

### **The details of response to reviewers and Editor's comments:**

Dear Editor:

On behalf of my co-author, we thank you very much for accepting and publishing our manuscript. We are glad to respond to all the comments, which would help to improve the message and the quality of our manuscript. The following is point-to-point response to the comments. Thank the editors and reviewers for your recognition of our research and for your efforts for our manuscripts.

### **Response to Editor's comments:**

Comments to the Author:

As can be seen both reviewers are satisfied with the revised version. Only reviewer #2 has some minor comments which should be included. I also agree with the suggestion of the title change. Although the authors explain now in the manuscript what they mean with "ecohydrological effectiveness", this is not a common term. So for the title a more descriptive term would help the reader to grasp the content of the paper right at the start.

Response: Thanks for your suggestions, we have revised the title of the paper into "The influence of litter crusts on soil properties and hydrological processes in a sandy ecosystem".

### **Response to Referee #2**

Please consider the following editorial suggestions to improve the quality of the manuscript before final publication:

I do not think the title truly reflects the objective of the study. I would suggest changing the title of the paper to "The influence of litter crusts on soil properties and hydrological processes in a sandy ecosystem"

Response: Thanks for your suggestions, we have revised the title of the paper into "The influence of litter crusts on soil properties and hydrological processes in a sandy ecosystem".

Line 27: I would suggest changing the wording to "Desertification represents one of the most serious global environmental issues as it leads to the degradation of ecosystem functioning and services and impacts the livelihoods of more than 25% of the world's population (Geist & Lambin, 2004; Kefi et al., 2007; Huenneke et al., 2010).

Response: Thanks for your suggestions, we have revised the sentence following your comments. The first two sentences of the introduction have been replaced by "Desertification represents one of the most serious global environmental issues as it leads to the degradation of ecosystem functioning and services and impacts the livelihoods of more than 25% of the world's population (Geist & Lambin, 2004; Kefi et al., 2007; Huenneke et al., 2010)".

Line 36 – 37: I would suggest deleting "Therefore, desertification is "one of the most..."

Response: Thanks for your suggestions, we have deleted the sentence "Therefore, desertification is "one of the most threatening environmental problems in current society"."

Line 38: I would suggest removing “measurements” and replace with “measures”.

Response: Thanks for your suggestions, we have revised the sentence into “many measures have been implemented to prevent and combat desertification”.

Line 40: As most readers will not know what a straw checkerboard is, I would suggest providing a description / definition of this here.

Response: Thanks for your suggestions, we have added a description of straw checkerboard as “wheat straw, reed and other materials are used in the desert to form a square wall”.

Line 55: Replace “resulting” with “results”

Response: Thanks for your suggestions, we have revised the sentence into “... which form from the accumulation of litter that resultings from the common influences of wind and water”.

Line 57-59: Please consider rewording the definition of litter crust and not giving it as a direct quote.

Response: Thanks for your suggestions, we have revised the sentence into “litter crust was defined as the crust formed by all dead organic material consisting of both decomposed and undecomposed plant parts which are not integrated into the mineral soils”.

Line 61: Please consider replacing “hot” with “important”

Response: Thanks for your suggestions, we have revised the sentence into “vegetation and litter crust are important issues for hydrologists (Dunkerley, 2015)”.

Line 62: Considering replacing “..which is filled..” with “..with this storage being filled by rainfall and emptied by evaporation and drainage”

Response: Thanks for your suggestions, we have revised the sentence into “... with this storage being filled by rainfall and emptied by evaporation and drainage”.

Line 90: Should be “to determine which are the dominant control factors” not “to explore which the dominant control factors”

Response: Thanks for your suggestions, we have revised the sentence into “to determine which are the dominant control factors of litter crust that affect water infiltration processes in sandy lands”.

Line 97/98: This should be “erosion region of China” not “erosion region China”

Response: Thanks for your suggestions, we have revised as suggested.

Line 105: should be “...of the soil being 98.6, 1.3, and < 1.0 percent, respectively” not “...of the soil were 98.6, 1.3, and < 1.0, respectively”

Response: Thanks for your suggestions, we have changed “were” into being in the sentence.

Line 111: remove comma between “sites” and “Populus”

Response: Thanks for your suggestions, we have deleted the comma between “sites” and “*Populus*”.

Line 148: should be “...by the litter...”

Response: Thanks for your suggestions, we have added “the” in the sentence.

Line 286: Gerrits not Gerrit's et al. 2010

Response: Thanks for your suggestions, we have revised as suggested.

Line 290 and 295: The reference is Dunkerley not Dun Kerley. See line 312

Response: Thanks for your suggestions, we have revised “Dun Kerley” into “Dunkerley” throughout the manuscript.

1 The influence of litter crusts on soil properties and hydrological  
2 processes in a sandy ecosystem~~Ecohydrological effectiveness of litter~~  
3 ~~crusts in sandy ecosystem~~

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13 **Abstract**

14 Litter crusts are integral components of the water budget in terrestrial ecosystems, especially  
15 in arid areas. This innovative study is designed to quantify the ecohydrological effectiveness  
16 of litter crusts in desert ecosystems. We focus on the positive effects of litter crusts on soil  
17 water holding capacity and water interception capacity compared with biocrusts. Litter crusts  
18 significantly increased soil organic matter compared to biocrusts and bare lands, by 2.4 times  
19 and 3.8 times, respectively. Higher organic matter content resulted in increased soil porosity  
20 and decreased soil bulk density. Meanwhile, soil organic matter can help to maintain  
21 maximum infiltration rates. Litter crusts significantly increased the water infiltration rate  
22 under high water supply. Our results suggested that litter crusts significantly improve soil  
23 properties, thereby influencing hydrological processes. Litter crusts play an important role in  
24 improving hydrological effectiveness and provide a microhabitat conducive to vegetation  
25 restoration in dry sandy ecosystems.

