

1 **Assessing the perturbations of the hydrogeological regime in**

2 **sloping fens through roads**

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8 **Abstract**

9 Roads in sloping fens constitute a hydraulic barrier for surface and subsurface flow. This can lead to the
10 drying out of downslope areas of the sloping fen as well as gully erosion. Different types of road construction have
11 been proposed to limit the negative implications of the roads on flow dynamics. However, so far no systematic
12 analysis of their effectiveness has been carried out. This study presents an assessment of the hydrogeological
13 impact of three types of road structures in semi-alpine, sloping fens in Switzerland. Our analysis is based on a
14 combination of field measurements and fully integrated, physically-based modelling. In the field approach, the
15 influence of the road was examined through tracer tests where the upslope of the road was sprinkled with a saline
16 solution. The spatial distribution of electrical conductivity downslope provided a qualitative assessment of the
17 flow paths and thus the implications of the road structures on subsurface flow. A quantitative albeit not site-specific
18 assessment was carried out using numerical models simulating surface and subsurface flow in a fully coupled way.
19 The different road types were implemented in the model and flow dynamics were simulated for a wide range of
20 slopes and hydrogeological conditions such as different hydraulic conductivity of the soil. The results of the field
21 and modelling analysis clearly indicate that roads designed with an L-drain (i.e. collecting water upslope and
22 releasing it in a concentrated manner downslope) constitute the largest perturbations in terms of flow dynamics.
23 The other investigated road structures were found to have less impact. The developed methodologies and results
24 can be used for the planning of future road projects.

25 **1 Introduction**

26 Wetlands can play a significant role in flood control (Baker, 2009;Zollner, 2003;Reckendorfer, 2013),
27 mitigate climate change impacts (Cognard Plancq et al., 2004;Samaritani et al., 2011;Lindsay, 2010;Limpens,
28 2008) and feature great biodiversity (Rydin, 2005). However, the world has lost 64% of its wetland areas since
29 1900 and an even greater loss has been observed in Switzerland (Broggi, 1990). Therefore, wetland conservation
30 has received considerable attention. However, the sprawl of human infrastructure, land-use changes, climate
31 change or river regulations remain serious factors that threaten wetlands. For instance, roads can substantially
32 modify the surface-subsurface flow patterns of sloping fens. The changes in flow patterns can influence sediment
33 transport, moisture dynamics and biogeochemical processes as well as ecological dynamics.

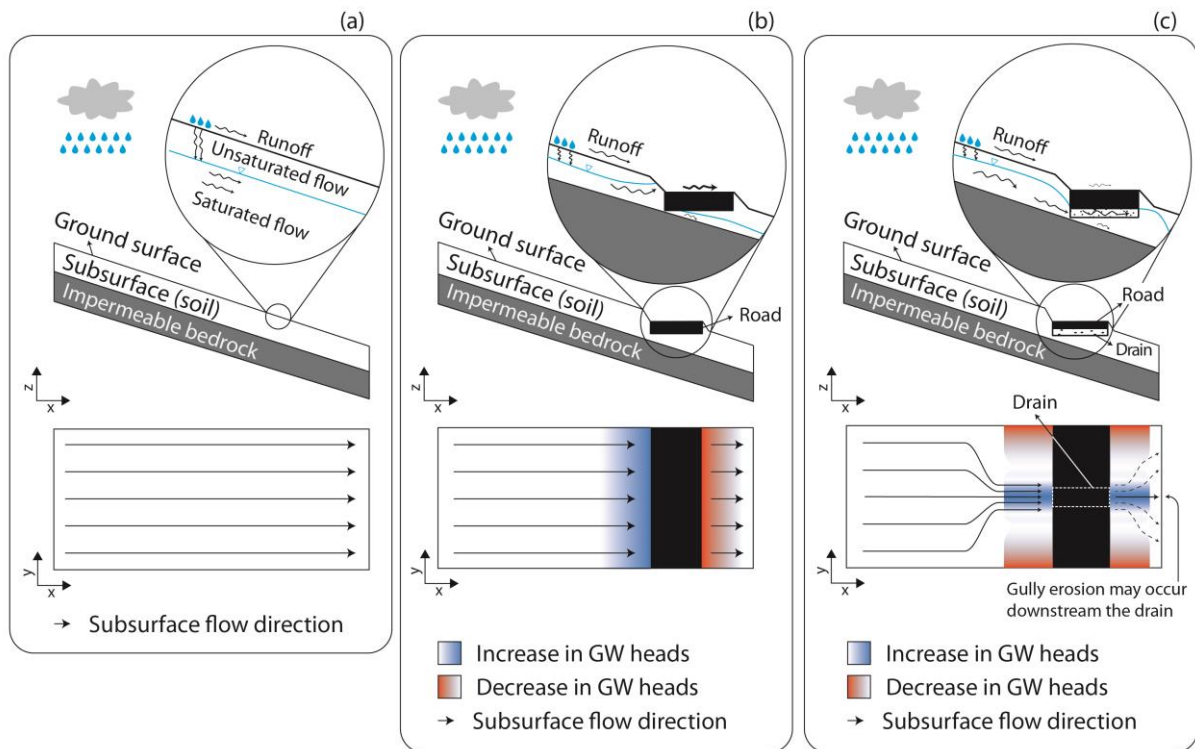
34 The link between hydrological changes and sediment dynamics has been studied in various contexts see
35 e.g. Partington et al. (2017). From a civil engineering perspective, erosion of the road must be avoided. A common
36 strategy to avoid erosion of the road foundation is to collect water in drains and then release it in a concentrated
37 manner downslope. This, however, can lead to erosion of the downslope area, a phenomenon known as « gully
38 erosion ». A number of studies specifically focused on identifying the controlling processes and relevant
39 parameters of gully erosion (Capra et al. (2009);Valentin et al. (2005);Descroix et al. (2008);Poesen et al.
40 (2003);Martínez-Casasnovas (2003);Daba et al. (2003);Betts and DeRose (1999);Derosé et al. (1998), among
41 others). Nyssen et al. (2002) investigated the impact of road construction on gully erosion in the northern Ethiopian
42 highlands, with a focus on surface water. In their study area, they observed the formation of a gully after the road
43 construction downslope of the outlets of the drains. Based on fieldwork and subsequent statistical analysis, they
44 concluded that the main causes for gully development are a concentrated runoff, the diversion of concentrated
45 runoff to other catchments and the modifications of drainage areas induced by the road. The role of groundwater
46 was not considered in this study.

47 Reid and Dunne (1984) developed an empirical model for estimating road sediment erosion of roads located
48 in forested catchments in the Washington state (USA). They concluded that a heavily used road produced 130
49 times more sediment than an abandoned road. Wemple and Jones (2003) also developed an empirical model for
50 estimating runoff production of a forest road at a catchment scale. They demonstrated that during large storm
51 events, subsurface flow can be intercepted by the road. The intercepted water, if directly routed to ditches, increases
52 the rising limb of the catchment hydrograph. At a smaller spatial scale (0.1 km²) Loague and VanderKwaak (2002)
53 assessed the impact of a road on the surface and subsurface flow using an integrated surface-subsurface flow model

54 InHM (Integrated Hydrology Model) (VanderKwaak, 1999) in a rural catchment. The results showed that the road
55 induced a slight increase of runoff and a decrease of surface-subsurface water exchange around the road. Dutton
56 et al. (2005) investigated the impact of roads on the near-surface subsurface flow using a variability saturated
57 subsurface model. They concluded that the permeability contrast caused by the road construction leads to a
58 disturbance of near-surface subsurface flow which may significantly modify the physical and ecological
59 environment.

60 Road construction can also impact the development of vegetation (Chimner, 2016). Von Sengbusch (2015)
61 investigated the changes in the growth of bog pines located in a mountain mire in the black forest (south-west
62 Germany). The author suggests that the increase of bog pine cover is caused by a delayed effect of a road
63 construction in 1983 along a margin of the bog. The road affects the subsurface flow and therefore prevents the
64 upslope water to flow to the bog. According to Von Sengbusch (2015), the road disturbances induce a larger
65 variability in water table elevations during dry periods and consequently increase the sensitivity of the bog to
66 climate change.

