# Reconstructing the Salgar 2015 Flash Flood Using Radar Retrievals and a Conceptual Modeling Framework in an Ungauged Basin

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Abstract. On May 18, 2015, severe rainfall triggered a flash flood in the municipality of Salgar, located in the northwestern Colombian Andes. This work aims to reconstruct the main features of the flash flood to better understand the hydrological processes modulating the event occurrence. Radar quantitative precipitation estimates (QPEs), satellite data, and post-event field visits are used to re-5 construct the Salgar flash flood, addressing the relationship among rainfall spatiotemporal structure, soil moisture, and runoff generation during successive rainfall events by using a conceptual modeling framework including landslide and hydraulic submodels. The hydrologic model includes virtual tracers to explore the role of runoff and subsurface flow, as well as the relative importance of convective and stratiform precipitation in flash flood generation. Despite potential shortcomings, the modeling results allow an assessment of the impact of the interactions between runoff, subsurface flow, and convective-stratiform rainfall on the short-term hydrological mechanisms leading to the flash flood event. The overall methodology reproduces the magnitude and timing of the La Liboriana flash flood discharge peak considerably well, as well as the areas of landslide occurrence and flood spots, with some limitations due to the digital elevation model's spatial resolution. Simulation results indicate that the flash flood and regional landslide features were strongly influenced by the antecedent rainfall, which was associated with a northeasterly stratiform event that recharged the gravitational and capillary storages within the model. The simulation shows that the antecedent rainfall event moistens the entire basin before the occurrence of the flash flood event, modulating the streamflow during the flash flood event. Evidence suggests that the spatial structure of the rainfall is at least as important as the geomorphological features of the basin in regulating the occurrence of flash flood events.

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#### 1 Introduction

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Flash floods are regarded as one of the most destructive hydrological hazards, resulting in considerable loss of human life and high costs due to infrastructure damage (Roux et al., 2011; Gruntfest and Handmer, 2001). Among all different types of floods, Jonkman (2005) shows that flash floods result in the highest average mortality rate per event (3.62%), almost ten times larger than the mortality rate for river floods. Flash floods are usually described as rapidly rising water level events occurring in steep streams and rivers, associated with short-term, very intense convective precipitation systems or orographically forced rainfall events over highly saturated land surfaces and steep terrains (Šálek et al., 2006; Llasat et al., 2016; Douinot et al., 2016). Convective precipitation episodes often feature high intensity, short duration, and relatively reduced spatial coverage (Houze, 2004).

Several authors have assessed the role of the geological and geomorphological features of the catchment, soil type, soil moisture conditions, and the spatiotemporal structure of rainfall on flash flood occurrence, trying to identify the leading causative mechanisms of this hazard (Merz and Blöschl, 2003). Adamovic et al. (2016) and Vannier et al. (2016) tried to understand the governing processes of flash floods from the geological formation of the basin with mixed results. Wu and Sidle (1995) emphasized the role of the topography, ground cover, and groundwater in the occurrence of shallow landslides and associated debris flows. Due to their rapid nature, flash floods are more likely to occur in small and steep basins (Younis et al., 2008); many authors have assessed the influence of hills and stream slopes, suggesting the slopes of the hills are significantly more important for flash flood occurrence and magnitude than the slope of the stream (Šálek et al., 2006; Roux et al., 2011; Yatheendradas et al., 2008). Rodriguez-Blanco et al. (2012) analyzed fifty-four flash flood episodes in Spain and determined that antecedent soil moisture conditions play a vital role in runoff production. Castillo et al. (2003), using a modeling approach, also suggested an important flash flood occurrence dependence on antecedent moisture conditions. Aronica et al. (2012) used spatial and statistical analysis to reconstruct landslides and deposits, finding a connection between flash flood occurrence and soil moisture antecedent conditions.

The fact that small basins are more prone to flash floods increases their intrinsic physical and measurement uncertainty (Wagener et al., 2007), posing difficulty in their prediction (Hardy et al., 2016; Ruiz-Villanueva et al., 2013; Yamanaka and Ma, 2017; Borga et al., 2011; Marra et al., 2017) and underlining the need for high spatiotemporal resolution precipitation data (Norbiato et al., 2008). Given the critical role of precipitation, some authors follow a climatological approximation to assess the recurrence of flash floods in particular regions, focusing on the atmospheric causative mechanisms. For example, Kahana et al. (2002) examined the extent to which floods in the Negev Desert are the outcome of climatological synoptic-scale features, finding that approximately 80% of the events can be linked to distinct synoptic conditions occurring days prior to the flood events. Schu-

macher and Johnson (2005) studied extreme rain events associated with flash flooding in the United States over a 3-yr period using the national radar reflectivity composite data to examine the structure and evolution of each extreme rain event. The use of radar data to study flash flood-generating storms is vital for understanding and forecasting these events (National Research Council 1996). Schumacher and Johnson (2005) found that 65% of the total number of episodes are associated with mesoscale convective systems (MCSs), with two recurrent patterns of organization: the existence of training convective elements and the generation of quasi-stationary areas of convection with stratiform rainfall downstream. Fragoso et al. (2012) analyzed storm characteristics and required rainfall conditions for flash flood occurrence at Madeira (Portugal), and their results suggest an essential role of global climate patterns (North Atlantic Oscillation -NAO- forcing) and local forcing (orographic features) in the triggering of such events. Implicitly, these studies and all the others available in the peer-reviewed literature point to the need for local and regional high-quality spatiotemporal rainfall data. Berne and Krajewski (2013) highlighted the need to incorporate high-resolution weather radar information, even with some limitations, in flash flood hydrology.

The topography of Colombia is characterized by three branches of the Andes crossing the country south-to-north, generating a mixture of landscapes from high snow-capped mountains, vast highland plateaus, and deep canyons to wide valleys, making some regions highly prone to flash flood occurrence. The likelihood of flash flood occurrence in Colombia is also high due to the spatiotemporal behavior of the Intertropical Convergence Zone, and the direction of the near-surface moist air flow leading to orographic enhancement of convective cores (Poveda et al., 2007). In the last decade, there have been several widespread and localized flash flood events in Colombia associated with climatological features and the local intensification of rainfall events. The 2010-2011 La Niña event alone triggered 1233 flooding events and 778 mass removal processes in Colombia, with more than 3 million people affected and damages estimated by the "Comisión Económica para América Latina y el Caribe" at more than 6.5 billion US dollars.

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After the 2010-2011 widespread disaster, several isolated events have occurred in the country with devastating consequences. The present paper focuses on studying the processes triggering a flash flood in La Liboriana basin, a 56 km² basin located in the western range of the Colombian Andes, as a result of consecutive rainfall storms that took place between May 15 and May 18, 2015. The resulting flash flood dramatically affected the region, causing more than 100 casualties, affecting several buildings and critical infrastructure, and resulting in a total reconstruction cost estimated at 36,000 million Colombian pesos (approximately 12.5 million dollars considering the 2018 exchange rate), which corresponds to three times the annual income of the municipality. Figure 1 shows an example of infrastructure damage as a result of the flash flood event and changes in the basin's main channel after the flash flood, showing considerable river margin and bed erosion. Despite the data



a) Aerial photograph before the event (2012).



b) Aerial photograph taken after the event (2015-05).

**Figure 1.** Example of infrastructure damage as a result of the La Liboriana flash flood event on May 18, 2015. a) Aerial photograph before the event (2012) taken during a mission of the Department of Antioquia's Government, and b) satellite image after the event (2015-05). The images show the destruction of most houses in that particular community, a bridge over La Liboriana, and the main road. All of the houses shown in the 2015 image had to be either demolished or structurally repaired. The images also present changes in the delineation of the main channel as well as considerable erosion in the river margins.

scarcity, including discharge measurements, the analysis of the successive rainfall events triggering the Salgar flash flood provides an interesting case of study for assessing the mechanisms that depend on the soil moisture conditions and rainfall distribution.

La Liboriana basin is a typical case of an ungauged basin (Sivapalan et al., 2016; Seibert and Beven, 2009; Beven, 2007; Bonell et al., 2006; Yamanaka and Ma, 2017), with non-existing detailed records of soils or land use, topographic maps or digital elevation models (DEMs), and scarce hydrometeorological data, certainly not available in real time. According to Blöschl et al. (2012), there are three methods for using models in these cases. The first strategy is to obtain the required model pa-

rameters from the historical basin behavior and the morphological characteristics of the basin. This strategy often leads to low model performance (Duan et al., 2006). The second approach is to inherit the hydrological calibration from a gauged neighboring watershed, which in this case does not exist. The third method is to parameterize the model based on proxy variables, such as hydraulic information obtained during field visits. In the case of the 2015 La Liboriana basin flash flood, there are no previous historical streamflow records or records from a neighboring watershed; thus, we followed the third approach. In this work, we use precipitation information derived from radar, satellite and aerial images, in addition to post-event field visits, to reconstruct the Salgar flash flood event. This study addresses two broad hydrological issues. The first issue consists of exploring the relationship between rainfall spatiotemporal structure (Llasat et al., 2016; Fragoso et al., 2012), soil moisture and runoff generation (Penna et al., 2011; Tramblay et al., 2012; Garambois et al., 2013) during the successive rainfall events, and the second issue in proposing a simplified hydrologic modeling scheme, including landslide and hydraulic submodels to assess the potential occurrence of flash flood events.

