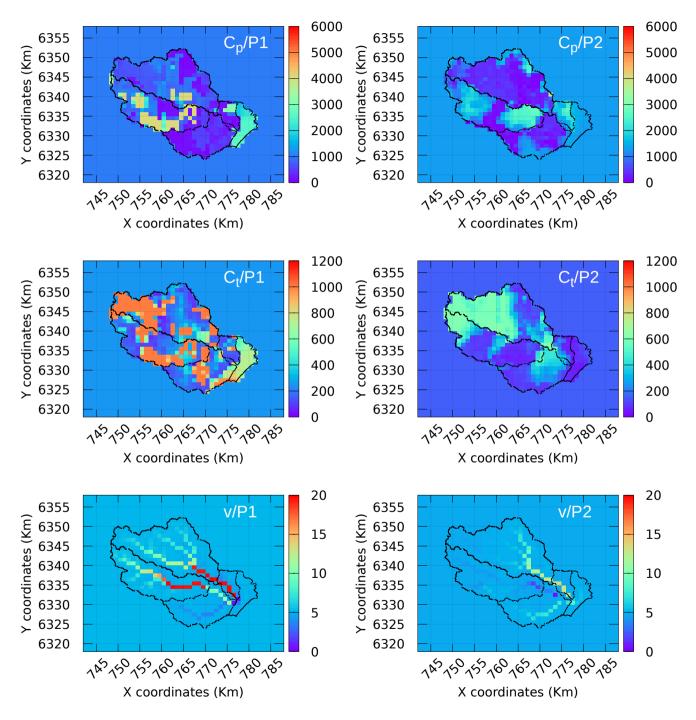
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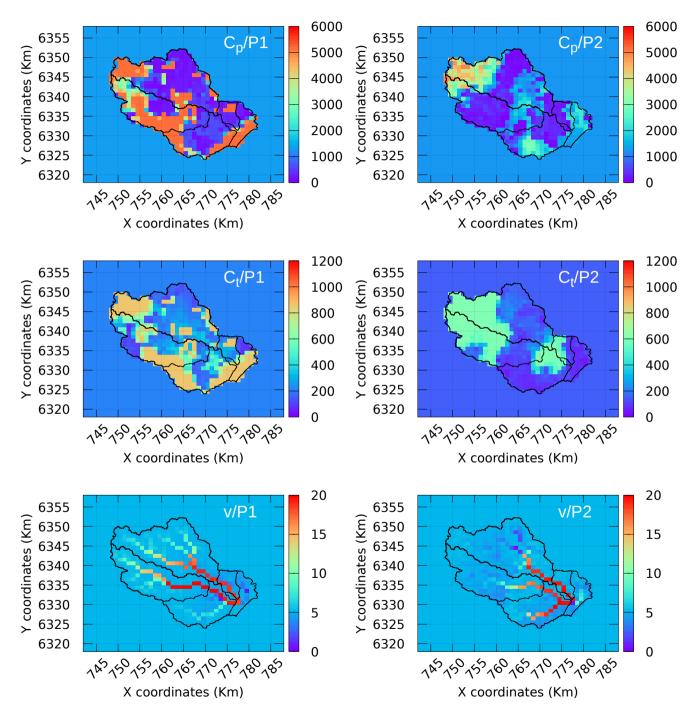
# Distributed-5-sta



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Figure 5. Maps of the calibrated coefficients (exp.1 - 5-sta): left - data from P1, right - data from P2.

## Distributed-1-sta



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Figure 6. Maps of the calibrated coefficients (exp.3 - 1-sta): left - data from P1, right - data from P2.

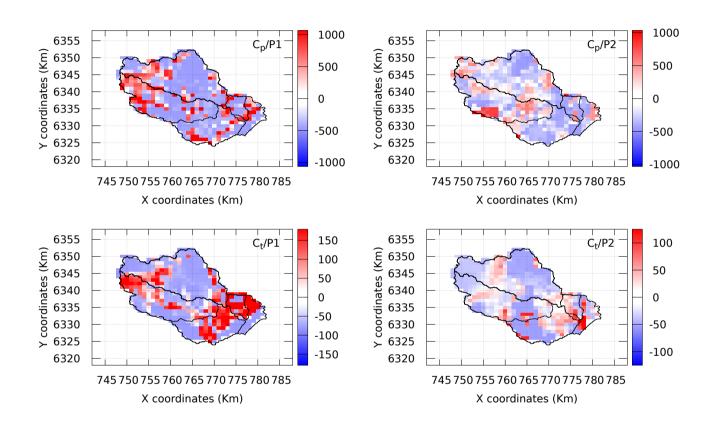
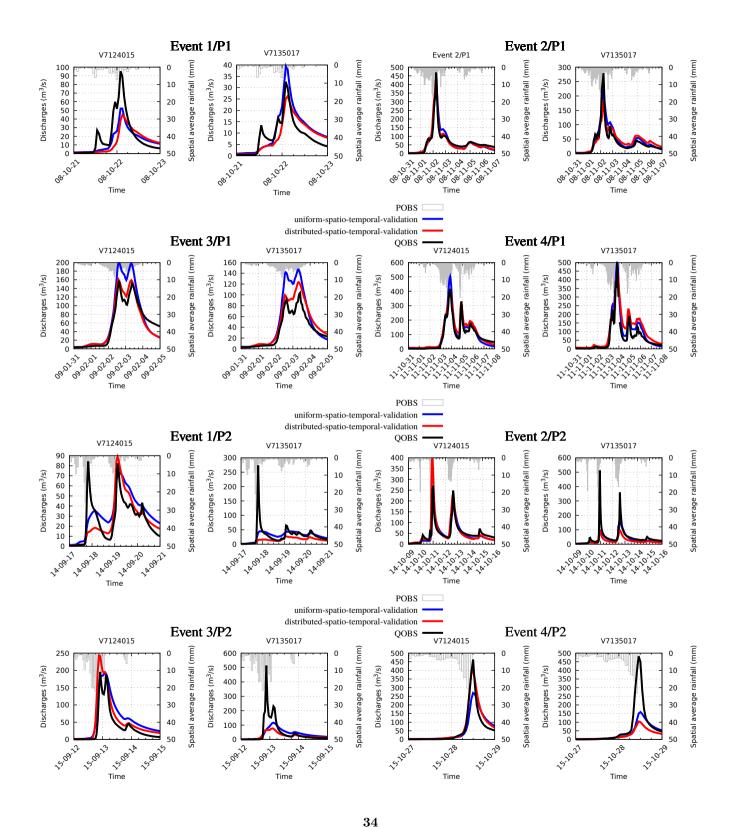


Figure 7. Maps of stability measure  $\sigma_{\theta}$  for:  $c_p$  (upper) and  $c_t$  (lower), for periods P1 (left) and P2 (right)



**Figure 8.** Spatio-temporal predicted discharges (with the distributed and uniform set of parameters) and observed discharges during the height majors events issued from period P1 and P2 at stations V7124015 and V7135017.