26 **Keywords:** litter crusts; water-holding capacity; water infiltration; interface habitats; sand  
27 restoration

## 28 1. Introduction

29 Desertification ~~is represents~~ one of the most serious global environmental issues as it leads to  
30 the degradation of ecosystem functioning and services and impacts the livelihoods of more  
31 than 25% of the world's population (Geist & Lambin, 2004; Kefi et al., 2007; Huenneke et al.,  
32 2010).~~and threatening environmental problems to humans in many areas of the word, and it~~  
33 ~~leads to degradation of ecosystem functions and services (Huenneke et al., 2010).~~ Increasing  
34 ~~external pressures from human activities or climate change can cause desertification and~~  
35 ~~impact the livelihoods of more than 25% of the world's population (Kéfi et al., 2007).~~ The  
36 occurrence of desertification, high air temperature, low soil humidity, and abundant solar  
37 radiation results in high potential evapotranspiration (Reynolds et al., 2007). Moreover, soil  
38 nutrients are eroded by drastic water loss, and soil fertility decreases with sand transport and  
39 dune burial, consequently impeding vegetation growth. It is a challenge for ecologists to  
40 stabilize mobile dunes and to transform them into productive ecosystems.~~Therefore,~~  
41 ~~desertification is "one of the most threatening environmental problems in current society"~~  
42 ~~(Geist & Lambin, 2004).~~

43 With the increasing harm of desertification, many measure~~s~~ments have been  
44 implemented to prevent and combat desertification, such as afforestation, establishment of  
45 sand barriers, or spraying reinforcing agents. One widely popular restoration technique  
46 establishes straw checkerboards (wheat straw, reed and other materials are used in the desert  
47 to form a square wall) on mobile sand dunes and eroded land. The straw checkerboards  
48 enhance dust entrapment on the surface of stabilized dunes, which facilitates topsoil  
49 development and makes it easier for biological soil crusts (biocrusts) to form (Li et al., 2006).

50 Biocrusts are soil surface communities composed of microscopic and macroscopic  
51 poikilohydric organisms, are globally widespread and are an important component of the soil  
52 community in many desert ecosystems (Grote et al., 2010; Gao et al., 2017). Biocrusts are  
53 highly specialized soil-surface plant-soil complex groups that are an important component of  
54 desert ecosystems, especially in arid and semiarid regions. Biocrusts provide important  
55 ecological functions including increasing soil aggregation and stability, preventing soil loss,  
56 increasing the retention of topsoil nutrients, and improving soil fertility (Chamizo et al.,  
57 2012).

58 Large area afforestation is one effective measure used in the prevention and control of  
59 desertification in arid and semi-arid regions. Deciduous trees have been widely used in most  
60 of the sandy-land afforestation efforts (Liu et al., 2018). In addition to biocrusts,  
61 afforestation also produces litter crusts, which form from the accumulation of litter that  
62 result~~ings~~ from the common influences of wind and water (Jia et al., 2018). Unlike the  
63 common litter layer, litter crust is a hard shell formed by mixing litter and sand under  
64 external forces such as rain or wind. In this study, litter crust was defined as the crust formed  
65 by “all dead organic material ~~made-consisting~~ of both decomposed and undecomposed plant  
66 parts which are not ~~incorporated-integrated~~ into the mineral soil~~s-beneath~~” (Acharya et al.,  
67 2016). That is, the litter crust formed by the mixing of litter organisms and soil. The  
68 interactions between precipitation, vegetation and litter crust are ~~not-important~~ issues for  
69 hydrologists (Dunkerley, 2015). Litter crusts have the capacity to store water on their surface,  
70 ~~with this storage being which is~~ filled by rainfall and emptied by evaporation and drainage  
71 (Guevaraescobar et al., 2007; Gerrits et al., 2010; Li et al., 2013). Previous studies have

72 explored the interception of rainfall, the water-holding capacity (WHC) of litter materials,  
73 and the degree of retention within the litter (Makkonen et al., 2013; Dunkerley, 2015;  
74 Acharya et al., 2016). The plant-litter input from above- and below-ground comprises the  
75 dominant source of energy and matter for a very diverse soil organism community that are  
76 linked by extremely complex interactions (Hättenschwiler et al., 2005). On one hand, litter  
77 crusts can improve microhabitat conditions (Chomel et al., 2016) and form soil organic  
78 matter (SOM) through biochemical and physical pathways (Makkonen et al., 2013; Cotrufo  
79 et al., 2015). On the other hand, litter crusts affect hydrological processes by serving as a  
80 barrier that prevents precipitation from directly reaching the soil and controls soil  
81 evaporation (Bulcock and Jewitt, 2012; Van Stan et al., 2017), attenuating both directions of  
82 ground radiation flux, and by increasing resistance to water flux from the ground  
83 (Juancamilo et al., 2010). The combined effects of these mechanisms produced by litter  
84 crusts provide strong controls on water transport. Consequently, interception by litter crusts  
85 is a key component of the water budget in some vegetated ecosystems (Gerrits et al., 2007;  
86 Bulcock and Jewitt, 2012; Acharya et al., 2016).

87         The “Grain for Green Project” was implemented to control soil erosion and improve  
88 the ecological environment across a large portion of China (Chen et al., 2015). This project  
89 increased vegetation coverage on the Loess Plateau from 31.6% in 1999 to 59.6% in 2013  
90 (Chen et al., 2015). Consequently, the environmental conditions have improved and are  
91 suitable for the development and growth of biocrusts and litter crusts in the arid areas. Litter  
92 crusts and biocrusts were important contributors for the improvement of the surface  
93 microhabitat conditions. Although the importance of biocrusts in water processes has been

94 recognized, the effect of litter crusts on sandy lands has received little attention. Therefore,  
95 the objectives of the study are (1) to determine the role of litter crust for soil properties (soil  
96 water content, bulk density, soil total porosity, soil organic carbon) and hydrological  
97 processes (WHC, water interception capacity (WIC), water infiltration rate (WIR), and  
98 infiltration depth), and (2) to ~~explore~~ determine which are the dominant control factors of  
99 litter crust that affect water infiltration processes in sandy lands. The results will clarify the  
100 impact exerted by crusts on hydrological process, which protect the soil against erosion and  
101 improve soil microhabitats in sandy lands.

## 102 **2. Materials and methods**

### 103 *2.1. Study sites*

104 The experimental site was located in the southern Mu Us Desert (110°21'–110°23' E,  
105 38°46'–38°51' N, a.s.l. 1080-1270 m), which is an intersection water-wind erosion region of  
106 China. It has a continental semi-arid monsoon climate, with a mean annual temperature of  
107 8.4 °C. The minimum monthly temperature is -9.7 °C in January and the maximum monthly  
108 temperature is 23.7 °C in July and the mean annual precipitation is 437 mm yr<sup>-1</sup> (minimum of  
109 109 mm in winter and maximum of 891 mm in summer), with approximately 77% of the  
110 rainfall occurring between June and September. A mean of 16.2 days has wind speed  
111 exceeding Beaufort force 8, and they are predominantly during the spring. The soils are  
112 aeolian sandy soils, which are prone to wind-water erosion with sand, silt, and clay contents  
113 of the soil ~~were-being~~ 98.6, 1.3, and < 1.0, respectively (Wu et al., 2016). The areas with  
114 sandy loess soil, loose structure, and poor erosion resistance were given priority. The Chinese  
115 government implemented several projects to reduce soil erosion and to prevent the drifting of

116 sand as well as to improve the fragile ecosystem. Vegetation restoration has transformed the  
117 landscape from mobile sand dunes to shrubby dunes, which are composed of fixed and  
118 semifixed sand dunes. The dominant natural vegetation is psammophytic shrubs and grasses  
119 (e.g., *Artemisia ordosica*, *Salix cheilophila*, *Lespedeza davurica*). In many of the sand dune  
120 sites, *Populus simonii* was chosen for sand fixation.

