

**Revisions in response to comments of Referee #1**

We thank referee #1 for the helpful comments.

**Comment:** The title does not completely reflect the content of the manuscript.

**Answer:** As explained in our response to the reviews, we felt that the title is appropriate and retained the original title.

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**Comment:** Global climate scenarios are used as is without considering bias correction of any kind. How does RCA4 temperature bias affect the conclusion of the current study?

**Answer:** See our reply to the specific comment on bias below.

10 **Comment:** Precipitation is not mentioned as input to FLake model. However, in winter snow can reinforce substantially lake insulation in presence of ice. In RCA4 precipitation is also biased. Is the snow module activated in FLake? Please add a comment on that particular point and discuss how snow could modulate the conclusions of the paper, at least in the close future before the warming prevents ice formation

**Answer:** We added the following text to section 2.7 in the methods:

15 “Precipitation, including snow cover on ice, were not included in modelling. There are several indications suggesting that including the snow as model input would not improve the predictive value of the model outcomes. Firstly, the current version of FLake treats the thermal regime under ice in a simplified way, without taking into account the short-wave radiation penetrating into the water column under the ice cover. Therefore including the insulating effect of snow on the radiation flux does not substantially change the mixing physics of the ice-covered period. Secondly, in temperate regions, the relatively short ice-covered periods on lakes are weakly affected by the snow cover compared to e.g. boreal and arctic lakes. This fact was also supported by the study of the FLake performance for the ice modeling on Lake Müggelsee (Bernhardt et al., 2012).”

25 **Comment:** Flake is calibrated with ERA-Interim data, it is not clear however which calibration period was used: figure 3 indicates 1996-2002 but this is not explicitly stated in the paper.

**Answer:** We added the following sentence to section 2.7 in the methods:

“Here we assessed model performance using temperature profiles in the period from 1979 to 2014 in Müggelsee (measured weekly, and from 2004 to 2014 hourly to assess short-term stratification), from 1979 – 2001 in Heiligensee (monthly), from 1991 to 2012 in Stechlinsee (weekly to monthly), and from 1979 to 2010 in Arendsee (weekly to monthly).”

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**Comment:** Calibration of FLake parameters allow correcting biases in ERA-Interim forcing (Biases due to daily variables, to sub-daily interpolation, etc.). For the future period 2020-2100, it is not proven that these calibrations are best when forcing is made with RCA4. I encourage authors to compare RCA4 model runs for present period with and without calibration, using

ERA-Interim as lateral boundary conditions, and discuss the impact in terms of surface temperature, icing, wind-mixing regimes, etc.

**Answer:** We followed the reviewer’s suggestion and reran the model. We added the following text to section 2.7 of the methods:

5 “Using a model calibrated on a different dataset (ERA-Interim) than the climate projections can introduce bias into the results. Thus we reran the model calibrated on ERA-Interim data with forcing from the historical hindcasts of each of the 12 GCM-RCM combinations in the ensemble. The mean bias of each variable forced by the ensemble hindcast barely differed from the bias obtained using ERA-Interim forcing. In fact, the ensemble showed on average a smaller absolute bias in bottom temperature, mixed layer depth, stratification duration and stratification onset timing and a slightly greater bias only in surface temperature and stratification breakdown timing. Therefore, our simulations are largely unaffected by bias.”

10 We also added the following text to section 4.6 in the discussion:

“Additional error can arise through bias in the forcing meteorological data but we found that the mean bias in key variables obtained from the ensemble forcing did not differ from the bias of the model calibrated with ERA-Interim reanalysis data.”

15 **Comment:** In Müggelsee a specific calibration is performed to account for a water supply from a connected river. Is there any signal in climate simulations that confirms this river discharge will be as important as in the present climate? A smaller discharge in the future (due to less precipitation, more evaporation, etc.) would for instance impact transparency and change the calibration results.

**Answer:** We added the following text to section 2.7 in the methods:

20 “Moreover, we did not account for changes in inflow because our focus was on lake-atmosphere interactions. Instead we assessed the sensitivity of the lakes to a change in transparency, which can be expected if warming alters runoff as well as nutrient and carbon export.”

25 **Comment:** In figure 9 only the 2050-2059 period is considered? Are the results also valid for the other time periods? Please add a comment on that point page 10.

**Answer:** We added the following text to section 3.4 in the results:

“Fig. 11 was derived for the period 2090-2099. Although stratification duration increased over time as indicated in Table 3, the relative dependence on extinction was similar during the whole period covered in the ensemble.”

30 Note that Figure 9 became Figure 11 in the revision. Also, in the revision, we decided it was more relevant to show the period at the end of the century 2090-2099 rather than 2050-2059.

**Comment:** The ensemble of 12 members is not discussed in terms of dispersion: a rank diagram of air temperature is probably very important to discuss the ensemble model dispersion and demonstrate this ensemble is enough-dispersive to represent the climate variability.

**Answer:** We added a new figure (Fig. 2) with rank (cumulative distribution) diagrams of the monthly and annual mean temperatures of the historical scenarios of the ensemble compared with the corresponding temperatures of the ERA-Interim reanalysis and the actual measured air temperatures at the Menz weather station for the period 1991 to 2010.

We also added the following text to section 2.4 in the methods:

5 “The dispersion of mean monthly and annual air temperature in the ensemble is quite comparable to that of both the ERA-Interim reanalysis dataset and the observed data from a nearby weather station (Fig. 2). The ensemble tended to slightly underestimate the frequency of extremely warm months in the upper 10<sup>th</sup> percentile (Fig. 2a) but not the frequency of warm years (Fig. 2b).”

We also added the following texts to section 4.2 and 4.6 in the discussion:

10 “The model may have slightly underestimated the increase in summer peak temperatures and occurrence of extended stratification during heatwaves in polymictic Müggelsee because the ensemble tended to slightly underestimate the frequency of extremely warm months.”

15 “Another source of error can be incorrect representation of dispersion in the forcing ensemble. However, here we found that the dispersion of air temperature was quite similar to the dispersion in measured meteorological data and the ERA-Interim reanalysis. The slight underestimation of extremely warm periods in the ensemble may also cause a slight underestimation in our results of peak summer temperatures in all lakes and of the frequency of extended stratification events during heatwaves in polymictic Müggelsee. This should not influence our overall conclusions.”

20 **Comment:** Sine data are used to reconstruct wind which is a key variable for the mixing-regime of lakes. It is not clearly proven how accurate wind reconstruction is and how it compares to Potsdam dataset. Please indicate Potsdam location in Figure 1.

**Answer:** To establish the accuracy of the method, we added an additional figure comparing hourly observed meteorology with the disaggregated meteorology randomly generated from the same daily means.

Moreover, we added the following text to section 2.5 in the methods:

25 “This method accurately reproduced the complexity of the observed wind speed dynamics: It produced realistic behavior of day-to-day wind speed (Fig. 3a), as well as the diurnal variation of mean wind speed and associated variance (Fig. 3b, c), and also the seasonal change of this diurnal variation (Fig. 3b, c), while still preserving the given daily mean wind speeds.”

Note that the observed weather data are from the station at Menz, not Potsdam as we mistakenly stated in the original. Thus, we changed Potsdam to Menz throughout the manuscript. Menz is 5 km from Stechlinsee and the small distance could not be resolved in Fig 1. This we described the location in the text instead:

30 “...from the Menz weather station 5 km from Lake Stechlin (Fig. 1).”

**Comment:** Temporal downscaling of humidity is performed linearly. Is it relative humidity that is considered as input to FLake? Usually specific humidity is used. Please clarify.

**Answer:** We stated in the manuscript (section 2.5 in the methods) that FLake uses specific humidity.

**Comment:** In the presentation of FLake model runs, it would have been helpful to clearly explain which time step was used: sub-daily variables are constructed but then is the atmospheric forcing 6-hourly, 3-hourly, etc.?

5 **Answer:** The sentence in section 2.5, methods, now reads:

“These variables were available at daily resolution in the climate projections, and were downscaled for model simulations to 6-hourly resolution with the same daily mean to account for diurnal forcing.”

**Comment:** Page 9 line 9: ensemble; Page 12 line 25: transparency; Page 12 line 28: Heiligensee

10 **Answer:** Thanks, we corrected these typos.

#### **Revisions in response to referee #2**

15 We thank referee #2 for providing constructive comments.

#### General comments

**Comment:** Paper addresses relevant scientific questions within the scope of HESS, namely internal physical mechanisms determining the response of lakes to a future warmer climate. It presents new analysis of northern temperate lakes variables in a projected moderate climate warming scenario (Radiative Concentration Pathway 4.5, RCP4.5). In addition, all lakes used in this study have different combination of morphology and mixing regime, yet they all are situated rather close to each other, what makes analysis even more interesting and relevant. Paper reaches substantial conclusions on lake vertical mixing, ice formation dates and water transparency behaviour according to the projected climate change. Methods and assumptions are valid and rather clearly outlined, the only clarification is needed for light extinction constant for Arendsee. Paper results are sufficient to support the interpretations and conclusions presented. Model experiment description and explanations of result calculation methodology are sufficiently complete and precise to allow their reproduction by fellow scientists (good traceability of results). Also, authors give possibility to download initial data or model output. They give proper credit to related work and clearly indicate their own new/original contribution to the analysis of lake main variables in future warming climate and indicate each authors input. Paper title clearly reflects the contents of the manuscript, abstract provides a concise and complete summary of the research done.

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Overall presentation of the paper is well structured and clear, language fluent and precise, all mathematical formulae, symbols, abbreviations, and units are correctly defined and used, number and quality of references are appropriate.

**Answer:** Thank you for the overview and highlighting the strengths of the manuscript. Our response to the extinction coefficient is given below.

Specific comments

**Comment:** 1. p4, 113 - could you add some explanation how constant 2.17 was derived?

**Answer:** We added the expanded the description in section 2.2 in the methods, which now reads:

5 “Using regression, we related light extinction to parallel measurements of Secchi depth using the relationship  $\gamma = c / h_{\text{secchi}}$  (Poole and Atkins, 1929). We determined the constant  $c$  to be  $2.05 \pm 0.04$  (mean  $\pm$  s.e,  $n=300$ ) for Müggelsee,  $2.13 \pm 0.10$  ( $n=52$ ) for Heiligensee (Shatwell et al., 2016), and  $2.33 \pm 0.08$  ( $n=57$ ) for Stechlinsee. In the absence of direct measurements in Arendsee, light extinction was estimated from Secchi depth measurements as  $\gamma = 2.17 / h_{\text{secchi}}$ , where the constant  $c = 2.17$  was simply the mean of the estimates from the other three lakes.”

