We are grateful for the comments from Reviewer 1. The following responses address key edits which have been made to the manuscript for the comments given, including: restructuring the manuscript, discussion on potential implications and limitations, further details on energy flux and EC data, and modelling uncertainty.

Regarding comments related to the EC data:

More information has been given regarding the EC measurements in the field observation section 3.2. Such as fluxes are reported as 15 min averages, data filtering was used but there were no bad data or spikes observed on the day for which measurements were used. As such, all flux samples were accounted for and no gap filling was required. Use of the planar-fit axis rotation method to correct the latent heat flux (and sensible heat flux) measurements was also previously referred to in section 3.2. Given the corrections applied and that no missing data or spikes were observed, the fluxes were considered to be of good quality for the case study. Unfortunately, post-processing of the raw data is not possible at this stage to generate quality flags according to the methods of Mauder and Foken 2004.

Partitioning of the energy fluxes is now discussed in section 4.6 where a comparison is made between the estimated evaporation rate from a linear transect upwind of the EC station and the measured EC flux. The linear transect has been overlayed on the map in Figure 9. The text referring to the flux contributions from the upwind fetch has been clarified. Specifically it has been noted that 80% of the upwind contribution is expected to come from within 100 m of the EC station, based on a cumulative flux calculation with the model of Scheupp et al, 1990. This is along a similar linear transect used for averaging the G-D model estimates upwind of the EC station which has now been clarified in the text.

The mean daily flux values in W/m² provide the basis for testing energy balance closure as (LE + HE) / $(Q^* - Qg) = (63 + 55) / (144 - 2)$ which gives a closure of 83%. The Bowen Ratio of 0.87 is reasonable in this semi-arid landscape due to drying of the upwind grass surface and reduced photosynthesis of the grasses as anthesis is typically in mid-late June. Uncertainty in over estimating evaporation due to neglect of the ground heat flux and possibly under measured fluxes is now referred to in section 4.6.

Regarding comments related to modelling assumptions and uncertainty:

Uncertainty associated with the modelling assumptions has been partly addressed by moving the surface reference parameter section (now 3.3) into the methods and modifying the text to provide clarity regarding the assumptions applied. A new section (4.10) was added to further discuss the general uncertainty of the methods applied. This includes discussion related to regions where the model may perform more poorly due to neglect of the ground heat flux or where wind speeds may vary due to the changes in the roughness length of the surface elements.

The relatively small magnitude of the ground heat fluxes under continuous tall grass surfaces at the two measurement sites has now been discussed in section 3.3 and is referred to again in section 4.10. A focus of this study was on the potential to scale measured values of driving energy factors across the larger field based on observable surface properties that relate directly to net radiation. Scaling ground heat flux is not possible with remote sensing methods without further detailed information on the soil moisture and density in each pixel, and application of a numerical model. Estimates could be produced at every pixel through modelling but the uncertainty may be larger than the error of the estimates reported for the net radiation estimates. It is also not uncommon to neglect the ground heat flux but implications of doing so are discussed in section 4.10.

To the best of our knowledge there were no C4 plants at the study location – all grasses/crops were cool season C3 types. Uncertainty related to prior developments of the vapour transfer function for different surface types (including C3 plants) and possible requirement of new equations for C4 plants is now noted in section 4.10.

Regarding comments related to the wind speed and turbulent energy applicable to the estimating the drying power of the air, EA:

The discussion regarding development of the surface roughness length map in section 3.4.5 has been improved for clarity and to provide evidence of considerations for potential impacts of changes in roughness and wind speed. As was stated in section 3.4.5 representative roughness lengths were selected based on reported values from Brutsaert (1982) for similar types of surfaces elements and heights for vegetation ranging between 3 - 10 m.

Regarding restructuring and combining images:

The resulting maps of albedo and Ts and the validation discussion (section 4. 1 and 4.2) are introduced earlier in the result and discussion section. Implications of relative variations in albedo and TS for estimating the net radiation and final estimates of E are discussed briefly in each of those sections.

A major restructuring was done to combine several images which reduced the number of figures from 17 to 12. The manuscript has been modified in section 4.7 and 4.7.1 and graphics have been combined so as to be more appropriate to discuss variations in the underlying distributions of driving factors and how they relate to the net radiation and impact final estimates of E. For example the underlying variability in albedo and TS appears to be much larger than the resulting variability of net radiation and E, due to the interaction of the relative evaporation, G, term.

Previous figures for the frequency distributions of evaporation and relative contributions have been combined in Fig. 10. Previous figures 12 - 14 have been combined into Fig 11 and previous figures 15 - 17 have been combined into Fig 12.

Previous figures 2 and 3 have been swapped as the discussion for figure 2 related to albedo point sampling and conversion to broad-band was moved into the methods section.

Regarding comments on covariance:

The text has been modified to clarify the impact of the relationship between relative evaporation G and net radiation. G is a non-linear function of the relative drying power D which is a function of the drying power of the air, EA and also the available energy. EA is dependent on the surface roughness length, wind speed and water vapour deficit. The non-linear inverse relationship between G and net radiation is more clearly shown and discussed via figure 12. The impact of this relationship for this study generally results in higher values of G associated with lower values of net radiation and much lower values of G associated with higher values of net radiation. The resulting interaction produced a very small covariance.

The covariance was found to be even smaller when computed within each roughness length class.

Albedo estimates which were based on the DN index showed a correlation of 0.67 with TS, which is reasonably high. However, the computed covariance was only 0.06, suggesting that that a high correlation between these variables may not be an issue.

Regarding technical corrections:

Idrisi software used to segment the data to create the roughness heights classes is now referenced, and the company is now referenced for post-processing done with Matlab software.

As indicated above several figures have been combined and discussed more appropriately.

The boxplots have been modified to remove the redundant information and the large number of data points have been overlayed and plotted with jitter.

Removed the coefficient of variation for the aerodynamic component as it appears bimodal.

Vegetation types have been more clearly defined – also figure 1 was replaced with a photo of the study region which provides more context for reference.

Tree rings clarified to narrow rings of trees.

The manuscript has been cleaned up to address typos.

The number of validation sites for net radiation has been clarified to 2 sites.

Description of visual differences in distributions across roughness classes has been removed and the text was modified to state Kolmogorov-Smirnov tests of the individual distributions for the respective variables showed significant differences across the roughness classes (p-value < 0.001).

Representative roughness length values were cited from Brutsaert (1982) which indicate 0.4 m for trees up to 10 m tall. In our case we state the narrow rings of tall shrubs and trees varied between 3 m and 10 m. They also have a limited spatial footprint compared to more uniform and extensive cover for which even larger roughness lengths may apply.

Title for section 3.4, now labelled 3.5 due to reorganisation of the manuscript, has been changed to 'Exploratory analysis of surface variables and evaporation estimates'.

We are grateful for the comments from Reviewer 2. The following responses address the comments given regarding: further details on objectives, manuscript organisation, and suggested changes in methods, results and discussion.

The study objectives and potential for advancing understanding for examining improved methods of upscaling evaporation estimates have now been clarified in the introduction / background section. The 'ratiometric' indexing methods represent a novel way of scaling single point measurements across large fields for evaporation modelling.

Portions of the text have been reorganised and edited based on the comments regarding clarity and organisation. Specifically, the last sentence of section 1 has been better integrated earlier in the introduction/background. Regarding the mix of methods and results, text related to the methods has now been worked into the methods section.

Regarding comments related to the Methods:

Repetition of the normalised index equations, i.e. equations 7-9 are referred to later in section 4.5 and Equation 10 shows the general integration for calculating net radiation – it may be preferable to leave these as is for clarity.

The reference parameters section and relevant parameters have been moved into the methods section for clarity.

A discussion of the Eddy covariance measurements and corrections has been clarified in the field observation section 3.2 and further in a new section (4.10) in relation to the modelling uncertainty.

The confusion regarding the 2006 EC study has been addressed in the text – the data collected was referenced by the Armstrong et al., 2008 study cited in the manuscript, but the year 2006 refers to when the data was collected, which has been clarified in section 3.1. The relevant text related to the methods was moved to section 3.3.

Several colour schemes were tried for the map figures but the rendered images simply did not display as well as the grey scale images used. However, Figure 1 has been replaced with an actual RGB photo of the region taken during the study flight on August 5 2007. The albedo sampling points from Figure 1 was moved to figure 2.

The replacement of Figure 1 with a colour photo provides clearer context so specific references to ponds have been removed as they can be seen clearly in the RGB photo.

The text referring to upwind fetch has been clarified to indicate that 80% of the upwind contribution comes from 100 m upwind of the EC station, based on the cumulative flux calculation with the footprint model of Scheupp et al, 1990. This is along a similar linear transect used for averaging the G-D model estimates upwind of the EC station which has now been added to Figure 9.

Regarding comments related to the Results and Discussion:

The text which describes the corrections applied to the field measurements of broadband albedo have now been moved into the methods section 3.4.3 under the methods describing the derivation of the normalised index for albedo.

It has been more clearly stated the study was relatively cloud free – the observations show just two 15 min periods later in the day when clouds passed over.

With respect to the Figure 7 relationships - the regression equation was removed from the figure. The r-square was left as it simply reflects the validity of assuming net radiation at midday can be used for temporal scaling of mean daily net radiation which appears to be more stable under clear sky conditions.

The basis for comparing the estimates and measured evaporation in section 4.7, now section 4.6, has been stated in terms of % error in the overestimate to be more relevant.

The purpose of section 4.8 and 4.8.1 (now 4.7. and 4.7.1) has been addressed to improve clarity and several figures have been combined into a single figure for more clarity and relevance to the discussion.

In the interest for avoiding confusion on the general notation of the evaporation equation (Eq. 1) and rearrangement to obtain contributions from the individual components (Eq. 14) it may be preferred to keep the two equations separate, but if crucial this can be changed.

Typographical errors have been re-checked and edited where found. It is also noted that British English spellings are also being applied throughout.

The number of figures has been reduced from 17 to 12 by combining figures 10 - 11 (now fig 10), 12 - 14 (now fig 11), and 15 - 17 (now fig 12).