The methodology followed in this study includes a hydrological model (Vélez, 2001; Francés et al., 2007a), a shallow landslide model and a flash flood model. The landslide submodel follows the formulation described in (Aristizábal et al., 2016). The hydraulic submodel corresponds to a low-cost 1D model (hereafter referred to as HydroFlash) that [assumes infinite sediment supply and] estimates the cross-sectional filled area at all time steps. The hydrologic model includes virtual tracers to separately explore the role of runoff and subsurface flow, as well as the relative importance of convective and stratiform precipitation in flash flood generation. The assessment of the interactions between runoff, subsurface flow, and convective-stratiform rainfall allows a better understanding of the short-term hydrological mechanisms leading to the flash flood event. A comparison between the results from both submodels and the observed landslide scars and flooded spots helps to evaluate the overall skill of the proposed methodology.

The document is structured as follows. Section 2 describes in more detail the region of study, La Liboriana basin, including geomorphological and climatological characteristics of the basin as well as the information sources used in this study. Section 3 presents a description of the overall methodology and the model used for the reconstruction of the 2015 La Liboriana flash flood event, including flow separation, shallow landslide parameterization, and the proposed model HydroFlash. Section 4 describes the main results of the study, including model validation and sensitivity analysis, and presents results from the landslide and HydroFlash submodels. Section 5 includes a discussion on the role of the rainfall structure in the flash flood reconstruction. Finally, the conclusions are presented in section 6.

### 140 2 Study site and data

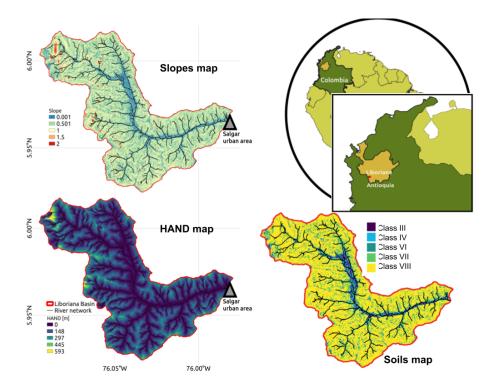
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# 2.1 Catchment description

The urban area of Salgar municipality is located near the outlet of La Liboriana basin, a small (56 km<sup>2</sup>) tropical watershed located in the westernmost range of Colombia's Andes (Figure 2). By 2015, the population of Salgar was estimated at 17,400 persons, 8,800 residing in the urban area. La Liboriana basin joins the El Barroso river basin, and both drain to Cauca River.

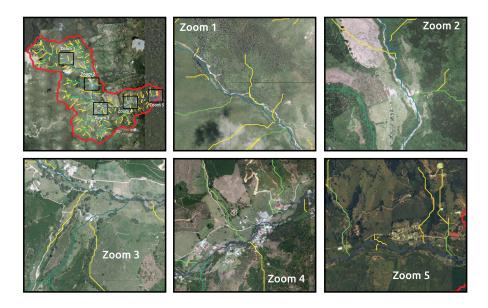
The availability of the ALOS-PALSAR DEM (ASF, 2011), with a resolution of approximately 12.7 m, allows estimating the fundamental geomorphological features of the basin. While the resolution of the DEM is far from ideal given the scale of the basin and the main channel, it corresponds to the highest spatial resolution freely available for the region. Additionally, to accomplish the goal of this study, we is to develop and to test a methodology applicable for this type of regions, with scarce information. This is mentioned in a few places in the document, and it has been stressed even more in the current version. The average slope of La Liboriana is 57.6%, and the basin longitude and perimeter are 13.5 km and 57.8 km, respectively. The Strahler-Horton order of the main stream is 5, and its longitude and slope are 18.1 km and 8.1%, respectively. The highest elevation of the watershed (Cerro Plateado) reaches 3,609 meters above sea level (m.a.s.l), while the outlet of the basin is at 1,316 m.a.s.l. The 99th slope percentile of order 1 streams is 78%. For streams of order 2, 3, 4, and 5, the 99th slope percentiles are 61, 27, 18 and 11%, respectively. Figure 2 shows the spatial distribution of the slopes in the watershed. These features are typical of Andean mountainous basins. Geomorphologically, this kind of watershed tends to be prone to the occurrence of flash floods (Lehmann and Or, 2012; Penna et al., 2011; Martín-Vide and Llasat, 2018; Longoni et al., 2016; Ozturk et al., 2018; Khosravi et al., 2018; Marchi et al., 2016; Bisht et al., 2018).

At the subbasin scale, La Liboriana exhibits a vast range of slopes and altitude differences. Figure 2 shows the height above the nearest drainage -"HAND"- model (Rennó et al., 2008) for La Liboriana. The "HAND" calculates the relative difference between cell *i* and its nearest streamflow cell *j*. La Liboriana "HAND" exhibits values between 500 and 800 m. Near the outlet of the basin, over the banks, there are values close to 0 m. High HAND values at the upper region of the watershed often denote areas of high potential energy, with increased sediment production and frequent shallow landslide occurrence. Banks with low "HAND" values are more susceptible to flooding and tend to correspond to areas prone to extensive damages caused by extreme events. While the elevation differences described in Figure 2 are typical of the region, the social challenges lie in the high vulnerability of Salgar, given the location of the main urban settlement.



**Figure 2.** Geographical context of Liboriana basin, located in Colombia, in the Department of Antioquia. The panels include the map of the slopes, the height above the nearest drainage ("HAND"), and the soil type map. The "HAND" values where estimated using the same resolution of the DEM (12.7 m). Low "HAND" values correspond to areas prone to flooding. Note that the soil type map is an extrapolation of the soil properties based on the slope.

Vegetation and land use vary considerably within the basin. Figure 3 shows land use in different regions of the watershed from a 2012 aerial image. In the upper La Liboriana basin, there is dense vegetation (see Zoom 1 in Figure 3), with a high percentage of the area covered by tropical forests and the presence of grass and few crop fields. A portion of the upper watershed is considered a national park. Hillslopes near the divide do not evidence significant anthropic intervention most likely due steepness of this region. Down the hills and at the bottom of the valley there are coffee plantations (the primary economic activity of the region) and pastures. Downstream (Figure 3, Zoom 2), the presence of crops is evident among forest and grass areas. Near the middle of the basin (Figure 3, Zoom 3), the presence of crops is more obvious, and human settlements and roads start to appear. The watershed exhibits grazing areas and urban development near the river banks. In Figure 3, Zoom 4, corresponding to the first affected urban area from upstream to downstream during the flash flood, it is also possible to see a marked presence of crops and some forest patches. Finally, Zoom 5 shows the main urban area of Salgar surrounded by crops, grass and an important loss of forest coverage.



**Figure 3.** Land use in different regions of La Liboriana watershed from a 2012 aerial image (Source: Department of Antioquia.)

**Table 1.** Description of the soils in the region (Osorio, 2008).

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Туре	Slope	Depth [m]	Retention	Permeability	Percentage
Class III	<12	0.6	Low	High	3.2
Class IV	12-25	0.6	Mean	Mean	8.3
Class VI	25-30	1.0	Mean	Mean	2.1
Class VII	30-50	0.3	Too Low	Low	25.5
Class VIII	>50	0.2	Too Low	Low	60.0

One of the challenges for hydrological modeling and risk management in the country is that soils are not well mapped; the national soil cartography is usually available in a 1:400,000 scale. At this scale, the municipality of Salgar, including La Liboriana basin, corresponds to only one category of soil texture. Osorio (2008), based on field campaign observations and laboratory tests, described La Liboriana soils as a well-drained soil with poor retention capacity. Organic material is predominant in the first layer, and clay loam soil predominates within the second layer. The depth of the soil is hillslope dependent, varying from  $20\ cm$  to  $1\ m$  (Osorio, 2008). Table 1 provides a summary of soil characteristics for five different categories, all as a function of slope. Each soil category has a corresponding depth and a qualitative description of permeability and retention.

# 2.2 Flash flood post-event observations

We conducted a field campaign a few days after the May 18th flash flood event to assess the crosssection geometry along the main channel in different sites, including at the outlet of the basin. During the campaign, we measured sectional distances and the surface water speed at the time of the visit, at different points of the streamflow. The surface water speed was measured using a hand-held Stalker Pro II surface velocity radar. We also identified traditional post-event terrain, land cover, vegetation and infrastructure markers to assess the high-water marks associated with the peak of the flash flood. Figure 4 presents the selected cross-section used for the estimation of the maximum discharge during the flash flood given its geometrical and hydraulic regularity. The section has a rectangular shape, 4.6 m wide and a height of 5 m for a total area of approximately 23 m<sup>2</sup>. A visual inspection of the flooded house around the section, located 4-5 m away from the channel, reveals the presence of mud marks on the walls with heights varying between 0.5 and 1.2 m (see Figure 4). The area of the section plus the flooded area during the event was estimated to be approximately 37 m<sup>2</sup>. During the campaign, we measured surface speeds in the channel oscillating between 2 and 3 ms<sup>-1</sup>, for a 3 m<sup>3</sup>s<sup>-1</sup> discharge. In instrumented basins in the region, with similar characteristics in terms of area and slopes, we have recorded peak flow surface water speeds oscillating between 5 and 7 ms<sup>-1</sup> (See Figure A.1). By assuming an area of 37 m<sup>2</sup> and velocities between 5 and 6, we estimate that the observed flash flood peak flow may have been between 185 and 222 m<sup>3</sup>s<sup>-1</sup>. Local authorities reported that the peak streamflow reached the urban perimeter after 2:10 a.m. on May 18th (personal communication during the field visit). Some reports state that the peak flow in the most affected community occurred at approximately 2:40 a.m <sup>1</sup>.

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There is also relevant aerial information before and after the occurrence of the event. During 2012, the Department of Antioquia conducted a detailed aerial survey of the Salgar municipality, and a few days after the event, DigitalGlobe and CNES/Airbus made available highly detailed satellite images of the same region. We empirically performed a detailed contrast between both products by using a geographic information system (QGIS), which provided us with information about flooded areas and landslide locations (see Figures 1 and 17). Field campaign estimates and aerial imagery are central to validate the results obtained from the proposed models.

