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2	Coffee and shade trees show complementary use of soil water in a traditional agroforestry
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### 20 Abstract

21 Globally, coffee has become one of the most sensitive commercial crops being affected by climate 22 change. Arabica coffee (Coffea arabica) grows in traditionally shaded agroforestry systems in 23 tropical regions and accounts for  $\sim 70\%$  of the coffee production worldwide. Nevertheless, the 24 interaction between plant and soil water sources in these coffee plantations remains poorly 25 understood. To investigate the functional response of dominant shade trees species and coffee (C. 26 arabica var. typica) plants to different soil water availability conditions, we conducted a study during 27 a near normal and a more pronounced dry season (2014 and 2017, respectively) and a wet season 28 (2017) in a traditional coffee plantation in central Veracruz, Mexico. For the different periods, we 29 specifically investigated the variations in water sources and root water uptake via MixSIAR mixing 30 models that use  $\delta^{18}$ O and  $\delta^2$ H stable isotope composition of rainfall, plant xylem and soil water. To 31 further increase our mechanistic understanding about root activity, the distribution of belowground 32 biomass and soil macronutrients were also examined and considered in the model as prior 33 information. Results showed that, over the course of the two dry seasons investigated, all shade tree 34 species (Lonchocarpus guatemalensis, Inga vera and Trema micrantha) relied on average, on water

- 35 sources from intermediate (>15 to 30 cm depth:  $58 \pm 18\%$  (SD)) and deep soil layers (> 30 to 120 cm 36 depth:  $34 \pm 21\%$ ), while coffee plants used much shallower water sources (< 5 cm depth:  $42 \pm 37\%$ 37 and 5-15 cm depth:  $52 \pm 35$  %). In addition, in these same periods, coffee water uptake was influenced 38 by antecedent precipitation, whereas trees showed little sensitiveness to antecedent wetness. Our 39 findings also showed that during the wet season coffee plants substantially increased the use of near 40 surface water (+56% from < 5 cm depth), while shade trees extended the water acquisition to much 41 shallower soil layers (+19% from < 15 cm depth) in comparison to drier periods. Despite the plasticity 42 in root water uptake observed between canopy trees and coffee plants, a complementary use of soil 43 water prevailed during the dry and wet seasons investigated. However, more variability in plant water 44 sources was observed among species in the rainy season when higher soil moisture conditions were 45 present and water stress was largely absent.
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- 47 Key words: Coffea arabica; water stable isotopes, roots, nutrients, clay-rich soils, MixSIAR,
- 48 Mexico

### 49 1. Introduction

50 Coffee agroforestry systems are highly valued because of their ecological, environmental, 51 economic and social benefits (Mas and Dietsch, 2004; Perfecto et al., 2007; Tscharntke et al., 2011). 52 Moreover, shade coffee of the species Arabica (*Coffea arabica*) accounts for ~ 70% of the total coffee 53 production (USDA, 2017). Although Arabica coffee is mainly grown in tropical montane regions, it 54 is cultivated under a wide range of climatic and soil conditions (Jha et al., 2014). Coffee Arabica 55 plantations can be broadly classified as traditional or modern coffee systems, according to vegetation 56 composition and structure and management practices (Moguel and Toledo, 1999). In the traditional 57 systems, coffee plants are cultivated under a diverse canopy of native and/or introduced shade tree 58 species. In contrast, monoculture coffee plantations exemplify the modern cultivation scheme, in 59 which the shade is provided by a single commercial tree species. The use of agrochemicals is also 60 typically required in this type of plantation (Moguel and Toledo, 1999).

61 Until recently, the vast majority of Arabica coffee was cultivated in traditionally managed 62 shaded coffee plantations, which have lower production costs and enhanced biodiversity, carbon 63 sequestration, soil fertility and biological pest control in comparison to modern systems (Greenberg 64 et al., 1997; Perfecto et al., 2002; Kellermann et al., 2008). However, coffee management practices 65 have become more intensive promoting the replacement of native trees with fast-growing 66 monospecific timber species (i.e. *Cedrela odorata, Eucalyptus deplupta, Hevea brasilensis*) (Nath et 67 al., 2011).

68 Growing a crop in association with shade trees inevitably leads to some degree of competition 69 for the above-ground (light) and below-ground (water and nutrients) resources (Monteith et al., 1991). 70 In an agroforestry system, the outcome of competition for light is relatively predictable due to the 71 hierarchical structure of the canopy (i.e., shade trees intercept part of the sunlight, thereby reducing 72 the amount available for the understory crop). Conversely, competitive interactions for below-ground 73 resources can be much more diverse and complex. The central hypothesis of agroforestry underscores 74 that crops and trees are complementary in their use of soil water (Cannell et al., 1996), however the 75 degree to which this occurs will be largely controlled by the spatial and temporal patterns of resource availability, root distribution and root activity, which in turn depend on factors such as climate, soil 76 77 conditions, crop and tree species, and plantation age, density and management practices (Beer et al., 78 1998; Lehmann, 2003; van Noordwijk et al., 2015). In addition, below-ground competitive 79 interactions for water and/or nutrients are much more difficult to elucidate than above-ground 80 relationships. So far, the most common approach is to measure the distribution of root abundance of 81 crops and trees, and examine to what extent they overlap or are separated (e.g., Schaller et al., 2003; 82 van Kanten et al., 2005). An important limitation of this method is, however, that the spatial 83 distribution of roots does not always mirror the actual resource capture along the soil profile (Dawson 84 et al., 2002; Lehmann, 2003). Another approach is to examine the vertical patterns of soil water 85 (Cannavo et al., 2011; Padovan et al., 2015) or nutrient (Schroth et al., 2000, cited in Lehmann, 2003) 86 depletion. However, these methods are problematic because they cannot provide information on 87 whether resource depletion is caused by the crop, the trees, or both (Cannavo et al., 2011; Padovan et 88 al., 2015). Recently, the use of hydrogen ( $\delta^{2}$ H) and oxygen ( $\delta^{18}$ O) water stable isotope techniques in 89 combination with mixing models based on Bayesian theory has proved to be a powerful tool for 90 quantifying the proportions and probability distributions of different water sources to plant uptake 91 across different ecosystems and regions (Barbeta et al., 2015; Bever et al., 2018; Penna et al., 2018), 92 with the potential to largely overcome the above-mentioned limitations (Dawson et al., 2002; 93 Lehmann, 2003; van Noordwijk et al., 2015). Although rarely implemented, including nutrient and 94 root distribution data along the soil profile to inform these models could provide more comprehensive 95 insights into depth of plant water uptake (cf. Muñoz-Villers et al., 2018).

96 To date, research into plant-soil interactions and plant water source partitioning in coffee 97 agroforestry systems is extremely scarce. To our knowledge, only five studies have investigated the 98 water sources of shade trees and coffee shrubs using either information on the isotopic composition 99 of plant xylem and bulk soil water (Wu et al., 2016), soil water depletion (Cannavo et al., 2011; 100 Padovan et al., 2015) or root distribution (Schaller et al., 2003; van Kanten et al., 2005). Moreover, 101 all these studies have been carried out in intensive monospecific plantations characterized by high 102 coffee planting densities ( $\sim 4000-5000$  shrubs ha-1), low density ( $\sim 150-280$  trees ha-1) and very 103 low diversity (1-2 species) of shade trees. While recognizing the limitations of some of the methods 104 used in these previous studies, the available information suggests that competition for water between 105 coffee and trees can be strong at sites with a pronounced seasonal dry period (Wu et al., 2016; 106 Padovan et al., 2015), while it seems to be virtually absent at sites with no or a relatively short dry 107 season (Schaller et al., 2003; Cannavo et al., 2011). Further, although most coffee roots are usually 108 located in the upper soil layers (< 30 cm depth; van Kanten et al., 2005, and references therein), the 109 plant and soil interactions for water during the dry season seem to occur below the main crop rooting 110 zone (> 30 cm depth) (Wu et al., 2016). The latter reflects the ability of coffee to develop an extensive 111 root system, and to increase the root water uptake at greater soil depths once the available water has 112 been depleted in shallower layers (Huxley et al., 1974, cited in Lehmann, 2003).

Currently, we lack of information on plant water sources in traditional shade coffee plantations. In these agroforestry systems, the higher density and diversity of shade trees could potentially lead to stronger and more diverse tree-crop interactions (van Noordwijk et al., 2015). On the other hand, the dense tree canopy reduces light availability and hence limits coffee water use. This could lead to a lower soil water demand and thus increased plant water availability during the dryseason.

119 Further, ecohydrological research in these shade coffee systems is becoming increasingly 120 important since trees have been promoted as a strategy for mitigating and adapting to future climate 121 (Schroth et al., 2009; Vaast et al., 2016; Rice, 2018). Shaded coffee plantations store more carbon 122 than sun-grown coffee systems, thereby contributing to the reduction of greenhouse gases (Vaast et 123 al., 2016; Rice, 2018, and references therein). In addition, the tree canopy provides some level of 124 protection against the rising mean and maximum air temperatures (Baker and Haggar, 2007; Schroth 125 et al., 2009; Vaast et al., 2016), which in recent modeling studies have been pointed out as the key 126 climatic changes affecting coffee growth, yield and quality (Schroth et al., 2009; Baca et al., 2014; 127 Bunn et al., 2015). Although there are important differences across sites, rainfall is also predicted to 128 decrease and become more variable in many of the world's coffee-growing regions. For example, 129 Giorgi (2006) estimated that rainfall will decrease by about 17% (per 100 years) during the dry season 130 and by about 9% during the wet season in Mexico and Central America. Similarly, predictions by 131 Karmalkar et al. (2011) for the same regions pointed out changes in rainfall of -24% to +8% (per 100 years) during the dry season and of -39% to -1% during the wet season. As such, if warming is 132 133 accompanied by decreases in rainfall, this could lead to, or exacerbate, competition for water sources 134 between coffee shrubs and shade trees (Baker and Haggar, 2007), which in turn could affect the long-135 term sustainability of these agroecosystems.