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**Comment:** 2. p6, 15 - could you specify on the technique used to detect lake variable changes for rather small lakes (lake water surface area vary between 0.3- 7.3 km<sup>2</sup>) if simulations were performed at a horizontal resolution 0.44°? Or this is only atmospheric forcing resolution?

**Answer:** This was the resolution of the atmospheric forcing. For clarification, we stated at the end of section 2.4 in the methods:

15 “All simulations were performed at a horizontal resolution of atmospheric forcing data of 0.44°.”

**Comment:** 3. p6, 117 - what period of data was used to empirically determine the value?

**Answer:** We added the period to the text in section 2.5 of the methods:

20 “The constant  $m$  was empirically determined to be 1.3 based on high resolution weather data (1 Nov 1991 to 24 Aug 2004) from the Menz weather station 5 km from Lake Stechlin (Fig. 1).”

**Comment:** 4. p27, Fig.5 - Stechlinsee and Arendsee patterns look very similar, horizontal and vertical grids would help to better see if any difference is present.

**Answer:** we added gridlines to the plots in Fig 5 and slightly expanded the vertical scale.

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**Comment:** 5. p28, Fig.6 - mixed layer depth values especially for Stechlinsee and Arendsee are not visible (as well as winter and autumn periods for all 4 lakes), as it was mentioned that there are 58% and 75% respectively that these lakes are ice-free is it possible to show values on the graph (extending y and x axis)? Or an explanation why it shouldn't be done?

30 **Answer:** We did not alter the figure as suggested by the reviewer. We explained our reasoning in our previous response to reviewer 2.

**Comment:** 6. p30, Fig.8 - could you explain an interesting behaviour pattern of Heiligensee in annual mean temperature graph?

**Answer:** We added a potential explanation for this behavior in section 4.5 of the discussion:

“We assume that the interesting behavior of Heiligensee in Fig. 10j is probably due to how the mixed layer (if present) interacts with the lake bottom, making the heat budget of this lake especially sensitive to the mixing regime.”

**Comment:** 7. p32, Table1 - could extra line with ice duration in days be added?

5 **Answer:** We added ice duration statistics for the two lakes for which we had ice data (Müggelsee and Stechlinsee).

**Comment:** 8. p33, Table2 - some correction with table rows is needed.

**Answer:** Thanks for the info, this seems to be a formatting issue which should disappear during typesetting.

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# Future projections of temperature and mixing regime of European temperate lakes

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**Abstract.** The physical response of lakes to climate warming is regionally variable and highly dependent on individual lake characteristics, making generalisations about their development difficult. To qualify the role of individual lake characteristics in their response to regionally homogeneous warming, we simulated temperature, ice cover and mixing in four intensively studied German lakes of varying morphology and mixing regime with a one-dimensional lake model. We forced the model with an ensemble of 12 climate projections (RCP4.5) up to 2100. The lakes were projected to warm at 0.10 – 0.11 °C decade<sup>-1</sup>, which is 75 – 90% of the projected air temperature trend. In simulations, surface temperatures increased strongly in winter and spring, but little or not at all in summer and autumn. Mean bottom temperatures were projected to increase in all lakes, with steeper trends in winter and in shallower lakes. Modelled ice thaw and summer stratification advanced by 1.5–2.2 and 1.4 – 1.8 d decade<sup>-1</sup> respectively, whereas autumn turnover and winter freeze timing was less sensitive. The projected summer mixed layer depth was unaffected by warming but sensitive to changes in water transparency. By mid-century, the frequency of ice and stratification-free winters was projected to increase by about 20%, making ice cover rare and shifting the two deeper dimictic lakes to a predominantly monomictic regime. The polymictic lake was unlikely to become dimictic by the end of the century. A sensitivity analysis predicted that decreasing transparency would dampen the effect of warming on mean temperature but amplify its effect on stratification. However, this interaction was only predicted to occur in clear lakes, and not in the study lakes at their historical transparency. Not only lake morphology, but also mixing regime determines how heat is stored and ultimately how lakes respond to climate warming. Seasonal differences in climate warming rates are thus important and require more attention.

## 1 Introduction

Most lakes in the world tend to warm due to climate change, though the response of lakes to climate change is varying among different regions and different lake types (O'Reilly et al., 2015). Warming alters lake mixing characteristics and has consequences for lake ecology, metabolism and biogeochemistry, yet the broader impacts of lake warming remain unclear.

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Recent studies highlighted that lakes respond quite individually to climate change. Although surface water temperature ( $T_s$ ) is perhaps the most predictable indicator of warming (Adrian et al., 2009), trends in  $T_s$  remain globally highly variable (O'Reilly et al., 2015). Deep water temperatures respond less predictably to warming and have been observed to increase, decrease or not change with increasing air temperature (Dokulil et al., 2006; Ficker et al., 2017; Kirillin et al., 2013; Kirillin et al., 2017; Richardson et al., 2017; Winslow et al., 2017). Stratification strength and duration generally increase due to warming (Butcher et al., 2015; Kirillin, 2010), but patterns of change may have little regional coherence and cannot be reliably inferred from surface water trends (Read et al., 2014). We know even less about how warming influences the mixed layer depth, which is important for instance for light availability for primary production.

To better understand the sources of this variability, research has focused on identifying which characteristics modulate how lakes and particularly lake stratification respond to warming. Recently, a series of modelling studies reported variable regional response of lakes to projected climate change in the near future (e.g. Boike et al., 2015; Butcher et al., 2015; Dibike et al., 2011; Ladwig et al., 2018; Magee and Wu, 2017; Prats et al., 2018; Woolway et al., 2017). Such regional studies typically focus on the role of lake-specific factors, such as lake size, morphometry, and water quality in long-term lake trends due to local warming. A consistent research extension in this direction could embrace upscaling to worldwide lake trends in combination with evaluating different global change scenarios and (or) different lake models. Complementary to this “extensive” approach, lake modelling is an efficient tool for generalizing regional studies via “intensive” understanding of the energy transport between different temporal scales, which finally produce the integrated effect of slow atmospheric warming on lake dynamics. In this regard, the seasonal variations deserve special attention as representing the most energetic part of the entire spectrum in lakes as well as in the atmospheric boundary layer. High amplitudes of the seasonal variations involve the formation of density stratification and ice cover. These processes are, in turn, governed by different sets of physical forces at different stages of the seasonal cycle, ensuring a highly non-linear lake response to atmospheric forcing on longer time scales. In freshwater lakes, the non-linear effects of seasonality get stronger as the seasonal temperature amplitudes increase relative to the maximum density value of freshwater ( $\sim 4^\circ\text{C}$ ) and to the freezing point of  $0^\circ\text{C}$ . Hence, geographically, seasonality is the strongest in lakes at mid-latitudes, being damped towards tropical and polar regions.

Seasonal stratification and ice cover prevent certain water layers from interacting directly with the atmosphere for certain periods of the year, so it follows that the mixing regime should mediate the effect of increasing air temperature on lakes. Major seasonal stratification patterns in freshwater lakes include dimictic (with lake stratification destroyed twice a year, when lake temperatures cross the maximum density value) and monomictic (with stratification destroyed once a year, when surface temperatures decrease to the maximum density value, but do not reach the freezing point, so that no stable winter stratification forms), as well as polymictic (in lakes too shallow for a stable stratification to form) and oligomictic (in lakes too deep for stratification to be destroyed). The fact that climate warming is likely to shift the mixing regime of some lakes to the right along the polymictic – dimictic – monomictic – oligomictic continuum (Kirillin, 2010; Livingstone, 2008; Shimoda et al., 2011) makes understanding the role of mixing regime all the more important. Still, seasonal warming patterns and their role in mediation of the long-term changes remain comparatively unexplored (Winslow et al., 2017).

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Lake morphology has been identified as a key factor affecting the seasonal mixing regime (Kraemer et al., 2015; Magee and Wu, 2017) but it cannot explain all the observed variance in lake stratification or temperature (Kraemer et al., 2015; O'Reilly et al., 2015). Besides morphology, the mixing regime depends on climate and water transparency (Kirillin and Shatwell, 2016) and therefore integrates many of the factors that determine how lakes react to climate change. Transparency influences the vertical temperature distribution by determining how far solar radiation can penetrate into the water column. Low transparency generally leads to stronger vertical temperature gradients and stratification, a shallower mixed layer depth, and lower deep water and whole-lake temperatures (Hocking and Straskraba, 1999; Persson and Jones, 2008; Thiery et al., 2014a; Yan, 1983). Decreasing transparency (dimming) has been shown to buffer or even potentially reverse climate-induced trends in mean lake temperature (Rose et al., 2016). However, the opposite is true for stratification, where warming and dimming effects amplify to increase thermal gradients (Kirillin, 2010). The pattern becomes even more complicated with seasonal variations of the effect of transparency on stratification as well as transparency itself (Rinke et al., 2010; Shatwell et al., 2016). A major factor determining water transparency is the trophic level, which can also experience long-term variations due to changing nutrient supply. Thus, the sensitivity of warming to transparency warrants more investigation.

The present study was designed to analyse the effects of seasonality on the response of northern temperate lakes to projected future warming. We project future changes in thermal characteristics and mixing regime of four temperate lakes with a thermodynamic model forced by an ensemble of climate projections based on a moderate warming scenario, the Radiative Concentration Pathway 4.5 (RCP4.5). To differentiate the potential effect of mixing regime on lake response, we simulate two shallow and two deep lakes, where each pair is similar in terms of morphology but different in terms of transparency and/or mixing regime. The four lakes are located within a distance of  $\leq 150$  km from each other; hence differences in their response to climate change are conditioned predominantly by lake properties. The aim of our study is to better understand the internal physical mechanisms determining the response of lakes to a future warmer climate by interaction of vertical mixing, ice formation, and water transparency.

## 2 Methods

### 2.1 Study sites

We consider four lakes located in north-eastern Germany in a temperate continental climate (Fig. 1). Stechlinsee (53.15° N, 13.03° E) and Arendsee (52.89° N, 11.48° E) are deep dimictic groundwater-fed lakes of similar area and depth. Stechlinsee is oligo-mesotrophic and one of the clearest lakes in the region, whereas Arendsee is eutrophic and turbid (Table 1). Müggelsee (52.44° N, 13.65° E) is a eutrophic, polymictic lake and Heiligensee (52.61° N, 13.22° E) is a hypertrophic, dimictic lake. Both are shallow lakes and located in Berlin.

