

1 **Hybrid climate datasets from a climate data evaluation system and their impacts on**  
2 **hydrologic simulations for the Athabasca River basin in Canada**

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1 **Abstract**

2 A reliable climate dataset is a backbone for modeling the essential processes of the water cycle and  
3 predicting future conditions. Although a number of gridded climate datasets are available for North  
4 American content, which provide reasonable estimates of climatic conditions in the region, there are  
5 inherent inconsistencies in these available climate datasets (e.g., spatial- and temporal-varying data  
6 accuracies, meteorological parameters, lengths of records, spatial coverage, temporal resolution, etc). These  
7 inconsistencies raise questions as to which datasets are the most suitable for the study area and how to  
8 systematically combine these datasets to produce a reliable climate dataset for climate studies and  
9 hydrological modeling. This study suggested a framework, called the reference reliability evaluation system  
10 (REFRES), that systematically ranks multiple climate datasets to generate a hybrid climate dataset for a  
11 region. To demonstrate the usefulness of the proposed framework, REFRES was applied to produce a  
12 historical hybrid climate dataset for the Athabasca River basin in Alberta, Canada. A proxy validation was  
13 also conducted to prove the applicability of the generated hybrid climate datasets to hydrologic simulations.  
14 This study evaluated five climate datasets, including station-based gridded climate datasets (ANUSPLIN,  
15 Alberta Township, and PNWNAmet), a multi-source gridded dataset (Canadian Precipitation Analysis -  
16 CaPA), and a reanalysis-based dataset (NARR). The results showed that the gridded climate interpolated  
17 from station data performed better than multi-source and reanalysis based climate datasets. For the  
18 Athabasca River basin, Township and ANUSPLIN were ranked first for precipitation and temperature,  
19 respectively. The proxy validation also confirmed the utility of hybrid climate datasets in hydrologic  
20 simulations, compared with the other five individual climate datasets investigated in this study. These  
21 results indicate that the hybrid climate dataset provides the best representation of historical climatic  
22 conditions and thus, enhances the reliability of hydrologic simulations.

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24 **Key words:** Historical gridded climate data, reference reliability evaluation system, hydrological  
25 simulation, Athabasca River basin, proxy validation

## 1 **1. Introduction**

2 A reliable historical climate dataset is essential in understanding the climatic and hydrological  
3 characteristics of a watershed, as it is a crucial forcing input data for simulating key processes of the water  
4 and energy cycles in impact models (Deacu et al., 2012; Essou et al., 2016; Wong et al., 2017). Although  
5 climate monitoring networks have advanced over the last decades, poor network density still exists,  
6 especially in western mountainous and northern parts of Canada. Moreover, climate observations are often  
7 spatially interpolated to cover ungauged regions, which may cause unexpected erroneous model predictions  
8 as a consequence of the sparse measurements network, especially for mountainous areas affected by  
9 orographic effects (Rinke et al., 2004; Wang and Lin, 2015).

10 As advances in numerical hydrologic and hydrodynamic modeling have increased the capability and  
11 reliability in simulating complex natural processes to detect anthropogenic and natural climate changes, a  
12 need for temporally- and spatially- reliable climate data has also been grown to accommodate the  
13 requirements of input data for numerical models (Shen et al., 2010; Shrestha et al., 2012; Islam and Dery,  
14 2017). For instance, process-based distributed hydrologic models have a grid-based structure that requires  
15 input data for each grid cell. However, a simple spatial interpolation of observational station data to all  
16 model grid cells may not produce a reliable input forcing dataset for hydrologic models, particularly in a  
17 region with a sparse gauging network. A reliable historical climate dataset is also crucial in climate change  
18 studies when used for statistical downscaling techniques that employ the relationships between observations  
19 and outputs of global (or regional) climate models to produce climate forcing at regional or local scales.  
20 Since the resolution of products from a statistical downscaling technique usually corresponds to that of the  
21 historical climate dataset (Werner and Cannon, 2016; Eum and Cannon, 2017), the availability of  
22 temporally- and spatially- reliable historical climate data is essential for climate-related impact studies  
23 (Christensen and Lettenmaier, 2007; Kay et al., 2009; Gutmann et al., 2014; Eum et al., 2016).

24 A number of high-resolution gridded climate datasets have been developed for various applications  
25 such as inter-comparison studies (Eum et al., 2014a; Wong et al., 2017) and hydrologic modeling (Choi et

1 al., 2009; Eum et al., 2016). There are various types of gridded climate datasets available for the North  
2 American region; 1) station-based interpolated, 2) station-based multiple-source, and 3) reanalysis-based  
3 multiple-source (Wong et al., 2017). By interpolation of observational station data, long-term gridded  
4 climate datasets have been produced over various domains defined by stations incorporated such as Canada-  
5 wide Australia National University's spline (ANUSPLIN, Hutchison et al., 2009), the Alberta Township  
6 data (Shen et al., 2001), and the PCIC NorthWest North America meteorological (PNWNAmet) dataset  
7 (Werner et al., 2019). The Canadian Precipitation Analysis (CaPA) system, a multiple source-based climate  
8 dataset, has been developed to produce near real-time precipitation analyses (6-hr accumulated precipitation)  
9 over North America at 15 km resolution which has been further improved to 10km resolution (Lespinas et  
10 al., 2015). North American Regional Reanalysis (NARR), one of the reanalysis-based datasets derived from  
11 a regional climate model (~32km), has been tested as an alternative climate dataset (Choi et al., 2009;  
12 Praskievicz and Bartlein, 2014; Essou et al., 2016; Islam and Dery, 2017).

13 In most of the large-scale modelling studies, multiple climate data sets were combined to cover the  
14 entire modelling domain for all the required climate variables, usually without evaluating the performance  
15 of different climate datasets for the modelled regions (Faramarzi et al., 2015; Shrestha et al., 2017; Wong  
16 et al., 2017). The lack of performance indicators for available climate datasets may cause inappropriate  
17 application of these datasets for various large scale studies, resulting in unreliable outputs, e.g., considerable  
18 bias in statistical downscaling studies. Therefore, selecting reliable gridded climate data for a study area is  
19 crucial for any hydrological or climate-related studies (Werner and Cannon, 2016; Eum et al., 2014a; 2017).  
20 Eum et al. (2014a) intercompared three gridded climate datasets (ANUSPLIN, NARR, and CaPA) for the  
21 Athabasca River Basin (ARB) and found that data accuracy varies spatially and temporally over the basin  
22 mainly due to the heterogeneity of spatial density of the observational climate network in the basin and  
23 limited data assimilation. Wong et al. (2017) also intercompared gridded precipitation datasets derived from  
24 different data sources over Canada. Few studies have attempted to incorporate spatially-varied performance  
25 measures of various climate datasets to produce a complete long-term historical climate dataset for a study

1 region (Faramarzi et al., 2015; Shrestha et al., 2017). In addition, no systematic framework has been  
2 developed yet that could be employed by climatic and hydrologic studies.

3 Therefore, this study provides a framework, called REFERENCE Reliability Evaluation System  
4 (REFRES), to systematically determine the ranking of multiple climate datasets based on their performance  
5 and generate a hybrid climate dataset for a study region by extracting the best candidate (based on the  
6 ranking) from multiple climate datasets available in a repository. Several performance measures were  
7 identified and calculated by comparing to the Adjusted and Homogenized Canadian Climate Data (AHCCD)  
8 over western Canada. Based on the performance measures, the climate datasets were ranked to generate a  
9 hybrid climate dataset for the area of interest (target area). A hybrid dataset for two climate variables -  
10 precipitation and temperature, key forcing for hydrological modeling, was produced for a period of record  
11 that is fully covered by the multiple climate datasets. To validate the applicability of the hybrid climate  
12 dataset, a proxy validation approach was employed by comparing simulated streamflows derived from the  
13 generated hybrid climate data and other available climate datasets to recorded streamflows at various  
14 hydrometric stations in the Athabasca River basin (ARB). Streamflows were simulated using a hydrologic  
15 model (Variable Infiltration Capacity, VIC) calibrated and forced by individual climate datasets and the  
16 generated hybrid climate dataset. Therefore, the aims of this study are 1) to develop a methodology (i.e.,  
17 reference reliability evaluation system, REFRES) to compare and rank multiple gridded climate datasets  
18 based on the proposed performance measures and to generate the hybrid climate dataset, and 2) to validate  
19 the hybrid climate dataset using the proxy validation approach for the Athabasca River basin as a case study  
20 to confirm the applicability of hybrid climate dataset to hydrologic simulations.

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## 22 **2. Climate data**

### 23 **2.1 Adjusted and Homogenized Canadian Climate Data (AHCCD)**

24 Climate station observations in Canada are available from the national climate data and information  
25 archive of Environment and Climate Change Canada (ECCC, <http://climate.weather.gc.ca/>). Besides the

1 variable number of observations due to frequent changes in operations including discontinuation of stations,  
2 the observations are also subject to various errors from undercatch of solid precipitation, orographic effects,  
3 and malfunction of measurements (Mekis and Hogg, 1999; Rinke et al., 2004).

4 Mekis and Vincent (2011) adjusted daily rainfall and snowfall data, considering wind undercatch,  
5 evaporation, and wetting losses corresponding to the types of gauges for 450 stations over Canada. The  
6 most recent version released in 2016 provides the adjusted precipitation observations, expanded to 464  
7 precipitation stations. Vincent et al. (2012) produced the 2<sup>nd</sup> generation of homogenized daily temperature  
8 by adjusting the time series at 120 synoptic stations to account for a nation-wide change in observing time  
9 and homogenizing discontinuities over 338 temperature (daily minimum and maximum) stations in Canada.  
10 The adjusted and homogenized Canadian Climate Data (AHCCD) are available through Environment and  
11 Climate Change Canada (<http://ec.gc.ca/dccha-ahccd/default.asp?lang=En&n=B1F8423>).

12 Considering that archived raw station data were used to produce the historical gridded climate datasets  
13 used in our study, the evaluation of performance at the AHCCD stations is more meaningful because the  
14 AHCCD data were adjusted to account for the known measurement issues in the raw station data. For  
15 example, the adjusted precipitation data are higher by 5 % to 20 %, varying with topographic characteristics  
16 (Mekis and Vincent, 2011). Therefore, the AHCCD dataset is recognized as the best estimate of actual  
17 climate variables in Canada, and consequently used in a number of climate-related studies (Asong et al.,  
18 2015; Eum et al., 2014a; Shook and Pomeroy, 2012; Wong et al., 2017). As large-scale watersheds in Alberta  
19 are crossing the province, e.g., the Peace River and Athabasca River basins, this study evaluated the  
20 performance of the historical gridded climate datasets at the AHCCD stations within British Columbia (BC),  
21 Alberta (AB), and Saskatchewan (SK) (190 and 129 stations for precipitation and temperature, respectively,  
22 in Figure 1). The AHCCD stations have different record lengths. For example, the longest record period is  
23 from 1840 to 2016 while the shortest period is from 1967 to 2004. As the data lengths are different at each  
24 AHCCD station, we selected a common period between each AHCCD station and climate dataset to  
25 estimate performance measures.

1 Figure 1. AHCCD stations within the British Columbia (BC), Alberta (AB), and Saskatchewan (SK)  
2 provinces

## 3 4 **2.2 Historical gridded climate datasets**

5 In general, the available historical gridded climate dataset can be divided into three categories; 1)  
6 station-based, 2) multiple source-based, and 3) reanalysis-based. In this study, five high-resolution gridded  
7 climate datasets available for Alberta were selected (Table 1) to evaluate their performance and include in  
8 the generation of a hybrid climate dataset for Alberta.

9 Table 1. High-resolution gridded historical climate datasets used in this study

### 10 11 **2.2.1 Station-based datasets**

12 Hutchinson et al. (2009) produced a Canada-wide daily climate dataset at 10 km resolution from 1961  
13 to 2003 by the Australia National University's trivariate thin-plate smoothing spline (ANUSPLIN)  
14 technique to model the complex spatial patterns (e.g., large variations in ground elevation and station  
15 density over Canada) of daily weather data. Hopkinson et al. (2011) updated the existing ANUSPLIN  
16 dataset by reducing residuals and extended the daily weather data from 1950 to 2011. Recently,  
17 ANUSPLIN data were extended until 2015 for three climate variables, i.e., daily precipitation, minimum  
18 and maximum air temperature, which were interpolated with 7,514 surface-based observations (archive  
19 data) of Environment Canada. However, the numbers of stations included in interpolation varied year to  
20 year, ranging from 2,000 to 3,000 for precipitation and from 1,500 to 3,000 for air temperature. The  
21 ANUSPLIN data generated by Natural Resource Canada (NRCan) have been used as the source data to  
22 compare climate products (Eum et al., 2014a; Wong et al., 2017), evaluate the accuracy of regional climate  
23 models (Eum et al., 2012), and to model hydrologic regimes (Islam and Dery, 2017; Eum et al., 2017;  
24 Dibike et al., 2018).

1 Similar to the ANUSPLIN dataset, Pacific Climate Impacts Consortium (PCIC) also generated daily  
2 precipitation, minimum and maximum air temperature, and wind speed from 1945 to 2012 at 1/16 degree  
3 (6~7km) resolution using a thin-plate smoothing spline technique over Northwest North America, called  
4 the PCIC North West North America meteorological (PNWNAmet, Werner et al., 2019) dataset  
5 ([https://data.pacificclimate.org/portal/gridded\\_observations/map/](https://data.pacificclimate.org/portal/gridded_observations/map/)). While ANUSPLIN utilized a varying  
6 number of gauge stations depending on availability of observations in a given year, PNWNAmet set a  
7 common period from 1945 to 2012 for all stations included in the interpolation over regularly spaced grid  
8 cells within the domain. The PNWNAmet dataset was developed to produce forcing for an updated version  
9 of the Variable Infiltration Capacity model with glaciers (VIC-GL). In addition to precipitation, and  
10 minimum and maximum temperature, PNWNAmet includes wind speed, which considerably affects vital  
11 hydrologic processes, especially evapotranspiration, sublimation, and snow transport (i.e., snow blowing).  
12 Because the AHCCD dataset provides only daily precipitation and temperature, wind speed was excluded  
13 in this study.

