

1 Responses to comments from anonymous referee #1

2 Original comments are in **black**. Our point by point responses are in **blue**. All
3 changes in the context have been highlighted with **yellow** background.

4
5 This paper summarises a wintertime observation-modelling study in a small lake on
6 the Qinghai-Tibet plateau. The thermodynamics of the lake is analysed in an
7 air/ice/water column by using in-situ measurements and thermodynamic model
8 HIGHTSI, earlier applied for several studies on lake and sea ice all over the Northern
9 Hemisphere. Energy balance at the top and bottom of the lake ice shows features
10 typical for the conditions of the "third pole" but unusual elsewhere on the globe.

11 The manuscript presents unique observations and modelling results in the unique
12 environmental conditions of the Qinghai-Tibet plateau. I have enjoyed reading it,
13 meeting a lake whose thermodynamics seem to differ crucially from all other lakes I
14 have met in earlier studies. In my opinion, the manuscript has potential to become an
15 outstanding paper that can point a way to future studies for understanding the impact
16 of changing climate on the cryosphere and its feedbacks to atmosphere over this area
17 of global importance. In the manuscript there is sufficient material, good methods and
18 well posed research questions and the structure of the manuscript is good. However,
19 this rich material deserves better presentation in order to be understood by researcher
20 colleagues and general reader.

21 Several questions arise when reading the paper, concerning not only details but also
22 more general aspects of the impact of lakes on the "third pole". There is both general
23 background information and details about the studied lake but it might be possible to
24 better tie these together at the regional (QTP) level. Unfortunately, it is not always
25 possible to understand what the authors want to say, due to poorly formulated, too
26 general or unfinished statements and problems of English language. The paper should
27 be rewritten in a more focused way by removing material that is not essential to the
28 study.

29 Next, I will present some general comments on how I understood, based on your
30 manuscript, the unique properties that determine the lake thermodynamics and mass
31 balance over the plateau and in the studied lake. More specific comments are written
32 into the manuscript pdf using Adobe reader. I also hope the authors will have a
33 possibility to request linguistic support in order to improve the English in the paper.

34 **Thank you for the comments. We have responded your general and point by point**
35 **comments below.**

36 **During the revision, we removed the dispensable materials and statements, reformulated**
37 **the manuscript structure, and improved the English readability by a native speaker. All parts**
38 **are now bound up with the key issues of the manuscript.**

39 ———
40 General comments or how I understood the unique properties that influence the lake
41 thermodynamics and mass balance

42 A small lake with the surface area of 1.5 hectares, shallow with mean depth of 2.5m at
43 high altitude of ca. 4000m. At the bottom: talik and permafrost.

44 Strong, gusty wind prevails during winter. Clear sky conditions, strong solar radiation
45 with the daily maxima up to 1140 Wm⁻². Strong LW cooling to space at the surface.
46 In the air, small humidity on average 34%. Yearly precipitation is 353 mm but
47 potential evaporation is 1613 mm. No rivers flow to/from the lake. Subsurface
48 inflow/outflow?

49 Sublimation of lake ice - up to 40 % of lake ice disappears to air during winter. Small
50 ice surface melting in spring. Melting at the ice bottom due to penetrating solar
51 radiation.

52 Possibly falling snow is blown away from ice surface. Dust gathers on ice in the end
53 of winter. These lead to 1) smaller albedo 2) no thermal insulation by snow.

54 Penetration of SW radiation into the (transparent?) ice and water below, absorption in
55 ice and water. Melting of ice from bottom in interior ice layers. Convection under ice.
56 Diurnal cycles of freezing and melting, ice temperatures.

57 ———

58 Did I understand correctly the main features? Would you consider developing this
59 kind of summary a bit further, perhaps presenting a comparison (Table, Figure?) with
60 an Arctic lake you have been studying earlier? This would illustrate the unique nature
61 of lakes in your study area and perhaps highlight open questions that call for further
62 research. Such a comparison might suit the concluding section. Also it would be
63 interesting see comments on what is required from a model to correctly simulate lake
64 thermodynamics in these conditions. HIGHTSI works but would for example the bulk
65 lake model FLake be able to simulate the QTP lakes?

66 Yes, the main features you summarized above are correct. We have incorporated
67 such kind of a summary in the manuscript.

68 We have added in the conclusion section comparisons between the shallow QTP
69 lake and a lake in the high Arctic (Oraj äarvi) to emphasize the uniqueness of QTP
70 shallow lakes and their climate characteristics (Table R1).

71 From a modeling perspective, the radiation reflection, absorption, and transmission
72 should be constrained accurately since (1) extremely low surface albedo was reported
73 by Li et al. (2018, J Glaciol) in large deep QTP lakes, and it needs confirmation in
74 small shallow lakes; (2) the impact of deposited fine sand/dust on ice surface albedo
75 should be evaluated properly; (3) the penetration of solar radiation is believed to
76 strongly affect the water-to-ice heat flux (F_w) that controls the basal growth and melt
77 of ice. Schemes and parameterizations of solar radiation in ice (albedo, extinction, and
78 transmission) need further validation using in situ investigations. Furthermore, F_w
79 needs more careful treatment, and is a time dependent variable in these lakes. Using a
80 constant F_w (e.g. in FLake) does not give reasonable results compared with
81 observations.

82 We did not conduct experiments using FLake model, but we think the
83 above-mentioned concerns should also be taken in mind since HIGHTSI and FLake
84 use similar key formulations for simulation of ice thickness. Particularly, new
85 schemes for F_w should be proposed regarding the under-ice radiation and
86 hydro-thermodynamic processes. These call for many more field observations and
87 modeling efforts.

88

89 Table R1 Comparisons of lake and meteorological features between Lake BLH-A and an Arctic
90 lake (Lake Orajärvi)

Items	Lake BLH-A	Lake Orajärvi**
Surface area	0.015 km ²	11 km ²
Mean depth	2.5 m	4.4 m
Altitude	4600 m	182 m
Annual precipitation	353 mm	500 mm
Annual evaporation	1613 mm	450 mm (Venäläinen et al., 2005)
Air temperature*	-10.6 °C	-9~-10 °C
Wind speed*	6.5 m/s	2.3 m/s
Relative humidity*	34%	85%~87%
Short-wave radiation*	390 W/m ²	41-46 W/m ²
Long-wave radiation*	180 W/m ²	240-260 W/m ²
Net long-wave radiation*	-89 W/m ²	-19~-27 W/m ²
Snow cover	Negligible (light dust)	Up to over 30 cm
Ice surface sublimation	30 cm	Negligible
Ice structure	Congelation ice	Snow-ice + superimposed ice + congelation ice

91 *averaged over the entire ice season

92 **data during winters of 2010-2012 were used for statistics

93

94 According to the Global Surface Water Explorer, global-surface-water.appspot.com,
95 during the last decades there is a tendency to new permanent and seasonal small lakes
96 and ponds to appear, not disappear, over the plateau. In their maps, Lake BLH-A has
97 got permanent, new permanent and new seasonal pixels. How do you explain the
98 dynamics of your lake (over the whole year, not only in winter conditions that you
99 discuss here), that evidently loses yearly a significant amount of water by
100 evaporation/sublimation but still stays well alive? Large-scale permafrost melting,
101 something else? Would be interesting to discuss the related aspects from the point of
102 view of the possible impact of the (new) small lakes in the weather and climate of
103 QTB and connections to even larger areas. Anyway, the area and mass of water in the
104 lakes and ponds is currently relatively small?

105 Actually, we do not know very well the hydrology or water balance of Lake
106 BLH-A but we believe it is permanent and its age is around 900 years according to
107 environmental isotopic dating of its sediment core (Niu et al., 2011, Geomorphology).
108 It holds water all year around and its surface area shows an increasing trend and many
109 new lakes have appeared in the Beiluhe Basin during recent decades on the basis of
110 Google Earth images and SPOT data (e.g. Luo et al., 2015, Sci Bull.).

111 Additionally, a very minor gully flows into Lake BLH-A during summertime

112 without outflow, and there is no surface inflow/outflow during the freezing period.
113 Although P-E-WSD (annual precipitation–evaporation–wintertime subsurface
114 discharge) is negative, this can be compensated by the supra-permafrost inflows and
115 slope runoff (confluence) during thaw seasons (especially summer) resulting from
116 precipitation, glacier melting, and underground ice melting (Pan et al., 2017, J Hydrol;
117 also Lei et al., 2017, GRL; Zhang et al., 2014, Sci Bull.). According to field
118 investigations by Pan et al (2017) in similar thermokarst lakes, a large portion of lake
119 water storage change is because of the supra-permafrost discharge resulting from
120 precipitation, and the recent lake expansion is linked with increasing supra-permafrost
121 discharge especially with an increasing trend in precipitation in QTP.

122 More results are coming since our group is currently planning and conducting field
123 campaigns on lake water balance dynamics in Beiluhe Basin.

124 In section 4.3, we have added a general statement on the physics governing the lake
125 water balance through a hydrological year. But the thorough discussion above is not
126 necessary since we focus on lake ice thermodynamics.

127 Actually, the area and mass of water in these very small shallow lakes and ponds
128 are currently relatively small, but the number of small lakes and their total shoreline
129 length, which are of importance for lake environment, ecology and benthic
130 community, account for >90% of those of total lakes over QTP. Due to climate
131 warming, permafrost and glacier melting and rising precipitation result in generation
132 of many more new small shallow (thermokarst) lakes, especially in continuous
133 permafrost regions. Individual lakes and lake networks in turn accelerate the
134 surrounding permafrost degradation through lateral heat erosion, and also alter the
135 hydrological processes and patterns in permafrost regions.

136 Lake ice phenology/thickness is demonstrated to be the principal driver of
137 ecological change in Arctic lakes and ponds (Griffiths et al., 2017, PLOS One), and is
138 also expected to have an impact on the duration of the lake bank lateral collapse.
139 Remote sensing products have shown significant spatial variability of lake ice
140 phenology evolution (of climatological importance) over QTP (Kropáček et al., 2013,
141 TC). Using the HIGHTSI model, lake ice evolution and lake water loss by
142 sublimation can be estimated over QTP. Moreover, our study discusses the wintertime
143 lake-atmosphere heat and moisture exchanges that are not well known up to now due
144 to scarce field observations. In the future, schemes for freeze-up and break-up dates
145 can be incorporated to HIGHTSI. After a solid validation, a deep insight into
146 atmosphere-ice-water heat and mass balance over QTP can be achieved.

147
148 Specific comments — written into the manuscript pdf.

149 L20: Rephrased to “The growth and decay at the ice bottom dominated the seasonal
150 evolution of the lake ice.”

151 L21: deleted.

152 L22-23: Rephrased to “Simulation results matched the observations well with respect
153 to ice mass balance components, ice thickness, and ice temperature.”

