

Dear Editor:

Thank you for pointing out the mistakes in the draft. We have made revision accordingly to address the comments below. We also made thorough proof reading to avoid grammar mistakes and make the paper flow better.

abstract: "... a Rossby wave train originates from western Pacific and propagates into midlatitude over North America ...". Need "the" before "western" and "midlatitude" should be "midlatitudes".

We have made these changes.

Figure 7: put plots on top of each other to make them larger - all axes/title text are too small. We have repositioned the figures accordingly.

Format references so there are clear breaks between each article.

The references are separated by a line break between them now.

line 281 discussion: "the precipitation in the Western Canadian Prairie ...". "Prairie" should be "Prairies".

We have corrected the spelling here and many other similar errors.

line 282 discussion: "... more extended dry spells tend to occur in Canadian Prairies during ...". Need "the" before Canadian.

We have added "the" before Canada and similar places in the paper.

line 311 discussion: "... MJO-4 index cannot determine the sign of precipitation anomaly in the Prairies alone." Need "the" before "precipitation".

We have added "the" before "precipitation".

lines 330-332, Conclusion: This entire first sentence has poor grammar.

We have rephrased the sentence.

line 333 conclusions: "... through the propagation of stationary Rossby wave from the western Pacific ...". Wave should be "waves".

We have changed the word to the plural form.

line 342 conclusions: "... can propagate into western Canada if they oriented relatively zonally." Need "are" before "oriented".

We have added "are" before "oriented".

# Combined Impacts of ENSO and MJO on the 2015 Growing Season Drought on the Canadian Prairies

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## Abstract

Warm-season precipitation on the Canadian Prairies plays a crucial role in agricultural production. This research investigates how the early summer 2015 drought across the Canadian Prairies is related to the tropical Pacific forcing. The significant deficit of precipitation in May and June 2015 coincided with a warm phase of El Niño-Southern Oscillation (ENSO) and a negative phase of Madden-Julian Oscillation (MJO)-4 index, which favour a positive geopotential height anomaly in western Canada. Our further investigation during the instrumental record (1979-2016) shows that warm-season precipitation in the Canadian Prairies and the corresponding atmospheric circulation anomalies over western Canada teleconnected with the lower boundary conditions in the tropical western Pacific. Our results indicate that MJO can play a crucial role in determining the summer precipitation anomaly in the western Canadian Prairies when the equatorial central Pacific is warmer than normal (NINO4 > 0) and MJO is more active. This teleconnection is due to the propagation of a stationary Rossby wave that is generated in the MJO-4 index region. When the tropical convection around MJO-4 index region (western tropical Pacific, centred over 140°E) is more active than normal (NINO4 > 0), Rossby wave trains originate from the western Pacific with wavenumbers determined by the background mean wind and meridional absolute vorticity gradient. Under warm NINO4 conditions waves are generated with smaller wavenumbers compared to cold NINO4 conditions. These waves under warm NINO4 can propagate into the midlatitudes over North America causing a persistent anomalous ridge in the upper level over western Canada, which favours dry conditions over the region.

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## 1 Introduction

The Canadian Prairies ~~depend~~ on summer precipitation especially during the early to mid-growing season (May through August) when the majority of annual precipitation normally occurs (e.g., Bonsal *et al.* 1993). High natural variability in growing season precipitation causes periodic occurrences of extreme precipitation (Li *et al.* 2017; Liu *et al.* 2016) and droughts that are often associated with reduced agriculture yields, low streamflow, and increased occurrence of forest fires (Wheaton *et al.* 2005, Bonsal and Regier 2007). Drought events with great environmental and economic impacts ~~on the Canadian Prairies~~ have occurred in 1961, 1988, 2001-2002, and as recent as 2015 (Dey 1982, Liu *et al.* 2004, Bonsal *et al.* 1999, Wheaton *et al.* 2005, Shabbar *et al.* 2011, Bonsal *et al.* 2013, Szeto *et al.* 2016). The sub-seasonal forecast of precipitation for the growing season is crucial for the agriculture, water resource management, and the economy of the region. Therefore, an investigation into the causes of inter-annual variability in the growing season precipitation of the Canadian Prairie is needed.

Low precipitation and extended dry periods on the Canadian Prairies are often associated with an upper-level ridge and a persistent high pressure ~~centred~~ over the region (Dey 1982, Liu *et al.* 2004). These prolonged atmospheric anomalies often concurred with abnormal boundary layer conditions such as a large-scale sea surface temperature (SST) anomalies in the Pacific Ocean (Shabbar and Skinner 2004). ~~Large-scale oscillation in the SST anomalies in the Pacific Ocean, namely El Nino, and the Pacific Decadal Oscillation (PDO), can affect the hydroclimatic pattern in summer over North America,~~ although the strongest impacts of these boundary conditions occur during the boreal winter. Inter-annual variability such as El Nino-Southern Oscillation (ENSO) is linked with extended droughts in the Prairies (Bonsal *et al.* 1999, Shabbar and Skinner 2004). Interdecadal oscillations such as the PDO, and the Atlantic Multi-decadal Oscillation (AMO) also affect the seasonal temperature and precipitation in the Canadian Prairies (Shabbar *et al.* 2011).

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60 ENSO's relationship with ~~the~~ Canadian ~~Prairies'~~ precipitation has been studied extensively.  
61 Previous investigations (e.g., Shabbar *et al.* (2011)) have found that El Nino events are associated with a  
62 summer moisture deficit in western Canada while La Nina events cause an abundance of moisture in far  
63 western Canada (British Columbia and Yukon). However, they also noted that although tropical SST  
64 variability accounted for some aspects of the large-scale circulation anomalies that influence ~~the~~  
65 Canadian Prairies meteorological drought, a consistent and clear-cut relationship was not found. ~~The~~  
66 ~~warm phase of ENSO often favours drought in this region, especially during the growing season after~~  
67 ~~the mature phase of El Nino (Bonsal and Lawford 1999, Shabbar and Skinner 2004). The positive~~  
68 ~~North Pacific Mode (NPM, Hartmann *et al.* 2015) like~~ North Pacific SST ~~anomaly pattern~~ often ~~follows~~  
69 a matured El Nino, and ~~the~~ accompanying atmospheric ridging leads to extended dry spells over the  
70 Prairies during the growing season (Bonsal and Lawford 1999). Furthermore, in association with the  
71 recent North Pacific SST anomaly from 2013 to 2014, researchers have attributed the precipitation  
72 deficit in California during 2013 to the anomalous upper-level ridge over ~~western North America (Wang~~  
73 ~~*et al.* 2014, Szeto *et al.* 2016)~~.

