



1 Quantifying streamflow and active groundwater storage in response to climate

2 warming in an alpine catchment on the Tibetan Plateau

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15 Abstract

16	Climate warming is changing streamflow regimes and groundwater storage in cold
17	alpine regions. In this study, a headwater catchment named Yangbajain in the Lhasa
18	River basin on the Tibetan Plateau is adopted as the study area for quantifying
19	streamflow changes and active groundwater storage in response to climate warming.
20	The catchment is characterized by alpine glacier and frozen ground which covers about
21	11% and 86% of the total area, respectively. The changes in streamflow regimes
22	(including quickflow and baseflow) and climate factors are evaluated based on hydro-
23	meteorological observations from 1979 to 2013. Then active groundwater storage in
24	autumn and early winter is quantified by recession flow analysis assuming
25	nonlinearized outflow from aquifers into streams. The results show that annual
26	streamflow increases significantly at a rate of about 12.30 mm/10a during this period.
27	The significant increase of annual air temperature compared with nonsignificant
28	variation of annual precipitation indicates that the climate warming takes
29	responsibilities to the increase of streamflow. It is believed that the increased
30	streamflow is mainly fed by glacier meltwater, which has led to over 25% loss of the
31	total glacial volume in the past 50 years (1960-2009) in this catchment. Moreover, the
32	significant increase of annual baseflow at a rate of about 10.95 mm/10a is the dominant
33	factor for the increase of the total streamflow. Through recession flow analysis, we find
34	that recession coefficient K and active groundwater storage S in autumn and early
35	winter increase significantly at the rates of about 7.70 (mm ^{0.79} d ^{-0.21})/10a and 19.32





36	mm/10a during these years. The increase of active groundwater storage can partly be
37	explained by frozen ground degradation, which lead to the enlargement of groundwater
38	storage capacity and accommodate more summer rainfall and meltwater in the wide
39	and flat valley, and then slowly release them into streams in the following seasons. Thus,
40	it is reasonable to attribute the increase of baseflow and the slowdown of baseflow
41	recession process in autumn and early winter to the enlargement of groundwater storage
42	capacity. Through quantifying streamflow changes and active groundwater storage in
43	response to warming-induced changes, this study provides a perspective to clarify the
44	way of glacial retreat and frozen ground degradation on hydrological processes.
45	Keywords: Climate warming; Streamflow; Groundwater storage; Glacier retreat;
46	Frozen ground degradation; Tibetan Plateau
47	1. Introduction
48	Often referred to as the "Water Tower of Asia", the Tibetan Plateau (TP) is the source
49	area of major rivers in Asia, e.g., the Yellow, Yangtze, Mekong, Salween, Indus, and

area of major rivers in Asia, e.g., the Yellow, Yangtze, Mekong, Salween, Indus, and 49 50 Brahmaputra Rivers (Cuo et al., 2014). The delayed release of water resources on the 51 TP through glacier melt can augment river runoff during dry periods as a pivotal role 52 for water supply for downstream populations, agriculture and industries in these rivers 53 (Viviroli et al., 2007; Pritchard, 2017). However, the TP is experiencing a significant warming trend during the last half century (Kang et al., 2010; Liu and Chen, 2000). 54 55 Along with the rising temperature, major warming-induced changes have occurred over 56 the TP, such as glacier retreat (Yao et al., 2004; Yao et al., 2007) and frozen ground





57	degradation (Wu and Zhang, 2008). Hence, it is of great importance to elucidate how
58	climate warming influences hydrological processes and water resources on the TP.
59	In cold alpine catchments, glacier is known as "solid reservoir" that supplies water
60	as streamflow, while frozen ground, especially permafrost, servers as an impermeable
61	barrier to the interaction between surface water and groundwater (Immerzeel et al.,
62	2010; Walvoord and Kurylyk, 2016). Since the 1990s, most glaciers across the TP have
63	retreated rapidly due to global warming and caused an increase of more than 5.5% in
64	river runoff from the plateau (Yao et al., 2007). Meltwater is the key contributor to
65	streamflow increase especially for headwater catchments with larger glacier coverage
66	(>5%) (Bibi et al., 2018). Meanwhile, in a warming climate, numerous studies
67	suggested that frozen ground on the TP has experienced a noticeable degradation during
68	the past decades (Cheng and Wu, 2007; Wu and Zhang, 2008). Frozen ground
69	degradation can modify surface conditions and change thawed active layer storage
70	capacity in the alpine catchments (Niu et al., 2011). Thawing of frozen ground increases
71	surface water infiltration, supports deeper groundwater flow paths, and then enlarges
72	groundwater storage, which is expected to have a profound effect on flow regimes
73	(Bense et al., 2009; Bense et al., 2012; Walvoord and Striegl, 2007; Woo et al., 2008;
74	Ge et al., 2011; Walvoord and Kurylyk, 2016). In cold alpine catchments where large
75	areas of glacier and frozen ground exist, warming-induced glacier and frozen ground
76	co-variations fundamentally affect the water supply and the mechanisms of streamflow
77	generation and change (Cuo et al., 2014; Pritchard, 2017).





