

**Dear Editor and Reviewers,**

Thank you for your valuable input on our manuscript. As requested by Reviewer #2 we thoroughly checked the entire paper for typos and grammatical errors. Furthermore, we redefined the justification of our study. Currently, many Budyko studies improved its performance by adding more physics and catchment characteristics. Although these additions might increase its performance, it hampers the application of the models at the global scale, since the required parameters are difficult to obtain globally. Our aim is to test whether the revised version of Gerrits' model WRR 2009 can overcome this issue. The Gerrits' model is based on a simple evaporation model, and in this study we test whether some constant parameters of the 2009-model could be replaced by spatially variable values as derived from remotely sensed data. To verify its performance, we compare our revised Gerrits' model to some advanced models, i.e. GLEAM, STEAM, Landflux-EVAL. The changes are provided in the manuscript as follows.

# A global Budyko model to partition evaporation into interception and transpiration

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## Abstract

Evaporation is a ~~very important~~crucial flux in the hydrological cycle and links the water and energy balance of a catchment. The Budyko framework is often used to provide a first order estimate of evaporation, ~~as it is a straightforward since it is a simple~~ model ~~where~~with only rainfall and potential evaporation ~~is as~~ required ~~as~~ input. Many researchers have improved the Budyko framework by including more physics and catchment characteristics into the original equation. ~~However, the parameterization of these improved Budyko models is not so straightforward, data demanding, and requires local knowledge that is difficult to obtain at the global scale.–~~ In this paper we present an improvement of the previously presented Gerrits' model ("Analytical derivation of the Budyko curve based on rainfall characteristics and a simple evaporation model" in Gerrits et al, 2009 WRR), whereby total evaporation is calculated on the basis of simple interception and transpiration thresholds in combination with measurable parameters like rainfall dynamics and storage availability from remotely sensed data sources. While Gerrits' model was previously investigated for 10 catchments with different climate conditions and ~~where~~ some parameters were assumed to be constant, in this study we applied the model ~~on~~at the global scale and fed ~~the model~~ with remotely sensed input data. The output of the model has been compared to two complex land-surface models, STEAM and GLEAM, as well as the database of Landflux-EVAL. Our results show that total evaporation estimated by Gerrits' model is in good agreement with Landflux-EVAL, STEAM and GLEAM. ~~The R~~results also show that Gerrits' model underestimates interception in comparison to STEAM and overestimates it in comparison to GLEAM, ~~while~~whereas the opposite is found for transpiration~~the opposite is found~~. Errors in interception can partly be explained by differences in ~~the definition of the~~interception~~definition~~ that successively introduce errors in the calculation of transpiration. Relating to the Budyko framework, the model show~~ed~~ a reasonable performance for ~~the estimation of~~ total evaporation ~~estimation~~. ~~Our~~The results also found a unimodal distribution of ~~the transpiration to precipitation~~ fraction ( $-\frac{E_t}{P}$ ), indicating that both increasing and decreasing aridity will result in ~~a~~ decline in the fraction ~~of of precipitation~~transpired ~~rainfall~~ by plants for growth and metabolism.

**Keywords:** Budyko curves, interception, transpiration, remote sensing, evaporation

## 1 1. Introduction

2 Budyko curves are used as a first order estimate of annual evaporation ~~as a function in terms~~ of  
3 annual precipitation and potential evaporation. If the available energy is sufficient to evaporate the  
4 available moisture, annual evaporation can approach annual precipitation (water-limited  
5 situation). If the available energy is not sufficient, annual evaporation can approach potential  
6 evaporation (energy-limited situation). Using the water balance and the energy balance and by  
7 applying the definition of the aridity index and Bowen ratio, the Budyko framework can be  
8 described as (Arora, 2002):

$$\frac{E_a}{P_a} = \frac{\phi}{1+f(\phi)} = F(\phi) \quad (1)$$

9 with  $E_a$  annual evaporation [L/T],  $P_a$  annual precipitation [L/T],  $\frac{E_a}{P_a}$  the evaporation ratio [-], and  
10  $\phi$  the aridity index, which is defined as the potential evaporation divided by annual precipitation  
11 [-]. All Budyko curves, ~~which are~~ developed by different researchers (Table 1), have a similar  
12 pattern as Eq. (1).

13 The equations shown in Table 1 assume that the evaporation ratio is determined by climate only  
14 and do not take into account the effect of other controls on the water balance. Therefore, some  
15 researchers incorporated more physics into the Budyko framework. For example, Milly (1994,  
16 1993) investigated the root zone storage as an ~~important-essential~~ secondary control on the water  
17 balance. Choudhury (1999) used net radiation and a calibration factor ~~in in the~~ Budyko curves.  
18 Zhang et al. (2004, 2001) tried to add a plant-available water coefficient, Porporato et al. (2004)  
19 took into account the maximum storage capacity, Yang et al. (2006, 2008) incorporated a  
20 catchment parameter, and Donohue et al. (2007) tried to consider vegetation dynamics. The  
21 inclusion of these physics and catchments characteristics improved the performance of the Budyko  
22 curves locally; however, it made them less applicable for the global scale, since the  
23 parameterisation is data demanding and requires local knowledge, which is not always available.  
24 Therefore-However, in this study, we aim to show that the Budyko framework can also be  
25 explained with a simple analytical model that is less depending on local data that is difficult to  
26 obtain at the global scale. Accordingly, we use the reasoning of the model of -Gerrits et al. (2009)  
27 (hereafter Gerrits' model) that recognizes the characteristic time scales of the different evaporation  
28 processes (i.e. interception at daily scale and transpiration at monthly scale). Although-Despite the  
29 fact that Gerrits et al. (2009)- aimed to develop an analytical model ~~(hereafter Gerrits' model)~~ that  
30 is physically based and only uses measurable parameters, some of the required input values are  
31 not available at the global scale ~~(e.g., -For example-, carry over parameter (A), interception storage~~  
32 ~~capacity ( $S_{max}$ ), and plant available water ( $S_{u,max}$ )). ~~-, were available for the 10 case study~~  
33 ~~locations in Gerrits et al. (2009), but at the global scale such data was not available.~~ Now with the  
34 current developments in remotely sensed data, new opportunities have arisen to overcome this data  
35 limitation. Therefore, in this study, we also aim to findpropose relations between the missing  
36 input parameters and remotely sensed data products, so the Gerrits' model can be tested at the  
37 global scale.~~

38 One of ~~these~~ input parameters is ~~the~~ soil moisture storage. Recently, many studies (e.g., Chen et  
39 al., 2013; Donohue et al., 2010; Istanbuluoglu et al., 2012; Milly and Dunne, 2002; Wang, 2012;

1 Zhang et al., 2008) found that soil moisture storage change is a critical component in modelling  
 2 the interannual water balance. Including soil water information into the Budyko framework was  
 3 often difficult, because this information is not widely available. However, Gao et al. (2014)  
 4 presented a new method where the available soil water (which is often linked to soil water  
 5 capacity) is derived from time series of rainfall and potential evaporation, plus a long-term runoff  
 6 coefficient. These input time series can be obtained locally (e.g., de Boer-Euser et al. (2016)), but  
 7 can also be derived from remotely sensed data as shown by Wang-Erlandsson et al. (2016), [which](#)  
 8 [allows-allowing](#) us to apply the method at the global scale and incorporate it in the Gerrits' model.

9 Next to using the method of Gao et al (2014) to globally estimate the maximum soil water storage  
 10 ( $S_{u,max}$ ), we also tested a method to derive the interception storage capacity ( $S_{max}$ ) from remotely  
 11 sensed data. These two parameters are required to make a first order estimate of total evaporation,  
 12 and to partition this into interception evaporation and transpiration as well. The outcome is  
 13 compared to more complex land-surface-atmosphere models. Furthermore, the [model](#) results ~~of~~  
 14 ~~the model~~ will be related to the Budyko framework for a better understanding of the partitioning  
 15 of evaporation into transpiration and interception.

## 16 2. Methodology

17 Total evaporation ( $E$ ) may be partitioned as follows (Shuttleworth, 1993):

$$E = E_i + E_t + E_o + E_s \tag{2}$$

18 in which  $E_i$  is interception evaporation,  $E_t$  is transpiration,  $E_o$  is evaporation from water bodies  
 19 and  $E_s$  is evaporation from the soil, all with dimensions  $[LT^{-1}]$ . In this definition, interception is  
 20 the amount of evaporation from any wet surface including canopy, understory, forest floor, and  
 21 the top layer of the soil. Soil evaporation is defined as evaporation of the moisture in the soil that  
 22 is connected to the root zone (de Groen and Savenije, 2006) and therefore is different from  
 23 evaporation of the top layer of the soil (several millimeters of soil depth, which is here considered  
 24 as part of the interception evaporation). Hence interception evaporation is the fast feedback of  
 25 moisture to the atmosphere within a day from the rainfall event and soil evaporation is evaporation  
 26 from the non-superficial soil constrained by soil moisture storage in the root zone. Like Gerrits et  
 27 al. (2009), we assume that evaporation from soil moisture is negligible (or can be combined with  
 28 interception evaporation). Evaporation from water bodies is used for inland open water, where  
 29 interception evaporation and transpiration is zero. As a result, Eq. (2) becomes:

$$E = E_o \quad \text{for water bodies} \tag{3a}$$

$$E = E_i + E_t \quad \text{for land surface} \tag{3b}$$

30 where  $E_i$  is direct feedback from short term moisture storage on vegetation, ground, and top layer,  
 31 and  $E_t$  is evaporation from soil moisture storage in the root zone.

32 For modelling evaporation, it is important to consider that interception and transpiration have  
 33 different time scales (i.e. the stock divided by the evaporative flux) (Blyth and Harding, 2011).  
 34 With a stock of a few millimetres and the evaporative flux of a few millimetres per day,  
 35 interception has a time scale in the order of one day (Dolman and Gregory, 1992; Gerrits et al.,

1 2007, 2009; Savenije, 2004; Scott et al., 1995). In the case of transpiration, the stock amounts to  
2 tens to hundreds of millimeteres and the evaporative flux to a few millimeteres per day (Baird and  
3 Wilby, 1999), resulting in a time scale in the order of month(s) (Gerrits et al., 2009). In Gerrits'  
4 model, it is successively assumed that interception and transpiration can be modelled as threshold  
5 processes at the daily and monthly time scale, respectively. Rainfall characteristics are  
6 successively used to temporally upscale from daily to monthly, and from monthly to annual. A full  
7 description of the derivation and assumptions can be found in Gerrits et al. (2009). Here, we only  
8 summarize the relevant equations (Table 2) and not the complete derivation. Since we now test  
9 the model at the global scale, we do show how we estimated the required model parameters and  
10 the inputs used.

## 11 2.1. Interception

12 Gerrits' model considers evaporation from interception as a threshold process at the daily time  
13 scale (Eq. (4), Table 2). Daily interception ( $E_{i,d}$ ), then, is upscaled to monthly interception ( $E_{i,m}$ ,  
14 Eq. (5), Table 2) by considering the frequency distribution of rainfall on a rain day ( $\beta$ -parameter)  
15 and subsequently to annual interception ( $E_{i,a}$ , Eq. (6), Table 2) by considering the frequency  
16 distribution of rainfall in a rain month ( $\kappa_m$ -parameter) (see de Groen and Savenije (2006), Gerrits  
17 et al. (2009)). A rain day is defined as a day with more than 0.1 mm day<sup>-1</sup> of rain and a rain month  
18 is a month with more than 2 mm month<sup>-1</sup> of rain.

