

Dear Dr. Xing Yuan

We are grateful for the constructive comments from the anonymous referee #3, and for your encouragement to revise this manuscript to be considered for publication in Hydrology and Earth System Sciences. We believe that this paper makes important novel contributions to the modeling of dynamic exposure to floods in city-regions and is of interest to the hydrological community. All comments from the anonymous referee #3 are fully addressed point by point as listed below, and all of the changes are tracked in the main manuscript. The tracked manuscript is attached at the end of this document.

If any further information is needed, please don't hesitate to contact us.

Yours Sincerely

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Reply to Referee #3

Comments:

Point 1: Please specify the time step of hydrological data in table 1. It is mentioned that the rainfall data were used in the study, but it is not shown in table 1. Please list all the data with necessary description.

Reply: This paper aims to simulate the distribution and changes of urban exposure under extreme rainfall conditions. As a case study, the rainfall event with a return period of 50 years were designed using the Chicago hyetograph method (CHM) and historical observations (Cen et al., 1998). We introduced the rainfall input for the flood simulation in Section 3.1.

Cen, G., Shen, J., and Fan, R.: Research on rainfall pattern of urban design storm. *Advances in Water Science*, 9(1), 41-46, <https://doi.org/10.14042/j.cnki.32.1309.1998.01.007>, 1998.

Point 2: Please justify the reliability of the sampling. “distribution of the above social characteristics was close to the actual population distribution in the study area.” “Close” is a vague word to describe the representativity of the samples. Since a questionnaire survey is used to randomly select the samples, how and where the sampling is carried out? Is there any nonresponse bias in the survey? Is the sampling size large enough to cover the target groups and represent population, and how do you consider the sampling error? How did you decide the size of sampling and what is the confidence intervals? Obviously, the result of taking sampling size of 5000 is different from that of 500.

Reply: The activity pattern designed in this study cannot fully express the individuals’ behavior. We constructed the most likely activity patterns of different groups based on the existing survey samples. The clarification of the survey has been added in Section 2. The following text has been added in the manuscript:

“The travel survey data used in this study were taken from a face-to-face questionnaire survey conducted from July 8, 2018 to July 14, 2018. A total of 25 subdistricts were selected based on sample selection. According to the distribution of the subdistricts, the investigators were divided into five groups of at least four investigators per group. In each group, a senior researcher monitored the survey process, coordinated questionnaire collection and checked the completeness and validity of the questionnaires collected. Before each interview, the investigators explained the purpose of the investigation and confidentiality principles. The respondents in the study participated voluntarily, and were allotted enough time to answer the questionnaire. In total, 623 questionnaires were distributed, 589 were collected, and 500 valid responses were selected after excluding incomplete questionnaires (the response rate was 80.3%). The distribution of the social characteristics of the respondents coincided with the actual population distribution in the study area.”

Point 3: In the 3.1 flood model description, please illustrate the input and output of the model.

Reply: Agreed and the following text has been added in Section 3.1:

“The input of the model included DEM, rainfall, channel, and floodplain friction data. When it comes to fluvial flooding simulations, boundary conditions, including river, water level, and river discharge were also needed. The output data were water depth and water velocity in the x and y directions, respectively.”

Point 4: 3.4 did you also established the activity pattern for other agent types or just for employed male with high education?

Reply: We established the activity patterns for all types of agents and all scenarios. Therefore, we can obtain the results of each agent type, including population and exposed population for different scenarios. We designed activity patterns for main types of agent, including six types for daily scenarios and eight types for disaster scenarios (taking into account the education level). In the prototype system, different travel modes mean different moving speeds. The activity patterns for all types of agents in six different scenarios were defined in the prototype system.

Point 5: 3.5 line 4 disaster-hit could be more suitable here.

Reply: Agreed and the corresponding text has been revised in the manuscript:

“Based on the data availability, this study focused only on three types of disaster-hit bodies, i.e., population, roads, and buildings.”

Point 6: 3.5 line 8 “among these” what do you mean by these.

Reply: This study divided agents based on social characteristics, including age, gender, employment status, education level, and travel mode. Among these, age was the primary factor impacting vulnerability. The following text has been revised in the manuscript:

“Age was the primary factor impacting vulnerability.”

Point 7: 3.5 line 16 should be “considered as”

Reply: Agreed and the corresponding text has been revised in the manuscript:

“In this study, the area of the exposed building and the depth of accumulated water in the building were considered as the building exposure.”

Point 8: 4.1 the first paragraph of model implementation and parameter setting described the analyze tools and relative information, 4.1.1 obtained blocks, 4.1.2 dividing the agents and 4.1.3 set the threshold are more like the description of method and preparation for the study but not result. I suggest 4.1 go to method section. So the results section begin with the flood simulation.

Reply: Agreed and amended. We have moved the Section 4.1 to method section as Section 3.7.

Point 9: 4.1.1 if others indicate rivers, could you replace others with rivers in the text and figures?

Reply: In this study, “Others” indicates rivers. The corresponding text and figures have been revised in the manuscript:

“This study divided the block into five categories: residential area, school, company, recreational area and river.”

“Most of the blocks in the study area were categorized as residential area, while blocks of recreational areas were few and concentrated.”

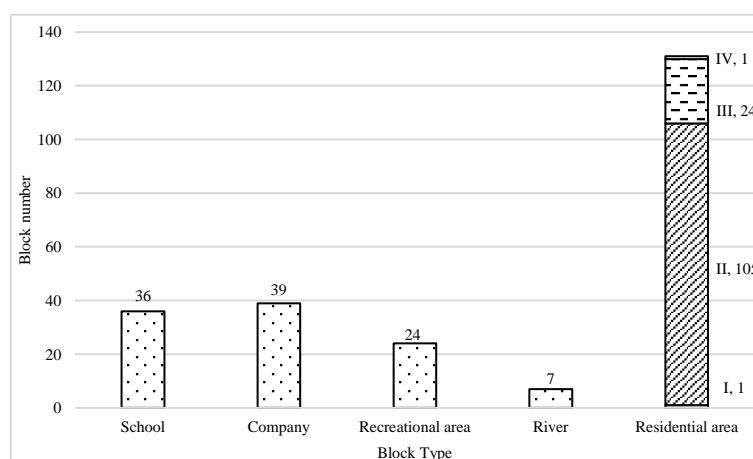


Figure 6. Block numbers of different block types.

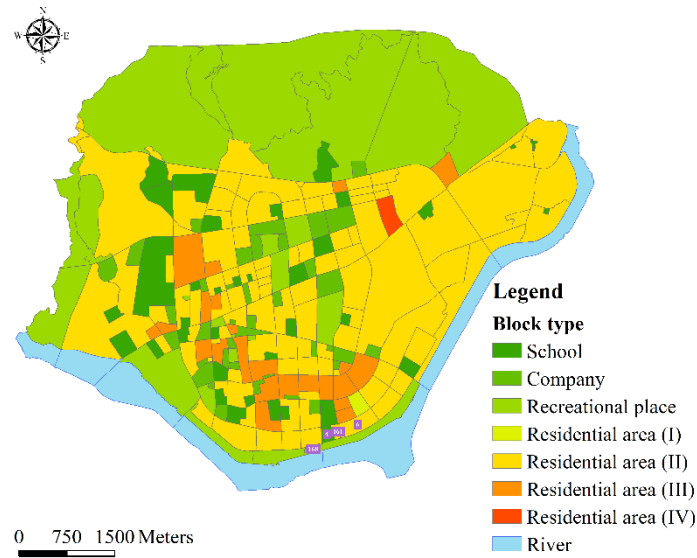


Figure 7. Spatial distribution of blocks.

Point 10: Could you make it clear how did you conclude that water depth was the main factor that caused life and property losses from Figure 9 and 10.

Reply: We selected the blocks and roads with the most serious disasters, and showed the variations in water depth and velocity of these areas in Fig. 10. It can be found that the maximum water velocity did not exceed the exposure threshold (2.5m/s). Therefore, we believed that in this study area and disaster scenario, the main threat was the water depth.

Point 11: What does the number in the expression such as “block 6” represent?

Reply: “6” is the block ID. We label the blocks mentioned in Fig. 7.

Point 12: There are abbreviations like Fig., but there are Figure as well. Please unify them.

Reply: We use the abbreviations according to the following journal standard:

"The abbreviation "Fig." should be used when it appears in running text and should be followed by a number unless it comes at the beginning of a sentence, e.g.: "The results are depicted in Fig. 5. Figure 9 reveals that..." "

Manuscript preparation guidelines for authors: https://www.hydrology-and-earth-system-sciences.net/for_authors/manuscript_preparation.html

Point 13: We can see the difference between simulated and observed floods from Figure 11. There are overestimation for pluvial flood and underestimation for fluvial flood from the model. Could you quantify the bias?

Reply: We validated the results based on the data we have, and it turns out that the results were acceptable. This study only made a qualitative validation of the flood simulation results, because the real water accumulation points were difficult to fully correspond with the flood simulation results, due to the definition of the water accumulation point. In addition, the water accumulation points were the compounding result of pluvial and fluvial flood. So the bias does exist. In addition, the construction of accurate error model requires complete and accurate data, and it can't be done for the time being. This study focused more on methodological research. If there are more accurate and abundant observations and historical disaster information, we can calibrate the model to make the flood simulation results more consistent with the actual situation.

Point 14: 4.3 line 15 What do you mean by “several people”?

Reply: We mean most people. The following text has been revised in Section 4.3:

“The daily routines of most people started from the residential area (home) in the morning, followed by school or company blocks during weekdays and recreational areas during weekends, and, finally, concluded with a return to the residential area at night.”

Point 15: 4.3 line 18 did you define the homeless people as one of the many types in advance?

Reply: We used major social characteristics to design agent types, including age, gender, employment, and education level. The travel mode was also considered to obtain accurate road population. The number of the homeless people in the study area was very small and this information was not reflected in the statistical yearbook. For this reason, we have not defined it as one of agent types. The following text has been revised in the manuscript:

“Only a limited number of agent classifications were used to reduce the number of agent types. The types of agents were classified according to the social characteristics of the residents. Age and gender characteristics mainly affect the ability of people to respond to disasters. The self-help abilities of minors under 18 years of age and residents older than 60 years are generally poor. In the event of natural disasters, they are generally categorized as the objects of help. The middle-aged group (18–60 years old) generally has greater physical strength with a better ability to cope with disasters. Unemployed people are more vulnerable to natural disasters. On one hand, their living environments and resistance to disasters are poor; on the other hand, their economic conditions are limited, which impedes recovery after the disaster and seriously affects their daily life in the short term. Education level is related to the possibility of receiving early warning information by the individual. Individuals

with higher education levels are more likely to respond to early warning information and are more aware of disasters than others (Terti et al., 2015; Shabou et al., 2017). Additionally, different travel modes have different effects on the activity patterns of people as well as on exposure levels when disasters occur. Therefore, the agent types were divided according to age, gender, employment status, education level, and travel mode.”

Point 16: We can see that there are bias from the flood simulation and population distribution simulation. But the bias is not quantified in the study. “both the simulated and measured values were essentially similar with regard to changes in their trends” How was the trend estimated? How similar are they? Since the study is based on simulation using models, the quantified validation of the model performance is necessary. Thorough discussion on the effects of those errors on the conclusion should be added.

Reply: Please refer to Point 13. The bias between traffic flow and population simulation results has been calculated and shown in Table 6 and Table 7, and the discussion on the effects of these errors has been added in the manuscript. The following text and tables have been added in the manuscript:

“The reliability of the simulation of the spatio-temporal population distribution was indirectly verified by utilizing traffic flow data. Due to the lack of data for 2014, we used traffic flow data from June 24 to July 7, 2017. The simulated total number of residents passing the four intersections (such as the junction of the Liqing and Huayuan roads) and the actual measured traffic flow at the intersections during the morning and evening peak hours on weekdays and weekends are shown in Table 6 and Table 7. “Sim.” means simulation results, and “Obs.” means measured values which are multi-day average results. “LQ” is Liqing Road, “KF” is Kaifa Road, “HY” is Huayuan Road, “ZJ” is Zijin Road, and “LT” is Lutang Street. The deviation ratio was calculated as: $(Sim - Obs) / Obs$.

In theory, the simulated value should be much larger than the measured value since the former indicates the number of people while the latter represents the number of cars and buses. However, as indicated in Table 6 and Table 7, the simulated value was close to the measured value. This could be attributed to the assumption that the study area was closed and the simulated population was the number of permanent residents, excluding the migrant population. In reality, the number of migrants in the urban area during daytime is large owing to its geographical location. Moreover, this study simplified human activities when simulating the spatio-temporal distribution of the population. Therefore, the number of pedestrians on the road was small. However, there was a deviation ratio of about $\pm 5\%$ between the simulated value and the measured value, except for three deviation ratios of about $\pm 10\%$. Therefore, the simulation method for the spatio-temporal distribution of population is feasible, and the results are reliable.”

Table 6. Traffic flow and population simulation results during peak hours on weekdays.

Road junction	Time	Sim.	Obs.	Deviation ratio
LQ-KF	8:00–9:00	319	366	-12.84%
LQ-KF	17:00–18:00	602	591	1.86%
LQ-HY	8:00–9:00	353	398	-11.31%
LQ-HY	17:00–18:00	740	731	1.23%
LQ-ZJ	8:00–9:00	381	369	3.25%
LQ-ZJ	17:00–18:00	824	814	1.23%
LT-ZJ	8:00–9:00	531	508	4.53%
LT-ZJ	17:00–18:00	994	938	5.97%

Table 7. Traffic flow and population simulation results during peak hours on weekends.