78 It is challenging to understand how glacier melt and frozen ground thaw alters the 79 mechanism of streamflow in a warmer climate due to the complicated interactions 80 between hydrological and cryospheric processes. In earlier phase of glacier melt, 81 accelerated glacier retreat will bring large quantities of meltwater available directly for 82 surface runoff or indirectly for groundwater recharge (Bayard et al., 2005). Meanwhile, 83 frozen ground thawing may allow for increased groundwater recharge from meltwater infiltration (Evans and Ge, 2017). Generally, climate warming is hypothesized to 84 85 generate a quantitative and temporal shift in the partitioning of meltwater between 86 surface runoff and groundwater flow, and thereby alter the quantity and timing of 87 baseflow (Green et al., 2011; Evans et al., 2018). Evans et al. (2015) found that an 88 increase in mean annual surface temperature of 2°C reduced approximately 28% areal 89 extent of permafrost and tripled baseflow contribution to streamflow using a physically 90 based groundwater model in a headwater catchment of the Heihe River on the northern 91 TP. Qin et al. (2016) discovered that the increasing precipitation and the thawing of 92 frozen ground were the main factors on the increase of baseflow with no significant 93 change in surface runoff in the upper Heihe River basin of the northeastern TP. Previous 94 data-based studies indicated that the baseflow has increased especially during winter 95 with a reduction or no pervasive change in summer streamflow in the central and 96 northern TP (Liu et al., 2011; Niu et al., 2016) as well as the Arctic rivers (Walvoord 97 and Striegl, 2007; Smith et al., 2007; St. Jacques and Sauchyn, 2009). Moreover, Bense 98 et al. (2012) suggested that the increasing groundwater storage caused by frozen ground





99	degradation would delay baseflow increase possibly by several decades to centuries
100	based on numerical simulations. The slowdown in baseflow recession processes was
101	found in the northeastern and central TP (Niu et al., 2011; Niu et al., 2016; Wang et al.,
102	2017), in northeastern China (Duan et al., 2017), and in Arctic rivers (Lyon et al., 2009;
103	Lyon and Destouni, 2010; Walvoord and Kurylyk, 2016).
104	While, previous qualitatively studies were important for understanding the effects of
105	climate warming on hydrological changes in cold alpine catchments (Niu et al., 2011;
106	Niu et al., 2016; Wang et al., 2017). However, quantitatively characterizing storage
107	properties and sensitivity to climate warming in cold alpine catchments is important for
108	local water as well as downstream water management (Staudinger, 2017). Moreover,
109	revealing the storage characteristics makes it easier to predict hydrological cycle and
110	streamflow changes response to warming climate in cold alpine catchments (Singleton
111	and Moran, 2010). Thus, this study focuses on quantifying streamflow and aquifer
112	storage volume response to changes in glacier melt and frozen ground thaw at
113	catchment scale on the southern TP. Given the difficulty of direct measurements for
114	catchment aquifer storage (Staudinger, 2017; Käser and Hunkeler, 2016) and low
115	spatial resolution for the GRACE satellites to assess total groundwater storage changes
116	at catchment scale (Green et al., 2011), an alternative method, namely, recession flow
117	analysis, can be theoretically used to derive the active groundwater storage volume in
118	the phreatic aquifer to reflect frozen ground degradation in a catchment (Brutsaert and
119	Nieber, 1977; Brutsaert et al., 2008). For example, the groundwater storage changes





120	have been inferred by recession flow analysis assuming linearized outflow from
121	aquifers into streams (Lin and Yeh, 2017). However, the non-linear of the storage
122	discharge relationship dominates baseflow recession processes for most catchments due
123	to the complex structures and properties of catchment aquifers (Chapman, 1999; Liu et
124	al., 2016). Moreover, groundwater storage computed by assuming the aquifers as linear
125	reservoir cannot reflect the actual storage (Wittenberg, 1999). Lyon et al. (2009)
126	adopted the non-linear reservoir to fit flow recession curves for derivation of aquifer
127	attributes, which can be developed for inferring aquifer storage. Buttle (2017) used
128	Kirchner (2009) approach for estimating dynamic storage in different basins and found
129	that storage and release of dynamic storage may mediate baseflow response to temporal
130	changes.

