

Combined Impacts of ENSO and MJO on the 2015 Growing Season Drought on the Canadian Prairies

Zhenhua Li^{1,2}, Yanping Li¹, Barrie Bonsal³, Alan H. Manson², Lucia Scaff¹

¹Global Institute for Water Security, University of Saskatchewan, Saskatoon, Saskatchewan, Canada S7N3H5

²Institute of Space and Atmospheric Studies, University of Saskatchewan, Saskatoon, Saskatchewan, Canada

³National Hydrology Research Center, Environment and Climate Change Canada, Saskatoon, SK, Canada

Correspondence to: Dr. Yanping Li (yanping.li@usask.ca); Dr. Zhenhua Li (zhenhua.li@usask.ca)

Abstract

Warm-season precipitation on the Canadian Prairies plays a crucial role in agricultural production. This research investigates how the early summer 2015 drought across the Canadian Prairies is related to the tropical Pacific forcing. The significant deficit of precipitation in May and June 2015 coincided with a warm phase of El Nino-Southern Oscillation (ENSO) and a negative phase of Madden-Julian Oscillation (MJO)-4 index, which favour a positive geopotential height anomaly in western Canada. Our further investigation during the instrumental record (1979-2016) shows that warm-season precipitation in the Canadian Prairies and the corresponding atmospheric circulation anomalies over western Canada teleconnected with the lower boundary conditions in the tropical western Pacific. Our results indicate that MJO can play a crucial role in determining the summer precipitation anomaly in the western Canadian Prairies when the equatorial central Pacific is warmer than normal ($NINO4 > 0$) and MJO is more active. This teleconnection is due to the propagation of a stationary Rossby wave that is generated in the MJO-4 index region. When the tropical convection around MJO-4 index region (western tropical Pacific, centred over $140^{\circ}E$) is more active than normal ($NINO4 > 0$), Rossby wave trains originate from the western Pacific with wavenumbers determined by the background mean wind and meridional absolute vorticity gradient. Under warm NINO4 conditions waves are generated with smaller wavenumbers compared to cold NINO4 conditions. These waves under warm NINO4 can propagate into the midlatitudes over North America causing a persistent anomalous ridge in the upper level over western Canada, which favours dry conditions over the region.

24 **1 Introduction**

25 The Canadian Prairies depend on summer precipitation especially during the early to mid-
26 growing season (May through August) when the majority of annual precipitation normally occurs (e.g.,
27 Bonsal *et al.* 1993). High natural variability in growing season precipitation causes periodic occurrences
28 of extreme precipitation (Li et al. 2017; Liu et al. 2016) and droughts that are often associated with
29 reduced agriculture yields, low streamflow, and increased occurrence of forest fires (Wheaton et al.
30 2005, Bonsal and Regier 2007). Drought events with great environmental and economic impacts on the
31 Canadian Prairies have occurred in 1961, 1988, 2001-2002, and as recent as 2015 (Dey 1982, Liu *et al.*
32 2004, Bonsal *et al.* 1999, Wheaton *et al.* 2005, Shabbar *et al.* 2011, Bonsal *et al.* 2013, Szeto *et al.*
33 2016). The sub-seasonal forecast of precipitation for the growing season is crucial for the agriculture,
34 water resource management, and the economy of the region. Therefore, an investigation into the causes
35 of inter-annual variability in the growing season precipitation of the Canadian Prairie is needed.

36 Low precipitation and extended dry periods on the Canadian Prairies are often associated with an
37 upper-level ridge and a persistent high pressure centred over the region (Dey 1982, Liu *et al.* 2004).
38 These prolonged atmospheric anomalies often concurred with abnormal boundary layer conditions such
39 as a large-scale sea surface temperature (SST) anomalies in the Pacific Ocean (Shabbar and Skinner
40 2004). Large-scale oscillation in the SST anomalies in the Pacific Ocean, namely El Nino, and the
41 Pacific Decadal Oscillation (PDO), can affect the hydroclimatic pattern in summer over North America,
42 although the strongest impacts of these boundary conditions occur during the boreal winter. Inter-annual
43 variability such as El Nino-Southern Oscillation (ENSO) is linked with extended droughts in the Prairies
44 (Bonsal *et al.* 1999, Shabbar and Skinner 2004). Interdecadal oscillations such as the PDO, and the
45 Atlantic Multi-decadal Oscillation (AMO) also affect the seasonal temperature and precipitation in the
46 Canadian Prairies (Shabbar *et al.* 2011).

47 ENSO's relationship with the Canadian Prairies' precipitation has been studied extensively.
48 Previous investigations (e.g., Shabbar *et al.* (2011)) have found that El Nino events are associated with a
49 summer moisture deficit in western Canada while La Nina events cause an abundance of moisture in far
50 western Canada (British Columbia and Yukon). However, they also noted that although tropical SST
51 variability accounted for some aspects of the large-scale circulation anomalies that influence the
52 Canadian Prairies meteorological drought, a consistent and clear-cut relationship was not found. The
53 warm phase of ENSO often favours drought in this region, especially during the growing season after
54 the mature phase of El Nino (Bonsal and Lawford 1999, Shabbar and Skinner 2004). The positive
55 North Pacific Mode (NPM, Hartmann *et al.* 2015) like North Pacific SST anomaly pattern often follows
56 a matured El Nino, and the accompanying atmospheric ridging leads to extended dry spells over the
57 Prairies during the growing season (Bonsal and Lawford 1999). Furthermore, in association with the
58 recent North Pacific SST anomaly from 2013 to 2014, researchers have attributed the precipitation
59 deficit in California during 2013 to the anomalous upper-level ridge over western North America (Wang
60 *et al.* 2014, Szeto *et al.* 2016).

61 The aforementioned SST variations mostly vary on inter-annual and decadal scales. Another
62 important factor that affects the weather patterns in North America is the Madden-Julian Oscillation
63 (MJO), an intra-seasonal (40-90 days) oscillation in convection and precipitation pattern over the
64 Tropics (Madden and Julian 1971, Zhang 2005, Riddle *et al.* 2013, Carbone and Li 2015). MJO is a
65 coupled atmosphere-ocean oscillation involving convection and large-scale equatorial waves, which
66 produces an eastward propagation of tropical convection anomaly (Madden and Julian 1971). The MJO
67 affects the winter temperature and precipitation in North America and Europe through its impact on
68 moisture transport associated with the "Pineapple Express" and its effects on the North Atlantic
69 Oscillation and stratospheric polar vortex (Cassou 2008, Garfinkel *et al.* 2012, Rodney *et al.* 2013).
70 MJO is also connected to the summer precipitation anomalies in the Southwest United States (Lorenz

71 and Hartmann 2006). During the warm season, MJO's impact on the Canadian Prairies' precipitation has
72 not been thoroughly investigated as MJO's amplitude is weak during spring and early summer. The
73 amplitude of MJO in spring and early summer is related to the inter-annual variation of tropical SST,
74 especially the SST in central Pacific (Hendon *et al.* 2007, Marshall *et al.* 2016). MJO in terms of the
75 Real-time Multivariate MJO index (RMM, Wheeler and Hendon 2004), was extremely strong in the
76 early spring of 2015 with a positive PDO-like SST anomaly in the central Pacific and at the same time,
77 El Nino started to strengthen.

78 MJO activities in the western Pacific under the modulation of inter-annual SST variability have
79 the potential to act together with ENSO and impact mid-tropospheric circulation over western Canada
80 and thus, warm season precipitation over the Canadian Prairies. The goal of this study is to demonstrate
81 that MJO has contributed to the 2015 growing season drought in the Canadian Prairies through the
82 propagation of stationary Rossby wave. Subsequently, further investigations are carried out to determine
83 if similar relationships exist in association with other summer extreme precipitation events during
84 instrumental record (1979-2016). Section 2 provides the datasets and methodology used in this paper
85 while section 3 presents the analysis of the upper-level circulation anomaly and SST pattern associated
86 with the 2015 drought. This is followed by the examination of the effects of central Pacific SST
87 anomalies and MJO on the summer precipitation in the Canadian Prairies. The mechanism by which
88 MJO affects summer precipitation when equatorial central Pacific SST is warmer than normal is
89 discussed in section 4 followed by the summary and concluding remarks in section 5.