Road junction	Time	Sim.	Obs.	Deviation ratio
LQ-KF	8:00–9:00	523	529	-1.13%
LQ-KF	17:00–18:00	659	693	-4.91%
LQ-HY	8:00–9:00	761	725	4.97%
LQ-HY	17:00–18:00	822	790	4.05%
LQ-ZJ	8:00–9:00	651	638	2.04%
LQ-ZJ	17:00–18:00	825	873	-5.50%
LT-ZJ	8:00–9:00	778	712	9.27%
LT-ZJ	17:00–18:00	1083	1132	-4.33%

“Based on the analysis of the indirect validation results, we also found several problems. Since the migrant population and the exchange between the city and the outside were not considered, the simulated road population was small, so we needed to use real-time traffic data (such as taxi trajectories and card data from public transportation) to calibrate activity patterns to obtain more realistic population distribution results. Moreover, the actual water accumulation point information cannot be completely consistent with the simulation result because of its definition, therefore the

simulation result can only be roughly verified. We need more abundant and accurate historical hazard data to fine-tune the flood simulation results.

Our study focused more on the explorative method, while the result is just an application case. Due to the limitation of the study area and data, the current results are quite general in an early stage. The method proposed also has many areas in need of improvements, such as the design of ABM. Therefore, future studies should focus on optimizing the proposed method and practical case studies, which may produce more informative results.”

Point 17: 4.4 Why the daily scenario is with even higher population exposure than bad weather scenario.

Reply: The daily scenarios were control groups, with no rain and no warning. When the flood occur, the population exposure can be calculated according to the daily activity and population distribution. It was reasonable that exposed population in these scenarios were more than that of the bad weather scenarios (Fig. 14(a)), because we consider the people's response to the disaster in the bad weather scenarios. While in Fig. 14(b), the population was most exposed to warning scenarios, since we assumed that people choose to stay at home when a flood occurs. Therefore, when residential areas, such as Block 6, were exposed to floods, the residents chose to reduce travel, thus resulting in an increase in the population of residential areas and consequently increasing the population exposure. If the government informs the residents of Block 6 in advance about the location of appropriate shelters, the exposed population will be effectively reduced. The following text has been revised in the manuscript:

“Figure 14 presents the population exposure variation for two selected areas. The difference between pluvial and fluvial flood scenarios could be attributed to differences in the changes and degrees of water accumulation. Figure 14(a) indicates that population exposure was the highest for the daily scenario, followed by the bad weather scenario and minimum warning scenario. However, as indicated in Fig. 14(b), the population was most exposed to both weekend and weekday warning scenarios. This is due to the assumption that the disaster response behavior adopted by residents was to reduce travel, i.e., the refuge of residents was the residential area. Additionally, the response was not based on the exposure of the residential area. Therefore, when residential areas, such as Block 6, were exposed to floods, the residents chose to reduce travel, thus resulting in an increase in the population of residential areas and consequently increasing the population exposure. Thus, if the government informs the residents of Block 6 in advance about the location of appropriate shelters, the exposed population will be effectively reduced.”

Point 18: “the government departments can carry out disaster prevention and mitigation measures for areas with high population exposure, such as evacuation prior to the disaster and initiation of key rescue operations during the disaster.” It is of common sense. Specific vulnerable areas and groups of people could be pointed out in the study.

Reply: We have deleted it and the following text has been added in the manuscript:

“Thus, if the government informs the residents of Block 6 in advance about the location of appropriate shelters, the exposed population will be effectively reduced.”

Point 19: “The method proposed in this study can help to determine vulnerable populations and road users in the exposed blocks.” So which type of populations and blocks?

Reply: The vulnerable population referred to the elderly and juveniles (types 0-5 in Fig. 8); the road users were the population on the road. The number of vulnerable and road populations in the total exposed population can be obtained in all blocks.

Point 20: “Because we considered vulnerable people and road users when we constructed the population groups (agents), we could obtain similar information from the results of vulnerable populations and road users in the exposed blocks, such as the exposed population. Such information is of great practical significance.” Delete it. There is not much useful information.

Reply: We have deleted it. In this paper, we only analyzed the total exposed population of each block, but the results also included the exposed population of each type of each block, among which the number of the exposed vulnerable population and road users have important practical significance. For example, in two blocks with the same total exposed population, where the more vulnerable people or road users are exposed, the more serious the impact of the disaster will be, mitigation measures should be taken in priority to reduce casualties in this block.

Point 21: How are the dynamic exposure connected with the agent types for disaster scenarios?

Reply: In the disaster scenarios, different types of agents will take different degrees of response behavior, resulting in the dynamic change of the number of each type of agents in each block, and then the population exposure will respond dynamically.

Point 22: 4.4 Conclusion

From line 7 to the end, I think it should be a part of the discussion section.

Reply: We have changed the title of “Conclusions” to “Discussion and conclusions”.

Modelling the high-resolution dynamic exposure to flood in city-region

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Abstract:

Urban flooding exposure is generally investigated with the assumption of stationary disasters and disaster-bearing hit bodies ~~within~~ during an event, and thus cannot satisfy the increasingly elaborative modelling and management of urban floods. In this study, a comprehensive method was ~~developed~~ proposed to simulate dynamic exposure to urban flooding considering residents' travel behavior. First, a flood simulation was conducted using the LISFLOOD-FP model to predict the spatio-temporal distribution of flooding. Second, an agent-based model was used to simulate residents' movements during the ~~period of~~ urban flooding period. Finally, to study the evolution and patterns of urban flooding exposure, the exposure of population, roads, and buildings to urban flooding was simulated using Lishui, China as ~~the a~~ case study. The results ~~indicated~~ showed ~~that evident spatio-temporal variations in~~ urban flooding and population distribution had evident spatio-temporal variations. Additionally, the exposure increased with increasing rainfall intensity and flooding ~~severity~~ extent. The urban area near the Oujiang River was the most severely flooded, resulting in and indicated the ~~largest amount of~~ highest exposure of population, roads, and buildings. Furthermore, the impacts of flooding on roads were greater than those on the population and on buildings. This study presents the first fully formulated method for dynamic urban flood exposure simulation at a high spatio-temporal resolution. The quantitative results of this study can provide fundamental information for ~~determining~~ urban flood disaster vulnerability assessment.

socioeconomic loss assessment, urban disaster risk management, and ~~for establishing~~ emergency response plans establishment.

Keywords: urban flooding; resident travel behavior; agent-based model; dynamic exposure

1. Introduction

Storm flooding has become increasingly frequent and severe with the intensification of global warming and the rising frequency of extreme weather events (*Dankers and Feyen, 2008; Hammond et al., 2015*). Urban floods have become major natural disasters in many cities around the world and have created serious threats to human life and social and economic activities (*Gain et al., 2015*). Effectively coping with floods and their adverse effects is an important part of disaster prevention and mitigation as well as disaster risk management (*Atta-Ur-Rahman, 2014*). Non-engineering measures such as exposure assessment are currently the main way of managing urban flooding risk (*Chen et al., 2015*). Exposure refers to the presence of people, livelihoods, environmental services and resources, infrastructure, or economic, social, or cultural assets in places that could be adversely affected by natural disasters (*IPCC, 2012*). Urban flood disasters are caused by the adverse effects of heavy rain and other factors on the city system in certain disaster-prone environments. These events consist of three parts: ~~the~~ disaster-causing factors, ~~the~~ disaster-prone environments, and ~~the~~ disaster-bearinghit bodies (*Shi, 1996*).

~~Therefore, the characteristics of flood disasters and building environments and the distribution of population and socio-economic resources are the key factors for evaluating urban flood exposure. Exposure has obvious dynamic characteristics because of due to the dynamic evolution of urban floods and disaster-bearinghit bodies. Therefore, the characteristics of flood disasters and building environments and the distribution of population and socio-economic resources are the~~

~~key factors for evaluating urban flood exposure.~~ The methods used for evaluating exposure to urban flooding at a certain time or period vary due to changes in the disaster-bearing hit bodies, study areas, and data acquisition methods, ~~etc.~~ (Röthlisberger *et al.*, 2017). Index-based methods are commonly used for comprehensive exposure evaluation (Mahe *et al.*, 2005; Mansur *et al.*, 2016; Guo *et al.*, 2014). Statistical methods based on historical disaster data are also utilized (Moel *et al.*, 2011).

With respect to spatial considerations, the currently implemented method for estimation of disaster exposure adopts the administrative boundaries of socioeconomic data, which are organized as research units (Yin, 2009). Consequently, natural elements that have higher spatial resolutions must be compromised due to the lower spatial resolution of human elements like population (Yang *et al.*, 2013). Therefore, a comprehensive and sophisticated geographic research unit has not been established, thus resulting in simulation results applicable only to macro-scale planning and decision making. Hence, the estimation of disaster exposure needs to incorporate greater spatial heterogeneity and resolution.

Besides enhancement of the spatial scale, dynamic temporal simulation of disaster exposure has gained increasing attention. Specifically, the dynamic evolution of disaster exposure at the macro time scale considers exposure distribution as well as its variation during different development periods (Weis *et al.*, 2016). Therefore, this method is relatively mature and has led to abundant research results. At the micro time scale, disaster-causing factors and disaster-bearing hit bodies represented by populations are constantly varying. On one hand, spatio-temporal changes in disaster-causing factors (rainfall) result in corresponding dynamic changes in the characteristics (water depth and velocity) of urban flood disasters. On the other hand, daily travel activities of urban residents, such as commuting between residential and work or study areas, cause a dynamic

spatio-temporal distribution of the population. At the same time, the exposure to urban flooding changes dramatically over a short period of time. To avoid or reduce disaster risks, casualties, and property losses, different individuals are likely to adopt different adaptive behaviors, such as delaying or cancelling travel plans, while the government is likely to adopt organizational actions such as issuing warnings and evacuating residents (Wan and Wang, 2017; Parker et al., 1995). Thus, the dynamic simulation of exposure requires the dynamic space-time simulation of variations in the disaster, and disaster-bearinghit bodies, as well as interactions between them.

Modelling of the spatio-temporal ~~and spatial~~ changes in natural disasters mainly uses the disaster system simulation method, ~~and such as the typical representative used is a~~ hydrological or hydrodynamic models used to simulate flood disasters (Werren et al., 2016). The change simulation of the disaster-bearinghit body (population) can use ~~the methods~~ based on individual space-time ~~mark~~ data (Liang et al., 2015) and the agent-based method (Kang et al., 2012). Although the former can acquire ~~the human positions~~ and ~~moving movement~~ tracks, it is difficult to identify the purpose of human activities, and human disaster response behavior cannot be simulated. The agent-based model (ABM) can not only simulate the population distribution but can also simulate the interaction among the population (as the disaster victim), the hazard factors, and the disaster-prone environment (Yin, et al., 2016b). Current research has used the ABM to simulate human responses to disasters, which, in turn, have been used in natural disaster risk research (Johnstone, 2012; Huang et al., 2015). Nevertheless, the simulation results do not reflect the exposure characteristics of the disaster-bearinghit bodies and their dynamic changes (Dawson et al., 2011).

Therefore, the objectives of this study were to develop a novel method using the LISFLOOD-FP model (Sect. 3.1) and an ABM (Sect. 3.2) to simulate the exposure of urban populations, roads,

and buildings to flooding under varying conditions and subsequently implement the method as a pilot ~~study~~ in a real city. Several scenarios, including diverse flooding types and various responses of residents to flooding, were considered in this regard. Additionally, dynamic features of the real world were incorporated to improve the micro exposure analysis. This method was subsequently applied to an urban area as a case study. Exposure simulation is a useful tool for estimating disaster vulnerability and ~~assessment~~ assessing of losses, and the quantitative results under different scenarios of this study are likely to benefit ~~the~~ relevant government agencies in assessing risk, issuing warnings, and planning emergency responses to urban natural disasters. In particular, considering the dynamic distribution of the population under flooding, a more reasonable mitigation ~~migration~~ measure can be taken to minimize casualties.

2. Study area and data source

In this study, Lishui City in Zhejiang Province, China, was considered as the study region because of the availability of the required data and flooding history. The urban district of Lishui is a largely hilly and mountainous area, and the Oujiang River traverses its southern and eastern parts. The study area is located in the central district of Lishui, covers an area of 43.4 km², and has a large population of about 71673 (Fig. 1). The frequencies of heavy rainstorms and persistent concentrated rainfall events rise sharply in May and June during the Meiyu flood period, which often results in flood disasters. On August 20, 2014, a heavy rainfall event lasting a few days produced a 50-year flood in Lishui and caused considerable loss of property.

The datasets used in this study included a digital elevation model, rivers, roads, buildings, population, and observation data consisting of river discharge and water level. Travel survey data

were used to generate daily routines. Additionally, traffic flow and water accumulation data were used for validation. Table 1 describes the sources and uses of the datasets.

~~We randomly selected 500 residents in the study area to conduct a questionnaire survey on daily activities. At the same time, we collected information on their social characteristics to distinguish population types. Among them, there were 100 people under 18 years old, 300 middle-aged people and 100 elderly people. Employed people and male people accounted for 55% and 50%, respectively. And 14% of the population had received higher education. The distribution of the above social characteristics is close to the actual population distribution in the study area.~~

The travel survey data used in this study were taken from a face-to-face questionnaire survey conducted from July 8, 2018 to July 14, 2018. A total of 25 subdistricts were selected based on sample selection. According to the distribution of the subdistricts, the investigators were divided into five groups of at least four investigators per group. In each group, a senior researcher monitored the survey process, coordinated questionnaire collection and checked the completeness and validity of the questionnaires collected. Before each interview, the investigators explained the purpose of the investigation and confidentiality principles. The respondents in the study participated voluntarily, and were allotted enough time to answer the questionnaire. In total, 623 questionnaires were distributed, 589 were collected, and 500 valid responses were selected after excluding incomplete questionnaires (the response rate was 80.3%). The distribution of the social characteristics of the respondents coincided with the actual population distribution in the study area.

