



# 1 Identification of Hotspots of Rainfall Variation 2 Sensitive to Indian Ocean Dipole Mode through 3 Intentional Statistical Simulations

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14

15 **Abstract.** This study analyzed the sensitivity of rainfall patterns over the Indochina  
 16 Peninsula (ICP) to sea surface temperature in the Indian Ocean based on statistical  
 17 simulations of observational data. Quantitative changes in rainfall patterns over the ICP  
 18 were examined for both wet and dry seasons to identify hotspots sensitive to ocean  
 19 warming in the Indo-Pacific sector. Rainfall variability across the ICP was confirmed  
 20 amplified by combined and/or independent effects of the El Niño–Southern Oscillation  
 21 and the Indian Ocean Dipole (IOD). During the years of El Niño and a positive phase of  
 22 the IOD, rainfall is less than usual in Thailand, Cambodia, southern Laos, and Vietnam.  
 23 Conversely, during the years of La Niña and a negative phase of the IOD, rainfall  
 24 throughout the ICP is above normal, except in parts of central Laos and northern  
 25 Vietnam. This study also simulated the change of ICP rainfall in the wet and dry  
 26 seasons according to intentional IOD changes, and IOD-sensitive hotspots were



27 verified through quantitative analysis. The results of this study provide clear  
28 understanding both of the sensitivity of regional precipitation to the IOD and of the  
29 potential future impact of statistical changes regarding the IOD in terms of  
30 understanding regional impacts associated with precipitation in a changing climate.

31 **Keywords:** Rainfall variability, Indian Ocean Dipole, ENSO, IBB simulation

32

## 33 1. Introduction

34 Spatiotemporal variation in precipitation extremes can result from amplification of  
35 changes in atmosphere–ocean interactions and intensification of the hydrological cycle  
36 on both regional and global scales attributable to the effects of global climate change  
37 (Allan and Soden, 2008; Kim and Jain, 2011; Ge et al., 2017; Kang et al., 2017; Kim et  
38 al., 2017; Gao et al., 2019). Changes in the magnitude and frequency of regional rainfall  
39 are related closely to the occurrence of floods and droughts. They have important  
40 implications not only in terms of their socioeconomic impact, but also in relation to the  
41 management of local and/or regional hydropower, irrigation, and environmental water  
42 resources (Chi et al., 2016; Gu et al., 2017; Choi et al., 2018). The occurrence of  
43 extreme precipitation, which is highly likely to continue into the future, is increasingly  
44 regarded as an area of concern by the public because many countries have experienced  
45 such extreme events in recent years (Croitoru et al., 2013; IPCC, 2013; Hirsch and  
46 Archfield, 2015; Chi et al., 2016; Donat et al., 2016). In particular, there has been rapid  
47 increase in both the amount of damage and the number of fatalities associated with the  
48 occurrence of extreme rainfall in developing countries because of their vulnerable  
49 infrastructure, high density of human activities, and poor practices of land use and  
50 development (Mirza, 2003; Yin et al., 2011).



51       The El Niño–Southern Oscillation (ENSO) is known for its active and predictable  
52 short-term behavior within the global climate system (Chen and Cane, 2008),  
53 characterized by irregular but periodic change in the behavior of winds and sea level  
54 temperatures over the tropical eastern Pacific Ocean. Since the 2000s, new forms of El  
55 Niño have appeared more frequently in the central Pacific (Ashock and Yamagata,  
56 2009; Pradhan et al., 2011). However, little is yet known about the causes of these new  
57 types of El Niño, some of which have been reported to have noticeable effect on the  
58 supply of warm seasonal freshwater and hydrological extremes in Pacific Rim  
59 countries (Kim et al., 2012; Yoon et al., 2013; Son et al., 2014; Wang et al., 2014; Kim  
60 et al., 2017). Research over the past two decades has identified a distinct climate  
61 anomaly in the Indian Ocean, known as the Indian Ocean Dipole (IOD) (Piechota et al.,  
62 1998; Saji et al., 1999; Mahala et al., 2015; Lqbal and Hassan, 2018). The IOD is an  
63 atmosphere–ocean coupling mode characterized by the opposition of anomalies of sea  
64 surface temperature (SST) in the west and east of the tropical Indian Ocean (Piechota et  
65 al., 1998; Saji et al., 1999; Webster et al., 1999). A positive (negative) IOD pattern is  
66 characterized by water warmer (cooler) than normal in the western tropical Indian  
67 Ocean (10° S–10° N, 50°–70° E) and water cooler (warmer) than normal in the  
68 southeastern tropical Indian Ocean (10° S to the equator, 90°–110° E). These events  
69 usually begin around May or June and they terminate rapidly in early winter after  
70 reaching a peak between August and October (Saji et al., 1999). Long-term climatic  
71 change has high correlation with large-scale atmospheric teleconnections and it has  
72 been reported predictable in relation to the behavior of nonlinear climate systems,  
73 particularly in terms of ocean-related climatic drivers such as ENSO and the IOD mode  
74 (Piechota et al., 1998; Saji et al., 1999). ENSO and IOD patterns are known as leading  
75 causes of large atmospheric change and they are related closely to seasonal variations in



76 precipitation in the Indian Ocean region and around the world (Ashok et al., 2001;  
77 Ashok et al., 2003; McFadden et al., 2006; Pradhan et al., 2011).

78 Recent studies have suggested that the observed slowdown in the rise of global  
79 mean surface atmospheric temperature is related closely to the considerable transport of  
80 heat from the Pacific Ocean into the Indian Ocean via the Indonesian Throughflow  
81 (Kosaka and Xie, 2013; Lee et al., 2015; Liu et al., 2016; Zhang et al., 2018).  
82 Investigation of Indo-Pacific thermocouples can help both to improve understanding of  
83 regional-scale climatic variability that is globally relevant and to diagnose  
84 quantitatively such variability in a changing climate (Zhang et al., 2018). However,  
85 there has been little previous quantitative research on rainfall variation across the  
86 Indochina Peninsula (ICP) in relation to IOD phenomena and ENSO evolution.  
87 Therefore, based on historical observations, this study undertook quantitative analysis  
88 of the changes in SST in the Indo-Pacific sector and the associated interseasonal  
89 variation of precipitation over the ICP. The study had three primary areas of interest: (1)  
90 the spatiotemporal changes in magnitude and frequency of precipitation during the dry  
91 and wet seasons, (2) the relationship between the changes in weather extremes and  
92 large-scale climatic patterns over the ICP, and (3) identification of IOD-sensitive  
93 hotspots using the intentionally biased bootstrapping (IBB) technique based on limited  
94 historical observations.

95

## 96 **2. Data and Methods**

### 97 **2.1. Precipitation Dataset and Climate Change Indices**

98 This study used the high-resolution ( $0.5^\circ \times 0.5^\circ$ ) daily Climate Prediction Center  
99 Global Unified Precipitation dataset for 1979–2018, which was obtained from the  
100 website of NOAA's Earth System Research Laboratory's Physical Research Division



101 (<https://www.esrl.noaa.gov/psd/>). The Global Precipitation Climatology Center  
 102 monthly precipitation dataset with  $1.0^{\circ} \times 1.0^{\circ}$  spatial resolution for the period 1948–  
 103 2018, which is based on quality-controlled data from 67,200 stations worldwide  
 104 (Schneider et al., 2016), was also used to identify seasonal precipitation variability  
 105 over the ICP region ( $5^{\circ}$ – $25^{\circ}$  N,  $90^{\circ}$ – $115^{\circ}$  E) (Fig. 1). To identify changes in the  
 106 frequency and intensity of rainfall, six major climate change indices (Karl et al., 1999)  
 107 based on the daily Climate Prediction Center data from 1979–2018 were analyzed for  
 108 both the wet season (May–October) and the dry season (November–April). These  
 109 indices included the seasonal total precipitation (PRCPTOT) on wet days, seasonal  
 110 total of the 95th percentile of precipitation (R95pTOT) on wet days ( $\geq 1.0$  mm),  
 111 seasonal maximum 1-day precipitation (RX1day), simple precipitation intensity index  
 112 (SDII) with a daily precipitation amount on wet days of  $\geq 1.0$  mm, maximum number of  
 113 consecutive dry days (CDD) with a daily precipitation amount of  $< 1.0$  mm, and  
 114 maximum number of consecutive wet days (CWD) with a daily precipitation amount of  
 115  $\geq 1.0$  mm.

