



21 **Abstract.**

22 There is no long-term meteorological or hydrological data in karst river basins to a large
23 extent. Especially lack of typical rainfall data is a great challenge to build a hydrological
24 model. Quantitative precipitation estimates (QPEs) based on the weather satellites could offer
25 a good attempt to obtain the rainfall data in karst area. What's more, coupling QPEs with a
26 distributed hydrological model has the potential to improve the precision for flood forecasting
27 in large karst watershed. Precipitation estimation from remotely sensed information using
28 artificial neural networks-cloud classification system (PERSIANN-CCS) as a technology of
29 QPEs based on satellites has been achieved a wide research results in the world. However,
30 only few studies on PERSIANN-CCS QPEs are in large karst basins and the accuracy is
31 always poor in practical application. In this study, the PERSIANN-CCS QPEs is employed to
32 estimate the hourly precipitation in such a large river basin-Liujiang karst river basin with an
33 area of 58,270 km². The result shows that, compared with the observed precipitation by rain
34 gauge, the distribution of precipitation by PERSIANN-CCS QPEs has a great similarity. But
35 the quantity values of precipitation by PERSIANN-CCS QPEs are smaller. A post-processed
36 method is proposed to revise the PERSIANN-CCS QPEs products. The result shows that
37 coupling the post-processed PERSIANN-CCS QPEs with a distributed hydrological model-
38 Liuxihe model has a better performance than the result with the initial PERSIANN-CCS
39 QPEs in karst flood simulation. What's more, the coupling model's performance improves
40 largely with parameter re-optimized with the post-processed PERSIANN-CCS QPEs. The
41 average values of the six evaluation indices including Nash-Sutcliffe coefficient has a 14%
42 increase, the correlation coefficient has a 14% increase, process relative error has a 8%
43 decrease, peak flow relative error has a 18% decrease, the water balance coefficient has a 7%
44 increase, and peak flow time error has 25 hours decrease, respectively. Among them, the peak
45 flow relative error and peak flow time error have the biggest improvement, which are the
46 greatest concerned factors in flood forecasting. The rational flood simulation results by the
47 coupling model provide a great practical application prospect for flood forecasting in large
48 karst river basins.

49 **Key words:** Quantitative precipitation estimates /QPEs, Precipitation Estimation from Remotely
50 Sensed Information Using Artificial Neural Networks-Cloud Classification System/ PERSIANN-
51 CCS, Liujiang karst river basin , Liuxihe model, Flood forecasting

52 **1 Introduction**

53 The highly anisotropic karst water-bearing media and intricate hydraulic conditions make
54 the karst flood process exhibit significant differences in time and space, which led to the
55 laminar flow and turbulent flow transmute into each other in karst areas, and the flood events
56 in karst river basins are more complicated compared with that of in non-karst area(Ford and
57 Williams,2007;Goldscheider and Drew,2007) .This makes it difficult to precisely simulate



58 and forecast the karst flood process based on a hydrological model in mechanism. It is a
59 common practice that the karst water-bearing media should be simplified before build a
60 model. For example, making karst river basin as a multiple and nested spatial structure;
61 making the underground river as the intelligible river system in the model; cave as the
62 anisotropic medium with a large vertical infiltration coefficient and porosity but small
63 specific yield. Even so, it is still hard to quantify the spatial structure of the karst water-
64 bearing media with a physics-mathematics model. And the karst flood simulation results
65 usually have some errors that could not be ignored, which is the main problem in flood
66 forecasting in karst river basins (Kovacs and Perrochet,2011) .

67 Because the dynamic change of karst hydrological process and the hydraulic conditions of
68 underlying surface are complicated and non-linear in karst area, which makes it hard to obtain
69 the hydrogeology parameters, such as specific yield, hydraulic conductivity and aquifer
70 transmissivity and so on. With the rapid development of remote sensing, GIS technology and
71 hydrogeology, the technology of field work including the tracer tests (Birk et
72 al.,2005;Doummar et al.,2012) and infiltration tests have made a significant progress.
73 However, it is still a challenge to accurately simulate the laws of motion of the karst
74 hydrological process in the karst water-bearing media with these experimental tests. So the
75 traditional methods such as lumped hydrological models are not suitable for flood forecasting
76 in karst area (Hartmann et al.,2013) . Compared with the performance of lumped
77 hydrological models, the physically based distributed hydrological models (PBDHMs) have
78 some advantages for karst flood forecasting in mechanism. The PBDHMs divide the whole
79 karst river basin into a series of small grid units named karst sub-streams, which could reflect
80 the real rules of hydrological process and karst development characteristics precisely.
81 Therefore, it has a great application potential to improve the karst floods simulation and
82 forecasting capability (Ambroise et al., 1996). Many PBDHMs have been proposed since the
83 blueprint of the PBDHMs published by Freeze and Harlan (1969). The first full PBDHM is
84 regarded as the SHE model published in 1987(Abbott et al., 1986a, b). Shustert and White
85 (1971) used the PBDHM as an attempt in karst area, in their research, the dissolved carbonate
86 species were analyzed in the waters of 14 carbonate springs in the Central Appalachians. And
87 these springs were classified into diffuse-flow feeder-system types and conduit feeder-system
88 types. The PBDHMs have been achieved many good research results in karst area
89 (Atkinson,1977; Quinlan and Ewers,1985;Quinlan et al.,2011;Duan and Miller,1997;
90 Ren,2006;Liu et al.,2013;Zhang et al.,2007).

91 Since the regulation and storage capacity of the karst water-bearing media are weak.
92 When the accumulated rainfall exceeds the maximum drainage capacity of the channel during
93 a heavy rain storm, then the karst immersion-waterlogging hazard is much more likely to
94 appear in this situation. And the hazard will become more and more serious with the
95 intensification of global extreme weather events. So some effective measures need to be taken



96 to reduce the flood losses. For example, simulating and forecasting the karst flood process
97 reliably with a PBDHM effectively, it is an important non-project measure for flood control.
98 However, there is no enough rain gauges as well as the long-term meteorological or
99 hydrogeological data to build a PBDHM in karst river basin where belongs to ungauged basin.
100 Prediction in ungauged basins (PUB) is the theme of international hydrological decade, the
101 core of which is runoff calculation (Li and Ren, 2009). Therefore, it is more difficult to
102 forecast the flood events in karst river basin compared with that of in non-karst area. How to
103 solve the problem of rainfall source is a key factor of the current karst flood forecasting. The
104 quantitative precipitation estimates /QPEs, especially the satellite QPEs technology brings the
105 possibility to obtain the reasonable rainfall data in karst area. But the current application of
106 the QPEs is not mature enough, which makes the accuracy of QPEs as well as the effect of
107 karst flood simulation and forecasting are not so good.

108 The developed numerical weather prediction model in the past decades provided a
109 reasonable and accurate QPEs product in karst area. The current mainstream QPEs including
110 the weather radar QPEs (Delrieu et al.,2014; Rafieei et al.,2014; Faure et al.,2015), satellite
111 QPEs and radar merging satellite QPEs (Stenz, 2014; Bartsotas et al.,2017; Goudenhoofd and
112 Delobbe,2009; Wardhana et al.,2017), Precipitation estimation from remotely sensed
113 information using Artificial Neural Networks/PERSIANN QPEs (Soroosh et al.,2000; Hirpa
114 et al.,2010; Romilly, 2011;Yang et al.,2007), PERSIANN-Climate Data Record/PERSIANN-
115 CDR (Ashouri et al., 2014; Liu et al., 2017; Tan and Santo,2018; Hussain et al., 2018), and
116 PERSIANN-Cloud Classification System/PERSIANN-CCS (Yang et al., 2004,2007;
117 Moradkhani and Meskele, 2010) . The research on the QPEs products by meteorological
118 satellites has become a hotspot in rainfall prediction (Hu et al., 2013).

119 Although many scholars at home and abroad have done a lot of research with the QPEs
120 technology, also achieved many accepted results. However, there are considerable uncertainty
121 exists in the application, which makes the precision of the QPEs is low and the precipitation
122 result by the QPEs is not satisfactory. Two effective measures could reduce the uncertainty of
123 the QPEs results in karst area. One is to match the appropriate resolution of the model.
124 Because the resolution can affect the result of the QPEs directly: if the resolution is too low,
125 then the grid units divided are coarse, which causes a considerable error in rainfall estimates;
126 if the resolution is too high, the meteorological model structure is complicated and unstable.
127 Furthermore, the requirement of computation resources will increase exponentially with the
128 raise of the model spatial resolution (Chen et al., 2017), which leads to huge calculation and
129 low efficiency. So the appropriate model spatial resolution is extremely important for the
130 results of QPEs. And the other is the current technology of QPEs still has some systematic
131 errors existed due to the uncertainties in structure and mathematical algorithm. For this reason,



132 the results of QPEs compared with the observed precipitation by rain gauge have some
133 relative errors, which causes the karst flood simulation results by the coupling model
134 (coupling QPEs with a PBDHM) have uncertainties that affect the model's performance
135 largely. So the results of initial QPEs could not be used directly to build the coupling model.
136 In this study, a post-processed method is employed to revise the PERSIANN-CCS QPEs
137 products, which makes the result of QPEs more credible and receivable.