90 **2 Data and Methodology**

91 Multiple observation and reanalysis datasets are used to investigate the circulation anomalies
92 associated with the Canadian Prairies' growing season (May-August) precipitation. The observed
93 precipitation is taken from the Climate Prediction Center (CPC) Merged Analysis of Precipitation

94 (CMAP) dataset (Xie and Arkin 1997). Geopotential height fields from the National Center for
95 Environmental Predictions (NCEP) Reanalysis (Kalnay *et al.* 1996) and the European Center for
96 Medium-Range Weather Forecast (ECMWF)'s ERA-Interim reanalysis (Dee *et al.* 2011) are used to
97 analyze the mid- and upper-level (500 hPa and 200 hPa) atmospheric circulation patterns.

98 To represent the central Pacific SST anomaly, NINO4 SST index (Rayner *et al.* 2003) from CPC
99 of National Oceanic and Atmospheric Administration (NOAA) is used since the NINO4 region is near
100 the central Pacific and spans over the dateline (5°S-5°N, 160°E-150°W). Multivariate ENSO Index
101 (MEI) data are retrieved from NOAA's Climate Data Center (CDC) website and is used to determine the
102 ENSO phase (Wolter 1987, Wolter and Timlin 1993). In particular, El Nino condition is defined when
103 the monthly mean index of MEI is larger than 0.5 (Andrews *et al.* 2004).

104 The Real-time Multivariate MJO series (RMM1 and RMM2) developed by Wheeler and Hendon
105 (2004) are used to identify periods of strong MJO activity as the MJO amplitudes are directly calculated
106 by the square root of RMM1 + RMM2. For MJO intensities over the investigated regions, we used the
107 monthly averaged pentad MJO indices from NOAA CPC's MJO index (Xue *et al.* 2002), which have 10
108 indices representing locations around the globe. The CPC's MJO index is based on Extended Empirical
109 Orthogonal Function (EEOF) analysis on pentad velocity potential at 200 hPa. Ten MJO indices on a
110 daily scale are constructed by projecting the daily (0000 UTC) velocity potential anomalies at 200 hPa
111 (CHI200) onto the ten time-lagged patterns of the first EEOF of pentad CHI200 anomalies (Xue *et al.*
112 2002). Negative values of ten MJO indices correspond to enhanced convection in the 10 regions centred
113 on 20°E, 70°E, 80°E, 100°E, 120°E, 140°E, 160°E, 120°W, 40°W and 10°W in the tropics. MJO indices
114 usually vary between -2 to 2 with negative values indicating above average convective activities in the
115 corresponding region. Because boreal summer usually corresponds to a period of a weaker amplitude of
116 MJO than the winter, we chose the monthly mean value of -0.3 as the criterion of strong convection
117 which is connected to MJO as the index generally vary between -1 and 1. An MJO-4 index (centred on

118 140°E) of less than -0.3 was considered a relatively strong convection in the western Pacific, which has
119 been found to be a source region of stationary Rossby waves (Simmons 1980). SST observations include
120 Extended Reconstructed Sea Surface Temperature (ERSST) v4 (Huang *et al.* 2015). Outward Longwave
121 Radiation (OLR) data from NOAA Interpolated Outgoing Longwave Radiation are used to derive the
122 composite of anomalies of OLR for a certain phase of MJO.

123 Our study focuses on the growing season precipitation in the provinces of Alberta and
124 Saskatchewan in the Canadian Prairies, where the largest deficits were observed in 2015. Specifically,
125 the regional mean precipitation over 115°-102.5°W, 50°-57.5°N is used (boxed area in Fig. 1, top panel)
126 to represent the Canadian Prairies east of the Rocky Mountains and south of the boreal forest. The
127 chosen region also covers most of the arable land in the Canadian Prairies. Considering the unique
128 MJO-4 and NINO4 indices for 2015, the relationship between the Prairies' warm season (May-August)
129 precipitation with MJO-4 and ENSO during the instrumental records are investigated using correlation
130 and regression. Though the dry months of the 2015 growing season are May and June when MJO-4 was
131 in negative phase, we want to study the statistical relationship between MJO-4 and the Prairies'
132 precipitation in the whole period of growing season (May-August). The possible mechanism behind the
133 correlation between MJO-4 and the Prairies' warm season precipitation under El Nino condition is
134 further investigated by analyzing the upper-level circulation associated with convection in the tropical
135 Pacific and stationary Rossby waves in mid-latitudes.

136

137 **3 Results**

138 **3.1 The 2015 Summer Drought**

139 Almost all of western Canada including British Columbia, the southern Northwest Territories,
140 Alberta, and Saskatchewan had negative precipitation anomalies during May and June 2015. The top
141 plot in Fig. 1 shows the precipitation anomaly in percentage relative to the climatology (1981-2010
142 long-term mean) in Canada during May and June 2015. The bottom plot in Fig. 1 presents the monthly
143 precipitation anomaly averaged over the region encompassed by the dash lines (top panel in Fig. 1). The
144 average annual cycle of the regional precipitation has a dry period between February and May and June
145 has the largest precipitation in all months. The May and June 2015 precipitation deficit was also
146 accompanied by a relatively dry period from February to April [Fig.1 and Szeto *et al.* 2016], which
147 added to the drought conditions.

148 The 500 hPa geopotential height (GPH) anomaly averaged in May and June are examined
149 together with SST anomaly and ENSO, MJO-4 indices for 2014 and 2015. The 500 hPa GPH anomaly
150 for May and June 2015 shows strong positive anomalies near Alaska and the British Columbia coast
151 (Fig. 2), which is consistent with the findings for other episodes of growing season droughts (e.g., Dey
152 1982; Bonsal and Wheaton, 2005). Accompanying this anomalous ridge, are above normal SSTs in the
153 northeast Pacific off the coast of North America and the central-eastern Pacific (Fig. 3). Both ENSO and
154 the NPM are in positive phases that correspond to a warmer SST near the Pacific coast of North
155 America, consistent with the positive GPH anomalies in western Canada and Alaska. The ridge in
156 Alaska/Bering Straits and the one near British Columbia coast have been previously associated with El
157 Nino and North Pacific SST anomaly such as NPM (Shabbar *et al.* 2011). The monthly mean anomalous
158 ridge prevents storms from reaching the British Columbia coast and the Canadian Prairies causing
159 extended dry spells. Therefore, the GPH anomaly in early growing season in 2015 is consistent with the

160 precipitation anomaly in these regions. The anomalous upper-level ridge in the Western United States
161 and Canada in 2014 and 2015 have also been associated with the developing El Nino and the other main
162 components of Pacific SST variation such as NPM by several recent studies (Hartmann *et al.* 2015, Lee
163 *et al.* 2015, Li *et al.* 2017).

164 The SST anomaly and the associated oscillations/modes, especially ENSO, show consistent
165 agreement with the observed GPH anomaly pattern. The average SST anomaly during the growing
166 season (May-June, July-August) of 2015 shows a persistent strong positive anomaly in the northeast and
167 eastern equatorial Pacific (Fig. 3), which corresponds to the warm phase of NPM and ENSO. SSTs in
168 the eastern tropical Pacific warmed increasingly since the end of 2014 and qualified as an El Nino in
169 early 2015. The NPM became positive in fall 2013, turned exceptionally strong in 2014 and persisted to
170 2015 (Hartmann 2015). The anomalous ridge is concurrent with strong SST anomalies in the tropical
171 Pacific and extratropical North Pacific. NPM, as the third EOF of Pacific SST (30°S-65°N), has also a
172 strong connection to the anomalous ridge in western North America and trough in the eastern US and
173 Canada in 2013-2014 winter (Hartmann 2015, Lee *et al.* 2015). During the ENSO-neutral condition in
174 2013 and 2014, the precursor of ENSO, the so-called “footprinting” mechanism is considered to cause
175 this anomalous ridge in western North America (Wang *et al.* 2014).

