

1 **Temporal-dependent effects of rainfall characteristics on**
2 **inter-/intra-event branch-scale stemflow variability in two**
3 **xerophytic shrubs**

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19
20 **Abstract**

21 Stemflow is important for recharging root-zone soil moisture in arid regions. Previous
22 studies have generally focused on stemflow volume, efficiency and influential factors but
23 have failed to depict stemflow processes and quantify their relations with rainfall
24 characteristics within events, particularly for xerophytic shrubs. Here, we measured the

25 stemflow volume, intensity, funnelling ratio, and time lags to rain at two dominant shrub
26 species (*Caragana korshinskii* and *Salix psammophila*) and rainfall characteristics during
27 54 events at the semi-arid Liudaogou catchment of the Loess Plateau, China, during the
28 2014–2015 rainy seasons. Funnelling ratio was calculated as the ratio between stemflow
29 and rainfall intensities at the inter-/intra-event scales. Our results indicated that the
30 stemflow of *C. korshinskii* and *S. psammophila* were averagely started 66.2 and 54.8 min,
31 maximized 109.4 and 120.5 min after rains began, and ended 20.0 and 13.5 min after rains
32 ceased. The two shrubs had shorter stemflow duration (3.8 and 3.4 h) and significantly
33 larger stemflow intensities (517.5 and 367.3 mm·h⁻¹) than those of rains (4.7 h and 4.5
34 mm·h⁻¹). As branch size increased, both species shared the decreasing funnelling ratios
35 (97.7–163.7 and 44.2–212.0) and stemflow intensities (333.8–716.2 mm·h⁻¹ and 197.2–
36 738.7 mm·h⁻¹). Tested by the multiple correspondence analysis and stepwise regression,
37 rainfall amount and duration controlled stemflow volume and duration, respectively, at
38 event scale by linear relations ($p < 0.01$). Rainfall intensity and raindrop momentum
39 controlled stemflow intensity and time lags to rains for both species within event by linear
40 or power relationships ($p < 0.01$). Rainfall intensity was the key factor affecting stemflow
41 process of *C. korshinskii*, whereas raindrop momentum had the greatest influence on
42 stemflow process of *S. psammophila*. Therefore, rainfall characteristics had
43 temporal-dependent influences on corresponding stemflow variables, and the influence also
44 depended on specific species.

45

46 **1 Introduction**

47 Stemflow directs the intercepted rains from canopy to the trunk base. The
48 funnel-shaped canopy and underground preferential paths, i.e., roots, worm paths and soil
49 macropores, converge rains to recharge the root-zone moisture (Johnson and Lehmann,
50 2006; Li et al., 2008). Stemflow is important to concentrate water (Levia and Germer,
51 2015), nutrients (Dawoe et al., 2018), pathogens (Garbelotto et al., 2003) and bacteria
52 (Bittar et al., 2018) from the phyllosphere into the pedosphere (Teachey et al., 2018), even
53 though stemflow accounts for only a minor part of rainfall amount (RA) (6.2%) in contrast
54 to throughfall (69.8%) and interception loss (24.0%) in dryland ecosystems with annual
55 mean rainfall ranging in 154–900 mm (Magliano et al., 2019). Stemflow greatly contributes
56 to the survival of xerophytic plant species (Návar, 2011), the maintenance of patch
57 structures in arid areas (Kéfi et al., 2007), and the normal functioning of rainfed dryland
58 ecosystems (Wang et al., 2011).

59 To quantify the ecohydrological importance of stemflow, numerous studies have been
60 conducted on stemflow production and efficiency from various aspects, including stemflow
61 volume (mL), depth (mm), percentage (%), funnelling ratio (unitless), and productivity
62 ($\text{mL} \cdot \text{g}^{-1}$, the branch stemflow volume of unit biomass) (Herwitz, 1986; Yuan et al., 2016;
63 Zabret et al., 2018; Yang et al., 2019). By installing automatic recording devices, the
64 stemflow process has been gradually determined at 1-h intervals (Spencer and van
65 Meerveld, 2016), 5-min intervals (André et al., 2008; Levia et al., 2010) and 2-min
66 intervals (Dunkerley, 2014b). This determination allowed to compute stemflow intensity
67 ($\text{mm} \cdot \text{h}^{-1}$) (Germer et al., 2010), flux ($\text{mL} \cdot \text{min}^{-1}$) (Yang, 2010) and time lag after rain
68 (Cayuela et al., 2018). Differing from an event-based calculation, the stemflow process

69 provided insights into the fluctuation of stemflow production at a high temporal resolution.
70 It permits a better interpretation of the “hot moment” and “hot spot” effects of many
71 ecohydrological processes (Bundt et al., 2001; McClain et al., 2003). Quantifying the
72 short-intensity burst and temporal characteristics shed light on the dynamic process and
73 pulse nature of stemflow (Dunkerley, 2019).

74 Stemflow cannot be initiated until canopies were saturated by the rains
75 (Martinez-Meza and Whitford, 1996). The minimal RA needed to start stemflow was
76 usually calculated by regressing stemflow volume with RA at different plant species (Levia
77 and Germer, 2015). It also varied with canopy states, i.e., 10.9 and 2.5–3.4 mm for the
78 leafed oak and beech tress, and 6.0 mm and 1.5–1.9 mm for them in the leafless period
79 (André et al., 2008; Staelens et al., 2008). Stemflow also frequently continued after rains
80 ceased due to the rainwater retained on the canopy/branch surface (Iida et al., 2017). *Salix*
81 *psammophila* and an open tropical forest started stemflow 5–10 min and 15 min later than
82 the beginning of a rain event in the Mu Us desert of China (Yang, 2010) and the Amazon
83 basin of Brazil (Germer et al., 2010), respectively. However, 1 h and 1.5 h were needed to
84 start stemflow after the beginning of a rain event for pine and oak trees in north-eastern
85 Spain, respectively (Cayuela et al., 2018). For *S. psammophila*, stemflow flux was
86 maximized 20–210 min after the beginning of a rain event (Yang, 2010), and stemflow
87 ceased 11 h after rains ceased in an open tropical forest (Germer et al., 2010). Time lags of
88 stemflow generation, maximization and ending to rains depicted dynamic stemflow process,
89 and were conducive to better understand the hydrological process occurred at the interface
90 between the intercepted rains and soil moisture (Sprenger et al., 2019). It was important to

91 discuss the temporal persistence in spatial patterns of soil moisture particularly at the
92 intra-event scale (Gao et al., 2019). However, stemflow time lags have not been
93 systematically studied for xerophytic shrubs.

94 The preferential paths at the underside of branches for delivering stemflow complicates
95 stemflow processes within events (Dunkerley, 2014a). The influences of bark microrelief
96 on stemflow are strongly affected by dynamic rain processes, such as rainfall intensity and
97 raindrop striking within events (van Stan and Levia, 2010). While exceeding the holding
98 capacity of branches, high rainfall intensity could overload and interrupt this preferential
99 path (Carlyle-Mose and Price, 2006). Raindrops hit the canopy surface and create splashes
100 on the surface. This process is conducive to wetting branches at the lower layers and
101 accelerating the establishment of the preferential paths of stemflow transportation (Bassette
102 and Bussière, 2008). Nevertheless, the interaction between the stemflow process and
103 intra-event rainfall characteristics has not been substantially studied.

104 This study was designed at the event and process scales to investigate inter-/intra-event
105 stemflow variability of two dominant xerophytic shrubs. Stemflow volume, intensity,
106 funnelling ratio and temporal dynamics of *Caragana korshinskii* and *S. psammophila* were
107 recorded during the 2014–2015 rainy seasons on the Loess Plateau of China. Temporal
108 dynamics were expressed as stemflow duration and time lags of stemflow generation,
109 maximization and cessation to rains. Raindrop momentum was introduced to represent the
110 comprehensive effects of raindrop size, velocity, inclination angle and kinetic energy at the
111 stemflow process. Funnelling ratio had been calculated at the event base and the 100-s
112 intervals to assess the convergence effects of stemflow. This study specifically aimed to (1)

113 depict the stemflow process in terms of stemflow intensity and temporal dynamics, (2)
114 identify the dominant rainfall characteristics influencing inter-/intra-event stemflow
115 variables, and (3) quantify the relationships between stemflow process variables and
116 rainfall characteristics. Achieving these objectives would advance our knowledge of the
117 process-based stemflow production to better understand the pulse nature of stemflow and
118 its interactions with dynamic rain processes.

119 **2 Materials and Methods**

120 **2.1 Site description**

121 This study was conducted in the Liudaogou catchment (110°21'–110°23'E, 38°46'–
122 38°51'N) in Shenmu city, Shaanxi Province, China, during the 2014–2015 rainy seasons.
123 This catchment is 6.9 km² and 1094–1273 m above sea level (m.a.s.l.). A semiarid
124 continental climate prevails in this area. The mean annual precipitation (MAP) is 414 mm
125 (1971–2013). Most MAP (77%) occurs from July to September (Jia et al., 2013). The mean
126 annual potential evaporation is 1337 mm (Yang et al., 2019). The mean annual temperature
127 is 9.0 °C. The dominant shrubs include *C. korshinskii*, *S. psammophila*, and *Amorpha*
128 *fruticosa*. The dominant grasses are *Artemisia capillaris*, *Artemisia sacrorum*, *Medicago*
129 *sativa*, *Stipa bungeana*, etc.

130 *C. korshinskii* and *S. psammophila* are dominant shrub species at the arid and semi-arid
131 regions of northwestern China (Hu et al., 2016; Liu et al., 2016). They were commonly
132 planted for soil and water conservation, sand fixation and wind barrier, and had extensive
133 distributions at this region (Li et al., 2016). The both species have inverted-cone crowns
134 and no trunks, with multiple branches running obliquely from the base. As modular

135 organisms and multi-stemmed shrub species, their branches live as independent individuals
136 and compete with each other for water and light (Firn, 2004). Two plots were established in
137 the southwestern catchment for these two xerophytic shrubs planted in the 1990s (Fig. 1). *C.*
138 *korshinskii* and *S. psammophila* plots share similar stand conditions with elevations of 1179
139 and 1207 m.a.s.l., slopes of 13° and 18°, and sizes of 3294 and 4056 m², respectively. The
140 *C. korshinskii* plot has a ground surface of loess and aspect of 224°, while the *S.*
141 *psammophila* plot has a ground surface of sand and an aspect of 113°.