3. Methodology

This study comprised three aspects: disaster simulation, human activity simulation, and dynamic exposure assessment (Fig. 2). The first step included fluvial and pluvial flooding simulation based on the LISFLOOD-FP model. The simulation of human activity utilized ABM to obtain the spatio-temporal distribution of the population under different scenarios. Finally, the developed model was combined with the results of the previous two steps to assess the dynamic exposure of the population, roads, and buildings to urban flooding.

3.1 Flood models

A wide variety of existing hydrological or hydrodynamic models ~~are available that are capable of~~ simulating fluvial or pluvial flooding are available; these include ~~including~~ the Storm Water Management Model (SWMM) (Rossman, 2015), LISFLOOD (Bates and De Roo, 2000), MIKE-SHE (DHI, 2000), MIKE-11 (Havnø et al., 1995), MOUSE (Lindberg et al., 1989), HEC-RAS (Brunner, 2008), and HEC-HMS (Charley et al., 1995). LISFLOOD-FP (Bates et al., 2013) is a coupled 1D/2D hydraulic model based on a raster grid and was designed for research purposes at the University of Bristol. LISFLOOD-FP uses a square grid as the computational grid to simulate one-dimensional river hydraulic changes and two-dimensional floodplain hydraulic changes. The applicability of the model has been verified by several studies (Horritt and Bates, 2002; Bates and De Roo, 2000). Therefore, the LISFLOOD-FP model was chosen for the simulation of fluvial and pluvial flooding.

Floodplain flows were described in terms of the continuity and momentum equations discretized over a grid of square cells, which allowed the model to represent 2D dynamic flow fields for the floodplain. It assumed that the flow between two cells was simply a function of the free surface height difference between those cells:

$$\frac{dh^{i,j}}{dt} = \frac{Q_x^{i-1,j} - Q_x^{i,j} + Q_y^{i,j-1} - Q_y^{i,j}}{\Delta x \Delta y}, \quad (1)$$

$$Q_x^{i,j} = \frac{h_{flow}^{5/3}}{n} \left(\frac{h^{i-1,j} - h^{i,j}}{\Delta x} \right)^{1/2} \Delta y, \quad (2)$$

where $h^{i,j}$ is the free surface height of water at node (i,j) , Δx and Δy are the cell dimensions, n is the effective grid scale Manning's friction coefficient for the floodplain, and Q_x and Q_y describe the volumetric flow rates between the floodplain cells in the x and y directions, respectively. The flow depth, h_{flow} , represents the depth through which water can flow between two cells, and d is defined as the difference between the highest free surface height of water in the two cells and the highest bed elevation.

The types of flooding simulated in this study included pluvial and fluvial floods. The input of the model included DEM, rainfall, channel, and floodplain friction data. When it comes to fluvial flooding simulations, boundary conditions, including river, water level, and river discharge were also needed. The output data were water depth and water velocity in the x and y directions, respectively. Due to the lack of hourly rainfall observation data, we used designed rainfall data for pluvial flood simulation. Synthetic rainfall data for a return period of 50 years were simulated using the Chicago hyetograph method (CHM) (Cen *et al.*, 1998). The rainfall data were determined using the rainstorm intensity formula (Eq. (3)), rainfall duration time (T), and peak position (r).

$$i = \frac{A(1+c \log P)}{167(t+b)^n}, \quad (3)$$

where i is the rainfall intensity (mm/min), P is the return period, and t is the time. A , b , c and n are parameters related to the characteristics of the local rainstorm and need solutions. A is the rainfall parameter, i.e. the design rainfall (mm) for 1 min at a 10 year return period, c is the rainfall

variation parameter (dimensionless), and b is the rainfall duration correction parameter, i.e. the time constant (min) that can be added to convert the curve into a straight line after logarithmic calculation of the two sides of the rainstorm intensity formula. n is the rainstorm attenuation index, which is related to the return period. The “ r ” refers to the relative rainfall peak time, i.e., the value from zero to one. Zero means the maximum rainfall at the beginning of rainfall and one means the maximum rainfall at the end of rainfall. To simulate the flood in 2014, we fixed r at 0.2 based on the assumption that the peak is located at the one fifth point of the design hyetograph. Additionally, the rainfall duration was 6 hours (6 am to 12 pm), and the accumulated rainfall was ~~nearly~~ 148.59 mm. The parameters A , b , c and n were estimated from the rainstorm intensity formula for Lishui City obtained from the “Zhejiang City Rainstorm Intensity Formula Table” published by the Hangzhou Municipal Planning Bureau (Table 2). The rainfall simulation results are shown in Fig. 3(a). The river discharge and water level input data for fluvial flood simulation utilized observational data from Lishui’s 50-year flood in 2014, provided by the Liandu Hydrological Station (Fig. 3(b)). The flow data for the Daxi and Haoxi ~~rivers~~ on August 20, 2014 were obtained from the Xiaobaiyan and Huangdu stations, respectively, and the observational data for water levels at the outlets were those for the Kaitan Dam.

3.2 ABM

Several modelling techniques, often collectively referred to as social simulation, have been successfully used to represent the behaviors of humans and organizations. These include event and fault trees, Bayesian networks, microsimulation, cellular automata, system dynamics, and ABMs. Research methods based on ABMs have been gradually introduced to the field of natural disaster risk assessment. ABM is considered most suitable to address challenges associated with simulating

the complexity and dynamic variability of population exposure to flooding due to its capacity to capture interactions and dynamic responses in a spatial environment (*Dawson et al., 2011*).

An ABM is a computational method for simulating the actions and interactions of autonomous decision-making entities in a network or a system to subsequently assess their effects on the system as a whole. Individuals and organizations represent agents. Each agent individually assesses its situation and makes decisions based on a set of rules. Agents may execute various behaviors appropriate for the system component they represent—for example, producing or consuming. Therefore, an ABM consists of a system of agents and the relationships between them. Even a simple ABM can exhibit complex behavior patterns because a series of simple interactions between individuals may result in more complex system-scale outcomes that could not have been predicted just by aggregating individual agent behaviors.

The ABM was developed as a concept in the late 1940s, and substantial applications were realized with the emergence of high-powered computing. Such applications include those in the political sciences (*Axelrod, 1997*), management and organizational effectiveness, and the behavior of social networks (*Sallach and Macal, 2001; Gilbert and Troitzsch, 2005*). In recent years, it has been introduced to the geosciences and other fields to provide novel ideas for the study of modern geography, including land use simulation and planning as well as residential choice and residential space differentiation (*Benenson et al., 2002*). The urban flood disaster system is a typical complex “natural and social” system. The introduction of ABM to simulate space-time distributions of populations is expected to quantify the dynamic exposure of populations to urban flood disasters. For example, *Dawson et al. (2011)* proposed a dynamic ABM for flood event management to evaluate population vulnerability under different storm surge conditions, dam break scenarios, flood warning times, and evacuation strategies.

3.3 Spatio-temporal simulation of population distribution

~~The ABM of residents' travels established in this study included two core elements of (agents and activities), and two basic elements of (blocks and networks). The individual travels movements were simulated using ABM by defining the activity patterns of different types of residents to subsequently, allowing us to obtain the distribution of the population at each moment. The ABM of residents' travels established in this study included two core elements of agents and activities, and two basic elements of blocks and networks.~~

Residents were independent individuals with subjectivity. This study abstracted them as agents in this study. Only a limited number of agent classifications were used to reduce the number of agent types. The types of agents were classified according to the social characteristics of the residents. Age and gender characteristics mainly affect the ability of people to respond to disasters. The self-help abilities of the minors under 18 years of age and residents older than 60 years are generally poor. In the event of natural disasters, they are generally categorized as the objects of help. The middle-aged group (18–60 years old) generally has greater physical strength with a better ability to cope with disasters. Unemployed people are more vulnerable to natural disasters. On one hand, their living environments and resistance to disasters are poor; on the other hand, their economic conditions are limited, which impedes recovery after the disaster and seriously affects their daily life in the short term. Education level is related to the possibility of receiving early warning information by the individual. Individuals with higher education levels are more likely to respond to early warning information and are more aware of disasters than others (Terti et al., 2015; Shabou et al., 2017). Additionally, different travel modes have different effects on the activity patterns of people as well as on exposure levels when disasters occur. Therefore, the agent types were divided according to age, gender, employment status, education level, and travel mode.

Activities were classified as work, study, recreation, shopping, at-home, and travel. An activity pattern consisted of a series of activities to describe the spatio-temporal distribution of the agent. The location and scope of an agent were restricted to blocks and networks. Different types of agents indicated different activity patterns, and the same agent type could also indicate different activity patterns in different scenarios. The travel survey data were used according to the demographic properties of the agent to generate synthetic daily routines. To capture the variability in the travel survey and the uncertainties in behavior, synthetic daily routines were described in probabilistic terms. Figure 4 presents an example of the synthetic daily routine of an agent with the following demographic characteristics: male agent, aged 18–60 years, and employed. In this example, the agent started the day at 8 am on a weekday. The agent then had a 0.8 probability of going straight to work, subsequently went home, and so on.

The study area was discretized into ~~several~~many blocks to improve the spatial resolution of the exposure results. The major factors that affect the flood exposure are considered, including rivers, roads, land use, and buildings. The discretization procedure was conducted with geographic information system (GIS) tools (intersection and editing tools of the ArcGIS software) (*Lü et al., 2018*). Blocks were activity places for agents and represented the smallest unit of exposure. This study divided the block into five categories: residential area, school, company, recreational area, and ~~others~~river. Additionally, the residential areas were subdivided into I, II, III, and IV classes according to the type of building.

In this study, the network referred to roads and restricted the spatial travel scope of an intelligent agent. Rural roads, highways, and urban roads (including main roads, sub trunk roads, and its branches) were included in the network. The route selection criteria were defined once the different activities from each individual's schedule were located, and road section attributes were specified.

Although various factors are involved in the route choice process, several studies have indicated that minimizing travel time is the principal criterion for selecting routes (*Papinski et al., 2009; Ramming, 2001; Bekhor et al., 2006*). Here, a simple but effective shortest path method was used. The classical Dijkstra algorithm is a single-source shortest path algorithm that provides trees of minimal total length and time in a connected set of nodes (*Dijkstra, 1959*). The activity pattern attributions concerned only the starting times and durations of the activity sequences, thus indicating that the travel duration for each individual was computed based on the distance between the different activity locations. Therefore, the implemented schedules may be distorted compared to the assigned schedules in terms of travel durations (*Terti et al., 2015*). We can get the departure and destination block of each stage according to the activity patterns, and then calculate the shortest path consisting of a series of road sections. At each moment, the block in which the agent is located is calculated. If on the road, according to ~~the different~~ differences in the speed of ~~its~~ walking, riding ~~on a~~ bus, or ~~car~~ driving a car, the road section ~~where it is~~ locationed is calculated. During flooding, ~~it's~~ this is similar, in every aspect except ~~that~~ the activity patterns ~~are different~~.

3.4 Impacts of disasters on anthropogenic activities

This study accounted for the adaptability or adjustment behavior of residents to disasters during the disaster event. The type of activity and its sensitivity to disaster affected the residents' disaster response behavior. Recreation and shopping activities were easier to cancel and postpone than work and study (*Cools et al., 2010*). The sensitivities of residents to disasters depended on their socioeconomic characteristics and risk factors such as disaster- (flood-) related knowledge and experience. People with higher education levels are more knowledgeable about disasters and are more likely to receive early warning information and take effective measures (*Terti et al., 2015*). Additionally, it is easier for workers to ignore the risks of a disaster (*Ruin et al., 2007; Drobot et*

292 *al., 2007*). Therefore, this study accounted for the impacts of education level on the response
293 behavior of residents to disaster events.

294 The impacts of a disaster on population distribution were determined by defining different activity
295 patterns and their changing probabilities. Figure 5 ~~indicates~~ shows activity patterns during different
296 disaster scenarios for employed adult men who had received higher education. The “bad weather”
297 scenario was similar to the “daily activity” pattern. For instance, the change in travel probability
298 during “bad weather” due to a rainstorm reflected the adaptive behavior of residents. The “warning”
299 scenario assumed that the government had issued early warning information at ~~eight~~ 8 a.m., ~~the~~
300 ~~that~~ schools had suspended classes ~~during the~~ on weekdays, and the resident responses were
301 stronger than those to the “bad weather” scenario, thereby resulting in a greater difference in
302 activity patterns.

303 **3.5 Dynamic exposure assessment**

304 The dynamic exposure was calculated based on the simulations of spatio-temporal distributions of
305 the population and flooding. Therefore, the exposure at each moment was calculated according to
306 the population distribution and flood data at that time. Based on the data availability ~~of data~~, this
307 study focused only on three types of disaster-~~bearing~~ hit bodies, i.e., population, roads, and
308 buildings.

309 **(i) Population**

310 Population exposure generally refers to the population exposed to the impacts of disaster events
311 and is characterized by regional population size or ~~population~~ density. This study selected the
312 exposed population and accounted for vulnerable groups and road users. ~~Among these,~~ age was

the primary factor impacting ~~the~~-vulnerability. Specifically, the young (people under the age of 18 years) and the elderly (people over 60 years old) were the vulnerable groups.