19 While Gerrits et al. (2009) assumed a constant interception threshold ( $D_{i,d} = 5$  mm day<sup>-1</sup>) for the  
20 studied locations, we here use a globally variable value based on the Leaf Area Index (LAI) from  
21 remote sensing data. The interception threshold ( $D_{i,d}$ ) is a daily average during the year and is  
22 either limited by the daily interception storage capacity  $S_{max}$  (mm day<sup>-1</sup>) or by the daily potential  
23 evaporation (Eq. (9), Table 2) and thus not seasonally variable. We can assume this, because for  
24 most locations  $S_{max}$  is smaller than  $E_{p,d}$  even if we consider a daily varying potential evaporation.  
25 Additionally,  $S_{max}$  (based on LAI) could also be changed seasonally, however many studies show  
26 that the storage capacity is not changing significantly between the leafed and leafless period (e.g.,  
27 Leyton et al., 1967; Dolman, 1987; Rutter et al., 1975). Especially, once interception is defined in  
28 a broad sense that it includes all evaporation from the canopy, understory, forest floor, and the top  
29 layer of the soil: leaves that are dropped from the canopy remain their interception capacity as they  
30 are on the forest floor in the leafless period. Furthermore, Gerrits et al (2010) showed with a Rutter-  
31 like model that interception is more influenced by sensitive to the rainfall pattern than by the  
32 storage capacity. This, which was also found confirmed by Miralles et al. (2010). Hence, in  
33 interception modelling, the value of the storage capacity is of minor concern, and its seasonality  
34 is incorporated in the temporal rainfall patterns.

35 The daily interception storage capacity should be seen as the maximum interception capacity  
36 within one day, including the (partly) emptying and filling of the storage between events per day,  
37 thus  $S_{max} = n \cdot C_{max}$ , where  $C_{max}$  [L] is the interception storage capacity specific for a land  
38 cover type. If there is only one rain event per day ( $n = 1$  day<sup>-1</sup>) (Gerrits et al., 2010),  $S_{max}$  [LT<sup>-1</sup>]  
39 equals  $C_{max}$  [L], as is often found in the literature. Despite proposing modifications for storms,  
40 which last more than one day (Pearce and Rowe, 1981), and multiple storms per rain day (Mulder,

1 1985), Miralles et al. (2010) and Pearce and Rowe (1981) both mentioned that accounting for  $n$  is  
2 rarely necessary. Pearce and Rowe (1981) mentioned that "In many climates, however, such  
3 adjustments will not be necessary, or small enough that they can be neglected". In our  
4 interpretation, this is because the number of times the interception storage can be filled and  
5 completely emptied is limited once we assume a drying time of a couple of hours (e.g., 4), which  
6 is common (Wang-Erlandsson et al., 2014).

7 For  $n = 1$ , the interception storage capacity can be estimated from Von Hoyningen-Huene (1981),  
8 which is obtained for a series of crops based on the leaf area index (LAI) (de Jong and Jetten,  
9 2007) (Eq. (10), Table 2). Since the storage capacity of the forest floor is not directly related to  
10 LAI, it could be said that the 0.935 mm in Eq. (10) is sort of the storage capacity of the forest  
11 floor. Since this equation was developed for crops, it is likely that it underestimates interception  
12 by forests with a denser understory and forest floor interception capacity.

## 13 2.2. Transpiration

14 Transpiration is considered as a threshold process at the monthly time scale ( $E_{t,m}$  (mm month<sup>-1</sup>),  
15 Eq. (7), Table 2) and successively is upscaled to annual transpiration ( $E_{t,a}$  (mm year<sup>-1</sup>), Eq. (8),  
16 Table 2) by considering the frequency distribution of the net monthly rainfall ( $P_{n,m} = P_m - E_{i,m}$ )  
17 expressed with the parameter  $\kappa_n$ . To estimate the monthly and annual transpiration, two  
18 parameters  $A$  and  $B$  are required.  $A$  is the initial soil moisture or carryover value (mm month<sup>-1</sup>)  
19 and  $B$  is dimensionless and described as Eq. (15), where the dimensionless  $\gamma$  is obtained by Eq.  
20 (16).

21 Gerrits et al. (2009) assumed ~~that the constant~~ carry over value ( $A$ ) ~~is constant~~ and used  $A = 0,$   
22  $5, 15, 20,$  mm month<sup>-1</sup>, depending on the location, to determine annual transpiration. ~~Also~~  
23 ~~Moreover,~~ they considered  $\gamma$  to be constant ( $\gamma = 0.5$ ). In the current study, we determined these  
24 two parameters based on the maximum root zone storage capacity ( $S_{u,max}$ ). In Eq. (17),  $\Delta t_m = 1$   
25 ~~equals 1~~ month and  $S_b$  ~~can be assumed to be~~ ~~is~~ -estimated by  $aS_{u,max}$  (Eq. (18) in table 2), where  
26  $a$  is 0.5-0.8 (de Groen, 2002; Shuttleworth, 1993). In this study, we assumed ~~-a~~ to be 0.5 as this  
27 value is commonly used for many crops (Allen et al., 1998). Furthermore, we assumed that the  
28 monthly carry over  $A$  ~~can be estimated as~~ by Eq. (18) and in this study, we assumed  $b = 0.2$   
29 which gave the best global results for all land classes. In the sensitivity analysis both the sensitivity  
30 of  $a$  and  $b$  towards total evaporation will be investigated. To estimate  $A$  and  $\gamma$ , it is important to  
31 have a reliable database of  $S_{u,max}$ . For this purpose, we used the global estimation of  $S_{u,max}$  from  
32 Wang-Erlandsson et al. (2016).  $S_{u,max}$  is derived by the mass balance method using satellite based  
33 precipitation and evaporation (Wang-Erlandsson et al., 2016). Wang-Erlandsson et al. (2016)  
34 estimated the root zone storage capacity from the maximum soil moisture deficit, as the integral  
35 of the outgoing flux (i.e. evaporation which is ~~the~~ sum of transpiration, evaporation, interception,  
36 soil moisture evaporation, and open water evaporation) minus the incoming flux (i.e. precipitation  
37 and irrigation). In their study, the root zone storage capacity was defined as the total amount of  
38 water that plants can store to survive droughts. Note that this recent method (Gao et al., 2014) to  
39 estimate  $S_{u,max}$  does not require soil information, but only uses climatic data. It is assumed that  
40 ecosystems adjust their storage capacity to climatic demands irrespective of the soil properties.  
41 Under wet conditions, Gao's method appeared to perform better ~~than soil-based methods~~. For

1 (semi-)arid climates the difference between this method and soil-based methods appear to be small  
2 (de Boer-Euser et al., 2016).

3 Furthermore, Gerrits et al. (2009) estimated the average monthly transpiration threshold ( $D_{t,m}$ ) as  
4  $\frac{E_p - E_{t,a}}{n_a}$  (where  $n_a$  = number of months per year), which assumes that if there is little interception,  
5 plants can transpire at the same rate as a well-watered reference grass as calculated with the  
6 Penman-Monteith equation (University of East Anglia Climatic Research Unit, 2014). In reality,  
7 most plants encounter more resistance (crop resistance) than grass, hence we used Eq. (17), Table  
8 2 (Fredlund et al., 2012) to convert potential evaporation of reference grass ( $E_p$ ) to potential  
9 transpiration of a certain crop depending on the LAI (i.e. the transpiration threshold  $D_{t,m}$  [mm  
10 month<sup>-1</sup>]). Furthermore, similar to the daily interception threshold, we took a constant  $D_{t,m}$ , which  
11 can be problematic in energy-constrained areas. However, in those areas often temperature and  
12 radiation follow a sinusoidal pattern without complex double seasonality as e.g., occurs in the  
13 ITCZ. This implies that the overestimation of  $E_{t,m}$  in winter will be compensated (on the annual  
14 time scale) by the underestimation in summer time. By means of a sensitivity analysis the effect  
15 of a constant  $D_{t,m}$  will be investigated.

### 16 3. Data

17 For precipitation, we used the AgMERRA product from AgMIP climate forcing dataset (Ruane et  
18 al., 2015), which has a daily time scale and a spatial resolution of 0.25°×0.25°. The spatial  
19 coverage of AgMERRA is globally for the years 1980-2010. The AgMERRA product is available  
20 on the NASA Goddard Institute for Space Studies website  
21 (<http://data.giss.nasa.gov/impacts/agmipcf/agmerra/>).

22 Potential evaporation data (calculated by FAO-Penman–Monteith equation (Allen et al., 1998))  
23 were taken from Center for Environmental Data Archival website  
24 (<http://catalogue.ceda.ac.uk/uuid/4a6d071383976a5fb24b5b42e28cf28f>), produced by the  
25 Climatic Research Unit (CRU) at the University of East Anglia (University of East Anglia Climatic  
26 Research Unit, 2014). These data are at the monthly time scale over the period 1901-2013, and  
27 has a spatial resolution of 0.5°×0.5°. We used the data of 1980-2010 in consistent with  
28 precipitation dataset.

29 LAI data were obtained from Vegetation Remote Sensing & Climate Research  
30 (<http://sites.bu.edu/cliveg/datacodes/>) (Zhu et al., 2013). The spatial resolution of the data sets is  
31 1/12 degree, with 15-day composites (2 per month) for the period July 1981 to December 2011.

32 The data of  $S_{u,max}$  is prepared data by Wang-Erlandsson et al. (2016) and is based on the satellite-  
33 based precipitation and evaporation with 0.5°×0.5° resolution over the period 2003-2013. They  
34 used the USGS Climate Hazards Group InfraRed Precipitation with Stations (CHIRPS)  
35 precipitation data at 0.05° (Funk et al., 2014) and the ensemble mean of three datasets of  
36 evaporation including CSIRO MODIS Reflectance Scaling EvapoTranspiration (CMRSET) at  
37 0.05° (Guerschman et al., 2009), the Operational Simplified Surface Energy Balance (SSEBop) at  
38 30" (Senay et al., 2013) and MODIS evapotranspiration (MOD16) at 0.05° (Mu et al., 2011). They  
39 calculated potential evaporation using the Penman-Monteith equation (Monteith, 1965).

#### 1 4. Model comparison and evaluation

2 The model performance was evaluated by comparing our results at the global scale to global  
 3 evaporation estimates from other studies. Most available products only provide total evaporation  
 4 estimates and do not distinguish between interception and transpiration. Therefore, we chose to  
 5 compare our interception and transpiration results to two land surface models: The Global Land  
 6 Evaporation Amsterdam Model (GLEAM) (v3.0a) database (Martens et al., 2017; Miralles et al.,  
 7 2011a) and Simple Terrestrial Evaporation to Atmosphere Model (STEAM) (Wang-Erlandsson et  
 8 al., 2014, Wang-Erlandsson et al., 2016). GLEAM estimates different fluxes of evaporation  
 9 including transpiration, interception, bare soil evaporation, snow sublimation, and open water  
 10 evaporation. STEAM, on the other hand, estimates the different components of evaporation  
 11 including transpiration, vegetation interception, floor interception, soil moisture evaporation, and  
 12 open water evaporation. Thus for the comparison of interception, we used the sum of the canopy  
 13 and floor interception and soil evaporation from STEAM and canopy interception and bare soil  
 14 evaporation from GLEAM. Furthermore, STEAM includes an irrigation module (Wang-  
 15 Erlandsson et al., 2014), while Miralles et al. (2011) mentioned that they did not include irrigation  
 16 in GLEAM, but the assimilation of the soil moisture from satellite data would account for it as soil  
 17 moisture adjusted to seasonal dynamics of any region. The total evaporation was also compared  
 18 to LandFlux-EVAL products (Mueller et al., 2013). GLEAM database ([www.gleam.eu](http://www.gleam.eu)) is  
 19 available for 1980-2014 with a resolution of  $0.25^\circ \times 0.25^\circ$  and STEAM model was performed for  
 20 2003-2013 with a resolution of  $1.5^\circ \times 1.5^\circ$ . LandFlux-EVAL data  
 21 (<https://data.iac.ethz.ch/landflux/>) is available for 1989-2005. We compared Gerrits' model to  
 22 other products based on the land cover to judge the performance of the model for different types  
 23 of land cover. The global land cover map (Channan et al., 2014; Friedl et al., 2010) was obtained  
 24 from <http://glcf.umd.edu/data/lc/>. We used root mean square error (RMSE) (Eq. 20), mean bias  
 25 error (MBE) (Eq. 21) and relative error (RE) (Eq. 22) to evaluate the results.

