

# 1 **A methodology to estimate flow duration curves at partially ungauged basins**

2 Elena Ridolfi<sup>1,2</sup>, Hemendra Kumar<sup>3</sup>, and András Bárdossy<sup>4</sup>

3 <sup>1</sup>Department of Earth Sciences, Uppsala University, Uppsala, Sweden

4 <sup>2</sup>Centre of Natural Hazards and Disaster Science, CNDS, Sweden

5 <sup>3</sup>Department of Biosystems Engineering Auburn University, Alabama, USA

6 <sup>4</sup>Institute of Hydraulic Engineering, University of Stuttgart, Stuttgart, Germany

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8 **Correspondence:** András Bárdossy ([andras.bardossy@iws.uni-stuttgart.de](mailto:andras.bardossy@iws.uni-stuttgart.de))

9

10 **Abstract.** The Flow Duration Curve (FDC) of streamflow at a specific site has a key role to the  
11 knowledge on the distribution and characteristics of streamflow at that site. The FDC gives  
12 information on the water regime providing information to optimally manage the water resources of  
13 the river. In spite of its importance, because of the lack of streamflow gauging stations, the FDC  
14 construction can be a not straightforward task. In partially gauged basins, FDCs are usually built  
15 using regionalization among the other methods. In this paper we show that the FDC is not a  
16 characteristic of the basin only, but of both the basin and the weather. Different weather conditions  
17 lead to different FDC for the same catchment. The differences can often be significant. Similarly, the  
18 FDC built at a site for a specific period cannot be used to retrieve the FDC at a different site for the  
19 same time window. In this paper, we propose a new methodology to estimate FDCs at partially gauged  
20 basins (i.e., target sites) using precipitation data gauged at another basin (i.e., donor site). The main  
21 idea is that it is possible to retrieve the FDC of a target period of time using the data gauged during a  
22 given donor time period for which data are available at both target and donor sites. To test the  
23 methodology, several donor and target time periods are analyzed and results are shown for different  
24 sites in the USA. The comparison between estimated and actually observed FDCs show the  
25 reasonability of the approach especially for intermediate percentiles.

## 26 **1 Introduction**

27 A duration curve is a function that associates to a specific variable its exceedance frequency.  
28 Specifically, in hydrology a Flow Duration Curve (FDC) is a function describing the flow variability  
29 at a specific site during a period of interest. It represents the streamflow values, gauged at a site,  
30 against their relative exceedance frequency. An empirical long-term FDC is the complement of the  
31 empirical cumulative distribution function of streamflow values at a given time resolution based on  
32 the complete streamflow record available for the basin of interest (Castellarin et al., 2007). FDCs are  
33 built as explained in the followings:

- 34 – rank the streamflow values in descending order;
- 35 – plot the sorted values against their corresponding frequency of exceedance.

36 The duration  $d_i$  of the  $i$ -th sorted observation is its exceedance probability  $P_i$ . If  $P_i$  is estimated using  
37 a Weibull plotting position (Weibull, 1939), the duration  $d_i$  for any  $q_i$  (with  $i = 1; \dots; N$ ) is

1  $d_i = P(Q < q_i) = P_i = \frac{i}{N+1},$  (1)

2 where  $N$  is the length of the streamflow series and  $q_i$  is the  $i$ -th sorted streamflow value.

3 The FDC provides historical information on the water regime. Several time resolutions of streamflow  
4 data can be used to build the FDC: annual, monthly or daily. However, the finer is the resolution, the  
5 higher is the information provided by the FDC about the hydrological characteristics of the river  
6 (Smakhtin, 2001). FDCs may be built either on the basis of the whole available record period (Vogel,  
7 1994); or on the basis of all similar months (Smakhtin et al., 1997); or on the basis of a specific month.

8 In one curve, the FDC condenses a wealth of hydrologic information that can be easily accessed.  
9 Because of the key role of runoff variability to both water resources management and environmental  
10 health maintenance, FDC is used in a large variety of applications as reported by Vogel (1994). For  
11 instance, FDC can quantify the capacity of the river to meet intake requests as it provides information  
12 about the reliability of the water resource for water abstraction activities (Dingman, 1981). It is at the  
13 base of hydropower plants design as they are used to determine the hydropower energy potential,  
14 especially for run-of-river plants (Hänggi and Weingartner, 2012; Blöschl et al., 2013). As the FDC  
15 is a key signature of runoff variability, it can be used to assess the impact of changes in a catchment.  
16 To this end, through the FDC, Vogel et al. (2007) introduced the indicators of the eco-deficit and eco-  
17 surplus. Moreover, the FDC can be used to define and investigate low flows (Smakhtin, 2001). The  
18 knowledge of the streamflow characteristics is also relevant for stream water quality studies, for  
19 instance, to regulate the proper threshold for chemical concentration and load (Bonta and Cleland,  
20 2003). FDC has a further application in model calibration. This application is based on the replication  
21 of the flow frequency distribution rather than of the simulation of the hydrograph (Yu and Yang,  
22 2000; Westerberg et al., 2011). Other applications are related to irrigation planning (Chow, 1964);  
23 schedule optimal flow release from reservoirs (Alaouze, 1991); basins afforestation (Scott et al.,  
24 2000); investigation of the effects on flows regime due to basins vegetation change (Brown et al.,  
25 2005).