(ii) Roads

As the basic skeleton of a city, roads are not only the media for daily travel of passengers and freight transportation but also disaster-~~bearing~~hit bodies (Yin, *et al.*, 2016a), as they are vulnerable to flood disasters. This study selected the number and lengths of exposed roads to reflect road exposure.

(iii) Buildings

~~The a~~Aggravation of urban flooding has made building ~~flooded~~-flooding more common in urban areas, ~~thus~~-resulting in loss of internal property and construction structure. Additionally, the dynamic state of building exposure is related to the safety of both the building as well as the ~~nearby~~ surrounding population. In this study, the area of the exposed building and the depth of accumulated water in the building were considered ~~to be~~as the building exposure.

3.6 Scenario design

The daily behaviors of people are characterized by certain patterns with regard to daily, weekly, monthly, and annual cycles. The rainstorm (“bad weather”) and disaster response measures adopted by the organization (“warning”) are likely to affect people’s daily behaviors. Therefore, 12 scenarios, representing different flooding types and human activities, were designed in this study (Table 3). S1, S2, S7, and S8 were control groups that indicated human activity with no rain and no warning, while the rest of the scenarios were experimental groups.

~~4.1.~~ Results

4.13.7 Model implementation and parameter setting

As an important spatial data management and analysis technology, GIS plays an important role in dynamic exposure analysis of urban floods. Because of the simplicity, readability and extensibility of the Python programming language, an increasing number of research institutes are adopting it for development. Therefore, the model was developed using the Visual Studio Code software (*Visual studio code*, 2018) and Python programming language (*Python*, 2018). The development of the graphical user interface (GUI), GIS module, and drawing module was realized by Qt (*Qt*, 2018), Geopandas (*Geopandas*, 2018), and Matplotlib (*Matplotlib*, 2018), respectively.

(i) Block generation

Blocks are irregular vector units whose size represents spatial resolution. Therefore, the spatial resolution of the results is related to the study area and data. In this study, the study area was divided into 237 blocks based on the method introduced in Sect. 3.3, with a minimum area of 2731.64 square meters. The block types and their spatial distributions are shown in Fig. 6 and Fig. 7, respectively. Most of the blocks in the study area were categorized as residential area, while blocks of recreational areas ~~and others (which indicated rivers)~~ were few and concentrated.

(ii) Parameter setting

To reduce the number of agent types, only a limited number of agent classes were used. The distribution of population characteristics for Liandu District is shown in Table 4. The agents were divided into 18 types for normal daily (non-disaster) scenarios (S1, S2, S7, and S8) and 24 types for disaster scenarios (other scenarios except S1, S2, S7, and S8) based on the influence of education level on the individual disaster response behavior (Fig. 8).

Since the census did not identify individuals according to addresses, at the start of each simulation, an agent population with the same distributions of age, gender, employment, education level, and travel mode was randomly located within the residential area for the case study. The synthetic daily routines were described in probabilistic terms to capture the variability in the travel survey and uncertainties in behavior. The probabilities of agents' daily activities were generated based on the travel survey. We estimated the probabilities of all the activities of the population groups under investigation.

(iii) Exposure threshold

Although flood fatalities can occur through a number of mechanisms, such as physical trauma, heart attack, or electrocution, drowning accounts for two-thirds of the fatalities (*Jonkman and Kelman, 2005*). Previous research has established that the probability of death or serious injury as a result of exposure to flooding (*Abt et al., 1989; Karvonen et al., 2000; Lind et al., 2004; Jonkman and Penning - Rowsell, 2008*) is dominated by (1) the depth of floodwater and (2) the velocity of floodwater. Additionally, the rate of water level rise can also play an important role in this regard. However, other factors, such as age, fitness level, height, and weight of the individual, are also important for determining their vulnerability to disasters. A comprehensive review of the flood-related casualty data and methods to assess the risk of death or serious harm to people caused by flooding is provided by the *Department for Environment Food and Rural Affairs and Environment Agency (2003)* and *Jonkman and Penning - Rowsell (2008)*. In this study, rather than predicting mortality (which is subject to random factors as well as those mentioned previously), exposure to floodwater depths of 25 cm or greater under relatively fast flowing (2.5 m/s or greater) conditions was established as the threshold for the most vulnerable people (*DEFRA and Environment Agency,*

2003). This provided a conservative estimate of individuals vulnerable to floodwater rather than an estimate of mortality (Dawson et al., 2011).

Since building steps (thresholds) exert a blocking effect on shallow flooding, they are likely to reduce the degree of flooding by restricting the flood water to the outside of the building, thereby reducing the exposure of the building. Therefore, this study assigned building steps height to corresponding block types according to the architectural design standards of China and the actual conditions of the study area (Table 5). ~~It should be noted that the block type “Other” constituted rivers and did not contain buildings.~~ Therefore, the exposure of the building was determined according to the depth of the flood and the height of the building steps. The depth of the water entering the building was the difference between the depth of the flood and the height of the steps.

4. Results

4.24.1 Flood simulation

The temporal resolution of flood simulation results ~~is~~was unified with other output results for half an hour. Figure 9 indicates the accumulated water depths and velocities of pluvial and fluvial floods in the study area. As is evident, the pluvial and fluvial floods exerted significant impacts, and the urban area near the Oujiang River was the most severely flooded~~area~~. Additionally, water ~~is~~ also accumulated in the inner areas of the city, mainly on roads, in case of pluvial flood disasters. The variations in water depths and velocity~~ies~~ for ~~eight~~seven severely flooded areas (including blocks and roads) are presented in Fig. 10. As indicated, evident spatio-temporal variations in flooding were observed. Figures 9 and 10 indicate that water depth was the main factor causing life and property losses, whereas water velocity had little or no effect.

The flood simulation results were indirectly validated by actual water accumulation points. During the 50-year flood in 2014, the city had 10 flooded roads and 18 water accumulation points. The actual hydrological points selected according to the study area and the urban flooding results simulated by the prototype system are indicated in Fig. 11.

To avoid overlapping with the simulated water accumulation results for roads, the actual flooding points in the figure only included road junctions, and the entirety of Gucheng ~~road~~Road (the Lutang Street to Dayou Street section) and Liyang Street (which connected the senior middle school to the Sanyan ~~temple~~Temple section) was represented by corresponding intersection points. Figure 11 indicates that both the simulation results and the actual water accumulation points were mainly distributed along the river. The simulated water accumulation area (Fig. 11(a)) included roads in the center of the city and was larger than the actual flooding area. This difference could be attributed to different definitions of “water accumulation”. The simulation results presented in Figure 11 included all areas where the accumulated water depth during the flooding period was greater than 15 cm. The actual water accumulation point was defined as one experiencing rainfall greater than 50 mm over a 24 hour period. Additionally, it was characterized by the water accumulation depth of the road reaching 15 cm (the meteorological department issued ~~the a~~a blue rainstorm warning at this level), the water withdrawal time reaching one hour, and the water accumulation scope value being greater than 50 m². Certain gaps existed between the observational data and the actual river discharge since the observation station was far from the study area. Hence, the results indicated that the simulated water accumulation area during the fluvial flood (Fig. 11 (b)) was smaller than that of the actual situation.

4.34.2 Simulation of the spatio-temporal distribution of population

The spatial and temporal resolutions of the modelling results ~~are changeable to~~ could be adapted to the study area. The area of the minimum block ~~was~~ 2731.64 ~~square meters~~ m². The temporal resolution of the results ~~is~~ was half an hour, which ~~can~~ could be set to 10 minutes or even 1 minute according to the ~~need~~ requirements. Additionally, no accurate traffic model ~~was~~ used to simulate agents' movements on road. ~~On one hand, it's for~~ for two reasons: (1) to improving efficiency , and ~~On the other hand, (2)~~ we ~~do~~ did not pay attention to high temporal-resolution human movements (such as ~~with precision to~~ one minute or one second). ~~We, but~~ only focused ed on the population distribution for a period of time, so the temporal resolution requirement of human activities ~~is~~ was low.

The ~~population~~ spatio-temporal population distribution was simulated based on six scenarios: (1) daily, weekday (S1, S7); (2) daily, weekend (S2, S8); (3) bad weather, weekday (S3, S9); (4) bad weather, weekend (S4, S10); (5) warning, weekday (S5, S11); (6) warning, weekend (S6, S12). Figure 12 indicates the population variation for blocks and roads for the six scenarios. Figure 12(a) indicates that, among the three weekend scenarios, the population in the playground (Block 77) changed more than the population in the company (Block 113). Figure 12(b) indicates that the population on the roads was volatile, and the morning peak hour during the weekend was delayed by an hour in comparison to that during the weekdays. The population distribution in the study area is shown in Fig. 13. The population was unevenly distributed and concentrated in recreational and residential areas over the weekend. However, the population distribution on weekdays was relatively uniform. The concurrent population distribution for the six scenarios changed significantly during the weekend, while the distribution for weekdays changed little.

Figures 12 and 13 indicate that the population change patterns were different for different blocks types. The daily routines of ~~several~~ most people started from the residential area (home) in the

morning, followed by school or company blocks during weekdays and recreational areas during weekends, and, finally, concluded with a return to the residential area at night. During the occurrence of rainstorms or the reception of warning messages, different types of people reacted differently (continuing, postponing, or cancelling the originally planned activities). Vulnerable people, like such as the elderly and children, and sensitive people ~~(, such as the homeless),~~ were more likely to cancel travel plans. Additionally, recreational activities were more likely to be cancelled than were study and work activities.

The reliability of the simulation of the spatio-temporal population distribution was indirectly verified by utilizing ~~the~~ traffic flow data. Due to the lack of data for 2014, we used traffic flow data from June 24 to July 7, 2017. The simulated total number of residents passing the four intersections (such as the junction of the Liqing and Huayuan roads) and the actual measured traffic flow at the intersections during the morning and evening peak hours on weekdays and weekends are shown in Fig. 14 Table 6 and Table 7. “Sim.” means simulation results, and “Obs.” means measured values which are multi-day average results. “LQ” is Liqing Road, “KF” is Kaifa Road, “HY” is Huayuan Road, “ZJ” is Zijin Road, and “LT” is Lutang Street. The traffic flow data in Fig. 14 are multi-day average results. The deviation ratio was calculated as: (Sim - Obs) / Obs.

In theory, the simulated value should be much larger than the measured value since the former indicates the number of people while the latter represents the number of cars and buses. However, as indicated in Fig. 14 Table 6 and Table 7, the simulated value was close to the measured value. This could be attributed to the assumption that the study area was closed and the simulated population was the number of permanent residents, excluding the migrant population. In reality, the number of migrants in the urban area during daytime is large owing to its geographical location. Moreover, this study simplified human activities when simulating the spatio-temporal distribution

of the population. Therefore, the number of pedestrians on the road was small. However, there was a deviation ratio of about $\pm 5\%$ between the simulated value and the measured value, except for three deviation ratios of about $\pm 10\%$.~~both the simulated and measured values were essentially similar with regard to changes in their trends.~~ Therefore, the simulation method for the spatio-temporal distribution of population is feasible, and the results are reliable.

4.4.3 Dynamic exposure assessment

Figure ~~15-14~~ presents the population exposure variation for two selected areas. The difference between pluvial and fluvial flood scenarios could be attributed to differences in the changes and degrees of water accumulation. Figure ~~15-14~~(a) indicates that population exposure was the highest for the daily scenario, followed by the bad weather scenario and minimum warning scenario. However, as indicated in Fig. ~~15-14~~(b), the population was most exposed to both weekend and weekday warning scenarios. This is ~~attributed to~~due to the assumption that the disaster response behavior adopted by residents was to reduce travel, i.e., the refuge of residents was the residential area. Additionally, the response was not based on the exposure of the residential area. Therefore, when residential areas, such as Block 6, were exposed to floods, the residents chose to reduce travel, thus resulting in an increase in the population of residential areas and consequently increasing the population exposure. ~~According to the analysis of the 12 scenarios, the government departments can carry out disaster prevention and mitigation measures for areas with large amounts of population exposure, such as evacuation prior to the disaster, and initiate key rescue operations during the disaster. Thus, if the government informs the residents of Block 6 in advance about the location of appropriate shelters, the exposed population will be effectively reduced.~~ The method proposed in this study can also help determine vulnerable populations and road users in the exposed blocks. ~~Because we had considered vulnerable people and road users when we~~

constructed the population groups (agents), we can get similar information from the results of vulnerable populations and road users in the exposed blocks, like the exposed population. Such information is of great practical significance.

Figure 16-15 presents variations in the road and building exposures of two selected areas with serious flooding. The road and building exposures for the study area are presented in Fig. 17-16. It can be concluded that road and building exposures during pluvial and fluvial floods also varied with the flood depth. Additionally, the exposed road length of the block was fluctuant/fluctuated, while the buildings ~~was~~ were either entirely exposed or not exposed. Furthermore, the area of the road affected by pluvial and fluvial floods was greater than that of the buildings. As indicated in Fig. 17-16, exposed buildings were present only in a few areas (3 blocks for pluvial flood and 4 blocks for fluvial flood)(blocks), while roads were affected in several areas (19 blocks for pluvial flood and 15 blocks for fluvial flood). In ~~A~~additionally, buildings were the least exposed due to high thresholds or the number of building steps designed and built in recent years, while roads and population were severely affected by floods.

5. Discussion and Conclusions

Urban flooding considerably impacts the ~~daily lives~~ of residents ~~and, not only affects in terms of both daily~~ commuting ~~but also and causes~~ casualties ~~and traffic congestion~~. This study proposed a method for obtaining high-resolution dynamic exposure to urban flooding. First, the spatio-temporal distributions of pluvial and fluvial floods were simulated by the LISFLOOD-FP model. Second, the responses of residents to bad weather and government measures (warnings) were incorporated to develop an ABM to simulate residents' activities during flooding. Finally, urban exposure during different flood scenarios was comprehensively simulated and was based on the

population and hydrological simulation results, road and building data, and the case study of the Lishui urban district.