176 The variation of the Canadian Prairies’ precipitation and its relationship with NINO4 and MJOs
177 are shown in Fig. 4. The time series of monthly RMM amplitude, NINO4 index, MJO-4 indices and the
178 Canadian Prairies' precipitation anomaly from January 2014 to December 2015 shows the atmospheric-
179 oceanic circulation indices for the drought in 2015. In May and June 2015, the western Pacific witnessed
180 a strong MJO-4 negative index, whereas in July the MJO-4 index became positive. This corresponds
181 well with the precipitation anomaly in Fig. 1. As shown in Fig. 3, El Nino continued to strengthen in
182 July and August 2015; while at the same time the MJO-4 index increased. The increase of the MJO-4
183 index indicated that the active convection associated with MJO moved away from the tropical western

184 Pacific region and propagated eastward into the central Pacific. Coincident with this change in MJO, the
185 precipitation in the Canadian Prairies then returned to slightly above normal in July.

186 The good correspondence of MJO-4 and the negative precipitation anomaly suggests a link
187 between MJO and Prairie precipitation during the growing season. Although El Nino and associated
188 Northeast Pacific SST warm anomaly (i.e., NPM) in summer 2015 can be a contributing factor for the
189 persistent upper-level ridge over the west coast of Canada (Shabbar *et al.* 2011), it cannot fully explain
190 the drought condition in western Canada, as these SSTs do not guarantee a prolonged dry spell as shown
191 by correlation analysis (Table 1). The negative MJO-4 index concurred with the negative anomaly of the
192 Prairies' growing season precipitation in 2015, which prompts the investigation of their relationship
193 with the instrumental records.

194

195 **3.2 Instrumental record**

196 El Nino and its associated North Pacific SST anomaly may contribute to extended dry spells in
197 Canadian Prairies after the mature phase of El Nino (Bonsal *et al.* 1993) on an inter-annual time scale.
198 ENSO, however, is not a strong intra-seasonal to seasonal predictor of Canadian Prairie summer
199 precipitation. The lack of a strong correlation between the Prairies' precipitation and ENSO index can
200 be caused by the fact that many factors can affect the Prairies' precipitation on a seasonal and sub-
201 seasonal scale. Shabbar and Skinner (2004) showed the connection between the warm phase of ENSO
202 and western Canadian drought through singular value decomposition analysis. However, they also found
203 other modes of SST variation (e.g., the positive phase of PDO) can produce a wet condition in the
204 Prairies. Here we present a new result showing that under warm central Pacific SST conditions
205 ($\text{NINO4} > 0$), a certain phase of MJO, which connected to the active convection in the tropical western

206 Pacific (Li and Carbone 2012), plays an important role in modulating the growing season precipitation
207 in the Canadian Prairies.

208 The correlation coefficients between the mean regional precipitation anomaly over Canadian
209 Prairies and MJO-4 indices and MEI from May to August are shown in Table 1. The correlation
210 between MEI alone and the precipitation anomalies is not significant. The correlation between MJO-4
211 and precipitation in the Prairies is 0.18 with a p-value of 0.023, which indicates that stronger tropical
212 convection in the equatorial region centred around 140°E favours less precipitation in the Canadian
213 Prairies from May to August. When NINO4 is larger than 0, the correlation between MJO-4 and the
214 growing season precipitation is 0.33 with a p-value of 0.0015. Conversely, the correlation between
215 MJO-4 and Canadian Prairie precipitation is -0.01 when $NINO4 < 0$.

216 The scatter plot in Fig. 5 shows the distribution of monthly precipitation anomaly versus MJO-4
217 index and NINO4 index. Circled asterisk denotes a month with precipitation anomaly larger than 18
218 mm/month and the red (blue) circles denote a negative (positive) precipitation anomaly. The criterion
219 for precipitation anomaly to be emphasized by the circles is roughly one-third of the mean monthly
220 precipitation in the growing season. The size of the circle represents the magnitude of the monthly
221 precipitation anomalies with 6 mm/month interval. The bottom-right quadrant, indicated by shading,
222 shows that negative MJO-4 corresponds to many more dry months than wet months under $NINO4 > 0$
223 conditions. We noticed that some significant dry months are not in the shaded area, which corresponds
224 to the dry months occurring during La Nina or in the period after the mature phase of El Nino (Bonsal *et*
225 *al.* 1999). Summer drought in the Prairies can occur in both phases of ENSO or any other teleconnection
226 indices. For example, for the summer drought that happened in the Prairies from 1999 to 2005, the
227 large-scale anomalous patterns of SST first showed La Nina conditions and then became a weak El Nino
228 in the latter half of the period (Hanesiak *et al.* 2011). Bonsal and Wheaton (2005) showed that the
229 tropospheric atmospheric circulation patterns in 2001 and 2002 lacked the typical meridional flow in the

230 North Pacific and North America during the drought in western Canada. Their results show that the
231 drought in 1999-2005 was related to the expansion of the continuous drought happened in the US to the
232 north.

233 The impact of ENSO on the growing season precipitation over Canadian Prairies is investigated
234 through Fig. 6. The box-percentile plot in Fig. 6 shows the distribution of monthly Canadian Prairies'
235 precipitation anomalies from May to August along with different ENSO conditions. In general, under El
236 Nino and neutral ENSO conditions, the precipitation anomalies are centred around 0, and there is no
237 bias toward either end. Under La Nina condition, the mean precipitation has a positive bias. There are
238 only 10 summer months under La Nina condition, whereas there are 71 months under El Nino and
239 neutral conditions.

240 The distributions of precipitation anomalies versus MJO-4 index under different ENSO
241 conditions are shown in Fig. 7. For NINO4 > 0 , the precipitation anomaly has a negative tendency when
242 MJO-4 < -0.3 . With NINO4 < 0 , there is no negative tendency for MJO-4 < -0.3 . Therefore, Fig. 6 and 7
243 agrees with the significant correlation between precipitation and MJO-4 under NINO4 > 0 , relative to
244 ENSO in Table 1.

245 The correlation between MJO-4 and the Prairies' precipitation during the growing season leads
246 us to investigate the underlying circulation anomalies. Fig. 8 presents the regressed stream function and
247 wind field at 200 hPa in the mid-latitudes (north of 30°N) on the negative MJO-4 index from May to
248 August under warm NINO4 SST condition (NINO4 > 0.5). In the tropics (10°S-20°N), during Northern
249 Hemisphere summer, the OLR, velocity potential, and divergent wind vector are presented. Only
250 regression patterns having p-values lower than 0.05 are plotted for OLR and velocity potential. The
251 negative MJO-4 index corresponds to a negative anomaly in OLR, stronger convection and larger than
252 average divergence at 200 hPa in the region centre around 150°E. The strong convection anomaly

centres around 150°E, 5°N with divergent wind extending well into the subtropics in the Northern Hemisphere. The positive GPH/stream function anomaly extended from Japan to central Pacific is associated with the enhanced convection and divergence in the upper troposphere over the western tropical-subtropical Pacific. A Rossby wave train linked to the OLR anomaly and strong divergence in the western Pacific propagate eastward into North America in the extratropics. To better demonstrate the propagation of the wave train, we conducted a ray tracing experiment of stationary Rossby wave following the nondivergent barotropic Rossby wave theory of Hoskins and Karoly (1981) and Hoskins and Ambrizzi (1993). Equation 1 describes the group velocity, which represents the propagation of wave activity. C_{gx} and C_{gy} are the group velocity components on zonal and meridional directions; \bar{U} and \bar{V} are the mean zonal and meridional winds; q is the mean absolute vorticity; K , k , l are the total wave number, zonal wavenumber, and meridional wavenumber, respectively. The ray path is integrated using a fourth-order Runge-Kutta method.