116

## 117 **2.2. Indian Ocean Dipole (IOD) and El Niño–Southern Oscillation (ENSO)**

118 The monthly SST anomaly (SSTA) from NOAA’s Extended Reconstructed Sea  
 119 Surface Temperature (ERSST) dataset v5 in the Tropical Indian Ocean (TIO) was  
 120 used to calculate the IOD mode index. This is defined as the SSTA difference  
 121 between the western ( $10^{\circ}$  S– $10^{\circ}$  N,  $50^{\circ}$ – $70^{\circ}$  E) and southeastern ( $10^{\circ}$  S to the equator,  
 122  $90^{\circ}$ – $110^{\circ}$  E) regions of the TIO (Saji et al., 1999). From 1948–2017, a 3-month running  
 123 average was applied to the IOD mode index data (August–September–October), which  
 124 is the peak phase period, with  $\pm 1\sigma$  to determine the years with positive and negative  
 125 modes of the IOD (Fig. 2). To characterize different types of ENSO event, monthly



126 Niño3 (5° S–5° N, 150° E–90° W) and Niño4 (5° S–5° N, 160° E–150° W) data for the  
 127 period 1948–2018 were used for El Niño development phases (December–January–  
 128 February). In this study, the pattern of El Niño was divided into two groups depending  
 129 on where the peak and persistent anomalies in SST occurred in the tropical Pacific: (1)  
 130 Eastern Pacific (EP); El Niño occurring in the EP and (2) Central Pacific (CP); El Niño  
 131 emerging in the CP. This study employed two new indices (Eq. 1) to identify the two  
 132 types of El Niño event through a simple transformation of the Niño3 and Niño4 indices,  
 133 as proposed by Ren and Jin (2011):

$$\begin{aligned} N_{CT} &= N_3 - \alpha N_4 \\ N_{WP} &= N_4 - \alpha N_3, \end{aligned} \quad \alpha = \begin{cases} 0.4, & N_3 N_4 > 0 \\ 0, & \text{otherwise.} \end{cases} \quad (1)$$

134 Here,  $N_3$  and  $N_4$  indicate the Niño3 and Niño4 indices, respectively.

135 Assessment of the relative impacts of the IOD and ENSO on rainfall across the ICP  
 136 was based mainly on composite analyses. During 1979–2018, the effects of ENSO and  
 137 the IOD were evaluated in terms of rainfall across the ICP during both the wet season  
 138 (May–October) and the dry season (November–April).

139

### 140 **2.3. Trend Detection**

141 A nonparametric Mann–Kendall test is commonly used to detect a monotonic pattern in  
 142 a time series of climate data based on the null hypothesis that the data are independent  
 143 and sorted randomly (Mann, 1945; Kendall, 1990). The null hypothesis  $H_0$  is random in  
 144 the order of the sample data ( $X_i, i = 1, 2, \dots, n$ ) and it has no trend, whereas the alternative  
 145 hypothesis  $H_1$  represents the monotonous tendency of  $X$ . The  $S$  statistic for Kendall's  
 146 tau is calculated as follows:



$$S = \sum_{i=1}^{n-1} \sum_{j=i+1}^n \text{sgn}(X_j - X_i) \quad (2)$$

and

$$\text{sgn}(\_) = \begin{cases} 1 & \text{if } \_ > 0 \\ 0 & \text{if } \_ = 0 \\ -1 & \text{if } \_ < 0 \end{cases} \quad (3)$$

The  $S$  statistic is calculated using the following mean and variance:

$$E(S) = 0, \quad (4)$$

$$V(S) = \frac{n(n-1)(2n+5) - \sum_{m=1}^n t_m m(m-1)(2m+5)}{18}, \quad (5)$$

where  $t_m$  measures the ties of extent  $m$ . The standardized test statistic  $Z$  is estimated as follows:

$$Z = \begin{cases} \frac{S-1}{\sqrt{V(S)}} & S > 0 \\ 0 & S = 0 \\ \frac{S+1}{\sqrt{V(S)}} & S < 0 \end{cases} \quad (6)$$

The existence of autocorrelation in a dataset affects the probability of detecting a trend when it does not exist and vice versa, but this is often ignored. Thus, the modified nonparametric trend test developed by Hamed and Rao (1998) was applied in this study. The corrected  $Z$  value is derived as follows:

$$Z = \begin{cases} \frac{S-1}{\sqrt{V^*(S)}} & S > 0 \\ 0 & S = 0 \\ \frac{S+1}{\sqrt{V^*(S)}} & S < 0 \end{cases} \quad (7)$$

where

$$V^*(S) = V(S) * \frac{n}{n_s}, \quad (8)$$



$$\frac{n}{n_s} = 1 + \frac{2}{n(n-1)(n-2)} * \sum_{i=1}^{n-1} (n-i)(n-i-1)(n-i-2)\rho_s(i) \quad (9)$$

where  $\rho_s(i)$  is an autocorrelation function of the rank with respect to the observations. The sign of  $Z$  represents the trend direction and the magnitude of  $Z$  is associated with the significance level, where  $|Z| > 1.64$  for the 10 % significance level and  $|Z| > 1.96$  for the 5 % significance level.

#### 2.4. Intentionally Biased Bootstrapping Method

Bootstrapping analysis is a statistical method that can generate replicated datasets from source data, and it can evaluate the variability of their quantiles without performing separate analytical calculations (Davison et al., 2003). However, the intentionally biased bootstrapping (IBB) technique applied in this study is a method that allows assessment of the relative effects of a response variable by deliberately increasing or decreasing the mean of the explanatory variable to a certain level while resampling it with the response variable (Lee, 2017). A brief description of the IBB analysis process is given below.

Among  $n$  observations  $x_i$  ( $i = 1, 2, 3, \dots, n$ ), suppose that the mean of the generated data is deliberately increased or decreased by  $\Delta\mu$  for resampling of the observations with bootstrapping. As a result, high (low) values are likely to be resampled and low (high) values could be less likely to be selected. Thus, IBB can be obtained by allocating different weights  $S_{i,n}$  depending on the following observation values (Eq. 10):

$$S_{i,n} = i / n. \quad (10)$$





185 The weight  $S_{i,n}$  assigned after scaling and adjustment contributes to the  
 186 probability of selection for the data observed in the IBB procedure. The average of the  
 187 resampled data can be expressed as in Eq. 11:

$$188 \quad \tilde{\mu} = \frac{1}{\psi} \sum_{i=1}^n S_{i,n} x_i, \quad (11)$$

189 where  $x_i$  represents the  $i$ -th incremental value and  $\psi = \sum_{i=1}^n S_{i,n}$ . The average  
 190 amount of increase or decrease  $\Delta\mu$  is shown in Eq. 12:

$$191 \quad \Delta\mu = \frac{1}{\psi} \sum_{i=1}^n S_{i,n} x_i - \frac{1}{n} \sum_{i=1}^n x_i. \quad (12)$$

192 To obtain another value of  $\Delta\mu$ , the weights can be regeneralized in order of weight  
 193 sequence ( $r$ ); thus,  $\Delta\tilde{\mu}(r)$  is derived as follows:

$$194 \quad \Delta\tilde{\mu}(r) = \tilde{\mu}(r) - \hat{\mu} = \frac{1}{\psi_r} \sum_{i=1}^n S_{i,n}^r x_i - \frac{1}{n} \sum_{i=1}^n x_i. \quad (13)$$

195 If the average value of increase or decrease is given as  $\Delta\mu$ , the weight “ $r$ ” can be  
 196 calculated accordingly. In this study, the selection of the weight sequence was  
 197 performed using a Self-Organizing Migrating Algorithm (Zelinka, 2004) with the  
 198 objective function to minimize  $[\Delta\mu - \Delta\tilde{\mu}(r)]^2$ . In addition, the IBB technique was  
 199 employed to generate resampled datasets for the IOD and the response to the intensity  
 200 and frequency of rainfall to identify IOD-sensitive hotspots over the ICP. The statistical  
 201 significance of the analysis results was assessed using the significance level of the 95th  
 202 percentiles.