The proposed method ~~proposed~~ can provide the government with high resolution dynamic exposure of population, roads, and buildings to flooding, along with information for urban vulnerability and loss assessment, ~~and thus~~ supporting the government disaster risk management. Additionally, it could provide effective reference information for residents' travels. In summary, this study had four main elements. First, different spatio-temporal distributions of water depth and velocity predictions were obtained using the LISFLOOD-FP model. Second, an ABM was utilized to simulate the spatio-temporal distributions of the population. Third, the impacts of pluvial and fluvial floods on buildings were found to be small, while that on roads and the population was evident. Finally, if residents simply reduced their travels (stayed at home), the exposure of the population in the exposed residential areas increased.

It should be noted that there is no comprehensive way to verify the proposed method. ~~This is~~ because parameters of human behavior and psychological processes are difficult (or, to some extent, impossible) to obtain. In this study, the proposed method was verified indirectly. The actual traffic information for each road intersection was collected and compared with the simulated population results. Additionally, the information for actual water accumulation points was compared with the simulated water accumulation results.

However, a few limitations persist. For instance, considerable uncertainties regarding the use and design of the ABM exist. These include differences in the responses of residents of the same type to disasters in the same scenario. Therefore, ~~this study simply attempted to reflect reality. B~~ased on the survey data, we designed simplified activity patterns, which are consistent with the actual

situation of the study area. Moreover, simplification of the behavior patterns and disaster responses of residents is inevitable, ~~thus~~ resulting in differences between the simulation results and reality.

Based on the analysis of the indirect validation results, we also found several problems. Since the migrant population and the exchange between the city and the outside were not considered, the simulated road population was small, so we needed to use real-time traffic data (such as taxi trajectories and card data from public transportation) to calibrate activity patterns to obtain more realistic population distribution results. Moreover, the actual water accumulation point information cannot be completely consistent with the simulation result because of its definition, therefore the simulation result can only be roughly verified. We need more abundant and accurate historical hazard data to fine-tune the flood simulation results.

Our study focused ~~s~~ more on the explorative method, while the result is just an application case. Due to the limitation of the study area and data, the current results are quite general in an early stage. The method proposed also has many areas in need of improvements, such as the design of ABM. Therefore, future studies should focus on optimizing the proposed method and practical case studies, which may produce more informative results.

Acknowledgements

This study ~~was~~ supported by the National Natural Science Foundation of China (Nos: 41771424, 41871299, 41631175) and National Key R & D Program of China (Nos: 2018YFB0505500, 2018YFB0505502). Dawei Han and Lu Zhuo ~~are~~ were supported by Newton Fund via Natural Environment Research Council (NERC) and Economic and Social Research Council (ESRC) (NE/N012143/1).

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723 **Figure 1.** Location of the study area (left) and a digital elevation model indicating the specific
724 details of the study area (right).

725 **Figure 2.** Overview of the dynamic exposure simulation to urban flooding.

726 **Figure 3.** Rainfall simulation results based on the CHM method, and observational data used for
727 fluvial flood simulation.

728 **Figure 4.** A synthetic daily routine generated from the travel survey and census data for an
729 employed male agent aged 18–60 years.

730 **Figure 5.** Activity patterns for an employed male agent aged 18–60 years and highly educated
731 during disaster scenarios. (a) Bad weather (weekday) (b) Warning (weekday) (c) Bad weather
732 (weekend) (d) Warning (weekend).

733 **Figure 6.** Block Numbers of different block types.

734 **Figure 7.** Spatial distribution of blocks.

735 **Figure 8.** Agent types for daily and disaster scenarios. Daily scenarios refer to S1, S2, S7, and S8.
736 Others are disaster scenarios.

737 **Figure 9.** Map of accumulated water depths and velocities. T means time here.

738 **Figure 10.** Changes in the surface water depths and velocities for eight severely flooded areas.
739 The “dep” indicates water depth, and “vel” indicates water velocity.

740 **Figure 11.** Map of the flooded area indicating the flooding simulation and the real flood in 2014.
741 The information for the flooded area was provided by Lishui City Housing and Urban-Rural
742 Construction Bureau.

743 **Figure 12.** Population changes in blocks and roads for the six scenarios.

744 **Figure 13.** Population distribution for the six scenarios. T means time here.

745 ~~**Figure 14.** Traffic flow and population simulation results during peak hours on weekdays and~~
746 ~~weekends. The traffic flow data were provided by the Lishui City Traffic Bureau. Real means~~

measured value here. LQ is Liqing Road, KF is Kaifa Road, HY is Huayuan Road, ZJ is Zijin Road, and LT is Lutang Street.

Figure 1514. Changes in the population exposure of two blocks for the 12 scenarios. Block 168 was a recreational area, and Block 6 was a residential area.

Figure 1615. Changes in road and building exposures in severely flooded blocks. The exposed road length and building area represent road and building exposures, respectively.

Figure 1716. Map of road and building exposures. T means time here.

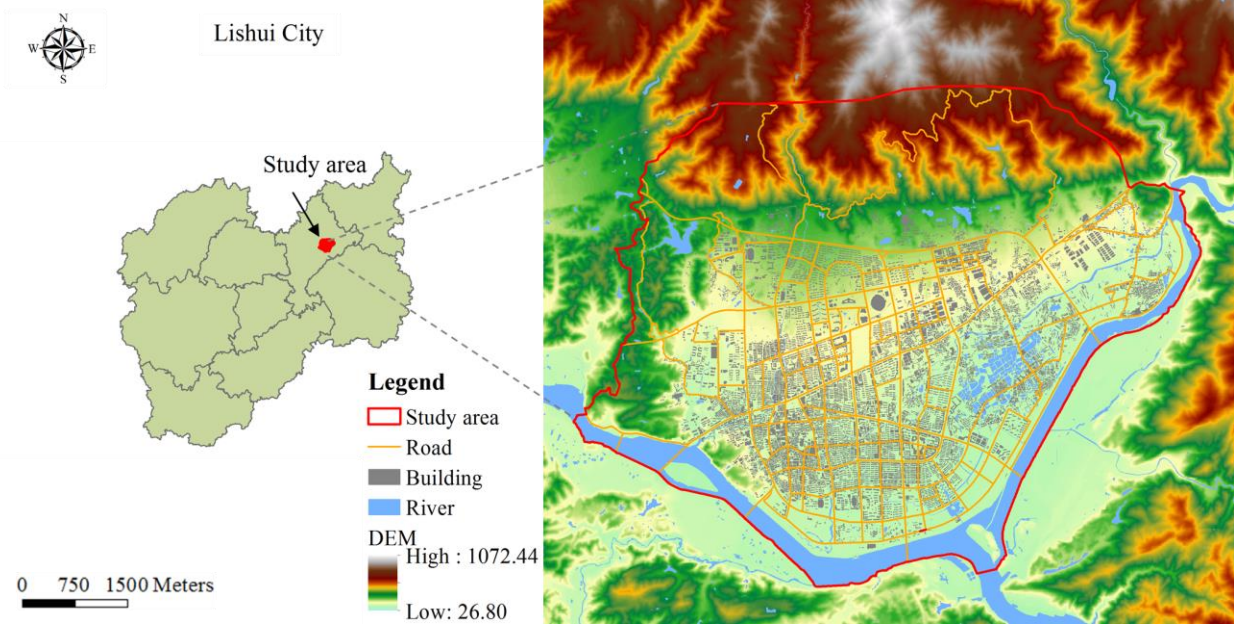
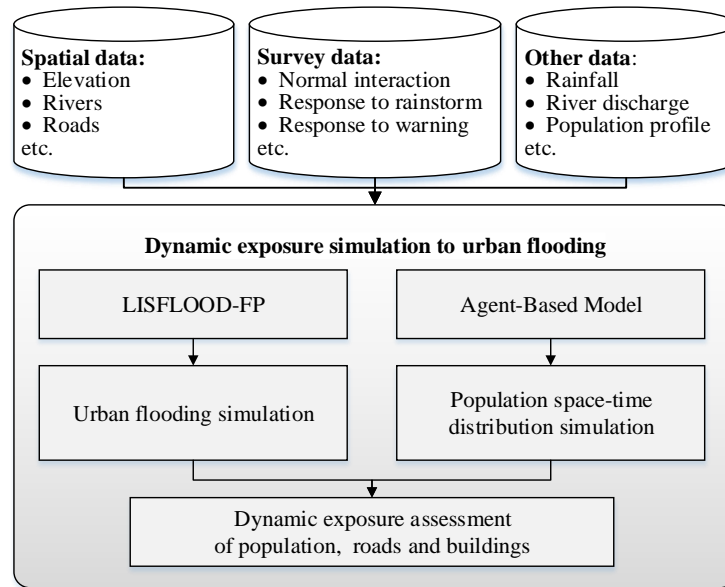
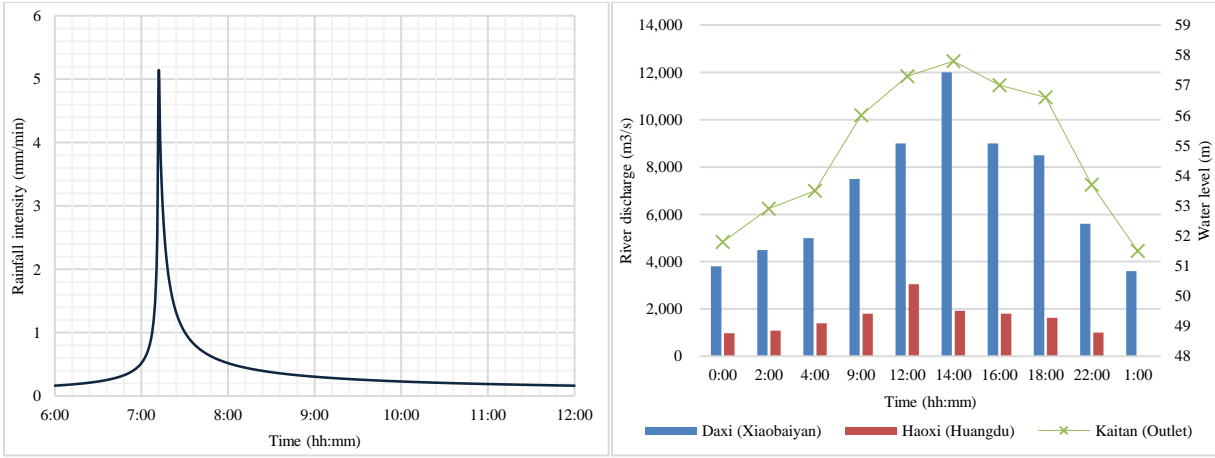


Figure 1. Location of the study area (left) and a digital elevation model indicating the specific details of the study area (right).



758

759 **Figure 2.** Overview of the dynamic exposure simulation to urban flooding.



(a) Rainfall simulation data

(b) Observational data

Figure 3. Rainfall simulation results based on the CHM method, and observational data used for fluvial flood simulation.

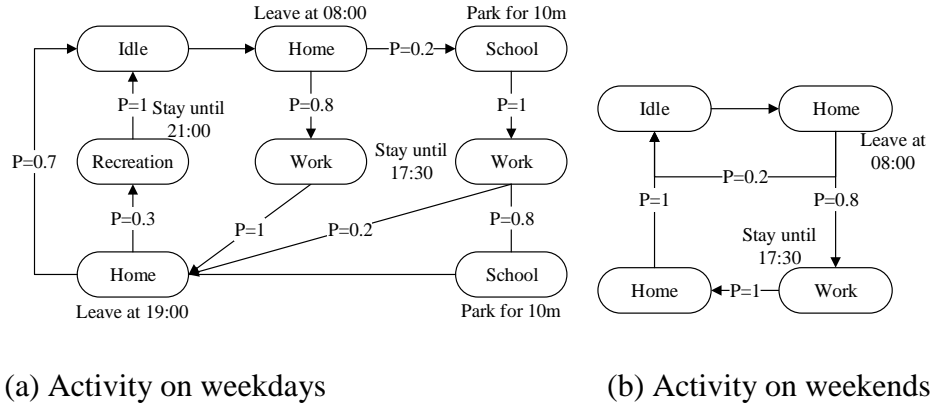
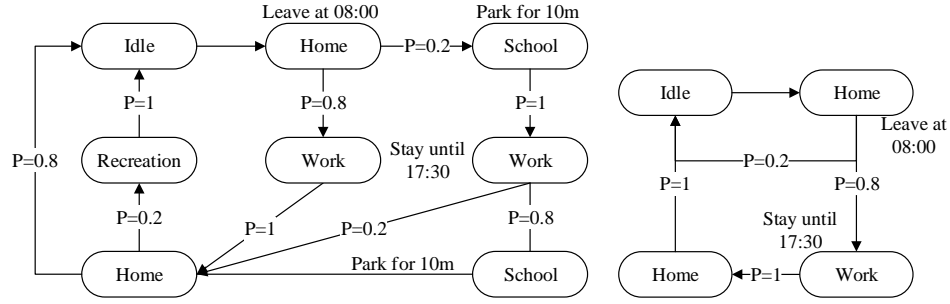
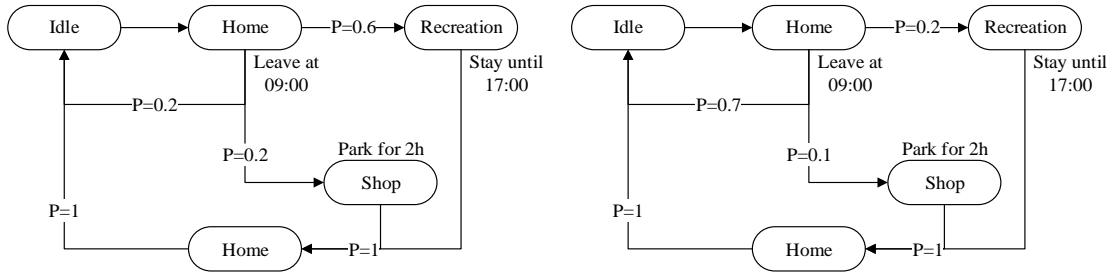


Figure 4. A synthetic daily routine generated from the travel survey and census data for an employed male agent aged 18–60 years.



