

Dear Editor,

We would like to thank you and the reviewers for the second review of our manuscript, the great feedback and again the very constructive comments. Here we discuss the new revisions that we made and we hope that we addressed all the comments and issues raised by the reviewers.

The abstract and the sections 6.2 and 7 were updated in order to better explain the contribution of this study, further from being just a case study. The title was slightly changed again by making two small corrections and the new title is “Assessment of SWAT spatial and temporal transferability for a high altitude glacierised catchment”. A new table, Table 3, was added.

Below you will find our responses to the reviewers. The line numbers have been modified and we refer to the new numbers in the revised manuscript (not the marked-up version). A manuscript with all the changes is submitted together with this letter.

Yours sincerely

Maria Andrianaki (on behalf of all authors)

Dear Guillaume Thirel,

Many thanks for your feedback. Here we hope that we will address all the new issues raised after your second review.

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"This is my second review of this manuscript. I'm happy to see that the authors made substantial efforts to improve the document. Especially, we know can see a bit more easily what the interest of the study is. I however still raise points that need to be tackled. Please note that I am using the lines numbers of the modification-apparent version of the manuscript.

My main reserves about the paper concern the following two groups of lines: L. 382, 930-931, 1068-1070, 1092-1094 and related parts: this is impossible to deduce that from the fact that the discharge projections of the three models are rather similar! The three models could be all wrong! Usually, the most we can say about such results is that the confidence interval could be narrow. If the authors want to show that the model is transferable in time and climate conditions, then a calibration/evaluation exercise must be performed over sufficiently long and differing periods, such as the Klemes Differential SST or Thirel et al. (2015) introduce."

This issue was addressed in L 347-349, 392-404, 424-441 of the revised manuscript. The text was changed to focus mainly on the uncertainties of the climate change simulations and the fact that no further conclusions could be drawn other than a qualitative assessment.

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Section 5.3 and following: I don't like the word « validation » here. Indeed, a model can be falsified, but not validated. At the maximum, it can be evaluated or assessed. I suggest rather using one of these proposed terms instead of validation/validated. This is even truer as due to the very short periods of time used, we only have an incomplete picture of the model behavior!

The term validation was changed to evaluation

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Minor remarks:

Regarding the title, I suggest removing the plural to « catchments ». Indeed, only one catchment (incl. One subbasin) was used. In addition, this would be consistent with the abstract: « in a partly glacierised alpine catchment ». I therefore suggest the following: «Assessment of SWAT spatial and temporal transferability for a high-altitude glacierised catchment ».

Yes the word "catchments" could be turned into singular

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L. 33: please consider replacing « i.e. » with « e.g. »: It was replaced in L30

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L. 99: « to assesS »: corrected in L. 45

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L. 122: please consider specifying what transferability you tested.: Specified in line 58

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L. 238: no comma after « used »: Corrected in L.128

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L. 296-299: please specify from which SRES scenario these numbers are taken.: The A1B scenario was added L.152

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L. 370: please consider replacing « feeding » with « that feeds », in order to allow a better reading of the rest of the sentence.: Replaced in L.187

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L. 372: I would add « input flow » before data.: Added in L.189

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L. 390: « different but similar » is maybe not the best way to say what you mean: Corrected in L.207

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L. 417: « was set to active in order »: I failed to understand that formulation: Corrected in L.225

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L. 462: «  $y^{\wedge}$  is the...  $y^-$  is the »  
EQ 1 and 2: please use the same notations!  
L252: the same notations were changed and the same ones were used for both equations

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Section 5.2: I definitely don't see what the added value of this section is. In addition, I remain convinced that sensitivity analysis must be realized before the calibration. Former section 5.2 was indeed not adding to the context of the manuscript and was deleted.

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L. 591: please replace the point after 0.86 with a space: Replaced in L286

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L. 598: actually, there is a growing literature regarding the use of time-varying parameters in hydrology, so this is not completely true.: The sentence was rephrased L.292

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L. 604: are you comparing the NS of the bigger basin with the one of the smallest one? This is quite not correct, as the benchmark that is used in NS, namely mean(Qobs), is different for the two basins. In addition, we don't know here what is the period of evaluation and the BE is not given.

L.302 – 304 We rephrased the paragraph to better explain what we meant and we added the BE. As BE is a far stricter criterion had the negative value of -1.

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L. 624 and 928: « doesn't » -> does not: Corrected

L. 906: please remove the comma after « reason »: Removed

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Section 6.2: I guess that the reference in Fig. 5 is SWAT forced by observed climate (not actually observed discharge or SWAT forced by reference period climate scenarios) as climate scenarios come from a delta change approach. Please mention that if correct.

Yes that is correct and it was mentioned in the text in L.355-356

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L. 992-995: the future is still used here, although I mentioned in my previous review that this is definitely not advised in climate change impact studies. Due to the numerous and important sources of uncertainties in projections, it is impossible to state that the T increase will be 3.35°C! The use of conditional and of uncertainty bands would help being more thorough.

Future tense is no longer in section 6.2 and we were more thorough in the presenting the results.

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Table 1: what is Na?: Na means not available but it was deleted from the table so that it does not cause confusion

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Table 2: I would find actual values more informative than absolute values, as this could tell us whether the errors are systematic or not for example.

The actual values were added and the average was deleted since it was not adding any value to the table

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Fig. 2: the y scale of panels c and D should have units in (mm) (same for following figure). In addition, the caption and especially its first part does not present accurately what is shown in the figure.: Figure 2 and its caption were corrected

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Figure 4: 20150 -> 2050 : Corrected

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Figure 5: please consider saying "the runoff simulated with SWAT" instead of "the simulated with SWAT runoff".:Corrected

Dear reviewer,

Many thanks for your feedback and again very constructive comments. Here we hope that we will address all the new issues raised after your second review.

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## 1 General remarks:

The manuscript Assessment of SWAT spatial and temporal transferability for high altitude glacierised catchments has been significantly improved compared to the first version, especially the introduction and the methods section. Concerning the results, I am a bit annoyed because your comparisons with Alpine3D and Prevah became very qualitative (Lines 366-376, line 429-435) compared to the previous manuscript, but, at the same time, not really robust. In addition, your main argument to explain the lower performances of the model is the use of a single melt coefficient for snow and ice (Line 341-349). This choice is very questionable in an alpine basin with such a glacier coverage under current conditions. But with regards to the goal of the paper, this is a huge source of uncertainty that you add to your future runoff simulations. My question would be: how could you trust your predictions knowing that the importance of snowmelt (which is not that well simulated by your set of parameters) will become higher while the influence of glacier melt will decrease? In my opinion, this study is a good qualitative assessment of climate change impacts on an alpine basin but the quantitative aspect is very limited. Therefore, I would recommend to the authors to mention it more explicitly in the manuscript.

It is true that the application of the same snow melt parameters added a great source of uncertainty in the climate change simulations and it was mentioned more explicitly in the manuscript. This issue was addressed in the abstract and L 347-349, 392-404, 424-441 of the revised manuscript. The text was changed to focus mainly on the uncertainties of the climate change simulations and the fact that no further conclusions could be drawn other than a qualitative assessment.

A more thorough comparison of the three models would be very interesting, especially considering the fact that SWAT is not calibrated for the greater area. However, we thought that it would be better not to focus on this, since there is already the extensive comparison study of Alpine 2D and PREVAH by Kobierska et al. (2013).

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## 2 Specific comments:

1. Line 32: what do you mean by "management induced environmental changes"?  
Since the sentence was confusing, it was deleted by the text

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2. Line 44: large instead of great: Corrected

3. Figure 1: add a and b letters for each sub-part of the figure: We deleted a and b from the text.

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4. Line 105: Please clarify what you mean by: What is important in our study is that melted snow is handled by the model the same way as the water that comes from precipitation regarding the calculation of runoff and percolation

Since this sentence was not adding to the context, we deleted it from the manuscript.

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5. Line 111-112: avoid repetitions (detailed, in detail): Corrected in L.106

6. Line 124: change the verb define: Corrected in L.119

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7. Line 128-130: I am not convinced that you will reduce the uncertainty of the calibration by using detailed soil and land use maps even if it is a commendable effort. In the same paragraph, put the website reference in the bibliography.

You are right that soil and landuse maps did not reduce the uncertainty and this is why the sentence was deleted.  
The website was added in the references

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8. Line 142-145: the meteorological parameters you enumerate are available at the Damma station but not all of them are available in Gütsch right?

The Gütsch meteorological parameters include the ones mentioned in the text apart from the incoming longwave radiation and the records are hourly. The text was corrected in L.128

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9. Line 157-160: check the spelling of this sentence!! : The sentence was rephrased and moved to L150-152

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10. Section 3.2.3: try to streamline a bit this section especially the part on climate change scenarios which is hard to read (repetitions, intermittent).

The section was rephrased in L. 144-169

11. Line 192-194: the sentences are not really relevant for the reader.

The sentences were deleted.

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12. Line 221: How can you have two different but similar watersheds? They have maybe similarities but they are not similar!

Corrected in L.207

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13. Line 235-250: these two paragraphs are hard to read. Try to streamline them by putting the parameters name into bracket for example!

The paragraphs now in L. 221-234 were streamlined and are easier to read.

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14. Line 279-289: If I understand well, the snow melt temperatures SMTMP is the threshold under which you have no melt. How do you justify an optimal value of 2.5C which is very high?

Yes, SMTMP is the temperature that the snowpack has to reach to start melting and it can take values up to 5°C.

2.5C agrees with the study of Omani et al., 2017 who applied SWAT for the Rhone river basin and the SMTMP was set at a range 0.5-3.0.

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15. Line 296-299: Your statement is a bit confusing: About which "previous model" are you talking about? Moreover, you should remind to the reader that you are working with daily time steps. This strongly influences the NSE coefficient.

The paragraph was rephrased in L.279-282.

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16. Line 310-311: I don't understand you argument about wet years. Why would your model be less skilled to simulate a wet year? You also mention this argument on line 325-326. Please clarify!

The reason why SWAT overestimates runoff when the precipitation is significantly higher is unclear. This is why we left it in L. 299-300 only as an observation and removed it from other parts of the manuscript.

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17. Line 317-318: this is not really new: SWAT has been used in glacierized basin in the past.  
The sentence was removed from the text.

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18. Figure 3a: you can hardly see anything on such graph.

We only left it because it shows the overestimation of runoff during the years 1999-2002. But it could also be removed.

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19. Line 333: This is a good idea to evaluate the runoff timing. But why have you applied a 15-days moving average on your data? This is quite brute force and will necessarily smooth out the differences.

Since we wanted to calculate snowmelt timing, we applied the 15-day average window to smooth out peaks coming from short term events. It facilitated in the calculation of the snowmelt timing without eliminating differences between the model and observed values, since we were still able to observe the inconsistencies in the highest peak.

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20. Line 351-359: as you have daily discharge observations, I am not convinced about the influence of the basin slope on the discharge response. This could have an impact at hourly time step.

The values of ALPHA\_BF and GW\_DELAY parameters that describe adequately Damma watershed cannot fully describe the greater area. This is probably because Damma is a watershed with faster response and the groundwater surface interactions are less important, as it was found in previous studies. The paragraph in L. 321-329 was rephrased to better explain this.