203

## 204 **3. Results**

### 205 **3.1. Seasonal Precipitation Patterns across the ICP**

206 The ICP is a region in which monsoon rains occur in different seasons in association  
 207 with seasonal winds and mountain areas. Geographically, the ICP has the Arakan



208 Mountains in the west, the Bilauktung Mountains and the Dawna Mountains in the  
 209 center, and the Annamese Mountains in the east. Meteorologically, the ICP is divided  
 210 into three monsoon periods: the southwest monsoon during June–November,  
 211 southeast monsoon during September–November, and northeast monsoon during  
 212 November–February. This study considered the wet season (May–October) and the  
 213 dry season (November–April) to identify the potential impact on regional rainfall  
 214 associated with atmosphere–ocean feedback in the Indian and Pacific oceans.

215 Figure 3 shows the seasonal average precipitation during the wet and dry seasons  
 216 across the ICP region during 1979–2018. The total precipitation during the wet season  
 217 across the ICP is about 1000–1500 mm. In addition, it has been confirmed that  
 218 precipitation variability is dependent on specific regions (Fig. 3a). The precipitation  
 219 variability was found to differ significantly between inland (<1000 mm) and coastal  
 220 areas (>2000 mm). Precipitation on the western coast of Cambodia, coast of western  
 221 Thailand, and Myanmar during June–November is attributable to the influence of the  
 222 southwest and southeast monsoons. Moreover, clear difference in precipitation is  
 223 evident between eastern and western parts of the Arakan Mountains in Myanmar. As  
 224 water vapor from Bangorman decreases over the mountains, the Arakan Mountains  
 225 show an arid climate to the east and a pattern of strong precipitation to the west.

226 During the dry season, total precipitation across the ICP is about 150–200 mm,  
 227 indicating that rainfall variability is not significantly dependent on specific regions  
 228 (Fig. 3b). In particular, in the dry season, because of the influence of the northeast  
 229 monsoon during November–February, high rainfall is received in central coastal areas  
 230 of Vietnam, e.g., near the city of Danang. Similarly, in the case of Myanmar, eastern  
 231 parts are dry because of the influence of the Arakan Mountains. The climatic  
 232 characteristics of the ICP are distinctive not only because of the effects of monsoons



and mountain areas, but also because of the characteristics of local areas and because of specific temporal effects. The precipitation patterns of the ICP are likely to change according to the characteristics of the wet and dry seasons, as well as because of the influence of ocean-related climate factors (e.g., the IOD and ENSO).

237

### 3.2. Spatiotemporal Variation in Precipitation over the ICP

Figures 4 and 5 illustrate the long-term trend of precipitation over the ICP during 1979–2018 for the wet and dry seasons, respectively. They show the results of the six major climate change indices that represent the magnitude and frequency of precipitation. For each figure, the direction of the trend is displayed in blue (increase) and red (decrease). Figures 4a, 4b, 5a, and 5b show the long-term trends of PRCPTOT and R95pTOT. These seasonal indices can be used to assess total precipitation. It can be seen that the characteristics of their spatial distribution are similar. During the wet season, there is a noticeable decrease in precipitation at the 5–10 % significance level in northern Cambodia, some parts of Laos, and southern Thailand. In addition, it can be seen that there is a marked trend of increase at the 5–10 % significance level in northwestern Myanmar, parts of western Thailand, central Vietnam, and southern parts of China (Fig. 4a and 4b).

During the dry season, there is a noticeable increase in precipitation at the 5–10 % significance level along eastern and southern coastal areas of the ICP (i.e., Vietnam and Cambodia) and some southern coastal regions of Thailand (Fig. 5a and 5b). The R95pTOT climate index also shows a trend of increase in precipitation to the west of the Arakan Mountains in Myanmar (Fig. 5b). Therefore, long-term changes in the pattern of precipitation across the ICP during the wet season show a trend of decrease (increase) in central inland areas (some coastal areas). During the dry season, there is a



258 general trend of increase in precipitation across the ICP. Notably, the trend of increase  
 259 in precipitation in southeastern coastal areas appears significant.

260 Figures 4c, 4d, 5c, and 5d illustrate the long-term trends in RX1day and SDII. The  
 261 RX1day and SDII climate indices can be used to assess rainfall intensity. It can be seen  
 262 that the characteristics of the spatial distribution of the two indices are similar.  
 263 Moreover, the characteristics of their spatial distribution are also similar to PRCPTOT  
 264 and R95pTOT. It can be seen that during the rainy season the intensity of rainfall in  
 265 central and northern Myanmar, central and southern Vietnam, and southern China  
 266 increases, whereas the rainfall intensity decreases in Laos, Cambodia, northeastern  
 267 Myanmar, and South Vietnam. During the dry season, rainfall intensity generally  
 268 increases across the ICP, although it shows a clear pattern of decrease in Laos, as in the  
 269 wet season.

270 Figures 4e, 4f, 5e, and 5f show the long-term trends in CDD and CWD. The CDD  
 271 and CWD indices can be used in assessment of droughts and floods, respectively.  
 272 Therefore, it is unsurprising that the CDD and CWD indices exhibit opposite spatial  
 273 distribution characteristics. During the rainy season, the CDD value across the ICP  
 274 largely tends to increase, although it decreases in some coastal areas, e.g., Vietnam. The  
 275 CWD index shows the reverse tendency.

276 During the dry season, an increase (decrease) of the CDD (CWD) index can be  
 277 clearly observed at the 5–10 % significance level (Fig. 5e and 5f). The CDD index  
 278 increases along the southeast coast of the ICP, e.g., in areas of Vietnam, Cambodia, and  
 279 southern Thailand, whereas the CWD index exhibits the opposite trend. An increase  
 280 (decrease) in the CDD index suggests that drought is more (less) likely to occur, while a  
 281 decrease (increase) in the CWD index means that the occurrence of drought is less  
 282 (more) likely. Therefore, during the rainy season, floods are expected to increase along



the southeastern coast of the ICP (e.g., in Vietnam, Cambodia, and Thailand), while drought is more likely to occur during the dry season.

### 3.3. Precipitation Variability Associated with the IOD and ENSO

The IOD, Asian monsoon, and other regional climatological patterns can lead to local or global climate change, particularly in Indian Ocean Rim countries, which can cause severe flooding or droughts depending on IOD variability (Lqbal and Hassan, 2018). Composite analysis can clarify the role of the Southeast Asian Summer Monsoon in precipitation variability across the ICP region associated with years of strong IOD and ENSO, after identifying that tropical climate phenomena are the main factors that influence precipitation variability over the ICP during the wet and dry seasons. However, this role differs depending on the combination of the two climate phenomena and on the season.

Figure 6 shows the results of composite rainfall anomalies (shown as a percentage relative to normal) over the ICP during the wet and dry seasons in relation to the IOD and ENSO. The patterns of rainfall anomalies indicate significant difference between positive and negative IOD years. For positive IOD years, the wet season rainfall (Fig. 6a) shows a decrease of <20 % in southern parts of the ICP, whereas there is a marked increase in rainfall centered over the Arakan Mountains in western Myanmar. It can be seen that the amount of rainfall received during the dry season (Fig. 6c) is similar to that in the wet season, but there is 40–50 % less rainfall than usual in certain mainland regions of Southeast Asia, especially Yangon and Mawlamyine in Myanmar and in eastern Cambodia.