136 Mexico is among the largest shade coffee producers in the world, and the central region of 137 Veracruz constitutes the second most important coffee zone in the country. In this area, we selected 138 a representative traditional shade coffee plantation to investigate plant water sources of dominant 139 shade trees species and coffee (C. arabica var. typica) shrubs under different conditions of soil water 140 availability. During a near normal and a more pronounced dry season (2014 and 2017, respectively) 141 and a wet season (2017), variations in depth of plant water uptake were examined using the stable 142 isotopic composition ( $\delta^{18}$ O and  $\delta^{2}$ H) of rainfall, plant xylem and soil water in combination with a 143 Bayesian mixing model (MixSIAR), along with microclimatic and soil moisture measurements. To 144 further increase our understanding about root activity and water uptake, the distribution of roots and 145 macronutrients along the soil profile were also examined and considered in the mixing model as prior 146 information. Specifically, we addressed the following questions:

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Does a complementary water use strategy between shade trees and coffee shrubs prevail
 over competition in a traditional shaded agroforestry system?

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- 2. Does competition exist for water sources among tree and coffee species during more

151 pronounced dry periods?

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3. What are the seasonal patterns in plant-water source partitioning?

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154 2. Materials and methods

155 2.1 Study site

The research was carried out in the "La Orduña" coffee plantation (~100 ha) located on a flat
plateau at an elevation of 1210 m a.s.l. on the eastern slopes of the Cofre de Perote mountain (19°28'
N, 96°56' W) in central Veracruz State, Mexico (Fig. 1). The coffee plantations in this region occur
between elevations of 1000 and 1350 m a.s.l. (Hernández-Martínez et al., 2013; Marchal and Palma,
1985).

161 The climate is classified as temperate humid with abundant rains during the summer (García, 162 1988). Two distinct seasons can be distinguished: (1) a wet season (May–October), during which 163 rainfall is associated primarily with cumulus and cumulonimbus clouds formed during convective 164 and orographic uplift of the moist maritime air masses brought in by the easterly trade winds; and (2) 165 a (relatively) dry season (November-April), during which most rainfall falls from stratus clouds associated with the passage of cold fronts (Báez et al., 1997). Mean annual rainfall measured nearby 166 167 the study site during the period 1971–2000 was 1765 mm, with on average 389 mm falling during 168 the dry season and 1376 mm falling during the wet season (SMN, 2018). Mean annual temperature 169 over this period was 19.5 °C, with a minimum and maximum monthly average value of 15.5 and 170 22.5°C observed in January and May, respectively (SMN, 2018). Annual potential evapotranspiration 171  $(ET_0)$  is about 1120 mm (Holwerda et al., 2013).

172 The investigated shade coffee plantation is a so-called traditional commercial polyculture 173 system (sensu Moguel and Toledo, 1999), which was established more than 80 years ago. The tree 174 canopy was diverse and consisted predominantly of the species *Inga spp.*, *Citrus spp.*, *Lonchocarpus* 175 guatemalensis, Trema micrantha and Enterolobium cyclocarpum (Holwerda et al., 2016). The shade 176 trees were planted at a density of ca. 500 ha-1, and currently form a canopy of about 14 m high. The 177 Arabica coffee plants were of the variety typica. Typica –a tall cultivar of Coffea arabica– was the 178 first coffee variety that arrived from Ethiopia to Mexico (Renard, 2010); it has bronze-tipped young 179 leaves and the berries are large. Plants of *typica* variety are tolerant to conditions of low soil fertility 180 and drought, but vulnerable to most pests and diseases (Escamilla et al., 2005). In the study site, this 181 cultivar was planted approximately 20 years ago at a density of about 1700 shrubs ha-1, currently 182 having an average height of ~ 2 m. In this region, the coffee flowering occurs in March or April, fruit 183 development between May and October, and ripening and harvest between October and February

(Villers et al., 2009). The management of the plantation involves weed control practices and selective
pruning of mature coffee plants and shade trees at irregular times once every ~ 7 years (cf. HernándezMartínez et al., 2009). No pruning activities occurred during or in between our study periods. A
photograph of the coffee plantation is provided in the Supplementary Material.

188 The soil type is an Andic Acrisol derived from volcanic ashes. Soil profiles (~150 cm) are 189 multilayered (A, B1/BT and BC) and have clay (~ 65%) as the dominant texture across all layers. A 190 general description of the soil profile showed a dark brown to dark vellowish brown, clay silty organic 191 A horizon (0–20 cm) overlying a dark yellowish brown, clay silty sand B1/BT horizon (20–135 cm), 192 followed by a dark yellowish brown, clay sandy BC horizon (>135 cm). Average soil bulk densities 193 and porosities were 1.2 gr cm-3 and 63%, respectively, along the A and B horizons (Holwerda et al., 194 2013). The underlying material consists of deeply weathered old lava and sandy-gravelly pyroclastic 195 flow deposits (Rodríguez et al., 2010). Soils were mostly covered by a thin (1-2 cm) but continuous 196 layer of litter.

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# 198 2.2 Hydrometeorological measurements

During the study period, rainfall and microclimate conditions were continuously monitored above the canopy in an 18 m high tower, located in the southwestern part of the coffee plantation. Rainfall (P, mm) was measured using a TR–525 M tipping bucket rain gauge (Texas Electronics, USA). Temperature (T, °C) and relative humidity (RH, %) were measured using a HC2-S3 probe (Rotronic, USA). Data were recorded every 30 s, accumulated (P) or averaged values (all other parameters) were stored at 5-min intervals using a CR1000 datalogger (Campbell Scientific Ltd., USA).

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# 207 2.3 Isotope sampling

208 To examine the water sources of overstory shade trees and understory coffee shrubs, plant 209 tissue and soil samples were collected for isotope analysis at the middle (Jan. 23) and end (Apr. 11 210 and 26) of the 2014 dry season. In 2017, the dry season was warmer and drier offering the opportunity 211 to examine the vegetation responses to more pronounced dry conditions. Therefore, a second 212 sampling campaign was carried out to collect plant and bulk soil samples at the middle (Feb. 27), end 213 (Apr. 5) and late end (May. 20) of the 2017 dry season. Another sampling was carried out in the 214 middle of the 2017 wet season (Aug. 4) to evaluate plant-soil water uptake patterns at higher soil 215 water availability conditions.

In all seven samplings, xylem samples were obtained from three individuals of each of the
 three dominant shade tree species (*Lonchocarpus guatemalensis*, *Inga vera* and *Trema micrantha*) by

218 extracting ~5-6 cm cores using a Pressler increment borer inserted at 1.2 m above ground (n = 60219 samples of trees in total). On each occasion, xylem samples were taken from the same individuals but 220 from various aspects of the trunk. The bark was immediately removed after core extraction to avoid 221 contamination of phloem water. For the coffee plants, samples were obtained from ~6 cm segments 222 of mature suberized branches that were cut near the main stem of several shrubs each time. The bark 223 (~1mm thick) and cambium were not stripped from the coffee branches, to avoid exposure of the 224 samples to evaporation. All coffee plants were sampled randomly (n = 40 samples of coffee shrubs)225 in total). During the 2014 and 2017 dry seasons, sampling of coffee shrubs involved 5-6 individuals 226 each time. Since only one sampling occasion was performed during the 2017 wet season, a larger 227 number of individuals (10) was sampled to reduce the uncertainties associated with different sampling 228 sizes between wet and dry seasons respectively. For each tree, we measured diameter at breast height 229 (DBH) and height, and for the coffee plants the diameter of the main stem was measured below its 230 bifurcation in small branches (Table 1).

Bulk soil samples were collected at three locations and at depths of 5, 15, 30, 60, 90 and 120 cm, using a hand auger (n = 126 samples of soil in total). Auger sampling points were located so that each of the sampled shade trees and coffee plants had one soil sampling point within a 3 m radius.

Samples of xylem and bulk soil were collected during the morning and early afternoon (between 8:30 to 13:30 hrs), and each sampling campaign was preceded by at least 6 days up to 22 days without or with minimum accumulated rainfall (< 5 mm). All xylem and soil samples were collected quickly and carefully and stored in water-tight vials to avoid any evaporation (see section below).

To establish the local meteoric water line and compare soil water sources with recent rainfall, bulk samples of rainfall (n = 80 in total) were collected weekly at a nearby (~ 5 km) meteorological station over the course of the two years studied (Nov. 2013 – Oct. 2014 and Nov. 2016 – Oct. 2017) as part of a long-term isotope sampling of precipitation (cf. Muñoz-Villers et al., 2018).

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- 244 2.4 Isotope collection and analysis

Samples of precipitation, plant xylem and bulk soil for isotope analysis were collected in 30ml borosilicate glass vials sealed with polycone caps to prevent evaporation. All samples were
refrigerated until extraction and analysis at the Center of Stable Isotope Biogeochemistry (CSIB) at
the University of California-Berkeley, USA.