List of Revisions (collated from responses to reviewer comments)

- Please note key revisions have been documented in the response to reviewers sections but have been collated here reference purposes.
- General edits
 - Text revisions for clarification and address typos etc.
 - Removed term 'simple' ratios for more meaningful 'ratiometric' term to describe indexing method
 - Tree rings changed to narrow rings of trees
 - Number of validation sites clarified as 2
 - Section numbers revised
- Major edits
 - \circ $\;$ Reorganisation of text related to methods found in other sections
 - Changes to headings of some sections
 - Edits to figures, combining several figures, and replacement of figure 1
- Abstract
 - New work campus location updated
- 1 Background and Introduction
 - Objectives and potential for advancing understanding for examining improved methods of upscaling evaporation estimates has been clarified
 - Text related to methods moved to methods section
- 2 Study Area
 - Figure 1 replaced with a colour photo of the study region
 - Grasses and crops clarified as C3 types
- 3 Data and Methods
 - \circ Section 3.1
 - Some general text edits for clarity
 - Previous text related to methods from background/introduction now been worked into methods section
 - Reference parameters section and relevant parameters have been moved into the methods section
 - Collection of 2006 EC data as cited in Armstrong et al 2008 paper clarified
 - o Section 3.2
 - Further information and clarification on Eddy Covariance measurements and corrections
 - Section 3.3
 - Major revision to organise reference parameters
 - Clarified relevance of 2006 EC data (as cited in Armstrong et al 2008) for examining sensitivity of model parameterisation related to current paper
 - o Section 3.4
 - Changes made to sub section titles and some general edits

- Text describing the corrections applied to the field measurements of broadband albedo moved to this section (3.4.3)
- Change to figure order and content (3.4.3)
- Minor edits in 3.4.4
- Discussion regarding development of the surface roughness length map in section 3.4.5 has been improved for clarity and to provide evidence of considerations for potential impacts of changes in roughness and wind speed
- o Section 3.5
 - Title revised for clarity
 - Stated here that jitter now used for point overlay on boxplots
- 4 Results and Discussion
 - \circ Moved surface reference parameters section (previously 5.1) into methods
 - \circ $\;$ Description of approach to albedo validation moved from section 4.1 to methods
 - Expected response of evaporation estimates to changes in albedo described (4.1)
 - Expected response of evaporation estimates to changes in TS described (4.2)
 - Clarification added in surface roughness length map section (4.3)
 - o Clarification added in section 4.4 on sensitivity analysis of evaporation ratio
 - Title modified for section 4.5 and some general edits for clarity, manufacturer added for NR Lite radiometer
 - More description added in section 4.6
 - Referring to upwind fetch indicating that 80% of the upwind contribution comes from 100 m upwind of the EC station, based on the cumulative flux calculation with the footprint model of Scheupp et al, 1990. Linear transect for this added to figure 9.
 - Description on uncertainty added for EC fluxes
 - % error in the evaporation overestimate now stated to be more relevant
 - Major revision in section 4.7 to better describe purpose and clarify results for the distributions
 - Several figures combined to reduce overall number and to improve text
 - Major revision in section 4.7.1 for same purpose as section 4.7 but for roughness length classes
 - Extensive revisions in section 4.8 for including combining of figures to improve discussion of results
 - o Major revision resulted in new section 4.10 to discuss uncertainty in methods
- 5 Summary and conclusions
 - o Edits made for clarification and to report on % overestimate based on edits in results

Spatial variability of mean daily estimates of actual evaporation from remotely sensed imagery and surface reference data

Robert N. Armstrong¹, John W. Pomeroy², Lawrence W. Martz²

¹Queensland Alliance for Agriculture and Food Innovation, The University of Queensland, ToowoombaGatton Campus, Gatton, 4350 4343, Australia

²Centre for Hydrology, University of Saskatchewan, Saskatoon, S7N 1K2, Canada *Correspondence to*: R. Armstrong (r.armstrong1@uq.edu.au)

- Abstract. Land surface evaporation has considerable spatial variability that is not captured by point scale estimates calculated from meteorological data alone. Knowing how evaporation varies spatially remains an important issue for improving parameterisations of land surface schemes and hydrological models, and various land management practices. Satellite-based and aerial remote sensing has been crucial for capturing moderate to larger scale surface variables to indirectly estimate evaporative fluxes. However, more recent advances for field research via unmanned aerial vehicles (UAVs) now allows for the acquisition of more highly detailed surface data.
- 15 Integrating models that can estimate *actual evaporation* from higher resolution imagery and surface reference data would be valuable to better examine potential impacts of local variations in evaporation on upscaled estimates. This study introduces a <u>new novel</u> approach for computing a normalised <u>ratiometric</u> index from surface variables that can be used to obtain more realistic distributed estimates of actual evaporation. For demonstration purposes the Granger and Gray evaporation model (G-D) was applied at a <u>rollingeomplex prairieparkland agricultural site</u>
- 20 in central Saskatchewan, Canada. Visible and thermal images and meteorological reference data required to parameterise the model wereas obtained at midday.

Normalised Ratiometric indexes (simple ratios) were computed at midday for the key surface variables albedo and net radiation at midday. This allowed point observations single measured values of albedo and meanmean daily net radiation to be scaled across high resolution images over a large study region. Albedo and net radiation

- 25 estimates were within 5 10 % of measured values. A <u>dailyn</u> evaporation estimate for a grassed surface was 0.5 mm (23 %) larger than eddy covariance measurements. Spatial variations in key factors driving evaporation and their impacts on upscaled evaporation estimates are also discussed. The methods applied have two key advantages for estimating evaporation over previous remote sensing approaches, 1. Detailed daily estimates of *actual evaporation* can be directly obtained using a physically-based evaporation model, and 2. Analysis of more detailed
- 30 and reliable evaporation estimates may lead to improved methods for upscaling evaporative fluxes to larger areas.

1 Background and introduction

'Actual' evaporation is the water vapour physically transferred from a surface (e.g. plants, soil or water) to the atmosphere over a given time period (e.g. hourly or daily). Reliable estimates of actual evaporation are often

needed over large spatial scales for applications such as water resource management, agriculture, ecology, and forecast modelling of weather and climate. However, estimates (or measurements) are often calculated at point scales with footprints that can range from centimetres to several kilometres or more (Brutsaert, 1982). Consequently, point scale footprints in heterogeneous landscapes may contain large variability that needs to be

5 considered more appropriately.

15

30

From an ecological standpoint, heterogeneous landscapes are comprised of distinct topographic features, land cover types, biological attributes and other physical properties that exhibit observable patterns in the order of meters (e.g. Yates et al., 2006; Zhang and Guo, 2007). Therefore, variable surface properties and state conditions exert a strong control on local surface energy fluxes. As a result, "scaling" evaporation estimates over large areas

10 must consider a potential loss of information due to upscaling processes. The potential impacts of spatial variability on larger scale estimates of evaporation is still not well understood, and previous estimation and scaling methods have not examined this issue in detail.

For example, hydrologic and atmospheric modelling applications often require large to regional scale evaporation estimates but the underlying variability is difficult to examine practically (e.g. Avvisar and Pielke (1989), Baldocchi (2005), Brutsaert (1998), Claussen (1991; 1995), Klaassen (1992), Klaassen and Claussen (1995). Courault et al. (2005) and Gowda et al. (2007) have also reviewed remote sensing approaches which

integrate surface images to derive key variables needed to parameterise various energy-balance type evaporation models. This generally results in estimates at moderate scales of input images (e.g. Bisht et al., 2005). Purely empirical methods have also correlated evaporation with vegetation indices (e.g. Nagler et al., 2005).

A common remote sensing method has been to calculate evaporation indirectly as a residual of a simplified energy balance (e.g. Jackson et al., 1977; Seguin et al., 1989; Bussières et al., 1996). In such cases surface temperatures derived from thermal imagery is a critical input. More complex resistance-type formulations also exist based on developments by Monteith (1965); e.g. Norman et al. (1995), Anderson et al. (1997), Boegh et al. (2002), Houborg and Soegaard (2004), and Anderson et al. (2007). However, such approaches are computationally intensive and parameterising the resistance terms is difficult without detailed data.

Colaizzi et al. (2006) reviewed scaling approaches based on an evaporative fraction determined through complex solar radiation modelling. Mu et al., (2007) and Fisher et al. (2008) discuss advanced methods for deriving global scale estimates based on expert knowledge and detailed data sets obtained from the Ameriflux network in association with a global Fluxnet (Baldocchi et al., 2002). As an alternative to more complex methods, Granger (2000) integrated a complementary feedback approach with Penman's combination model and remote sensing

imagery that can directly estimate actual evaporation, even in data sparse regions.

Previous <u>remote sensing</u> methods have been valuable for integrating generalised representations of moderate to larger scale variability.-_However, most methods fail to include <u>the</u>-fundamental interactions governing the evaporation process<u>which can be more realistically captured with an energy balance and aerodynamic</u> <u>combination model approach</u>. More importantly, few (if any) have addressed the issue of how <u>detailed</u> surface variability may impact upscaled evaporation estimates over larger areas. <u>The acquisition of high resolution</u> <u>imagery from a plane (or even unmanned aerial vehicles, UAVs) combined with new methods of obtaining detailed</u> surface information could be valuable for examining the spatial variability of point scale evaporation estimates. Such analysis could then help advance understanding how the underlying surface variability may impact upscaling of point evaporation estimates to larger areas.

- 5 The goal of this study is to examine the spatial variability of key surface variables driving <u>point scale</u> evaporation <u>estimates</u> and their <u>general</u>-impact on upscaled <u>evaporation</u> estimates to larger areas, and includes -This work included two objectives, 1.). The first is to <u>Uu</u>se the spatial variability captured from <u>high resolution</u> one-time-of-day visible and thermal images <u>taken</u> at midday_s to <u>scale (i.e. distribute)</u> energy-balance and aerodynamic termsfactors that drive combination evaporation models. This objective required the development of
- 10 a scaling method that derives simple a ratiometric index of surface variables from the midday images. The resulting information can then be used to distribute a measured value of mean daily net radiation which can be used as input for deriving detailed estimates of evaporation. The second objective is to, and 2.) E examine impacts of spatial variations of the key underlying surface variables on daily estimates of evaporation, including smaller point-scale and larger areal estimates.
- 15 Methods applied here integrated high <u>spatial</u> resolution <u>aerial</u> imagerys with an initial pixel size of less than 5 m <u>collected</u> taken during a flight on Aug 5, 2007 over a <u>rollingeomplex</u> prairie <u>agricultural</u> landscape. It also included point measurements of <u>and</u> surface reference data, <u>measured at a point</u>, a physically-based evaporation model, and <u>analysis using ArcGIS (v9.x) softwareGIS</u>. Estimates of <u>meanmean</u> daily 'actual' evaporation were calculated with <u>a-the</u> complementary feedback model <u>of introduced by</u> Granger and Gray (1989). The model <u>is a</u>
- 20 <u>useful alternative to more complex methods that require resistance parameters and is</u> is well suited for a variety of Canadian environments (e.g. agricultural, prairie and boreal). and does not generally require detailed soil moisture information (except under severe moisture stress).
- 25 and unmanned aerial vehicles (UAVs). Due to technological advances there has recently been a tremendous increase in application of UAVs for field research, particularly in regards to agricultural crop improvements through field-based phenotyping (e.g. Chapman et al., 2014; Yang et al., 2017)

2 Study area

A case study was conducted on Aug 5, 2007 at St. Denis National Wildlife Area (SDNWA) located-in the parkland
 30 ecoregion of the Canadian Prairies in central Saskatchewan (see Armstrong et al., 2008). Figure 1 shows a photo of the study region taken during the study flight on Aug 5 to capture visible and thermal images from hand held cameras. The landscape was characterised by hummocky, gently rolling terrain, and a few slopes of up to 10 – 15 %. Elevations ranged from 540 m to 565 m and land use consisted of mixed cool season grasses, tall, brome grass, cultivated land, and wetlands surrounded by trees rings or dense grass; all grasses and crops were C3 typeses. The

35 soil region was-is classified as dark brown chernozem and soil texture is predominately silty loam (van der Kamp et al., 2003).

3 Data and methods

5

15

25

3.1 Granger and Gray evaporation model (G-D model)

Granger and Gray (1989) developed the <u>'</u>G-D' model from the complementary relationship of Bouchet (1963) and Penman's (1948) combination model. The G-D model extends the potential evaporation model to non-saturated surfaces using the relative evaporation term, <u>G. This is</u> ; defined as a ratio of actual to potential evaporation <u>which</u>

depends on the relative drying power of the air, *D*. The underlying theory behind this is based on the reduction of water availability as a is that as a surface dries the water availability is reduced but the 'potential' evaporation increases due to a subsequent rise in surface temperature.

<u>The Integration development of of</u> G eliminated the need for observations of surface temperature and vapour pressure. As a result, estimates of actual evaporation were obtainable for non-saturated surfaces with atmospheric data alone (Granger, 1989). The <u>general form of the equation is</u>:

$$E = \frac{\Delta G(Q^* - Q_g) + \gamma G E_A}{\Delta G + \gamma} .$$
⁽¹⁾

The available energy term is driven by net radiation, Q^* (W m⁻²) calculated as a sum of the net shortwave and longwave radiation components <u>and</u>, the ground heat flux, Qg is (W m⁻²) which is assumed to be negligible (balances) for daily estimates, and slope of the saturation vapour pressure curve, Δ .