## 121 2.2. Experimental design and soil sampling

122 This study was conducted in the wind-water erosion intersection region, and *Populus simonii*  
123 was chosen as the main species for wind speed reduction at the surface. The region has  
124 suffered wind-water erosion in consecutive years due to its unique geographical position,  
125 which has shaped its specific landscape characteristics. There is abundant plant litter gathered  
126 every year as a result of the interaction between wind transport and water erosion. Many litter  
127 layers were mixed with sand and eventually were fixed on the ground, this gradual process  
128 formed litter crusts. Soils covered by two types of crusts represented the most common crusts  
129 in this region. Biological soil crusts (biocrusts) were moss dominated, and litter crusts were  
130 dominated by *Populus simonii* leaves. The litter crusts were divided into two groups: a 2-year  
131 crust (covered by only litter, LC2) and 4-year crust (covered by litter and a semidecomposed  
132 layer, LC4). For each crust type (LC2, LC4 and biocrusts) as well as bare sandy land (BSL, as  
133 control, Fig. 1), six experimental plots (> 100 m<sup>2</sup>) were selected. Five duplicate sample sites  
134 were selected in each experimental plot for repeatability.

135 After a sample site was selected, the crust thickness was measured using a tape. In each  
136 sample site, the undisturbed crust layer was sampled using a cylindrical container with a 15  
137 cm diameter (with an area of 1.77 dm<sup>2</sup>). Moreover, biocrust mass was represented by moss



138 biomass per unit area ( $\text{g dm}^{-2}$ ). The soil on the mosses was removed by wet sieving, and the  
139 moss plants were used as the biocrust samples. Various types of crusts from each plot were  
140 collected to determine the maximum water interception capacity (Max WIC,  $\text{g dm}^{-2}$ ) and  
141 maximum water-holding (storage) capacity (Max WHC,  $\text{g dm}^{-2}$ ). Ten samples were collected  
142 for analysis in each sample site and all samples collated. Soil samples were collected using a  
143 soil drilling sample corer. The samples in the soil layers were collected at depth of 0-3, 3-5,  
144 and 5-10 cm. Three replicates were taken from each sample site, and the same layer samples  
145 were mixed into one sample for each plot. Bulk density (BD,  $\text{g cm}^{-3}$ ) was measured using a  
146 soil bulk sampler ( $100 \text{ cm}^3$ ) stainless steel cutting ring and soil total porosity (TP,%) was  
147 calculated by the  $(1-\text{BD} / \text{PD}) \times 100$ , where BD represents soil bulk density ( $\text{g cm}^{-3}$ ) and PD  
148 represents particle density ( $\text{g cm}^{-3}$ ), which was assumed to be  $2.65 \text{ g cm}^{-3}$ . The samples were  
149 weighed and then oven-dried to a constant weight at  $105 \text{ }^\circ\text{C}$  and then weighed to determine  
150 BD and soil water content (SWC, weight-%). The analyses in each sample site were repeated  
151 five times.

### 152 *2.3. Water interception and water holding capacity of litter crust*

153 Water interception was defined as the amount of rainfall temporarily stored in the litter after  
154 drainage ceased (Guevaraescobar et al. 2007; Acharya et al. 2016). In the laboratory, collected  
155 litter was air-dried ( $65 \text{ }^\circ\text{C}$  to constant weight) and weighed to obtain the dry weight. To  
156 measure the amount of water intercepted by the litter, a circular quadrat with a permeable  
157 mesh bottom (diameter of 15 cm) was used in such a way that the quadrat area was equal to  
158 that of the soil corer. The collected litter was then distributed uniformly over the entire  
159 quadrat. Simulated rainfall (rainfall intensity was  $20 \text{ mm h}^{-1}$ ) was applied to the quadrats for

160 30 minutes continuously and then allowed to rest for 10 minutes in order for the moisture to  
161 stabilized before weighing to determine the Max WIC ( $\text{g dm}^{-2}$ ).

162 To determine the Max WHC, all crust samples were submerged in water for 24 hours.  
163 The samples were retrieved from the water and allowed to air dry and drain for approximately  
164 30 minutes. Then, the samples were weighed to obtain the maximum weight. The Max WHC  
165 ( $\text{g dm}^{-2}$ ) was calculated as the difference between the maximum weight and the dry weight.  
166 The soil organic matter content (SOM,  $\text{g kg}^{-1}$ ) was determined by the dichromate oxidation  
167 method.

#### 168 2.4. *Quantitative infiltration design*

169 To investigate the influence of crusts on water infiltration, infiltration experiments using five  
170 different amounts of water were conducted in each plot. A cylinder with an inner diameter of  
171 15 cm and a height of 15 cm was used for single-ring infiltrometry. Single-ring infiltrometry  
172 has been extensively applied as a basic infiltration measurement tool to measure the soil  
173 infiltration process (Ries & Hirt, 2008). The infiltration device was driven carefully to a depth  
174 of 5 cm by means of a plastic collar and a rubber hammer. To prevent water leakage from the  
175 ring, the same soil materials were used to support the outside of the ring.

176 A paper board ( $5 \times 5$  cm) was placed in the ring above the crust and soil to prevent  
177 scouring when the water was added into the ring. Specific quantitative amounts of water (500  
178 mL, 1000 mL, 1500 mL, 2000 mL and 2500 mL in the study) were carefully poured on the  
179 paper board until, as quickly as possible, it was 3 cm deep (the depth of 500 mL of water in  
180 the ring is close to 3 cm); this process was timed using a stopwatch. During the infiltration  
181 process, water was added by hand to maintain the water level within the ring. The amount of

182 time required for water to infiltrate in the ring was recorded to determine the water infiltration  
183 rate. The infiltration measurement of each water quantity was repeated 3 times in each sample  
184 site. After the infiltration experiment, the ring was removed, and then, a vertical soil profile  
185 was quickly excavated and the infiltration depth (cm) measured directly using a tape.