249Xylem and soil samples were extracted using cryogenic vacuum distillation (temperature:250 $100 \pm 1.1^{\circ}$ C, vacuum:  $3 \pm 1.5$  Pa and time: 60-70 min) following the method of West et al. (2006).251The  $\delta^{2}$ H and  $\delta^{18}$ O isotopic compositions of extracted water samples were then determined using an

isotope-ratio mass spectrometer (Thermo Delta Plus XL, Thermo Fisher Scientific, USA). The analytical precision of the instrument was  $\pm 0.60\%$  (1 SD) for  $\delta^2$ H and  $\pm 0.12\%$  (1 SD) for  $\delta^{18}$ O. Samples of precipitation were analyzed for  $\delta^2$ H and  $\delta^{18}$ O using a laser water isotope analyzer (L2140i) from Picarro Inc. (Santa Clara, CA, USA) in high precision and without Micro-Combustion Module mode. The analytical precision was  $\pm 0.65\%$  (1 SD) and  $\pm 0.20\%$  (1 SD) for  $\delta^2$ H and  $\delta^{18}$ O, respectively.

The isotope values are expressed in delta notation (‰) relative to Vienna Standard Mean Ocean Water (VSMOW). To evaluate evaporative enrichment in the soil and xylem water isotopes relative to rainfall, we calculated the deuterium-excess parameter ( $d = \delta^2 H - 8 * \delta^{18}O$ ; Dansgaard, 1964).

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#### 263 **2.5** Soil sampling and laboratory determinations

To determine volumetric soil water content (SWC), samples were collected at 5, 15, 30, 60, 90 and 120 cm depth from each of the three boreholes excavated during the soil isotope samplings. Soil moisture content was determined gravimetrically and converted to volumetric values by using bulk density of the soil sample. In addition, to determine the antecedent moisture conditions for the 15 days prior to each sampling date, an antecedent precipitation index (API) was calculated following Viessman et al. (1989).

270 To examine pH and N, P and K macronutrient concentrations along the soil profile, soil 271 samples were collected at 5, 15, 30, 60, 90 and 120 cm depth from each borehole (n = 3 samples per 272 soil depth) during three isotope sampling campaigns: Apr. 11, 2014 (dry season), Feb. 27, 2017 (dry 273 season) and Aug. 4, 2017 (wet season). Samples (n = 18) for determining other chemical properties 274 were collected at the same depths in soil profiles. All samples were first air-dried and then sieved 275 using 2 mm screens. Soil pH was determined using a glass electrode pH meter in a 1:2 soil: water 276 ratio. Organic matter (OM) was determined by the Walkley-Black method. Total carbon (C) and total 277 nitrogen (N) were measured using a TruSpec dry combustion CN analyzer (LECO, USA). Extractable 278 phosphorus (P) was determined by the Bray I method (Bray and Kurtz, 1945). Exchangeable cations 279 (Ca+, Mg+, K+, Na+) were determined by extracting soil with 1 MNH4OAc (pH 7.0). Ca+ and Mg+ 280 were analyzed using atomic absorption spectrometry and K+ and Na+ were analyzed using flame 281 photometry. Soil cation exchange capacity (CEC) was determined by the ammonium acetate 1N (pH 282 7.0) method (Van Reeuwijk, 2002) and base saturation (BS) was calculated as the portion of CEC 283 that is occupied by exchangeable bases: (Ca+, Mg+, K+, Na+)/CEC.

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### 285 **2.6 Root biomass**

286 To examine the root biomass distribution along the soil profile in the study plot, 33 soil cores 287 were collected using 5 cm diameter and 10 cm long samplers. Soil cores were extracted at 5, 20, 40, 288 60 and 90 cm depth (from 5 to 40 cm: n = 9 for each depth, and from 60 to 90 cm: n = 3 for each 289 depth). All cores were processed immediately in the laboratory. Soil samples were first sieved using 290 2 mm screens to separate the bigger roots. Next, the samples were washed using a fine nylon mesh 291 sieve, and then separated into diameter classes (< 1 mm, 1-2 mm and > 2 mm) and dried at 70 °C for 292 48 hours. Root biomass (g m-3) was calculated from the dry weight of the roots and the volume of 293 the core sampler for each class and soil depth. No differentiation between roots of coffee shrubs and 294 shade trees was made.

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### 5 2.7 Plant water uptake sources and temporal patterns

297 The MixSIAR Bayesian mixing model framework (Moore and Semmens, 2008; Stock et al., 298 2018) was used to determine the most likely contributions of water sources for the shade tree species 299 and coffee shrubs sampled over the course of the 2014 (Jan. 23, Apr. 11 and 26) and 2017 (Feb. 27, 300 Apr. 5, May. 20) dry seasons and the 2017 wet season (Aug. 4). To assess temporal changes of the 301 different plant water sources, the seven sampling occasions were modeled separately. The mixture data for the model was the mean xylem water isotopic ( $\delta^2$ H and  $\delta^{18}$ O) composition of the shade tree 302 303 species and coffee shrubs, changing accordingly with the sampling date. Based on statistical tests, the 304 relative contributions of four potential plant water sources were evaluated and restricted to the 305 following soil groups: near surface water (< 5 cm), shallow (5 to 15 cm), intermediate (> 15 to 30 cm) 306 and deep soil water (> 30 to 120 cm). For each sampling date, the mean and standard deviation of the 307 soil water isotope ( $\delta^2 H$  and  $\delta^{18}O$ ) signatures from the four different grouped soil depths were 308 introduced into the model, all corresponding to the date of xylem tissue collection.

309 Further, we also considered the use of additional data such as soil macronutrients (N, P, K) 310 and root biomass information to constrain model estimates by specifying an 'informative' prior 311 distribution of the soil source proportions (Stock et al., 2018). These data were also grouped into four 312 classes based on the depth of the soil samplings and corresponding largely with the grouping for soil 313 water: near surface (< 5 cm) shallow (5 to 20 cm), intermediate (> 20 to 40 cm) and deep (> 40 to 314 120 cm). In addition, the nearest corresponding dry or wet season dataset of soil macronutrients were 315 used according to the date of sampling. More details on the informative prior parametrization are 316 provided in the Supplementary Materials. The effect of using these priors (i.e. a weight proportion 317 before considering the isotope data) on the water sources distribution was then examined by 318 comparing these with the results of 'non-informative' (i.e. all the combinations of proportions of 319 water sources were equally likely) simulations. The results of each of these model runs were accepted

based on the examination of Markov Chain Monte Carlo convergence using the Gelman-Rubin and
Geweke diagnostic tests (Gelman et al., 2014).

Furthermore, the effect of isotope fractionation on the quantification of plant water sources was specifically explored by comparing the results of the informed two-isotope mixing model with those from a mixing model using only one water stable isotope ratio in the MixSIAR Bayesian framework. This approach has been used elsewhere (e.g. Evaristo et al., 2017; Barbeta et al., 2019) to provide some initial insights. Nevertheless, we are aware that the use of a single isotope ratio approach in a multiple water source model could lead to erroneous results due to the overlap of feasible solutions with poor constrained of uncertainties (see Parnell et al., 2010).

Lastly, the relative contributions of the water sources were compared among shade trees and coffee shrubs across all sampling dates using factorial ANOVA and Tukey's HSD post-hoc tests. The analyses were carried out in R Statistical Software version 3.2.4 (R Core Development Team, 2016).

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## 333 3. Results

# 334 3.1 Hydrometeorological conditions

335 Precipitation (P) was 1650 mm in the first study year (Nov. 2013 – Oct. 2014) and 1423 mm 336 in the second study year (Nov. 2016 – Oct. 2017). During the 2013-2014 dry season (Nov – Apr.), 337 rainfall was 323 mm, and mean daily values of temperature (T) and vapor pressure deficit (VPD) 338 were  $17.6 \pm 3.0^{\circ}$ C and  $0.65 \pm 0.39$  kPa, respectively. The lowest monthly P and the highest T and 339 VPD were observed in April at the end of the dry season (Fig. 2a,b). During the 2016-2017 dry season, 340 rainfall amounted to 235 mm, with lowest monthly values registered in January and February at the 341 middle of the season (Fig. 2b). Mean daily T was  $18.3 \pm 2.6$ °C, with the highest values observed at 342 the end of the dry period. Generally, VPD was high during the entire dry season ( $0.78 \pm 0.46$  kPa on 343 average), and reached maximum values in February and May.

Compared to long-term (1971–2000) climatic records of the region, rainfall in the first study year was very close to the mean annual precipitation of 1765 mm (SMN, 2018). In contrast, the second year was drier (~ 300 mm less; –20%), especially during the dry season, which had about 40% lower precipitation than the average value of 389 mm. Also, higher mean monthly temperatures (+ 0.54°C) prevailed across the 2017 dry season in comparison with the 1971–2000 period. Although rainfall during the 2013-2014 dry season was also about 20% lower than normal, this season was considered as near average.

Rainfall during the 2017 wet season (May – Oct.) was lower in comparison to 2014 (1188
 mm vs. 1326 mm, respectively) (Fig. 2b). Further, the mean air temperature and vapor pressure deficit

were slightly higher in the 2017 wet season than in the 2014 wet season ( $20.7 \pm 1.6^{\circ}$ C and  $0.67 \pm 0.25$  kPa vs.  $20.1 \pm 1.5^{\circ}$ C and  $0.60 \pm 0.21$  kPa, respectively) (Fig. 2a).