14 Alberta Agriculture and Forestry (AF) produced the Alberta Township data  
15 (<http://agriculture.alberta.ca/acis/township-data-viewer.jsp>) from 1961 to 2016 at approximately 10km  
16 (Alberta Township grid) resolution using a hybrid inverse distance weighting (IDW) process (Shen et al.,  
17 2001) for daily precipitation, minimum and maximum temperature, relative humidity, wind speed, and solar  
18 radiation. The archive (raw) station data collected by ECCC, Alberta Environment and Parks (AEP), and  
19 AF over Alberta were used in producing the Township dataset. The Township data used various effective  
20 radiuses (60 km to 200 km) to ensure a sufficient number of gauge stations in IDW. When there is no station  
21 within 200 km, it is assumed that the nearest station represents the climate conditions of the Township  
22 center. The domain of Township data covers most of Alberta except the mountainous regions while both  
23 ANUSPLIN and PNWNAmet cover all of western Canada (refer to Table 1). Therefore, one of the  
24 limitations of the Township dataset is its application to a large watershed spanning Alberta and other  
25 neighboring provinces.



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### **2.2.2 Multiple source-based dataset**

As an operational system, the Meteorological Service of Canada initiated the Canadian Precipitation Analysis (CaPA) in 2003 to produce superior gridded precipitation data over North America at 10 km resolution (Lespinas et al., 2015), especially for regions with poor observational networks (Mahfouf et al., 2007). CaPA employs an optimum interpolation technique that requires properties of error statistics among observations and a first guess, i.e., background field (Garand and Grassotti, 1995). A short-term forecast of 6-hr accumulated precipitation from the Canadian Meteorological Centre (CMC) regional Global Environmental Multiscale (GEM) model (Côté et al., 1998a; 1998b) is used in CaPA as the background field. The assimilated precipitation from the Canadian weather radar network and 33 US radars near the border are used as additional observations to generate analysis error among multiple sources of observations and the background precipitation. Zhao (2013) tested the applicability of CaPA for hydrologic modelling in the Canadian Prairies and proved its usefulness in data-sparse regions and the winter season. In addition, CaPA has been widely-used in agricultural and hydrologic applications (Deacu et al., 2012; NIDIS, 2015). Eum et al. (2014a) further addressed some of the limitations of CaPA, i.e., lack of air temperature which is one of the primary drivers in hydrologic modeling and shorter data length (only from 2002 to 2017), for model calibration and validation. Using 6-hr accumulated precipitation CaPA products, in this study, daily accumulated precipitation was generated over western Canada by adjusting the time zone from Universal Time Coordinated (UTC) to Mountain Time (MT).

### **2.2.3 Reanalysis-based dataset**

Reanalysis products are another common type of gridded dataset used in climate and hydrologic studies. The North American Regional Reanalysis (NARR) was developed to create a long-term set of dynamically consistent 3-hourly climate data from 1979 to 2003 at a regional scale ( $0.3^\circ = \sim 32\text{km}$ ) for the North America domain (Mesinger et al., 2006). By utilizing advanced land-surface modeling and data

1 assimilation through the Eta Data Assimilation System (EDAS), NARR improved the National Centers for  
2 Environmental Prediction/National Center for Atmospheric Research (NCEP/NCAR) global reanalysis data.  
3 NARR cycled every 3 hours to produce a climate dataset from 1979 to the current year. Choi et al. (2009)  
4 tested the applicability of NARR for hydrologic modeling in Manitoba for a region with a poor monitoring  
5 network density. However, the NARR dataset after 2004 is not consistent with that of prior years (i.e., 1979  
6 to 2003) because assimilation of precipitation observations was discontinued in 2003 (Eum et al., 2014a).  
7 Using the 3-hr NARR climate data, daily precipitation and minimum and maximum temperature were  
8 calculated by adjusting the time zone to MT from the original NARR dataset (UTC zone).

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### 10 **3. Methodology**

#### 11 **3.1 Reference Reliability Evaluation System (REFRES)**

12 This study suggests a **REF**ference **RE**liability **E**valuation **S**ystem (REFRES) that consists of three  
13 main modules (refer to Figure 2): 1) a performance measure module (PMM) to evaluate various  
14 performance measures for each climate dataset, 2) a ranking module (RM) to identify the most reliable  
15 climate data for a target grid cell using a multi-criteria decision-making technique based on the performance  
16 measures provided by PMM, and 3) a data generation module (DGM) to produce a hybrid climate dataset  
17 by selecting the most reliable climate dataset based on the ranking provided by the RM (ranking model).  
18 These three modules are seamlessly integrated and exchange the required data and information to generate  
19 a hybrid climate dataset. The next section provides further details on each module.

20 Figure 2. Structure of REFRES comprise of three modules; 1) Performance Measure Module (PMM), 2)  
21 Ranking Module (RM), and 3) Data Generation Module (DGM)

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##### 23 **3.1.1 Performance Measure Module (PMM)**

24 AHCCD is a point (station) dataset while the other climate datasets used in this study (refer to Table  
25 1) are regularly spaced gridded datasets with varying time period, spatial resolution, and coverage (i.e.,

1 domain). Therefore, the inverse distance squared weighting method was applied to obtain the values at the  
2 AHCCD stations from all the gridded climate datasets. Then, performance measures were calculated by  
3 comparing the interpolated values with the data collected at AHCCD stations. The choice of the  
4 performance measures is vital in REFRES, as the ranking of climate datasets entirely depends on included  
5 performance measures. In this study, performance measures were selected based on three criteria: 1)  
6 distribution, 2) sequencing, and 3) spatial pattern. Distribution-related performance is assessed by the  
7 Kolmogorov-Smirnov  $D$  statistic ( $D_{KS}$ ) and standard deviation ratio ( $\sigma_{ratio}$ ). Sequence-related performance  
8 is assessed by the percentage of bias ( $P_{bias}$ ), root mean square error (RMSE), and temporal correlation  
9 coefficient (TCC). Spatial pattern-related performance is evaluated by the pattern correlation coefficient  
10 (PCC) as shown in Eq. (1) to Eq. (5). The equations of TCC and PCC are identical but TCC is calculated  
11 with the daily time series of climate variables and PCC is obtained by the mean annual precipitation and  
12 temperature of the AHCCD stations over a target domain. Therefore, PCC varies with the user specified  
13 target domain.

$$14 \quad D_{KS} = \sup |F_G(x) - F_O(x)| \quad (1)$$

$$15 \quad \sigma_{ratio} = \{(\sigma_G/\sigma_O) - 1\} \quad (2)$$

$$16 \quad P_{bias} = \frac{\sum_{i=1}^N (G_i - O_i)}{\sum_{i=1}^N O_i} \times 100 \quad (3)$$

$$17 \quad RMSE = \sqrt{\frac{\sum_{i=1}^N (G_i - O_i)^2}{N}} \quad (4)$$

$$18 \quad TCC, PCC = \frac{\sum_{i=1}^N (G_i - \bar{G})(O_i - \bar{O})}{\sqrt{\sum_{i=1}^N (G_i - \bar{G})^2} \sqrt{\sum_{i=1}^N (O_i - \bar{O})^2}} \quad (5)$$

19 where  $\sigma_G$  and  $\sigma_O$  are the standard deviation of gridded and observed climate datasets,  $G_i$  and  $O_i$  represent  
20 gridded and observed climate datasets at  $i$ th time step, respectively;  $F$  is the empirical distribution function  
21 of a climate dataset;  $\sigma$  is standard deviation;  $\bar{G}$  and  $\bar{O}$  represent the mean of gridded and observed  
22 climate datasets, respectively and  $N$  is a total number of data points. These six performance measures were

1 calculated for all the selected climate datasets and variables at each AHCCD station. Figure 2 (blue box in  
2 PMM) shows an example of 6 PMs calculated for the precipitation variable using the ANUSPLIN gridded  
3 data. Thus, 15 tables (5 climate datasets  $\times$  3 variables) were generated by PMM and transferred to the RM.

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### 5 **3.1.2 Ranking Module (RM)**

6 The function of the ranking module is to select the appropriate AHCCD stations for a given target grid  
7 cell and to rank all the gridded data sets based on the six performance measures calculated in the previous  
8 module. For a given target cell, AHCCD stations are selected based on two criteria: distance and elevation.  
9 Firstly, 20% (of all AHCCD) stations are selected based on the nearest distance criteria, which were then  
10 again reduced by the five nearest stations based on the minimum elevation difference criteria. Then the  
11 performance measures are averaged over the selected AHCCD stations to represent the skill of each climate  
12 dataset for the given target grid cell.

13 As multiple performance measures are employed in this study, there are situations when a climate  
14 dataset may perform well for some measures but not for others. Therefore, a multi-criteria decision-making  
15 (MCDM) technique is required to systematically rank all of the climate datasets while considering multiple  
16 performance measures. This study applied a multi-criteria decision-making technique called the Technique  
17 for Order of Preference by Similarity to Ideal Solution (TOPSIS, Hwang and Yoon 1981) to systematically  
18 determine the order of preference for all climate datasets at each target grid cell. TOPSIS calculates the  
19 geometric distance between alternatives and an ideal solution defined by the best performance on each  
20 criterion from the alternatives, and then determines the best and worst alternatives based on the distance.  
21 TOPSIS has been successfully applied to watershed management for multi-criteria problems (Jun et al.,  
22 2013; Lee et al., 2013). TOPSIS starts with the averaged performance measures,  $(x_{ij})_{m \times n}$  for the  $i^{\text{th}}$  alternative  
23 (climate dataset in this study) and  $j^{\text{th}}$  criterion (i.e., a performance measure). A weighted normalized decision  
24 matrix,  $(t_{ij})_{m \times n}$  is given by

$$1 \quad (t_{ij})_{m \times n} = (w_j n_{ij})_{m \times n} \quad i = 1, 2, \dots, m; \quad j = 1, 2, \dots, n \quad (6)$$

$$2 \quad n_{ij} = \frac{x_{ij}}{\sum_{i=1}^m x_{ij}^2} \quad (7)$$

3 where,  $m$  and  $n$  are the total number of alternatives and criteria, respectively,  $n_{ij}$  is normalized matrix by Eq.  
 4 (7), and  $w_j$  represents weighting on the  $j^{\text{th}}$  criterion. Under the assumption that all performance measures  
 5 are important, this study used an equal weighting. Then, Euclidean distances ( $d_{ib}$  and  $d_{iw}$ ) of climate datasets  
 6 from the best ( $A_b$ ) and worst ( $A_w$ ) conditions were calculated respectively by Eq. (8) to Eq. (11)

$$7 \quad A_w = \{ \langle \max(t_{ij} | i = 1, 2, \dots, m) | j \in J_- \rangle, \langle \min(t_{ij} | i = 1, 2, \dots, m) | j \in J_+ \rangle \} \equiv \{ t_{wj} | j = 1, 2, \dots, n \} \quad (8)$$

$$8 \quad A_b = \{ \langle \min(t_{ij} | i = 1, 2, \dots, m) | j \in J_- \rangle, \langle \max(t_{ij} | i = 1, 2, \dots, m) | j \in J_+ \rangle \} \equiv \{ t_{bj} | j = 1, 2, \dots, n \} \quad (9)$$

$$9 \quad d_{iw} = \sqrt{\sum_{j=1}^n (t_{ij} - t_{wj})^2} \quad i = 1, 2, \dots, m \quad (10)$$

$$10 \quad d_{ib} = \sqrt{\sum_{j=1}^n (t_{ij} - t_{bj})^2} \quad i = 1, 2, \dots, m \quad (11)$$

11 Where,  $t_{bj}$  and  $t_{wj}$  are the best and worst decision matrices determined by Eq. (8) and (9), respectively, and  
 12  $J_+$  and  $J_-$  represent criteria that have a positive and a negative impact on performance. For example, TCC  
 13 and PCC are in  $J_+$  while  $D_{KS}$ ,  $\sigma_{\text{ratio}}$ ,  $P_{\text{bias}}$ , and RMSE are in  $J_-$ . Using the Euclidean distances, the order of  
 14 preference for all climate datasets was determined by the similarity ( $s_{iw}$ ) to the worst condition in Eq. (15).

$$15 \quad s_{iw} = \frac{d_{iw}}{d_{iw} + d_{ib}}, \quad 0 \leq s_{iw} \leq 1, \quad i = 1, 2, \dots, m \quad (15)$$

16  $s_{iw} = 1$  when the alternative is equal to the best condition ( $A_b$ ) and  $s_{iw} = 0$  if the alternative is equal to the  
 17 worst condition ( $A_w$ ). In other words, a higher  $s_{iw}$  represents higher preference among alternatives. As we  
 18 evaluate the performance measures (criteria) for individual climate variables, TOPSIS can be applied to  
 19 decide the preference of climate datasets considering the performance measures for either individual or  
 20 multiple variables. In this study, TOPSIS provides two types of ranking information by using performance  
 21 measures from i) individual climate variable and ii) all climate variables. That is, one is the ranking for

1 precipitation and temperature separately ( $R_{ind}$ ) and the other is the ranking for multiple variables ( $R_{mul}$ ). For  
2 example, in this study,  $R_{ind}$  was determined by a  $5 \times 6$  decision matrix (5 climate datasets and 6 performance  
3 measures) for precipitation and temperature individually, while  $R_{mul}$  was determined by a  $4 \times 18$  decision  
4 matrix (4 climate datasets excluding CaPA that provides only precipitation by 18 performance measures  
5 from three variables). To alleviate the erroneous output that minimum temperature is higher than maximum  
6 temperature on a certain day when producing the hybrid climate dataset by the ranking of temperature  
7 values individually, the performance measures of both minimum and maximum temperature are employed  
8 together to rank the climate datasets for temperature.