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74 The aforementioned SST variations ~~mostly vary on inter-annual and decadal scales.~~ Another  
75 important factor that affects the weather patterns in North America is the Madden-Julian Oscillation  
76 (MJO), an intra-seasonal (40-90 days) oscillation in convection and precipitation pattern over the  
77 Tropics (Madden and Julian 1971, Zhang 2005, Riddle *et al.* 2013, Carbone and Li 2015). MJO is a  
78 coupled atmosphere-ocean oscillation involving convection and large-scale equatorial waves, which  
79 produces an eastward propagation of tropical convection anomaly (Madden and Julian 1971). The MJO  
80 affects the winter temperature and precipitation in North America and Europe through its impact on  
81 moisture transport associated with the "Pineapple Express" and its effects on the North Atlantic  
82 Oscillation and stratospheric polar vortex (Cassou 2008, Garfinkel *et al.* 2012, Rodney *et al.* 2013).  
83 MJO is also connected to the summer precipitation anomalies in the Southwest United States (Lorenz

96 and Hartmann 2006). During the warm season, MJO's impact on the Canadian Prairies' precipitation has  
97 not been thoroughly investigated as MJO's amplitude is weak during spring and early summer. The  
98 amplitude of MJO in spring and early summer is related to the inter-annual variation of tropical SST,  
99 especially the SST in central Pacific (Hendon *et al.* 2007, Marshall *et al.* 2016). MJO in terms of the  
100 Real-time Multivariate MJO index (RMM, Wheeler and Hendon 2004), was extremely strong in the  
101 early spring of 2015 with a positive PDO-like SST anomaly in the central Pacific and at the same time,  
102 El Nino started to strengthen.

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103 MJO activities in the western Pacific under the modulation of inter-annual SST variability have  
104 the potential to act together with ENSO and impact mid-tropospheric circulation over western Canada  
105 and thus, warm season precipitation over the Canadian Prairies. The goal of this study is to demonstrate  
106 that MJO has contributed to the 2015 growing season drought in the Canadian Prairies through the  
107 propagation of stationary Rossby wave. Subsequently, further investigations are carried out to determine  
108 if similar relationships exist in association with other summer extreme precipitation events during  
109 instrumental record (1979-2016). Section 2 provides the datasets and methodology used in this paper  
110 while section 3 presents the analysis of the upper-level circulation anomaly and SST pattern associated  
111 with the 2015 drought. This is followed by the examination of the effects of central Pacific SST  
112 anomalies and MJO on the summer precipitation in the Canadian Prairies. The mechanism by which  
113 MJO affects summer precipitation when equatorial central Pacific SST is warmer than normal is  
114 discussed in section 4 followed by the summary and concluding remarks in section 5.

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## 115 2 Data and Methodology

116 Multiple observation and reanalysis datasets are used to investigate the circulation anomalies  
117 associated with the Canadian Prairies' growing season (May-August) precipitation. The observed  
118 precipitation is taken from the Climate Prediction Center (CPC) Merged Analysis of Precipitation

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126 (CMAP) dataset (Xie and Arkin 1997). Geopotential height fields from the National Center for  
 127 Environmental Predictions (NCEP) Reanalysis (Kalnay *et al.* 1996) and the European Center for  
 128 Medium-Range Weather Forecast (ECMWF)'s ERA-Interim reanalysis (Dee *et al.* 2011) are used to  
 129 analyze the mid- and upper-level (500 hPa and 200 hPa) atmospheric circulation patterns.

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130 To represent the central Pacific SST anomaly, NINO4 SST index (Rayner *et al.* 2003) from CPC  
 131 of National Oceanic and Atmospheric Administration (NOAA) is used since the NINO4 region is near  
 132 the central Pacific and spans over the dateline (5°S-5°N, 160°E-150°W). Multivariate ENSO Index  
 133 (MEI) data are retrieved from NOAA's Climate Data Center (CDC) website and is used to determine the  
 134 ENSO phase (Wolter 1987, Wolter and Timlin 1993). In particular, El Nino condition is defined when  
 135 the monthly mean index of MEI is larger than 0.5 (Andrews *et al.* 2004).

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136 The Real-time Multivariate MJO series (RMM1 and RMM2) developed by Wheeler and Hendon  
 137 (2004) are used to identify periods of strong MJO activity as the MJO amplitudes are directly calculated  
 138 by the square root of RMM1 + RMM2. For MJO intensities over the investigated regions, we used the  
 139 monthly averaged pentad MJO indices from NOAA CPC's MJO index (Xue *et al.* 2002), which have 10  
 140 indices representing locations around the globe. The CPC's MJO index is based on Extended Empirical  
 141 Orthogonal Function (EEOF) analysis on pentad velocity potential at 200 hPa. Ten MJO indices on a  
 142 daily scale are constructed by projecting the daily (0000 UTC) velocity potential anomalies at 200 hPa  
 143 (CHI200) onto the ten time-lagged patterns of the first EEOF of pentad CHI200 anomalies (Xue *et al.*  
 144 2002). Negative values of ten MJO indices correspond to enhanced convection in the 10 regions centred  
 145 on 20°E, 70°E, 80°E, 100°E, 120°E, 140°E, 160°E, 120°W, 40°W and 10°W in the tropics. MJO indices  
 146 usually vary between -2 to 2 with negative values indicating above average convective activities in the  
 147 corresponding region. Because boreal summer usually corresponds to a period of a weaker amplitude of  
 148 MJO than the winter, we chose the monthly mean value of -0.3 as the criterion of strong convection  
 149 which is connected to MJO as the index generally vary between -1 and 1. An MJO-4 index (centred on

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171 140°E) of less than -0.3 was considered a relatively strong convection in the western Pacific, which has  
 172 been found to be a source region of stationary Rossby waves (Simmons 1980). SST observations include  
 173 Extended Reconstructed Sea Surface Temperature (ERSST) v4 (Huang *et al.* 2015). Outward Longwave  
 174 Radiation (OLR) data from NOAA Interpolated Outgoing Longwave Radiation are used to derive the  
 175 composite of anomalies of OLR for a certain phase of MJO.

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176 Our study focuses on the growing season precipitation in the provinces of Alberta and  
 177 Saskatchewan in the Canadian Prairies, where the largest deficits were observed in 2015. Specifically,  
 178 the regional mean precipitation over 115°-102.5°W, 50°-57.5°N is used (boxed area in Fig. 1, top panel)  
 179 to represent the Canadian Prairies east of the Rocky Mountains and south of the boreal forest. The  
 180 chosen region also covers most of the arable land in the Canadian Prairies. Considering the unique  
 181 MJO-4 and NINO4 indices for 2015, the relationship between the Prairies' warm season (May-August)  
 182 precipitation with MJO-4 and ENSO during the instrumental records are investigated using correlation  
 183 and regression. Though the dry months of the 2015 growing season are May and June when MJO-4 was  
 184 in negative phase, we want to study the statistical relationship between MJO-4 and the Prairies'  
 185 precipitation in the whole period of growing season (May-August). The possible mechanism behind the  
 186 correlation between MJO-4 and the Prairies' warm season precipitation under El Nino condition is  
 187 further investigated by analyzing the upper-level circulation associated with convection in the tropical  
 188 Pacific and stationary Rossby waves in mid-latitudes.