$$\text{RMSE} = \sqrt{\frac{\sum_{i=1}^n (x_{iG} - x_{iM})^2}{n}} \quad (20)$$

$$\text{MBE} = \frac{\sum_{i=1}^n (x_{iG} - x_{iM})}{n} \quad (21)$$

$$\text{RE} = \frac{\bar{x}_G - \bar{x}_M}{\bar{x}_G} \times 100 \quad (22)$$

26 In these equations,  $x_{iM}$  is evaporation of the benchmark models to which Gerrits' model is  
 27 compared for pixel  $i$ ,  $x_{iG}$  is evaporation from Gerrits' model for pixel  $i$ ,  $\bar{x}_G$  is the average  
 28 evaporation of Gerrits' model,  $\bar{x}_M$  is the average evaporation of the benchmark models and  $n$  is  
 29 the number of pixels of the evaporation map. Negative MBE and RE show the Gerrits' model  
 30 underestimates evaporation and vice versa. As the spatial resolution of the products is different,  
 31 we regridded all the products to the coarsest resolution ( $1.5^\circ \times 1.5^\circ$ ) for the comparison.  
 32 Furthermore, the comparisons were shown for each land cover using the Taylor diagram (Taylor,  
 33 2001). A Taylor diagram can provide a concise statistical summary of how the models are  
 34 comparable to the reference data (observation or given model) in terms of their correlation, RMSE,  
 35 and the ratio of their variances. In this paper, the reference data is Gerrits' model. Since the  
 36 different models for different land cover types have been used in this study, which have different



1 numerical values, the results are normalized by the reference data. It should be noted that the  
2 standard deviation of the reference data is normalized by itself and, therefore, it is plotted at unit  
3 distance from the origin along the horizontal axis (Taylor, 2001). According to the Taylor diagram,  
4 when the points are close to reference data ('Ref' in Figures 2, 4 and 6), it shows that the RMSE  
5 is less and the correlation is higher and therefore, the models are in a more reasonable agreement.

## 6 **5. Results and discussion**

### 7 **5.1. Total evaporation comparison**

8 Figure 1 shows the mean annual evaporation from Gerrits' model, Landflux-EVAL, STEAM and  
9 GLEAM data sets. In general, the spatial distribution of evaporation simulated by Gerrits' model  
10 is similar to that of the benchmark models. Figure 1a demonstrates that, as expected, the highest  
11 annual evaporation (~~which is the~~ sum of interception evaporation and transpiration); occurs in  
12 tropical evergreen broadleaf forests and the lowest rate occurs in the barren and sparsely vegetated  
13 desert regions. Total evaporation varies between almost zero in arid regions to more than 1500  
14 mm year<sup>-1</sup> in the tropics.

15 As can be seen in Figure 1 there exist also large differences between STEAM, GLEAM<sub>2</sub> and  
16 Landflux-EVAL. Different precipitation products used in the models are likely the reason for the  
17 differences. As found by Gerrits et al. (2009), the ~~model~~ sensitivity-of-the-model to the number of  
18 rain days and rain months especially for the higher rates of precipitation can be a probable reason  
19 for the poor performance of a model especially for the forests with the highest amount of  
20 precipitation. In Sect. 5.5 we will elaborate on the sensitivity of these parameters on the global  
21 scale.

22 ~~The contribution of M~~mean annual evaporation ~~contributions~~ per land cover type from Gerrits'  
23 model and other products, as well as RMSE, MBE and RE are shown in Table 3. Globally, mean  
24 annual evaporation estimated (for the overlapped pixels with 1.5°×1.5° resolution) by Gerrits'  
25 model, Landflux-EVAL, STEAM and GLEAM are 443, 469, 475 and 462 mm year<sup>-1</sup>, respectively.  
26 Our results are comparable to those of Haddeland et al. (2011), where the simulated global  
27 terrestrial evaporation ranges between 415 and 586 mm year<sup>-1</sup> for the period 1985–1999.  
28 Generally, Gerrits' model overestimates evaporation for most land cover types in comparison to  
29 Landflux-EVAL and GLEAM<sub>2</sub>; and underestimates in comparison to STEAM (see also MBE and  
30 RE). Since the number of pixels covered by each land use is different, RMSE, MBE<sub>2</sub> and RE  
31 cannot be comparable between land cover types. RMSE, MBE<sub>2</sub> and RE for each land cover type  
32 show that, generally, Gerrits' model is in a better agreement with Landflux and GLEAM than  
33 STEAM. The Taylor diagram for total evaporation, ~~as~~ estimated by Gerrits' model in comparison  
34 to Landflux-EVAL, STEAM and GLEAM for all data (No. 1 in Fig. 2) and for each land cover  
35 type (No.2 to No.11 in Fig. 2), also indicates that Gerrits' model ~~has-is a-in~~ better agreement with  
36 Landflux-EVAL and GLEAM than STEAM model, especially for evergreen broadleaf forest,  
37 shrublands, savannas<sub>2</sub> and croplands (see also Table 3).

### 38 **5.2. Annual interception comparison**

1 While Wang-Erlandsson et al. (2014; 2016) estimated canopy interception, floor interception, and  
2 soil evaporation separately, in the current study we assumed that these three components of  
3 evaporation can be lumped as interception evaporation. Figure 3 shows the mean annual  
4 evaporation from interception at the global scale as estimated by Gerrits' model, STEAM, and  
5 GLEAM. In this figure, interception from STEAM is calculated by the sum of canopy interception,  
6 floor interception, and soil evaporation. Furthermore, interception from GLEAM is calculated as  
7 the sum of canopy interception and bare soil evaporation (GLEAM does not estimate floor  
8 interception). In general, the spatial distribution of Gerrits' simulated interception is partly similar  
9 to that of STEAM and GLEAM. In the tropics, with high amounts of annual precipitation and high  
10 storage capacity-capacities due to the dense vegetation (evergreen broadleaf forests and savannas),  
11 annual interception shows the highest values. Table 4 shows the average of interception, RMSE,  
12 MBE and RE per land cover type. This table indicates that Gerrits' model underestimates  
13 interception in comparison to STEAM for all land cover types. Table 4 also shows that, in  
14 comparison to GLEAM, Gerrits' model overestimates interception for all land cover types,  
15 because in GLEAM floor interception has not been taken into account. Figure 4 also shows that  
16 Gerrits' model is in better agreement with STEAM (especially for grasslands and mixed forest)  
17 than GLEAM. The reason for an underestimated interception in comparison to STEAM could be  
18 the role of the understory. LAI does not account for understory, therefore maybe  $S_{max}$  should be  
19 larger than modeled with Eq. (10). However, there is almost no data available to estimate the  
20 interception storage capacity of the forest floor at the global scale.

### 21 5.3. Annual transpiration comparison

22 Figure 5 illustrates the mean annual transpiration as estimated by Gerrits' model, STEAM, and  
23 GLEAM. The spatial distribution is similar to the results of STEAM and GLEAM. Mean annual  
24 transpiration varies between zero-0 mm year<sup>-1</sup> for arid areas in the north of Africa (Sahara) to more  
25 than 1000 mm year<sup>-1</sup> in the tropics in South America. The results show that the highest annual  
26 transpiration occurs in evergreen broadleaf forests with the highest amount of precipitation and  
27 dense vegetation (see also Table 5). Figure 5c shows that GLEAM, in comparison to Gerrits'  
28 model, overestimates the transpiration in some regions and especially in the tropics in South  
29 America and Central Africa. Figure 5b also shows that STEAM is different from Gerrits' model  
30 over some regions like India, western China, and North America as well as in the tropics. Table 5  
31 (MBE and RE) also indicates that Gerrits' model underestimates transpiration in comparison to  
32 GLEAM and overestimates in comparison to STEAM. The Taylor diagram (Fig. 6) shows that the  
33 global annual transpiration of Gerrits' model is closer to that of GLEAM than STEAM,  
34 representing that the Gerrits' model is in a more reasonable agreement with-to GLEAM for  
35 transpiration estimation.

36 Moreover, global transpiration ratio as estimated by Gerrits' model is 71% which is comparable  
37 to the ratio as estimated by other studies (e.g., 80% (Miralles et al., 2011b), 69% (Sutanto, 2015),  
38 65% (Good et al., 2015), 62% (Maxwell and Condon, 2016), 62% (Lian et al., 2018), 61%  
39 (Schlesinger and Jasechko, 2014), 57% (Wei et al., 2017), 52% (Choudhury and Digirolamo,  
40 1998), 48% (Dirmeyer et al., 2006) and 41% (Lawrence et al., 2007)). Additionally, Coenders-  
41 Gerrits et al. (2014) found that based on the model of Jasechko et al. (2013) the transpiration ratio  
42 changes between 35% and 80%, which is in line with our current findings.

## 1 5.4. Analyzing the results through Budyko framework

2 We evaluated the relation between [the](#) evaporation fluxes and [the](#) energy/water limitation in the  
3 Budyko framework as provided by Miralles et al. (2016) and Good et al. (2017) to see how our  
4 model can be related to the Budyko framework and how the energy and water limitations can be  
5 interpreted by our model. Figure 7 shows the density plot of  $\frac{E}{P}$  versus  $\frac{E_p}{P}$  within the Budyko  
6 framework. For calculating  $\frac{E}{P}$  and  $\frac{E_p}{P}$  for all models, precipitation and potential evaporation data  
7 are the same as used in this study. This figure indicates that, while Gerrits' model does not perform  
8 well in comparison to STEAM and GLEAM, it follows the framework in a reasonable manner.  
9 Furthermore, the results are comparable to the results of Miralles et al. (2016) (see Fig. 11 in their  
10 paper). The partition of evaporation related to the land cover within the Budyko framework is  
11 presented in Figure 8. According to this figure, interception, as estimated by Gerrits' model, is  
12 closer to that of GLEAM rather than STEAM, but transpiration is close to both models. For mean  
13 annual total evaporation, Gerrits' model is more similar to GLEAM than STEAM for all land  
14 covers except for grasslands and shrublands. Moreover, the distribution of  $\frac{E_t}{P}$  is comparable to that  
15 of Good et al. (2017) (Figure 1.a in their paper). Their results showed a unimodal  $\frac{E_t}{P}$  distribution  
16 indicating that both increasing and decreasing aridity will result in a decline in the fraction of  
17 [precipitation](#)-transpired [rainfall](#) by plants for growth and metabolism. This distribution is also seen  
18 in Figure 9, where the plot is provided based on the average of  $\frac{E}{P}$  for each aridity index ( $\frac{E_p}{P}$ ). This  
19 figure is also comparable to [figure Figure](#) 1.c in Good et al. (2017)'s paper.