$$\begin{aligned} C_{gx} &= \bar{U} + \frac{(k^2 - l^2)q_y - 2klq_x}{K^4} \\ C_{gy} &= \bar{V} + \frac{(k^2 - l^2)q_x + 2klq_y}{K^4} \end{aligned} \quad \text{Equation 1}$$

266

267

Under the average conditions in May-August derived from ERA-Interim at 200 hPa with NINO4 > 0.5 or NINO4 < -0.5, we released rays with a total wavenumber matching with the mean flow at the extratropical location of the OLR anomaly (140°E-150°E, 25°N-30°N). For quasi-stationary waves, the wavenumber is determined by the basic zonal flow and background absolute vorticity gradient through the Rossby wave dispersion relation. For NINO4 > 0.5 May-August condition, $K =$

4.14. With this total wavenumber and launching angle from 0- 60° relative to the zonal direction, Rossby wave rays (coloured by red, orange to blue according to their angle from 0° to 60°) released at 140°W, 20°N can propagate successfully to the western Canada for those with smaller launching angles ($< 30^\circ$) as shown the bottom plot in Fig. 9. With NINO4 <-0.5 , the zonal wind in the source region is weaker, and the meridional gradient of absolute vorticity is stronger due to its relative further southern position to the subtropical jet. The total wavenumber for stationary Rossby waves is 6.2, determined by the mean May-August condition for NINO4 < -0.5 . The waves with shorter wavelength tend to be evanescent near the source region as shown in the top plot in Fig. 9. However, there is no significant difference in ray-path under NINO4 < -0.5 condition compared to NINO4 > 0.5 if the source wavenumbers are set to the same value (results not shown). The changes in the mean conditions in the midlatitudes away from the source region from El Nino to La Nina are not sufficient to alter the propagation condition for quasi-stationary Rossby waves.

285

286 **4 Discussion**

Summer of 2015 is the first summer after the developing of El Nino during 2014-2015 winter. Though the upper-level GPH pattern, seen in summer 2015, can be attributed to the SST modes in the Pacific, namely ENSO and NPM, the precipitation in the Western Canadian Prairies is not strongly correlated with either. Bonsal and Lawford (1999) found that more extended dry spells tend to occur in the Canadian Prairies during the second summer following the mature stage of the El Nino events. The winter precipitation in Canada has a strong connection to ENSO (Shabbar *et al.* 1997), whereas summer precipitation, in most regions of western Canada (except the coast of British Columbia and Southern

294 Alberta), does not have a significant correlation with ENSO. This is consistent with our investigation
295 using instrumental records from 1948 to 2016.

296 Growing season precipitation in the Canadian Prairies is affected by many factors. Precipitation
297 deficits can occur under various circulation and lower boundary conditions. Thus, it is not expected that
298 a universal condition for all the significant droughts in the region can be identified. In fact, extreme
299 drought events have been found in both El Nino and La Nina years. A previous study by Bonsal and
300 Lawford (1999) indicates the meteorological drought often occurs after the mature phase of El Nino,
301 which is not the case for 2015. The associated anomaly in the North Pacific represented by NPM
302 positive phase is consistent with their results. The direct linkage between ENSO and the summer
303 precipitation in the Canadian Prairies is not clear. In fact, the correlation between MEI and the
304 precipitation in the investigated region is -0.096 ($p=0.239$, sample size = 152). The investigated region's
305 growing season precipitation does not possess a significant correlation with ENSO, which is consistent
306 with other researchers' findings (Dai and Wigley 2000).

307 The regression pattern is consistent with stationary Rossby wave theory as shown in a hierarchy
308 of theoretical and modelling studies (Karoly *et al.* 1989, Simmons *et al.* 1983, Hoskins and Ambrizzi
309 1993, Ambrizzi and Hoskins 1997, Held *et al.* 2002). A similar wave train extends from the western
310 Pacific toward extra-tropical South America but at lower latitudes compared to its counterpart in the
311 Northern Hemisphere (not shown). The node of the wave train in Western Canada and Northwest
312 Pacific of the US corresponds to an anomalous ridge, which is in-phase of El Nino forcing. When the
313 convection in the region associated with MJO-4 is weaker than normal ($MJO-4 > 0$), a wave train with
314 the opposite sign will reach western Canada which then counteracts the El Nino forcing. Thus, the weak
315 correlation between Canadian Prairie precipitation and ENSO is understandable as MJO plays an
316 additional role that enhances or cancels out the GPH anomaly caused by El Nino.

In the mid-latitude North America, the atmospheric response to the tropical forcing in the western Pacific depends on the mean circulation condition associated with tropical SST. Intraseasonal tropical convection oscillation in the western Pacific associated with the MJO-4 index cannot determine the sign of the precipitation anomaly in the Prairies alone. Both warm SST in central Pacific and strong tropical convection in western Pacific and Maritime Continent are essential to cause a significant precipitation deficit in the western Canadian Prairies. Warm SST in central Pacific causes an eastward expansion of Pacific warm pool that favours enhanced MJO activity in the western-central Pacific (Hendon *et al.* 1999, Marshall *et al.* 2016). As shown by the ray-tracing result, the NINO4 also affects the wavenumber of the quasi-stationary Rossby waves over the source region in the western Pacific. Under warm NINO4, the wavenumbers tend to be smaller due to stronger westerly in the source region and these waves can propagate northeastward into western Canada. Conversely, from May to August under cold NINO4, the westerly is weaker, and the meridional vorticity gradient is stronger in the subtropics near the source region. These mean flow conditions correspond to waves with larger wavenumbers that cannot propagate across the dateline.

In the year 2015, the SST anomaly in the Pacific (e.g. ENSO, NPM) coincided with the anomalous ridge on the west coast of Canada. This positive GPH anomaly was associated with the strong negative MJO4 indices, it then caused a blocking pattern and suppressed precipitation in the Canadian Prairies in the early summer through the mechanism discussed above. Although the El Nino continued to strengthen in July and August 2015, the active convection associated with MJO in the western Pacific propagated eastward into the central Pacific. As the convection in the western Pacific/Maritime Continent waned, the precipitation in the Canadian Prairie returned to slightly above normal in July.

340 **5 Conclusions**

341 The cause of the 2015 summer precipitation deficit in the western Canadian Prairies is
342 investigated in relation to atmospheric circulation anomalies, SST, and intraseasonal tropical convection
343 oscillation, MJO. The drought in western Canada is immediately related to an anomalous upper-level
344 ridge that persisted over the west coast of Canada and Alaska since fall 2014. This ridge was likely
345 associated with a developing El Nino that was enhanced by the MJO.

346 In general, MJO-4 indices demonstrated significant correlation with the meteorological drought
347 over the Canadian Prairies from May to August when the SST in the central Pacific is warm (NINO4 >
348 0), which also corresponds to a stronger MJO amplitude in boreal summer. Our study discovered that
349 MJO phase/strength is connected to the anomalous ridge over western Canada through the propagation
350 of stationary Rossby waves from the western Pacific when NINO4 is positive. Though seasonally MJO
351 is weaker in summer, the spring and early summer MJO amplitudes are larger than normal when the
352 central Pacific SST is warmer than normal (NINO4 >0). The teleconnection between the Canadian
353 Prairie precipitation deficit and MJO is stronger when NINO4 is positive. The underlying cause of this
354 significant correlation between MJO-4 indices and the prairie precipitation in May-August is a
355 stationary Rossby wave train originating from the Maritime Continent and western Pacific which
356 propagates into Canada. The raytracing experiments show the main difference between a warm phase of
357 NINO4 and a cold phase is the changes in stationary Rossby wave wavenumber over the source region.
358 Under NINO4 > 0.5 May-August conditions, the total wavenumber is about 4 and can propagate into
359 western Canada if they are oriented relatively zonally. Compared to NINO4 > 0.5, NINO4 < -0.5
360 corresponds to a weaker zonal wind and stronger meridional gradient of absolute vorticity in the
361 subtropics of the source region (140°-150°E). Hence, the wavenumbers of stationary Rossby waves
362 from the source region under NINO4 < -0.5 are larger (about 6), and these waves fail to reach the

363 Western Hemisphere. The intra-seasonal predictability of the growing season precipitation in the
364 Canadian Prairies can be potentially improved by including the MJO amplitude and phase factors for
365 medium-range/intra-seasonal projection in addition to ENSO effect especially when the central-Pacific
366 SST is warm.