# 1 Assessment of SWAT spatial and temporal transferability for a 2 high altitude glacierised catchments

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9 **Abstract.** In this study, we investigated the application and the transferability of the Soil Water and Assessment  
10 Tool (SWAT) in a partly glacierised alpine catchment, characterised by extreme climatic conditions and steep  
11 terrain. The model was initially calibrated for the 10 km<sup>2</sup> watershed of the Damma glacier Critical Zone  
12 Observatory (CZO) in central Switzerland using monitoring data for the period of 2009–2011 and then was  
13 ~~evaluated~~validated with the measurements collected duringfor 2012–2013 in the same area. Model performance  
14 was found to be satisfactory against both the Nash Sutcliffe criterion (NS) and a benchmark efficiency (BE). The  
15 transferability of the model was assessed by using the parameters calibrated on the small watershed and applying  
16 the model to the approximately 100 km<sup>2</sup> catchment that drains into the hydropower reservoir of the  
17 Göschenalpsee and includes the Damma glacier CZO. Model results were compared to the reservoir inflow data  
18 from 1997 to 2010 and it was found that the model predicted successfully snowmelt timing and autumn recession  
19 but could not accurately capture the peak flow for certain years. Runoff was slightly overestimated from late May  
20 to June, when it is dominated by snowmelt, ~~due to the fact that only one melt factor for both snowmelt and glacier~~  
21 ~~melt was used~~. Finally, we investigated the response of the greater catchment to climate change using three  
22 different climate change scenarios and the results were compared to those of a previous study, where two different  
23 hydrological models, PREVAH and ALPINE 3D, were used. ~~Predicted changes in future runoff and peak flow as~~  
24 ~~well as seasonal dynamics are similar between the two studies~~. It is concluded that the methodology presented  
25 here, where SWAT is calibrated for a small watershed and then applied for a bigger area with similar climatic  
26 conditions and geographical characteristics, could work even under extreme conditions like ours. However, a  
27 greater attention should be given to the differences between glacial melt and snowmelt dynamics. In conclusion,  
28 this assessment test on the transferability of SWAT on different scales, gave valuable information about the  
29 strengths and weaknesses of the model when it was applied under conditions different to those that it was  
30 calibrated.~~However, a greater attention should be given to the differences between glacial melt and snowmelt~~  
31 ~~dynamics, since our findings indicate that the performance of the model as well as its transferability could be~~  
32 ~~improved if different parameters for snowmelt and glacial melt were applied.~~

## 33 1 Introduction

34 The use of calibrated watershed models enables researchers and stakeholders to assess the impact of ~~natural and~~  
35 ~~management induced~~ environmental changes and, as many studies have pointed out, is of high importance in water  
36 management (e.g.i.e. Arnold et al., 1998; Abbaspour et al., 2007). Climate change simulations provide crucial  
37 information for the assessment of its impact on water resources, water quality, and aquatic ecosystems (Farinotti  
38 et al., 2012; Aili et al., 2019). However, watershed modelling in high altitude alpine areas is rather challenging

39 due to the rough terrain, heterogeneous land cover, extreme climatic conditions and glacier dynamics (Viviroli and  
40 Weingartner, 2004; Farinotti et al., 2012; Rahman et al., 2013), with the main challenge to be the lack of observed  
41 and sufficient quality data in ungauged watersheds (Sivapalan et al., 2003; Viviroli et al., 2009b; Bocchiola et al.,  
42 2011).

43  
44 Modelling and predicting the runoff of ungauged watersheds is one of the big challenges that hydrologists face  
45 today (Sivapalan et al., 2003; Hrachowitz et al., 2013). A common approach to address this problem is to calibrate  
46 a hydrological model for a gauged watershed using observed data and then transfer the model to the ungauged  
47 watershed by transferring the model parameters (Merz and Blöschl, 2003; Sivapalan et al., 2003). A [large great](#)  
48 number of methods have been suggested for transferring model parameters, which include regression techniques  
49 between the model parameters and catchment attributes (e.g. Parajka et al., 2005; Deckers et al., 2010; Zhang et  
50 al., 2018) and similarity approaches such as spatial proximity and physical similarity (e.g. Bárdossy, 2007;  
51 Wagener et al., 2007; Patil and Stieglitz, 2014). However, as Thirel et al. (2015) point out, it is essential to assess  
52 and evaluate the ability of the hydrological models to perform efficiently under conditions different from those in  
53 which they were developed or calibrated.

54  
55 The Soil and Water Assessment Tool (SWAT) developed by the USDA Agricultural Research Service (ARS) is a  
56 public domain and open source integrated model and has been used worldwide for various applications. As a semi-  
57 distributed model, it allows the spatial variation of the parameters by dividing the basin into a number of sub-  
58 basins (Arnold et al., 1998; Srinivasan et al., 1998). It is equipped with a snowmelt algorithm based on a simple  
59 temperature-index approach, which, although simple, is proved to be very effective in numerous studies (Hock,  
60 2003) especially when net solar radiation is the dominant driving energy for snowmelt (Debele et al., 2010).

61  
62 SWAT has been widely used in many studies for the simulation of runoff and nutrient cycling in agricultural and  
63 forested sites. Although there is an increasing interest in applying SWAT on snow-dominated (Grusson et al.,  
64 2015) and glacierised watersheds (Rahman et al., 2013; Garee et al., 2017; Omani et al., 2017), its transferability  
65 [at spatial and temporal scales](#) under the extreme conditions of these high altitude environments has not been tested  
66 yet. In this study, we have a quite unique situation of a small well gauged watershed, the Damma glacier watershed,  
67 which is part of the larger catchment feeding the Göschenalpsee reservoir, for which we have hydrological data  
68 thanks to its use by the hydroelectric power plant. This way we were able to assess the [spatial and temporal](#)  
69 transferability and upscaling of SWAT, by calibrating the model for the Damma glacier watershed and then  
70 transferring it to the greater area feeding the Göschenalpsee reservoir. Subsequently, climate change simulations  
71 were conducted in order to assess the transferability of the model on a temporal scale. The assessment was  
72 conducted by comparing our findings with those of a previous study for the same area, which used two other  
73 hydrological models with different characteristics, PREVAH and ALPINE3D (Kobierska et al., 2013).

74

## 75 **2 Study Site**

76 The Damma glacier watershed (Fig. 1\*) is situated in the central Swiss Alps in Switzerland and was one of the  
77 Critical Zone Observatories established within the European project SoilTrEC (Banwart et al., 2011). It is located

78 at an altitude between 1790 m and 3200 m above sea level, has a total area of 10 km<sup>2</sup> and a typical alpine climate  
79 with an average yearly temperature of 1 °C and yearly precipitation of 2400 mm (Kobierska et al., 2013). Damma  
80 glacier covers 50 % of the watershed and due to climate change has retreated at an average rate of 10 m per year  
81 in the last 90 years. However, during 1920–1928 and 1970–1992 the recession was interrupted and the glacier  
82 grew, resulting in two moraines (Kobierska et al., 2011). After the retreat of the glacier a soil chronosequence is  
83 developed, which has a total length of 1 km (Bernasconi et al., 2008; Bernasconi et al., 2011; Kobierska et al.,  
84 2013). The bedrock is coarse-grained granite of the Aare massif and is composed of quartz, plagioclase, potassium  
85 feldspar, biotite and muscovite (Schaltegger, 1990). Our study site was extensively described in Bernasconi et al.  
86 (2011).

87

88 The Göschenalpsee (Fig. 1b) is a hydropower reservoir of a volume of 75 million m<sup>3</sup>. A 100 km<sup>2</sup> and 20 %  
89 glacier covered catchment drains into the reservoir. It includes the watersheds of the Damma, Chelen and Tiefen  
90 glaciers and the Voralptal watershed. The Tiefen glacier and Voralptal watersheds do not drain directly into the  
91 reservoir but their runoff is redirected through two tunnels. The site is described extensively in Kobierska et al.  
92 (2013).

### 93 **3 Model and Data**

#### 94 **3.1 SWAT model**

95 In this study, we used SWAT 2012 coupled with the ArcView SWAT interface, a GIS-based graphical user  
96 interface (Di Luzio et al., 2002) that enables the delineation of the watershed, definition of subbasins, and initial  
97 parameterisation. It is a semi distributed, time continuous watershed simulator operating on a daily time step.

98

99 Each watershed is divided into subbasins, for which slope, river features, and weather data are considered.  
100 Furthermore, the watershed is divided into hydrologic response units (HRUs), which are small surface units with  
101 distinctive soil-land use combinations and necessary to capture spatially explicit processes. Each process is  
102 simulated for each HRU and then summed up for the subbasin by a weighted average. Subsequently the amount  
103 of water, sediment and nutrients that come out from each subbasin enter the respective river.

104

105 A modified SCS curve number method is used to calculate the surface runoff for each HRU, based on land use,  
106 soil parameters, and weather conditions. The water is stored in four storage volumes: snow, soil moisture, shallow  
107 aquifer and deep aquifer. The processes considered within the soil profile are infiltration, evaporation, plant uptake,  
108 lateral flow, and percolation. ~~What is important in our study is that melted snow is handled by the model the same  
109 way as the water that comes from precipitation regarding the calculation of runoff and percolation.~~The factors  
110 controlling snow melt are the air and snowpack temperature, the melting rate and the area covered by snow. The  
111 updated snow cover model takes into account shading, drifting, topography and landcover to create a nonuniform  
112 snow cover (Neitsch et al., 2011). Furthermore, runoff from frozen soil can also be calculated by defining if the  
113 temperature in the first soil layer is less than 0°C. Even though the model still allows significant infiltration when  
114 the frozen soils are dry, the runoff of frozen soils is larger than that of other soils. A detailed description of the  
115 theory behind the model is found ~~in detail~~ in Arnold et al. (1998) and Srinivasan et al. (1998).

116

117 Snow processes in high alpine areas are strongly influenced by the terrain features (Ahl et al., 2008; Zhang et al.,  
118 2008). Fontaine et al. (2002) revealed the importance of improving SWAT algorithms to include in the model the  
119 influence of elevation and season on the dynamics of the ~~snowpack~~-~~snowpack~~. They found that the definition of  
120 elevation bands within the model subbasins can significantly improve the performance of the model in watersheds  
121 at high altitudes and with large elevation gradients. With the improved snow melting algorithm (Fontaine et al.,  
122 2002), streamflow in alpine regions can be successfully simulated by SWAT (Rahman et al., 2013; Grusson et al.,  
123 2015; Omani et al., 2017).

## 124 3.2 Input data

125 The input data required by SWAT are: topography, soil, land use and meteorological data.

### 126 3.2.1 Topography

127 ~~For the~~ ~~The~~-topography of both study areas ~~was defined using~~ a high precision Digital elevation model (DEM)  
128 with 2 m grid cells (swissALTI3D), produced by the Swiss Federal office for Topography  
129 ([https://shop.swisstopo.admin.ch/de/products/height\\_models/alti3D](https://shop.swisstopo.admin.ch/de/products/height_models/alti3D)) ~~was used~~.

### 130 3.2.2 Soil and land use map

131 In order to better describe the glacier forefield, ~~and to reduce the uncertainty of the calibration for the Damma~~  
132 ~~glacier watershed~~, detailed soil and land use maps were created based on the observations, field and experimental  
133 data from the Biglink and SoilTrEC projects (Bernasconi et al., 2011; Dumig et al., 2011; Andrianaki et al., 2017).  
134 The soil map was created by adding new soil types to the SWAT database while the land use classes were based  
135 on existing types in the database. For the greater area feeding the Göschenalpsee, the soil map used, ~~was~~  
136 produced and provided by the Swiss Federal Statistical Office at a scale of 1:200,000,  
137 (<http://www.bfs.admin.ch/bfs/portal/en/index.html>). ~~For~~ land use, we used the Corine land cover dataset 2006  
138 (version 16, 100m resolution) produced by the European Environmental Agency ([http://www.eea.europa.eu/data-](http://www.eea.europa.eu/data-and-maps/data/corine-land-cover-2006-raster-2)  
139 ~~and-maps/data/corine-land-cover-2006-raster-2~~).