138 There are many researches on PERSIANN-CCS QPEs (Yang et al 2007) at present. But
139 most of them have been used in small non-karst watersheds. In this study, the PERSIANN-
140 CCS QPEs is employed to estimate the rainfall data as an attempt in such a large karst river
141 basin -Liujiang Karst River Basin (LKRB) with an area of $5.8 \times 10^4 \text{ km}^2$ in Guangxi province,
142 China. Watershed flood forecasting relies on a PBDHM for a computation tool, while the
143 precipitation is the model's driving force (Li et al., 2017). It has the potential to improve the
144 accuracy of karst flood forecasting by coupling PERSIANN-CCS QPEs with a PBDHM. And
145 the PBDHM in this paper is Liuxihe model (Chen,2009). The spatial resolution of Liuxihe
146 model for LKRB is 200m*200m. And the PERSIANN-CCS QPEs products that the spatial
147 resolution is $0.04^\circ \times 0.04^\circ$ scale and time interval is 30 minutes are employed to estimate the
148 precipitation results for LKRB. The resolution of the PERSIANN-CCS QPEs must be
149 downscaled to the same size as Liuxihe model before building the coupling model. The
150 PERSIANN-CCS QPEs products after post-processed could offer the high-precision
151 precipitation results for LKRB where lack of enough rain gauges. It can largely improve the
152 model performance by coupling the post-processed PERSIANN-CCS QPEs with Liuxihe
153 model. A modified PSO algorithm (Chen et al., 2016) is used to optimize the coupling model
154 parameters in this paper, which could control the uncertainty of the parameter passing.

155 2 Methodology

156 2.1 PERSIANN-CCS QPEs

157 The original PERSIANN system (Hsu et al., 1999) was based on geostationary infrared
158 imagery and later extended to include the use of both infrared and daytime visible imagery,
159 which is an automated system for precipitation estimation from remotely sensed information
160 using artificial neural networks. The system for rainfall estimation under development at The
161 University of Arizona and gets constantly stronger with the improvement of the technology
162 (Soroosh et al.,2000). The fundamental algorithm of PERSIANN system is based on a neural
163 network. And the network parameters could be optimized by an adaptive training
164 characteristic, which makes the precipitation could be estimated from geosynchronous
165 satellite at any time and place.

166 The Precipitation Estimation from Remotely Sensed Information using Artificial Neural
167 Networks-Cloud Classification System /PERSIANN-CCS(Yang et al., 2004; Hsu et al., 2007)
168 is a patch-based cloud classification and rainfall estimation system from low Earth-orbiting



169 and geostationary satellites by using pattern recognition technology and computer imaging
170 technology (Yang et al., 2007). Satellite-based precipitation retrieval algorithms use
171 information ranging from visible (VIS) to infrared (IR) spectral bands of Geostationary Earth
172 Orbiting (GEO) satellites and microwave (MW) spectral bands (Hsu et al., 2007).

173 The QPEs products of PERSIANN-CCS has been generated precipitation estimates at
174 resolution $0.04^{\circ} \times 0.04^{\circ}$ scale and time interval 30 minutes since 2000. The output of
175 PERSIANN-CCS QPEs has been downscaled at $200\text{m} \times 200\text{m}$ as the same spatial resolution as
176 Liuxihe model in LKRB. The hourly precipitation data of the PERSIANN-CCS QPEs are
177 collected and compared with the precipitation observed by rain gauges.

178 Rainfall estimation from the PERSIANN-CCS consists as the follow steps (Hsu, 2007):
179 (1) IR cloud image segmentation, (2) Characteristic extraction from IR cloud patches, (3)
180 Patch characteristic classification, (4) Obtain the rainfall estimation results of QPEs products,
181 (5) Evaluate and revise the results of QPEs products.

182 In this paper, the PERSIANN-CCS QPEs real-time data used in LKRB from the current
183 version of PERSIANN-CCS are available and downloadable online
184 (<http://hydis8.eng.uci.edu/CCS/>).

185 2.2 Liuxihe model

186 Liuxihe model proposed by Yangbo Chen (Chen, 2009) of Sun Yat-Sen University, China
187 is employed as the fully distributed hydrological model in this study, which is a physically
188 based distributed hydrological model (PBDHM) mainly for catchment floods simulating
189 and forecasting (Chen et al., 2011, 2016, 2017; Li et al., 2017). Liuxihe model earn its name
190 by first successful application in Liuxihe catchment, Guangdong province, China. There are
191 three layers vertically, including the canopy layer, the soil layer and the underground layer in
192 the model and the whole catchment is divided into a great number of grid cells horizontally
193 by using the DEM, which are treated as a uniform basin, and the elevation, land cover type,
194 soil type, and other model elements including rainfall-runoff, evapotranspiration and so on are
195 calculated on the uniform basin. All cells are categorized into three types, namely hill slope
196 cell, river cell and reservoir cell.

197 An improved PSO algorithm (Chen et al., 2016) is employed to optimize the model
198 parameters in this study, which can make the model's performance much better in flood
199 forecasting in karst river basins. The observed meteorological, hydrological data and the
200 development conditions of the karst underground river are used to optimize the model
201 parameters. The terrain property data like the DEM, land use type and soil type can be
202 downloaded freely from an open access databases on the website. The model is validated by
203 observed karst flood events. All these factors of the model are physically based and rational to
204 truly reflect the underlying surface of the karst basin. So it implied Liuxihe model could be
205 used for real-time flood forecasting in karst river basins.



206 **2.3 The improvement of the Karst hydrological model**

207 Liuxihe model has been applied successfully for floods forecasting in many river basins.
208 However, all these basins are non-karst areas. This is the first time the model is used in karst
209 river basin as an attempt in this study. And the structure of the model should be improved to
210 suit the karst basins. So some effective measures should be taken before building the model.
211 Firstly, simplify the karst water-bearing media, including making karst basin as a multiple and
212 nested spatial structure, underground river as the intelligible channel system in the model,
213 cave as the anisotropic medium with a large vertical infiltration coefficient and porosity but
214 small specific yield, and fault as the anisotropic medium with a vertical, large infiltration
215 coefficient and specific yield. Secondly, the whole karst river basin will be divided into many
216 small karst sub-basins by the theory of distributed hydrological model. Furthermore, the karst
217 sub-basins will be divided into many karst hydrology respond units (KHRUs), which are
218 generally independent of each other. The whole karst hydrological process including the
219 storage and regulation process of the epikarst zone, the spatial interpolation of the
220 precipitation, evapotranspiration and rainfall-runoff are all calculated on the KHRU. After
221 that, these hydrological processes will be summarized in the karst sub-basins. Then the outlet
222 flow will be formed through the river confluence among each karst sub-basin from upstream
223 to downstream. Such a multi-structure distributed hydrological model could utilize various
224 scale information effectively and make the best use of the observed meteorological,
225 hydrological and geological data.

226 The whole karst river basin is composed by many small karst sub-basins, then the karst
227 sub-basins will be divided into many KHRUs. And each KHRU has its own model
228 characteristics such as the meteorological and hydrological characteristics as well as the karst
229 development characteristics in this study. The KHRU is proposed to describe the spatial
230 variation of the karst sub-basins. And make sure that the differences within the KHRUs are
231 smaller than of among the KHRUs. Then the KHRU is divided into five layers vertically: the
232 canopy layer, the soil layer, the epikarst zone, the bedrock and the underground river. The
233 sketch map of the KHRU is as follow:

234
235 The structure of the KHRU(Ren,2006) b. The photograph of the three-dimensional
236 space structure of the KHRU

237 Figure 1. Sketch map of the KHRU

238 In Figure 1.b, the three-dimensional space model of the KHRU in Liujiang Karst River
239 Basin(LKRB) is built in the laboratory to better understand how groundwater move in the
240 karst media and convert mutually with the surface river. Then the hydrological model could
241 be built more visualized through this way.



242 In order to satisfy the applicability of the model in karst area, the epikarst zone as a
 243 distinctive structure of the KHRU is considered carefully in the model. An exponential decay
 244 equation is used to calculate the regulation and storage process of surface karst zone. The
 245 linear reservoir model is employed to describe the regulation process of the superficial karst
 246 fissure system. And the Muskingum routing method is used to calculate the convergence
 247 process of the karst underground river that will be summarized and converge to drainage
 248 outlet through the underground river system.

249 The karst hydrological process of the epikarst zone could be divided into rapid fissure flow
 250 and slow fissure flow. When the precipitation falls to the surface karst zone, it will fill the
 251 pores of the macro crack firstly. After all the pores are full-filled, means the macro crack is
 252 saturated. This part of saturated water content named rapid fissure flow will go directly into
 253 the underground river through the macro crack, and ignore the regulation and storage
 254 hydrological process of the macro crack in this study. The rest of the water content will enter
 255 the tiny pores in the surface karst zone, and the water content of rapid fissure flow could be
 256 described as the following equation:

$$257 \quad SW_{epi} = Q_{inf} - V_{crk} \quad (1)$$

258 Where SW_{epi} is the water content of the rapid fissure flow in the epikarst zone,

259 Q_{inf} is the infiltration water content, and V_{crk} is the water content in the macro crack.

260 The slow fissure flow in the epikarst zone is calculated by an exponential decay
 261 equation (Ren, 2006):

$$262 \quad \begin{cases} W_{sep} = W_{epi} \left(1 - \exp\left(\frac{-\Delta T}{TT_{perc}}\right) \right) \\ W_{epi, t+1} = W_{epi, t} + SW_{epi, t+1} - W_{sep, t+1} \\ TT_{perc} = \frac{SAT_{epi} - FC_{epi}}{K_{epi}} \end{cases} \quad (2)$$

263 Where W_{sep} is the water content from the epikarst zone to the underground river, W_{epi} is the
 264 current water content of the epikarst zone, ΔT is the simulation time-step, TT_{perc} is the
 265 attenuation coefficient, SAT_{epi} is the saturation water content of the epikarst zone, FC_{epi} is
 266 field capacity of the epikarst zone, and K_{epi} is the saturated hydraulic conductivity of the



267 epikarst zone.