67 Based on these previous studies, a simple conceptual model describing the influence of roads on the flow
68 system can be drawn (Figure 1). In natural conditions, rainwater infiltrates the soil and follows the topographical
69 gradient. In case of heavy precipitation events, water can also directly flow on the surface (runoff in Figure 1a).
70 To construct the foundation of the road, a material with very low permeability is used. This subsequently blocks
71 the flow from the upslope towards the downslope. However, due to the buildup of hydraulic heads in the upslope
72 of the road (Figure 1b), the road can be inundated during precipitation events. To reduce the occurrence of
73 inundations, drains are installed under all roads (Figure 1c). The design and the materials of drains have potentially
74 a significant effect on flow dynamics. Figure 1c presents a typical condition where a non-continuous drain (i.e.,
75 drains are perpendicularly installed at regular distances along the road) is installed. The drain captures the flow
76 upslope along the road and the discharge is released in a concentrated manner downslope. This concentration of
77 flow downslope may induce gully erosion and disturb the hydraulic regime of the sloping fens. For example, the
78 wetland is at risk of drying out downslope of the road as the flow is concentrated to a small strip downslope of the
79 drain. Note, however, that a gully must not necessarily develop because the flow-velocity at the drain-exit might
80 not be sufficiently large to trigger erosion. Also, the drying out of the wetland beyond the direct vicinity of the
81 downslope area of the drain must not necessarily happen. The concentrated release from the drain can, to a certain
82 extent, spread out horizontally. In any case, a road constitutes a hydrogeological barrier which perturbs the natural
83 flow dynamics.



84

85 **Figure 1: Conceptual subsurface dynamics in sloping fens: a) natural conditions, b) a road without a drain (only shown**
 86 **for illustrative reasons as essentially all roads have drains). In this case, water will flow both across and under the road.**
 87 **Uncontrolled flow beneath the road can cause erosion of the road foundation. c) a road with a drain: In this design,**
 88 **surface water flow is reduced and flow beneath road occurs in a controlled manner through the drain. Water is released**
 89 **downslope in a concentrated manner with the risk of gully erosion and the drying out of parts of the wetland. While it**
 90 **is possible that the concentrated groundwater flows horizontally downslope through natural heterogeneity, there is a**
 91 **high risk of gully erosion.**

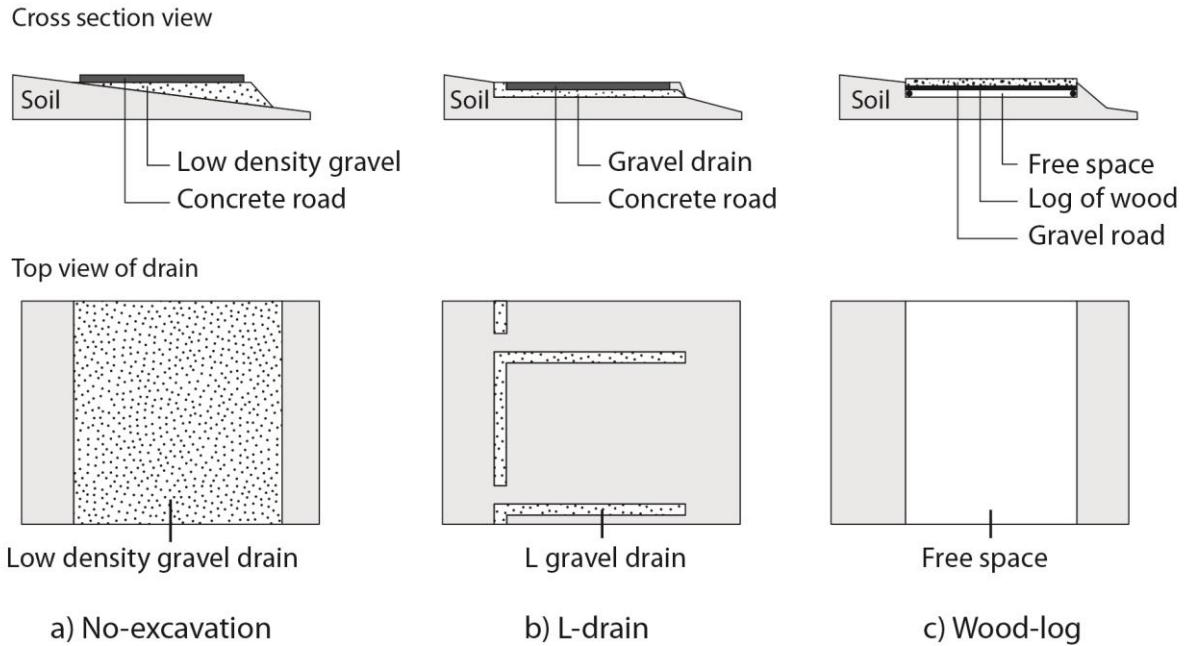
92 The design of the roads and especially the drains is expected to have a significant influence on the degree
 93 of perturbation. Three fundamentally different road structures were developed in Switzerland to reduce the impacts
 94 of roads. These three road types are conceptually illustrated in Figure 2. The efficiency of developed road structures
 95 was so far not assessed after completion, neither in the field through field-based experiments, nor on a conceptual
 96 level. This study focuses on these three road structures:

- 97 • The *no-excavation* structure (Figure 2a) aims at preserving soil continuity under the road. It consists of a
 98 leveled layer of gravel, anchored to the ground, and underlying 0.16m thick concrete slabs. Soil
 99 compaction is limited by using low-density gravel, made of expanded glass chunks (Misapor™) -
 100 approximately fivefold lighter than conventional material.
- 101 • The *L-drain* structure (Figure 2b) aims at collecting subsurface water upslope the road and redirecting it
 102 to discrete outlets on the other side. The setup consists of a trench, approximately 0.4m deep, filled with
 103 a matrix of sandy gravel that contains an L-shaped band of coarse gravel acting as the drain. This is the
 104 most common approach to build roads in Switzerland.

- 105 • The *wood-log* structure (Figure 2c) aims at promoting homogeneous flow under the road but does not
106 preserve soil continuity. Embedded in a trench, approximately 0.4m deep, the wooden framework is filled
107 with wooden logs forming a permeable medium. The wooden logs are then covered with mixed gravel.

108 In Switzerland, more than 20'000 ha are included in the national inventory of fens of national importance
109 (Broggi 1990), most of them are located in the mountainous regions of the northern Prealps. These fens developed
110 on nearly impermeable geomorphological layers such as silty moraine material or a particular rock layer named
111 “flysch”. The majority of remaining Swiss fens are sloping fens in this particular geological environment. To
112 protect the remaining wetlands it is important to reduce the impact of these constructions, be it in the context of
113 replacing existing, old roads or for the construction of new roads.

114 The aim of this study is to investigate the hydrogeological impact of the three road structures and their
115 effects on fen water dynamics to support decision-makers in choosing road structures with minimal impact. A
116 combination of fieldwork and hydrogeological modelling tasks was employed. Fieldwork was used to document
117 the hydrogeological impact of existing road structures on fen water dynamics. It is the first time that these road-
118 types are systematically analysed under field conditions. Sites with similar natural conditions were chosen to
119 compare the influence of different road constructions on flow processes. The field studies allow for assessing the
120 effectiveness of a given road structure at a particular location, however, they cannot provide a generalizable
121 analysis of the different road types under different environmental and physical conditions, e.g. the slope or the
122 hydraulic properties of the fen. This gap was filled by the development of generic numerical models. The models
123 are kept deliberately simple in terms of the heterogeneity of the soil. This allows to comparatively explore the
124 potential impact of the different road structures. The modelling allows a systematic comparison of this potential
125 impact for different conditions for the most important hydraulic properties: the slopes of fens and the bulk
126 hydraulic conductivity. However, as the heterogeneity of the soil is not considered in the models and the horizontal
127 redistribution due to field-specific heterogeneity cannot be considered (see figure 1c), the simulations thus
128 constitute a “worst-case “ scenario, which allows a ranking the different road structures in terms of perturbation
129 and the risk for gully erosion.