### 140 3.2.3 Climate data

141 Meteorological data from one local weather station and one station of the SwissMetNet network were used. The  
142 weather stations are located at the Damma glacier watershed (2025 m a.s.l.) and at Gütsch (2283 m a.s.l.). The  
143 meteorological data of the weather Gütsch were provided by MeteoSwiss. The selection of the weather station  
144 Gütsch was based on the results of previous research that showed that it has the best correlation in comparison to  
145 other weather stations located in the area (Magnusson et al., 2011) with a long enough record for this study. The  
146 data from both stations consist of ~~sub-hourly~~ records of air temperature, precipitation, wind speed, relative  
147 humidity, incoming short-wave radiation ~~sub-hourly and incoming long wave radiation~~ from 2007–2013 for  
148 Damma weather station and ~~hourly from~~ 1981–2010 for Gütsch. The lapse rates for temperature and precipitation,  
149 which are very important parameters in SWAT model since they affect snow and glacier melt, and the interpolation  
150 methods were based on the findings of Magnusson et al. (2011) who carried out non prognostic hydrological  
151 simulations for the Damma glacier watershed. The precipitation and temperature lapse rate parameters of the model  
152 are PLAPS and TLAPS and were set to 5 mm km<sup>-1</sup> and -5.84 °C km<sup>-1</sup> respectively.

153 **Climate change scenarios:** The climate change predictions were provided by the EU regional climate modelling  
154 initiative ENSEMBLES (van der Linden and Mitchell, 2009) and were based on the emission scenario A1B. The  
155 model chains produced by the ENSEMBLES project are a combination of a general circulation model (GCM) with  
156 a regional climate model (RCM). ~~In Switzerland, model chain data were interpolated to the locations of the  
157 MeteoSwiss stations and the Swiss Climate Change Scenarios CH2011 were created (CH2011, 2011).~~ The delta-  
158 change method was used for the creation of the datasets (Bosshard et al., 2011). Temperature and precipitation  
159 predictions are calculated using daily temperature changes  $\Delta T$ , and precipitation scaling factors  $\Delta P$ . Incoming  
160 short-wave irradiation, wind speed and relative humidity were left unchanged. Under the scenario when no action  
161 for the mitigation of climate change is taken, according to the A1B scenario ~~In Switzerland~~ it is predicted that by  
162 the end of the century in Switzerland, the mean temperature will increase by 2.7–4.1°C and the precipitation during  
163 the summer months will decrease 18%–24% in the summer months by the end of the century, in the case when no  
164 actions for the mitigation of climate change are taken (CH2011, 2011).

165  
166 In this study, three climate scenarios with interpolated data for Gütsch weather station are used. These scenarios  
167 are: the CNRM ARPEGE ALADIN scenario, the ETHZ HadCM3Q0 CLM scenario, which predicts the highest  
168  $\Delta T$  and  $\Delta P$  in comparison to the other two, and the SHMI BCM RCA scenario, which predicts the lowest  $\Delta T$  and  
169  $\Delta P$ , referred to as CNRM, ETHZ and SHMI scenarios respectively. ~~These three –CNRM, ETHZ and SHMI  
170 scenarios were chosen to be in agreement because they are the same used in with~~ the previous study of Kobierska  
171 et al. (2013), ~~to be able to carry out a direct comparison of the three models.~~ The following periods were selected:  
172 Reference period (T0): 1981–2010  
173 ~~Near future period (T1): 2021–2050~~  
174 ~~Far future period (T2): 2070–2099~~

175  
176 ~~Similarly to the predictions for Switzerland, the scenarios for Gütsch weather station predict warmer and dryer  
177 summers and slightly increased precipitation in autumn.~~ The highest  $\Delta T$  for the ~~near future T1~~ period is predicted  
178 to be 1.5°C in the mid-summer, 2.5°C in late spring, and below 1.0°C in early summer for the CNRM, ETHZ and  
179 SHMI respectively and for the ~~far future T2~~ period is approximately 5°C in the mid-summer, 4°C along the whole  
180 summer and 3°C in early summer ~~respectively~~. The biggest temperature increase is predicted at the end of the  
181 century when the strongest agreement between the different model chains is observed. Projected p Precipitation  
182 changes for the ~~near future T1~~ period show a clear trend towards dryer summers, while for the rest of the year are  
183 within the natural variability ~~apart from a clear trend in dryer summers~~. The trend of dryer summers is most  
184 prominent for the ~~far future T2~~ period. Furthermore, most model chains predict slightly higher precipitation in  
185 autumn. ~~The average  $\Delta P$  value for the near future period is 1.0 and for the far future period is 0.99. The climate  
186 change data were also used for different sites in the Alps (Bavay et al., 2013; Farinotti et al., 2012).~~

### 187 3.2.4 Runoff data

188 Runoff of the Dammareuss stream that drains the Damma glacier watershed was measured every half an hour at a  
189 gauging station at the outlet of the watershed (Magnusson et al., 2011). The runoff of the total area that feeds the  
190 Göschenalpsee is the inflow of the reservoir and the data from 1997–2010 were provided by the energy company  
191 responsible for the management of the reservoir.

### 192 3.2.5 Glacier extent

193 Data on the glacier extent for the present period but also for the two periods of the climate change scenarios were  
194 provided by Paul et al (2007). They estimated the evolution of the Swiss glaciers by using hypsographic modelling,  
195 based on the shift of the equilibrium line altitude. However, SWAT is not a model that considers glacier flow  
196 dynamics and therefore, in this study, the glaciers were incorporated in SWAT as the initial snow content in each  
197 subbasin and for each elevation band. ~~The initial snow is given as the snow water equivalent in mm instead of~~  
198 ~~snow as the density of snow can be variable. For this reason, the calculation of the snow water equivalent was~~  
199 ~~conducted by considering an average density of ice.~~

## 200 4 Methodology

201 The purpose of this study is to assess the transferability of SWAT in temporal and spatial scales at a high altitude  
202 alpine and glacierised site. This way it is tested whether the model can be transferred and is capable for the  
203 simulation of runoff but also for further climate change studies on an ungauged glacierised watershed.  
204 Furthermore, this methodology tests its robustness under these extreme climatic and geographical conditions. For  
205 this reason, SWAT was initially calibrated for the small Damma watershed, which is well monitored through the  
206 CZO projects, and then it was upscaled and applied for the greater area ~~that feeds~~feeding the Göschenalpsee  
207 reservoir and includes the Damma glacier watershed. The upscaling of the model was verified by comparing model  
208 results with the reservoir input flow data provided by the managing company.

209  
210 Since the Damma glacier watershed is part of the greater Göschenalpsee feeding catchment, the parameters of  
211 the model were transferred using the spatial proximity approach, with no further regionalisation procedure. In this  
212 case, the initial setup of SWAT for the greater catchment was conducted using the input data presented in section  
213 3.2 and only the parameters presented in Table 1 were changed to the calibrated values derived from the calibration  
214 of the Damma glacier watershed. The initial parameterisation of the model during the setup and the watershed  
215 delineation assisted in the transferability of the model since a number of parameters is already defined based on  
216 the topography, land use and soil data.

217  
218 Subsequently, in order to assess its transferability on a temporal scale, climate change simulations were conducted  
219 and results were compared with those of a previous study for the same area, which used two other hydrological  
220 models with different characteristics, PREVAH and Alpine 3D (Kobierska et al., 2013).

221  
222 This methodology is a modified version of the proxy-basin test introduced by Klemeš (1986), which is one of the  
223 proposed testing schemes for the enhancement of the calibration and validation procedure in hydrological  
224 modelling. According to Klemeš (1986) the proxy basin test can be used to test the geographical transposability  
225 of the model between two regions, for subsequent simulation of the streamflow in ungauged watersheds with  
226 similar characteristics. The model is calibrated and validated for two ~~different but similar~~ watersheds and if the  
227 results are acceptable it is then considered safe to be transferred and used at a third watershed with similar  
228 characteristics.

## 229 **5 Model setup, calibration and evaluationvalidation**

230 SWAT was initially setup for the Damma glacier CZO and the greater area feeding the Göschenalpsee using the  
231 topography, soil and land use data presented in section 3.2. Following the delineation procedure, the Damma  
232 watershed and the greater area were divided into 5 and 25 subbasins respectively. By setting the lowest possible  
233 thresholds for land use, slope and soil, 48 HRUs were created for Damma watershed and 285 HRUs for the greater  
234 area. Finally, six elevation bands were defined for each subbasin of both study sites. The setup was complete with  
235 the addition of the meteorological input and the definition of the initial snow for each elevation band of each  
236 subbasin. For the climate change simulations, the meteorological input consists of the climate change scenarios  
237 described in section 3.2.3 and the initial snow that corresponds to the first year of each future period, as calculated  
238 by the glacier extent data described in section 3.2.5.

### 239 **5.1 Model calibration**

240 SWAT was calibrated for the Damma watershed only, using the meteorological data from 2009 to 2011 and  
241 ~~evaluated~~validated with the data from 2012 to 2013. Data for the years 2007 and 2008 were used for the warm-up  
242 and the stability of the model. ~~The~~For the better identification of the parameters that influence the hydrology of  
243 ~~the site~~ the calibration was first~~ly~~ conducted manually. The most ~~sensitive~~important parameters ~~during this step~~  
244 ~~were related to~~are the ones controlling snow melt ~~such as:~~such as -i) T1MP, the snow-pack temperature lag factor  
245 (T1MP), -ii) the snow melt factors (SMFMX and -the snow melt factor on the 21st of June ( $\text{mmH}_2\text{O}/^\circ\text{C day}^{-1}$ ),  
246 ~~iii)-~~SMFMN), the snow fall and snow melt temperatures (SFTMP and SMTMP respectively) and finally the ~~the~~  
247 ~~snow melt factor on the 21st of December ( $\text{mmH}_2\text{O}/^\circ\text{C day}^{-1}$ ),~~CN\_FROZ, which was set to active ~~in order and~~  
248 ~~finally the snow fall and snow melt temperatures SFTMP and SMTMP respectively. In SWAT input files, a~~  
249 ~~different set of snow parameters can be applied for each subbasin, which can enable the user to simulate differently~~  
250 ~~snowmelt for the glacier covered subbasins. However, Because~~most of the subbasins of the Damma glacier  
251 watershed, delineated during the initial setup of the model, were partially glacier covered, it was decided to ~~follow~~  
252 ~~a simple approach and~~apply the same snow parameters for all the subbasins. This means that the same parameters  
253 were applied for both glacier and snow dynamics.

254  
255 Groundwater flow parameters such as the ~~groundwater delay time (GW\_DELAY), the base flow alpha factor (~~  
256 ~~the groundwater delay time, ALPHA\_BF)~~ the base flow alpha factor and the ~~surface runoff lag coefficient~~  
257 ~~(SURLAG, the surface runoff lag coefficient,~~were also found to play an important role on the performance of  
258 the model. Evapotranspiration (ET) related parameters were not significant since our study site is above the tree  
259 line and ET is relatively minor.

260  
261 The manual calibration was followed by an automatic calibration and uncertainty analysis using the SWAT-CUP  
262 software with the Sequential Uncertainty Fitting ver. 2 (SUFI-2) algorithm for inverse modelling (Abbaspour et  
263 al., 2007). Starting with some initial parameter values, SUFI-2 is iterated until (i) the 95% prediction uncertainty  
264 (95PPU) between the 2.5th and 97.5th percentiles include more than 90% of the measured data and (ii) the average  
265 distance between the 2.5th and 97.5th percentiles is smaller than the standard deviation of the measured data. A  
266 model is considered calibrated when the chosen criterion between the best simulation and calibration data reaches  
267 the best value (Abbaspour et al., 2007). The parameters introduced in SWAT-CUP as well as their range are the  
268 ones that were identified during the manual calibration as the most important.

269

270 The criterion used for the calibration with SWAT-CUP is the Nash-Sutcliffe (Nash and Sutcliffe, 1970) model  
271 efficiency (NS), since it was the criterion available in SUFI-2 that is commonly used in hydrological studies. The  
272 NS shows the relationship between the measured and the simulated runoff (Eq. 1). The performance of the  
273 calibrated model was further evaluated by the square of Pearson’s product moment correlation R<sup>2</sup>, which represents  
274 the proportion of total variance of measured data that can be explained by simulated data. Better model  
275 performance is considered when both criteria are close to 1. NS coefficients greater than 0.75 are considered  
276 ‘‘good,’’ whereas values between 0.75 and 0.36 as ‘‘satisfactory’’ (Wang and Melesse, 2006).