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## 202 3 Results

### 203 3.1 The 2015 Summer Drought

204 Almost all of western Canada including British Columbia, the southern Northwest Territories,  
205 Alberta, and Saskatchewan had negative precipitation anomalies during May and June 2015. The top  
206 plot in Fig. 1 shows the precipitation anomaly in percentage relative to the climatology (1981-2010  
207 long-term mean) in Canada during May and June 2015. The bottom plot in Fig. 1 presents the monthly  
208 precipitation anomaly averaged over the region encompassed by the dash lines (top panel in Fig. 1). The  
209 average annual cycle of the regional precipitation has a dry period between February and May and June  
210 has the largest precipitation in all months. The May and June 2015 precipitation deficit was also  
211 accompanied by a relatively dry period from February to April [Fig. 1 and Szeto *et al.* 2016], which  
212 added to the drought conditions.

213 The 500 hPa geopotential height (GPH) anomaly averaged in May and June are examined  
214 together with SST anomaly and ENSO, MJO-4 indices for 2014 and 2015. The 500 hPa GPH anomaly  
215 for May and June 2015 shows strong positive anomalies near Alaska and the British Columbia coast  
216 (Fig. 2), which is consistent with the findings for other episodes of growing season droughts (e.g., Dey  
217 1982; Bonsal and Wheaton, 2005). Accompanying this anomalous ridge, are above normal SSTs in the  
218 northeast Pacific off the coast of North America and the central-eastern Pacific (Fig. 3). Both ENSO and  
219 the NPM are in positive phases that correspond to a warmer SST near the Pacific coast of North  
220 America, consistent with the positive GPH anomalies in western Canada and Alaska. The ridge in  
221 Alaska/Bering Straits and the one near British Columbia coast have been previously associated with El  
222 Nino and North Pacific SST anomaly such as NPM (Shabbar *et al.* 2011). The monthly mean anomalous  
223 ridge prevents storms from reaching the British Columbia coast and the Canadian Prairies causing  
224 extended dry spells. Therefore, the GPH anomaly in early growing season in 2015 is consistent with the

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precipitation anomaly in these regions. The anomalous upper-level ridge in the Western United States and Canada in 2014 and 2015 have also been associated with the developing El Nino and the other main components of Pacific SST variation such as NPM by several recent studies (Hartmann *et al.* 2015, Lee *et al.* 2015, Li *et al.* 2017).

The SST anomaly and the associated oscillations/modes, especially ENSO, show consistent agreement with the observed GPH anomaly pattern. The average SST anomaly during the growing season (May-June, July-August) of 2015, shows a persistent strong positive anomaly in the northeast and eastern equatorial Pacific (Fig. 3), which corresponds to the warm phase of NPM and ENSO. SSTs in the eastern tropical Pacific warmed increasingly since the end of 2014 and qualified as an El Nino in early 2015. The NPM became positive in fall 2013, turned exceptionally strong in 2014 and persisted to 2015 (Hartmann 2015). The anomalous ridge is concurrent with strong SST anomalies in the tropical Pacific and extratropical North Pacific. NPM, as the third EOF of Pacific SST (30°S-65°N), has also a strong connection to the anomalous ridge in western North America and trough in the eastern US and Canada in 2013-2014 winter (Hartmann 2015, Lee *et al.* 2015). During the ENSO-neutral condition in 2013 and 2014, the precursor of ENSO, the so-called “footprinting” mechanism is considered to cause this anomalous ridge in western North America (Wang *et al.* 2014).

\_\_\_\_\_ The variation of the Canadian Prairies’ precipitation and its relationship with NINO4 and MJOs are shown in Fig. 4. The time series of monthly RMM amplitude, NINO4 index, MJO-4 indices and the Canadian Prairies’ precipitation anomaly from January 2014 to December 2015 shows the atmospheric-oceanic circulation indices for the drought in 2015. In May and June 2015, the western Pacific witnessed a strong MJO-4 negative index, whereas in July the MJO-4 index became positive. This corresponds well with the precipitation anomaly in Fig. 1. As shown in Fig. 3, El Nino continued to strengthen in July and August 2015; while at the same time the MJO-4 index increased. The increase of the MJO-4 index indicated that the active convection associated with MJO, moved away from the tropical western

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264 Pacific region and propagated eastward into the central Pacific. Coincident with this change in MJO, the  
265 precipitation in the Canadian Prairies then returned to slightly above normal in July.

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266 The good correspondence of MJO-4 and the negative precipitation anomaly suggests a link  
267 between MJO and Prairie precipitation during the growing season. Although El Nino and associated  
268 Northeast Pacific SST warm anomaly (i.e., NPM) in summer 2015 can be a contributing factor for the  
269 persistent upper-level ridge over the west coast of Canada (Shabbar *et al.* 2011), it cannot fully explain  
270 the drought condition in western Canada, as these SSTs do not guarantee a prolonged dry spell as shown  
271 by correlation analysis (Table 1). The negative MJO-4 index concurred with the negative anomaly of the  
272 Prairies' growing season precipitation in 2015, which prompts the investigation of their relationship  
273 with the instrumental records.

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### 275 3.2 Instrumental record

276 El Nino and its associated North Pacific SST anomaly may contribute to extended dry spells in  
277 Canadian Prairies after the mature phase of El Nino (Bonsal *et al.* 1993) on an inter-annual time scale.  
278 ENSO, however, is not a strong intra-seasonal to seasonal predictor of Canadian Prairie summer  
279 precipitation. The lack of a strong correlation between the Prairies' precipitation and ENSO index can  
280 be caused by the fact that many factors can affect the Prairies' precipitation on a seasonal and sub-  
281 seasonal scale. Shabbar and Skinner (2004) showed the connection between the warm phase of ENSO  
282 and western Canadian drought through singular value decomposition analysis. However, they also found  
283 other modes of SST variation (e.g., the positive phase of PDO) can produce a wet condition in the  
284 Prairies. Here we present a new result showing that under warm central Pacific SST conditions  
285 (NINO4 > 0), a certain phase of MJO, which connected to the active convection in the tropical western

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292 Pacific (Li and Carbone 2012), plays an important role in modulating the growing season precipitation  
293 in the Canadian Prairies.