268 The linear reservoir model is employed to calculate the regulation process of the superficial
269 karst fissure system, and the base discharge is calculated by the hydraulic gradient of the KHRU
270 (Neitsch et al.,2000) :

271

$$272 \quad \begin{cases} Q_{gw} = 8000 \frac{K_{epi} h_{wbl}}{(L_{gw})^2} \\ Q_{gw,i} = Q_{gw,i-1} \exp(-a_{gw} \Delta t) + W_{rchrg} [1 - \exp(-a_{gw} \Delta t)] \\ W_{rchrg,i} = W_{seep} \left[1 - \exp\left(-\frac{1}{\delta_{gw}}\right) \right] + W_{rchrg,i-1} \exp\left(-\frac{1}{\delta_{gw}}\right) \end{cases} \quad (3)$$

273 Where Q_{gw} is the base discharge, $Q_{gw,i}$ and $Q_{gw,i-1}$ is the supplies quantity of the base
274 discharge that converge to the karst conduit or underground river on the i and $(i-1)$ day
275 respectively, K_{epi} is the saturated hydraulic conductivity of the epikarst zone, h_{wbl} is the
276 hydraulic gradient, L_{gw} is the length of the KHRU, a_{gw} is the depletion coefficient of the base
277 discharge, ΔT is the simulation time-step(day), $W_{rchrg,i}$ is the supplies quantity of the aquifer
278 on the i day(mm/d), W_{seep} is the water flux through the bottom of the soil profile into the
279 underground aquifer on the i day (mm/d) , δ_{gw} is the delay time of the supplies (day) .

280 The Muskingum routing method is used to calculate the convergence process of the karst
281 underground river in this study, the equation is as follows:

282

$$283 \quad W = K[xI + (1-x)O] = KO' \quad (4)$$

284 Where O' is the water storage content, O is the outlet flow of the river reach, x is the
285 dimensionless proportion factor, I is the inflow discharge of the river reach, K is the slope of
286 the correlation curve of the water storage content and the discharge.

287 The finite difference method is used to calculate the water balance equation and the
288 Muskingum routing method:



$$289 \quad \begin{cases} O_2 = C_0 I_2 + C_1 I_1 + C_2 O_1 \\ C_0 + C_1 + C_2 = 1 \end{cases} \quad (5)$$

290 where,

$$291 \quad \begin{cases} C_0 = \frac{0.5\Delta t - Kx}{0.5\Delta t + K - Kx} \\ C_1 = \frac{0.5\Delta t + Kx}{0.5\Delta t + K - Kx} \\ C_2 = \frac{-0.5\Delta t + K - Kx}{0.5\Delta t + K - Kx} \end{cases} \quad (6)$$

292 If the parameter of the Muskingum routing method K and x could be determined for a
293 karst underground river reach, then the value of the C_0 , C_1 and C_2 will be calculated by the
294 equation(6). When $\Delta t = 2Kx$, $C_0 = 0$, which means the karst flood forecasting lead time will
295 be $2Kx$, then the Muskingum routing method could be simplified as follows:

$$296 \quad O_2 = C_1 I_1 + C_2 O_1 \quad (7)$$

297 One of the key problems of Muskingum routing method is to optimize the parameters $-K$
298 and x in the practical application. The least square method is used in this study:

$$299 \quad \min \left\{ E = \sum_{j=1}^n \{ W_0(j) - W_1(j) - C \}^2 \right\} \quad (8)$$

300 Where E is the objective function between the observed water storage content and the
301 simulated one, which makes only require least squares approximation with regard to
302 functional value, $W_0(j)$ and $W_1(j)$ are the observed and simulated water storage content at j
303 period respectively, $W_1(j) = K[xI + (1-x)O]$, n is the total numbers of the observation
304 periods, C is the absolute value of the water storage content.

305 In order to simplify calculating, making $A = Kx$, $B = K(1-x)$, then taking the partials with
306 respect to A , B , C respectively:

$$307 \quad \begin{cases} \sum W_0 I = A \sum I^2 + B \sum (OI) + C \sum I^2 \\ \sum W_0 O = A \sum (OI) + B \sum O^2 + C \sum O \\ \sum W_0 I = A \sum I + B \sum O + Cn \end{cases} \quad (9)$$



308 Then the values of A , B , C could be calculated as follows:

$$\begin{cases}
 A = \frac{y_1 - y_3}{y_2 - y_2} \\
 B = \frac{y_1 z_2 - y_2 z_1}{y_3 z_2 - y_2 z_3} \\
 C = \frac{\sum W_0 - A \sum I - B \sum O}{n}
 \end{cases} \quad (10)$$

310 Where,

$$\begin{cases}
 y_1 = \sum (W_0 I) - \frac{\sum W_0 \sum I}{n} \\
 y_2 = \sum I^2 - \frac{(\sum I)^2}{n} \\
 y_3 = \sum (IO) - \frac{\sum O \sum I}{n} \\
 z_1 = \sum (W_0 O) - \frac{\sum W_0 \sum O}{n} \\
 z_2 = \sum O^2 - \frac{(\sum O)^2}{n} \\
 z_3 = \sum IO - \frac{(\sum O \sum I)}{n} \\
 K = A + B \\
 x = \frac{K}{A}
 \end{cases} \quad (11)$$

312 The parameters of the Muskingum routing method could be optimized through the above
 313 equations. And after that, the convergence process of the karst underground river could be
 314 calculated by the Muskingum routing method in Liuxihe model.

315 3 Study area and data

316 3.1 Study area

317 Liujiang Karst River Basin (LKRB) in southern China is selected as the study area in this
 318 paper. It is the second largest tributary of Pearl River that covers three provinces including
 319 Guizhou, Guangxi and Hunan province. LKRB is the most developed karst area of China with
 320 a drainage area of 58270km² and a channel length of 1121 km. The carbonate rocks are
 321 widely distributed in the southwest of the basin, and the areas account for 33% of the whole



322 watershed. LKRB is a typical karst-mountainous catchment with frequent flash flooding in
323 the past centuries. The peak forest-plain area is the main karst landform on the ground, while
324 the karst conduit and fissure are well-developed underground, also there are many
325 complicated underground rivers and springs with large flow (Li, 1996). The karst water-
326 bearing media is highly non-linear and heterogeneous, which makes it very difficult to
327 simulate and forecast the karst hydrological process.

328 LKRB is in the sub-tropical monsoon climate zone with an average annual precipitation of
329 1400mm to 1700mm, and the precipitation distribution is highly uneven at spatial and
330 temporal scale. The precipitation from April to September accounts for 75% to 80% of the
331 annual precipitation.

332 After studied the karst geomorphology of LKRB, Williams (1987) believed that the
333 peak-cluster depression had developed into turreted peak-forest landforms after a long
334 evolutionary process, which is equivalent to the late prime of life, and going into the old age
335 of geomorphologic evolution as the tradition physiognomy theory by Davis (1912). The
336 allogenic water especially the Liujiang river is the main driving force for the development of
337 peak-forest landforms. Therefore, the peak-forest plains and valleys are often distributed in
338 contiguous areas near the main trunk stream of the Liujiang river. And the main karst
339 landform of LKRB is peak-forest plain, there are also some peak-cluster depressions and
340 peak-forest valleys. Figure 2. are the DEM and three-dimensional topographical map of
341 LKRB.

342
343 a. the DEM b. three-dimensional topographical map

344 Figure 2. The DEM and three-dimensional topographical map of LKRB.

345 3.2 Rain gauges and the karst flood process

346 There are 68 rain gauges and 131 grid points of PERSIANN-CCS QPEs within LKRB
347 and five karst flood events from 2008 to 2013 has been collected respectively. There is a
348 flood event each year. The karst floods process in LKRB have typical characteristics: the
349 flood peak flows usually exceed $10,000\text{m}^3/\text{s}$ and expression of the multi-peaks flood process.
350 A flood process usually lasts about 10 days, and the shortest flood event duration is only
351 about 3 days, the longest is 25 days. The hourly precipitation data of rain gauges are collected
352 in this study to compare with the results of PERSIANN-CCS QPEs. The rain gauges, grid
353 points of PERSIANN-CCS QPEs and the Liuzhou river gauge that closes to the outlet of
354 LKRB are shown in Figure 3.

355 Figure 3. Sketch map of Liujiang River Basin (LKRB)



356 **3.3 Property data**

357 Catchment property data for distributed hydrological model mainly include DEM, land
358 use and soil types. These data are downloaded from an open access databases. The DEM is
359 downloaded from the shuttle radar topography mission database at <http://srtm.csi.cgiar.org>
360 (Falorni et al., 2005, Sharma et al., 2014). The downloaded DEM has an initial spatial
361 resolution of 90m*90m, and after many model resolution tests, the most appropriate
362 resolution has been confirmed as 200m*200m for Liuxihe model in LKRB. So the spatial
363 resolution of the initial DEM is rescaled to 200m*200m in this study, which is a high
364 resolution for Liuxihe model in LKRB. The DEM is shown in Figure 2(a). The land use type
365 is downloaded from <http://landcover.usgs.gov> (Loveland et al., 1991, 2000), and the soil type
366 is downloaded from <http://www.isric.org>. The initial spatial resolutions of the land use type
367 and soil type are 1000m*1000m. Both of them need to be rescaled to 200m*200m in this
368 study. Figure 4 (a) is land use types and (b) is soil types.

369 Figure 4. The property data for Liuxihe model in LKRB

370 **4 PERSIANN-CCS QPEs and its post-processed results**

371 **4.1 Precipitation estimation results**

372 The QPEs product of PERSIANN-CCS has been generated precipitation result for LKRB
373 in this study. There are 131 grid points of PERSIANN-CCS QPEs within LKRB, which are
374 representative and can cover the whole watershed completely (as shown in Figure 3). The
375 precipitation estimation results by PERSIANN-CCS QPEs has been downscaled as the same
376 temporal-spatial resolution as Liuxihe model, the spatial resolution is 200m*200m and the
377 time interval is 1 hour. The QPEs products of PERSIANN-CCS in 2008, 2009, 2011, 2012 and
378 2013 are produced respectively, means there are five rainfall events are corresponding to the
379 five karst flood processes. Figure 5-9 is the average precipitation pattern comparisons of two
380 precipitation products in the five years, and (a) is the average precipitation of rain gauges, (b)
381 is the average precipitation of PERSIANN-CCS QPEs.

382 Figure 5. Precipitation pattern comparison of two precipitation products(2008), (a) is the average
383 precipitation of rain gauges, (b) is the average precipitation of PERSIANN-CCS QPEs.