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## 2.2 Lake data

Long term water temperature measurements were available at weekly intervals and 0.5 m depth increments for Müggelsee, in bi-weekly to monthly intervals at 1 m depth increments for Heiligensee, and in weekly to monthly intervals at varying depth increments in both Stechlinsee and Arendsee. In addition, hourly water temperature profiles were available for Müggelsee since 2004. The thermodynamic model was calibrated with long-term temperature measurements from 1979-2014 (Müggelsee), 1979-2001 (Heiligensee), 1991-2014 (Stechlinsee), and 1979-2010 (Arendsee). Stratification duration measurements in Müggelsee were only based on the high frequency temperature measurements from 2004-2014. Secchi depths ( $h_{\text{secchi}}$ ) were measured with a standard 20 cm disk at weekly to monthly intervals at least since 1979 in all lakes. Light extinction ( $\gamma$ ) in Müggelsee, Heiligensee, and Stechlinsee was calculated using the Lambert-Beer law from simultaneous light measurements at different depths (generally 0.5 m apart) recorded with spherical sensors (Licor, Nebraska). Using regression, we related light extinction to parallel measurements of Secchi depth using the relationship  $\gamma = c / h_{\text{secchi}}$  (Poole and Atkins, 1929). We determined the constant  $c$  to be  $2.05 \pm 0.04$  (mean  $\pm$  s.e.,  $n=300$ ) for Müggelsee,  $2.13 \pm 0.10$  ( $n=52$ ) for Heiligensee (Shatwell et al., 2016), and  $2.33 \pm 0.08$  ( $n=57$ ) for Stechlinsee. In the absence of direct measurements in Arendsee, light extinction in Arendsee was estimated from Secchi depth measurements using the relation of Poole and Atkins (1929) as  $\gamma = 2.17 / h_{\text{secchi}}$ , where the constant  $c = 2.17$  was simply the mean of the estimates from the other three lakes, estimated as the mean of the empirically derived constants of 2.05 (Müggelsee), 2.13 (Heiligensee) and 2.33 (Stechlinsee) (Shatwell et al., 2016).

## 2.3 Modelling

Modelling was performed with the lake temperature and mixing model FLake (Kirillin et al., 2011; Mironov, 2008)—a bulk model of the lake thermal regime specifically designed to parameterize inland waters in climate models and numerical weather prediction systems. FLake is based on a two-layer parametric representation of the vertical temperature structure. The upper layer is treated as well-mixed and vertically homogeneous. The structure of the lower stably-stratified layer, the lake thermocline, is parameterized using a self-similar representation of the temperature profile. The same self-similarity concept is used to describe the temperature structure of the thermally active upper layer of the bottom sediments (Golosov and Kirillin, 2010) and the ice cover (Mironov et al., 2012). The depth of the mixed layer is computed from the prognostic entrainment equation in convective conditions, and from the diagnostic equilibrium boundary-layer depth formulation in conditions of wind mixing against the stabilizing surface buoyancy flux. The integrated approach implemented in FLake allowed combining high computational efficiency with a realistic representation of the major physics behind turbulent and diffusive heat exchange in the stratified water column and similar skill compared to other lake models (e.g., Stepanenko et al., 2014; Stepanenko et al., 2013; Thiery et al., 2014b). As a result, FLake is widely used for representing lakes in land schemes of regional and global climate models, being implemented, for example, into the surface schemes of the Weather Research and Forecasting model (WRF, Mallard et al., 2014) and Consortium for Small-scale Modelling (COSMO; Mironov et al., 2010), HTESSEL (ECMWF;

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Dutra et al., 2010), SURFEX (Meteo France; Salgado and Le Moigne, 2010), and JULES (UK Met Office; Rooney and Jones, 2010). Thanks to its robustness and computational efficiency, FLake has become the standard choice in climate studies involving the feedbacks between inland waters and the atmosphere, and is used operationally in the NWP models of the German Weather Service (DWD), the European Centre for Medium-Range Weather Forecasts (ECMWF), the UK Met Office, the Swedish Meteorological and Hydrological Institute (SMHI), the Finnish Meteorological Institute (FMI) and others.

FLake is a process-based model with a high level of parameterization, which includes several empirical constants estimated from independent observational and numerical data. The model parameterizations have proven reliable in application to lakes of different morphometry and climatic conditions (Docquier et al., 2016; Kirillin, 2010; Kirillin et al., 2013; Kirillin et al., 2017; Martynov et al., 2010; Shatwell et al., 2016; Thiery et al., 2015; Thiery et al., 2016; Thiery et al., 2014a), so that FLake does not normally require re-tuning for a specific lake. Minor model adjustments were performed based on observations. The adjustments refer to two model parameters, each of them encompassing multiple effects of lake-specific mechanisms on the thermal stratification.

The first parameter is the rate of change of the self-similar temperature profile shape under the surface mixed layer  $\mathcal{G}(\zeta)$ . It is assumed to vary with time, so that the integral shape factor  $C_g \equiv \int_0^1 \mathcal{G}(\zeta) d\zeta$  fluctuates between the two asymptotic bounds

$C_g^{max}$  and  $C_g^{min}$  with a characteristic time scale  $t^*$  as

$$\frac{dC_g}{dt} \propto \frac{C_g^{max} - C_g^{min}}{t^*}, \quad (1)$$

The parameterization incorporates mixing in the lower stratified layer (hypolimnion) by intermittent shear turbulence and breaking internal waves. The time scale  $t^*$  is parameterized as a function of the thickness of the hypolimnion, the strength of stratification across it, and the rate of mixing at the top of the thermocline (see Mironov (2008) for details). Observational data on temporal variations of  $C_g$  in several lakes demonstrated that  $t^*$  tends to vary among them depending on lake depth (Kirillin unpubl.). This parameter was increased from 0.04 to 0.22 for the shallow Müggelsee, aimed to mimic the additional vertical mixing produced by the flow of the River Spree through the lake, which is not explicitly accounted for by 1-dimensional modelling. The second parameter is the rate of change of the wind-mixed layer depth, which is assumed in the model to relax exponentially to the equilibrium depth determined following Zilitinkevich and Mironov (1996). The parameter is suggested to have the order of magnitude  $10^{-2}$  (Mironov 2008) and was set to 0.025 for all lakes based on observed mixed layer dynamics.

## 2.4 Climate warming scenarios

FLake was forced with an ensemble of continuous 21<sup>st</sup> century climate projections from the Coordinated Regional climate Downscaling Experiment for Europe (EURO-CORDEX; Kotlarski et al., 2014). The climate projections were based on an intermediate greenhouse gas concentration trajectory (RCP4.5), representing a moderate climate warming scenario with an end-of-century, top-of-the-atmosphere radiative forcing of  $4.5 \text{ W m}^{-2}$  compared to the pre-industrial period (IPCC 2014). The

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ensemble was assembled from different downscaled global climate models (MPI-ESM-LR, EC-EARTH, CanESM2, CNRM-CM5, CSIRO-Mk3-6-0, GFDL-ESM2M, MIROC5, NorESM1-M) each providing lateral boundary conditions to the RCA4 regional climate model. In addition, the MPI-ESM-LR global climate model (GCM) was downscaled by the CCLM4-8-17 and REMO2009 regional climate models, and the EC-EARTH GCM was downscaled by HIRHAM5 and RACMO22E, yielding an ensemble of 12 climate projections (2006 – 2100) for each lake. [The dispersion of mean monthly and annual air temperature in the ensemble is quite comparable to that of both the ERA-Interim reanalysis dataset and the observed data from a nearby weather station \(Fig. 2\). The ensemble tended to slightly underestimate the frequency of extremely warm months in the upper 10<sup>th</sup> percentile \(Fig. 2a\) but not the frequency of warm years \(Fig. 2b\). All simulations were performed at a horizontal resolution of atmospheric forcing data of 0.44°.](#) For the analysis we selected the model pixel containing the lake under consideration.

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## 2.5 Temporal downscaling of meteorology

The FLake forcing variables included solar radiation ( $I_R$ ), near-surface air temperature ( $T_a$ ), 10 m wind speed ( $U_{10}$ ), specific humidity ( $e_a$ ) and cloud fraction ( $N$ ). These variables were available at daily resolution in the climate projections, and were downscaled [for model simulations to sub-daily6-hourly](#) resolution with the same daily mean to account for diurnal forcing. Solar radiation and wind speed were downscaled assuming a sine course during the day, with a constant minimum value during the night, according to the model:

$$p = p_{\min} + p_{\max} \sin\left(\frac{\pi(t - lag - t_{\text{rise}})}{t_{\text{set}} - t_{\text{rise}}}\right)^m, p \geq p_{\min} \geq 0 \quad (1)$$

where  $p$  is the solar radiation or wind speed as a function of time of day ( $t$ , in hours),  $p_{\min}$  and  $p_{\max}$  are the night-time minimum and daytime maximum values, respectively,  $t_{\text{rise}}$  and  $t_{\text{set}}$  are the times of sunrise and sunset, respectively,  $lag$  is the time lag of the maximum value behind solar noon (half way between  $t_{\text{rise}}$  and  $t_{\text{set}}$ ), and  $m$  is a shape constant. The constant  $m$  was empirically determined to be 1.3 based on high resolution weather data [\(1 Nov 1991 to 24 Aug 2004\)](#) from the [Potsdam-Menz weather station near Berlin 5 km from Lake Stechlin \(Fig. 1\)](#). Sunrise and sunset times were determined at the coordinates of each study lake on each day of the year with a NOAA-algorithm using the R package [maptools \(Bivand and Lewin-Koh, 2016\)](#). The daily mean is given by

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$$\bar{p} = p_{\min} + (p_{\max} - p_{\min}) \frac{(t_{\text{set}} - t_{\text{rise}})}{24} \Gamma\left(\frac{m+1}{2}\right) \left(\sqrt{\pi} \Gamma\left(\frac{m}{2} + 1\right)\right)^{-1} \quad (2)$$

For solar radiation,  $lag = 0$  and  $p_{\min} = 0$ . For wind speed,  $lag = 1$  h based on empirical observations and  $p_{\min}$  is non-zero. Accordingly, the daily course of solar radiation  $p$  for a prescribed daily mean  $\bar{p}$  from the climate projections is given by calculating  $p_{\max}$  with Eq. (2) and substituting it into Eq. (1). For wind speed, the daily course is defined from the daily mean given the daily amplitude  $p_{\max} - p_{\min}$ , which was empirically estimated from the [Potsdam-Menz weather data](#) and varied seasonally. [Random Gaussian noise was added to wind speeds with the same variability \(variance proportional to mean\) as](#)

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observed in the Menz station. This method accurately reproduced the complexity of the observed wind speed dynamics: It produced realistic behavior/behaviour of day-to-day wind speed (Fig. 3a), as well as the diurnal variation of mean wind speed and associated variance (Fig. 3b, c), and also the seasonal change of this diurnal variation (Fig. 3b, c), while still preserving the given daily mean wind speeds. Sub-daily air temperatures were interpolated between a daytime maximum assumed at 2 pm and night-time minimum assumed at 2 am using a cubic spline, where the daily temperature amplitude  $\Delta T_a$  (K) was estimated from the daily mean temperature  $\overline{T}_a$  (°C) using the empirical relationship  $\Delta T_a = 0.345 \overline{T}_a + 5.5$  based on the Potsdam Menz data. Sub-daily humidity and cloud fraction were linearly interpolated between the daily mean values.