154 L24: “freezing air temperature” → “negative air temperature”.

155 L31-33: deleted. But L21-22 have been rephrased to “Basal growth and melt

156 dominated the seasonal evolution of lake ice, but also surface sublimation was crucial
157 for ice loss, accounting for up to 40% of the maximum ice thickness. Sublimation was
158 also responsible for 41% of the lake water loss during the ice-covered period.”
159 L36: “freezing climate”→ “cold climate”.
160 L37: rephrased to “It owns thousands of lakes covering a total area of approximately
161 40,700 km² (1.4% of the QTP area) and accounting for about 50% of lakes located in
162 China (Zhang et al., 2014).”
163 L47: We meant that the number and total area of QTP lakes show annual variation. To
164 be clearer, we have rephrased it to “The number and surface area of the lakes varies
165 inter-annually”
166 L51-52: deleted (including the cited reference Yang et al. 2015).
167 L59-60: deleted.
168 L62-65:
169 Q: Rephrase (something about the need of modelling to understand observations?) or skip.
170 Remote sensing observations are clearly out of context
171 A: Rephrased to “Because of sparse field observations, there is an increasing need of
172 models and parameterizations to better understand the lake-air interaction and lake
173 thermal regime (Kirillin et al., 2017; Wang et al., 2015; Wen et al., 2016).”
174 L72: delete “Nevertheless”.
175 L75: delete “of years”.
176 L76-77:
177 Q: please specify “High-resolution remote sensing techniques and products were
178 deployed tentatively to ...”
179 A: Further, moderate- to high-resolution remote sensing techniques and products,
180 such as MODIS and ENVISAT-ASAR, have been found to be promising and
181 convenient tools for large-scale QTP lake ice research (Kropáček et al., 2013; Tian et
182 al., 2015).
183 L81: We have added the reference “Yang et al. 2013”.
184 L82-85:
185 Q: Good! Please check that your conclusions tell about reaching these objectives.
186 A: Done, we have revised the conclusions to state reaching these goals.
187 L102: Q: At which altitude is this lake located?
188 A: The lake is 4,600 m above the sea level. We indicated it in the updated version.
189 L106: Yes, it is a unit of concentration. The TDS (total dissolved solids) is 1.30 g L⁻¹,
190 corresponding to 1.30 kg m⁻³.
191 L109: Q: please specify on “its disturbance to surrounding frozen ground”
192 A: heat intrusion from the lake water to surrounding permafrost.
193 L115-123:
194 Q: Are all your measurements listed here: T_ice, T_water, T_sediment, T_air, D_f D_b
195 H_b, H_s. Are there temperature profiles or 4 values?
196 A: Yes, there are temperature profiles for T_ice, T_water, T_sediment, and T_air, etc.
197 We have added these nomenclatures to the corresponding observed variables.
198 L121-123:
199 Q: Perhaps this is explained in Huang et al. 2016 but might be good to tell here a bit more

200 details about the temperature and position measurements. How do you obtain the vertical
201 positions - measure everything starting from the bottom perhaps?
202 A: We added new text reading: “a floater was designed and deployed onto the water
203 surface. A thermistor cable was fixed to the floater to measure the ice-water
204 temperature at 5 cm intervals. An upward looking ultrasonic sensor was also fixed to
205 the floater and positioned at 100 cm depth to monitor the depth of the ice-water
206 interface. A downward looking ultrasonic sensor was fixed to a steel pipe, which had
207 been inserted into the lake sediment by ~60 m, to monitor the position/depth of ice
208 surface.”
209 For a better clarity and illustration, we added also a sub-figure describing the
210 instrumentation in Figure 1.
211 L136: Q: What means dry here? Without snow/melt water/...?
212 A: Yes, it is, without snow and melt-water, we modified the text accordingly.
213 L139: Added.
214 L183-184: Q: Is this an average between sunrise and sunset, or 24h?
215 A: It is an average over the whole day (24 h).
216 L185: Q: Local time? What about 7-8, 19-20?
217 A: Corrected by “during daytime (8:00-19:00), and nighttime (19:00-8:00)”.
218 L202: Changed to “Huang et al., 2019”. And this reference was added to the reference
219 list.
220 L205: were → are.
221 L213: Q: Your components are 4: surface ice melting and sublimation, bottom freezing
222 and melting?
223 A: Yes, we updated the sentence. The bottom freezing and melting contribute to the
224 bottom evolution.
225 L221: Yes, it is the modeled ice bottom depth.
226 L228: Yes, it is local time.
227 Table 2:
228 Q: Table 2 shows model-observation validation for ice surface and bottom heights and
229 their difference in cm? Does the total mass balance mean ice thickness here? Otherwise it
230 is not easy to understand a balance in cm. Please use consistent names.
231 A: Yes, it is quantified in unit [cm]. The total mass balance means the ice thickness.
232 In order to be easy to understand, we used surface height, bottom height, and the ice
233 thickness in the revised version.
234 L240: rephrased to “when it revealed some cycles of daytime-melting and
235 nighttime-freezing at the surface.”
236 L263: rephrased to “. Therefore, it was divided into three parts: ...”
237 L267: added.
238 L274: corrected.
239 L275: Q: Ice surface to atmosphere? Atmospheric surface layer?
240 A: No, it is a thin ice layer below ice skin surface.
241 L278: added.
242 L281: For the surface heat balancing → According to the surface heat balance
243 L282: corrected.

244 L287: internal melting in way of gas pore expansion → interior melt in a manner of
245 gas pore expansion
246 L297: added.
247 L299: have been carrying out → have been performed
248 L300: deleted.
249 L303: added.
250 L313: differ from → different from
251 L314: was → is
252 L323: of → to be
253 L329-331: deleted.
254 L348: rephrased to “Diurnal changes in turbulent heat fluxes, however, are large and
255 commonly seen in high latitude and high altitude lakes”.
256 L351: are → were
257 L352-354: rephrased to “At seasonal scale, the Q_h and Q_{le} over lake ice are
258 approximately 40%-60% lower than values during ice-free seasons, demonstrating the
259 role of ice as an insulator.”.
260 L377-383:
261 Q: You might compare this to what happens during the ice-free period that might indicate
262 why the lake is still there. You probably have such measurements available, published
263 earlier?
264 A: Actually, the lake level decreases continuously (totally by ~ 0.5 m) from Aug/Sept
265 to May/Jun of the next year (including the ice-covered seasons) due to subsurface
266 seepage, evaporation, and ice sublimation, and increases rapidly (totally by ~ 0.5 m)
267 due to heavy precipitation and melting glaciers (induced surface and supra-permafrost
268 recharge) during warm seasons (Jul. to Aug.) (Lin et al., 2017; Pan et al., 2017).
269 Consequently, over the entire hydrological year, the lake water loss through
270 surface/sub-surface flows and evaporation/sublimation (during ice-covered period)
271 can be roughly compensated/offset by the precipitation and subsurface/surface inflow
272 during warm seasons. Therefore, these shallow lakes can be still there.
273 Since the water balance during ice-free seasons is somehow out of context, we have
274 added only brief info to the updated manuscript as well as some new citations.
275 L380: Q: please remind the reader what is talik
276 A: It is a layer of year-round unfrozen ground. We added in the revised manuscript.
277 L384: 5. Summary and conclusion
278 Q: This is a good summary of your findings. However, discussion of more general aspects
279 of the impact of lakes on QTP in the conditions of changing climate could be added, also an
280 outlook to further studies might be given. Please consider the idea given in my general
281 comments to summarise and compare the unique features of your lake v.s. another, e.g.
282 Arctic lake using a figure or table.
283 A: We have added comparison with other lake, and general characteristic of this
284 QTP lake based on your summary and suggestions. The new text reads: “Comparisons
285 with an Arctic lake revealed the uniqueness of QTP lakes especially with respect to
286 the atmospheric forcing, lake geometry, ice cover (free of snow), and under-ice
287 hydro-thermodynamics (Table 4). These features challenge the existing lake ice

288 models that are mainly developed for Arctic and temperate regions. However, present
289 modeling experiments indicated that HIGHTSI could yield reasonable results in terms
290 of the surface and bottom freezing/ablation and ice thermodynamics.”

291 And we also indicated our future work regarding estimation of wintertime lake
292 sublimation over-QTP, investigating physics governing under-ice heat flux, and light
293 transfer within air-ice-water column, etc. These future investigations are vital to
294 understand QTP lake thermodynamics and accurately constrain lake (ice) models, like
295 FLake, HIGHTSI, etc.

296

297 L424: Q: Wouldn't it be possible to measure reflected SW radiation on ice? Perhaps also
298 the other radiative fluxes? If Li et al, 2018 already reports such measurements, perhaps an
299 albedo value could be mentioned here?

300 A: In fact, we carried out TriOS spectro-radiometers measurement during 2012-2013
301 season in the lake but unfortunately without a major success due to instrumental
302 malfunction. Only 10-hours daytime incident and reflected SW radiative fluxes were
303 obtained and a surface albedo of about 0.6-0.65 was derived (ice thickness= 45 cm,
304 air temperature= -3.4 °C). This observed value agreed well with parameterized albedo
305 in HIGHTSI when modelled ice was around 45 cm. The albedo measurements by Li
306 et al (2018) are from other large and deep lakes in QTP. The unprecedentedly small
307 surface albedo was around 0.2 when ice was 60 cm. For our lake due to the impact of
308 ice texture (gas bubbles), color, deposited sand, the surface albedo is higher than 0.2,
309 but when ice was thinner than 10 cm, the parameterized surface albedo was around
310 0.2. We have modified the text and added the albedo values, accordingly.

311 L437: meteorology → meteorological forcing

312 Table 2: Corrected.

313 Figure 1:

314 Q: Please consider to show, as in the presentation at Lake17 workshop, a satellite map
315 where the lake is seen. More interestingly, please explain what is seen in the photo on the
316 lake ice - looks like deposition ice but perhaps it is something else?

317 A: The map is updated accordingly.

318 Figure 2: Q: Here and in the following figures: would it be possible to replace the julian
319 days with year/month/day format?

320 A: Done.

321 Figure 5: Corrected.

322 Figure 7: Corrected.

323 Figure 8: Corrected.

324 Figure 11: Corrected.

325 Figure 12: Corrected.

326

327

328 You may have forgotten one reference to your own studies, possibly relevant for this
329 manuscript:

330 Yang, Y., Cheng, B., Kourzeneva, E., Semmler, T., Rontu, L., Leppäranta, M.,

331 Shirasawa, K. & Li, Z. J. 2013: Modelling experiments on air–snow–ice interactions

332 over Kilpisjärvi, a lake in northern Finland. *Boreal Env. Res.* 18: 341–358.

333 Added.

334

335

336 **Other changes**

337 (1) We improved the English readability by a native speaker, many definite/indefinite
338 articles, singular/plural nouns, simple phrases, and sentence patterns have been
339 modified, but are not shown in this response letter.

340 (2) Julian dates have been removed and replaced by calendar in the text and in all
341 figures.

342 (3) All “2010/2011” have been reformed to “2010–2011”.

343 (4) Lines 98-101 (and references therein) were removed since it is not essential to the
344 topics.

345 (5) Line 105: delete “with a stable water level through the year”, and “fresh” →
346 brackish”.

347 (6) Line 106-107: delete “Submerged plants grow abundantly in the lake sediment
348 throughout the year.”, since it is not essential to the topics.

349

350

351 **References listed in the above response:**

352 Griffiths, K., Michelutti, N., Sugar, M., Douglas, M. S. V., Smol, J. P., 2017. Ice-cover is the
353 principal driver of ecological change in High Arctic lakes and ponds. *PLoS ONE*, 12(3):
354 e0172989, doi:10.1371/journal.pone.0172989.

355 Kirillin, G., Wen, L., and Shatwell, T.: Seasonal thermal regime and climatic trends in lakes of the
356 Tibetan Highlands, *Hydrol. Earth Syst. Sci.*, 21, 1895-1909, 2017.

357 Kropáček, J., Maussion, F., Chen, F., Hoerz, S., and Hochschild, V.: Analysis of ice phenology of
358 lakes on the Tibetan Plateau from MODIS data, *The Cryosphere*, 7, 287-301, 2013.

359 Lei, Y., Yao, T., Yang, K., Sheng, Y., Kleinherenbrink, M., Yi, S., Bird, B. W., Zhang, X., Zhu, L.,
360 and Zhang, G.: Lake seasonality across the Tibet Plateau and their varying relationship with
361 regional mass balance and local hydrology, *Geophys. Res. Lett.*, 44, 892-900, doi:
362 10.1002/2016GL072062.

363 Li, Z., Ao, Y., Lyu, S., Lang, J., Wen, L., Stepanenko, V., Meng, X., and Zhao, L.: Investigations of
364 the ice surface albedo in the Tibetan Plateau lakes based on the field observation and MODIS
365 products, *J. Glaciol.*, doi: 10.1017/jog.2018.35, 2018.

366 Luo, J., Niu, F., Lin, Z., Liu, M., and Yin, M.: Thermokarst lake changes between 1969 and 2010
367 in the Beilu River Basin, Qinghai-Tibet Plateau, *Chinese Sci. Bull.*, 60(5), 556-564, 2015.

368 Pan, X., Yu, Q., You, Y., Chun, K. P., Shi, X., and Li, Y.: Contribution of supra-permafrost
369 discharge to thermokarst lake water balances on the northeastern Qinghai-Tibet Plateau, *J.*
370 *Hydrol.*, 555, 621-630, 2017.

371 Tian, B., Li, Z., Engram, M. J., Niu, F., Tang, P., Zou, P., and Xu, J.: Characterizing C-band
372 backscattering from thermokarst lake ice on the Qinghai-Tibet Plateau, *ISPRS J. Photogramm.*
373 *Remote Sens.*, 104, 63-76, 2015.

374 Venäjänen A, Tuomenvirta H, Pirinen P, Drebs A. 2005. A Basic Finnish Climate Data Set
375 1961-2000 – Description and Illustrations. Finnish Meteorological Institute Reports 5.

