Dear Laurent Pfister,

we would like to thank you as the handling editor for your effort with our manuscript and we thank both reviewers for their valuable comments/suggestions. We have replied to the reviewer suggestions in the point-to-point reply below and have revised our manuscript accordingly. Please find also below the revised manuscript with marked-up changes.

5 With best wishes on behalf of the co-authors, Marcel Bliedtner

Point-to-point reply

10 1. Reply to Ulrich Hanke

Dear Ulrich Hanke,

We thank you very much for taking the time to review our manuscript, for your valuable comments/suggestions and the points of discussion you raised. We will wisely revise our manuscript according to your suggestions. Please find our detailed responses below:

- 15 The authors employ compound-class 14C measurements of long-chain leaf waxes (C_ 25) after removing the shorter chain lengths (C < 25) during the laboratory analyses to reduce the impact of petrogenic C (and reduce uncertainties from microbial reworking). However, even long chain leaf waxes contain a fraction of petrogenic carbon for which the authors introduce a factor and correct their 14C values to then discuss the pre-aging. Further, they translate their extracted 14C values to obtain calendar years yet hardly discuss the additional sensitivities introduced during "calibrating" with the 14C reference Intcal13.</p>
 20 Or do the authors speak about 14C years? The former can be tricky because the paleo-fluvial sedimentary sequence seems to have features of recent biological activity and contemporary carbon that can complicate any attempt of absolute age dating.
 - → Indeed, our heated and corrected ¹⁴C ages are reported as absolute/calibrated calendar age ranges because we wanted to test the chronostratigraphic integrity of the leaf wax n-alkanes in our fluvial sequence to assess their value for paleoenvironmental interpretations. To do so, we compared the n-alkane ¹⁴C ages with ¹⁴C-dated charcoal pieces from the sequence, the latter given as calibrated calendar ages and indicating the timing of sediment deposition. As a result, it appeared that n-alkane ¹⁴C ages from the intensively developed paleosols fall into the timing of soil formation and are therefore chronostratigraphically consistent. In contrast, n-alkanes from fluvial sediment layers are much older than the timing of sediment deposition and are therefore chronostratigraphically not consistent. Since the latter n-alkanes are affected by pre-aging effects and furthermore represent a mixed signal of leaf waxes from the catchment and to a much lesser degree also from microbial activity, the calibrated and uncalibrated ages/age ranges give a very heterogenic integrated age information. However, we included a more detailed description about our ¹⁴C dating and calibration approach in the methods section.

The excellent combination of molecular-level geochemical tools to trace the fate of carbon in past fluvial deposits is of great interest to earth scientists from various disciplines and, to my understanding, well suited for publication in HESS. The manuscript is scientific sound, entails adequate illustrations and details. However, the current version could benefit from (i) improve clarity in several sections (sentence length and perhaps language);

- → We will carefully revise the manuscript and check it for clarity and language.
- (ii) some clear statement on catchment-wide molecular-level 14C data that consists of an age distribution of 14C rather than a single value;
- 40 → Will be done.

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- (iii) a statement on the informative value on ACL vs isotopes (13C and 14C);
 - → Will be done in the Introduction.

- (iv) discussion of data in the light of contemporary and pre-aged carbon;
 - → We do not understand this comment, since we already carefully discussed the difference between pre-aged and contemporary n-alkanes.
- (v) some more informative details on potentials and limits on the geochemical constraints of molecular environmental 14C data compared to conventional 14C dating (in archeology).
 - → Will be included into the introduction chapter.

Probably some of my comments may already be included in the manuscript and might become clear after some careful checking/shortening of sentences and the addition of some clear statements. Overall a great study.

- → Thank you very much for this valuable and positive feedback!
- 10 Some specific comments:

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- 1,17: is 'direct' the correct term since you clean your fractions prior to 14C analyses?
 - → "direct" means that we can directly ¹⁴C-date the n-alkanes, and therefore derive an n-alkane-derived ¹⁴C-age. Cleaning the n-alkane fraction aims to obtain a more homogeneous fraction. However, we can remove the term "direct".
- 1,19-20: 'in-situ signal from local biomass': do you mean contemporary or on-site from litter fall?
 - → We mean local biomass that derives on-site (in-situ) at the studied site, and that gets incorporated into the soil with the litterfall. However, as suggested by both reviewers, we will change the term in-situ to on-site.
- 1, 30: 'were estimated': how do you determine the petrogenic C contributions? If only estimated, you may need to add some more details on the rationale and the precision of your approach
 - → Petrogenic contributions are based on the quantified n-alkane proportion of Jurassic black shales from the catchment. We will specify this.
- 2, 3: how you know about the 'local dominance of grasses/herbs throughout the Holocene'. Alkane distribution patterns or isotopes?
 - → The vegetation distribution is derived from the n-alkane distribution pattern previously published in Bliedtner et al. 2018 (Quaternary Science Reviews 196, 62-79). We will specify this.
- 25 2, 10: 'valuable biomarkers' for whom? A clear statement on the power of immortal molecules and the informative value could certainly improve the readability. Please check your manuscript throughout.
 - → Valuable biomarkers in paleoenvironmental research. Will be specified.
 - 2, 12: 'increasingly used' there a several groundbreaking studies that have already changed our understanding of the environment. Also, are there other biomarkers that can be used to trace primary productivity?
 - Yes, you are right and we might rephrase this section. Of course there are other interesting biomarkers (e.g. fatty acids, sterols, bile acids etc.) that can be used to reconstruct climate, vegetation, soil erosion and/or human activity, but so far, leaf wax n-alkanes are the most prominent and most often used biomarker proxies.
 - 3, 1: what about ultra-small graphitization lines. Same but different, other labs use conventional sample treatment at similar precision (« 10 g C)
- → Indeed, same labs use graphitization lines to date ultra-small samples, but it seems that sample preparation and measurement is less time and cost intensive when directly measured as CO₂ with a gas ion source.
 - 3, 2: how does MICADAS enable direct 14C dating of specific OC compounds? Do you mean online EA-AMS?
 - → It is because the MICADAS enables ¹⁴C dating of very small amounts of carbon (~20 μg C), although small amounts of carbon can also be analyzed by graphitization lines. The possibility to date those small amounts of carbon makes it possible to date specific OC compounds, such as leaf wax n-alkanes, that often occur only in smaller concentrations in sediment archives. It doesn't really matter if you use online EA-AMS or sealed tube combustion, although the analytical procedure with online EA-AMS is easier and less cost/time consuming. We will specify this section.

- 3, 20: 'this petrogenic contribution should lead to increased: : :'. In 14C, petrogenic is 14C depleted and thus it must be a matter of fraction size. Can you write this more clearly?
 - → You are right, and we will rewrite this section.
- 3, 22: what about microbial processing and impact, is it solely petrogenic?
- Indeed, we missed to state that n-alkanes can also originate from microbial sources or from microbial utilization. We will include such a statement into the introduction and a more detailed discussion into the discussion part. However, although we cannot completely rule out the influence of microbial utilization, we suggest that non-leaf wax-derived n-alkane contributions in our fluvial sequence are mostly of petrogenic origin. This is based on the fact that short-and mid-chain n-alkanes do not show an odd-over-even predominance, but in case of a dominance of microbial processes we would expect an odd-over-even predominance in these chain lengths. The dominance of petrogenic contributions is further supported by the fact that our n-alkane ¹⁴C-ages become significantly younger after the removal of the short-chain n-alkanes by heating, i.e. highly aged carbon mainly contributes to the short-chain n-alkanes. In contrast, we would suggest that microbial sources contribute with a contemorary or even younger 14C-signal to the short-chain n-alkanes.
- 9, 1-16: In my opinion, you miss the opportunity to inform the general audience about the principle of your measurement: you always measure a mean/median age of your individual or compound-class 14C n-alkanes because of the variable spatial origin and trajectories. This is central to understand that you integrate on spatial and temporal scale. Along these lines, is it correct to use these values for calibration absolute dating with IntCal14 (atmospheric 14C concentration) or better use 14C years only? Given you can, is your 14C age distribution a bell curve and how do you propagate the analytical uncertainties with the correction for petrogenic and the age dating?
 - → We will include a more detailed description of our dating approach into the methods and discussion sections. You are right that we measured a mean ¹⁴C-age that can integrate over different spatial and temporal scales, what holds especially true for the n-alkanes derived from fluvial sediment layers. However, our main aim was to test the chronostratigraphic integrity of our n-alkanes in the fluvial sequence by comparing them with an independent charcoal chronology that gives the timing of sedimentation. By dating the n-alkanes from the fluvial sediment layers, we found that these are older than the sedimentation ages and therefore stratigraphically not consistent. Thus, they must be affected by pre-aging effects and contain a mixed leaf wax signal. For a better comparison between our different types of ¹⁴C-ages (from n-alkanes and charcoals), calibrated ¹⁴C ages seem to be best suited. Unfortunately, we have to note that error propagation after petrogenic correction is difficult and holds a certain uncertainty. Therefore, we simply used the measured ¹⁴C errors for the petrogenic-corrected ¹⁴C-ages. When corrected, the errors of the ¹⁴C-ages should basically become smaller and fall within the measured ¹⁴C-error. Thus, when using the errors mentioned above we will slightly overestimate the "true" ¹⁴C-error.
 - 9, 21: here you assume that your factor remains constant over the entire sequence while you source contributions likely are variable. Please add a statement.
 - → A more clear statement will be added.