131 In this study, the long-term changes in streamflow and climate factors in a glacier-132 fed headwater catchment with frozen ground in the Lhasa River basin of the south-133 central TP is analyzed using non-parametric tests during the period 1979-2013. The 134 First and Second Glacier Inventory of China is used to assess the response of glacier 135 variations to climate warming. Changes in streamflow components, baseflow recession 136 process and active groundwater storage are examined. The main objectives of this study are (1) to identify the water source for streamflow changes in climate warming; (2) to 137 138 discuss the water volume changes in the partitioning between surface runoff and 139 groundwater flow due to changes in glacier melt and frozen ground thaw; (3) to quantify 140 active groundwater storage volume by recession flow analysis assuming nonlinearized





- 141 outflow from aquifers into streams, and to analyze the impacts of the changes in active
- 142 groundwater storage on streamflow variation.
- 143 2. Materials and Methods
- 144 **2.1. Study area**

145 Located on the south-central TP, the Yangbajain catchment is a glacier-fed headwater 146 catchment with highly frozen ground coverage in the western part of the Lhasa River Basin (Figure 1a). The catchment has an area of approximately 2,645 km^2 and its 147 148 elevations range from 4,270 to 6,400 m (Figure 1b). In the east of the catchment, the 149 wide and flat valley (Figure 1b) is located in the Damxung-Yangbajain fault of the 150 southeastern piedmont of Nyainqêntanglha Mountains (Jiang et al., 2016; Yang et al., 151 2017) with low-lying flat terrain and thicker aquifers due to the great thickness 152 quaternary loose sediment (Wu and Zhao, 2006). The coverage of glacier area is about 153 11% in the catchment, which is the highest glacierized sub-catchment in the Lhasa River Basin. The total glacier area was about 316.31 km² in 1960 according to the First 154 155 Chinese Glacier Inventory (Mi et al., 2002) and most glaciers were found along the 156 Nyainqêntanglha Mountains range (Figure 1c). The ablation period of the glaciers 157 ranges from June to September with the glacier termini at about 5,200 m (Liu et al., 158 2011). According to the new map of permafrost distribution on the TP (Zou et al., 2017), 159 the wide and flat valley is underlain by seasonally frozen ground (Figure 1c). It is 160 estimated that seasonally frozen ground and permafrost accounts for about 64% and 22% 161 of the total catchment area, respectively. The lower limit of alpine permafrost is around





162	4,800 m, and the thickness of permafrost varies from 5 m to 100 m (Zhou et al., 2000).
163	The climate in the catchment is characterized by semi-arid temperate monsoon
164	climate. The average annual air temperature of the Yangbajain catchment is
165	approximately -2.3°C with monthly variation from -8.6°C in January to 3.1°C in July
166	(Figure 2). The average annual precipitation at the Yangbajain station (4,305 m) in the
167	valley is about 427 mm. The intra-annual distribution of precipitation is extremely
168	uneven due to the pronounced rainy season during the summer monsoon (June-August)
169	and the dry season lasting the rest of the year. Nearly 73% of the total precipitation
170	occurs in summer, while only 1% of the precipitation occurs in winter (December-
171	February) (Figure 2).
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180 Daily streamflow and precipitation data at the Yangbajain station (4,305 m) during 181 the period 1979-2013 are collected from Tibet Autonomous Region Hydrology and 182 Water Resources Survey Bureau. The monthly meteorological data at the Damxung





183	station (4,289 m), which is neighbor to the Yangbajain catchment (Figure 1a), are
184	obtained from the China Meteorological Data Sharing Service System
185	(http://data.cma.cn/) for the years from 1979 to 2013. In this study, the method of
186	meteorological data extrapolation by Prasch et al. (2013) is adopted to obtain the
187	discretisized air temperature (with cell size as 1 km \times 1 km) of the Yangbajain catchment
188	based on the air temperature of the Damxung station assuming a linear lapse rate. The
189	mean monthly lapse rate is set to 0.44 $^{\circ}\text{C}$ 100 $\text{m}^{\text{-1}}$ with elevation below 4,965 m and
190	0.78 °C 100 m ⁻¹ with elevation above 4,965 m in the catchment (Wang et al., 2015).
191	The glacier and frozen ground data are provided by the Cold and Arid Regions
192	Science Data Center at Lanzhou (<u>http://westdc.westgis.ac.cn/</u>). The distribution, area
193	and volume of glacier are based on the First and Second Chinese Glacier Inventory in
194	1960 and 2009. The distribution and classification of frozen ground are collected from
195	a new map of permafrost distribution on the Tibetan Plateau (Zou et al., 2017).
196	2.3. Methods
197	2.3.1. Mann-Kendall test with trend free pre-whitening
198	The Mann-Kendall (MK) test is applied to detect trends of hydro-meteorological time
100	

series, which is robust against outliers and is suitable for data with non-normally
distributed or non-linear trends (Mann, 1945; Kendall, 1975). To remove the serial
correlation from the examined time series, a Trend-Free Pre-Whitening (TFPW)
procedure is needed prior to applying the MK test (Yue et al., 2002). A more detailed
description of the Trend-Free Pre-Whitening (TFPW) approach was provided by Yue



(1)



- et al. (2002).
- 205 The MK test statistic *s* is calculated as

- 06 $s = \sum_{i=1}^{n-1} \sum_{j=i+1}^{n} \operatorname{sgn}(x_j x_i)$
- 207 where, x_j and x_i are the data values in sequence, n is the sequence length, and sgn (x_j -
- $208 x_i$) are recorded as