In negative IOD years, intense positive anomalies of rainfall can be seen in central Cambodia and southern parts of Vietnam. A slight strong-pitched anomaly



308 pattern is evident during the wet season (Fig. 6b) around the coastline of both  
 309 Bangladesh and Myanmar, whereas weak-pitched positive anomalies (about 10–15 %  
 310 relative to the long-term average) are found throughout the ICP. However, changes in  
 311 rainfall pattern are not evident during the dry season (Fig. 6d), and although the  
 312 amount varies depending on region, rainfall is generally >30–50 % above the  
 313 long-term average. As in the wet season, the dry season also shows relatively strong  
 314 positive rainfall patterns with positive anomalies of >80–100 % in Cambodia and both  
 315 central and southern Vietnam.

316 Sometimes droughts and flooding are likely to converge because of remote  
 317 connections during IOD–ENSO periods, and they can have significant impact on the  
 318 modulation of the large-scale oceanic and atmospheric environment, especially in the  
 319 Indian Ocean and in Pacific Rim countries (Meza, 2013; Mahala et al., 2015; Lqbal  
 320 and Hassan, 2018). Thus, consideration of both combined and independent effects of  
 321 ENSO and the IOD on seasonal precipitation variability can provide improved  
 322 predictive expertise, and reveal new insight into tropical climate change and global  
 323 warming impacts (Ashok et al., 2001).

324 Figure 7 shows composite rainfall anomalies (November–April) during positive  
 325 and negative IOD years that coincided with ENSO. During positive IOD and El Niño  
 326 years (Fig. 7a), there is less rainfall than usual across Thailand, Cambodia, southern  
 327 Laos, and Vietnam. In particular, southern regions of Myanmar (from Yangon to  
 328 Mawlamyine) that border the Andaman Sea show a distinct decrease in rainfall by  
 329 more than 50 % in comparison with the long-term mean (1981–2010). However, in  
 330 contrast, there is 20–40 % more rainfall than usual in northern parts of the ICP, e.g.,  
 331 northern Myanmar, northeastern parts of Laos, and Vietnam. Furthermore, in  
 332 Guangzhou in China, rainfall is up to 60 % higher in comparison with average years.



333 These rainfall signals are stronger in WP El Niño years than in CT El Niño years  
 334 (figures not shown). During negative IOD and La Niña years (Fig. 7b), rainfall above  
 335 the long-term average is observed throughout the ICP, except for parts of central Laos  
 336 and northern Vietnam. The pattern of increased rainfall appears strongly throughout  
 337 Myanmar and regions around Ho Chi Minh City in Vietnam. However, in the region  
 338 adjacent to India and Bangladesh, as well as the Shenzhen area of China, strong  
 339 negative anomalies are evident.

340

#### 341 **3.4. Identification of IOD-Sensitive Hotspots through IBB Simulations**

342 Section 3.3 discussed the significant impact on rainfall anomalies in the ICP  
 343 attributable to the combined or independent effects of ENSO and the IOD. In particular,  
 344 both positive IOD events and El Niño and negative IOD events and La Niña interact in  
 345 modulating rainfall anomalies over the ICP. The IOD and ENSO are strongly correlated  
 346 and their variations are mutually forced or triggered (Yu and Lau, 2005; Yuan and Li,  
 347 2008; Lestari and Koh, 2016). For the period 1979–2017, the correlation between the  
 348 peak phase of the IOD and the two types of El Niño index proposed by Ren and Jin  
 349 (2011) was analyzed. The results showed the IOD has strong positive correlation with  
 350 the CT El Niño ( $N_{CT}$ ) ( $\rho = 0.4850$ ,  $p\text{-value} = 0.0018$ ). However, the IOD also has  
 351 positive correlation with the WP El Niño ( $N_{WP}$ ), but not at a statistically significant  
 352 level ( $\rho = 0.110$ ,  $p\text{-value} = 0.5013$ ). These results are also reflected in the results of  
 353 the IBB simulation (Fig. 8). Figure 8 shows the results of 1000 simulations for the  
 354  $N_{CT}$  and  $N_{WP}$  indices performed by applying the IBB technique to the IOD index  
 355 based on historical observations for the period 1979–2017. For applying a +1SD  
 356 increase of the IOD, the mean difference between the observation of  $N_{CT}$  and  
 357 simulated  $N_{CT}$  shows a statistically significant increase at the 95 % significance level



358 (diff. = 0.392, Interquartile range (IQR) = 0.228). However, the difference in the  
 359 mean value of the  $N_{WP}$  index, although increased slightly, is not statistically  
 360 significant (diff. = 0.097, IQR = 0.094). For applying a  $-1SD$  decrease of the IOD, the  
 361 simulation results show changes similar to the case with a  $+1SD$  increase of the IOD  
 362 ( $N_{CT}$ : diff. = 0.360, IQR = 0.108,  $N_{WP}$ : diff. = 0.088, IQR = 0.098). Therefore, for  
 363 changes in the IOD, the linear increase (or decrease) in the  $N_{CT}$  index is more  
 364 pronounced than the change in the  $N_{WP}$  index.

365 The spatiotemporal connection between SST and winds shows strong coupling  
 366 through precipitation and ocean dynamics (Saji et al., 1999). This dipole mode,  
 367 accounts for about 12 % of SST variability in the Indian Ocean, and its duration of  
 368 activity can greatly affect both the intensity and the frequency of rainfall in the Indian  
 369 Ocean Rim countries, including the ICP. Based on statistical simulations of historical  
 370 observations (1979–2018), Figs. 9 and 10 show rainfall variation and the most  
 371 sensitive hotspot areas in the wet and dry seasons of the ICP attributable to IOD  
 372 changes.

373 The spatial distribution of differences in PRCPTOT is shown in Fig. 9, given the  
 374 condition of a  $\pm 1SD$  increase or decrease of the IOD in the wet season. For a  $+1SD$   
 375 increase of the IOD, PRCPTOT is  $>90$  % higher than usual throughout Myanmar, and  
 376 weak positive anomaly patterns are evident in southwestern China. In contrast, a  
 377 pattern of decrease of PRCPTOT of 15–20 % less than the long-term average is evident  
 378 in Cambodia and southern Vietnam, i.e., in areas of the downstream reaches of the  
 379 Mekong River. However, no statistically significant changes occur in the central ICP  
 380 region, except in some parts of central Laos and Thailand. This spatial distribution of  
 381 rainfall anomaly is also found for the RX1day index, although occasional patterns of  
 382 increase or decrease are evident and the spatial extent is reduced. In addition,





383 throughout Myanmar, the CDD index is decreased by >25 % in comparison with the  
 384 long-term average year, while the CWD index is increased by 35–50 %. For the CDD  
 385 index, a statistically significant pattern of decrease is found across Vietnam, Cambodia,  
 386 and Laos. The most significant changes in the CWD index are across Myanmar  
 387 (increase of 35–50 %), southern Cambodia, and the southeast coast of Vietnam  
 388 (decrease of 15–20 %). The other ICP regions generally show a pattern of weak  
 389 increase in terms of CWD. For a  $-1SD$  decrease of the IOD, PRCPTOT, RX1day, and  
 390 CWD all show distinct patterns of increase in the Laos and Vietnam basins, while the  
 391 CDD index shows a predominant pattern of decrease, except in certain areas. Analysis  
 392 indicates that other regions have a reverse pattern compared with the case of the  $+1SD$   
 393 increase of the IOD. Consequently, it is determined that changes in rainfall during the  
 394 wet season in the ICP region are sensitive to changes in the IOD.