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- 10, 5-6: is it only erosion? What about sub-surface flow and export in addition to erosion of soil mineral horizon? Depending on the level of water saturation, would this impact your trajectories?
 - → We think that the degree of pre-ageing of the leaf wax n-alkanes from the catchment is mainly controlled by surficial soil erosion. It is true that organic material from catchment soils might also be relocated by sub-surface flow, but those effects are hard to quantify. Nevertheless, our ¹⁴C-results indicate that the catchment-derived leaf waxes carry an old and pre-aged signal from the catchment, most likely due to surficial soil erosion processes in the catchment that were also observed in the field.
- 10, 27: are you sure your leaf wax n-alkanes are in-situ rather than originate from litter fall from vegetation on-site or transported by wind and water?
- → "In-situ" means that the leaf wax n-alkanes from the paleosols originate from local biomass/vegetation at the investigated site, i.e. it basically means "on-site". However, we cannot exclude that some of the n-alkanes in the

paleosols were also transported by wind or water from neighbouring sites. However, the n-alkane-¹⁴C-ages from the paleosols indicate they also that material could not have had a significant age-offset with the timing of sedimentation.

- 11, 5ff: Any thoughts on the role and extent of overprint by contemporary biological activity? Your results point towards some spatial and time integrated value that is characteristic for a catchment. But how well does the sequence (depth profile) record the catchment changes in the past versus the soil development by contemporary vegetation?
 - → In our previous publication (Bliedtner et al. 2018, Quaternary Science Reviews 196, 62-79) we report that based on micromorphological analyses we found some rhizo-microbial activity in the fluvial sediment layers. This indicates some overprinting by post-sedimentary processes. However, generally high carbonate contents in those layers indicate only short-time pedogenesis on the active floodplain what leads us to the assumption that post-sedimentary processes did not play an important role in our sequence. Moreover, the leaf wax ¹⁴C-results indicate that our sequence is at least partly well suited to record former vegetation changes: While the catchment signal is challenging to interpret in terms of paleoenvironmental changes due to a spatially and temporarily integrated signal, the signal in the intensively developed paleosols originating from the investigated site is chronostratigraphically consistent and can therefore well be interpreted.
- 15 11, 22: do you mean reworking?

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- → Here we discuss the leaf wax signal from the (paleo)soils that is mostly incorporated from the local vegetation during soil development.
- 11, 24: 'indicate high grass/herb percentages'. Please be specific. If you know the percentages, share it with the reader.
- → The percentages of grasses/herbs are only semi-quantitative estimates that are based on a correction approach that is discussed more in detail in Bliedtner et al. 2018 (QSR 196, 62-79). Given that the percentages can exceed 100% a statement about precise percentages would therefore be misleading. However, we will provide a more detailed description of the grass/herb percentage ratio here.
 - 11, 25: 'not biased by pre-aging and reworking effects' what do you mean? Please consider rephrasing
 - → We mean that leaf waxes from the intensively developed (paleo)soils are chronostratigraphically consistent and not affected by pre-aging and reworking. We will rephrase this section accordingly.
 - 12, 3: 'this is caused' seems a quite strong statement. Please adjust
 - → Will be adjusted.
 - 12, 4: 'this is further: ::' Please check that sentence carefully, it reads bulky.
 - → Will be changed.
- 30 12, 13: by anthropogenic activity: how? By any disturbance events, eg. deforestation?
 - → Here we mean by anthropogenic induced land-use in the catchment. Will be specified.
 - 12, 15: no older ages were determined? n nŜo, this is the oldest?
 - → Yes, this is the oldest age. However, we were not able to date the two lowermost fluvial sediment layers and therefore do not have age information of them.
- 35 12, 26: 'deposition than before'? please check
 - → Will be changed.
 - 13, 7: only shale or also microbial?
 - → Mainly shale, but we will include a more detailed discussion about microbial influences in the discussion part, where we explain why microbial contributions if at all play only a minor role.

2. Reply to Referee#2

Dear Referee#2,

We thank you for taking the time to review our manuscript and for your valuable comments/suggestions. We will carefully revise our manuscript according to your suggestions. Please find our detailed responses below:

5 MAJOR COMMENTS

FIRST, the contribution of n-alkanes from microbial sources to fluvial and paleosol deposits: Throughout the manuscript the authors make a careful distinction between petrogenic n-alkanes that derive from organic-rich sedimentary rocks (14C dead, Jurassic black shales, in this study) and other n-alkanes from fluvial and paleosol sediments. The latter group are referred to as "leaf wax n-alkanes". While it is true that a major (and perhaps the largest) fraction in this group comprises leaf wax derived structures, it is quite likely that the group also contains microbial derived n-alkanes generated during pedogenic processes. Both molecular and isotopic composition of the "leaf wax" group can potentially be affected by the microbial source, e.g. Li et al. (2018, Org. Geochem. v. 115, 24-31), Wu et al. (2019, Org. Geochem., v. 128, 1-15). The authors, however, never mention this potential microbial source of n-alkanes. I suggest adding a discussion as to why this source is not considered to be important in general, and particularly when correcting F14C results for mass-dependent fractionation using 13C isotopes and when interpreting the results in section '3.5 Implications for leaf wax n-alkane-based paleoenvironmental reconstructions from our FSPS'.

→ Indeed, we missed to state in our manuscript that n-alkanes can also originate from microbial sources or microbial utilization, and thus can produce much younger ¹⁴C ages. Especially the potential buildup of long-chain n-alkanes by such organisms as described by Li et al. (2018) can be a serious issue that might complicate ¹⁴C dating and the paleoenvironmental interpretation of the respective leaf wax proxies. Therefore, we will include such a statement into the introduction as well as a more detailed discussion into the discussion part as suggested by the reviewer. However, although we cannot completely rule out the influence of microbial utilization, we suggest that non-leaf wax-derived n-alkane contributions in our fluvial sequence are mostly of petrogenic origin. This is based on the fact that short-and mid-chain n-alkanes do not show an odd-over-even predominance, but in case of a dominance of microbial processes we would expect an odd-over-even predominance in these chain lengths. Furthermore, ¹⁴C-ages become significantly younger after the removal of the short-chain n-alkanes by heating, what would suggest that their origin is mainly petrogenic.

SECOND, the level of detail when describing the study site The amount of detail given on pp. 3-4 when discussing the study site (section 2.1 Studied Site) and its geomorphological features is too excessive for the purposes of this manuscript. I suggest reducing it to a short paragraph and perhaps combining it with section 2.2 Stratigraphy.

→ In this case, we do not agree with the reviewer, since we feel that our manuscript should be able to stand alone, i.e. without the need to read our formerly published results. Therefore, to allow the readers to easily evaluate the geomorphic situation of the study site we suggest to keep the description as it is.

35 MINOR COMMENTS

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p. 1, line 33: "in-situ produced leaf wax n-alkanes" The use of the word in-situ is somewhat confusing here. Leaf wax n-alkanes can hardly be called in-situ when referring to soils and/or sediments. The term would probably fit more those n-alkanes that were produced within the soil (see above) during pedogenic processes.

- → We will change the term in-situ to on-site.
- 40 p. 7, line 8: "All obtained 14C-ages are found in Table 1." Instead of this one-liner, it would be useful to have a short paragraph reminding the reader about the main goals of this paper and how the results obtained here can help with achieving these goals.
 - → Will be done

Age and origin of leaf wax *n*-alkanes in fluvial sediment-paleosol sequences, and implications for paleoenvironmental reconstructions

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Abstract. Leaf wax *n*-alkanes are increasingly used for quantitative <u>paleoenvironmental</u> reconstructions <u>of past environmental</u> ehanges. However, this is complicated in sediment archives with associated hydrological catchments since the stored *n*-alkanes

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can have different ages and origins. Direct ¹⁴C-dating of the *n*-alkanes has a great potential to derives independent age information for these proxies, allowing their correct paleoenvironmental interpretation. This holds also true for fluvial sediment-paleosols sequences (FSPS) that store two different, where the n-alkane signals: is divided into (i) a catchment signal that is deposited within fluvial sediments, and (ii) an on-site in situ signal from local biomass that increasingly dominates (paleo)soils with time. Therefore, age and origin of n-alkanes in FSPS are complex: In fluvial sediment layers they can be preaged and reworked when originating from eroded catchment soils, or originate from organic-rich sediment rocks in the catchment. In (paleo)soils, besides an inherited contribution from the catchment they were formed in situon-site by local biomass during pedogenesis and originate from local biomass. Depending on the different relative contributions from these sources, the *n*-alkane signal from an FSPS can-shows variable age offsets between its formation and final deposition. During this study, we applied compound-class ¹⁴C-dating to *n*-alkanes from an <u>-fluvial sediment paleosol sequence</u> (FSPS) along the upper Alazani River in eastern Georgia-with Jurassic black clay shales in its upper catchment. Our results show that pre-heating the *n*-alkanes with 120°C for 8h before 14 C-dating effectively removed the shorter chains (<C₂₅) that partly originate including a part of from the catchment derived n-alkanes from from Jurassic black clay shales in the upper catchment. The remaining petrogenic contributions on the longer chains ($\geq C_{25}$) were estimated and corrected for by using a constant correction factor that This was based on the n-alkane concentrations in a Jurassie black clay shale sample from the upper catchment. Due to different degrees of pre-aging and reworking, the corrected leaf wax n-alkane ages still indicate relatively large age offsets between n-alkane formation and deposition: While intensively developed (paleo)soils showed no age offsets due to a dominance of in situon-site produced leaf wax n-alkanes no age offsets existed in intensively developed (paleo)soils, less intensively developed paleosols showed much larger age offsets in less intensively developed paleosols

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indicatedue to larger proportions of inherited leaf wax *n*-alkanes from the fluvial parent material. Accordingly, age offsets in non-pedogenic fluvial sediments were largest, and strongly increased after ~4 ka cal. BP-due to a greater proportion of preaged and reworked leaf wax *n*-alkanes. The leaf wax *n*-alkanes homologue distribution from intensively developed (paleo)soils indicate a local dominance of grasses/herbs throughout the Holocene, which was most likely caused by anthropogenic activity. The leaf wax *n*-alkanes from fluvial sediments show a dominance of both deciduous trees/shrubs and grasses/herbs in different parts of the FSPS-catchment between ~8 and ~5.6 cal. ka BP. Since no older deciduous trees/shrub-derived *n*-alkanes from the catchment were dated, this seems to confirm the formerly proposeda delayed regional post-glacial reforestation of parts of the catchment compared with western and central Europe.