<sup>&</sup>lt;sup>1</sup>As reported by the media and the national government: http://www.elcolombiano.com/antioquia/tragedia-en-antioquia-salgar-un-ano-despues-XX4145514, https://caracol.com.co/emisora/2015/12/25/medellin/1451076926\_792470.html, http://portal.gestiondelriesgo.gov.co/Paginas/Noticias/2015/Antecion-Emergencia-Salgar-Antioquia.aspx



**Figure 4.** Channel cross-section showing an example of flooded infrastructure after the flash flood event. The section shows mud marks on the walls with heights varying between 0.5 and 1.2 m. These mud stains are evident in buildings located 4-5 m away from the channel. The photograph also shows the width of the channel and the total estimated depth during the flash flood. The cross-section is located downstream the bridge shown in the picture.

#### 2.3 Rainfall information

The assessment of the 2015 Salgar flash flood event following a hydrological modeling strategy uses a radar-based QPE technique developed by Sepúlveda and Hoyos (2019) using rainfall gauges and disdrometers within the radar domain to obtain spatiotemporal precipitation maps over the basin. A detailed description of the rainfall estimation, as well as the overall meteorological conditions that led to the La Liboriana extreme event, are described in a companion paper (Hoyos et al. (2019)). The QPE technique uses retrievals from a C-band polarimetric Doppler weather radar operated by the Sistema de Alerta Temprana de Medellín y el Valle de Aburra (SIATA, a local early warning system from a neighboring region, www.siata.gov.co), located approximately 90 km away from the basin. The radar has an optimal range in a radius of 120 km for rainfall estimation and a maximum operational range of 240 km for weather detection. The radar operating strategy allows obtaining precipitation information every 5 minutes, with a spatial resolution of approximately 128 m. The results of the radar OPE methodology indicate that the rainfall estimation works well within a radius of 120 km. Despite the distance between the radar and the basin, and the mountains between them, there are no blind spots for the radar. A comparison between the radar QPE estimates and records from two rain gauges installed three days after the flash flood event show a correlation for an hourly time scale of 0.65. In addition to the rainfall quantification, radar retrievals, before feeding the hydrologic model, are classified in convective and stratiform areas following a methodology proposed by Yuter and Houze (1997) and Steiner et al. (1995), based on the intensity and sharpness of the reflectivity peaks. The methodology has been widely used in tropical regions as reported in the review by Houze et al. (2015).

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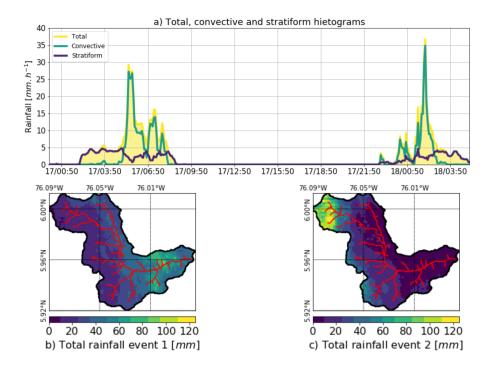
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Between May 15 and May 18, 2015, several storms took place over La Liboriana basin. During the night of May 17, between 02:00 and 09:00 a.m. (local time), a precipitation event covered in almost all of the basin (hereafter referred to as precipitation Event 1). Twenty hours later, between 23:00 p.m. on May 17 and 02:00 a.m. on May 18, two successive extreme convective systems occurred over the basin with the maximum intensity in the upper hills (precipitation Event 2). Event 1 corresponds mainly to a stratiform event that covered almost all of the basin area and incurred an average precipitation accumulation of 47 mm. Event 2 accumulated, on average over the basin, approximately 38 mm; however, over the upper watershed, the accumulation exceeded 180 mm according to the estimated rainfall amounts based on the radar measurements. Hoyos et al. (2019) show that the individual events duringh May 2015 were not exceptional, the climatological anomalies were negative-to-normal, and the synoptic patterns around the extreme event were similar to the expected ones for the region, but the combination of high rainfall accumulation in a 96-hour period as a result of successive precipitation events over the basin, followed by a moderate extreme event during May 18, is unique in the available observational radar record, in particular for the upper part of the basin. Figure 5a presents the temporal evolution of the estimated convective-stratiform rainfall partitioning during both Events 1 and 2. The main difference between both events is the timing of the convective versus stratiform participation within each case. Event 1 started as a stratiform precipitation event moving from the southwest, from the Department of Chocó to the Department of Antioquia across the westernmost Andes mountain range. After 3 hours of stratiform rainfall, training convective cores move over La Liboriana basin generating intense precipitation peaks over 2.5 hours. It is important to note that these cores did not strengthen within La Liboriana basin; these systems formed and intensified over the western hills of Farallones de Citará, draining to the Department of Chocó towards the Atrato river. The latter is not a minor fact because once the convective system moved with a northeast direction, the maximum intensity cores did not fall over the steepest hills of La Liboriana basin but rather near the basin outlet where the slopes are considerably flatter. Figure 5b shows the spatial distribution cumulative rainfall during Event 1, with the maximum precipitation located toward the bottom third of the basin. Event 2, on the other hand, started as a thunderstorm training event with two convective cores moving from the southeast, followed by the remaining stratiform precipitation. Even though the average cumulative rainfall over the basin was 9 mm less than during Event 1, this event is characterized by orographic intensification within the basin, leading to a more heterogeneous spatial distribution with the highest cumulative precipitation in the steepest portion of the basin (see Figure 5b). The spatial distribution of Event 2 and highly localized observed intensities most likely led to the flash-flooding episode, as explored in this work.

The data requirements and rainfall preprocessing needed for the overall methodology followed in the reconstruction of the 2015 Salgar flash flood are summarized in Table 2 and are presented in a

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**Figure 5.** a) Temporal evolution of the convective-stratiform rainfall partitioning during both Events 1 and 2 (units in mm per 5 min). The figure shows the total rainfall (yellow), and the convective (blue) and stratiform (green) portions integrated over La Liboriana basin. b) and c) Spatial distribution of the cumulative rainfall during Events 1 and 2 over La Liboriana basin, respectively.

schematic diagram in Figure 6.

#### 3 Methodology

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# 290 3.1 Hydrological modeling framework

The availability of radar-based QPE and a detailed DEM allows the use of a modeling framework based on the distributed hydrologic model described in Vélez (2001) and Francés et al. (2007a) with important modifications. The hydrologic model simulates different hydrological processes as independent, but with interacting storages (second row, left panel in Figure 6). The model distributes processes by cells with a spatial resolution of 12.7 m (same as the DEM used); in each cell, five tanks represent the hydrological processes including capillary (tank 1), gravitational (tank 2), runoff (tank 3), baseflow (tank 4) and channel storage (tank 5). The state of each tank varies as a function of vertical and lateral flows as shown in the diagram, where the storage is represented by  $S_i$  and the vertical input to each tank by  $D_i$ , which in turns depends on the vertical flow through tanks  $R_i$ .  $E_i$  represents the downstream connection between cells, except for tank 1, where  $E_1$  represents the

Item	Description	Period	Usage
Radar data	QPE rainfall estimations	2015-05-17 to	Hydrologic model runs. Rain-
		2015-05-18	fall characterization and event
			analysis.
Field campaign	Maximum streamflow estima-	2015-05-20	Hydrologic model comparison
	tion through visual inspection		for indirect validation.
Satellite imagery	Visible channel compositions	2015-05 (post-	Flash flood model validation,
	from the DigitalGlobe CNES	event)	shallow landslides model val-
	imagery		idation, and comparison with
			pre-event conditions.
Aerial photos	Aerial photos taken by the gov-	2012	Pre-event conditions compari-
	ernment of Antioquia during		son.
	2012.		
Soils description	Physical description of the soils	2008	Hydrologic model setup.
	of the region by Osorio (2008)		

**Table 2.** Summary of the data used for the model setup.

evaporation rate. Vertical flows are only time dependent, while lateral flows could also depend on the actual state of the tank (kinematic approximation).

The model modifications fall in four different categories: (i) the direct use of radar QPE as a source of rainfall information, (ii) the implementation of virtual tracers for surface and subsurface discharge as well as for convective and stratiform water tracing, (iii) the enforcement of a maximum gravitational storage  $(H_g)$  to allow Hortonian runoff (return flow from  $S_3$  (tank 3) to  $S_2$  (tank 2)), and (iv) the development of two modules for hazard assessment. The implementation of virtual tracers is represented in the top two right panels of the diagram in Figure 6.

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Additionally, in this study, we propose a graphical method to assess the soil-rainfall-discharge coupling holistically. The first step is to classify all the cells within the watershed in a predetermined number of groups according to their localization and the distance to the outlet. The aim is to establish a coherent and robust spatial discretization, thus allowing the concurrent spatiotemporal variability of the different processes to be summarized in 2D diagrams. Figure 7 shows the watershed grouping as a function of localization and distance to the outlet for La Liboriana basin using a 20 and a 50-groups categorization.