384 Figure 6. Precipitation pattern comparison of two precipitation products(2009), (a) is the average
385 precipitation of rain gauges, (b) is the average precipitation of PERSIANN-CCS QPEs.

386 Figure 7. Precipitation pattern comparison of two precipitation products(2011), (a) is the average
387 precipitation of rain gauges, (b) is the average precipitation of PERSIANN-CCS QPEs.

388 Figure 8. Precipitation pattern comparison of two precipitation products(2012), (a) is the average
389 precipitation of rain gauges, (b) is the average precipitation of PERSIANN-CCS QPEs.

390 Figure 9. Precipitation pattern comparison of two precipitation products(2013), (a) is the average
391 precipitation of rain gauges, (b) is the average precipitation of PERSIANN-CCS QPEs.



392 According to the results of Figure 5-9, it appears that the temporal average precipitation
393 pattern of both products are quite similar, especially in the rainfall distribution, while there
394 are some difference in the quantitative value. The results of PERSIANN-CCS QPEs are
395 smaller than that of the rain gauge, which means there is a relative error exists between the
396 two products.

397 4.2 Evaluation of PERSIANN-CCS QPEs

398 In order to quantitatively evaluate the results of PERSIANN-CCS QPEs, the
399 precipitation by PERSIANN-CCS QPEs and rain gauge are compared in this study. The
400 rainfall distribution of both products are shown in Figs. 5–9. To make further comparison, the
401 average precipitation of the five karst flood events are calculated in Table 1.

402 Table 1. Precipitation pattern comparison of two precipitation products

403 According to the results of Table 1, it could be found that there are obvious relative
404 errors between the two precipitation products. The average precipitations of PERSIANN-CCS
405 QPEs are smaller than that of the rain gauge. For the five karst flood events from 2008 to
406 2013, the relative errors between two products are -16%, -25%, -14%, -21% and -23%
407 respectively. The average relative error is -20% and the maximum error is -25%, which
408 means these relative errors could not be ignored. So the precipitation results by PERSIANN
409 QPEs must to be revised effectively, the precipitation data observed by rain gauge are used to
410 revise the results of PERSIANN QPEs in this study.

411 4.3 The post-processed PERSIANN-CCS QPEs

412 In order to make the results of PERSIANN QPEs more credible and receivable, the
413 precipitation results by PERSIANN QPEs are revised with the observed precipitation by rain
414 gauge. Firstly, finding the grid points of PERSIANN-CCS QPEs that are adjacent the rain
415 gauges (as shown in Figure 3). And there are 23 grid points in LKRB. Secondly, calculating
416 their average precipitation of PERSIANN-CCS QPEs and rain gauges, and taking the average
417 precipitation of rain gauges as the true precipitation. Thirdly, revising the results of
418 PERSIANN QPEs with the average precipitation observed by rain gauges. The procedure is
419 summarized as follows.

420 1). Calculating the average precipitation of these 23 grid points based on PERSIANN-CCS
421 QPEs with the following equation.



422
$$\bar{P}_{\text{PERSIANN-CCS}} = \frac{\sum_{i=1}^N P_i F_i}{N} \quad (1)$$

423 Where, $\bar{P}_{\text{PERSIANN-CCS}}$ is the average precipitation of these 23 grid points by PERSIANN-CCS
 424 QPEs; P_i is the precipitation based on PERSIANN-CCS QPEs on the i grid point; F_i is the
 425 catchment area of the i grid point; N is the number of the grid points.

426 2). Calculating the average precipitation of these 23 rain gauges.

427
$$\bar{P}_2 = \frac{\sum_{j=1}^M P_j}{M} \quad (2)$$

428 Where, \bar{P}_2 is the average precipitation observed by these 23 rain gauges; P_j is the
 429 precipitation by the j rain gauge; M is the number of rain gauges.

430 3). The precipitations observed by the adjacent rain gauges are used to revise the results of
 431 PERSIANN-CCS QPEs with the following equation.

432
$$P_i' = P_i \frac{\bar{P}_2}{\bar{P}_{\text{PERSIANN-CCS}}} \quad (3)$$

433 Where, P_i' is the value of precipitation based on PERSIANN-CCS QPEs after revised on the i
 434 grid point; $\bar{P}_2 / \bar{P}_{\text{PERSIANN-CCS}}$ is the revise factor.

435 4). The precipitation results based on PERSIANN-CCS QPEs after revised will be as input
 436 data for Liuxihe model to test its feasibility through the floods simulation.

437 From the above procedure of the post-processed PERSIANN-CCS QPEs, it could be
 438 found that the revise factor- $\bar{P}_2 / \bar{P}_{\text{PERSIANN-CCS}}$ is a key to make the results of PERSIANN-
 439 CCS QPEs much closer to the observed precipitation by rain gauges, means the systematic
 440 errors of the PERSIANN-CCS QPEs could be corrected effectively. So the post-processed



441 method in this paper is a feasible and necessary. And it could greatly improve the accuracy of
442 the coupling model in karst flood simulation and forecasting. Furthermore, the revise factor
443 could be preserved as an empirical value for the future flood forecasting in LKRB.

444 **5 Model set up**

445 5.1 hydrological model setup

446 The method combining DEM with stream network leads to a more accurate drainage
447 network from surface runoff modelling (Li and Tao,2000), especially in karst area. In this
448 study, according to the high resolution of 200m*200m for Liuxihe model in LKRB, the whole
449 studied area is divided into 1,469,900 grid cells named the karst sub-basins by using the DEM.
450 The grid cells include 1,463,204 hill slope cells and 6,696 river cells. Then the karst sub-
451 basins will be divided into many karst hydrology respond units (KHRUs) further, the KHRU
452 is as shown in Figure 1. The river system are divide into three-order by Strahler method
453 (Strahler,1957) as shown in Figure 3.

454 Because of the sinkholes and karst depressions in karst watershed, as well as the
455 systematic error of the DEM itself, there are many pits including the true and false pits in
456 LKRB. Among them the true pits are the karst depressions and sinkholes, they usually have a
457 certain scale with elevation difference. While the false pits are just few points with low
458 elevation, which is due to the systematic errors of the DEM. So the true and false pits should
459 be distinguished reliably before using DEM data to divide into the karst sub-basins. Firstly,
460 finding out all the pits with low elevation, and connect them into a plane, then distinguish the
461 true pits from the false ones according to the on-site topographic survey. Finally,keeping the
462 true pits like the sinkholes and karst depressions unchanged but filling the false pits in the
463 model.

464 The karst hydrology respond unit (KHRU) is introduced in this study to reasonably
465 describe the spatial variability of the karst water-bearing media (as shown in Figure1). The
466 spatial characteristic of every KHRU has definite physical meaning. So the calculation of the
467 evapotranspiration, rainfall-runoff and parameter optimization on the KHRU is also
468 physically based, which could truly reflect the differences of the underlying surface. After the
469 division of the karst sub-basins and the KHRUs, the post-processed PERSIANN-CCS QPEs
470 results will be as the input data for Liuxihe model to simulate and forecast the karst flood
471 process. The performance of the coupling model could be improved reliably in this way.



472 5.2 Parameter optimization of coupling model

473 There are many parameters need to be optimized for a distributed hydrological model, as
474 shown in Table.2, among them the parameters of soil water properties, the epikarst zone and
475 the underground river are the most sensitive parameters for the coupling model in this study.
476 The parameters of the epikarst zone are the most complicated due to the anisotropy of the
477 karst water-bearing media, which makes it hard to measure and calculate the hydraulic
478 characteristics. According to the field survey, the epikarst zone is mainly developed on the
479 hard surface of pure carbonate rock, especially on the Paleozoic limestone. The thickness and
480 characteristics of the epikarst zone are different due to the different climate, topography and
481 landforms. And the thickness of the epikarst zone is about 10 meters in the study area- LKRB.
482 The parameters of the coupling model are listed inTable 2.

483 Table 2. The parameters of the coupling model

484 The parameters of the Soil type like the saturated water content and field capacity are
485 calculated through a software tool by the research result of Saxton (Saxton et al.,1986) .The
486 statistical relation between the soil texture and the soil water could be queried easily in the
487 software tool. And it has been effectively proved by many experiments (Servat and
488 Sakho,1995), the calculated value of this method has a good fitting relation with the measured
489 value.

490 Liuxihe Model has been deployed on a supercomputer system with parallel computation
491 technology (Chen et al., 2011) .An improved PSO algorithm (Chen et al., 2017)is employed
492 to optimize the parameters of the coupling model in this study. And the flood process for
493 parameter optimization is the Flood 2009060908. The results of parameters optimization are
494 shown in Figure 10, among them, (a) is the objective function evolution result, (b) is the
495 parameters evolution result, and (c) is the simulated flood process by using the optimized
496 model parameters.

497 Figure 10. Parameter optimization results with the improved PSO algorithm

498 From the results of Figure 10(c), it could be found that the coupling model with initial
499 model parameter values does not simulate the observed karst flood process satisfactorily, and
500 compared with that, the parameters optimization with the improved PSO algorithm could
501 largely improve the coupling model's performance. The simulated flood process is very close
502 to the observed value.

503 In order to test the parameters optimization effect with different precipitation sources,
504 both the precipitation of the rain gauge and PERSIANN CCS QPEs are used to optimize the
505 parameters of the coupling model. To compare with that, the simulated flood process of the



506 coupling model with the same parameter as rain gauges and re-optimized parameter with the
507 post-processed PERSIANN CCS QPEs are also drawn in Figure 10(c).

508 From the results of Figure 10(c), it could be found that the coupling model with the re-
509 optimized parameters by the post-processed PERSIANN CCS QPEs has a better flood
510 simulation effect than that of with the same parameter as rain gauges precipitation, which
511 means re-optimized parameters with the post-processed PERSIANN CCS QPEs for the
512 coupling model is necessary.

513 5.3 Model validation

514 The karst flood process for parameter optimization is the Flood 2009060908 in this
515 study. Other four floods including Flood 200806090200, Flood 201106010900, Flood
516 201206022000 and Flood 201306011400 are used to validate the performance of the coupling
517 model. The flood simulation results are drawn in Figure 11.