1 Introduction

Long-chain *n*-alkanes (≥C₂₅) are valuable biomarkers in paleoenvironmental research that are biosynthesized as epicuticular leaf waxes by higher terrestrial plants. They stay well preserved in soils and sediment archives because of their low water-solubility, chemical inertness and persistence against degradation (Eglinton and Eglinton, 2008). The homologue distribution of leaf wax *n*-alkanes, as well as their stable hydrogen and carbon isotopic composition, are increasingly used as novel proxies to quantitatively reconstruct past changes in vegetation and hydro-climatic conditions from various sediment archives, including lake sediments (Sauer et al., 2001; Schwark et al., 2002; Sachse et al., 2006; Wirth and Sessions, 2016), loess-paleosol sequences (Schäfer et al., 2018; Häggi et al., 2019), marine sediments (Schefuß et al., 2005) and fluvial sediment sequences (Bliedtner et al., 2018a). However, reconstructions from sediment archives with associated hydrological catchments can be complicated by the fact that sediments and leaf wax *n*-alkanes transit through the catchment over hundreds to several thousands of years, and thus the timing of their deposition does not necessarily reflect the timing of leaf wax *n*-alkane formation (Smittenberg et al., 2006; Feng et al., 2013; Douglas et al., 2014; Gierga et al., 2016; Douglas et al., 2018). Resulting age offsets between leaf wax *n*-alkane formation and deposition will therefore limit any quantitative paleoenvironmental reconstruction.

In general, organic carbon (OC) and *n*-alkanes that are preserved in fluvial, lacustrine and marine sediment archives can originate from different sources (Hedges et al., 1986). They can: (i) directly derive from recent to sub-recent plant biomass, (ii) be pre-aged and reworked when derived from eroded catchment soils that were formed before their erosion and final deposition in the sediment archive (Blair and Aller, 2012), and (iii) originate from organic-rich sediment rocks, and this highly aged petrogenic organic material has undergone alteration and has to be regarded as fossil, i.e. it is ¹⁴C-dead (Galy et al., 2008; Hilton et al., 2010). (iv) *n*-Alkanes can additionally originate from recent to sub-recent aquatic production or from microbial production and postsedimentary microbial utilization (Ficken et al., 2000; Makou et al., 2018). These different sources of OC and *n*-alkanes explain the wide range of ¹⁴C-ages that are reported in the literature for riverine transported particulate organic matter (POC), and leaf wax *n*-alkanes and *n*-alkanoic acids (Galy and Eglinton, 2011; Marwick et al., 2015; Tao et al., 2015; Schefuß et al., 2016). Age offsets between leaf wax *n*-alkane formation and deposition in the order of hundreds to thousands

of years were mostly reported from lake sediments so far, and seem to increase throughout the Holocene due to anthropogenically induced soil erosion (Douglas et al., 2014; Gierga et al., 2016).

Possibilities to investigate the sources of OC and *n*-alkanes and to track their way through hydrological catchments have been made possible by the development of the MIni CArbon-DAting System (MICADAS), that is an accelerator mass spectrometer (AMS) equipped with a hybrid ion source. and When coupled to an elemental analyzer (EA), the MICADAS enables online 14C analyses of combustable samples with very small amounts of carbon thus enables measurements of samples with very small amounts of carbon (Wacker et al., 2010; Ruff et al., 2011, Welte et al., 2018). Thus, tThe EA-MICADAS enables allows direct 14C-dating of specific OC compounds, such as specific leaf wax *n*-alkane compounds. However, because compound-specific 14C-dating requires specialized equipment (preparative gas chromatography) and is very labor- and cost-intensive, compound-class 14C-dating where the whole *n*-alkane fraction is dated has been proposed as an elegant and cost-effective alternative at least for loess-paleosol sequences (Haas et al., 2017; Zech et al., 2017).

Up to now, age and origin of leaf wax *n*-alkanes have not been investigated in fluvial sediment-paleosol sequences (FSPS), although such archives can be found ubiquitously in many regions of the world and have a great potential for leaf wax *n*-alkane-based paleoenvironmental reconstructions (Bliedtner et al., 2018a). In such sequences, the fluvial sediments were deposited during phases of geomorphic activity with intensive flooding, and contain *n*-alkanes that carry a mixed catchment signal. In contrast, the paleosols were formed during phases of geomorphic stability when no or much less fluvial sediments were deposited. Besides catchment-derived *n*-alkanes from previous fluvial sedimentation, they contain *n*-alkanes that derive from local biomass and thus carry an *in situon-site* signal (Bliedtner et al., 2018a). Therefore, *n*-alkanes in FSPS have a complex origin, and can either have formed *in situon-site* during soil formation, or be pre-aged and reworked or originate from petrogenic sources when derived from the catchment. Additionally, microbial production and utilization can potentially contribute to both the *on-site* produced *n*-alkanes and the *n*-alkanes derived from the catchment. Depending on different relative contributions from these sources, the *n*-alkane signal recorded in FSPS can be older than the timing of sedimentation since it *s*-can-integrates over different temporal and spatial scales, and the resulting age offsets can vary throughout the profile.

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Here we investigated ¹⁴C-ages of *n*-alkanes from a FSPS along the upper Alazani River in eastern Georgia. Besides leaf wax-derived *n*-alkanes, also ¹⁴C-dead petrogenic *n*-alkanes from Jurassic black clay shales without a distinct odd-over-even predominance (OEP) from the upper Alazani sub-catchment contribute to all chain-lengths of the *n*-alkane signal in the FSPS (C_{21} - C_{35})—without a distinct odd over even predominance (OEP) (Bliedtner et al., 2018a). Since Tthose is petrogenic contribution *n*-alkanes contribute with a strongly depleted ¹⁴C signal (i.e. they are ¹⁴C dead) to the leaf wax-derived *n*-alkanes, they should lead to increased age offsets, and will therefore complicate compound-class ¹⁴C-dating of the *n*-alkanes as a whole fraction. Therefore, to overcome this limitation we (i) removed the mostly petrogenic short-chain *n*-alkanes ($< C_{25}$) in our samples by a pre-heat treatment, and (ii) subsequently applied a simple correction approach for the remaining petrogenic contributions that underlie the long-chain *n*-alkanes ($< C_{25}$) that are mostly derived originate from Quaternary leaf waxes. We hypothesized that due to pre-aging effects, i.e. reworking of soil-derived leaf wax *n*-alkanes from the upper Alazani subcatchment, the remaining age offsets should be larger for the fluvial sediment layers compared with the well-developed

paleosols. Doing so, we wanted to (i) evaluate the potential of n-alkane ¹⁴C-dating in FSPS after removing the petrogenic contribution, (ii) disentangle the different n-alkane sources and pathways before deposition, and (iii) directly date the n-alkane proxies in our investigated FSPS for more robust paleoenvironmental interpretations.

2 Material and methods

2.1 Studied site

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The investigated FSPS (42°02'17.7"N, 45°21'18.7"E; 450 m a.s.l.) is located in the upper Alazani valley in eastern Georgia. The Alazani River originates at an altitude of ~2,800 m a.s.l. from the southern slopes of the Greater Caucasus Mountains (Fig. 1, 2A). The Alazani flows from North to South for the first ~40 km and then, after its confluence with the smaller Ilto River, follows the NW-SE oriented Alazani thrust top basin for ~160 km (Fig. 2A). Here the Alazani is paralleled by the southern foothills of the Greater Caucasus in the NE (Adamia et al., 2010), and the southwesterly advancing Kura-fold-and-thrust-belt (Kura-FTB) in the SW (Forte et al. 2010) (Fig. 1, 2A). Finally, the ~240 km long Alazani drains via the Kura River into the Caspian Sea (Fig. 1). The investigated FSPS is located in the upper part of the Alazani thrust top basin ca. 10 km downstream of the confluence of Ilto and upper Alazani River (Fig. 2A). Upstream of this site both rivers show a braided character and are thus characterized by coarse gravelly river beds. The site-related sub-catchment in the upper Alazani and Ilto valleys is mountainous with a relatively small size of ~1,100 km². It shows relatively quite steep slopes with an averaged slope angle of ~20°, and especially in the uppermost part slopes up to 50° are found (Bliedtner et al., 2018a; Fig. 2A, B). The uppermost part of the upper Alazani sub-catchment in the central southern Greater Caucasus is formed by folded and metamorphosed Jurassic flysch and molasse deposits that consist of altered organic-rich black clay shales, sand-/siltstones and volcanic rocks (Fig. 2C). Following downstream, the middle part of the upper Alazani sub-catchment is dominated by Cretaceous sand-/silt- and limestones. The southern part of the sub-catchment is dominated by the Kura-FTB that reaches altitudes of 2,000 m, and consists of folded and overthrusted Cretaceous sand-/silt- and limestones, and Paleogene to Quaternary sandstones, siltstones and conglomerates (Gamkrelidze, 2003; Fig. 2C). Brownish loamy slope deposits are found in parts of the lower Ilto subcatchment that probably originate from late Pleistocene aeolian loess (Bliedtner et al., 2018a; Fig. 2C).

