

1 **The influence of litter crusts on soil properties and hydrological**
2 **processes in a sandy ecosystem**

3 Yu Liu^{1,2}, Zeng Cui¹, Ze Huang¹, Hai-Tao Miao^{1,2}, Gao-Lin Wu^{1,2,3,*}

4 ¹ *State Key Laboratory of Soil Erosion and Dryland Farming on the Loess Plateau, Northwest*
5 *A&F University, Yangling, Shaanxi 712100, China;*

6 ² *Institute of Soil and Water Conservation, Chinese Academy of Sciences and Ministry of*
7 *Water Resource, Yangling, Shaanxi 712100, China;*

8 ³*CAS Center for Excellence in Quaternary Science and Global Change, Xi'an, 710061,*
9 *China;*

10 * *Corresponding author e-mail: gaolinwu@gmail.com*

11 phone: +86- (29) 87012884 fax: +86- (29) 87016082

12 **Abstract**

13 Litter crusts are integral components of the water budget in terrestrial ecosystems, especially
14 in arid areas. This innovative study is designed to quantify the ecohydrological effectiveness
15 of litter crusts in desert ecosystems. We focus on the positive effects of litter crusts on soil
16 water holding capacity and water interception capacity compared with biocrusts. Litter crusts
17 significantly increased soil organic matter compared to biocrusts and bare lands, by 2.4 times
18 and 3.8 times, respectively. Higher organic matter content resulted in increased soil porosity
19 and decreased soil bulk density. Meanwhile, soil organic matter can help to maintain
20 maximum infiltration rates. Litter crusts significantly increased the water infiltration rate
21 under high water supply. Our results suggested that litter crusts significantly improve soil
22 properties, thereby influencing hydrological processes. Litter crusts play an important role in
23 improving hydrological effectiveness and provide a microhabitat conducive to vegetation
24 restoration in dry sandy ecosystems.

25 **Keywords:** litter crusts; water-holding capacity; water infiltration; interface habitats; sand
26 restoration

27 **1. Introduction**

28 Desertification represents one of the most serious global environmental issues as it leads to
29 the degradation of ecosystem functioning and services and impacts the livelihoods of more
30 than 25% of the world's population (Geist & Lambin, 2004; Kefi et al., 2007; Huenneke et al.,
31 2010). The occurrence of desertification, high air temperature, low soil humidity, and
32 abundant solar radiation results in high potential evapotranspiration (Reynolds et al., 2007).
33 Moreover, soil nutrients are eroded by drastic water loss, and soil fertility decreases with sand
34 transport and dune burial, consequently impeding vegetation growth. It is a challenge for
35 ecologists to stabilize mobile dunes and to transform them into productive ecosystems.

36 With the increasing harm of desertification, many measures have been implemented to
37 prevent and combat desertification, such as afforestation, establishment of sand barriers, or
38 spraying reinforcing agents. One widely popular restoration technique establishes straw
39 checkerboards (wheat straw, reed and other materials are used in the desert to form a square
40 wall) on mobile sand dunes and eroded land. The straw checkerboards enhance dust
41 entrapment on the surface of stabilized dunes, which facilitates topsoil development and
42 makes it easier for biological soil crusts (biocrusts) to form (Li et al., 2006). Biocrusts are soil
43 surface communities composed of microscopic and macroscopic poikilohydric organisms, are
44 globally widespread and are an important component of the soil community in many desert
45 ecosystems (Grote et al., 2010; Gao et al., 2017). Biocrusts are highly specialized soil-surface
46 plant-soil complex groups that are an important component of desert ecosystems, especially in
47 arid and semiarid regions. Biocrusts provide important ecological functions including
48 increasing soil aggregation and stability, preventing soil loss, increasing the retention of

49 topsoil nutrients, and improving soil fertility (Chamizo et al., 2012).

50 Large area afforestation is one effective measure used in the prevention and control of
51 desertification in arid and semi-arid regions. Deciduous trees have been widely used in most
52 of the sandy-land afforestation efforts (Liu et al., 2018). In addition to biocrusts,
53 afforestation also produces litter crusts, which form from the accumulation of litter that
54 results from the common influences of wind and water (Jia et al., 2018). Unlike the common
55 litter layer, litter crust is a hard shell formed by mixing litter and sand under external forces
56 such as rain or wind. In this study, litter crust was defined as the crust formed by all dead
57 organic material consisting of both decomposed and undecomposed plant parts which are not
58 integrated into the mineral soils. That is, the litter crust formed by the mixing of litter
59 organisms and soil. The interactions between precipitation, vegetation and litter crust are
60 important issues for hydrologists (Dunkerley, 2015). Litter crusts have the capacity to store
61 water on their surface, with this storage being filled by rainfall and emptied by evaporation
62 and drainage (Guevaraescobar et al., 2007; Gerrits et al., 2010; Li et al., 2013). Previous
63 studies have explored the interception of rainfall, the water-holding capacity (WHC) of litter
64 materials, and the degree of retention within the litter (Makkonen et al., 2013; Dunkerley,
65 2015; Acharya et al., 2016). The plant-litter input from above- and below-ground comprises
66 the dominant source of energy and matter for a very diverse soil organism community that
67 are linked by extremely complex interactions (Hättenschwiler et al., 2005). On one hand,
68 litter crusts can improve microhabitat conditions (Chomel et al., 2016) and form soil organic
69 matter (SOM) through biochemical and physical pathways (Makkonen et al., 2013; Cotrufo
70 et al., 2015). On the other hand, litter crusts affect hydrological processes by serving as a

71 barrier that prevents precipitation from directly reaching the soil and controls soil
72 evaporation (Bulcock and Jewitt, 2012; Van Stan et al., 2017), attenuating both directions of
73 ground radiation flux, and by increasing resistance to water flux from the ground
74 (Juancamilo et al., 2010). The combined effects of these mechanisms produced by litter
75 crusts provide strong controls on water transport. Consequently, interception by litter crusts
76 is a key component of the water budget in some vegetated ecosystems (Gerrits et al., 2007;
77 Bulcock and Jewitt, 2012; Acharya et al., 2016).

78 The “Grain for Green Project” was implemented to control soil erosion and improve
79 the ecological environment across a large portion of China (Chen et al., 2015). This project
80 increased vegetation coverage on the Loess Plateau from 31.6% in 1999 to 59.6% in 2013
81 (Chen et al., 2015). Consequently, the environmental conditions have improved and are
82 suitable for the development and growth of biocrusts and litter crusts in the arid areas. Litter
83 crusts and biocrusts were important contributors for the improvement of the surface
84 microhabitat conditions. Although the importance of biocrusts in water processes has been
85 recognized, the effect of litter crusts on sandy lands has received little attention. Therefore,
86 the objectives of the study are (1) to determine the role of litter crust for soil properties (soil
87 water content, bulk density, soil total porosity, soil organic carbon) and hydrological
88 processes (WHC, water interception capacity (WIC), water infiltration rate (WIR), and
89 infiltration depth), and (2) to determine which are the dominant control factors of litter crust
90 that affect water infiltration processes in sandy lands. The results will clarify the impact
91 exerted by crusts on hydrological process, which protect the soil against erosion and improve
92 soil microhabitats in sandy lands.