294 The correlation coefficients between the mean regional precipitation anomaly over Canadian  
295 Prairies and MJO-4 indices and MEI from May to August are shown in Table 1. The correlation  
296 between MEI alone and the precipitation anomalies is not significant. The correlation between MJO-4  
297 and precipitation in the Prairies is 0.18 with a p-value of 0.023, which indicates that stronger tropical  
298 convection in the equatorial region ~~centred~~ around 140°E favours less precipitation in the Canadian  
299 Prairies from May to August. When NINO4 is larger than 0, the correlation between MJO-4 and ~~the~~  
300 growing season precipitation is 0.33 with a p-value of 0.0015. Conversely, the correlation between  
301 MJO-4 and Canadian Prairie precipitation is -0.01 when NINO4 < 0.

302 The scatter plot in Fig. 5 shows the distribution of monthly precipitation anomaly versus MJO-4  
303 index and NINO4 index. Circled asterisk denotes a month with precipitation anomaly larger than 18  
304 mm/month and the red (blue) circles denote a negative (positive) precipitation anomaly. The criterion  
305 for precipitation anomaly to be emphasized by the circles is roughly ~~one-third~~ of the mean monthly  
306 precipitation in the growing season. The size of the circle represents the magnitude of the monthly  
307 precipitation anomalies ~~with 6 mm/month interval~~. The bottom-right ~~quadrant~~, indicated by shading,  
308 ~~shows that~~ negative MJO-4 corresponds to ~~many more dry months than wet months~~ ~~under NINO4 > 0~~  
309 ~~conditions~~. We noticed that some significant dry months are not in the shaded area, which corresponds  
310 to the dry months occurring during La Nina or in the period after the mature phase of El Nino (Bonsal *et*  
311 *al.* 1999). Summer drought in the Prairies can occur in both phases of ENSO or any other teleconnection  
312 indices. For example, for the summer drought that happened in the Prairies from 1999 to 2005, the  
313 large-scale anomalous patterns of SST first showed La Nina conditions and then became a weak El Nino  
314 in the latter half of the period (Hanesiak *et al.* 2011). Bonsal and Wheaton (2005) showed that the  
315 tropospheric atmospheric circulation patterns in 2001 and 2002 lacked the typical meridional flow in the

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323 North Pacific and North America during the drought in western Canada. Their results show that the  
324 drought in 1999-2005 was related to the expansion of the continuous drought happened in the US to the  
325 north.

326 The impact of ENSO on the growing season precipitation over Canadian Prairies is investigated,  
327 through Fig. 6. The box-percentile plot in Fig. 6 shows the distribution of monthly Canadian Prairies'  
328 precipitation anomalies from May to August along with different ENSO conditions. In general, under El  
329 Nino and neutral ENSO conditions, the precipitation anomalies are centred around 0, and there is no  
330 bias toward either end. Under La Nina condition, the mean precipitation has a positive bias. There are  
331 only 10 summer months under La Nina condition, whereas there are 71 months under El Nino and  
332 neutral conditions.

333 The distributions of precipitation anomalies versus MJO-4 index under different ENSO  
334 conditions are shown in Fig. 7. For NINO4 > 0, the precipitation anomaly has a negative tendency when  
335 MJO-4 < -0.3. With NINO4 < 0, there is no negative tendency for MJO-4 < -0.3. Therefore, Fig. 6 and 7  
336 agrees with the significant correlation between precipitation and MJO-4 under NINO4 > 0, relative to  
337 ENSO in Table 1.

338 The correlation between MJO-4 and the Prairies' precipitation during the growing season leads  
339 us to investigate the underlying circulation anomalies. Fig. 8 presents the regressed stream function and  
340 wind field at 200 hPa in the mid-latitudes (north of 30°N) on the negative MJO-4 index from May to  
341 August under warm NINO4 SST condition (NINO4 > 0.5). In the tropics (10°S-20°N), during Northern  
342 Hemisphere summer, the OLR, velocity potential, and divergent wind vector are presented. Only  
343 regression patterns having p-values lower than 0.05 are plotted for OLR and velocity potential. The  
344 negative MJO-4 index corresponds to a negative anomaly in OLR, stronger convection and larger than  
345 average divergence at 200 hPa in the region centre around 150°E. The strong convection anomaly

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centres around 150°E, 5°N with divergent wind extending well into the subtropics in the Northern Hemisphere. The positive GPH/stream function anomaly extended from Japan to central Pacific is associated with the enhanced convection and divergence in the upper troposphere over the western tropical-subtropical Pacific. A Rossby wave train linked to the OLR anomaly and strong divergence in the western Pacific propagate eastward into North America, in the extratropics. To better demonstrate the propagation of the wave train, we conducted a ray tracing experiment of stationary Rossby wave following the nondivergent barotropic Rossby wave theory of Hoskins and Karoly (1981) and Hoskins and Ambrizzi (1993). Equation 1 describes the group velocity, which represents the propagation of wave activity.  $C_{gx}$  and  $C_{gy}$  are the group velocity components on zonal and meridional directions;  $\bar{U}$  and  $\bar{V}$  are the mean zonal and meridional winds;  $q$  is the mean absolute vorticity;  $K$ ,  $k$ ,  $l$  are the total wave number, zonal wavenumber, and meridional wavenumber, respectively. The ray path is integrated using a fourth-order Runge-Kutta method.

$$C_{gx} = \bar{U} + \frac{(k^2 - l^2)q_y - 2klq_x}{K^4}$$

$$C_{gy} = \bar{V} + \frac{(k^2 - l^2)q_x + 2klq_y}{K^4}$$

Equation 1

Under the average conditions in May-August derived from ERA-Interim at 200 hPa with NINO4 > 0.5 or NINO4 < -0.5, we released rays with a total wavenumber matching with the mean flow at the extratropical location of the OLR anomaly (140°E-150°E, 25°N-30°N). For quasi-stationary waves, the wavenumber is determined by the basic zonal flow and background absolute vorticity gradient through the Rossby wave dispersion relation. For NINO4 > 0.5 May-August condition,  $K =$

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 $C_{gy} = \bar{V} + \frac{(k^2 - l^2)q_x + 2klq_y}{K^4}$   
 Equation 1

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391 4.14. With this total wavenumber and launching angle from 0- 60° relative to the zonal direction,  
 392 Rossby wave rays (coloured by red, orange to blue according to their angle from 0° to 60°) released at  
 393 140°W, 20°N can propagate successfully to the western Canada for those with smaller launching angles  
 394 (< 30°) as shown the bottom plot in Fig. 9. With NINO4<-0.5, the zonal wind in the source region is  
 395 weaker, and the meridional gradient of absolute vorticity is stronger due to its relative further southern  
 396 position to the subtropical jet. The total wavenumber for stationary Rossby waves is 6.2, determined by  
 397 the mean May-August condition for NINO4 < -0.5. The waves with shorter wavelength tend to be  
 398 evanescent near the source region as shown in the top plot in Fig. 9. However, there is no significant  
 399 difference in ray-path under NINO4 < -0.5 condition compared to NINO4 > 0.5 if the source  
 400 wavenumbers are set to the same value (results not shown). The changes in the mean conditions in the  
 401 midlatitudes away from the source region from El Nino to La Nina are not sufficient to alter the  
 402 propagation condition for quasi-stationary Rossby waves.