142 **2.2 Meteorological measurements and calculations**

143 A meteorological station was installed at the experimental plot of *S. psammophila* to
144 record rainfall characteristics and wind speed (WS, m·s⁻¹) (Model 03002, R. M. Young
145 Company, USA), air temperature (T, °C) and relative humidity (H, %) (Model HMP 155,
146 Vaisala, Finland). They were logged at 10-min intervals by a datalogger (Model CR1000,
147 Campbell Scientific Inc., USA). Evaporation coefficient (E, unitless) was calculated to
148 present the evaporation intensity (Equations 1–3) via aerodynamic approaches
149 (Carlyle-Mose and Schooling, 2015). Tipping-bucket rain gauges (hereinafter referred to as
150 “TBRG”) automatically recorded the volume and timing of rainfall and stemflow (Herwitz,
151 1986; Germer et al., 2010; Spencer and Meerveld, 2016; Cayuela et al., 2018). To mitigate
152 the systematic errors for missing the records of inflow during tipping intervals (Groisman
153 and Legates, 1994), we chose the Onset® (Onset Computer Corp., USA) RG3-M TBRG
154 with the relatively smaller underestimation for its smaller bucket volume (3.73±0.01 mL)
155 (Iida et al., 2012). Besides, three 20-cm-diameter standard rain gauges were placed around
156 TBRG with a 0.5-m distance at the 120° separation (Fig. 1). The regression ($R^2=0.98$,

157 $p < 0.01$) between manual measurements and automatic recording further mitigated the
158 understanding of inflow water by applying TBRG (Equation 4).

$$159 \quad e_s = 0.611 \times \exp\left(\frac{17.27 \times T}{237.7 + T}\right) \quad (1)$$

$$160 \quad \text{VPD} = e_s \times (1 - H) \quad (2)$$

$$161 \quad E = \text{WS} \times \text{VPD} \quad (3)$$

162 where e_s is the saturation vapor pressure (kPa); T is air temperature ($^{\circ}\text{C}$); H is air relative
163 humidity (%); VPD is the vapor pressure deficit (kPa); and E is the evaporation coefficient
164 (unitless).

$$165 \quad \text{IW}_A = \text{IW}_R \times 1.32 + 0.16 \quad (4)$$

166 where IW_R is the recording of inflow water (including rainfall and stemflow) via TBRG
167 (mm), and IW_A is the adjusted inflow water (mm).

168 Discrete rainfall events were defined by a measurable RA of 0.2 mm (the resolution
169 limit of the TBRG) and the smallest 4-h gap without rains. That was the same period of
170 time to dry canopies from antecedent rains as reported by Giacomini and Trucchi (1992),
171 Zhang et al. (2015), Zhang et al., (2017) and Yang et al. (2019). Rainfall interval (RI, h)
172 was calculated to indirectly represent the bark wetness. Other rainfall characteristics were
173 also computed, including the RA (mm), rainfall duration (RD, h), the average and 10-min
174 maximum rainfall intensity of incident rains (I and I_{10} , $\text{mm}\cdot\text{h}^{-1}$), and the 10-min average
175 rainfall intensity after rain begins (I_{b10} , $\text{mm}\cdot\text{h}^{-1}$) and before rain ends (I_{e10} , $\text{mm}\cdot\text{h}^{-1}$). By
176 assuming a perfect sphere of a raindrop (Uijlenhoet and Torres, 2006), raindrop momentum
177 in the vertical direction (F , $\text{mg}\cdot\text{m}\cdot\text{s}^{-1}$) (Equation 8–9) was computed to comprehensively
178 represent the effects of raindrop size (D , mm) (Equation 5), terminal velocity (v , $\text{m}\cdot\text{s}^{-1}$)

179 (Equation 6), average inclination angle (θ , °) (Equation 7) affecting stemflow process
 180 (Brandt, 1990; Kimble, 1996; van Stan et al., 2011; Carlyle-Moses and Schooling, 2015).
 181 The 10-min maximum raindrop momentum (F_{10} , $\text{mg}\cdot\text{m}\cdot\text{s}^{-1}$) and the average raindrop
 182 momentum at the first and last 10 min (F_{b10} and F_{e10} , respectively, $\text{mg}\cdot\text{m}\cdot\text{s}^{-1}$) could be
 183 calculated with I_{10} , I_{b10} and I_{e10} as indicated at Equation 5–9, respectively. For the 0.8-km
 184 distance between the two plots, the meteorological data were used at the *C. korshinskii* plot.

$$185 \quad D = 2.23 \times (0.03937 \times I)^{0.102} \quad (5)$$

$$186 \quad v = 3.378 \times \ln(D) + 4.213 \quad (6)$$

$$187 \quad \tan \theta = \frac{WS}{v} \quad (7)$$

$$188 \quad F_0 = m \times v = \left(\frac{1}{6} \times \rho \times \pi \times D^3\right) \times v \quad (8)$$

$$189 \quad F = F_0 \times \cos \theta \quad (9)$$

190 where D is raindrop diameter (mm); I is the average rainfall intensity of incident rains
 191 ($\text{mm}\cdot\text{h}^{-1}$); v is raindrop velocity ($\text{m}\cdot\text{s}^{-1}$); θ is average inclination angle of raindrops (°); WS
 192 is the average wind speed of incident rains ($\text{m}\cdot\text{s}^{-1}$); F_0 is the average raindrop momentum
 193 ($\text{mg}\cdot\text{m}\cdot\text{s}^{-1}$); m is the average raindrop mass (g); ρ is the density of freshwater at standard
 194 atmospheric pressure and 20°C ($0.998 \text{ g}\cdot\text{cm}^{-3}$).

195 **2.3 Experimental branch selection and measurements**

196 This study focused on the branch-scale stemflow production of the 20-year-old *C.*
 197 *korshinskii* and *S. psammophila*. Based on plot investigation, the canopy traits of standard
 198 shrubs were determined. Four shrubs were selected accordingly at each species with similar
 199 crown areas and heights ($5.1 \pm 0.3 \text{ m}^2$ and $2.1 \pm 0.2 \text{ m}$ for *C. korshinskii* and $21.4 \pm 5.2 \text{ m}^2$ and
 200 $3.5 \pm 0.2 \text{ m}$ for *S. psammophila*, respectively). The approximately 10-m gap between them

201 guaranteed shrubs exposing to the similar meteorological conditions (Yuan et al., 2016). We
202 measured branch morphologies of all 180 and 261 branches at experimental shrubs of *C.*
203 *korshinskii* and *S. psammophila*, respectively, including BD (Basal diameter, mm) with a
204 Vernier calliper (Model 7D-01150, Forgestar Inc., Germany), branch length (BL, cm) with
205 a measuring tape, and branch angle (BA, °) with pocket geologic compass (Model DQL-8,
206 Harbin Optical Instrument Factory, China), respectively. Thus, BD categories were
207 determined at 5–10 mm, 10–15 mm, 15–18 mm, 18–25 mm and >25 mm to guarantee the
208 appropriate branch amounts within categories for meeting the statistical significance. Two
209 representative branches with median BDs were selected in each category for stemflow
210 recording. The experimental branches had no intercrossing with neighbouring ones and no
211 turning point in height from branch tip to base. The outlayer-of-canopy positions avoided
212 over-shading by the upper layer branches and permitted convenient measurements. Since
213 the qualified branch with the >25-mm size was not enough for *C. korshinskii* and the
214 TBRG malfunctioned at the 15–18-mm branches of *S. psammophila*, stemflow data were
215 not available in these BD categories. In total, 7 branches were selected for stemflow
216 measurements at each species (Table 1). As the important interface to intercept rains at the
217 growing season, the well-verified allometric growth equations were performed to estimate
218 the branch leaf area (LA, cm²) of *C. korshinskii* (LA=39.37×BD^{1.63} R²=0.98) (Yuan et al.,
219 2017) and *S. psammophila* (LA=18.86×BD^{1.74} R²=0.90) (Yuan et al., 2016), respectively.

220 **2.4 Stemflow measurements and calculations**

221 A total of 14 TBRGs had been applied to automatically record the branch stemflow
222 production of *C. korshinskii* and *S. psammophila*. The data of stemflow volume and timing

223 were automatically recorded at dynamic intervals between neighboring tips. We installed
 224 aluminium foil collars to trap stemflow at branches nearly 40 cm off the ground, higher
 225 than TBRG orifice with height of 25.7 cm (Fig. 1). They were fitted around the entire
 226 branch circumference and sealed by neutral silicone caulking. The limited orifice diameter
 227 of foil collars minimized the accessing of throughfall and rains into them (Yuan et al.,
 228 2017). The 0.5-cm-diameter polyvinyl chloride hoses hung vertically and channelled
 229 stemflow from the collars to TBRGs with a minimum travel time. TBRGs were covered
 230 with the polyethylene films to prevent the accessing of throughfall and splash (Fig. 1).
 231 These apparatuses were periodically checked against leakages or blockages by insects and
 232 fallen leaves. Stemflow variables were computed as follow.

233 (1) Stemflow volume (SFV, mL): the average stemflow volume of individual branches.
 234 Adjusted with Equation 4 firstly, SFV was computed with the TBRG recordings
 235 (SF_{RG} , mm) by multiplying its orifice area (186.3 cm²) (Equation 10).

$$236 \quad SFV = SF_{RG} \times 18.63 \quad (10)$$

237 (2) Stemflow intensity: the branch stemflow volume per branch basal area per unit
 238 time. SFI (mm·h⁻¹) is the average stemflow intensity of incident rains, which is
 239 computed by the event-based SFV (mL), branch basal area (BBA, mm²) and RD
 240 (h) (Equation 11) (Herwitz, 1986; Spencer and Meerveld, 2016). SFI₁₀ (mm·h⁻¹) is
 241 the 10-min maximum stemflow intensity, which is calculated with the 10-min
 242 maximum stemflow volume (SFV₁₀, mL) and BBA (mm²) (Equation 12). SFI_i
 243 (mm·h⁻¹) is the instantaneous stemflow intensity, which is calculated by the tip
 244 volume of TBRG (3.73 mL), BBA (mm²) and time intervals between neighbouring

245 tips (t_i , h) (Equation 13). The comparison between SFI_i and the corresponding
 246 rainfall intensity depicted the synchronicity of stemflow with rains within event.

$$247 \quad SFI = 1000 \times \frac{SFV}{(BBA \times RD)} \quad (11)$$

$$248 \quad SFI_{10} = 6000 \times \frac{SFV_{10}}{BBA} \quad (12)$$

$$249 \quad SFI_i = \frac{3730}{(BBA \times t_i)} \quad (13)$$

250 (3) Stemflow temporal dynamics: stemflow duration and time lags to rains.

251 SFD (h): stemflow duration. It is computed by different timings between the first-
 252 and last-tips of stemflow via TBRG.

253 TLG (min): time lag of stemflow generation after rain begins. It is computed by
 254 different first-tip timings between rainfall and stemflow via TBRG.

255 TLM (min): time lag of stemflow maximization after rain begins. It is computed
 256 by different timings between the largest- SFI_i and first-rainfall tips via TBRG.

257 TLE (min): time lag of stemflow ending after rain ceases. It is computed by
 258 different last-tip timings between rainfall and stemflow via TBRG.