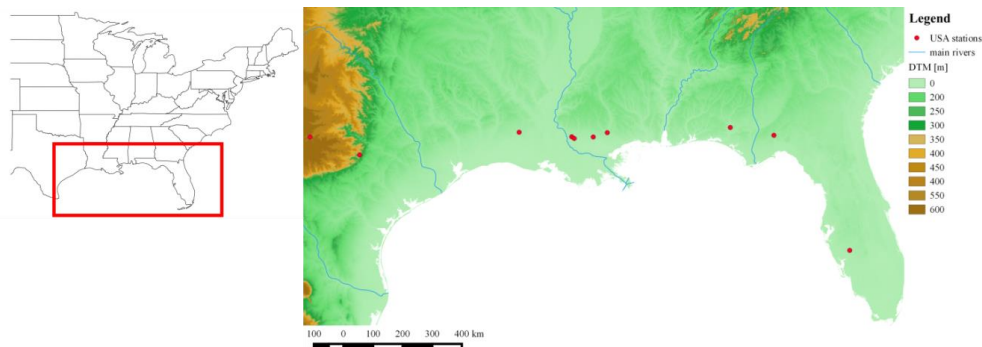
26 In spite of its importance, the FDC is affected by the lack of data in ungauged and poorly gauged  
27 basins. Many authors dealt with the issue of FDC prediction at ungauged or partially gauged locations  
28 through regional regression (e.g., Fennessey and Vogel, 1990; Mohamoud, 2008; Rianna et al., 2011,  
29 2013; Castellarin et al., 2013; Pugliese et al., 2016) and geostatistical interpolation (e.g., Pugliese et  
30 al., 2014). Ganora et al. (2009) developed a methodology to estimate FDC at ungauged sites based  
31 on distance measures that can be related to the catchment and the climatic characteristics. Spatial non-  
32 linear interpolation methods were developed by several scholars (e.g., Archfield and Vogel, 2010;  
33 Mohamoud, 2008; Hughes and Smakhtin, 1996; Farmer et al., 2015). Worland et al. (2019) presented  
34 a method involving the use of the copula function. Hughes and Smakhtin (1996) proposed a method  
35 to extend and/or filling in daily flow time series at a site using monthly FDCs of the target site itself.  
36 These monthly FDCs should be recorded during a donor period or retrieved using different methods  
37 such as (i) regionalization of FDCs based on available observed records from several adjacent gauges  
38 (Smakhtin et al., 1997) or (ii) conversion of FDCs calculated from monthly data into 1-day FDCs  
39 (Smakhtin, 1999). Since the main limitation of the approach proposed by Hughes and Smakhtin  
40 (1996) is that it is based entirely on observed flow records, later, Smakhtin and Masse (2000)  
41 proposed a further development, which uses the current precipitation index (CPI) of the donor site to

1 extend the daily hydrograph at the target site. The major assumption is that both the CPIs occurring  
2 at donor sites in a reasonably close proximity to the target site and target site's flows themselves  
3 correspond to similar percentage points on their respective duration curves. On the other hand, the  
4 basic assumption of the spatial interpolation algorithm proposed by Hughes and Smakhtin (1996) is  
5 that flows occurring simultaneously at sites in reasonably close proximity to each other correspond  
6 to similar probabilities on their respective flow duration curves. On the contrary, one important  
7 message of our paper is that FDCs can be very different from time period to time period both at the  
8 site itself and at pairs of sites as a long term change in the weather effects the FDCs. Therefore, our  
9 approach is based on the concept that proximal sites do not share similar FDCs. This will be  
10 demonstrated in the paper applying a two-sample Kolmogorov-Smirnov test to pairs of stations. The  
11 usual assumption that they and the related indices are characteristic for the basin is not true. Therefore,  
12 the FDCs built at a given location for different periods cannot be regarded as the same distribution.  
13 It is not possible to determine a unique distribution and therefore a unique set of parameters. The  
14 same results from the analysis of FDCs built in two different basins. It is not possible to develop  
15 relations between parameters of the basin and characteristics of the FDC to yield synthesized FDCs  
16 in locations where flow data are not available, as done for instance by Quimpo et al. (1983). These  
17 issues have a key role especially when dealing with ungauged basins.

18 The main idea underlying our work is to build the FDC at a target site using a filter, which relates the  
19 distributions of the discharge and the precipitation. As the weather is the main driver of annual runoff  
20 variability, we propose a transformation driven by the weather. The paper is organized as follows.  
21 First, the case study is presented and basins are grouped into energy- and water-limited ones. Then,  
22 the Kolmogorov-Smirnov test is carried out on pairs of FDCs to assess whether these curves can be  
23 regarded as the same distribution. Second, the methodology is presented together with the underlying  
24 assumptions. Then, the approach is applied to a set of basins located in the case study area. Finally,  
25 results are shown and discussed.

## 26 2 Case study area

27 The methodology was applied to several basins located in three different States on the Gulf coast of  
28 the USA: Florida, Louisiana and Texas, Figure 1. These basins were selected because they are  
29 characterized by a mild climate and therefore, no snow events have been recorded, allowing us to  
30 neglect the snow melting effect. Daily streamflow discharge and precipitation values are available for  
31 each basin for different time windows, Table 1.



32  
33 **Figure 1.** Streamflow gauges (red circles) used to test the methodology in the corresponding USA  
34 basins.

1 Daily streamflow discharge data were originally provided by the United States Geological Survey  
 2 (USGS) gauges, while mean areal precipitation and climatic potential evaporation were supplied by  
 3 the National Climate Data Center (NCDC) at daily resolution. The data set is a subset of the Model  
 4 Parameter Estimation Experiment (MOPEX) database, used for hydrological model comparison  
 5 studies (Duan et al., 2006) and for simultaneous calibration of hydrological models (Bárdossy et al.,  
 6 2016).

7 **Table 1.** US case study area: streamflow gauges and corresponding basins characteristics

<b>Station name</b>	<b>Drainage Area</b> <i>km<sup>2</sup></i>	<b>Mean elevation</b> <i>m</i>	<b>Mean slope</b> <i>-</i>	<b>Mean discharge</b> <i>mm</i>	<b>Mean annual precipitation</b> <i>mm</i>	<b>Available record</b> <i>-</i>
Peace River At Arcadia, FL	3540.53	32.3	0.3	257.4	1296.2	1948-2001
Ochlockonee River Nr Havana, FL	2952.6	75.6	1.8	322.6	1366.7	1948-2001
Choctawhatchee River at Caryville, FL	9062.41	92.2	3.2	540.8	1464.7	1948-1994
Bogue Chitto River near Bush, LA	3141.67	101.6	1.8	579.2	1637.1	1948-1999
Tangipahoa River at Robert, LA	1673.14	76.9	1.6	635.2	1682	1948-1999
Comite River near Comite, LA	735.56	59.6	1.1	595.9	1644.2	1948-1999
Amite River near Denham Springs, LA	3315.2	75.6	1.3	584.1	1647.9	1948-1999
Calcasieu River near Oberlin, LA	1950.27	62.2	1.1	502.9	1558.9	1948-1986
Llano Rv near Junction, TX	4807.04	670.9	3.4	34.8	645.8	1948-1988
Blanco Rv at Wimberley, TX	919.45	417.3	5.2	140.6	896.7	1948-2001