## 20 5.5. Sensitivity analysis

21 In our sensitivity analysis we investigated the sensitivity of the three parameters that are related to  
22 transpiration (constants  $a$  and  $b$ , and threshold  $D_{t,m}$ ), and the effect of the number of rain days and  
23 rain months on the total evaporation calculation. All parameters were in- and decreased by 10%.  
24 The analysis shows that the model is not too sensitive to parameter  $a$ , where a  $\pm 10\%$  change in  $a$   
25 leads to a minor  $\pm 0.4\%$  change in  $\frac{E}{P}$  (See Fig. 10.a). Thus, the model is [not-in](#)sensitive to changes  
26 in parameter  $a$ . Similar results were found for parameter  $b$ , where a  $\pm 10\%$  change in  $b$  resulted  
27 only in a  $\pm 3.5\%$  change in  $\frac{E}{P}$  (Fig. 10.b). Moreover, a  $\pm 10\%$  change in both  $n_{r,d}$  and  $n_{r,m}$  leads to  
28 a  $\pm 2.2$  change in  $\frac{E}{P}$  (Fig. 10.c and 10.d). The most sensitive parameter is  $D_{t,m}$ , where a  $\pm 10\%$   
29 change in  $D_{t,m}$  resulted in a  $\pm 4\%$  change in  $\frac{E}{P}$  (Fig. 10.e). In conclusion,  $D_{t,m}$  and  $b$  are the most  
30 sensitive parameters for the estimation of  $\frac{E}{P}$ ; however, it seems that the sensitivity is not that much  
31 different per land class, [-e](#)Except for grasslands and shrublands, which may arise from the  
32 underestimation of interception in Gerrits' model for short vegetation. This underestimation is  
33 obtained, because the relation between  $S_{max}$  and LAI might not be valid for short vegetation. This  
34 also might be due to the wide range of gridded points belong to grasslands and shrublands as  
35 shown by [the](#) density plot of  $\frac{E}{P}$  versus  $\frac{E_p}{P}$  in Figure 11.

## 36 6. Conclusion

1 In the current study, we revised and applied a simple evaporation model proposed by Gerrits et al.  
2 (2009) at the global scale. Instead of locally calibrated model parameters we now only used  
3 parameters derived from remotely sensed data. Furthermore, we implemented in the Gerrits'  
4 model a new definition of the root zone storage capacity from Gao et al (2014).

5 Comparing our results for total evaporation to Landflux-EVAL estimates, shows that Gerrits'  
6 model is in good agreement with Landflux-EVAL. The highest mean annual evaporation rates are  
7 found in evergreen broadleaf forests (1367 mm year<sup>-1</sup>), deciduous broadleaf forests (796 mm year<sup>-1</sup>)  
8 and savannas (695 mm year<sup>-1</sup>) and the lowest ones are found in shrublands (203 mm year<sup>-1</sup>) and  
9 grasslands (275 mm year<sup>-1</sup>). Generally, Gerrits' model overestimates in comparison to Landflux-  
10 EVAL and GLEAM, and underestimates in comparison to STEAM.

11 Gerrits' model underestimates interception in comparison to STEAM for all land covers. On the  
12 other hand, the model overestimates interception in comparison to GLEAM, since GLEAM does  
13 not include floor interception. Although we tried to correct for the different definitions of  
14 interception, the results may be biased. The relatively worse performance in forests ecosystems  
15 could be explained by the effect of the understory. This is not taken into account in Gerrits' model,  
16 while the understory can also intercept water. We could say that the constant value of 0.935 mm  
17 in Eq. (10) reflects the forest floor interception storage capacity, but since this number was derived  
18 for crops, it is likely an underestimation. Therefore, a better estimation of  $S_{max}$  to account for  
19 forest floor interception is recommended.

20 Estimated transpiration by Gerrits' model is in reasonable agreement with GLEAM and STEAM.  
21 Gerrits' model underestimates transpiration in comparison to GLEAM (RE=-4%) and  
22 overestimates in comparison to STEAM (RE=+12%). The scatter plots showed that, in comparison  
23 to GLEAM and STEAM, Gerrits' model performs well for all land cover types. ~~Also~~ Moreover,  
24 the transpiration ratio corresponded well in comparison to those of GLEAM and STEAM. The  
25 results also showed that the global transpiration ratio estimated by Gerrits' model (71%) is  
26 approximately comparable to the other studies.

27 Our results are also related to the Budyko framework and we found similar to Good et al. (2017)  
28 that the distribution of  $\frac{E_t}{P}$  is unimodal, indicating that both increasing and decreasing aridity will  
29 result in decline in the fraction of ~~precipitation~~ transpired precipitation by plants for growth and  
30 metabolism.

31 By comparing all products, we found that, in general, there are ~~large~~ considerable differences  
32 between STEAM, GLEAM, and Landflux-EVAL. The most convincing reason for this  
33 discrepancy lies in the different products for precipitation (and other global data sets), which have  
34 been used for the different models. The Gerrits' model is sensitive to the number of rain days and  
35 months especially for the higher rates of precipitation. Nonetheless, our sensitivity analysis of  
36 parameters  $a$  and  $b$  and  $n_{r,d}$ ,  $n_{r,m}$  and  $D_{t,m}$  shows that  $D_{t,m}$  and  $b$  are the most sensitive parameters  
37 for the estimation of  $\frac{E}{P}$ .

38 Generally, it should be mentioned that the underlying reasoning of the Gerrits' model is to  
39 recognize the characteristic time scales of the different evaporation processes (i.e. interception

1 daily and transpiration monthly). In Gerrits et al. (2009) (and in the current paper as well), this has  
2 been done by taking yearly averages for the interception ( $D_{i,d}$ , mm day<sup>-1</sup>) and transpiration  
3 threshold ( $D_{t,m}$ , mm month<sup>-1</sup>) in combination with the temporal distribution functions for daily  
4 and monthly (net) rainfall. Hence, the seasonality is incorporated in the temporal rainfall patterns,  
5 and not in the evaporation thresholds. This is a limitation of the currently used approach and could  
6 be the focus of a new study by investigating how seasonal fluctuating thresholds (based on LAI  
7 and/or a simple cosine function) would affect the results. This could be a significant  
8 methodological improvement of the Gerrits' model, but will have mathematical implications on  
9 the analytical model derivation. It will improve the monthly evaporation estimates, but we expect  
10 that the consequences at the annual time scale (which is the focus of the current paper) will be less  
11 severe. The strength of the Gerrits' model is that, in comparison to other models, it is a very simple  
12 and in spite of its simplicity, the Gerrits' model performs quite well.

### 13 **Author contribution**

14 Ameneh Mianabadi and Miriam Coenders-Gerrits implemented the model on the global scale and  
15 analyzed the data. Pooya Shirazi helped with the code programming. Ameneh Mianabadi and  
16 Miriam Coenders-Gerrits prepared the manuscript with contribution from all co-authors.

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### 23 **Competing interests**

24 The authors declare that they have no conflict of interest.

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14

1 **Table 1-** Budyko equations developed by different researchers.

| Equation                                                                                       | Reference        |
|------------------------------------------------------------------------------------------------|------------------|
| $\frac{E_a}{P_a} = 1 - \exp(-\phi)$                                                            | Schreiber [1904] |
| $\frac{E_a}{P_a} = \phi \tanh\left(\frac{1}{\phi}\right)$                                      | Ol'dekop [1911]  |
| $\frac{E_a}{P_a} = \frac{1}{\sqrt{0.9 + \left(\frac{1}{\phi}\right)^2}}$                       | Turc [1954]      |
| $\frac{E_a}{P_a} = \frac{1}{\sqrt{1 + \left(\frac{1}{\phi}\right)^2}}$                         | Pike [1964]      |
| $\frac{E_a}{P_a} = \left[\phi \tanh\left(\frac{1}{\phi}\right) (1 - \exp(-\phi))\right]^{1/2}$ | Budyko [1974]    |

2

1 **Table 2-** Summary of the interception and transpiration equations of Gerrits' model (Gerrits et al., 2009)

| Equation                                                                                                                                                                                                                                | Equation number | Description                                                                                                                                                                                                                                                                |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| $E_{i,d} = \min(D_{i,d}, P_d)$                                                                                                                                                                                                          | (4)             | $E_{i,d}$ : daily interception (mm day <sup>-1</sup> ), $P_d$ : daily precipitation (mm day <sup>-1</sup> ), $D_{i,d}$ : the daily interception threshold (mm day <sup>-1</sup> )                                                                                          |
| $E_{i,m} = P_m(1 - \exp(-\phi_{i,m}))$                                                                                                                                                                                                  | (5)             | $E_{i,m}$ : monthly interception (mm month <sup>-1</sup> ), $P_m$ : monthly rainfall (mm month <sup>-1</sup> ), $\phi_{i,m}$ : a sort of aridity index for interception at monthly scale                                                                                   |
| $E_{i,a} = P_a(1 - 2\phi_{i,a}K_0(2\sqrt{\phi_{i,a}}) - 2\sqrt{\phi_{i,a}}K_1(2\sqrt{\phi_{i,a}}))$                                                                                                                                     | (6)             | $E_{i,a}$ : annual interception (mm year <sup>-1</sup> ), $P_a$ : annual rainfall (mm year <sup>-1</sup> ), $\phi_{i,a}$ : a sort of aridity index for interception at annual scale, $K_0$ and $K_1$ : the Bessel function of the first and second order, respectively     |
| $E_{t,m} = \min(A + B(P_m - E_{i,m}), D_{t,m})$                                                                                                                                                                                         | (7)             | $E_{t,m}$ : monthly transpiration (mm month <sup>-1</sup> ), $A$ : carry-over parameter (mm month <sup>-1</sup> ), $D_{t,m}$ : the transpiration threshold (mm month <sup>-1</sup> ), $B$ : slope of relation between monthly effective rainfall and monthly transpiration |
| $A = bS_{u,max}$                                                                                                                                                                                                                        | (8)             | $b$ : constant coefficient, $S_{u,max}$ : the maximum root zone storage capacity                                                                                                                                                                                           |
| $E_{t,a} = 2BP_a(\phi_{i,a}K_0(2\sqrt{\phi_{i,a}}) + \sqrt{\phi_{i,a}}K_1(2\sqrt{\phi_{i,a}}))$<br>$\left(\frac{A}{\kappa_n B} + 1 - \exp(-\phi_{t,a})\left(\frac{A}{\kappa_n B} + 1 + \phi_{t,a} - \frac{\phi_{t,a}}{B}\right)\right)$ | (9)             | $E_{t,a}$ : annual transpiration (mm year <sup>-1</sup> ), $\phi_{t,a}$ : an aridity index                                                                                                                                                                                 |
| $D_{i,d} = \min(S_{max}, E_{p,d})$                                                                                                                                                                                                      | (10)            | $S_{max}$ : the daily interception storage capacity (mm day <sup>-1</sup> ) $E_{p,d}$ : the daily potential evaporation, $E_{p,a}$ : annual potential evaporation (mm year <sup>-1</sup> )                                                                                 |
| $S_{max} \approx C_{max} = 0.935 + 0.498LAI - 0.00575LAI^2$                                                                                                                                                                             | (11)            | LAI: Leaf Area Index derived from remote sensing images                                                                                                                                                                                                                    |
| $\phi_{i,m} = \frac{D_{i,d}}{\beta}$                                                                                                                                                                                                    | (12)            | $\beta$ : scaling factor                                                                                                                                                                                                                                                   |
| $\beta = \frac{P_m}{E(n_{r,d} n_m)}$                                                                                                                                                                                                    | (13)            | $E(n_{r,d} n_m)$ : the expected number of rain days per month, $n_{r,d}$ : the number of rain days per month, $n_m$ : the number of days per month                                                                                                                         |
| $\phi_{i,a} = \frac{n_{r,d}D_{i,d}}{\kappa_m}$                                                                                                                                                                                          | (14)            | $\kappa_m$ : scaling factor for monthly rainfall                                                                                                                                                                                                                           |
| $\kappa_m = \frac{P_a}{E(n_{r,m} n_a)}$                                                                                                                                                                                                 | (15)            | $E(n_{r,m} n_a)$ : the expected number of rain months per year, $n_{r,m}$ : the number of rain months per year, $n_a$ : the number of months per year                                                                                                                      |
| $B = 1 - \gamma + \gamma \exp(-\frac{1}{\gamma})$                                                                                                                                                                                       | (16)            | $\gamma$ : time scale for transpiration                                                                                                                                                                                                                                    |
| $\gamma = \frac{S_b}{D_{t,m}\Delta t_m}$                                                                                                                                                                                                | (17)            | $S_b$ : the moisture content below which transpiration is restricted (mm).                                                                                                                                                                                                 |
| $S_b = aS_{u,max}$                                                                                                                                                                                                                      | (18)            | $a$ : constant coefficient                                                                                                                                                                                                                                                 |
| $D_{t,m} = 0$ for LAI < 0.1<br>$D_{t,m} = \frac{E_p}{n_a}(-0.21 + 0.7LAI^{0.5})$ for 0.1 ≤ LAI < 2.7<br>$D_{t,m} = \frac{E_p}{n_a}$ for LAI ≥ 2.7                                                                                       | (19)            | $E_p$ : annual potential evaporation (for open water) (mm year <sup>-1</sup> )                                                                                                                                                                                             |
| $\phi_{t,a} = \frac{D_{t,m}}{\kappa_n}$                                                                                                                                                                                                 | (20)            | $\kappa_n$ : scaling factor for monthly net rainfall                                                                                                                                                                                                                       |
| $\kappa_n = \frac{P_{n,a}}{E(n_{nr,m} n_a)} = \frac{P_a - E_{i,a}}{E(n_{nr,m} n_a)}$                                                                                                                                                    | (21)            | $P_{n,a}$ : annual net precipitation, $E(n_{nr,m} n_a)$ : the expected number of net rain months per year                                                                                                                                                                  |