259 (4) Funnelling ratio: the efficiency for capturing and delivering raindrops from the
 260 canopies to trunk/branch base (Siegert and Levia, 2014; Cayuela et al., 2018). By
 261 introducing RD at both numerator and denominator of the original equation
 262 (Herwitz, 1986), FR (unitless) was transformed as the ratio between stemflow and
 263 rainfall intensities at the event base (Equation 14). FR_{100} described the
 264 within-event funnelling ratio at the 100-s interval after rain began (Equation 15).

$$265 \quad FR = 1000 \times \frac{SFV}{BBA \times RA} = 1000 \times \frac{\frac{SFV}{BBA} \times \frac{RD}{RD}}{\frac{RA}{RD}} = \frac{SFI}{I} \quad (14)$$

266
$$FR_{100_i} = \frac{SFI_{100_i}}{I_{100_i}} \quad (15)$$

267 where FR_{100_i} , SFI_{100_i} and I_{100_i} are funnelling ratio, stemflow intensity and rainfall
 268 intensity at the internal i with 100-s pace after rain begins, respectively.

269 **2.5 Data analysis**

270 Stemflow variables were averaged at different BD categories to analyse the most
 271 influential rainfall characteristics affecting them. Pearson correlation analyses were firstly
 272 performed to test the relationships between rainfall characteristics (RA, RD, RI, I, I_{10} , I_{b10} ,
 273 I_{e10} , F, F_{10} , F_{b10} , F_{e10} and E) and stemflow variables (SFV, SFI, SFI_{10} , FR, TLG, TLM, TLE
 274 and SFD). The significantly related factors were grouped in terms of median value, and
 275 compiled into indicator matrices. They were standardized for a cross-tabulation check as
 276 required by the multiple correspondence analysis (MCA) (Levia et al., 2010; van Stan et al.,
 277 2011, 2016). All qualified data were restructured into orthogonal dimensions (Hair et al.,
 278 1995), where distances between row and column points were maximized (Hill and Lewicki,
 279 2007). As shown at correspondence maps, the clustering rainfall characteristics tightly
 280 related to the centred stemflow variable. Finally, stepwise regressions were operated to
 281 identify the most influential rainfall characteristics (Carlyle-Moses and Schooling, 2015).
 282 The quantitative relations were established in terms of the qualified level of significance (p
 283 <0.05) and the highest coefficient of determination (R^2). One-way analysis of variance
 284 (ANOVA) with LSD post hoc test was used to determine whether rainfall characteristics,
 285 and stemflow variables significantly differed among event categories, and whether
 286 funnelling ratio and stemflow intensity significantly differed among BD categories for *C.*
 287 *korshinskii* and *S. psammophila*. The level of significance was set at 95% confidence

288 interval ($p=0.05$). SPSS 21.0 (IBM Corporation, USA), Origin 8.5 (OriginLab Corporation,
289 USA) and Excel 2019 (Microsoft Corporation, USA) were used for data analysis.

290 **3 Results**

291 **3.1 Rainfall characteristics**

292 A total of 54 rainfall events had been recorded for stemflow measurements at the
293 2014–2015 rainy seasons (Fig. 2). Thereinto, 20, 8, 10, 8, 4 and 4 events were at the RA
294 categories of ≤ 2 mm, 2–5 mm, 5–10 mm, 10–15 mm, 15–20 mm and >20 mm, respectively.
295 The total RAs at these categories were 22.1 mm, 26.1 mm, 68.8 mm, 93.3 mm, 74.8 mm
296 and 110.0 mm, respectively. During these events, the average I , I_{10} , I_{b10} and I_{e10} were
297 4.5 ± 1.0 mm \cdot h $^{-1}$, 10.9 ± 2.1 mm \cdot h $^{-1}$, 5.5 ± 1.4 mm \cdot h $^{-1}$ and 2.8 ± 0.7 mm \cdot h $^{-1}$, respectively. The
298 average F , F_{10} , F_{b10} and F_{e10} were 16.1 ± 1.2 mg \cdot m \cdot s $^{-1}$, 24.9 ± 1.4 mg \cdot m \cdot s $^{-1}$, 18.4 ± 1.4
299 mg \cdot m \cdot s $^{-1}$ and 16.0 ± 1.0 mg \cdot m \cdot s $^{-1}$, respectively. RD, RI and E averaged 4.7 ± 0.8 h, 50.6 ± 6.1
300 h, and 0.9 ± 0.2 , respectively (Table 2).

301 Rainfall events were further categorized in terms of rainfall-intensity peak amount,
302 including Events A (the single-peak events), B (the double-peak events) and C (the
303 multiple-peak events). There were 17, 11 and 15 events at Event A, B and C, respectively.
304 Because the remaining 11 events had the average RA of 0.6 mm, no more than three
305 recordings had been observed within event which was limited by 0.2-mm resolution of
306 TBRGs. Therefore, they could not be categorized and grouped as Event others (Table 2).
307 Compared with Events A and B, Event C possessed significantly different rainfall
308 characteristics, e.g., the significantly larger RA (11.7 vs. 4.1 and 5.2 mm) and RD (10.3 vs.
309 2.5 and 3.6 h) but the significantly smaller I_{10} (9.5 vs. 15.5 and 12.7 mm \cdot h $^{-1}$), I_{b10} (2.8 vs.

310 7.7 and 9.9 mm·h⁻¹), F_{b10} (15.4 vs. 19.7 and 21.7 mg·m·s⁻¹) and F_{e10} (13.4 vs. 17.3 and
311 16.6 mg·m·s⁻¹), the non-significantly smaller I_{e10} (2.1 vs. 4.3 and 3.6 mm·h⁻¹), F₁₀ (24.2 vs.
312 27.8 and 26.6 mg·m·s⁻¹) and E (0.4 vs. 0.9 and 1.0), respectively (Table 2).

313 In general, rainfall events were skewedly distributed in terms of RA. The occurrences
314 of events with a RA_≤2 mm dominated the experimental period (40.7%), but the events with
315 RA>20 mm were the greatest contributor to the total RA (28.0%). However, a relatively
316 equal distribution was noted during events with single (17 events), double (11 events) and
317 multiple (15 events) rainfall-intensity peaks. Comparatively, the multiple-peak events had
318 significantly larger rainfall amounts, durations, intensities and raindrop momentums.

319 **3.2 Inter-/intra-event stemflow variability**

320 Stemflow variables of *C. korshinskii* and *S. psammophila* showed great inter-event
321 variations during the experimental period (Fig. 3). *C. korshinskii* had larger SFV, SFI, SFI₁₀,
322 FR, SFD, TLG and TLE (226.6±46.4 mL, 517.5±82.1 mm·h⁻¹, 2057.6±399.7 mm·h⁻¹,
323 130.7±8.2, 3.8±0.8 h, 66.2±10.6 min and 20.0±5.3 min, respectively) but smaller TLM
324 (109.4±20.5 min) than those of *S. psammophila* (172.1±34.5 mL, 367.3±91.1 mm·h⁻¹,
325 1132.2±214.3 mm·h⁻¹, 101.6±10.4, 3.4±0.9 h, 54.8±11.7 min, 13.5±17.2 min, and
326 120.5±22.1 min, respectively) (Table 3). During the 54 events, no negative values were
327 observed for TLG and TLM but TLE. It indicated that stemflow generally initiated and
328 maximized after rains started for both species. However, stemflow might be ended before
329 (negative TLE) and after (positive TLE) rains ceased.

330 Stemflow well synchronized to rains with similar intensity peak shapes, amounts and
331 positions for both species. These results were vividly demonstrated at representative rains

332 with different intensity peak amounts and RAs, including events on July 17, 2015 (Event A,
333 20.7 mm), July 29, 2015 (Event B, 7.3 mm), and September 10, 2015 (Event C, 13.3 mm)
334 (Fig. 4). *C. korshinskii* had larger FR₁₀₀ (91.7, 76.1 and 94.0, respectively) than those of *S.*
335 *psammophila* (32.8, 26.3 and 43.7, respectively) during representative events. It indicated a
336 comparatively greater ability of converging rains for *C. korshinskii* within event.

337 Stemflow variables varied between rainfall event categories. For Event C in
338 comparison to Events A and B, *S. psammophila* had significantly larger SFV (435.2 vs.
339 102.6 and 145.7 mL), SFD (8.3 vs. 1.2 and 3.4 h), TLM (235.8 vs. 64.3 and 93.4 min), FR
340 (129.1 vs. 77.1 and 91.4), non-significantly larger TLE (20.8 vs. 17.1 and 8.6 min) but
341 significantly smaller SFI (246.6 vs. 648.1 and 421.5 mm·h⁻¹) and SFI₁₀ (888.4 vs. 1672.7
342 and 1582.8 mm·h⁻¹), respectively (Table 3). SFI decreased at events with increasing
343 intensity peak amounts as shown at Events A–C. The drop of SFI was offset by the
344 decreasing I to some extent (Table 2), which might partly explain the increasing trend of
345 FR from Event A to C. *C. korshinskii* shared similar changing trends of stemflow variables
346 between event categories with those of *S. psammophila*, except for the non-significantly
347 smaller TLE (18.5 min) at Event C in contrast to TLE at Event A and B (22.3 and 18.7 min).

348 Funnelling ratio and stemflow intensity negatively related with branch size. *C.*
349 *korshinskii* and *S. psammophila* had significantly greater FR, SFI, and SFI₁₀ at the 5–10
350 mm branches than those at the larger branches (Table 4). For *C. korshinskii*, FR decreased
351 from 163.7±12.2 at the 5–10-mm branches to 97.7±9.2 at the 18–25-mm branches,
352 respectively. It was consistent with decreasing SFI (333.8–716.2 mm·h⁻¹) at the
353 corresponding BD categories (Table 4). As branch size increased, *S. psammophila* shared

354 similar decreasing trends of FR (44.2–212.0) and SFI (197.2–738.7 mm·h⁻¹), respectively.

355 **3.3 Relationships between stemflow variables and rainfall characteristics**

356 *C. korshinskii* and *S. psammophila* had similar correspondence patterns between
357 rainfall characteristics and stemflow variables. As shown in Fig. 5, the one-to-one
358 correspondences were observed for SFV and TLE. The larger (or smaller) SFV and TLE
359 corresponded to the larger (or smaller) RA and RI, respectively. This result demonstrated
360 the dominant influences of RA and RI on SFV and TLE, respectively. The one-to-two
361 correspondences was noted for SFD with RD and E. The larger (or smaller) SFD
362 corresponded to the larger (or smaller) RD and smaller (or larger) E. RA had been
363 identified as the dominant rainfall characteristic affecting FR based on the analysis for 53
364 branches of *C. korshinskii* and 98 branches of *S. psammophila* at the same plots during the
365 same experimental period (Yuan et al., 2017). It seemed that event-based stemflow
366 production (the volume, duration and efficiency) were strongly influenced by rainfall
367 characteristics at inter-event scale (the rainfall amount and duration).