209
$$\operatorname{sgn}(x_{j} - x_{i}) = \begin{cases} 1, & x_{j} > x_{i} \\ 0, & x_{j} = x_{i} \\ -1, & x_{j} < x_{i} \end{cases}$$
(2)

210 The variance of *s* is proposed by the equation (3)

211
$$\operatorname{Var}(s) = \frac{n(n-1)(2n+5)}{18}$$
(3)

212 Then, the standardized test statistic Z_C can be transformed from statistical value s,

and is computed by equation (4)

214
$$Z_{c} = \begin{cases} \frac{s-1}{\sqrt{\operatorname{Var}(s)}} & s > 0\\ 0 & s = 0\\ \frac{s+1}{\sqrt{\operatorname{Var}(s)}} & s < 0 \end{cases}$$
(4)

When $|Z_C| \le 1.96$, there is no significant trend. The trend is at the 5% significance level if $|Z_C| > 1.96$, and at the 1% significance level if $|Z_C| > 2.58$. A positive value of Z_C indicates an upward trend, whereas a negative value indicates a downward trend in the tested time series.

219 The trend magnitude is computed by Theil-Sen estimator (Sen, 1968)

220
$$\beta = \operatorname{median}\left(\frac{x_i - x_j}{i - j}\right), \forall j < i$$
 (5)





- 221 where 1 < j < i < n, a positive value of β indicates an upward trend, and a negative value
- 222 indicates a downward trend.
- 223 2.3.2. Baseflow separation

In this paper, the most widely used one-parameter digital filtering algorithms is

adopted for baseflow separation (Lyne and Hollick, 1979). The first filter equation is

226 expressed as

227
$$q_{t} = \alpha q_{t-1} + \frac{1+\alpha}{2} (Q_{t} - Q_{t-1})$$
(6)

- $b_t = Q_t q_t \tag{7}$
- 229 where q_t and q_{t-1} are the filtered quickflow at time step t and t-1, respectively; Q_t and

230 Q_{t-1} are the total runoff at time step t and t-1; b_t is the filtered baseflow. α is the filter

231 parameter, ranging from 0.9 to 0.95.

232 2.3.3. Determination of active groundwater storage

The method of recession flow analysis is widely used to investigate the baseflow recession characteristics and the storage discharge relationship of catchments (Gao et al., 2017). Physical considerations based on hydraulic groundwater theory suggest that the groundwater storage in a catchment can be approximated as a power function of baseflow rate at the catchment outlet (Brutsaert, 2008)

$$S = Ky^m \tag{8}$$

where *y* is the rate of baseflow in the stream in a catchment, *S* is the volume of active groundwater storage in the catchment aquifers (see in Figure 3), abbreviated as groundwater storage in the following context. And *K* and *m* are constants depending on





242	the catchment physical characteristics. K represents the time scale of the catchment
243	streamflow recession process, commonly referred to as baseflow recession coefficient.
244	During a period without precipitation and evapotranspiration, the flow in a stream
245	can be assumed to depend solely on the groundwater storage from the upstream aquifers.
246	For such baseflow conditions, the conservation of mass equation can be represented as
247	$\frac{dS}{dt} = -y \tag{9}$
248	where t is the time. Substitution of equation (8) in equation (9) yields
249	$-\frac{dy}{dt} = ay^b \tag{10}$
250	where dy/dt is the temporal change of the baseflow rate during recessions, and the
251	constants a and b are called the recession intercept and recession slope of plots of $-dy/dt$
252	versus y in log-log space, respectively. The parameters of K and m in equation (8) can
253	be expressed by a and b, where $K = 1/[a(2-b)]$ and $m = 2-b$. In the storage
254	discharge relationship, the aquifer responds as a linear reservoir if $b=1$, and as non-
255	linear reservoir if $b \neq 1$.
256	In our study, the baseflow recession data are selected from the streamflow
257	hydrographs, which remarkably decline for at least 3 days after rainfall ceases and
258	remove the first 2 days to avoid the impact of storm flow (Brutsaert and Lopez, 1998).

A variable time interval Δt is used to properly scale the observed drop in streamflow to avoid discretization errors on $-dy/dt \sim y$ plot due to measurement noise, especially in the log-log space (Rupp and Selker, 2006; Kirchner, 2009). Meanwhile, the difference of baseflow Δy in the catchment exceeds a critical precision threshold Δy_{crit} of 0.02





263	mm/day. Then the constants a and b are fit by using a non-linear least squares through
264	all data points of $-dy/dt$ versus y in log-log space for all years (1979-2013) to avoid the
265	difficulty of defining a lower envelop of the scattered points (Lyon et al., 2009). With
266	the fixed slope b during recessions (i.e., $b \neq 1$ remains constant), it should be possible to
267	observe changes in catchment aquifer properties by fitting the intercept a as a variable
268	across different years. Since the values of K and m for each year can be calculated by
269	fitting recession intercept a and the fixed slope b , the groundwater storage S in a
270	catchment is obtained through equation (8) based on average rate of baseflow during
271	recessions.