518 Figure 11. The flood simulation results of the coupling model with two precipitation products
519 From the result of Figure 11, it could be seen that the simulated karst flood discharges
520 with the precipitation of rain gauge are the best. And the simulated values are the closest to
521 the measured values, especially the simulated flood peaks are satisfactory, which is the most
522 concerned factor in real-time flood forecasting. The average values of six evaluation indices,
523 including the Nash–Sutcliffe coefficient (C), correlation coefficient (R), process relative error
524 (P), peak flow relative error (E), water balance coefficient (W), and peak flow time error (T)
525 are 0.86, 0.86, 18%, 4%, 0.91, and -7 hours respectively (as shown in Table 3). This means
526 parameters optimization with the improved PSO algorithm in this study is effective. From
527 Figure 11, it may be seen that the karst flood simulation results with the initial PERSIANN
528 CCS QPEs are not so satisfactory, and the performance of the model are worse than that of
529 the rain gauge precipitation. While the flood simulation results of the coupling model with the
530 post-processed PERSIANN CCS QPEs are much better, also the evaluation indices of the
531 flood simulation have been largely improved.

532 6 Results and discussions

533 In order to test the effects of the flood simulation of the coupling model with the post-
534 processed PERSIANN-CCS QPEs as well as the coupling model with different parameters.

535 Two test methods are used in this paper:

- 536 1) Keeping the coupling model parameters unchanged, means the coupling model takes the
537 same parameters as the precipitation of the rain gauge. The flood simulation effects with
538 the initial PERSIANN-CCS QPEs and the post-processed ones could be compared in this
539 way.



540 2) Re-optimizing the coupling model parameters, means the post-processed PERSIANN-
541 CCS QPEs are used to re-optimize the coupling model parameters again to test its
542 necessity.

543 6.1 Results of flood simulation with the post-processed PERSIANN-CCS QPEs

544 After the correction, the post-processed PERSIANN-CCS QPEs precipitation has
545 become much closer to the observed precipitation of rain gauge. In order to analyze the
546 effects of flood simulation with the post-processed PERSIANN-CCS QPEs, five karst flood
547 events including Flood 200806090200, 200906090800, 201106010900, 201206022000 and
548 201306011400 are used in this paper, and the flood simulation results with different
549 precipitation sources including the rain gauges precipitation, the PERSIANN-CCS QPEs and
550 its post-processed results are compared in Figure 11. In this simulation, keeping the coupling
551 model parameters unchanged, means the coupling model with the same parameters as the rain
552 gauges precipitation, not the re-optimized parameters with the post-processed PERSIANN-
553 CCS QPEs results. The flood simulation results are shown in Figure 11.

554 From Figure 11, it could be seen that the simulated flood discharges with the
555 precipitation of rain gauge are better than that of the PERSIANN-CCS QPEs. And the
556 simulated peak flows with the PERSIANN-CCS QPEs are lower than the observed ones.
557 However, the flood simulation effects with the post-processed PERSIANN-CCS QPEs make
558 a great progress, the simulated flood processes fit the observation values reasonably, and
559 simulated peak flows are much closer to the observation ones. It implies that the flood
560 forecasting capability has been largely improved by the post-processed method of the
561 PERSIANN-CCS QPEs.

562 To further compare the flood simulation results, six evaluation indices are calculated and
563 listed in Table 3. It has been found that all the six evaluation indices of rain gauges are better
564 than that of PERSIANN-CCS QPEs. And the indices of QPEs have been improved a lot with
565 the post processed QPEs. The average value of Nash–Sutcliffe coefficient has a 7% increase,
566 the correlation coefficient has a 8% increase, process relative error has a 6% decrease, peak
567 flow relative error has a 14% decrease, the water balance coefficient has a 5% increase, and
568 peak flow time error has 7 hours decrease, respectively. Among them, the peak flow relative
569 error has the biggest improvement. It is obvious that the evaluation indices are improved
570 substantially with the post-processed QPEs. So it implies the post-processed method for
571 PERSIANN-CCS QPEs in this paper is feasible and effective. And coupling the post-
572 processed PERSIANN-CCS QPEs with Liuxihe model has the potential to improve the model
573 performance in flood simulation and forecasting in LKRB.



574 6.2 Effects comparison of different model parameters

575 The performance of the coupling model makes a big difference with different parameters.
576 There are two different sets of model parameter values in this study, one is the parameters
577 with the precipitation of rain gauge, and the other is the parameters with the post-processed
578 PERSIANN-CCS QPEs. The post-processed PERSIANN-CCS QPEs are used to re-optimize
579 the coupling model parameters again to test its necessity. And the flood simulation results
580 with two different sets of model parameters are shown in Figure 12.

581

582 Figure 12. Coupled flood simulation results with the same parameter as the rain gauge
583 precipitation and re-optimized parameter with the post-processed PERSIANN-CCS QPEs

584 From the above results in Figure 12, it has been found that the simulated flood results
585 with re-optimized parameters by the post-processed PERSIANN-CCS QPEs are much better
586 than that of with the same parameter as rain gauge precipitation. The simulated flood discharge
587 processes, especially the peak flows with the re-optimized parameter are closer to the
588 observation values. To further compare the flood simulation results, six evaluation indices are
589 calculated in Table 4, the average value of Nash–Sutcliffe coefficient has a 7% increase, the
590 correlation coefficient has a 6% increase, process relative error has a 2% decrease, peak flow
591 relative error has a 4% decrease, the water balance coefficient has a 2% increase, and peak
592 flow time error has 18 hours decrease, respectively. What is more, comparing with the
593 simulated flood results of the initial PERSIANN-CCS QPEs in Table 3, the average value of
594 Nash–Sutcliffe coefficient has a 14% increase, the correlation coefficient has a 14% increase,
595 process relative error has a 8% decrease, peak flow relative error has a 18% decrease, the
596 water balance coefficient has a 7% increase, and peak flow time error has 25 hours decrease,
597 respectively (as shown in Table 3 and Table 4). So it implies the re-optimized parameters
598 with the post-processed PERSIANN-CCS QPEs for the coupling model is necessary and
599 effective, which makes a better performance for the coupling model in karst flood simulation
600 and forecasting.

601 6.3 Peak flow time error analysis

602 It is very important to accurately determine the flood peak flow time in karst area, which
603 could offer enough response times for evacuation safely and rapidly before the flood disaster
604 appears. From the above results in Figure 11, 12 and Table 3, 4, it has been found that all
605 flood simulations have significant peak flow time errors, and all of them are negative, means
606 the simulated flood peaks appeared earlier than the observed values. Among them the average
607 peak flow time error with the precipitation of rain gauge is -7 hours, and that is -32 hours with
608 the precipitation of the initial PERSIANN-CCS QPEs. It is an obvious error and could not be
609 ignored in flood forecasting. While the average peak flow time error of the coupling model



610 with the post-processed PERSIANN-CCS QPEs precipitation and re-optimized parameters is
611 also -7 hours. It makes a great difference. It has been found that both the average peak flow
612 time errors of Liuxihe model with the precipitation of rain gauge and the coupling model with
613 the precipitation of the post-processed PERSIANN-CCS QPEs and re-optimized parameters
614 are -7 hours (as shown in Table 4). So it implies the peak flow time error is -7 hours for the
615 coupling model in LKRB, means the actual time of the flood peak may be 7 hours later,
616 which is very important in flood forecasting and equivalent to a 7 hours long lead time for
617 evacuation safely.

618 There are two reasons for the peak flow time errors. One is the systematic error of the
619 coupling model itself. And that could be reduced by improving the model structure and
620 function as well as the reliable precipitation by PERSIANN-CCS QPEs and parameters
621 optimization. The other is due to the karst development laws and the characteristics of karst
622 water-bearing media, which can regulate the rainfall process during floods. The karst
623 depressions and other karst negative landforms in the upstream regions can hold back and
624 store some large floods. What is more, the karst fissures can also slow down the floods rate.
625 These factors can play a crucial role in natural flood detention and peak clipping. So the
626 response times of flood peak flow to rainfall increased, and the observed flood peak times
627 lagged behind. In comparison, the simulated flood peak flows appear ahead of time.

628 The rainfall process from the sky to the ground and finally converge to the outlet of the
629 basin has passed through the surface karst zone, the karst conduit and fissure as well as the
630 underground river. And the karst development laws and the characteristics of karst water-
631 bearing media have obvious influence on the rainfall-runoff process during the whole
632 hydrological process, which makes the response time of flood peak flow to rainfall increases,
633 and the simulated flood peak flow by the coupling model appears earlier. It implies there is a
634 lead time for evacuation safely in flood forecasting.

635 The flood peak flow time has a very close relationship with the floods rate, and the
636 floods rate is very important to determine the key factors of the karst conduit, the
637 underground river and other hydrogeological parameters. The sensitive parameters in this
638 paper such as the underground river parameters (as shown in Table 2) could be estimate from
639 the floods rate to build the coupling model in karst areas. According to the survey data and
640 tracing test in the study area – LKRB, the flow rate of floods is about 8.64-17.28km/d in dry
641 season; that is 17.28-43.2 km/d in the normal season and is 43.2-129.6 km/d in flood period.
642 The extreme flow rate can reach 172.8km/d, means the karst conduit is very developed in
643 LKRB.