8

9 **2.1 Energy and water limited basins**

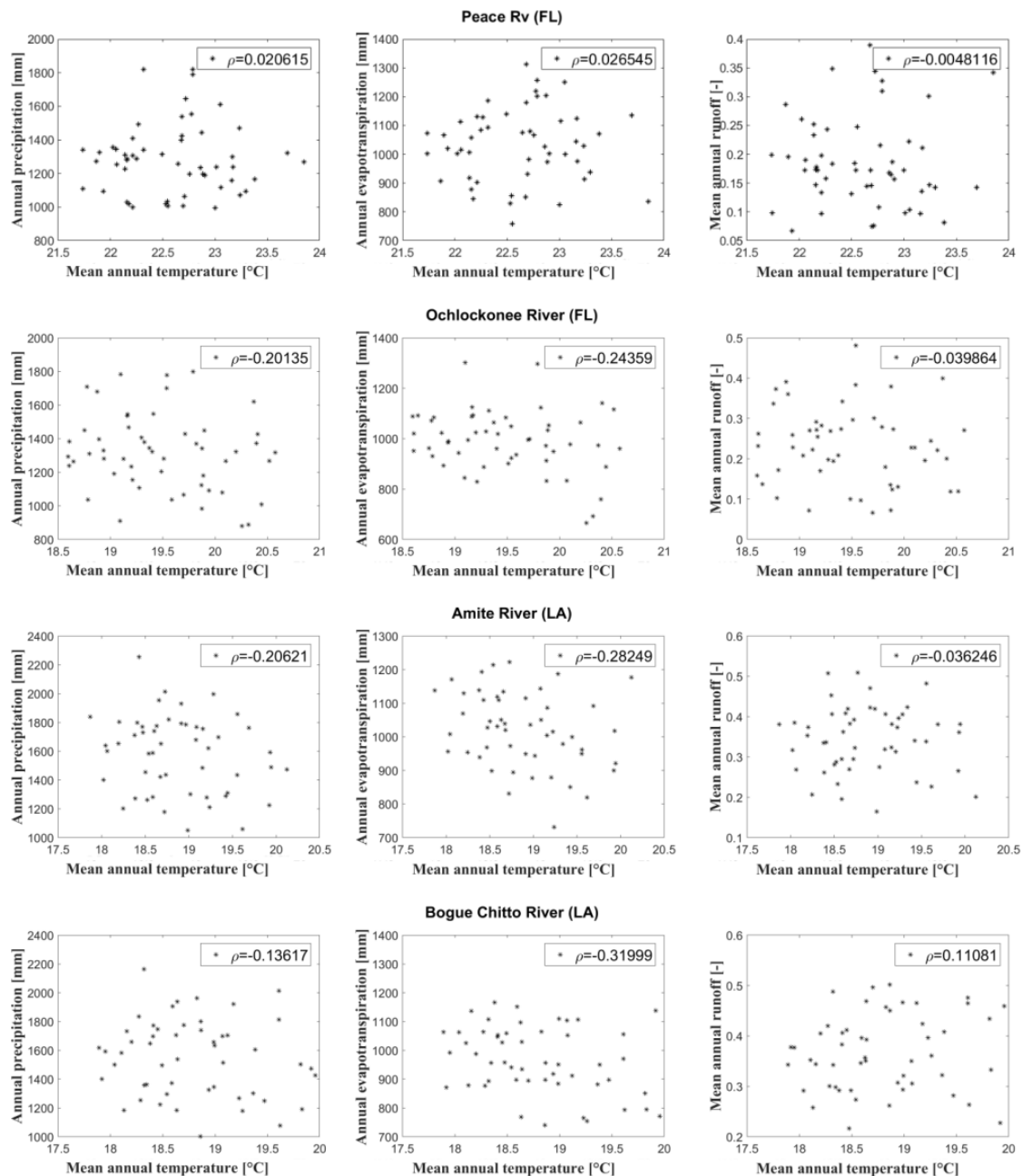
10 Annual runoff variability is driven by the relative availability of water (i.e., precipitation) and energy  
 11 (i.e., evaporation potential). Therefore, the weather is the most important driver of annual variability  
 12 (Blöschl et al., 2013). Much of the annual runoff variability can be explained observing the different  
 13 availability of water and energy. For instance, if more water arrives to the basin than energy can  
 14 remove through evaporation, the annual runoff will be high. Moreover, in this case the relationship  
 15 between runoff and precipitation will be more linear than when more energy is available to evaporate  
 16 the water. On the other hand, in an arid region, the aridity of the climate determines a high inter-  
 17 annual runoff variability because of the non-linear relationship between runoff and precipitation.  
 18 Therefore, differences in water and energy availability cause differences in annual runoff variability.  
 19 However, additional factors such as differences in seasonality and precipitation must be considered

1 (Jothityangkoonad and Sivapalan, 2009). The relative availability of water and energy can be  
2 described through the Budyko curve (Budyko, 1974). The curve plots the ratio between mean annual  
3 actual evaporation and mean annual precipitation as a function of the ratio between mean annual  
4 potential evaporation and mean annual precipitation. Therefore, it defines a similarity index (i.e., the  
5 aridity index) to express the availability of water and energy, and thus bolsters the classification of  
6 hydrological sceneries into various degree of aridity. The Budyko curve represents the effects of water  
7 and energy availability on annual runoff variability. Moreover, it provides indication about the  
8 synchrony of evaporation and precipitation. For instance, where precipitation and evaporation are in  
9 phase, runoff production declines since the basin allows for infiltration and stores water and vice  
10 versa. Many regions range from in phase to out of phase because of the strong seasonality of climate  
11 forcing. However, the climatic timing can influence runoff variability as presented by Montanari et  
12 al. (2006). They show that the difference in annual runoff between two years with equivalent annual  
13 precipitation was of 100% in a monsoonal area of Northern Australia because during the wet year the  
14 precipitation occurred during the wet season, i.e., when the potential evaporation was smaller. In this  
15 framework, it is important to understand the behavior of the basins under analysis. To this end, we  
16 analyzed the mean annual runoff coefficient, the annual precipitation and the annual  
17 evapotranspiration against the annual mean temperature. This analysis is essential to understand the  
18 causal processes leading to the long-term mean and variability of runoff as also described in  
19 McMahan et al. (2013). The mean annual runoff coefficient is defined as:

$$20 \mu_R = \frac{\overline{Q_{yr}}}{\overline{P_{yr}}}, \quad (2)$$

21 where  $\overline{Q_{yr}}$  is the annual discharge volume and  $\overline{P_{yr}}$  is the annual precipitation volume.