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#### 3.2 Soil moisture and antecedent precipitation during sampling campaigns

During the 2014 dry season campaign (Jan. – Apr.), mean soil water content (SWC) was on average  $33.8 \pm 1.7\%$  at 5 cm depth,  $40.2 \pm 14.5\%$  at 15 cm depth,  $38.9 \pm 6.4\%$  at 30 cm depth and  $48.3 \pm 1.4\%$  at 60 to 120 cm depth (Fig. 2b). In comparison, SWC in the 2017 dry season campaign (Feb. – May.) was lower in the first 30 cm ( $32.5 \pm 3.9\%$ ), meanwhile water content in the deeper layers was similar ( $49.0 \pm 2.9\%$ ) with respect to the 2014 dry period. In 2014, lowest SWC values were observed at the end of the dry season (April), whereas the greatest soil moisture depletion in 2017 was registered at the middle of the dry season (February) (Fig. 2b).

During the wet season sampling in August 2017, SWC values at 5 cm ( $28.2 \pm 2.6\%$ ), 15 cm ( $30.9 \pm 4.3\%$ ), 30 cm ( $38.4 \pm 4.8\%$ ) and 60 to 120 cm ( $49.0 \pm 2.9\%$ ) depths were generally higher in comparison to the 2017 dry period (Fig. 2b). Although the 2017 wet season sampling showed slightly lower SWC values in the shallower soil layers in comparison to the 2014 dry season, the SWC values in the deeper layers were higher. For the different samplings, antecedent precipitation conditions (API) were, respectively, 4, 30 and 13 mm for Jan. 23, Apr. 11 and 26, 2014 and 1, 12, 9 and 43 mm for Feb. 27, Apr. 5, May. 20 and Aug. 4, 2017.

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# 372 **3.3** Stable isotope composition of waters

373 Over the study periods, a greater range of variation was found in the rainfall isotope 374 composition of the 2013-2014-year (from -126.7 to 14.4‰ for  $\delta^{2}$ H; from -17.7 to 0.0‰ for  $\delta^{18}$ O) in 375 comparison to the 2016-2017-year (from -113.3 to 15.5% for  $\delta^2$ H; from -15.9 to 0.0% for  $\delta^{18}$ O) (p 376 > 0.05) (Fig. 3). Overall, mean dry season rainfall was significantly more enriched than the mean wet 377 season rainfall in  $\delta^2$ H and  $\delta^{18}$ O ( $p \le 0.001$ ) (Table 2 and 3). On average, the isotopic compositions of 378 the dry and wet season rainfall were both more depleted during the second study year than during the 379 first study year; thus, the local meteoric water line of 2016-2017 had a slightly steeper slope in 380 comparison to the one for 2013-2014 (Fig. 3). Nevertheless, the range of variation of deuterium excess 381 values was similar between years (9–29‰ for the first year vs. 9–31‰ for the second year; Fig. 3), 382 and deuterium excess values of rainfall within the dry and wet seasons were not statistically different 383  $(p \ge 0.05).$ 

For all sampling dates, hydrogen and oxygen isotope composition of bulk soil water showed a consistent pattern of increasing isotope depletion with soil depth (Supplementary Materials), in which shallower (5-15 cm) soil water was significantly more enriched than intermediate (15-30 cm) and deeper (30-120 cm) soil water layers ( $p \le 0.001$ ) (Table 2 and 3; Fig. 3). In correspondence, lowest values of deuterium excess generally characterized the near surface soil water pool.

For the 2014 dry season samplings, bulk soil ranged from -83.3 to -11.9% for  $\delta^2$ H and from -11.1 to -0.9% for  $\delta^{18}$ O (Fig. 3a). For the 2017 dry season samplings, bulk soil water showed a narrower range of variation and more enriched isotope values (from -54.8 to -19.1%  $\delta^2$ H and from -7.5 to -1.5%  $\delta^{18}$ O) in comparison to 2014 (Fig. 3b). However, statistical differences were only suggested for the intermediate and deeper soil layers in both water isotopes between the two dry seasons investigated ( $p \le 0.001$ ).

In the 2017 wet season sampling, bulk soil isotope composition ranged from -70.5 to -37.5%for  $\delta^2$ H and from -8.4 to -4.1% for  $\delta^{18}$ O (Fig. 3c), showing significant differences in the shallow, intermediate and deep soil water pools in comparison to the 2017 dry season ( $p \le 0.001$ ). In all sampling periods, bulk soil water across the different depth groups was isotopically distinct from rainfall during the 2014 and the 2017 dry seasons ( $p \le 0.001$  for both water isotopes).

400 Across all sampling periods, xylem water of coffee shrubs was more enriched than that of 401 shade trees ( $p \le 0.001$ ) (Table 2 and 3; Figure 3). In the 2014 dry season, xylem water isotope values 402 of shade trees ranged from -65.5 to -32.1‰ for  $\delta^{2}$ H and from -7.6 to -3.6‰ for  $\delta^{18}$ O, meanwhile a 403 larger variation was observed in the xylem water of coffee shrubs (from -46.5 to -9.6 %  $\delta^2$ H and from -6.3 to -0.6‰  $\delta^{18}$ O) ( $p \le 0.001$ ) (Fig. 3a). Among tree species, Lonchocarpus guatemalensis 404 showed the most depleted xylem water isotope signature ( $-58.1 \pm 4.8\% \delta^2$ H and  $-6.8 \pm 0.5\% \delta^{18}$ O), 405 406 whereas *Inga vera* had the most enriched values with a greater range of variation ( $-51.0 \pm 10.2\% \delta^2 H$ 407 and  $-5.3 \pm 1.1\% \delta^{18}$ O). Statistical tests showed that *Inga vera* was different from the other tree species 408 in  $\delta^{18}$ O (*p* < 0.05).

In the 2017 dry season, the isotopic composition of shade trees varied from -56.7 to -34.5%for  $\delta^2$ H and from -6.0 to -3.2% for  $\delta^{18}$ O; corresponding values for coffee shrubs varied from -39.6to -7.8 ‰ for  $\delta^2$ H and from -4.4 to -1.1% for  $\delta^{18}$ O ( $p \le 0.001$ ) (Fig. 3b). Contrary to 2014, *L. guatemalensis* showed the most enriched isotope value ( $-41.3 \pm 5.7\%$  for  $\delta^2$ H and  $-4.6 \pm 0.5\%$  for  $\delta^{18}$ O), and *I. vera* had the most depleted values ( $-48.5 \pm 5.1\%$  for  $\delta^2$ H and  $-4.8 \pm 0.8\%$  for  $\delta^{18}$ O), with differences being statistically significant for  $\delta^2$ H (p < 0.05).

415 Overall, isotope values of plant xylem water were more enriched during the 2017 dry season 416 than during the 2014 dry season ( $p \le 0.001$ ) (Fig. 3a,b; Fig. 4). Deuterium excess values were also 417 lower in shade trees and coffee shrubs during 2017, indicating a more evaporative signature (Table 2 418 and 3; Fig. 3). Plots of  $\delta^2$ H xylem water against height for the individual shade trees and coffee shrubs 419 sampled in both dry seasons are shown in Figure 4, in which a similar  $\delta^2$ H pattern was displayed 420 between trees and coffee shrubs in the 2014 and 2017 years. 421 During the 2017 wet season sampling,  $\delta^2$ H and  $\delta^{18}$ O values in xylem water of trees and coffee 422 shrubs were more depleted in comparison to the 2017 dry season (p < 0.05) (Fig. 3c). The range of 423 variation was from -60.6 to -45.6 ‰  $\delta^2$ H and -6.2 to -5.4‰  $\delta^{18}$ O for trees, and from -42.2 to -34.4 424 ‰  $\delta^2$ H and -5.4 to -4.4‰  $\delta^{18}$ O for coffee shrubs (p < 0.001).

425 It was observed that the xylem isotopic composition of all shade trees and coffee plants fell 426 within the range of the soil water sources during the 2014 dry season samplings (Fig. 3a). For the 427 2017 dry season, we again observed a good isotopic match between the shade tree xylem water and 428 soil water. However, for the coffee plants, the xylem water was more enriched in  $\delta^2$ H in comparison 429 to soil water (Fig. 3b). During the 2017 wet season sampling, a slight enrichment in  $\delta^2 H$  was again 430 observed in the xylem water of coffee, while trees showed a good overlap with soil water (Fig. 3c). 431 Based on these results, tests were carried out to specifically evaluate the effects of deuterium 432 fractionation on coffee water sources by running a simple mixing model using only hydrogen isotope 433 ratios in the MixSIAR framework.

434

# 435 3.4 Root biomass and macronutrients along soils profile

436 Overall, most roots were concentrated in the first 5 cm of soil with a sharp decline in biomass 437 at 20 cm depth (Fig. 5a). Fine roots (< 1mm) followed by bigger roots (> 2 mm) dominated the 438 shallower soil layers (< 20 cm), meanwhile roots in general were scarce at deeper depths (> 60 cm). 439 Soil acidity was highest near the surface and decreased gradually with depth (Table 4). Organic matter 440 (OM) and total carbon were also greatest between 5 and 15 cm depth, while values decreased rapidly 441 below ~30 to 60 cm depth. Although highest concentrations of nitrogen were found in the first 15 cm 442 of soil, values remained relatively high and constant at deeper layers (Fig. 5b). Phosphorus showed 443 its highest concentration at the topsoil with values decreasing sharply below 30 cm depth. In contrast, 444 concentrations of potassium, sodium and magnesium were lowest in the first 15 cm, while maximum 445 values were observed below 90 cm depth. Base saturation (BS) was very low along the soil profile, 446 indicating poor availability of soil macronutrients. Soil cation exchange capacity (CEC) was generally 447 low across depths, indicating little potential for interaction between clay particles and cations.