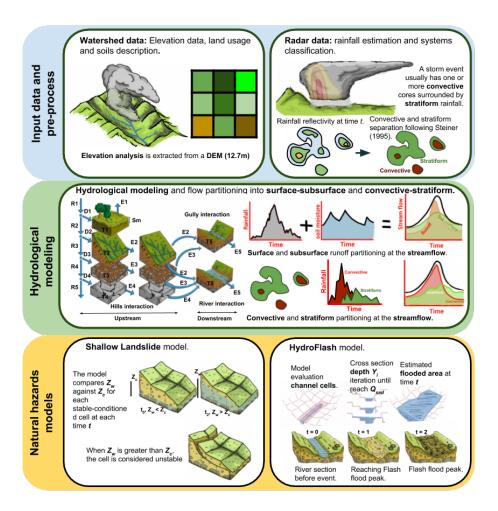
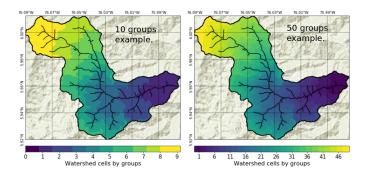


Figure 6. Illustrative diagram of the methodology followed in the present study. The top row represents the availability of a detailed DEM and radar-based QPE as the basis of the modeling framework. The second row represents the mains aspects of the distributed hydrologic model used. In each cell, five tanks represent the hydrological processes including capillary (tank 1), gravitational (tank 2), runoff (tank 3), baseflow (tank 4) and channel storage (tank 5). The state of each tank varies as a function of vertical and lateral flows as shown in the diagram, where the storage is represented by  $S_i$  and the vertical input by  $D_i$ , which in turns depends on the vertical flow through tanks  $R_i$ .  $E_i$  represents the downstream connection between cells and evaporation. The implementation of convective and stratiform rainfall separation and virtual tracers is also portrayed. The implementation of the shallow landslides model and HydroFlash are schematized in the bottom row.

# 3.1.1 Hydrological runoff scheme modification

In the model, horizontal flow equations could be either linear or potential, as shown in equation 1. In the modified hydrologic model,  $\beta$  and  $\alpha$  are estimated by the user and then implemented in the model. In the nonlinear approximation,  $\beta$  is a coefficient that summarizes local properties that are invariant over time, such as the slope or the hydraulic conductivity.  $\alpha$  is an exponent that



**Figure 7.** Example of watershed grouping as a function of of their localization and distance to the outlet for La Liboriana basin using a 20 and a 50-groups categorization.

changes as a function of the adopted approximation. From equations 1 to 3,  $A_i(t)[m^2]$  corresponds to the sectional area of each storage, and  $A_i(t)$  varies as a function of the tank storage  $S_i(t)[mm]$  according to equation 6. Finally,  $v_i$  corresponds to the estimated velocity in m/s.

$$v_i(t) = \beta A_i(t)^{\alpha} \tag{1}$$

Nonlinear equations in lateral flows can result in a better representation of processes at high resolutions (Beven, 1981; Kirkby and Chorley, 1967). A nonlinear approximation of runoff is presented in equation 2. This approximation is a modification of Manning's formula for flow in gullies. According to Foster G.R. (1984),  $\varepsilon$  and  $e_1$  are a coefficient and an exponent used to translate the Manning channel concept into multiple small channels or gullies. The values of  $\varepsilon$  and  $e_1$  are 0.5 and 0.64, respectively (Foster G.R., 1984).  $A_2$  is the corresponding sectional area obtained from  $S_2$  by using equation (6). In addition,  $S_0$  is the slope of the cell.

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The nonlinear equation 3 corresponds to an adaptation of the Kubota and Sivapalan (1995) formula for subsurface runoff, where  $k_s$  is the saturated hydraulic conductivity, and the exponent b is dependent on the soil type, assumed equal to 2.  $A_g$  is the equivalent cross-section area of the maximum gravitational storage  $(H_g[mm])$ .  $A_3$  is the corresponding sectional area of the gravitational storage  $(S_3)$  obtained by using equation (6). There is also return flow from tank 3 to tank 2, when  $S_3 = H_g$ , which represents runoff generation by saturation. In the case of the base-flow, we assume that the speed is constant for each cell and depends on the aquifer hydraulic conductivity (see equation 4). Finally, the stream flow velocity is calculated by using the kinematic geomorphological wave (Vélez, 2001; Francés et al., 2007a), in which  $[km^2]$  represents the upstream area,  $\Omega$  a regional coeficient, and  $\omega_i$  regional exponents.

$$v_2 = \frac{\varepsilon}{n} S_{i,0}^{1/2} A_2(t)^{(2/3)e_1} \tag{2}$$

$$v_3 = \frac{K_s S_o^2}{(b+1)A_g^b} A_3(t)^b \tag{3}$$

$$350 \quad v_4 = K_p \tag{4}$$

$$v_5 = \Omega S_{i,0\,i}^{\omega_1\,\omega_2} A_5^{\omega_3} \tag{5}$$

The equations (2) and (3) describe the momentum of a kinematic wave approximation. In both cases, the velocity depends on the tank storage. These relations are summarized in equation 1 and could be solved numerically when coupled with a mass balance equation (equation 6). This equation takes into account the storage at each time step  $(S_i(t))$ , the longitude of the element  $(\Delta x)$ , the time step size  $(\Delta t)$ , and the speed estimated for the flow in the time step  $(v_i(t))$ . Equation 6 is related to equation 1 through the velocity term for that tank  $(v_i)$  and the cross-sectional area of the tank  $(A_i)$ . The solution to  $v_i$  is obtained by using the successive substitution method (Chapra, 2012) on the mentioned equations. Finally, the total outflow from the tank is calculated using equation 7, in which  $E_i(t)[mm]$  represents the outflow from an storage at time step t.

$$A_i(t) = \frac{S_i(t)}{\Delta x + v_i(t)\Delta t} \tag{6}$$

$$E_i(t) = A_i(t)v_i(t)\Delta t \tag{7}$$

On the other hand, equation (4) corresponds to a linear approximation. In this case, the model assumes the speed as a constant value over time, and the total outflow from the tank is estimated through a linear tank approximation (equation (8)). Under this approximation, L[m] corresponds to the length of the cell, which is  $\Delta x$  when the downstream connection is orthogonal and  $\Delta x\sqrt{2}$  when it is diagonal.

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$$E_i(t) = 1 - \frac{L}{v_i \Delta t + LS_i(t)}$$
 (8)

The solution for  $v_i$  is obtained by using the successive substitution method described by Chapra (2012). In the model we use a 5-minute time step which ensures the stability of the computations.

# 3.1.2 Virtual tracers

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Virtual tracers are implemented in the model to discriminate the streamflow source in superficial runoff and subsurface flow and to assess the portion of streamflow from convective rainfall and stratiform precipitation, recording the source of water at each time step and each cell. The model archives

the results of the virtual tracing algorithm at the outlet of the basin and at each reach, allowing the study of the role of flows of different natures during extreme events at different spatial scales, thereby obtaining more insights about the soil-driven flow regulation.

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The flow separation module operates in tanks 2 (runoff storage) and 3 (subsurface storage). The module marks water once it reaches any of those two tanks, and the runoff-subsurface flow percentage is taken into account once the water enters tank 5 (the channel). At this point, the scheme assumes that the water in the channel is well mixed, implying that the flow percentage is constant until a new inflow enters the channel.

With a similar concept, the model also follows convective and stratiform rainfall. For this, at each time step, the model takes into account the rainfall classified as convective or stratiform and assumes that at each particular cell, the precipitation is either entirely convective or entirely stratiform. This assumption could lead to estimation errors at basins represented by coarse cells (low DEM resolution) where convective and stratiform precipitation are likely to coexist. In the present study, the spatial resolution of the DEM is 12.7 m, higher than the resolution of the radar retrievals (approximately 125 m), so the potential convective and stratiform rainfall concurrence is very low, and it could not be identified using the Steiner et al. (1995) approach.

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# 3.1.3 Hydrologic model calibration

The hydrologic model requires a total of 10 parameters. Table 3 includes all the parameters used in the model. The values of the parameters were derived from the soil properties described in section 2. Due to the lack of detailed information in the region, parameters such as the infiltration and percolation rates are assumed to be constant in all of the basin. Other parameters, such as the capillary and gravitational storage, vary as a function of the geomorphological characteristics of the basin such as the elevation and slope. The calibration consists of scaling each physical parameter by a constant value in the entire basin (Francés et al., 2007b). The model simulation is set to reach a base flow of 3 m<sup>3</sup>s<sup>-1</sup>, a value that corresponds to the discharge measurements during field campaigns days and weeks after the flash flood event and during dry spells. To set the soil wetness initial conditions realistically, the model runs start two days prior to Event 1. Before this period, there were only a couple of small rainfall events; for this reason, the overall wetness was set to represent to dry conditions at the start of the simulation. Table 3 includes the mean value for all of the parameters used in the model, and the scalar value is adjusted during the model calibration. In this implementation of the model, we left uncalibrated the channel speed, the subsurface speed, the aquifer losses, and the capillary and gravitational storages (see Table 3). The values for these parameters are inherited from a local watershed with similar characteristics.

Parameter Name	Symbol	Scalar Fac-	Mean Value	Spatial distribution
		tor		
Capillarity storage	Hu [mm]	1	39	As a function of the slope
Gravitational storage	Hg [mm]	1	34	As a function of the slope
Evaporation rate	Etr [mm/s]	0.1	0.01	As a function of the DEM
Infiltration rate	ks [mm/s]	2.7	0.0012	Lumped
Percolation rate	kp [mm/s]	0.8	0.00012	Lumped
System losses	Kf [mm/s]	0	0.0	Lumped
Surface speed	vr [m/s]	0.5	6.4	Coefficient of eq (2)
Subsurface speed	vs [m/s]	1	7.1	Coefficient of eq (3)
Subterranean speed	vb [m/s]	0.5	0.000095	Lumped
Channel speed	vc [m/s]	1	0.95	Coefficient of eq (5)

Table 3. Hydrologic model parameters. Values for the parameters with a scalar factor of 1 are left uncalibrated.