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## 404 4 Discussion

405 Summer of 2015 is the first summer after the developing of El Nino during 2014-2015 winter.  
 406 Though the upper-level GPH pattern, seen in summer 2015, can be attributed to the SST modes in the  
 407 Pacific, namely ENSO and NPM, the precipitation in the Western Canadian Prairies is not strongly  
 408 correlated with either. Bonsal and Lawford (1999) found that more extended dry spells tend to occur in  
 409 the Canadian Prairies during the second summer following the mature stage of the El Nino events. The  
 410 winter precipitation in Canada has a strong connection to ENSO (Shabbar *et al.* 1997), whereas summer  
 411 precipitation, in most regions of western Canada (except the coast of British Columbia and Southern

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420 Alberta), does not have a significant correlation with ENSO. This is consistent with our investigation  
421 using instrumental records from 1948 to 2016.

422 Growing season precipitation in the Canadian Prairies is affected by many factors. Precipitation  
423 deficits can occur under various circulation and lower boundary conditions. Thus, it is not expected that  
424 a universal condition for all the significant droughts in the region can be identified. In fact, extreme  
425 drought events have been found in both El Nino and La Nina years. A previous study by Bonsal and  
426 Lawford (1999) indicates the meteorological drought often occurs after the mature phase of El Nino,  
427 which is not the case for 2015. The associated anomaly in the North Pacific represented by NPM  
428 positive phase is consistent with their results. The direct linkage between ENSO and the summer  
429 precipitation in the Canadian Prairies is not clear. In fact, the correlation between MEI and the  
430 precipitation in the investigated region is -0.096 ( $p=0.239$ , sample size = 152). The investigated region's  
431 growing season precipitation does not possess a significant correlation with ENSO, which is consistent  
432 with other researchers' findings (Dai and Wigley 2000).

433 The regression pattern is consistent with stationary Rossby wave theory as shown in a hierarchy  
434 of theoretical and modelling studies (Karoly *et al.* 1989, Simmons *et al.* 1983, Hoskins and Ambrizzi  
435 1993, Ambrizzi and Hoskins 1997, Held *et al.* 2002). A similar wave train extends from the western  
436 Pacific toward extra-tropical South America but at lower latitudes compared to its counterpart in the  
437 Northern Hemisphere (not shown). The node of the wave train in Western Canada and Northwest  
438 Pacific of the US corresponds to an anomalous ridge, which is in-phase of El Nino forcing. When the  
439 convection in the region associated with MJO-4 is weaker than normal ( $MJO-4 > 0$ ), a wave train with  
440 the opposite sign will reach western Canada which then counteracts the El Nino forcing. Thus, the weak  
441 correlation between Canadian Prairie precipitation and ENSO is understandable as MJO plays an  
442 additional role that enhances or cancels out the GPH anomaly caused by El Nino.

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448 In the mid-latitude North America, the atmospheric response to the tropical forcing in the  
449 western Pacific depends on the mean circulation condition associated with tropical SST. Intraseasonal  
450 tropical convection oscillation in the western Pacific associated with the MJO-4 index cannot determine  
451 the sign of the precipitation anomaly in the Prairies alone. Both warm SST in central Pacific and strong  
452 tropical convection in western Pacific and Maritime Continent are essential to cause a significant  
453 precipitation deficit in the western Canadian Prairies. Warm SST in central Pacific causes an eastward  
454 expansion of Pacific warm pool that favours enhanced MJO activity in the western-central Pacific  
455 (Hendon *et al.* 1999, Marshall *et al.* 2016). As shown by the ray-tracing result, the NINO4 also affects  
456 the wavenumber of the quasi-stationary Rossby waves over the source region in the western Pacific.  
457 Under warm NINO4, the wavenumbers tend to be smaller due to stronger westerly in the source region  
458 and these waves can propagate northeastward into western Canada. Conversely, from May to August  
459 under cold NINO4, the westerly is weaker, and the meridional vorticity gradient is stronger in the  
460 subtropics near the source region. These mean flow conditions correspond to waves with larger  
461 wavenumbers that cannot propagate across the dateline.

462 In the year 2015, the SST anomaly in the Pacific (e.g. ENSO, NPM) coincided with the  
463 anomalous ridge on the west coast of Canada. This positive GPH anomaly was associated with the  
464 strong negative MJO4 indices, it then caused a blocking pattern and suppressed precipitation in the  
465 Canadian Prairies in the early summer through the mechanism discussed above. Although the El Nino  
466 continued to strengthen in July and August 2015, the active convection associated with MJO in the  
467 western Pacific propagated eastward into the central Pacific. As the convection in the western  
468 Pacific/Maritime Continent waned, the precipitation in the Canadian Prairie returned to slightly above  
469 normal in July.

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## 473 5 Conclusions

474 The cause of the 2015 summer precipitation deficit in the western Canadian Prairies is  
475 investigated in relation to atmospheric circulation anomalies, SST, and intraseasonal tropical convection  
476 oscillation, MJO. The drought in western Canada is immediately related to an anomalous upper-level  
477 ridge that persisted over the west coast of Canada and Alaska since fall 2014. This ridge was likely  
478 associated with a developing El Nino that was enhanced by the MJO.

479 In general, MJO-4 indices demonstrated significant correlation with the meteorological drought

480 over the Canadian Prairies from May to August when the SST in the central Pacific is warm (NINO4 >

481 0), which also corresponds to a stronger MJO amplitude in boreal summer. Our study discovered that

482 MJO phase/strength is connected to the anomalous ridge over western Canada through the propagation

483 of stationary Rossby waves from the western Pacific when NINO4 is positive. Though seasonally MJO

484 is weaker in summer, the spring and early summer MJO amplitudes are larger than normal when the

485 central Pacific SST is warmer than normal (NINO4 > 0). The teleconnection between the Canadian

486 Prairie precipitation deficit and MJO is stronger when NINO4 is positive. The underlying cause of this

487 significant correlation between MJO-4 indices and the prairie precipitation in May-August is a

488 stationary Rossby wave train originating from the Maritime Continent and western Pacific which

489 propagates into Canada. The raytracing experiments show the main difference between a warm phase of

490 NINO4 and a cold phase is the changes in stationary Rossby wave wavenumber over the source region.

491 Under NINO4 > 0.5 May-August conditions, the total wavenumber is about 4 and can propagate into

492 western Canada if they are oriented relatively zonally. Compared to NINO4 > 0.5, NINO4 < -0.5

493 corresponds to a weaker zonal wind and stronger meridional gradient of absolute vorticity in the

494 subtropics of the source region (140°-150°E). Hence, the wavenumbers of stationary Rossby waves

495 from the source region under NINO4 < -0.5 are larger (about 6), and these waves fail to reach the

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507 Western Hemisphere. The intra-seasonal predictability of the growing season precipitation in the  
508 Canadian Prairies can be potentially improved by including the MJO amplitude and phase factors for  
509 medium-range/intra-seasonal projection in addition to ENSO effect especially when the central-Pacific  
510 SST is warm.