376 Wang, B., Ma, Y., Chen, X., Ma, W., Su, Z., and Menenti, M.: Observation and simulation of
377 lake-air heat and water transfer processes in a high-altitude shallow lake on the Tibetan Plateau,
378 *J. Geophys. Res.*, 120, 12327-12344, 2015.
379 Wen, L., Lyu, S., Kirillin, G., Li, Z., and Zhao, L.: Air-lake boundary layer and performance of a
380 simple lake parameterization scheme over the Tibetan highlands, *Tellus A*, 68, 31091,
381 doi:10.3402/tellusa.v68.31091, 2016.
382 Zhang, G., Yao, T., Xie, H., Zhang, K., and Zhu, F.: Lakes' state and abundance across the Tibetan
383 Plateau, *Chinese Sci. Bull.*, 59(24), 3010-3021, 2014.
384

385 Responses to comments from anonymous referee #2

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387 changes in the context have been highlighted with **yellow** background.

388
389 The paper focused on seasonal lake ice mass and energy balance over a small lake in
390 Tibetan Plateau with thousands of lakes. Owing to the harsh environment, the Qinghai
391 Tibetan lakes are very sparsely covered by in situ measurements and little studied.
392 With a case study observations and numerical simulation, the manuscript showed the
393 interesting and useful information about the lake ice thermodynamics and heat and
394 mass balance during ice-covered season in the Qinghai Tibetan Plateau, but the work
395 and the manuscript should be checked carefully. Therefore, in my opinion, it should
396 be published after moderate revisions. Specific comments as follows:

397
398 L15-16 What's the relationship between ice-covered lakes in the plateau and monsoon
399 systems in winter?

400 **Actually, here we meant that the lake-rich QTP, rather than lakes in QTP, has**
401 **impacts on monsoon systems.**

402 **Previous studies have indicated that QTP contains the freshwater resources for 2/3**
403 **of the population in Asia, and QTP can affect the Asian monsoon system through the**
404 **exchange of heat and moisture between the Earth surface (including lakes) and**
405 **atmosphere, driven by the large scale atmospheric circulations (e.g. Li et al., 2016, *J.***
406 ***Hydrol.*).**

407 **In general, water and moisture transport and their interactions with QTP land**
408 **topography under the influence of strong ABL circulation are the driving force of QTP**
409 **on Asian monsoon system. In winter, QTP is cold so the water and moisture**
410 **transportation is much reduced, instead evaporation and sublimation from ice-covered**
411 **lakes has been observed (Huang et al., 2016; Li et al., 2016 *JGR*), but the linkage**
412 **between ice-covered lakes in the plateau and monsoon systems in winter remains**
413 **unclear and still need to be investigated.**

414 L24-25 The author draws the conclusion that strong solar radiation, consistent
415 freezing air temperature, and low air moisture were the major driving forces
416 controlling the seasonal ice mass balance. The wind is quite strong including frequent
417 gusts. It is quite important to the calculation of latent heat flux. How about wind
418 effects on the seasonal ice mass balance?

419
420 **Prevailing strong wind is vital factor influencing the ice mass balance because it**
421 **increases the turbulent heat exchange between ice surface and near-surface**
422 **atmosphere and contributes to ice surface ablation. The effect of wind on seasonal ice**
423 **mass balance has been investigated before (e.g. Huang et al., 2016, *Ann. Glaciol.*)**
424 **through sensitivity tests. We have concluded that both sensible and latent heat fluxes**
425 **are crucial components for heat budgets for ice cover. We have added following text**
426 **in the revised manuscript: "Strong solar radiation, consistent negative air temperature,**
427 **low air moisture, and prevailing strong winds were the major driving forces**

428 controlling the seasonal ice mass balance.”

429

430 L32 Ice surface sublimation could account 41% of lake water loss in ice season. Can
431 we know its contribution in the annual water balance?

432

433 Yes, we can make the calculation. The inter-annual water balances of small lakes
434 have been investigated before. According to multiyear investigations on lake water
435 level in nearby two similar small lakes (Pan et al., 2017, *J. Hydrol.*), the water level of
436 those small lakes decreases continuously from September to the June of the following
437 year with a magnitude of about 0.5 m. The water loss includes subsurface seepage,
438 evaporation, and sublimation of ice. During rest of the year: July-August, the lake
439 water level increases rapidly due to surface and supra-permafrost recharge induced by
440 heavy precipitation and runoff of melting glaciers with a total water level increase of
441 about 0.5 m (Lin et al., 2017; Pan et al., 2017). So the annual water balances of
442 those lakes remain stable. According to Pan et al.,(2017), the annual lake water loss
443 (~ 620 mm) consists of evaporation during ice-free seasons (~340 mm, 55%), ice
444 sublimation during ice-covered seasons (260 mm, 42%), and lateral seepage (20 mm,
445 3%) with negligible vertical seepage.

446 The contribution of ice surface sublimation on annual water balance is added in the
447 revised manuscript section 4.3. The new text reads:

448 “The surface evaporation/sublimation hence accounts for 41% of lake water loss
449 during the ice-covered period and for 42% of annual water loss (Pan et al., 2017).”

450

451 L94-95 “During years 2004-2014, the mean annual air and ground temperatures
452 varied from -2.9 °C to -4.1 °C and from -1.8 °C to -0.5 °C, respectively”. How could
453 ground temperature increase 1.3 °C and mean annual air temperature decrease 1.2 °C
454 during 11 years? And the warming rate is quite fast.

455

456 The original description was incorrect due to poor language formulation. The
457 corrected description reads: “During years 2004-2014, the annual mean air
458 temperature ranged between -4.1 °C and -2.9 °C, and the annual mean ground
459 temperature between -1.8 °C and -0.5 °C”.

460

461 L96-97 “precipitation ranged from 229 to 467 mm (average: 353 mm), while the
462 annual mean potential evaporation ranged from 1588 to 1626 mm ” VS L103 “The
463 lake is perennially closed without rivers or streams flowing into and out of it.” How
464 did the lake survive with huge vaporation and less precipitation? How did the lake
465 area and level respond to the rapid increase of precipitation?

466

467 Yes, a very minor gully flows (negligible recharge) into Lake BLH-A during
468 summertime without outflow, and there is no surface inflow/outflow during freezing
469 period. Although P-E-WSD (annual precipitation–evaporation–wintertime subsurface
470 discharge) is negative, this can be compensated by the supra-permafrost inflows and
471 slope runoff (confluence) during thaw seasons (especially summer) resulted from

472 precipitation and glacier melting (Pan et al., 2017, J Hydrol; Lei et al., 2017, GRL).
473 According to Pan et al (2017) field investigations in similar thermokarst lakes, a large
474 portion of lake water storage change is because of the supra-permafrost discharge
475 resulting from precipitation. The annual total loss can be roughly offset by the total
476 recharge, therefore, the lake survives and stabilizes.

477

478 L110 What's the effects of plenty of gas bubbles on albedo as showed in Figure 1?
479 Does it include in the simulation?

480

481 In general gas bubbles affect the ice interior texture, reflection and back-scattering
482 of the light as well as roughness of ice-water interface. We would need to have
483 incoming and reflected spectral solar radiative fluxes measurement to identify the
484 impact of gas bubbles on surface albedo, which we were not able to carry out during
485 2010/2011 field campaign. We are not aware of an albedo parameterization that takes
486 into account the effect of gas bubbles on ice surface. In other respects, the albedo
487 scheme we applied is a sophisticated one, taking into account temperature, snow and
488 ice thickness, solar zenith angle, and atmospheric properties, and distinguishing
489 between visible and
490 near-infrared albedos values (Briegleb et al., 2004).

491

492 L128 May I think the other two years' experiments failed? Why?

493

494 The other two years (ice seasons) mainly suffered, from time to time, the
495 instrumental malfunction. Therefore, we focus on modelling experiments only for
496 2010/2011 season.

497

498 L142 The incident longwave radiation Q_l is missed in equation (1), and the direction
499 of the upward longwave radiation should be wrong. In this case, how about the
500 simulated results?

501

502 The equation has been corrected accordingly.

503 The observed average down-welling Q_l was 177 W m^{-2} during daytime (8:00-19:00,
504 local time) and 180 W m^{-2} during nighttime (19:00-8:00), respectively. Table 3 gave
505 the monthly mean net long-wave radiative flux (i.e. $Q_l - \epsilon\sigma T^4$).

506

507 L162 The bulk transfer coefficient for water vapor is vital for Q_{le} calculation. It varies
508 with wind, stability and the type of landscape. How does it set in the calculation?

509

510 The effect of roughness lengths for momentum and heat/moisture transfer as well
511 as the effects of ABL stratification were taken into account in the calculation of the
512 turbulent surface fluxes of heat and moisture based on the Monin-Obukhov similarity
513 theory (Launiainen, 1995; Launiainen and Cheng, 1995; Launiainen and Cheng, 1998).
514 Same approach has been applied to estimate sensible and latent heat fluxes between
515 lake and atmosphere in large QTP lakes (Wang et al., 2015 *JGR*; Li et al., 2016 *Theor*

516 *Appl Climatol*).

517

518 L178 Undoubtedly, the air temperature between the two sites are highly correlated.
519 What's difference between them? Could air temperature over lake surface show the
520 lake characterises?

521

522 Yes, the air temperatures between the two sites are highly correlated. The average
523 difference is about 0.3 °C. We added this number in the revised manuscript.

524 The air temperature over a lake surface has a strong linkage associated with freeze
525 up and breakup of the lake ice due to its strong cooling and warm effect.

526 We believe the air temperature observed in the floater located near the centre of the
527 lake well represents the general temperature characteristics over the lake. We assume
528 that the air temperature over a lake is not as sensitive as latent/sensible heat and
529 moisture flux to surrounding land surface changes during wind direction changes.

530

531 L264-266 The percentage of solar radiation absorbed by lake surface is main factor to
532 decide the Q_{ss} . Could you show more information about it? How to get the percentage
533 of Q_{si} and Q_{sw} ? Are they fixed?

534

535 In HIGHTSI, very sophisticated schemes were used to quantify the light transfer in
536 air-ice column. Within ice layer, two-layer model were usually used taking into
537 account a thin surface ice layer (coefficient i_0) that is different from the ice layer
538 below with respect to optical properties (Maykut & Perovich, 1987).

539
$$q_i(z, t) = i_0(1 - \alpha_i)Q_s e^{-\kappa_i(z-z_0)}$$

540 where i_0 is defined as the fraction of the wavelength-integrated incident irradiance
541 transmitted through the top layer of the ice, and parameterized as a function of sky
542 conditions (cloud fraction, C) and ice colour (Grenfell & Maykut 1977; Perovich
543 1996). Accordingly, the radiation Q_{ss} absorbed by the surface layer can be calculated.

544 In the below ice layer, simple parameterizations based on the Bouguer-Lambert law
545 are used. And the light extinction coefficient κ_i of ice was usually regarded constant
546 during freezing and melting stages. With integration of q_i over the whole ice depth, we
547 can get the Q_{si} . So the

548 $Q_{sw} = (1 - \alpha)Q_s - Q_{ss} - Q_{si}$ can be obtained.

549 Therefore, coefficients involved in radiative transfer model change through the ice
550 process, so the percentages of the Q_{ss} , Q_{si} , and Q_{sw} were not constant during growth
551 and ablation.

552 The analyses here are meant for the mean values during the modelling period.

553 In this study, the down welling solar radiative (incident solar radiation: Q_s) flux was
554 observed so the measured value has taken into account the cloud effect. The average
555 $Q_s = 181 \text{ W/m}^2$. The average surface albedo was about 0.42, so the net Q_s at ice
556 surface was 106 W/m^2 .

557 The solar radiative flux used for surface heat balance Q_{ss} was $(1 - \alpha)(1 - \gamma)Q_s$ (Eq
558 1). The mean value was 47 W/m^2 .

559 The average solar radiative flux penetrated below ice surface layer was 59W/m^2
560 At ice bottom the penetrating solar radiative flux exponentially decreased to
561 22W/m^2 ,

562 So for ice surface layer $Q_s = 106 - 60 = 46\text{W/m}^2$

563 For the ice layer $Q_{si} = 59 - 22 = 37\text{W/m}^2$

564 At ice bottom $Q_{sw} = 22\text{W/m}^2$

565

566 So the average percentage =

567 $Q_{ss}: 47/106 = 43\%$

568 $Q_{sw}: 36/106 = 35.8\% \approx 36\%$

569 $Q_{si}: 22/106 = 20.75\% \approx 21\%$

570

571 We have added short information on the above description to the *section 2.3*.

572

573 L395 During melting period, the lake water temperature below lake ice will increase
574 fast owing to the strong solar radiation. The absorbed solar radiation by ice and the
575 warm temperature should not be ignored for the ice melting. Will the model simulate
576 the lake water temperature? If yes, how about the precision?

577

578 The absorbed solar radiation by ice and water were not ignored during ice melting.

579 The absorption by ice is used to increase the ice temperature and to generate
580 internal melting, and these processes were included in our simulations.