448

### 449 3.5 Plant water sources

We found a good agreement between the MixSIAR Bayesian mixing model results using a non-informative and an informative prior distribution (on average 5% difference across all xylem water contributing sources; p > 0.05). This indicates that the independent distribution (soil macronutrients and root data) set a *priori* to optimize source proportion estimates (informative approach) in the model was not influential enough to significantly modify the results obtained using 455 the isotope signatures of the xylem water sources alone (non-informative approach). Having this 456 agreement between models, we present the results of the water source contribution based on the 457 informative model runs. Results of the non-informative approach are provided in the Supplementary 458 Materials.

459 The model results showed that the intermediate and deep soil water pools (> 15 to 120 cm 460 soil depth) were the main sources for the shade trees over the course of the 2014 dry season (91  $\pm$ 461 37% on average; Fig. 6 and Supplementary Materials). Across this period, L. guatemalensis showed 462 on average the highest proportion of water uptake between 30 and 120 cm soil depth ( $49 \pm 26\%$ ), 463 while T. micrantha and I. vera depended strongly on soil water sources between 15 and 30 cm (54  $\pm$ 464 18% and 67  $\pm$  6%) (p < 0.001). In contrast, the water uptake of coffee plants was mainly sustained by 465 sources from the first 15 cm of soil (94  $\pm$  27% on average; Fig. 6 and Supplementary Materials), 466 having significant differences with all shade tree species (p < 0.001).

467 During the 2017 dry season, the same trend with most water extracted from intermediate and 468 deep soil layers was observed in the shade trees  $(91 \pm 39\%)$  on average; Fig. 7a,b,c and Supplementary 469 Materials). Among samplings dates, differences between tree species only appeared to occur at the 470 end of the dry period (Apr. 5) (p < 0.05). Coffee water sources were again restricted to much shallower 471 soil layers (0–5cm: 53 ± 44% and 5–15 cm: 42 ± 41%; Fig. 7a,b,c and Supplementary Materials) 472 compared to shade trees.

473 Overall, we did not find any statistically significant difference among main plant water 474 sources between the dry periods investigated (p > 0.05). Across the individual samplings throughout 475 the two dry seasons, we observed that antecedent precipitation had a stronger effect on the water 476 uptake sources of coffee plants than trees (Fig. 8). For example, when dry antecedent wetness 477 prevailed (API<sub>15</sub> < 5 mm; Fig. 2b) coffee water sources were mainly composed of soil water from >478 5 to 15 cm depth (91  $\pm$  3%). Alternatively, when wetter antecedent conditions were present (API<sub>15</sub> > 479 10 mm), the near surface soil water layer (58  $\pm$  31%) was the main contributing source. On the 480 contrary, tree water uptake was essentially sustained by deeper soil water sources at low and relatively 481 high antecedent wetness conditions (94  $\pm$  23% and 87  $\pm$  23%, respectively) (Fig. 8). Nevertheless, for 482 all species investigated, the relationships between API and the contribution of near surface soil water 483 sources were not statistically significant (p > 0.05).

484 During the 2017 wet season, water source partitioning differed among shade tree species 485 (Fig. 7d and Supplementary Materials). During this period, *L. guatemalensis* and *I. vera* showed the 486 greatest use of deep soil water ( $74 \pm 37\%$  and  $69 \pm 41\%$ , respectively), while shallower soil water 487 was the main source for *T. micrantha* ( $91 \pm 23\%$ ), having significant differences with the other tree 488 species (p < 0.001). Coffee consistently showed the use of near surface water sources ( $98 \pm 5\%$ ; Fig. 489 7d and Supplementary Materials), which was significantly different from all shade tree species (p < p

490 0.001).

491

### 492 **3.6 Fractionation effects on coffee water sources**

493 To evaluate the effects of xylem deuterium fractionation on our results for coffee water source 494 uptake, we compared the relative contribution of each soil water source obtained via the single-495 isotope ( $\delta^2$ H) mixing model with those obtained via the informative two-isotope mixing model. In 496 general, we observed that the  $\delta^2$ H model consistently estimated a lower contribution of the shallow 497 soil water source and a higher contribution of the near surface soil water source (Supplementary 498 Materials). On average, the reduction in the shallow soil water source  $(-25.7 \pm 29.0\%)$  coincided very 499 well with the increase in the near surface soil water source ( $+28.1 \pm 30.6\%$ ). These differences were 500 most pronounced for the 2017 dry season samplings (p > 0.05; Supplementary Materials), during 501 which the differences in  $\delta^2 H$  between coffee xylem water and soil water were greatest. However, 502 there were no significant differences between the relative contributions of the intermediate and deep 503 soil water sources estimated by the two models (p > 0.05). In summary, the results of the  $\delta^2 H$  mixing 504 model suggested an even more pronounced soil water partitioning between coffee and shade tree 505 species than those obtained with the informative two-isotope mixing model.

506

#### 507 4. Discussion

#### 508 4.1 Methodological aspects

509 To our knowledge, the ecohydrological study presented here is one of the first that 510 incorporates biophysical properties as prior information alongside plant water source information 511 from stable isotope ( $\delta^{18}$ O and  $\delta^{2}$ H) data into a MixSIAR Bayesian mixing model framework, as a way 512 to improve our understanding of the processes that lead to differences in the depth of plant water 513 uptake. Even though our findings did not change significantly by including or excluding the prior 514 information such as soil macronutrients and root data, exploring plant water source partitioning using 515 these two model approaches provided more confidence in our results. Therefore, we call for more 516 studies that combine soil nutrient and root biomass distribution with plant water source information 517 from  $\delta^{18}$ O and  $\delta^{2}$ H data, to explore the additional value of these biophysical parameters elucidating 518 plant-soil interactions in different regions and environments.

519 In recent years, some plant, soil and/or deep subsurface water source studies that have used 520 stable isotopes have identified isotope variation that could be the result of isotope fractionation 521 processes caused by water molecules interacting with clay surfaces, partially filled pore spaces or 522 even salts (Chen et al., 2016; Gaj and McDonnell, 2019; Lin et; al., 2017Oerter et al., 2014; Oshun 523 et al., 2015). Our soils were rich in clay content and according to some studies this type of soil 524 structure can impart isotope fractionation (Lin et al., 2017; Meißner et al., 2014; Oerter et al., 2014; 525 Orlowski et al., 2016a). Thus far, however, these isotope effects have been more evident in clay-rich 526 soils having high cation exchange capacities (CEC ~ 30 to 70 cmol<sub>c</sub> kg-1; Oerter et al., 2014; 527 Orlowski et al., 2016b) in combination with low soil water contents (SWC < 20% Meißner et al., 528 2014; Orlowski et al., 2016b). In this respect, the soils in our study area are characterized by low CEC 529  $(< 21 \text{ cmol}_{c} \text{ kg}-1; \text{ Table 4})$ . This reflects relatively little interaction between cations adsorbed and 530 clay mineral particles, which indirectly suggests minimal impacts of interlayer water bound in the 531 soil structure (cf. Vidal and Dubacq, 2009). In addition, our soil samples were collected at relatively 532 high SWC across the different sampling periods (~ 30% to 60%; Figure 1). As such, we have assumed 533 that the probability of fractionation due to soil properties that may impact water extraction efficiency, 534 was very small or completely absent and therefore, the extracted soil water was the same the plants 535 had access to.

536 With regard to our plant samples, we specifically observed enrichment in the deuterium 537 composition of the xylem water in the coffee plants in comparison to bulk soil water. It is not surprising that fractionation was evident for  $\delta^2 H$  and not  $\delta^{18}O$ , given the higher fractionation factor 538 539 of <sup>2</sup>H relative to <sup>18</sup>O (Rundel et al., 2012). Some possible explanations for this xylem water 540 enrichment could be related to bark evaporation (Ellsworth and Sternberg, 2015) and/or xylem-541 phloem water exchange (Cernusak et al., 2005), since we did not remove the bark and cambium from 542 our coffee branch samples. On the other hand, like many other crops, coffee plants associate 543 symbiotically with arbuscular mycorrhizal fungi (López-Andrade et al., 2009; Perea-Rojas et al., 544 2019). Studies in our coffee growing region of Veracruz have documented the presence of 545 mycorrhizal structures in coffee roots (Arias et al., 2012; Muleta et al., 2008), which can promote 546 increases in plant water and nutrient uptake (Augé, 2004; Scheneiger and Jakobsen, 2000). Although 547 no research has been carried out yet to test the influence of mycorrhizal fungi on isotope fractionation 548 during coffee root water uptake, this effect could have been present and being also responsible for the 549 isotopic mismatch between the coffee xylem water and soil water sources, as it has been reported 550 elsewhere (Poca et al., 2019).

We did evaluate the effects of these isotope enrichments in the coffee xylem water on the relative contributions of the coffee water sources using a single-isotope ( $\delta^2$ H) mixing model. Consistently, the model results estimated a higher near surface water and a lower shallow soil water source contribution in comparison to the dual isotope informative prior mixing model. In contrast, the estimated proportions of the intermediate and deep soil water sources were similar between models. Thus, the effect of fractionation was translated into a more pronounced spatial separation between the main soil water sources of the coffee plants and shade trees, but our overall results werenot different.