**Table 3-** Comparison of mean annual evaporation estimated by Gerrits' model to Landflux-EVAL, STEAM and GLEAM through Average, RMSE, MBE and RE per land cover type. Negative MBE and RE show the Gerrits' model underestimates evaporation and vice versa. Average, RMSE and MBE are in mm year<sup>-1</sup> and RE is in %.

| Land cover                              | area                 | Gerrits | Landflux-EVAL |      |      |     | STEAM |      |      |     | GLEAM |      |      |     |
|-----------------------------------------|----------------------|---------|---------------|------|------|-----|-------|------|------|-----|-------|------|------|-----|
|                                         | 1000 km <sup>2</sup> | Avg.    | Avg.          | RMSE | MBE  | RE  | Avg.  | RMSE | MBE  | RE  | Avg.  | RMSE | MBE  | RE  |
| Evergreen needleleaf forest             | 5563                 | 430     | 387           | 122  | +43  | +10 | 467   | 150  | -37  | -9  | 457   | 127  | -27  | -6  |
| Evergreen broadleaf forest              | 11778                | 1367    | 1177          | 266  | +190 | +14 | 1129  | 345  | +238 | +17 | 1244  | 225  | +123 | +9  |
| Deciduous needleleaf forest             | 2498                 | 338     | 298           | 73   | +40  | +12 | 336   | 65   | +2   | +1  | 336   | 73   | +1   | 0   |
| Deciduous broadleaf forest              | 1106                 | 796     | 736           | 138  | +61  | +8  | 840   | 215  | -44  | -6  | 660   | 197  | +136 | +17 |
| Mixed forest                            | 13470                | 563     | 487           | 136  | +76  | +13 | 545   | 137  | +18  | +3  | 527   | 131  | +35  | +6  |
| Shrublands <sup>1</sup>                 | 29542                | 203     | 259           | 96   | -57  | -28 | 262   | 123  | -59  | -29 | 253   | 91   | -51  | -25 |
| Savannas <sup>2</sup>                   | 18846                | 695     | 739           | 148  | -44  | -6  | 737   | 186  | -42  | -6  | 705   | 154  | -10  | -1  |
| Grasslands                              | 21844                | 275     | 365           | 130  | -91  | -33 | 373   | 164  | -98  | -36 | 349   | 135  | -75  | -27 |
| Croplands                               | 12417                | 488     | 535           | 124  | -47  | -10 | 583   | 209  | -95  | -20 | 486   | 118  | +2   | 0   |
| Croplands and natural vegetation mosaic | 5782                 | 687     | 696           | 157  | -9   | -1  | 702   | 175  | -15  | -2  | 663   | 158  | +24  | +3  |
| Global <sup>3</sup>                     | -                    | 443     | 469           | -    | -    | -6  | 475   | -    | -    | -7  | 462   | -    | -    | -4  |

<sup>1</sup>including open and closed shrublands. <sup>2</sup>including woody savannas and savannas. <sup>3</sup>for overlapped pixels with 1.5°×1.5° resolution.

**Table 4-** Comparison of interception estimated by Gerrits' model to STEAM and GLEAM through Average, RMSE, MBE and RE per land cover type. Negative MBE and RE show the Gerrits' model underestimates evaporation and vice versa. Average, RMSE and MBE are in mm year<sup>-1</sup> and RE is in %.

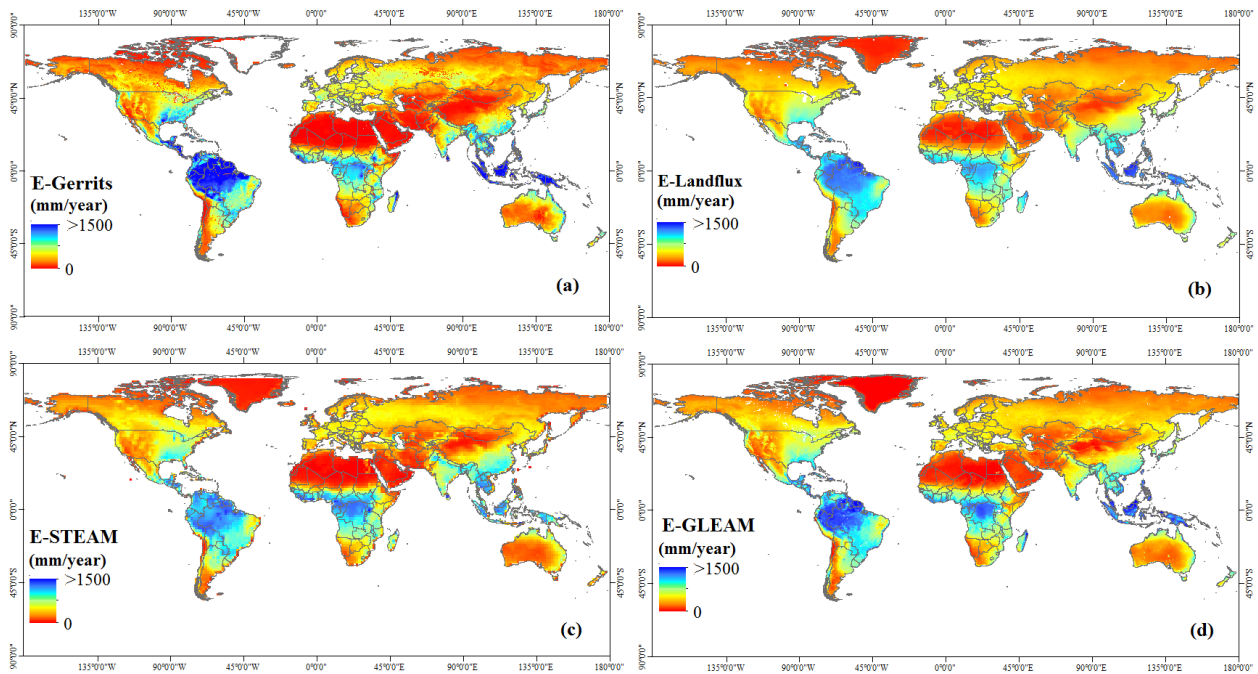
| Land cover                              | Area                 | Gerrits | STEAM |      |      | GLEAM |      |      |      |     |
|-----------------------------------------|----------------------|---------|-------|------|------|-------|------|------|------|-----|
|                                         | 1000 km <sup>2</sup> | Avg.    | Avg.  | RMSE | MBE  | RE    | Avg. | RMSE | MBE  | RE  |
| Evergreen needleleaf forest             | 5563                 | 145     | 204   | 70   | -58  | -40   | 127  | 58   | +18  | +12 |
| Evergreen broadleaf forest              | 11778                | 452     | 499   | 120  | -47  | -10   | 340  | 130  | +111 | +25 |
| Deciduous needleleaf forest             | 2498                 | 104     | 156   | 56   | -53  | -51   | 29   | 76   | +74  | +72 |
| Deciduous broadleaf forest              | 1106                 | 179     | 299   | 145  | -120 | -67   | 80   | 117  | +99  | +55 |
| Mixed forest                            | 13470                | 172     | 220   | 59   | -48  | -28   | 127  | 66   | +45  | +26 |
| Shrublands <sup>1</sup>                 | 29542                | 69      | 116   | 63   | -47  | -68   | 64   | 64   | +5   | +7  |
| Savannas <sup>2</sup>                   | 18846                | 162     | 246   | 107  | -84  | -52   | 107  | 79   | +55  | +34 |
| Grasslands                              | 21844                | 76      | 146   | 83   | -70  | -93   | 97   | 58   | -22  | -29 |
| Croplands                               | 12417                | 116     | 174   | 89   | -58  | -50   | 97   | 55   | +19  | +16 |
| Croplands and natural vegetation mosaic | 5782                 | 166     | 243   | 108  | -77  | -46   | 112  | 89   | +54  | +33 |
| Global <sup>3</sup>                     | -                    | 128     | 183   | -    | -    | -44   | 109  | -    | -    | +15 |

<sup>1</sup>including open and closed shrublands. <sup>2</sup>including woody savannas and savannas. <sup>3</sup>for overlapped pixels with 1.5°×1.5° resolution.

**Table 5-** Comparison of transpiration estimated by Gerrits’ model to STEAM and GLEAM through Average, RMSE, MBE and RE per land cover type. Negative MBE and RE show the Gerrits’ model underestimates evaporation and vice versa. Average, RMSE and MBE are in mm year<sup>-1</sup> and RE is in %.

| Land cover                              | Area                 | Gerrits | STEAM |      |      | GLEAM |      |      |     |     |
|-----------------------------------------|----------------------|---------|-------|------|------|-------|------|------|-----|-----|
|                                         | 1000 km <sup>2</sup> | Avg.    | Avg.  | RMSE | MBE  | RE    | Avg. | RMSE | MBE | RE  |
| Evergreen needleleaf forest             | 5563                 | 284     | 222   | 122  | +63  | +22   | 259  | 100  | +25 | +9  |
| Evergreen broadleaf forest              | 11778                | 915     | 619   | 347  | +296 | +32   | 890  | 163  | +25 | +3  |
| Deciduous needleleaf forest             | 2498                 | 234     | 177   | 82   | +57  | +24   | 261  | 71   | -21 | -12 |
| Deciduous broadleaf forest              | 1106                 | 617     | 538   | 192  | +79  | +13   | 570  | 120  | +47 | +16 |
| Mixed forest                            | 13470                | 390     | 305   | 147  | +85  | +22   | 363  | 114  | +27 | +7  |
| Shrublands <sup>1</sup>                 | 29542                | 133     | 137   | 85   | +4   | +3    | 159  | 81   | -26 | -20 |
| Savannas <sup>2</sup>                   | 18846                | 533     | 473   | 162  | +59  | +11   | 577  | 148  | -44 | -8  |
| Grasslands                              | 21844                | 199     | 214   | 109  | +15  | +7    | 233  | 93   | -34 | -17 |
| Croplands                               | 12417                | 372     | 393   | 131  | -20  | -5    | 371  | 90   | +1  | 0   |
| Croplands and natural vegetation mosaic | 5782                 | 521     | 444   | 159  | +77  | +15   | 530  | 112  | -10 | -2  |
| Global <sup>3</sup>                     | -                    | 315     | 276   | -    | -    | +12   | 329  | -    | -   | -4  |