#### 3.2 Shallow landslide submodel

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The shallow landslide submodel coupled to the hydrologic model is proposed by Aristizábal et al. (2016). The stability of each cell is calculated through the assessment of the different stresses applied to the soil. The stability of the soil decreases with the pore water pressure (Graham, 1984). The slope failure occurs when the saturated soil thickness above the slip surface  $Z_{i,w}$  (equation (9)), which depends on the gravitational storage  $S_{3,i}(t)$ , the soil wilting point  $W_{i,pwp}$ , and the soil field capacity  $W_{i,fc}$ , is greater than a critical saturated depth  $Z_{i,c}$  (equation (10)). The critical saturated depth depends on the shallow soil depth  $Z_i$ , the soil bulk density  $\gamma_i$ , the water density  $\gamma_w$ , the gradient of the slope  $\beta_{i,0}$ , the soil stability angle  $\phi_i$ , and the soil cohesion  $C_i$ . Figure 8 describes the variables of the model and the balance of forces considered, and Table 4 presents the parameters for this model.

$$Z_{i,w}(t) = \frac{S_{3,i}(t)}{W_{i,cfc} - W_{i,pmp}} \tag{9}$$

According to the soil stability definition, the topography and the soil properties, all cells are classified into three: unconditionally stable, conditionally stable and unconditionally unstable. In particular, three parameters determine the stability of each cell: (i) residual soil thickness water table  $Z_{i,min}$  (equation (11)), (ii) the maximum soil depth at which a particular soil remains stable  $Z_{i,max}$  (equation (12)), and (iii) the maximum slope at which the soil remains stable  $\beta_{i,0}$  (equation (13)).

$$Z_{i,min} = \frac{C_i'}{\gamma_w \cos^2 \beta_{i,0} tan\phi_i + \gamma_i \cos^2 \beta_{i,0} (tan\beta_{i,0} - tan\phi_i)}$$
(11)

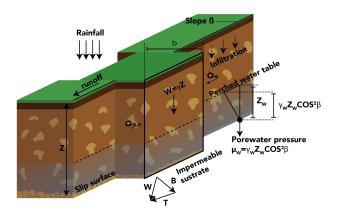


Figure 8. Schematic diagram of the geotechnical conceptual model. The figure and description are adapted from Aristizábal et al. (2016).  $Q_L$  and  $Q_R$  are the resultant forces on the sides of the slice.

Parameter Name	Symbol	Scalar	Mean Value	Spatial distribution
		Parameter		
Soil depth	$Z_i$ [mm]	0.3	300	As a function of the slope
Topography slope	$\beta_{i,0}$ [adim]	1	0.01 - 5.3	From the DEM
Soil bulk density	$\gamma_i  [KNm^{-3}]$	1	18	Assumed constant
Water density	$\gamma_w \ [KNm^{-3}]$	1	9.8	Constant
Soil stability angle	$\phi_i$ [ $^0$ ]	1	$30^{0}$	Assumed constant
Soil cohesion	$C_{i}^{'}$ [KN]	1	4	Assumed constant

Table 4. Shallow landslides model parameters.

$$Z_{i,max} = \frac{C'}{\gamma_i \cos^2 \beta_i, 0(\tan \beta_i, 0 - \tan \phi_i)}$$
(12)

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$$\beta_{i,0} = tan^{-1} \left[ tan\phi_i \left( 1 - \frac{\gamma_w}{\gamma_i} \right) \right]$$
 (13)

A cell is unconditionally stable when  $Z_i$  is smaller than  $Z_{i,min}$  or when the cell slope is smaller than  $\beta_{i,0}$ . On the other hand, a cell is unconditionally unstable when  $Z_i$  is greater than  $Z_{i,max}$ , and finally, a cell is conditionally stable when  $Z_i$  is between  $Z_min$  and  $Z_{i,max}$ . Shallow landslides are calculated at each time step of the hydrological simulation, based on the latter cell class, where the soil stability depends of the storm event, becoming unstable when  $Z_{i,w}(t)$  is greater than  $Z_{i,c}$ .

#### 3.3 HydroFlash

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In this section, we describe the HydroFlash flash model for flash floods. The model extracts the cross-profile from the DEM for each cell considered part of the network, and it estimates flood spots

at each network cell during execution time.

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The model requires hydraulic parameters for all network cells to determine flash-flooding spots. The channel width is estimated using the Leopold (1953) approach  $W_i = 3.26 \overline{Q_i}^{0.469}$ . The channel slope  $(S_{i,0})$  is obtained as the mean value of the slopes that correspond to the cells of a hydrological reach. The characteristic diameter  $D_{i,50}$  is assumed equal to 0.138 m and constant (Golden and Springer, 2006). The cross-section  $(F_{sec,i})$  is obtained from the DEM for every network cell, perpendicular to the flow direction of the cell,  $D8_i$ , (see step 1 in Figure 9).

For each time step t and for each stream cell, equation 14 determines the height of the water table  $Y_i(t)$  using the simulated streamflow  $Q_{i,sim}(t)$  and flow velocity  $v_{i,sim}(t)$  (step 2 in Figure 9). The model calculates the friction velocity ( $v_{fr,i}(t)$ ) using  $Y_i(t)$  as in equation (15) derived from Keulegan and Rouse equations (Takahashi, 1991; Savage and Sayed, 1984). Equations 16 and 17 allow the estimation of the concentration ( $c_i(t)$ ) and constitutive coefficients ( $r_i(t)$ ), respectively. The constitutive coefficient summarizes the dynamics associated with flows containing colliding particles, such as a flash flood (Takahashi, 1991). In general, the characteristics of an apparent fluid are determined by the relationship between the operating shear stress and the rate of strain, known as the constitutive law. In equation 16,  $C_{max}$  represents the maximum sediment concentration; according to Obrien (1988)  $C_{max}$  is near 0.75 during flash floods. The streamflow plus estimated sediments and rubble are estimated according to equation 18.

$$Y_i(t) = \frac{Q_{i,sim}(t)}{v_{i,sim}(t)w_i} \tag{14}$$

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$$v_{fr,i}(t) = \frac{v_{i,sim}(t)}{5.75log\left(\frac{Y_i(t)}{D_{i,50}}\right) + 6.25}$$
(15)

$$c_i(t) = C_{max}(0.06Y_i(t))^{\frac{0.2}{v_{fr,i}(t)}}$$
(16)

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$$r_i(t) = \frac{1}{D_{i,50}} \left[ \frac{g}{0.0128} \left( c_i + (1 - c_i) \frac{\gamma_w}{\gamma_{sed}} \right) \right]^{1/2} \cdot \left[ \left( \frac{C_{max}}{c_i} \right)^{1/3} - 1 \right]$$
 (17)

$$Q_{i,sed}(t) = \frac{Q_{i,sim}(t)}{1 - c_i(t)} \tag{18}$$

Assuming an infinite sediment and rubble supply,  $Q_{i,sed}(t)$  corresponds to the maximum streamflow for each section. The flood depth  $F_{d,i}(t)$  is computed following equation (19), iteratively, such

that the difference between the estimated stream flow  $(\hat{Q}i, sed(t))$  (equation 21) and the simulated streamflow Qi, sed(t) is less than a predetermined admissible error  $\sigma$  (step 2 in Figure 9). In the process, the model obtains the flooded area  $A_{i,sed}$  (equation (20)), the flooded cells for each; section  $F_{f,i}$  and the flood depth (step 3 in Figure 9).

$$F_{d,i,j} = b_i + \Delta y \cdot j \tag{19}$$

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$$\hat{A}_{i,sed} = \Delta x \sum_{j=1}^{N} F_{d,i} - F_{sec,i}$$
 (20)

$$\hat{Q}_{i,sed}(t) = \left(\frac{2}{5}\right) r_i(t) (j\Delta y)^{\frac{3}{2}} S_{0,i} \hat{A}_{i,sed}(t) \cdot 0.5 \tag{21}$$

The resulting flood maps might include the presence of small isolated flood spots and discontinuities where the flow direction changes from orthogonal to diagonal or vice versa. We included two post-processing steps to correct these issues by (i) using an image processing erosion algorithm (Serra, 1983) to remove the small isolated flood spots (step 4 in Figure 9); the image erosion is performed once with a 3 by 3 kernel. To solve the flow direction discontinuities, (ii) each flooded cell seeks to inundate its eight neighboring cells. A neighbor cell is also flooded if the altitude of the original flooded cell, plus the flood depth, is higher than its elevation (step 5 in Figure 9). An example of the final result for a time step t is shown in the step 6 in Figure 9.

#### 490 4 Results

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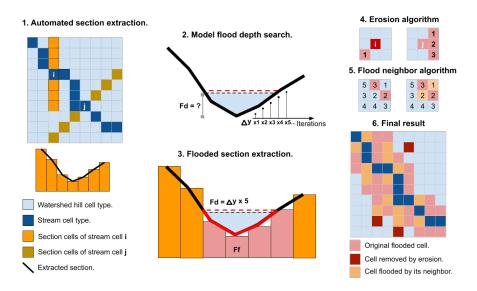
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The primary results of the present study include the reconstruction of the 2015 Salgar flash flood, the assessment of the importance of soil moisture in the hydrologic response of the basin, and the evaluation of the relative role of stratiform and convective precipitation cores in the generation of the observed extreme event. This section is based on the results from the analysis of the hydrological simulation, as well as occurrences of shallow landslides and flash floods and simulation.

#### 4.1 Hydrologic model validation and sensitivity analysis

Figure 10a presents the results of the hydrological simulation at the outlet of the basin. The simulation shows that Event 1 generates a hydrograph with a peak flow of  $Q_{max} = 160 \text{ m}^3 \text{s}^{-1}$ . It is important to note that during precipitation in Event 1, there were no damage or flooding reports by local authorities. Even though this precipitation event did not generate flooding, it set wet conditions in the entire basin before the occurrence of Event 2 (see the purple line in Figure 10b). Additionally,



**Figure 9.** HydroFlash submodel scheme. 1. The model **wmf** extracts the cross-profile from the network. 2. With equation (21), the model obtains a first approximation to the flash flood streamflow; then, the flood depth and area are obtained with equations (19) to (21). 3. The model obtains the flooded portion of the cross section. 4. Erosion post-process. 5. Filling post-process. 6. The final result is acquired for a time step t.