511

512 **Acknowledgment**

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716 Table 1 Correlation between mean precipitation anomaly in the Prairies from CMAP and MEI, MJO  
717 indices 4. MJO indices and CMAP are from 1979 to 2016.

	Correlation	p-value	No. of sample
MEI	-0.096	0.24	156
MJO-4	0.18	0.023	156
MJO-4(NINO4>0)	0.33	0.0015	90
MJO-4(NINO4<0)	-0.01	0.94	66

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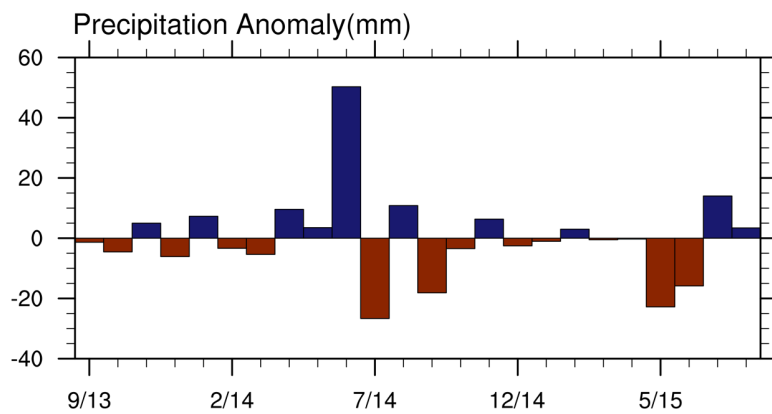
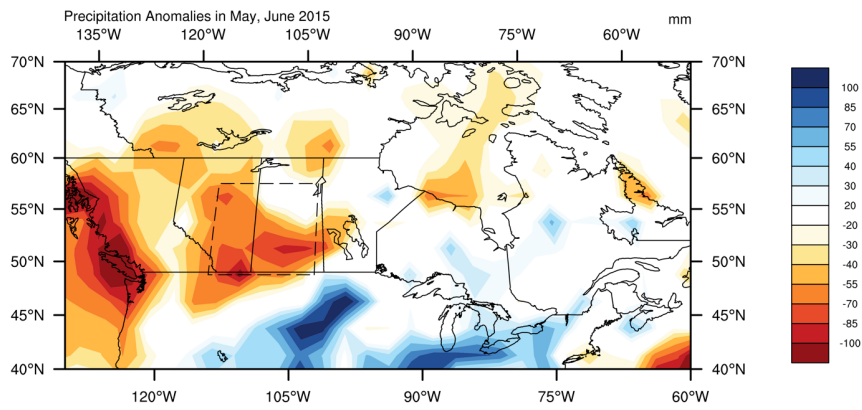
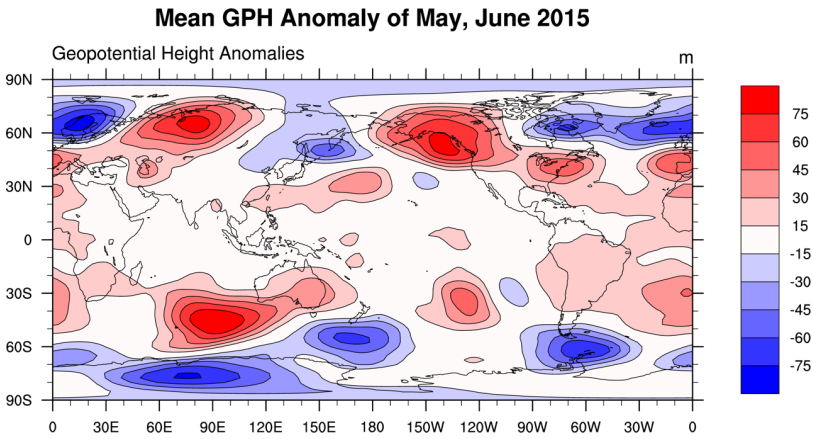


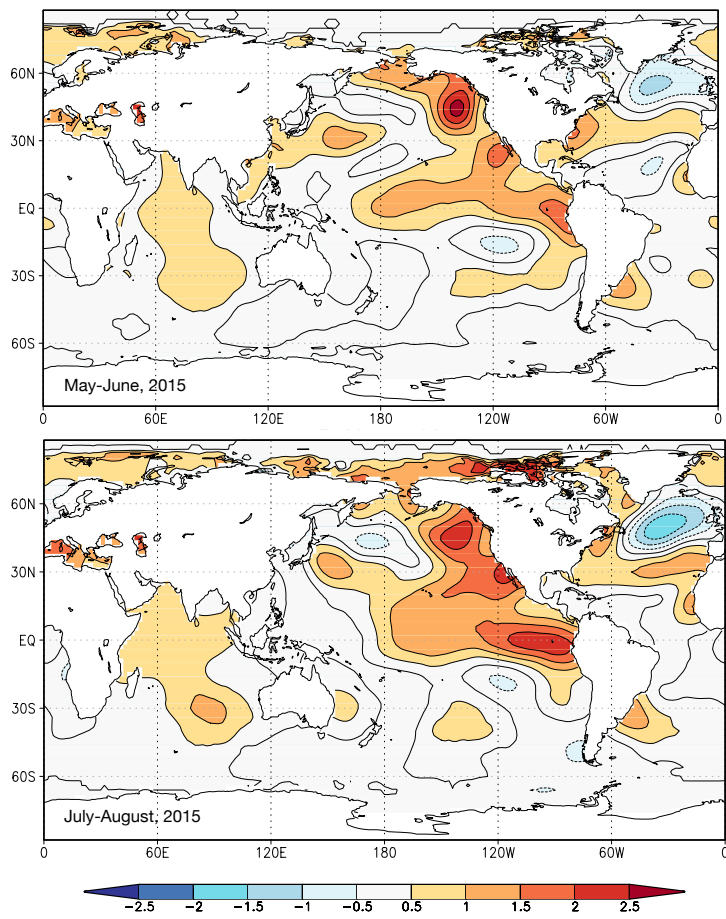
Fig. 1 Top: Precipitation anomalies (mm) from CMAP over the region (115 W-102.5 W, 50 N-57.5 N) during May and June 2015. Bottom: time series of monthly precipitation anomaly over boxed region between September 2013 and August 2015.

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729 Fig. 2 NCEP GPH anomaly at 500hPa during May and June 2015 when the precipitation deficit was the  
730 largest.