The aerodynamic term includes the psychrometric constant, γ , and "drying power of the air", E_A , calculated using a Dalton type formula:

$$E_A = f(u)(e_a^* - e_a)$$
, (2)

where f(u) is a wind-vapour transfer function, and the atmospheric vapour pressure deficit at 2 m height is derived 20 from e^*_a (saturated) and e_a (actual). Pomeroy et al. (1997) empirically derived f(u) as a function of wind speed and aerodynamic roughness heightlength, z_0 (m) from extensive field data collected for prairie, boreal forest, and northern cold region environments in western Canada:

$$f(u) = 8.19 + 22Z_0 + (1.16 + 8Z_0)u ,$$
(3)

where *u* is the <u>meanmean</u> daily wind speed (m s⁻¹).

The G-D complementary feedback method is driven by the non-linear relationship between G and the relative drying power of the air, D:

$$G = \frac{1}{0.793 + 0.2e^{4.902D}} + 0.006D , \qquad (4)$$

where D is a function of the humidity deficit and available energy stated asgiven by:

$$D = \frac{E_A}{E_A + \frac{(Q^* - Q_g)}{\lambda}} , \qquad (5)$$

30 and λ is the latent heat of vaporisation (kJ kg⁻¹).

The feedback model approach is generally applicable for regions indicated above and can be used when detailed soil moisture information is lacking, except under conditions of severe moisture stress. Armstrong et al. (2008)

evaluated point scale evaporation estimates obtained with the G-D model three physically-based point scale evaporation models over mixed grasses at the same study area under conditions of non-limiting soil moisture using field data collected during 2006. Estimates obtained with the G-D model compared well with eddy covariance (EC) measurements and was less data intensive than the Penman-Monteith model which also performed well in

5 <u>that study. performed well.</u>

Armstrong et al. (2010), however, found the use of appropriate soil moisture constraints was required to obtain reliable estimates with the G-D model <u>when applied under drought conditions to a mixed prairie site at Lethbridge</u>, <u>Alberta, Canadaunder drought conditions</u>.

3.2 Field observations

- 10 Inputs needed to parameterise Eq. (1-5) included meanmean daily net radiation, air temperature, humidity, wind speed, and surface roughness heightslength. Outgoing radiation components were derived from high resolution digital (Canon Powershot A70 camera) and thermal (FLIR ThermaCAM P20 imaging radiometer) images taken on Aug 5, 2007 during a Cessna flight at midday. Meteorological and surface observations (radiation components, temperature, humidity, wind speed) Incoming radiation andwere recorded _-atmospheric reference data were
- 15 measured at two station locations for this study, which could be used as either reference or validation sites depending on local weather conditions. Observations of Nnet radiation observationswere also available from at an additional location which provided a second validation site for validating estimated values.

Figure 1 shows the <u>relative locations</u> <u>station</u> <u>which included one reference site and one validation site locations</u>. <u>including oneAn independent</u> <u>externally operated</u> <u>station</u> <u>operated</u> by the National Water Research Institute

- 20 (Environment Canada, Saskatoon) was also available which provided a second validation site for estimates of daily net radiation. Air temperature, humidity, wind speed, incoming radiation and surface temperature were recorded as 15 min averageaveragess. Incoming and outgoing shortwave and longwave radiation were measured with a CNR1 net radiometer (Kipp and Zonen, Delft, The Netherlands). Air temperature and humidity were measured at 2 m height using a shielded Vaisala HMP45C (Campbell Scientific, Inc. Logan, Utah). A shielded Exergen
- 25 infrared temperature sensor, IRTC (Exergen, Watertown, Massachusetts) was <u>also</u>used to measure surface temperature.

Canopy spectral reflectance was independently sampled on Aug 21, 2007 for validating albedo estimates derived from the visible images taken on Aug 5. Canopy reflectance was collected according to the methods of Disney et al. (2004) and Zhang and Guo (2007). Samples were taken at 4.5 m intervals along a site transect (see Fig. 43) at 1 m height at nadir (25° field of view) with an ASD FR Pro spectroradiometer (Analytical Spectral

30 Fig. 43) at 1 m height at nadir (25° field of view) with an ASD FR Pro spectroradiometer (Analytical Spectral Devices, Inc. Boulder, Colorado); spectral range of 350–2500 nm with 1 nm resolution. Samples were taken between 12 noon and solar noon local time. Reflectance was recalibrated every 10 min using a white spectralon reflectance panel (Labsphere Inc. North Sutton, New Hampshire).

Eddy covariance (EC)-observations were taken sampled at approximately 2 m height with a three-dimensional sonic anemometer, CSAT3 (Campbell Scientific, Inc. Logan, Utah) and an ultraviolet krypton hygrometer, KH20 (Campbell Scientific, Inc. Logan, Utah). <u>The raw EC data was reported as 15-min averages and post-processing</u> was done with MATLAB (Mathworks, Natick, MA, USA) which included flux correctionsed using a standard planar-fit axis rotation and correction algorithm (Wilczak et al., 2001). Data filtering indicated For the there were no missing or bad data values on the study day day of the case study, and station positioning was not considered to be an issuethe EC measurements were of research quality and no gap filling was necessary, and positioning of the tower was not an issue. EC data collected at the validation site was used for a comparison against a mean estimate obtained from the G-D model upwind of the EC station.

Given the higher frequency of flux reporting (15 min averages), axis rotation and data filtering applied, and potential mismatch in measurement footprints, no further corrections were used to force energy balance closure on the 15 min averages.-The EC data was corrected using a <u>standard</u>-planar-fit axis rotation and correction algorithm (Wilezak et al., 2001).

10 <u>3.3 Surface reference meteorological parameters</u>

At midday, reference observations measured reference values of the incoming shortwave, $K\downarrow$ (835 W m⁻²) and longwave, $L\downarrow$ (320 W m⁻²) irradianceradiation and albedo (0.153 over grass) were obtained from the CNR1. Both $K\downarrow$ and $L\downarrow$ were assumed to be uniform over the field given the images used for calculations were cloud free. The reference values were used in the calculation of the midday normalised ratiometric indexes for albedo and net

15 radiation. A mean daily reference value of 155 W m⁻² was obtained for Q* which was the input for distributing the final estimates of the mean daily net radiation using the ratiometric index (discussed in Section 3.4).

The daily ground heat flux measured at two locations was relatively small, 8 W m⁻² and 2 W m⁻², due to the continuousmplete and tall grass canopy cover. For this study the ground heat flux was ignored, in part due to the small measured values, b₇ But also to limit errors introduced from using a numerical solution to estimate ground

Potential impacts of assuming uniform humidity and wind speed for parameterising the aerodynamic term was

- 20 heat flux, which would require more detailed cover information at each image pixel. To standardise parameterisation of the G-D model for calculating mean daily estimates of evaporation, the reference values of mean daily air temperature (19.6 °C), humidity deficit (1.1 kPa) and wind speed (3 m s⁻¹) were also taken to be uniform. Differences in mean daily air temperature between the measurement locations were approximately 1 °C.
- 25 also considered. This was done by examining G-D evaporation estimates derived from 2006 field data collected over different surfaces at the same study area (Armstrong et al., 2008). During 2006 a fixed station obtained continuous measurements over a mixed grass upland and a portable EC station was moved periodically to obtain concurrent measurements over different land covers. Meteorological observations from the fixed station were initially used to estimate evaporation with the G-D model. Another estimate was obtained after substituting
- 30 observations of humidity and wind speed taken from the portable EC station. This resulted in only minor variations in the respective evaporation estimates (RMSED = 0.02 mm) derived from the concurrent measurements of humidtyhumidity and wind speed taken from the different sites.

<u>Implications of neglecting the ground heat flux and treatement of the meteorological parameters</u> (above) with respect to modelling uncertainty are given in the discussion.

35

3.3.13.4.1 Theoretical basis for a normalised <u>ratiometric</u> index <u>for surface properties of relative ratios</u>

Remotely sensed images contain valuable information that characterise highly variable surface properties (e.g. reflectance, temperature, RGB and greyscale DNs, etc.). Theoretically, relative variations in these properties can

5

10

25

30

be quantified using a "normalised" <u>ratiometric</u> index <u>of relative simple</u> ratios. For example, <u>here we</u> consider the 'evaporation ratio' (E_R) defined simply as the ratios of individual evaporation rates at different spatial locations (E_i) to a reference rate obtained at a specified location (E_{ref}):

$$E_R = \frac{E_i}{E_{ref}} \ . \tag{6}$$

<u>A</u>Therefore, at the reference location $\underline{E_R} = 1$ and will be the same at any any other locations where $E_i = E_{ref}$, $\underline{E_R} = 1$ -but will ould vary from unity at all other spatial locations.

For obvious reasons these principles<u>the theory</u> integrates well for computations with pixel-based images. Subsequently, an evaporation rate measured at the reference pixel could be scaled to all other pixels by multiplication with the value of E_R at each pixel. These methods concept ean-may be extended to other surface variables (e.g. albedo, net radiation etc.) required to parameterise an different evaporation models.

15 <u>3.3.23.4.2</u> Distributing surface variables using a normalised index of relative relative surface ratios

Methods described here assume spatial variations in surface variables driving net radiation (and driving evaporation) are near their maximum around solar noon. This is likely to be valid within 2 hours from the actual time of solar noon (Colaizzi et al., 2006). Net radiation is the major component needed to determine available energy for the conversion of water to an equivalent depth of water vapour. Net radiation is determined from the

20 shortwave and longwave radiation components measured in the electromagnetic spectrum between approximately 0.3 - 4 μm and 4 to 14 μm respectively (Liang, 2004; Zoran and Stefan, 2006).

Traditionally, radiative terms needed for estimating evaporation are derived from satellite-based imagery, e.g. Landsat, AVHRR (Advanced Very High Resolution Radiometer) and MODIS (Moderate-resolution Imaging Spectroradiometer). However, satellite-based methods are continually often limited by cloud contamination, varying spatial and temporal resolutions and sensor footprint mismatches. Under relatively clear skies it can be assumed incoming-shortwave and longwave irradianceradiation are uniform over an agricultural large-field areaareas. Very high resolution images taken near the surface can could then be used to derive the much more variable surface reflected shortwave and emitted longwave radiation components.

For example, normalized_normalised ratiometric indexes of simple-ratios for albedo, α_R , emitted longwave, $L\uparrow_R$, and roughness heightlength, z_{oR} , can be calculated at every pixel location within visible and thermal images usingfollowing:

$$\alpha_R = \frac{\alpha_i}{\alpha_{ref}} , \qquad (7)$$

$$L\uparrow_R = \frac{L\uparrow_i}{L\uparrow_{ref}} , \qquad (8)$$

$$z_{o_R} = \frac{z_{o_i}}{z_{o_{ref}}} , \qquad (9)$$

where subscript 'i' is <u>an</u> individual values at each pixel and '*ref*' is the value at the reference pixel. (where $\alpha_R = 1$). Incoming shortwave (K \downarrow) and longwave radiation (L \downarrow) components can reasonably be assumed to be uniform over the field <u>under clear skies</u>, so α_R and $L\uparrow_R$ can be further integrated to derive a <u>normalised ratiometric</u> index of the midday net radiation, Q^*_{R} , stated simply as:

$$Q_{R}^{*} = \frac{Q_{i}^{*}}{Q_{ref}^{*}} = K \downarrow \left(1 - \alpha_{R} \alpha_{ref}\right) + L \downarrow -L \uparrow_{R} L \uparrow_{ref}.$$
(10)

Subsequently, a-single measured values of <u>albedo and meanmean</u> daily net radiation taken at <u>the a</u> reference pixel can be scaled (<u>i.e. distributed</u>) across all other pixels via multiplication with the <u>simple-surface</u> ratios derived for Q^*_R . The next section illustrates the indexing method for deriving accurate estimates of albedo.