395 Given the condition of a  $\pm 1SD$  increase or decrease of the IOD for the dry season,  
 396 the spatial distribution of the rainfall indices is shown in Fig. 10. For a decrease of  
 397  $-1SD$  of the IOD, there is more rainfall (PRCPTOT and RX1day) than usual  
 398 throughout the ICP, especially in Laos and Vietnam. For a  $+1SD$  increase of the IOD,  
 399 negative anomaly patterns of PRCPTOT are dominant in southern Vietnam, eastern  
 400 Cambodia, and northeastern Thailand, while weak patterns of positive anomaly are  
 401 evident in areas of Myanmar and South China. Compared with the changes in the  
 402 rainfall indices during the wet season, changes in the rainfall indices are intensified  
 403 and the spatial influence is more extensive. However, for the CDD and CWD indices,  
 404 either the positive anomaly patterns are weakened or negative anomaly patterns  
 405 appear for a  $+1SD$  increase of the IOD. Especially for the CWD index, a pattern of  
 406 decrease by more than 10–20 % compared with the long-term average is found in  
 407 Thailand, whereas the Myanmar region shows a pattern of increase of 15–25 %. In



408 this study, we simulated the changes in both wet and dry season rainfall across the  
 409 ICP according to intentional IOD changes, and IOD-sensitive hotspots were verified  
 410 through quantitative analysis. The findings of this study could help elucidate the  
 411 long-term changes in rainfall expected in the ICP region in a changing climate.

412

#### 413 **4. Summary and Conclusions**

414 This study analyzed changes in the magnitude and frequency of precipitation during the  
 415 dry and wet seasons over the ICP, taking into account both the dipole mode in the  
 416 tropical Indian Ocean and SST warming in the Pacific Ocean. The main results are  
 417 summarized in the following.

- 418 1. According to analyses of the long-term trend in seasonal rainfall across the ICP  
 419 during 1979–2018, rainfall showed significant decreases in northern Cambodia,  
 420 parts of Laos, and southern Thailand during the wet season (May–October).  
 421 Moreover, significant increases were evident in northwestern Myanmar, some  
 422 parts of western Thailand, central Vietnam, and southern China. During the dry  
 423 season (November–April), PRCPTOT rose noticeably in eastern and southern  
 424 coastal areas of the ICP (i.e., Vietnam and Cambodia) and some southern  
 425 coastal regions of Thailand.
- 426 2. During the wet season, the CDD index increased and decreased in some coastal  
 427 areas such as Vietnam. However, during the dry season, increases in CDD and  
 428 decreases in CWD were evident in the ICP. In particular, a pattern of decline in  
 429 CWD dominated southeastern coastal areas of the ICP, including Vietnam,  
 430 Cambodia, and southern Thailand.
- 431 3. The IOD showed strong positive correlation with the CT El Niño. However,  
 432 although the IOD exhibited positive correlation with the WP El Niño, the



relationship was not statistically significant. The variability of rainfall across the ICP was confirmed amplified by combined and independent effects of ENSO and the IOD. During years of positive IOD and El Niño, there was less rainfall than usual throughout Thailand and Cambodia, southern Laos, and Vietnam. In particular, the southern part of Myanmar, which borders the Andaman Sea, showed a decrease in regional rainfall of >50 % in comparison with the long-term average. In contrast, northern parts of India and China, including Myanmar, northeastern Laos, and Vietnam, received 20–40 % more rainfall than usual. Years with a negative IOD mode and La Niña showed rainfall above the long-term average across the ICP, except for certain parts, e.g., Central Laos and northern Vietnam.

4. Through application of the IBB technique, this study simulated the change of rainfall across the ICP for the wet and dry seasons according to intentional IOD changes, and IOD-sensitive hotspots were verified through quantitative analysis. For the wet season, a +1SD increase of the IOD resulted in >90 % more PRCPTOT than usual across Myanmar in the northwestern ICP. Conversely, in Cambodia and southern Vietnam, rainfall patterns were 15–20 % less than the long-term average in the region of the lower Mekong River. In addition, the CDD index decreased throughout Myanmar by >25 % compared with the long-term average. The most significant change in the CWD index was in Myanmar, i.e., a 35–50 % increase. However, a pattern of decrease appeared across the southeastern coast of the ICP in southern Cambodia and Vietnam. For a +1SD increase of the IOD in the dry season, negative anomaly patterns of PRCPTOT were found dominant in South Vietnam, eastern Cambodia, and northeastern Thailand, and more rainfall than usual occurred throughout the



458 ICP, especially in Laos and Vietnam, when considering a –1SD decrease of the  
459 IOD.

460

461 Although the results of this study are based on limited observations, they provide a  
462 clear perspective on the sensitivity of local precipitation to atmosphere–ocean  
463 interactions, and they reveal the potential future impact of statistical changes to the IOD,  
464 improving our understanding of the associated regional impact on precipitation under  
465 the effects of climate change.

466 **Author contribution:** conceptualization, J.K., S.Y., and T.L.; Formal analysis, J.K.;  
467 Methodology, T.L. and J.K.; resources, J.K. and L.X.; writing—original draft  
468 preparation, P.X., S.Y., and J.K.; writing—review and editing, L.X. and T.L.

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472 of which are greatly appreciated.

473 **Competing interests:** The authors declare that they have no conflict of interest.

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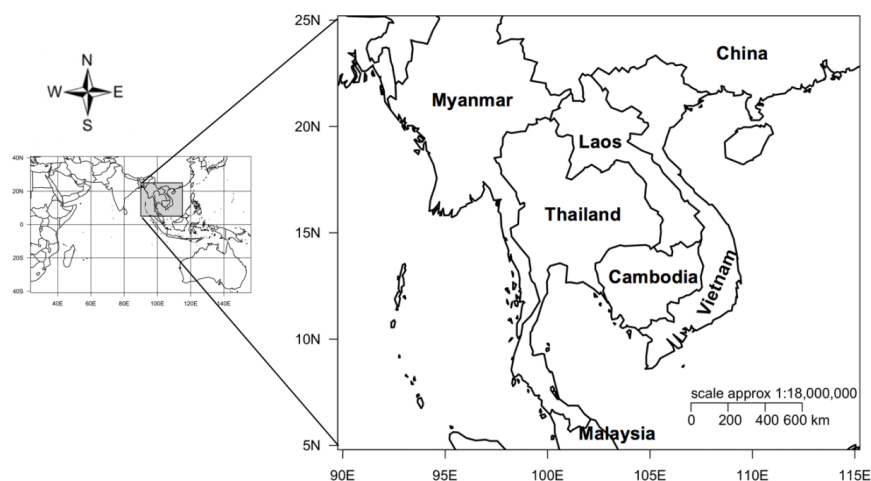
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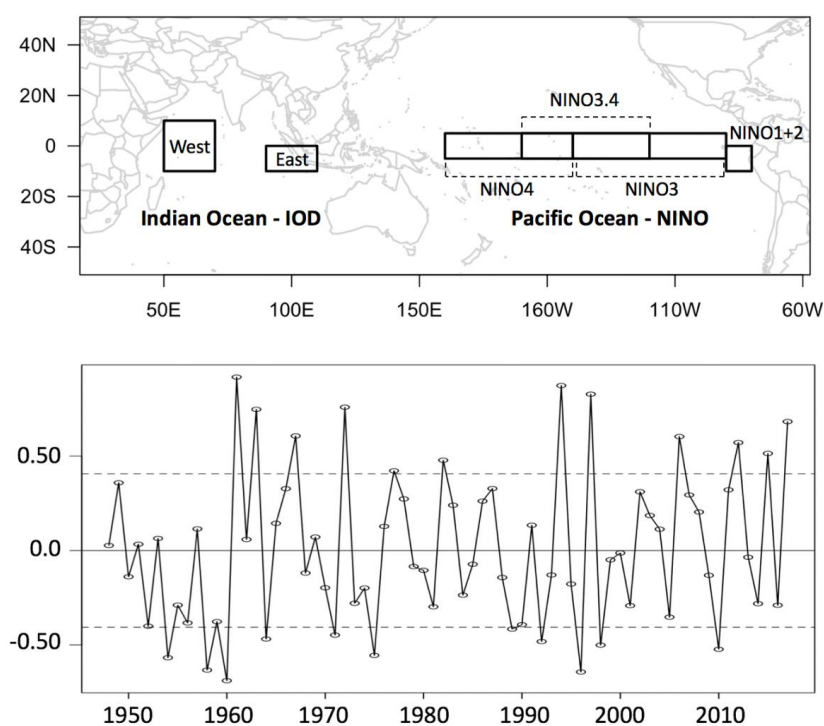


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618  
 619 **Figure 1.** Map of the Indochina Peninsula (5°–25° N, 90°–115° E).