581 The absorption by under-ice water is used to increase the water temperature and
582 also partly to increase (in turn) the water-to-ice heat flux that mainly caused ice melt
583 from the bottom.

584 Our model does not simulate the water temperature, but rather uses it as a boundary
585 condition.

586

587 L335-L339 According to the manuscript, the monthly mean T_s was consistently lower
588 than the monthly T_a from December through April, while T_s was higher than T_a in
589 November when the ice was rapidly growing, especially when the ice thickness was
590 less than ~ 10 cm. But in Table 3, the direction of Q_h may not match it?

591

592 In this study, the positive flux was defined towards the ice layer. We have clarified
593 this in the revised manuscript.

594

595 L405-407 Make sure if the lake (ice) released heat to the atmosphere through the
596 whole ice-covered season with a consistent stable ABL.

597

598 For most of the time, the ice surface temperature was lower than the near-surface
599 air temperature indicating a stable atmospheric boundary layer (ABL). Therefore, the
600 atmosphere provided heat to the ice cover through a downward turbulent sensible heat
601 flux (positive Q_h in Table 3). However, the total amount of latent heat flux and net
602 long-wave radiative flux (cooling) was large (negative) and exceeded the total amount

603 of net solar radiative flux and sensible heat flux Q_h , resulting in heat loss from the ice
604 surface to the atmosphere. And this heat loss resulted in ice growth.

605

606 L424 and Table 1 Lake ice albedo is very important for the simulated results and it
607 may

608 be very low in the plateau as mentioned by authors. But we couldn't get the specific
609 information about your lake ice albedo. How to treat it in the work?

610 We have applied a sophisticated surface albedo scheme taking into account
611 temperature, snow and ice thickness, solar zenith angle, and atmospheric properties,
612 and distinguishing between visible and near-infrared albedos values (Briegleb et al.,
613 2004). The calculated surface albedo was strongly associated with observed changes
614 in ice thickness, suggesting that the parameterization worked well.

615

616 **Other changes:**

617 (1) We improved the English readability by a native speaker, many definite/indefinite
618 articles, singular/plural nouns, simple phrases, and sentence patterns have been
619 modified, but are not shown in this response letter.

620 (2) Julian dates have been removed and replaced by calendar in the text and in all
621 figures.

622 (3) All "2010/2011" have been reformed to "2010–2011".

623 (4) Lines 98-101 (and references therein) were removed since it is not essential to the
624 topics.

625 (5) Line 105: delete "with a stable water level through the year", and "fresh" →
626 brackish".

627 (6) Line 106-107: delete "Submerged plants grow abundantly in the lake sediment
628 throughout the year.", since it is not essential to the topics.

629

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663

664 **Modeling experiments on seasonal lake ice mass and energy**

665 **balance in Qinghai-Tibet Plateau: A case study**

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677

678 **Abstract.** The lake-rich Qinghai-Tibet Plateau (QTP) has significant impacts on regional and global
679 water cycles and monsoon systems through heat and water vapor exchange. The lake-atmosphere
680 interactions have been quantified over open-water periods, yet little is known about the lake ice
681 thermodynamics and heat and mass balance during the ice-covered season due to a lack of field data. In
682 this study, a high-resolution thermodynamic ice model was applied in experiments of lake ice evolution
683 and energy balance of a shallow lake in QTP. Basal growth and melt dominated the seasonal evolution
684 of lake ice, but also surface sublimation was crucial for ice loss, accounting for up to 40% of the
685 maximum ice thickness. Sublimation was also responsible for 41% of the lake water loss during the
686 ice-covered period. Simulation results matched the observations well with respect to ice mass balance
687 components, ice thickness, and ice temperature. Strong solar radiation, negative air temperature, low air
688 moisture, and prevailing strong winds were the major driving forces controlling the seasonal ice mass
689 balance. The energy balance was estimated at the ice surface and bottom, and within the ice interior and
690 under-ice water. Particularly, almost all heat fluxes showed significant diurnal variations including
691 incoming, absorbed, and penetrated solar radiation, long-wave radiation, turbulent air-ice heat fluxes,
692 and basal ice-water heat fluxes. The calculated ice surface temperature indicated that the atmospheric
693 boundary layer stratification was consistently stable or neutral throughout the ice-covered period. The
694 turbulent air-ice heat fluxes and the net heat gain by the lake were much lower than those during the
695 open-water period.

696 **1. Introduction**

697 The Qinghai-Tibet Plateau (QTP), characterized by a mean altitude of more than 4000 m above sea
698 level (*asl*) and predominated by a freezing climate, is often referred to as the “Third Pole of the Earth”.
699 It harbors thousands of lakes covering a total area of approximately 40,700 km² (1.4% of the QTP area)
700 and occupying approximately 50% of lakes located in China (Zhang et al., 2014). The QTP is also a
701 headwater region of major Asian rivers including Yangtze, Yellow, Yarlung Tsangpo (Brahmaputra),
702 Mekong, Salween, and Indus Rivers (Immerzeel et al., 2010). Due to its unique climatic environment
703 (e.g. low air pressure and humidity, intense solar radiation, prevailing strong winds, widespread

704 permafrost and glaciers, and dense lake/river network), QTP directly affects the regional and global
705 water cycle, monsoon system, and atmospheric circulations (Wu et al., 2015; Li et al., 2016b; Su et al.,
706 2016).

707 The lakes and ponds in QTP play an crucial role in the surface and subsurface hydrological processes
708 (Pan et al., 2014), moisture and heat budgets (Wang et al., 2015; Li et al., 2016a, c; Wen et al., 2016),
709 regional precipitation (Wen et al., 2015), engineering construction (Niu et al., 2011), and gas emission
710 (Wu et al., 2014). **The number and surface area of the lakes varies inter-annually.** The variations of size
711 are probably due to warming and degradation of permafrost affected by the climate warming through,
712 e.g. thermokarst (Niu et al., 2011), glacier retreating (Liao et al., 2013), increase of precipitation (Lei et
713 al., 2013; Zhang et al., 2014) and strong surface evaporation.

714 Despite the harsh climatic conditions and the difficulties in access to these lakes, some field
715 campaigns have been performed during ice-free periods **in recent years.** An unstable or near-neutral
716 atmospheric boundary layer (ABL) prevails over the QTP lake surface in summer (Wang et al., 2015;
717 Li et al., 2015, 2016c). The turbulent heat fluxes show a strong seasonal variation and lag by 2–3
718 months behind net solar radiation (Li et al., 2016a; Wen et al., 2016). Heat flux dynamics over lake
719 surface differ remarkably from those over other land surface types (like dry/wet grassland) (Biermann
720 et al., 2014). However, the thermal regimes of lakes in QTP and their impacts on the atmosphere
721 boundary layer and surrounding permafrost during wintertime (ice-covered season) remain unclear.
722 **Because of sparse field observations, there is an increasing need of models and parameterizations to**
723 **better understand the lake-air interaction and lake thermal regime (Kirillin et al., 2017; Wang et al.,**
724 **2015; Wen et al., 2015).**

725 Generally, the QTP lakes and ponds are ice-covered for 3–7 months, depending on their surface area,
726 altitude, and regional climate (Kropáček et al., 2013). Lake ice thermodynamics (ice thickness and
727 temperature) and phenology (the time of freeze-up and break-up, and the duration of the ice cover) play
728 an important role in lake-air interaction (Li et al., 2016a), lake-effect snowfall (Wright et al., 2013),
729 wintertime lake water quality and ecosystems (Kirillin et al., 2012), gas effluxes (Wu et al., 2014), and
730 on-ice transport and operation. All of these issues highlight the accurate representation of QTP lake ice
731 **processes.** A few investigations on QTP lake ice have been conducted using field measurements and
732 model simulations. Huang et al. (2012, 2013, 2016) reported the ice processes, interior structure, and
733 thermal property in a small shallow thermokarst lake based on their *in situ* **observations, and** provided
734 significant insights into lake ice thermodynamics and its role in local heat and vapor fluxes and lake
735 water budget. **Further, moderate- to high-resolution remote sensing techniques and products, such as**
736 **MODIS and ENVISAT-ASAR, have been found to be promising and convenient tools for large-scale**
737 **QTP lake ice research (Kropáček et al., 2013; Tian et al., 2015).**

738 Thermodynamic modeling is an effective and robust methodology to understand lake ice processes
739 and their relationship with local meteorological and hydrological conditions in polar, boreal and
740 temperate regions (Cheng et al., 2014; Semmler et al., 2012; **Yang et al., 2012 and 2013**).

741 In this study, we perform a modeling case study of a shallow lake located in the central QTP. Our
742 objectives are (1) to identify the major driving forces that control the seasonal ice mass balance in QTP
743 thermokarst lakes; (2) to quantify the components of mass and energy balance from the ice surface to
744 bottom; (3) to estimate the lake-atmosphere heat and water vapor fluxes through the entire ice-covered
745 period. To the best of our knowledge, lake ice thermodynamic modeling in QTP has not been carried
746 out before. We expect our work can provide a basis for further in situ measurements and upscaling of
747 lake ice simulations over the QTP.

748 2. Methodology

749 2.1 Site description

750 The Beiluhe Basin is located in high pluvial and alluvial plain of the central QTP, with an elevation of
751 4500–4600 m *asl* (Fig. 1). The topography is undulating, covered by sparse vegetation and sand dunes.
752 This basin is underlain by continuous permafrost 50–80m thick with the volumetric ice content of 30%–
753 50% (Lin et al., 2017). During years 2004–2014, the annual mean air temperature ranged between
754 $-4.1\text{ }^{\circ}\text{C}$ and $-2.9\text{ }^{\circ}\text{C}$, and the annual mean ground temperature between $-1.8\text{ }^{\circ}\text{C}$ and $-0.5\text{ }^{\circ}\text{C}$ (Lin et al.,
755 2017). The annual mean precipitation ranged from 229 to 467 mm (average: 353 mm), while the annual
756 mean potential evaporation ranged from 1588 to 1626 mm (average: 1613 mm) (Lin et al., 2017). There
757 are more than 1200 lakes and ponds with the surface area larger than 1000 m² in the Beiluhe Basin.
758 Lake depths are typically 0.5–2.5 m and the shapes are elliptical or elongated.

759 Lake BLH-A (unofficial name) is located at 34°49.5'N, 92°55.4'E in Beiluhe Basin 4,600 m above
760 sea level. The lake is perennially closed without rivers or streams flowing into and out of it. The
761 minimum and maximum horizontal dimensions of the lake are 120 m and 150 m, respectively, making
762 a total surface area of about 15,000 m². The maximum depth is 2.5 m. The water is brackish and has a
763 total dissolved solid of 1.30 g L⁻¹. The lake has been investigated using in situ instrumentation and
764 numerical modeling with respect to lake ice physics (Huang et al., 2011, 2016), hydrothermal regime
765 (Lin et al., 2011), bank retrogression (Niu et al., 2011), and heat intrusion from the lake water to
766 surrounding permafrost (Lin et al., 2017). Considering physical properties of lake ice, a large number
767 of gas bubbles have been found from the top layer of the ice cover. The large gas content caused a
768 small bulk ice density (880–910 kg m⁻³) and a small thermal conductivity (1.60–2.10 W m⁻¹ K⁻¹)
769 (Huang et al., 2012, 2013; Shi et al., 2014).

770 2.2 Field observations

771 Field campaigns were conducted in Lake BLH-A through three consecutive winters from 2010–2011 to
772 2012–2013, to record the ice-water-sediment temperatures (T_i , T_w , and T_{sed}), air temperature (T_a), and
773 surface and bottom growth and decay of the ice cover. A floater was designed and deployed onto the
774 water surface (Fig. 1). A thermistor cable was fixed to the floater to measure the ice-water temperature
775 at 5 cm interval. An upward-looking ultrasonic sensor was also fixed to the floater and positioned at
776 100 cm depth to monitor depth of the ice-water interface. A downward-looking ultrasonic sensor was
777 fixed to a steel pipe, which had been inserted into the lake sediment by ~60 m, to monitor the
778 position/depth of ice surface. All measures were recorded every 30 min through the whole ice season.
779 The data yielded the following information: the dates of freeze-up and break-up (D_f and D_b) and time
780 series of the vertical positions of (a) the ice-water interface (H_b), representing the basal melt or growth,
781 and (b) the air-ice interface (H_s), representing the surface sublimation or/and melting. Hence, the
782 evolution of ice thickness ($H = H_b - H_s$) was detected. For detailed information on instrumentation, see
783 Huang et al. (2016).