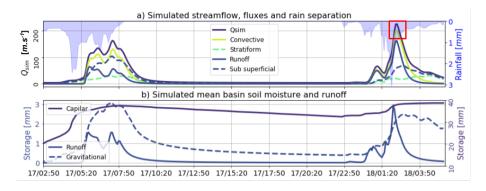
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it is clear from the simulation that during the flash flood event, the two successive convective cores over the same region (training convection) generated a peak flow of  $Q_{max}$  =220 m<sup>3</sup>s<sup>-1</sup>, a value that is in the upper range of the estimated streamflow based on post-event field evidence (185-222 m<sup>3</sup>s<sup>-1</sup>). Figure 10a also presents the simulated runoff and subsurface flow separation as well as the convective-stratiform-generated discharge discrimination. The modeling evidence during Event 2 suggests the convective rainfall fraction dominates the hydrograph formation. In both events, convective (stratiform) precipitation appears to be closely related to the simulated runoff (subsurface flow). On the other hand, the simulated subsurface flow is more important in magnitude than that runoff in describing Event 1, while runoff is more relevant for Event 2. Figure 10b presents the capillary storage (purple), as well as the runoff (continuous blue) and the gravitational (dashed blue) storage temporal variability as represented by the proposed model. As expected, runoff storage is only nonzero during the storm duration, while gravitational storage increases considerably during rain events, followed by a slow recession. There is an increase in basin-wide capillary storage during Event 1, remaining considerably high during the time leading to the occurrence of Event 2. According to the model simulations, the peak flow occurred at approximately 2:20 a.m. LT on May 18th, which is very accurate compared to the reports from local authorities (2:40 a.m. LT) considering all the data limitations.

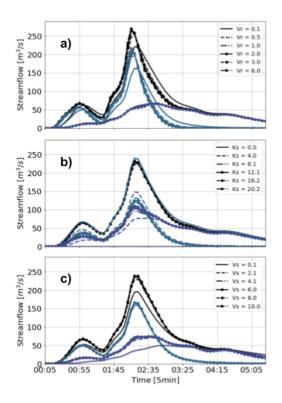
Figure 11 shows the results of a sensitivity analysis of the hydrological simulation during the second rainfall event, varying the infiltration rate, and the surface and subsurface speed parameters.



**Figure 10.** Summary of the results from the hydrological simulation. a) Simulated streamflow, runoff and subsurface flow separation and convective-stratiform-generated discharge discrimination. The red square represents the flash flood peakflow interval that is estimated based on field campaign evidence. b) The mean runoff, gravitational, and capillary storages during the simulation period.

The aim of the sensitivity analysis is to evaluate the robustness of the overall results, considering the fact that the quality and quantity of some of the watershed information is limited. In the sensitivity analysis, we vary the infiltration parameter between 0.02 and 20, the surface speed parameter between 0.01 and 20, and the subsurface speed parameter between 0.1 and 10. The overall simulation sensitivity results show that the main findings described in the previous paragraphs are, in fact, robust to almost all changes in the mentioned parameters, with the surface runoff that is associated with convective rainfall controlling the magnitude of the peak discharge during the Event 2. Changes in the infiltration rate (Figure 11a) result in peak flow changes with a magnitude less than 7%, and changes in the subsurface velocity parameter (Figure 11c) lead to peak flow changes with a magnitude less than 20% in the original simulation. The model's highest sensitivity, and hence the largest uncertainty source, appears to be related to the surface speed parameter (Figure 11b), particularly during the peak flow and early recession.

After the flash flood event, SIATA installed a stream gauge level station near the outlet of the basin (see Figure 2). We use these records to validate the model results without further calibration. Since the observed series correspond to level values, the streamflow estimation is performed following two different approaches. The first approach, the empirical one, consists of subtracting the 10th percentile of the observed stage time series from the observational record, and the 10th percentile of the simulated streamflow, from the same series. On the other hand, the second method uses the Manning formula. For this, we consider the geometry of the section in Figure 4, and the slope from the DEM. Additionally, due to the potential uncertainties, we consider three different Manning values (0.015, 0.02, 0.03). Figure 12 shows the estimated streamflow using the two methods for four different hydrographs during July, August (2 events) and December 2015. The simulated magnitudes appear relatively close to the observations, and the peak discharge time is captured skillfylly in



**Figure 11.** Hydrological simulation sensitivity analysis. Similarly as in Figure 10, all panels show the simulated streamflow, and the runoff and subsurface flow separation. Total streamflow is purple, runoff is green and subsurface dashed purple. The left panel shows sensitivity to changes in the infiltration rate parameter, the middle panel to changes in surface speed, and the right panel to changes in subsurface speed.

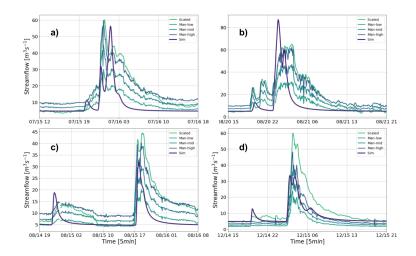
three of the four cases presented. The discharge values using the "high" Manning number estimation (0.015) are similar to the empirical method. The performance of the model is acceptable (Figure 12), considering the lack of calibration, the size of the basin, and the magnitude of the recorded events. The results shown include cases where the peak flow was over-estimated (panels c and d), and under-estimated (panel b).

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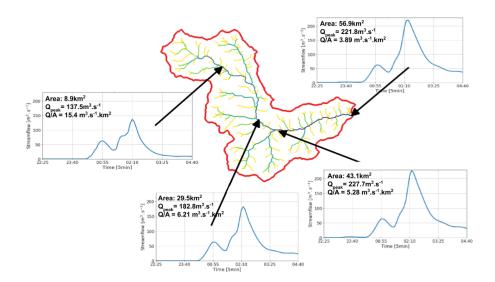
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Figure 13 shows the temporal evolution of discharge during Event 2 in different locations along the watershed's main channel. The upper location corresponds to 15% of the area of the basin, and the other downstream locations correspond to 52%, 76%, and 100% of the watershed. The difference in the time of the peak discharge between the upper location and the outlet of the basin is approximately 35min, which is plausible with travel speeds between 5 and 7 ms<sup>-1</sup> and an effective distance of 14km. In terms of volume, approximately  $737,000 \text{ m}^3$  of the total  $1,438,000 \text{ m}^3$  simulated at the outlet of the basin are generated on the 15% upstream part of the watershed, corresponding to about half of the total mass. In terms of peak flow, due to the slope and velocity changes, the simulated discharge at the 15% upstream part of the watershed corresponds to 50% of the peak discharge at

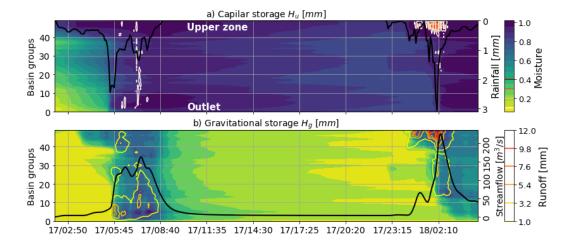


**Figure 12.** Comparison between hydrological model simulations and streamflow estimations. The events were observed by a level station installed by SIATA days after Event 2 on a bridge at the outlet of the basin (see Figure 2)



**Figure 13.** Temporal evolution of discharge during Event 2 in different locations along the watershed's main channel. The upper location corresponds to 15% of the area of the basin, and the other downstream locations correspond to 52%, 76%, and 100% of the watershed, respectively.

the outlet of the basin.



**Figure 14.** a) Simulated capillary moisture (filled green-to-blue contours) and returned flow occurrence (white to red isolines). The black line represents the average rainfall over the basin. b) Simulated gravitational moisture (filled green-to-blue contours) and runoff (yellow-to-red isolines). The black line represents streamflow at the outlet of the basin. The green-to-blue color bar serves as a reference for capillary moisture and gravitational water content.

# 4.2 Flash flood processes

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Figure 14 presents the proposed 2D diagrams obtained for the simulation of the La Liboriana basin flash flood using a spatial discretization with 50 groups. Figure 14a includes the evolution of the average rainfall over the basin (black line), and the spatiotemporal evolution of capillary storage (filled isolines) and return flow (colored isolines from white to red) by groups. For the analysis, it is relevant to highlight that higher numbered groups are located away from the outlet of the basin and correspond in this case to considerably steeper slopes. Figure 14b presents the evolution of streamflow at the outlet of the basin (black line), as well as the gravitational storage (filled isolines) and runoff (colored isolines) spatiotemporal evolution. Figure 14 shows variations in the capillary and gravitational storages associated with Event 1 in the higher numbered groups. The capillary storage remains high in almost all the basin until the start of Event 2. According to the conceptualization of the model, the gravitational storage and surface runoff start to interact when the capillary storage is full. In this case, this situation is set up by Event 1. The model runs for Event 2 using dry initial states, showing no flooding in the results.