130

131 **Figure 2 : Conceptual road structures, a) No-excavation road structure, b) L-drain road structure and c)**
 132 **Wood-log road structure.**

133 **2 Methods**

134 **2.1 Study areas and fieldwork**

135 Four sloping fen areas located in alpine or peri-alpine regions of Switzerland (Table 1) were selected. All
 136 areas are situated in protected fen areas, and their selection was based on two main criteria:

- 137 1. The subsurface water flow must occur only in the topsoil layer and as runoff (as described in the
 138 introduction).
- 139 2. The types of installed road structures (no-excavation, L-drain and wood-log).

140 To fulfil the first criteria, soil profiles were analysed to ensure that each area with different road types had the
 141 comparable soil stratigraphy: It had to be composed of organic soil on top of a layer of impermeable clay and
 142 similar hydraulic regimes (e.g., runoff and subsurface flow occurring only in the topsoil layer). In addition, to
 143 ensure that subsurface water is forced to cross the road instead of flowing in parallel of the road (and thus not
 144 being affected directly by the road), another important criterion for the selection of the study areas was that
 145 subsurface flow is perpendicular to the road.

146 To evaluate the hydraulic connection provided by the roadbed structures, tracer tests were carried out. As
 147 illustrated schematically in Figure 3, the upslope area was irrigated with a saline solution and the occurrence of

148 the tracer was monitored downslope the road. In the absence of surface runoff, the occurrence of a tracer downslope
 149 demonstrates the hydrogeological connection through the road. Furthermore, the spatial distribution of the tracer
 150 front reflects the heterogeneity of the flow paths.

151 **Table 1. Field site locations and features.**

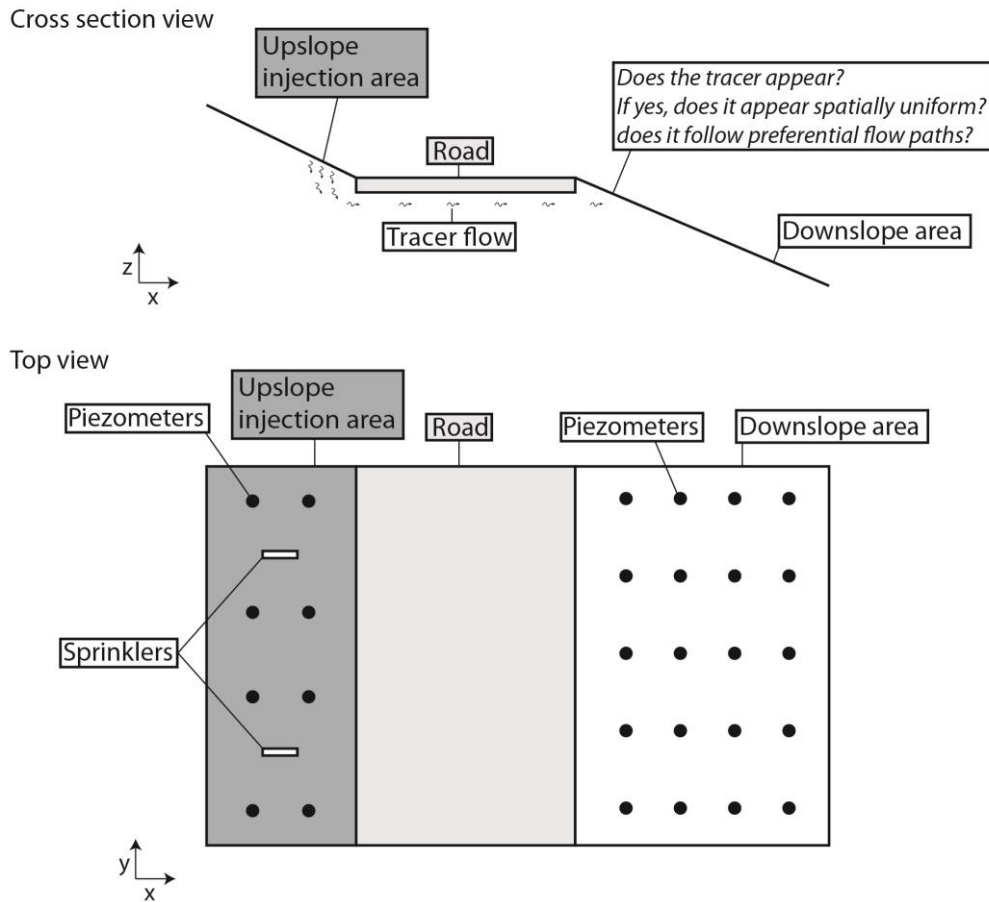
	St-Antonien (STA)	Schoeniseischwand (SCH)	Stouffe (STO)	Höhmad (HMD)
<i>Road type</i>	No excavation	L-Drain	Wood-log	Wood-log
<i>Terrain slope</i>	0.27	0.13	0.13	0.15
<i>WGS84 coordinates</i>	46.96760°N 9.84843°E	46.78872°N 7.96805°E	46.72957°N 7.83861°E	46.74027°N 7.89871°E

152

153 On each fieldsite, an area of an 8 x 20m rectangle that includes a 2.5 to 3.5m wide road segment was
 154 selected. A network of approximately 30 mini-piezometers on both sides of the road (Figure 3) was installed to
 155 monitor the hydraulic heads and was used to obtain samples for the tracer test.

156 The mini-piezometers are high-density polyethene (HDPE) tubes no longer than 1.5m (ID: 24mm). Each
 157 tube was screened with 0.4mm slots from the bottom end to 5cm below ground level. It was inserted into the soil
 158 after extracting a core with a manual auger (diameter: 4-6cm). The gap between the tube and the soil was filled
 159 with fine gravel and sealed on the top with a 4cm thick layer of bentonite or local clay. Hydraulic heads were
 160 measured using a manual water-level meter (± 0.3 cm). At each point, the terrain and the top of the piezometer
 161 were levelled using a level (± 0.3 cm), whereas the horizontal position was measured with a tape measure (± 5 cm).

162 The tracer tests were conducted using two oscillating sprinklers designed to reproduce a 30mm rain event
 163 during 2-3 hours. This is equivalent to an intense rain event. Prior to the experiment, the sprinklers were activated
 164 for 15-60 minutes to wet the soil surface. Sodium chloride was added to the irrigated solution to obtain an electrical
 165 conductivity of 5-10mS/cm which is approximately ten times higher than the natural electrical conductivity of the
 166 groundwater. Subsequently, the area (60m²) upslope of the road (upslope injection area of Figure 3) was irrigated
 167 with the salt solution using the two sprinklers. The electrical conductivity (EC) of soil water was manually
 168 measured using a conductivity meter in all mini-piezometers prior to the experiment, immediately after, and 24h
 169 later. An increase in EC in piezometers located in the downslope area indicates that the injected salt water flowed
 170 from the upslope area to the downslope area below the road and clearly shows a hydraulic connection. Conversely,
 171 if no changes in EC are observed in piezometers, this indicates a strongly hampered hydraulic connection below
 172 the road.



173

174 **Figure 3 : Schematic view of the fieldwork areas.**

175 **2.2 Numerical modelling**

176 The modelling approach was structured in three steps. First, a 3D base case model representing surface and
 177 subsurface water flow in a sloping fen was elaborated. Subsequently, the base case model was modified to
 178 represent the three different types of road structures. For each model, various slopes, soil and road drain hydraulic
 179 conductivities were implemented to produce a sensitivity analysis and explore their sensitivities in the sloping fen
 180 flow dynamics (see section 2.2.3 for details).