367

368 **Acknowledgment**

369 We gratefully acknowledge the Natural Sciences and Engineering Research Council of Canada
370 (NSERC) for funding the Changing Cold Regions Network (CCRN) through their Climate Change and
371 Atmospheric Research (CCAR) Initiative. Dr. Zhenhua Li is supported by the Probing the Atmosphere
372 of the High Arctic project sponsored by the NSERC. Dr. Yanping. Li gratefully acknowledges the
373 support from the Global Institute of Water Security at the University of Saskatchewan. This research is
374 also supported by Environment and Climate Change Canada (ECCC).

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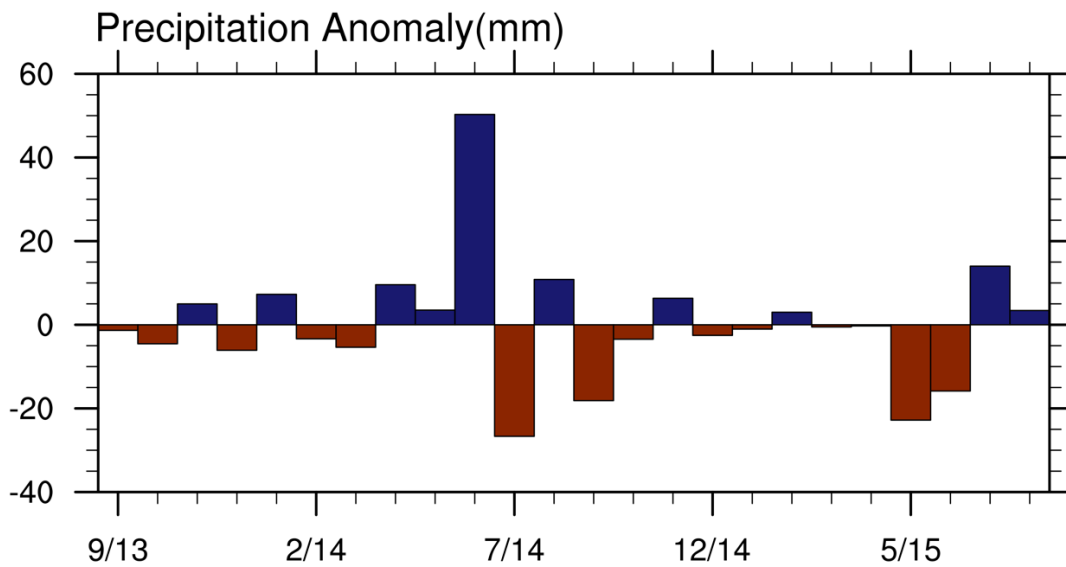
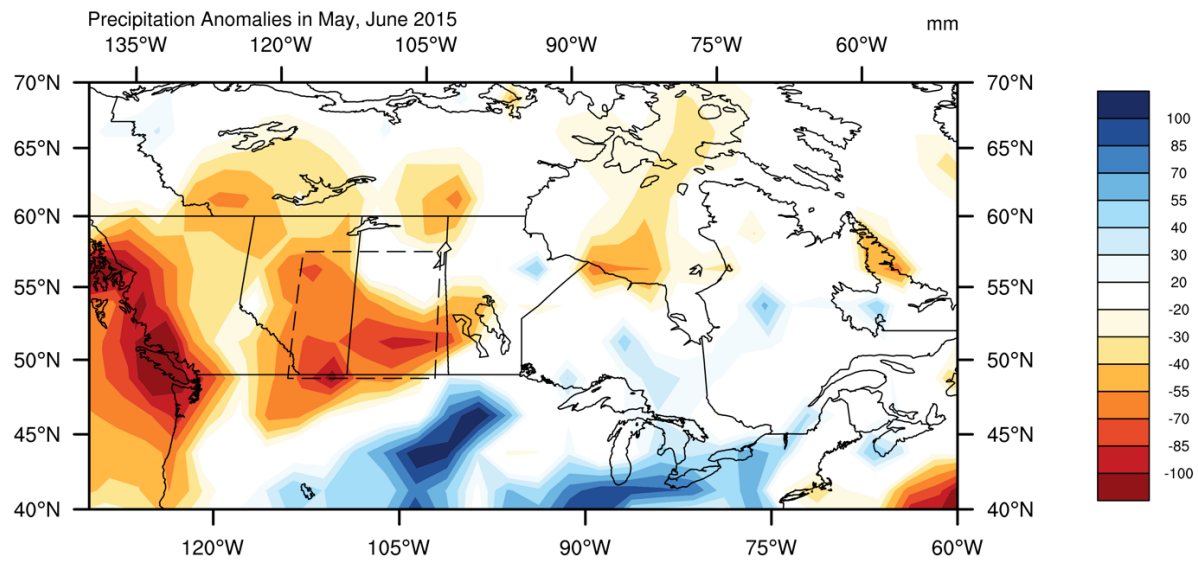
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554 Table 1 Correlation between mean precipitation anomaly in the Prairies from CMAP and MEI, MJO
555 indices 4. MJO indices and CMAP are from 1979 to 2016.

	Correlation	p-value	No. of sample
MEI	-0.096	0.24	156
MJO-4	0.18	0.023	156
MJO-4(NINO4>0)	0.33	0.0015	90
MJO-4(NINO4<0)	-0.01	0.94	66

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558 Fig. 1 Top: Precipitation anomalies (mm) from CMAP over the region (115 W-102.5 W, 50 N-57.5 N)
 559 during May and June 2015. Bottom: time series of monthly precipitation anomaly over boxed region
 560 between September 2013 and August 2015.

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Mean GPH Anomaly of May, June 2015