644 7 Conclusion

645 There is no reliable precipitation data of rain gauges in many karst river basins. How to
646 obtain the reasonable rainfall data for the hydrological model in flood forecasting is especially



647 important. In this study, the PERSIANN-CCS QPEs could offer effective precipitation results
648 for the study area. And after the correction, the post-processed PERSIANN-CCS QPEs coupled
649 with a distributed hydrological model-Liuxihe model is proposed in karst flood simulation
650 and forecasting in LKRB. The purpose is not only to simulate the flood process well, but also
651 to find out the key factor how the karst hydrological process responds to the rainfall process
652 in the coupling model. The coupling model employed in this paper has a good performance in
653 flood events simulation, which can offer a reasonable theoretical guidance for flood
654 forecasting, control and disaster reduction in karst river basins like LKRB. Based on the study
655 results, the following conclusions could be drawn:

656 1). The quantitative precipitation estimates produced by the PERSIANN-CCS QPEs are quite
657 similar to the observed precipitation by rain gauges, especially in the rainfall distribution. But
658 the PERSIANN-CCS QPEs underestimates the precipitation value. The average precipitation
659 is 0.29 for rain gauges and 0.23 for PERSIANN-CCS QPEs.

660 2). The average relative error is 20% between the two precipitation products. And this relative
661 error could be reduced reasonably by the post-processed method in this paper. The average
662 values of the six evaluation indices including the Nash–Sutcliffe coefficient (C), correlation
663 coefficient (R), process relative error (P), peak flow relative error (E), water balance
664 coefficient (W), and peak flow time error (T) with the initial PERSIANN-CCS QPEs are
665 0.66,0.69,0.28, 24%, 0.81 and -32 hours, respectively, while those with the post-processed
666 QPEs are 0.73, 0.77, 0.22, 10%,0.86 and -25 hours, respectively. It means the method used in
667 this study for QPEs post-processed is effective, and could improve the effect of the
668 PERSIANN-CCS QPEs capability.

669 3). The coupling model parameters should be re-optimized using the post-processed
670 PERSIANN-CCS QPEs. Because it has a better performance in the flood simulation than the
671 same model parameters as rain gauges. The average value of Nash–Sutcliffe coefficient (C),
672 correlation coefficient (R), process relative error (P), peak flow relative error (E), water
673 balance coefficient (W), and peak flow time error (T) with the same model parameters as rain
674 gauge are 0.73, 0.77, 0.22, 10%,0.86 and -25 hours, respectively, but those with the re-
675 optimized model parameters are 0.80, 0.83, 0.20, 6%,0.88 and -7 hours, respectively. It
676 improves the model performance significantly.

677 4). The simulated karst floods process based on the precipitation observed by rain gauges is
678 the best. And the flood simulation results by PERSIANN-CCS QPEs after post-processed and
679 re-optimized model parameters could make the coupling model performance much better. The
680 average value of Nash–Sutcliffe coefficient has a 14% increase, the correlation coefficient has
681 a 14% increase, process relative error has a 8% decrease, peak flow relative error has a 18%
682 decrease, the water balance coefficient has a 7% increase, and peak flow time error has 25
683 hours decrease, respectively. Among them, the peak flow relative error and peak flow time
684 error have the biggest improvement, which are the greatest concerned factors in a flood



685 forecasting in karst river basins.

686 **Data availability**

687 The rain gauge precipitation and river flow discharge data are provided by the Bureau of Hydrology,
688 Pearl River Water Resources Commission, China, exclusively used for this study. The PERSIANN
689 QPEs data are provided by Center for Hydrometeorology and Remote Sensing, Department of Civil
690 and Environmental Engineering, University of California, Irvine. The Liuxihe model used in this study
691 is provided by Yangbo Chen, Department of Water Resources and Environment, Sun Yat-sen
692 University, Guangzhou, China

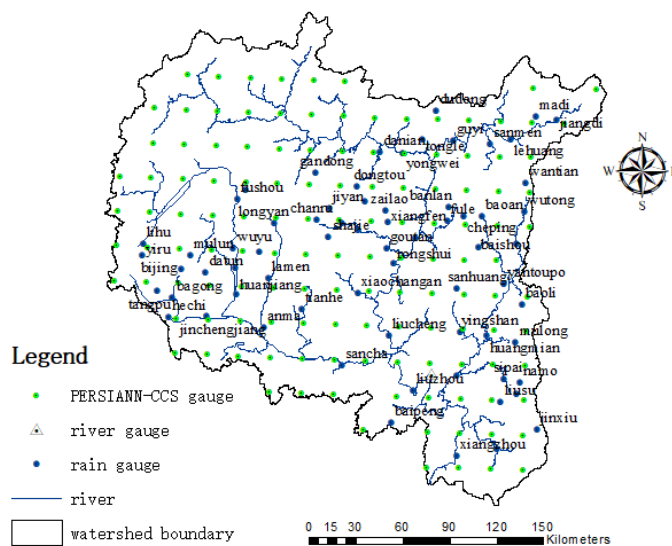
693 **Competing interests.**

694 The authors declare that they have no conflict of interest.

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Figure 3. Sketch map of Liujiang River Basin (LKRB)

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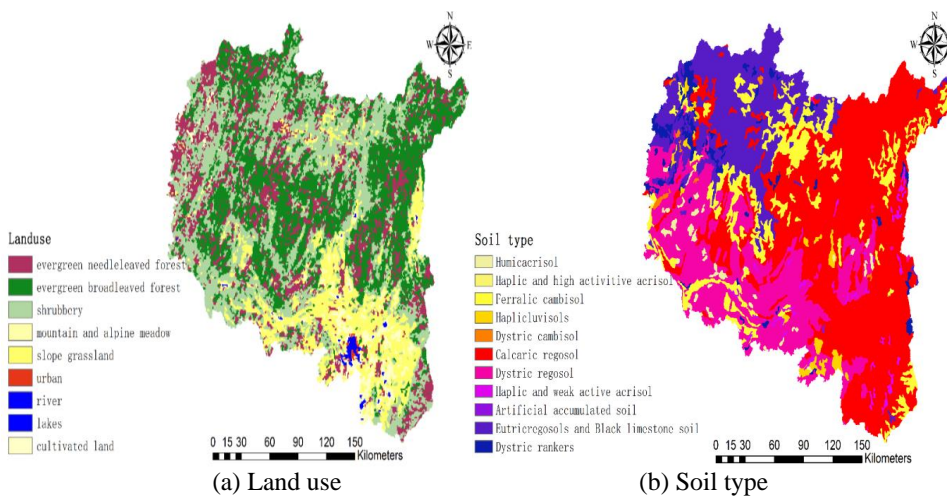
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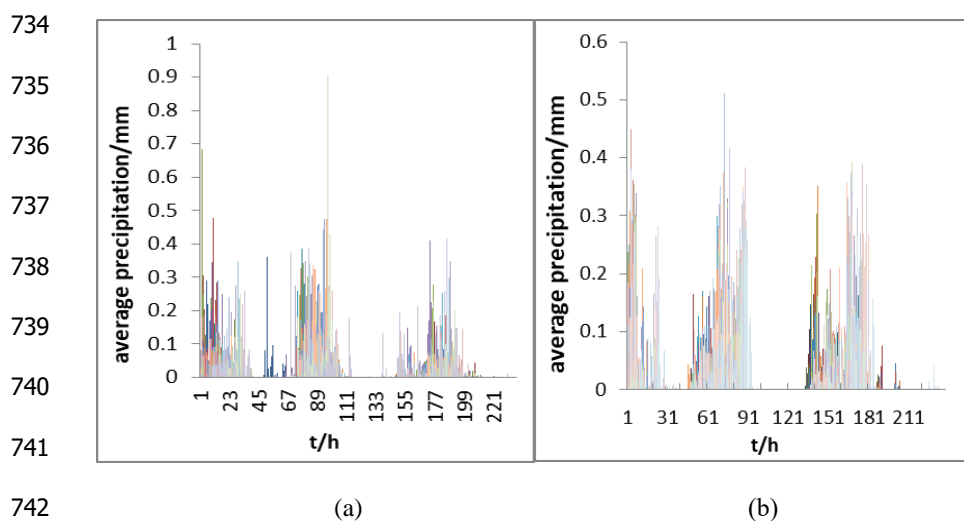
Figure 4. The property data for Liuxihe model in LKRB

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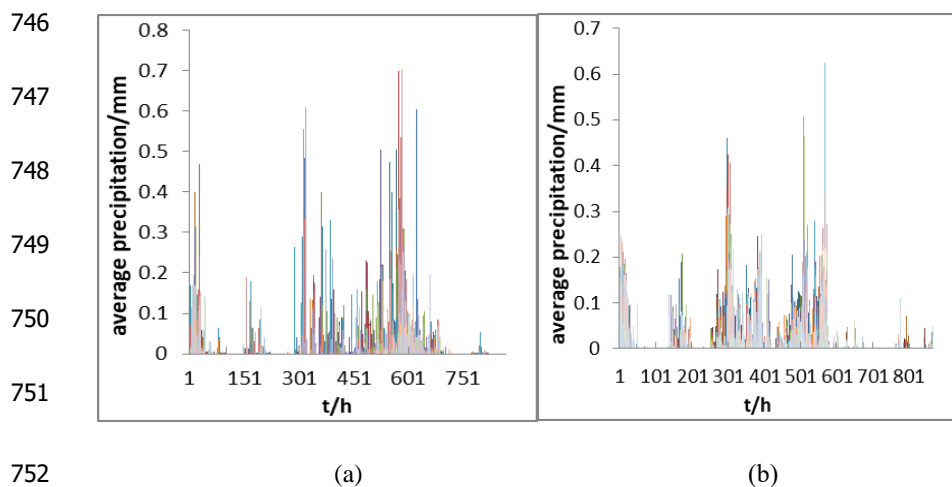
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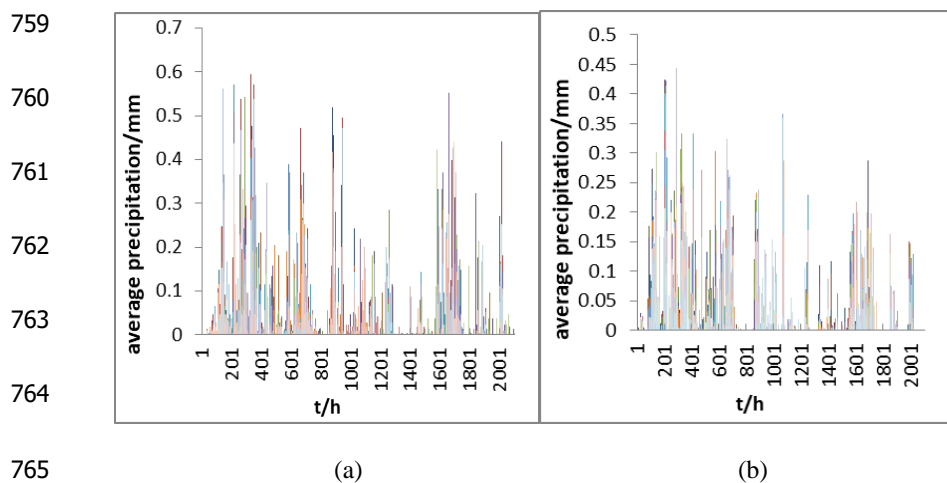


743 Figure 5. Precipitation pattern comparison of two precipitation products(2008), (a) is
744 the average precipitation of rain gauges, (b) is the average precipitation of
745 PERSIANN-CCS QPEs.