181 **2.2.1 Numerical simulator**

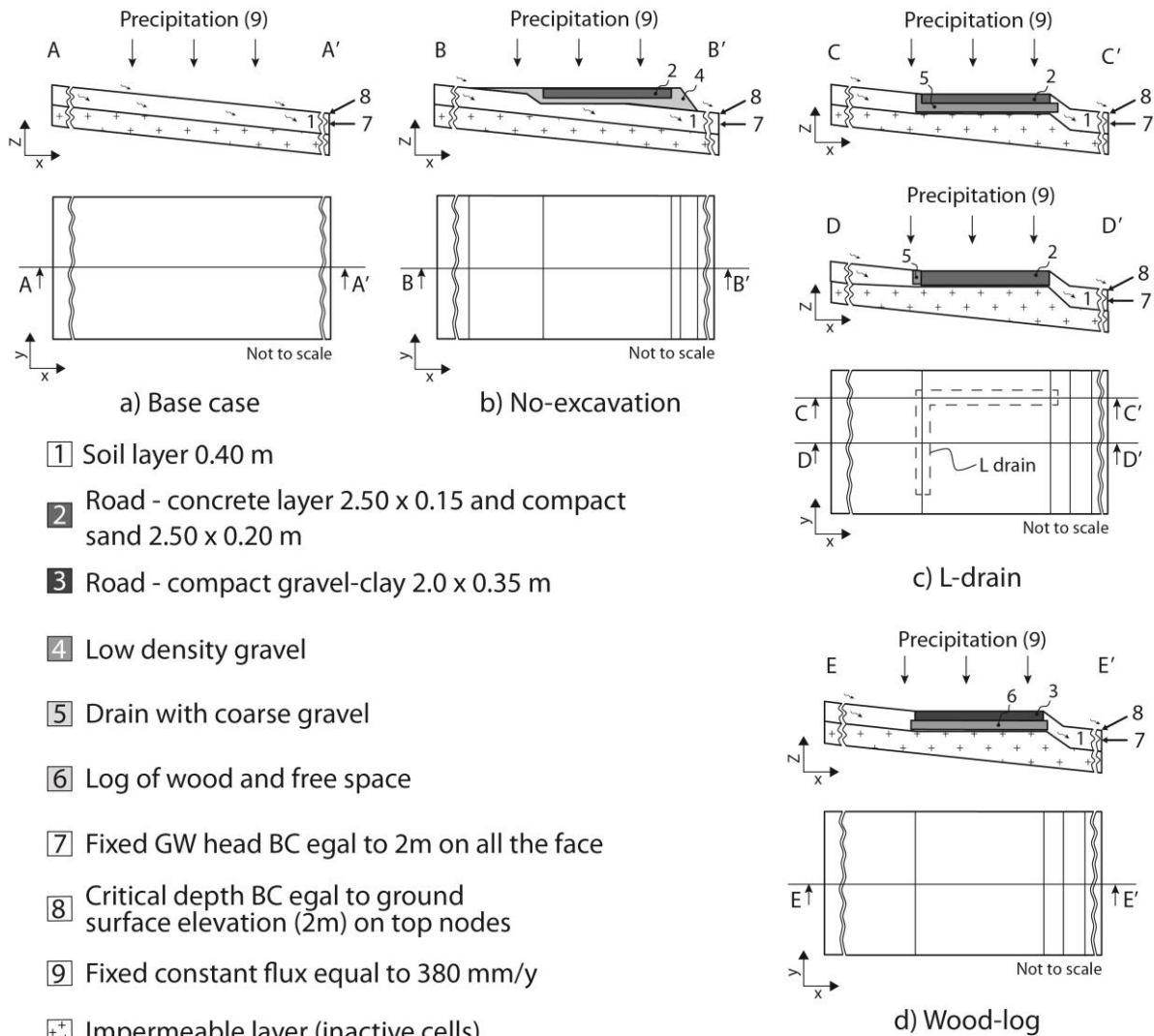
182 The model used in the study is HydroGeoSphere (HGS) (Aquanty, 2017). HGS is a physically-based surface–
 183 subsurface fully-integrated model using the control volume finite element approach. HGS solves a modified
 184 Richards’ equation describing the 3D subsurface flow. If the subsurface flow is unsaturated, HGS employs the
 185 Van Genuchten (1980) functions to relate pressure head to saturation and relative hydraulic conductivity.
 186 Simultaneously, HGS solves the 2D depth-averaged diffusion-wave approximation of the Saint-Venant equation
 187 for describing the surface flow. To couple surface and subsurface and simulate the water exchanges between both
 188 domains, the “dual node approach” is used. In this approach, the top nodes representing the ground surface are

189 used for calculating both subsurface and surface flow, the exchange flux between the two domains is calculated
190 on the basis of the head-difference between the surface and the subsurface and a coupling factor.

191 The iterative Newton-Raphson method is used to solve the nonlinear equations. At each subsurface node, saturation
192 and groundwater heads are calculated, which allows for the calculation of the Darcy flux. For further details on
193 the code, HGS capabilities and application, see Aquanty (2017), Brunner and Simmons (2012) or Cochand et al.
194 (2019).

195 **2.2.2 Conceptual models and model implementation**

196 Figure 4 illustrates the conceptual model of each case. Existing engineering sketches were used as a basis
197 for the implementation of the drain and road. Geometry, topography, and slopes are based on the conditions in the
198 field. In each model, the soil layer has a thickness of 0.4m and the surface and subsurface water is only supplied
199 by precipitation. The upslope boundary is the catchment boundary (water divide) and the downslope boundary
200 represents the outlet of the model. Finally, it was assumed that the layer beneath the soil was impermeable (as
201 observed in the field). One Neumann (constant flux) boundary condition was used on the top face for simulating
202 precipitation. A constant head boundary condition (Dirichlet type) equal to the ground surface elevation (2m) was
203 used on the lowest cells of the slope ($x=76\text{m}$ on the Figure 5a) allowing groundwater to flow out of the model.
204 Finally, a critical depth boundary condition which allows surface water to flow out of the model domain was
205 implemented on the top nodes located at $x=76\text{m}$. All other faces are no-flow boundary conditions.



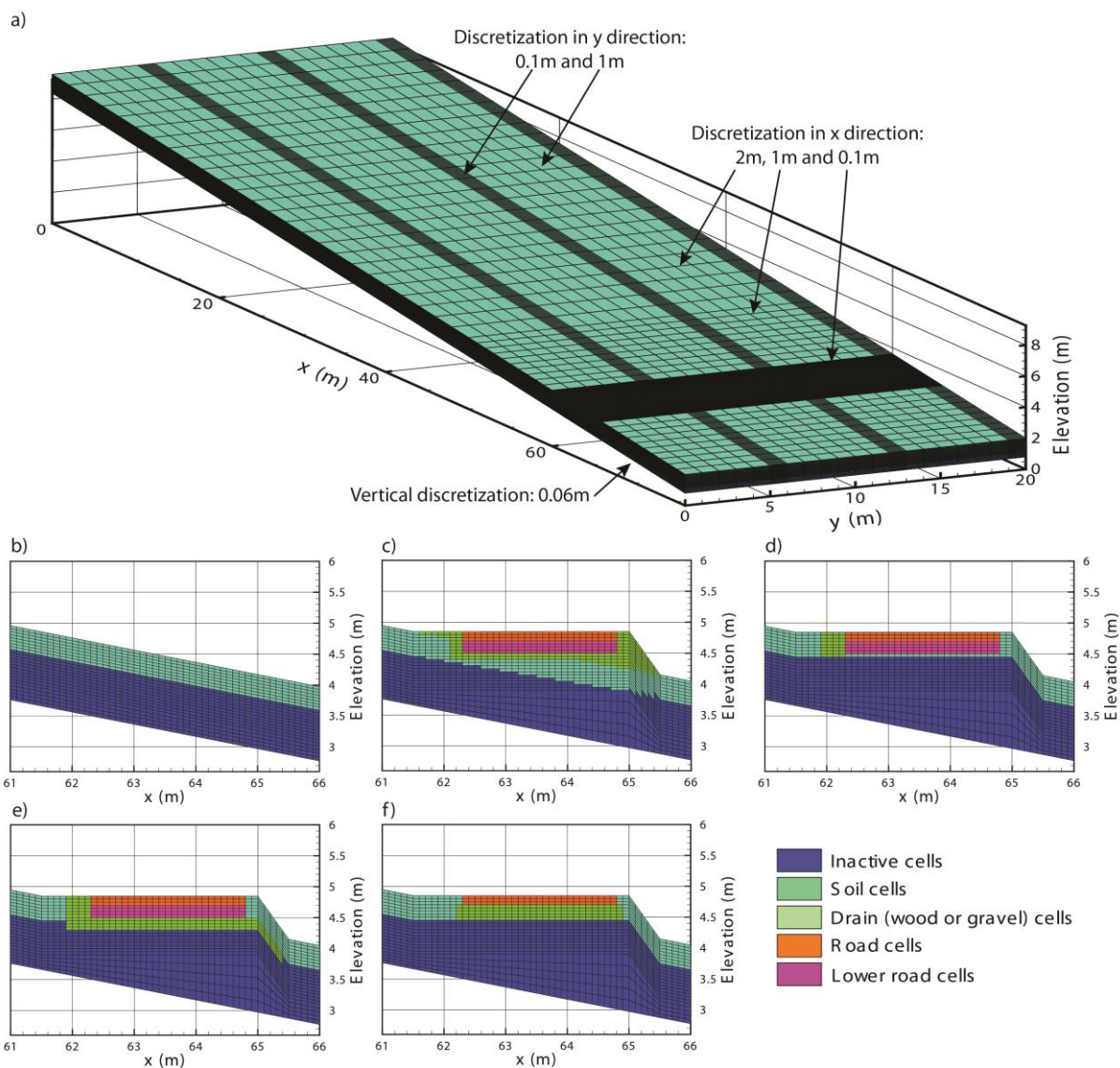
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207 **Figure 4 : a) Base case, b) No-excavation, c) L-drain and d) Wood-log structures conceptual models.**