93 **2. Materials and methods**

94 *2.1. Study sites*

95 The experimental site was located in the southern Mu Us Desert (110°21'–110°23' E,
96 38°46'–38°51' N, a.s.l. 1080-1270 m), which is an intersection water-wind erosion region of
97 China. It has a continental semi-arid monsoon climate, with a mean annual temperature of
98 8.4 °C. The minimum monthly temperature is -9.7 °C in January and the maximum monthly
99 temperature is 23.7 °C in July and the mean annual precipitation is 437 mm yr⁻¹ (minimum of
100 109 mm in winter and maximum of 891 mm in summer), with approximately 77% of the
101 rainfall occurring between June and September. A mean of 16.2 days has wind speed
102 exceeding Beaufort force 8, and they are predominantly during the spring. The soils are
103 aeolian sandy soils, which are prone to wind-water erosion with sand, silt, and clay contents
104 of the soil being 98.6, 1.3, and < 1.0, respectively (Wu et al., 2016). The areas with sandy
105 loess soil, loose structure, and poor erosion resistance were given priority. The Chinese
106 government implemented several projects to reduce soil erosion and to prevent the drifting of
107 sand as well as to improve the fragile ecosystem. Vegetation restoration has transformed the
108 landscape from mobile sand dunes to shrubby dunes, which are composed of fixed and
109 semifixed sand dunes. The dominant natural vegetation is psammophytic shrubs and grasses
110 (e.g., *Artemisia ordosica*, *Salix cheilophila*, *Lespedeza davurica*). In many of the sand dune
111 sites *Populus simonii* was chosen for sand fixation.

112 *2.2. Experimental design and soil sampling*

113 This study was conducted in the wind-water erosion intersection region, and *Populus simonii*
114 was chosen as the main species for wind speed reduction at the surface. The region has

115 suffered wind-water erosion in consecutive years due to its unique geographical position,
116 which has shaped its specific landscape characteristics. There is abundant plant litter gathered
117 every year as a result of the interaction between wind transport and water erosion. Many litter
118 layers were mixed with sand and eventually were fixed on the ground, this gradual process
119 formed litter crusts. Soils covered by two types of crusts represented the most common crusts
120 in this region. Biological soil crusts (biocrusts) were moss dominated, and litter crusts were
121 dominated by *Populus simonii* leaves. The litter crusts were divided into two groups: a 2-year
122 crust (covered by only litter, LC2) and 4-year crust (covered by litter and a semidecomposed
123 layer, LC4). For each crust type (LC2, LC4 and biocrusts) as well as bare sandy land (BSL, as
124 control, Fig. 1), six experimental plots ($> 100 \text{ m}^2$) were selected. Five duplicate sample sites
125 were selected in each experimental plot for repeatability.

126 After a sample site was selected, the crust thickness was measured using a tape. In each
127 sample site, the undisturbed crust layer was sampled using a cylindrical container with a 15
128 cm diameter (with an area of 1.77 dm^2). Moreover, biocrust mass was represented by moss
129 biomass per unit area (g dm^{-2}). The soil on the mosses was removed by wet sieving, and the
130 moss plants were used as the biocrust samples. Various types of crusts from each plot were
131 collected to determine the maximum water interception capacity (Max WIC, g dm^{-2}) and
132 maximum water-holding (storage) capacity (Max WHC, g dm^{-2}). Ten samples were collected
133 for analysis in each sample site and all samples collated. Soil samples were collected using a
134 soil drilling sample corer. The samples in the soil layers were collected at depth of 0-3, 3-5,
135 and 5-10 cm. Three replicates were taken from each sample site, and the same layer samples
136 were mixed into one sample for each plot. Bulk density (BD, g cm^{-3}) was measured using a

137 soil bulk sampler (100 cm³) stainless steel cutting ring and soil total porosity (TP,%) was
138 calculated by the $(1 - \text{BD} / \text{PD}) \times 100$, where BD represents soil bulk density (g cm⁻³) and PD
139 represents particle density (g cm⁻³), which was assumed to be 2.65 g cm⁻³. The samples were
140 weighed and then oven-dried to a constant weight at 105 °C and then weighed to determine
141 BD and soil water content (SWC, weight-%). The analyses in each sample site were repeated
142 five times.

143 *2.3. Water interception and water holding capacity of litter crust*

144 Water interception was defined as the amount of rainfall temporarily stored in the litter after
145 drainage ceased (Guevaraescobar et al. 2007; Acharya et al. 2016). In the laboratory, collected
146 litter was air-dried (65 °C to constant weight) and weighed to obtain the dry weight. To
147 measure the amount of water intercepted by the litter, a circular quadrat with a permeable
148 mesh bottom (diameter of 15 cm) was used in such a way that the quadrat area was equal to
149 that of the soil corer. The collected litter was then distributed uniformly over the entire
150 quadrat. Simulated rainfall (rainfall intensity was 20 mm h⁻¹) was applied to the quadrats for
151 30 minutes continuously and then allowed to rest for 10 minutes in order for the moisture to
152 stabilized before weighing to determine the Max WIC (g dm⁻²).

153 To determine the Max WHC, all crust samples were submerged in water for 24 hours.
154 The samples were retrieved from the water and allowed to air dry and drain for approximately
155 30 minutes. Then, the samples were weighed to obtain the maximum weight. The Max WHC
156 (g dm⁻²) was calculated as the difference between the maximum weight and the dry weight.
157 The soil organic matter content (SOM, g kg⁻¹) was determined by the dichromate oxidation
158 method.

159 2.4. *Quantitative infiltration design*

160 To investigate the influence of crusts on water infiltration, infiltration experiments using five
161 different amounts of water were conducted in each plot. A cylinder with an inner diameter of
162 15 cm and a height of 15 cm was used for single-ring infiltrometry. Single-ring infiltrometry
163 has been extensively applied as a basic infiltration measurement tool to measure the soil
164 infiltration process (Ries & Hirt, 2008). The infiltration device was driven carefully to a depth
165 of 5 cm by means of a plastic collar and a rubber hammer. To prevent water leakage from the
166 ring, the same soil materials were used to support the outside of the ring.

167 A paper board (5 × 5 cm) was placed in the ring above the crust and soil to prevent
168 scouring when the water was added into the ring. Specific quantitative amounts of water (500
169 mL, 1000 mL, 1500 mL, 2000 mL and 2500 mL in the study) were carefully poured on the
170 paper board until, as quickly as possible, it was 3 cm deep (the depth of 500 mL of water in
171 the ring is close to 3 cm); this process was timed using a stopwatch. During the infiltration
172 process, water was added by hand to maintain the water level within the ring. The amount of
173 time required for water to infiltrate in the ring was recorded to determine the water infiltration
174 rate. The infiltration measurement of each water quantity was repeated 3 times in each sample
175 site. After the infiltration experiment, the ring was removed, and then, a vertical soil profile
176 was quickly excavated and the infiltration depth (cm) measured directly using a tape.