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### 10 **3.1.3 Data Generation Module (DGM)**

11 DGM extracts the most reliable climate data for a user-specified target region based on the ranking  
12 information obtained from the RM. The tool is flexible enough to provide output in various common  
13 formats, i.e., NetCDF, ASCII (text) or in the specific format of a numerical model. As all of the historical  
14 gridded climate datasets have been tested and employed in numerous climatic and hydrologic studies, an  
15 assumption was made in generating the hybrid climate dataset that all of the climate datasets are equally  
16 qualified for inclusion but the final selection can be determined by the proven superiority evaluated through  
17 the performance measures. Under this assumption, the available datasets can be combined systematically  
18 based on the rank (performance) of each dataset at target grid cells. As each climate dataset has different  
19 data periods shown in Table 1, the first ranked dataset cannot fully cover a whole target period to be  
20 extracted from a set of climate data candidates. DGM provides a systematic procedure to identify the most  
21 reliable dataset for a target region and extracts the data from the inventory of climate datasets considering  
22 the ranking and availability of each dataset for a desired period. For instance, if CaPA and ANUSPLIN  
23 ranked first and second for precipitation and the desired period is 1950 to 2016, DGM starts searching for  
24 the availability of precipitation in 1950. As CaPA is only available between 2002 to 2016, DGM reorders  
25 the rank to select ANUSPLIN as the best climate dataset available in 1950. In this way, a hybrid dataset

1 over the period 1950 to 2016 is generated by extracting from ANUSPLIN from 1950 to 2001 and CaPA  
2 from 2002 to 2016 in this particular case. Once the best climate datasets are extracted over all the target  
3 grid cells (study domain), the hybrid climate dataset is produced in a user-defined format. This study  
4 generated the hybrid climate datasets in the form of the VIC forcing input format to be directly employed  
5 into the hydrologic model.

6

### 7 **3.2 Proxy validation**

8 Although the AHCCD dataset has been adjusted to provide better estimates of actual precipitation and  
9 temperature, it contains statistical artifacts that include inevitable errors from sequential data processes that  
10 can be propagated in the derived hybrid climate dataset. Given that the AHCCD stations, the reference  
11 dataset for the performance measures, are not regularly distributed and have especially poor density in the  
12 northern parts of the study area (refer to Figure 1), it is questionable if the hybrid climate dataset can  
13 represent a historical climate better than the individual gridded climate dataset. Utilizing a proxy validation  
14 approach (Klyszejko, 2007), this study applied streamflow records to validate the utility of the derived  
15 hybrid climate dataset over other existing climate datasets in hydrologic simulations. In this study, the proxy  
16 validation was conducted using an existing hydrologic model (Eum et al., 2017), Variable Infiltration  
17 Capacity (VIC, Liang et al., 1994), for the Athabasca River basin (ARB). The VIC model was further  
18 refined at  $1/32^\circ$  (2~3 km) for a finer spatial resolution and to better simulate the complex river network in  
19 the Lower Athabasca River basin. Five of the catchment areas listed in Table 2 were selected for the proxy  
20 validation based on three criteria: i) hydrometric record length, ii) location defined by upper, middle and  
21 lower reaches (Northern River Basin Study, 2002), and iii) the number of gridded climate datasets used to  
22 generate a hybrid climate dataset for the catchment area of the selected hydrometric station. In other words,  
23 a higher number of gridded climate datasets contributing to the hybrid climate dataset within a catchment  
24 was selected to evaluate the utility of the hybrid climate data relative to the existing gridded climate datasets.  
25 Hinton is located near the headwaters of ARB, which are characterized by mountainous topography and

1 snow- and glacier-ice melt dominated hydrologic regimes. Pembina is one of the major rivers in the middle  
2 reach. The other three stations (Christina, Clearwater above Christina and Firebag) are located in the lower  
3 reach, which is a water-limited (dry) region due to a higher amount of evapotranspiration (Eum et al.,  
4 2014b). The sub-basins of Hinton, Firebag, and Clearwater include a partial area outside of the Township  
5 data domain, thus inducing a higher or lower number of climate datasets in the derived hybrid dataset.

6 A total of seven climate datasets (five individual and two hybrid climate datasets from the  $R_{ind}$  and  $R_{mul}$ ) are  
7 available to calibrate the VIC hydrologic model parameter set related to soil properties and routing. The  
8 calibration period is 1985-1997 as in Eum et al., (2017), except for CaPA that uses the period of 2003-2009  
9 for calibration, as CaPA covers the period from 2002 to 2016. The remaining period of total record length  
10 for each climate dataset is used for validation. More details on calibration can be found in Eum et al. (2017).

11 Under the assumption of REFRES that all of the existing climate datasets are of equal quality for hydrologic  
12 simulations, all of the calibrated parameter sets can be considered as mostly plausible parameter sets for  
13 the selected sub-basins. However, as mentioned above, intrinsic biases exist temporally and spatially in all  
14 of the gridded climate datasets, e.g., discrepancies in the amount and spatial distribution of precipitation  
15 between the gridded climate datasets and observations. Therefore, the similarity of the gridded climate  
16 datasets in terms of magnitude, sequence, and spatial distribution of climate events relative to observations  
17 is crucial to reproduce historically observed streamflows. In addition to climate forcings, streamflows are  
18 mainly affected by geographic characteristics and physical land surface processes (e.g., infiltration and  
19 evapotranspiration), which are represented by model parametrization related to infiltration and soil  
20 properties (Demaria et al., 2007). In a hydrologic simulation, the biases in climate datasets can be  
21 compromised by model parameters that adjust hydrologic processes to observations (Harpold et al., 2017;  
22 Kirchner, 2006). That is, a calibrated parameter set may imply biases in a climate dataset. Under the  
23 assumption that the calibrated parameter sets are suitable for hydrologic simulations in each sub-basin, this  
24 study applied a multiset-parameter hydrologic simulation approach that employs all parameter sets  
25 calibrated by the seven climate datasets and the same climate dataset as a forcing input data to assess the



1 sensitivity of the climate dataset to all feasible parameter sets. From the multiset-parameter hydrologic  
2 simulations, the bias in a climate dataset can be estimated indirectly by quantifying the variability in  
3 hydrologic simulations derived from the feasible calibrated parameter sets under a climate forcing dataset.  
4 In other words, lower variability in the hydrologic simulations indicates higher reliability in the climate  
5 forcing dataset. The suitability of the hybrid climate dataset for improving historical hydrologic simulations  
6 was also tested by directly comparing the performances of calibration and validation for each climate  
7 dataset. Proxy validations were carried out by conducting 49 hydrologic simulations (7 climate forcing  $\times$  7  
8 parameter sets) for the Pembina and Christina catchment areas, whereas only 36 simulation runs were  
9 possible for Hinton, Firebag, and Clearwater sub-basins, as one of the gridded data sets (i.e., Township) did  
10 not cover the entire catchment areas of these three hydrometric stations.

11

## 12 **4. Results**

### 13 **4.1 Precipitation performance measures in Alberta**

14 Although the performance measures were calculated for 190 AHCCD stations in western Canada, the  
15 target area of this study is in Alberta, where only 45 stations are located. Therefore, the results for the 45  
16 AHCCD stations are given in this study. Table 3 shows spatially-averaged performance measures for  
17 precipitation. The Township data outperformed other climate datasets for all performance measures except  
18  $P_{\text{bias}}$ . ANUSPLIN is the second best climate dataset for Alberta. All climate datasets underestimate the  
19 standard deviation of observed daily precipitation (i.e., negative  $\sigma_{\text{ratio}}$ ), especially PNWNAmet and CaPA  
20 which underestimated by 34 % and 39 %, respectively. Interestingly, two station-based gridded climate  
21 datasets, ANUSPLIN and Township, show negative  $P_{\text{bias}}$  while PNWNAmet, CaPA, and NARR datasets  
22 have positive  $P_{\text{bias}}$ . This indicates that ANUSPLIN and Township may underestimate extreme precipitation,  
23 as they employed the raw station data instead of the adjusted precipitation data which is higher than the raw  
24 station data by 5%-20%. In contrast, other climate datasets (especially multiple sources and reanalysis data)  
25 overestimate extreme precipitation. These results are consistent with findings in Eum et al. (2014a) that

1 CaPA and NARR overestimate extreme precipitation events by overly reflecting the orographic effects on  
2 precipitation in western Alberta.

3 Figure 4 shows the temporal correlation coefficient (TCC) data averaged over the AHCCD stations in  
4 Alberta to investigate the similarity between historical precipitation datasets employed in this study. As  
5 expected, station-based climate datasets (i.e., ANUSPLIN, PNWNAmet, and Township) showed better  
6 TCCs than CaPA and NARR. The TCC between ANUSPLIN and Township was the highest among climate  
7 datasets except for the observations (i.e., OBS), even though they incorporated different interpolation  
8 techniques. PNWNAmet showed the highest TCC with ANUSPLIN because they both are based on thin  
9 plate spline interpolation. TCCs between CaPA and other climate datasets are similar, as CaPA is produced  
10 from multiple sources such as GEM's outputs and weather radar networks of Canada and US. NARR, the  
11 reanalysis-based climate dataset, showed higher TCC with CaPA than with other datasets, as it is assimilated  
12 with multiple sources of observations.

13 Maps of each performance measure are shown in Figure 5. It is evident from the spatial variability that  
14 the ANUSPLIN and Township datasets outperformed the other datasets in  $D_{KS}$  throughout Alberta. In the  
15 mountainous region of southwest Alberta, most of the climate datasets performed poorly in  $P_{bias}$ ,  $\sigma_{ratio}$ ,  
16 RMSE, and PCC, resulting mainly from the sparse observation network and inconsistent observations near  
17 the Canada-US border. PNWNAmet highly overestimates the mean annual precipitation in the mountainous  
18 area (e.g., 300 mm/year higher than that observed at station ID 3050519), which may considerably affect  
19 simulated streamflows originating in mountainous headwaters and further downstream.

20

## 21 **4.2 Air temperature performance measures in Alberta**

22 The performance measures for air temperature averaged over 37 AHCCD stations in Alberta are  
23 presented in Table 4. As CaPA provides only precipitation, it was excluded in the assessment for temperature.  
24 All of performance measures for temperature are better than those for precipitation except  $P_{bias}$ . NARR is  
25 highly biased as it underestimates minimum and maximum temperatures, which might be an attribute of

1 discontinuation of observation assimilation since 2003 (Eum et al., 2014a). ANUSPLIN and Township  
2 showed an almost perfect linear relationship (TCC) with the observations (i.e.,  $> 0.97$  for all of the climate  
3 datasets). The performance measures for maximum temperature are better than those for minimum  
4 temperature as maximum temperature is dominated by mainly large-scale heat waves while minimum  
5 temperature is affected by local physical processes, e.g., topography and surface conditions (Eum et al.,  
6 2012). NARR showed less skill in capturing these local effects due to the coarse spatial resolution ( $\sim 32\text{km}$ )  
7 compared to other station-based climate datasets. As with precipitation, the maps of performance measures  
8 for minimum and maximum temperature presented in Figure 6 and Figure 7 showed that data from the  
9 mountainous areas performed poorly in most of the performance measures. NARR showed positive and  
10 negative  $P_{\text{bias}}$  for minimum and maximum temperature, respectively, in the mountainous region, indicating  
11 that NARR has a warm bias in extreme cold temperatures and a cold bias in extreme warm temperatures.

12

### 13 **4.3 Ranking of climate datasets in the ARB**

14 The geospatial information (i.e., latitude, longitude, and elevation) of 22,372 grid cells within the ARB  
15 was extracted from the Canadian digital elevation data provided by Natural Resources Canada (refer to  
16 <https://open.canada.ca/data/dataset/7f245e4d-76c2-4caa-951a-45d1d2051333>). Using this information, the  
17 RM in REFRES ranked the five climate datasets by TOPSIS for each grid cell. Table 5 presents the first-  
18 ranked number of grid cells and their percentage for each climate dataset according to the performance  
19 measures of individual variables (Case A and Case B) and multi-variables (Case C), i.e., precipitation and  
20 (minimum and maximum) temperature in this study.

21 For precipitation, the Alberta township dataset was ranked first in most of the grid cells within the  
22 basin (78%) for the whole ARB, followed by ANUSPLIN (13%), PNWNAmet (3%), CaPA (3%), and  
23 NARR (2%). However, the Township data domain covers only 83% of the ARB within Alberta; the  
24 remaining 17% of the watershed area that lies on the outside the province is not covered (Figure 8). The  
25 Township dataset was ranked first for almost 95% of grid cells within its domain, indicating that the

1 Township dataset overwhelmingly outperformed other climate datasets for precipitation. Township was  
2 dominantly ranked first for the subbasins (Pembina and Christina) within the Township domain.

3 For temperature, ANUSPLIN was ranked first (in 62% grid cells) for the whole ARB, followed by  
4 Township (31%) and PNWNAmet (7%). In the upper and middle reaches, i.e., Hinton and Pembina,  
5 PNWNAmet and Township were mostly ranked first, respectively, while ANUPLIN outperformed other  
6 climate datasets for the subbasins in the lower reach. When considering the performance measures for  
7 multiple variables simultaneously, the Township dataset was ranked first, followed by ANUSPLIN for 64%  
8 and 36% of the grid cells for the whole ARB. Figure 9 shows maps of the first-ranked climate datasets for  
9 each case in Table 5, i.e., individual variable (Case A and B) and multi-variables (Case C). Due to the  
10 limited spatial coverage of the Township dataset, other climate datasets were ranked first in the headwaters  
11 of the ARB and the area of the river basin in Saskatchewan. For instance, ANUSPLIN and PNWNAmet  
12 were ranked first in the headwaters, while no specific climate dataset dominated in Saskatchewan for  
13 precipitation (refer to Figure 9A). For temperature, ANUSPLIN outperformed in the northern part (middle  
14 and lower reaches of the ARB) due to outstanding performance of the  $P_{\text{bias}}$  performance measure for  
15 minimum temperature as shown in Table 4 and Figure 6(b). For multi-variables, Township was mostly  
16 ranked first within its domain and ANUSPLIN was ranked first outside the Township dataset domain and  
17 also for a small part of lower reach area in the ARB.