10 3.3.33.4.3 Normalised Ratiometric index method for albedo estimates from digital visible images

Surface albedo (α) represents a crucial radiation loss term for radiative transfer calculations and surfaceatmosphere energy and mass exchanges (Sellers et al., 1997; Liang, 2000; Lucht et al., 2000; Roberts, 2001; Liang et al., 2003; Disney et al., 2004; Liang, 2004. Its calculation can be complex and is typically a major source of uncertainty (Yang et al., 2008).

- 15 In this case, a measured value of broadband albedo obtained at a reference pixel location was-could be scaled to every other pixel within a high resolution visible image-using Eq. (7). In 2007, digital photos were taken with a Canon Powershot A70 camera; max resolution 2048 x 1536 pixels, CCD (charge-coupled device) imager, DIGIC (Digital Imaging Core) processor. This resulted in very high resolution (< 1 m pixels) 'visible images' without cloud cover issues.</p>
- 20

5

While digital cameras may not cover the full visible and near-infrared spectrum of advanced measurement sensors, the imaging techniques are still based on the same principles. Corripio (2004) demonstrated how accurate estimates of snow albedo could be obtianed from digital images using a linear scaling technique applied to a measured albedo at a reference pixel location. A key step for our analysis was to transform an RGB digital photo to a single band 8-bit greyscale image with *DNs* (Digital Numbers) ranging from 0 - 255.

25

30

This resulted in higher *DN*s associated with more reflective surfaces (i.e. brighter) and lower *DN*s less-with less reflective surfaces (i.e. darker) in accordance with principles for calculating albedo. The resulting albedo map was aggregated to a pixel size of 5 m for a practical analysis and georectified to 100 GPS ground control points. The study area included <u>some dried</u> wetlands <u>and others</u>-containing open water..., so This allowed for application of a simple Dark Object Subtraction (DOS) method to was used to correct for potential atmospheric effects (Song et al., 2001; Liang, 2004).

Applying Eq. (11) made it possible to accurately estimate albedo at individual pixel locations, α_i , from a measured broadband value taken at the reference location, α_{ref} , and a normalised-ratiometric index for *DNs* calculated at each pixel:

$$\alpha^{i} = \alpha_{ref} \frac{DN_{i}}{DN_{ref}} , \qquad (11)$$

where DN_i is the digital number of an individual pixel and DN_{ref} is the reference pixel value.

Angular measurements of broadband albedo from $0.3 - 3.0 \,\mu\text{m}$ with a hemispherical CNR1 directly accounted for bidirectional reflectance properties of the mixed grassed surface. Therefore, the point observations satisfied the bidirectional reflectance considerations related to albedo estimation techniques (Nicodemus et al., 1977; Lucht

5 <u>et al., 2000; Roberts, 2001).</u>

Figure <u>32</u> shows a sample of measured reflectance values from thea grassed upland area. The spectral reflectance from the mixed grasses was virtually identical to the response for healthy (green) winter wheat (see Disney et al., 2004). For wheat, they concluded reflectance was directionally invariant and reasonably could be assumed to behave as a Lambertian surface (i.e. scattering light equally in all directions). The field measured

10 <u>spectral reflectance over the grassed surface was therefore treated similar to a Landsat measured reflectance and</u> divided into respective wavelengths for Landsat wavebands 1, 3, 4, 5, and 7.

An empirical linear approximation for narrow-to-broadband albedo conversion applicable to Landsat imagery (see Liang, 2000) was then applied to the field measured spectral reflectance data. This allowed for a direct

15 comparison of albedo estimates and measurements along the sampling transect shown in Fig. 13. Due to the 4.5 m sampling distance some pixels contained a single value whilst others contained two values, in which case the averagemean value was takenused for comparison.

3.3.43.4.4 Surface temperature (emitted longwave radiation)

20 For the case of surface temperatures the indexing method was not <u>needed applied to generate distributed estimates</u> because high resolution observations were <u>obtained</u> directly <u>obtained</u> with a hand held Forward Looking Infrared (FLIR) ThermaCAM P20 imaging radiometer. The P20 used a Focal Plane Array, uncooled microbolometer, with a maximum image resolution of 320 x 240 pixels, a 24° by 18° field of view, and spatial resolution of 1.3 milliradians. The spectral range was 7.5 – 13 µm which is similar to traditional satellite sensors (e.g. Landsat,

25 MODIS, and AVHRR 10 – 12.5 $\mu m,$ and ASTER 8 – 12 $\mu m).$

30

A standard emissivity of 0.98 was assumed for the cover types encountered, and ambient air temperature / humidity and distance between the surface and camera detector were set based on observations.

The Stefan-Boltzmann equation was applied to transform surface temperatures into values of outgoing longwave radiation needed to estimate the net radiation. For the flight height of approximately 1 km the FLIR produced a surface pixel resolution of approximately ≤ 3 m. The longwave radiation map was then aggregated to 5 m resolution and then georectified using the resulting map of albedo estimates as the for reference.

3.3.5<u>3.4.5</u> Surface roughness length

The study area is characterised by a complex landscape with rolling terrain covered by and broad region land covers such as tall grasses, cultivated land and narrow rings of tall grasses, tall shrubs or tall trees surrounding

35 ponds and dried wetlands (see Fig. 1.). Such surface characteristics (e.g. discontinuities and protrusions) are

expected to produce variations in wind speed and turbulent energy through interactions with the different surface types and roughness.

Surface–Variations in the aeordynamic roughnesss length, z_o and wind speed is agree important – critical component factors considered in the turbulent transfer function used for for calculating the aerodynamic terms

- 5 ealeulations of the G-D model (Eq. 2 and 3). Previous developments (e.g. P-omeroy et al., 1997) have considered boundary layer (friction velocity) and surface parameters (roughness length) estimated from EC measurements and wind profiles over cold region, boreal forests and Canadian Pprairie region land covers such as bare soil, and C3 type crop and grasses.
- Due to the general surface complexity at the study area For our purposes roughness classes for z_o were needed 10 to adjust the vapour transfer function to reflect potential increases or decreases in turbulent exchanges as result of variations in surface properties and local roughness. For example, lower values of z_o associated with fallowed areas and crops would imply increased wind speeds near the ground and a reduction in turbulent energy. In contrast, larger z_o values associated with taller dense grasses, shrubs and trees would effectively reduce wind speeds and increase turbulent exchanges.
- 15 , zo was needed for calculting the "drying power" term in the G-D model. In this case, For our the purposes here, a roughness length classification map for z_o values was derived from the 8-bit grayscale image used for estimating surface albedos. This was acheived achieved based on knowledge of the various land covers heights at the site and and segmentation analysis using the IDRISI Kilimanjaro surface analysis tool (Clark Labs, Clark University, Worcester, Massachusetts).
- 20 Greyscale DNs were initially classified into 13 zones of similarity and a segmentation analysis was applied. The method computes a standard deviation for each pixel using a 3x3 moving window filter; the standard deviation and associated DN for each pixel is then sorted (low to high) and a bin range assigned; a class width tolerance was set for pixels having similar standard deviations and all values within a specified range were assigned to the same class. Where pixel values were outside the range, but class boundaries overlapedoverlapped, a mid-point was 25 determined and a new class is created.

The initial 13 classes were -manually reclassified into three general classes (fallowed/cropped, grassed, and tree-srings) based on a the extents of the visual comparison of dominant land cover types observed in the original and classified images. Representative Characteristic roughness heightslengths, z_{ρ} were then assigned to selected for each class based on standard-values reported for similar surface types -conditions-(Brutsaert, 1982).

30 In this case, a value of $\div 0.05$ m was used for the fallowed/cropped class and 0.10 m for the taller more dense grass class0.10 m for grassed areas. Narrow rings of s

The hrubs and trees around wetlands tree rings were also dense and much relatively taller than the surrounding cover with heights varying from 3 m to 10 m. Brutsaert (1982) indicates reported expected roughness heights lengths for vegetation ranging from 1 - 2 m and 8 - 10 m tall to be between 0.2 and 0.4 m- for similar type surface

35 elementsrespectively. The .- For simplicity, a value of 0.40 m was chosen to reflect an expected increase in roughness and turbulent exchange due to a likely reduction in wind speed compared to the surrounding cover types. assigned to all tree rings as they are assumed to have a simialr effect on turbulent fluxes and narrow. Agreas

between hillslopes where sharp changes in surface elements occured were also assigned a value of 0.40 m_beacause turbulent fluxes might be ehnaced similar to a 'bluff' rough surface (Brutsaert, 1982).

3.43.5 Exploratory data analysis of surface variables and model variability evaporation estimates

5 Exploratory analysis of key surface variables and evaporation estimates was conducted using the 'R' software environment (Grunsky, 2002). Data analysis consisted of boxplot summaries-using seven descriptive measures giving the 75th and 25th quartiles (i.e. the interquartile range) defined by the box. Upper and lower limits of box whiskers defined the 75th and 25th quartiles (i.e. the interquartile range), and an inner solid line indicating the -median value. -Data points were plotted with jitter to reflect the density of outliers greater than Values-1.5 times

10 larger or smaller than the interquartile range with upper and lower limits defined by box whiskers-were indicated as open points and considered outliers. The median and mean values were defined by a solid line and a point respectively.

4 Results and discussion

Calculating detailed daily evaporation estimates and examining spatial scaling issues required the midday inputs
 and temporal scaling function to be computed first. Analysis of midday inputs are described in sections 4.1 – 4.4.
 Sections 4.5 and 4.6 discuss the sensitivity of the G-D model to the midday evaporation ratio and the temporal transfer function required for scaling a single measured value of meanmean daily radiation across a midday image.
 Sections 4.7 – 4.9 discuss the accuracy of the resulting evaporation estimates, the variations in statistical distributions of of the energy and aerodynamic key driving components, and scaling implications for improving larger scale evaporation estimates.

4.1 Surface reference meteorological parameters

At midday measured values of the incoming shortwave, $K\downarrow$ (835 W m⁻²) and longwave, $L\downarrow$ (320 W m⁻²) radiation and albedo were obtained from the CNR1. Both $K\downarrow$ and $L\downarrow$ were assumed to be uniform over the field given clouds and shadows were not a factor. Air temperature was also assumed to be constant based on similarities at three measurement locations (see Fig. 1).

25 measurement locations (see Fig

Potential impacts of variations in humidity and wind speed were considered by examining G-D evaporation estimates derived from field data <u>collected</u> at two observation sites in 2006 (see Armstrong et al., 2008). During that period a portable EC/met station recorded observations over various land covers. A fixed station collected concurrent observations over a mixed grass upland. Meteorological observations from the fixed met station were

30 intially used to estimate evaporation. The estimate was then recalculated after substituting observations of humidity and wind speed taken from the portable EC site.

Results showed no variation in the respective evaporation estimates (RMSE = 0.02 mm) derived from humidty and windspeed data taken from two different measurement sites. As a result, the humidity deficit and wind speed were assumed to be uniform over the area and set to the observed midday values of 1.09 kPa and 3 m s⁻¹ respectively.

4.24.1 Validation of albedo estimates

Angular measurements of broadband albedo from 0.3 — 3.0 μm with a hemispherical CNR1 directly account for bidirectional reflectance properties of the mixed grassed surface. Therefore, the observations satisfy bidirectional reflectance considerations related to albedo estimation techniques (Nicodemus et al., 1977; Lucht et al., 2000; Roberts, 2001). At midday on Aug 5, 2007 a measured rreference albedo, *α_{ref}* = 0.153 was obtained from observed irradiance and reflectance of incoming and outgoing shortwave radiation over the mix of ed-green grasses. The albedo map resulting from Eq. (11) and locations of reference and validation sites-points is shown in Fig. 32.