272 3. Results and Discussion

273 **3.1. Variation of annual streamflow and its components**

274 The annual streamflow of the Yangbajain catchment shows an increasing trend at the 275 5% significance level with a mean rate of about 12.30 mm/10a over the period 1979-276 2013 (Table 1 and Figure 4a). Meanwhile, annual mean air temperature exhibits an 277 increasing trend at the 1% significance level with a mean rate of about 0.28 °C/10a 278 (Table 1 and Figure 5a). However, annual precipitation has nonsignificant trend during 279 this period (Table 1 and Figure 5b). The similar variation trends between annual 280 streamflow and annual air temperature indicate that the changes of air temperature may 281 act as a primary climatic factor for streamflow increase.

As the significant rising of air temperature, glacier in the catchment has been retreating continuously. According to the twice Chinese Glacier Inventory (I & II





284	volume) in 1960 and 2009, the total glacial area and volume have decreased by 38.06
285	km^2 (12.0%) and 0.47×10^{10} m^3 (26.2%) over the past 50 years (Figure 6). With the
286	nonsignificant increase of annual precipitation, it is reasonable to attribute annual
287	streamflow increase to the accelerated glacier retreat as the consequence of increasing
288	annual air temperature. This conclusion is also consistent with previous results by
289	Prasch et al. (2013), who suggested that glacial meltwater contribution to streamflow
290	would remain increase in the Yangbajain catchment together with significant increase
291	in streamflow and nonsignificant trend in precipitation by quantifying present and
292	future glacier meltwater contribution to runoff.
202	Orangli the convel mean headland entributed shout 500/ of survey and

293 Overall, the annual mean baseflow contributes about 59% of annual mean 294 streamflow in the catchment through baseflow separation method. As annual 295 streamflow increases significantly, it is necessary to analyze to what extent the changes 296 in two streamflow components lead to streamflow increase. The result shows that 297 annual baseflow exhibits a significant increasing trend at the 1% level with a mean rate 298 of about 10.95 mm/10a over the period 1979-2013 (Table 1 and Figure 4b). This trend 299 is statistically nonsignificant for annual quickflow during the period (Table 1). Thus, 300 the increase in baseflow is the main contributor to streamflow increase. It can be further 301 concluded that streamflow is recharged by the increased meltwater from the accelerated 302 glacier retreat which may be partly stored in soil and aquifers in the wide and flat valley 303 (Figure 1b), and subsequently discharge into streams as baseflow.

304





305 **3.2. Variation of seasonal streamflow and its components**

306	The hydrograph of the Yangbajing catchment shows obvious intra-annual variation
307	(Figure 2). Streamflow sources and main components also change with the streamflow
308	magnitude. The variation trends of streamflow regimes also change across seasons. In
309	autumn, winter, and spring, both streamflow and baseflow show significant increasing
310	trends at least at the 5% level (Figures 7c, 7d and 7a). However, quickflow exhibits
311	nonsignificant trend for all seasons (Table 1). Streamflow increases significantly at the
312	5% level in autumn and the increasing trends reach the significant level of 1% in winter
313	and spring. Baseflow increases significantly at the 1% level in spring and autumn and
314	the increasing trend is at the 5% significance level in winter. However, the trends are
315	not statistically significant for both streamflow and its two components (quickflow and
316	baseflow) in summer (Figure 7b). As to the meteorological factors, mean air
317	temperature in all seasons increase significantly at the 1% level especially during winter
318	with the rate of about 0.51° C/10a (Table 1 and Figure 8), whereas precipitation in each
319	season shows nonsignificant trend during these years (Table 1).

Compared with monsoon rainfall as the main water source for summer which accounts for about 73% of the total precipitation in the whole year, the corresponding meltwater from glacier is considerable but its contribution to streamflow is limited. Moreover, the summer meltwater and rainfall will partly infiltrate into soils and aquifers. Carey and Quinton (2004) suggests that in snow and permafrost catchments with the thin river valley and the steep slopes, meltwater infiltrates soils and resides in temporary





storage at the beginning of the melt period, and then are allowed to rapidly drain through
surface layers. However, due to thicker aquifers in the wide and flat catchment valley
(Figure 1b), summer meltwater and rainfall stored in aquifers are allowed to release
slowly from groundwater storage as baseflow in the following seasons, which has led
to the stability of baseflow in summer and the significant increase of baseflow in
autumn, winter and spring.