The temporal variability of rainfall intensity plays an important role in the hydrograph structure. During Event 1, rainfall accumulated over the basin at a relatively stable rate (Figure 15a). On the other hand, Event 2 presents a significant increase in rainfall rate in the second half of the life cycle (Figure 15b). This change in precipitation intensity is associated with a considerable intensification of the training convective cores due to orographic effects. Events 1 and 2 also exhibit differences in

the elapsed time between rainfall occurrence and streamflow increment given the relative timing of stratiform versus convective rainfall (see the gray band in Figure 15a and b). We compute the elapsed time between the rainfall and the simulated streamflow by measuring the time differences between the lines for the cumulative rainfall and streamflow in Figure 15. For Event 1, the median elapsed time between rainfall and streamflow ( $Et_{p50}$ ) is 1.12 hours, while for Event 2,  $Et_{p50}$  is 0.79 hours. The median elapsed time between the convective portion and the streamflow ( $Etc_{p50}$ ) in Event 1 is 0.75 and 0.46 in Event 2. The minimum value of the convective elapsed time  $Etc_{min}$  also descends from 0.42 to 0.25 hours. On the other hand, there is an increase in median elapsed time between stratiform rainfall and streamflow ( $Ets_{p50}$ ) from 1.21 to 1.83 hours. The observed differences are largely by the timing of the convective precipitation during each of the events. During Event 1, the convective precipitation occurred near the end of the event, explaining the delayed peak discharge time (see Figure 5).

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According to Figure 15b, the accumulations of streamflow runoff and convective rainfall become similar with the increase in time. Additionally, the runoff has a lag and shows signs of attenuation of the convective signal. However, this description only applies for the runoff portion, since the evolution is different when we consider the total simulated streamflow.

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## 4.3 Landslide and flood simulations

Figure 16a presents the observed landslides triggered by Event 2 based on aerial photos and satellite images (Landsat/Copernicus and Google) taken before and after the flash flood. Figure 16b shows, by hills, the map of total unstable cells during the simulation period, and Figure 16c shows the time series of the number of simulated unstable cells during Event 2 (continuous purple line) and the mean rainfall over the basin (inverse axes, blue line). Calibration of the landslide model was performed by finding the maximum overlap between simulated and observed unstable and stable cells, and at the same time reducing the overall number of false positives and false negatives. It is important to note that the calibration strategy is not a cell-by-cell modification of the parameters involved but rather a basin-wide modification of soil properties. A sensitivity analysis of soil parameters is carried out by making small variations of the variables within specified intervals:  $\phi$  between 25 and 32,  $\gamma$  between 17 and 19, C' between 3.5 and 4.2, and Z between 0.1 and 3 m. The sensitivity analysis suggests that slight variations in the parameter in Z produce significant changes in the results, with overestimation of the number of unstable cells or no unstable cells at all. Following Table 1, the average soil depth in the basin is only 0.3 m, a value that corresponds to underestimation according to the inspections during field visits. For this reason, the results presented in Figure 16 use a Z map scaled by a calibration factor of 3.5, preserving the spatial dependence on the slope but achieving a more

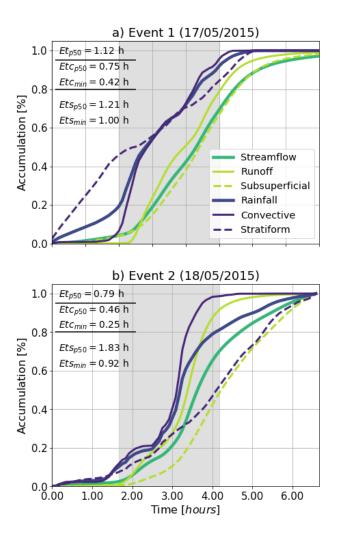
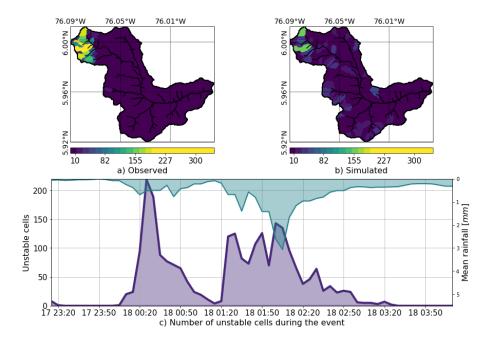


Figure 15. Accumulated rainfall and streamflow for a) Event 1 and b) Event 2. The accumulation is expressed in percentage with respect to the total value in each case. The median elapsed time and minimum elapsed time () are estimated between total  $(Et_{p50}, Et_{min})$ , convective  $(Etc_{p50}, Etc_{min})$ , and stratiform  $(Ets_{p50}, Ets_{min})$  rainfall and the runoff portion of the streamflow. Gray bands correspond to the periods for elapsed time estimation.

realistic soil depth and better spatial distribution of a landslide occurrence.

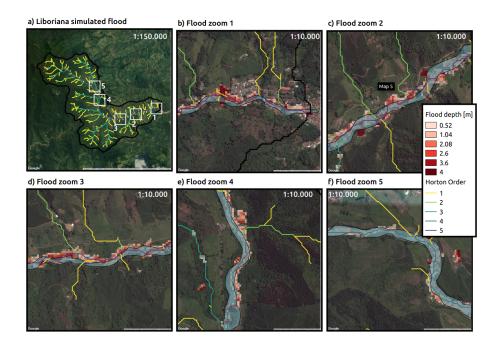
The model represents the spatial distribution of the areas that are prone to trigger shallow landslides during Event 2 reasonably well, especially in the upper part of the basin, showing a significant density of unstable cells in the hills where slides took place. This result is important because in that sense, it serves to verify the capability of the model to estimate risk areas only considering topography and rainfall data. On the other hand, there are some false positives in the middle of the basin, which could be related to the poor description of the soils. The landslide model has been



**Figure 16.** a) Observed landslides triggered by Events 1 and 2. The figure is based on aerial photos and satellite images (Landsat/Copernicus, and images available on Google) taken before and after the flash flood event. b) Map of total unstable cells during the simulation period. c) Time series of the number of simulated unstable cells during Event 2 (continuous purple line) and mean rainfall over the basin (inverse axes, blue line).

used in a nearby watershed with similar characteristics, but with high-quality distributed information (Aristizábal et al., 2016). In that case, the model shows a better performance, which highlights the relevance of the input data. A pinpoint localization of the unstable cells is still considered a hard task, in part due to the small temporal and spatial scale at which landslide processes take place (Aristizábal et al., 2016; Dhakal and Sidle, 2004; Wu and Sidle, 1995). Notwithstanding the difficulties, the results suggest that the model simulations could have been used and should be used in the future for early detection and warning to improve both short- and long-term risk reduction strategies.

Figure 17 shows the identification of the flood spots at the peak of Event 2 (May 18, 2015, 2:00 a.m.) as simulated using HydroFlash. Figures 17b to f present a detailed view of the results from the outlet of the basin to the upper region. Cases presented in Figures 17e and f exhibit a satisfactory agreement with observed flood spots (blue shadow). Cases in Figures 17c and d also show a good approximation, but with minor spatial shifts in some sections. The largest spatial differences are observed in Figures 17b. At the entrance of the urban zone, the model overestimates the flood spots. The model results indicate that 11% of flood spots occur at elements of order 1 and 2, and 18, 38 and 32% occur at orders 3, 4 and 5, respectively. Table 5 summarizes the described percentages and the total length of each order. These results also highlight a coherent geomorphological representation



**Figure 17.** Simulated flood spot at the peak of Event 2 in different locations. a) Basin drainage network. White squares correspond to regions of interest highlighted in panes b) to f). The colors of the streams correspond to the Strahler order of the network. b) Zoom at the outlet of the basin, where an important portion of the human and infrastructure losses took place. c) Zoom at La Margarita settlement also affected by the flash flood. d) to f) Zoom at key locations along the principal stream. Observed flood spots are shown in blue polygons and model flood spots in red to white grids.

of the flooded channels and hills relative to the order.

**Table 5.** Channels and flooded cells percentages summary.  $Sh_0$  and  $Ss_0$  correspond to the mean hill and stream slope, respectively. L corresponds to the total channel length. F Spots and S spots correspond to the flooded and slides percentages, respectively.

Order	$Sh_0$ [%]	$Ss_0$ [%]	L[km]	F Spots	Ss Spots
1	60	37	59	5	64.5
2	57	27	26	6	26.3
3	49	13	16	18.5	5.5
4	43	9	10	38.5	3.6
5	42	6	6	32	0.05
Mean/total	50	18	117	100	100

#### 645 5 Discussion

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On the morning of May 18, 2015, a flash flood occurred in the steep La Liboriana basin, in the municipality of Salgar, Department of Antioquia, Colombia, leaving more than 100 human casualties, 535 houses destroyed, and significant infrastructure loses. Due to the lack of local information of soil type, land use and real-time hydrometeorological data, the La Liboriana case implies a challenge for flash flood prediction, modeling and, consequently, risk management. The present paper introduces a hydrologic model-based approach and an integral graphical analysis tool (an integrated spatiotemporal analysis of rainfall evolution, together with soil storages in the basin) for the following purposes: 1) to simulate and understand the soil-rainfall-discharge processes that led to the 2015 Salgar flash flood, and 2) to propose it as a radar QPE-based and modeling-based landslide and flash flood guidance low-cost tool for basins with scarce data and regions with limited resources.

The methodology implies the development of a distributed hydrologic model with the capabilities of tracking independently convective and stratiform precipitation within the model, as well as keeping track of the runoff and subsurface portions of the streamflow, coupled with a shallow landslide submodel and HydroFlash, a one-dimensional flash flood scheme. The model proposed here indeed allows studying the different hydrological processes relevant to flash flood and landslide occurrence by using different simulation resources, serving as the basis for a better understanding of the overall basin response. This approach helps to examine flood-generating mechanisms or causative factors both in time and in space, focusing on the important physical processes (Klemes, 1993; Merz and Blöschl, 2003). It is hoped that knowledge improvement leads to the anticipation of warning and response by risk management entities.