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 742 Fig. 3 The mean SST anomaly (°C) from ERSST v4 for May-June and July-August 2015.

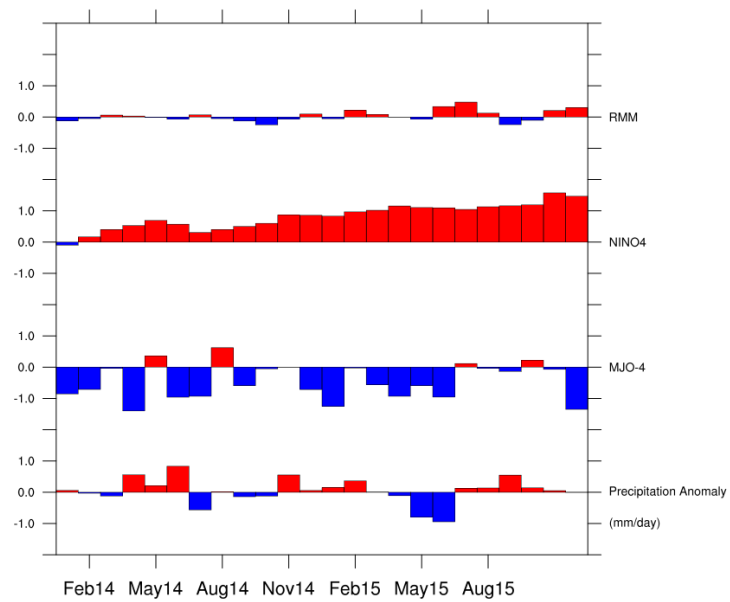
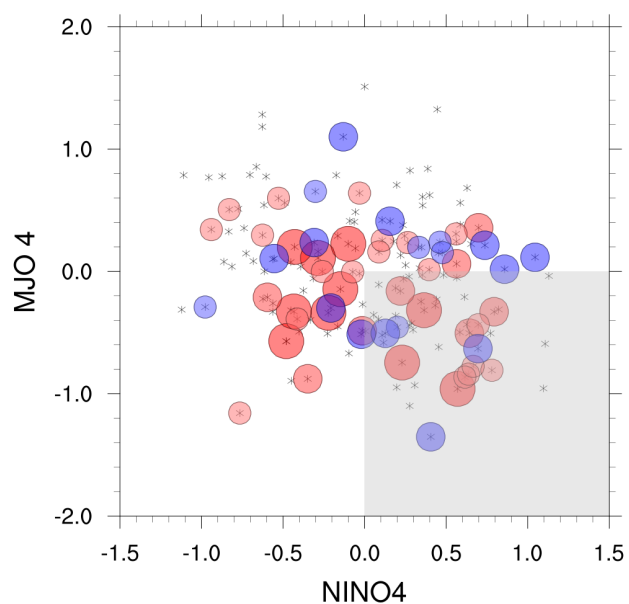


Fig. 4 RMM amplitude anomaly, NINO4, MJO 4 indices and precipitation anomaly of the Canadian Prairies from January 2014 to Dec 2015.

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753 Fig. 5 The scatter plot of monthly precipitation anomaly (mm/month) as a function of MJO-4 and  
 754 NINO4. Each asterisk represents a month from May to August 1979-2016. Circled asterisk denotes a  
 755 month with precipitation anomaly larger than 18 mm/month. The blue circles are months with positive  
 756 precipitation anomaly and the red circles are months with negative precipitation anomaly. The sizes of  
 757 circles denote the magnitudes of the anomalies (large > 30 mm/month, medium > 24 mm/month,  
 758 small > 18 mm/month). The shaded area denotes NINO4 > 0 and MJO-4 index < 0.

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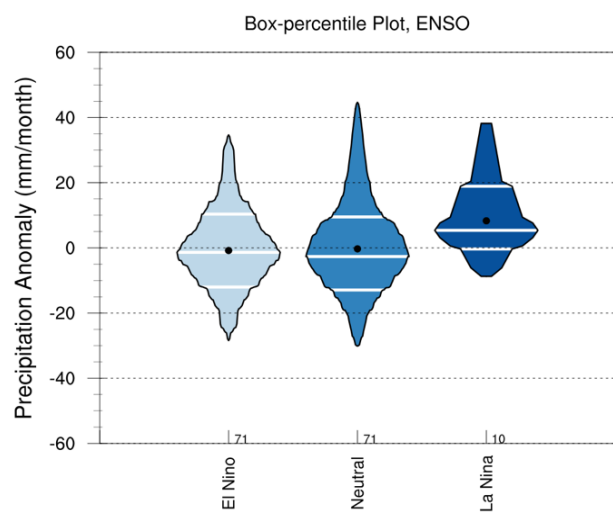
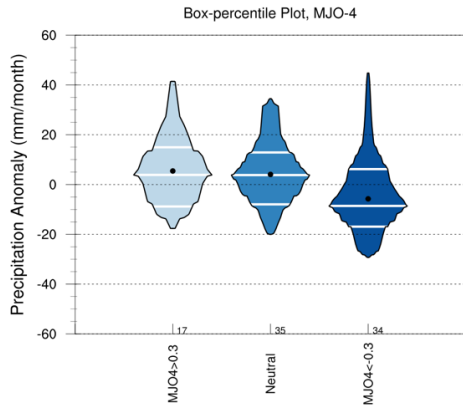


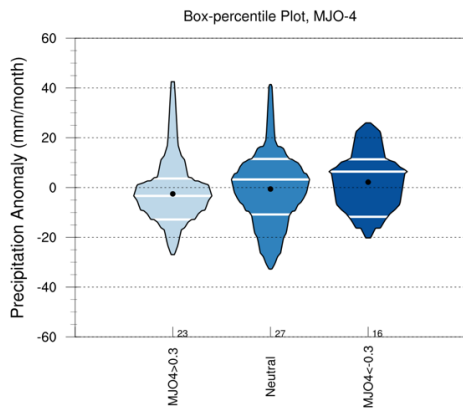
Fig. 6 The box-percentile plot of the Canadian Prairies precipitation anomaly during growing season under different ENSO conditions.

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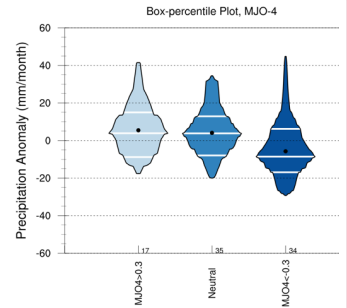
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768 Fig. 7 Box-percentile plots of the Canadian Prairies' precipitation anomaly during growing

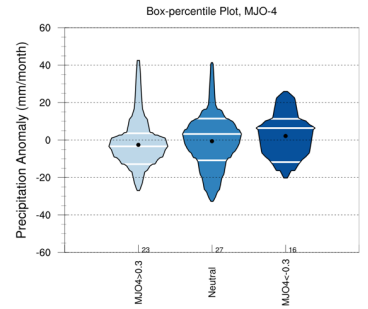
769 season versus MJO-4 under warm NINO4 (NINO4> 0, top) and cold NINO4 (NINO4<0, bottom) SST

770 condition.