186 Based on the water mass balance, the infiltration rate measured using the ring method was  
187 estimated from:

$$188 \quad i = \frac{W}{A \times T} \times 10$$

189 where  $i$  represents the infiltration rate ( $\text{mm min}^{-1}$ ),  $W$  is the amount of water supplied for  
190 infiltration (mL),  $A$  is the infiltration area ( $\text{cm}^2$ ),  $T$  is the infiltration time (min), and 10 is the  
191 conversion coefficient.

## 192 2.5. Statistical analyses

193 Two types of crusts (biocrust and litter crusts) were selected to determine the impact of crust  
194 components on hydrological process and five BSL plots were selected as controls. The  
195 normality of the data and its homoscedasticity were tested using the Kolmogorov-Smirnov  
196 and Levene's tests. In these comparisons, we conducted analysis of variance (ANOVA) on the  
197 data. Tukey's honestly test was used to analyse the differences in SWC, BD and TP in the  
198 different crust types at the different soil layers or within the same soil layer. Differences in the  
199 crust thickness, Max WHC, and WIR of the crust types were also tested using Tukey's  
200 honestly test. The difference in the Max WIC of LC2 and LC4 was detected using an  
201 independent  $t$  test. All differences were tested at the level of  $p < 0.05$ . Generalized linear  
202 model (GLM) analysis was used to explain the interactions between crust types and water  
203 supply in determining the water infiltration time, depth and rate. Correlation analysis was

204 performed to explore the relationships among the different soil properties and the infiltration  
205 rates under different water supply-scenarios. All of these statistical analyses were completed  
206 using R statistical software v 3.4.2 (R Development Core Team 2017).

### 207 **3. Results**

#### 208 *3.1. Influence of crusts on soil properties*

209 The contents of SOM were markedly higher in crust soils than in BSL (Fig. 2). The highest  
210 SOM content was in LC4 at the depth of 0-3 cm, and was 3.8 times greater than the content in  
211 BSL and 2.4 times greater than the content found in biocrust. Compared to the BSL, the SOM  
212 contents in the subsurface layers (3-10 cm) were 63.6-108.4%, 18.2-20.8% and 48.2-79.2%  
213 greater in the biocrust groups, LC2 and LC4, respectively. Within each type of crust, the SOM  
214 content clearly decreased with increasing soil depth. Over the 4-year period, the litter  
215 significantly reduced soil BD in both in surface soil and subsurface soil (Table 1). With the  
216 decrease of BD, soil TP was significantly higher in LC4 than in the BSL and in biocrust.

217 Soil properties did show differences between crust types (Table 1). Compared to the BSL,  
218 both biocrusts and litter crusts significantly increased SWC in surface soil (0-5 cm). However,  
219 SWC showed a decreasing trend in crusts and showed an increasing trend in the BSL with  
220 increasing soil depth. The SWC in the BSL was 33% higher in surface soil than in subsurface  
221 soil (5-10 cm), while the SWC in biocrusts and LC4 were 44% and 18% lower, respectively,  
222 in surface soil than in subsurface soil (5-10 cm).

#### 223 *3.2. Crusts improve hydrological effectiveness*

224 The crust thickness, crust mass and Max WHC were clearly higher in the litter crust than in  
225 the biocrust (Fig. 3). Moreover, LC4 had a mass 1.6 times higher than the mass of LC2 (Fig.

226 3B). The Max WHC values in LC4 and LC2 were 3.2 and 2.0 times that of biocrust (Fig. 3C),  
227 respectively. Meanwhile, the Max WIC in LC4 was 72.1% higher than in LC2 (Fig. 3D). An  
228 analysis of infiltration measurements showed that the effects of crust type and water supply  
229 on infiltration time, depth and rate were all significant (Table 2). While the water infiltration  
230 rate with a 500 mL water supply in various crust types was ranked LC4 > biocrust > BSL >  
231 LC2, the infiltration rates with 1000 mL, 1500 mL, 2000 mL and 2500 mL water supplies in  
232 different crust types, which were ranked LC4 > LC2 > BSL > biocrust; further the rates in  
233 litter crusts and biocrust were significantly different (Fig. 4). The water infiltration depth  
234 increased significantly with water supply, but the trend of water infiltration depths was BSL >  
235 LC2 > LC4 > biocrust among the different crust types (Fig. 5).

### 236 *3.3. Soil properties affect infiltration rates of different water supplies*

237 Infiltration rates of different water supplies were significantly correlated with soil and crust  
238 properties as shown by Pearson's correlation analysis (Fig. 6). Crust thickness and mass were  
239 significantly correlated with high water supply (> 1000 mL) infiltration rates. An infiltration  
240 rate with a 500 mL water supply was significantly positively correlated with TP in the 0-5 cm  
241 soil layer and SOM content in the 0-3 cm soil layer, and significantly negatively correlated  
242 with BD in the 0-5 cm and 5-10 cm soil layers. The infiltration rates of the 1000 mL, 1500  
243 mL, 2000 mL and 2500 mL water supplies were significantly correlated with the SWC in the  
244 5-10 cm soil layer.

## 245 **4. Discussion**

246 Biocrusts influence many soil properties that are also impacted by other major ecosystem  
247 processes in dry lands, such as nutrient cycling and hydrological processes (Gao et al., 2017).

248 Previous studies have separately reported an increase in water retention and SOM content due  
249 to the presence of biocrusts (Chamizo et al., 2016). To our knowledge, few previous studies  
250 have reported how soil properties change in the litter crusts or how litter crust influences the  
251 hydrological processes in sandy lands (Jia et al., 2018). We examined changes in soil  
252 properties and hydrological functions in contrasting biocrusts and litter crusts in a desert  
253 ecosystem. Our results will fill these gaps in knowledge and demonstrate that litter crusts  
254 significantly influence soil properties and hydrological processes in sandy lands.