22 Results show that basins have two different behaviors: precipitation, evapotranspiration and runoff  
23 have either a positive or a negative correlation with the air temperature. In the former case the  
24 evapotranspiration is limited by the available water, which happens in water-limited basins; in the  
25 latter the evapotranspiration is limited by the available energy which happens in energy-limited  
26 basins. For instance, measurements at Peace River (LA) suggest that the basin is balanced between  
27 energy and water limitation by the correlation criterion, Figure 2 upper panel. While Ochlockonee  
28 River (FL), Amite River near Denham Springs (LA) and Bogue Chitto River (LA) are energy-limited.  
29 Results for Amite River are consistent with what found by Carrillo et al. (2011). Since it is not  
30 possible to infer discharge values of a water-limited basin from the data set of an energy-limited one,  
31 analysis have been carried out on climatically homogeneous sets of basins.



1

2 **Figure 2.** Annual precipitation against mean annual temperature (left panels), annual  
 3 evapotranspiration against mean annual temperature (middle panels) and annual runoff coefficient  
 4 against mean annual temperature (right panels) for four different basins: Peace River (FL),  
 5 Ochlockonee River (FL), Amite River near Denham Springs (LA), Bogue Chitto River (LA). In each  
 6 plot, the Pearson correlation coefficient  $\rho$  is reported in box.

7 **2.2 Preliminary analysis**

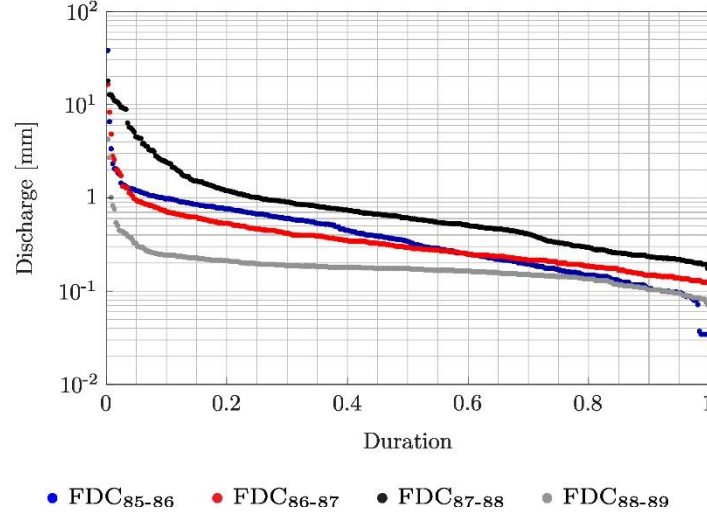
8 The FDC can be interpreted as a distribution function of discharge over a given time period. To  
 9 determine if samples are drawn from the same distribution, here the two-sample Kolomogorov-  
 10 Smirnov test (KS; Massey, 1951) is carried out on each pair of samples. The KS statistic on two  
 11 samples is a non-parametric test for the null hypothesis that the two independent samples are drawn  
 12 from the same continuous distribution. The decision to reject the null hypothesis is based on

1 comparing the p-value with the significance level set equal to 5%. Moreover, the test allows us to  
2 estimate the distance between couples of FDC:

$$3 \quad D^* = \max_x (|F_1(x) - F_2(x)|), \quad (3)$$

4 where  $F_1(x)$  is the proportion of  $x_1$  values less than or equal to  $x$  and  $F_2(x)$  is the proportion of  $x_2$   
5 values less than or equal to  $x$ .  $F_1$  and  $F_2$  are two FDCs. The KS statistic is applied on daily streamflow  
6 data sampled in several periods of record (e.g. 1 year, 10 years, 15 years). The long memory is  
7 relatively low, and we consider full years thus annual cycles do not have an influence on our results.  
8 The test is carried out both on pairs of samples gauged at the same location in two different years (or  
9 in two different decades) and on pairs sampled at two different sites. Since the streamflow data  
10 presents autocorrelation, the autocorrelation effects the KS test. Weiss (1978) proposed a  
11 methodology to account for modifying the KS test for autocorrelated data. Later, Xu (2014) suggested  
12 a method that can be applied to two samples test. The information contained in the data is (usually)  
13 less than an i.i.d. sample with the same size. In other words, the number of equivalent independent  
14 observations is fewer than the sample size. In the following, we explain how we accounted for the  
15 equivalent sample size. It is easier to implement and more importantly, it can be easily generalized to  
16 two samples test. We can assume that the autocorrelation effect attenuates after three days. For  
17 instance, let take as an example a 1-year FDC. If the sample was three times smaller and for instance  
18 the length would equal 122 (i.e., 365 divided by 3), the null hypothesis would have been rejected  
19 anyway, leading to the same conclusion (i.e., the two samples cannot be regarded as the same  
20 distribution). This is due to the fact that, according to the two samples KS test, the length of the  
21 equivalent sample that could pass the test should be 22.