18 Figure 10 shows the percentage of each climate dataset at each rank for the three cases (e.g. A, B, and  
19 C in Table 5). For precipitation (Case A), Township overwhelmed other climate datasets. The second  
20 alternative was ANUSPLIN in the majority of grid cells in the ARB. PNWNAmet, NARR and CaPA were  
21 mostly ranked 3<sup>rd</sup>, 4<sup>th</sup> and 5<sup>th</sup>, respectively. For temperature (Case B), ANUSPLIN was ranked mostly first  
22 and Township was a distinct second choice in the majority of grid cells, followed by PNWNAmet and  
23 NARR. For multi-variables (Case C), Township and ANUSPLIN were the first and second choices in the  
24 majority of grid cells in the ARB, respectively.

1 As two different hybrid climate datasets were generated using the ranking information from single-  
2 and multi-variable approaches, i.e., Hybrid ( $R_{ind}$ ) and Hybrid ( $R_{mul}$ ), further investigation is required to  
3 identify which hybrid climate dataset may provide better performance and consequently will be  
4 recommended for future climate-related studies. A proxy validation approach was applied using both  
5 generated hybrid climate datasets to validate the utility of one dataset over the other.

#### 6 7 **4.4 Proxy validation of generated hybrid climate datasets**

8 In addition to the five gridded climate datasets, the two hybrid climate datasets were implemented for  
9 proxy validation using the VIC model. In contrast to the station-based climate datasets, both CaPA and  
10 NARR were produced from climate models and multiple sources of observations, consequently showing  
11 higher correlation with each other as shown in Figure 4. Since CaPA also provides only precipitation, this  
12 study combined precipitation of CaPA with the NARR temperature to prepare the CaPA climate forcing  
13 dataset for the proxy validation. Table 6 presents the Nash-Sutcliffe Efficiency (NSE) for the calibration  
14 and validation periods at the selected hydrometric stations (Hinton, Pembina, Christina, Clearwater, and  
15 Firebag) in the ARB to assess the suitability of each climate dataset as a climate forcing input data for  
16 hydrologic simulations. Over the five hydrometric stations, most of the climate datasets performed well  
17 with the exception of NARR in the Pembina catchment. Most of NSE values in calibration for Christina  
18 and Firebag were above 0.50, which is the threshold of satisfactory performance in hydrologic models as  
19 suggested by Moriasi et al. (2007). However, model performance is not satisfactory but acceptable for  
20 Christina and Firebag during the validation period. The two hybrid climate datasets performed well, with  
21 comparably good and better NSE values than other climate datasets, especially at Pembina, Clearwater, and  
22 Firebag, located in the middle and lower reaches. Figure 11 presents the boxplots of NSEs obtained through  
23 the multiset-parameter VIC simulations. The NSE ranges were obtained from multiple VIC simulations,  
24 with each climate dataset used as climate forcing for all the plausible model parameter sets, which were  
25 calibrated with seven climate datasets, individually. The values above each boxplot represent the averaged

1 value of the NSEs over the multiset-parameter hydrologic simulations. A narrower range of NSE values  
2 represents a higher precision for a climate dataset and a higher averaged NSE value means higher accuracy.  
3 Therefore, a climate dataset showing both a higher averaged NSE and a narrow range of NSEs indicates  
4 that it is a relatively more appropriate and reliable climate forcing dataset for hydrologic simulations.

5 At Hinton, all of the climate datasets showed satisfactory NSE values for accuracy, while ANUSPLIN,  
6 Hybrid( $R_{ind}$ ), and Hybrid( $R_{mul}$ ) showed better precision. The validation period of CaPA is only six years  
7 from 2010 to 2016, as CaPA data are only available between 2002 to 2016. This might be a reason why  
8 CaPA produced the highest NSE (accuracy) among the climate datasets used in this study. Therefore, the  
9 results of CaPA need to be considered carefully otherwise they might be misleading. In this context, the  
10 CaPA dataset was excluded from further assessment of the precision and accuracy even though all of the  
11 results of CaPA were included in Figure 11 for reference only. Hybrid( $R_{mul}$ ) and ANUSPLIN showed the  
12 highest accuracy as forcing data, followed by Hybrid( $R_{ind}$ ), PNWNAmet, and NARR. In the Pembina and  
13 Christina catchments, the Hybrid( $R_{ind}$ ), Hybrid( $R_{mul}$ ), and Township datasets had the highest precision and  
14 accuracy. NARR produced negative NSEs at Pembina, indicating it is not reliable or suitable as a forcing  
15 dataset. For Clearwater, Hybrid( $R_{ind}$ ) is the top performer, followed by Hybrid( $R_{mul}$ ), ANUSPLIN,  
16 PNWNAmet, and NARR. Clearwater had the highest number of climate datasets combined in the hybrid  
17 climate dataset within the basin for precipitation as shown in Figure 9. Interestingly, the precision of NARR  
18 is similar to that of CaPA because they shared the temperature data from NARR. For Firebag, Hybrid( $R_{ind}$ )  
19 also showed top performance in both precision and accuracy, followed by Hybrid( $R_{mul}$ ), ANUSPLIN,  
20 PNWNAmet, and NARR. Overall, Hybrid( $R_{ind}$ ) showed the best accuracy and precision at all hydrometric  
21 stations, indicating that it has the potential not only to improve historical hydrologic simulations but also  
22 to be used as reference data for statistical downscaling of climate change projections in the province.

23  
24  
25

## 1 5. Discussion

2 Among the station-based gridded climate datasets, the Township dataset outperformed other station-  
3 based gridded climate datasets. As PNWNAmet set a common period from 1945 to 2012 for all stations  
4 included in the interpolation, many stations might be left out in the data generation processes. While  
5 ANUSPLIN used the Canada-wide archive (raw) station data collected by only ECCC, the Alberta  
6 Township data has been produced on the basis of the archive (raw) station data collected by ECCC, AEP,  
7 and AF over Alberta. Therefore, one of the possible reason for outperformance of Township dataset might  
8 be the difference in the numbers of stations (i.e. station density) employed to produce the gridded climate  
9 datasets. In addition, PNWNAmet showed a positive  $P_{\text{bias}}$  for precipitation, especially in the mountainous  
10 areas, while ANUSPLIN, which employs similar thin plate spline interpolation, generated negative  $P_{\text{bias}}$ .  
11 PNWNAmet overestimated precipitation over the mountainous area, which considerably affects simulated  
12 low flows at Hinton in the ARB. Figure 12 shows the observed and simulated hydrographs from gridded  
13 climate datasets at (a) Hinton and (b) Pembina. It clearly shows that PNWNAmet highly overestimated the  
14 low and high, which is caused by overestimated precipitation in the drainage area of the sub-basins. As with  
15 PNWNAmet, NARR also overestimated the low and high flows, which is induced by the combined effects  
16 of overestimating precipitation and warm biases in cold temperature. The temperature bias of NARR is thus  
17 further confirmed and is consistent with the earlier finding of Eum et al., (2014) and Islam and Dery (2016).

18 In Figure 12, the hybrid climate datasets underestimated the peak flows (in 2009, 2010, 2014, and  
19 2015) at Hinton, and hydrograph is similar to the hydrograph produced by ANUSPLIN data set that  
20 dominantly ranked first in this watershed. On contrary, the hydrograph of the hybrid climate datasets at  
21 Pembina is similar to that of Township that is dominantly ranked first in Pembina (refer to Table 5). These  
22 results indicate that the hybrid climate dataset has the intrinsic limitation that the performance of the hybrid  
23 dataset for a basin may closely resemble that of the climate dataset that is dominantly ranked first for the  
24 basin. However, the utility of the hybrid climate dataset can be clearly found at a whole-basin scale for a

1 large watershed, as the added values of the hybrid climate dataset in sub-basins can be cumulated to the  
2 main stem at the downstream in the watershed.

3 Among the station-based gridded climate datasets, ANUSPLIN and Township employed a different  
4 number of stations depending on their periods of record. Therefore, there is an inconsistency in these climate  
5 datasets over time. For example, the Township dataset employed only 300~400 stations in the 1960s, but  
6 has increased to 400~500 since 1970. A change-point analysis of these datasets may provide some useful  
7 information to end-users with respect to when and where changes occurred, which will help in establishing  
8 spatial and temporal accuracies of these datasets (Eum et al. 2014a). Further, PNWNAmet employed the  
9 same number of stations over time to avoid the above mentioned inconsistency, but this study found that it  
10 induced overestimation of precipitation in data-poor regions such as mountainous regions in Alberta. As  
11 the hybrid climate datasets are generated from the multiple historical gridded datasets, they may also have  
12 the same inconsistencies identified in other datasets. The proxy validation, however, demonstrated that the  
13 generated hybrid climate datasets can improve the performance of hydrologic simulations.

14 This study identified the preference order of all gridded climate datasets based on the performance  
15 measures evaluated at the AHCCD stations, therefore the ranking somewhat relies on the spatial distribution  
16 of the AHCCD stations. As shown in Figure 1, the density of AHCCD stations varies across western Canada,  
17 and is low in the cold climates of mountainous and northern areas. Therefore, the ranking could further be  
18 improved with a more uniform density of AHCCD stations over western Canada.

19 Literature has demonstrated that NARR, a reanalysis-based climate dataset, can be an alternative as a  
20 climate forcing dataset for hydrologic simulations in data sparse regions (Choi et al., 2009; Praskievicz and  
21 Bartlein, 2014; Islam and Dery, 2016). In this study, the NARR dataset performed quite well in high-  
22 elevation regions (Hinton in this study) while it did not perform so well in the middle and lower reaches,  
23 i.e., lower-elevation watersheds. NARR performed especially poorly in the Pembina sub-basin, a region  
24 where hydrologic simulations are highly sensitive to model parameters (Eum et al., 2014b). In Figure 11  
25 (b), however, the NARR parameter set produced fair NSE values in hydrologic simulations forced by the



1 other climate datasets except for CaPA and PNWNAmet. Such result indicates that 1) all of parameter sets  
2 used in this study were calibrated reasonably and 2) climate forcing input data plays a more crucial role in  
3 hydrolog simulations as any parameter sets did not produce a fair NSE value from NARR in Pembina.  
4 CaPA was more suitable than NARR for the selected sub-basins in this study, which indicates that CaPA  
5 might be a better alternative in low station-density regions such as the ARB. However, since the validation  
6 period in this study is only 7 years from 2010 to 2016, a longer data period is necessary to validate the  
7 suitability of CaPA as indicated in Eum et al. (2014a) and Wong et al. (2017).

8 In the proxy validation, Hybrid( $R_{ind}$ ) performed well in the Clearwater sub-basin where the highest  
9 number of climate datasets were combined in the generated hybrid climate datasets. The Township dataset,  
10 which mostly ranked first within its spatial domain, partially covers the drainage area of Clearwater, so that  
11 the generated hybrid climate dataset, Hybrid( $R_{ind}$ ), is composed of many climate datasets in this sub-basin.  
12 In a traditional approach to hydrological modelling for Clearwater, either the Township dataset might be  
13 completely excluded (as it does not cover the entire Clearwater watershed), or potentially combined with  
14 other gridded climate datasets to cover the entire watershed. However, combining different climate datasets  
15 to construct the climate forcing for a larger region requires an evaluation of the datasets to identify the order  
16 of preference for such aggregation when multiple choices are available. Therefore, this study suggested the  
17 the REFRES methodology to systematically compare all-available climate datasets for a region to produce  
18 a hybrid climate dataset that covers a desired period of record and spatial domain by considering the order  
19 of preference for combining various climate datasets at each grid cell. The proxy validation approach also  
20 confirmed the utility of a generated hybrid climate dataset over other data sets, especially in hydrologic  
21 simulations.

22

## 23 **6. Summary and concluding remarks**

24 This study suggested a framework called reference reliability evaluation system (REFRES) to  
25 systematically generate a performance-based hybrid climate dataset from multiple climate datasets for a

1 region. The hybrid dataset was found to more reliable for hydrological modelling. The REFRES is  
2 composed of three modules; 1) performance measures, 2) ranking, and 3) data generation. The suggested  
3 framework was applied to the ARB as a test-bed and generated two hybrid climate datasets from single-  
4 ( $R_{ind}$ ) and multi-variable ( $R_{mul}$ ) approaches by evaluating the performance of five available gridded climate  
5 datasets: station-based gridded climate datasets (i.e. ANUSPLIN, Alberta Township, and PNWNAmets), a  
6 multi-source dataset (CaPA), and a reanalysis-based dataset (NARR). A hydrologic modelling-based proxy  
7 validation approach was applied to demonstrate the applicability of the hybrid climate dataset generated for  
8 the five sub-basins in the ARB. The results showed that

- 9 - Among the five climate datasets, the station-based climate datasets performed better than multi-  
10 source- and reanalysis-based datasets. The Township dataset, in particular, outperformed other  
11 climate datasets in the selected performance measures over northern Alberta.
- 12 - Most of the climate datasets performed poorly in the mountainous areas of southwest Alberta, due  
13 to a sparse observation network, orographic effects, topographic complexity, and inconsistencies in  
14 observation between Canada and the US.
- 15 - As a result of REFRES' application for the ARB, the Township and ANUSPLIN datasets are mostly  
16 ranked the highest among the five climate datasets for precipitation and temperature, respectively.
- 17 - In the proxy validation, two hybrid climate datasets, Hybrid( $R_{ind}$ ) and Hybrid( $R_{mul}$ ), performed  
18 better in terms of precision and accuracy as forcing data for hydrologic simulations.
- 19 - Hybrid( $R_{ind}$ ) especially outperformed other climate datasets in the Clearwater sub-basin where the  
20 highest number of climate datasets were combined in generating Hybrid( $R_{ind}$ ) for precipitation. This  
21 indicates that the hybrid climate dataset generated by REFRES may lead to more reliable  
22 hydrologic simulations, resulting in improved hydrologic predictions.

23 This study provided the preference order of climate datasets available in Alberta, which may be useful  
24 for modelers and decision-makers as to which climate dataset is the most suitable for their studies and  
25 projects. Furthermore, this study demonstrated that the hybrid climate dataset produced by REFRES is more

1 representative of historical climatic conditions. Therefore, the hybrid climate dataset is recommended to be  
2 used as a reference dataset for statistical downscaling and hydrologic model forcing, resulting in more  
3 reliable high-resolution climatic and hydrologic projections.