(a) Bad weather (weekday)

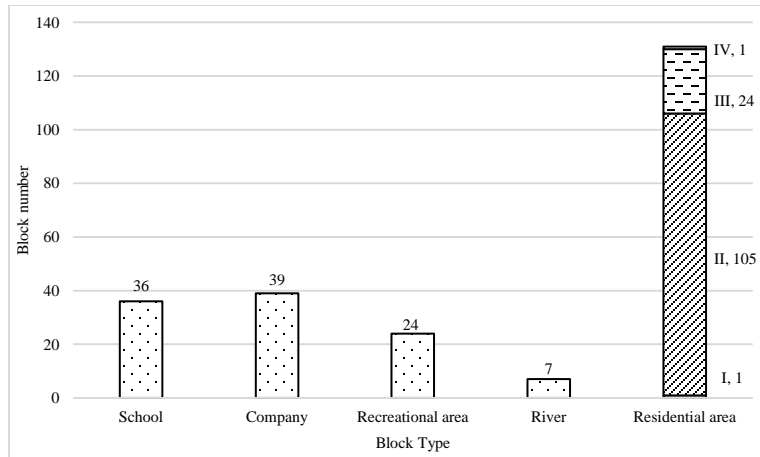
(b) Warning (weekday)



(c) Bad weather (weekend)

(d) Warning (weekend)

Figure 5. Activity patterns for an employed male agent aged 18–60 years and highly educated during disaster scenarios. (a) Bad weather (weekday) (b) Warning (weekday) (c) Bad weather (weekend) (d) Warning (weekend).



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777

Figure 6. Block Numbers of different block types.

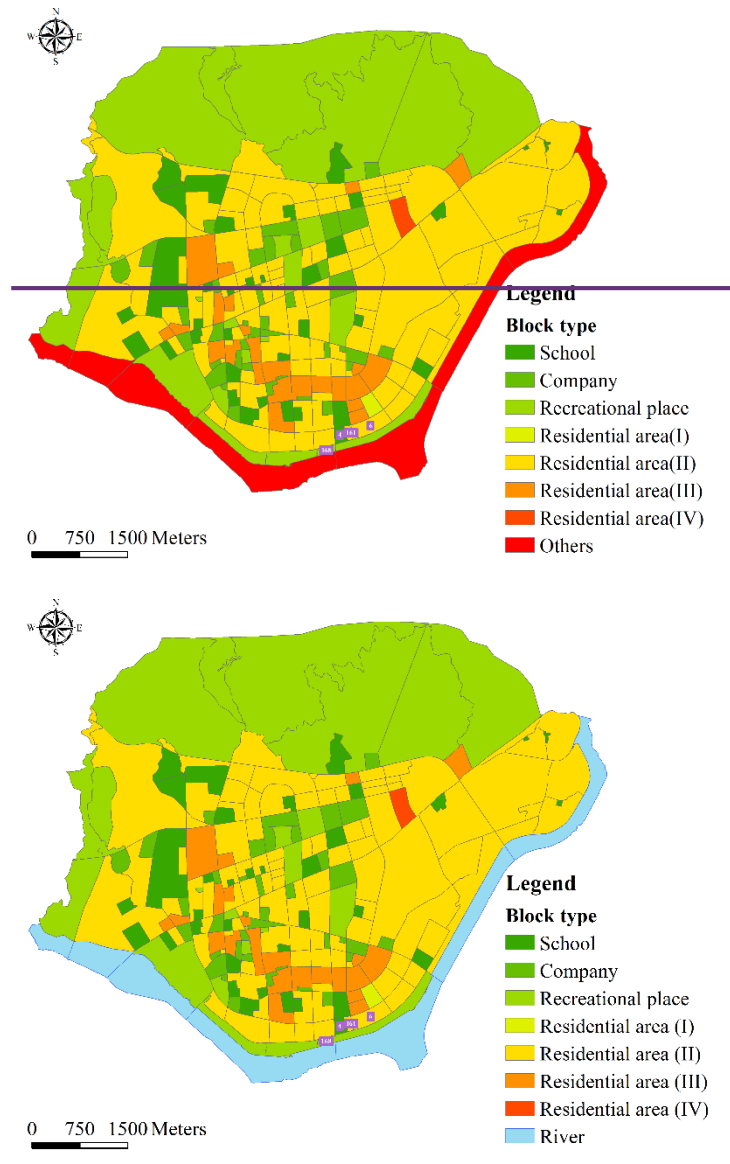
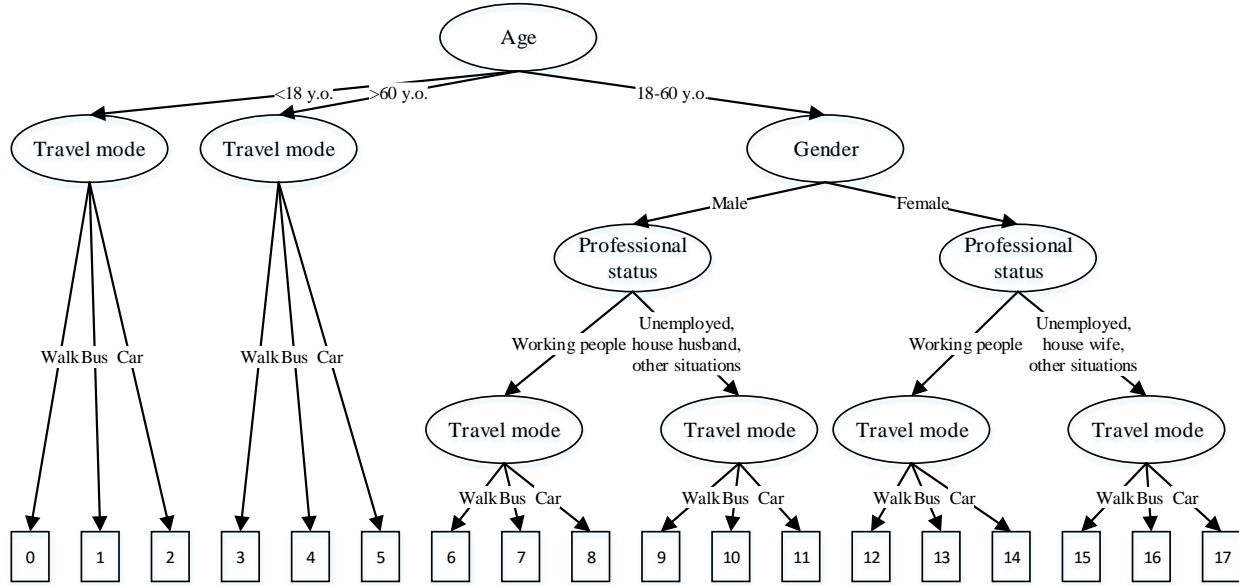
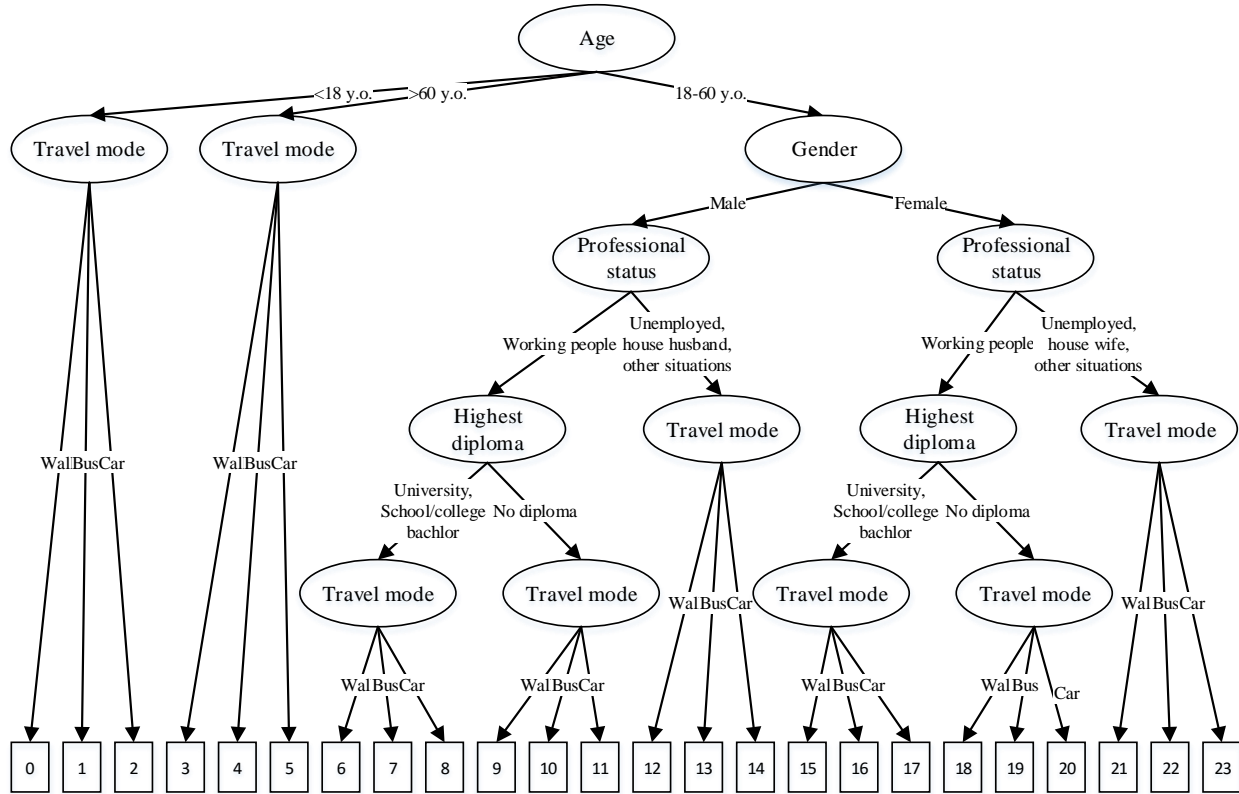


Figure 7. Spatial distribution of blocks.

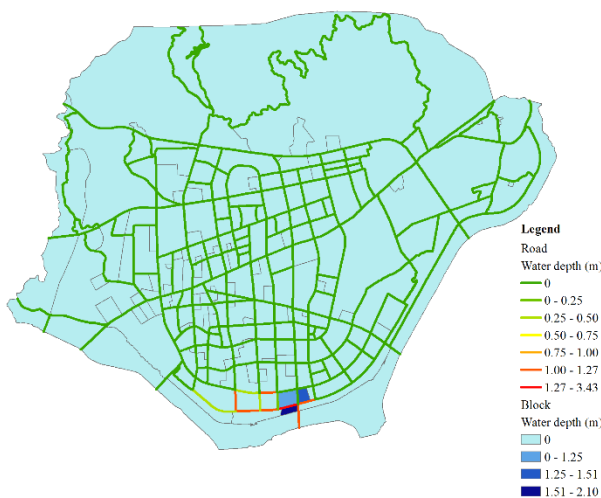
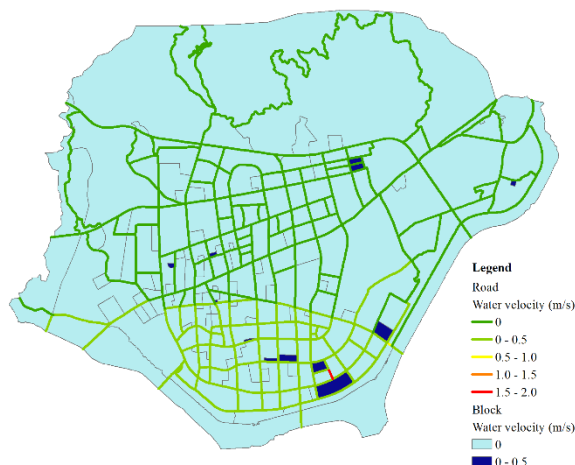
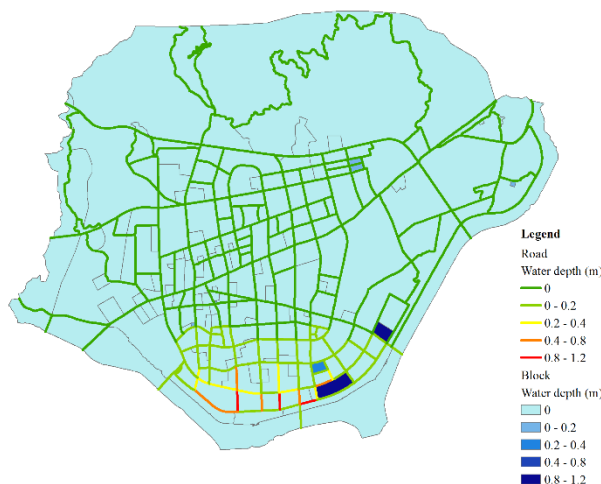


(a) Agent types for daily scenarios



(b) Agent types for disaster scenarios

Figure 8. Agent types for daily and disaster scenarios. Daily scenarios refer to S1, S2, S7, and S8. Others are disaster scenarios.