22 The application of the KS test to our samples is pivotal to the development of the methodology. Test  
23 results show that streamflow data gauged in different periods (e.g. years or decades) at a specific  
24 location do not have the same distribution. The consequence is that it is not possible to use the  
25 parameters and the distribution derived from a FDC built for a specific time window to build the FDC  
26 of another time window. The same results comparing streamflow data gauged in a specific year or  
27 decade at two different sites. Since the two data sets cannot be regarded as the same distribution, it is  
28 not possible to derive the FDC at one location using the parameters of the FDC sampled at another  
29 location. Therefore, it is necessary to develop a methodology that accounts for the weather, as it is  
30 the main driver of FDCs variability as shown in the following. Figure 3 shows the magnitude of the  
31 difference between FDCs built at the same location using streamflow data gauged during different  
32 time windows.



1

2 **Figure 3.** FDCs built for Tangipahoa River (FL) for four different hydrological years. Every  
 3 hydrological year starts in October and ends the following September.

4 **3 Methodology**

5 The aim of this paper is to find the distribution  $Q_k(t)$  for a time period  $(T_1, T_2)$ , that is a FDC. We  
 6 assume that discharge is related to precipitation in the form:

7 
$$Q_k(t) = h_k(P_k(t - \tau), \tau = 0, \dots, n, \dots, \beta_k), \quad (4)$$

8 where  $k$  is a generic site,  $h_k$  is the transformation, usually approximated by a hydrological model,  $P_k$   
 9 is the precipitation and  $\beta_k$  is the specific parameter of the hydrological model. The core of this work  
 10 is to retrieve the discharge values without hydrological modelling as modelling often introduces  
 11 additional errors and may be biased for long subperiods. Thus, the main idea is to get rid of a  
 12 complicated non-linear process and to find a filter which relates the distributions.

13 The main hypothesis underlying this work is that daily flow duration curves at a partially ungauged  
 14 location can be found with knowledge of the precipitation record at a donor site. The most important  
 15 descriptor of the weather characteristic is the rainfall; however, we cannot use the distribution of  $P_k$   
 16 to assess the FDC directly as it will fail due to the lacking temporal structure and the many zeros. We  
 17 can then use a transformation of  $P_k$ , the Antecedent Precipitation Index (API):

18 
$$API(t) = a_k(P_k(t - \tau), \tau = 0, \dots, n). \quad (5)$$

19 Both transformations reported in Eq. 4 and 5 can be regarded as filters acting on  $P_k$ . These filters do  
 20 not necessarily produce highly correlated series, but may produce series with similar distributions.  
 21 The API is used to investigate precipitation data in a similar way to discharge data as it combines in  
 22 a streamflow-like way the history of the precipitation. It represents the memory of a basin as it is  
 23 related to the amount of water released by the soil to the river considering a given time window.  
 24 Specifically, the API allows us to take into account the antecedent conditions, the duration of the  
 25 rainfall events and gives an estimate of the portion of rainfall contributing to storm runoff (Linsley et  
 26 al., 1949). It is a sequence of linear combination of rainfall events in the period preceding a specific  
 27 storm (Kohler and Linsley, 1951). For a resolution of one day and a time window of 30 days, the API  
 28 at the  $i$ -th day is given by:



$$1 \quad \text{API}_i = \sum_{j=0}^{29} \alpha^j P_{i-j}, \quad (6)$$

2 where  $\alpha$  is a constant and ranges from 0 to 1 and  $P_i$  is the daily precipitation occurred at the  $i$ -th day  
3 (Kohler and Linsley, 1951). When  $\alpha$  tends to zero, API keeps tracks of the precipitation occurred in  
4 the few previous days and it represents the short memory of the basin. When  $\alpha$  tends to 1, the API  
5 represents the long memory of the basin as it includes the effect of precipitation occurred many days  
6 before. To capture the latter behavior, in this study  $\alpha$  is chosen equal to 0.85. This is in agreement  
7 with a previous study by Sugimoto (2014) who investigated a case study area whereby a preliminary  
8 analysis was performed (i.e. Neckar basin); nevertheless, this value was found to be suitable also for  
9 the US basins. Here the API is calculated from areal precipitation instead of point precipitation.

10 Formally the basic hypotheses of this paper are:

- 11 • Flow duration curves are not invariant properties of basins but are the product of basin,  
12 weather and human interactions. In this investigation we do not consider the human  
13 interactions.
- 14 • Precipitation is the most important influencing factor on discharge.
- 15 • Basins delay the reaction on precipitation therefore the API is a better indicator for the  
16 influence of precipitation on discharge.
- 17 • We assume that discharge and API are changing in a similar way for longer time periods.

18 Let  $F_{A,T_i}(q)$  be the distribution of daily discharges at basin A and time period  $T_i$  (flow duration curve  
19 for the selected time period) and  $G_{A,T_i}(a)$  be the distribution of daily API at basin A and time period  
20  $T_i$ .

21 The transformation from  $T_i$  to  $T_j$  provides an estimated  $F_{A,T_j}^*(q)$ :

$$22 \quad F_{A,T_j}^*(q) = G_{A,T_j}(G_{A,T_i}^{-1}(F_{A,T_i}(q))) \quad (7)$$

23 This is a quantile/quantile transformation.

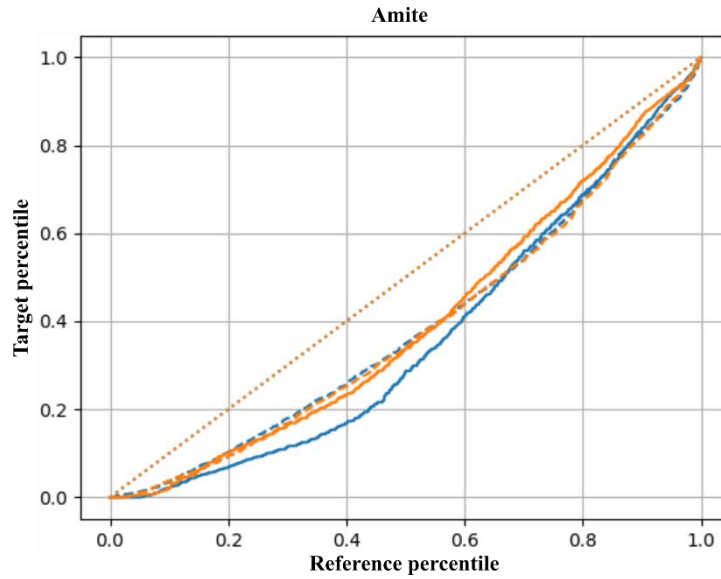
$$24 \quad F_{A,T_j}(q) = F_{A,T_j}(F_{A,T_i}^{-1}(F_{A,T_i}(q))) \quad (8)$$