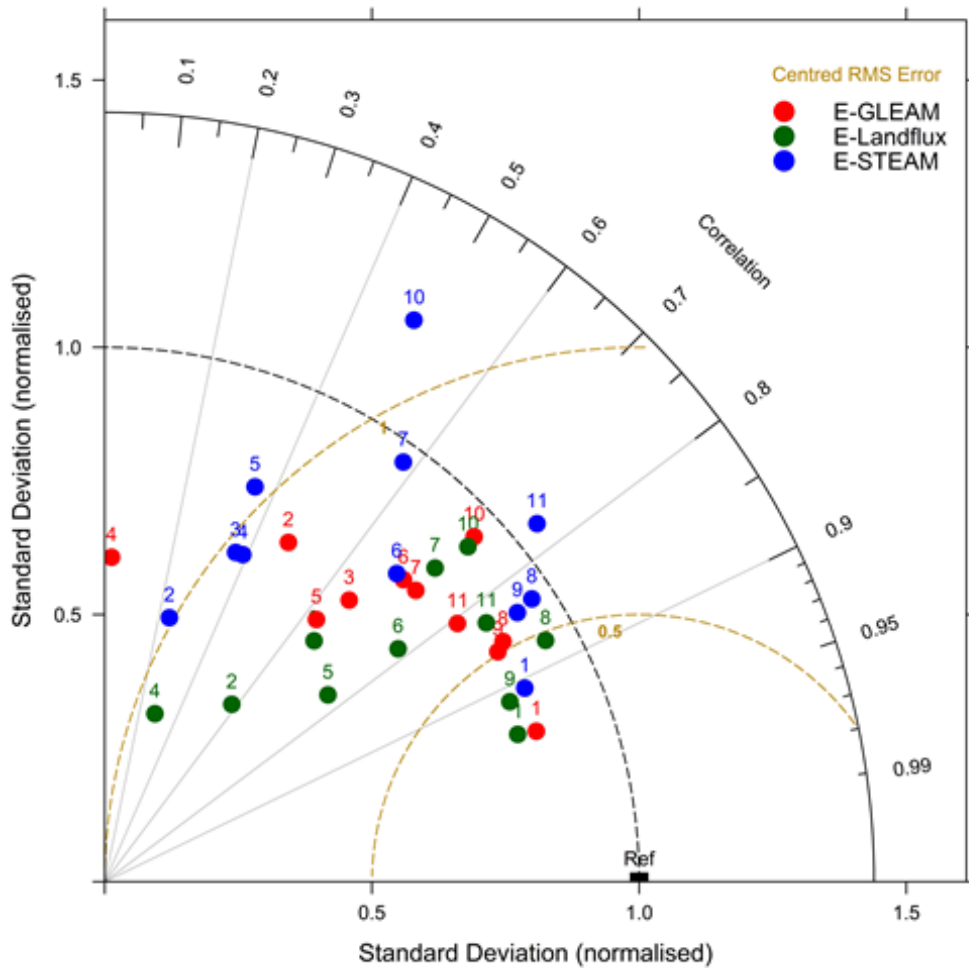
<sup>1</sup>including open and closed shrublands. <sup>2</sup>including woody savannas and savannas. <sup>3</sup>for overlapped pixels with 1.5°×1.5° resolution.



1

2 **Figure 1-** Mean annual evaporation estimated by (a) Gerrits' model, (b) Landflux-EVAL  
 3 (Mueller et al., 2013), (c) STEAM (Wang-Erlandsson et al., 2014, Wang-Erlandsson et al., 2016)  
 4 and (d) GLEAM (Martens et al., 2017; Miralles et al., 2011a).



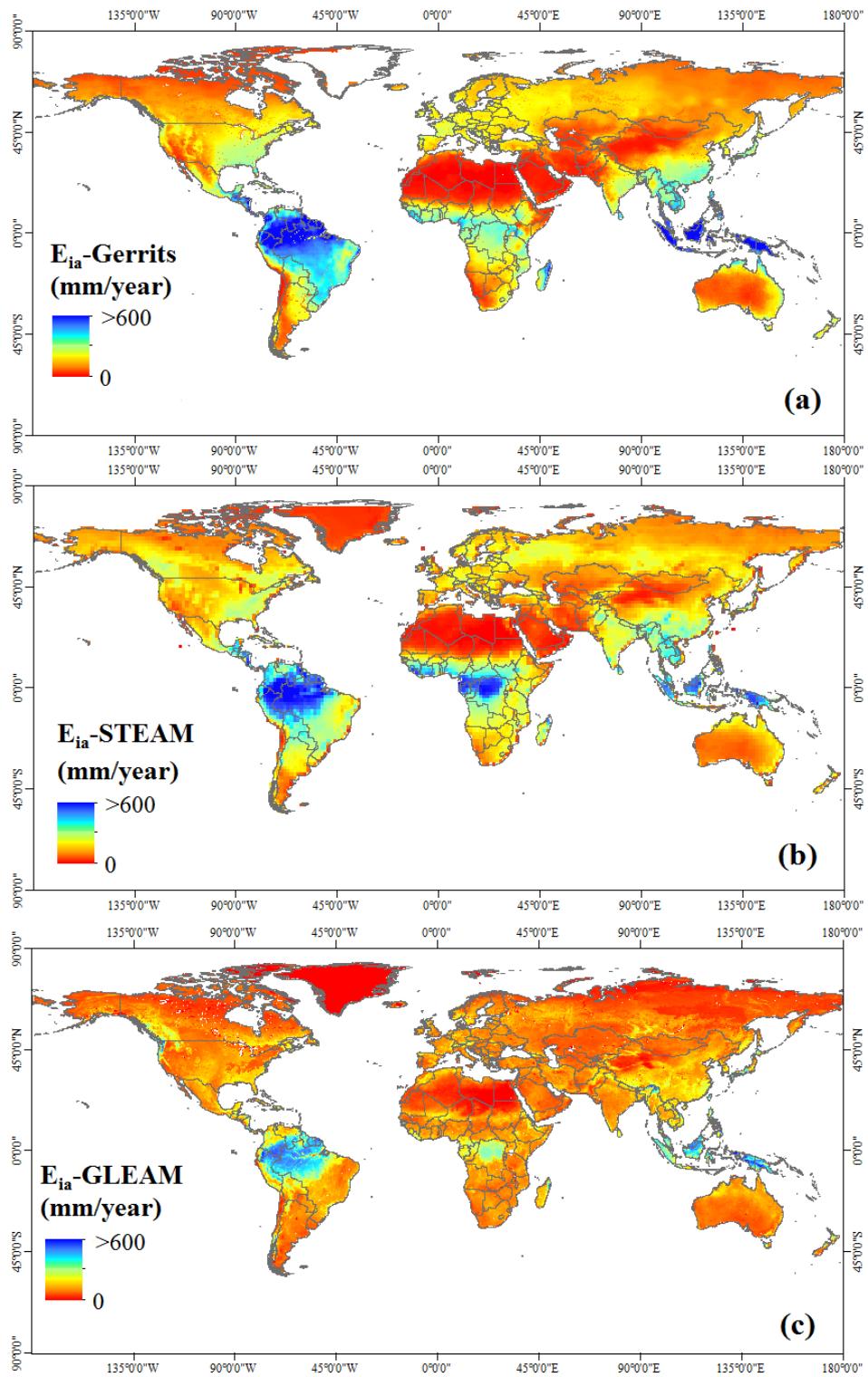


1

2 **Figure 2-** Taylor diagram for mean annual evaporation estimated by Gerrits' model in comparison  
 3 to Landflux-EVAL (green circles), STEAM (blue circles) and GLEAM (red circles) for all data  
 4 (No. 1), Evergreen Needleleaf Forest (No.2), Evergreen broadleaf forest (No. 3), Deciduous  
 5 needleleaf forest (No. 4), Deciduous broadleaf forest (No. 5), Mixed Forest (No. 6), Shrublands  
 6 (No. 7), Savannas (No. 8), Grasslands (No. 9), Croplands (No. 10) and Croplands and natural  
 7 vegetation mosaic (No. 11).

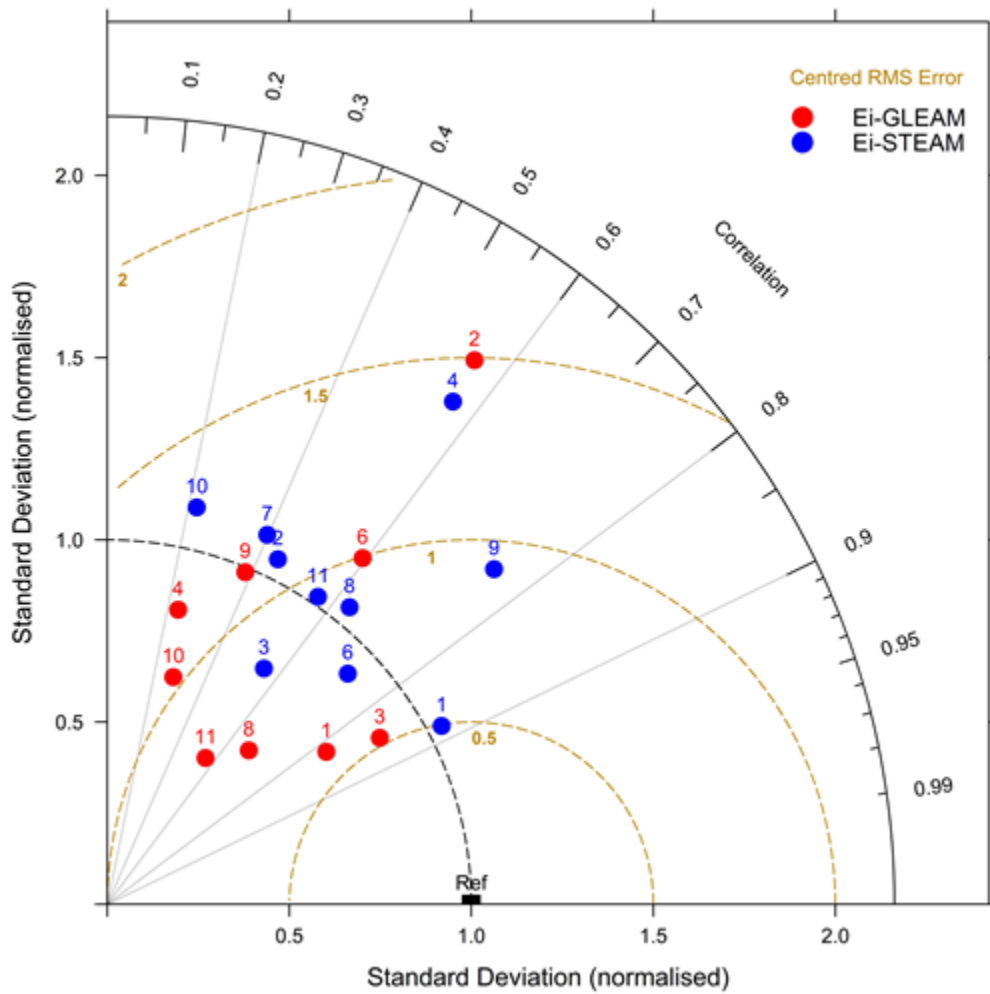
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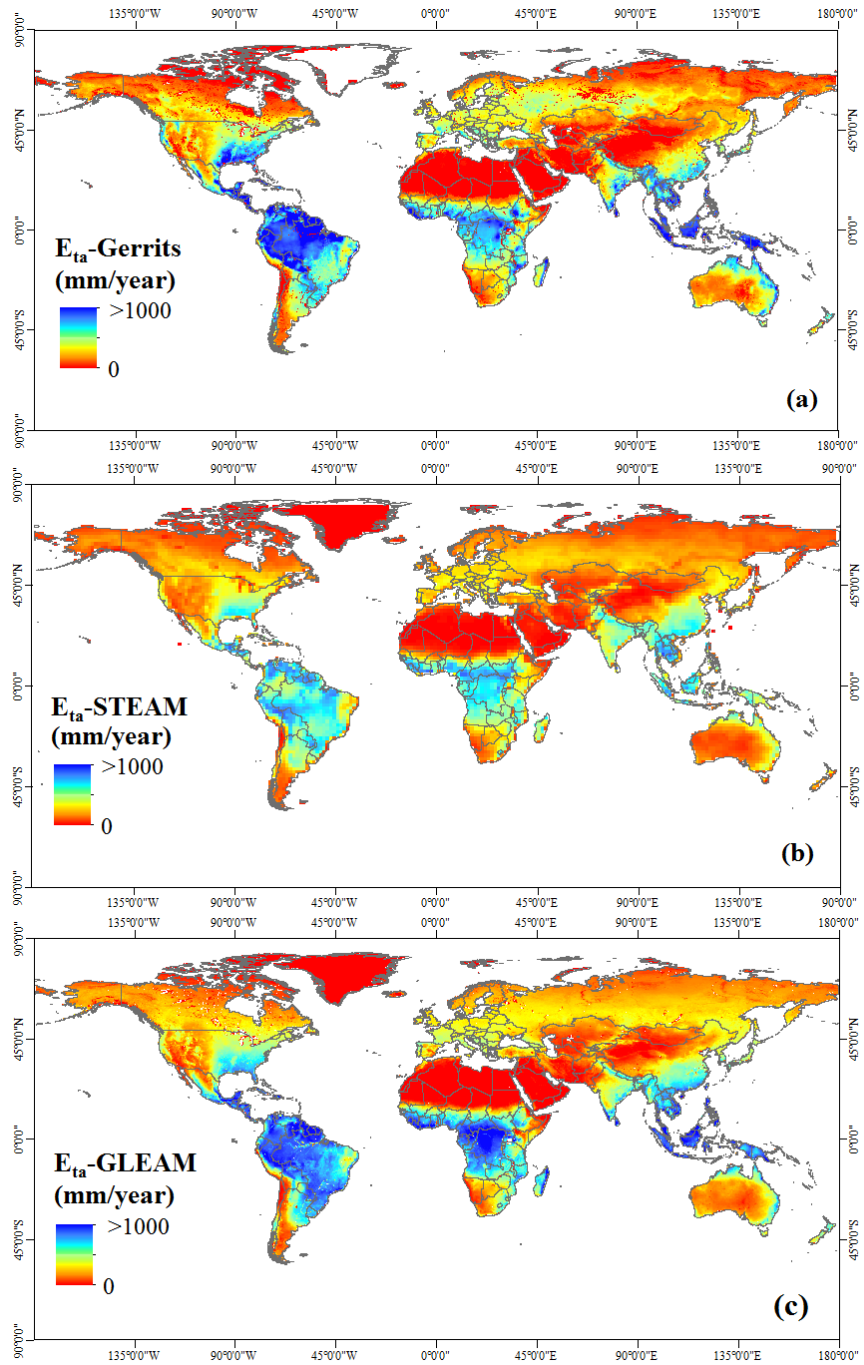
2