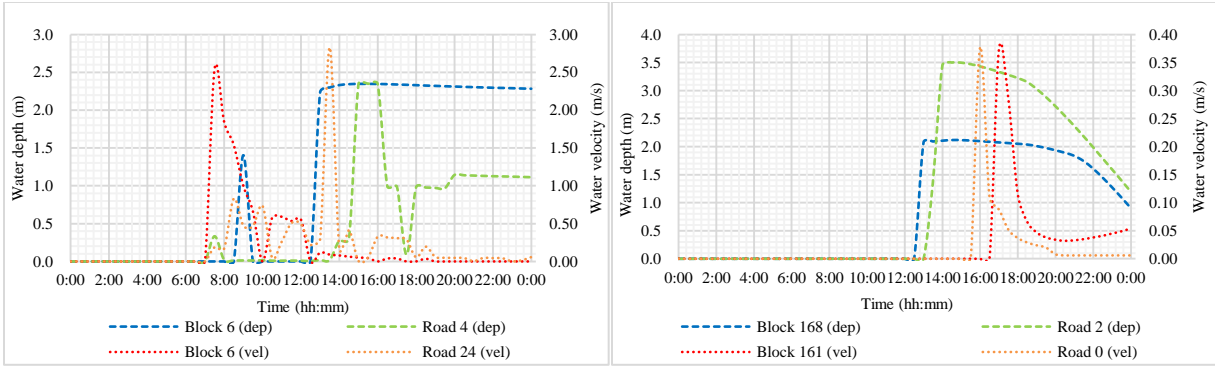
(a) Water depth (pluvial flood, T = 15:00)

(b) Water velocity (pluvial flood, T = 08:00)

(c) Water depth (fluvial flood, T = 16:00)

(d) Water velocity (fluvial flood, T = 16:00)

Figure 9. Map of accumulated water depths and velocities. T means time here.

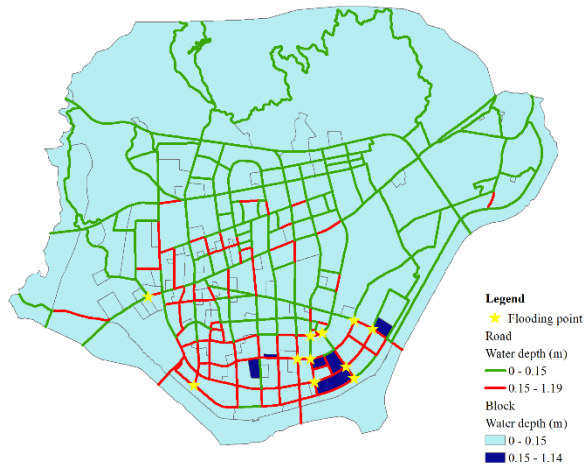


(a) Pluvial flood

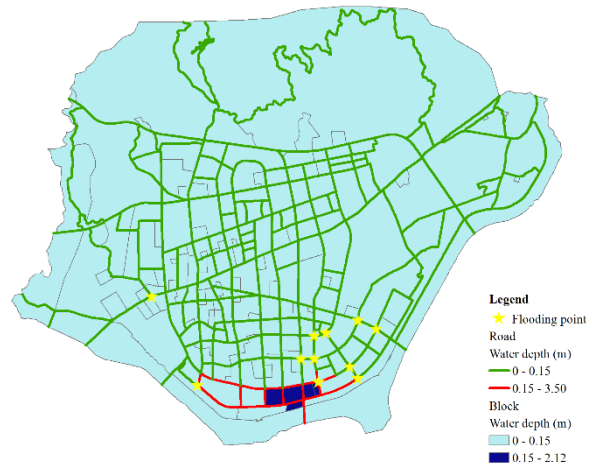
(b) Fluvial flood

Figure 10. Changes in the surface water depths and velocities for eight severely flooded areas.

The “dep” indicates water depth, and “vel” indicates water velocity.

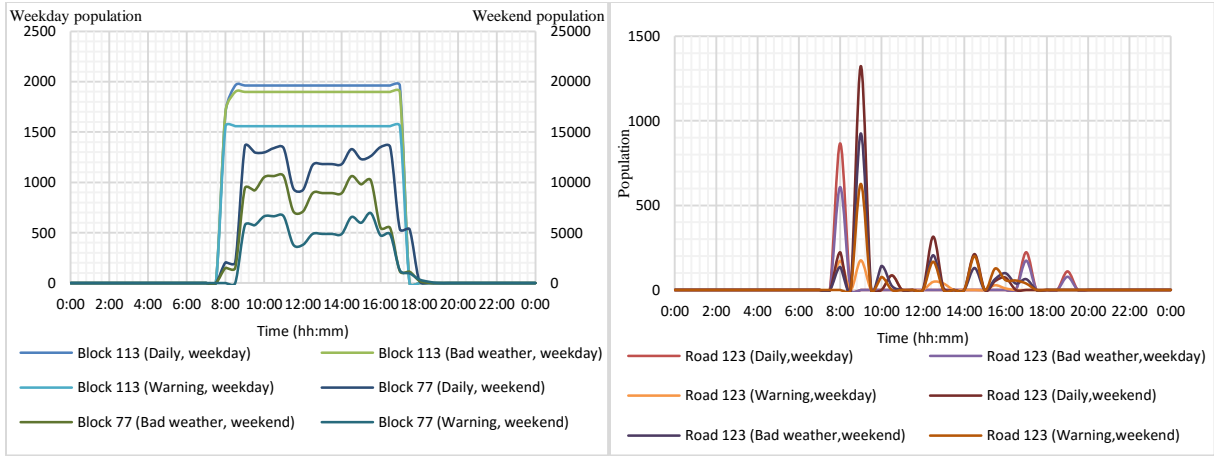


(a) Pluvial flood



(b) Fluvial flood

Figure 11. Map of the flooded area indicating the flooding simulation and the real flood in 2014. The information for the flooded area was provided by Lishui City Housing and Urban-Rural Construction Bureau.



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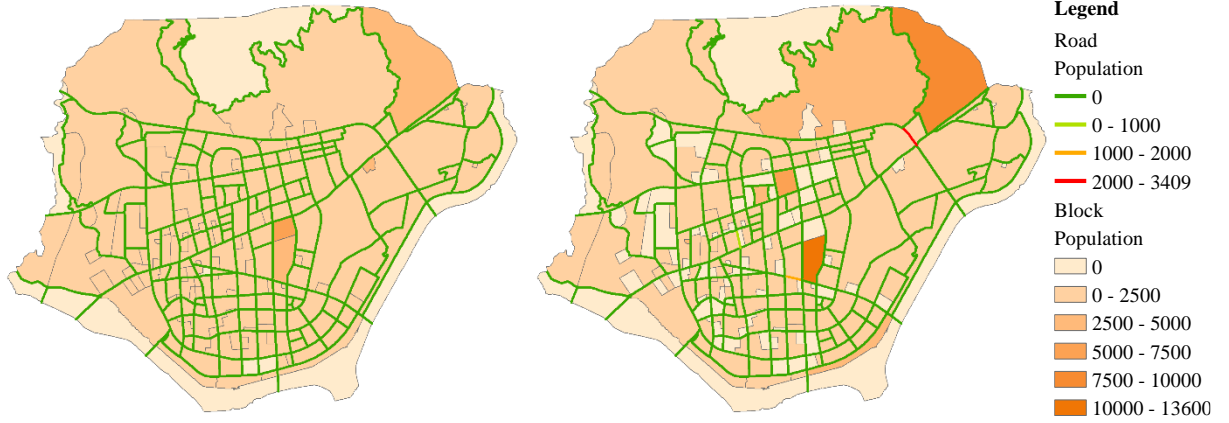
803

(a) Block

(b) Road

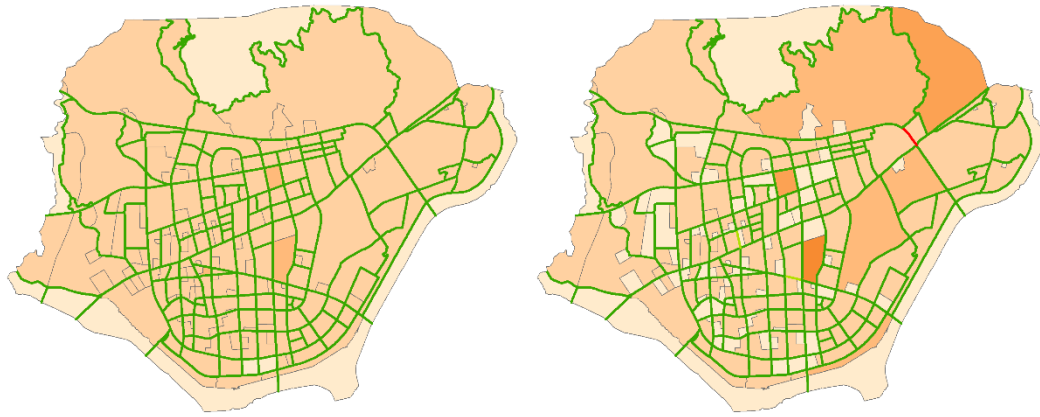
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Figure 12. Population changes in blocks and roads for the six scenarios.



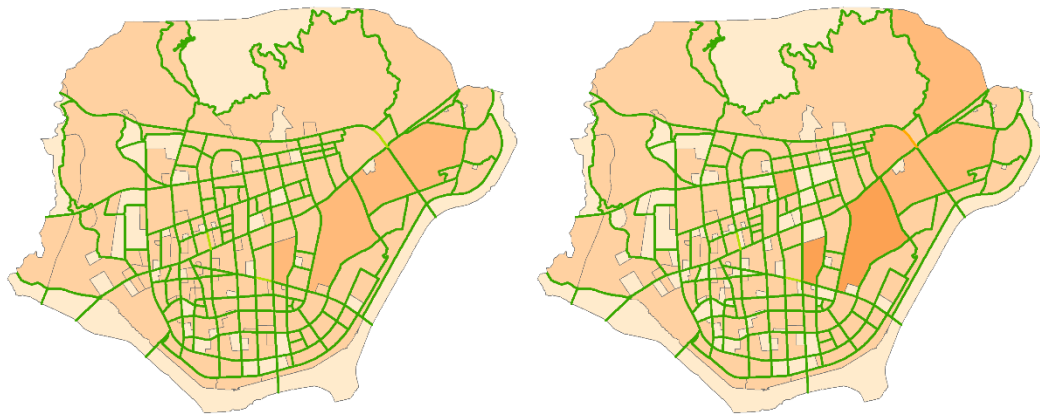
(a) Daily, weekday (T = 09:00)

(b) Daily, weekend (T = 09:00)



(c) Bad weather, weekday (T = 09:00)

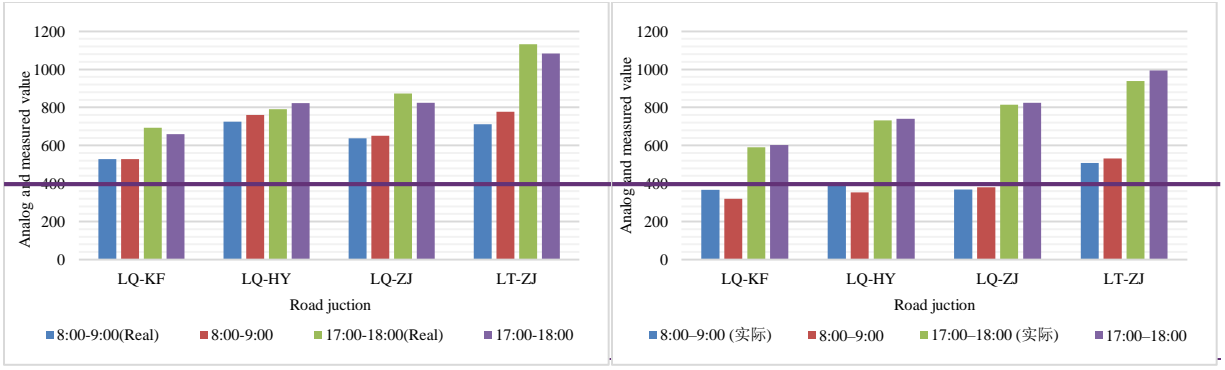
(d) Bad weather, weekend (T = 09:00)



(e) Warning, weekday (T = 09:00)

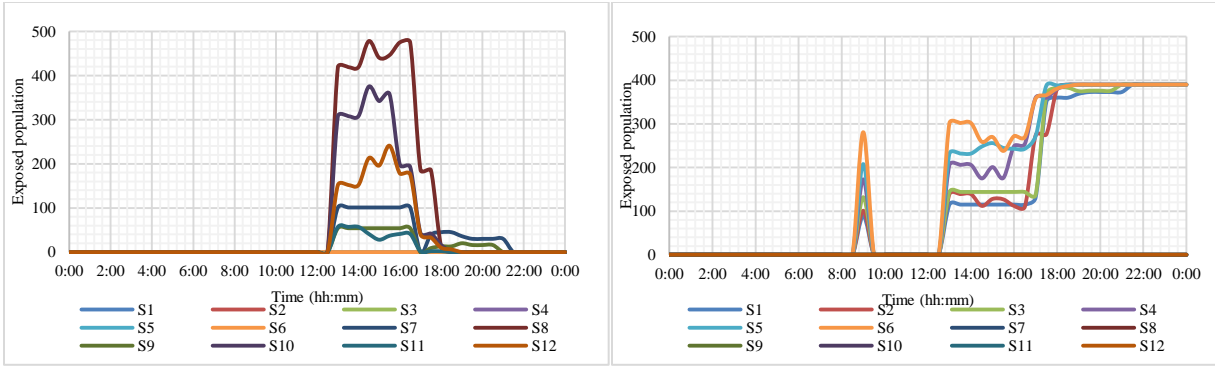
(f) Warning, weekend (T = 09:00)

Figure 13. Population distribution for the six scenarios. T means time here.