368 The one-to-more correspondences were observed for TLM, TLG, SFI and SFI₁₀ (Fig.
369 5). The larger (or smaller) TLM corresponded to the smaller (or larger) rainfall
370 characteristics of I, I₁₀, I_{b10}, I_{e10}, F, F₁₀, F_{b10} and F_{e10}. The same correspondences were
371 applied to the larger (or smaller) TLG, and the smaller (or larger) SFI and SFI₁₀. It seemed
372 that the within-event stemflow processes (SFI, SFI₁₀, TLG and TLM) were strongly
373 affected by rainfall characteristics at intra-event scale (the rainfall intensity and raindrop
374 momentum). Therefore, these results indicated that rainfall characteristics influenced
375 stemflow variables at the corresponding temporal scales. This influence occurred at the

376 inter-event scale between SFV and RA, FR and RA, SFD and RD, and at the intra-event
377 scale for stemflow time lags (TLG and TLM) and intensities (SFI and SFI₁₀) with rainfall
378 intensity (I, I₁₀, I_{b10} and I_{e10}) and raindrop momentum (F, F₁₀, F_{b10} and F_{e10}). The only
379 exception was noted between TLE and RI for the mismatched temporal scales.

380 Stepwise regression analysis identified the most influential rainfall characteristics
381 affecting stemflow intensities and temporal dynamics. RD was the dominant rainfall
382 characteristics affecting SFD. I₁₀ significantly affected the TLM of the both species. For *C.*
383 *korshinskii*, I, I₁₀ and F were the most influential factors on SFI, SFI₁₀ and TLG,
384 respectively. However, for *S. psammophila*, F, F₁₀ and F_{b10} significantly affected SFI, SFI₁₀
385 and TLG, respectively. The results of multiple regression analyses indicated that there
386 were linear relationships between SFI and I ($R^2=0.74$, $p<0.01$) and SFI₁₀ and I₁₀ ($R^2=0.85$,
387 $p<0.01$) for *C. korshinskii* and between SFD and RD for *C. korshinskii* ($R^2=0.95$, $p<0.01$)
388 and *S. psammophila* ($R^2=0.92$, $p<0.01$) (Fig. 6). Moreover, power functional relations were
389 found between SFI and F ($R^2=0.82$, $p<0.01$), SFI₁₀ and F₁₀ ($R^2=0.90$, $p<0.01$) (Fig. 6), TLG
390 and F_{b10} ($R^2=0.55$, $p<0.01$) and TLM and I₁₀ ($R^2=0.40$, $p<0.01$) (Fig. 7) for *S. psammophila*,
391 and TLG and F ($R^2=0.56$, $p <0.01$) and TLM and I₁₀ ($R^2=0.38$, $p<0.01$) (Fig. 7) for *C.*
392 *korshinskii*. However, there was no significant quantitative relationship between TLE and
393 RI for *C. korshinskii* ($R^2=0.005$, $p=0.28$) or *S. psammophila* ($R^2=0.002$, $p=0.78$) (Fig. 7).

394 **4 Discussion**

395 **4.1 Stemflow intensity and funnelling ratio**

396 Stemflow intensity is generally greater than rainfall intensity at different plant life
397 forms. The xerophytic shrubs of *C. korshinskii* and *S. psammophila* had larger average

398 stemflow intensities than the average rainfall intensity (517.5 and 367.3 mm·h⁻¹ vs. 4.5
399 mm·h⁻¹). Broadleaf and coniferous species (*Quercus pubescens* Willd. and *Pinus sylvestris*
400 L., respectively) also have larger maximum stemflow intensities than the maximum rainfall
401 intensity in north-eastern Spain (Cayuela et al., 2018). The gap between stemflow and
402 rainfall intensities generally increased as the recording time intervals decreased. While
403 recording at the 1-h intervals, approximately 20-, 17-, 13- and 2.5-fold greater peak
404 stemflow intensities had been observed for trees of Cedar, Birch, Douglas Fir and Hemlock,
405 respectively, at the coastal British Columbia forest (Spencer and Meerveld, 2016). For *C.*
406 *korshinskii* and *S. psammophila*, in comparison to I₁₀ (10.9 mm·h⁻¹) at 10-min intervals, the
407 SFI₁₀ (2057.6 and 1132.2 mm·h⁻¹, respectively) was over 103.9-fold greater. The
408 recordings at 6-min interval indicated a 157-fold larger of stemflow intensity (18840 mm·h⁻
409 ¹) than rainfall intensity (120 mm·h⁻¹) in the cyclone-prone tropical rainforest with
410 extremely high MAP of 6570 mm (Herwitz, 1986). While calculating the dynamic time
411 interval between neighbouring tips of TBRG, SFI_i (10816.2 mm·h⁻¹) was 150.2-fold
412 greater than the corresponding rainfall intensity (72 mm·h⁻¹). Therefore, stemflow recorded
413 at a higher temporal resolution might provide more information into the dynamic nature of
414 stemflow and real-time responses to rainfall characteristics within events.

415 Greater stemflow intensity than rainfall intensity is hydrologically significant at
416 terrestrial ecosystems. This scenario indicates the convergence of the canopy-intercepted
417 rains into the limited area around trunk or branch bases within a certain time period, i.e.,
418 8.0% and 3.5% of rains being directed to the trunk base only accounting for 0.3% and 0.4%
419 of plot area in the open rainforest (Germer et al., 2010) and undisturbed lowland tropical

420 rainforest (Manfroi et al., 2004), respectively. Besides, FR, which compared SFV with RA
421 that would have been collected at the same area as the basal area at an event scale (Herwitz,
422 1986), is commonly applied to assess the convergence effect via stemflow volume, rainfall
423 amount and basal area (Carlyle-Moses et al., 2010; Siegert and Levia, 2014; Fan et al.,
424 2015; Yang et al., 2019). If FR is greater than 1, more water is collected at the trunk or
425 branch base than at the clearings. Both methods successfully quantified the convergence
426 effects of stemflow. However, the former provided a possibility to assess it at high temporal
427 resolutions within event.

428 This study established the quantitative connection between FR and stemflow intensity.
429 As per Equation 14 and the average stemflow and rainfall intensities listed at Table 2 and 3,
430 FR could be estimated to be 115.0 and 81.6 for *C. korshinskii* and *S. psammophila*,
431 respectively. Those results approximately agreed with FR of 173.3 and 69.3 (Yuan et al.,
432 2017) and 124.9 and 78.2 (Yang et al., 2019) for the two species by applying the traditional
433 calculation based on SFV and RA (Herwitz, 1986). As branch size increased, FR of *C.*
434 *korshinskii* decreased from 163.7 at the 5–10-mm branches to 97.7 at the 18–25-branches.
435 The decreasing trend of FR of *S. psammophila* were also noted in the range of 44.2–212.0
436 with increasing BD. The negative relation between BD and FR agreed with the reports for
437 trees and babassu palms in an open tropical rainforest in Brazil (Germer et al., 2010), the
438 mixed-species coastal forest at British Columbia of Canada (Spencer and Meerveld, 2016),
439 for trees (*Pinus tabuliformis* and *Armeniaca vulgaris*) and shrubs (*C. korshinskii* and *S.*
440 *psammophila*) on the Loess Plateau of China (Yang et al., 2019). It might be partly
441 explained by the decreasing stemflow intensities with increasing branch size as per

442 Equation 14. Our results found that SFI decreased from 716.2 to 333.8 for *C. korshinskii*,
443 and 738.7 to 197.2 for *S. psammophila* as branch size increased (Table 4). It well justified
444 the importance of branch size on stemflow intensity. Associated with the infiltration rate,
445 the stemflow-induced hydrological process might be strongly affected, i.e., soil moisture
446 recharge, Hortonian overland flow (Herwitz, 1986), saturation overland flow (Germer et al.,
447 2010), soil erosion (Liang et al., 2011), nutrient leaching (Corti et al., 2019), etc. Therefore,
448 more attention should be paid to tree/branch size and size-related stand age at future studies
449 while modeling the stemflow-induced terrestrial hydrological fluxes.

450 The importance had been addressed to study the funnelling ratio at the stand scale
451 (Carlyle-Moses et al., 2018); however, it had not been adequately studied at the intra-event
452 scale. This study calculated the average funnelling ratio at the event base and the 100-s
453 intervals after rain began. Thus, the convergence effect of stemflow could be better
454 understood at the inter-/intra-event scales. Our results found that FR_{100} were over 1.8-fold
455 greater than FR of *C. korshinskii* (282.7 vs. 130.7) and *S. psammophila* (203.4 vs. 101.6),
456 respectively. It indicated that funnelling ratio fluctuated dramatically within event.
457 Therefore, computing FR at event and ignoring it at high temporal resolutions within event
458 might underestimate the eco-hydrological significance of stemflow.

459 In general, stemflow intensity highly related to funnelling ratio. For addressing its
460 eco-hydrological importance, stemflow intensity should be precisely defined. It had been
461 expressed as the stemflow volume per basal area of branches/trunks per unit time with the
462 unit of $\text{mm}\cdot\text{h}^{-1}$ (Herwitz, 1986; Spencer and Meerveld, 2016) and $\text{mm}\cdot 5 \text{ min}^{-1}$ (Cayuela et
463 al., 2018). However, stemflow intensity had also been described as stemflow volume per

464 unit time with the unit of $L \cdot \text{week}^{-1}$ (Schimmack et al., 1993) and $L \cdot \text{h}^{-1}$ (Liang et al., 2011;
465 Germer et al., 2013). We highly recommended the former definition. Because of its highly
466 spatial-related attribution (Herwitz, 1986; Liang et al., 2011; 2014), the eco-hydrological
467 significance of stemflow would be underestimated by ignoring the basal area, over which
468 stemflow was received. Moreover, as per this definition, stemflow intensity quantitatively
469 connected with funnelling ratio via Equation 14. Thus, funnelling ratio could be used to
470 assess the convergence effect of stemflow at both inter- and intra-event scales.

471 **4.2 Stemflow temporal dynamics**

472 Stemflow well synchronized to the rains. It agreed with the report of Levia et al.
473 (2010), who demonstrated a marked synchronicity between SFV and RA in 5-min intervals
474 for *Fagus grandifolia*. The duration and time lags to rains were critical to describe
475 stemflow temporal dynamics. Our results indicated that in comparison to *S. psammophila*,
476 *C. korshinskii* takes a longer time to initiate (66.2 vs. 54.8 min), end (20.0 vs. 13.5 min)
477 and produce stemflow (3.8 vs. 3.4 h) but a shorter time to maximize stemflow (109.4 vs.
478 120.5 min, respectively). Moreover, the TLMs of both species were in the range of the
479 TLMs for *S. psammophila* (20–210 min) in the Mu Us desert of China (Yang, 2010).