177 Based on the water mass balance, the infiltration rate measured using the ring method was
178 estimated from:

$$179 \quad i = \frac{W}{A \times T} \times 10$$

180 where i represents the infiltration rate (mm min^{-1}), W is the amount of water supplied for

181 infiltration (mL), A is the infiltration area (cm²), T is the infiltration time (min), and 10 is the
182 conversion coefficient.

183 *2.5. Statistical analyses*

184 Two types of crusts (biocrust and litter crusts) were selected to determine the impact of crust
185 components on hydrological process and five BSL plots were selected as controls. The
186 normality of the data and its homoscedasticity were tested using the Kolmogorov-Smirnov
187 and Levene's tests. In these comparisons, we conducted analysis of variance (ANOVA) on the
188 data. Tukey's honestly test was used to analyse the differences in SWC, BD and TP in the
189 different crust types at the different soil layers or within the same soil layer. Differences in the
190 crust thickness, Max WHC, and WIR of the crust types were also tested using Tukey's
191 honestly test. The difference in the Max WIC of LC2 and LC4 was detected using an
192 independent t test. All differences were tested at the level of $p < 0.05$. Generalized linear
193 model (GLM) analysis was used to explain the interactions between crust types and water
194 supply in determining the water infiltration time, depth and rate. Correlation analysis was
195 performed to explore the relationships among the different soil properties and the infiltration
196 rates under different water supply-scenarios. All of these statistical analyses were completed
197 using R statistical software v 3.4.2 (R Development Core Team 2017).

198 **3. Results**

199 *3.1. Influence of crusts on soil properties*

200 The contents of SOM were markedly higher in crust soils than in BSL (Fig. 2). The highest
201 SOM content was in LC4 at the depth of 0-3 cm, and was 3.8 times greater than the content in
202 BSL and 2.4 times greater than the content found in biocrust. Compared to the BSL, the SOM

203 contents in the subsurface layers (3-10 cm) were 63.6-108.4%, 18.2-20.8% and 48.2-79.2%
204 greater in the biocrust groups, LC2 and LC4, respectively. Within each type of crust, the SOM
205 content clearly decreased with increasing soil depth. Over the 4-year period, the litter
206 significantly reduced soil BD in both in surface soil and subsurface soil (Table 1). With the
207 decrease of BD, soil TP was significantly higher in LC4 than in the BSL and in biocrust.

208 Soil properties did show differences between crust types (Table 1). Compared to the BSL,
209 both biocrusts and litter crusts significantly increased SWC in surface soil (0-5 cm). However,
210 SWC showed a decreasing trend in crusts and showed an increasing trend in the BSL with
211 increasing soil depth. The SWC in the BSL was 33% higher in surface soil than in subsurface
212 soil (5-10 cm), while the SWC in biocrusts and LC4 were 44% and 18% lower, respectively,
213 in surface soil than in subsurface soil (5-10 cm).

214 *3.2. Crusts improve hydrological effectiveness*

215 The crust thickness, crust mass and Max WHC were clearly higher in the litter crust than in
216 the biocrust (Fig. 3). Moreover, LC4 had a mass 1.6 times higher than the mass of LC2 (Fig.
217 3B). The Max WHC values in LC4 and LC2 were 3.2 and 2.0 times that of biocrust (Fig. 3C),
218 respectively. Meanwhile, the Max WIC in LC4 was 72.1% higher than in LC2 (Fig. 3D). An
219 analysis of infiltration measurements showed that the effects of crust type and water supply
220 on infiltration time, depth and rate were all significant (Table 2). While the water infiltration
221 rate with a 500 mL water supply in various crust types was ranked LC4 > biocrust > BSL >
222 LC2, the infiltration rates with 1000 mL, 1500 mL, 2000 mL and 2500 mL water supplies in
223 different crust types, which were ranked LC4 > LC2 > BSL > biocrust; further the rates in
224 litter crusts and biocrust were significantly different (Fig. 4). The water infiltration depth

225 increased significantly with water supply, but the trend of water infiltration depths was BSL >
226 LC2 > LC4 > biocrust among the different crust types (Fig. 5).

227 *3.3. Soil properties affect infiltration rates of different water supplies*

228 Infiltration rates of different water supplies were significantly correlated with soil and crust
229 properties as shown by Pearson's correlation analysis (Fig. 6). Crust thickness and mass were
230 significantly correlated with high water supply (> 1000 mL) infiltration rates. An infiltration
231 rate with a 500 mL water supply was significantly positively correlated with TP in the 0-5 cm
232 soil layer and SOM content in the 0-3 cm soil layer, and significantly negatively correlated
233 with BD in the 0-5 cm and 5-10 cm soil layers. The infiltration rates of the 1000 mL, 1500
234 mL, 2000 mL and 2500 mL water supplies were significantly correlated with the SWC in the
235 5-10 cm soil layer.

236 **4. Discussion**

237 Biocrusts influence many soil properties that are also impacted by other major ecosystem
238 processes in dry lands, such as nutrient cycling and hydrological processes (Gao et al., 2017).
239 Previous studies have separately reported an increase in water retention and SOM content due
240 to the presence of biocrusts (Chamizo et al., 2016). To our knowledge, few previous studies
241 have reported how soil properties change in the litter crusts or how litter crust influences the
242 hydrological processes in sandy lands (Jia et al., 2018). We examined changes in soil
243 properties and hydrological functions in contrasting biocrusts and litter crusts in a desert
244 ecosystem. Our results will fill these gaps in knowledge and demonstrate that litter crusts
245 significantly influence soil properties and hydrological processes in sandy lands.

246 *4.1. Influence of litter crusts on soil properties*

247 As plant litter falls to the ground it forms an assembly developing a porous barrier that is
248 structured by wind and water called litter crust. The litter crust modifies the bidirectional
249 fluxes of liquid water and water vapor and affects water evaporation from the soil by
250 insulating the soil surface from the atmosphere and by intercepting radiation (Dunkerley,
251 2015; Van Stan et al., 2017). Litter crusts play an important role in changing soil bulk density
252 and porosity, and they serve as a major source of soil organic matter in surface soils. The
253 present study showed that litter crusts decreased the soil bulk density and increased soil
254 porosity and SOM contents. Litter decomposition is an important ecosystem process that is
255 critical to maintaining available nutrients. The SOM is formed through the partial
256 decomposition and transformation of plant litter by soil organisms (Cotrufo et al., 2015).
257 Fragments produced during litter decomposition can promptly associate with the topsoil layer
258 while some brittle residues move to surface soils by water and wind transfer before forming
259 coarse particulate organic matter in the soil. The addition of organic matter to the soil
260 increases porosity and decreases bulk density. This study demonstrated that SOM is
261 significantly higher in LC4 than in LC2. The decomposition times of the two litter crusts are a
262 powerful explanation for this result. Over time, the increasing quantity of litter input forms a
263 new microclimatic and promotes SOM accumulation in surface soils (Liu et al., 2017). The
264 Max WHC also contributes to the higher SOM in LC4. In general, the higher water content
265 enhanced the decomposition rate in litter monocultures (Makkonen et al., 2013).