332 **3.3.** Variation of baseflow recession rate and groundwater storage

333 Using the data selected procedure mentioned in the section 2.3.3, we adopted daily 334 streamflow and precipitation records from September to December (the autumn and 335 early winter) over the period 1979-2013 in the catchment, during which the hydrograph 336 with little precipitation usually declines consecutively and smoothly. The fitted slope b 337 is equal to 1.79 through the non-linear least square fit of equation (10) for all data points 338 of -dy/dt versus y in log-log space during the period 1979-2013. With the fixed slope 339 b=1.79, the recession coefficient K and groundwater storage S can be quantified by all 340 decades of the 1980s, 1990s and 2000s, and year-to-year from 1979 to 2013. For each 341 decade, the recession intercept a could be fitted by the fixed slope b=1.79. Then, the 342 values of K and m for each decade can be determined with the fitted recession intercept 343 a and the fixed slope b. And the groundwater storage S for each decade can be directly 344 estimated from the average rate of baseflow during recession period and the values of 345 K and m through equation (8). Meanwhile, the recession coefficient K and groundwater 346 storage S for each year can also be calculated according to the above procedure.





347	Figure 9 shows the non-linear least square fit of equation (10) to the plot of $-dy/dt$
348	versus y in log-log space for all recession data points of the observation records for each
349	decade of the 1980s, 1990s and 2000s, respectively. As shown in Figure 9, the recession
350	data points and fitted recession curves of each decade gradually move downward as
351	time goes on. It indicates that, with the fixed slope b , the recession intercept a gradually
352	decreases and recession coefficient K gradually increases. The values of recession
353	coefficient K for each decade are respectively 77 mm ^{0.79} d ^{-0.21} , 84 mm ^{0.79} d ^{-0.21} and 103
354	$mm^{0.79}d^{-0.21}$ in the 1980s, 1990s and 2000s through recession flow analysis, which is
355	consistent with the results in Figure 9. Figure 10a shows the inter-annual variation of
356	recession coefficient K during the period 1979-2013. The recession coefficient K
357	increases slowly in the 1980s, fluctuates slightly in the 1990s and increases rapidly in
358	the 2000s. But its overall increasing trend is similar to the results obtained from decades
359	analysis. The trend of recession coefficient K shows significant increase at the 5% level
360	at a rate of about 7.70 $(mm^{0.79}d^{-0.21})/10a$ from 1979 to 2013. This long-term variation
361	of recession coefficient K from September to December indicates that baseflow
362	recession process during autumn and early winter gradually slows down in the
363	catchment.
364	The mean values of groundwater storage <i>S</i> for each decade are 130 mm, 148 mm and

The mean values of groundwater storage *S* for each decade are 130 mm, 148 mm and 188 mm in the 1980s, 1990s and 2000s, respectively. The trend analysis suggests that the groundwater storage *S* shows an increasing trend at the 5% significance level with a rate of about 19.32 mm/10a during the period 1979-2013 (Figure 10b). It indicates





368	that groundwater storage has been enlarged during autumn and early winter. The long-
369	term trend of groundwater storage S from 1979 to 2013 is consistent with the values
370	across decades. The inter-annual variation of groundwater storage S is also similar with
371	recession coefficient <i>K</i> (Figure 10a and 10b).
372	The increased groundwater storage S in autumn and early winter is associated with

373 the hypothesis that frozen ground degradation due to the significant rising air temperature during autumn and winter (Figure 8c and 8d), which can enlarge 374 groundwater storage capacity (Niu et al., 2016). Figure 3 depicts the changes of surface 375 376 flow and groundwater flow paths in a glacier-fed and underlying-frozen ground 377 catchment under past climate and warmer climate, respectively. As frozen ground 378 extent continues to decline and active layer thickness continues to increase in the wide 379 and flat valley, the enlargement of groundwater storage capacity can provide enough 380 storage space to accommodate increasing meltwater, and support more meltwater to 381 percolate into deeper aquifers rather than surface layers, and thereby increase 382 groundwater storage in the valley floor (Figure 3). Then, the increase of groundwater 383 storage in autumn and earlier winter allows more groundwater discharge into streams 384 as baseflow, and lengthens the time scale of the baseflow recession process indicated 385 by recession coefficient K. This leads to increase baseflow and slow baseflow recession 386 processes in autumn and early winter, as is shown in Figure 7c, 7d and Figure 10a. In 387 the late winter and spring, the increase of baseflow (Figure 7d and 7a) can be explained 388 by the delayed release of increased groundwater storage.