25 The basic question can be written in the form of the following equation

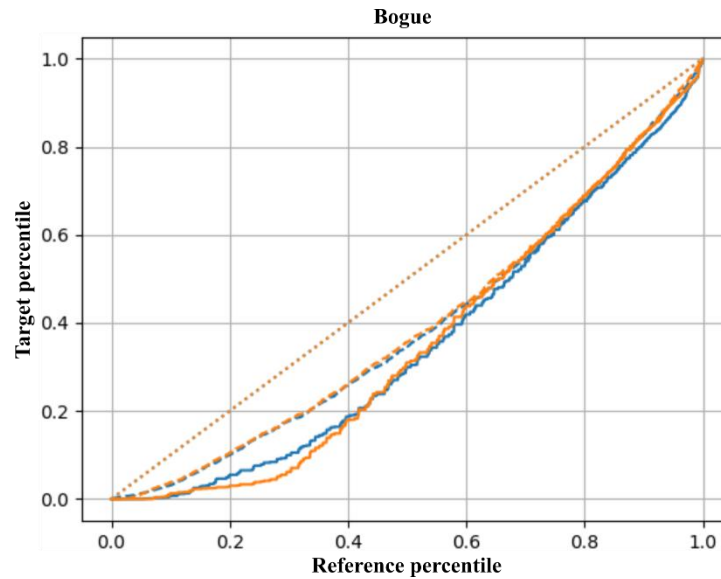
$$26 \quad G_{A,T_j}(G_{A,T_i}^{-1}(p)) \approx F_{A,T_j}(F_{A,T_i}^{-1}(p)) \quad (9)$$

27 That can be summarized in the following question: do the percentiles of the API change in the same  
28 way as those of the discharge? Note that if the relationship between API and discharge is a good one  
29 then the two sides are nearly equal. Even a weak relationship can do a good job if the errors are  
30 independent and the sign of the change is correct. Figures 4 and 5 show the difference between the  
31 real change in percentiles and that obtained by using the API for different time periods according  
32 Eq.9. Note that the assumption that the FDC is time invariant would imply that the lines for the  
33 discharge are on the diagonal.

- 1 The correlation between API and discharge is around 0.6, but the transformations are quite similar  
 2 and the API based transformation delivers good FDCs.



3  
 4 **Figure 4.** The transformation functions of the 1951-1960 FDC (solid) and API (dashed) to the target  
 5 period 1971-1980 (blue) and 1981-1990 (orange) for Amite. For the sake of comparison, the diagonal  
 6 is dotted in orange.



7  
 8 **Figure 5.** The transformation functions of the 1951-1960 FDC (solid) and API (dashed) to the target  
 9 period 1971-1980 (blue) and 1981-1990 (orange) for Bogue. For the sake of comparison, the diagonal  
 10 is dotted in orange.

11 If API is changing continuously in space then one can use the change of the FDC of a different  
 12 location B for the estimation:

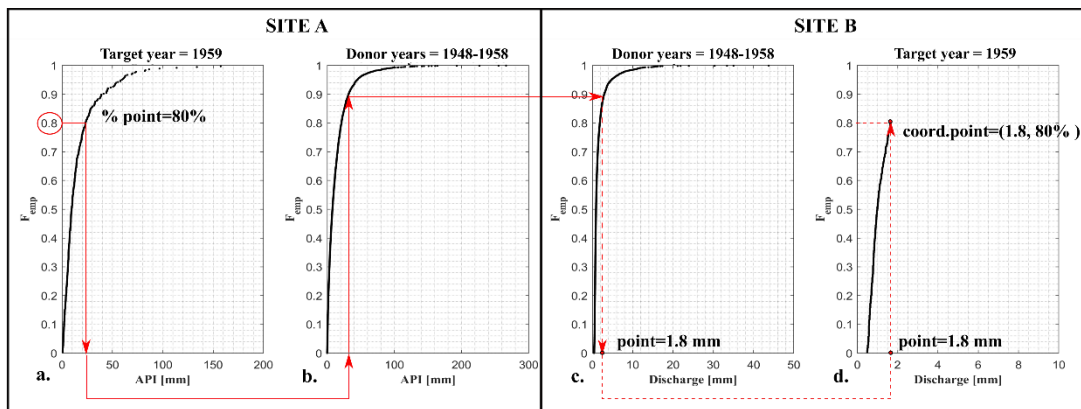
$$13 \quad F_{A,T_j}^{**}(q) = F_{B,T_j}(F_{B,T_i}^{-1}(F_{A,T_i}(q))) \quad (10)$$

14 In the following, the methodology is reported step by step, then, the performance criteria used to  
 15 estimate the goodness of the methodology are presented.

### 1 3.1 Procedure step-by-step

2 Let consider two basins, A and B. We want to determine the Flow Duration Curve at basin B from  
 3 data available at A. Therefore, A is the donor basin, while B is the target basin. Let suppose that in a  
 4 given number of years, discharge is available at both sites A and B, named donor years, while for  
 5 another number of years, i.e. the target years, data are available for A only.

- 6 1. *Donor years selection.* Select a number of years for which precipitation and discharge values  
 7 are available at daily resolution for basin A and B, respectively. These will be named donor  
 8 years (e.g. with duration of 1 year, 10, 15, 20 years).
- 9 2. *Generation of empirical distribution of API values.* Empirical distributions of API values are  
 10 calculated for site A for donor and target years: sort API values and assign to each sorted  
 11 value the corresponding rank and estimate the corresponding frequency of exceedance using  
 12 the Weibull plotting position.
- 13 3. *Generation of empirical distribution of streamflow values.* Empirical distributions of  
 14 streamflow values are calculated for site B for donor years only.
- 15 4. *Data transfer from donor site.*
  - 16 i. Select the  $i$ -th frequency  $p_i$ , with  $i=1, \dots, N_t$  where  $N_t$  is the length of the target sample,  
 17 and the corresponding API value recorded at the donor site during the target years,  
 18 Figure 6a.
  - 19 ii. Search for this API value among those recorded at the donor site during the donor  
 20 years and estimate the corresponding frequency, Figure 6b.
  - 21 iii. This frequency is then used to retrieve the corresponding streamflow value recorded  
 22 at site B during the donor years, Figure 6c.
  - 23 iv. This streamflow value is the missing value at site B corresponding to the  $i$ -th frequency  
 24  $p_i$ , Figure 6d.