277 
$$NS = 1 - \frac{\sum_{t=1}^n [q_{obs}(t) - q_{sim}(t)]^2 \sum (\hat{y} - \bar{y})^2}{\sum (\hat{y} - \bar{y})^2 \sum_{t=1}^n [q_{obs}(t) - q_{mean}(t)]^2}$$
  
278 (1)

279 ~~where q<sub>obs</sub> is the observed runoff; q<sub>sim</sub> is the simulated runoff by SWAT; and q<sub>mean</sub> where y is the individual~~  
280 ~~observed value, y-hat for the individual simulated value and y-bar is the mean observed value.~~

281

282 -However, as Schaepli and Gupta (2007) pointed out, the NS criterion is not enough to judge the efficiency of the  
283 model when simulating runoff with high seasonality like the one in high altitude watersheds. Therefore, as an  
284 additional criterion for the performance of the model, a benchmark efficiency indicator was calculated, according  
285 to Eq. 2:

286 
$$BE = 1 - \frac{\sum_{t=1}^n [q_{obs}(t) - q_{sim}(t)]^2}{\sum_{t=1}^n [q_{obs}(t) - q_b(t)]^2}$$
 (2)

287 where q<sub>obs</sub> is the observed runoff; q<sub>sim</sub> is the simulated runoff by SWAT; and q<sub>b</sub> is runoff given by the benchmark  
288 model. The calendar day model was chosen as benchmark (Schaepli and Gupta, 2007), which is the observed  
289 interannual mean runoff for every calendar day.

290

291 Table 1 shows the default and the after calibration values of the SWAT parameters that were changed during  
292 calibration. TIMP was set to a very low value indicating that the glacier is not affected by the temperature of the  
293 previous day as much as the snowpack would be. Snow and glacier melt in Damma watershed occurs from April  
294 to September, a fact that explains the low value of the SMFMN ~~parameter~~ (0.1 mmH<sub>2</sub>O / °C-day), the minimum  
295 melt factor, while the SMFMX is set to the value of 4.7 mmH<sub>2</sub>O / °C-day. ~~SMTMP is also sensitive since it is the~~  
296 ~~controlling factor for the initialisation of the snow melt, considering and the availability of snow for melting~~  
297 ~~snow on a specific day. As a result, model generated peak runoff is significantly influenced by the variation in~~  
298 ~~SMTMPSURLAG and GW\_DELAY play an important role in the model performance as they control the melted~~  
299 ~~snow routing process and the hydrologic response of the watershed. Damma glacier watershed has a fast response~~  
300 ~~and therefore GW\_DELAY was set to 0.5 days. SMTMP is also sensitive since it is the controlling factor for the~~  
301 ~~initialisation of the snow melt, considering the availability of snow for melting on a specific day. As a result,~~  
302 ~~model generated peak runoff is significantly influenced by the variation in SMTMP. Finally, and ALPHA\_BF was~~  
303 ~~set to value~~ 0.95, which is a typical value for a fast response watershed.

304

305 ~~The~~The results of the calibrated model for the daily runoff and the observed data are presented in Fig. 2(a), while  
306 cumulative runoff is presented in Fig. 2(c). The fit of the model to the observed data is satisfactory and the results  
307 of the calibrated model matched the observed data throughout most of the year. The graph of the cumulative runoff  
308 (Fig. 2c) shows that runoff is slightly overestimated in July and August, when it is dominated by glacier melt. Best

309 results occur for the years 2009 and 2010. 2011 is characterised by unusually warm and dry months of September,  
310 October and November which resulted in a slight underestimation of the runoff. ~~Overall SWAT performance for~~  
311 ~~the calibrated period is considered very satisfactory since the NS efficiency is 0.84 and R<sup>2</sup> is 0.85, which means~~  
312 ~~that overall SWAT performance for the calibrated period is considered very satisfactory, especially considering~~  
313 ~~the fact that results are in daily steps that influence the NS value.~~ BE for this period is 0.22, a value that we consider  
314 to be satisfactory ~~and is comparable to that of the previous model, calibrated for the greater area of~~  
315 ~~Göscheneralpsee.~~

## 316 **5.2 Sensitivity analysis**

317 ~~The automatic global sensitivity analysis was conducted with SWAT CUP software and 17 input parameters were~~  
318 ~~analysed. It revealed that the most sensitive parameters are the same as the ones observed during manual~~  
319 ~~calibration. More specific the most sensitive ones in descending order are TIMP, GW\_DELAY, SMTMP,~~  
320 ~~SMFMX, ALPHA\_BF and SURLAG with p values 0 for TIMP and very close to 0 for the remaining parameters.~~  
321 ~~The least sensitive parameters were left to their default value.~~

### 322 **5.2.3 Model evaluation validation**

323 SWAT was ~~evaluated~~ validated using the meteorological data for 2012 and 2013 and the results ~~of the model~~ as  
324 well as the measured runoff are presented in Fig. 2(b). Figure 2(d) presents the cumulative graphs. ~~The e-SWAT~~  
325 ~~model for this period~~ performed efficiently, similarly to the calibration period, with a Nash-Sutcliffe efficiency of  
326 0.85, R<sup>2</sup> 0.86, and the BE 0.25. ~~A small inconsistency is observed in the late spring of 2012, when estimated runoff~~  
327 ~~is underestimated, probably due to the extremely wet May in that year that cannot be efficiently simulated.~~  
328 Although, due to the lack of longer monitoring data, the total calibration-~~validation~~ evaluation period 2009-2013  
329 is short, it still includes a relatively large variability in the weather conditions and precipitation amounts and despite  
330 this variability the overall model performance is ~~very~~ satisfactory. The small seasonal differences in model  
331 performance are due to the evolution of runoff generation throughout the season: runoff in spring and early summer  
332 (May, June) comes mainly from snowmelt while in July and August ~~it stems mainly~~ from glacier melt. ~~Although~~  
333 ~~there are two different water sources during the two different periods, we can only assign one set of parameters.~~  
334 ~~We can nevertheless conclude that SWAT can be successfully applied for a partly glacierised watershed.~~

## 335 **6 Results and Discussion**

### 336 **6.1 Upscaling SWAT to the greater catchment feeding the Göscheneralpsee reservoir**

337 The results of the model for the greater area that feeds the Göscheneralpsee, are presented in Fig. 3(a) together  
338 with the measured inflow in the reservoir. The observed and predictive cumulative flow is presented in Fig. 3(b).  
339 ~~Model performance criteria were lower than for the calibration period as NS dropped to 0.49 and the R<sup>2</sup> to 0.72.~~  
340 ~~The cumulative graph shows that there is an overall good agreement between model results and the measured~~  
341 ~~reservoir inflow.~~ Both Figures 3(a) and 3(b) show that there is an overestimation of total runoff for the period  
342 1999-2002, which ~~appears to~~ might be linked to the higher precipitation amounts during this period. Measured  
343 precipitation measured at Gütsch weather station for this period is up to 46 % higher than the average precipitation  
344 of 1981-2010.

345

346 The cumulative graph (Fig 3b) shows that there is an overall good agreement between model results and the  
347 measured reservoir inflow. However, the performance criteria had relatively lower values, with NS efficiency  
348 equal to 0.49, R<sup>2</sup> equal to 0.72 and BE to -1. This is why tThe predictability of the model was further tested by  
349 analysing key parameters related to median runoff such as spring snowmelt timing, timing of peak flow, autumn  
350 recession period and the centre of mass (COM), which can indicate temporal shifts in the hydrological regime.  
351 Table 2 shows the difference in days between the observed and simulated values of the above parameters for each  
352 year of the period 1997-2010. A 15-day moving average window was applied to daily runoff. Snowmelt timing  
353 and autumn recession are ~~predieted-simulated~~ successfully since the differences for most years are zero or close to  
354 zero, except for the years 2000 and 2002 for autumn recession. Peak flow timing shows some inconsistencies  
355 between observed and simulated data for certain years, which are mainly related to the fact that for these years and  
356 during the snowmelt period, SWAT produces results with higher peaks. Finally, the COM of the simulated data is  
357 in good agreement with that of the observed data, with an average difference of 4 days.

358

359 On the whole, SWAT performance is considered to be ~~satisfactory-acceptable~~ and it was successfully transferred  
360 to the greater Göschenalpsee feeding catchment. One of the main reasons for the deterioration of the model  
361 performance ~~during the years with higher precipitation, 1999-2002,~~ is that SWAT ~~doesn't~~does not differentiate  
362 between snow and glacier dynamics ~~and only one parameter for both snowmelt and glacial melt rate was applied.~~  
363 ~~This becomes more important in our study, since there is a difference between the percentage of glacial coverage~~  
364 ~~of the two catchments, with the Damma glacier watershed being 50% covered while the greater catchment~~  
365 ~~20%. This becomes more important in our study, since there is a difference between the percentage of glacial~~  
366 ~~coverage of the two catchments, with the Damma glacier watershed being 50% covered while the greater~~  
367 ~~catchment 20%.~~In Omani et al. (2017) this issue was ~~partly~~ addressed by applying different snow parameters to  
368 the glacier covered subbasins than those applied for the non glacierised ones. However, the subbasins in our  
369 calibration watershed, the Damma glacier watershed, were partly glacierised and for this reason it was decided to  
370 apply only one set of snow parameters for the whole watershed.

371

372 Furthermore, some inconsistency is caused by the fact that for the two out of the four of the watersheds of the  
373 greater area feeding the Göschenalpsee, runoff is drained through tunnels into the reservoir. ~~In addition, there is~~  
374 ~~a difference in the hydrological response between the Damma glacier watershed in comparison to the greater~~  
375 ~~area.~~Furthermore, Damma is characterised by very steep slopes (even up to nearly 80 degrees) and the  
376 groundwater-surface water interactions are less significant since runoff originates mainly from snowmelt, glacial  
377 melt and rainfall (Magnuson et al., 2012). For this reason, (The ALPHA\_BF parameter of SWAT was set to a  
378 high value and the GW\_DELAY to low, parameter trends that characterise a watershed with a high response like  
379 Damma. However, a very high ALPHA BF and low GW DELAY might not be able to fully describeOn the ot  
380 her hand, the Göschenalpsee feeding area is less steep on average. The combination of these two factors might  
381 could be the reason, why some of the simulated peaks are higher but also narrower compared to the observed  
382 inflows into the reservoir and SWAT does not simulate efficiently the winter low flows, shown in Fig. 4.

383

384 Finally, SWAT results were compared to results from PREVAH and ALPINE3D models, already published in  
385 Magnusson et al. (2011) and Kobierska et al. (2013) (Fig. 4). PREVAH is a semi-distributed conceptual

386 hydrological model suited for applications in mountainous regions (Viviroli et al., 2009a; Viviroli et al., 2009b)  
387 while ALPINE3D is a fully distributed energy balance model (Lehning et al., 2006).

388

389 Figure 4 shows the interannual average of the period 1997-2010 daily runoff-reservoir inflow for each model.  
390 SWAT overestimated the runoff-inflow of the snowmelt period, from May to the beginning of July, while from  
391 mid July to late September its results are close to the observed values and in agreement with the other two models.  
392 Finally, in October inflow-runoff is slightly underestimated. The seasonality in variation between model results  
393 and observed values is linked to the application of only one melt rate for both snowmelt and glacial melt periods.  
394 The best fit of the model is observed when glacial melt is the major contributor to runoff, while it is overestimated  
395 during the snowmelt period, which is the reason of the excessive simulated runoff during the 1999-2002 period of  
396 high precipitation (Fig.3), as discussed above. Seasonal variability in model performance is observed not only for  
397 SWAT but also for ALPINE3D and PREVAH, as ALPINE3D underestimated the reservoir inflow runoff during  
398 the snowmelt period, from May to June, while on the other hand runoff was slightly overestimated by PREVAH  
399 in October and November (Kobierska et al., 2013).