784 The Beiluhe weather station (BWS), located 800 m southeast from the lake, monitored the air
785 temperature (T_a), air relative humidity (Rh), atmospheric pressure (P_a), water vapor pressure in the air
786 (e_a), wind speed (V_a) and direction, incident short- and long-wave irradiance (Q_l and Q_s) at 2 m and 10
787 m above the ground surface, and accumulated precipitation (water equivalent, $Prec$). In this paper we
788 focus on the ice season of 2010–2011, when the observed datasets have the highest quality and least

789 missing values. Furthermore, the data reveal a typical seasonal cycle of the lake ice phenology (Fig. 2).

790 In early freezing season in late October, a thin ice layer typically formed at nights and melted during
791 daytime. Finally, a stable ice cover formed in early November (freeze-up). A strong surface sublimation
792 process at the ice-air interface was observed through the whole ice season, reducing the total ice
793 thickness congealed from the ice-water interface. The absolute thickness reached its maximum (~ 60
794 cm) in early February. On the basis of our field visits during freezing (early December) and melting
795 (late March) stages and the constant low temperature and strong wind through the ice season, we
796 concluded that the bare ice surface was most probably persistently **dry without melt water** throughout
797 the 2010–2011 ice season.

798 2.3 Thermodynamic snow and ice model

799 A well calibrated (Launiainen and Cheng, 1998, Vihma et al., 2002) and widely used (Cheng et al.,
800 2006, 2008; Semmler et al., 2012; Yang et al., **2012 and 2013**; Cheng et al., 2014) thermodynamic
801 snow and ice model (HIGHTSI) is applied in this study to investigate Lake BLH surface energy and ice
802 mass balances. The surface heat balance reads:

$$803 \quad (1 - \alpha)(1 - \gamma)Q_s + Q_l + \varepsilon\sigma T_s^4 + Q_{le} + Q_h + Q_p + F_c = F_m \quad (1)$$

804 where Q_s and Q_l is the incident shortwave and longwave radiation, respectively, α is the surface albedo,
805 γ is the fraction of solar radiation penetrating the surface, ε is the thermal emissivity of the surface
806 (ice/snow), σ is the Stefan-Boltzmann constant, T_s is the surface temperature, Q_{le} and Q_h are the latent
807 and sensible heat fluxes, Q_p is the heat flux from precipitation, which can be neglected in QTP during
808 wintertime, F_c is the conductive heat flux in the ice at the surface, and F_m is the surface heat balance.

809 **Heat flux towards the ice surface was defined positive.** Surface melting is accordingly calculated as:

$$810 \quad \rho_i L_f \frac{dH}{dt} + F_m = k_{iup} \frac{\partial T}{\partial z} \quad (2)$$

811 where ρ_i is the ice density, L_f is the latent heat of freezing, k_{iup} is the thermal conductivity of ice at
812 upper ice layer, T is the ice temperature, and z is the vertical coordinate. The incident short- and
813 longwave radiative fluxes are either parameterized, taking into account cloudiness, or prescribed by
814 observations or NWP model output. The penetration of solar radiation into the snow and ice is
815 parameterized according to surface albedo and optical properties of snow and ice. The turbulent heat
816 fluxes (Q_e and Q_c) at ice-air interface are parameterized using the bulk-aerodynamic formulae as
817 follows:

$$818 \quad Q_{le} = \rho_a L C_e V_a (q_s - q_a) \quad (3)$$

$$819 \quad Q_h = \rho_a c_p C_h V_a (T_s - T_a) \quad (4)$$

820 where ρ_a is the air density, L is the latent heat of sublimation of ice when $T_s < 0$ °C or of evaporation of
821 water when $T_s \geq 0$ °C, V_a is the wind speed at the reference height (2.0 m), T_s is the ice surface
822 temperature, q_s is the saturation specific humidity corresponding to T_s , and q_a and T_a are the specific
823 humidity and temperature of air at the reference height, and C_e and C_h are the bulk transfer coefficients
824 for heat and water vapor, respectively. Both transfer coefficients are parameterized taking into account
825 the thermal stratification of the atmospheric boundary layer (Launiainen and Cheng, 1998; Wang et al.,
826 2015). In addition, Q_{le}/L gives the equivalent thickness of sublimated ice or of evaporated water E .

$$827 \quad E = \frac{Q_{le}}{L} \quad (5)$$

828 **Within the ice column, a sophisticated two-layer radiative transfer model is used taking into account**
829 **a thin surface layer that is different from the ice layer below with respect to optical properties (Maykut**

830 and Perovich, 1987).

831 At the bottom boundary, the ice growth/melt is calculated on the basis of the difference between the
832 heat flux from lake water to ice base (F_w) and the conductive heat flux at the ice bottom layer:

$$833 \quad \rho_i L_f \frac{dH}{dt} + F_w = k_{idn} \frac{\partial T}{\partial z} \quad (6)$$

834 where k_{idn} is the thermal conductivity of ice at ice bottom layer. In HIGHTSI, F_w is either prescribed as
835 a constant value or prescribed based on in situ observations.

836 The evolutions of thickness and temperature of snow and ice are obtained by solving the heat
837 conduction equations for multiple ice and snow layers. Eq (1) solves the surface temperature, which is
838 used as the upper boundary condition as well as to determine whether surface melting occurs. The ice
839 bottom temperature keeps at the freezing point.

840 2.4 Meteorological data and model parameters

841 The meteorological data are based on the BWS (Fig. 3). The 2-m air temperatures observed on the lake
842 site were highly correlated with the measurement at BWS station with averaged difference of 0.3 °C
843 ($R^2 = 0.98$). The T_a has a strong diurnal cycle in response to the large diurnal cycle of solar radiation.
844 The mean T_a was -10.6 °C during simulation period from 9 November, 2010, to 25 April, 2011. The
845 northwest winds prevailed during the winter season. The gust wind speed was frequently stronger than
846 10 m/s. The average wind speed was 6.5 m s⁻¹ associated with an average relative humidity of 34 %
847 only.

848 The daily insolation lasted for 10-12 hours and the average daily (24 h) solar radiation Q_s was about
849 390 W m⁻² during the simulation period, with the daily maximum ranging from 570 to 1140 W m⁻². The
850 averaged downward longwave radiation during daytime (8:00-19:00, local time) and nighttime
851 (19:00-8:00) was approximately 177 and 180 W m⁻², respectively. The radiative cooling due to negative
852 net radiation was strong during nighttime.

853 The snow pack was very thin, literally zero, during winter 2010–2011. There were a few minor
854 snowfall events, but no snow accumulation because of strong wind. A major detectable snowfall
855 occurred in early April (~ 9 cm of snow), but the snow was blown off in a short time. For simplicity,
856 snow was not taken into account in the model simulation. The transparent ice allowed solar radiation to
857 penetrate into the ice interior and further down to the under-ice water column, heating the ice/water
858 column daily.

859 The sky was persistently clear over the whole ice season 2010–2011. High cloudiness and overcast
860 conditions occurred only during late ice season. A slight thin film of fine sand accumulated on the ice
861 surface in early spring coloring the ice surface light yellow. The surface albedo may have accordingly
862 reduced, leading more solar radiation absorption at and below the surface. An albedo parameterization
863 scheme for a climate system model developed by Briegleb et al., (2004) was applied in this study, but
864 the impact of the surface dust film was not taken into consideration.

865 When running the HIGHTSI model, we have to input values of the heat flux F_w , which is
866 challenging to be observed. Actually, we estimate F_w using heat residual method at ice base based on *in*
867 *situ* measurements of in-ice temperature profile and the rate of basal ice growth (Huang et al., 2019).
868 But for a reference run, a prescribed time series for the derived F_w was used. The average F_w was
869 approximated 27 W m⁻².

870 For the reference run, model forcing data and parameters are given in Table 1.

871 3. Results and analysis

872 3.1 Lake ice thickness and mass balance

873 The BLH-A lake ice congelation lasted from early November to the beginning of February. Through
874 February, the ice growth reached a thermal equilibrium stage, and the ice thickness did not change
875 much. From the beginning of March, the ice started to melt, most at the ice bottom and also within the
876 ice interior. Finally, the ice cover disappeared at the end of April. The growth, thermal equilibrium, and
877 melting periods lasted for approximately 87, 30, and 56 days, respectively.

878 The lake ice mass balance consists of the surface ice sublimation and melting, bottom freezing and
879 melting (Fig. 4a). The ice bottom evolution (congelation ice) dominated the ice growth to 0.75 m until
880 day 430 before a melting started at the ice bottom. The model calculated a total surface melting (~0.12
881 m) at the end of the ice season. A strong loss of latent heat flux during the entire period generated some
882 0.23 m of lake ice sublimation at the ice surface. The observed air-ice interface evolution (Fig. 2)
883 revealed the integrated impacts of surface sublimation and melting (during the late season), which
884 could not be instrumentally delineated from each other. By regrouping the modeled ice mass balance
885 components, we can calculate the evolution of the ice surface (i.e. surface sublimation + melting) and
886 ice bottom, and compare them with the measurements (Fig. 4b). Although the modeled ice bottom
887 depth is 4.2 cm larger than measured one (Table 2), the HIGHTSI model very well captured the general
888 evolution both at the ice surface and bottom. The modeled total ice thickness (i.e. $Depth_B - Depth_S$) is in
889 good agreement with the observations (Fig. 4c). However, during day 460, the ice melting was stopped
890 due to a snowfall event. This short-term pause was not revealed by the model since the snow thickness
891 was assumed zero.

892 HIGHTSI modeling also affirmed that there are obvious and strong diurnal cycles of freezing and
893 melting at the ice bottom when the ice thickness is less than ~ 20 cm, especially in late spring. For
894 instance, during the melting stage, the ice melts rapidly from 9:00-10:00 to 17:00-18:00, and undergoes
895 an equilibrium or minor growth from 18:00 to 6:00-8:00, then melts again during daytime at the bottom.
896 Besides, the model also detected diurnal variations in the surface sublimation and melting.

897 Statistical analysis indicated that the model results and measurements for ice mass balance have a
898 high correlation ($R > 0.97$) and small standard deviations (< 3.6 cm), and match very well in terms of
899 surface and bottom depth evolutions and ice thickness with *MAEs* and *RMSEs* generally lower than 5.5
900 cm (Table 2).

901 3.2 Lake ice temperatures

902 The modeled ice temperature regime (Fig. 5) revealed that there are strong diurnal cycles in ice
903 temperature throughout the ice season, following the large diurnal cycle in air temperature and solar
904 radiation. This is consistent with the observed ice thermal dynamics. The calculated surface
905 temperature of ice was continuously lower than the freezing point, except during daytime in late April,
906 when it revealed some cycles of daytime melting and nighttime freezing at the surface.

907 The calculated and observed vertical profiles of ice temperature were compared at selected time
908 steps (Fig. 6). The ice temperature was modeled quite well during the ice growth period (Figs. 6a-d).
909 During the equilibrium and melting stages, the observed and modeled temperature discrepancies were
910 larger especially at the surface and bottom parts. This could have resulted from several processes. From
911 the beginning of the equilibrium stage, the solar radiation increased gradually and was absorbed by the

912 thermistor sensors at top layer, leading to higher observed values near the surface during daytime (Fig.
913 6e). During the melting period, the bottommost part of the ice column underwent fast phase change,
914 and the inter-crystal spaces could be filled with underlying warm water. The sensors near the ice
915 bottom actually detected the integrated temperature of ice and water, thus the observed temperature
916 could be quite close to and even slightly higher than the freezing point (0 °C) (Figs. 6e,f). On the other
917 hand, the linearly interpolated surface depth is likely to cause errors in determining the true sensor
918 depths within the sublimating ice cover, causing some temperature differences.

919 3.3 Modeled Energy balance

920 The lake ice thickening and thinning and temperature regime (i.e. phase transitions) are governed by
921 the energy transport and translation through the air-ice-water column. The good performances of
922 HIGHTSI model in calculating the ice mass balance and temperature dynamics argue comprehensive
923 estimates of heat/radiation transfer and partitioning within the air-ice-water column. For a seasonal
924 cycle, the monthly means of various heat fluxes were calculated at the ice surface, within the ice
925 interior, and at the ice bottom (Table 3).