480 Varying TLGs were documented for different species. Approximately 15 min, 1 h and
481 1.5 h were needed to initiate the stemflow of palms (Germer, 2010), pine trees and oak
482 trees (Cayuela et al., 2018), respectively. In addition, an almost instantaneous start of
483 stemflow had also been observed as rain began for *Quercus rubra* (Durocher, 1990), *Fagus*
484 *grandifolia* and *Liriodendron tulipifera* (Levia et al., 2010). Compared to the positive TLE
485 dominating xerophytic shrubs, the TLE greatly varied with tree species. TLE was as much

486 as 48 h for Douglas fir, oak and redwood in California, USA (Reid and Levia, 2009), and
487 almost 11 h for palm trees in Brazil (Germer, 2010). However, for sweet chestnut and oak,
488 almost no stemflow continued when rains ceased in Bristol, England (Durocher, 1990).
489 These scenarios might occur due to the sponge effect of the canopy surface (Germer, 2010),
490 which buffered stemflow generation, maximization and cessation before saturation. These
491 conclusions were consistent with the smaller stemflow intensities of *C. korshinskii* and *S.*
492 *psammophila* than the rainfall intensity when rain began, as part of the rains was used to
493 wet canopies (Fig. 4). The hydrophobic bark traits benefited stemflow initiation with the
494 limited time lags to rains. In contrast, the hydrophilic bark traits were conducive for
495 continuing stemflow after rain ceased, which kept the preferential flow paths wetter for
496 longer time periods (Levia and Germer, 2015). As a result, it took time to transfer
497 intercepted rains from the leaf, branch and trunk to the base. This process strongly affects
498 the stemflow volume, intensity and loss as evaporation.

499 The dynamics of intra-event rainfall intensity complicated the stemflow time lags to
500 rains. A 1-h lag to begin and stop stemflow with the beginning and ending of rains had been
501 observed for ashe juniper trees during high-intensity events, but no stemflow was generated
502 at low-intensity storms (Owens et al., 2006). Rainfall intensity was an important dynamic
503 rainfall characteristic affecting stemflow volume. Owens et al. (2006) found the most
504 significant difference between various rainfall intensities located in the stemflow patterns
505 other than throughfall and interception loss. During events with a front-positioned, single
506 rainfall-intensity peak, *S. psammophila* maximized stemflow in a shorter time than *C.*
507 *korshinskii* did in the Mu Us desert (30 and 50 min) (Yang, 2010). These results highlighted

508 the amounts and occurrence time of rainfall-intensity peak affecting the stemflow process,
509 which was consistent with the finding of Dunkerley (2014b).

510 Raindrops presented rainfall characteristics at finer temporal-spatial scales. They were
511 usually ignored because rains were generally regarded as a continuum rather than a discrete
512 process consisting of individual raindrops of various sizes, velocities, inclination angles
513 and kinetic energies. Raindrops hit the canopy surface and created splashes at different
514 canopy layers (Bassette and Bussière, 2008; Li et al., 2016). This process accelerated
515 canopy wetting and increased water supply for stemflow production. Therefore, raindrop
516 momentum was introduced in this study to represent the comprehensive effects of raindrop
517 attributes. Our results indicated that raindrop momentum was sensitive to predicting the
518 variations in stemflow intensity and temporal dynamics with significant linear or power
519 functional relations (Figs. 6 and 7). Compared with the importance of rainfall intensity for
520 *C. korshinskii*, raindrop momentum more significantly affected the stemflow process of *S.*
521 *psammophila*. This result might be related to the larger canopy size and height of *S.*
522 *psammophila* ($21.4 \pm 5.2 \text{ m}^2$ and $3.5 \pm 0.2 \text{ m}$) than that of *C. korshinskii* ($5.1 \pm 0.3 \text{ m}^2$ and
523 $2.1 \pm 0.2 \text{ m}$, respectively). More layers were available within canopies of *S. psammophila* to
524 intercept the splashes created by raindrop striking (Bassette and Bussière, 2008; Li et al.,
525 2016), thus shortening the paths and having more water supply for stemflow production.

526 **4.3 Temporal-dependent influences of rainfall characteristics on stemflow variability**

527 This study discussed stemflow variables and rainfall characteristics at inter-/intra-event
528 scales. We found that rainfall characteristics affected stemflow variables at the
529 corresponding temporal scales. RA and RD controlled SFV, FR and SFD, respectively, at

530 the inter-event scale. However, stemflow intensity (e.g., SFI and SFI₁₀) and temporal
531 dynamics (e.g., TLG and TLM) were strongly influenced by rainfall intensity (e.g., I, I₁₀
532 and I_{b10}) and raindrop momentum (e.g., F, F₁₀ and F_{b10}) at the intra-event scales. These
533 results were verified by the well-fitting linear or power functional equations among them
534 (Figs. 6 and 7). Furthermore, the influences of rainfall intensity and raindrop momentum on
535 stemflow process were species-specific. In contrast to the significance of rainfall intensity
536 on the stemflow process of *C. korshinskii*, raindrop momentum imposed a greater influence
537 on the stemflow process of *S. psammophila*.

538 In general, rainfall characteristics had temporal-dependent influences on the
539 corresponding stemflow variables. The only exception was found between TLE and RI. RI
540 tightly corresponded to TLE for both species tested by the MCA, but there was no
541 significant quantitative relationship between them ($R^2=0.005$, $p=0.28$ for *C. korshinskii*,
542 and $R^2=0.002$, $p=0.78$ for *S. psammophila*). This result might be related to the mismatched
543 temporal scales between TLE and RI. TLE represented stemflow temporal dynamics at the
544 intra-event scale, while RI was the interval times between neighbouring rains at the
545 inter-event scale. The mismatched temporal scales might also partly explain the
546 long-standing debates on the controversial positive, negative and even no significant
547 influences of rainfall intensity (depicting raining process at 5 min, 10 min, 60 min, etc.) on
548 event-based stemflow volume (Owens et al., 2006; André et al., 2008; Zhang et al., 2015).

549 **5 Conclusions**

550 Stemflow intensity and temporal dynamics are important in depicting the stemflow
551 process and its interactions with rainfall characteristics within events. We categorized

552 stemflow variables into the volume, intensity, funnelling ratio and temporal dynamics, thus
553 to representing the stemflow yield, efficiency and process. Funnelling ratio had been
554 calculated as the ratio between stemflow and rainfall intensities, which enabled to assess
555 the convergence of stemflow at the inter-/intra-event scales. Over 1.8-fold greater FR_{100}
556 were noted than FR at representative events for *C. korshinskii* and *S. psammophila*,
557 respectively. FR decreased with increasing branch size of both species. It could be partly
558 explained by the decreasing trends of SFI as branch size increased. The rainfall
559 characteristics had temporal-dependent influences on stemflow variables. RA and RD
560 controlled SFV, FR and SFD at the inter-event scale. Rainfall intensity and raindrop
561 momentum significantly affected stemflow intensity and time lags to rains at the intra-event
562 scale except for TLE. The eco-hydrological significance of stemflow might be
563 underestimated by ignoring stemflow production at high temporal resolutions within event.
564 These findings advance our understanding of the stemflow process and its influential
565 mechanism and help model the critical process-based hydrological fluxes of terrestrial
566 ecosystems.

567

568 *Data availability.* The data collected in this study are available upon request to the authors.

569

570 *Author contributions.* GYG and CY set up the research goals and designed field
571 experiments. CY measured and analyzed the data. GYG and BJJ provided the financial
572 support for the experiments, and supervised the execution. CY created the figures and
573 wrote the original draft. GYG, BJJ, DMH, XWD and XHW reviewed and edited the draft

574 in several rounds of revision.

575

576 *Competing interests.* The authors declare that they have no conflict of interest.

577

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589

590 **Appendix**

591

List of symbols

Abbreviation	Descriptions	Unit
a.s.l.	above sea level	NA
BA	Branch angle	°
BBA	Branch basal area	mm ²
BD	Branch diameter	mm
BL	Branch length	cm
D	Diameter of rain drop	mm
e _s	Saturation vapor pressure	kPa
E	Evaporation coefficient	unitless

F	Average raindrop momentum in the vertical direction of incident event	$\text{mg} \cdot \text{m} \cdot \text{s}^{-1}$
F_0	Average raindrop momentum of incident event	$\text{mg} \cdot \text{m} \cdot \text{s}^{-1}$
F_{10}	The 10-min maximum raindrop momentum	$\text{mg} \cdot \text{m} \cdot \text{s}^{-1}$
F_{b10}	Average raindrop momentum at the first 10 min	$\text{mg} \cdot \text{m} \cdot \text{s}^{-1}$
F_{e10}	Average raindrop momentum at the last 10 min	$\text{mg} \cdot \text{m} \cdot \text{s}^{-1}$
FR	Average funnelling ratio of incident event	unitless
FR ₁₀₀	Funnelling ratio at the 100-s intervals after rain begins	unitless
H	Air relative humidity	%
I	Average rainfall intensity of incident event	$\text{mm} \cdot \text{h}^{-1}$
I_{10}	The 10-min maximum rainfall intensity	$\text{mm} \cdot \text{h}^{-1}$
I_{b10}	Average rainfall intensity at the first 10-min of incident event	$\text{mm} \cdot \text{h}^{-1}$
I_{e10}	Average rainfall intensity at the last 10-min of incident event	$\text{mm} \cdot \text{h}^{-1}$
IW _A	The adjusted inflow water at TBRG	mm
IW _R	The recorded inflow water at TBRG	mm
LA	Leaf area of individual branch	cm^2
MAP	Mean annual precipitation	mm
MCA	Multiple correspondence analysis	NA
NA	Not applicable	NA
p	Level of significance	NA
R^2	Coefficient of determination	NA
RA	Rainfall amount	mm
RD	Rainfall duration	h
RI	Rainfall interval	h
SE	Standard error	NA
SFD	Stemflow duration from its beginning to ending	h
SFI	Average stemflow intensity of incident event	$\text{mm} \cdot \text{h}^{-1}$
SFI ₁₀	The 10-min maximum stemflow intensity of incident event	$\text{mm} \cdot \text{h}^{-1}$
SFI _i	Instantaneous stemflow intensity	$\text{mm} \cdot \text{h}^{-1}$
SF _{RG}	Stemflow depth recorded by TBRG	mm
SFV	Stemflow volume	mL
t_i	Time intervals between neighboring tips	h
T	Air temperature	°C
TBRG	Tipping bucket rain gauge	NA
TLE	Time lag of stemflow ending to rainfall ceasing	min
TLG	Time lag of stemflow generation to rainfall beginning	min
TLM	Time lag of stemflow maximization to rainfall beginning	min
v	Terminal velocity of rain drop	$\text{m} \cdot \text{s}^{-1}$
VPD	Vapor pressure deficit	kPa
WS	Wind speed	$\text{m} \cdot \text{s}^{-1}$
ρ	Density of freshwater at standard atmospheric pressure and 20°C	$\text{g} \cdot \text{cm}^{-3}$
θ	Inclination angle of rain drop	°

592

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803 **Table 1.** Branch morphologies of *C. korshinskii* and *S. psammophila* for stemflow recording.