3 **Figure 3-** Simulated mean annual interception by (a) Gerrits' model and (b) STEAM and (c)  
4 GLEAM.

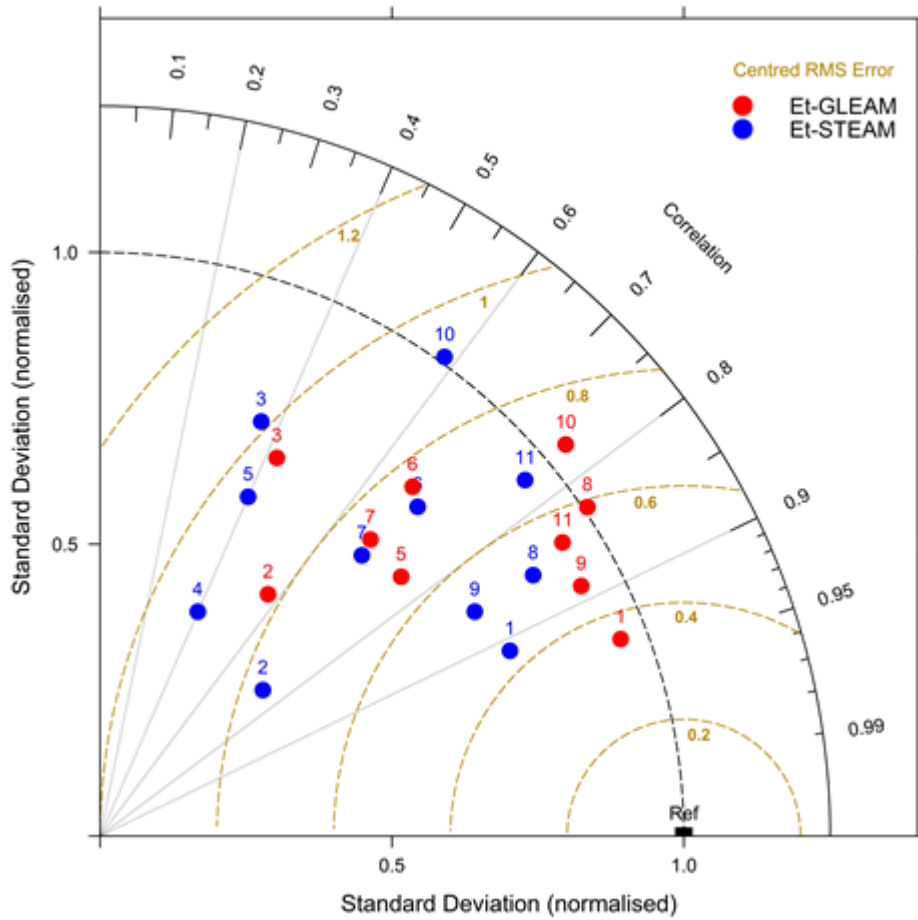


1  
 2 **Figure 4-** Taylor diagram for mean annual interception estimated by Gerrits' model in comparison  
 3 to STEAM (blue circles) and GLEAM (red circles) for all data (No. 1), Evergreen Needleleaf  
 4 Forest (No.2), Evergreen broadleaf forest (No. 3), Deciduous needleleaf forest (No. 4), Deciduous  
 5 broadleaf forest (No. 5), Mixed Forest (No. 6), Shrublands (No. 7), Savannas (No. 8), Grasslands  
 6 (No. 9), Croplands (No. 10) and Croplands and natural vegetation mosaic (No. 11).

7



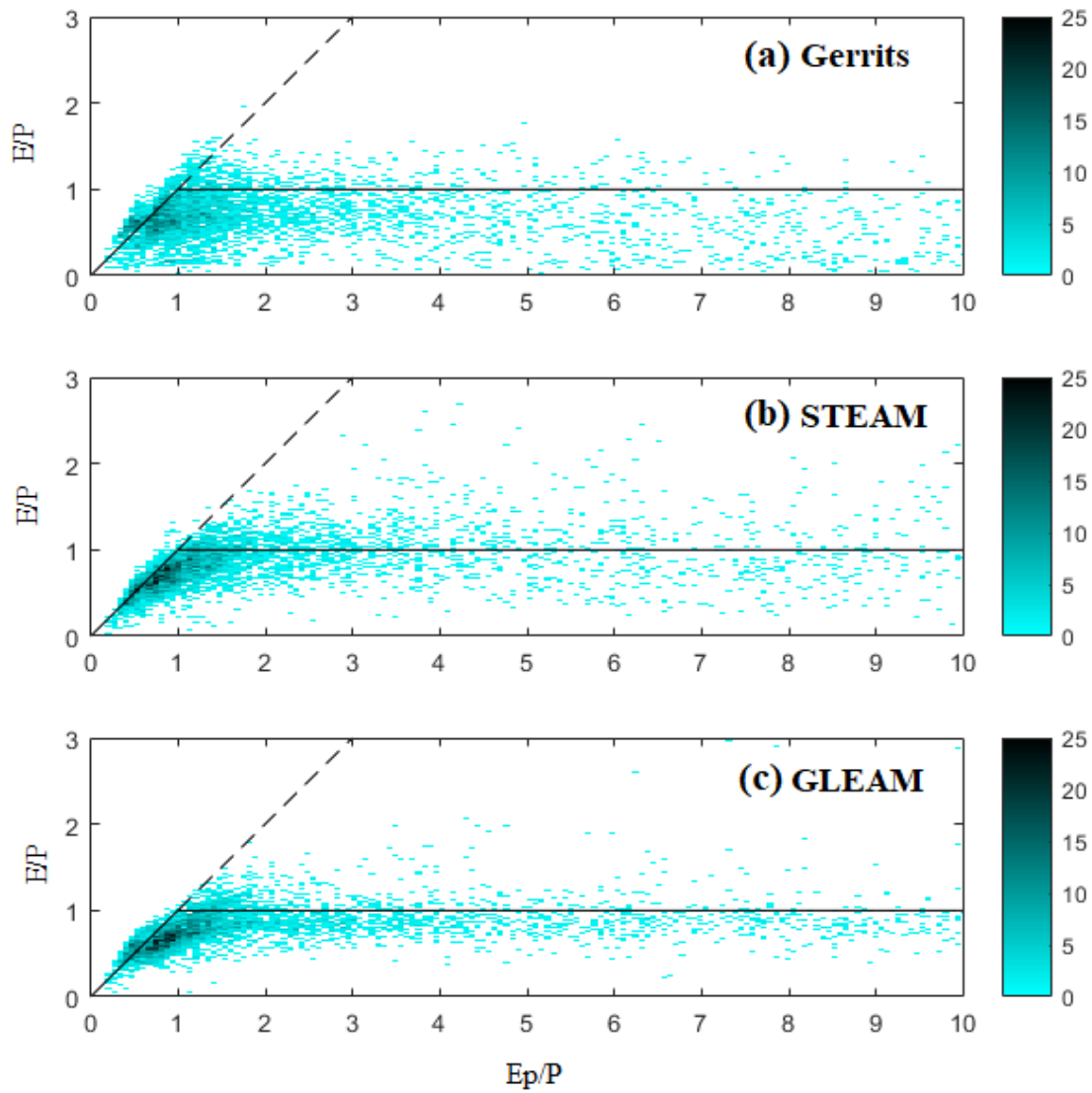
1  
 2 **Figure 5-** Simulated mean annual transpiration by (a) Gerrits' model, (b) STEAM and (c)  
 3 GLEAM.