#### 255 *4.1. Influence of litter crusts on soil properties*

256 As plant litter falls to the ground it forms an assembly developing a porous barrier that is  
257 structured by wind and water called litter crust. The litter crust modifies the bidirectional  
258 fluxes of liquid water and water vapor and affects water evaporation from the soil by  
259 insulating the soil surface from the atmosphere and by intercepting radiation (Dunkerley,  
260 2015; Van Stan et al., 2017). Litter crusts play an important role in changing soil bulk density  
261 and porosity, and they serve as a major source of soil organic matter in surface soils. The  
262 present study showed that litter crusts decreased the soil bulk density and increased soil  
263 porosity and SOM contents. Litter decomposition is an important ecosystem process that is  
264 critical to maintaining available nutrients. The SOM is formed through the partial  
265 decomposition and transformation of plant litter by soil organisms (Cotrufo et al., 2015).  
266 Fragments produced during litter decomposition can promptly associate with the topsoil layer  
267 while some brittle residues move to surface soils by water and wind transfer before forming  
268 coarse particulate organic matter in the soil. The addition of organic matter to the soil  
269 increases porosity and decreases bulk density. This study demonstrated that SOM is

270 significantly higher in LC4 than in LC2. The decomposition times of the two litter crusts are a  
271 powerful explanation for this result. Over time, the increasing quantity of litter input forms a  
272 new microclimatic and promotes SOM accumulation in surface soils (Liu et al., 2017). The  
273 Max WHC also contributes to the higher SOM in LC4. In general, the higher water content  
274 enhanced the decomposition rate in litter monocultures (Makkonen et al., 2013).

275 In our study, litter crusts and biocrust significantly increased surface soil moisture.  
276 However, the biocrust showed obvious desiccation in the subsurface soil layer not present in  
277 litter crusts. The higher moisture under biocrusts can be attributed to biocrust-anchoring  
278 structures that bind soil particles and form mats on the soil surface; these properties strongly  
279 increase soil surface water retention (Chamizo et al., 2012). In arid and semi-arid regions  
280 during low-intensity rainfall, dominant in our study area, rainfall is completely intercepted by  
281 biocrusts and cannot penetrate the crust to reach the subsurface soil. Moreover, biocrusts  
282 decrease subsurface soil water by consuming water during growth, which results in the  
283 desiccation of the subsurface soil layer. The change of soil properties (BD, porosity and SOM)  
284 caused by litter crust improved hydrological characteristics.

#### 285 *4.2. Effect of litter crusts on hydrological processes*

286 The litter crusts can develop a significant thickness depending on wind, water and other  
287 factors. Our study showed that litter crusts could reach 5 cm in 2-year-old and 9 cm litter  
288 crusts in 4-year-old *Populus simonii* forests. Our study also demonstrated that there are  
289 significant differences in the porosity of different aged litter crusts and that there are  
290 differences in the interstitial spaces of litter crusts. These variations are major contributors  
291 that can cause the observed differences in the WIC of litter crusts. The WIC of litter crusts is

292 an integral factor impacting litter infiltration and the development of surface runoff (Gerrits et  
293 al., 2010; Dunkerley, 2015). This is because litter interception of a certain amount of water  
294 can satisfy early stage infiltration and runoff water requirements (Gerrits et al., 2010). Litter  
295 crusts are continually broken down and decomposed by microbial activities and therefore, the  
296 frequency of movement and recombination of litter crusts and other organic components can  
297 also be considered to influence the porosity and hydrological characteristics of litter crusts  
298 (Dunkerley, 2015). In our study, Max WHC of litter crusts was 48.7 g dm<sup>-2</sup>. However,  
299 the maximum volume of litter crust was 1540 cm<sup>3</sup>, and only approximately 5% of the  
300 available void space in the litter was occupied by water. This result indicates that water is  
301 retained only in smaller void spaces within the litter crusts and not in large gaps, where  
302 gravity drainage is expected to dominate due to gravity and cohesive forces, which primarily  
303 control interception (Li et al., 2013; Dunkerley, 2015). The litter crust could store water  
304 equal to 154-200% of its dry weight, so a large proportion of this storage water is determined  
305 by the litter characteristics. In our study, the dominant litter crusts were formed by broadleaf  
306 litter (*Populus simonii* leaves), which played an important role in determining the water  
307 dynamics of the litter crusts (Sato et al., 2004). According to the findings of Li et al. (2013),  
308 the Max WHC showed a strong linear relationship with litter mass whether the litter was a  
309 monoculture or a mixture. The maximum mass in LC4 was 28.3 g dm<sup>-2</sup>, indicating the  
310 possibility of high water storage levels.

311 The high WIC of litter crusts and soil organic matter help to maintain maximum  
312 infiltration rates, allowing penetration of water into the soil profile, thereby slowing soil  
313 desiccation caused by evaporation (Sayer, 2005). The litter and SOM can increase soil



314 porosity and aeration indirectly, thus increasing the WIR. Our results show that the SOM  
315 content is positively correlated with porosity and negatively correlated with BD. Meanwhile,  
316 compared to BSL, the litter crusts increased the WIR with water supplies >1000 mL. The low  
317 water supply (500 and 1000 mL) was similar to low-intensity rainfall, and soil or litter crusts  
318 quickly absorbed water. This observation is believed to be related to the amount of available  
319 water and the empty storage spaces in soil or litter crusts that have not yet reached their full  
320 water retention capacities (Dunkerley, 2015), as a result, there were no significant differences  
321 in the WIRs between different crust types. When the affected soil layer was saturated and  
322 water was transported to deeper soil layers, the WIR could be considered a soil characteristic  
323 that is dependent on the initial soil water content (Thompson et al., 2010). Therefore, the TP  
324 and SOM contents in the surface soil layer significantly influenced the WIR with low water  
325 supplies, and BD and SWC significantly influenced the WIR with high water supply. The  
326 increased WHC and WIC in litter crusts and surface soil layers are the main reason the WIR  
327 in the litter crusts were slightly lower than in BSL. In addition, abundant SOM results in a soil  
328 structure that is uncompacted, which can lead to the partitioning of water into lateral flows in  
329 litter crusts.

330 More diverse litter crusts can reasonably be assumed to be structurally richer than  
331 monospecific litter crusts (Hättenschwiler et al., 2005). Different litter sizes, litter shapes and  
332 litter colours all contribute to distinct geometric organization, WIC, WHC and  
333 radiative-energy balance in a species-rich litter layer (Sato et al., 2004). In our study, a  
334 monoculture litter was researched to analyse the impacts of litter crusts on soil properties and  
335 hydrological functions. In the future, the effects of litter crusts mixed with different species

336 not only on litter structure but also on the movement of water within the litter crusts should be  
337 considered. Moreover, litter crusts affected vegetation properties, such as seed germination,  
338 seedling emergence, establishment, and survival (Jia et al., 2018), and this should receive  
339 more attention to improve the vegetation in desert ecosystems.