400

401 ~~Furthermore, a combination of the factors discussed above about the applied snowmelt parameters and the~~  
402 ~~deviation in hydrological response between the two areas because of human intervention and~~  
403 ~~topographical/geographical features is the reason why SWAT doesn't simulate efficiently the winter low flows.~~

## 404 ~~6.2 SWAT~~ **6.2 SWAT transferability on a temporal scale**

405 As a next step, we ~~assessed whether SWAT can be transferred at a temporal scale, by simulated running~~ climate  
406 change scenarios for the greater area that feeds the Göschenalpsee ~~and compared the~~ In order to verify the  
407 ~~model transferability, results were compared~~ with the climate change study in Kobierska et al. (2013) using the  
408 same time periods as follows:

409 Reference period (T0): 1981–2010

410 ~~Near future period (T1): 2021–2050~~

411 ~~Far future period (T2): 2070–2099~~

412

413 The results of SWAT ~~model~~ are presented as the interannual average runoff-reservoir inflow for each different  
414 scenario ~~along the whole period~~ in Fig. 5(a) for the T1 period ~~near~~ and in Fig. 5(b) for ~~the far future periods~~ T2.  
415 The reference period shows the results of SWAT forced by the meteorological data of the Guetsch weather station  
416 for the period 1981-2010.

417

418 During the reference period, runoff peaks in ~~early July~~ mid June when snowmelt is combined with glacier melt.  
419 ~~For the near future period T1, the main difference happens from July to September when runoff is dominated by~~  
420 ~~glacier melt. During the T1 period from July to September this period, all scenarios predict lower reservoir inflow~~  
421 ~~, predicted runoff for all scenarios, and in particular for the warmer ETHZ scenario, is lower than the reference~~  
422 period, indicating that the glacier melt cannot compensate the predicted decrease in precipitation. From September  
423 until the end of the season, ~~the predictions simulated stream flow~~ of all scenarios ~~are~~ is higher than the reference  
424 period, which is explained by the higher predicted precipitation during autumn. The annual peak remains in ~~early~~  
425 ~~July~~ mid June, since the glacier has not melted away yet, providing glacier melt.

426

427 For ~~the far future period~~ T2 period, ~~runoff reservoir inflow~~ from spring to June is predicted to increase significantly  
428 for all three scenarios due to more intense snowmelt ~~and~~ ~~In addition~~, higher precipitation ~~is predicted by the~~  
429 ~~climatic data for this period~~. Based on the available glacier extent data described in section 3.2.5, we estimated  
430 that in 2070, the total glacier volume ~~would~~ be reduced to almost half, resulting in less glacial melt between July  
431 and late August. For this reason, and in combination with the significant decrease in precipitation, predicted by all  
432 scenarios for this period, the simulated runoff is lower than that of the reference. Finally, the snow free period ~~is~~  
433 ~~predicted to~~ extend until December instead of September.

434

435 At the end of the T2 period, ~~the average temperature increase in our site will be 3.35°C and~~ only a small part of  
436 the glacier ~~will~~ ~~is predicted to~~ remain in high elevation. The date of peak flow ~~would~~ shift to be in the beginning  
437 of June. The main ~~projected~~ runoff volume is ~~expected to be~~ observed in spring and early summer while during  
438 the glacier melt period, ~~streamflow~~ is significantly lower than that of the reference period. Overall the total water  
439 yield for the scenarios in T2 period is predicted to decrease.

440

441 To better observe the seasonal changes of estimated ~~runoff reservoir inflow~~, Fig. 6 shows the interannual average  
442 ~~runoff inflow~~ for a) May-June, b) July-August and c) September-October for the T1 and T2 ~~future~~ periods divided  
443 by the average of the reference period of the same months for all the three scenarios. In May and June, as mentioned  
444 above, ~~projected~~ runoff is mainly dominated by snowmelt. The three climate change scenarios predict increased  
445 temperatures and higher precipitation during May and June which result in faster snowmelt and therefore in the  
446 increased predicted runoff, as observed in Fig. 6(a). The increase is higher in the ~~T2 period far future~~ due to the  
447 higher temperatures. The only exemption to that is the SHMI scenario for the near future period, since it is the  
448 colder scenario that predicts the lowest temperature and precipitation changes. In July and August, climate change  
449 scenarios predict a significant decrease in precipitation, which is also depicted in the predicted ~~reservoir~~  
450 ~~inflow runoff~~. The scenario that has the most drastic effect is the ETHZ because it is the scenario that predicts the  
451 ~~highest most prominent~~ increase in the temperature and decrease in the precipitation. For September and October,  
452 results do not show a clear trend for the warmer ETHZ scenario, however for the CNRM and SHMI scenarios,  
453 predicted runoff is lower than the reference. Finally, the predicted ~~runoff inflow~~ of the far future period T2 shows  
454 higher fluctuations from year to year than that of the near future period especially from September to October.

455

456 The climate change predictions of SWAT and the subsequent conclusions show many similarities in the seasonal  
457 variations with that of ALPINE3D and PREVAH. ~~This observation is very promising since it demonstrates that~~  
458 ~~SWAT could be applied to climate change studies in ungauged high altitude watersheds~~. There are however  
459 uncertainties and differences between the models. ~~Table 3 presents a comparison of the shift in days for the highest~~  
460 ~~peak day and the COM between the three models for all the scenarios. Although the shift of COM is in good~~  
461 ~~agreement among the three models for each scenario, the models differed significantly concerning the shift in~~  
462 ~~highest peak day~~. ~~ALPINE3D and PREVAH models~~ predict the ~~spring~~ peak flow to shift approximately by ~~three~~  
463 and ~~six~~ weeks for the ~~near T1~~ and ~~far future T2~~ periods respectively (Kobierska et al., 2013). On the other hand,  
464 the shift ~~of the highest peak day in peak flow~~ with SWAT is ~~significantly~~ smaller ~~since and especially for the near~~  
465 ~~future period~~ a 10 day shift is predicted only with the warmer ETHZ scenario ~~for the T1 period while a maximum~~  
466 ~~shift of approximately three weeks is predicted for the T2 period (Table 3 Fig. 5)~~. This finding suggests that

467 ALPINE3D and PREVAH responded at a greater extend to glacier melt regarding the climate change scenarios  
468 than SWAT. However, considering the uncertainties associated with the climate change modelling, together with  
469 the uncertainties in the hydrological models, it is possible that our results have a narrow confidence interval.  
470 Furthermore, by firstly transferring the model at a spatial scale we increased considerably the uncertainty regarding  
471 the hydrological. Therefore, this climate change study can be a good qualitative assessment of the climate change  
472 impact on our catchment but with limited quantitative ability.

## 473 **7 Conclusions**

474 This study is an assessment of the transferability or upscaling of SWAT on a spatial and temporal scale for a partly  
475 glacierised catchment at a high altitude. For this reason, we followed an approach similar to the proxy-basin test  
476 introduced by Klemeš (1986).

477  
478 Firstly, SWAT was calibrated and ~~evaluated~~validated for the Damma glacier watershed and it was demonstrated  
479 that despite the extreme conditions of this high alpine watershed, SWAT performed successfully, with satisfactory  
480 NS and BE efficiencies. Subsequently, we assessed the transferability of the model by upscaling and applying  
481 SWAT for the greater area that drains into the Göschenalpsee reservoir and includes the Damma glacier  
482 watershed. By comparing model results with existing inflow data, we showed that the model was able to predict  
483 key parameters such as the snowmelt timing, autumn recession period and the peak flow timing. However,  
484 overestimation of runoff during the snowmelt period, ~~especially in wet years~~, highlights the importance of taking  
485 into account the difference in snow and glacier dynamics. It showed that better performance could have been  
486 achieved if different parameters for snow and glacial melt had been applied. This observation is quite important  
487 for study sites where streamflow is greatly dependent on both snow- and glacier melt. ~~Model performance was~~  
488 ~~potentially affected in the greater catchment due to hydropower infrastructure such as tunnels.~~

489  
490 The temporal transferability of SWAT was analysed by assessing the impact of climate change on the hydrology  
491 of the greater catchment and comparing these results with a previous climate change study conducted for the same  
492 area. Climate change predictions showed that the hydrological regime will change significantly in the future  
493 especially towards the end of the century. Although the results of SWAT show many similarities in the seasonal  
494 pattern of the predicted runoff with the results of PREVAH and ALPINE3D, there are also significant differences.  
495 These differences are related to the lack of sensitivity of SWAT to changes in the snowmelt and glacier melt  
496 dynamics. As the contribution of glacier melt to runoff is predicted to decrease, the significance of snowmelt  
497 becomes more prominent. It is therefore important when applying SWAT on high altitude watersheds to  
498 distinguish the glacier covered or snow dominated subbasins and pay particular attention to the applied snow  
499 parameters. This climate change study identifies qualitatively the impact of climate change on our study site but  
500 no further quantifications could be made or further conclusions drawn. Daily runoff during May and June is  
501 predicted to increase because more intense snowmelt and the predicted wetter springs. Projected runoff from July  
502 to October, mainly for the far future period but also for the near future, is significantly decreased. These results  
503 show many similarities with those previously published.

505 In conclusion, our findings show how important are the transferability assessment tests in identifying the strengths  
506 and weaknesses of the hydrological models, when they are applied under extreme climatic and geographical  
507 conditions or even under conditions different to the ones that were created and calibrated. They become even more  
508 important when they concern the widely used hydrological models like SWAT. Regarding the transferability of  
509 the model at a temporal scale and under climate change, more detailed tests such as the ones proposed by Klemeš  
510 (1986) and Thirel et al. (2015) could give more insightful results.~~In conclusion, our findings indicate that SWAT~~  
511 ~~is a model that can be successfully transferred to simulate streamflow and climate change impact for high altitude~~  
512 ~~glacierised ungauged watersheds.~~ Finally, ~~t~~The upscaling methodology used here, where SWAT is calibrated for  
513 a small watershed and then applied for a greater area that includes the calibration watershed, is a simple but still  
514 effective approach. It can be valuable in predicting streamflow of ungauged watersheds, in large scale hydrological  
515 simulations and for policy makers working in water management.

### 516 **Author Contributions**

517 Maria Andrianaki applied SWAT model, analysed data and prepared the manuscript with contributions from all  
518 co-authors. Juna Shrestha reviewed the manuscript and assisted in the modelling procedure. Florian Kobierska  
519 provided meteorological and runoff data. Nikolaos P. Nikolaidis provided guidance for the research goals. Stefano  
520 M. Bernasconi was the supervisor of the research project and provided the funding that lead to this publication.

### 521 **Competing interests**

522 The authors declare that they have no conflict of interest.

### 523 **Acknowledgements**

524 This study was supported by the European Commission FP 7 Collaborative Project: Soil Transformations in  
525 European Catchments (SoilTrEC) (Grant Agreement No. 244118). We thank Thomas Bosshard (Institute for  
526 Atmospheric and Climate Science, ETH Zürich, Switzerland), Frank Paul (Department of Geography, University  
527 of Zürich, Switzerland), MeteoSwiss and SwissTopo for providing all the necessary data for the completion of this  
528 study. We would also like to thank the Editor and the reviewers for their valuable contributions in improving this  
529 manuscript.