10 Vegetation was similar at both <u>EC station</u> locations and the scaled albedo estimate (0.164) agreed well with <u>thea</u> measured value (0.167) at the validation site.

Validation of averagemean and range of albedo estimates obtained for major land covers in the imageFig. 3 is summarised in Tab. 1. Estimates of albedo from the image compared well with values expected for grasses, agricultural crops, deciduous trees, and gray bare soils reported in Brutsaert (1982). The root mean square error

15 (RMSE) of albedo estimates from the pixels and measured albedo values was approximately 3.5 % which is within an expected error of 2 % to 5 % for research purposes (Liang, 2004).

The 5 m resolution albedo map also-highlighted key-surface variations-information within the landscape that would be even-more generalised if using coarser data-were used. For example, the resulting-albedo map depicted distinct boundaries separating regions dominated by brome (BG) and mixed mixed grasses (MGMG),

- 20 cultivated/crop area (C), sparsely vegetated, fallowed area (F), and wetland fringe vegetation (WL). Also, wetland extents and fringe vegetation were observable in areas surrounded by other vegetation types (see Fig. 1 for reference). The detailed variations are expected to impact evaporation estimates through relative increases at pixels with relatively lower values of albedo which results in an increase in the available energy, whereas relatively higher values will reduce the available energy and estimates of evaporation.
- 25 Figure 3 shows a sample of measured reflectance values from the grassed upland area. The spectral reflectance from the mixed grasses was virtually identical to the response for healthy (green) winter wheat (see Disney et al., 2004). For wheat, they concluded reflectance was directionally invariant and reasonably could be assumed to behave as a Lambertian surface (i.e. scattering light equally in all directions). The field measured spectral reflectance over the grassed surface was therefore treated similar to Landsat measured reflectance and divided into 30 respective wavelengths for Landsat wavebands 1, 3, 4, 5, and 7.

An empirical linear approximation for narrow-to-broadband albedo conversion applicable to Landsat imagery (see Liang, 2000) was then applied to the field measured spectral reflectance data. This allowed for a direct comparison of albedo estimates and measurements along the sampling transect shown in Fig. 1. Due to the 4.5 m sampling distance some pixels contained a single value whilst others contained two, in which case the average

35 value was taken. The root mean square error (RMSE) of the estimates and measured albedo values was approximately 3.5 % which is within an expected error of 2 % to 5 % for research purposes (Liang, 2004).

4.34.2 Validation of longwave radiation estimates

Figure 4 shows the resulting map of emitted longwave radiation, $L\uparrow$ derived from the image of observed surface temperatures, with estimates . The ranging emitted radiation is estimated to be between 380 W m⁻² to- 480 W m⁻². Lower values are expected to be attributed to increased water availability and higher values to water limited

5 conditions due to reduced evaporative cooling. At the two EC station locations a comparison was was made among the midday surface longwave measurements from the P20 camera, a a narrow-beam Exergen infrared thermocouple (IRTC) radiometer and surface emitted longwave obtained from the CNR1.

The P20 measurement compared well with the IRTC values with differences less than -12 W m⁻². Compared with the CNR1 values the differences where slightly larger at -30 W m⁻² but was still within 8 $\frac{1}{2}$ error. <u>Generally</u>,

- 10 the differences were small considering relative changes in midday surface net radiation and the magnitude of incoming radiation components is considerably larger. The differences may be partly attributed to variable footprints of the different measurements and the absorption properties of water vapour which can (reducinge the signal are likely sources of error) and the variable measurement footprints. Also, dust and heating of the CNR1 downward facing pyrgeometer can-may introduce another source of error.
- 15 For estimating evaporation, the smaller values of emitted longwave resulting from lower surface temperatures will increase the available energy relative to larger values which imply reduced water availability and evaporative cooling. Generally, the differences were small considering relative changes in midday surface net radiation and the magnitude of incoming radiation components is considerably larger.

4.4<u>4.3</u> Surface roughness height length map

- Figure 5 shows the resulting <u>classified</u> surface roughness <u>heightlength</u>, z_o map. A visual comparison with <u>the study</u> area map (Fig. 1) and albedo map (Fig. 3) the longwave radiation map in Fig. 4 suggests the classification map provides a physically realistic representation of variations in roughness over a large portion of the study area. Notable variations changes in the reflected surface properties and roughness length classification and surface temperatures among the key land cover types were can be observed in both images. Pparticularly where distinct
- 25 boundaries separate the transition between the dominant cover types for areassuch as covered bywithwhere taller shrubs and a ring of trees surround around a ponds, rings compared to more broad regions of the ,-fallow and /cropped areas and mixed grassgrasseses, and the distinct boundaries separating these cover types.

4.54.4 Sensitivity of the evaporation ratio to key variables at midday

<u>NThe normalised indexes derived for albedo, surface temperature and roughness with Eq. (7 - 9) were applied 30 considered here to examine the relationships of variations in these variabless with compared to the evaporation ratio. Figure 6 shows the expected physical behaviour of these variables within the G-D model. Only the actual range of values computed for the ratiometric index for the normalised indexes was considered so the physical variations may could be shown more clearly. In this case, the impacts of relative changes in the apparent inverse linear relationships between E_R and α_R and $L\uparrow_R$, and slight non-linear relationship between E_R and z_{oR} on</u>

35 <u>evaporation estimates can be computed</u> There is an apparent inverse linear relationship between E_R and a_R and $L\uparrow_R$; and a slight non-linear relationship between E_R and z_{oR} . For example, a relative increase in the surface temperature via $L\uparrow_R$ of 0.18 (or 18 %) was shown to reduced E_R by 10 %. By comparison, an increase in reflected shortwave radiation via a_R of 0.30 (or 30 %) reduced E_R by only 5 %. In the case of surface roughness, an increase of 250 % was needed to reduce E_R by 10 %. Consequently, a relative reduction in evaporation rates is may be expected where albedo, surface temperature and surface roughness

5 tends to be higherlarger. In the latter case, step changes in surface roughness would appear to increase the relative drying power, D but the relative evaporation, G results in a relative decreases as a result ofdue to the inverse non-linear relationship. However, the impacts of relative changes among these variables is of greater interest here.

For example, a relative increase in $L\uparrow_R$ of 0.18 (or 18%) reduced E_R by 10%. By comparison, an increase in α_R of 0.30 (or 30%) reduced E_R by only 5%. In the case of surface roughness, an increase of 250% was needed to reduce E_R by 10%. The increased sensitivity of E_R to $L\uparrow_R$ also indicates detailed spatial variations in surface

longwave radiation is an important factor for estimating evaporation.

10

15

scaling function.

4.64.5 Temporal transfer function: normalised ratiometric radiation index-for a simple radiation ratio

Net radiation is known to vary dynamically on a sub-daily basis. Eq. (10) shows how a radiation ratio, Q^*_R can be used as a temporal transfer function to scale estimates of <u>meanmean</u> daily net radiation over an image. In order to scale the normalised net radiation ratios from a temporal "point" at midday to a <u>meanmean</u> daily value it was necessary to examine whether a stable proportionality existeds between measured values at midday and <u>meanmean</u> daily net radiation. <u>Verification of a proportionality under clear skies would eliminate the need for an empirical</u>

Historical records were examined for a period from May 1 – Sept 1 at three Canadian prairie locations at similar
latitudes (49° – 52.2°). The analysis included two field seasons at the SDNWA study site (2006-2007). Archived data was also obtained at two short grass prairie locations; an Ameriflux network site at Lethbridge, AB (1999-2004), and Kernen Farm located at Saskatoon, SK (1999-2000). Figure 7 shows a moderately strong relationship at each location; r² = 0.54 - 0.6. These results suggested the relationship between midday and daily net radiation might bewas stronger when midday net radiation exceeds 400 W m⁻², or likelywhich is more likely on-for relatively
generally cloud-free days.

Confirmation of an existing proportionality eliminated the need for an empirical relationship, and suggestss daily net radiation, Q^*_d can could be scaled to all pixels across an image from a single measured reference value, Q^*_{dref} , as a function of the normalised ratio index for Q^*_R at midday, stated here as:

$$Q_d^* = Q_{dref}^* Q_R^* \quad . \tag{12}$$

30 Q^*_{dref} was assigned a <u>daily</u> measured reference value of 155 W m⁻² which was obtained from the CNR1 at the reference station. Q^*_R was derived from Eq. (10) using the measured reference values of incoming shortwave and longwave radiation components, as well as the albedo and emitted longwave maps as input. The resulting meanmean daily net radiation map and a comparison of estimated and measured Q^*_d at two validation locations is shown in Fig. 8. The main validation site was equipped with a CNR1 and the second independent site maintained

35 by Environment Canada was equipped with an NR Lite radiometer (Kipp and Zonen, Delft, The Netherlands).

The distributed estimates of daily net radiation ranged from approximately 120 to 190 W m⁻². The Q^*_d estimates at the validation sites was 6 W m⁻² higher (4 % error) compared to the CNR1 measurement and 11 W m⁻² lower (8 % error) compared to the NR Lite observation. These results would indicate that indicate accurate estimates of net radiation can be indexed scaled across detailed midday images from a single measured value of meanmean daily

5 net radiation. More importantly, <u>detailed</u> accurate estimates of net radiation would be valuable for improving <u>point</u> scale <u>larger scale</u> evaporation estimates.

10

25

4.7<u>4.6</u> Calculating direct estimates of actual evaporation with the G-D model

The meanmean daily net radiation map derived from Eq. (12) was supplied as input to the G-D model to estimate the meanmean daily "actual" evaporation at every land surface pixel. The soil heat flux was assumed to balance over the day. The reference air temperature, humidity and wind speed values (discussed earlier), and the surface roughness height length map were used for calculating the aerodynamic terms in Eq. (2 and 3 – 6). An estimate of meanmean daily evaporation was then calculated at every pixel location using based on Eq. (1).

The resulting map of distributed actual evaporation estimates is shown in Fig. 9. and aDue to the level of detail captured in the net radiation map of Fig. 8, the visual inspection of the map shows a physically realistic pattern of evaporation for the range of land cover types. For example, Agreas where vegetation was less densesparse (e.g. fallowed/cropped) and soil surface conditions were-were likely drier showed lower rates of meanmean daily evaporation and and higher rates were associated with the more dense grasses grassed areas(e.g. the upland area). The highest evaporation estimates were obtained where water availability is expected to be higher; e.g. among wetland fringes and some depressions where albedo and surface temperatures were lower, likely-which can be attributed to due to increased water availability and evaporative cooling.

The prevailing wind direction for the day (U_{dir}) was from a north-northwest direction. An <u>mean</u> evaporation estimate of 2.7 mm was obtained Eq. (1) along a linear transect for pixelspixels containing a brome grass surface immediately upwind of the EC station (Fig. 9.). Thi The estimate of 2.7 mm was 0.5 mm or 23 % higher than the s model estimate compared well with the EC measured meanmean evaporative flux of 2.2 mm/-day-over the same brome grass area. Based on the cumulative flux model of Schuepp et al. (1990) it is expected that 80-% of the cumulative flux would come from within an upwind distance of 100 m from the EC station along a similar path to the linear transect. The estimate of 2.7 mm/day might be lower if ground heat flux was found to be a factor for

reducing the available energy. However this would need to be reliably accounted for at every 5 m pixel.
 On the study day the mean daily energy fluxes in W m⁻² (including latent, LE and sensible heat, HE) and
 ratio of the energy balance closure at the validation site was: (LE + HE) / (Q* - Qg) = (63 + 55) / (144 - 2). This results in an energy balance closure of approximately 83%. The resulting Bowen Ratio of 0.87 was reasonable for the drying conditions and later timing in the growing season for the grasses in this semi-arid region. In this case it is expected there will be uncertainty in both estimated and measured EC fluxes due to modelling and measurement errors and different footprint scales. For instance, Lit is possible that LE and HE could be under-measured or the

35 ground heat flux more variable upwind of the EC station than estimated.