389 **4. Conclusions**

390	In this study, the changes of hydro-meteorological variables were evaluated to
391	identify the main climatic factor for streamflow increase during the period 1979-2013
392	of the Yangbajain catchment, a sub-catchment with larger glacierization and large-scale
393	frozen ground in the Lhasa River basin in the south-central TP. We analyzed the changes
394	of streamflow components through baseflow separation method. We quantified
395	baseflow recession process and active groundwater storage in autumn and early winter
396	by recession flow analysis assuming nonlinearized outflow from aquifers into streams,
397	and analyzed the seasonal variations of streamflow and its components in response to
398	the changes in active groundwater storage.
399	We find that the increase of annual streamflow is mainly due to the increase of annual
400	baseflow, which is caused by increased temperature rather than precipitation in the
401	long-term period. The decreased glacial volume due to climate warming has supplied
402	large quantities of glacial meltwater which recharges aquifers and resides in temporary
403	storage during summer, and then releases as baseflow during the following seasons.
404	Moreover, the increase of active groundwater storage in autumn and early winter can
405	partly be attributed to the enlargement of groundwater storage capacity by frozen
406	ground degradation, which can provide storage spaces for increased glacial meltwater.
407	This can partly explain why baseflow volume increases and baseflow recession process
408	slows down in autumn, winter, and spring seasons.
409	This study provides a fundamental understanding of the changes in streamflow and





- 410 groundwater storage under warming climate. It is of great importance to predict the
- 411 effects of future climate changes on water resources and hydrological processes in
- 412 highly glacier-fed and large-scale frozen ground regions. Further analysis is needed to
- 413 quantify summer meltwater contribution to streamflow, and to explore the change of
- 414 groundwater storage capacity as frozen ground continues to degrade.

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	Air ten	Air temperature	Preci	Precipitation	Strea	Streamflow	Base	Baseflow	Qui	Quickflow
	\mathbf{Z}_{C}	β (°C/a)	Z_C	β (mm/a)	Z_C	β (mm/a)	\mathbf{Z}_{C}	β (mm/a)	\mathbf{Z}_{C}	β (mm/a)
Spring	2.73^{**}	0.026	06.0	0.290	3.05**	0.206	2.99**	0.147	0.98	0.042
ummer	2.63^{**}	0.013	1.30	2.139	0.92	0.549	1.27	0.429	0.50	0.128
Autumn	2.65^{**}	0.024	-0.68	-0.395	2.46*	0.546	2.96^{**}	0.476	0.80	0.074
Winter	3.49**	0.051	-0.46	-0.014	3.08^{**}	0.204	2.13^{*}	0.145	1.39	0.016
Annual	4.48^{**}	0.028	1.28	2.541	2.07*	1.230	2.70^{**}	1.095	0.77	0.327

Table 1. Mann-Kendall trend test with trend-free pre-whitening of seasonal and annual mean air temperature (°C), precipitation (mm),

Comment: the symbols of asterisks *and ** mean statistically significant at the levels of 5% and 1%, respectively.

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598 Figure captions

- 599 Figure 1. (a) The location, (b) elevation distribution, and (c) glacier and frozen ground
- 600 distribution (Zou et al., 2017) in the Yangbajain catchment of the Lhasa River basin in
- 601 the TP.
- 602 Figure 2. Seasonal variation of runoff depth (R), mean air temperature (T), and
- 603 precipitation (*P*) in the Yangbajain catchment.
- 604 Figure 3. Diagram depicting surface flow and groundwater flow due to glacier melt
- and frozen ground thaw under (a) past climate and (b) warmer climate. Blue lines with
- arrows are conceptual surface flow paths. Dark blue lines with arrows are conceptual
- 607 groundwater flow paths (after Evans and Ge. (2017)).
- 608 Figure 4. Variations of annual (a) runoff and (b) baseflow depth from 1979 to 2013.
- 609 Figure 5. Variations of annual (a) mean air temperature and (b) precipitation from 1979
- 610 to 2013.
- 611 Figure 6. The total area and volume of glaciers in the Yangbajain catchment in 1960
- 612 and 2009.
- 613 **Figure 7.** Variations of seasonal runoff and baseflow depth in (a) spring, (b) summer,
- 614 (c) autumn, and (d) winter from 1979 to 2013.
- 615 **Figure 8.** Variations of seasonal mean air temperature in (a) spring, (b) summer, (c)
- 616 autumn, and (d) winter from 1979 to 2013.
- 617 **Figure 9.** Recession data points of -dy/dt versus y and fitted recession curves by decades
- 618 in log-log space. The black point line, dotted line, and solid line represent recession



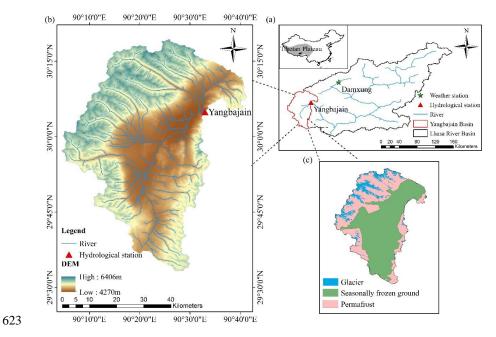


- 619 curves in the 1980s, 1990s, and 2000s, respectively.
- 620 Figure 10. Variations of (a) the recession coefficient K and (b) groundwater storage S
- 621 from 1979 to 2013.