Shrub species	BD categories (mm)	Branch amount	BD (mm)	BL (cm)	BA (°)	LA (cm ²)
<i>C. korshinskii</i>	5–10	2	6.6	131	61	837.1
	10–15	2	13.1	168	43	2577.3
	15–18	2	17.8	206	72	4243.1
	18–25	1	22.1	242	50	6394.7
	>25	NA	NA	NA	NA	NA
<i>S. psammophila</i>	5–10	2	7.5	248	69	626.3
	10–15	2	13.2	343	80	1683.5
	15–18	NA	NA	NA	NA	NA
	18–25	2	21.8	286	76	3468.3
	>25	1	31.3	356	60	7513.7

804 Notes: BD, BL and BA are branch basal diameter, length and inclination angle, respectively; LA is leaf area

805 of individual branches; NA means not applicable.

806 **Table 2.** Rainfall characteristics during events with different intensity peak amounts.

Indicators	Event A	Event B	Event C	Others	Average
Event amount	17	11	15	11	13.5±1.5
RA (mm)	4.1 ab	5.2 b	11.7 c	0.6 a	5.4 ± 0.9
RD (h)	2.5 a	3.6 a	10.3 b	2.2 a	4.7 ± 0.8
RI (h)	48.5 ab	70.5 b	57.3 ab	26.1 a	50.6 ± 6.1
I (mm·h ⁻¹)	5.6 a	5.5 a	4.6 a	2.2 b	4.5 ± 1.0
I ₁₀ (mm·h ⁻¹)	15.5 a	12.7 ab	9.5 b	6.0 c	10.9 ± 2.1
I _{b10} (mm·h ⁻¹)	7.7 a	9.9 a	2.8 b	1.6 b	5.5 ± 1.4
I _{e10} (mm·h ⁻¹)	4.3 a	3.6 a	2.1 ab	1.2 b	2.8 ± 0.7
F (mg·m·s ⁻¹)	17.1 a	17.6 a	17.2 a	12.5 b	16.1 ± 1.2
F ₁₀ (mg·m·s ⁻¹)	27.8 a	26.6 a	24.2 ab	21.0 b	24.9 ± 1.4
F _{b10} (mg·m·s ⁻¹)	19.7 ab	21.7 a	15.4 b	16.9 b	18.4 ± 1.4
F _{e10} (mg·m·s ⁻¹)	17.3 a	16.6 a	13.4 b	16.8 a	16.0 ± 1.0
E (unitless)	0.9 ab	1.0 ab	0.4 a	1.7 b	0.9 ± 0.2

807 Note: Event A, Event B and Event C are events with the single, double and multiple rainfall intensity
808 peaks, respectively; Others are the events that excluded from the categorization; RA, RD and RI are
809 rainfall amount, duration and interval, respectively; I and I₁₀ are the average and 10-min maximum
810 rainfall intensities, respectively; I_{b10} and I_{e10} are the average rainfall intensities in 10 min after rain begins
811 and before rain ends, respectively; F and F₁₀ are the average and 10-min maximum raindrop momentums,
812 respectively; F_{b10} and F_{e10} are the average raindrop momentums in 10 min after rain begins and before
813 rain ends, respectively; E is evaporation coefficient; Different letters indicate significant differences of
814 rainfall characteristics between event categories ($p < 0.05$) (rows at the table).

815 **Table 3.** Stemflow variables of *C. korshinskii* and *S. psammophila* during rainfall events
 816 with different intensity peak amounts.

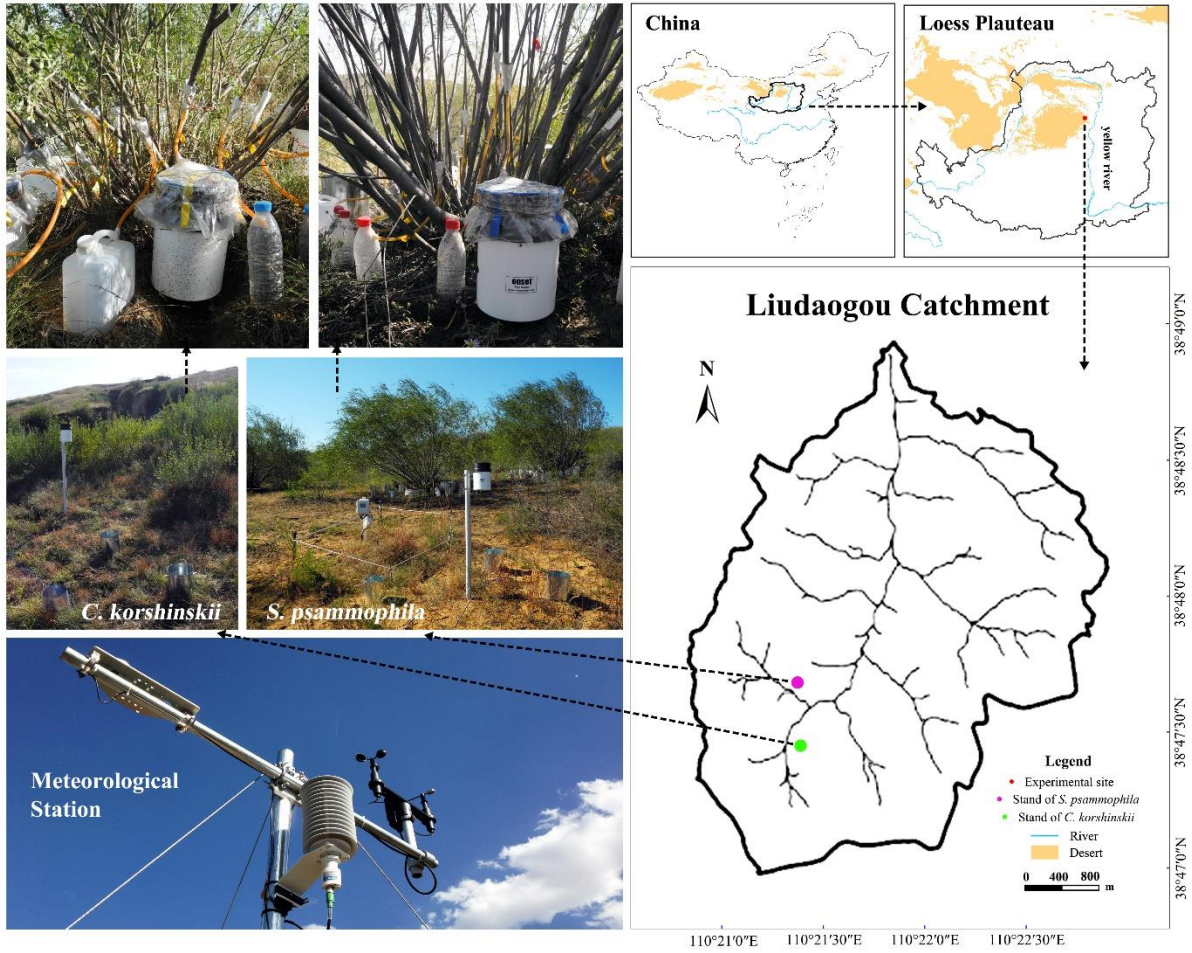
Species	Stemflow variables	Event A	Event B	Event C	Others	Average
<i>C. korshinskii</i>	SFV (mL)	134.1 a	203.7 a	560.8 b	7.6 c	226.6 ± 46.4
	SFI (mm·h ⁻¹)	672.9 a	552.4 b	527.0 b	317.8 c	517.5 ± 82.1
	SFI ₁₀ (mm·h ⁻¹)	2849.0 a	2399.3 a	1809.1 b	1173.2 c	2057.6 ± 399.7
	FR (unitless)	109.4 a	146.6 b	137.9 b	128.9 ab	130.7 ± 8.2
	TLG (min)	67.3 ab	56.2 a	67.0 ab	74.2 b	66.2 ± 10.6
	TLM (min)	81.1 a	75.5 a	202.1 b	78.8 a	109.4 ± 20.5
	TLE (min)	22.3 a	18.7 b	18.5 b	20.6 a	20.0 ± 5.3
	SFD (h)	1.4 a	3.1 a	9.1 b	1.4 a	3.8 ± 0.8
<i>S. psammophila</i>	SFV (mL)	102.6 a	145.7 a	435.2 b	4.7 c	172.1 ± 34.5
	SFI (mm·h ⁻¹)	648.1 a	421.5 b	246.6 c	153.2 c	367.3 ± 91.1
	SFI ₁₀ (mm·h ⁻¹)	1672.7 a	1582.8 a	888.4 b	384.7 c	1132.2 ± 214.3
	FR (unitless)	77.1 a	91.4 a	129.1 b	101.6 ab	101.6 ± 10.4
	TLG (min)	84.9 a	46.5 b	56.1 b	31.5 b	54.8 ± 11.7
	TLM (min)	64.3 a	93.4 a	235.8 b	88.4 a	120.5 ± 22.1
	TLE (min)	17.1 a	8.6 b	20.8 a	7.3 b	13.5 ± 17.2
	SFD (h)	1.2 a	3.4 a	8.3 b	0.7 a	3.4 ± 0.9

817 Note: Event A, Event B and Event C are events with the single, double and multiple rainfall intensity
 818 peaks, respectively; Others are the events that excluded from the categorization; SFV is stemflow
 819 volume; SFI and SFI₁₀ are the average and 10-min maximum stemflow intensities at incident rains,
 820 respectively; FR is funnelling ratio of stemflow at incident rains; TLG and TLM are time lags of
 821 stemflow generating and maximizing after rains begin, respectively; TLE is time lag of stemflow ending
 822 after rain ceases; SFD is stemflow duration; Different letters indicate significant differences of stemflow
 823 variables between event categories ($p < 0.05$) (rows at the table).

824 **Table 4.** Comparisons of stemflow intensity and funnelling ratio at different basal diameter
 825 categories.