<u>Measured evaporation rates</u> <u>Observations</u> were not available for trees <u>rings</u> (dominated by Aspen) in 2007 but <u>the G-D</u> estimates were compared against archived values from BOREAS data for an Old Aspen site from August, 1996. The G-D meanmean daily values in the order of 3 mm were reasonable compared to evaporation from <u>similar trees</u>-rings-reported by Hogg et al. (2000).

- In general, The results presented here are important for two reasons, 1.)the results are instructive because t the reliability of the G-D method has been demonstrated to provide a reasonable estimate of evaporation from the remote sensing images taken for over a complex landscape, is clearly demonstrated, and Armstrong et al. (2008) have also showed shown similar accuracy for daily and multi-day periods from meteorological data alone during the 2006 field season at the same study area. More importantly, , and more importantly, 2.) the ratiometric index methods of scaling applied here would may be valid for scaling distributing surface radiation components across
- 10 remote sensing imagery obtained from satellite, <u>planes</u> or <u>near surface</u> aerial platforms such as UAVs.

4.84.7 Distributions of evaporation and driving surface variables

The following sections briefly discuss the statistical distributions of the driving variables obtained from the images used for estimating evaporation and their impacts on the resulting evaporation estimates. A key advantage of methods applied The benefit here is the statistical distributions of evaporation and key driving factors are considered to be physically meaningful meaningful.

Figure 10 indicates shows the frequency distributions of evaporation estimates and relative contributions for the energy balance and aerodynamic components from images taken on Aug 5. G-D model were evaporation estimates appear normally distributed with a and. The G-D model calculated an averagemean of 2.8 mm-/-day and a relatively low coefficient of variation (cv = 0.07). and the coefficient of variation (cv) was relatively small at 0.06.

20 at 0.0

15

The frequency of evaporation estimates attributed to the energy balance and aerodynamic components is shown in Fig. 11. Interestingly, these distributions Distributions for the energy and aerodynamic terms were notably different from each other as a result of<u>due to</u> complex-interactions among the driving variables and different roughness classes. For the energy and aerodynamic components, the<u>the</u> energy component the <u>distribution</u> was

25 continuous with a respective distribution means were of 1.1 mm and cv of 0.11. The distribution mean for the aerodynamic term was 1.7 mm and was bimodal due to the differences in magnitude of the discrete roughness classes used and 1.7 mm and the cv for each was 0.11 and 0.15.

<u>Figure 11 shows Boxplot boxplot summaries of the distributions for albedo (α), surface temperature (Ts), net radiation (Q^*), relative evaporation (G), mean daily evaporation (E), and G plotted against net radiation. In the</u>

- 30 case of α , values below approximately 0.10 and lower "outliers" can be attributed to uncertainty associated with the wetland vegetation and likely where surface water was not completely masked out near the edges of ponds. In general, depict some notable differences the distributions for α and Ts which drive the net radiation appear to show more notable variability compared to Q^* and the resulting estimates of *E*. For example, the distributions of α and Ts showed opposite skewness, -0.83 and 0.36 respectively. The variability for α (cv = 0.19) was larger than Ts (cv
- 35 = 0.14), which is also much larger than apparent variability of both Q^* (cv = 0.65) and E (cv = 0.66). Mean values of the distributions for α , Ts, Q^* and E were close to the median values shown within the boxes depicting the interquartile ranges.

The resulting boxplot for G and plot of G against net radiation reflects the interaction across the discrete roughness length classes. The statistical distribution of G was not continuous due to the larger step change in roughness lengthheight from 10 cm to 40 cm. Plots of G against net radiation resulted in three distinct linear relationships which can be attributed to using a uniform mean daily wind speed and humidity deficit for calculating

5 the drying power of the air, E_A . In this case, the potential variability of G associated with the relative drying power, D is limited to variations in roughness length, but may vary more with changes in wind speed and the humidity deficit.

Generally, despite the larger variability of key factors driving the energy component the complex interactions within the G-D model appear to reduce the overall variability of evaporation estimates. For example, Fig. 12 shows

10 the albedo, α and surface temperature, Ts data were skewed in opposite directions; 0.83 for α and 0.36 for Ts. In the case of albedo, lower "outliers" were attributed to wetland vegetation and where surface water was not completely masked. Variability within the data was slightly larger for α (cv = 0.19) than for Ts (cv = 0.14). The range and standard deviation of evaporation estimates was much less than the net radiation when expressed in equivalent units (Fig. 13). However, they had similar variability, ev ≈ 0.07 which is relatively small compared to

15 ev values for α and Ts.

20

A boxplot summary for distributed estimates of G and general relationship with net radiation is shown in Fig. 14. The distribution of G was not continuous which can be attributed to the step change in surface roughness height from 10 cm to 40 cm. Plots of G against net radiation resulted in three distinct linear relationships. Increases in relative evaporation with net radiation in each case was due to the variability of surface state conditions across the field. More importantly, there was evidence of a non-linear, inverse relationship between the means of G and net radiation across the roughness classes. This type of relationship might influence upscaled estimates of evaporation when calculated from larger scale averages of driving factors.

4.8.1<u>4.7.1</u> Spatial vVariations within Roughness roughness length Classes classes

- Boxplots shown in Fig. 12 further characterise the spatial \forall variability of α , Ts, O^* , G and E within the three 25 roughness length classes within the roughness classes was considered further due to the relationship between G and net radiation. Figure 15 shows boxplot summaries for both α and Ts. In general, the plots depict notable shifts in the interquartile ranges of the distributions across the roughness-classes. Results of paired Kolmogorov-Smirnov tests for each variable showed statistical differences among the distributions for each roughness class where highly significant (p-value ≤ 0.001). General increases in albedo and surface temperature are clearly shown across the 40 30
- cm to 20 cm and 10 cm roughness length classes.

Consequently, there was a notable reduction in net radiation and similar reduction in evaporation estimates moving from the higher to lower roughness length classes. Figure 12 also clearly shows evidence of a non-linear, inverse relationship between G and net radiation across the roughness length classes. The respective distributions for α do not overlap due to the segmentation procedure used on the visible image. Albedo was considerably skewed

35 in opposite directions for the 5 cm and 40 cm roughness heights; 1.4 and 1.6 respectively. In contrast, for the 10 em roughness height the distribution appeared to be symmetrical (skew - 0.04) and showed less variability. This is not surprising given that this roughness class was comprised of various tall grass species.

By comparison, distributions of Ts overlapped considerably across the roughness classes. However, the interquartile ranges were noticeably offset with an increase in roughness class. Also, the skew notably shifted from left to right with increasing roughness; 0.32, 0.42, and 0.62. Figure 16 provides a summary of net radiation and evaporation within each class. When considered on appropriate scales the relative locations of the interquartile

5 ranges, mean and median, skew, and "outliers" of these boxplots were similar within each roughness class. The data also exhibited lower variability (ev = 0.028 - 0.042) compared to the areal distributions of net radiation and evaporation (ev = 0.066) shown previously in Fig. 13.

In general, the relative shifts in mean α and Ts (Fig. 15) and net radiation (Fig. 16) among the roughness classes appeared to be linear. In contrast, Fig. 17 shows a non-linear behaviour for mean values of *G* with increased roughness. The offset between the 5 cm and 10 cm roughness class heights was much smaller compared to the 10

cm and 40 cm roughness classes. As a resultIn this case, -the interaction between G and Q* appears to offset the potential increases in averagemean estimates of E associated with an increases in available average energy availability would be offset by a non-linear reduction in average G. In the other words, the impact here is more likely to be a reduction in the variability of the evaporation estimates.

15 4.94.8 Scaling implications

10

There is a potential for areal estimates of evaporation to vary depending on how upscaled estimates are calculated from the underlying driving factors or the smaller point scale estimates, which is examined here. For example, a <u>Aaveragemean</u> areal estimate of evaporation calculated from all <u>image pixels</u> values was 2.8 mm/day which accounts for all of a large portion of the variability available from 5 m pixels. An areal estimate may also be

- 20 calculated as the <u>a</u> weighted <u>averagemean</u> of evaporation <u>festimates obtained for each rom all</u>-roughness <u>length</u> classes. This is similar to <u>the the a</u> mosaic approach <u>used within land surface schemes</u> which <u>usesbased on</u> fractional land covers areas within land surface schemes. The <u>meanmean</u> daily evaporation rates for the 5 cm, 10 cm and 40 cm roughness <u>length</u> classes <u>were found to be relatively were similar and but also</u> increased <u>at each with each step</u> change in roughness classheight as a result; 2.6, 2.8 and 3.0 mm/day respectively. <u>The distribution of land area</u>
- 25 associated with each roughness class was Approximately 48 % (of the area was classified with a 10 cm roughness height), 30% of the area was classified(-as-5 cm roughness), and 22% (40 cm roughness) of the area was classified as 40 cm. Therefore, a weighted areal evaporation (*Eareal*) can be calculated obtained by as:

$$E_{areal} = (0.30 * 2.6) + (0.48 * 2.8) + (0.22 * 3.0) = 2.78 \, mm/day \,. \tag{13}$$

- In this case there was<u>In this case there was only a smallno</u> difference in areal estimates obtained based on the distribution mean or a weighted mean based on fractional areas of the cover types within each roughness class. This may be partly due to the relatively small variation similarity in evaporation rates across the roughness length classes and the level of detailed variability captured by the 5 m pixel resolution. E_{areal} was recalculated using Eq. (13) with different combinations of the fractional areas which only produced a minor difference of ± 0.1 mm. In other words, in order for there to be a larger difference between the areal estimates, greater variability is may either
- 35 <u>be</u> required in the evaporation estimates distributed over the field or among the <u>averagemean</u> rates for each roughness class.

The mean areal estimate, E_{areal} of 2.8 mm/day for all pixels The areal estimate of 2.8 mm/day was considered against point measurements and estimates on the west side of pond 1. For this case E_{areal} was 0.6 mm higher than the 2.2 mm/day measured over the grassed location. E_{areal} was also 0.3 mm higher than the <u>a</u> G-D model estimate of 2.5 mm/day obtained from station meteorological observations <u>ofineluding</u> net radiation, air temperature,

- 5 humidity, and wind_speed. E_{areal} was only 0.1 mm higher than the areal estimate of 2.7 mm/day obtained from the 2000 m² grassed area upwind of the eddy covariance station. Variations among the estimates and measurements vary by approximately 22 % to 27 % are relatively small, which is not but not surprising given the differences in calculation techniques and potential mismatches in associated footprint scales.
- An upscaled areal <u>meanmean</u> estimate of evaporation can also be obtained from <u>meanmean</u> values of the key 10 factors driving the energy and aerodynamic terms. The general form of Eq. (1) can be <u>rewritten restated to derive</u> <u>the individual components more directlyas</u>:

$$E = \frac{\Delta G Q^*}{\Delta G + \gamma} + \frac{\gamma G}{\Delta G + \gamma} \quad . \tag{14}$$

For the entire area and also each roughness <u>length</u> class, <u>averagemean</u> values of the driving factors were derived and evaporation estimates were recalculated using Eq. (14). The relative evaporative contributions attributed to
the energy and aerodynamic terms are provided in Tab. 2. For the different roughness <u>length</u> classes the range of evaporation estimates attributed to E_energy was only 0.2 mm/day and nearly 0.7 mm/day for E_aero, and the difference in total evaporation, E_total was only 0.5 mm/day. A bias toward larger evaporation estimates might be expected given the increase in energy availability and enhanced turbulence with an increase in roughness height. However, the any-potential bias seems to bewas offset by the non-linear, inverse relationship between mean

20 values the interaction of G and Q^* , and also G and E_A .