266 In our study, litter crusts and biocrust significantly increased surface soil moisture.
267 However, the biocrust showed obvious desiccation in the subsurface soil layer not present in
268 litter crusts. The higher moisture under biocrusts can be attributed to biocrust-anchoring

269 structures that bind soil particles and form mats on the soil surface; these properties strongly
270 increase soil surface water retention (Chamizo et al., 2012). In arid and semi-arid regions
271 during low-intensity rainfall, dominant in our study area, rainfall is completely intercepted by
272 biocrusts and cannot penetrate the crust to reach the subsurface soil. Moreover, biocrusts
273 decrease subsurface soil water by consuming water during growth, which results in the
274 desiccation of the subsurface soil layer. The change of soil properties (BD, porosity and SOM)
275 caused by litter crust improved hydrological characteristics.

276 *4.2. Effect of litter crusts on hydrological processes*

277 The litter crusts can develop a significant thickness depending on wind, water and other
278 factors. Our study showed that litter crusts could reach 5 cm in 2-year-old and 9 cm litter
279 crusts in 4-year-old *Populus simonii* forests. Our study also demonstrated that there are
280 significant differences in the porosity of different aged litter crusts and that there are
281 differences in the interstitial spaces of litter crusts. These variations are major contributors
282 that can cause the observed differences in the WIC of litter crusts. The WIC of litter crusts is
283 an integral factor impacting litter infiltration and the development of surface runoff (Gerrits et
284 al., 2010; Dunkerley, 2015). This is because litter interception of a certain amount of water
285 can satisfy early stage infiltration and runoff water requirements (Gerrits et al., 2010). Litter
286 crusts are continually broken down and decomposed by microbial activities and therefore, the
287 frequency of movement and recombination of litter crusts and other organic components can
288 also be considered to influence the porosity and hydrological characteristics of litter crusts
289 (Dunkerley, 2015). In our study, Max WHC of litter crusts was 48.7 g dm⁻². However, the
290 maximum volume of litter crust was 1540 cm³, and only approximately 5% of the available

291 void space in the litter was occupied by water. This result indicates that water is retained only
292 in smaller void spaces within the litter crusts and not in large gaps, where gravity drainage is
293 expected to dominate due to gravity and cohesive forces, which primarily control interception
294 (Li et al., 2013; Dunkerley, 2015). The litter crust could store water equal to 154-200% of its
295 dry weight, so a large proportion of this storage water is determined by the litter
296 characteristics. In our study, the dominant litter crusts were formed by broadleaf litter
297 (*Populus simonii* leaves), which played an important role in determining the water dynamics
298 of the litter crusts (Sato et al., 2004). According to the findings of Li et al. (2013), the Max
299 WHC showed a strong linear relationship with litter mass whether the litter was a
300 monoculture or a mixture. The maximum mass in LC4 was 28.3 g dm⁻², indicating the
301 possibility of high water storage levels.

302 The high WIC of litter crusts and soil organic matter help to maintain maximum
303 infiltration rates, allowing penetration of water into the soil profile, thereby slowing soil
304 desiccation caused by evaporation (Sayer, 2005). The litter and SOM can increase soil
305 porosity and aeration indirectly, thus increasing the WIR. Our results show that the SOM
306 content is positively correlated with porosity and negatively correlated with BD. Meanwhile,
307 compared to BSL, the litter crusts increased the WIR with water supplies >1000 mL. The low
308 water supply (500 and 1000 mL) was similar to low-intensity rainfall, and soil or litter crusts
309 quickly absorbed water. This observation is believed to be related to the amount of available
310 water and the empty storage spaces in soil or litter crusts that have not yet reached their full
311 water retention capacities (Dunkerley, 2015), as a result, there were no significant differences
312 in the WIRs between different crust types. When the affected soil layer was saturated and

313 water was transported to deeper soil layers, the WIR could be considered a soil characteristic
314 that is dependent on the initial soil water content (Thompson et al., 2010). Therefore, the TP
315 and SOM contents in the surface soil layer significantly influenced the WIR with low water
316 supplies, and BD and SWC significantly influenced the WIR with high water supply. The
317 increased WHC and WIC in litter crusts and surface soil layers are the main reason the WIR
318 in the litter crusts were slightly lower than in BSL. In addition, abundant SOM results in a soil
319 structure that is uncompacted, which can lead to the partitioning of water into lateral flows in
320 litter crusts.

321 More diverse litter crusts can reasonably be assumed to be structurally richer than
322 monospecific litter crusts (Hättenschwiler et al., 2005). Different litter sizes, litter shapes and
323 litter colours all contribute to distinct geometric organization, WIC, WHC and
324 radiative-energy balance in a species-rich litter layer (Sato et al., 2004). In our study, a
325 monoculture litter was researched to analyse the impacts of litter crusts on soil properties and
326 hydrological functions. In the future, the effects of litter crusts mixed with different species
327 not only on litter structure but also on the movement of water within the litter crusts should be
328 considered. Moreover, litter crusts affected vegetation properties, such as seed germination,
329 seedling emergence, establishment, and survival (Jia et al., 2018), and this should receive
330 more attention to improve the vegetation in desert ecosystems.

331 **5. Conclusions**

332 Litter crusts significantly influenced soil properties and hydrological functions. The presence
333 of litter crusts plays a critical role in soil fertility and hydrological functions in sandy lands.
334 Litter crusts increased the soil water content in both the surface (0-5 cm) and subsurface (5-10

335 cm) soils, but biocrusts increased the soil water content in the surface soil and decreased the
336 content in the subsurface soil. Litter crusts significantly increased soil organic matter by 2.4
337 times and 3.8 times the content in biocrusts and bare sandy lands, respectively. Higher organic
338 matter content resulted in increased soil porosity and decreased soil bulk density. Meanwhile,
339 soil organic matter can help to maintain maximum infiltration rates. Litter crusts significantly
340 increased the water infiltration rates with high water supplies (> 1000 mL). With low water
341 supplies, the water infiltration rate was mainly determined by soil organic matter and soil
342 porosity. The water infiltration was mainly determined by soil water content and crust
343 properties when water supplies were high. Our results suggested that litter crusts significantly
344 improved the soil properties, thereby influencing the hydrological processes. A number of
345 national ecological programs have improved vegetation recovery and litter crust development
346 extensively in China. The results indicate that litter crusts are instrumental in many
347 hydrological processes because of their ability to increase organic matter and water
348 infiltration. Therefore, it is necessary to consider the hydrological effectiveness of litter crusts.
349 In the future, the effects of litter crusts mixed with different species not only on litter structure
350 but also on the movement of water within the litter crusts should be considered. Moreover, the
351 litter crusts effected vegetation properties, such as seed germination, seedling emergence,
352 establishment, and survival, and these factors should receive more attention to improve the
353 vegetation in desert ecosystems.