4

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9

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**Table 1. High-resolution gridded historical climate datasets used in this study**

Dataset	Full name	Variable	Type	Period	Resolution	Domain	Institution
ANUSPLIN	Australia National University Spline	PRCP, TMX, TMN	Station-based	1950-2015	10 km, Daily	Canada	Natural Resource Canada (NRCan)
Township	Alberta Township	PRCP, TMX, TMN, Tave, WS, RH, SR	Station-based	1961-2016	10km, Daily	Alberta	Alberta Agriculture and Forestry
PNWNAmet	PCIC NorthWest North America meteorological dataset	PRCP, TMX, TMN, WS	Station-based	1945-2012	1/16 degree (6~7 km), Daily	Western Canada (BC, AB, SK) and Alaska	Pacific Climate Impacts Consortium
CaPA	Canadian Precipitation Analysis	PRCP	Multiple source-based	2002-2017	10 km, 6-hr	North America	Canadian Meteorological Centre
NARR	North American Regional Reanalysis	PRCP, Tair, WS, RH, SR, GH, etc*	Reanalysis-based	1979-2017	32km, 3-hr	North America	National Oceanic and Atmospheric Administration (NOAA)

2 PRCP: precipitation, TMX: maximum temperature, TMN: minimum temperature, Tave: average  
3 temperature, Tair: air temperature, WS: wind speed, RH: relative humidity, SR: solar radiation, GH:  
4 Geopotential Height

5 \*: Refer to <https://www.esrl.noaa.gov/psd/data/gridded/data.narr.monolevel.html> for details

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Table 2. Characteristics of hydrometric stations selected in this study

Station name	Station ID	Record length	Drainage (km <sup>2</sup> )	Reach
Hinton	07AD002	1961-2016	9,760	Upper
Pembina	07BC002	1957-2016	13,100	Middle
Christina	S29 (07CE002)	1982-2016	4,836	Lower
Clearwater above Christina	S42 (07CD005)	1966-2016	18,061	Lower
Firebag	S27 (07DC001)	1971-2016	5,980	Lower

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Table 3. Performance measures averaged over AHCCD stations in Alberta for precipitation

Performance measure	Climate Dataset				
	ANUSPLIN	PNWNAmet	CaPA	NARR	Township
$D_{KS}$	0.09	0.62	0.60	0.42	0.09
$\sigma_{ratio}$	-0.17	-0.34	-0.39	-0.28	-0.03
$P_{bias}$	-7.05	5.80	3.02	2.43	-6.73
RMSE	2.02	2.50	2.59	3.53	1.07
TCC	0.87	0.81	0.77	0.53	0.95
PCC	0.87	0.80	0.73	0.74	0.93

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1 Table 4. Performance measures averaged over the AHCCD stations in Alberta for minimum and  
 2 maximum temperature

Performance measure	Climate Dataset							
	ANUSPLIN		PNWNAmet		NARR		Township	
	Tmin	Tmax	Tmin	Tmax	Tmin	Tmax	Tmin	Tmax
$D_{KS}$	0.03	0.02	0.05	0.04	0.12	0.08	0.03	0.02
$\sigma_{ratio}$	-0.01	-0.01	-0.03	-0.03	-0.03	-0.03	-0.01	-0.02
$P_{bias}$	-0.43	-0.28	22.90	-3.89	-306.52	-14.09	7.33	-0.86
RMSE	1.48	1.25	1.97	1.82	4.40	3.47	1.31	0.97
TCC	0.99	0.99	0.98	0.99	0.96	0.97	0.99	0.99
PCC	0.91	0.98	0.87	0.95	0.71	0.78	0.93	0.98

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1 Table 5. First ranked number of grid cells in the five sub-basins and the whole Athabasca River Basin  
2 (ARB) and their percentages for each climate dataset, considering the performance measures of individual  
3 (Case A and Case B) and multi-variables (Case C, i.e., precipitation and temperature in this study). Total  
4 number of grid cells is 22,372 at 1/32° (2~3 km)

Criteria	Basin	Climate dataset				
		ANUSPLIN	Township	PNWNAmet	NARR	CaPA
(A) Precipitation	ARB	2985 (13%)	17515 (78%)	691 (3%)	499 (2%)	682 (3%)
	Hinton	1271 (91%)	126 (9%)	0 (0%)	0 (0%)	0 (0%)
	Pembina	0 (0%)	1791 (100%)	0 (0%)	0 (0%)	0 (0%)
	Christina	0 (0%)	658 (99.5%)	3 (0.5%)	0 (0%)	0 (0%)
	Clearwater	1474 (56%)	252 (9.6%)	10 (0.4%)	682 (26%)	215 (8%)
	Firebag	129 (14%)	750 (79%)	9 (1%)	0 (0%)	64 (6%)
(B) Temperature (Min & Max Temp.)	ARB	13809 (62%)	6924 (31%)	1639 (7%)	0 (0%)	-
	Hinton	63 (5%)	77 (6%)	1257 (89%)	0 (0%)	-
	Pembina	486 (27%)	1305 (73%)	0 (0%)	0 (0%)	-
	Christina	492 (74%)	169 (26%)	0 (0%)	0 (0%)	-
	Clearwater	2593 (98%)	40 (2%)	0 (0%)	0 (0%)	-
(C) Multi- variables	Firebag	924 (97%)	28 (3%)	0 (0%)	0 (0%)	-
	ARB	8049 (36%)	14323 (64%)	0 (0%)	0 (0%)	-
	Hinton	1271 (91%)	126 (9%)	0 (0%)	0 (0%)	-
	Pembina	0 (0%)	1791 (100%)	0 (0%)	0 (0%)	-
	Christina	109 (16%)	552 (84%)	0 (0%)	0 (0%)	-
	Clearwater	2574 (98%)	59 (2%)	0 (0%)	0 (0%)	-
Firebag	536 (56%)	416 (44%)	0 (0%)	0 (0%)	-	

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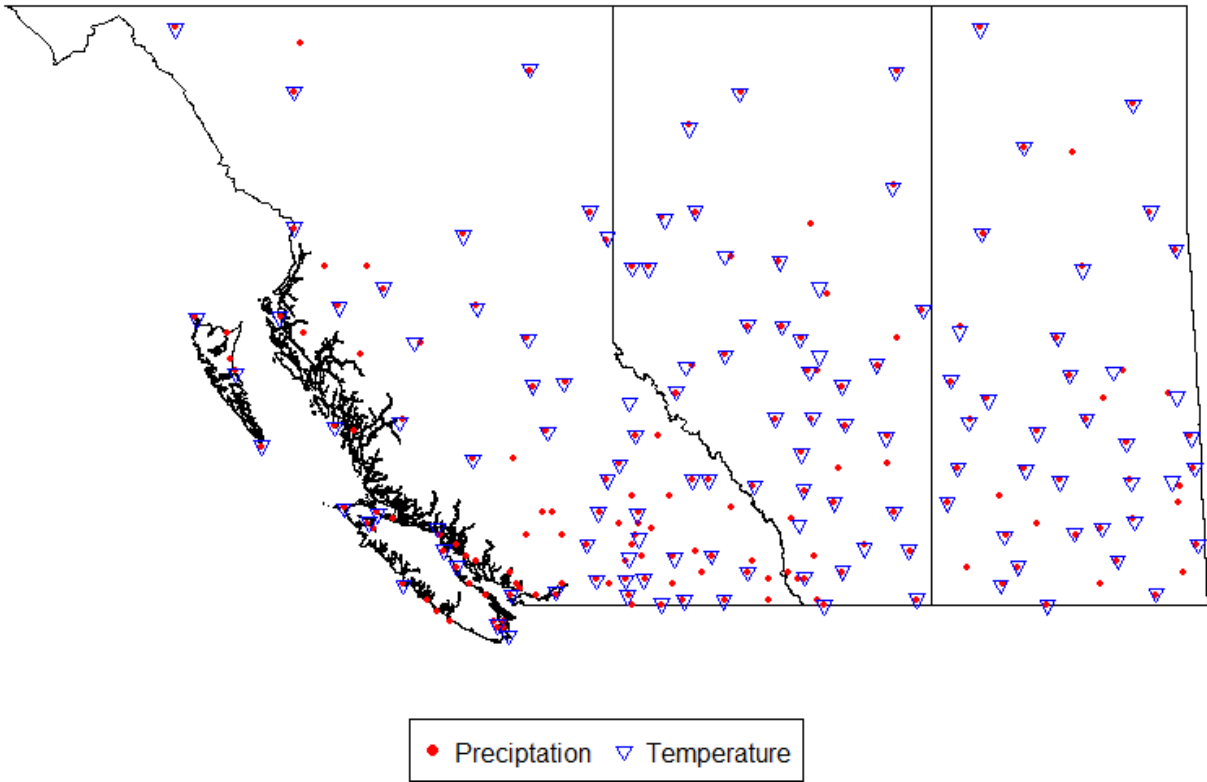
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1 Table 6. Nash-Sutcliffe Efficiency (NSE) for the calibration and validation periods at five sub-basins in  
 2 ARB for the climate datasets investigated in this study

Climate forcing	Hinton		Pembina		Christina		Clearwater		Firebag	
	Cal.	Val.	Cal.	Val.	Cal.	Val.	Cal.	Val.	Cal.	Val.
ANU	0.88	0.83	0.61	0.64	0.52	0.46	0.76	0.54	0.61	0.49
SPLIN										
Township	-	-	0.62	0.66	0.54	0.49	-	-	-	-
PNWNA met	0.82	0.81	0.53	0.54	0.40	0.35	0.73	0.59	0.65	0.48
CaPA	0.89	0.90	0.53	0.61	0.55	0.44	0.74	0.74	0.51	0.53
NARR	0.84	0.79	0.50	-0.14	0.39	0.34	0.75	0.42	0.44	0.32
Hybrid ( $R_{ind}$ )	0.82	0.78	0.61	0.66	0.55	0.49	0.78	0.67	0.60	0.52
Hybrid ( $R_{mul}$ )	0.89	0.83	0.61	0.65	0.54	0.48	0.77	0.53	0.59	0.47

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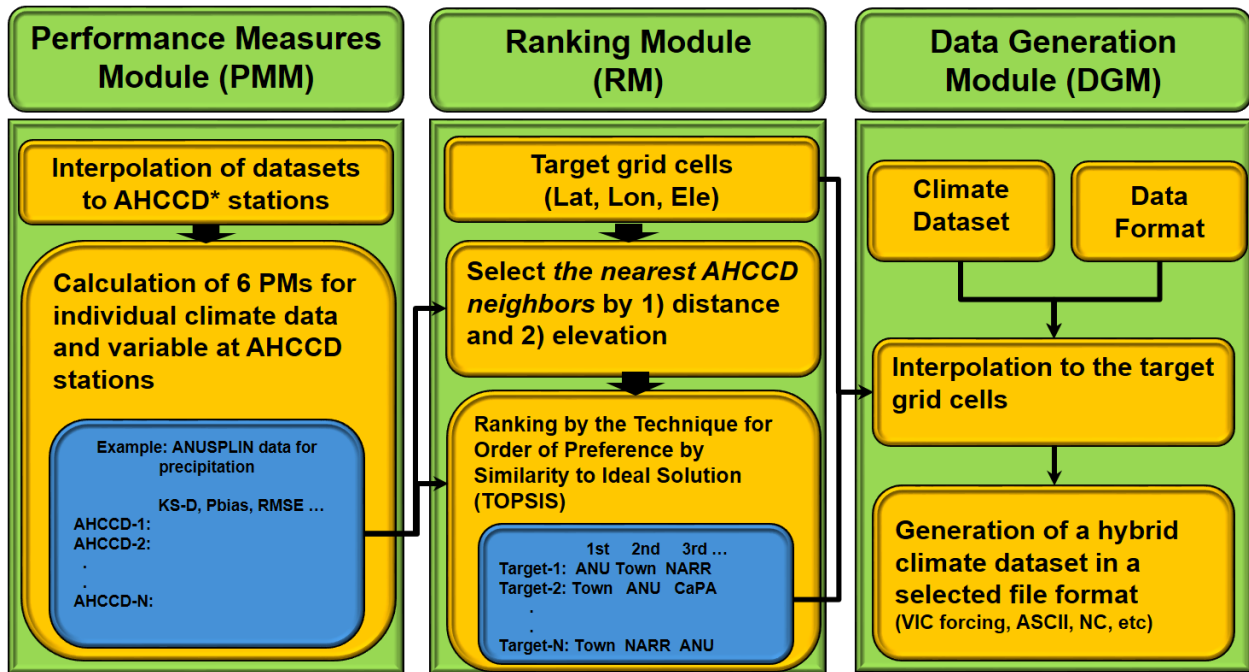
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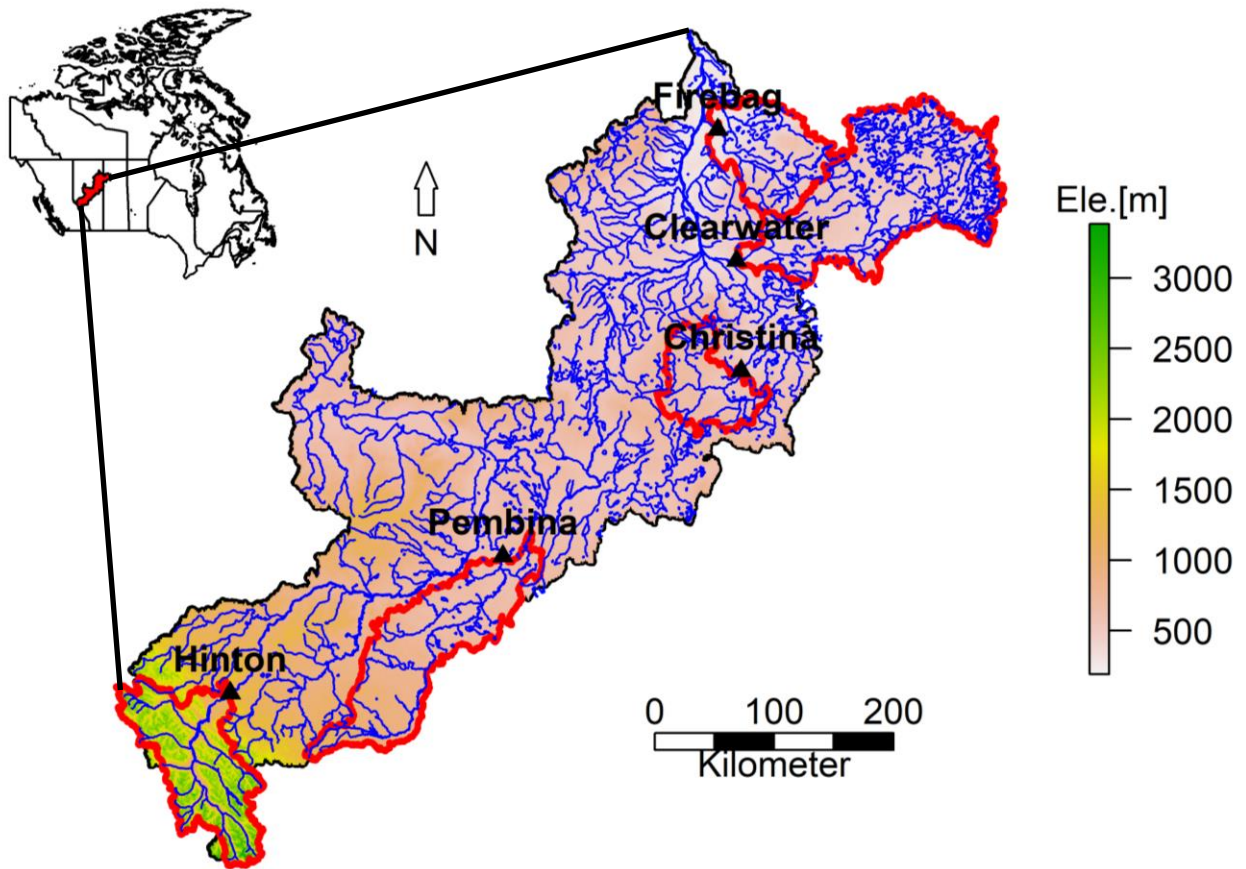
Figure 1. AHCCD stations within the BC, AB, and SK provinces