At the studied site, recent mean annual temperature and precipitation are about 12.0°C and 720 mm/a, respectively (http://de.climate-data.org/location/28480/, station: Akhmeta). In the upper Alazani sub-catchment, precipitation reaches up to 2,000 mm/a because most parts are located in the central southern Greater Caucasus (unpublished precipitation map V. Bagrationi Geographical Institute Tbilisi). Precipitation mainly falls in spring and early summer during convective events (Lydolph 1977), and thus the Alazani river reaches its maximal discharge between April and June due to both snow melt in the Greater Caucasus and the concomitant precipitation maximum, i.e. shows a pluvio-nival runoff regime (Suchodoletz et al., 2018).

The recent vegetation of eastern Georgia belongs to the Irano-Turanian Group (Connor et al., 2004; Sagheb-Talebi et al., 2014). The natural vegetation of the floodplains in the upper Alazani valley, where the studied site is located, consists of

deciduous elm-oak-vine forests (Connor and Kvavadze, 2008). Today, agricultural fields and grasslands cover most of the recently inactive elevated valley floor that is outcropped by the investigated FSPS, whereas the lower-lying active modern floodplain hosts scattered patches of deciduous riparian forests. The mid-mountain belt further upstream is characterized by mixed beech and in small parts also by fir-spruce forests. Alpine to subalpine meadows cover the highest parts of the catchment (Connor and Kvavadze, 2008). ~65% of the upper Alazani sub-catchment are covered by forests, and ca. 35% by grasslands and fields today (Bliedtner et al., 2018a).

2.2 Stratigraphy of the investigated FSPS

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The investigated FSPS is naturally exposed up to ~7 m along the upper Alazani River, and consists mostly of fine-grained overbank sediments with intercalated paleosols. The sequence was formerly investigated by Suchodoletz et al. (2018) where a more detailed description can be found. Throughout the sequence, six blackish-grayish to reddish paleosols were developed in the fine-grained silty to clayey overbank sediments (Fig. 3). Three intensively developed paleosols are characterized by distinct upper but gradual lower limits (Ahb1, Ahb5, Ahb6), whereas three weakly developed paleosols (Ahb2, Ahb3, Ahb4) only showed gradual upper and lower limits. A well-developed recent soil (Ah) covers the surface of the sequence. The sediments from of the FSPS were formerly analyzed for carbonate content, total organic carbon (TOC), pH and mass-specific magnetic susceptibility (χ) to differentiate in situon-site formed paleosols from partly similar-looking fluvial sediments. Based on a relative Soil Development Index (SDI), the differences of these measured values from the uppermost sample of a paleo(soil) to the underlying parent material were averaged to calculate soil development intensities (Suchodoletz et al., 2018). All paleosols are characterized by systematically decreasing carbonate contents and pH-values, but increasing TOC-contents and χ -values from bottom to top.

The chronology of the FSPS is based on nine charcoal pieces that were dated with ¹⁴C (Fig. 3). The ¹⁴C-ages are in stratigraphic order except two samples that are older than stratigraphically lower samples. Therefore, they must overestimate their true burial age, i.e. they might have been reworked. Apart from these two samples, the charcoal chronology of the FSPS represents the timing of sediment deposition/soil development, and serves as an independent age control for comparison with leaf wax *n*-alkane ¹⁴C-ages (Fig. 3). The chronology ranges between 8.0-8.2 cal. ka BP (95.4%) in the upper part of Ahb6, and 1.6-1.8 cal. ka BP (95.4%) in the sediments below the recent soil Ah. The oldest ¹⁴C-age of 8.0-8.2 cal. ka BP (95.4%) was obtained from a charcoal piece that was found together with archeological artefacts (potsherds, bones, obsidian tools) in the upper part of Ahb6. This documents anthropogenic activity at this site at least since the Neolithic period. Based on the ¹⁴C-age of 1.6-1.8 cal. ka BP (95.4%) from the non-pedogenic fluvial sediments below the recent soil (Ah), the time to form the Ah was estimated to ca. 1.6 ka. This age was used as a reference to calculate the approximate soil forming durations for the paleosols based on the Soil Development Index (SDI). For more detailed information how the SDI was derived, the reader is referred to Suchodoletz et al. (2018).

2.3 Analytical procedure

2.3.1 n-Alkane extraction, separation and quantification

24 samples from the FSPS along the upper Alazani River were formerly analyzed over the whole profile for their *n*-alkane homologue distributions (Bliedtner et al., 2018a). 5 of these samples were used for this study as a pre-test (step 1, see below). These samples were extracted with accalerated solvent extraction as described by Bliedtner et al. (2018a). Based on this pre-test, 11 samples from the FSPS, including 4 from the pre-test, were extracted again using an ultrasonic treatment according to Bliedtner et al. (2018b) (step 2, see below). To guaraentee stratigraphical representativeness, 6 of these re-extracted samples were cheosen from (paleo)soils and 5 from fluvial sediment layers.

All samples for 14 C-dating were extracted from air-dried and sieved (<2 mm) sample material. The total lipid extracts of all samples wereas separated over aminopropyl pipette columns into: (i) the apolar fraction including the n-alkanes, (ii) the more polar fraction, and (iii) the acid fraction. The n-alkanes were eluted with \sim 4 ml hexane and subsequently purified over coupled silver-nitrate (AgNO₃₋) – zeolite pipette columns. The subsequent dating approach encompassed two steps:

- 1. First, the *n*-alkane fractions of 5 samples (Ala 25I, 225I, 290I, 425I, 505I) were tested for compound-class ¹⁴C-dating (i.e. ¹⁴C-dating of the whole *n*-alkane fraction) during the pre-test (see Table 1, samples with BE Nr's.): Whereas the *n*-alkane fractions of four samples were not heated prior to ¹⁴C-measurement, the *n*-alkane fraction of sample Ala 25 I was pre-heated with 120°C for 8h. The pre-heating of the *n*-alkane fraction was carried out in a 1.5 ml GC vial with solvent evaporated and the GC vial placed into an oven at 120°C for 8h. The heated *n*-alkane fraction yielded a much younger ¹⁴C-age compared with the other samples, since the short-chain *n*-alkanes containing a significant petrogenic *n*-alkane contribution (<C₂₅) were obviously effectively removed (see Table 1 and Results and Discussion section).
- 2. Based on the results of the pre-test, the *n*-alkane fractions of the 11 re_extracted samples were also pre-heated with 120°C for 8h and subsequently ¹⁴C-dated (see Table 1, samples with ETH Nr's.). For direct comparison of the effectiveness of the pre-heating approach, *n*-alkane fractions of 4 re_extracted samples were taken from the same depths of the investigated FSPS as those from the pre-test that were formerly not heated.

The *n*-alkanes were identified and quantified using a gas-chromatograph (Agilent 7890 with an Agilent HP5MS column) equipped with a flame ionization detector (GC-FID). For identification and quantification, external *n*-alkane standards ($C_{21} - C_{40}$) were run with each sequence.

2.3.2 ¹⁴C measurements

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The ¹⁴C analyzes were carried out at both the LARA AMS Laboratory of the University of Bern (Szidat, 2014) and the LIP of the ETH Zurich, Switzerland.

For compound-class dating, purified non-heated and pre-heated n-alkane fractions were transferred with Dichlormethane into tin capsules (3.5 * 5.5 * 0.1 mm). 14 C-dating was performed on the Mini Carbon Dating System (MICADAS) AMS coupled

online to an Elementar Analyzer (Wacker et al., 2010; Ruff et al., 2011). Results are reported as fraction modern (F¹⁴C), which is the acitivity ratio of a sample related to the modern reference material Oxalic Acid II after subtracting the background signal. The *n*-alkanes of the pre-test (step 1) were analyzed at the LARA AMS (BE Nr's.). F¹⁴C results from the LARA AMS were corrected for cross (carry over from sample to sample) and constant contamination (carbon mass and F¹⁴C of the tin caps) according to the contamination drift model of Salazar et al. (2015): For constant contamination, 10 combined tin capsules were measured which yielded 0.43 µg C for a single cap with F¹⁴C values of 0.759.

The re_extracted and pre-heated n-alkane fractions (step 2) were analyzed at the LIP AMS (ETH Nr's.). F¹⁴C results were corrected for constant contamination according to Welte et al. (2018) with a fossil n-alkane standard (C₂₈ with F¹⁴C = 0) and a modern n-alkane standard (C₃₂ with F¹⁴C = 1.073). Constant contamination correction yielded 4.3 μ g C for a single cap, with F¹⁴C values of 0.895.

All ¹⁴C-ages were calibrated to cal. years BP (95.4% range) with the IntCal13 calibration curve (Reimer et al., 2013) using OxCal (Ramsey, 2009). <u>Calibrated</u> ¹⁴C-ages-results were reported <u>as age ranges</u> following the conventions of Millard (2014). <u>Additionally, we gave the ¹⁴C median age to the respective ¹⁴C age ranges. We also need to emphasize that compound-class dating of the *n*-alkanes in our fluvial sediment sequence might integrate over different spatial and temporal scales, which might complicate absolute age-dating. However, since our main aim was to test the chronostratigraphic integriety of our *n*-alkanes in the fluvial sequence by comparing them with an independent charcoal chronology that gives the timing of sedimentation, calibrated ¹⁴C-ages seem to be best suited for comparison between our different types of ¹⁴C-ages.</u>

3 Results and Discussion

Our study investigates ¹⁴C-ages of *n*-alkanes from a FSPS along the upper Alazani River and aims to (i) evaluate the potential of *n*-alkane ¹⁴C-dating in FSPS, (ii) disentangle the different *n*-alkane sources and pathways before deposition, and (iii) directly date the *n*-alkane proxies in the FSPS for more robust paleoenvironmental reconstructions. Therefore, in the following we will present in the following a pre-heat treatment and correction approach to remove the pretrogenic *n*-alkane contribution from the leaf wax-derived *n*-alkanes, discuss different pathways of leaf wax *n*-alkanes before their deposition, and finally derive paleoenvironmental implications.—All obtained ¹⁴C ages are found in Table 1.