354 **Acknowledgements**

355 This research was funded by the National Natural Science Foundation of China (NSFC
356 41722107, 41525003, 41390463), the West Light Foundation of the Chinese Academy of

357 Science (XAB2015A04, XAB2018B09), and the Youth Talent Plan Foundation of Northwest
358 A & F University (2452018025).

359 **References**

360 Acharya, B. S., Stebler, E., and Zou, C. B.: Monitoring litter interception of rainfall using leaf
361 wetness sensor under controlled and field conditions, *Hydrol. Process.*, 31, 240-249,
362 <https://doi.org/10.1002/hyp.11047>, 2016.

363 Bulcock, H.H., and Jewitt, G.P.W.: Field data collection and analysis of canopy and litter
364 interception in commercial forest plantations in the KwaZulu-Natal Midlands, South Africa,
365 *Hydrol. Earth Syst. Sci.*, 16, 3717-3728. <https://doi.org/10.5194/hess-16-3717-2012>, 2012.

366 Chamizo, S., Cantón, Y., Miralles, I., and Domingo, F.: Biological soil crust development
367 affects physicochemical characteristics of soil surface in semiarid ecosystems, *Soil Biol.*
368 *Biochem.*, 49, 96-105, <https://doi.org/10.1016/j.soilbio.2012.02.017>, 2012.

369 Chen, Y. P., Wang, K. B., Lin, Y. S., Shi, W. Y., Song, Y., and He, X.: Balancing green and
370 grain trade, *Nature Geosci.*, 8, 739-741, <https://doi.org/10.1038/ngeo2544>, 2015.

371 Chomel, M., Guittonny-Larchevêque, M., Desrochers, A., and Baldy, V.: Effect of mixing
372 herbaceous litter with tree litters on decomposition and n release in boreal plantations,
373 *Plant Soil*, 398, 229-241, <https://doi.org/10.1007/s11104-015-2648-5>, 2016.

374 Cotrufo, M. F., Soong, J. L., Horton, A. J., Campbell, E. E., Haddix, M. L., Wall, D. H., and
375 Parton, W. J.: Formation of soil organic matter via biochemical and physical pathways of
376 litter mass loss, *Nature Geosci.*, 8, 776-779, <https://doi.org/10.1038/ngeo2520>, 2015.

377 Dunkerley, D.: Percolation through leaf litter: What happens during rainfall events of varying
378 intensity? *J. Hydrol.*, 525, 737-746, <https://doi.org/10.1016/j.jhydrol.2015.04.039>, 2015.

379 Gao, L. Q., Bowker, M. A., Xu, M. X., Sun, H., Tuo, D. F., and Zhao, Y. G: Biological soil
380 crusts decrease erodibility by modifying inherent soil properties on the Loess Plateau,
381 China, *Soil Biol. Biochem.*, 105, 49-58, <https://doi.org/10.1016/j.soilbio.2016.11.009>,
382 2017.

383 Geist, H. J., and Lambin, E. F.: Dynamic causal patterns of desertification, *Bioscience*, 54,
384 817-829, [https://doi.org/10.1641/0006-3568\(2004\)054\[0817:DCPOD\]2.0.CO;2](https://doi.org/10.1641/0006-3568(2004)054[0817:DCPOD]2.0.CO;2), 2004.

385 Gerrits, A.M.J., Pfister, L. and Savenije, H. H.: Spatial and temporal variability of canopy and
386 forest floor interception in a beech forest. *Hydrol. Process.*, 24, 3011-3025.
387 <https://doi.org/doi:10.1002/hyp.7712>, 2010.

388 Gerrits, A.M.J., Savenije, H.H.G, Hoffmann, L. and Pfister, L.: New technique to measure
389 forest floor interception – an application in a beech forest in Luxembourg, *Hydrol. Earth*
390 *Syst. Sci.*, 11, 695-701, <https://doi.org/10.5194/hess-11-695-2007>, 2007.

391 Grote, E. E., Belnap, J., Housman, D. C., and Sparks, J. P.: Carbon exchange in biological soil
392 crust communities under differential temperatures and soil water contents: implications for
393 global change, *Global Change Biol.*, 16, 2763-2774,
394 <https://doi.org/10.1111/j.1365-2486.2010.02201.x>, 2010.

395 Guevaraescobar, A., Gonzalezsosa, E., Ramossilinas, M., and Hernandezdelgado, G. D.:
396 Experimental analysis of drainage and water storage of litter layers, *Hydrol. Earth Syst.*
397 *Sci.*, 11, 1703-1716, <https://doi.org/10.5194/hess-11-1703-2007>, 2007.

398 Hättenschwiler, S., Tiunov, A.V., and Scheu, S.: Biodiversity and litter decomposition in
399 terrestrial ecosystems, *Annu. Rev. Ecol. Evol. Syst.*, 36, 191-218,
400 <https://doi.org/10.1146/annurev.ecolsys.36.112904.151932>, 2005.

401 Huenneke, L.F., Anderson, J.P., Remmenga, M., Schlesinger, W.H.: Desertification alters
402 patterns of aboveground net primary production in Chihuahuan ecosystems, *Global Change*
403 *Biol.*, 8(3), 247-264, <https://doi.org/10.1046/j.1365-2486.2002.00473.x>, 2010.

404 Jia, C., Huang, Z., Miao, H. T., Lu, R., Li, J. J., Liu, Y., Shen, W. B., He, H. H., and Wu, G. L.:
405 Litter crusts promote herb species formation by improving surface microhabitats in a desert
406 ecosystem, *Catena*, 171, 245-250, <https://doi.org/10.1016/j.catena.2018.07.024>, 2018.

407 Juancamilo, V., Davidd, B., Zou, C, and Darinj, L.: Ecohydrological controls of soil
408 evaporation in deciduous drylands: How the hierarchical effects of litter, patch and
409 vegetation mosaic cover interact with phenology and season, *J. Arid Environ.*, 74, 595-602,
410 <https://doi.org/10.1016/j.jaridenv.2009.09.028>, 2010.

411 Kéfi, S., Rietkerk, M., Alados, C. L., Pueyo, Y., Papanastasis, V. P., Elaich, A., and de Ruiter
412 P. C.: Spatial vegetation patterns and imminent desertification in Mediterranean arid
413 ecosystems, *Nature*, 449, 213-217, <https://doi.org/10.1038/nature06111>, 2007.

414 Li, X. R., Xiao, H. L., He, M. Z., and Zhang, J. G.: Sand barriers of straw checkerboards for
415 habitat restoration in extremely arid desert regions, *Ecol. Eng.*, 28, 149-157,
416 <https://doi.org/10.1016/j.ecoleng.2006.05.020>, 2006.

417 Li, X., Niu, J. Z., and Xie, B. Y.: Study on hydrological functions of litter layers in north
418 China, *PLoS One*, 8, e70328, <https://doi.org/10.1371/journal.pone.0070328>, 2013.

419 Liu, Y., Dang, Z. Q., Tian, F. P., Wang, D., and Wu, G. L.: Soil organic carbon and inorganic
420 carbon accumulation along a 30-year grassland restoration chronosequence in semi-arid
421 regions (China), *Land Degrad. Develop.*, 28, 189-198, <https://doi.org/10.1002/ldr.2632>,
422 2017.