## 340 **5. Conclusions**

341 Litter crusts significantly influenced soil properties and hydrological functions. The presence  
342 of litter crusts plays a critical role in soil fertility and hydrological functions in sandy lands.  
343 Litter crusts increased the soil water content in both the surface (0-5 cm) and subsurface (5-10  
344 cm) soils, but biocrusts increased the soil water content in the surface soil and decreased the  
345 content in the subsurface soil. Litter crusts significantly increased soil organic matter by 2.4  
346 times and 3.8 times the content in biocrusts and bare sandy lands, respectively. Higher organic  
347 matter content resulted in increased soil porosity and decreased soil bulk density. Meanwhile,  
348 soil organic matter can help to maintain maximum infiltration rates. Litter crusts significantly  
349 increased the water infiltration rates with high water supplies (> 1000 mL). With low water  
350 supplies, the water infiltration rate was mainly determined by soil organic matter and soil  
351 porosity. The water infiltration was mainly determined by soil water content and crust  
352 properties when water supplies were high. Our results suggested that litter crusts significantly  
353 improved the soil properties, thereby influencing the hydrological processes. A number of  
354 national ecological programs have improved vegetation recovery and litter crust development  
355 extensively in China. The results indicate that litter crusts are instrumental in many  
356 hydrological processes because of their ability to increase organic matter and water  
357 infiltration. Therefore, it is necessary to consider the hydrological effectiveness of litter crusts.

358 In the future, the effects of litter crusts mixed with different species not only on litter structure  
359 but also on the movement of water within the litter crusts should be considered. Moreover, the  
360 litter crusts effected vegetation properties, such as seed germination, seedling emergence,  
361 establishment, and survival, and these factors should receive more attention to improve the  
362 vegetation in desert ecosystems.

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464

465 **Table 1.** Soil water content and bulk density (Mean  $\pm$  SE) at the 0-10 cm soil layer depth with  
 466 different crust types. SWC, soil water content; BD, bulk density; TP, soil total porosity; BSL,  
 467 bare sandy land; Bio, moss crust; LC2, litter crust for 2 years; LC4, litter crust for 4 years.  
 468 Different lowercase letters indicate significant differences among the various crust soils at the  
 469 level of  $p < 0.05$ , and different uppercase letters indicate significant differences among  
 470 different depth at the level of  $p < 0.05$ .

	Depth (cm)	BSL	Bio	LC2	LC4
SWC (%)	0-5	3.86 $\pm$ 0.22Bb	8.02 $\pm$ 1.42Aa	5.23 $\pm$ 0.28Aab	7.22 $\pm$ 0.60Aa
	5-10	5.13 $\pm$ 0.41Aa	4.49 $\pm$ 0.36Ba	5.74 $\pm$ 0.44Aa	5.92 $\pm$ 0.39Aa
BD (g cm <sup>-3</sup> )	0-5	1.52 $\pm$ 0.01Ba	1.53 $\pm$ 0.02Ba	1.55 $\pm$ 0.02Ba	1.33 $\pm$ 0.04Bb
	5-10	1.61 $\pm$ 0.02Aa	1.54 $\pm$ 0.03Aab	1.63 $\pm$ 0.01Aa	1.46 $\pm$ 0.03Ab
TP (%)	0-5	42.73 $\pm$ 0.30Ab	42.30 $\pm$ 1.50Ab	41.43 $\pm$ 0.75Ab	49.85 $\pm$ 1.66Aa
	5-10	39.38 $\pm$ 0.74Bb	42.04 $\pm$ 1.08Aab	38.64 $\pm$ 0.52Bb	44.82 $\pm$ 1.27Ba

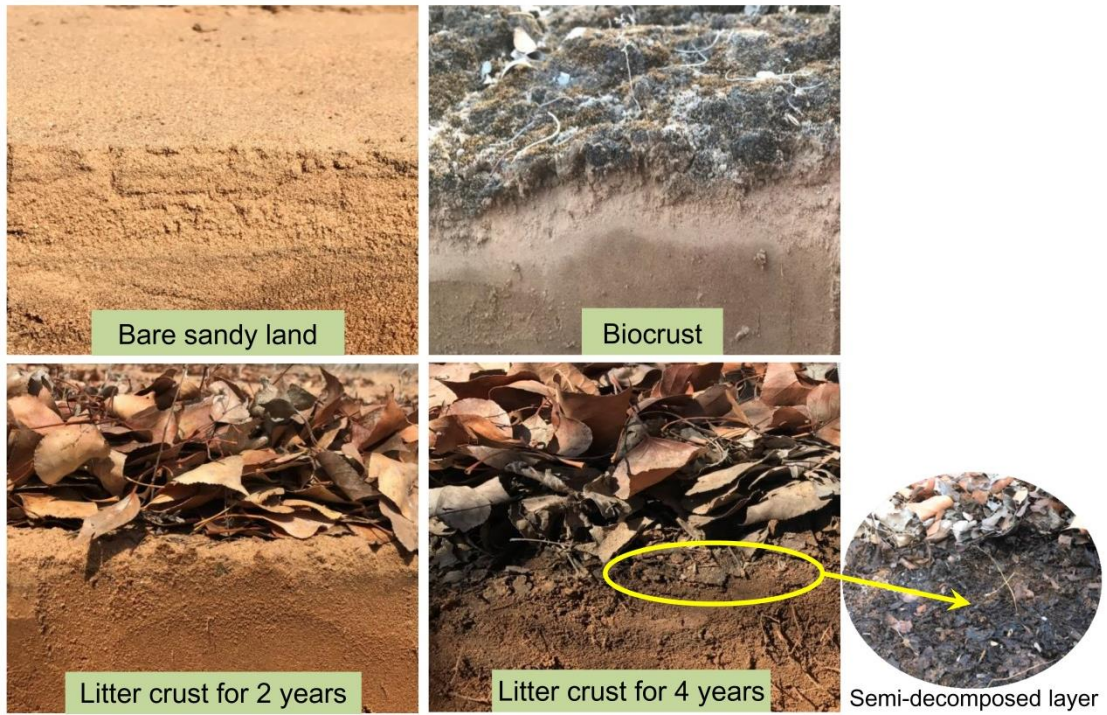
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472 **Table 2.** The results of GLM analysis for effects of crust types and the amount of water  
 473 supply on the water infiltration time, infiltration depth and infiltration rate in the study. Note:  
 474 type - bare sandy land, moss crust, litter crust for 2 years, litter crust for 4 years; water supply  
 475 - 500 mL, 1000 mL, 1500 mL, 2000 mL and 2500 mL.