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560

#### 4.2 Complementary water use strategy between shade trees and coffee shrubs

561 Our findings showed that all shade tree species (*L. guatemalensis*, *I. vera* and *T. micrantha*) 562 relied mainly on water sources from deep soil layers (> 15 to 120 cm depth), while the use of much 563 shallower water sources (< 15 cm) was observed in the coffee (*C. arabica* var. *typica*) over the course 564 of the near normal and the more pronounced dry seasons studied. These findings suggest a spatial and 565 temporal partitioning of soil water sources between shade trees and coffee plants during drier periods 566 and water-resource complementary in this coexistence species environment.

567 Although comparisons of our findings with other traditional shade Arabica coffee plantations 568 are difficult because studies are essentially lacking in this type of agroecosystems, there are a handful 569 of other investigations carried out in shade coffee monospecific plantations in the humid tropics in 570 which complementary rather than competitive water use strategies prevailed. For example, Cannavo 571 et al. (2011) compared the water use and soil water availability of an unshaded coffee vs. a shaded 572 monoculture (Inga densiflora) coffee plantation in Costa Rica, both of 7-8 years old, using soil 573 moisture measurements and water balance calculations. Their results showed that soil water content 574 in the deeper soil layers (> 120 cm depth) was lower in the shaded coffee than in the sun-grown coffee 575 system, while water content in the shallower layers was similar. This suggested that associated shade 576 trees preferentially used water from deeper soil horizons providing some evidence of 577 complementarity water use between coffee plants and native Inga trees during the dry season. 578 However, the authors acknowledged that they were unable to separate roots of coffee from those of 579 trees in the soil profiles, so they could not be certain whether trees were the only individuals extracting 580 water from deeper sources. In this respect, our study showed that there was always a mixture in water 581 uptake from different sources (soil group depths), but a separation between the main sources of water 582 for shade trees and coffee shrubs clearly prevailed.

583 Other investigations in Costa Rica have examined the belowground resource competition of 584 Arabica coffee in association with fast-growing timber species using data of plant growth, root 585 distribution and density, and soil moisture and nutrients patterns. For example, the study of Schaller 586 et al. (2003) carried out in a commercial (Eucalyptus deplupta) shade coffee plantation where soils 587 are highly fertilized, showed that coffee had a relatively even root distribution along the first 40 cm 588 of soil depth with a higher root density in the proximity of the coffee rows. Conversely, the root 589 system of *E. deplupta* was much shallower having most roots concentrated in the upper 10 cm of soil. 590 In this case, the tree root density was found highest in the alleys between the coffee rows. The authors 591 explained that the apparent complementary resource exploitation of this tree-crop system was mainly 592 attributed to high availability of soil resources and the high competitiveness of the coffee limiting the 593 expansion of tree roots (cf. Lehmann, 2003). Although in our study we did not determine the depth 594 distribution of coffee and tree roots, our findings showed that all shade tree species were tapping 595 water from deeper soil layers than coffee, suggesting that trees are deep rooted and being able to 596 explore larger soil volumes causing little competition with coffee.

597 In Nicaragua, Padovan et al. (2015) compared the root distribution, soil moisture, 598 transpiration and leaf water potential patterns in a sun-grown coffee system and an agroforestry of 599 coffee planted with two timber trees (deciduous *Tabebuia rosea* and evergreen *Simarouba glauca*). 600 Their findings showed that coffee roots were more abundant than tree roots and mainly concentrated 601 in the shallower soil layers (0-80 cm depth). Most roots of both tree species were observed in deeper 602 layers (>100 cm) suggesting a clear niche differentiation with coffee. During the 3-year study period, 603 volumetric water content along a 2 m soil profile was higher in the sun-grown coffee than in the 604 shaded coffee, which was explained by greater soil water uptake from trees below the crop rooting 605 zone (Padovan et al., 2015). Moreover, coffee shrubs in the shaded plantation were more water 606 stressed (i.e. lowest midday leaf water potentials) during the pronounced dry season studied (Padovan 607 et al., 2018). Their results suggest that despite the clear hydrological niche segregation, competition 608 between coffee and shade trees may occur if the dry season is long and severe enough.

609 Our findings also showed that during the wet season coffee plants substantially increased the 610 use of near surface water (+56%) in comparison to the dry season, while all shade trees also extended 611 their water acquisition to much shallower soil water pools (+19%). This is largely explained by the 612 increases in soil moisture in the first 30 cm depth due to frequent rainfall inputs that characterize the 613 wet season in our study area. This also suggests that coffee had a higher root activity in the top soil 614 layers during the wet season in comparison to the dry season, as has been documented in other studies 615 (Huxley et al., 1974). Regarding the shade trees, we observed that T. micrantha showed the greatest 616 response to wetter conditions by drawing most water from the first 15 cm of soil (92%), whereas this 617 was much less evident in L. guatemalensis (21%) and I. vera (27%). Although we did not determine 618 the vertical distribution of roots for each of the shade tree species studied, these findings suggest that 619 T. micrantha has a shallower rooting system than the other tree species. The fact that the T. micrantha 620 trees were more recently planted (i.e. younger with less developed root system) than the L. 621 guatemalensis and I. vera trees supports this idea. On other hand, the high temperature and rainfall 622 that characterize the wet season at our study site may favor rapid mineralization of nutrients and their 623 subsequent leaching to deeper soil layers (i.e. potassium, calcium and magnesium; Table 4). Hence, 624 for the larger trees studied (L. guatemalensis), the availability of water and nutrients at deeper depths 625 could have been an important resource for plant growth in this period, partly explaining the lower 626 activity of their shallower roots. Despite the changes and the higher variability in depth of water 627 uptake observed among canopy trees and coffee shrubs, a complementary use of soil water prevailed 628 during the wet season. Future work should be focused on the distribution and dynamics of tree and 629 crop roots and their seasonal variation in relation to the availability of nutrients and water in the soil. 630 Also, it would be desirable to relate these dynamics to crop and shade tree phenology to elucidate 631 temporal synergistic or competitive water requirements.

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#### 4.3 The role of antecedent wetness in coffee water uptake

634 Despite the relatively small sample size, our study showed that antecedent wetness strongly 635 influenced the water uptake patterns of coffee plants (cf. Huxley et al., 1974). We found that under 636 relatively wet antecedent conditions prevailing after small rainfall events during the dry season, coffee 637 substantially increased the use of near surface soil water sources, possibly as an opportunistic strategy 638 to overcome the soil water deficits in this period and taking advantage of their much shallower rooting 639 system compared to trees. Conversely, tree water uptake was mainly sourced by deeper soil water 640 layers showing less sensitiveness to higher antecedent wetness. In this respect there are no 641 comparative studies in shade coffee agroecosystems evaluating the functional response of plant water 642 uptake over a range of antecedent wetness. Nevertheless, plant and soil water interactions under dry 643 and relatively wet conditions have been examined in other types of agroforestry systems. For 644 example, in the study of Gao et al. (2018) carried out in a semiarid region in China, the authors 645 evaluated the seasonal variations in water use of jujube (Ziziphus jujuba) trees planted with annual 646 (Brassica napus) and perennial (Hemerocallis fulva) crops. Using stable isotope techniques and 647 Bayesian mixing modelling, their results showed that jujube trees generally tapped water (> 58%) 648 from deep soil layers (60-200 cm depth) at low antecedent wetness, while B. napus and H. fulva crops 649 primarily extracted water (> 65%) from intermediate (20-60 cm) and shallow (0-20 cm) soil layers. 650 This exhibits a complementary water use strategy between trees and crops. However, at higher 651 antecedent wetness both the jujube trees and crops extracted most water from the first 0-60 cm of soil 652 depth (> 65%). This indicated that both species exhibited an opportunistic strategy for accessing 653 resources at shallower soil depths. In this case, contrary to our findings, tree roots rather than crop 654 roots showed the stronger capacity to switch rapidly from deep to shallow sources in response to 655 increased soil water availability.

656

#### 657 4.4 Implications and future directions

The consistent complementarity in plant water use strategies observed under different hydrometeorological conditions in the coffee plantation studied provides support to the central tenet of agroforestry systems (Cannel et al., 1996). Based on our findings, *L. guatemalensis, I. vera* and *T. micrantha* provide good choices for coffee shade trees due to their complementarity in soil water use. Since these tree species obtained their water from deeper soil layers than the coffee, this could mean that they utilize nutrients leaching beyond the reach of the coffee plants, and so contribute to improved nutrient cycling and increased overall productivity of the system (van Noordwijk et al., 2015).

665 Nevertheless, the current outcome may change given the new coffee management practices 666 that consist on replacing traditional coffee varieties (e.g. C. arabica var. typica) with others (C. 667 arabica var. costa rica; C. canephora) that may exhibit deeper roots systems and perhaps different 668 water (and nutrient) uptake strategies, in response to prevalent diseases such as leaf rust or root 669 nematodes. Therefore, future research should be focused on evaluating the water source partitioning 670 of traditional vs. new coffee disease-resistant varieties and their relation to shade tree water use. In 671 this respect, there are further questions with regard to strategic use of shade tree species, whereby 672 fast-growing species might be more (commercially) productive but also more competitive. Some 673 evidence from elsewhere has shown that such management practices do not necessarily increase 674 competition and may even enhance the water use efficiency as part of drought-avoidance 675 mechanisms. For example, in southeast China, Wu et al. (2016) used  $\delta^2 H$  and  $\delta^{18}O$  stable isotope 676 methods to examine the seasonal water use of a fast-growing rubber tree species (*Hevea brasilensis*) 677 planted with Arabica coffee. Their findings showed that rubber trees were mostly accessing water 678 from intermediate (15-50 cm depth) and deep soil layers (50-110 cm), meanwhile coffee was mostly 679 tapping water from the topsoil (< 15 cm). Additionally, rubber trees showed strong root plasticity in 680 soil water uptake avoiding competition with coffee during the rainy and relatively dry seasons. 681 However, more research is needed since these results depend largely on tree-crop specie combinations 682 and local climatic and soil conditions.