Species and stemflow variables		BD categories (mm)					AVG
		5–10	10–15	15–18	18–25	>25	
<i>C. korshinskii</i>	FR	163.7±12.2a	136±10.9b	119.5±13.0b	97.7±9.2b	NA	131±8.2
	SFI	716.2±118.7a	552.5±90.3b	619±103.3b	333.8±45.8b	NA	553.9±82.1
<i>S. psammophila</i>	FR	212±17.4a	84±6.4b	NA	44.2±3.0b	54.9±4.2b	100.6±7.9
	SFI	738.7±160.9a	360.7±82.7a	NA	197.2±44.9b	209.9±44.5b	372.2±79.4

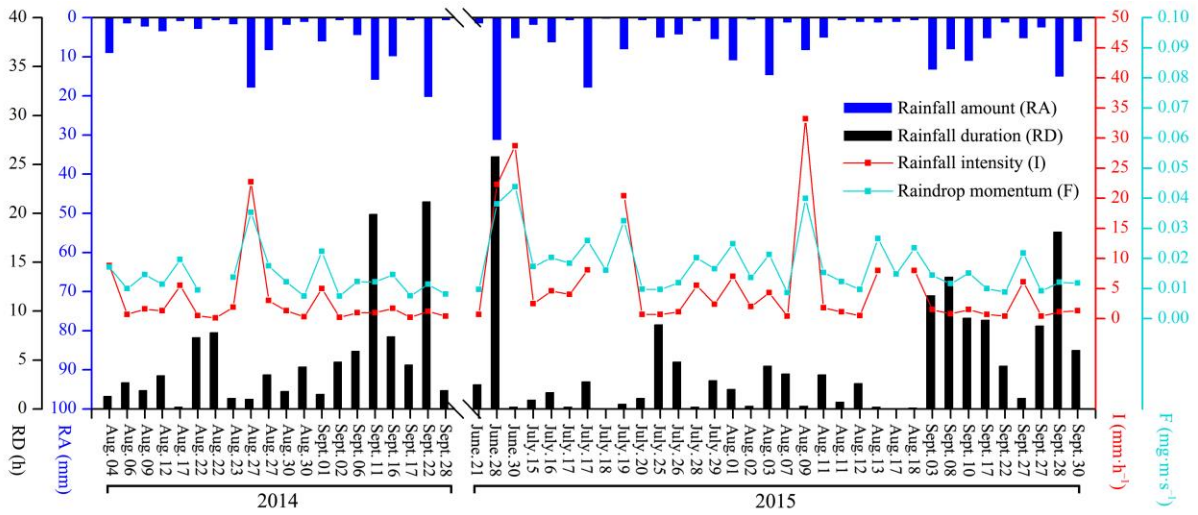
826 Note: SFI and FR are the average stemflow intensity and funnelling ratio at incident rains, respectively; BD is
 827 branch basal diameter (mm); NA means not applicable; Different letters indicate significant differences of
 828 stemflow variables between event categories ($p < 0.05$) (rows at the table).



829

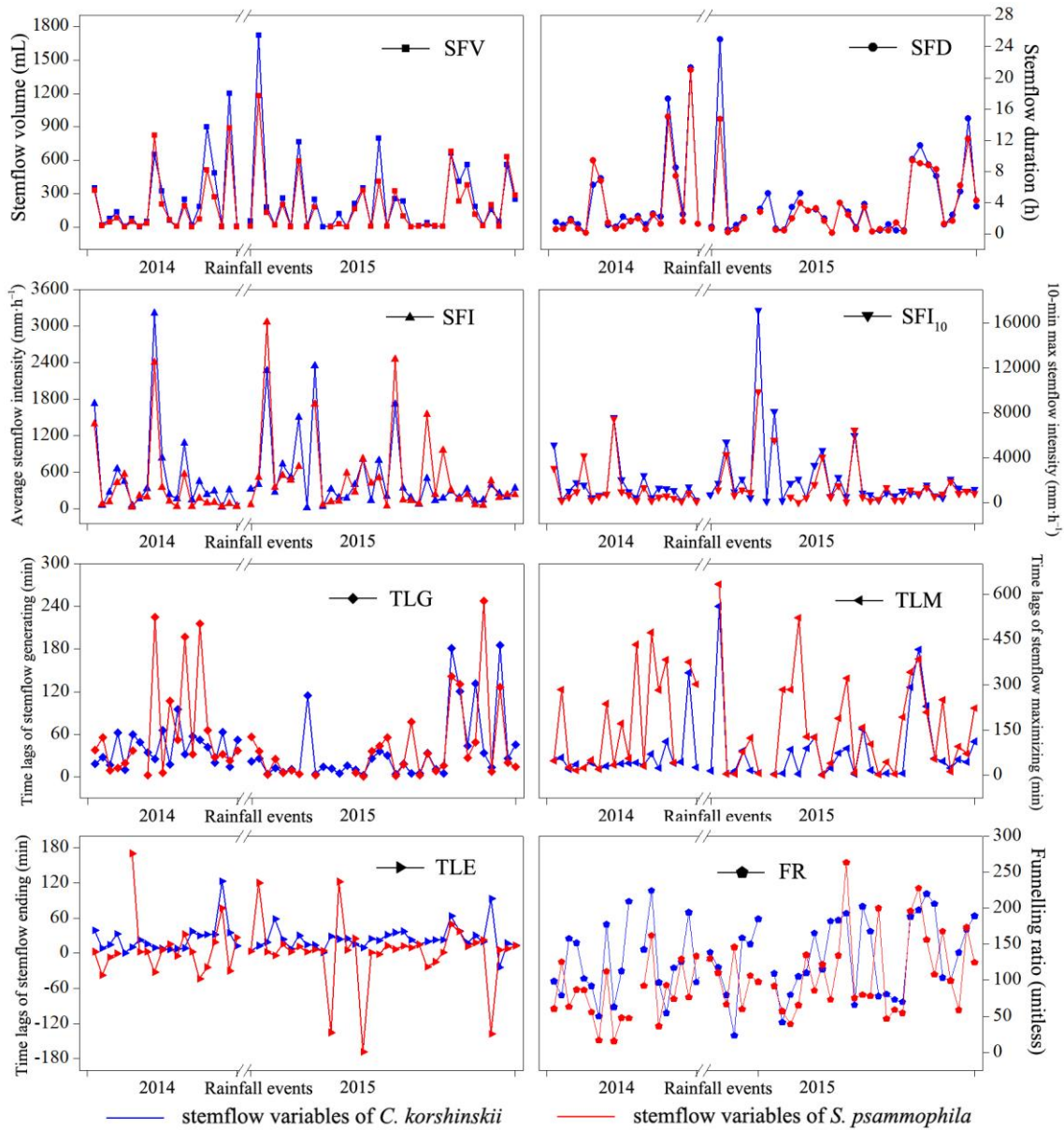
830 **Figure 1.** Locations and experimental settings in the plots of *C. korshinskii* and *S.*

831 *psammophila*.



832

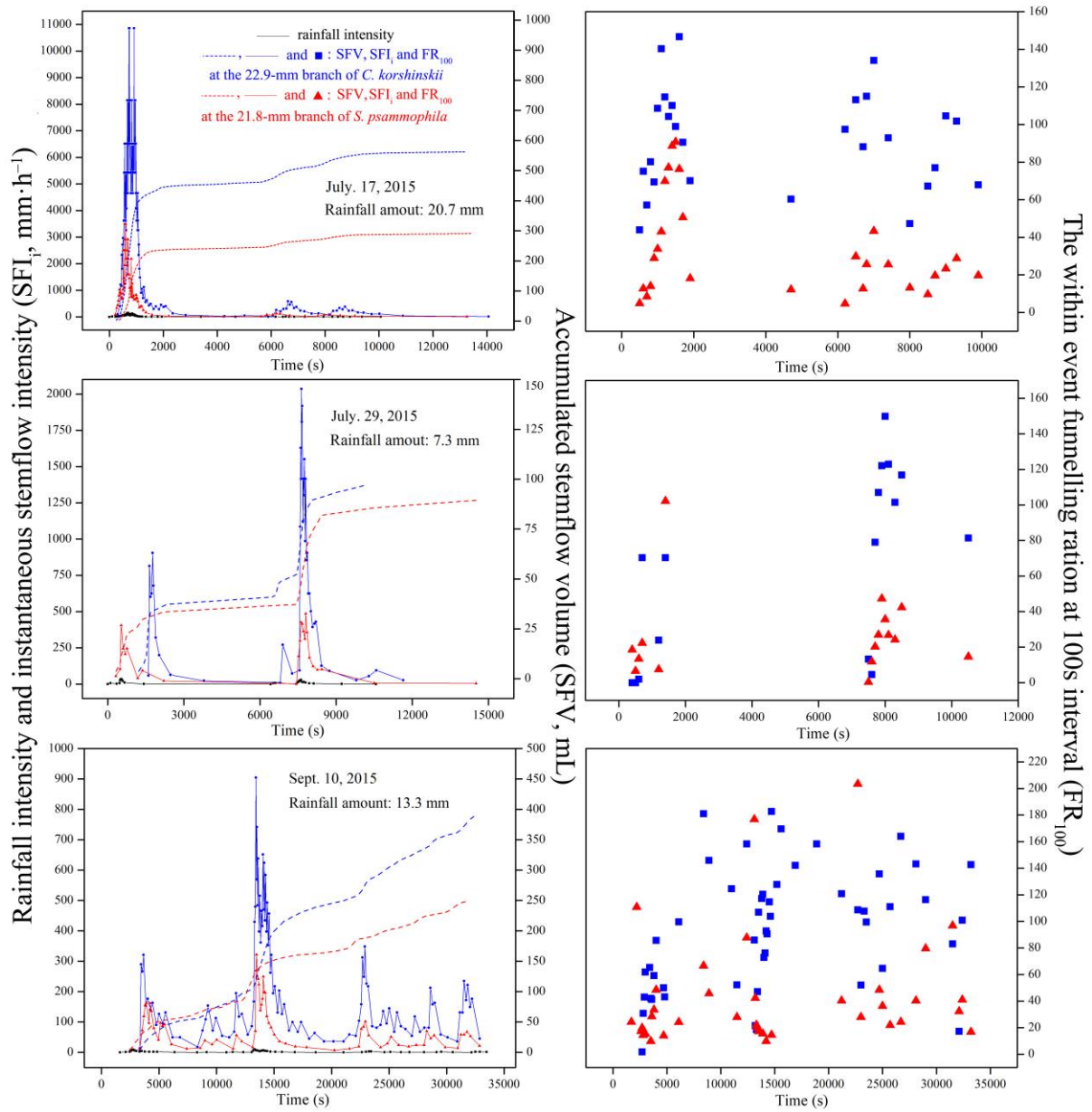
833 **Figure 2.** Inter-event variations in rainfall characteristics during the experimental period.