208 A 3D- finite element mesh was developed (Figure 5a). The mesh is 76m long in the X direction, 20m in the
 209 Y direction and the mesh thickness is 1.2m. The top elevation was fixed at 2m on the right side ($x=76m$) and varies
 210 from 9.6m to 24.8m on the left side ($x=0$) according to the slope of the model. The mesh was composed of 24
 211 layers, 127,200 nodes and 118,440 rectangular prism elements. To guarantee numerical stability, mesh refinements
 212 were implemented. The element size varies between 2m and 0.1m horizontally (in the X and Y directions) and
 213 0.09m and 0.06m vertically.

214 The base case model and the three other models representing different road types have the same boundary
 215 conditions and finite element meshes, however, modifications were made between coordinates $61 < x < 66$ for the
 216 implementation of the different road types. Figure 5 depicts the differences between the base case model (Figure
 217 5a and b) and models with roads (Figure 5c, d, e and f). In models simulating a road, the mesh and the material

218 properties were adjusted. The fine spatial discretization of the mesh created between the coordinates $61 < x < 66$
 219 allows a more accurate representation of the simulated processes where high hydraulic gradients are expected (near
 220 roads and drains).



221
 222 **Figure 5 : Model development: a) Base case model, b) Base case model cross-section between $61m < x < 66m$, c) No-**
 223 **excavation model between $61m < x < 66m$, d) L-drain model between $61m < x < 66m$ along the transversal drain f) Wood-log model between $61m < x < 66m$.**
 224

225 2.2.3 Model application

226 The model application consists of the variation of model properties to assess their effect on the groundwater
 227 dynamics. The following parameters were analyzed: fen slope, soil hydraulic conductivities and road drain
 228 hydraulic conductivities. These parameters were selected because according to the Darcy's law (1) they control
 229 the groundwater flow dynamics. K is the hydraulic conductivity of the soil and the drain and ∇H the hydraulic
 230 gradient of the fens which itself strongly influenced by the topographical slope.

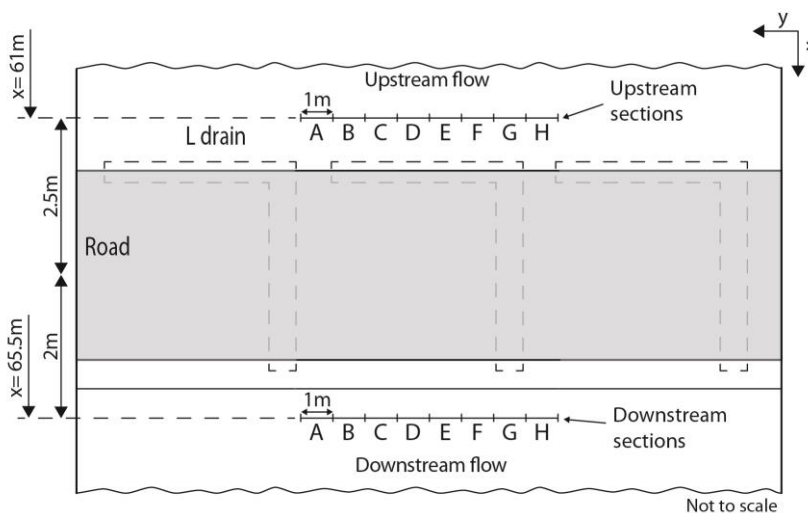
$$q = K * \nabla H \quad (1)$$

231 For each property varied in the sensitivity analysis, three different values were chosen (Table 2): a low,
 232 intermediate and high value. For the soil hydraulic conductivities (KS), values presented in Charman (2002) were
 233 used and varied between 8.64m/d and 0.0864m/d. This corresponds to a soil composed of gravely organic matter
 234 (as observed for example in St-Antonien site) or loamy organic matter (as observed for example in
 235 Schoeniseischwand site). Van Genuchten parameters (α and β), as well as the residual water content, were not
 236 varied. The road drains (KD) which are made of coarse or very coarse gravel were assigned a hydraulic
 237 conductivity between 8640m/d and 86.4m/d (Fetter 2001), their van Genuchten parameters corresponding to
 238 gravel. The slopes were fixed at 10%, 20% and 30%, as observed during the fieldwork. The hydraulic
 239 conductivities of the wood-log (W-L) drain were assumed ten times more conductive and more porous than the
 240 gravel drain. The road concrete is almost impermeable and was thus conceptualized with a very low hydraulic
 241 conductivity, its van Genuchten parameters corresponding to fine material. The road basement is constructed using
 242 highly compacted fine material (sand and loam) and was thus implemented with low hydraulic conductivity, the
 243 van Genuchten parameters corresponding to fine material. Finally, the implemented soil and road surface flow
 244 properties correspond to a wetland and urban cover (Li et al., 2008).

245 *Table 2 : Subsurface and surface flow parameters.*

Subsurface flow properties					
	Hydraulic conductivity	Porosity	Van Genuchten α	Van Genuchten β	Residual water content
Units	K [md⁻¹]	θ [-]	α [m⁻¹]	β [-]	Swr [-]
Soil - KS1	8.64	0.25	4	1.41	0.04
Soil - KS2	0.864	0.25	4	1.41	0.04
Soil - KS3	0.0864	0.25	4	1.41	0.04
Drains - KD1	8640	0.25	29.4	3.281	0.04
Drains - KD2	864	0.25	29.4	3.281	0.04
Drains - KD3	86.4	0.25	29.4	3.281	0.04
Drains - WL - KD1	86400	0.7	29.4	3.281	0.04
Drains - WL - KD2	8640	0.7	29.4	3.281	0.04
Drains - WL - KD3	864	0.7	29.4	3.281	0.04
Road concrete	0.0000864	0.05	1.581	1.416	0.04
Road basement	0.00864	0.25	4	1.416	0.04
Surface flow properties					
	Coupling length	Manning's roughness coefficient		Rill storage height	Obstruction height
Units	l_c [m]	n_x [m^{-1/3s}]	n_y [m^{-1/3s}]	D_t [m]	O_t [m]
Soil	1. x 10 ⁻²	0.03	0.03	0.005	0.005
Road	1. x 10 ⁻²	0.018	0.018	0.001	0.001

246 In order to simulate each parameter combination, a total of 90 models were developed (27 models for each
 247 road structures and 9 models for natural conditions). Models are run for 10'000 days (about 27 years) with a
 248 constant flux equal to 380mm/y on the top representing the rainfall to reach a steady state. Subsequently,
 249 subsurface flow rates in the soil layer were extracted at each section with an area of 0.4m² (1m wide times the soil
 250 thickness) presented in Figure 6. Changes in subsurface flow rates indicate a perturbation of flow dynamics and
 251 therefore, a comparison of flow rates between each model was made to present the effect of each road structure
 252 and sloping fen properties on the dynamics.