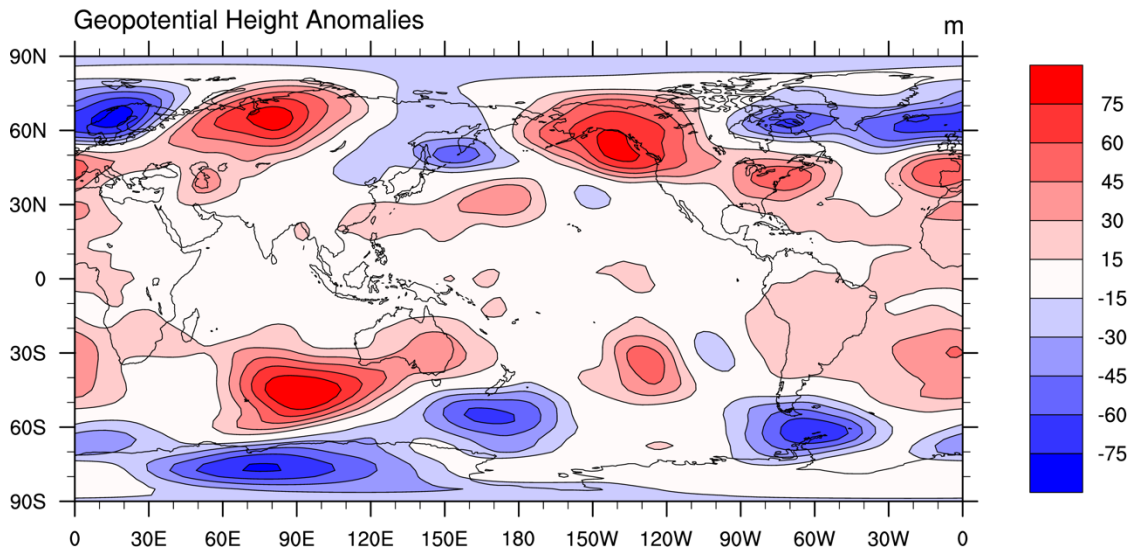
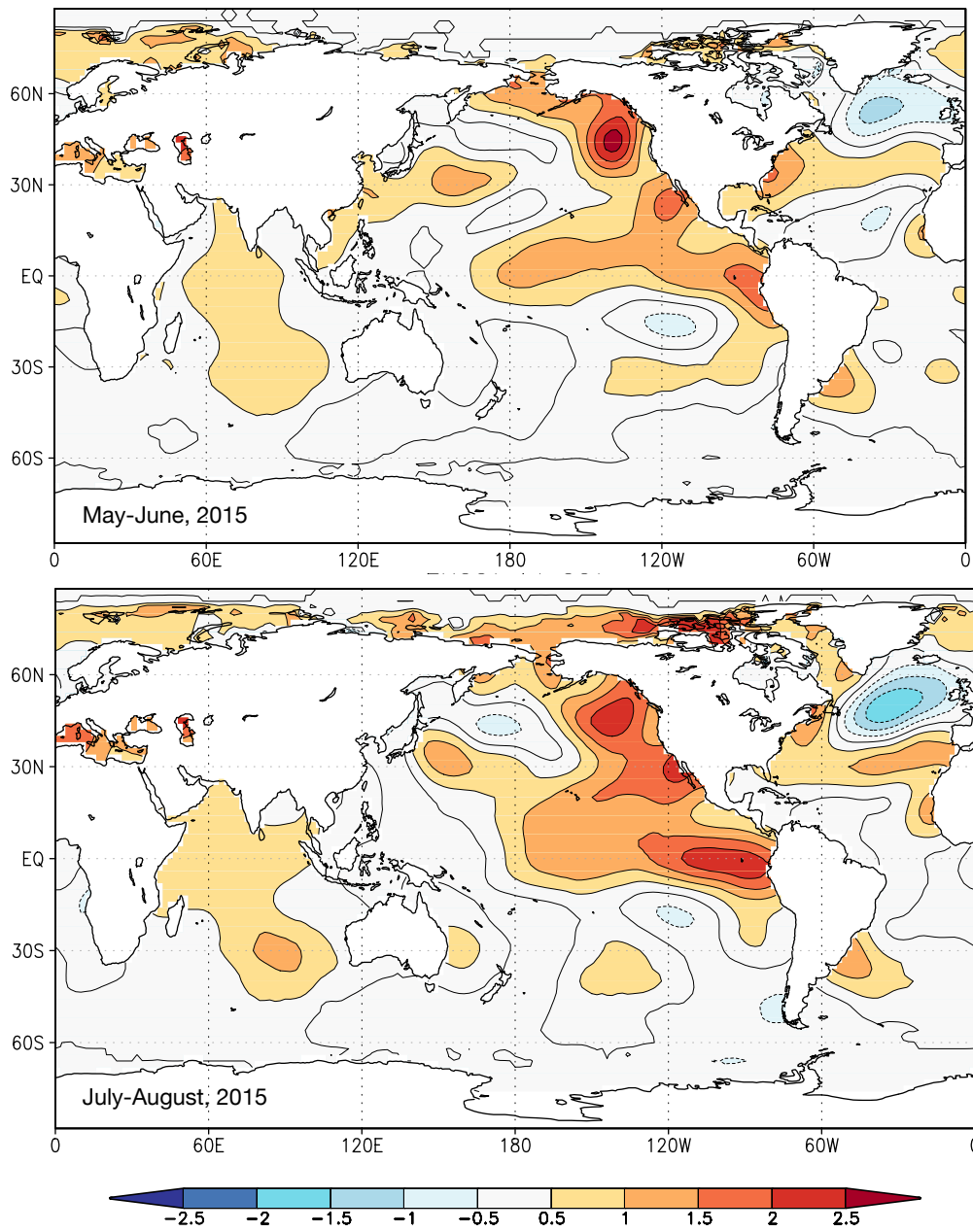
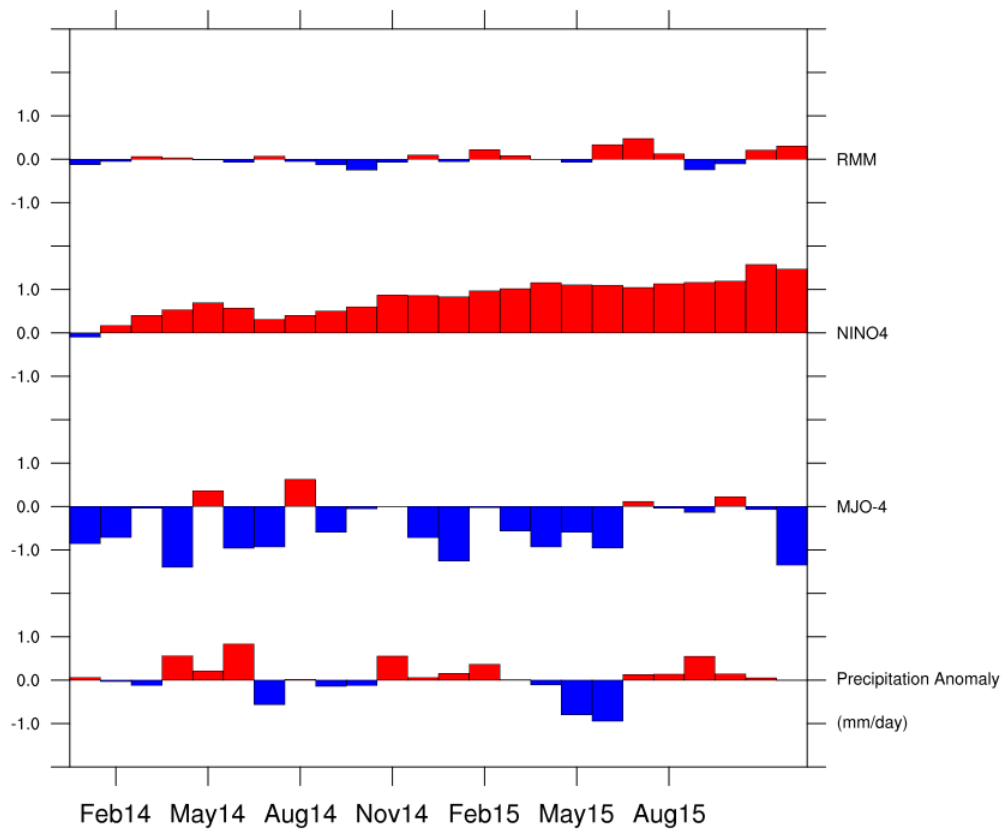


Fig. 2 NCEP GPH anomaly at 500hPa during May and June 2015 when the precipitation deficit was the largest.



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580 Fig. 3 The mean SST anomaly ($^{\circ}\text{C}$) from ERSST v4 for May-June and July-August 2015.



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582 Fig. 4 RMM amplitude anomaly, NINO4, MJO 4 indices and precipitation anomaly of the Canadian
 583 Prairies from January 2014 to Dec 2015.