## 2.6 Extinction scenarios

We performed lake model simulations with different scenarios of seasonal extinction, which in these lakes is primarily determined by phytoplankton chlorophyll (Shatwell et al., 2016). Here the thermodynamic model was modified to account for seasonally variable extinction, following a typical bimodal pattern according to the Plankton Ecology Group (PEG) model (Sommer et al., 2012; Sommer et al., 1986), as described in detail in Shatwell et al. (2016). A base seasonal extinction pattern was derived from long term observations of extinction and/or Secchi depth in each study lake, preserving important seasonal characteristics including long-term annual mean extinction (see Table 1), minimum extinction, and timing and magnitude of the spring and summer blooms (Fig. 4). The spring and summer blooms were described by Weibull and Gauss curves, respectively.

Using these base extinction patterns as the control scenario, we simulated the hydrodynamics of the four lakes for the period 2006-2100 forced by the climate projection ensemble. To test the sensitivity of lake warming response to water clarity, we then repeated these simulations with a modified mean extinction coefficient. The mean extinction coefficient was varied by scaling the whole seasonal extinction pattern, affecting mean, minimum and peak extinction equally. A principal component analysis on long-term extinction coefficient measurements in Müggelsee and Heiligensee showed that this method best represented the natural variation in the seasonality of extinction (Shatwell et al., 2016).

## 2.7 Model setup and calibration

FLake was configured for each lake by setting mean lake depth and fetch, the temperature at the bottom of the thermally active sediment layer (set to the historical long term mean water temperature at the lake bottom), and the extinction coefficient as described above. The model was manually calibrated for each lake by adjusting  $t_*$  with a constant. Additionally, in the case of shallow Müggelsee, the rate of change of the mixed layer depth due to wind forcing was changed to account for the additional vertical mixing produced by the riverine throughflow (see Sect. 2.3 for details). Hindcast simulations were forced with meteorology from ERA-Interim gridded reanalyses produced by the European Centre for Medium-Range Weather Forecasts (Dee et al., 2011). Using this meteorological forcing as a basis for the model calibration was preferred over using (i) in-situ meteorology, as the future projections are obtained by forcing FLake with a gridded simulation product rather than in-situ information, and (ii) the EURO-CORDEX reanalysis downscaling simulations, as calibrated model parameters would in that

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case differ between model projections. For consistency the ERA-Interim driven simulations were downscaled from daily means to sub-daily values using the same procedure as for the climate projections described above. [Using a model calibrated on a different dataset \(ERA-Interim\) than the climate projections can introduce bias into the results. Thus we reran the model calibrated on ERA-Interim data with forcing from the historical hindcasts of each of the 12 GCM-RCM combinations in the ensemble. The mean bias of each variable forced by the ensemble hindcast barely differed from the bias obtained using ERA-Interim forcing. In fact, the ensemble showed on average a smaller absolute bias in bottom temperature, mixed layer depth, stratification duration and stratification onset timing and a slightly greater bias only in surface temperature and stratification breakdown timing. Therefore, our simulations are largely unaffected by bias.](#)

[Precipitation, including snow cover on ice, were not included in modelling. There are several indications suggesting that including the snow as model input would not improve the predictive value of the model outcomes. Firstly, the current version of FLake treats the thermal regime under ice in a simplified way, without taking into account the short-wave radiation penetrating into the water column under the ice cover. Therefore including the insulating effect of snow on the radiation flux would not substantially change the mixing physics of the ice-covered period. Secondly, in temperate regions, the relatively short ice-covered periods on lakes are weakly affected by the snow cover compared to e.g. boreal and arctic lakes. This fact was also supported by the study of the FLake performance for the ice modelling on Lake Müggelsee \(Bernhardt et al., 2012\). Moreover, we did not account for changes in inflow because our focus was on lake-atmosphere interactions. Instead we assessed the sensitivity of the lakes to a change in transparency, which can be expected if warming alters runoff as well as nutrient and carbon export.](#)

Model performance was assessed by comparing the modelled and observed surface temperature ( $T_s$ ), bottom temperature ( $T_b$ ), and, for the seasonally stratified lakes (Heiligensee, Stechlinsee and Arendsee), the mean summer mixed layer depth ( $h_{mix}$ ), and timing and duration of seasonal stratification. [Here we assessed model performance using temperature profiles in the period from 1979 to 2014 in Müggelsee \(measured weekly, and from 2004 to 2014 hourly\), from 1979 – 2001 in Heiligensee \(monthly\), from 1991 to 2012 in Stechlinsee \(weekly to monthly\), and from 1979 to 2010 in Arendsee \(weekly to monthly\).](#)

As different measures of goodness of fit, we examined model bias (Eq. 3), centred root mean square error ( $RMSE_c$ , Eq. 4), normalized root mean square error ( $RMSE_n$ , Eq. 6), as well as normalized standard deviation ( $\sigma_{norm}$ , Eq. 5), where  $m_i$  and  $o_i$  are the modelled and observed values, respectively, and  $\bar{m}$  and  $\bar{o}$  are their means:

$$bias = \bar{m} - \bar{o} \quad (3)$$

$$RMSE_c = \sqrt{\frac{1}{n} \sum_{i=1}^n ((m_i - \bar{m}) - (o_i - \bar{o}))^2} \quad (4)$$

$$RMSE_n = \sqrt{\frac{1}{n} \sum_{i=1}^n (o_i - m_i)^2} / \sqrt{\frac{1}{n} \sum_{i=1}^n o_i^2} \quad (5)$$

$$\sigma_{norm} = \sqrt{\sum_{i=1}^n (m_i - \bar{m})^2 / \sum_{i=1}^n (o_i - \bar{o})^2} \quad (6)$$

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## 2.8 Data handling and statistics

$T_s$  was calculated from field data as the mean temperature in the 0 – 1 m layer.  $T_b$  was calculated as the mean temperature at the mean depth of each lake. Stratification was inferred when the absolute difference between  $T_s$  and  $T_b$  exceeded 0.5 °C. The lake was assumed to be mixed when it was not stratified. The duration of seasonal stratification was defined as the length of longest uninterrupted stratification period each season. Similarly, the duration of overturn was defined as the length of longest uninterrupted period of mixing in each season following the main stratification events. Winter was defined as January to March, spring as April to June, summer as July to September, and autumn as October to December. Trends were assessed using linear regression over time. Differences between transparency treatments were assessed by comparing decadal means of a particular variable for each climate projection in the ensemble, yielding 12 means per decade over 9 decades from 2010 to 2100. Comparisons were made using ANCOVA with decade as the covariate. Normality of residuals was assessed with quantile-quantile plots, homogeneity of variance with plots of residuals versus fitted values, and outliers with plots of Cook's distances. Increasing variance with the mean was resolved by log-transformation. One day was added to ice-cover duration to avoid zero values before log-transformation. Outliers with a Cook's distance > 1 were excluded from statistical analyses. Small deviations from normality were tolerated. All statistical analyses were performed with R version 3.3.0 (R Core Team, 2016).

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## 15 3 Results

### 3.1 Model validation

The thermodynamic model forced by the ERA-Interim reanalysis data performed well at reproducing the observed thermodynamic characteristics of the study lakes (Fig. 5). The model predicted water temperature with good precision as evident in RMSE values generally less than 1.5 °C for surface and bottom temperatures (Table 2). The model also reproduced observed temperature variability well with  $\sigma_n$  close to one, except in bottom temperatures of the two deep lakes Stechlinsee and Arendsee, which are quite invariant with a standard deviation of 0.86 and 0.99 °C respectively. However the model had a systematic tendency to underestimate  $T_s$  in all lakes by 0.7 – 1.8 °C. The model also adequately reproduced the stratification characteristics of the three seasonally stratified lakes with a centred error of 1 – 2 m for  $h_{mix}$  and about 2 – 3 weeks for stratification duration, noting that the sampling interval (and thus measurement error) for stratification duration was generally 4 weeks. The model systematically underestimated the stratification duration by about one month, probably because the surface temperatures were also underestimated.

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### 3.2 Meteorology trends

Air temperatures in the RCP4.5 forcing ensemble increased on average by 0.16°C per decade over all lakes. These increases were strongest in winter and spring (0.23 – 0.30 °C decade<sup>-1</sup>) and weakest in summer (0.04 °C decade<sup>-1</sup>, Fig. 6). Mean annual

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radiation decreased in the ensembles by  $0.29 \text{ W m}^{-2} \text{ decade}^{-1}$ . The overall decrease was unevenly distributed seasonally, with a strong decrease projected for summer ( $1.65 - 1.70 \text{ W m}^{-2} \text{ decade}^{-1}$ ) but an increase projected for winter ( $1.1 \text{ W m}^{-2} \text{ decade}^{-1}$ ). Annual mean water vapour pressure was projected to increase by  $0.1 \text{ Pa decade}^{-1}$  with stronger increases in winter and spring than in summer and autumn. The projected changes in wind speed were negligible.

### 5 3.3 Lake response to warming

In model runs with the historical, baseline extinction, these projected climate trends resulted in an increase in annual surface water temperatures (higher rates of increase in deeper lakes) in all lakes for the simulation period 2006 – 2100 (Fig. 7, Table 3). Bottom temperatures increased in all lakes, with faster warming rates projected in the two shallower lakes Müggelsee and Heiligensee. Accordingly, mean lake temperatures increased in all lakes at  $0.10 - 0.11 \text{ }^\circ\text{C decade}^{-1}$ .

10  $T_s$  increased most rapidly during the winter in the two shallower lakes and during spring in the two deeper lakes. On the other hand, mean summer  $T_s$  increased only marginally in Stechlinsee ( $0.02 \text{ }^\circ\text{C decade}^{-1}$ ) and not at all in the other lakes (Fig. 8).  $T_b$  increased most rapidly in winter. Moreover, the higher  $T_b$  in April and May, when stratification typically began, persisted until the end of stratification (September in Heiligensee, and November-December in deeper Stechlinsee and Arendsee, Fig. 8). Accordingly, deep water warmed faster than surface water during summer (and autumn in the deep lakes), which led to a lower  
15 vertical temperature gradient in summer, and consequently weaker stratification. Furthermore, annual maximum surface temperature increased and occurred earlier in summer (Fig. 9, Table 3).