253

254 **Figure 6 : Location of observation sections in the models.**

255 **3 Results and Discussion**

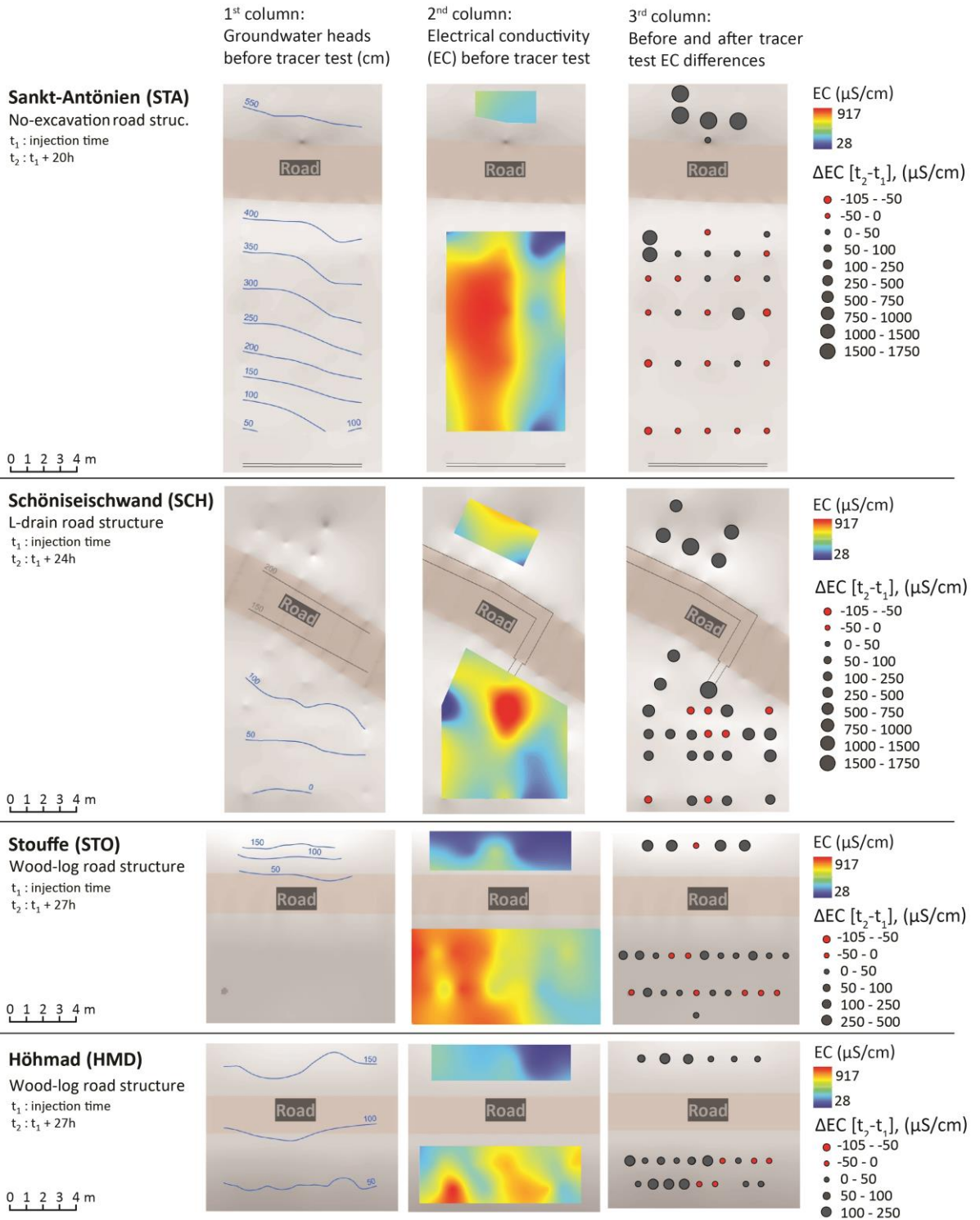
256 **3.1 Fieldwork**

257 Based on the observations, all sites show a continuous saturated zone before the experiment, both upslope and
 258 downslope of the road, the hydraulic gradients being similar to the terrain slope (Figure 7, 1st column). In contrast,
 259 the EC maps established prior to the tracer test show a spatial variability of one to several meters (Figure 7, 2nd
 260 column.). Within each plot, EC varies from 482 to 629μS/cm. At the SCH site, the highest values are located
 261 downslope of the L-drain outlet which could indicate that the EC increases as water is flowing through the drain
 262 (e.g. through the dissolution of the construction material). Given that this initial distribution of EC is not uniform,
 263 the comparison of EC after the sprinkling experiment has to be made in a relative manner (Figure 7, 3rd column).

264 The heterogeneity of the hydraulic conductivity of the soil is apparent from the tracer tests (Figure 7, 3rd
 265 column: EC 24 hours after injection). At all four sites, the front of the saline solution is not uniform because of the
 266 heterogeneity of the soil hydraulic conductivity. Nevertheless, the road structures or the drains may create

267 preferential flow paths. This is clearly occurring at the SCH site where the front follows two preferential flow
268 paths. One related to the L-drain (right path) and the other on the left, unrelated to the L-drain, suggesting that the
269 latter drains only a part of the water and the other part follows a natural preferential flow path. At the HMD site,
270 the saline solution is far more concentrated on the left side of the plot, yet apparently not as a result of the road's
271 structure. Rather, the soil appears more permeable on the left side of the plot, both upslope and downslope of the
272 road. Finally, the decrease in EC observed 24 hours after injection at some locations might result from the
273 following: (1) the tracer injection induces, by "piston effect", the displacement of a small volume of local water
274 with a lower EC; (2) the tracer injection was preceded by a period of irrigation without tracer. This could have
275 diluted the pre-irrigation soil solution.

276 In each case, the irrigation experiments demonstrate the continuity of subsurface flow under the road for
277 all structures. For the no-excavation and wood-log type, the perturbation of the flow field seems to be controlled
278 by the natural heterogeneity of the soil and flow paths, and not by the road itself. Conversely, the field data strongly
279 suggest that the L-drain constitutes an important preferential pathway and consequently subsurface flow is
280 increasingly concentrated. This flow convergence can cause gully erosion.



281

282

283 **Figure 7 : Fieldwork results at the four field sites: 1st column) Measured groundwater heads before tracer test, 2nd**
 284 **column) measured EC before tracer test and 3rd before and after tracer test differences in EC. The hydraulic heads**
 285 **downslope the road in the Stouffe site is about 25cm and upslope the road in the Schöneiseichwand is about 225cm**
 286 **(between two isolines) and are not presented in the figure**

287

289 3.2 Modelling

290 Figure 8a shows the results of the models with a slope of 10%, Figure 8b with a slope of 20% and Figure
291 8b with a slope of 30%. In each dot chart, the groundwater flow rates (always in m^3/d) are plotted with crosses for
292 the base case model, diamonds for the no-excavation type, squares for the L-drain type and circles for the wood-
293 log type. In addition, the maximum flow rate capacity of the soil calculated with Darcy's Law (1) and the flow
294 rate induced by the precipitation are also presented for the interpretation of the results. In the following paragraphs,
295 the base case (natural conditions) results are presented and discussed, followed by the simulations of the road
296 structures.

297 In the base case model, groundwater flow rates vary from 0.003 (m^3/d) to 0.069 (m^3/d) for a 10% slope,
298 0.006 (m^3/d) to 0.069 (m^3/d) for a 20% slope and from 0.009 (m^3/d) to 0.069 (m^3/d) for a 30% slope. The
299 groundwater flow rate decreases following a decrease of the hydraulic conductivities (KS) of the soil layer. The
300 groundwater flow rates are mainly controlled by the hydraulic conductivities, the slope plays a less important role.
301 This is expected, as the ratios of the maximum and minimum hydraulic conductivity are two orders of magnitude,
302 while slopes were multiplied by a factor of two (for a slope of 20%) or three (for a slope of 30%). Therefore,
303 groundwater flow is increased by a factor 3 between the model KS3 with a slope of 10% and model KS3 with a
304 slope of 30%. Concerning the formation of surface flow the following observation can be made. For all KS2 and
305 KS3 models, surface flow occurs while the infiltration capacity of the KS1 models is never exceeded and thus no
306 surface flow occurs.