1  
 2 Figure 2. Structure of REFRES comprised of three modules; 1) Performance Measure Module (PMM), 2)  
 3 Ranking Module (RM), and 3) Data Generation Module (DGM)

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 2 Figure 3. Geographical information on the five sub-basins (red line) selected in the Athabasca River basin  
 3 for the proxy validation  
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	OBS*	ANUSPLIN	PNWNAme	CaPA	NARR	Township
OBS*	1	0.87	0.81	0.77	0.53	0.95
ANUSPLIN	0.87	1	0.84	0.81	0.61	0.86
PNWNAmet	0.81	0.84	1	0.81	0.65	0.78
CaPA	0.77	0.81	0.81	1	0.76	0.81
NARR	0.53	0.61	0.65	0.76	1	0.55
Township	0.95	0.86	0.78	0.81	0.55	1

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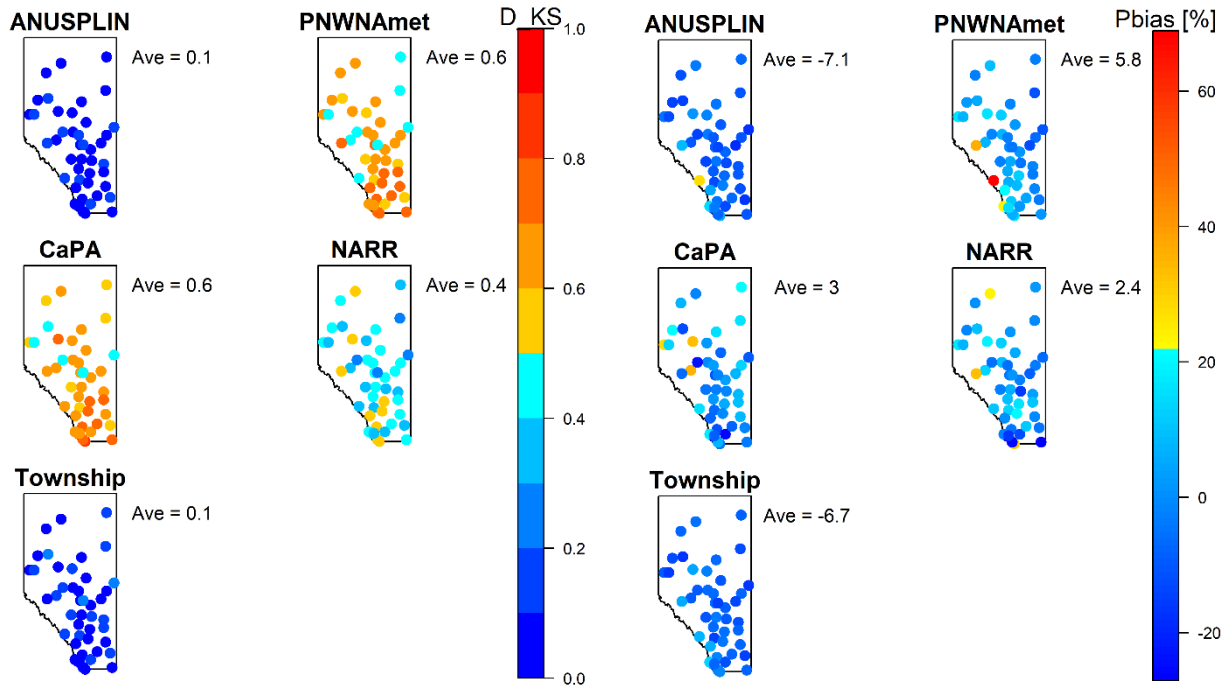
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Figure 4. Temporal Correlation Coefficient (TCC) between historical precipitation data.

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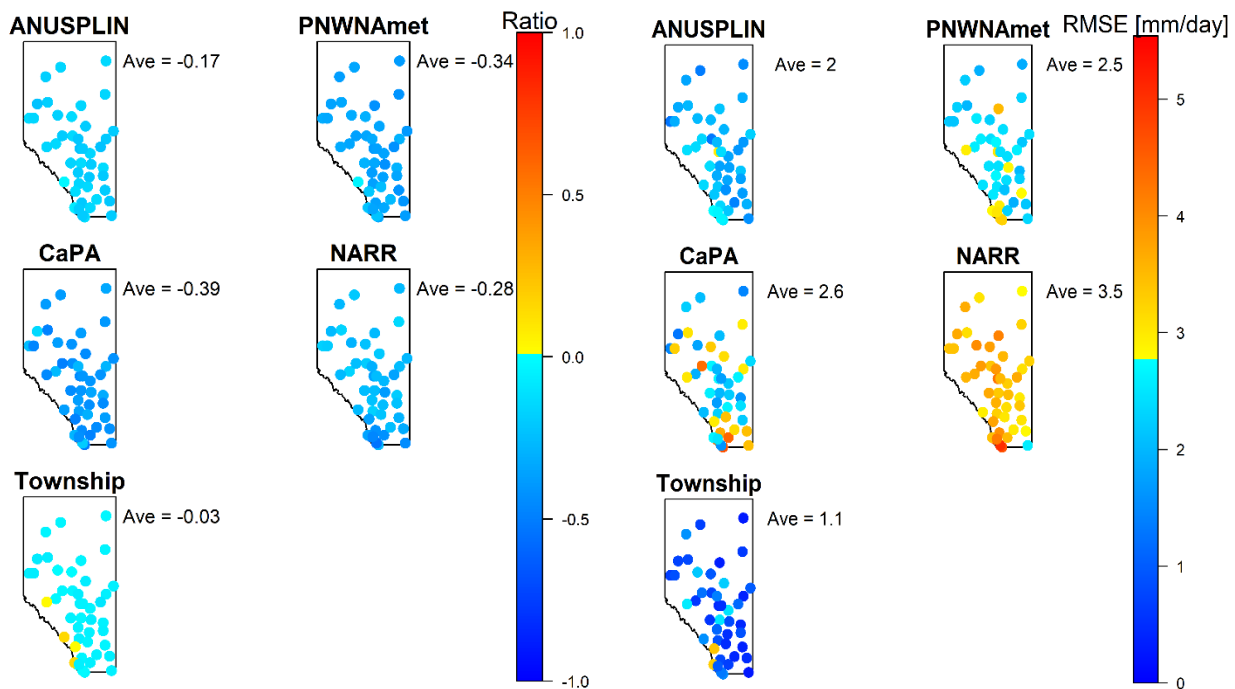
\*: AHCCD data

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(a)  $D_{KS}$

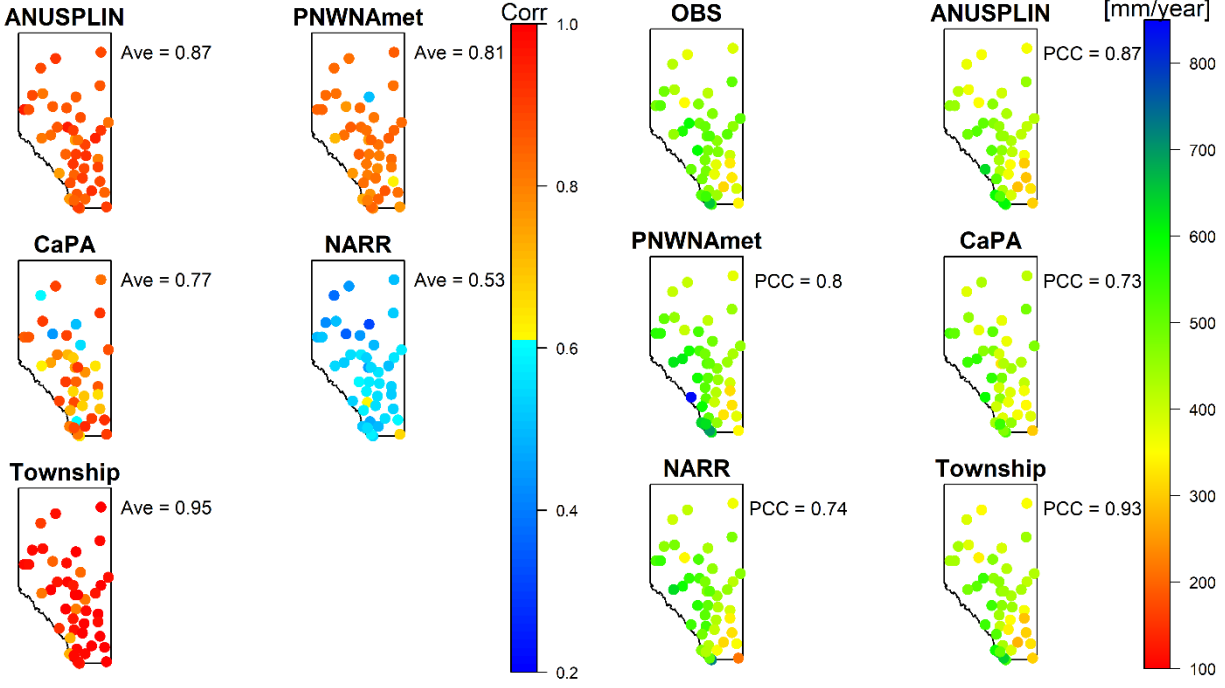
(b)  $P_{bias}$



(c)  $\sigma_{ratio}$

(d) RMSE

Figure 5. Maps of performance measures for AHCCD precipitation stations in Alberta