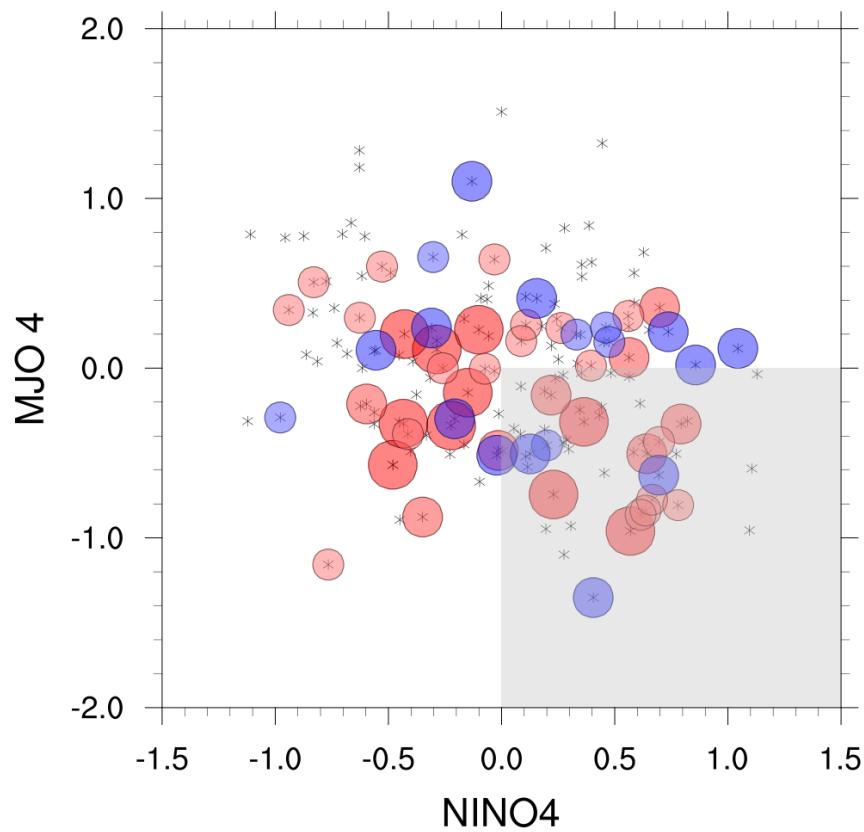
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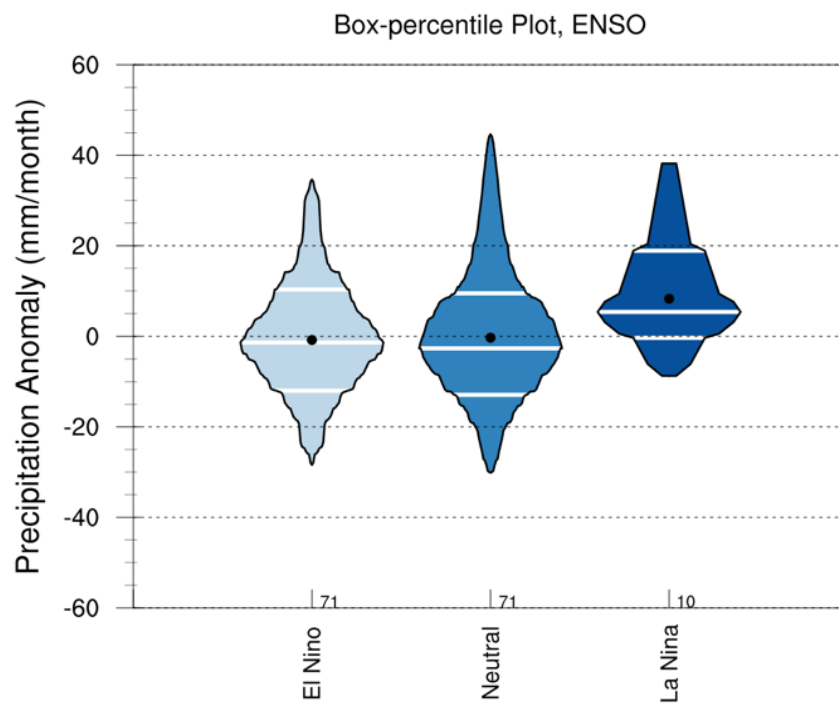
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591 Fig. 5 The scatter plot of monthly precipitation anomaly (mm/month) as a function of MJO-4 and
592 NINO4. Each asterisk represents a month from May to August 1979-2016. Circled asterisk denotes a
593 month with precipitation anomaly larger than 18 mm/month. The blue circles are months with positive
594 precipitation anomaly and the red circles are months with negative precipitation anomaly. The sizes of
595 circles denote the magnitudes of the anomalies (large > 30 mm/month, medium > 24 mm/month,
596 small > 18 mm/month). The shaded area denotes NINO4 > 0 and MJO-4 index < 0.

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599 Fig. 6 The box-percentile plot of the Canadian Prairies precipitation anomaly during growing season
 600 under different ENSO conditions.

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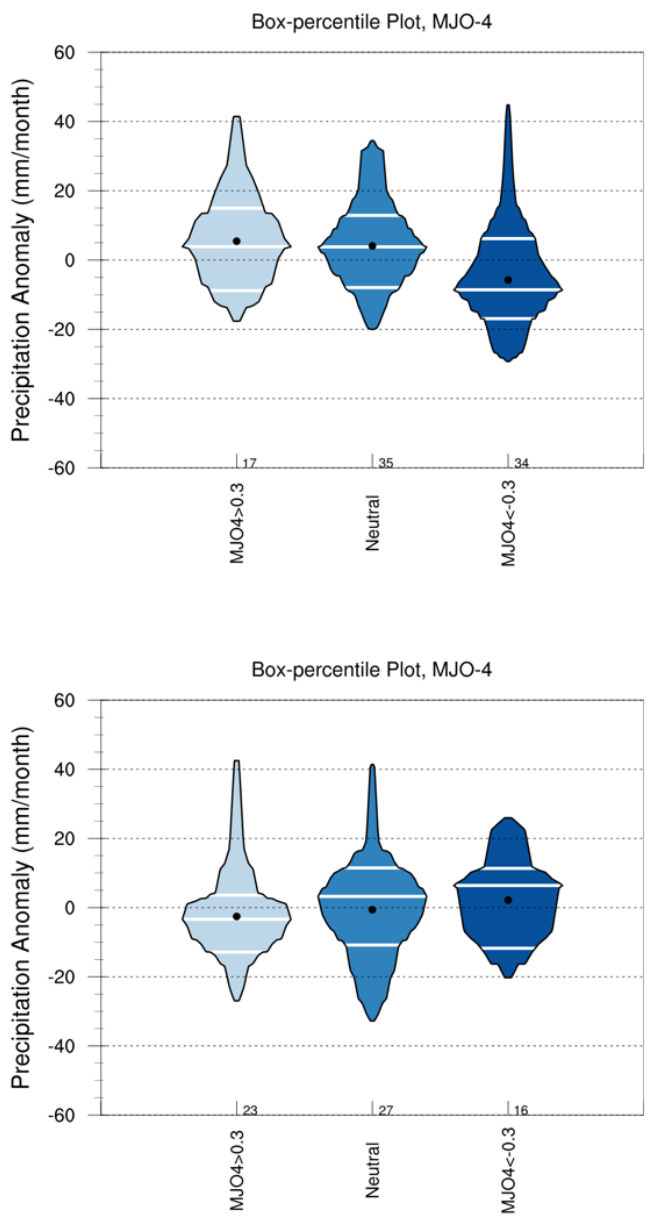
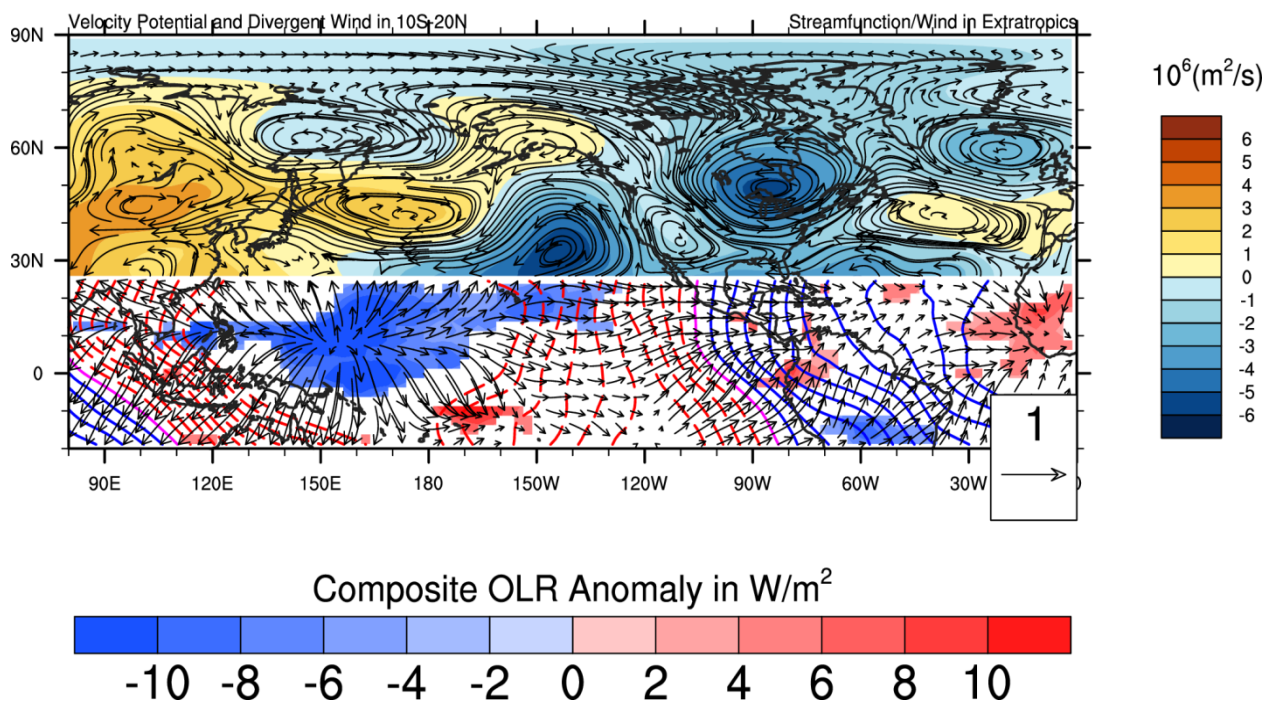