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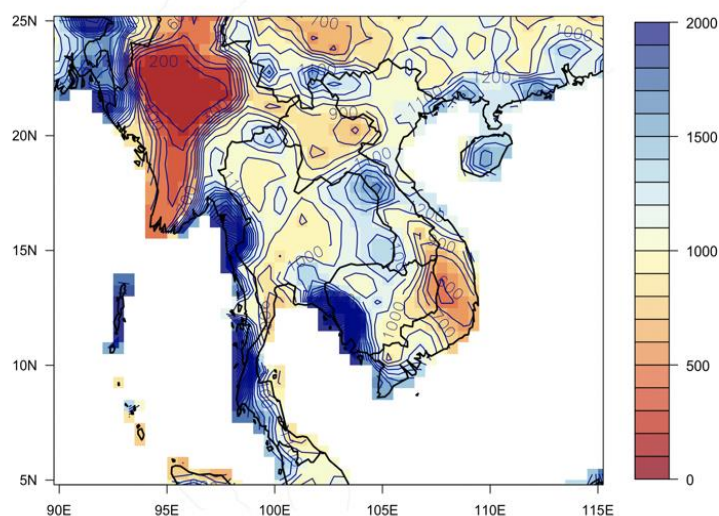


621  
 622 **Figure 2.** Dipole mode in the tropical Indian Ocean (TIO) and Niño region in the Pacific  
 623 Ocean. The Indian Ocean Dipole (IOD) index is defined based on the sea surface temperature  
 624 anomaly difference between the western (10° S–10° N, 50°–70° E) and southeastern (10° S to  
 625 the equator, 90°–110° E) regions of the TIO shown in the upper panel. In the lower panel, the  
 626 IOD time series during 1948–2017 is shown by the solid line, and the  $\pm 1$ SD of the IOD is  
 627 marked by dotted lines.

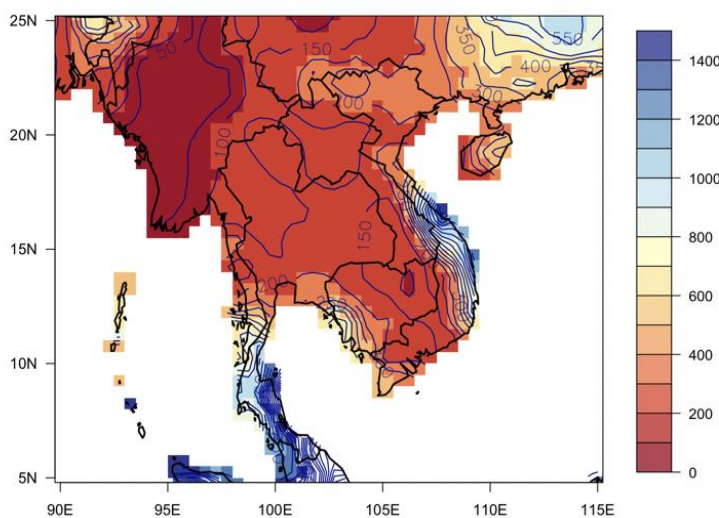
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### a. Seasonal Total Precipitation (May-October)