423 Liu, Y., Miao, H. T., Huang, Z., Cui, Z., He, H. H., Zheng, J. Y., Han, F. P., Chang, X. F., and
424 Wu, G. L.: Soil water depletion patterns of artificial forest species and ages on the Loess
425 Plateau (China), *Forest. Ecol. Manag.*, 417, 137-143,
426 <https://doi.org/10.1016/j.foreco.2018.03.005>, 2018.

427 Makkonen, M., Berg, M. P., van Logtestijn, R. S. P., van Hal, J. R., and Aerts, R.: Do physical
428 plant litter traits explain non-additivity in litter mixtures? A test of the improved
429 microenvironmental conditions theory, *Oikos*, 122, 987-997,
430 <https://doi.org/10.1111/j.1600-0706.2012.20750.x>, 2013.

431 Reynolds, J. F., Smith, D. M. S., Lambin, E. F., Nd, T. B., Mortimore, M., Batterbury, S. P.,
432 Downing, T. E., Dowlatabadi, H., Fernández, R. J., Herrick, J. E., Huber-Sannwald, E.,
433 Jiang, H., Leemans, R., Lynam, T., Maestre, F. T., Ayarza, M., and Walker, B.: Global
434 desertification: building a science for dryland development, *Science*, 316, 847-51,
435 <https://doi.org/10.1126/science.1131634>, 2007.

436 Ries, J. B., and Hirt, U.: Permanence of soil surface crusts on abandoned farmland in the
437 Central Ebro Basin Spain, *Catena*, 72, 282-296,
438 <https://doi.org/10.1016/j.catena.2007.06.001>, 2008.

439 Sato, Y., Kumagai, T., Kume, A., Otsuki, K., and Ogawa, S.: Experimental analysis of
440 moisture dynamics of litter layers-the effects of rainfall conditions and leaf shapes, *Hydrol.*
441 *Process.*, 18: 3007-3018. <https://doi.org/10.1002/hyp.5746>, 2004.

442 Sayer, E. J.: Using experimental manipulation to assess the roles of leaf litter in the
443 functioning of forest ecosystems, *Biol. Rev.*, 80, 1-31,
444 <https://doi.org/10.1017/S1464793105006846>, 2005.

445 Thompson, S. E., Harman, C. J., Heine, P., and Katul, G. G.: Vegetation-infiltration
446 relationship across climatic and soil type gradients, *J. Geophys. Res.*, 115, G02023,
447 <https://doi.org/10.1029/2009JG001134>, 2010.

448 Van Stan, II J.T., Coenders-Gerrits, M., Dibble, M., Bogeholz, P., Norman, Z.: Effects of
449 phenology and meteorological disturbance on litter rainfall interception for a *Pinus elliottii*
450 stand in the Southeastern United States, *Hydrol. Process.*, 31, 3719–3728.
451 <https://doi.org/10.1002/hyp.11292>, 2017.

452 Wu, G. L., Wang, D., Liu, Y., Hao, H. M., Fang, N. F., and Shi, Z. H.: Mosaic-pattern
453 vegetation formation and dynamics driven by the water–wind crisscross erosion, *J. Hydrol.*,
454 538, 355-362, <https://doi.org/10.1016/j.jhydrol.2016.04.030>, 2016.

455

456 **Table 1.** Soil water content and bulk density (Mean \pm SE) at the 0-10 cm soil layer depth with
 457 different crust types. SWC, soil water content; BD, bulk density; TP, soil total porosity; BSL,
 458 bare sandy land; Bio, moss crust; LC2, litter crust for 2 years; LC4, litter crust for 4 years.
 459 Different lowercase letters indicate significant differences among the various crust soils at the
 460 level of $p < 0.05$, and different uppercase letters indicate significant differences among
 461 different depth at the level of $p < 0.05$.

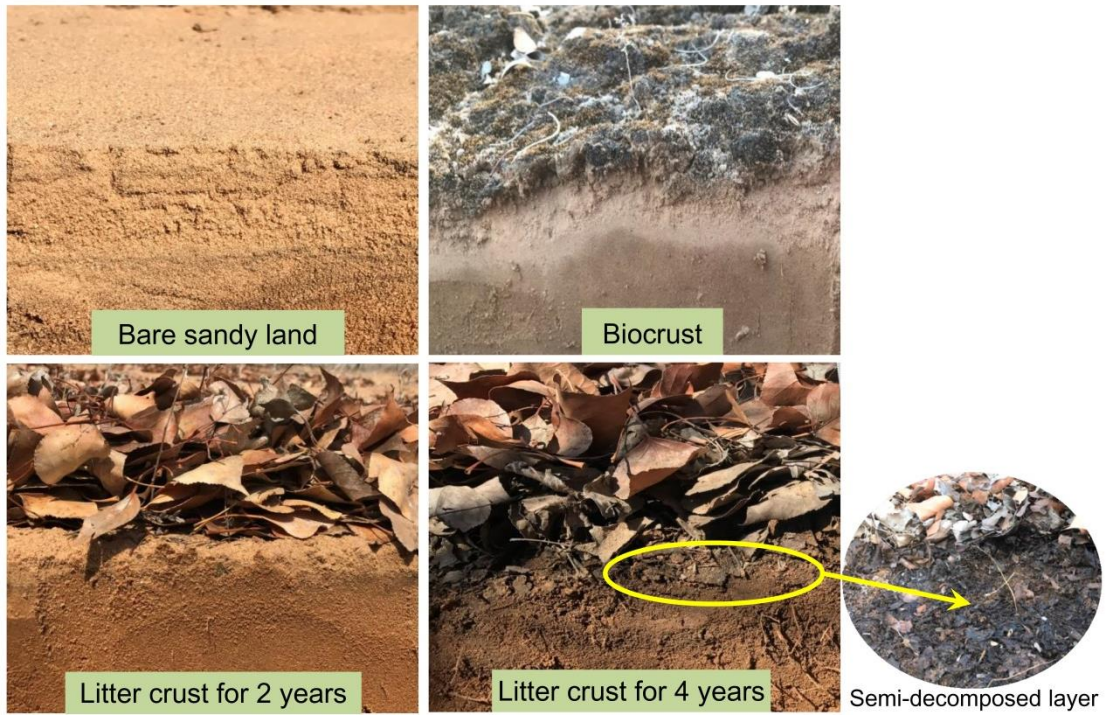
	Depth (cm)	BSL	Bio	LC2	LC4
SWC (%)	0-5	3.86 \pm 0.22Bb	8.02 \pm 1.42Aa	5.23 \pm 0.28Aab	7.22 \pm 0.60Aa
	5-10	5.13 \pm 0.41Aa	4.49 \pm 0.36Ba	5.74 \pm 0.44Aa	5.92 \pm 0.39Aa
BD (g cm ⁻³)	0-5	1.52 \pm 0.01Ba	1.53 \pm 0.02Ba	1.55 \pm 0.02Ba	1.33 \pm 0.04Bb
	5-10	1.61 \pm 0.02Aa	1.54 \pm 0.03Aab	1.63 \pm 0.01Aa	1.46 \pm 0.03Ab
TP (%)	0-5	42.73 \pm 0.30Ab	42.30 \pm 1.50Ab	41.43 \pm 0.75Ab	49.85 \pm 1.66Aa
	5-10	39.38 \pm 0.74Bb	42.04 \pm 1.08Aab	38.64 \pm 0.52Bb	44.82 \pm 1.27Ba

462

463 **Table 2.** The results of GLM analysis for effects of crust types and the amount of water
 464 supply on the water infiltration time, infiltration depth and infiltration rate in the study. Note:
 465 type - bare sandy land, moss crust, litter crust for 2 years, litter crust for 4 years; water supply
 466 - 500 mL, 1000 mL, 1500 mL, 2000 mL and 2500 mL.