622







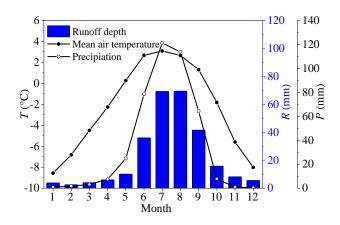
624 Figure 1. (a) The location, (b) elevation distribution, and (c) glacier and frozen ground

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- 626 the TP.
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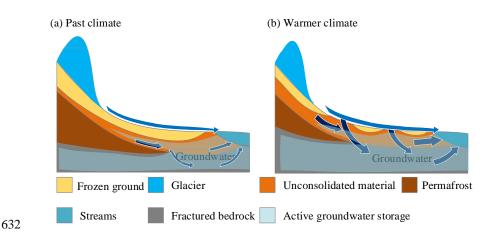


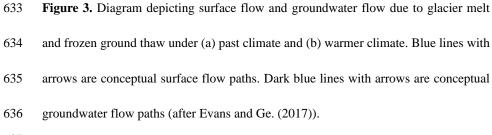
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629 Figure 2. Seasonal variation of runoff depth (R), mean air temperature (T), and

630 precipitation (*P*) in the Yangbajain catchment.

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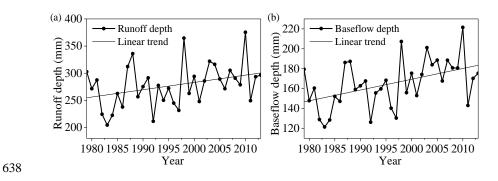




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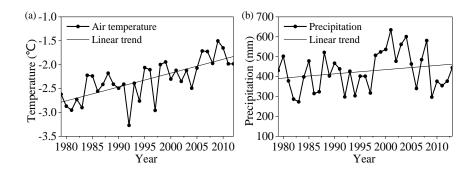






639 **Figure 4.** Variations of annual (a) runoff and (b) baseflow depth from 1979 to 2013.

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642 **Figure 5.** Variations of annual (a) mean air temperature and (b) precipitation from

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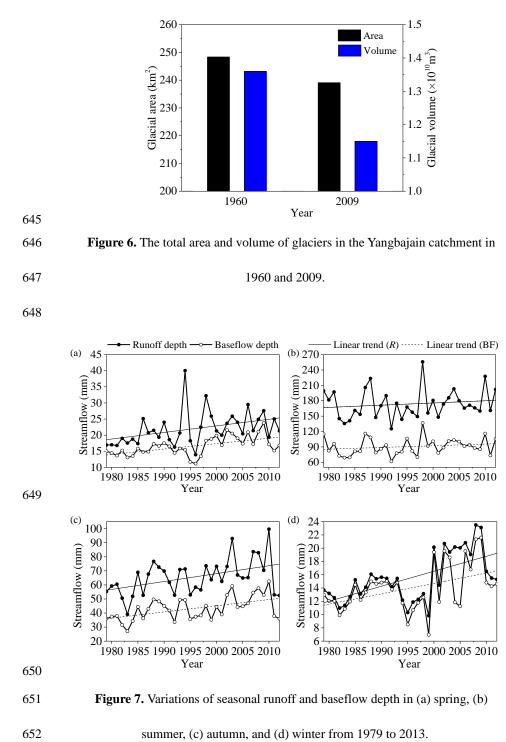
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1979 to 2013.

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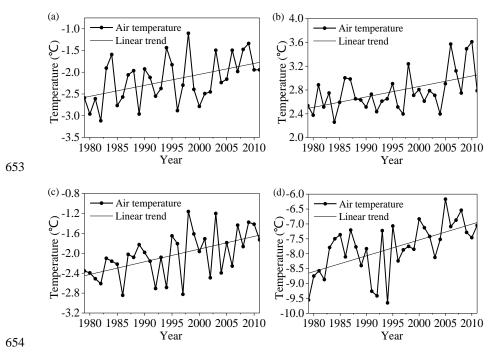












655 **Figure 8.** Variations of seasonal mean air temperature in (a) spring, (b) summer, (c)

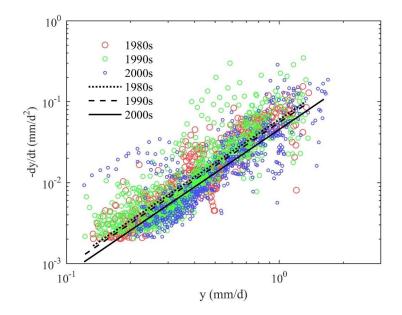
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autumn, and (d) winter from 1979 to 2013.

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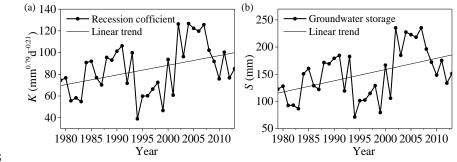
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Figure 9. Recession data points of -dy/dt versus y and fitted recession curves by decades

660 in log-log space. The black point line, dotted line, and solid line represent recession

curves in the 1980s, 1990s, and 2000s, respectively.

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Figure 10. Variations of (a) the recession coefficient *K* and (b) groundwater storage