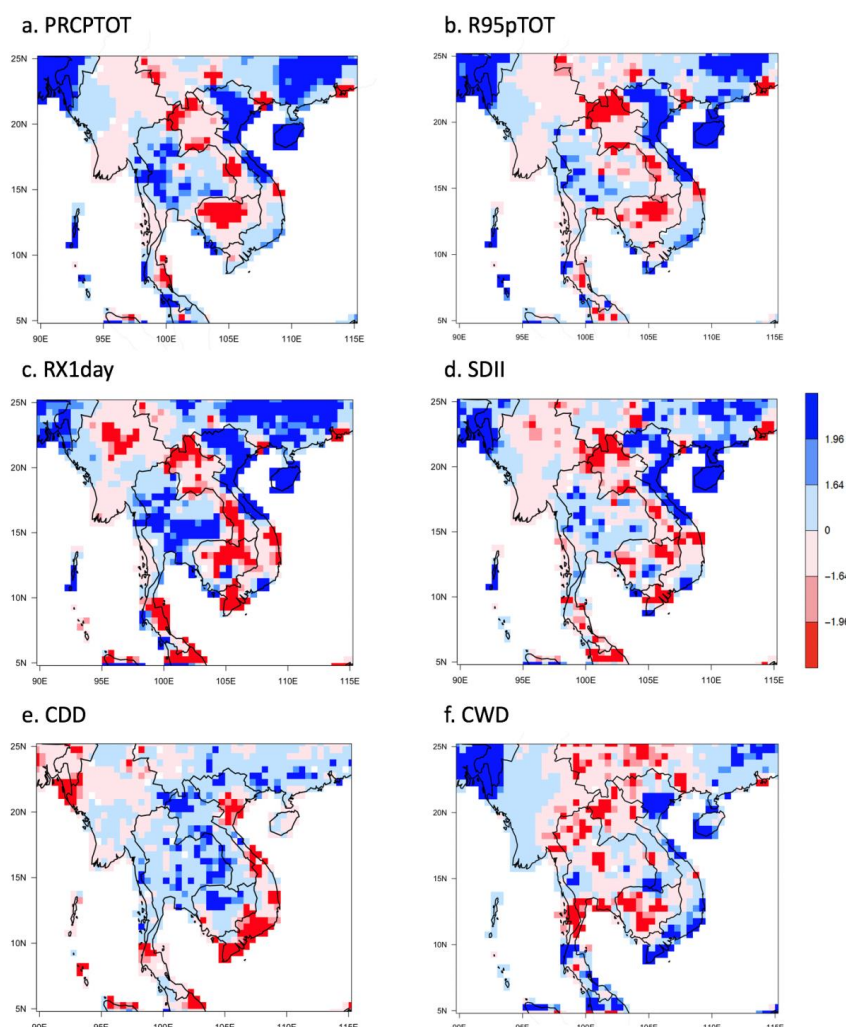


### b. Seasonal Total Precipitation (November-April)

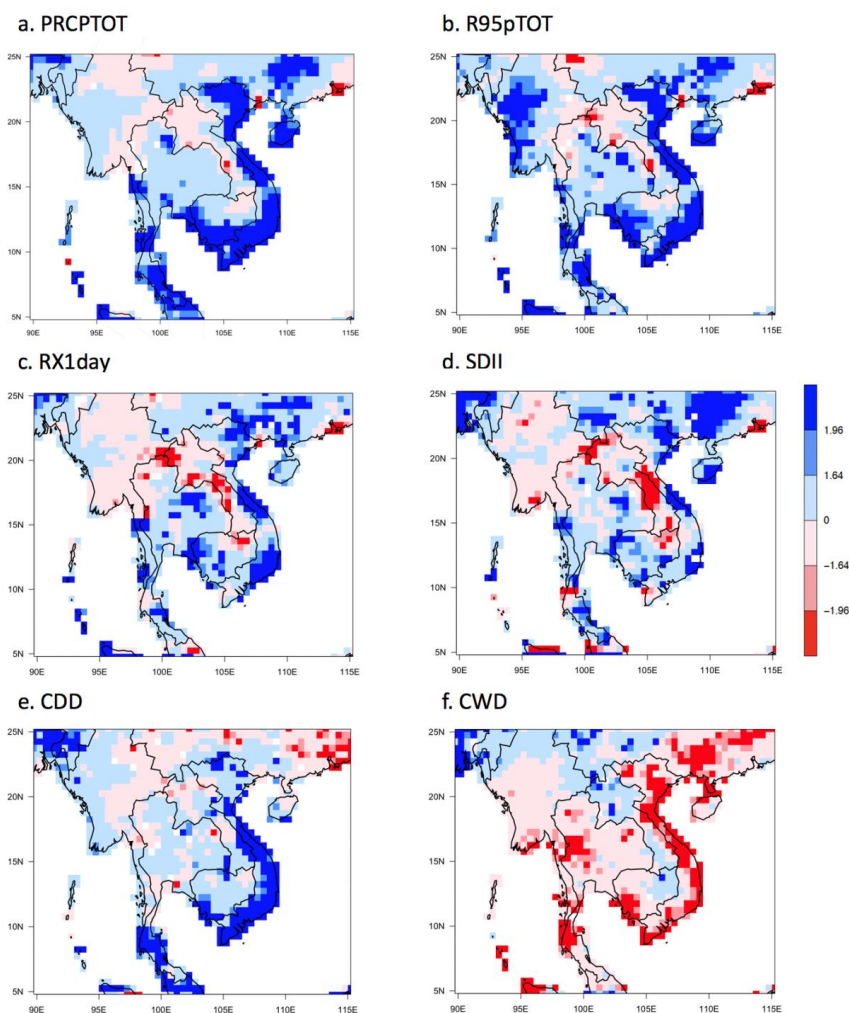


629  
 630 **Figure 3.** Average precipitation (mm) during the (a) wet and (b) dry seasons (1979–2018).

631

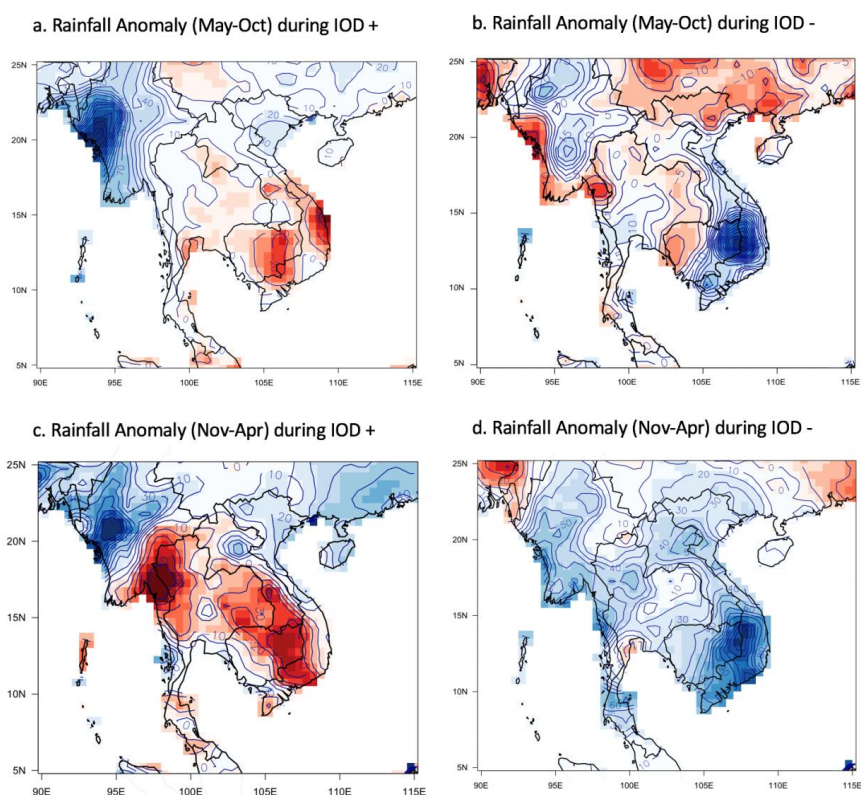


**Figure 4.** Long-term trend in seasonal precipitation for the wet season (May–October) over the ICP during 1979–2018. (a)–(f) show the analysis results of the six major climate change indices that reflect the magnitude and frequency of precipitation. In each panel, positive and negative trends are displayed in blue and red, respectively. The magnitude of  $Z$  is associated with the significance level, i.e.,  $|Z| > 1.64$  is for the 10 % significance level and  $|Z| > 1.96$  is for the 5 % significance level.



**Figure 5.** Same as Fig. 4 but for seasonal precipitation during the dry season (November–April).





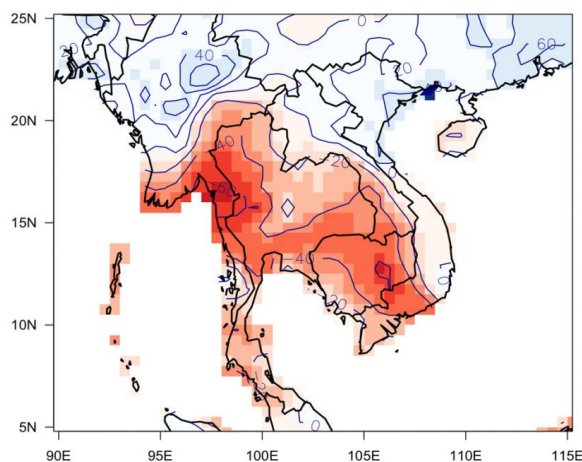
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645 **Figure 6.** Composite of seasonal rainfall anomaly (%) during positive and negative IOD  
 646 years: (a) rainfall anomaly in wet season during positive IOD years, (b) rainfall anomaly in  
 647 wet season during negative IOD years, (c) rainfall anomaly in dry season during positive IOD  
 648 years, and (d) rainfall anomaly in dry season during negative IOD years. Positive (negative)  
 649 values show increasing (decreasing) rainfall departure from the long-term average (1981–  
 650 2010).

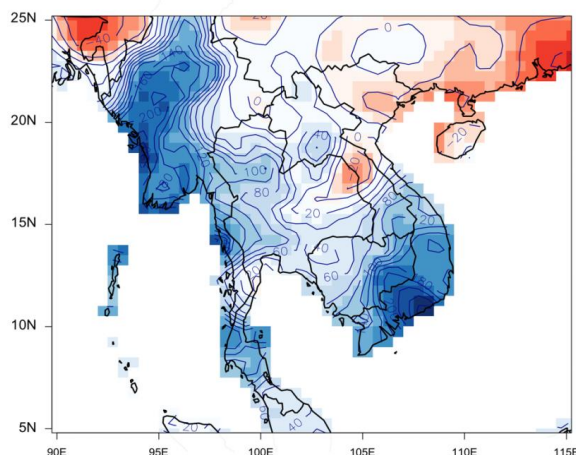
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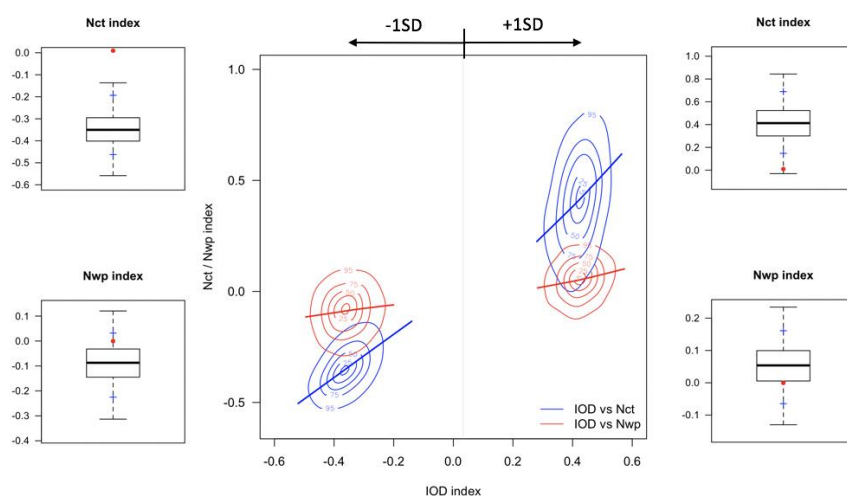
### a. Rainfall Anomaly during IOD + & El Nino