926 The net shortwave radiation (Q_{sn}) absorbed by the lake acted as a main energy source for ice and
927 water thermodynamics, and followed the seasonal variation of total incident solar radiation (Q_s). The
928 Q_{sn} penetrated through the ice surface and interior, and into the under-ice water column. Therefore, it
929 was divided into three parts: the net solar radiation used for surface energy balance ($Q_{ss}=(1-\alpha)(1-\gamma)Q_s$)
930 (~43% of Q_{sn}), the absorption by the ice interior beneath surface (Q_{si}) (~36%), and the absorption by
931 water (Q_{sw}) (~21%), all of which also showed similar seasonal variation to Q_s . The water heat flux into
932 ice (F_w) that represents the temperature difference between the water and ice bottom, was larger when
933 the ice was thinner. The turbulent heat fluxes did not show strong seasonal variations through the ice
934 season. Furthermore, almost all of the heat fluxes showed strong diurnal variations (Fig. 7). All
935 radiative fluxes (Q_s , Q_{sn} , Q_{si} , and Q_{sw}) had synchronous diurnal cycles, peaked at noon and disappeared
936 through night. The sensible heat flux (Q_h) peaked in the afternoon and had its minimum just before the
937 dawn. The latent heat flux (Q_{le}) had an opposite diurnal pattern with a minimum in the afternoon and
938 maximum in the early morning. The net longwave radiation (Q_{ln}) and surface conductive heat flux (F_c)
939 had roughly opposite diurnal cycles with extremes at midnight.

940 For the thin surface layer, the upward conductive heat flux (F_c) represents the near surface ice
941 temperature gradient. When the ice was thin (e.g. in November), the larger F_c indicates more heat lost
942 from the ice bottom to surface, and thus rapid ice growth. The net long-wave radiation ($Q_{ln}=Q_l-\varepsilon\sigma T_s^4$)
943 was consistently negative and indicated that the ice surface emitted the heat back to the air/space all the
944 time. The sensible heat flux (Q_h) was generally positive, thus argued heat gain from the air. The large
945 negative latent heat flux (Q_{le}) (Table 3) manifested that the surface sublimation was strong (Fig. 4a).
946 According to the surface heat balance (Eq. 1), the residual F_m was close to zero, indicating a dry cold
947 surface. However, in April, its positive value revealed that the ice melted at surface (Fig. 4a), and the
948 latent heat was induced by evaporation of meltwater during late melting season instead of sublimation
949 of ice.

950 Within the ice interior, the absorbed solar radiation Q_{si} was used to heat the ice during daytime and
951 thus caused the diurnal variation in ice temperature (Fig. 5), and also led to interior melt in a manner of
952 gas pore expansion during the late ice season (Leppäranta et al., 2010).

953 Beneath the ice bottom, the under-ice water column absorbed the transmitted solar radiation Q_{sw} and
954 raised its temperature at daytime. According to the lake sediment temperature measurements in BLH-A

955 by Lin et al. (2011, 2017), through the whole ice-covered season the bottom sediment releases quite
956 limited heat to lake water ($-0.2 \sim -0.6 \text{ W m}^{-2}$), consequently, this heat flux can be ignored. For the
957 energy balance of under-ice water, the penetrated solar radiation is the pivotal heat source, of which 56%
958 is released into the ice bottom (F_w), 44% is used to increase the bulk water temperature and partly is
959 transformed to turbulent kinetic energy forcing water convection, and few ($< 0.1\%$) is transported to
960 bottom sediment (permafrost and talik).

961 3.4 Model experiment on F_w

962 Usually, the water-to-ice heat flux F_w is assumed to be constant throughout an ice season when
963 simulating ice thickness in Arctic or temperate lakes. Therefore, under the same weather forcing
964 condition, a number of model experiments have been performed using a constant F_w (ranging from 0 to
965 50 W m^{-2} with an interval of 5 W m^{-2}).

966 During the modeling period, the average ice growth at bottom was 0.49 m with a maximum of about
967 0.72 m. The average and maximum ice thicknesses were 0.38 m and 0.61 m, respectively. Model
968 experiments indicated that the average F_w cannot be smaller than 15 W m^{-2} because otherwise both
969 average and maximum ice thicknesses would differ a lot from observations (Fig. 8). If average F_w is
970 about 35 W m^{-2} , the modeled average and maximum net total ice thickness are not far from the
971 observed values, but have large offsets at ice bottom, especially for the maximum ice growth at ice
972 bottom. If average F_w is more than 35 W m^{-2} , the errors for both average and maximum ice thicknesses
973 are getting larger. It seems when average F_w is between 20 W m^{-2} and 30 W m^{-2} , the modeled results are
974 within the ranges of observed values with respect to total and bottom growth ice thicknesses.

975 In reality, F_w is not a constant value. Model experiments argued that the mass balance at ice base
976 cannot be reproduced using constant F_w through the whole ice season. Based on heat residual method,
977 we created the time series of F_w (Fig. 9) to carry out the reference run (Fig. 4) that gave a very good
978 agreement to the observations.

979 Different from the ocean and large deep lake, where the variation of F_w is largely driven by the
980 under-ice currents (Krishfield and Perovich, 2005; Rizk et al., 2014), BLH-A is very shallow and the
981 water below ice is largely at a standstill, so the driving force for F_w most likely is the penetrated solar
982 radiation. The modeled solar radiative flux that penetrates through the ice layer and reaches at ice
983 bottom is plotted in Fig. 9. In early simulation, ice was very thin and the surface albedo is small, so
984 large part of solar radiation penetrated through ice layer and warmed the underlying water, creating a
985 large F_w . When ice was getting thicker, the surface albedo increased and the penetrated solar radiation
986 was reduced. In later part of the season, melting of ice reduced the surface albedo, the downward solar
987 radiation was simultaneously increased, and more solar radiation was accordingly absorbed in the lake
988 water below the ice. The average solar radiation absorbed by the under-ice water column during the
989 entire simulation period was 22 W m^{-2} . Additionally, the heat flux induced by changes in underlying
990 water temperature (i.e. heat content in water) was estimated to be 3 W m^{-2} . The total 25 W m^{-2} is in the
991 range of good agreement between observed and modeled ice thickness (Fig. 8).

992 4. Discussion

993 4.1 Implication on ABL over ice-covered lakes

994 The characteristics of the ABL play a direct role in the turbulent heat and mass fluxes. The modeled

995 and observed temperature profiles through the air-ice-water column presented here can give a close
996 insight into the features of the ABL over the lake during the ice-covered period taking the temperature
997 difference between the lake (ice) surface and the air as a bulk stability indicator. The ice surface
998 temperature (T_s) was generally lower than the air temperature (T_a). The monthly mean T_s was
999 consistently lower than the monthly T_a by 1.24 ± 0.55 °C from December through April, indicating a
1000 persistent stable ABL through the ice-covered period (Fig. 10). However, the T_s was 0.31 °C higher
1001 than T_a in November when the ice was rapidly growing, especially when the ice thickness was less than
1002 ~ 10 cm (i.e., before Nov. 20).

1003 Previous investigations revealed that the QTP lakes are predominantly characterized by unstable
1004 ABL during open-water period (Li et al., 2015; Wang et al., 2015; Wen et al., 2016). The present results
1005 indicated that the ABL over lake turns into a stable or neutral stratification soon after the lake ice forms.
1006 When the lake ice disappears, the ABL soon turns into an unstable stratification again (Wen et al.,
1007 2016). However, short-term periods of unstable ABL were observed for approximately 25% of the ice
1008 duration period. The unstable conditions usually took up on diurnal scale especially following sudden
1009 drops of the air temperature.

1010 4.2 The air-lake heat exchange

1011 Diurnal changes in turbulent heat fluxes, however, are large and are commonly seen in high-latitude
1012 and high-altitude lakes (e.g. Vesala et al., 2006; Rouse et al., 2008; Nordbo et al., 2011; Wang et al.
1013 2015; Li et al. 2016a, c; Wen et al. 2016). In our study, the mean values of turbulent heat fluxes of Q_h
1014 and Q_{le} were 14 W m^{-2} and -41 W m^{-2} , respectively. These numbers are in line with observations that
1015 were obtained in QTP lakes in winter season (Li et al., 2016a). At seasonal scale, the Q_h and Q_{le} over
1016 lake ice are approximately 40%–60% lower than values during ice-free seasons, demonstrating the role
1017 of ice as an insulator. The present turbulent heat fluxes are somewhat larger than those observed at
1018 Great Slave Lake (Blanken et al., 2000) and a boreal lake in south Finland (Nordbo et al., 2011) during
1019 the open-water period. This is attributed to the stronger wind and drier air prevailing over the QTP.

1020 The net heat exchange ($Q_{net} = Q_{sn} + Q_m + Q_h + Q_{le}$) through the atmosphere-lake interface showed strong
1021 diurnal and seasonal cycles. Q_{net} increased gradually through the whole ice season. The lake ice
1022 released heat into the atmosphere until early March, and then gained heat from the atmosphere.
1023 Integrated over the ice season, the lake released heat of about 266 MJ m^{-2} (i.e. $\sim 17 \text{ W m}^{-2}$).

1024 4.3 Water vapor flux and lake water balance

1025 The water balance in a lake reads

$$1026 \Delta V = P - E + R_s + R_g \quad (7)$$

1027 where ΔV is the lake water change, and P , E , R_s and R_g are the precipitation, evaporation, net surface
1028 inflow and subsurface inflow, respectively.

1029 During the freezing season in central QTP, the precipitation is generally quite small and the surface
1030 inflow and outflow through gullies and streams are typically blocked due to the freezing conditions.
1031 Therefore, the lake water balance is strongly affected by evaporation/sublimation and subsurface
1032 inflow/outflow.

1033 Assuming ice density of 900 kg m^{-3} , the modeled sublimated ice thickness E can be converted to
1034 water equivalent (WE) (Fig. 12). The monthly mean sublimation was the weakest in December and
1035 January, but higher in February and March. This is probably due to the stronger winds and higher ice

1036 surface temperature; the latter was favored by more incident long- and short-wave radiation than before.
1037 Through the entire ice season, the ice surface water loss due to evaporation/sublimation was
1038 approximately 207 mm *WE*.

1039 The BLH-A lake water level observations revealed a decrease of 0.50 m through the entire ice season
1040 (Lin et al., 2017). The surface evaporation/sublimation hence accounts for 41% of lake water loss
1041 during the ice-covered period and for 42% of annual water loss (Pan et al., 2017). The remaining part
1042 of water loss is probably caused by vertical percolation through the lake sediment to supply deep
1043 groundwater, since the talik (a layer of year-round unfrozen ground) beneath the lake has developed
1044 through the underlying permafrost (Lin et al., 2011, 2017; Niu et al., 2011), and by the lateral water
1045 discharge into ambient soil during the thickening and thinning of frozen active layer (Pan et al., 2017;
1046 Lin et al., 2017). But over the entire hydrological year, the lake water loss through subsurface discharge
1047 and evaporation/sublimation is roughly offset by the heavy precipitation, surface runoff, and
1048 supra-permafrost recharge during warm seasons (Lei et al., 2017; Pan et al., 2017). Therefore, the
1049 studied lake level is nearly stabilized inter-annually (Lin et al. 2017; Gao et al., 2018).

1050 5. Summary and conclusions

1051 The ice season was characterized by a freezing period (9 November – 4 February), a thermal
1052 equilibrium period (5 February –10 March), and a melting period (11 March – 30 April). During the
1053 freezing period, strong atmospheric cooling caused a growth of congelation ice of about 70 cm. The
1054 major driving force for ice growth was a consistent subzero air temperature (mean -13 °C) and a strong
1055 average net longwave radiative cooling (-97 W m^{-2}), although the ice surface absorbed a net solar
1056 radiative flux of 77 W m^{-2} on the average.

1057 During melting period, the ice melt rate was about 14 mm/day. Basal melting dominated and surface
1058 melting was only seen by the very end of the ice season, because air temperatures remained subzero
1059 during most of the winter. A total 0.23 m of ice thickness was lost at the surface due to a sustained
1060 sublimation process during the entire study period. This was caused by a combined effect of prevailing
1061 strong winds and dry air. The observed average wind speed and relative humidity were 7 m s^{-1} and 34%,
1062 respectively.

1063 Comparisons with an Arctic lake revealed the uniqueness of QTP lakes especially with respect to the
1064 atmospheric forcing, lake geometry, ice cover (free of snow), and under-ice hydro-thermodynamics
1065 (Table 4). These features challenge the existing lake ice models that are mainly developed for Arctic
1066 and temperate regions. However, present modeling experiments indicated that HIGHTSI could yield
1067 reasonable results in terms of the surface and bottom freezing/ablation and ice thermodynamics.