	Time		Depth		Rate	
	t	p	t	p	t	p
Type	-6.909	< 0.001	6.697	< 0.001	3.502	< 0.001
Water	20.496	< 0.001	24.918	< 0.001	-4.055	< 0.001

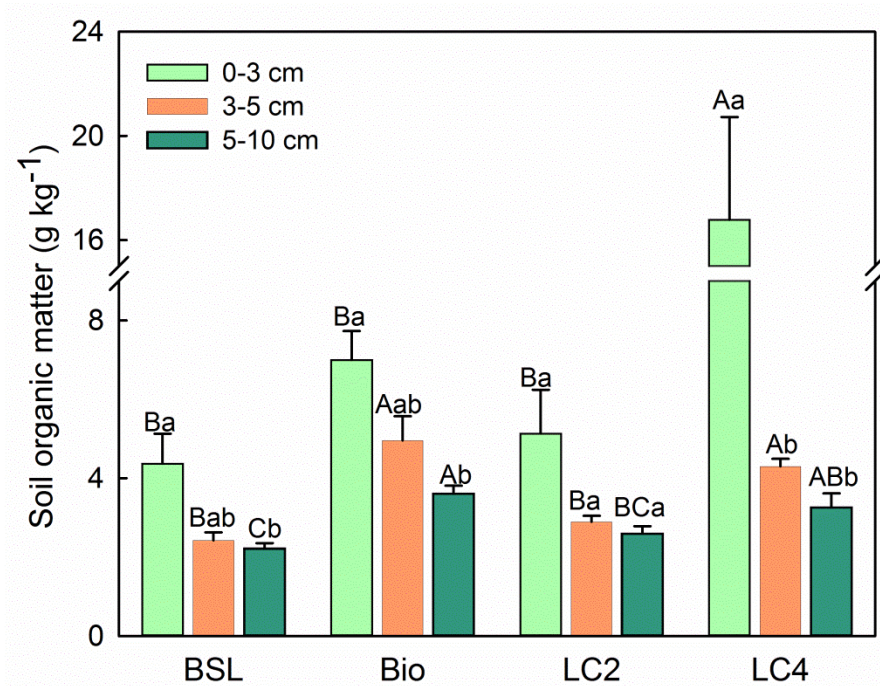
467



468

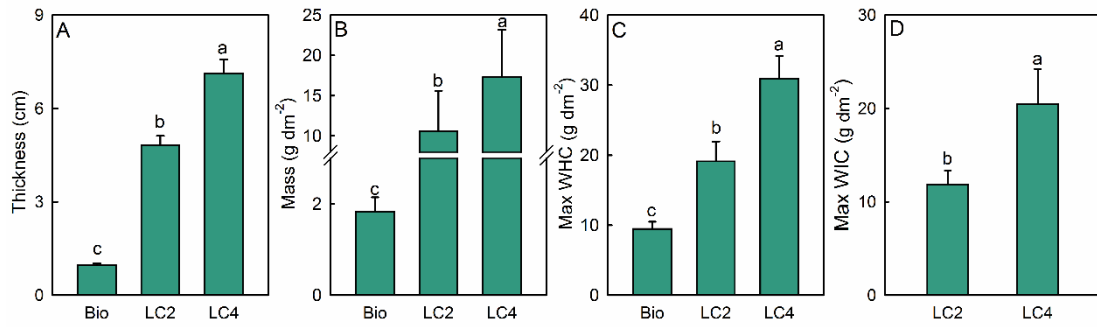
469 **Figure 1.** The vertical soil profiles in bare sandy land and different crusts in the southern Mu

470 Us Desert.



471 **Figure 2.** Soil organic matter content (0-10 cm soil depth) in bare sandy land and different
 472 crust soils ($M \pm SE$). Note: BSL, bare sandy land, Bio, moss crust; LC2, litter crust for 2 years;
 473 LC4, litter crust for 4 years. Different uppercase letters indicate significant differences among
 474 the various crust soils in the same soil layer at the level of $p < 0.05$, different lowercase letters
 475 indicate significant differences among the different soil layers at the level of $p < 0.05$.

476



478

479 **Figure 3.** Thickness (A), mass (B), maximum water holding capacity (C) and maximum

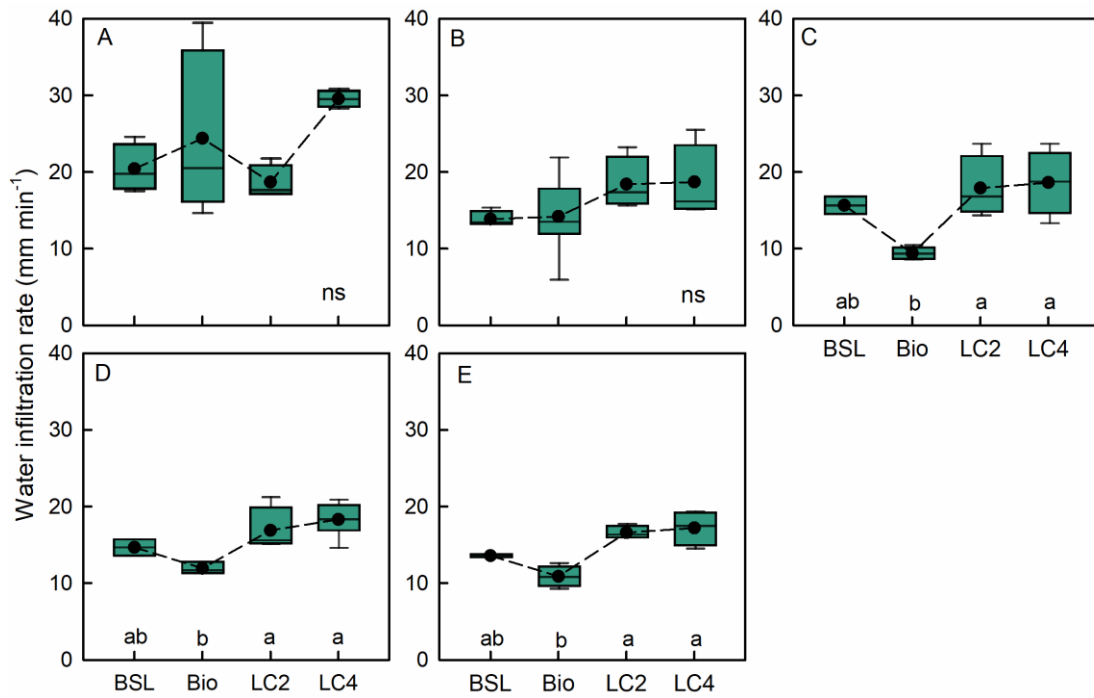
480 water holding rate (D) in the bare sandy land and different crust plots (M±SE). Note: BSL,

481 bare sandy land, Bio, moss crust; LC2, litter crust for 2 years; LC4, litter crust for 4 years.