Winter and spring warming caused stratification to begin earlier in all lakes in the model simulations (Fig. 9). This was also reflected in a shallower mean  $h_{\text{mix}}$  during spring in the deep lakes Stechlinsee and Arendsee. The end of stratification also advanced slightly due to the slightly smaller vertical temperature gradients in summer, but not as rapidly as stratification onset,  
20 so that overall stratification duration increased. Mean summer  $h_{\text{mix}}$  increased in 3 lakes by less than  $0.05 \text{ m decade}^{-1}$ , which is practically negligible. Winter stratification and ice cover were more intermittent in the two shallow lakes than in the two deeper lakes. Winter and spring warming mainly caused winter stratification and ice cover to end earlier, reducing the overall duration (Fig. 9). Interestingly, most of the changes in winter stratification and ice cover were projected to occur in the first half of the 21st century, with little change in the second half.

25 The projected increase in stratification duration was insufficient to cause Müggelsee to stratify continuously from spring to the end of summer (median duration in the decade 2090-2099: 102 days) and thus shift from a polymictic to a dimictic regime. On the other hand, the deeper dimictic lakes Stechlinsee and Arendsee were projected to be at the transition to a monomictic regime by the middle of the 21<sup>st</sup> century. In the decade 2050-2059, the model projected that Stechlinsee and Arendsee would have no winter stratification in 33% and 55% of winters and be ice-free in 76% and 93% of winters, respectively. By  
30 comparison, in the decade 2010-2019, Stechlinsee and Arendsee were projected to have no winter stratification in 13% and 24% of winters, and be ice-free in 58% and 75% of winters, respectively. The mean winter bottom temperature in the decade 2090-2099 was projected to be  $3.96 \pm 0.93 \text{ }^\circ\text{C}$  in Stechlinsee (compared to  $3.03 \pm 0.79 \text{ }^\circ\text{C}$  in 2010-2019), and  $4.48 \pm 0.93 \text{ }^\circ\text{C}$  in Arendsee (compared to  $3.62 \pm 0.73 \text{ }^\circ\text{C}$  in 2010-2019).

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Annual mean whole-lake water temperature correlated with annual mean air temperature. At any given annual mean air temperature, Müggelsee was warmest, followed by Heiligensee, Stechlinsee and Arendsee. Moreover, at a given annual mean air temperature, all lakes were colder following winters with ice cover than without ice cover, where the temperature differences increased with mean lake depth.

### 5 3.4 Interaction between warming and transparency

To investigate the sensitivity of different lake types to a change in transparency combined with warming, we modelled the projected warming effects (2006 – 2100) in the given lakes with mean extinction ranging from extremely clear ( $\gamma = 0.05 \text{ m}^{-1}$ ) to extremely turbid ( $\gamma = 3 \text{ m}^{-1}$ ). The effect of transparency and its interactions with warming were highly non-linear and the responses were very sensitive to lake type. There were transparency ranges where transparency had a very large effect on thermal structure, and ranges where it had little effect at all. In general, changes in transparency had a strong effect on stratification duration and vertical temperature structure at intermediate extinction (ca.  $0.4 - 1.1 \text{ m}^{-1}$ ) in the moderately shallow lakes Müggelsee and Heiligensee (Fig. 10a, b), and at very low extinction ( $< 0.3 \text{ m}^{-1}$ ) in the deep lakes Stechlinsee and Arendsee (Fig. 10c, d). Outside these ranges, changes in extinction had a much smaller effect: at extinctions above about  $1.1 \text{ m}^{-1}$  in the two shallower lakes (or  $> 0.3 \text{ m}^{-1}$  in Stechlinsee) a further increase in extinction no longer increased stratification duration, but rather decreased it gradually due to a very gradual increase in summer bottom temperatures. Accordingly, at extinction values higher than the historical means in the four study lakes (vertical dashed lines in Fig. 10, see also Table 3), the trends in stratification duration due to warming were stable and relatively insensitive to changes in transparency. Thus, an increase in extinction combined with warming is unlikely to push Müggelsee from a polymictic to a dimictic regime. However, the simulations also suggested that clearer shallow lakes, which are close to the transition between polymictic and dimictic regimes, can potentially switch mixing regimes because interactions between warming and dimming are stronger. Altogether, the model suggested that changes in stratification duration due to changes in transparency can be expected at optically normalised depths  $\gamma \times h_{\text{mean}} < 5$  to  $8$  (Fig. 11). Fig. 11 was derived for the period 2090-2099. Although stratification duration increased over time as indicated in Table 3, the relative dependence on extinction was similar during the whole period covered in the ensemble.

25 The model predicted that an increase in extinction in the four study lakes above their historical values would not influence whole-lake warming rates ( $T_m$ ) induced by climate change (Fig. 10i- l). However, the model did suggest that there would be an interaction between warming and dimming at extinctions considerably lower than the historical extinction values observed in these lakes. In the deep lakes, dimming was predicted to decrease  $T_m$  at extinctions below about  $0.3 \text{ m}^{-1}$  (Fig. 10c, d). According to simulations, increasing extinction from  $0.1$  to  $0.3 \text{ m}^{-1}$  would approximately cancel the expected effect on  $T_m$  of 90 years of climate warming. The shallower lakes behaved differently to the deep lakes at low extinction. In Müggelsee,  $T_m$  increased with increasing extinction up to about  $0.5 \text{ m}^{-1}$  (Fig. 10a). In Heiligensee the model suggested a strong interaction between warming and dimming up to an extinction of about  $0.5 \text{ m}^{-1}$ , where dimming would decrease  $T_m$  in the warmer climate at the end of the 21<sup>st</sup> century but increase  $T_m$  in the cooler climate of today (Fig. 10b).

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Whereas the model predicted that warming would have a small (deepening) effect on mixed layer depth, it predicted that an increase in extinction would have a stronger (shoaling) effect (Fig. 10e – h). Again, a change in extinction would have a much stronger effect at intermediate extinctions in the shallower lakes and a low extinction in the deep lakes.

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## 4 Discussion

### 5 4.1 Warming trends

Our projections suggest that surface waters in all modelled lakes will warm at about 75-90% of the rate of air temperature increase, with surface temperatures in the deeper lakes increasing faster than the shallower lakes. This is similar to other modelling studies based on climate projections, which found warming rates of 70-85% of air temperature trends (Butcher et al., 2015; Schmid et al., 2014). The relatively uniform results obtained from climate and lake modelling studies are not entirely consistent with empirical evidence, which shows that warming rates of surface water relative to air can be more variable (O'Reilly et al., 2015; Torbick et al., 2016).

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### 4.2 Influence of seasonality and stratification

We found that seasonality of warming played an important role and we concur with Winslow et al. (2017) that summer lake warming rates are not representative of warming in other seasons. In fact, our projections suggest that the study lakes will warm predominantly in winter and spring, and only marginally in summer and autumn. These seasonal patterns largely reflect the seasonality of air temperature trends in the warming ensemble. [The model may have slightly underestimated the increase in summer peak temperatures and occurrence of extended stratification during heatwaves in polymictic Müggelsee because the ensemble tended to slightly underestimate the frequency of extremely warm months.](#) The leftward seasonal skew of surface water temperature increase towards spring and our estimates that the summer maximum temperature should occur increasingly earlier in the year imply that the number of days per year when the surface temperature exceeds a certain threshold will increase. Accordingly, although summer warming was slow, the length of the bathing season will likely increase and along with it both the recreational value and the recreational pressure on lakes.

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Stratification and ice cover restrict vertical heat transport and thus influence heat storage in lakes. Therefore they should modulate how lakes respond to warming. This was evident in our simulations because, at the same annual mean air temperature, lakes were colder in years with ice cover (dimictic regime) than in years without ice (monomictic regime). This can be explained in part by the thermal inertia from the previous season (Crossman et al., 2016), and in part due to altered heat accumulation in deep water during mixing preceding summer stratification. The latter was demonstrated by the relatively steep trends in bottom temperature during winter simulated in the four study lakes. These effects were also observed empirically in Stechlinsee, which was thermally polluted by a nuclear power plant, preventing ice cover and shifting the mixing regime from dimictic to monomictic (Kirillin et al., 2013). Here the waste heat input during winter mixing was stored in deep water until the following winter and increased the mean lake temperature, whereas the waste heat input during stratification was confined

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to the surface layer and quickly lost to the atmosphere. The effect of summer stratification on heat transport and storage explains why the simulated mean lake temperature increased faster in the polymictic lake Müggelsee than in seasonally stratified Heiligensee, although these lakes have very similar mean depths. We conclude that not just morphology, but also mixing regime modulates how lakes respond to climate warming. Moreover, we conclude that seasonal differences in climate warming rates combined with the strongly non-linear sensitivity of lakes to monthly temperature changes determine how lakes overall react to warming.

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#### 4.3 Mixing regime shifts

The comparatively rapid increase in winter air temperature was projected to strongly affect ice cover and winter stratification, and push the two deeper dimictic lakes (Stechlinsee and Arendsee) towards a monomictic regime. Such shifts have been projected as a consequence of climate warming for temperate stratified lakes (Ficker et al., 2017; Kirillin, 2010; Livingstone, 2008). Both of these lakes switched regularly between monomixis and dimixis and the climate-induced transition between regimes is projected to be gradual. Particularly the slightly deeper Arendsee will be rarely ice covered in the coming decades and will experience a predominantly monomictic regime and mean winter bottom temperatures generally above 4°C. Our finding that almost all of the change in winter stratification and ice cover will occur in the first half of the 21<sup>st</sup> century is in part because the winter air temperature in the ensemble is projected to increase twice as fast then as in the second half of the 21<sup>st</sup> century, and also because of the highly non-linear response of ice cover to air temperature changes. Our model projections suggested that lake depth was the most important factor regulating ice cover duration, as expected (Kirillin et al., 2012). Moreover, the timing of ice off was more sensitive to warming than ice on, which concurs with several studies (Benson et al., 2012; Crossman et al., 2016; Vavrus et al., 1996). The sensitivity analysis suggested that transparency would not influence ice cover in the modelled lakes, but may have an effect in extremely clear lakes. Other modelling studies suggested that transparency can affect the timing of ice-on (Heiskanen et al., 2015) but may have little effect on ice-off timing (Fang and Stefan, 2009). The disappearance of ice will increase evaporation rates, as well as the exchange of heat and gases with the atmosphere.