(a) Weekday (b) Weekend

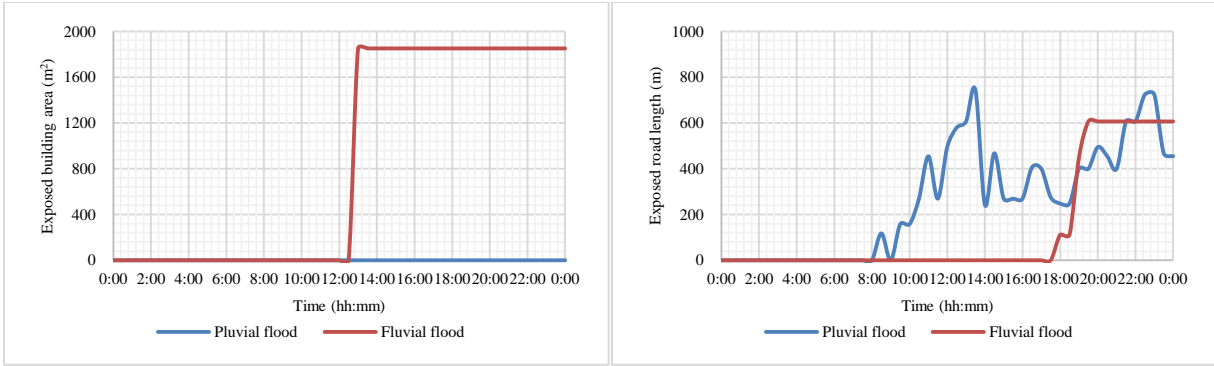
Figure 14. Traffic flow and population simulation results during peak hours on weekdays and weekends. The traffic flow data were provided by the Lishui City Traffic Bureau. Real means measured value here. LQ is Liqing Road, KF is Kaifa Road, HY is Huayuan Road, ZJ is Zijin Road, and LT is Lutang Street.



(a) Population exposure (Block 168)

(b) Population exposure (Block 6)

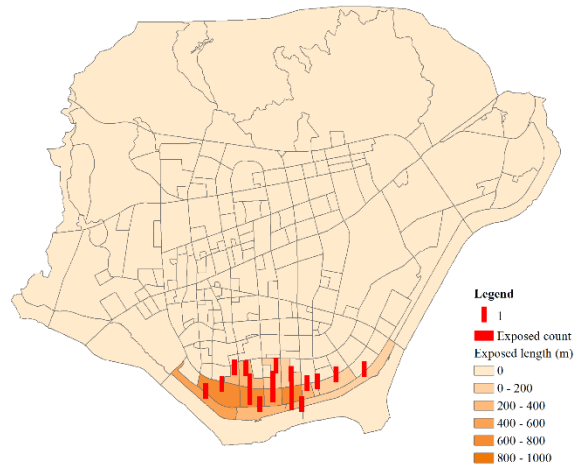
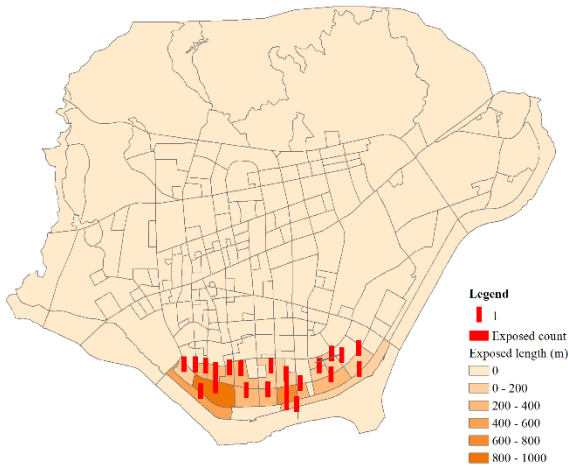
Figure 1514. Changes in the population exposure of two blocks for the 12 scenarios. Block 168 was a recreational area, and Block 6 was a residential area.



(a) Exposed building area (Block 168)

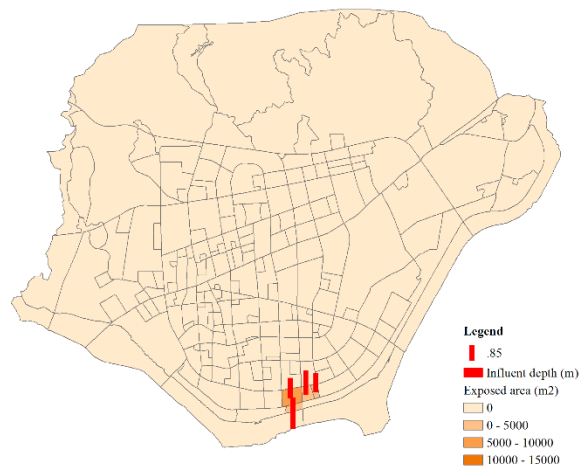
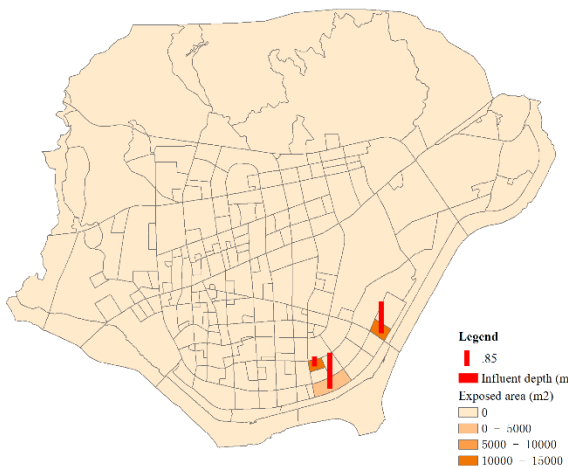
(d) Exposed road length (Block 6)

Figure 1615. Changes in road and building exposures in severely flooded blocks. The exposed road length and building area represent road and building exposures, respectively.



(a) Road exposure (pluvial flood, T = 18:30)

(b) Road exposure (fluvial flood, T = 18:30)



(c) Building exposure (pluvial flood, T = 18:30)

(d) Building exposure (fluvial flood, T = 18:30)

Figure 1716. Map of road and building exposures. T means time here.

831	Table 1 Data used in this study.
832	Table 2. Parameter values for the rainstorm intensity formula.
833	Table 3. Parameter variations used in the simulation scenarios.
834	Table 4. Sociodemographic characteristics of the <u>study area</u> population in the case study area .
835	Table 5. Building steps heights for different block types.
	<u>Table 6. Traffic flow and population simulation results during peak hours on weekdays.</u>
	<u>Table 7. Traffic flow and population simulation results during peak hours on weekends.</u>

836 **Table 1.** Data used in this study.

Data	Source	Time	Use
Digital elevation model	Local government	2013	Topography (regular square grids with a 5 m resolution)
Basic geographic data	Local government	2015	Locations of rivers, roads, and buildings
Hydrological data	Local government	20 -Aug <u>20</u> , 2014	River discharge and water level
1_km grid population data	National Earth System Science Data Sharing Infrastructure, National Science & Technology Infrastructure of China (http://www.geodata.cn)	2010	Number of residents in <u>per</u> grid of <u>in</u> the study area
Population profile	Lishui Statistical Yearbook and Liandu Yearbook (http://tjj.lishui.gov.cn/sjjw/tjnj/201511/t20151105_448284.htm)	2014	Gender profile , age <u>profile</u> , education level <u>profile</u> , employment <u>profile</u> and travel mode profiles were used to classify agent groups
Travel survey data	Face-to-face questionnaire surveys <u>Field investigation</u>	<u>July 8, 2018 to July 14, 2018</u> 2018	The <u>s</u> Social characteristics and daily activities of 500 residents in random survey
Traffic flow data	Local government	<u>24</u> -June <u>24</u> , 2017 to <u>7</u> July <u>7</u> , 2017	The <u>n</u> Number of vehicles passing through a node within one hour at four intersections from <u>24</u> June <u>24</u> , 2017 to <u>7</u> July <u>7</u> , 2017 in this area
Water accumulation points	Local government (http://www.zjjs.com.cn/n17/n26/n44/n47/c339697/content.html)	20 -Aug <u>20</u> , 2014	Location

837

838 **Table 2.** Parameter values for the rainstorm intensity formula.

Parameter	Value
A	1265.3
b	5.919
c	0.587
n	0.611

839

840 **Table 3.** Parameter variations used in the simulation scenarios.

Scenarios	Flooding Type <u>type</u>	Human behavior	Weekdays or Weekends <u>weekends</u>
S1	Pluvial flood	Daily	Weekdays
S2	Pluvial flood	Daily	Weekends
S3	Pluvial flood	Bad weather	Weekdays
S4	Pluvial flood	Bad weather	Weekends
S5	Pluvial flood	Warning	Weekdays
S6	Pluvial flood	Warning	Weekends
S7	Fluvial flood	Daily	Weekdays
S8	Fluvial flood	Daily	Weekends
S9	Fluvial flood	Bad weather	Weekdays
S10	Fluvial flood	Bad weather	Weekends
S11	Fluvial flood	Warning	Weekdays
S12	Fluvial flood	Warning	Weekends

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842 **Table 4.** Sociodemographic characteristics of the study area population ~~in the case study area~~.

Variables	Groups	Percentage (%)
Gender	Male	50.43
	Female	49.57
Age	0-17	18.73
	18-60	63.34
	>60	17.93
Professional status	Employed	55.77
	Unemployed	44.23
Education <u>L</u> level (Highest diploma)	University, school-college, bachelor	14.46
	No diploma	85.54
Travel mode	Walk	25.24
	Bus	43.06
	Car	31.70

843 Note: ~~The d~~Data are drawn from the 2015 Lishui Statistical Yearbook and 2015 Liandu
844 Yearbook.

Table 5. Building steps heights for different block types.

No	Block type	Building type	Building steps height
1	Residential area I	Garden house, villa	0.35 m (with > 9 floors, 0.60 m)
2	Residential area II	High-rise apartments and new village houses before and after liberation (before 1988); new residential quarters and commercial houses (after 1988)	0.35 m ((with floors > 9 floors, 0.60 m))
3	Residential area III	New and old Lane-lane homes, three types of staff housing	0.10 m
4	Residential area IV	Shed house	0.05 m
5	School	Educational building	0.35 m (with > 9 floors, 0.60 m)
6	Company	Office building	0.35 m (with > 9 floors, 0.60 m)
7	Recreational area	Public buildings for business, culture, sports, and other uses	0.35 m (with > 9 floors, 0.60 m)

Table 6. Traffic flow and population simulation results during peak hours on weekdays.

<u>Road junction</u>	<u>Time</u>	<u>Sim.</u>	<u>Obs.</u>	<u>Deviation ratio</u>
<u>LQ-KF</u>	<u>8:00–9:00</u>	<u>319</u>	<u>366</u>	<u>-12.84%</u>
<u>LQ-KF</u>	<u>17:00–18:00</u>	<u>602</u>	<u>591</u>	<u>1.86%</u>
<u>LQ-HY</u>	<u>8:00–9:00</u>	<u>353</u>	<u>398</u>	<u>-11.31%</u>
<u>LQ-HY</u>	<u>17:00–18:00</u>	<u>740</u>	<u>731</u>	<u>1.23%</u>
<u>LQ-ZJ</u>	<u>8:00–9:00</u>	<u>381</u>	<u>369</u>	<u>3.25%</u>
<u>LQ-ZJ</u>	<u>17:00–18:00</u>	<u>824</u>	<u>814</u>	<u>1.23%</u>
<u>LT-ZJ</u>	<u>8:00–9:00</u>	<u>531</u>	<u>508</u>	<u>4.53%</u>
<u>LT-ZJ</u>	<u>17:00–18:00</u>	<u>994</u>	<u>938</u>	<u>5.97%</u>

Table 7. Traffic flow and population simulation results during peak hours on weekends.

<u>Road junction</u>	<u>Time</u>	<u>Sim.</u>	<u>Obs.</u>	<u>Deviation ratio</u>
<u>LQ-KF</u>	<u>8:00–9:00</u>	<u>523</u>	<u>529</u>	<u>-1.13%</u>
<u>LQ-KF</u>	<u>17:00–18:00</u>	<u>659</u>	<u>693</u>	<u>-4.91%</u>
<u>LQ-HY</u>	<u>8:00–9:00</u>	<u>761</u>	<u>725</u>	<u>4.97%</u>
<u>LQ-HY</u>	<u>17:00–18:00</u>	<u>822</u>	<u>790</u>	<u>4.05%</u>
<u>LQ-ZJ</u>	<u>8:00–9:00</u>	<u>651</u>	<u>638</u>	<u>2.04%</u>
<u>LQ-ZJ</u>	<u>17:00–18:00</u>	<u>825</u>	<u>873</u>	<u>-5.50%</u>
<u>LT-ZJ</u>	<u>8:00–9:00</u>	<u>778</u>	<u>712</u>	<u>9.27%</u>
<u>LT-ZJ</u>	<u>17:00–18:00</u>	<u>1083</u>	<u>1132</u>	<u>-4.33%</u>