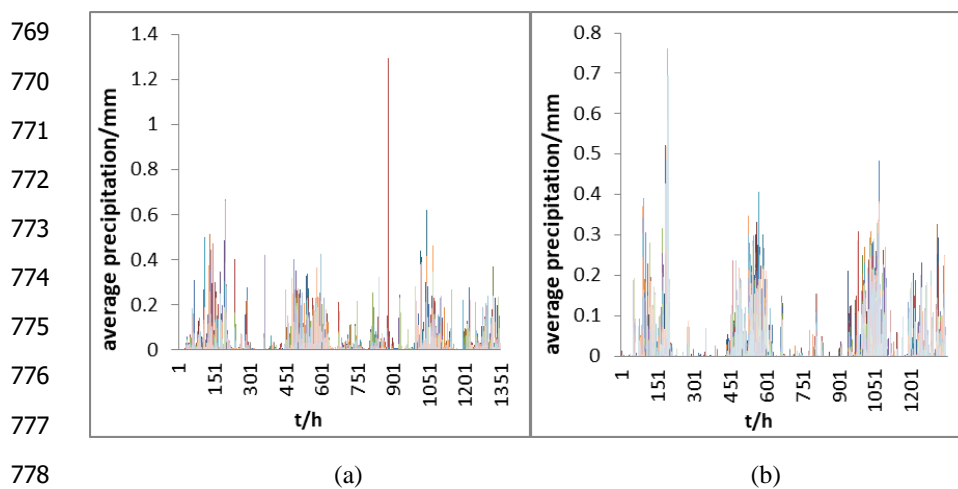


753 Figure 6. Precipitation pattern comparison of two precipitation products(2009), (a) is
754 the average precipitation of rain gauges, (b) is the average precipitation of
755 PERSIANN-CCS QPEs.

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766 Figure 7. Precipitation pattern comparison of two precipitation products(2011), (a) is
767 the average precipitation of rain gauges, (b) is the average precipitation of
768 PERSIANN-CCS QPEs.



778
779 Figure 8. Precipitation pattern comparison of two precipitation products(2012), (a) is
780 the average precipitation of rain gauges, (b) is the average precipitation of
781 PERSIANN-CCS QPEs.

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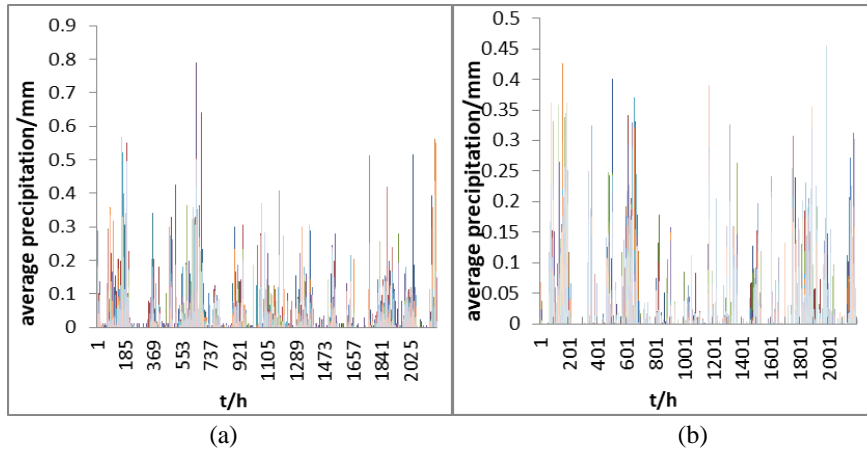
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Figure 9. Precipitation pattern comparison of two precipitation products(2013), (a) is the average precipitation of rain gauges, (b) is the average precipitation of PERSIANN-CCS QPEs.

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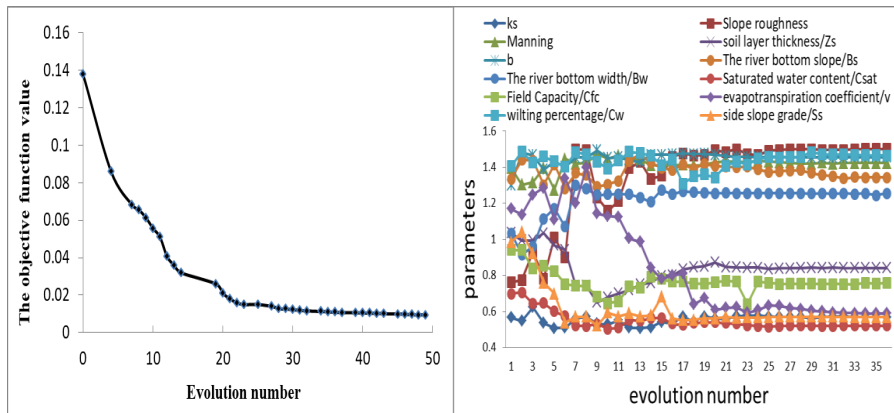
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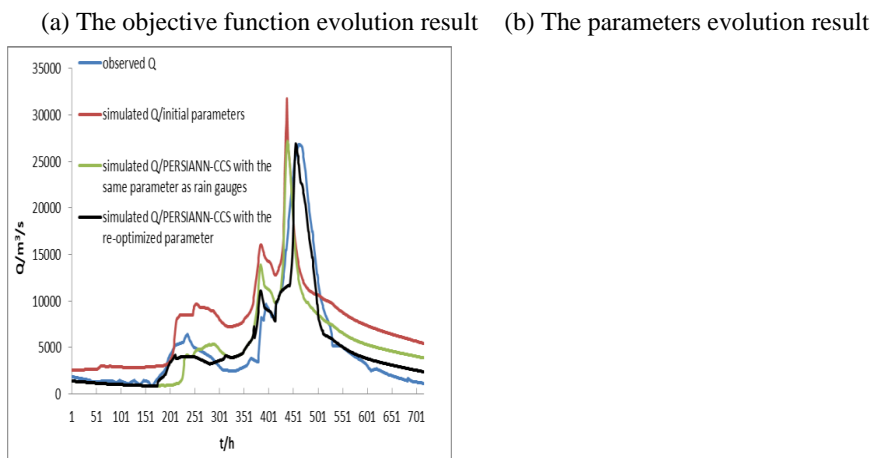
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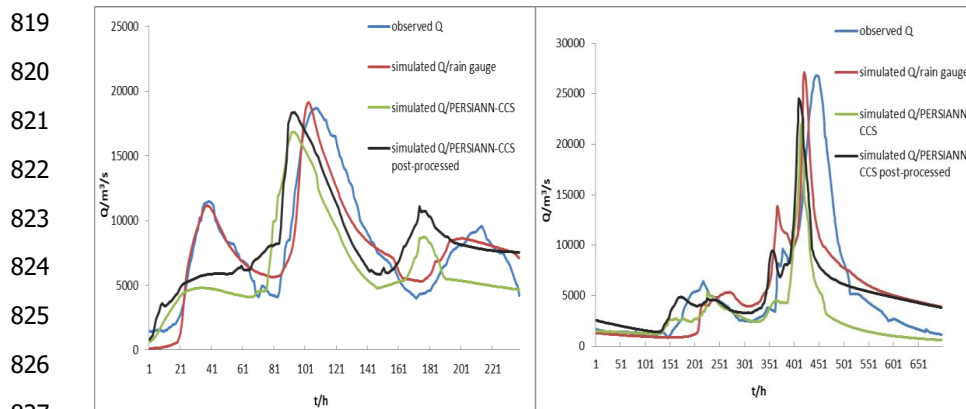
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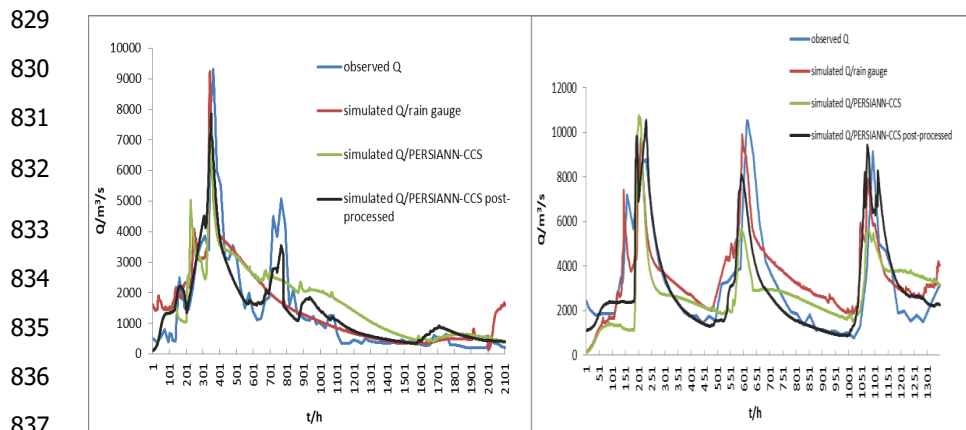