25 3.1 Heating experiments

Fig. 4A shows the homologue distribution of petrogenic n-alkanes derived from a Jurassic black clay shale sample from the upper Alazani sub-catchment (Fig. 2C). These are present with similar amounts at all chain-lengths (C_{21} - C_{35}) and do not show a distinct odd-over-even predominance (OEP). These ¹⁴C-dead n-alkanes also contribute to the sedimentary leaf wax n-alkanes in our FSPS, and are exemplarily shown as the assumed maximal petrogenic contribution for the n-alkane homologue distribution of non-heated sample Ala 425 I in Fig. 4B. During the pre-test, this sample gave a ¹⁴C-age of 12.4-13.3 cal. ka BP (95.4%), what is much older than the timing of sedimentation obtained from the charcoal ¹⁴C-ages (~5 to 4 cal. ka BP). To at

least partly reduce the contamination with petrogenic n-alkanes, we re-extracted this sample and applied the heat treatment of 120°C for 8 h before ¹⁴C-measurement. The n-alkane homologue distribution of the re-extracted and heated sample Ala 42+5 II (Fig. 4C) demonstrates that the short-chain n-alkanes and thus a significant amount of the petrogenic n-alkanes were effectively removed by this procedure. Consequently, the resulting ¹⁴C-age of 6.4-7.9 cal. ka BP (95.4%) was much younger than the age obtained without pre-heating (Fig. 4C and 5).

The 14 C-ages of the other samples that were also measured both before and after the removal of the short-chain n-alkanes by heating were: For sample Ala 225 5.8-6.3 cal. ka BP (95.4%) (Ala 225 I) and 3.1-4.2 cal. ka BP (95.4%) (Ala 225 II), for sample Ala 290 7.6-8.2 cal. ka BP (95.4%) (Ala 290 I) and 5.8-7.2 cal. ka BP (95.4%) (Ala 290 II), and for sample Ala 505 15.7-16.8 cal. ka BP (95.4%) (Ala 505 I) and 6.5-8.6 cal. ka BP (95.4%) (Ala 505 II), respectively (Table 1; Fig. 5).

The results of our heating experiments show that a simple heat treatment effectively removes the short-chain n-alkanes that contain a significant petrogenic component. However, we have to mention that no extensive heating experiments were carried out for the removal of short-chain n-alkanes prior to this study, and that we only tested at 3 different temperatures (100, 110 and 120°C) for 8h. We found that most of the short-chain n-alkanes could best be removed with 120°C for 8h, although a slight proportion of the longer-chains were also removed. We also have to mention that possible fractionation during heating could potentially lead to an enrichment of the heavier ¹⁴C-isotope, but such a fractionation effect should be negligible because the F¹⁴C results were generally corrected for mass-dependent fractionation by the ¹³C-isotopes. Therefore, the applied heat treatment is an effective pre-treatment to derive a more homogeneous leaf wax n-alkane signal for compoundclass ¹⁴C-dating in general, and especially in environmental settings where petrogenic OC and n-alkanes occur. Because of the partly removed petrogenic contribution, the ¹⁴C-age of a heated sample is generally closer to its "true" leaf wax *n*-alkane ¹⁴Cage. However, since petrogenic n-alkanes are also present at the longer chains $\ge C_{25}$ that mostly originate from leaf waxes and serve as paleoenvironmental proxies (see Fig. 4), this pre-treatment cannot completely remove the petrogenic contribution. Furthermore, we have to mention that beside n-alkane contributions from petrogenic sources, also short-chain n-alkane contributions from aquatic and microbial sources were reported from lake sediments (Ficken et al., 2000; Makou et al., 2018), and microbial utilization was reported to alter the leaf wax signal of the longer chains (Li et al., 2018). While we cannot completely rule out possible aquatic and microbial contributions to our leaf wax-derived n-alkanes, we suggest that such contributions are negligible in our fluvial sediment sequence. This is because n-alkanes from aquatic and microbial production would show a clear OEP on the shorter chains with younger ¹⁴C-ages, whereas our short-chain n-alkanes show no OEP and the ¹⁴C-ages become significantly younger after the removal of the shorter chains by heating.

3.2 n-Alkane ¹⁴C-ages from the FSPS along the upper Alazani River

In the following, we will only focus on the 11 n-alkane samples from the investigated FSPS that were pre-heated with 120°C for 8 h. n-Alkanes were present in all these samples after heating with values ranging between 27 to 60 μ g carbon per n-alkane fraction, and they contained what was enough carbon for robust 14 C-measurements. Their F^{14} C-values range from 0.7865 \pm

0.0110 to 0.3544 ± 0.0174 , what corresponds to calibrated calendar ages from 1.6-2.2 cal. ka BP (95.4%) to 8.4-10.7 cal. ka BP (95.4%) (Table 1).

Calibrated calendar ages for the n-alkanes from (paleo)soils and fluvial sediment layers are shown in Fig. 6. Compared to the independent charcoal-based chronology of the sequence (Suchodoletz et al., 2018), the n-alkane ¹⁴C-ages show variable age offsets over the FSPS, i.e. they are generally older. The n-alkane ¹⁴C-ages of intensively developed paleosols and the recent soil show generally lower age offsets (between 0 and 1.3 ka) than those from less intensively developed paleosols (up to 3.3 ka) (Fig. 6). The age offsets of the n-alkanes from fluvial sediment layers are generally older than those from all (paleo)soils, and increase in the upper part of the FSPS (Fig. 6): Age offsets in the lower part range between ~2.3 and 3.5 ka, and increase up to ~6 ka in the upper part.

0 3.3 Estimation and correction for petrogenic *n*-alkanes

Our pre-heating of the n-alkane fractions with 120°C for 8h effectively removed the short-chained petrogenic n-alkanes (<C₂₅) derived from Jurassic black clay shales in the upper Alazani sub-catchment. However, such petrogenic n-alkanes are also present at the longer chains (C₂₅-C₃₅), where they underlie the leaf wax-derived n-alkanes and do not show a distinct OEP (see Fig. 4C). Our pre-heating can therefore not fully remove all petrogenic n-alkanes. To correct for this underlying petrogenic contribution, we propose a simple correction procedure that uses a constant correction factor: A measured Jurassic black clay shale sample from the upper Alazani sub-catchment yielded an averaged concentration of 0.007 μ g*g⁻¹ per single n-alkane compound (see Fig. 4A). Assuming that this is the maximal possible concentration of petrogenic n-alkanes in sediment samples of our FSPS, and that this equally underlies both the even and odd leaf wax compounds from fluvial sediments and (paleo)soils, the proportion of petrogenic n-alkanes for each sample can be quantified and calculated as follows:

20 petrogenic contribution (%) =
$$\frac{0.007 \,\mu g * g^{-1} * \text{sum of chains}}{n \text{-alkane concentration } \mu g * g^{-1}} * 100$$
 (1)

The sum of chains is the number of n-alkane chains from C_{25} to C_{35} , and the n-alkane concentration is the sum of concentration from C_{25} to C_{35} . In cases where not all shorter chains (<C₂₅) could completely be removed from the sample by heating, they will be included in the calculation.

The calculated petrogenic contribution (%) that is assumed to be 14 C-dead (i.e. has a F^{14} C value = 0) can then be subtracted from the measured F^{14} C-value to derive the petrogenic corrected F^{14} C-value (F^{14} C_{petro corr}):

$$F^{14}C_{petro\ corr} = F^{14}C_{measured} + (F^{14}C_{measured} * petrogenic\ contribution * 0.01)$$
 (2)

The obtained petrogenic corrected F¹⁴C-values were then calibrated with IntCal13 to yield calendar ages again. We have to note that error propagation of the calibrated petrogenic corrected ages is difficult and we simply used the measured ¹⁴C-error of the respective sample before petrogenic correction. This might holds a certain uncertainty but when corrected, the error should basically become smaller and fall within the measured ¹⁴C-error. We have also to note that calibrated petrogenic corrected These ages indicate the maximal possible petrogenic contribution in the sediment samples from our FSPS. However,

since the proportion of Because of dilution of petrogenic *n*-alkanes is not necessarily constant over our FSPS and can become diluted in the sediment samples by sediment material that was not derived from black not black clay shales derived sediment material and/or the slight loss of long-chain *n*-alkanes during heating (see discussion 3.1), the "true" leaf wax *n*-alkane ages lie in-between the heated and petrogenic-corrected ages.

As illustrated in Fig. 7, the maximal possible petrogenic contribution from petrogenic *n*-alkanes can be quantified and corrected for by this approach. Maximal possible petrogenic contributions are relatively high with ~0.8 to 2.0 ka throughout the investigated FSPS. Compared to the fluvial sediments, the (paleo)soils show lower contributions of petrogenic *n*-alkanes. Due to generally higher total *n*-alkane concentrations in the upper part of the FSPS, the relative proportion of the maximal possible petrogenic contribution generally decreases from bottom to top (Fig. 7).

3.4 In-situOn-site leaf wax n-alkane formation versus pre-aging and reworking

Since the petrogenic *n*-alkanes could effectively be removed by heating and correction for maximal petrogenic contributions, the remaining *n*-alkanes should mostly derive from leaf waxes. Accordingly, their age offsets to the sediment layers in the FSPS wherein they are buried became smaller. However, the age offsets still reach up to several millennia and vary over the FSPS, and have to be regarded as minimal age offsets since the correction for petrogenic contributions gave maximal possible values. These offsets generally differ between (paleo)soils and fluvial sediment layers (Fig. 7).