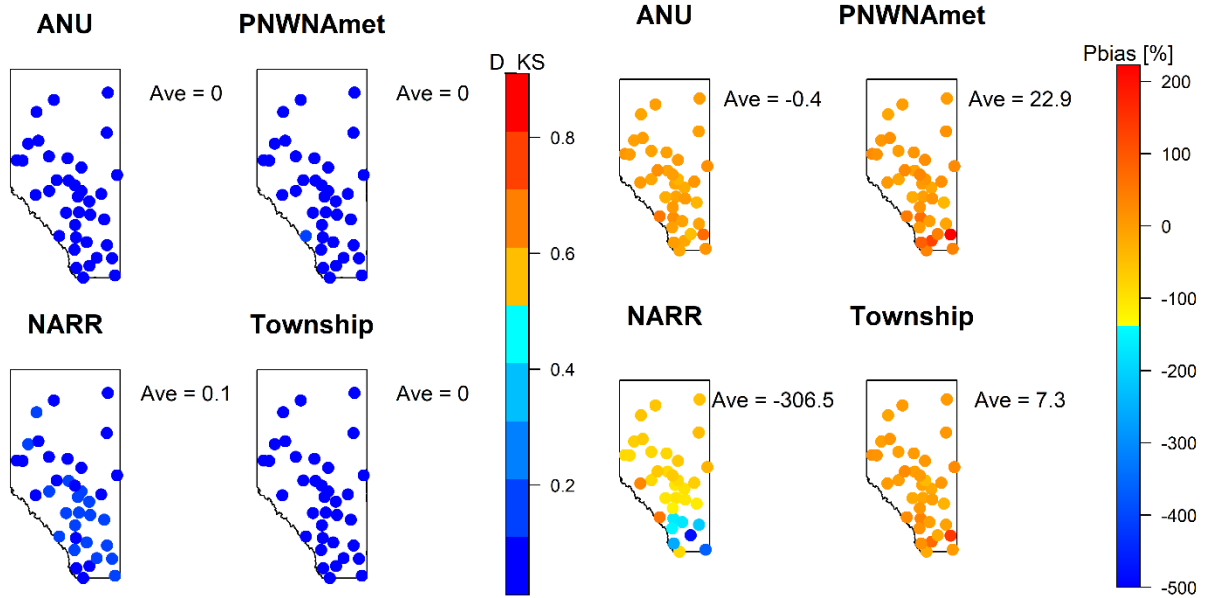


(e) TCC

(f) Mean annual precipitation

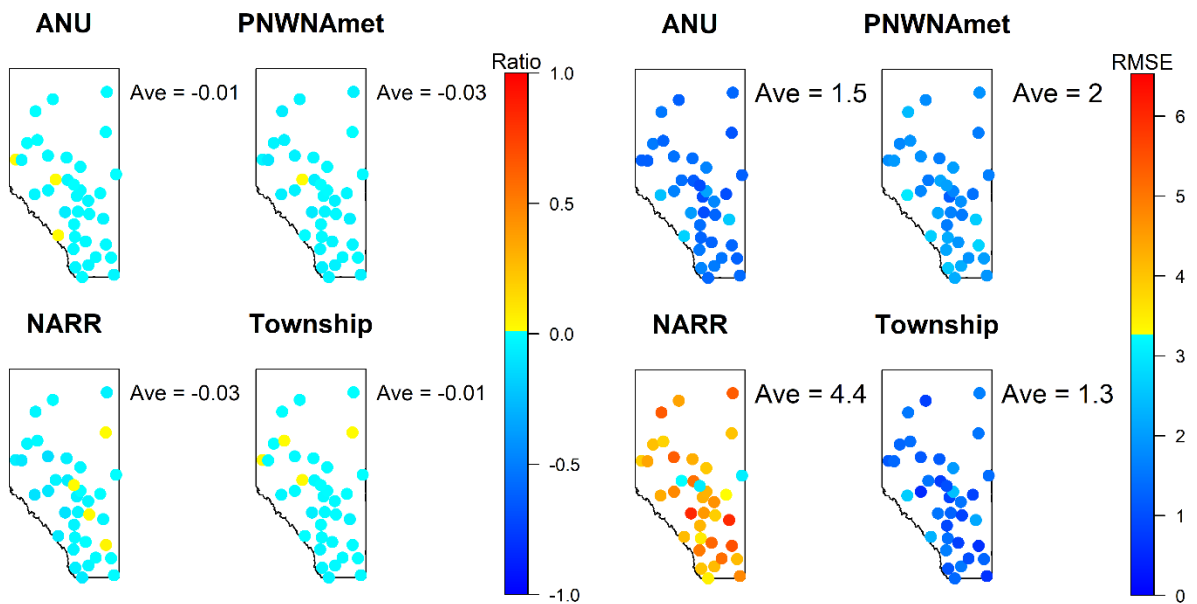
Figure 5. Continued

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(a)  $D_{KS}$

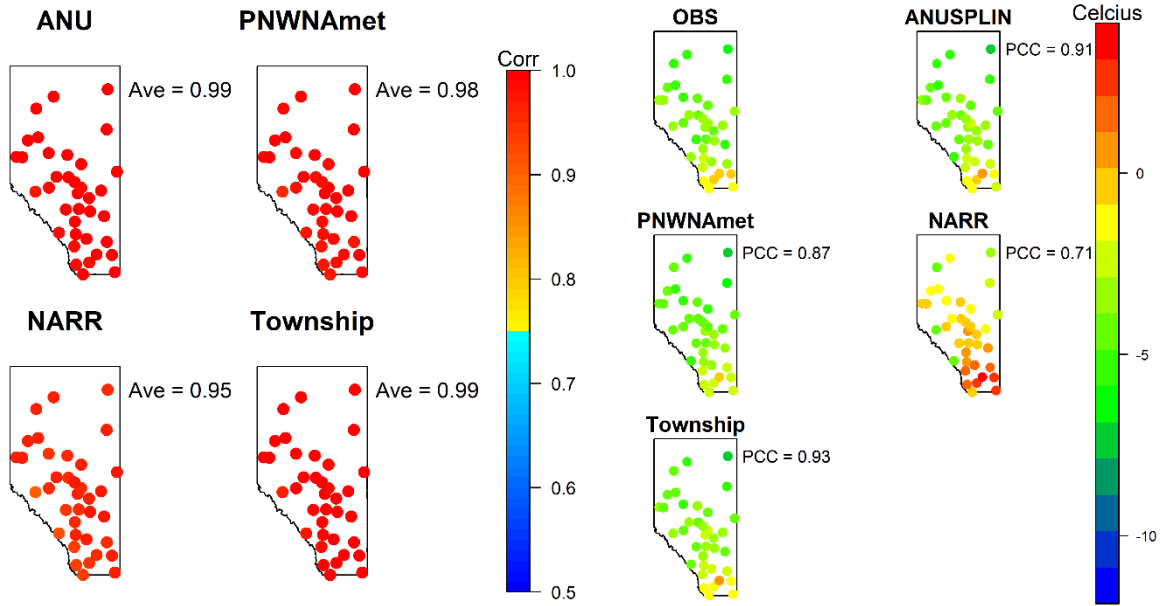
(b)  $P_{bias}$



(c)  $\sigma_{ratio}$

(d) RMSE

6 Figure 6. Maps of performance measures for minimum temperature over the AHCCD stations in Alberta

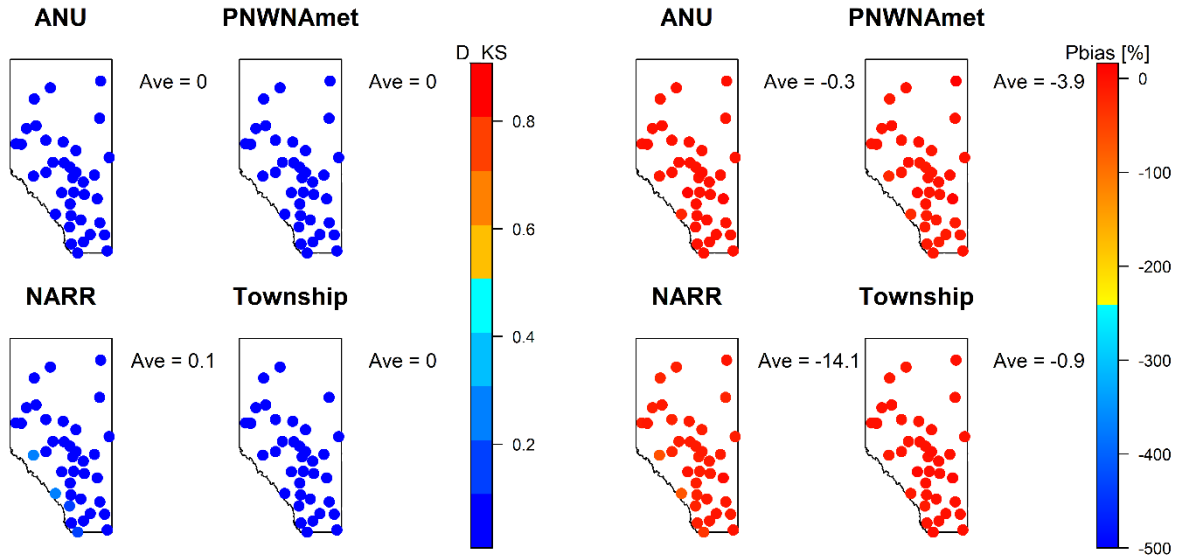


(e) TCC

(f) Mean annual minimum temperature

Figure 6. Continued

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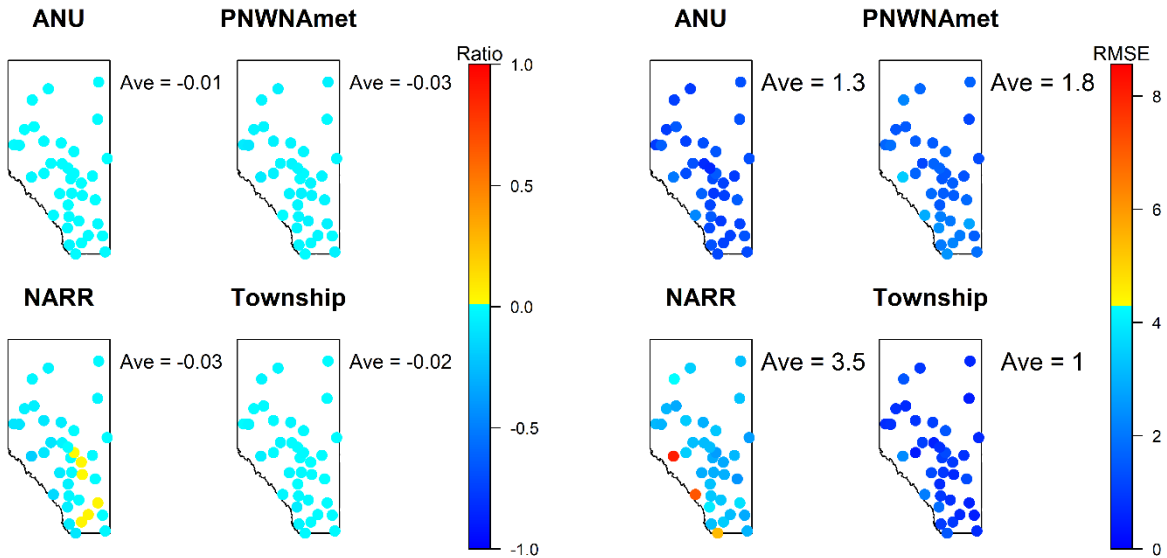


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(a)  $D_{KS}$

(b)  $P_{bias}$



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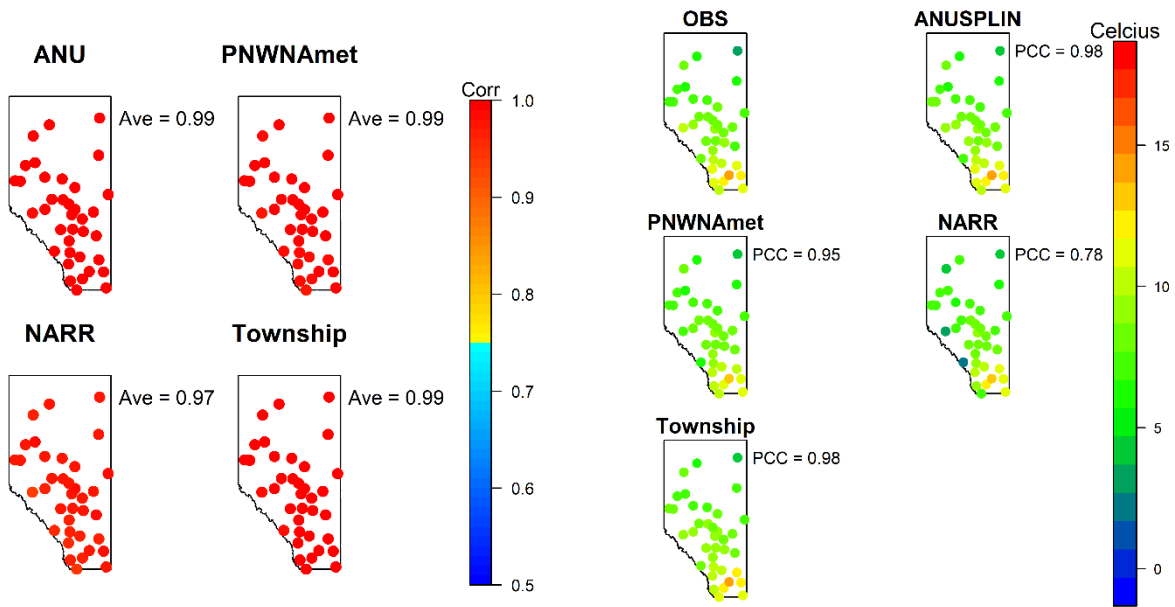
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(c)  $\sigma_{ratio}$

(d) RMSE

5 Figure 7. Maps of performance measures for maximum temperature over the AHCCD stations in Alberta

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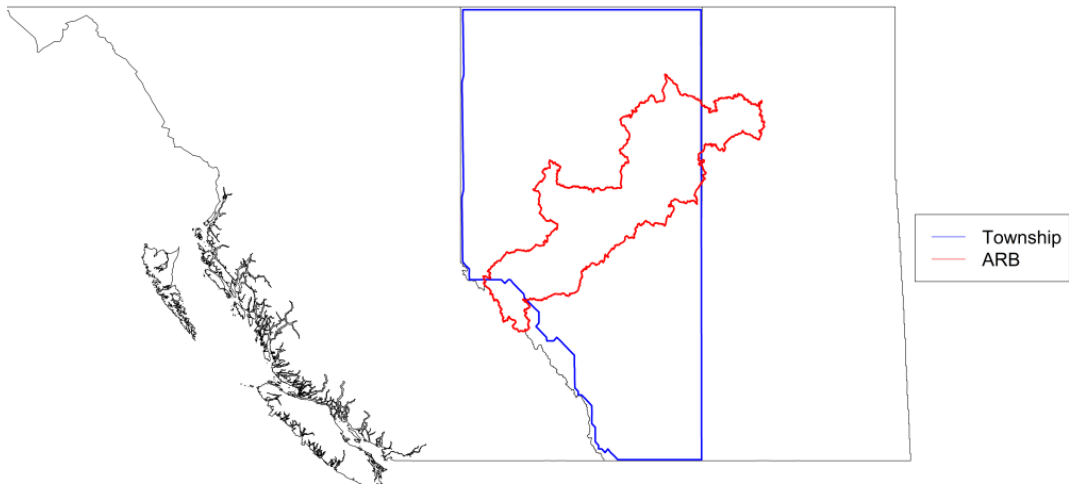
(e) TCC

(f) Mean annual maximum temperature

Figure 7. Continued

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2 Figure 8. Domain of the Township dataset (blue line) and the boundary of the Athabasca River basin (red

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line)