482 Different lowercase letters indicate significant differences among the various crust plots at the

483 level of $p < 0.05$.

484



485

486 **Figure 4.** Water infiltration rates ($M \pm SE$) of different water volumes (A-500 mL, B-1000 mL,

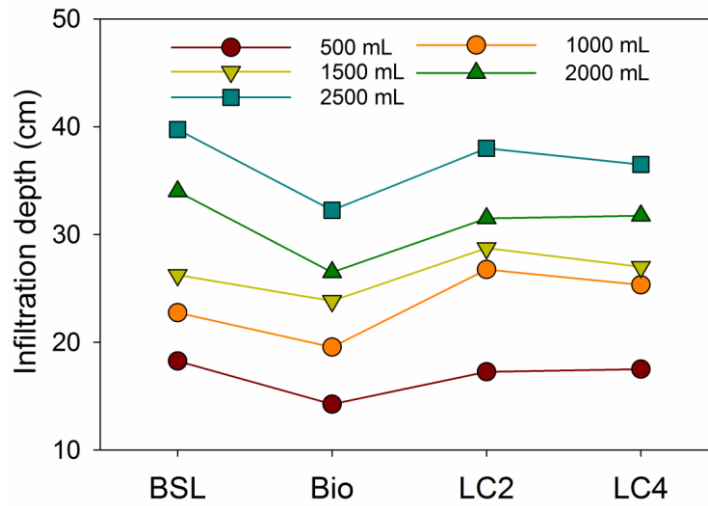
487 C-1500 mL, D-2000 mL, E-2500 mL) among bare sandy land and crust types. Note: ns, no

488 significant difference, BSL, bare sandy land, Bio, moss crust; LC2, litter crust for 2 years;

489 LC4, litter crust for 4 years. Dashed lines represent the average values. Different lowercase

490 letters indicate significant differences among the various crust plots at the level of $p < 0.05$.

491



492

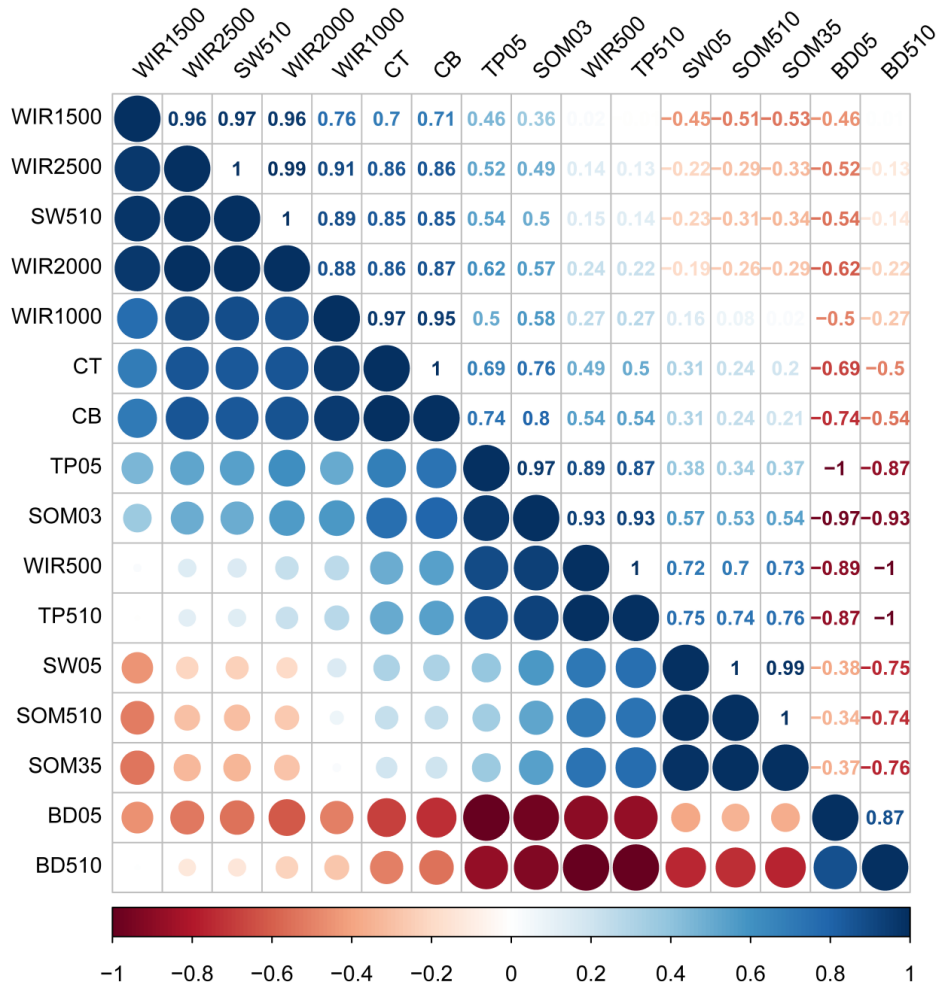
493 **Figure 5.** Water infiltration depth of different water supplies among bare sandy land and crust

494 types. Note: BSL, bare sandy land, Bio, moss crust; LC2, litter crust for 2 years; LC4, litter

495 crust for 4 years; 500 mL, 1000 mL, 1500 mL, 2000 mL, and 2500 mL represent the

496 quantities of water supplied at different treatments.

497



498

499 **Figure 6.** Correlation matrix among the different soil and crust properties and water
500 infiltration rates. Note: blue indicates positive correlations and red indicates negative
501 correlations; the numerical values represent correlation coefficients. WIR500, WIR1000,
502 WIR1500, WIR2000, WIR2500 represent water infiltration rates (mm min^{-1}) of the 500 mL,
503 1000 mL, 1500 mL, 2000 mL, 2500 mL water supplies, respectively; CT and CB represent
504 crust thickness (cm) and crust mass (g dm^{-2}); SW05 and SW510 represent soil water content
505 in the 0-5 cm and 5-10 cm soil layers (%); SOM03, SOM35 and SOM510 represent soil
506 organic matter content (g kg^{-1}) in the 0-3 cm, 3-5 cm, and 5-10 cm soil layer, respectively;
507 BD05 and BD510 represent soil bulk density (g cm^{-3}) in the 0-5 cm and 5-10 cm soil layers;
508 TP05 and TP510 represent soil total porosity (%) in the 0-5 cm and 5-10 cm soil layers.