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**Table 1 The default and calibrated values of the most sensitive SWAT parameters**

<b>Parameter</b>	<b>Unit</b>	<b>Cal. Value</b>	<b>Default</b>
SFTMP	°C	-0.5	1
SMTMP	°C	2.5	0.5
SMFMX	mm H <sub>2</sub> O / °C day <sup>-1</sup>	4.7	4.5
SMFMN	mm H <sub>2</sub> O / °C day <sup>-1</sup>	0.1	4.5
TIMP		0.011	1
SURLAG		0.001	4
CNCOEF		0.5	1
SNOCOV MX	mm H <sub>2</sub> O	500	1
SNO50COV	%	0.3	0.5
ALPHA_BF	days	0.95	0.048
GW_DELAY		0.5	31
GW_REVAP		0.02	0.02
LAT_TTIME		0.0001	0
CN2		35	Na
SLSOIL	m	5	Na
ESCO		1	0.95
SOL_AWC	mm H <sub>2</sub> O/mm soil	0.05	Na

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**Table 2 ~~Difference~~ Absolute difference in days of the simulated from the between simulated and observed value measured valueses of the snowmelt timing, autumn recession period, peak flow timing and the centre of mass (COM), for the greater catchment feeding the Göschenalpsee.**

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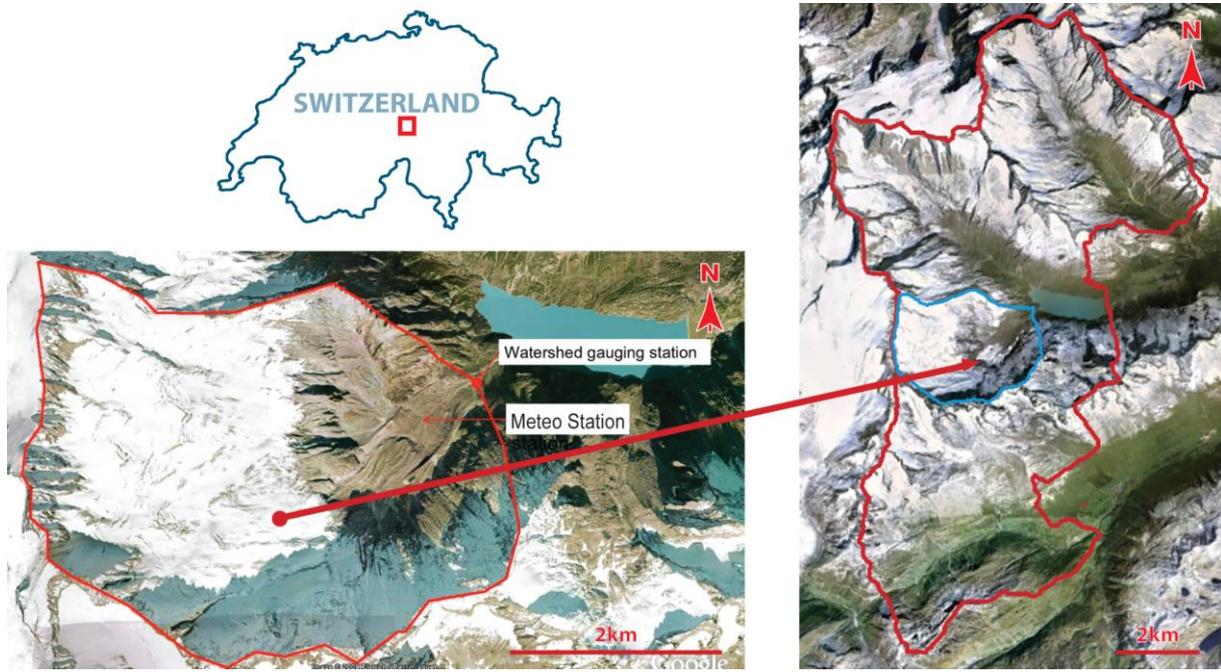
Year	Snowmelt timing	Autumn recession period	Peak flow timing	COM
1997	0	1	-48	7
1998	2	1	-2	4
1999	-4	0	-27	-1
2000	0	-16	19	-3
2001	0	1	-1	1
2002	0	-19	0	8
2003	2	5	-2	1
2004	1	4	21	2
2005	1	0	-1	4
2006	3	1	-3	4
2007	3	1	-7	8
2008	-2	0	2	3
2009	1	0	13	5
2010	2	0	-1	-6
<b>Average</b>	<b>1.4</b>	<b>3.5</b>	<b>11.0</b>	<b>4.0</b>

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**Table 3 Shift in days of the centre of mass (COM) and shift in the highest runoff peak of the interannual average reservoir inflow for all the three scenarios. T1 and T2 stand for the T1 and T2 periods respectively.**

Parameter	Model	ETHZ T1	CNRM T1	SHMI T1	ETHZ T2	CNRM T2	SHMI T2
<u>COM shift</u>	<u>SWAT</u>	<u>-2</u>	<u>-1</u>	<u>1</u>	<u>-6</u>	<u>-4</u>	<u>2</u>
<u>(days)</u>	<u>Alpine 3D</u>	<u>-2</u>	<u>-1</u>	<u>0</u>	<u>-4</u>	<u>-6</u>	<u>1</u>
	<u>PREVAH</u>	<u>-6</u>	<u>-2</u>	<u>3</u>	<u>-7</u>	<u>-8</u>	<u>3</u>
<u>Peak day shift</u>	<u>SWAT</u>	<u>-10</u>	<u>0</u>	<u>0</u>	<u>-22</u>	<u>-16</u>	<u>-13</u>
<u>(days)</u>	<u>Alpine 3D</u>	<u>-12</u>	<u>-12</u>	<u>-6</u>	<u>-45</u>	<u>-44</u>	<u>-30</u>
	<u>PREVAH</u>	<u>-29</u>	<u>-16</u>	<u>-6</u>	<u>-43</u>	<u>-39</u>	<u>-38</u>

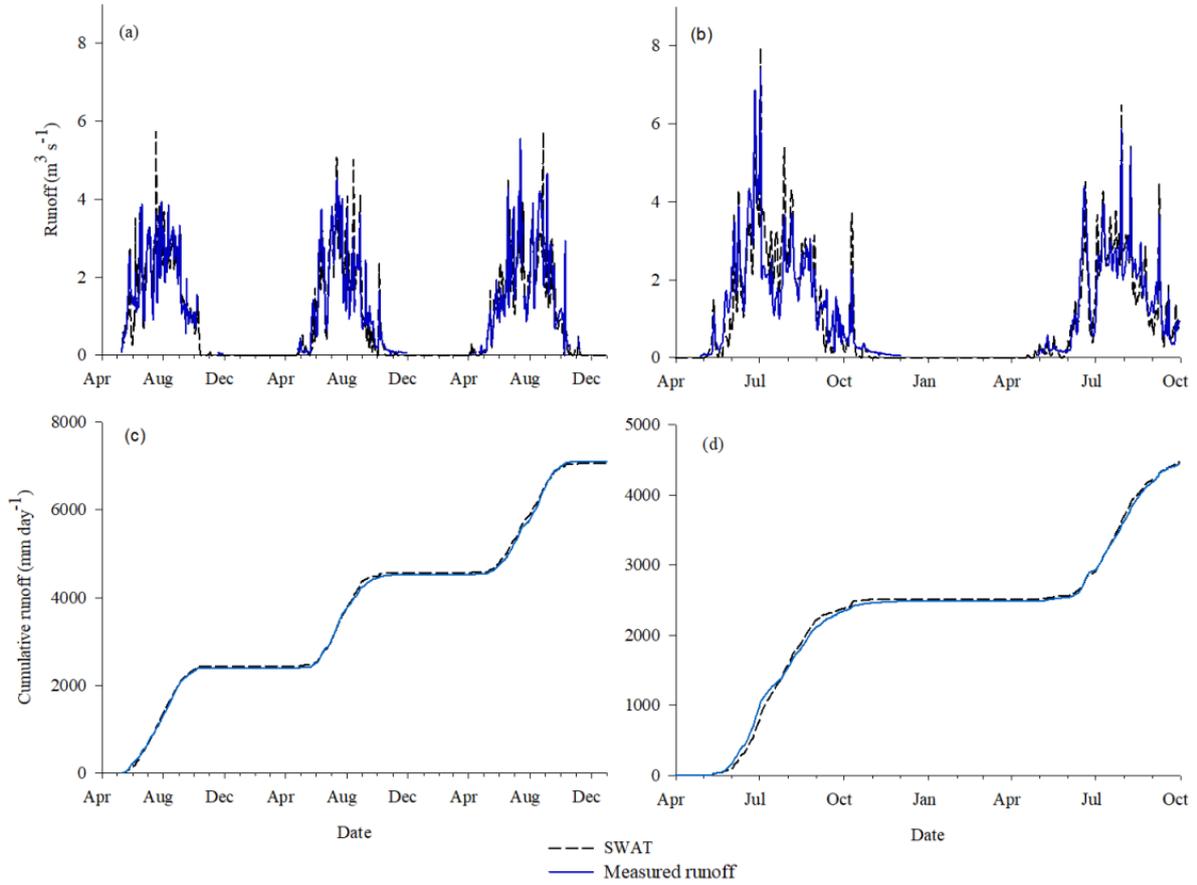
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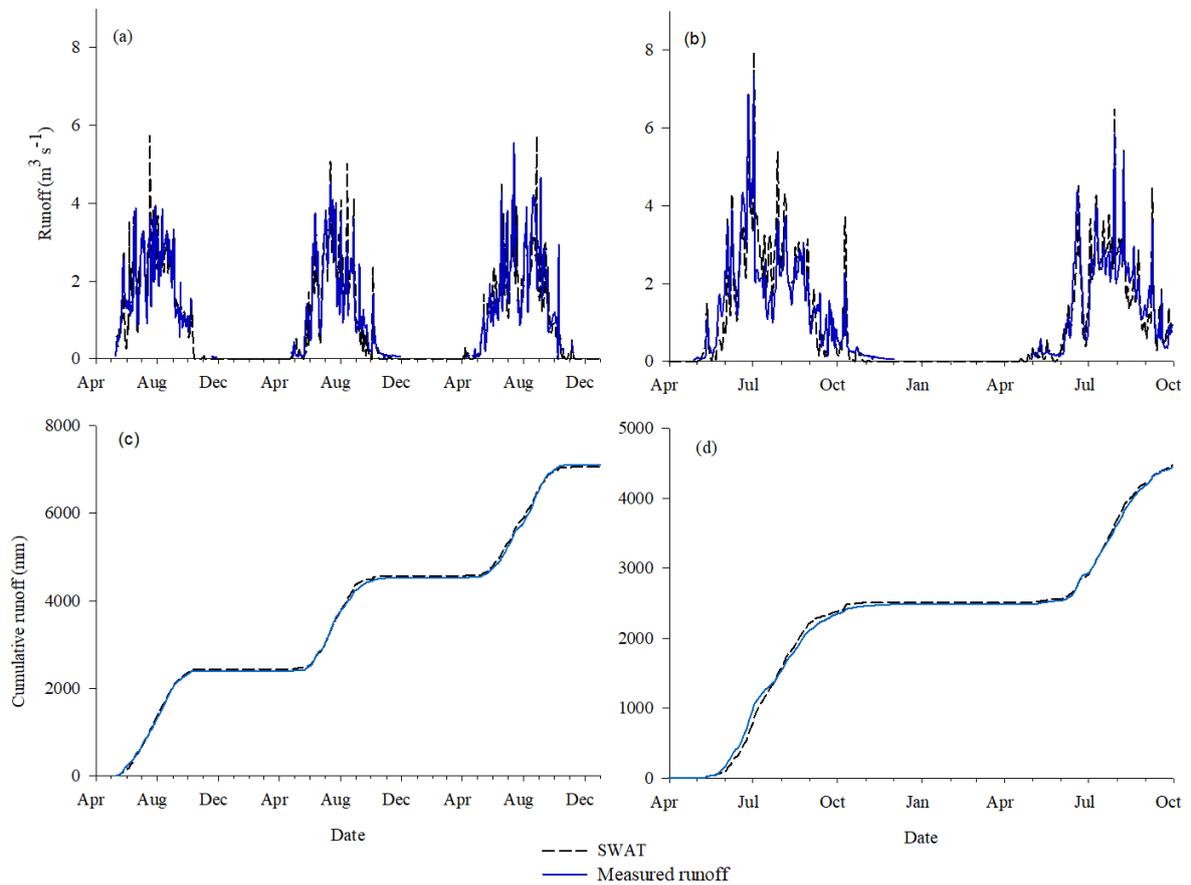
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**Figure 1** Map showing the Damma glacier watershed on the left and the greater area that feeds the Göschenalpsee on the right.