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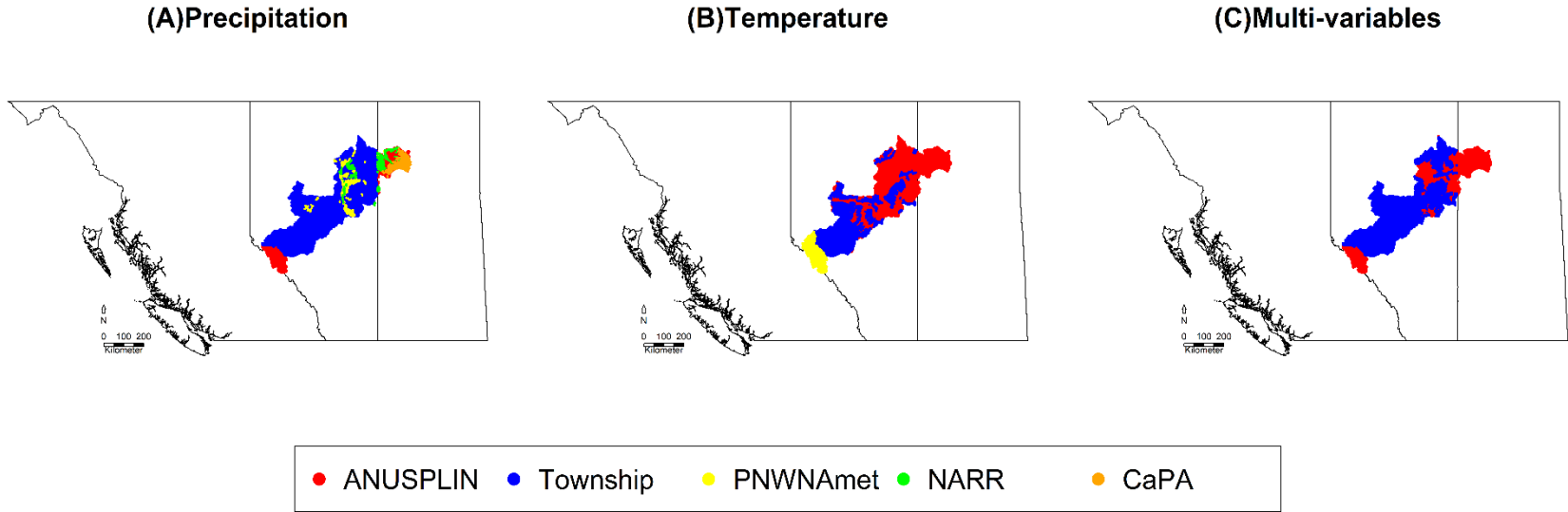
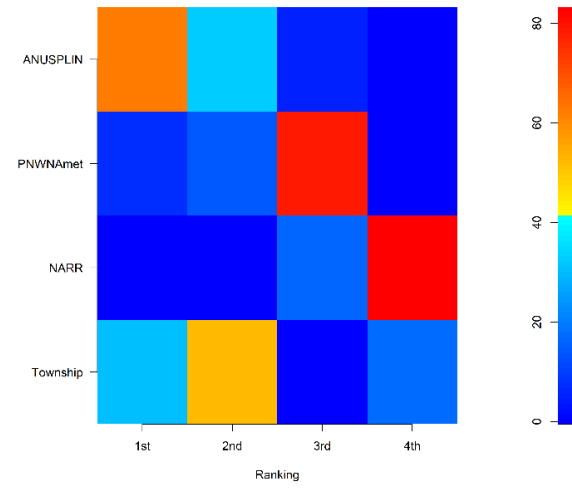
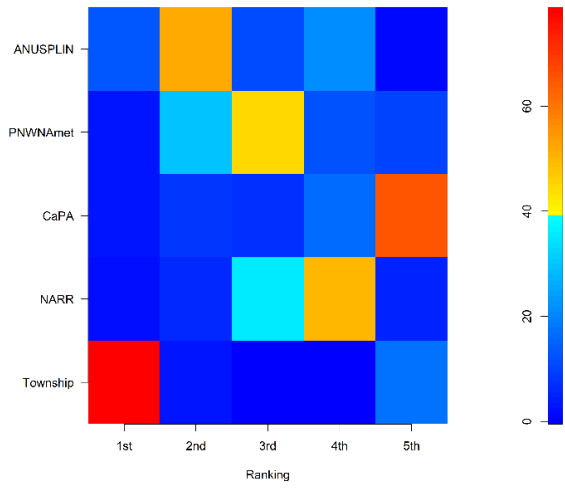


Figure 9. Maps of the first-ranked climate datasets in ARB for the individual variable (A and B) and multi-variables (C)



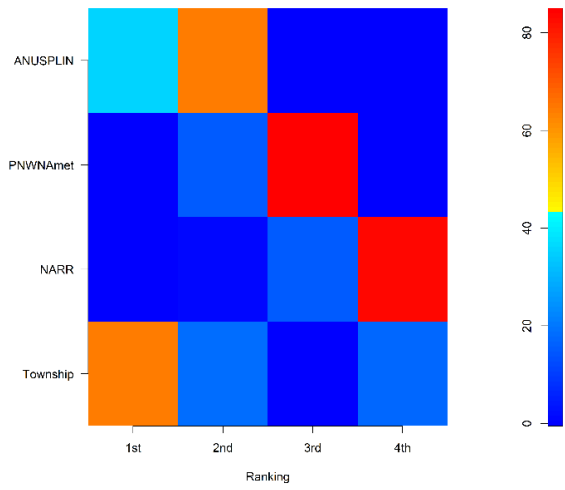
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(a) Precipitation

(b) Temperature

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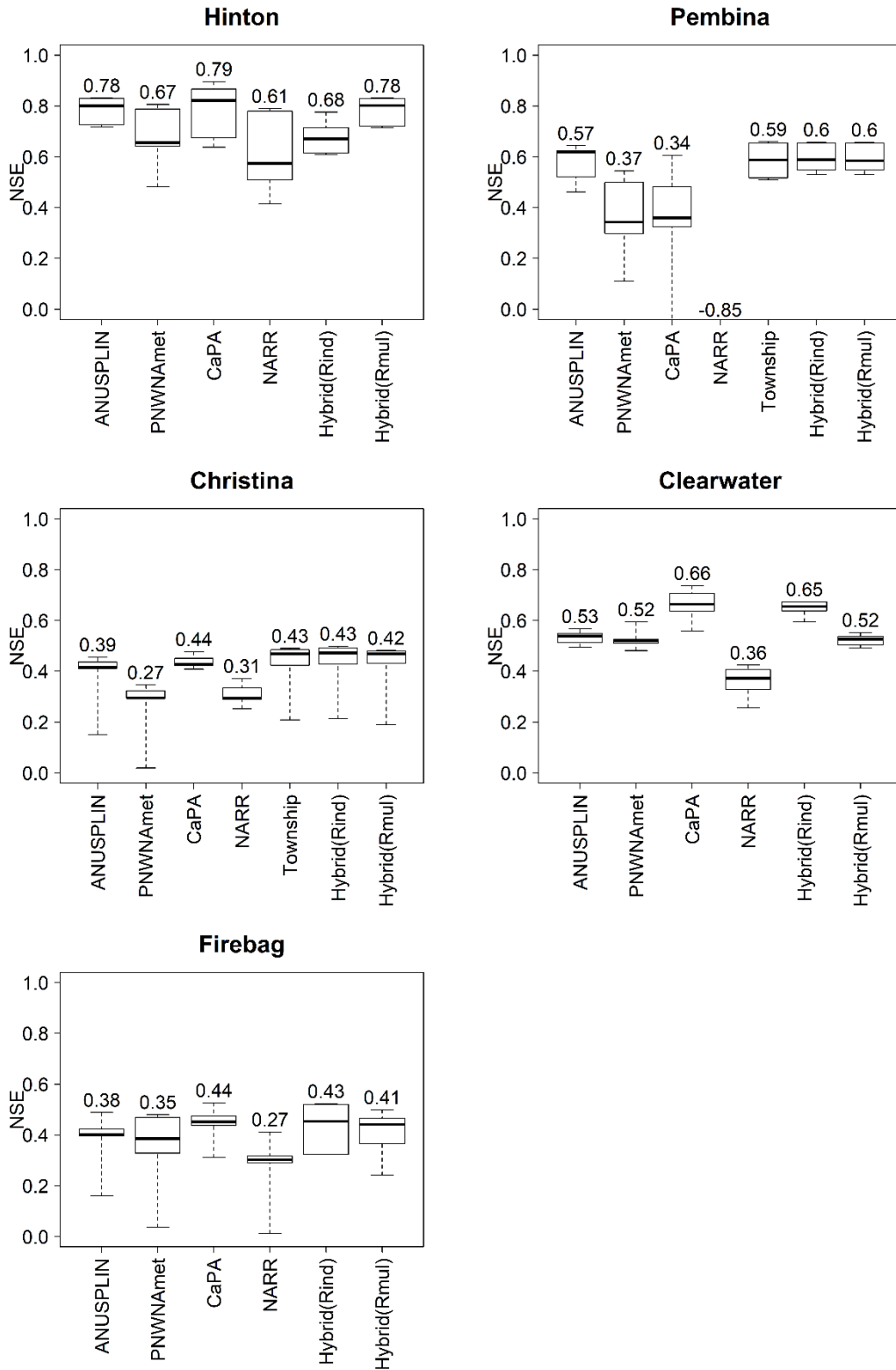
(c) Multi-variables

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Figure 10. Percentage of climate datasets on each rank for  $R_{ind}$  and  $R_{mul}$

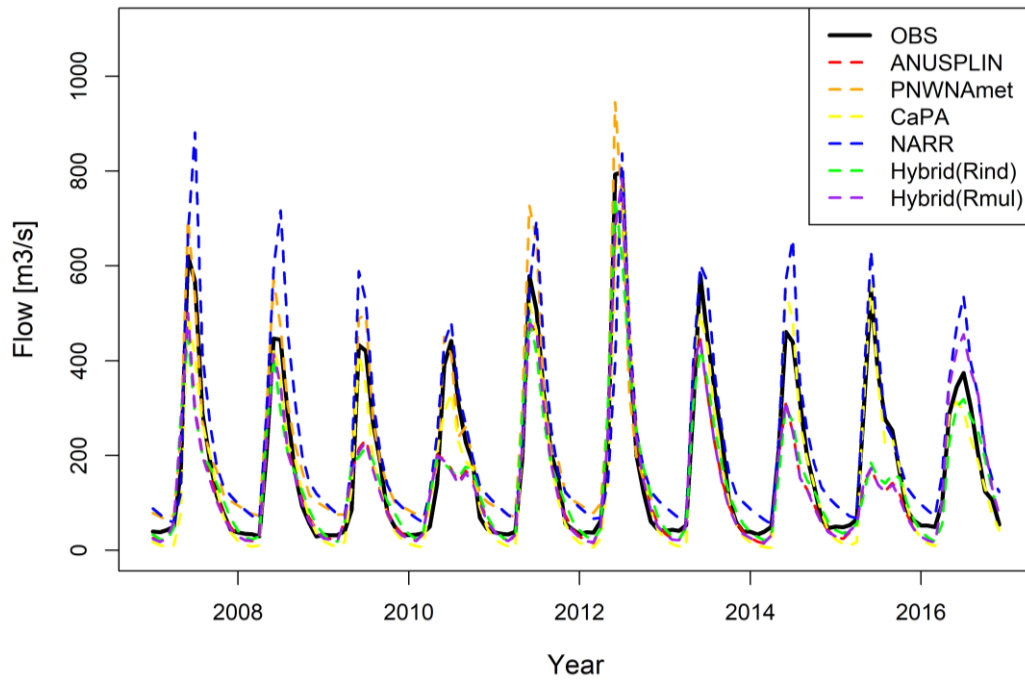
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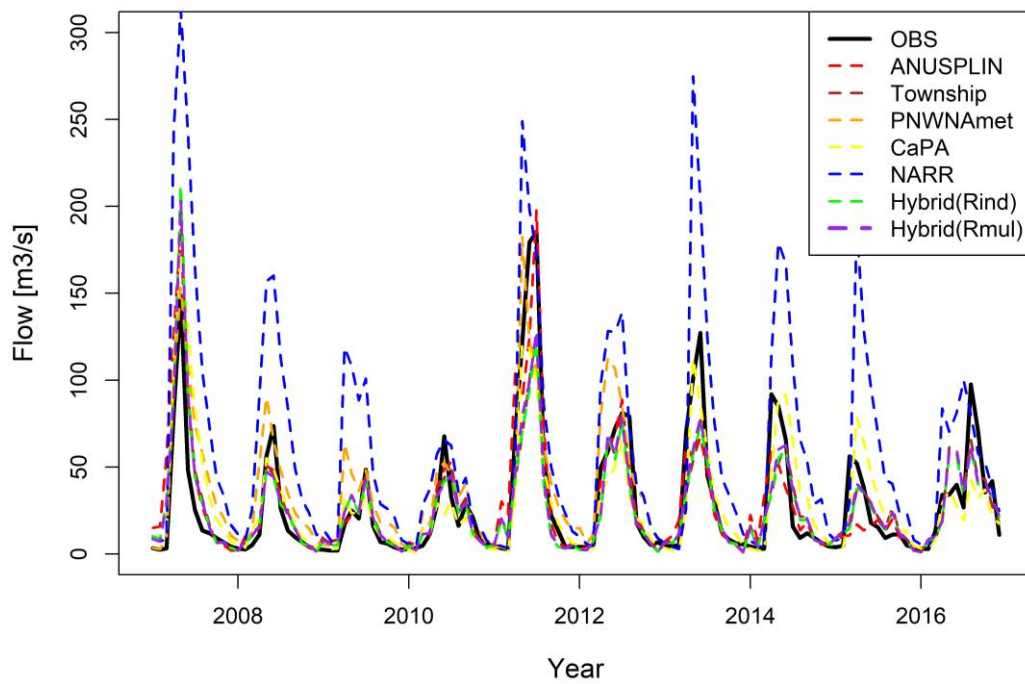
2 Figure 11. Boxplots of the NSEs of the proxy validation at the five sub-basins in ARB. The values  
 3 above each boxplot represent the average over NSEs of the proxy validation.



1

2

(a) Hinton



3

4

(a) Pembina

5 Figure 12. Monthly observed and simulated hydrographs from the gridded climate datasets at (a)  
6 Hinton and (b) Pembina

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