1

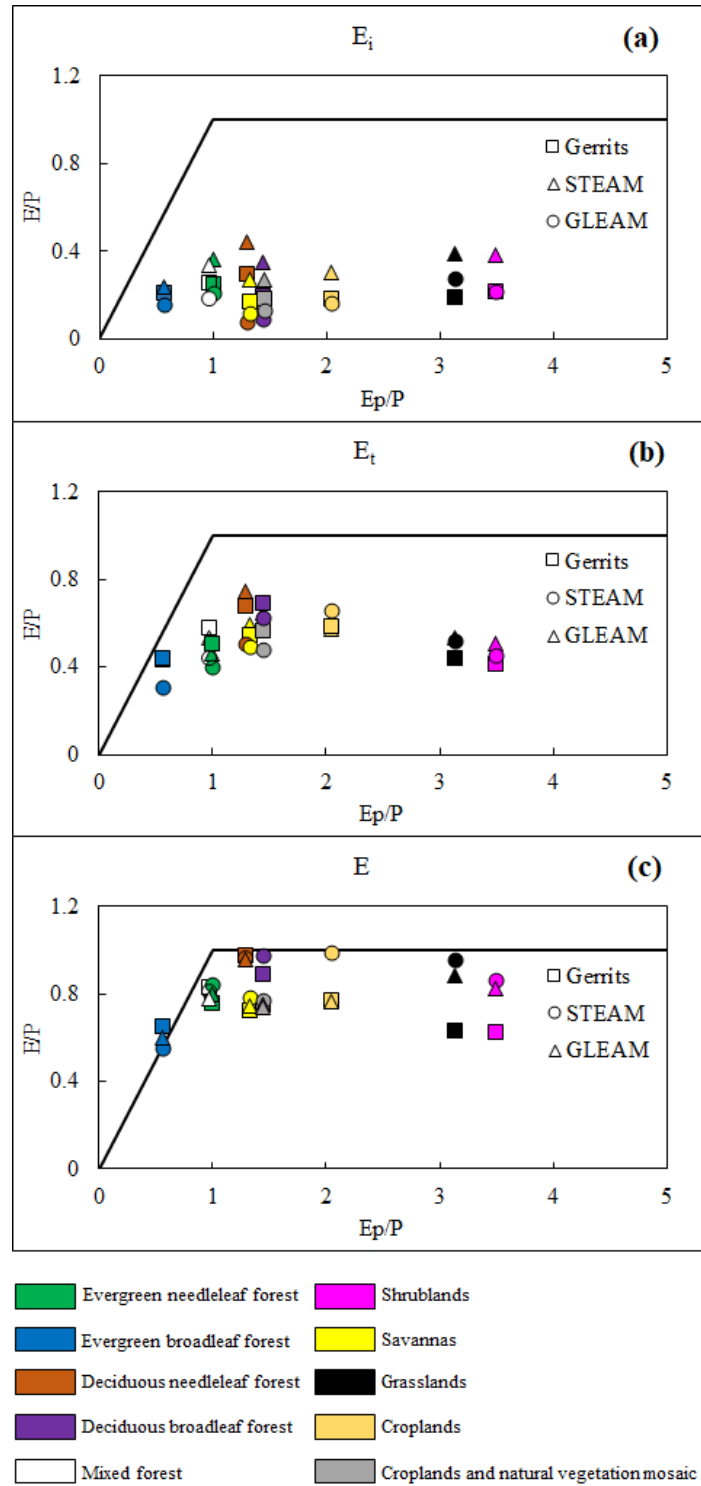
2 **Figure 6-** Taylor diagram for mean annual transpiration estimated by Gerrits' model in  
 3 comparison to STEAM (blue circles) and GLEAM (red circles) for all data (No. 1), Evergreen  
 4 Needleleaf Forest (No.2), Evergreen broadleaf forest (No. 3), Deciduous needleleaf forest (No. 4),  
 5 Deciduous broadleaf forest (No. 5), Mixed Forest (No. 6), Shrublands (No. 7), Savannas (No. 8),  
 6 Grasslands (No. 9), Croplands (No. 10) and Croplands and natural vegetation mosaic (No. 11).

7



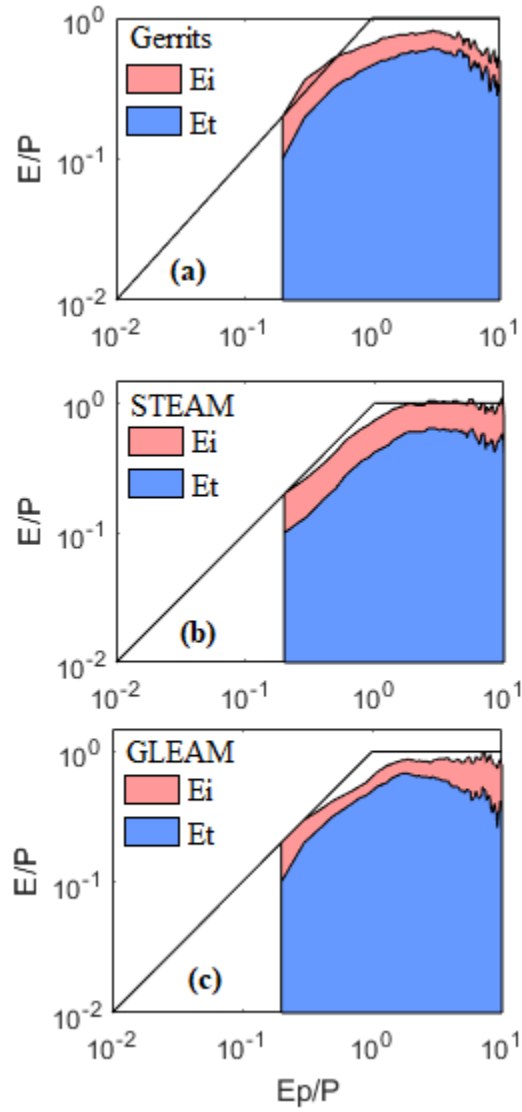
1

2 **Figure 7-** Density plot of  $\frac{E}{P}$  versus  $\frac{E_p}{P}$  for comparison between models within the Budyko  
 3 framework. The legend shows the frequency of pixels.



1

2 **Figure 8-** Comparison of interception (a), transpiration (b) and total evaporation (c) between  
 3 models for each land cover within the Budyko framework.

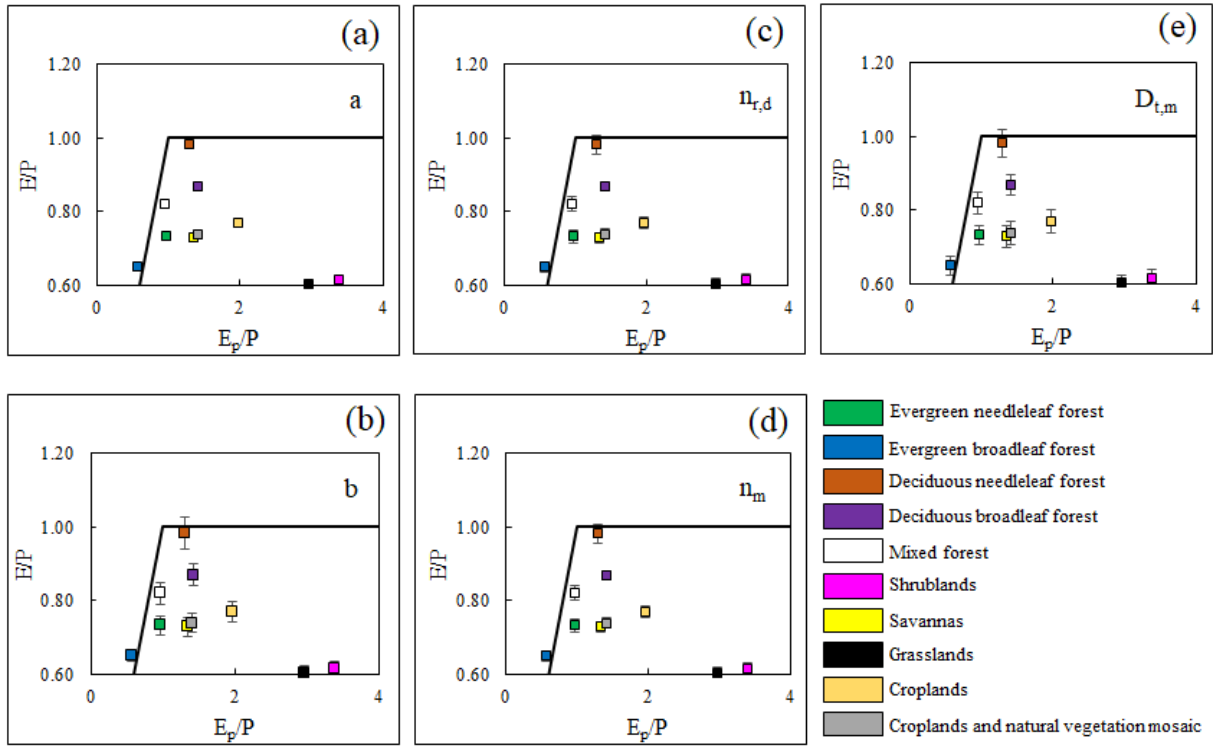


1

2 **Figure 9-** The distribution of  $\frac{E_i}{P}$  and  $\frac{E_p}{P}$  with respect to aridity for each model.

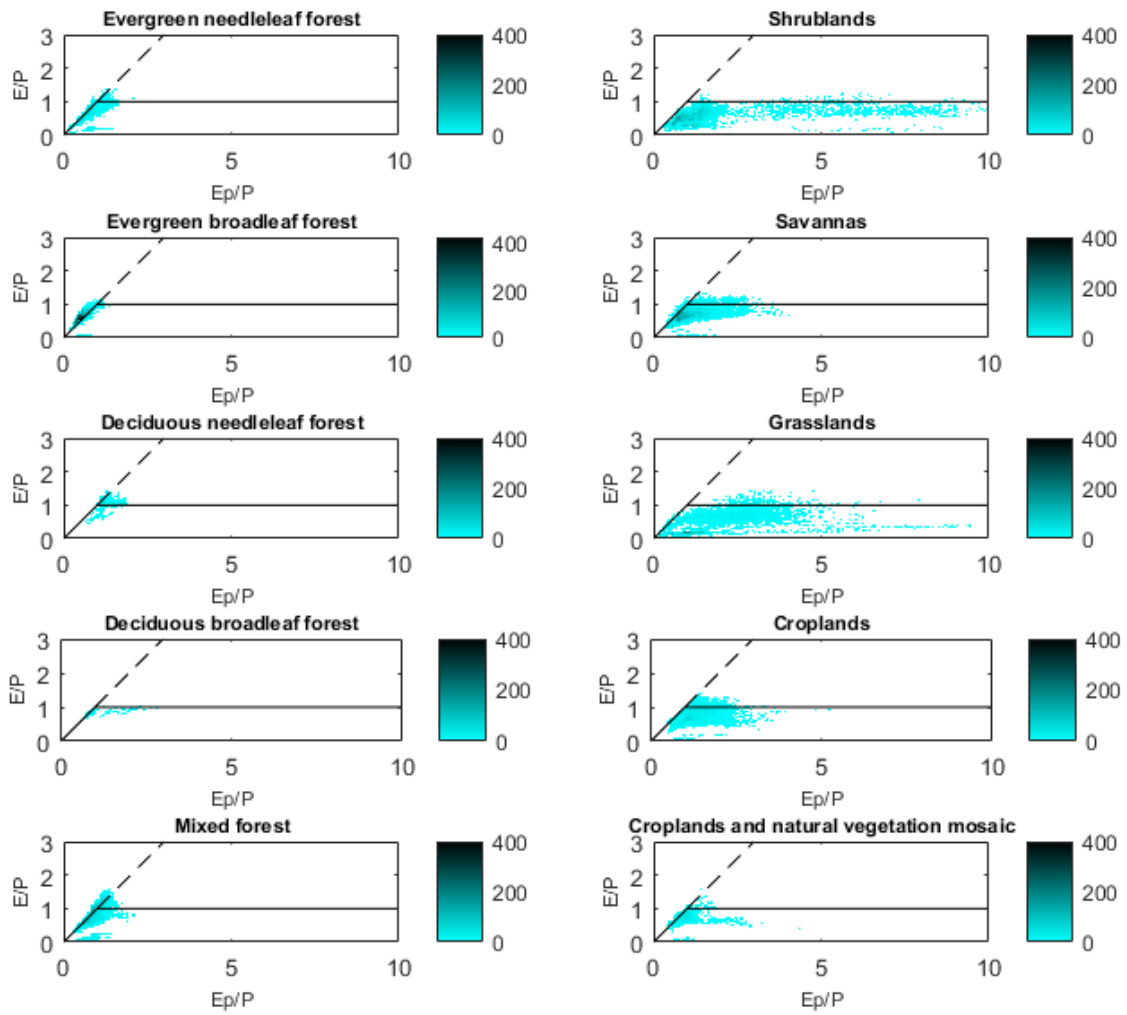
3





1

2 **Figure 10-** Sensitivity analysis of the model to 10% changes in (a) parameter  $a$  in Eq. (18), (b)  
 3 parameter  $b$  in Eq. (8), (c) number of rain days  $n_{r,d}$ , (d) number of rain months  $n_m$ , and (e)  
 4 transpiration threshold  $D_{t,m}$ .



1

2 **Figure 11-** Density plot of  $\frac{E}{P}$  versus  $\frac{E_p}{P}$  for each land cover.