Table 2 also compares evaporation estimates calculated from only <u>meanmean</u> values of G and Q^* and E_A to the expected rates for each roughness <u>length</u> class. "Expected" rates were calculated from the <u>meanmean</u> values for all pixels assigned within each roughness <u>length</u> class. Evaporation rates derived from the <u>meanmean input</u> values alone were <u>only</u> between 0.14 mm and 0.2 mm less than the expected <u>averages-mean rates</u> for each roughness

25 <u>length</u> classes. Upscaling the driving factors to the entire area also had no impact on the <u>resulting</u> estimate as the difference was just 0.1 mm.

4.104.9 Examining spatial covariance among key variables

Whether evaporation estimates might be influenced by a <u>spatial</u> covariance between driving factors was also examined. The Pearson correlation coefficient, r was used to evaluate correlations among the driving factors distributed over the field area. By definition, Pearson's correlation is the ratio of the covariance (the numerator)

30 distributed over the field area. By definition, Pearson's correlation is the ratio of the correlation two variables normalised by the product of their standard deviations as follows:

$$r = \frac{\sum \frac{(Xi - \bar{X})(Yi - \bar{Y})}{n}}{\sqrt{\frac{\sum (Xi - \bar{X})^2}{n}} \sqrt{\frac{\sum (Yi - \bar{Y})^2}{n}}},$$
(15)

where Xi and Yi are the respective values of the variables, the overbar denotes the mean value and n is the number of pairs. A strong correlation between two variables might suggest the existence of covariance that could influence upscaled estimates of evaporation. Given the roughness classes used represent discrete data, and a lack of <u>more</u> detailed meteorological data to parameterise the aerodynamic term, further evaluation in relationrelated to climate

5 factors would <u>be less not be meaningful</u>. So only an examination of the factors driving the energy term <u>can be are</u> considered here.

In this case, a potential covariance between G (dimensionless) and Q^* (expressed in mm/day) can be considered directly-because they are multiplied together. This is also true for G and E_A except that E_A had only three discrete values for roughness class. In both cases the covariance is expected to be negative due to the inverse relationship

10 among means. By rearranging Eq. (15) the covariance can be obtained by multiplying the correlation coefficient and the product of the standard deviations of Q^* (0.34 mm/day) and G (0.021 mm/day) depicted in Fig. 13 and 14. The correlation between Q^* and G over the field area produced a coefficient, r = -0.67.

When multiplied in series (r = -0.67)*0.34*0.02 this resultsted in a covariance of approximately -0.00496 mm/day. This result-suggests-spatial interactions between Q^* and G for the methods applied here would have no

- 15 further statistical influence on upscaled evaporation estimates. Unfortunately, no comment can be made regarding covariance between G and the turbulent flux component in the G-D model. Such analysis would require more detailed observations of air temperature, humidity and wind speed, and <u>likely_possibly</u> a more sensitive combination model. <u>HoweverNevertheless, the impact of combined interactions within the G-D model wasappear</u> to <u>effectively produce reduce the overall variability of point scale evaporation estimates and also reliable average</u>
- 20 estimates upscaled estimates derived from different computation methods for parameterising the model-of evaporation over larger scale areas.

4.10 General uncertainty of methods applied

 Implications of general modelling assumptions and uncertainty of the methods applied are briefly discussed here. The daily ground heat flux was considered to be negligible for the study day as the mean daily flux was relatively

 small at the two stations where there was good canopy coverage. Where local cover properties are similar (e.g. brome grass and mixed grass areas) the ground heat flux may be of a similar magnitude but would be greater where surface cover is less dense or where water availability is limited, due to differences in the surface properties.

Further, the relative evaporation, G which is based on the relative drying power, D integrates available energy which in this case directly includes the spatial variability of surface temperature. As such, evaporation
 might be overestimated in areas with more ground exposer and higher surface temperatures, where ground heat flux may be appreciably larger (e.g. fallowed/crop area). This interaction could result in a further reduction in the energy available for the evaporation estimate.

Vegetation at the study area were C3 types but the model behaviour may differ for C4 plants and may require new vapour transfer equations for surface types and plants other than which the G-D model was developed on. In the current study representative roughness lengths were selected based on reported values for surfaces of similar type and height. A larger uncertainty in evaporation estimates may be expected for the roughness length class associated with the shrub/tree areas which could result in variable changes in wind speed and enhanced turbulence associated with larger roughness lengths.

5 Summary and conclusions

- 5 This study examined spatial associations and physical interactions amongst key surface variables driving actual evaporation estimates, and impacts of their variations on various methods of upscalinged estimates to a larger area. The-methodss applied demonstrate how a-measured reference values of albedo and meanmean daily net radiation can be scaled accurately across a large field area for the purpose of deriving point scale estimates of evaporation at each pixel. This was achieved by computing a normalised normalised ratiometric index of relative simplesurface radiation ratios using from highly detailed midday visible and thermal images. At two validation sites estimates of
 - daily net radiation showed good agreement with measured values to within $4_-\%$ and $8_-\%$ error.

Estimates of meanmean daily actual evaporation were calculated at 5 m resolution with the G-D model.

The "upwind" daily evaporation rate estimated for a transect upwind of the EC station was 2.7 mm for Aug 5, 2007 which which was only 0.5 mm 23 % larger than the EC measured flux of 2.2 mm observed using eddy

- 15 eovariance. Offsetting interactions between the relative evaporation term and key surface variables effectively reduce the spatial variability of evaporation estimates. As a result, Ddifferences in amongst computed areal evaporation estimates were relatively small regardless of whether, 1. the different methods used to derive Rrepresentative average values of driving factors were used to parameterise the model, or 2. Aan average evaporation rate was derived from detailed estimates across the field. There was no evidence of a spatial covariance 20 between the spatial distributions of net radiation and G, so and therefore, no correction factor could bewas
- identified for for improving upscaled evaporation estimates.

Offsetting interactions between the relative evaporation term and key surface variables effectively reduced the spatial variability of evaporation estimates.

The scaling methods applied here to the energy terms using ratiometric indexes derived from detailed images could generate useful diagnostic information at other study locations and potentially over much larger areas. The methods applied here may also be instructive toward improving techniques for upscaling evaporation estimates to larger areas via traditional remote sensing or climate modelling, or using a different combination model. -It is expected the methods can be applied to visible and thermal images taken from cameras and sensors on a variety of sensing platforms (e.g. Satellites, planes and UAVs).

30 In particular, these methods would be valuable for research or other applications where detailed images can be obtained from digital cameras and sensors mounted on UAVs. The methods applied here may also be instructive for improved upscaling of evaporation estimates where more traditional remote sensing or climate modelling methods are used.

Acknowledgements

Funding for this research project was provided by the Canadian Foundation for Climate and Atmospheric Sciences through the Drought Research Initiative (DRI), the Natural Sciences and Engineering Research Council of Canada through its Discovery Grants, and the Canadaian Research Chairs (CRC) programme.

5 References

10

20

25

- Anderson, M. C., Norman, J. M., Diak, G. R., Kustas, W. P., and Mecikalski, J. R.: A two-source time-integrated model for estimating surface fluxes using thermal infrared remote sensing. Remote Sen. Envir., 60, 195-216, 1997.
- Anderson, M. C., Kustas, W. P., and Norman, J. M.: Upscaling flux observations from local to continental scales using thermal remote sensing. Agronomy J., 99, 240-254, 2007.
- Ångström, A.: Application of heat radiation measurements to the problems of the evaporation from lakes and the heat convection at their surfaces. Geografiska Annaler, 2, 237-252, 1920.
- Armstrong, R. N. and Martz, L. W.: Effects of reduced land cover detail on hydrological model response. Hydrol. Proc., 22, 2395-2409, 2008.
- 15 Armstrong, R. N., Pomeroy, J. W., and Martz, L. W.: Evaluation of three evaporation estimation methods in a Canadian prairie landscape. Hyd. Proc., 22, 2801-2815, 2008.
 - Armstrong, R. N., Pomeroy, J. W., and Martz, L. W.: Estimating Evaporation in a Prairie Landscape under Drought Conditions. Can. Water Res. J. 35, 173-186, 2010.
 - Avissar, R. and Pielke, R. A.: A parameterization of heterogeneous land-surface for atmospheric numerical models and its impact on regional meteorology. Mon. Weather Rev., 117, 2113-2136, 1989.
 - Baldocchi, D., Falge, E., Gu, L., Olson, R., Hollinger, D., Running, S., Anthoni, P., Bernhofer, C., Davis, K., Evans, R., Fuentes, J., Goldstein, A., Katul, G., Law, B., Lee, X., Malhi, Y., Meyers, T., Munger, W., Oechel, W., Paw, K. T., Pilegaard, K., Schmid, H. P., Valentini, R., Verma, S., Vesala, T., Wilson, K., and Wofsy, S.: FLUXNET: A new tool to study the temporal and spatial variability of ecosystem-scale carbon dioxide, water
 - vapour and energy flux densities. Bull. Amer. Meteor. Soc., 82, 2415-2434, 2001.
 Baldocchi, D., Krebs, T., and Leclerc, M. Y.: 'Wet/Dry Daisyworld': A Conceptual Tool for Quantifying Sub-Grid Variability of Energy Fluxes over Heterogeneous Landscapes. Tellus B, 57, 175-188, 2005.
 - Bisht, G., Venturini, V., Islam, S., and Jiang, L.: Estimation of the net radiation using MODIS (Moderate Resolution Imaging Spectroradiometer) data for clear sky days. Remote Sen. Envir., 97, 52-67, 2005.
- Boegh, E., Soegaard, H., and Thomsen, A.: Evaluating evapotranspiration rates and surface conditions using Landsat TM to estimate atmospheric resistance and surface resistance. Remote Sen. Envir., 79, 329-343, 2002.
 Bouchet, R. J.: Evapotranspiration r'eelle et potentielle: Signification climatique. General Assembly, Berkeley,

Intern. Assoc. Sci. Hydrol, 62, 134-142, 1963.

Brutsaert, W.: Evaporation into the Atmosphere. D. Reidel, Hingham, Mass, 299 pp, 1982.

- Brutsaert, W.: Land-surface water vapor and sensible heat flux: Spatial variability, homogeneity, and measurement scales. Water Res. Res., 34, 2233-2442, 1998.
- Bussières, N., Granger, R., and Strong, G.: Estimates of Regional Evapotranspiration using GOES-7 Satellite Data: Saskatchewan Case Study, July 1991. Can. J. Remote Sen., 23, 3-14, 1996.
- 5 Chapman, S., Merz, T., Chan, A., Jackway, P., Hrabar, S., Dreccer, M. Holland, E., Zheng, B., Ling, T. J., and Jimenez Berini, J.: Pheno Copter: a low altitude, autonomous remote sensing robotic helicopter for highthroughput field-based phenotyping. Agronomy, 4, 279–301, 2014.

Claussen, M.: Estimation of areally averaged surface fluxes. Boundary-Layer Meteor., 54, 387-410, 1991.

Claussen, M.: Flux aggregation at large scales: on the limits of validity of the concept of blending height. J. Hydrol., 166, 371-382, 1995.

- 10 Hydrol., 166, 371-382, 1995.
 - Colaizzi, P. D., Evett, S. R., Howell, T. A., and Tolk, J. A.: Comparison of five models to scale daily evapotranspiration from one-time-of-day measurements. Amer. Soc. of Agric. and Biol. Eng., 49, 1409-1417, 2006.
 - Corripio, J. G.: Snow surface albedo estimation using terrestrial photography. Inter. J. Remote Sen., 25, 5705-

15 5729, 2004.