307 In the no-excavation and wood-log type models, the influence on flowrates caused by the presence of the
308 road structures is quite similar. Groundwater flows vary from 0.01 (m^3/d) to 0.069 (m^3/d) for a 10% slope, 0.01
309 (m^3/d) to 0.069 (m^3/d) for a 20% slope and to 0.010 (m^3/d) to 0.069 (m^3/d) for a 30% slope. Compared to the
310 base case model, results show that the no-excavation and wood-log type structures have a minimal impact on flow
311 perturbation. The only marked difference is that groundwater flow rates are slightly higher if the soil hydraulic
312 conductivities are low (KS3). This is due to the hydraulic conductivity of the base of the road (consisting of wood-
313 logs) higher than the hydraulic conductivity of the soil which facilitates infiltration. Conversely, in the base case
314 model, less water is infiltrated but more surface runoff occurs. In the 20% and 30% slope models, the results of
315 the no-excavation model are similar to the base case model.

316 In the L-drain model, the effect of the road is markedly different from the other road structures. The
317 groundwater flows vary significantly in the observation sections. The maximum flows are always obtained in the
318 observation section G (see Figure 6 for the location of the sections) just downslope of the drain outlet and can be
319 10 times higher than compared to the base case. Conversely, minimum flows are obtained in observation sections
320 C and D in which flow rates can be 10 times lower. Significant differences in groundwater flow are also observed
321 in the same transect (within the same model). To condense this information, the ratios between maximum and
322 minimum flow rate are calculated for the L-drain structures (numbers at the bottom right of the panels in Figure
323 8). The maximum differences are observed for the cases where the hydraulic conductivity of soil (KS) and drain
324 (KD) are high and vary from 0.025 (m³/d) to 0.150 (m³/d). Conversely, when KS and/or KD are low, the
325 differences along the transect are smaller. Finally, the slope accentuates groundwater flow rate differences along
326 the transect. Therefore, an increase of groundwater flow differences is observed for the 10% and 30% slope
327 scenarios, within the same model. The impact of the L-drain may be further explored by extracting groundwater
328 flows lower than 2m downslope the road to assess the extent of perturbations. Figure 9 shows simulated
329 groundwater flows for the most critical cases (i.e. KS1 with a slope of 10%, 20% and 30%) downslope the road at
330 3.5m and 6.5m respectively and 2.5m upslope. At 3.5m the groundwater flows already regain their upslope
331 conditions. At 6.5m downslope the road, all observation sections are very close to the upslope flows except in
332 section G where flows are still slightly higher.

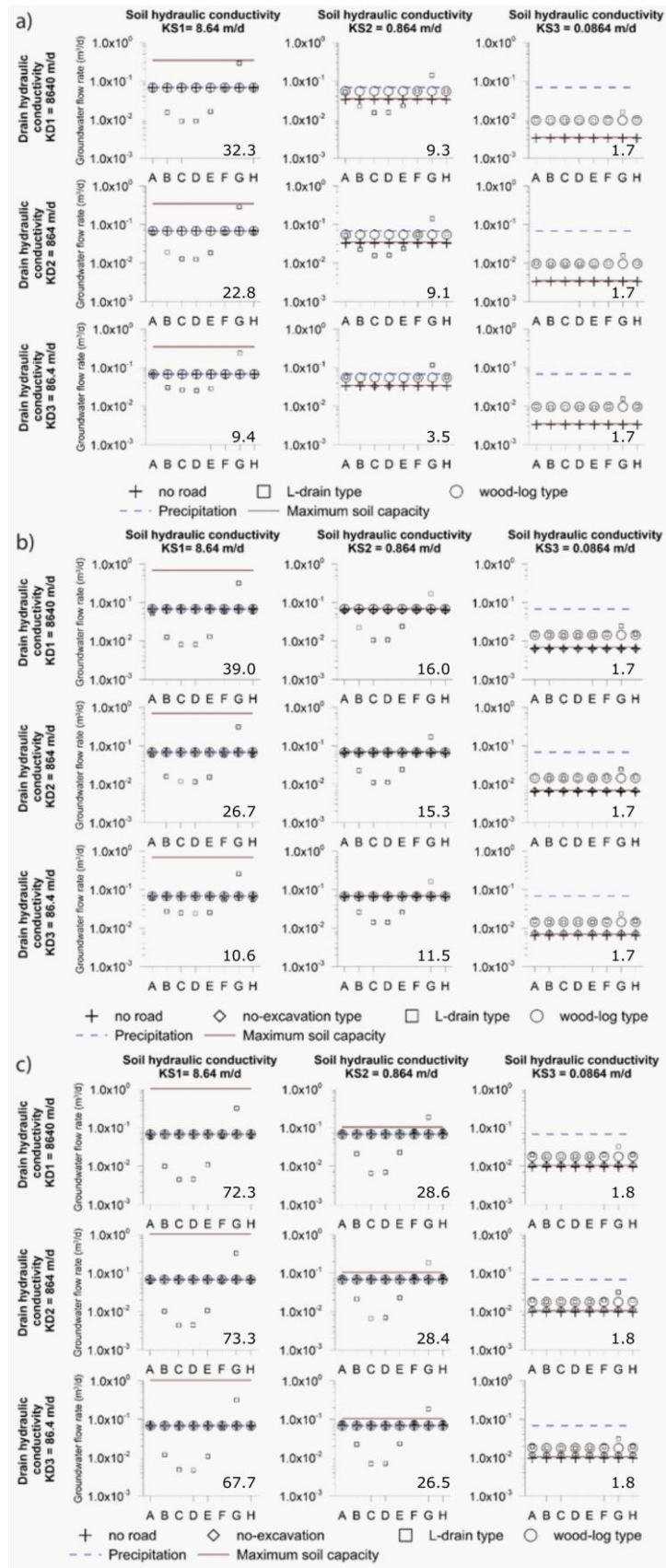
333 In addition to the assessment of perturbation through roads, the model results can be used to evaluate the
334 risk of gully erosion. As presented in Figure 8, the maximum flow rate capacity of the soil is small in comparison
335 to precipitation. For all model scenarios except for KS1, the soil capacity is lower than the precipitation and thus
336 surface runoff occurs in the models and is likely to occur naturally. However, surface runoff may be triggered by
337 the presence of L-drain structures. To illustrate this process, the simulated surface flow velocities of each road
338 structure downslope the road for the model KS2-KD2 and slope of 20% are presented in Figure 10. In this case,
339 the maximum flow rate capacity of the soil is approximately equal to precipitation, therefore runoff should not
340 occur. However, this is not the case for the L-drain. The occurrence of surface runoff is the consequence of the
341 subsurface flow concentration. In this configuration, the infiltration capacity of the soil is too small to
342 accommodate the concentrated flow collected upslope, thus groundwater emerges and surface flow is triggered.
343 This constitutes an increase of the risk for gully erosion. In addition, the perturbation on the roads upslope of the
344 road was assessed.

345 Finally, the impact of road structure on the upslope road dynamics was be also assessed (Figure not shown) 2.5m
346 upslope. Upslope flows are similar to the base case model, thus the influence of the road is, not unexpectedly,
347 marginal for all road types.

348 The development of models with various combinations of parameters allowed for exploring a larger
349 parameter space than using field work only. For instance, the fact that the impact of an L-drain structure on the
350 water dynamics is less marked if the hydraulic conductivity of soil is low would have been impossible to identify
351 by using fieldwork only. However, a numerical model is always a simplified reproduction of reality. The main
352 model assumption is that the hydraulic conductivity of the soil is homogeneous. However, the models are not
353 intended to reproduce small-scale observations, i.e. the exact hydraulic head in a piezometer, but instead can be
354 used to explore the influence of the road structures under different soil conditions (overall hydraulic conductivity)
355 and slopes. Given that no horizontal redistribution of the flow downslope can be simulated, for this the
356 consideration of heterogeneity would be required, consequently, the models constitute a worst-case scenario. The
357 models allow for a relative ranking of the potential impact and clearly show the increased risk for surface water
358 flow generation and thus gully erosion. Clearly, the L-drain shows the largest impact. The two other road structures
359 are thus the preferred choice.