25  
 26 **Figure 6.** Illustration of FDC generation using the interpolation with the API of the donor site as a  
 27 proxy.

28 Steps from 1 to 4 are repeated for every frequency and then for different target periods and target  
 29 basins. The FDC is expressed in millimeter, thus the area of the basin is not an issue using data of  
 30 another basin.

31 An example of the procedure is reported step by step in Appendix A.

### 3.2 Performance criteria

To determine the performance of the procedure proposed in this paper, different criteria are selected: the Nash-Sutcliff efficiency index (NSE; Nash and Sutcliff, 1970), the BIAS and the Mean Absolute Error (MAE).

The Nash-Sutcliffe efficiency between the interpolated and the observed flow value is the most widespread performance criterion:

$$\text{NSE} = 1 - \frac{\sum_{i=1}^N (Q_{obs,i} - Q_{intrpl,i})^2}{\sum_{i=1}^N (Q_{obs,i} - \bar{Q})^2}, \quad (7)$$

where  $Q_{obs}$  is the observed discharge value at the target basin during the target period;  $\bar{Q}$  is the mean value of the observed discharge during the target period in the target basin;  $Q_{intrpl}$  is the interpolated discharge value.

The BIAS represents the mean difference between observed and interpolated values (Castellarin et al., 2001; Ridolfi et al., 2016):

$$\text{BIAS} = \frac{1}{N} \sum_{i=1}^N \left( \frac{Q_{intrpl,i} - Q_{obs,i}}{Q_{obs,i}} \right). \quad (8)$$

This metric comprises the mean of the error made relative to the observed record. It is a signed and unbounded metric. It indicates as a ratio the level of overall agreement between the observed and interpolated values.

The mean absolute error is defined as:

$$\text{MAE} = \frac{\sum_{i=1}^N |Q_{obs,i} - Q_{intrpl,i}|}{N}. \quad (9)$$

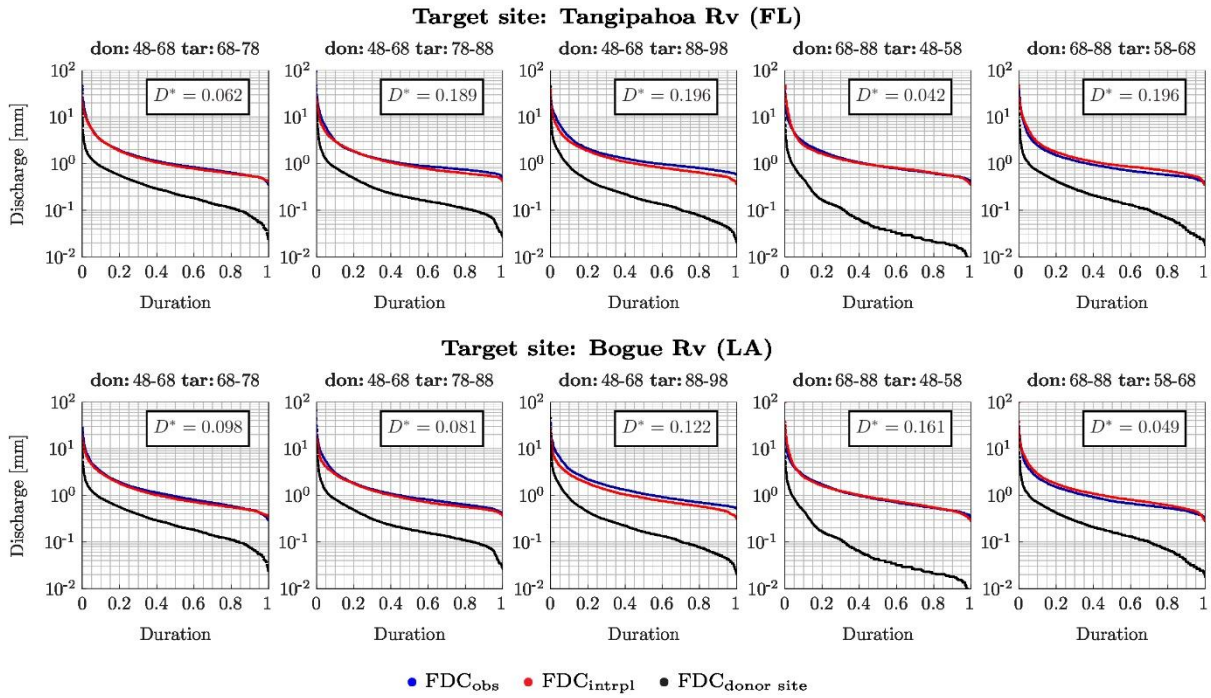
Discharge values are in mm and so is the MAE. It measures the overall agreement between observed and interpolated values. It is a non-negative metric without upper or lower bounds. A perfect model would result in a MAE equals to zero. This estimation metric does not provide any information about under- or over-estimation, but it determines all deviations from the observed values regardless of the sign. All metrics are evaluated here for a specific set of percentiles, thus,  $N$  is the number of discharge values related to a specific percentile. In binning by percentiles, all percentages were rounded down to the nearest whole number.

## 4. Results

The procedure explained above was tested on several target basins varying both donor and target periods.