	Time		Depth		Rate	
	t	p	t	p	t	p
Type	-6.909	< 0.001	6.697	< 0.001	3.502	< 0.001
Water	20.496	< 0.001	24.918	< 0.001	-4.055	< 0.001

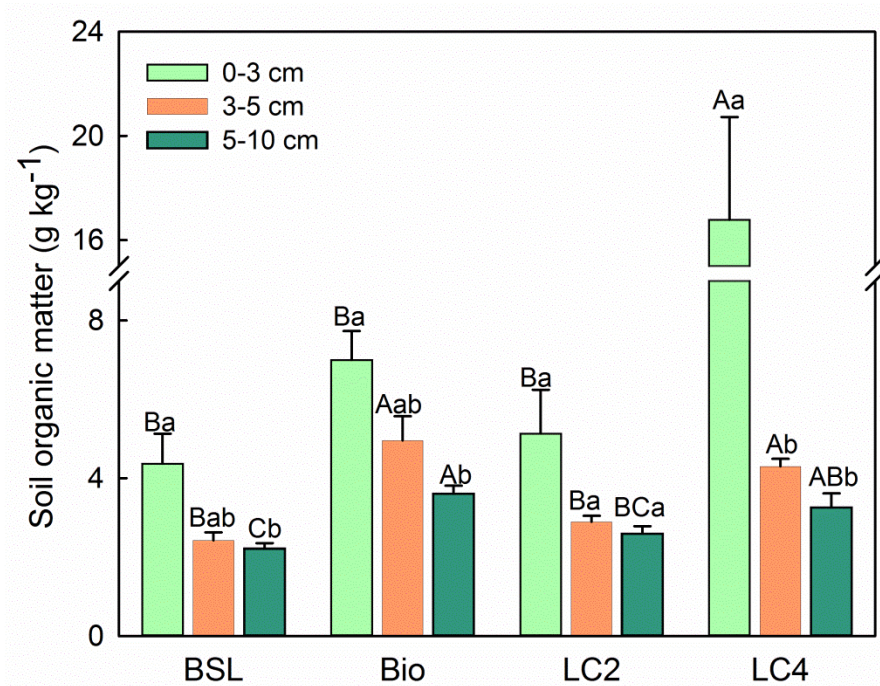
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477

478 **Figure 1.** The vertical soil profiles in bare sandy land and different crusts in the southern Mu

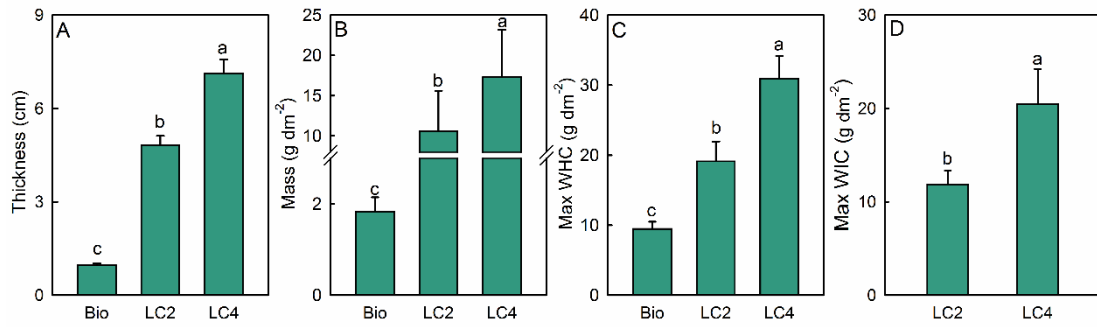
479 Us Desert.



480 **Figure 2.** Soil organic matter content (0-10 cm soil depth) in bare sandy land and different  
 481 crust soils ( $M \pm SE$ ). Note: BSL, bare sandy land, Bio, moss crust; LC2, litter crust for 2 years;  
 482 LC4, litter crust for 4 years. Different uppercase letters indicate significant differences among  
 483 the various crust soils in the same soil layer at the level of  $p < 0.05$ , different lowercase letters  
 484 indicate significant differences among the different soil layers at the level of  $p < 0.05$ .

485

486



487

488 **Figure 3.** Thickness (A), mass (B), maximum water holding capacity (C) and maximum

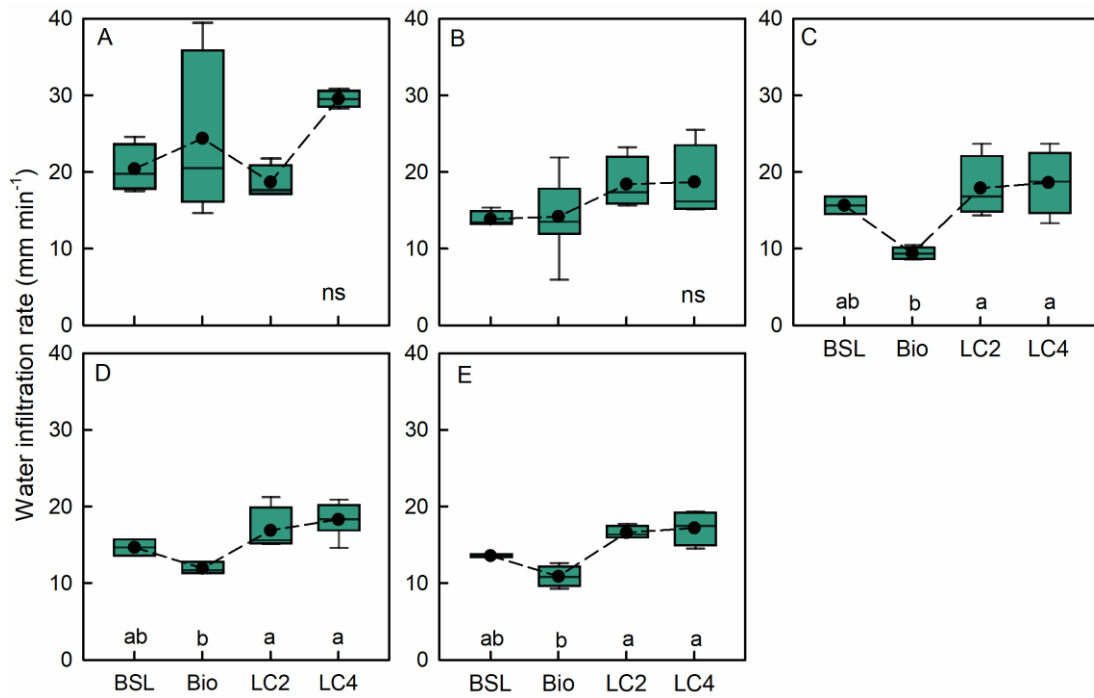
489 water holding rate (D) in the bare sandy land and different crust plots (M±SE). Note: BSL,

490 bare sandy land, Bio, moss crust; LC2, litter crust for 2 years; LC4, litter crust for 4 years.