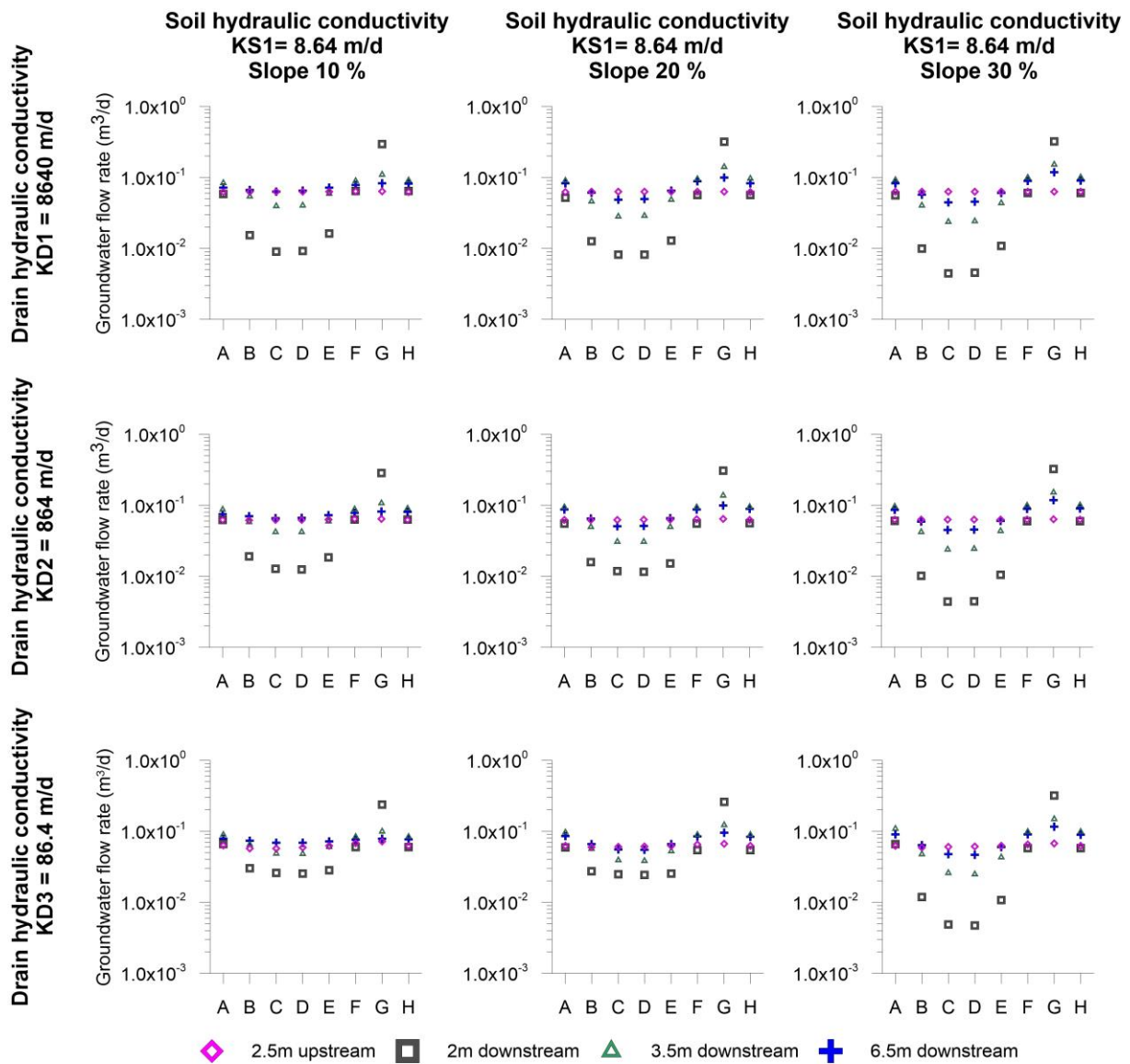
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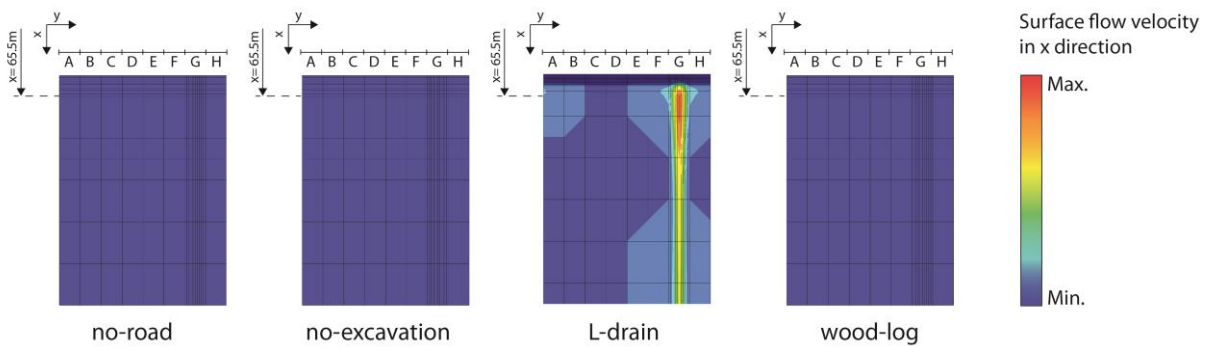
363 **Figure 8 : Simulated groundwater flow rates 2m downslope each road structure and each parameter combination**
 364 **with a slope of a) 10%, b) 20% and c) 30%. Numbers at the bottom right of each panel are the ratio between**
 365 **maximum and minimum groundwater flow within the L-drain transect**



367

368 **Figure 9 : Extent of perturbations due to the L-drain road type: Simulated groundwater flow rates different distances**
 369 **the road.**

370



371

372 **Figure 10 : Simulated surface flow of the KS2-KD2 model and a slope of 20% for each road structure. The results**
 373 **clearly indicate the increased risk caused by the L-drain of triggering surface runoff and thus potentially gully-**
 374 **erosion and the drying out of sections of the wetland**

375 **4 Conclusions**

376 This study assessed three road structures regarding their perturbations of the natural groundwater flow. Two
 377 of these road structures were specifically developed to reduce the negative impacts of the road. The study is based
 378 on two complementary approaches; field-based tracer tests and numerical models simulating groundwater flow for
 379 the different road structures. The combination of fieldwork and the development of numerical models was
 380 fundamental to achieve the goal of this study. The tracer test allowed for a better understanding of groundwater
 381 flow throughout road structures and allowed for evaluating their effectiveness at a given location. However, the
 382 tracer tests are time-consuming and only a few field sites are available. The numerical approach, on the other hand,
 383 allows for exploring any combination of slope, hydraulic properties or road structure, thus providing a more
 384 comprehensive approach. The significant impact of the L-drain road structure is clearly established in the
 385 numerical approach and is consistent with the field observations. For the other road structures too, the numerical
 386 models are consistent with fieldwork results by showing relatively undisturbed groundwater flow downslope the
 387 road.

388 It is the first time that the performance of these road-structures has been investigated in the field. The tracer
 389 tests showed that both sides of the road were hydraulically connected for all investigated road structures.
 390 Groundwater flow was heterogeneous suggesting the occurrence of natural preferential flow paths in the soil. The
 391 presence of a transversal drain (L-drain) beneath the road suggests that an L-drain constitutes a preferential flow
 392 path of much greater importance than the naturally occurring preferential pathways. The field results further
 393 suggest that the wood-log and no-excavation structures as less impactful than the L-drain. The simulation results
 394 are consistent with the assessment of the relative impact of the different road-types. Groundwater flow rates 10
 395 times larger than in the natural case were obtained in the numerical simulations. The two other road structures

396 (wood-log and no-excavation) do not perturb the flow field to the extent of the L-drain. To minimize the
397 perturbation of flow fields, the wood-log and no-excavation structures are recommended.

398 **5 Acknowledgements**

399 This research was funded by the Swiss Federal Office for the Environment (FOEN) and supported by the
400 Swiss Federal Office for Agriculture (FOAG). The authors are grateful to Benoit Magnin, Peter Staubli, Andreas
401 Stalder, Anton Stübi and Ueli Salvisberger for their collaborations. We thank the three anonymous reviewers and
402 the editor for their constructive comments. We thank the three anonymous reviewers and the Editor, A:
403 Hildebrandt, for their input to the paper.

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