834

835 **Figure 3.** Inter-event variations in stemflow variables of *C. korshinskii* and *S. psammophila*

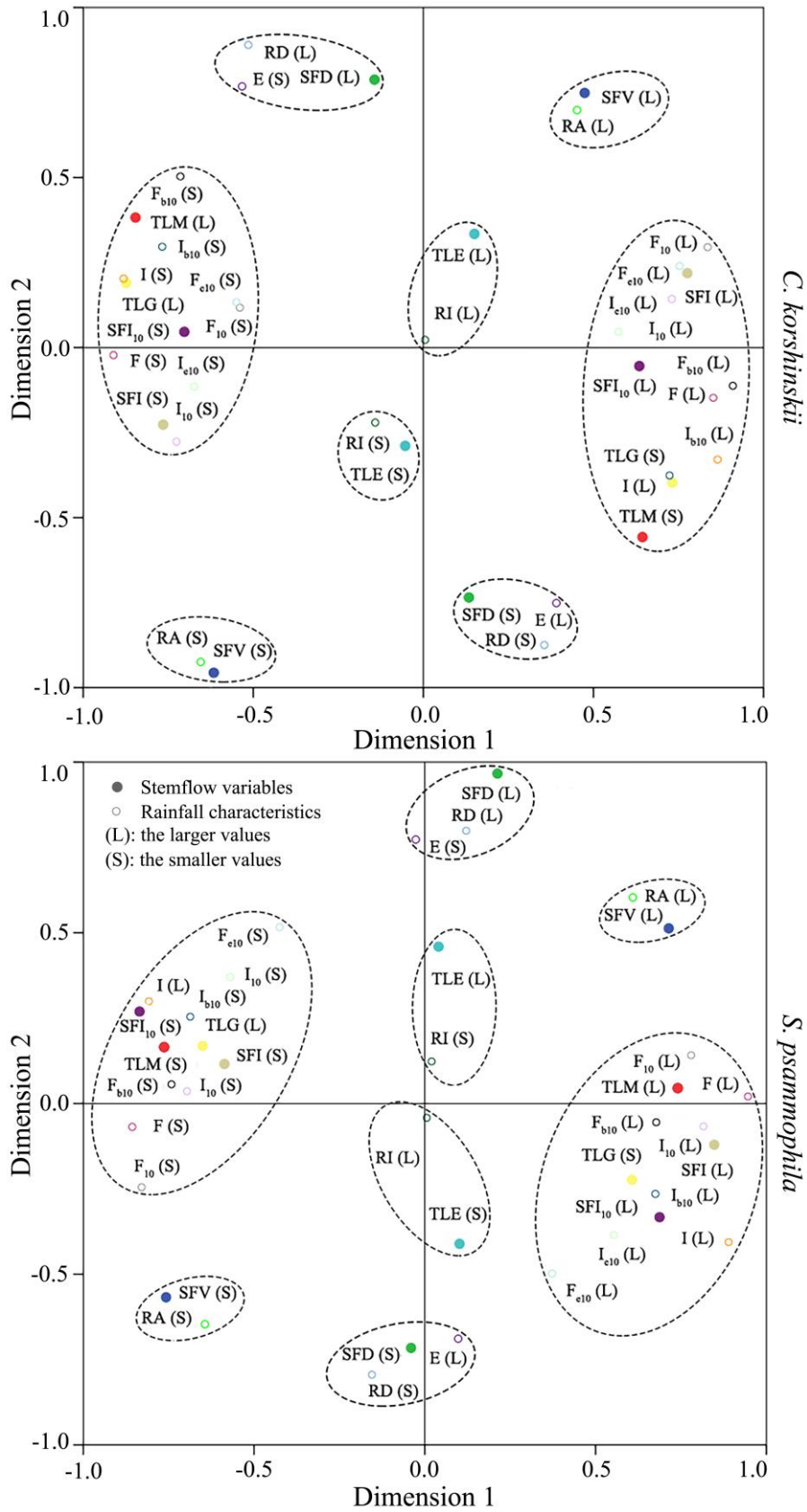
836 during the experimental period.



837

838 **Figure 4.** Stemflow synchronicity of *C. korshinskii* and *S. psammophila* to rains during

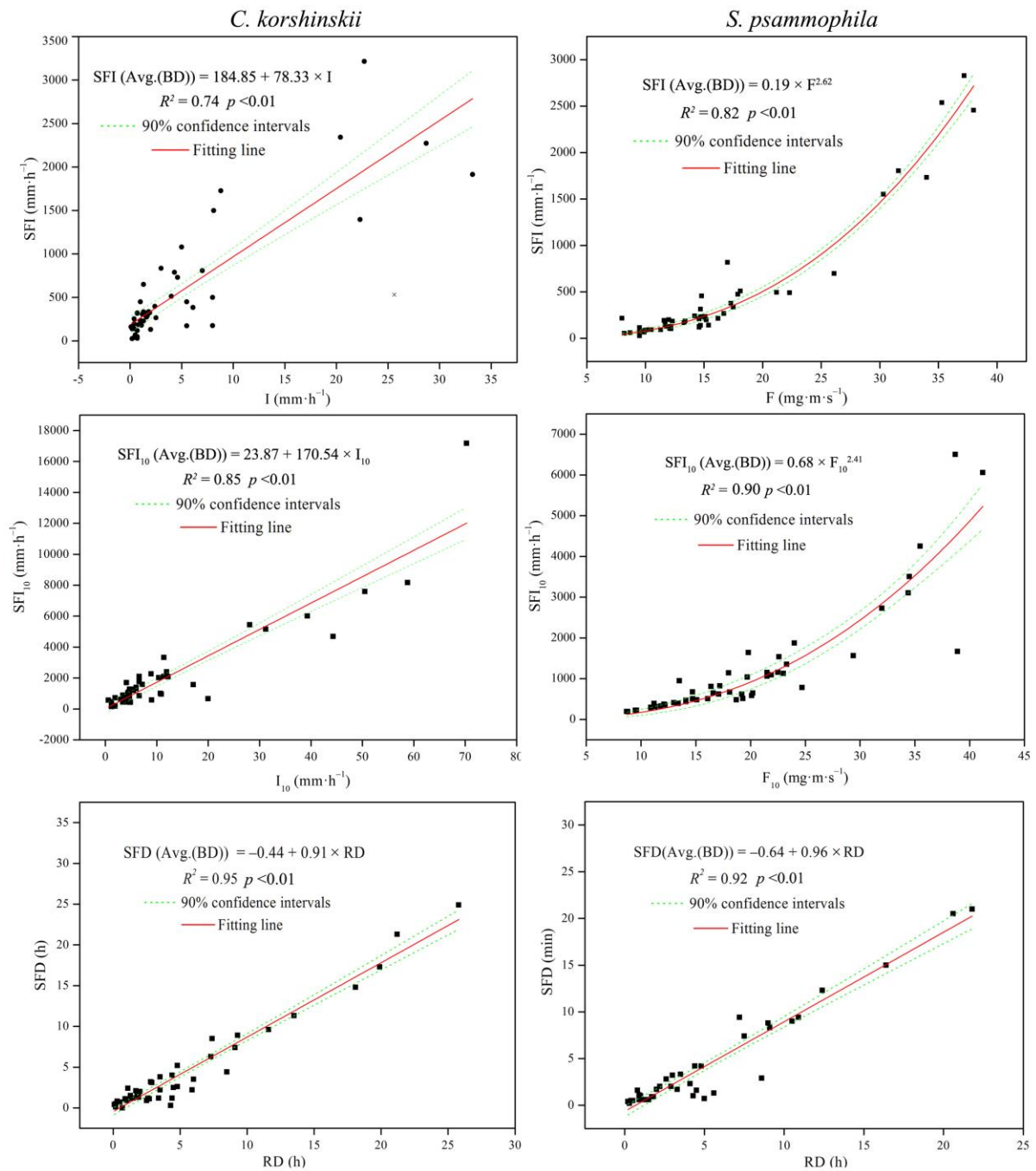
839 representative events with different rainfall-intensity peak amounts.



840

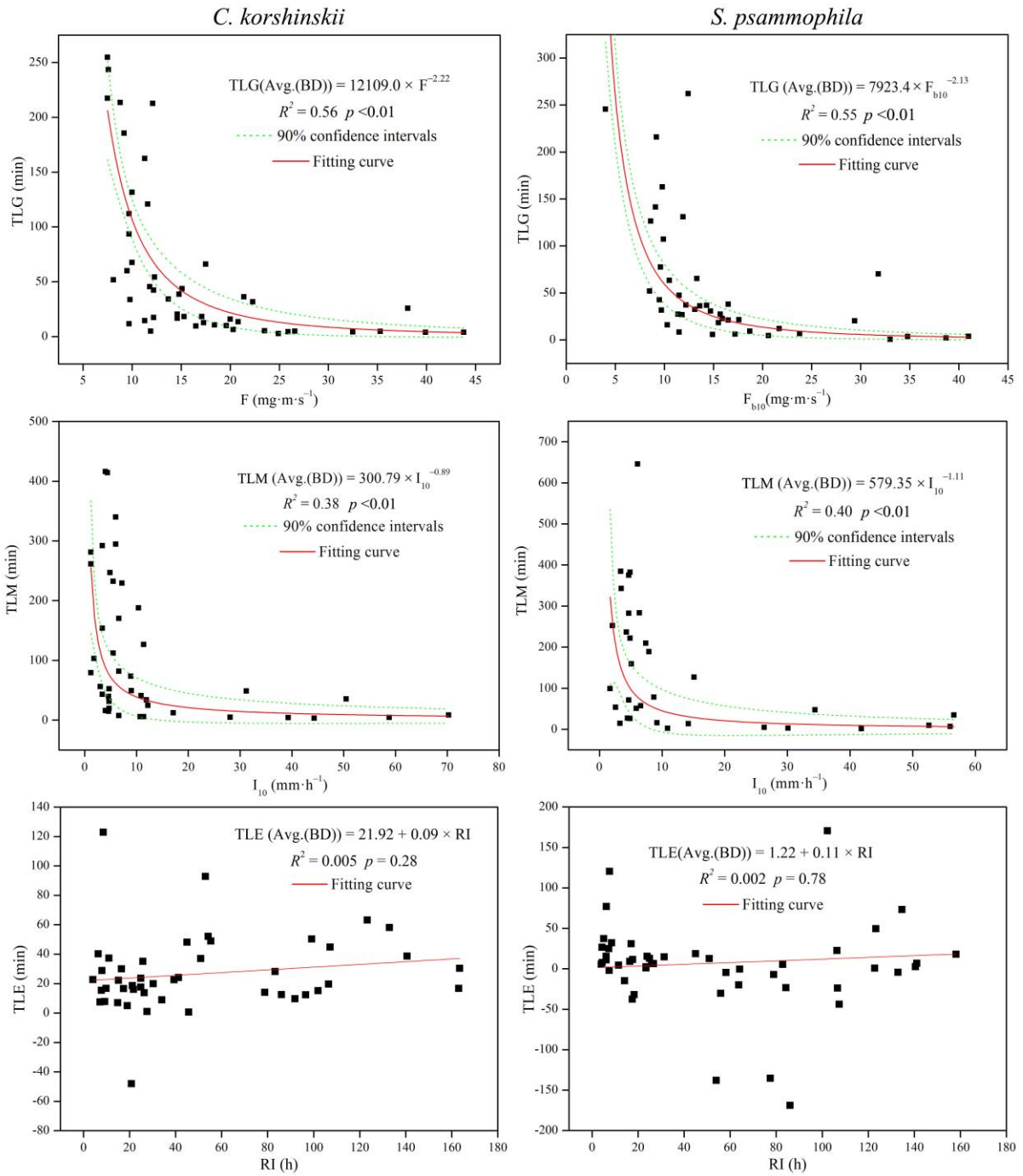
841 **Figure 5.** Correspondence maps of stemflow variables with rainfall characteristics for *C.*

842 *korshinskii* and *S. psammophila*.



843

844 **Figure 6.** Relationships of stemflow intensity and duration with rainfall characteristics.



845

846 **Figure 7.** Relationships of stemflow time lags with rainfall characteristics.