Fig. 7 Box-percentile plots of the Canadian Prairies' precipitation anomaly during growing season versus MJO-4 under warm NINO4 (NINO4> 0, top) and cold NINO4 (NINO4<0, bottom) SST condition.

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613 Fig. 8 The regression of stream function, wind field in the extratropics on negative MJO-4 for May-
614 August with NINO4 > 0.5 condition. In the tropics, the regression of OLR, velocity potential, and
615 divergent wind on negative MJO-4 indices for May-August with NINO4 > 0.5 condition. The shaded
616 region for the tropical OLR has p-value < 0.05. Blue shading indicates active convection region. Red
617 dashed contour and solid blue contour corresponds to negative and positive velocity potential,
618 respectively.

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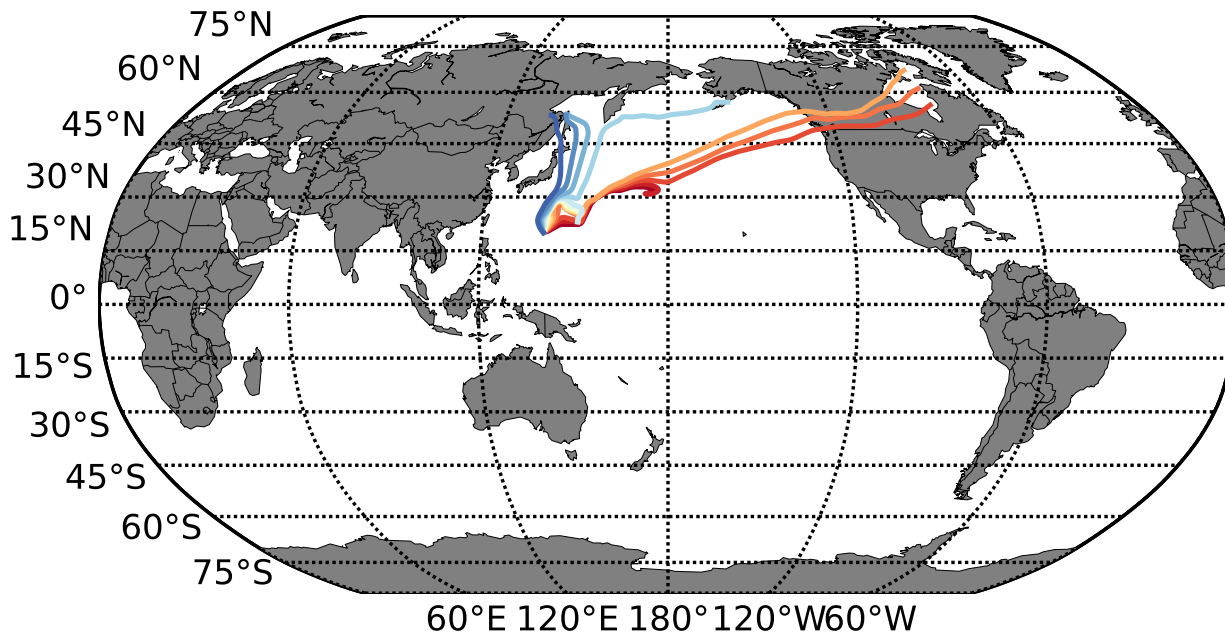
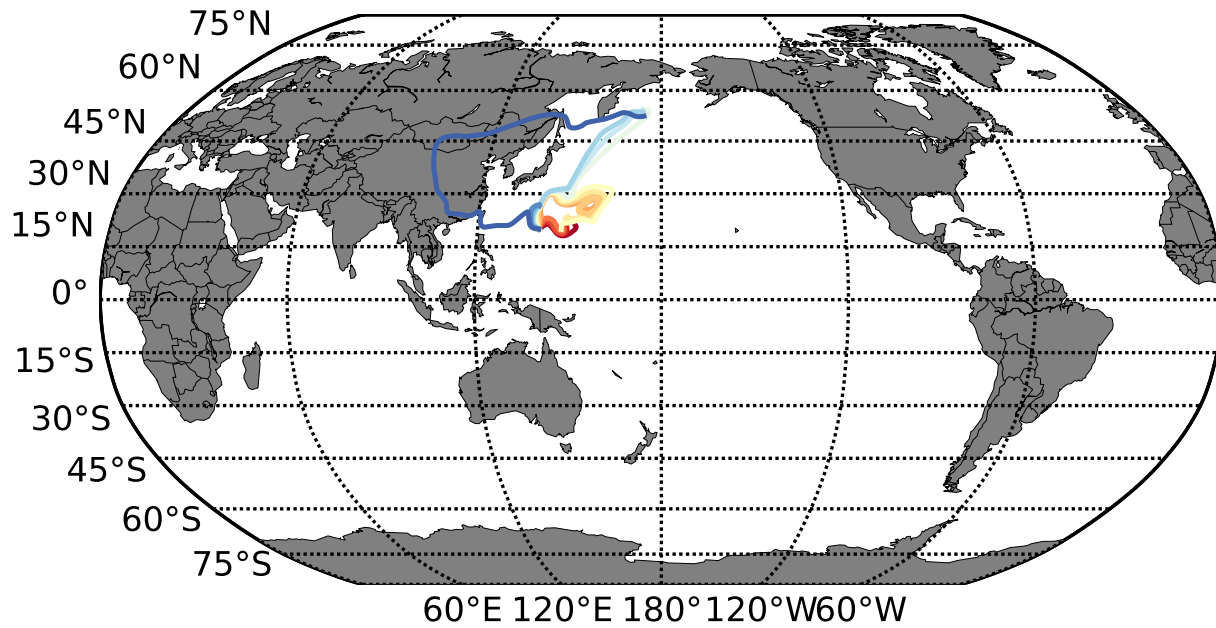


Fig. 9: Ray-tracing result with total wavenumber specified by the mean flow 140-150W and 20-30N for mean May-August condition with $NINO4 < -0.5$ (top) and $NINO4 > 0.5$ (bottom). Rays originate from 140E, 20N with angles ranging from 0 (red) to 60 degrees (dark blue) from zonal direction.