The evolution of the simulation of Events 1 and 2 show evidence of remarkable behavioral differences. During Event 1, both gravitational and capillary tanks are filled along and across the basin as a result of the quasi-homogeneous rainfall spatial distribution (Zoccatelli et al., 2011). The return flow is low, and most of the runoff occurs within the first 20 groups (40% of the watershed closest to the outlet). In the period between both events, there is a recession in the capillary and gravitational storages in the entire basin. Capillary storage decays considerably slower than gravitational storage. During Event 2, the flash flood triggering event, the first convective core saturates both capillary and gravitational storages in the upper part of the basin and generates both return flow and significant runoff. Due to soil saturation, the second convective core results mainly in surface runoff. During this event, extreme runoff rates are evident in the upper part of the basin, collocated with the steeper slopes. On the other hand, subsurface flow is more important in magnitude than runoff describing Event 1, while runoff is more relevant for Event 2. The precedent storage and the presence of thunderstorm training profoundly condition the streamflow during Event 2. The overall evidence suggests that precedent capillary moisture in the basin plays an essential role in modulating river discharge.

This behavior could be linked to the temporal occurrence and relative importance and timing of stratiform and convective formations previously described.

While convective and stratiform partitioning could influence the runoff and subsurface flow separation, the spatial distribution of rainfall relative to watershed network morphometry structure impose a condition on the hydrological response of the basin (Douinot et al., 2016). In other words, hydrograph formation is determined not only by the rainfall accumulation or maximum intensity but also by its spatial structure (Zoccatelli et al., 2011; Douinot et al., 2016). As mentioned before, average rainfall accumulation over the basin for Events 1 and 2 is 47mm and 38mm, respectively. During Event 1 (2), convective (stratiform) average accumulations are 28 (23) and 17 (14) mm, respectively. The maximum rainfall intensities are relatively similar with 150mm/h and 180mm/h for Events 1 and 2, respectively, but the location was significantly different. Convective rainfall occurrence at the upper subbasins has significant implications due to geomorphological conditions associated to zero-order subbasins (Sidle et al., 2018). Additionally, when we compare Events 1 and 2, there is an interplay between the rain spatial structure and the soil storage capacity. During Event 1, there is almost no saturation, hence runoff production is low, while Event 2 is influenced by the pre-event water and the occurrence of multiple convective systems over the same region. The structure of the rainfall associated with the La Liboriana event and its interaction with the soils highlights the need to consider in more detail the role of orographic rainfall intensification in practical applications such as early warning systems. Evidence suggests the spatial structure of the rainfall is at least as important as the geomorphological features of the basin in regulating the generation of flash flood events.

An integrated spatiotemporal analysis of rainfall evolution, together with soil storages in the basin, is necessary to study the relevance of antecedent conditions and precipitation type, intensity, and location in the generation of flash flood events. Event 1 increased the overall soil moisture with an associated decrease in infiltration rates, similar to the results reported by Marchi et al. (2010); Penna et al. (2011) and Zehe et al. (2010); additionally, low infiltration increased the runoff rates, ultimately affecting the susceptibility of the basin to flash flood occurrence (Wagner et al., 1999; Penna et al., 2011; Tramblay et al., 2012). Due to geomorphological characteristics (see Table 5), water tends to reach faster the channels in hills of order 1 and 2, and, at the same time, the sediment production and transport in these hills tend to be larger. Order 3 subbasins most likely act as transport elements, with no important energy losses (Table 5), and floods tend to occur at order 4 and 5 subbasins due to the widening of the channel and slope attenuation.

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Different authors have focused on trying to understand the general causative factors behind the occurrence of flash floods (Marchi et al., 2010), finding results similar to ours, with a significant role of considering geomorphology, orography and local convection. For example, Lehmann and Or

(2012), using a shallow landslide model, finds an important role of the topography and the rainfall conditions. Turkington et al. (2014) shows how intense locally driven convection appears to be the main meteorological trigger for flash occurrence in the French Alps.Camarasa-Belmonte (2016) shows how rainfall intensity and duration influences the shape of the hydrograph, with intense rainfall shortening the response time of the basin, and large durations increasing the flood peak. In the Mediterranean region, Boudou et al. (2016) states that in addition to the rainfall, geomorphological characteristics and antecedent soil conditions are key in the generation of flash flooding.

However useful, the evidence in this work only takes into account two successive events; an analysis of more cases and different spatial scales (different basins) would provide robust conclusions in this direction. It is clear that focusing on a single extreme event, rather than on a spectrum of floods, is not conclusive enough Merz and Blöschl (2003). The model simulation results suggest it is imperative to study in depth the long-term link between the relative basin and drainage network orientation and the preferred path of precipitation events and its role in defining the frequency of flash flood occurrence. A better understanding of the network-hills-preferential rainfall advection structure could provide information about basins prone to flash floods when information is scarce.

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#### 6 Conclusions

Extreme rainfall events such as the one that triggered the La Liboriana tragedy frequently take place in Colombia and the entire global tropical belt over ungauged basins, often triggering flash floods and debris flows, which endanger vulnerable communities due to poor long-term planning and lack of functional early warning systems. There is a global need for better knowledge and understanding of the hydrological and meteorological conditions that, combined, lead to the manifestation of disasters linked to natural hazards. Such an understanding must result in useful practical applications that improve risk management practices and thus save lives. In the current work, we approach the problem from a hydrological modeling point of view, trying, despite the data limitations and the uncertainty of the results, to shed some light on the first-order processes that modulate the occurrence of flash floods in the region of study.

In the case of the La Liboriana flash flood, radar reflectivity fields were available from a C-Band radar operated by the Early Warning System of Medellín and its metropolitan area, as part of a local risk management strategy. While the municipality of Salgar is located far from Medellín's metropolitan area, the radar is approximately 90 km away from Salgar, and the reflectivity retrievals enable the classification of precipitation fields into convective and stratiform areas, using widely accepted methodologies by the meteorological community. Radar reflectivity also serves as a proxy for pre-

cipitation, allowing a quantitative estimation of rainfall fields. This estimation was used together with the hydrologic model to assess the different basin-wide processes taking place during the flash flood triggering rainfall event. The limitations of the methodology presented in this work do not allow representing all the detailed small-scale preferential pathways of the water in the watershed, but rather focus on the first-order processes to study the partitioning between runoff vs. subsurface flow. Additionally, the model results are used to obtain a conceptual idea about the general processes, but it must be taken into account that the simulations are subject to a calibration process that could lead to erroneous conclusions about the mentioned processes. This consideration could be true even when different steps were taken trying to avoid this situation.

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The overall model simulation methodology reproduces the estimated magnitude and reported timing of the La Liboriana flash flood discharge peak quite well, showing robustness to changes in the most important model parameters. Simulation results suggest that the soil storage capacity available before flooding event, impacted not only the flood magnitude itself, but also the response time of the catchment, highlighting the role of soil wetness distribution within the basin. The model also reproduces the areas of regional landslide occurrence and flood spot locations satisfactorily. The model simulation results indicate that the flash flood and the regional landslide features were strongly influenced by the observed antecedent rainfall associated with a northwesterly stratiform event that recharged the gravitational and capillary storages in the entire basin. The hydrological model simulation shows that the antecedent event set wet conditions in the entire basin before the occurrence of the flash flood event, governing the streamflow during the latter. The results of the model simulation also suggest that the first of the two successive convective cores (training convective elements) over the same region during the second precipitation event (the flash flood event) saturated both capillary and gravitational storages in the upper part of the basin and generated both return flow and significant runoff. The second convective core resulted mainly in surface runoff spatially collocated with the steeper slopes, generating the kinetic energy needed to produce the La Liboriana flash flood. The overall results also show a good agreement between the simulated flood spots and the observed ones, despite the limitations imposed by the resolution of the DEM used for extracting cross-sections and the model oversimplifications.

Results of the landslide submodel and HydroFlash, while satisfactory, are far from perfect, showing significant differences compared to observations when compared in the detail. The evidence suggests, by and large, that most of the observed differences are mainly due to the lack of higher spatial resolution DEM, in the case of HydroFlash, and due to the lack of a detailed soil dataset, in the case of the landslide submodel. However, there is also is considerable room for improvement in both submodels, including a better representation of non-Newtonian hydraulic processes in HydroFlash, and a direct link between landslides and flood spots following, for example, a similar strategy to the

one presented in the STEP-TRAMM model (Fan et al., 2017).

Considering all the shortcomings and generalizations, the described model-based approach is po-

tentially useful to assess flood-generating mechanisms and as a tool for policy-makers, not only for

short-term decisions in the context of an early warning system but also as a planning resource for

long-term risk management. The results suggest it is possible to use low-cost methodologies such as

the one introduced here as a risk management tool in countries and regions with scarce resources.

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For the technically inclined reader, the hydrologic model and submodels are written in Fortran 90,

and the interface to the model, pre-process, and post-process tools are in Python 2.7. The Fortran

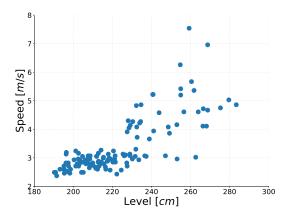
code is warped to Python using f2py (Peterson, 2009), and it is publicly available under the Water-

shed Modeling Framework WMF in a web repository (GitHub).

**Appendix A: Figures** 

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**Figure A.1.** Scatter plot of water level (depth) (cm) and surface speed (ms<sup>-1</sup>) for Doña María basin, located in the Aburrá Valley (Basin outlet coordinates: 75.651°W, 6.190°N). The basin slope is 34.09%, the area :72.84 km<sup>2</sup>, and the maximum (minimum) height is 2,835 m.a.s.l. (1,562 m.a.s.l.)

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