1068 Additionally, HIGHTSI results indicated that the net long-wave radiative cooling (-97 W m^{-2}) and
1069 upward conductive heat flux in the ice interior as well as turbulent latent heat flux dominated the ice
1070 surface energy and mass balance. The average net solar radiative flux was large (181 W m^{-2}); 40% of it
1071 was reflected back to the space, 34% was absorbed below the ice surface, and only 26% was used for
1072 surface energy balance. Diurnal cycles of surface heat fluxes were driven by the diurnal variations of
1073 shortwave radiation. The observed air temperature and calculated ice surface temperature suggested a
1074 consistent stably stratified ABL during most of the ice-covered period, except when the ice thickness
1075 was less than ~10 cm. Averaged over the entire ice-covered season, the lake (ice) released 17 W m^{-2}
1076 heat to the atmosphere.

1077 The ice surface mass balance was dominated by surface ice sublimation, which was modeled very

1078 well. The sublimation was demonstrated to be a key component for lake water balance and accounted
1079 for 41% of lake water loss during wintertime. In light of the generally low air humidity and strong wind
1080 over QTP, the sublimation can be critical for the water balance of a large number of shallow lakes and
1081 ponds over the QTP, and further research (observations and modelling) is needed for quantification of
1082 sublimation in a regional scale over QTP.

1083 The water-ice heat flux F_w controlled the basal, and thus, the net ice thickness evolution. The model
1084 experiments indicated that constant F_w through the whole ice season cannot produce a reasonable basal
1085 mass balance. A parameterized time series of F_w was used and yielded realistic results. This confirmed
1086 the temporal variation in F_w in shallow QTP thermokarst lakes. Many more observations should be
1087 made to quantify F_w and better understand the physics governing it.

1088 The present modeling experiments indicated that the largest uncertainty for QTP lake ice modeling is
1089 the effect of F_w . Thermokarst lakes on QTP are typically shallow and small, without significant surface
1090 water input and output, implying that through-lake current or lake-wide circulation under the ice cover
1091 are negligible (Kirillin et al., 2015). Cold sediment layer limits the heat release into the overlying water
1092 (Lin et al., 2011). However, the solar radiation is strong (due to persistent clear-sky conditions), and the
1093 lake ice cover is consistently free of snow. In QTP, the surface albedo of ice in **large deep lakes** can be
1094 unprecedentedly **small (<0.2)** (Li et al., 2018). Indeed, in our study the Briegleb albedo scheme yielded
1095 a small albedo, in particular, when the ice was thin. Intensive penetrative solar radiation can drive
1096 under-ice turbulent mixing of mass and heat (Mironov et al., 2002). However, the quantitative effects of
1097 penetration of solar radiation on F_w are not yet well known, and new field experiments are needed.

1098 Snow was neglected in this modeling work. However, snowfall occasionally occurs on QTP, and
1099 may have a strong impact in ice mass balance, especially for large lakes (Cheng et al., 2014). The major
1100 impact of snow on ice thermodynamics is the insulation effect (Leppäranta, 2015). Snow-ice is not
1101 likely to form on QTP, since in early winter the air temperature drops fast and the ice freezes quickly.
1102 However, superimposed ice may be formed in late spring, if there is thick snow on top of ice.
1103 Otherwise, snow can compensate the strong ice mass loss due to sublimation, decreasing the water loss
1104 in QTP lakes in winters.

1105 **Data availability.** The datasets on lake ice temperatures and thickness, and **meteorological forcing** used
1106 for modeling and comparison can be downloaded from
1107 https://www.researchgate.net/publication/329826495_QTP_lake_ice_and_meteorology_data.
1108 Model code and results are available from the first (huangwenfeng@chd.edu.cn) and second author
1109 (Bin.Cheng@fmi.fi) by request.

1110 **Competing interests.** The authors declare no competing interests.

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Table 1. Parameters and input data applied in the model reference run

Variables	Value	Source
V_a, T_a, Rh, Q_s, Q_l	Time series	Observations at BWS
Ice density ρ_i	900 kg m ⁻³	Huang et al. (2012, 2013)
Thermal conductivity k_i	1.80 W m ⁻¹ K ⁻¹	Huang et al. (2013)
Albedo α	0.1- 0.55	Briegleb et al. (2004)
Ice extinction coefficient κ_i	(1.5–17) m ⁻¹	Launiainen and Cheng (1998) adapted from Grenfell and Maykut (1977)
Bottom heat flux F_w	Time series	Parameterized based on in-ice temperature profile and ice bottom growth rate (Huang et al., to be submitted)
Initial ice thickness	0.05 m	Observation
Initial ice temperature	Linear interpolation between T_a and T_b	Calculation

Table 2. The mean bias error (*MBE*), mean absolute error (*MAE*), standard deviation (*STD*), root mean square error (*RMSE*), and correlation coefficient (*R*) between modeled and observed ice mass balance components with $n=4023$ (in cm)

Items	Surface height	Bottom height	Ice thickness
<i>MBE</i>	0.2	4.2	4.1
<i>MAE</i>	2.5	4.8	4.3
<i>STD</i>	2.9	3.6	3.0
<i>RMSE</i>	2.9	5.5	5.0
<i>R</i>	0.97	0.99	0.99

Table 3. The monthly means of heat fluxes (in $W\ m^{-2}$) within the air-ice-water column. Q_s : incident solar radiation; Q_{sn} : net solar radiation; Q_{ss} : net solar radiation for surface heat balance; Q_{ln} : net longwave radiation; Q_h : sensible heat flux; Q_{le} : latent heat flux; F_c : surface conductive heat flux; F_m : net surface heat flux, that is, the sum of Q_{ss} , Q_{ln} , Q_h , Q_{le} and F_c ; Q_{si} : solar radiation absorption within the ice interior; Q_{sw} : solar radiation into under-ice water; F_w : heat flux from water into ice.

Month	11	12	1	2	3	4
Q_s	162	142	138	176	208	259
Q_{sn}	110	69	63	110	135	169
Q_{ss}	37	30	32	57	65	62
Q_{ln}	-112	-100	-83	-87	-79	-73
Q_h	-10	15	15	17	-1	4
Q_{le}	-46	-31	-32	-54	-53	-53
F_c	125	85	68	68	69	63
F_m	-6	-0.1	0.1	0.2	2	4
Q_{si}	35	26	23	40	49	63
Q_{sw}	39	14	8	14	21	43
F_w	34	21	20	20	21	36

Table 4. Comparisons of lake and meteorological features between Lake BLH-A and an Arctic lake (Lake Orajärvi)

Items	Lake BLH-A	Lake Orajärvi**
Surface area	0.015 km ²	11 km ²
Mean depth	2.5 m	4.4 m
Altitude	4600 m	182 m
Annual precipitation	353 mm	500 mm
Annual evaporation	1613 mm	450 mm (Venäläinen et al., 2005)
Air temperature*	-10.6 °C	-9~-10 °C
Wind speed*	6.5 m s ⁻¹	2.3 m s ⁻¹
Relative humidity*	34%	85%~87%
Short-wave radiation*	390 W m ⁻²	41-46 W m ⁻²
Long-wave radiation*	180 W m ⁻²	240-260 W m ⁻²
Net long-wave radiation*	-89 W m ⁻²	-19~-27 W m ⁻²
Snow cover	Negligible (light dust)	Up to over 30 cm
Ice surface sublimation	30 cm	Negligible
Ice structure	Congelation ice	Snow-ice + superimposed ice + congelation ice

*averaged over whole ice season

**data during winters of 2010-2012 were used for statistics



Figure 1. Location of Lake BLH-A. The lower left insert photo shows the lake ice cover including numerous large bubbles, while the lower right insert shows field instrumentation for temperature and ice thickness measurements. Thin deposited fine sand film (dark yellow) is also seen in both inserts.

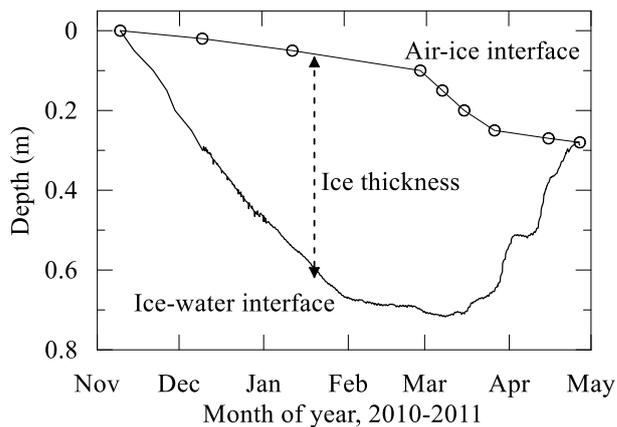


Figure 2. The observed lake ice thickness evolution over the whole 2010–2011 ice season. The open circles denote the observed location of the ice surface, and the solid lines connecting the circles denote the linear interpolation.

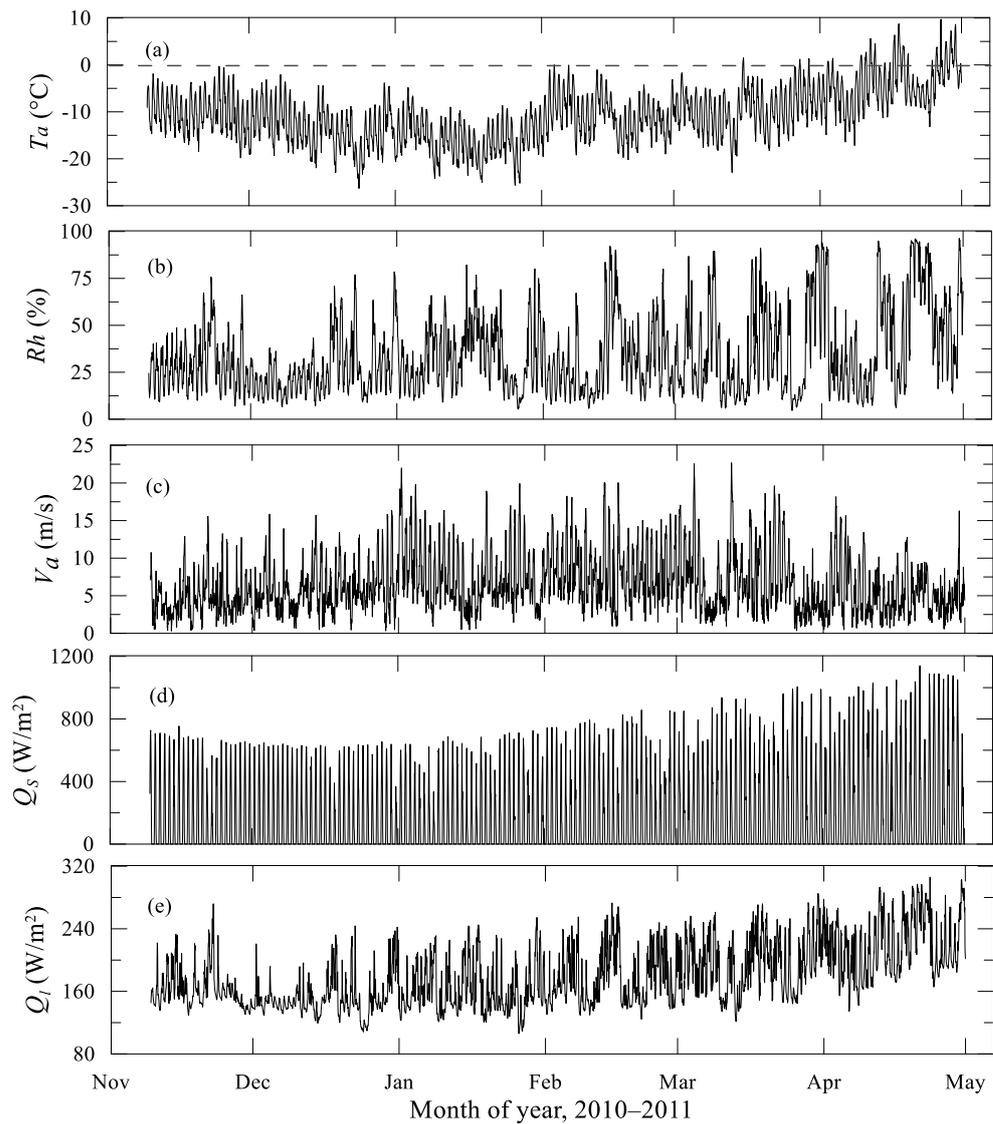


Figure 3. The time series of observed meteorological variables through the whole ice season of 2010–2011. (a) daily mean air temperature T_a , (b) relative humidity Rh , (c) wind speed V_a , (d) incident shortwave solar radiation Q_s , and (e) incident longwave radiation Q_l .