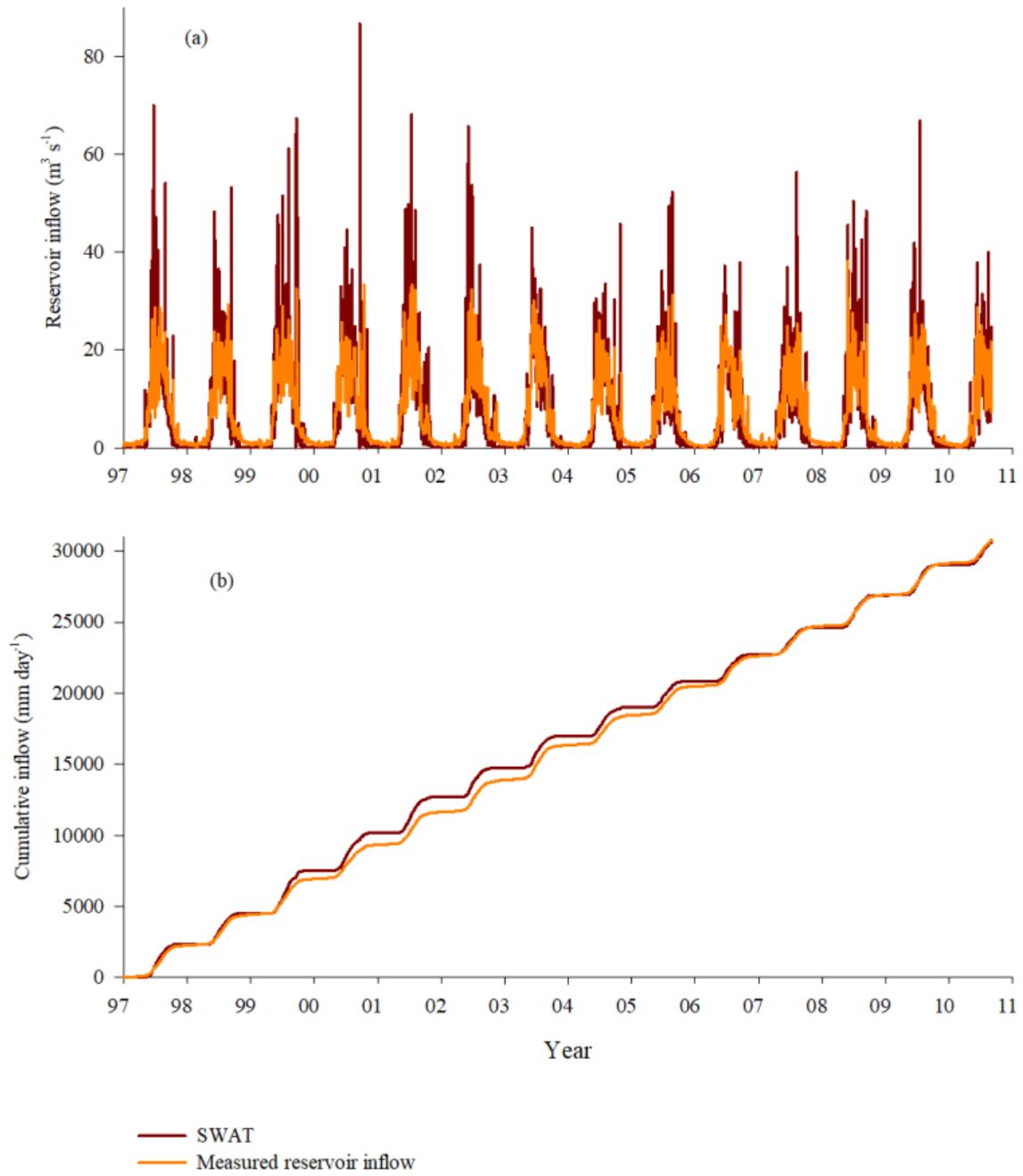
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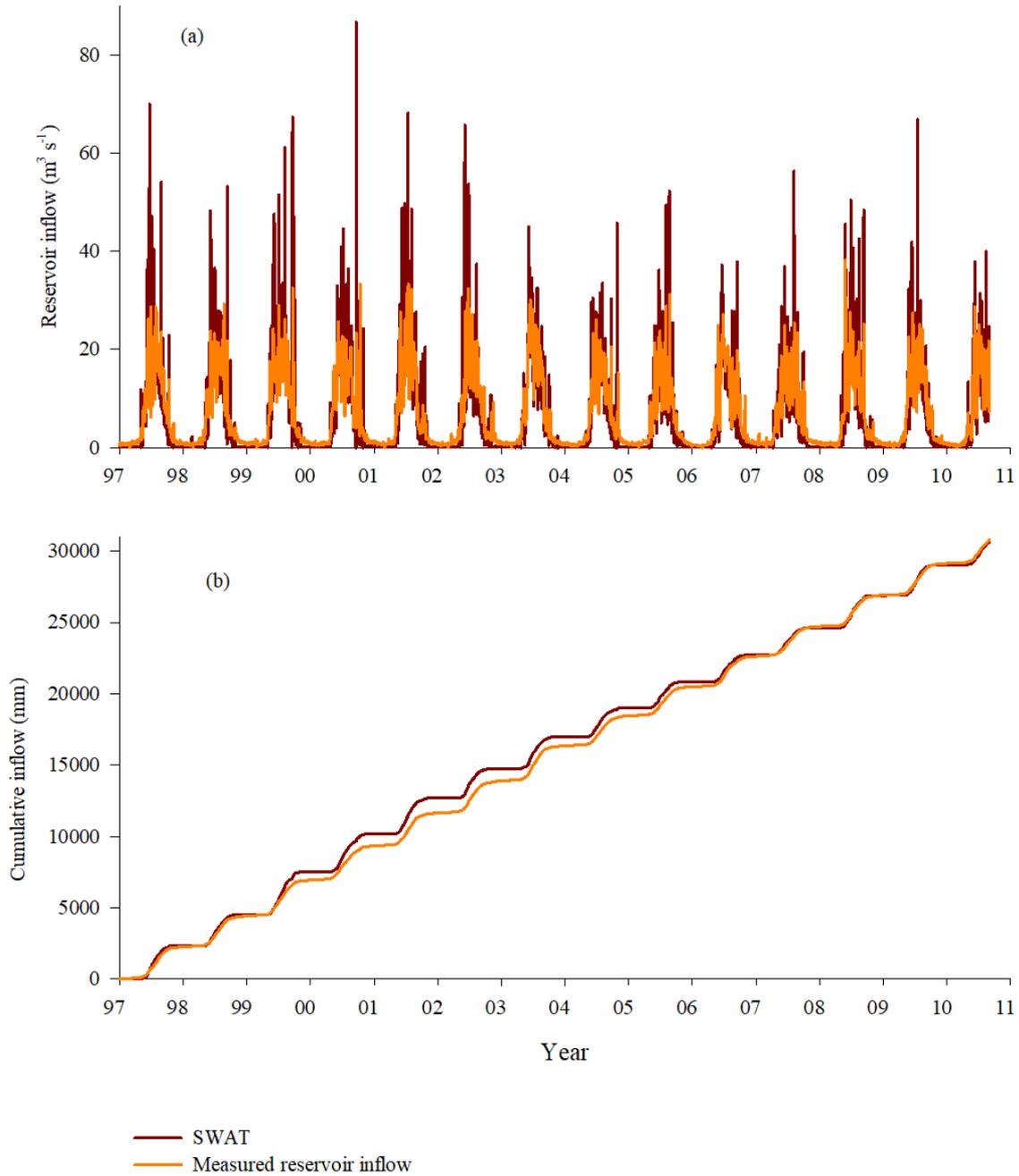


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716 **Figure 2 Results of SWAT in comparison to the measured runoff of the Damma glacier watershed. Observed and**  
717 **cumulative runoff for a) and e) and c) the calibration period 2009-2011 and for b) and d) and d) the evaluation/validation**  
718 **period 2012-2013. Graphs in c) and d) show the accumulative runoff.**  
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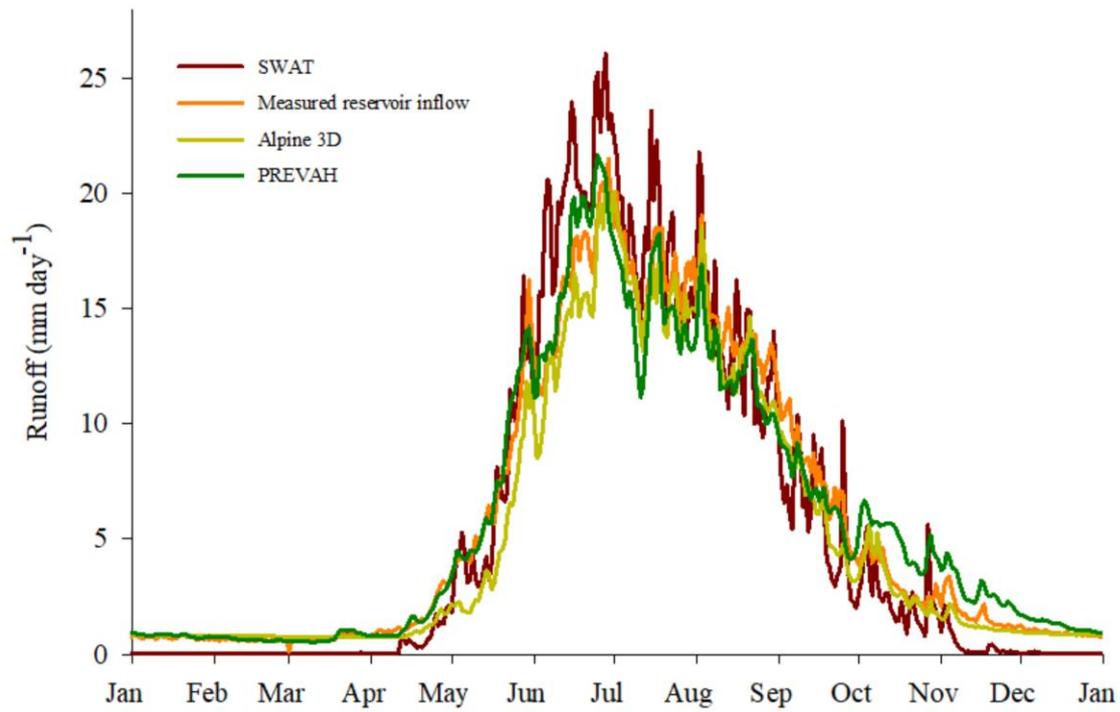


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723 **Figure 3 Results of SWAT** ~~SWAT results~~ **and the measured inflow of the feeding catchment of the Göscheneralpsee**  
 724 **reservoir for the period 1997-2010. Graphs in (b) show the measured ~~observed~~ and simulated cumulative inflow ~~runoff~~**  
 725 **over this period.**