### b. Rainfall Anomaly during IOD - & La Nina



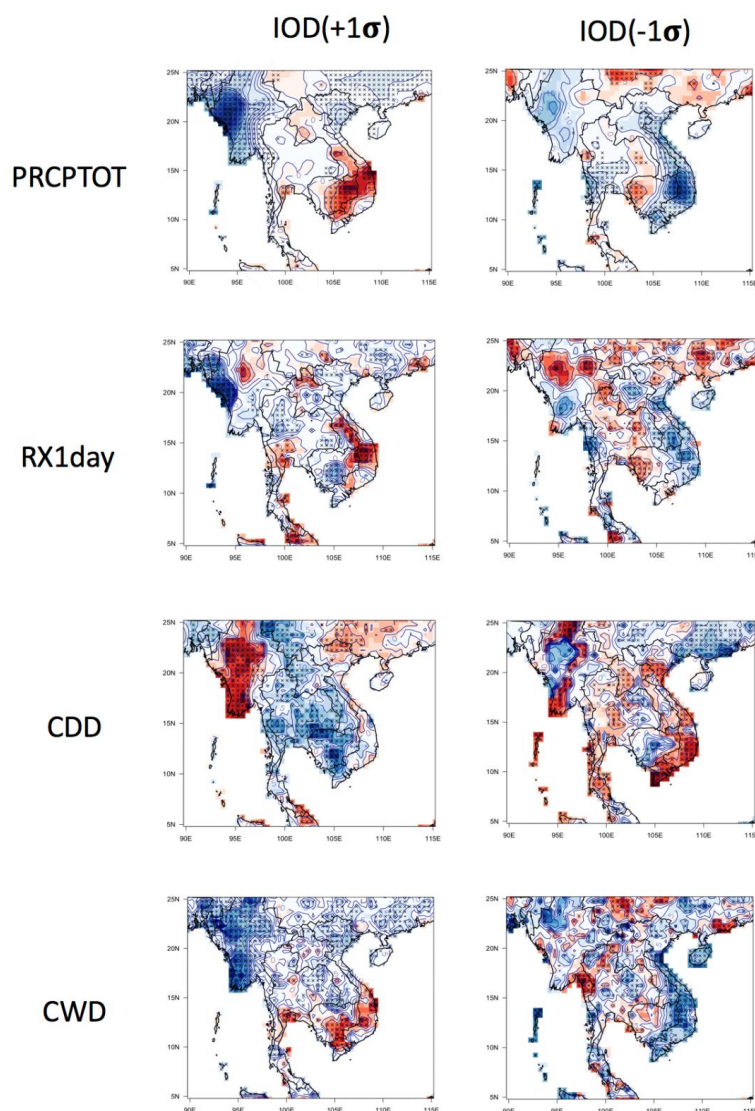
652  
 653 **Figure 7.** Composite rainfall anomaly in dry season (November–April) associated with the  
 654 IOD and ENSO: (a) rainfall anomaly during years with positive IOD and El Niño, and (b)  
 655 rainfall anomaly during years with negative IOD and La Niña. Positive (negative) values  
 656 show increasing (decreasing) rainfall departure from the long-term average (1981–2010).



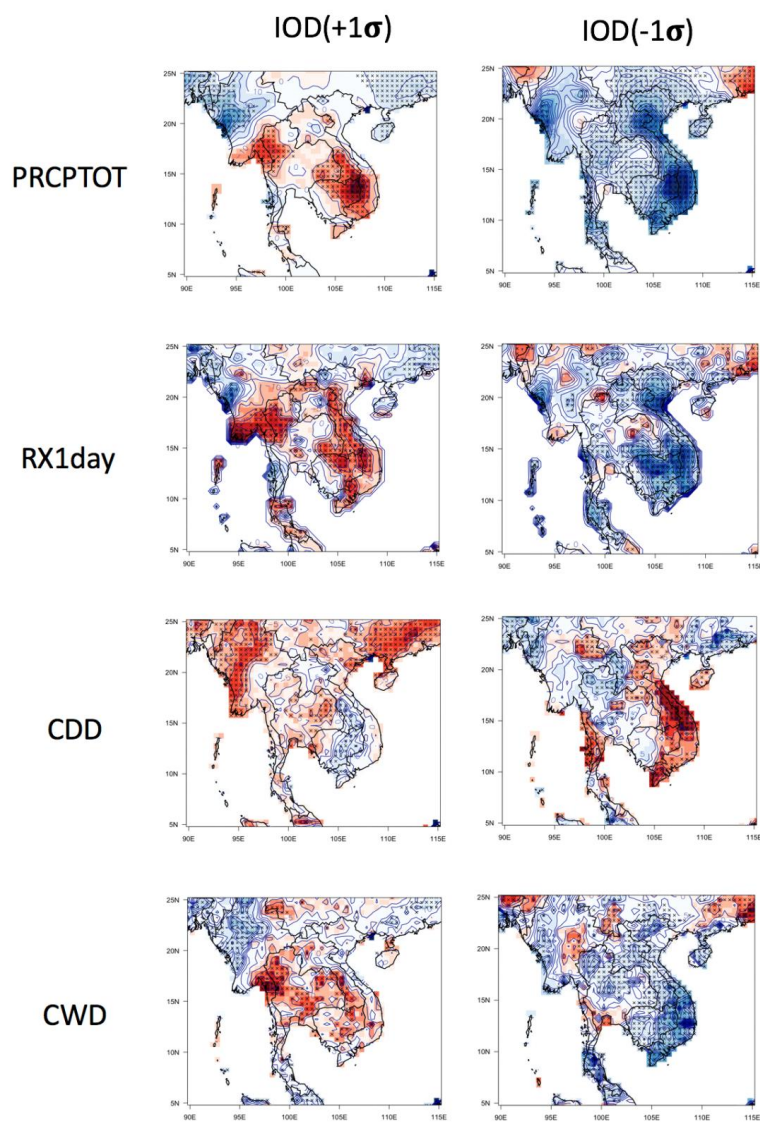
657  
 658 **Figure 8.** Mean differences of the two types of El Niño with  $\pm 1SD$  of the IOD. In the main  
 659 panel, contours (5th, lower quadrant, median, upper volatile, and 95th level) summarize the  
 660 IOD index and Nct or Nwp index using the intentionally biased bootstrapping model. Both  
 661 left and right panels deliberately apply  $\pm 1SD$  of the IOD to show results of 1000 simulations  
 662 for the Nct and Nwp indices. Red dots in each panel represent the average value of the  
 663 observations.

664

665



666  
 667 **Figure 9.** Spatial distributions of the percentage changes in major precipitation indices for the  
 668 wet season (May–October) over the ICP region for intentional increases (+1SD) or decreases  
 669 (–1SD) of the IOD index using the intentionally biased bootstrapping simulation. In each  
 670 panel, the statistically significant area of change at the 95 % significance level is shown by an  
 671 “x” symbol.



672  
 673 **Figure 10.** Same as Fig. 9 but for the dry season (November–April) over the ICP region.

674