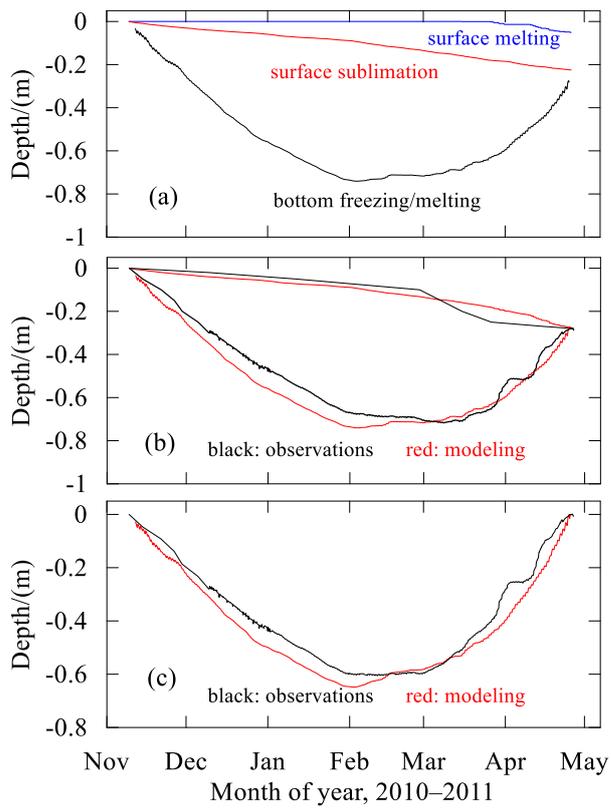


Figure 4. The HIGHTSI modeled BLH lake ice mass balance components (a), the ice surface and bottom evolution (b), and the ice thickness (c).

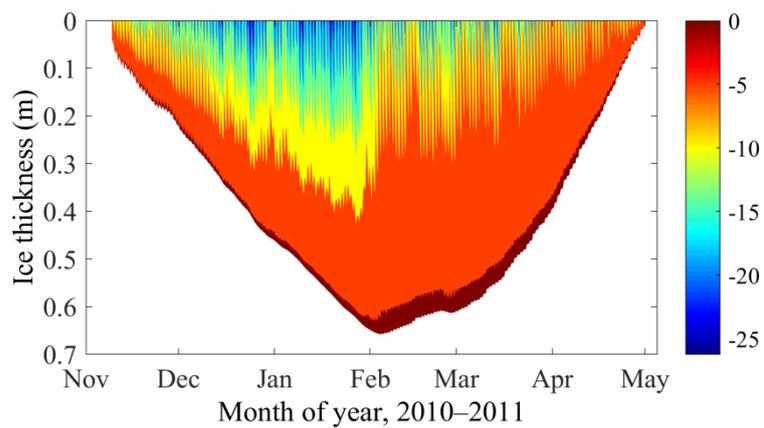


Figure 5. HIGHTSI modeled ice temperature regime for winter 2010–2011.

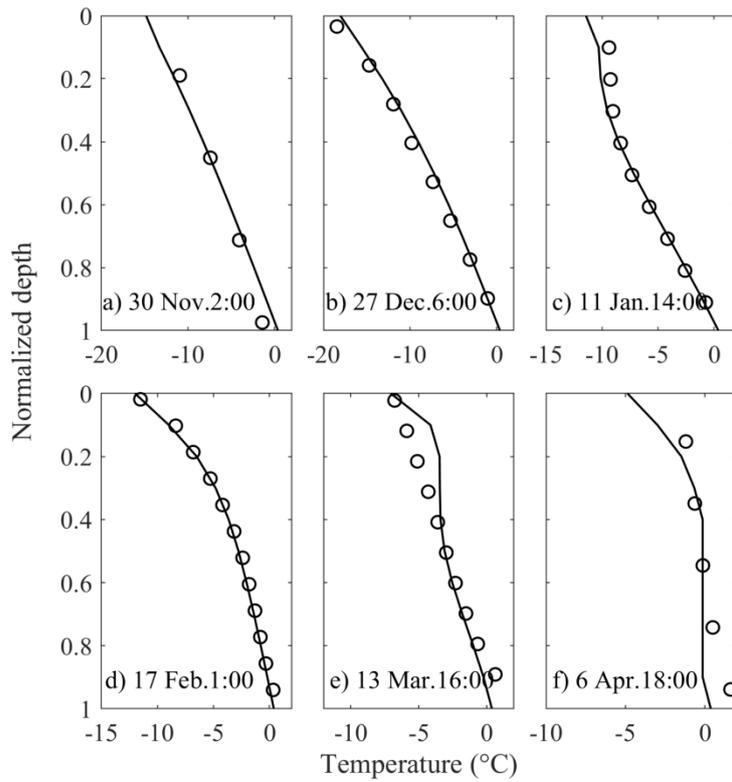


Figure 6. Comparisons of modeled (lines) and observed (circles) vertical temperature profiles of within ice at selected time steps. A normalized depth (depth divided by ice thickness) is used as the y-axis (0 and 1 denote the ice surface and bottom, respectively).

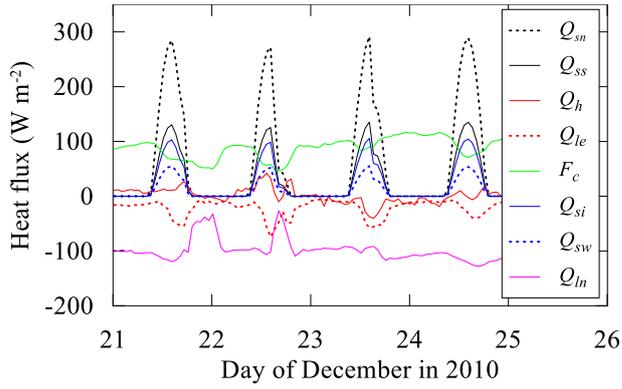


Figure 7. Diurnal patterns of various radiation/heat fluxes

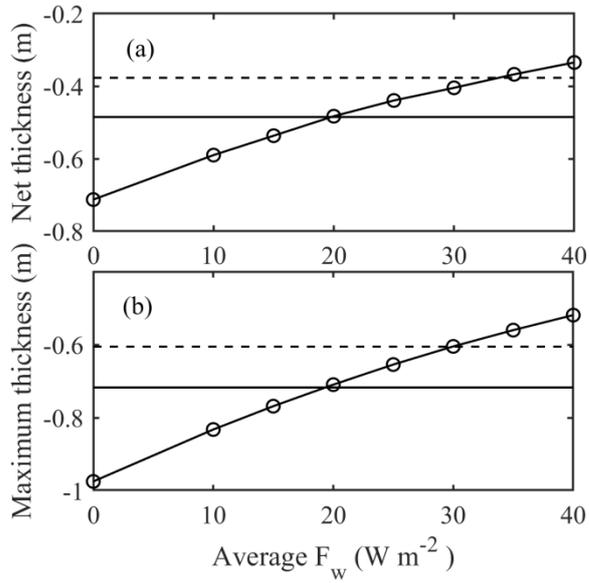


Figure 8. Modeled (lines with circles) average (a) and maximum (b) ice thickness applying different constant F_w . The broken lines are observed average and maximum ice thickness during the simulation period. The solid lines are observed average and maximum ice growth at ice bottom.

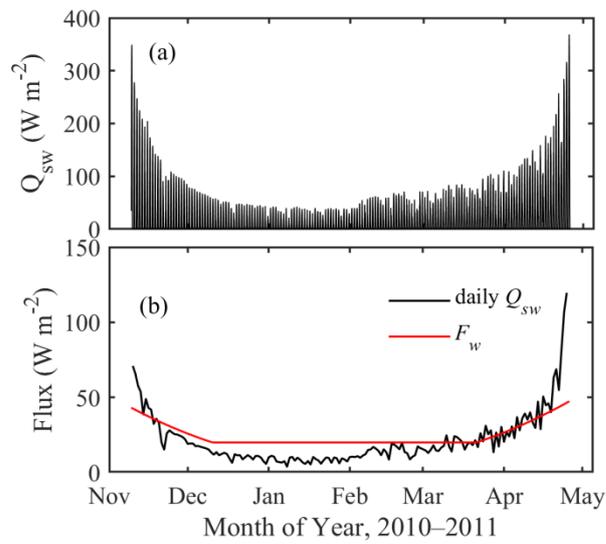


Figure 9. Modeled solar radiation penetration (Q_{sw}) into the under-ice water column: hourly (a) and daily averages (b) with prescribed F_w during the simulation period.

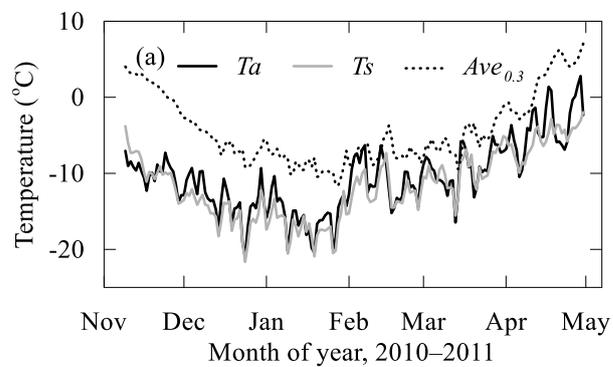


Figure 10. Daily means of the observed air temperature (T_a), the averaged ice/water temperature of the top 30 cm ($Ave_{0.3}$), and the calculated ice surface temperature (T_s).

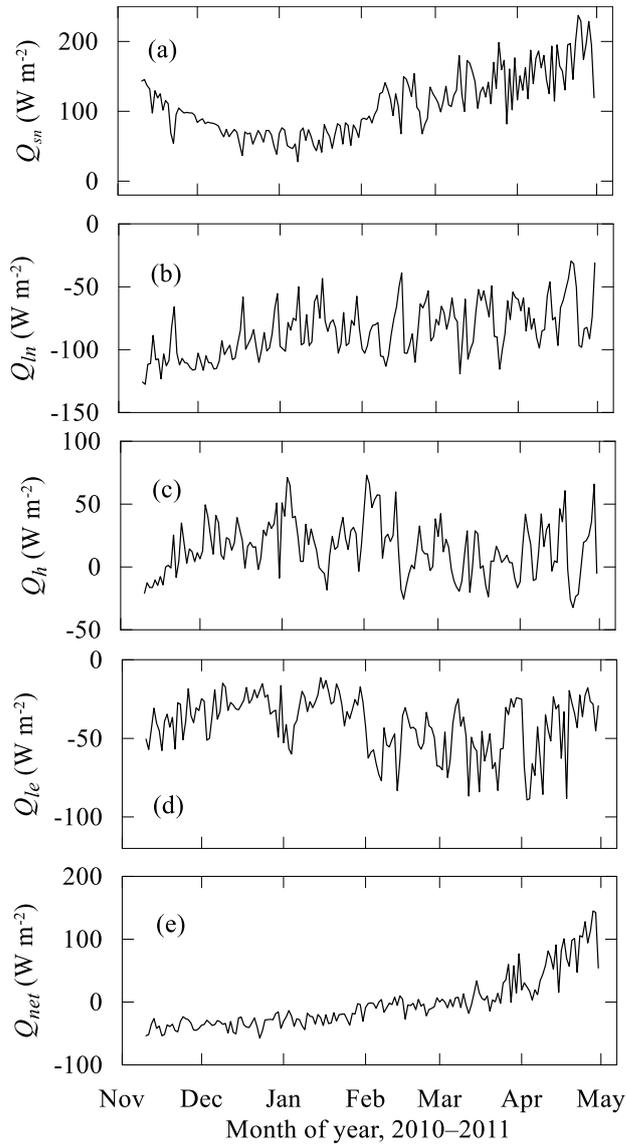


Figure 11. Daily means of the surface energy balance components of net shortwave (Q_{sn}) and longwave (Q_{ln}) radiation, turbulent sensible (Q_h) and latent (Q_e) heat fluxes, and net flux into the lake ($Q_{net} = Q_{sn} + Q_{ln} + Q_h + Q_e$) through the entire ice season.

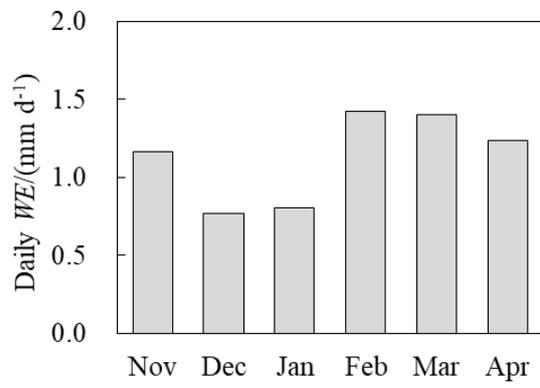


Figure 12. The daily mean surface sublimated water equivalent (WE) through the winter 2010–2011.