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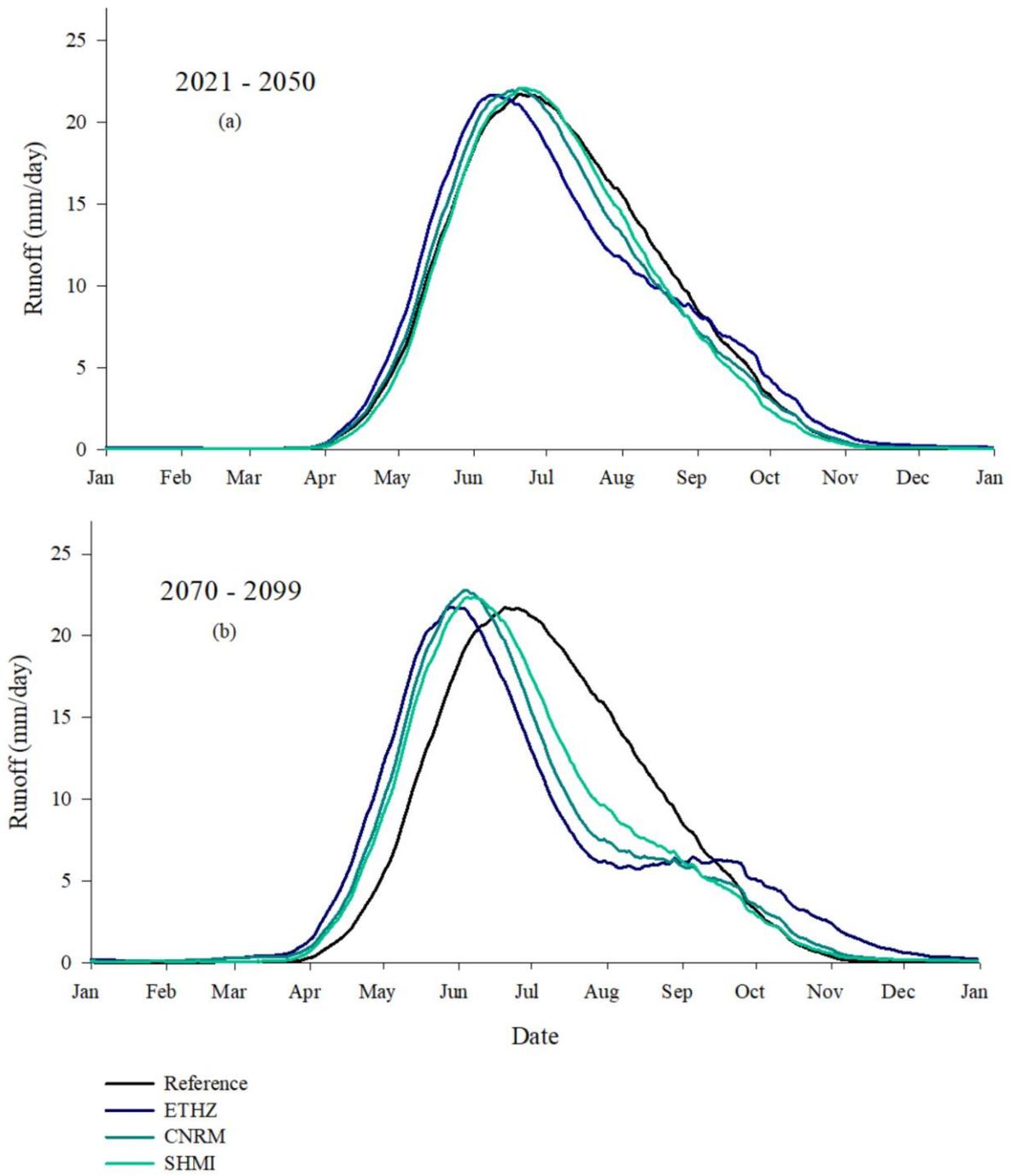
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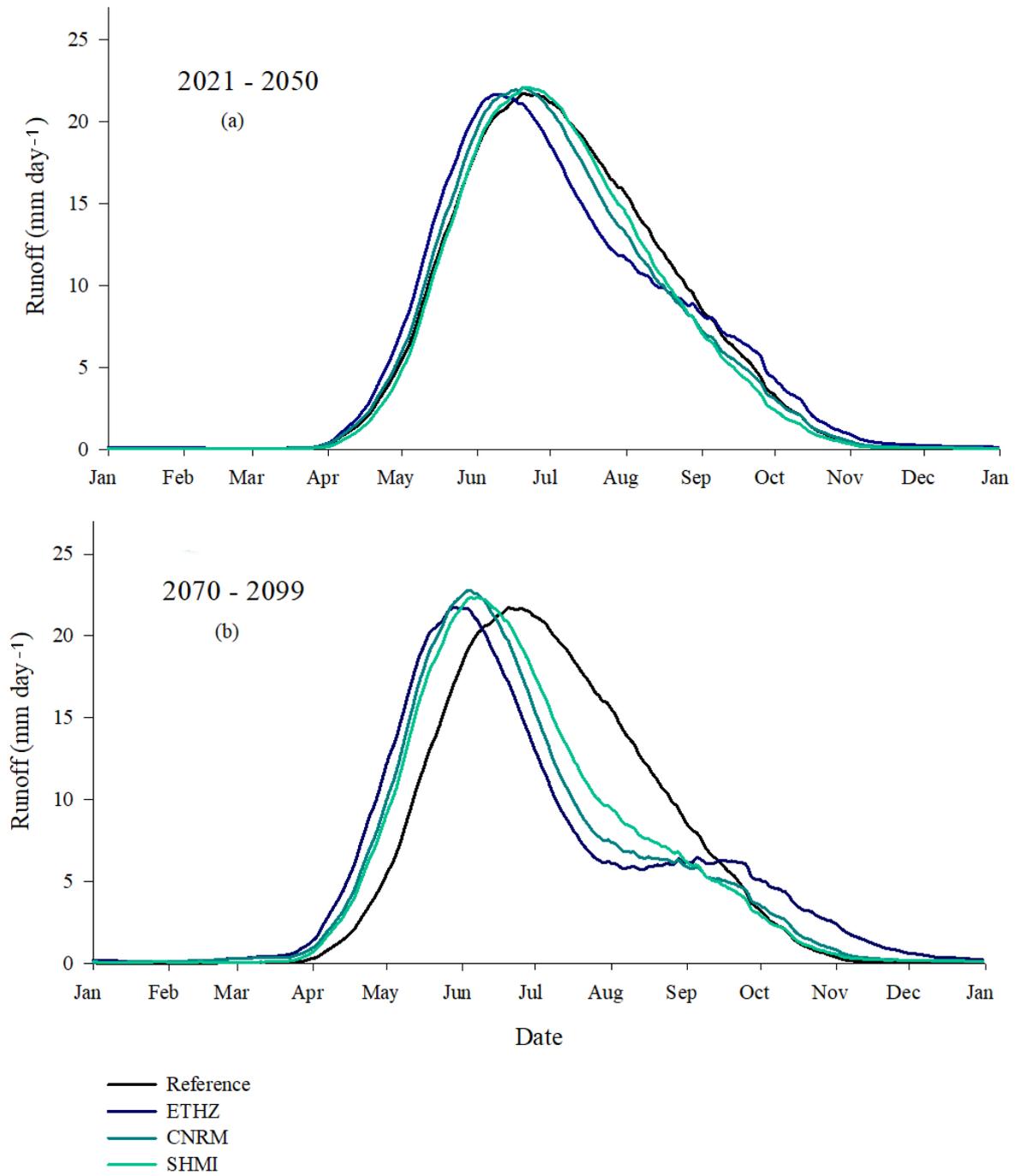


729

730 **Figure 4 Interannual average of the results of SWAT, ALPINE3D and PREVAH models and the measured runoff of**  
731 **the Göscheneralpsee feeding catchment for the 1997-2010 period.**

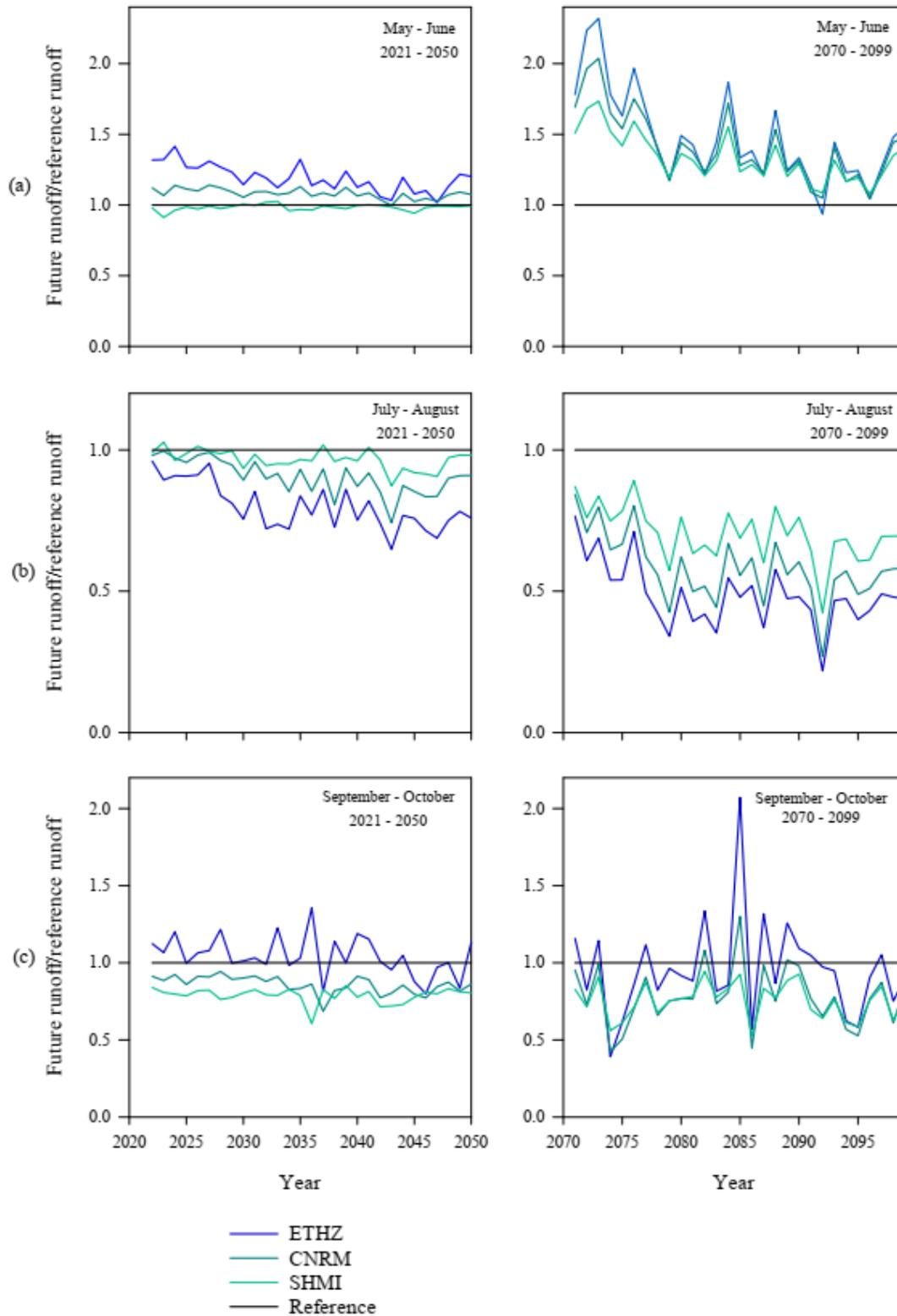
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735

736 **Figure 5** Interannual average of SWAT results of the three climate change scenarios and the reference period T0 for  
 737 the Göschenalpsee feeding catchment a) for the T1 period 2021-2050 and b) for the T2 period 2070-2099. A 30 day  
 738 average window is applied.



739

740 Figure 6 Seasonal changes of the ~~reservoir simulated with SWAT~~ ~~runoff/inflow simulated with SWAT~~ ~~for the~~ ~~of the~~  
 741 ~~Göscheneralpsee~~ ~~feeding catchment~~ ~~reservoir~~ for the reference T0 and future periods T1 and T2 for all three climate  
 742 change scenarios. The interannual mean of the months a) May and June, b) July and August and c) September and  
 743 October is taken.

744