Fluvial sediment layers

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Calculated petrogenic corrected minimal age offsets for the leaf wax n-alkanes from fluvial sediment layers range between ~ 0.4 to ~ 5.0 ka. They are distinctively larger in the upper part of the FSPS, i.e. in the fluvial sediment layers above Ahb1, Ahb2 and Ahb3. In the lower part of the FSPS below Ahb3 and Ahb4, corrected age offsets strongly decrease and are only slightly off with the timing of sedimentation (Fig. 7). We interpret these variable age offsets to be caused by different degrees of pre-aging and reworking of OC and leaf wax n-alkanes, which can mainly be caused by three different effects:

- (i) Different degrees of pre-aging and reworking of OC and leaf wax *n*-alkanes: The proportion of recent to sub-recent versus pre-aged and reworked OC and leaf wax *n*-alkanes in FSPS is primarily controlled by the intensity of physical erosion in the catchment (Hilton et al., 2012; Smith et al., 2013; Galy et al., 2015). Thus, larger ¹⁴C-age offsets in the upper part of our FSPS indicate more intensive and profound erosion in the upper Alazani sub-catchment during the last 4 cal. ka BP, leading to a relatively large-scale mobilization of pre-aged OC and leaf wax *n*-alkanes compared with recent to sub-recent ones. In contrast, smaller age offsets in the lower part of the FSPS indicate less intensive and profound erosion before ca. 4 cal. ka BP, while-when a larger relative amount of recent to sub-recent OC and leaf wax *n*-alkanes was mobilized (see Fig. 7).
- (ii) Different durations of *in-situon-site* soil formation and the according buildup of OC and leaf wax *n*-alkanes in catchment soils: In case that they were not occasionally eroded recent soils continuously built up during the Holocene, and thereby the mean age of soil OC and leaf wax *n*-alkanes became successively older (Smittenberg

et al., 2006; Gierga et al., 2016). Therefore, OC and *n*-alkanes that were eroded after a longer time of soil development during the late Holocene should exhibit larger mean age offsets than those that were eroded during the early or middle Holocene, i.e. after a shorter time of soil development. Thus, even in case of a constant proportion of recent to sub-recent versus pre-aged and reworked OC and leaf wax *n*-alkanes in the sediments, a systematic increase of their age throughout the Holocene should be expected.

(iii) Sediment (dis)connectivity (Leithold et al., 2006): Disconnectivity in a hydrological catchment describes blockages like sediment sinks and storages that temporarily interrupt longitudinal, lateral and vertical sediment delivery (Fryirs, 2013), leading to increasing OC and leaf wax *n*-alkane age offsets. However, because of its relatively small size of ~1.100 km² and the steep averaged slopes of ~20° (Fig. 2B), the upper Alazani subcatchment has a high sediment connectivity. This is also demonstrated by the general absence of fine-grained overbank sediments upstream of the confluence of uppermost Alazani and Ilto River. Therefore, most of the fine-grained material in the investigated FSPS must have been eroded relatively shortly before final deposition, i.e. without a significant time lag between both processes (Bliedtner et al., 2018a; Suchodoletz et al., 2018). Nevertheless, since disconnectivity effects in the upper Alazani sub-catchment are obviously negligible and

Nevertheless, since disconnectivity effects in the upper Alazani sub-catchment are <u>obviously</u> negligible and although the continuous buildup of OC and leaf wax *n*-alkanes in catchment soils can contribute to the age offsets, strongly increasing age offsets after ~4 cal. ka BP are most likely a result of increased erosion due to intensified regional anthropogenic activity since ~4.5 ka BP (Akhundov, 2004).

(Paleo)soils

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For the intensively developed (paleo)soils Ah, Ahb1, Ahb5 and Ahb6, petrogenic-corrected leaf wax *n*-alkane ages show no minimal age offsets and thus fall into the period of soil formation (Fig. 7). In contrast, corrected minimal age offsets of the less intensively developed paleosols Ahb 4 and Ahb 2 strongly increase up to ~2.4 ka (Fig. 7). Thus, in these weakly developed paleosols the *in-situon-site* leaf wax *n*-alkane signal from local biomass decomposition is obviously still much stronger biased by inherited pre-aged and reworked leaf wax *n*-alkanes from their fluvial parent material compared to the intensively developed (paleo)soils. In the latter, the longer duration of soil development must have <u>had</u> constantly incorporated locally-derived leaf wax *n*-alkanes that fully overprinted the formerly deposited leaf wax *n*-alkanes from their fluvial parent material.

Taken together, whereas relatively large age offsets between leaf wax *n*-alkane formation and deposition can occur in less intensively developed paleosols and fluvial sediment layers, leaf wax *n*-alkane ages from intensively developed (paleo)soils reflect most reliably the timing of their formation.

3.5 Implications for leaf wax n-alkane-based paleoenvironmental reconstructions from our FSPS

Fluvial sediment layers

The interpretation of catchment-derived leaf wax n-alkanes from the fluvial sediment layers is very challenging, as they show variable minimal age offsets of ~ 0.3 to 4.7 ka over the investigated FSPS. It appears that all dated leaf wax n-alkanes from fluvial sediment layers in the FSPS must have formed during a similar period in the middle Holocene between ~8 and ~5.6 cal, ka BP (Fig. 8). However, their formation could also have the started of their formation could also have been some centuries earlier, since the petrogenic correction only gave minimal values for the age offsets (Fig. 7). Despite the variable minimal age offsets, some rought paleovegetational trends can be derived from the fluvial sediment layers of the FSPS. To do so, tThe vegetation distribution of deciduous trees/shrubs and grasses/herbs can be derived from the leaf wax n-alkane distribution pattern and was formerly described by Bliedtner et al. (2018a) for the investigated FSPS. There, the vegetation distribution is expressed in a two-component mixing equation as the grass/herb ratio that is based on regional end-members and corrected for possible degradation effects (Fig. 7). We have to mention that absolute grass/herb percentages can exceed 100%, but those should not be over-interpreted and rather be considered as semi-quantitative estimates. A dominance of deciduous tree/shrubderived leaf wax n-alkanes in fluvial sediments between ca. 390 and 170 cm indicates that larger parts of the upper Alazani sub-catchment must have been forested during the middle Holocene (Fig. 7), and grass/herb-derived leaf wax n-alkanes from the lower (between ca. 575 and 480 cm) and uppermost part of the FSPS (above ca. 130 cm) with similar ages indicate that other parts of the catchment must have been covered by grass/herb vegetation during the same period (Fig. 8). Therefore, catchment-derived leaf wax n-alkanes were obviously not constantly eroded relatively shortly after their formation, as was formerly suggested by Bliedtner et al. (2018a). Instead, large-scale erosion of leaf wax n-alkanes with similar middle Holocene ages must have occurred in different parts of the sub-catchment during different periods (Fig. 8).

(Paleo)soils

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Our results show that the petrogenic-corrected leaf wax *n*-alkane ¹⁴C-ages from the intensively developed (paleo)soils Ah, Ahb1, Ahb5 and Ahb6, that were all formed for at least 1 ka, generally agree with the independent ¹⁴C-ages derived from charcoal (Fig. 7). That means that the respective leaf wax proxies from such soils, e.g. the commonly used ACL, OEP and stable hydrogen/carbon isotopes, give reliable paleoenvironmental information about the *in situon-site* formed leaf wax *n*-alkane signal. Thus, since *in situon-site* formed leaf waxes obviously dominate the *n*-alkane signal in the intensively developed (paleo)soils of the FSPS in the upper Alazani valley, that indicate higher grasses/herbs percentages at the studied site throughout the Holocene (Bliedtner et al., 2018a, Figs. 7 and 8), this they are is chronostratigraphlogically consistent and not biased affected by pre-aging and reworking effects. These *n*-alkanes indicate higher percentages of grasses/herbs at the studied site throughout the Holocene (Bliedtner et al., 2018a, Figs. 7 and 8). While tThe natural potential vegetation in the upper Alazani lowlands are elm-oak-vine forests rather than grassland (Connor and Kvavadze, 2008), and accordingly deciduous trees have been reported by pollen analyses from buried soil profiles for the neighboring Iori lowlands before 5 cal. ka BP (Gogichaishvili, 1984; location see Fig. 1 and record Fig. 8). Therefore, increased anthropogenic activity is the most likely cause for the observed grass/herb dominance in the (paleo)soils at the studied site for most of the Holocene (Bliedtner et al., 2018a). Accordingly, anthropogenic influence at the studied site is documented by archeological artefacts in paleosol Ahb6

since ~8 cal. ka BP (Fig. 3), and regional anthropogenic activity generally intensified since ~4.5 cal. ka BP (Akhundov, 2004; see Fig. 8). Compared with the intensively developed (paleo)soils, relatively large offsets between the petrogenic-corrected leaf wax *n*-alkane ¹⁴C-ages and the independent charcoal ¹⁴C-ages are found for the less intensively developed paleosols Ahb4 and Ahb2. This is-should be caused byeaused mostly because of by the larger proportion of pre-aged and reworked leaf wax *n*-alkanes from their fluvial parent material compared with the *in situon-site* formed signal. This is further demonstrated by the the fact that thesignificantly smaller minimal age offset of ~0.7 ka for paleosol Ahb4 that had formed for ca. 400 years is significantly smaller than that compared to of the minimal age offset of ~2.2 ka for paleosol Ahb2 that had only formed for ca. 50 years (Fig. 7). HoweverGiven that their signal is strongly dominated by inherited *n*-alkanes from fluvial sediment layers, the results from these weakly developed paleosols do not affect the general picture of a general dominance of grass/herb-vegetation at the investigated site throughout the Holocene (Fig. 8).