683 In addition to effects of changing management practices, climate warming may induce 684 changes in plant transpiration throughout the year (e.g. Karmalkar et al., 2011). In our study, we used 685 a water stable isotope approach along with root and soil macronutrients data to estimate the relatively 686 contribution of the plant water sources. However, for a more complete assessment of the plant and soil interactions, seasonal plant water fluxes need to be quantified. Our results so far have made the 687 688 first steps towards serving coffee producers to make better decisions on sustainable coffee and water 689 management, as well as providing new insights into water resources in general, which are urgently 690 required for implementing efficient and equitable management programs in humid tropical 691 environments (Hamel et al., 2018). However, future work should be focused on water use of individual trees and coffee shrubs using ecophysiological and hydrological techniques in order toknow how much water is used from the different soil water pools.

694

### 695 5. Conclusions

696 This study provides the first baseline information on plant water sources for a traditional 697 shade coffee plantation in the humid tropics. Our results showed that coffee water uptake was mainly 698 sustained from shallow soil sources (< 15 cm depth) while all shade trees relied on water sources 699 from deeper soil layers (>15 to 120 cm depth). This complementary strategy in soil water use between 700 crops and trees was consistent over the course of the near normal and the more pronounced dry 701 seasons investigated. Across these same periods, we observed that antecedent precipitation had a 702 strong influence in coffee plants increasing their water uptake to near surface soil water sources as an 703 opportunistic strategy to overcome the reduced water availability. In the wet season, coffee plants 704 substantially increased the use of near surface water (< 5 cm depth), whereas shade trees expanded 705 their water acquisition to the first 15 cm of soil depth. Overall, a greater soil water partitioning 706 prevailed among tree and coffee species when higher soil moisture conditions were present. 707 Nevertheless, despite such variability in plant-soil water interactions across seasons, a clear spatial 708 segregation of the main water source prevailed between shade trees and coffee plants during the rainy 709 and dry periods investigated.

710

Author contributions. LEMV designed the experiment. LEMV, MSAB and FH collected the field
data. MSAB performed all the Bayesian mixing model analysis. JG contributed in the data analysis.
LEMV prepared the first draft of the manuscript. FH, MSAB and JG edited and commented on the
manuscript several times, and TED carried out the final revision. Later, all the co-authors

- 715 contributed with revisions.
- 716

717 **Competing interests.** The authors declare that they have no conflict of interest.

718

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**Table 1.** Characteristics of the shade trees and coffee plants sampled for water isotope analysis during2014 and 2017. Numbers between parentheses are the standard deviation.

Famila	<u>Creation</u>	Canopy	20	14	20	п	
Family	Species	layer	DBH cm	Height m	DBH cm	Height M	
Fabaceae	Lonchocarpus guatemalensis	Overstory	101.5 (12.6)	20.3 (1.3)	119.8 (12.1)	21.0 (1.2)	3
Fabaceae	Inga vera	Overstory	39.3 (15.7)	10.7 (4.8)	48.1 (13.3)	9.6 (1.2)	3
Cannabaceae	Trema micrantha	Overstory	13.16 (6.8)	8.15 (3.1)	23.3 (7.2)	15.2 (2.2)	3
Rubiaceae	Coffea arabica var. typica	Understory	12.7 (2.1)	2.83 (0.7)	n.a.	n.a.	5* 6** 10***

\* Number of individuals sampled each time in the 2014 dry season

\*\* Number of individuals sampled each time in the 2017 dry season

\*\*\* Number of individuals sampled in the 2017 wet season

	Precipitation n = 41						Bulk soil water n = 54											Shade trees xylem water			Coffee shrubs xylem water		
Dry season Wet season			son	0-5 cm depth >5-15 cm depth			depth	>15-30 cm depth			>30-120 cm depth			n = 27			<i>n</i> = 14						
$\delta^2$ H	$\delta^{18}$ O	d- exce ss	$\delta^2$ H	$\delta^{18}$ O	d- exce ss	$\delta^2$ H	$\delta^{18}$ O	d- exce ss	$\delta^2$ H	δ <sup>18</sup> Ο	d- exce ss	$\delta^2$ H	$\begin{matrix} \delta^{18} \\ O \end{matrix}$	<i>d</i> -exce ss	$\delta^2$ H	$\delta^{18}$ O	d- exce ss	$\delta^2$ H	$\delta^{18}$ O	d- exce ss	$\delta^2$ H	$ \begin{matrix} \delta^{18} \\ O \end{matrix} $	<i>d</i> -exce ss
$ \begin{array}{c} 1. \\ 6 \\ \pm \\ 8. \\ 5 \end{array} $	- 1.9 ± 1.4	17.0 ± 5.1	$     \begin{array}{r}             - \\             42. \\             4 \pm \\             36. \\             1         \end{array}         $	- 7.2 ± 4.3	14.9 ± 2.8	- 20. 5 ± 7.8	- 2.4 ± 1.0	-1.5 ±4.1	- 30. 8 ± 9.4	- 3.7 ± 1.1	-1.2 ± 6.3	$-54.7 \pm 10.3$	- 7.0 ± 0.9	1.2 ± 6.6	$-66.8 \pm 8.6$	- 8.7 ± 1.3	3.0 ± 4.7	- 55. 4 ± 7.6	- 6.2 ± 1.0	-5.8 ± 4.1	$-25.5 \pm 10.8$	- 3.4 ± 1.8	1.7 ± 5.0

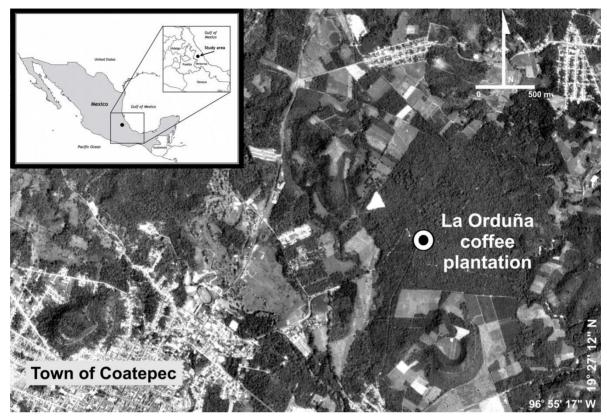
**Table 2.** Mean  $\pm$  (SD) H and O stable isotope composition of 2013-2014 precipitation, tree xylem water and bulk soil water of the 2014 dry season sampling, and corresponding *d*-excess values (‰)

	Precipitation n = 39						Bulk soil water n = 54											Shade trees xylem water			Coffee shrubs xylem water		
Dry season Wet season			son	0-5 cm depth >5-15 cm depth			>15-30 cm depth			>30-120 cm depth				n = 24			<i>n</i> = 18						
$\delta^2$ H	$\delta^{18}$ O	d- exce ss	$\delta^2$ H	$\delta^{18}$ O	d- exce ss	$\delta^2$ H	$\delta^{18}$ O	d- exce ss	$\delta^2$ H	$\delta^{18}$ O	d- exce ss	$\delta^2$ H	$\delta^{18}$ O	d- exce ss	$\delta^2$ H	$ \begin{matrix} \delta^{18} \\ O \end{matrix} $	d- exce ss	$\delta^2$ H	$\delta^{18}$ O	d- exce ss	$\delta^2$ H	$ \begin{matrix} \delta^{18} \\ O \end{matrix} $	d- exce ss
- 2.9 ± 16. 0	- 3.0 ± 1.8	21.5 ± 4.3	-47. 8 ± 34. 4	- 7.9 ± 4.1	15.2 ± 3.3	- 24. 3± 3.9	$-2.2 \pm 0.5$	- 6.9 ± 6.6	- 32. 1 ± 5.3	-3.6 $\pm$ 0.5	-3.4 ± 4.1	- 41. 9 ± 5.7	$_{\pm}^{-}$ 5.7 $_{\pm}$ 0.6	3.4 ± 4.8	- 47. 3 ± 3.8	$-6.5 \pm 0.5$	5.0 ± 3.2	- 44. 9 ± 5.6	- 4.4 ± 0.7	-9.7 ± 5.4	- 21. 3 ± 7.2	- 2.8 ± 1.0	1.3 ± 6.2

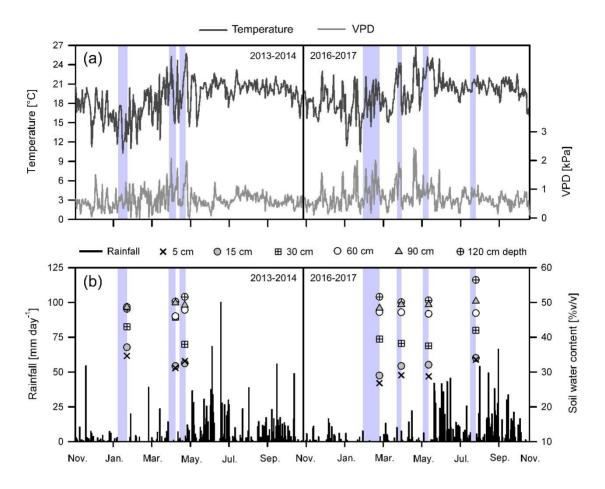
**Table 3.** Mean  $\pm$  (SD) H and O stable isotope composition of 2016-2017 precipitation, tree xylem water and bulk soil water of 2017 dry season sampling, and corresponding *d*-excess values (‰)