491 Different lowercase letters indicate significant differences among the various crust plots at the

492 level of  $p < 0.05$ .

493



494

495 **Figure 4.** Water infiltration rates ( $M \pm SE$ ) of different water volumes (A-500 mL, B-1000 mL,

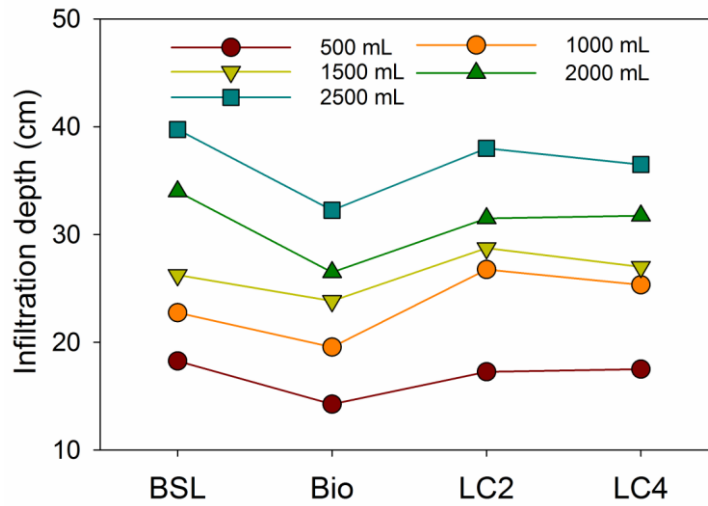
496 C-1500 mL, D-2000 mL, E-2500 mL) among bare sandy land and crust types. Note: ns, no

497 significant difference, BSL, bare sandy land, Bio, moss crust; LC2, litter crust for 2 years;

498 LC4, litter crust for 4 years. Dashed lines represent the average values. Different lowercase

499 letters indicate significant differences among the various crust plots at the level of  $p < 0.05$ .

500



501

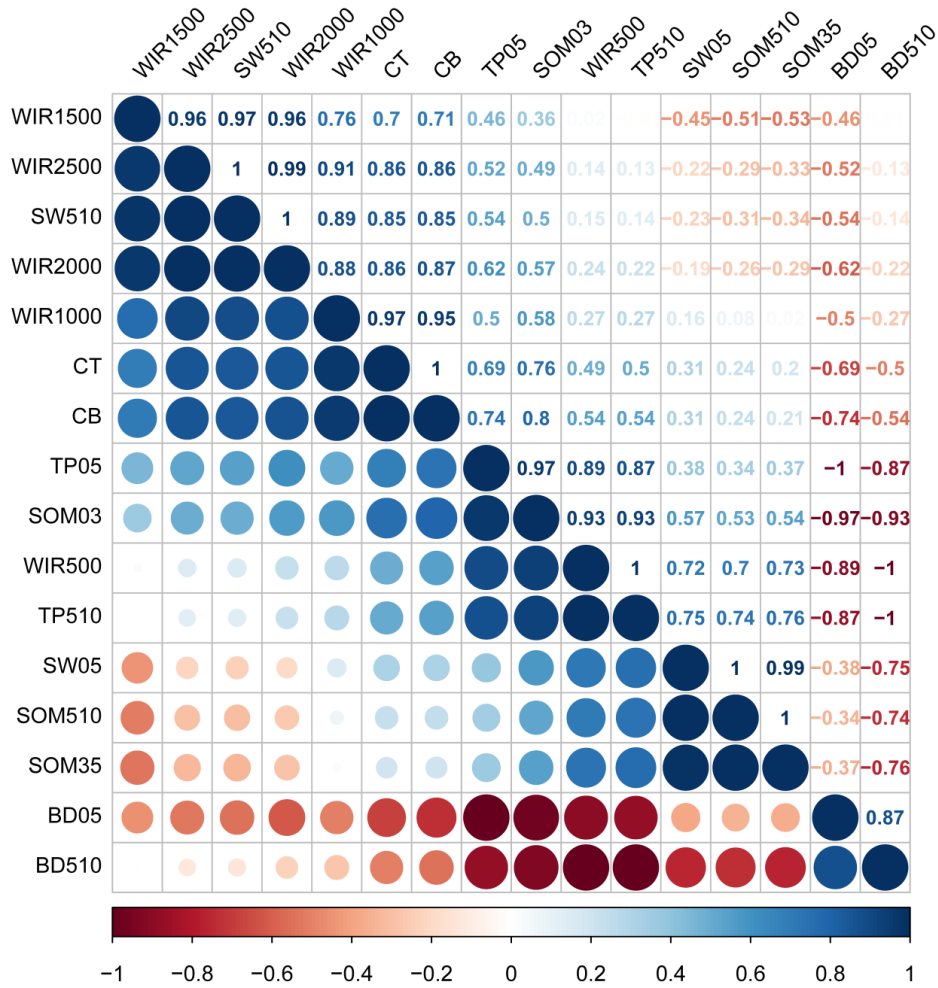
502 **Figure 5.** Water infiltration depth of different water supplies among bare sandy land and crust

503 types. Note: BSL, bare sandy land, Bio, moss crust; LC2, litter crust for 2 years; LC4, litter

504 crust for 4 years; 500 mL, 1000 mL, 1500 mL, 2000 mL, and 2500 mL represent the

505 quantities of water supplied at different treatments.

506



507

508 **Figure 6.** Correlation matrix among the different soil and crust properties and water  
 509 infiltration rates. Note: blue indicates positive correlations and red indicates negative  
 510 correlations; the numerical values represent correlation coefficients. WIR500, WIR1000,  
 511 WIR1500, WIR2000, WIR2500 represent water infiltration rates ( $\text{mm min}^{-1}$ ) of the 500 mL,  
 512 1000 mL, 1500 mL, 2000 mL, 2500 mL water supplies, respectively; CT and CB represent  
 513 crust thickness (cm) and crust mass ( $\text{g dm}^{-2}$ ); SW05 and SW510 represent soil water content  
 514 in the 0-5 cm and 5-10 cm soil layers (%); SOM03, SOM35 and SOM510 represent soil  
 515 organic matter content ( $\text{g kg}^{-1}$ ) in the 0-3 cm, 3-5 cm, and 5-10 cm soil layer, respectively;  
 516 BD05 and BD510 represent soil bulk density ( $\text{g cm}^{-3}$ ) in the 0-5 cm and 5-10 cm soil layers;  
 517 TP05 and TP510 represent soil total porosity (%) in the 0-5 cm and 5-10 cm soil layers.