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According to our simulations, summer stratification duration in Müggelsee is likely to increase, but not enough to switch the lake from a polymictic to a dimictic regime within the current century. An increase in the frequency of stratification events is likely to increase the occurrence of nuisance cyanobacterial blooms and influence nutrient cycles, as was already demonstrated in this lake (Wagner and Adrian, 2009). The warming effect on stratification duration and mixed layer depth was relatively small in comparison with the potential effect of a change in transparency (Fig. 10a, e). Thus, lakes at the transition between polymictic and dimictic regimes may shift more due to changes in transparency or water level than due to warming alone.

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#### 4.4 Mixed layer depth

An important question that has not been conclusively answered is how climate change will affect the mixed layer depth. Our simulations suggested that climate warming would increase the mixed layer depth in stratified lakes, but the projected increase

of less than 0.05 m decade<sup>-1</sup> should be considered negligible. Other lake model simulations have also predicted the mixed layer to deepen with warming (Missaghi et al., 2017). In contrast, ocean models have consistently projected a shoaling of the mixed layer (Capotondi et al., 2012; Jang et al., 2011; Sen Gupta et al., 2009) but empirical evidence does not confirm this (Somavilla et al., 2017). In lakes, empirical data show that the mixed layer can deepen (Flaim et al., 2016; Kraemer et al., 2015), remain

5 relatively constant (Ficker et al., 2017; Kirillin et al., 2013) or possibly shoal (Saros et al., 2016) as a result of warming. In our simulations, the mixed layer depth was much more sensitive to transparency than warming, which concurs with empirical data (Flaim et al., 2016; Saros et al., 2016). We conclude that there is little physical evidence that climate warming causes the mixed layer to become shallower and suggest that observed changes in mixed layer depth may be more due to changes in transparency or wind speed.

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#### 10 4.5 Transparency – warming interactions

A major finding of our study was that interactions between global warming and changes in transparency are highly non-linear. Thus, we confirm our initial hypothesis that warming and dimming act in synergy to increase stratification duration but oppose each other to stabilize mean lake temperature. However, we add that this only applies within certain extinction ranges which vary with lake mixing regime. In general, interactions between a change in transparency and warming occurred at very low to

15 moderate extinction. The reason for the non-linearity is probably the extent to which transparency influences the mixed layer depth and the extent to which the mixed layer interacts with the lake bottom. Transparency is the major determinant of mixed layer depth in small, wind sheltered lakes but its effect diminishes with increasing lake size and wind speed (Kirillin and Shatwell, 2016).

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According to the sensitivity analysis, a change in transparency was projected to affect mean lake temperature most strongly at

20 very high ~~transprency~~transparency, where the nature of the effect depended on the lake mixing regime. At high transparency (low extinction coefficient), dimming increased lake temperature in polymictic Müggelsee because increased absorption of radiation affected the whole water column (Fig. 10). On the other hand, dimming decreased lake temperature in the stratified lakes Arendsee and Stechlinsee because less radiation reached the deep waters. Heiligensee was the most sensitive because dimming was projected to alter the mixing regime from polymictic to stratified. We assume that the interesting behaviour of

25 Heiligensee in Fig. 10j is probably due to how the mixed layer (if present) interacts with the lake bottom, making the heat budget of this lake especially sensitive to the mixing regime. Although the model suggested that a change in transparency would have strong effects on lake thermal properties, the four lakes we simulated were predicted to be too turbid in their present state to be substantially influenced by a further decrease in transparency. This may be because shallower lakes are naturally more turbid than deep lakes, so that lakes in which a substantial amount of incoming radiation is able to reach large

30 areas of the lake bottom are rare. Very shallow lakes where radiation can reach most of the lake bottom tend to be dominated by macrophytes, which themselves strongly alter stratification and mixing conditions (Vilas et al., 2017). Transparency is affected by anthropogenic activities (Jeppesen et al., 2005) and also climate change (Larsen et al., 2011), so investigations into how lakes respond to global warming should consider these complex interactions.

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#### 4.6 Model reliability

The model errors in this study were relatively small compared to other studies (for discussion and comparison see Shatwell et al. (2016)), providing confidence in the results. There were however some systematic errors, which we attempted to alleviate by focusing on comparative analyses, such as trends. Our finding that mean bottom water temperatures in summer should increase under higher extinction in the stratified lakes was unexpected according to empirical evidence (Snucins and Gunn, 2000). The reason for this result in the simulations was apparently diurnal heating and cooling cycles, which increased the downward transport of heat into the hypolimnion during summer because this effect was present when the model time step was decreased from 6 to 3 hours, but it disappeared when the time step was increased to 1 day (results not shown). A comparison with empirical data suggests that this effect was probably overestimated by the model because a decrease in transparency with a weaker spring clear water phase caused an increase in summer surface-bottom temperature differences in lakes Müggelsee and Heiligensee (Shatwell et al., 2016). [Additional error can arise through bias in the forcing meteorological data but we found that the mean bias in key variables obtained from the ensemble forcing did not differ from the bias of the model calibrated with ERA-Interim reanalysis data. Another source of error can be incorrect representation of dispersion in the forcing ensemble. However, here we found that the dispersion of air temperature was quite similar to the dispersion in measured meteorological data and the ERA-Interim reanalysis. The slight underestimation of extremely warm periods in the ensemble may also cause a slight underestimation in our results of peak summer temperatures in all lakes and of the frequency of extended stratification events during heatwaves in polymictic Müggelsee. This should not influence our overall conclusions.](#)

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#### 5 Conclusion

Altogether our study suggests that warming over the next century will gradually shift many temperate dimictic lakes towards a predominantly monomictic regime, particularly since projected warming rates were highest in winter and spring, with deeper lakes shifting before shallower ones. On the other hand, shifts from polymixis to dimixis are more likely to occur due to a change in transparency or depth than due to climate warming alone. Dimming trends can buffer lake warming trends but amplify increases in stratification duration. However, this effect will probably be limited to relatively clear lakes, particularly those at the transition between polymictic and stratified regimes. Lake mixing regime is an important modulator of climate change impacts on lakes and it is necessary to understand how the seasonality of warming interacts with stratification and ice cover to interpret differences in lake warming trends.

#### Code and data availability

The source code of the model FLake is freely (MIT license) available online at [www.lakemodel.com](http://www.lakemodel.com). The observational data are stored in the database IGBFRED (<https://www.igb-berlin.de/en/freshwater-research-and-environmental-database>) subject to the data policy of the Leibniz-Institute of Freshwater Ecology and Inland Fisheries (IGB). Regional climate projections from

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the EURO-CORDEX are publicly available through the Earth System Grid Federation (ESGF; <https://esgf-data.dkrz.de/>). The raw output of the lake ~~modeling~~modelling is available from the corresponding author by request.

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#### Author contribution

GK conceived the study and modified the model with TS. WT provided the climate projections. TS performed the simulations and analysed the results. All authors contributed to interpreting the results. TS wrote the manuscript with contributions from GK and WT.

#### Competing interests

The authors declare that they have no conflict of interest.

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Figures

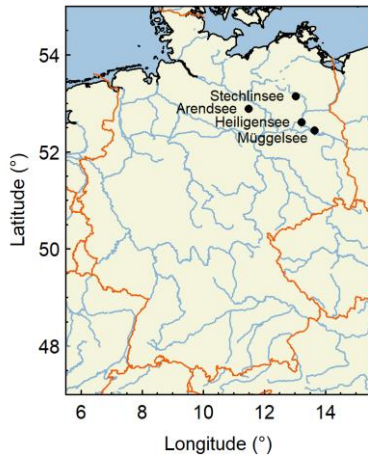


Fig. 1: Locations of the study lakes in Germany.

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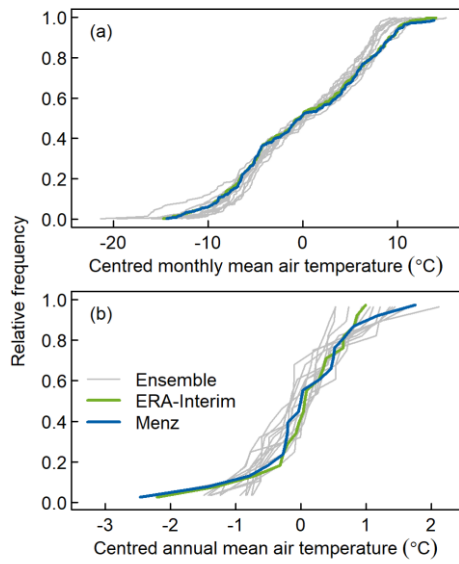


Fig. 2: Cumulative distribution function of centred monthly (a) and annual mean air temperature (b), based on data from 1991 - 2010. The grey lines show the 12 historical scenarios in the ensemble, the green line shows ERA-Interim reanalysis data, and the blue line shows the observed data from the Menz weather station. All data are for the closest pixel or weather station to Stechlinsee.

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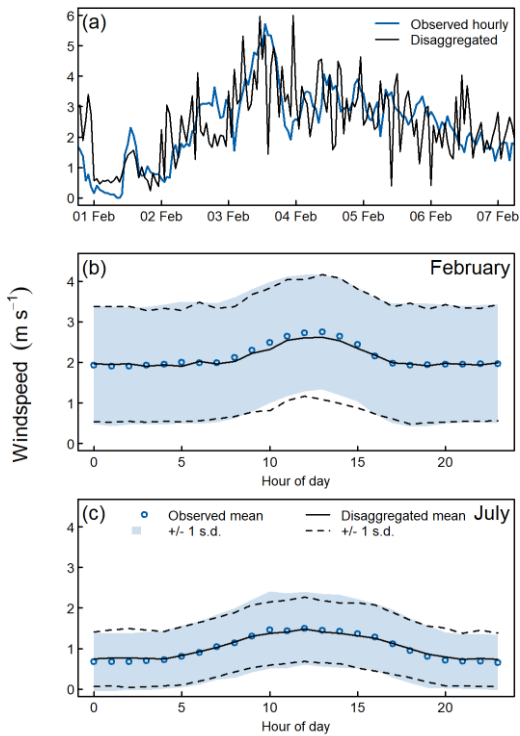


Fig. 3: Comparison of disaggregated and observed sub-daily wind speeds at the Menz weather station (5 km from Stechlinsee): sample of observed hourly wind data from 1998 and the disaggregated wind speeds generated from the corresponding daily means a); hourly mean and standard deviation of observed (circles, shaded area) and disaggregated wind speeds (solid and dashed lines) in February (b) and July (c).