- Courault, D., Seguin, B., and Olioso, A.: Review on estimation of evapotranspiration from remote sensing data: From empirical to numerical modelling approaches. Irr. Drain. Sys., 19, 223-249, 2005.
- Disney, M., Lewis, P., Thackray, G., Quaife, T., and Barnsley, M.: Comparison of MODIS broadband albedo over an agricultural site with ground measurements and values derived from Earth observation data at a range of spatial scales. Inter. J. Remote Sen., 25, 5297-5317, 2004.
- Fisher, J. B., Tu, K-. P., and Baldocchi, D. D.: Global estimates of the land-atmosphere water flux based on monthly AVHRR and ISLSCP-II data, validated at 16 FLUXNET sites. Remote Sen. Envir., 112, 901-919, 2008.
- Gowda, P. H., Chavez, J. L., Colaizzi, P. D., Evett, S. R., Howell, T. A., and Tolk, J. A.: ET mapping for agricultural water management: present status and challenges. Irrig. Sci., 26, 223-237, 2007.
- 25 Granger, R. J.: A complementary relationship approach for evaporation from nonsaturated surfaces. J. Hydrol., 111, 31-38, 1989.
 - Granger, R. J.: A feedback approach for the estimate of evapotranspiration using remotely-sensed data. In: Kite, G.W., Pietroniro, A., Pultz, T.J. (Eds.), Application of remote sensing in hydrology (Proceedings of the Second International Workshop,NHRI Symposium No. 14, Saskatoon, October 18–19, 1994, NHRI, pp. 211-222, 1995.
- 30

20

Granger, R. J.: Satellite-derived estimates of evapotranspiration in the Gediz Basin. J. Hydrol, 229, 70-76, 2000. Granger, R. J. and Gray, D. M.: Evaporation from natural nonsaturated surfaces. J. Hydrol., 111, 21-29, 1989.

- Hogg, E. H., Price, D. T., and Black, T. A.: Postulated Feedbacks of Deciduous Forest Phenology on Seasonal Climate Patterns in the Western Canadian Interior. J. Climate, 13, 4229-4243, 2001.
- 35 Houborg, R. M. and Soegaard, H.: Regional simulation of ecosystem CO2 and water vapor exchange for agricultural land using NOAA AVHRR and Terra MODIS satellite data. Application to Zealand, Denmark. Remote Sen. Envir. 93, 150-167, 2004.

- Jackson, R. D., Reginato, R. J., and Idso, S. B.: Wheat canopy temperature: a practical tool for evaluating water requirements. Water Resources Research, 13, 651-656, 1977.
- Klaassen, W.: Average fluxes from heterogeneous vegetated regions. Boundary-Layer Meteorol., 58, 329-354, 1992.
- 5 Klaassen, W. and Claussen, M.: Landscape variability and surface flux parameterization in climate models. Agric. and Forest Meteor., 73, 181-188, 1995.
 - Liang, S.: Narrowband to broadband conversions of land surface albedo: I Algorithms. Remote Sen. Envir., 76, 213-238, 2000.
 - Liang, S. L., Shuey, C. J., Russ, A. L., Fang, H. L., Chen, M. Z., Walthall, C. L., Daughtry, C., and Hunt, R.:
- Narrowband to broadband conversions of land surface albedo: II. Validation. Remote Sen. Envir., 84, 25-41, 2003.
 - Lucht, W., Schaaf, C. B., and Strahler, A. H.: An algorithm for the retrieval of albedo from space using semiempirical BRDF models. IEEE Transactions on Geoscience and Remote Sensing, 38, 977-998, 2000.
 Monteith, J. L.: Evaporation and environment. Symposia Soc. Experim. Biol., 19, 205-234, 1965.
- 15 Mu, Q., Heinsch, F. A., Zhao, M., and Running, S. W.: Development of a global evapotranspiration algorithm based on MODIS and global meteorology data. Remote Sen. Envir., 111, 519-536, 2007.
 - Nagler, P. L., Cleverly, J. R., Glenn, E. P., Lampkin, D., Huete, A. R., and Wan, Z.: Predicting riparian evapotranspiration from MODIS vegetation indices and meteorological data. Remote Sen. Envir., 94, 17-30, 2005.
- 20 Nicodemus, F. E., Richmond, J. C., Hsia, J. J., Ginsberg, I. W., and Limperis, T.: Geometrical considerations and nomenclature for reflectance. Washington, DC, National Bureau of Standards Report, 67p, 1977.
 - Norman, J. M., Kustas, W. P., and Humes, K. S.: Source approach for estimating soil and vegetation energy fluxes in observations of directional radiometric surface temperature. Agric. and Forest Meteor., 77, 263-293, 1995.
 - Peel, M. C. and McMahon, T. A.: Estimating evaporation based on meteorological data progress since 2007.
- Prog. Phys. Geography., 38, 241-250, 2014.
 Penman, H. L.: Evaporation in nature. Rep. Prog. Phys., 11, 366-388, 1947.
 Penman, H. L.: Natural evaporation from open water, bare soil and grass. Proc. Royal Soc. of London, Series A, Math. Phys. Sci., 193, 120-146, 1948.
- Pomeroy, J. W., Granger, R. J., Pietroniro, A., Elliott, J. E., Toth, B., and Hedstrom, N.: Hydrological Pathways
 in the Prince Albert Model Forest, Final Report to the Prince Albert Model Forest Association. Environment Canada, NHRI Contribution Series No. CS-97004. 154p + appendices, 1997.
 - Pomeroy, J. W., Gray, D. M., Brown, T., Hedstrom, N. R., Quinton, W. L., Granger, R. J., and Carey, S. K.: The cold regions hydrological model, a platform for basing process representation and model structure on physical evidence. Hydrol. Proc., 21, 2650-2667, 2007.
- 35 Roberts, G.: A review of the application of BRDF models to infer land cover parameters at regional and global scales. Prog. Phys. Geography., 25, 483-511, 2001.
 - Schuepp, P. H., Leclerc, M. Y., McPherson, J. I., and Desjardin, R. L.: Footprint prediction of scalar fluxes from analytical solutions of the diffusion equation, Boundary-Layer Meteorol. 50, 355-374, 1990.

- Seguin, B., Assad, E., Freaud, J. P., Imbernon, J. P., Kerr, Y., and Lagouarde, J. P.: Use of meteorological satellite for rainfall and evaporation monitoring. Int. J. Remote Sen., 10: 1001-1017, 1989.
- Sellers, P. J., Dickinson, R. E., Randall, D. A., Betts, A. K., Hall, F. G., Berry, J. A., Collatz, G. J., Denning, A. S., Mooney, H. A., Nobre, C. A., Sato, N., Field, C. B., and Henderson-Sellers, A.: Modelling the exchanges of energy, water, and carbon between continents and the atmosphere. Science, 275, 502-509, 1997.
- Song, C., Woodcock, C. E., Seto, K. C., Lenney, M. P., and Macomber, S. A.: Classification and Change Detection Using Landsat TM Data: When and How to Correct Atmospheric Effects? Remote Sen. Envir., 75, 230-244, 2001.

Thom, A. S. and Oliver, H. R.: On Penman's equation for estimating regional evaporation. Quart. J. Royal Meteor.

10 Soc., 103, 345-357, 1977.

- van der Kamp, G., Hayashi, M., Gallén, D.: Comparing the hydrology of grassed and cultivated catchments in the semi-arid Canadian prairies. Hydrol. Proc., 17, 559-575, 2003.
- Yang, F., Mitchell, K., Hou, Y-T., Dai, Y., Zeng, X., Wang, Z., and Liang, X-Z.: Dependence of Land Surface Albedo on Solar Zenith Angle: Observations and Model Parameterization. J. Appl. Meteor. Clim., 47, 2963-2982, 2008.
- Yang G., Liu J., Zhao C., Li Z., Huang Y., Yu H., et al.: Unmanned aerial vehicle remote sensing for field-based crop phenotyping: current status and perspectives. Fron. Plant Sci.; 8, 1111, doi: 10.3389/fpls.2017.01111, 2017.
- Yates, T. T., Si, B. C., Farrell, R. E., and Pennock, D. J.: Probability distribution and spatial dependence of nitrous oxide emission: temporal change in a hummocky terrain. Soil Sci. Soc. Amer. J., 70, 753-762, 2006.
- Zhang, C. and Guo, X.: Measuring biological heterogeneity in the northern mixed grassland: A remote sensing approach. The Can. Geographer, 51, 462-474, 2007.
- Zoran, M. and Stefan, S.: Atmospheric and spectral corrections for estimating surface albedo from satellite data. J. of Optoel. Adv. Mater., 8, 247-251, 2006.

5

20



Figure 1: Photo of study area at St. Denis National Wildlife Area taken during flight on August 5, 2007, and locations of micrometeorological measurement stations.



Figure <u>32</u>: Reflectance spectra collected at four sample points over mixed grassland vegetation at the upland area on Aug 5, 2007. Reflectance values affected by noise at corresponding wavelengths were removed.



Figure 23: Albedo map (5 m resolution) derived from visible image taken at midday. Also shows location of reference and validation sites, letter codes indicate major land cover types: fallowed (F), mixed grass (MG), brome grass (BG), cultivated (C), and wetlands (W).



Figure 4: Surface emitted longwave radiation (W m⁻²) map (5 m resolution) derived from a thermal image taken at midday.



Figure 5: Classification map of aerodynamic surface roughness <u>heights length</u> derived from a visible image taken at midday and typical values found in Brutsaert (1982).



Figure 6: Sensitivity of the evaporation ratio to key inputs at midday. The measured range of input values is shown to demonstrate potential variation in this case study.



Figure 7: Relationship between the midday and <u>meanmean</u> daily net radiation for a range of years at two Canadian Prairie sites and one Parkland site for the period May 1 through September 1.



Figure 8: Resulting input map of <u>meanmean</u> daily net radiation derived from the normalised index of midday net radiation and a single reference value of <u>meanmean</u> daily net radiation (155 W m⁻²). Also shows location of validation sites for comparing measured and estimated values of <u>meanmean</u> daily net radiation.







Figure 10: Distribution of daily evaporation estimates over the field area and relative contributions of evaporation for the energy and aerodynamic terms.



Figure 11: Distribution of key surface variables, <u>meanmean</u> daily evaporation estimates and relative evaporation over the field area, and relationship between G and net radiation within <u>the each</u>-roughness classes.



Figure 12: Distributions of albedo, surface temperature, net radiation, evaporation and relative evaporation within each roughness class.

Table 1: Approximate mean values and ranges of albedo for the major landcover types.

Mean	Range		
0.11	0.05 - 0.16		
0.15	0.13 - 0.17		
0.17	0.15 - 0.19		
0.18	0.17 - 0.20		
0.20	0.17 - 0.23		
	Mean 0.11 0.15 0.17 0.18 0.20		

Table 2: Areal evaporation estimates within each roughness class from G-D model and for entire area based on <u>meanmean</u> values. E_energy, E_aero are the contributions from the energy and aerodynamic components and E_total is the combined total. The <u>meanmean</u> value of the distributed estimates is given by "Expected" and the difference between the total and expected is given by "Diff".

Zo	Δ	G	Q*	Ŷ	EA	D	E_energy	E_aero	E_total	Expected	Diff
cm	kPa		mm/day	kPa	mm/day		mm/day	mm/day	mm/day	mm/day	mm
5	0.134	0.132	4.88	0.063	12.99	0.73	1.07	1.34	2.40	2.59	-0.18
10	0.134	0.124	5.27	0.063	15.13	0.74	1.10	1.48	2.58	2.77	-0.19
40	0.134	0.085	5.69	0.063	27.97	0.83	0.87	2.01	2.88	3.02	-0.14
E _{areal}	0.134	0.113	5.28	0.063	18.70	0.77	1.03	1.71	2.73	2.77	-0.03