Soil depth	pH (H2O)	Р	Na	К	Ca	Mg	CEC	BS	ОМ	С	N	Clay	Loam	Sand		
(cm)		(mg kg <sup>-1</sup> )		(	cmol <sub>c</sub> k <sub>i</sub>	g <sup>-1</sup> )		(%)								
5	4.07	33.33	1.47	0.60	3.86	0.87	16.10	0.42	5.18	3.01	0.38	60.83	25.1	13.9		
15	4.12	4.60	1.08	0.47	0.95	0.12	13.27	0.20	2.89	1.90	0.30	63.8	24.3	11.9		
30	4.34	n.d.	2.22	0.77	1.92	0.54	14.65	0.37	1.55	1.31	0.23	70.9	18.6	10.5		
60	4.95	n.d.	2.36	0.93	3.81	1.21	20.35	0.41	1.02	0.69	0.22	66.9	16.3	16.8		
90	5.10	n.d.	2.75	1.11	3.78	1.27	18.85	0.47	0.48	0.50	0.20	66.1	14.9	19.1		
120	5.16	n.d.	3.00	1.45	3.76	1.20	17.60	0.53	0.41	0.51	0.20	65.1	14.0	20.9		

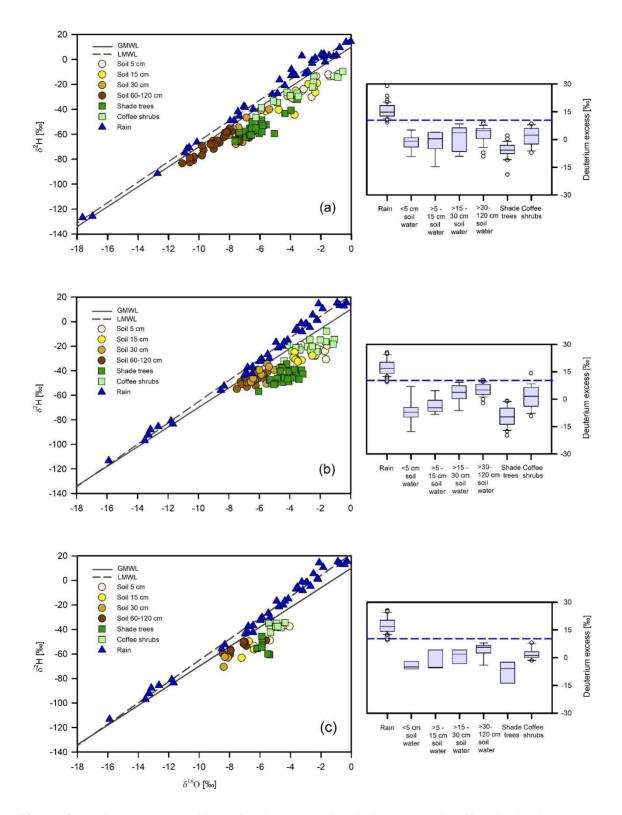
Table 4. Soil characteristics (average values) determined at the different depths



**Figure 1.** Study site location in the municipality of Coatepec, Veracruz, Mexico. Source: QuickBird Satellite Image (2010). Copyright DigitalGlobe, Inc.

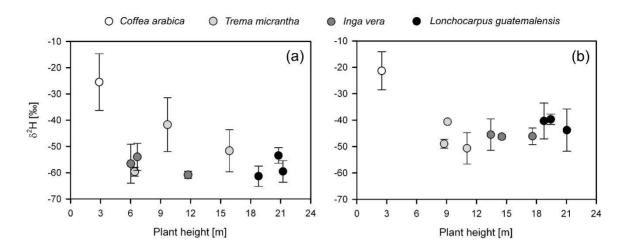


**Figure 2.** (a) Daily mean air temperature and vapor pressure deficit (VPD) and (b) and daily total rainfall (*P*), as measured from November 2013 to October 2014 and from November 2016 to October 2017, and volumetric soil water content (SWC) measured at different depths during the sampling campaigns in the study area; different depths are indicated by the unique symbols shown in the lower panels (the key to the symbols is at top). The blue-colored areas indicate the 6- to 22-day period of minimum rainfall (< 5 mm) preceding the dates of isotope sampling in January (mid dry season) and April (late dry season) of 2014, and in February (mid dry season), April and May (late and end of dry season), and August (mid wet season) of 2017.

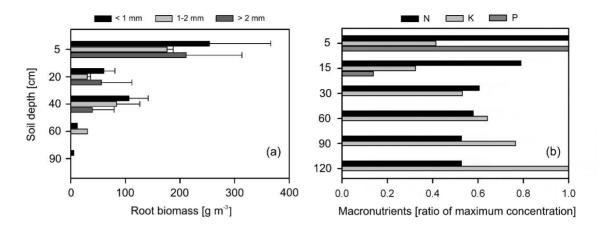


**Figure 3.** (a) Isotope composition of xylem water for shade trees and coffee shrubs, bulk soil at different depths as observed during the three sampling dates (Jan. 23, Apr. 11 and Apr. 26, 2014),

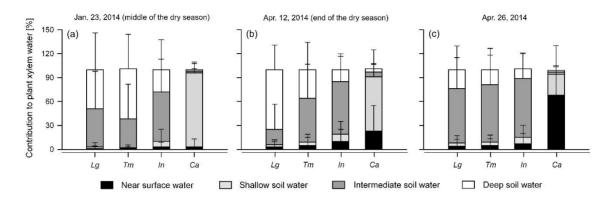
and isotope values of rainfall during the period December 2013 to November 2014. The dashed line represents the 2013–2014 local meteoric water line (LMWL;  $\delta^2 H = 17.82 + 8.26^* \delta^{18}O$ ), (b) Isotope composition of xylem water for shade trees and coffee shrubs, bulk soil at different depths during the three sampling dates (Feb. 27, Apr. 5 and May. 20, 2017) and isotope values of rainfall during the period December 2016 to November 2017, and (c) Isotope composition of xylem water for shade trees and coffee shrubs, bulk soil at different depths during the middle of the 2017 wet season (Aug. 4) and isotope values of rainfall during the period December 2016 to November 2017. The dashed lines in panels (b) and (c) represent the 2016–2017 local meteoric water line (LMWL;  $\delta^2 H = 21.0 + 8.36^* \delta^{18}O$ ). The solid line in all panels represents the global meteoric water line (GMWL;  $\delta^2 H = 10 + 8^* \delta^{18}O$ ). The panels on the right show the deuterium excess values for the plants and soil water sources and rainfall preceding the sampling campaigns. The dashed blue line represents the deuterium excess value of the GMWL.



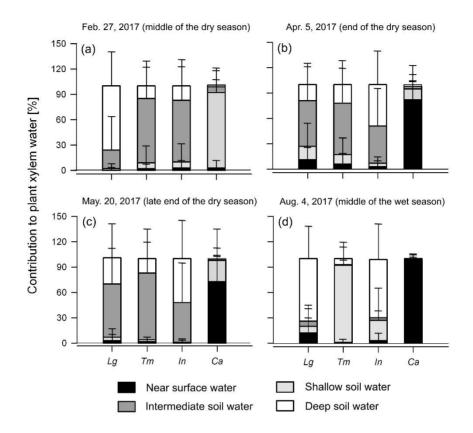
**Figure 4.** Plant height vs  $\delta^2$ H xylem water for coffee plants and shade tree species corresponding to (a) the 2014 and (b) 2017 dry season samplings.



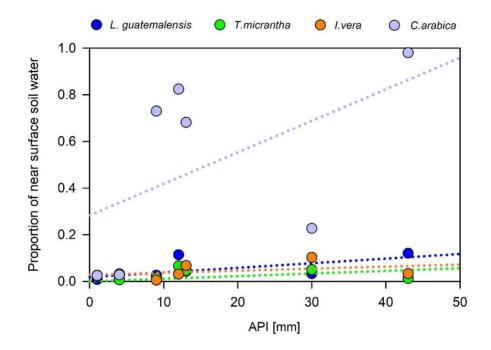
**Figure 5.** (a) Distribution of root biomass for three size classes of roots (different color bars), the error bars in represent one standard deviation of uncertainty and (b) macronutrients distribution along the soil profile, here normalized and expressed as in ratio to their maximum values (absolute values in Table 4).



**Figure 6.** MixSIAR Bayesian mixing model results showing the mean likely contribution of each water source to the xylem water of shade canopy trees and coffee shrubs. (a), (b) and (c) show results for the sampling dates of Jan. 23, Apr. 12 and Apr. 26, 2014 respectively, using the informative prior distribution. *Lg: L. guatemalensis; Tm: T. micrantha; In: I. vera and Ca: Coffea arabica*. Error bars represent one standard deviation of uncertainty.



**Figure 7.** MixSIAR Bayesian mixing model results showing the mean likely contribution of each water source to the xylem water of shade canopy trees and coffee shrubs. (a), (b), (c) and (d) show results for the sampling dates of Feb. 27, Apr. 5, May. 20 and Aug. 4, 2017 respectively, using the informative prior distribution. *Lg: L. guatemalensis; Tm: T. micrantha; In: I. vera and Ca: Coffea arabica.* Error bars represent one standard deviation of uncertainty.



**Figure 8.** Contribution of deep soil water to plant uptake at different antecedent precipitation conditions across the 2014 and 2017 dry seasons.