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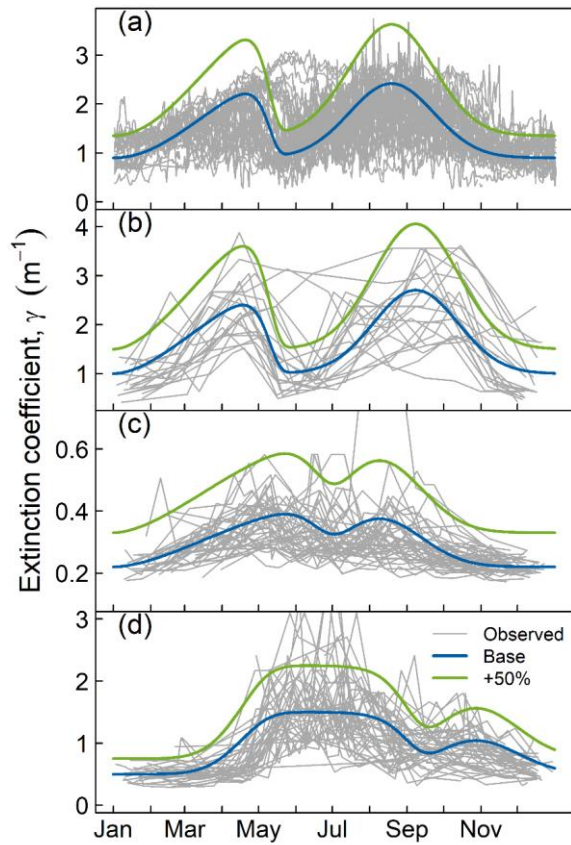
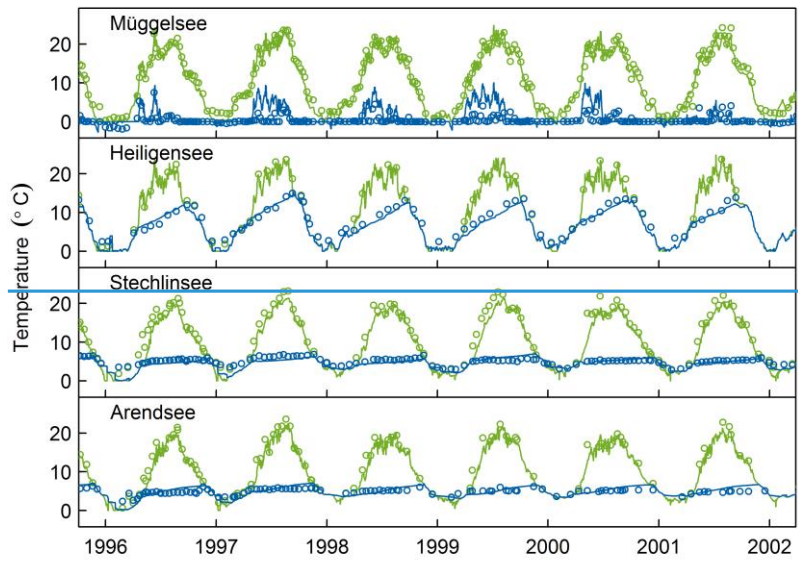


Fig. 4. Seasonal course of extinction ( $\gamma$ ) in Müggelsee (a), Heiligensee (b), Stechlinsee (c) and Arendsee (d). Grey lines show superimposed observed extinction during individual years, thick blue lines show the idealized seasonal course of extinction used in model simulations with Flake (base scenario). Green lines show base extinction increased by 50%. Panels a and b modified from Shatwell et al. (2016).

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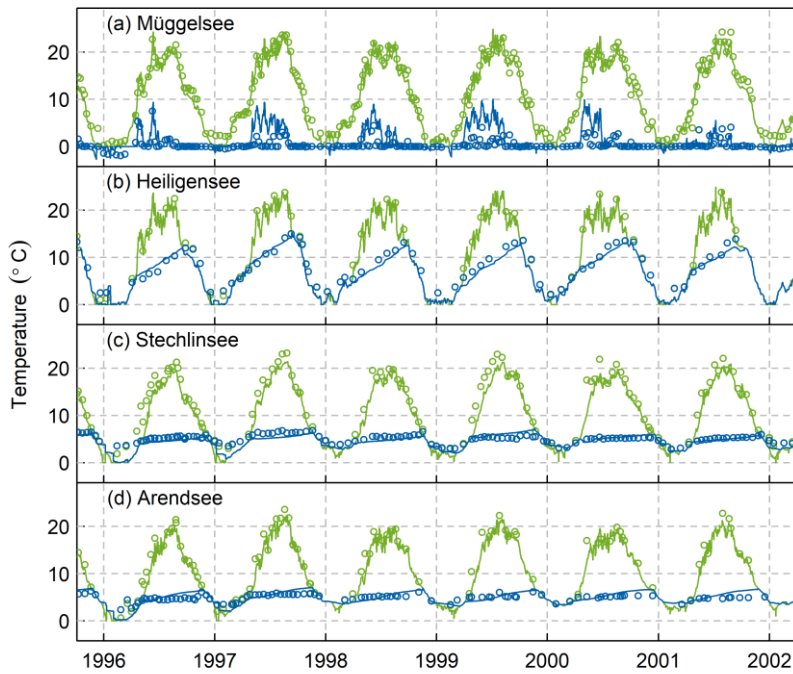
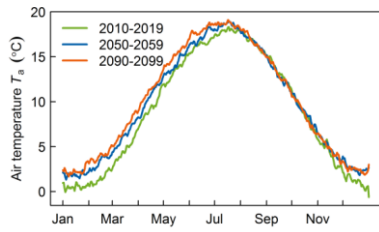


Fig. 5: Comparison of modelled (lines) and observed temperatures (points) in Müggelsee (a), Heiligensee (b), Stechlinsee (c), and Arendsee (d). Green lines and symbols show surface temperatures, blue lines and symbols show bottom temperatures (b – d) or surface-bottom temperature differences (a).

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**Fig. 6:** Projected changes in over-lake near-surface air temperature under RCP4.5. Lines show decadal means over all climate projections and all four locations.

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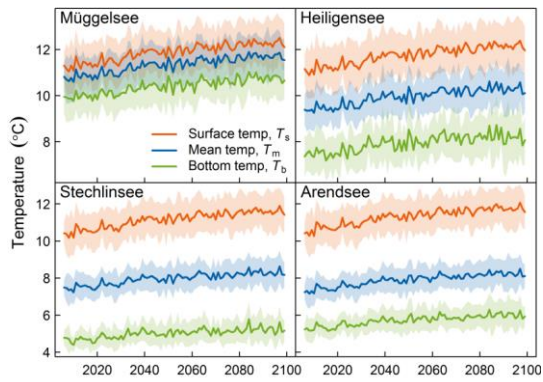


Fig. 7: Projected evolution of surface, mean and bottom temperatures in the four study lakes. Shading indicates 1 standard deviation either side of the mean.

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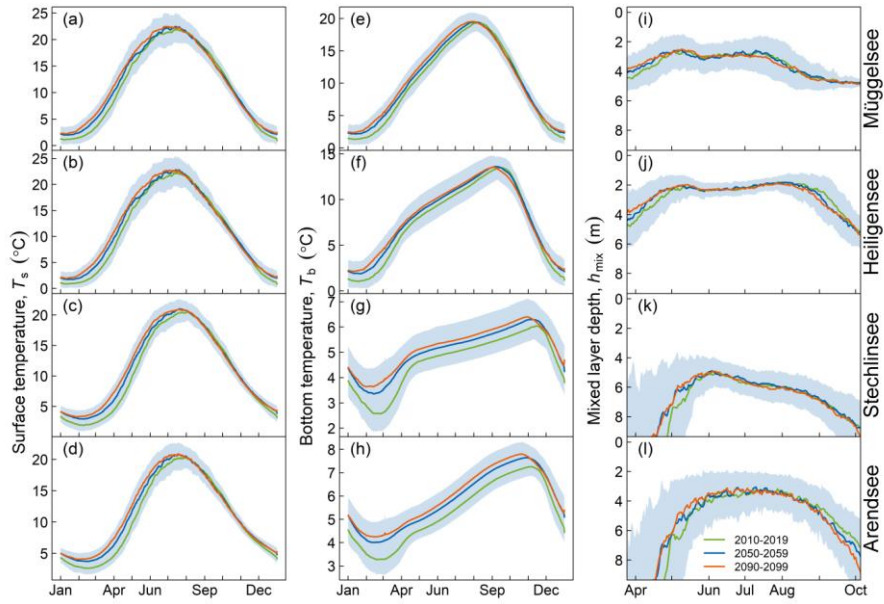


Fig. 8: Modelled seasonal cycle of surface (a – d) and bottom temperature (e – h) and mixed layer depth (i – l) in Müggelsee (a, e, i), Heiligensee (b, f, j), Stechlinsee (c, g, k) and Arendsee (d, h, l). Lines indicate decadal means and shading denotes 1 standard deviation each side of the mean.

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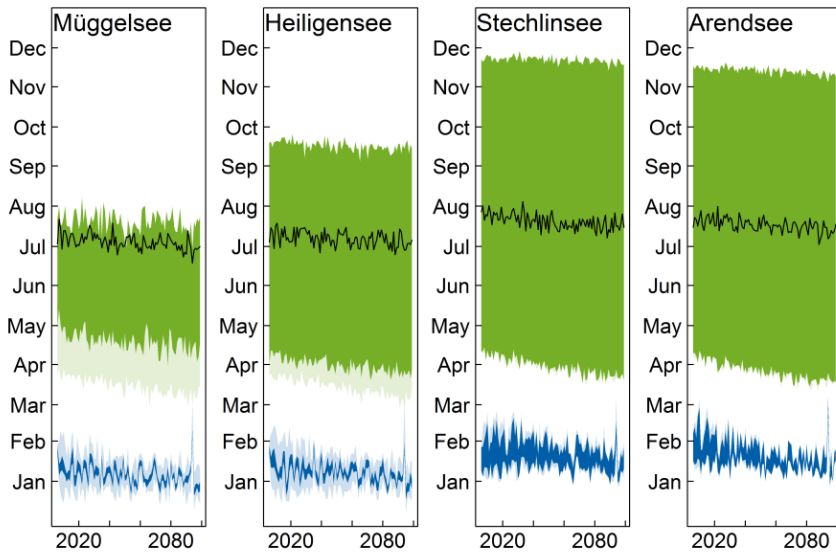
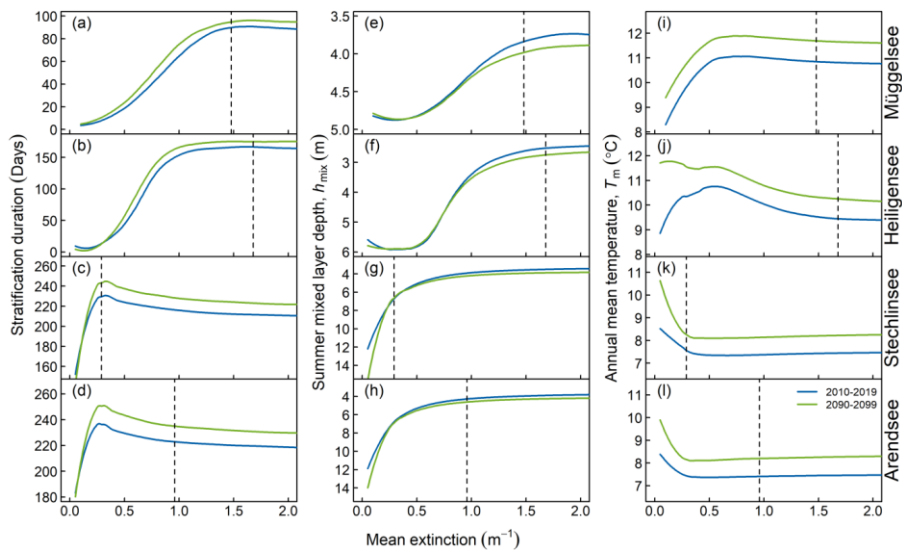


Fig. 9: Projected trends in stratification in the four study lakes. Green shading shows summer stratification, blue shows winter stratification. Lighter shading indicates intermittent stratification. The black line shows the timing of maximum surface temperature. The model was forced with the baseline (historical) transparency.