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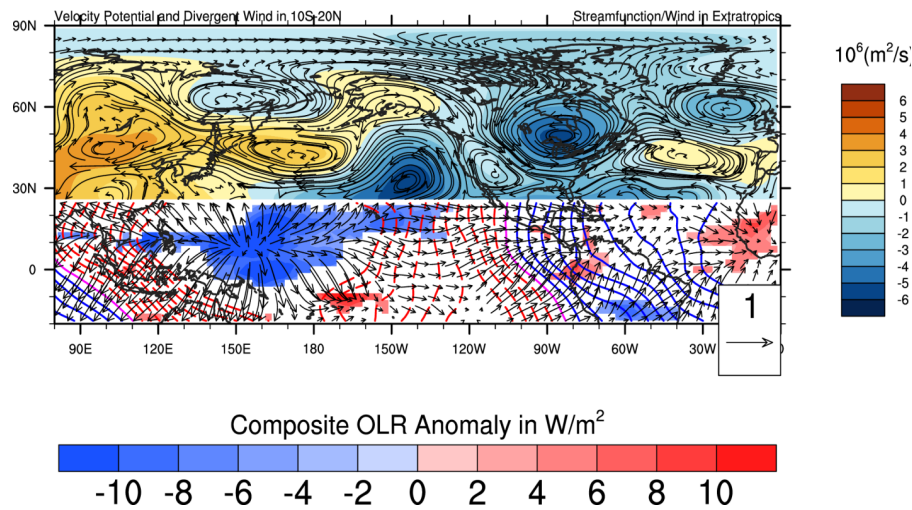
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779 Fig. 8 The regression of stream function, wind field in the extratropics on negative MJO-4 for May-  
780 August with NINO4 > 0.5 condition. In the tropics, the regression of OLR, velocity potential, and  
781 divergent wind on negative MJO-4 indices for May-August with NINO4 > 0.5 condition. The shaded  
782 region for the tropical OLR has p-value < 0.05. Blue shading indicates active convection region. Red  
783 dashed contour and solid blue contour corresponds to negative and positive velocity potential,  
784 respectively.

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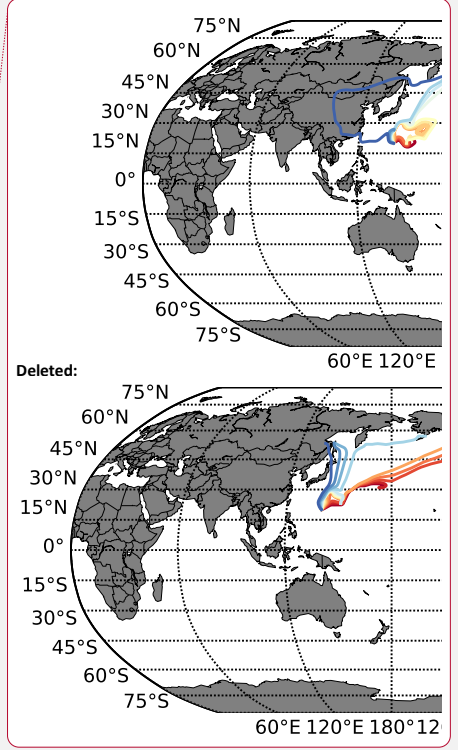
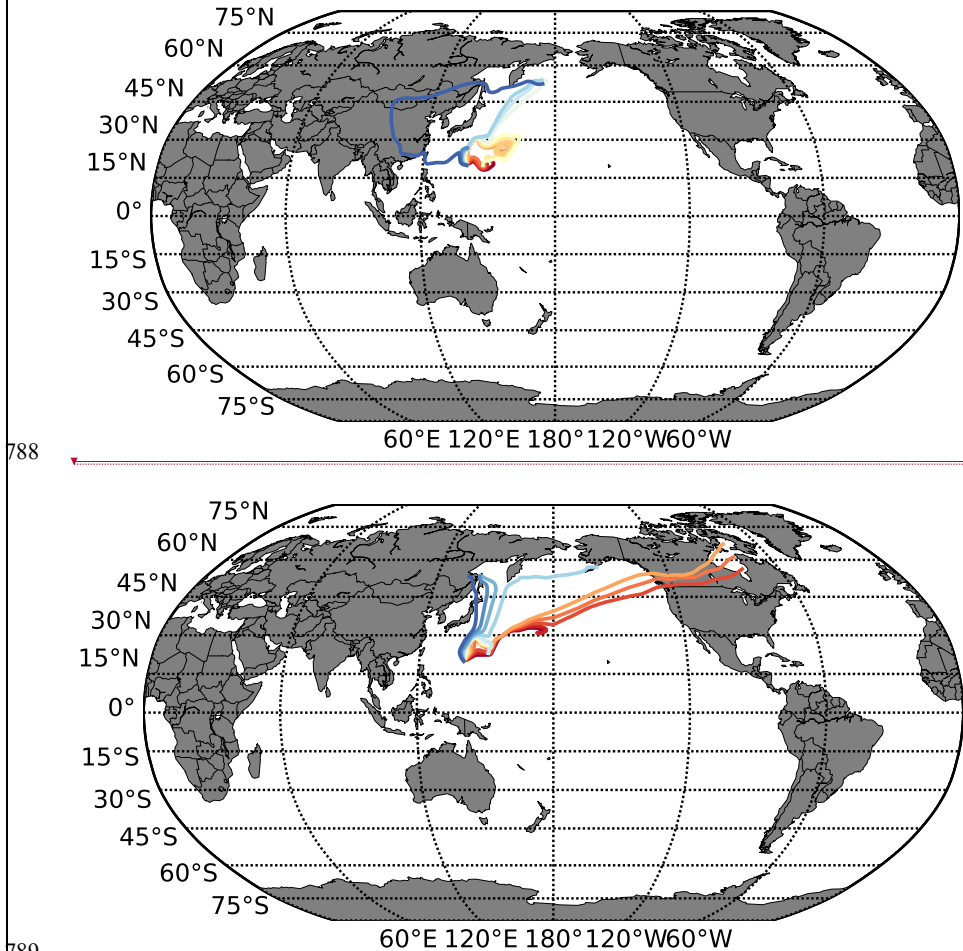


Fig. 9: Ray-tracing result with total wavenumber specified by the mean flow 140-150W and 20-30N for mean May-August condition with NINO4<-0.5 (top) and NINO4>0.5 (bottom). Rays originate from 140E, 20N with angles ranging from 0 (red) to 60 degrees (dark blue) from zonal direction.