817 (c) The simulated flood process by using the optimized model parameters
 818 Figure 10. Parameter optimization results with the improved PSO algorithm



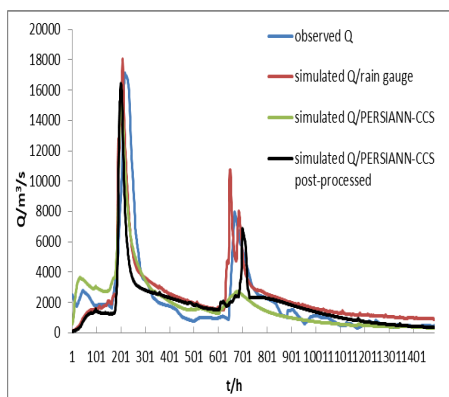
827 (a) flood event 200806090200

828 (b) flood event 200906090800



838 (c) flood event 201106010900

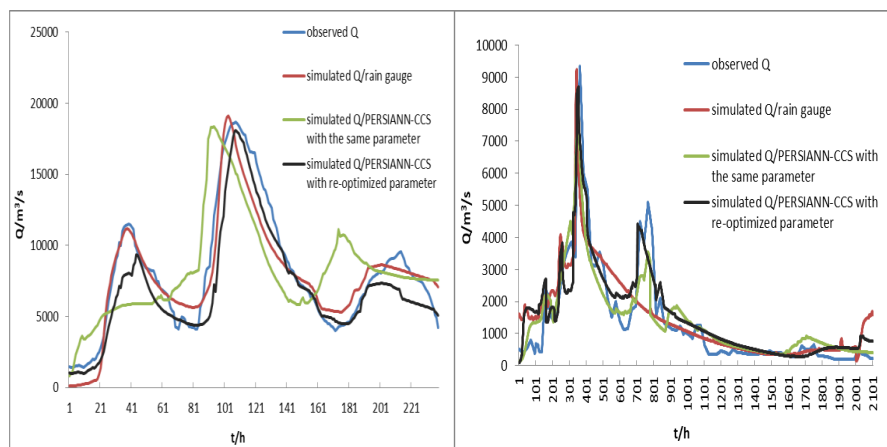
839 (d) flood event 201206022000



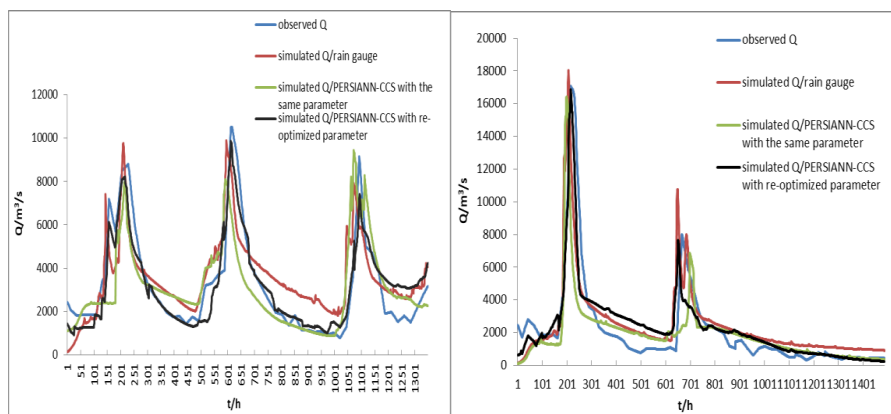
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840 (e) flood event 201306011400
 841 Figure 11. The flood simulation results of the coupling model with two precipitation
 842 products
 843



853 (a) flood event 200806090200 (b) flood event 201106010900



863 (c) flood event 201206022000 (d) flood event 201306011400

864 Figure 12. Coupled flood simulation results with the same parameter as the rain
 865 gauge precipitation and re-optimized parameter with the post-processed PERSIANN-
 866 CCS QPEs

867

868 **Tables**

869 Table 1. Precipitation pattern comparison of two precipitation products

flood	type	average precipitation(mm)	relative bias %
200806090200	rain gauge	0.37	
	PERSIANN-CCS QPEs	0.31	-16
200906090800	rain gauge	0.24	
	PERSIANN-CCS QPEs	0.18	-25
201106010900	rain gauge	0.22	
	PERSIANN-CCS QPEs	0.19	-14
201206022000	rain gauge	0.38	
	PERSIANN-CCS QPEs	0.30	-21
201306011400	rain gauge	0.22	
	PERSIANN-CCS QPEs	0.17	-23
average value	rain gauge	0.29	
	PERSIANN-CCS QPEs	0.23	-20

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Table 2. The parameters of the coupling model

Parameter s types	Name	Variable name	Physical property	Sensitivity	Adjustability
Evapotran spiration	Potential evaporation	Ep	Meteorology	insensitive	adjustable
	Evaporation coefficient	λ	Vegetation type	sensitive	adjustable
	Wilting percentage	Cwl	Vegetation type	insensitive	adjustable
The epikarst zone	Thickness	h	Soil type& Karst rock property	sensitive	unadjustable
	Saturated water content	θ_{sat}	Soil type	highly sensitive	adjustable
	Saturation permeability coefficient	θ_s	Soil type	highly sensitive	adjustable
	Wide crack volume ratio	v	Karst rock property	highly sensitive	adjustable
	Field capacity	θ_{fc}	Soil type	sensitive	adjustable
Rainfall-runoff	Soil layer thickness	z	Soil type	sensitive	adjustable
	Saturated hydraulic conductivity	Ks	Soil type	highly sensitive	adjustable
	Soil coefficient	b	Soil type	sensitive	adjustable
	Flow direction	Fd	Landform	highly sensitive	unadjustable



	Slope	S0	Landform	highly sensitive	unadjustable
	Bottom slope	Sp	Landform	sensitive	adjustable
	Bottom width	Sw	Landform	sensitive	adjustable
	Slope roughness	n	Landform &Vegetation type	sensitive	adjustable
	Channel roughness	n1	Landform &Vegetation type	sensitive	adjustable
The underground river	Depletion coefficient	ω	Landform &Soil type	sensitive	adjustable
	Muskingum routing method / The slope of the water storage content and flow curve	K	Landform	highly sensitive	adjustable
	Muskingum routing method/the proportion of the flow	χ	Landform	highly sensitive	adjustable

872 Table 3. Evaluation indices of simulated flood events with the post-processed
873 PERSIANN-CCS QPEs

flood	type	Nash-Sutcliffe coefficient/C	Correlation coefficient/R	Process relative error/P %	Peak flow relative error/E%	The coefficient of water balance/W	Peak flow time error/T(h)
200806090000	rain gauge	0.8	0.91	15	3	0.89	-6
	PERSIANN-CCS QPEs	0.6	0.65	26	36	0.83	-69
	the post-processed PERSIANN-CCS QPEs	0.63	0.73	21	6	0.92	-60
200906090800	rain gauge	0.95	0.92	17	4	0.9	-12
	PERSIANN-CCS QPEs	0.67	0.61	28	34	0.79	-36
	the post-processed PERSIANN-CCS QPEs	0.75	0.64	22	14	0.85	-30
2011060	rain gauge	0.8	0.84	16	3	1.02	-7



10900	PERSIANN- CCS QPEs	0.65	0.83	25	21	0.89	-17
	the post- processed PERSIANN- CCS QPEs	0.75	0.85	21	12	0.92	-12
2012060 2200	rain gauge	0.82	0.79	20	5	0.8	-6
	PERSIANN- CCS QPEs	0.69	0.54	31	17	0.75	-21
	the post- processed PERSIANN- CCS QPEs	0.71	0.74	23	12	0.78	-15
2013060 11400	rain gauge	0.95	0.82	20	6	0.92	-4
	PERSIANN- CCS QPEs	0.7	0.84	28	10	0.79	-15
	the post- processed PERSIANN- CCS QPEs	0.82	0.89	24	7	0.85	-10
average value	rain gauge	0.86	0.86	18	4	0.91	-7
	PERSIANN- CCS QPEs	0.66	0.69	28	24	0.81	-32
	the post- processed PERSIANN- CCS QPEs	0.73	0.77	22	10	0.86	-25

874 Table 4. Evaluation indices of simulated flood events with different model parameters

flood	Parameter type	Nash- Sutcliffe coefficient/C	Correlation coefficient/ R	Process relative error/P %	Peak flow relative error/E%	The coefficient of water balance/W	Peak flow time error/T(h)
2008060	rain gauge	0.8	0.91	15	3	0.89	-6



90000	Coupling model/the same model parameters as rain gauges	0.63	0.73	21	6	0.92	-60
	Coupling model/re-optimized model parameters	0.76	0.83	18	5	0.93	-4
2009060 90800	rain gauge	0.95	0.92	17	4	0.9	-12
	Coupling model/the same model parameters as rain gauges	0.75	0.64	22	14	0.85	-30
	Coupling model/re-optimized model parameters	0.82	0.78	19	7	0.87	-5
2011060 10900	rain gauge	0.8	0.84	16	3	1.02	-7
	Coupling model/the same model parameters as rain gauges	0.75	0.85	21	12	0.92	-12
	Coupling model/re-optimized model parameters	0.78	0.87	19	6	0.94	-10
2012060 2200	rain gauge	0.82	0.79	20	5	0.8	-6
	Coupling model/the same model parameters as rain gauges	0.71	0.74	23	12	0.78	-15
	Coupling model/re-optimized model parameters	0.78	0.76	21	8	0.79	-10
2013060	rain gauge	0.95	0.82	20	6	0.92	-4



11400	Coupling model/the same model parameters as rain gauges	0.82	0.89	24	7	0.85	-10
	Coupling model/re-optimized model parameters	0.86	0.91	22	6	0.87	-8
average value	rain gauge	0.86	0.86	18	4	0.91	-7
	Coupling model/the same model parameters as rain gauges	0.73	0.77	22	10	0.86	-25
	Coupling model/re-optimized model parameters	0.8	0.83	20	6	0.88	-7

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