Paleoenvironmental reconstruction

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Although our leaf wax n-alkane ¹⁴C-ages do not support the former leaf wax n-alkane-based paleovegetation interpretation of the study of Bliedtner et al. (2018a) in every detail, they confirm its main findings:

- Despite other results from a neighboring pollen archive near Sagarejo (Gogichaishvili, 1984; location see Fig. 1 and record Fig. 8), the upper Alazani floodplain has been dominated by grass/herb vegetation throughout the Holocene. This was most probably caused by anthropogenic activity with increased land-use.
- At least parts of the upper Alazani sub-catchment were covered with deciduous trees/shrubs during the middle Holocene between ~8 and ~5.6 cal. ka BP. No older ages were determined from leaf wax *n*-alkanes derived from this type of vegetation. Therefore, it is very likely that this marks the beginning of post-glacial reforestation in the upper Alazani sub-catchment, although the start of reforestation could also have occurred some centuries earlier since the petrogenic correction only gave minimum values for the age offsets. This corroborates former pollen data that suggest a delayed regional post-glacial reforestation compared with western and central Europe between ca. 9 to 6 cal. ka BP (Lake Urmia: Bottema, 1986; Lake Van: Litt et al., 2009; Lake Paravani: Messager et al., 2013; locations see Fig. 1, and record from Lake Paravani Fig 8).
- Middle Holocene IL eaf wax *n*-alkanes that were formed during the Middle Holocene and were were deposited before ca. 4 cal. ka BP dominantly originate from grass/herb vegetation. In contrast, most middle Holocene leaf wax *n*-alkanes that were formed during a similar period of the Middle Holocene but with similar ages that were deposited after that ca. 4 cal. ka BP time originate from deciduous tree/shrubs. This suggests the start of large-scale erosion around ca. 4 cal. ka BP in those parts of the upper Alazani sub-catchment that were covered by deciduous forest vegetation, whereas prior to that period mostly grassland soils were eroded. Furthermore, given that larger age offsets between biomarker formation and deposition than beforeoccur after ca. 4 cal. ka BP, this suggests more profound erosion processes with a relatively larger mobilization of pre-aged leaf wax *n*-alkanes—since ca. 4 cal. ka BP. This

finding agrees with the observation that settlement in higher altitudes of the Greater Caucasus generally started since ca. 4.5 cal. ka BP (Akhundov, 2004; Fig. 8).

4 Conclusions

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During this study, we directly dated leaf wax *n*-alkanes from a fluvial sediment-paleosol sequence (FSPS) along the upper Alazani River in eastern Georgia by compound-class ¹⁴C-dating to investigate their potential for paleoenvironmental reconstructions. Our study gave the following results:

- Pre-heating of the *n*-alkane fraction with 120°C for 8h before compound-class ¹⁴C-dating effectively removed the short-chain *n*-alkanes (<C₂₅). These included a part of the petrogenic *n*-alkane contribution from Jurassic black clay shales from the upper catchment.
- Remaining petrogenic contributions of the long-chain *n*-alkanes (≥C₂₅) were estimated and corrected for by applying a simple constant petrogenic correction factor that is based on *n*-alkane concentrations in a Jurassic black clay shale sample from the upper Alazani sub-catchment. The corrected *n*-alkane ¹⁴C-ages from the FSPS were younger than without correction, and gave-are much closer to the "true" leaf wax *n*-alkane age information.
- A part of the petrogenic-corrected leaf wax *n*-alkanes still showed relatively large age offsets between their formation and final deposition into the FSPS, indicating different degrees of pre-aging and reworking: While there is no offset for leaf wax *n*-alkanes from intensively developed (paleo)soils what indicates a dominance of *in-situon-site* produced local leaf wax *n*-alkanes, the offsets for leaf wax *n*-alkanes from less intensively developed paleosols are much larger. This can possibly be explained with a larger relative proportion of inherited leaf wax *n*-alkanes from their fluvial parent material. Accordingly, the offsets in fluvial sediment layers are even larger and show values up to several thousand years. Since all dated leaf wax *n*-alkanes from fluvial sediment layers show similar middle Holocene ages between ~8 and ~5.6 cal. ka BP <u>irrespective of their stratigraphic position in the FSPS</u>, the age offsets generally increase towards the top of the sequence. <u>This</u>, <u>indicating indicates</u> a greater relative proportion of pre-aged and reworked compared with recent to sub-recent leaf wax *n*-alkanes in fluvial sediments deposited after ca. 4 cal. ka BP.
- Leaf waxes from intensively developed (paleo)soils showed a dominance of grass/herb vegetation at the studied site throughout the Holocene. This was most likely caused by anthropogenic influence since ~8 cal. ka BP. Middle Holocene *n*-alkanes from fluvial sediment layers in different parts of the FSPS indicate both deciduous trees/shrubs and grasses/herbs in the upper Alazani sub-catchment since at latest ~8 cal. ka BP. Similar with former regional studies, this-indicatinges a delayed post-glacial reforestation in-of parts of the upper Alazani sub-catchment since ca. 9 8 cal. ka BP. Similar with former regional studies this indicates a delayed start of reforestation compared with western and central Europe-since ca. 9 8 cal. ka BP. of some millennia. Whereas the leaf wax *n*-alkanes that were deposited prior to ca. 4 cal. ka BP show a dominance of grasses and herbs, those that Unlike those that were deposited beforeafter, the leaf wax *n*-alkanes that were deposited since ca. 4 cal. ka BP showed a dominant origin from

deciduous trees/shrubs. This, which obviously indicates the start of large-scale erosion in deciduous forest-covered parts of the sub-catchment since that period.

Our results demonstrate that compound-class ¹⁴C-dating of *n*-alkanes in FSPS is <u>an</u> important <u>step</u> to investigate their age and origin, since varying proportions of both local and catchment-derived leaf wax *n*-alkanes are found in these archives. Therefore, this step is a mandatory precondition for robust leaf wax *n*-alkane-based paleoenvironmental reconstructions from FSPS, but also from other kinds of sediment archives with hydrological catchments such as lake and marine sediments. Generally, for leaf wax *n*-alkane-based paleoenvironmental studies in such sediment archives we recommend to select (i) catchments without carbon-rich sediment rocks containing petrogenic *n*-alkanes, and (ii) relatively small catchments with short mean transfer times between leaf wax *n*-alkane formation and deposition.

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Table 1: *n*-Alkane ¹⁴C-ages from the investigated FSPS along the upper Alazani River including treatment, carbon mass, fraction modern values (F¹⁴C), uncalibrated ¹⁴C-ages and calibrated ¹⁴C-ages as calibrated age ranges in cal. BP (95.4%) with the calibrated median age. The *n*-alkane samples from the pre-test are marked with I, whereas the corresponding reanalyzed *n*-alkane samples with II for direct comparison. Colored rows indicate *n*-alkane samples from (paleo)soils.

Lab. code	Sample label	Pre- treatment	Depth (cm)	Mass (ug)	F ¹⁴ C	Uncalibrated ages	Calibrated age ranges (cal. BP)	Calibrated median age (cal. BP)
BE-4788.1.1	Ala 25 I	Heated	25	30	0.7865 ± 0.0110	1929 ± 112	1573-2150 (95.4%)	1877
ETH-81313.1.1	Ala 100	Heated	100	49	0.4490 ± 0.0175	6432 ± 311	6660-7934 (95.4%)	7304
BE-4792.1.1	Ala 225 I	Not heated	225	55	0.5199 ± 0.0066	5255 ± 101	5756-6282 (95.4%)	6046
ETH-81312.1.1	Ala 225 II	Heated	225	60	0.6583 ± 0.0159	3359 ± 193	3083-4150 (95.4%)	3623
ETH-81311.1.1	Ala 280	Heated	280	54	0.3544 ± 0.0174	8334 ± 390	8390-10264 (95.4%)	9310
BE-4793.1.1	Ala 290 I	Not heated	290	47	0.4148 ± 0.0082	7069 ± 158	7595-8191 (95.4%)	7895
ETH-81310.1.1	Ala 290 II	Heated	290	55	0.4974 ± 0.0164	5610 ± 263	5769-7156 (95.3%)	6427
ETH-81309.1.1	Ala 315	Heated	315	41	0.3598 ± 0.0205	8212 ± 452	8170-10371 (95.4%)	9181
ETH-81308.1.1	Ala 390	Heated	390	47	0.4050 ± 0.0186	7261 ± 366	7468-8987 (95.4%)	8120
BE-4795.1.1	Ala 425 I	Not heated	425	47	0.2564 ± 0.0065	10935 ± 203	12430-13255 (95.4%)	12850
ETH-81307.1.1	Ala 425 II	Heated	425	37	0.4553 ± 0.0208	6319 ± 363	6415-7927 (95.4%)	7183
BE-4796.1.1	Ala 505 I	Not heated	505	37	0.1866 ± 0.0048	13488 ± 206	15685-16930 (95.4%)	16257
ETH-81306.1.1	Ala 505 II	Heated	505	27	0.4351 ± 0.0271	6685 ± 494	6494-8584 (95.4%)	7565
ETH-81305.1.1	Ala 545	Heated	545	31	0.4253 ± 0.0243	6867 ± 454	6749-8715 (95.4%)	7753
ETH-81303.1.1	Ala 625	Heated	625	36	0.3782 ± 0.0220	7811 ± 463	7743-9887 (95.4%)	8748

Figures

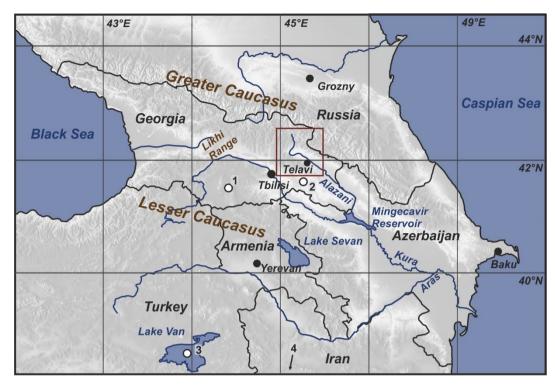


Figure 1: Overview of the Caucasus region. The red rectangle marks the study area in the upper Alazani valley. Regional pollen records that are used for comparison in this study are marked with a white dot: 1 = Lake Paravani (Messager et al., 2013), 2 = Sagarejo sediment section (Gogichaishvili, 1984), 3 = Lake Van (Litt et al., 2009), 4 = Lake Urmia (Bottema, 1986).