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5 **Fig. 10:** Interacting effects of extinction and warming on stratification duration (a – d), mean mixed layer depth in summer (e – h), and annual mean temperature (i – l) in Müggelsee (a, e, i), Heiligensee (b, f, j), Stechlinsee (c, g, k), and Arendsee (d, h, l). Lines have been loess-smoothed and show ensemble means for the decades 2010-2019 (blue) and 2090-2099 (green) as a function of extinction. Black vertical dashed lines show the long-term measured extinction in each lake.

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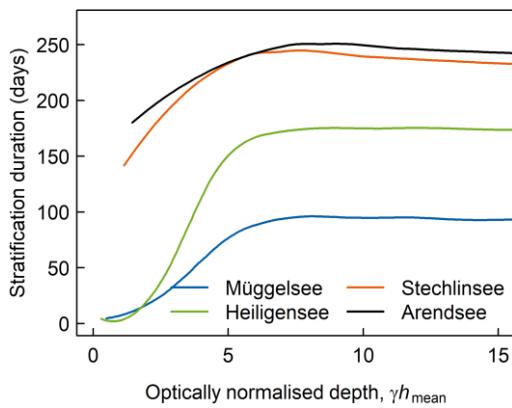
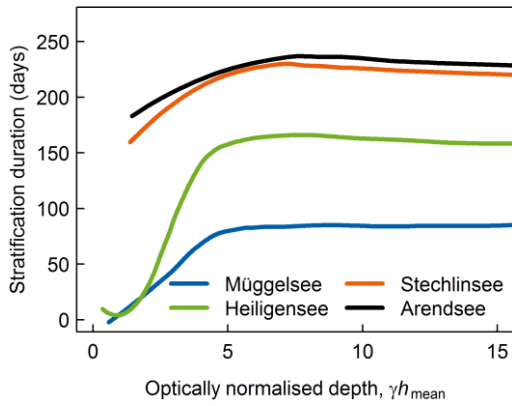


Fig. 11: Stratification duration vs optically normalised depth (mean extinction ( $\gamma$ ,  $\text{m}^{-1}$ )  $\times$  mean depth ( $h_{\text{mean}}$ , m), dimensionless) in lakes Müggelsee, Heiligensee, Stechlinsee and Arendsee. The curves are loess-smoothed and are based on ensemble simulations with the baseline extinction in each lake for the 2050-2090 – 2059-2099 period.

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## Tables

**Table 1:** Characteristics of the four study lakes.

	Müggelsee	Heiligensee	Stechlinsee	Arendsee
Mean/Max depth (m)	4.9 / 8.0	5.9 / 9.5	23 / 69	29 / 51
Area (km <sup>2</sup> )	7.3	0.3	4.3	5.1
Typical fetch (m)	4000	1000	2000	4000
Secchi depth (m)	2.0 ± 0.3	1.8 ± 0.4	8.6 ± 0.7	3.0 ± 1.4
Mean extinction (m <sup>-1</sup> )	1.48 ± 0.31	1.68 ± 0.48	0.29 ± 0.03	0.96 ± 0.55
Mean stratification duration (d)	16 ± 7.4	169 ± 17	250 ± 16	232 ± 14
Mixing regime	Polymictic	Dimictic	Dimictic	Di/monomictic
Trophic state	Eutrophic	Hypertrophic	Oligotrophic	Eutrophic

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**Table 2: Goodness of fit statistics of the four study lakes. Stratification statistics not shown for polymictic Müggelsee.**

	Lake	Bias	$RMSE_c$	$RMSE_n$	$\sigma_n$	$n$
$T_s$	Müggelsee	-0.67	1.02	0.07	1.13	1335
	Heiligensee	-1.07	1.04	0.09	1.18	383
	Stechlinsee	-1.83	1.43	0.13	1.23	482
	Arendsee	-1.06	1.46	0.11	1.13	445
$T_b$	Müggelsee	-1.72	1.94	0.15	1.13	1303
	Heiligensee	-1.22	1.46	0.17	0.95	383
	Stechlinsee	-0.29	0.62	0.13	1.93	482
	Arendsee	0.22	0.95	0.19	2.45	444
$h_{mix}$	Heiligensee	-1.56	0.95	0.43	0.85	113
	Stechlinsee	1.20	1.55	0.28	0.66	191
	Arendsee	-2.1	2.0	0.42	0.36	134
Stratification duration	Heiligensee					
		-35.3	17.2	0.16	0.60	21
	Stechlinsee	-39.4	15.5	0.17	0.73	33
	Arendsee	-21.2	12.0	0.11	0.74	19
Stratification begin	Heiligensee					
		8.6	10.8	0.14	0.52	21
	Stechlinsee	16.9	10.2	0.20	0.63	22
	Arendsee	5.8	8.7	0.10	0.93	24
Stratification end	Heiligensee					
		-1.9	16.3	0.06	0.69	20
	Stechlinsee	-18.5	9.6	0.06	0.58	21
	Arendsee	-15.3	11.5	0.06	0.30	21
Ice duration	Müggelsee	<u>-29.9</u>	<u>22.8</u>	<u>0.64</u>	<u>0.14</u>	<u>23</u>
	Stechlinsee	<u>-21.1</u>	<u>24.7</u>	<u>0.81</u>	<u>0.07</u>	<u>13</u>

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**Table 3: Projected trends (2006 – 2100) in lake temperature and stratification characteristics, with 95% confidence intervals in parentheses. doy = day of the year; ns = not significant; italics denote trends with  $0.01 < p < 0.05$ ; all other trends are significant at  $p < 0.001$ .**

	Unit	Müggelsee	Heiligensee	Stechlinsee	Arendsee
	decade				
	-1				
<b>Surface temperature</b>					
Annual	°C	0.12 (0.11 – 0.14)	0.13 (0.11 – 0.14)	0.14 (0.12 – 0.15)	0.14 (0.13 – 0.16)
Winter	°C	0.25 (0.23 – 0.27)	0.26 (0.24 – 0.28)	0.21 (0.19 – 0.23)	0.20 (0.18 – 0.23)
Spring	°C	0.22 (0.19 – 0.24)	0.23 (0.20 – 0.25)	0.30 (0.28 – 0.33)	0.31 (0.29 – 0.34)
Summer	°C	ns	ns	0.02 (0.00 – 0.05)	ns
Autumn	°C	0.02 (0.00 – 0.05)	0.02 (0.00 – 0.04)	ns	0.03 (0.01 – 0.04)
Maximum	°C	0.13 (0.09 – 0.17)	0.12 (0.08 – 0.16)	0.14 (0.11 – 0.17)	0.13 (0.10 – 0.16)
Timing of maximum	doy	-1.0 (-1.4 – -0.6)	-0.8 (-1.2 – -0.4)	-1.1 (-1.5 – -0.7)	-0.9 (-1.3 – -0.6)
<b>Bottom temperature</b>					
Annual	°C	0.10 (0.09 – 0.12)	0.10 (0.08 – 0.11)	0.07 (0.06 – 0.08)	0.08 (0.07 – 0.10)
Winter	°C	0.20 (0.18 – 0.22)	0.18 (0.16 – 0.20)	0.12 (0.10 – 0.13)	0.11 (0.10 – 0.13)
Spring	°C	0.17 (0.15 – 0.20)	0.12 (0.09 – 0.15)	0.06 (0.05 – 0.08)	0.08 (0.07 – 0.10)
Summer	°C	ns	0.06 (0.04 – 0.09)	0.05 (0.04 – 0.07)	0.09 (0.08 – 0.10)
Autumn	°C	0.02 (0.002 – 0.04)	0.02 (0.00 – 0.04)	0.04 (0.03 – 0.06)	0.06 (0.04 – 0.07)
<b>Summer stratification</b>					
Duration	d	0.8 (0.4 – 1.3)	0.9 (0.5 – 1.2)	1.8 (1.4 – 2.1)	1.6 (1.3 – 1.9)
Start timing	doy	-1.5 (-1.9 – -1.0)	-1.5 (-1.8 – -1.2)	-2.1 (-2.4 – -1.9)	-2.2 (-2.5 – -2.0)
End timing	doy	ns	-0.7 (-0.9 – -0.4)	-0.4 (-0.6 – -0.2)	-0.7 (-0.9 – -0.5)
Summer mixed layer depth	m	0.02 (0.01 – 0.02)	0.03 (0.02 – 0.04)	ns	0.04 (0.03 – 0.04)
<b>Winter stratification</b>					
Duration	d	-0.9 (-1.2 – -0.6)	-1.1 (-1.4 – -0.8)	-1.7 (-2.2 – -1.3)	-2.0 (-2.5 – -1.6)
Start timing	doy	-0.8 (-1.4 – -0.3)	-1.0 (-1.5 – -0.5)	ns	-0.7 (-1.2 – -0.1)
End timing	doy	-1.2 (-1.8 – -0.6)	-1.4 (-1.9 – -0.8)	-1.6 (-2.2 – -1.1)	-2.3 (-3.0 – -1.6)
<b>Ice cover</b>					
Duration	d	-0.9 (-1.1 – -0.6)	-1.0 (-1.3 – -0.7)	-0.4 (-0.5 – -0.3)	-0.2 (-0.3 – -0.1)
First freeze	doy	ns	ns	ns	ns
Last thaw	doy	-1.4 (-2.1 – -0.6)	-1.4 (-2.1 – -0.7)	-1.8 (-2.8 – -0.9)	ns

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