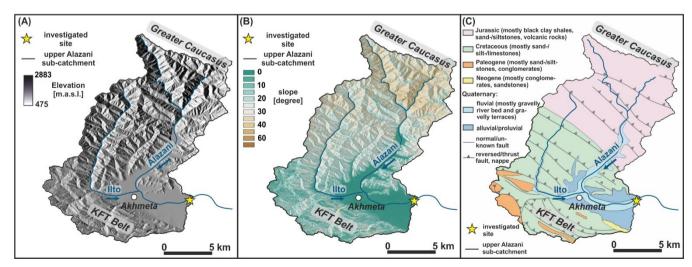


Figure 2: (A) Digital elevation model of the upper Alazani sub-catchment (SRTM30-DEM). (B) Slope map of the upper Alazani sub-catchment. (C) Geological map of the upper Alazani sub-catchment (simplified after Gamkrelidze, 2003). KFT Belt = Kura-fold-thrust-belt.

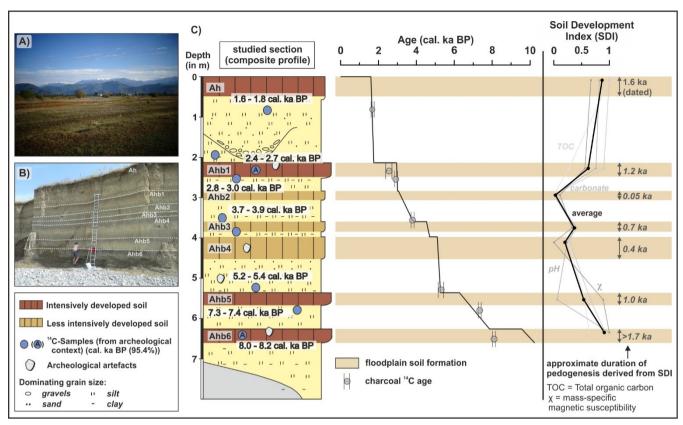


Figure 3: A) Photo of the active modern floodplain next to the investigated FSPS in the front, and the mountainous upper Alazani catchment in the southern Greater Caucasus in the back. B) Photo of the investigated FSPS with highlighted (paleo)soils. C) Schematic stratigraphy of the investigated FSPS (left) with the age-depth model based on ¹⁴C-datings of charcoal pieces (center), and approximate durations of soil formation based on a soil development index (SDI) (right; Suchodoletz et al., 2018). The two charcoal samples that overestimated their burial ages were excluded from the age-depth model. Charcoal ¹⁴C-ages are given as calibrated age ranges in cal. ka BP (95.4%) with the calibrated median age.

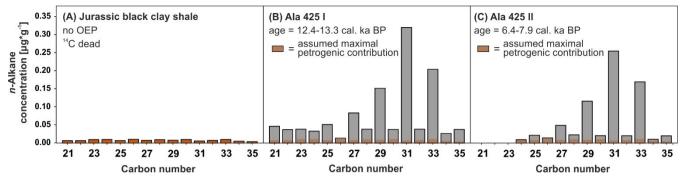


Figure 4: *n*-Alkane chain-length distributions in μg*g⁻¹ sediment for (A) a Jurassic black clay shale sample from the upper Alazani sub-catchment, (B) FSPS sediment sample Ala 425 I without heating, and (C) re-extracted FSPS sediment sample Ala 425 II after heating with 120°C for 8 h. *n*-Alkane ¹⁴C-ages for samples Ala 425 I and II are given as age ranges in cal. ka BP (95.4%).

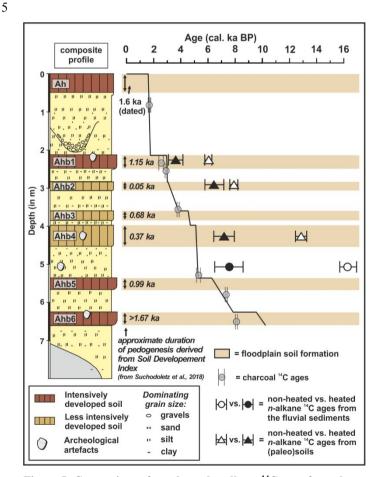


Figure 5: Comparison of non-heated *n*-alkane ¹⁴C-ages from the pre-test and heated *n*-alkane ¹⁴C-ages that were re_extracted from the same samples of the investigated FSPS and heated with 120°C for 8h. For comparison, the independent age model based on ¹⁴C-dated charcoal pieces and the Soil Development Index (SDI) is also shown (Suchodoletz et al., 2018). ¹⁴C-ages are given as calibrated age ranges in cal. ka BP (95.4%) with the calibrated median age.

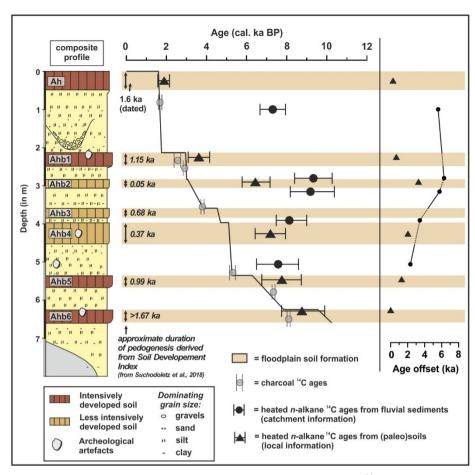


Figure 6: Chronostratigraphy of the investigated FSPS with *n*-alkane ¹⁴C-ages after heating with 120°C for 8 h from (paleo)soils and fluvial sediment layers. ¹⁴C-ages are given as calibrated age ranges in cal. ka BP (95.4%) with the calibrated median age. <u>Please note the shorter time scale (x-axis) compared with Fig. 5.</u>

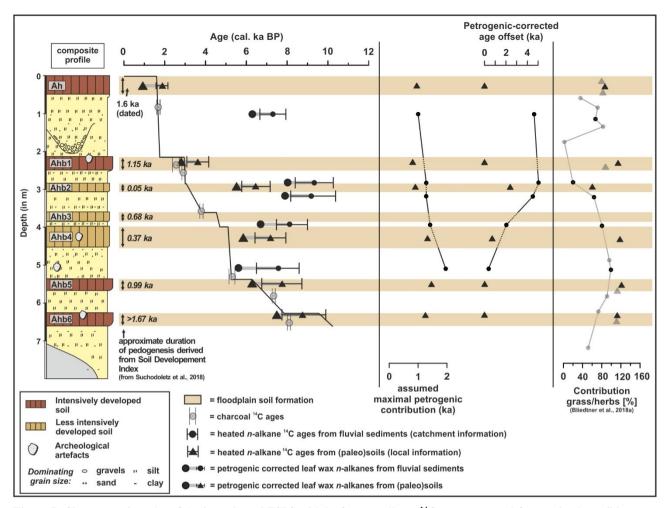


Figure 7: Chronostratigraphy of the investigated FSPS with leaf wax *n*-alkane ¹⁴C-ages corrected for maximal possible petrogenic contributions from catchment-derived Jurassic black clay shales. Contributions of grasses/herbs have been formerly reported by Bliedtner et al. (2018a). Datapoints showing the percentages of grasses/herbs derived from Bliedtner et al. (2018a) that do not have a direct ¹⁴C-age information from this study are plotted in a transparent way, and datapoints with such kind of ¹⁴C-age information are shown without transparency.

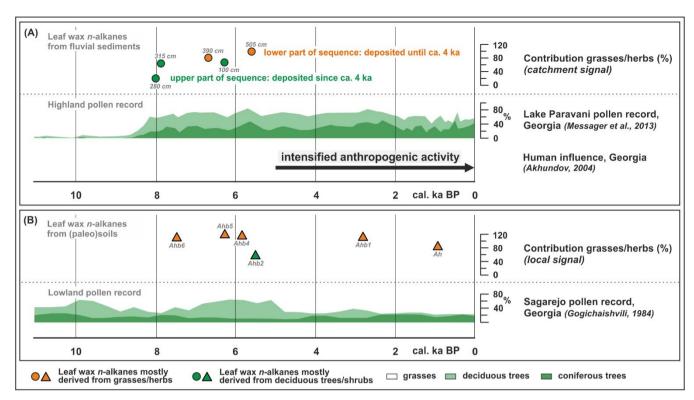


Figure 8: A) Leaf wax *n*-alkane record from fluvial sediments from the investigated FSPS compared with the regional highland pollen record from Lake Paravani in southern Georgia (Messager et al., 2013; location see Fig. 1), and with generally increasing human activity in the region (Akhundov, 2004). B) Leaf wax *n*-alkane record from (paleo)soils from the investigated FSPS compared with the lowland Sagarejo sediment section (Gogichaishvili, 1984; locations see Fig. 1). Contributions of grasses/herbs derived from Bliedtner et al. (2018a; see highlighted samples in Fig. 7) are plotted with the corresponding ¹⁴C-ages from this study.