Results show a good agreement between observed and interpolated FDCs. For instance, the FDCs interpolated using 20 and 10 years as donor and target periods, respectively, have a good performance, as shown for Tangipahoa and Bogue basins, Figure 7. The method performance is higher for intermediate durations, while it can be lower for the low flows, e.g. as at Bogue for target years 1988-

1 1998 (Figure 7 lower panels) and for the high flows. The good performance of the approach is also  
 2 noticeable when the target period is 15 years, Figure 8. On each panel, the two-sample Kolmogorov-  
 3 Smirnov test distance between observed and interpolated values,  $D^*$ , is reported.  $D^*$  is characterized  
 4 by small values showing a good performance of the method. Since usually the FDC of a donor site is  
 5 used to retrieve the FDC of a target site for the same period, the FDC of the donor basin recorded  
 6 during the target period is also plotted. It is noteworthy to observe that the difference between these  
 7 two FDCs can be substantial. This implies that the FDCs can be substantially different at different  
 8 sites in the same period of time.

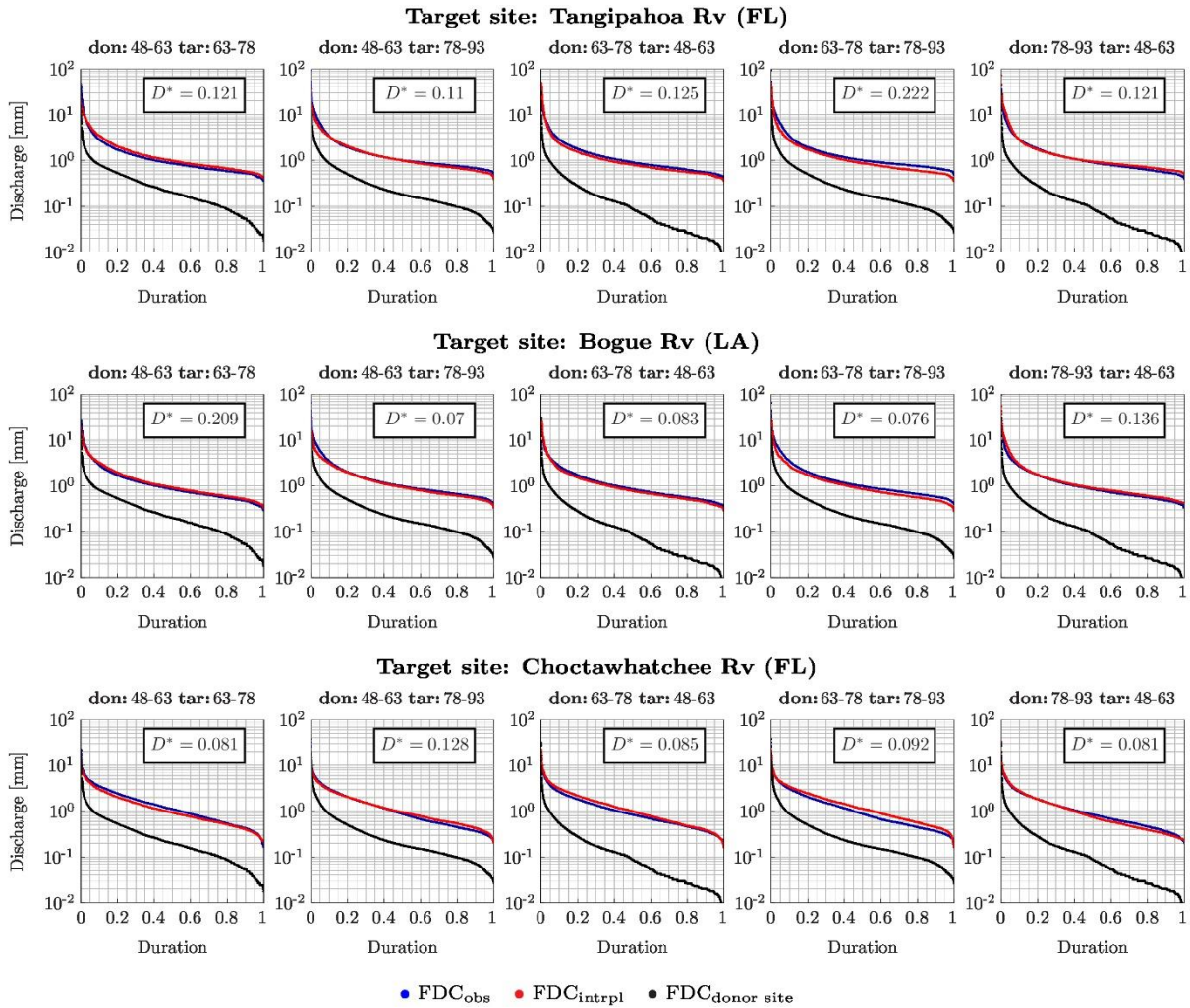


9

10 **Figure 7.** Interpolated FDC at Tangipahoa River (FL) and Bogue River (LA), upper and lower panels,  
 11 respectively. The donor basin is Blanco River (TX). The donor years are a 20 years time window  
 12 from October 1948 to September 1968 and from October 1968 to September 1988. Target years are  
 13 the decades shown above each panel. Blue and red dots are the observed and interpolated FDC at the  
 14 target basin during the target period, respectively; the black dots are the observed FDC at the donor  
 15 basin during the target period. In each box the KS distance between observed and interpolated values,  
 16  $D^*$ , is reported. The p-value of  $D^*$  is always around zero but for Tangipahoa target years 1948-1958.

17 Interpolated and observed FDCs almost perfectly match when obtained using long donor and target  
 18 periods, Figures 7 and 8. On the other hand, when the target period is short, the performance decreases  
 19 as also shown by the KS distance,  $D^*$ , reported on each single panel of Figure 9 where the target  
 20 period equals one year. As a matter of fact, the donor period being constant, the KS distance is much  
 21 higher when the target period is 1 year (Figure 9) and the p-value of  $D^*$  is always zero but for  
 22 hydrologic years 1972-1973 and 1976-1977. Nevertheless, the interpolated and observed FDCs have  
 23 a high agreement in shape, as for instance at Tangipahoa River for all but one (i.e., 1969-1970) target  
 24 years. In these cases, the difference between the two curves could be due to the different temperature  
 25 values characterizing the donor and the target basins. This affects the evapotranspiration in the two  
 26 basins and therefore, the streamflow values.

1 Results suggest that the API gives effectively a good estimation of the memory of the basin and can  
 2 be used to represent the precipitation similarly to the discharge.

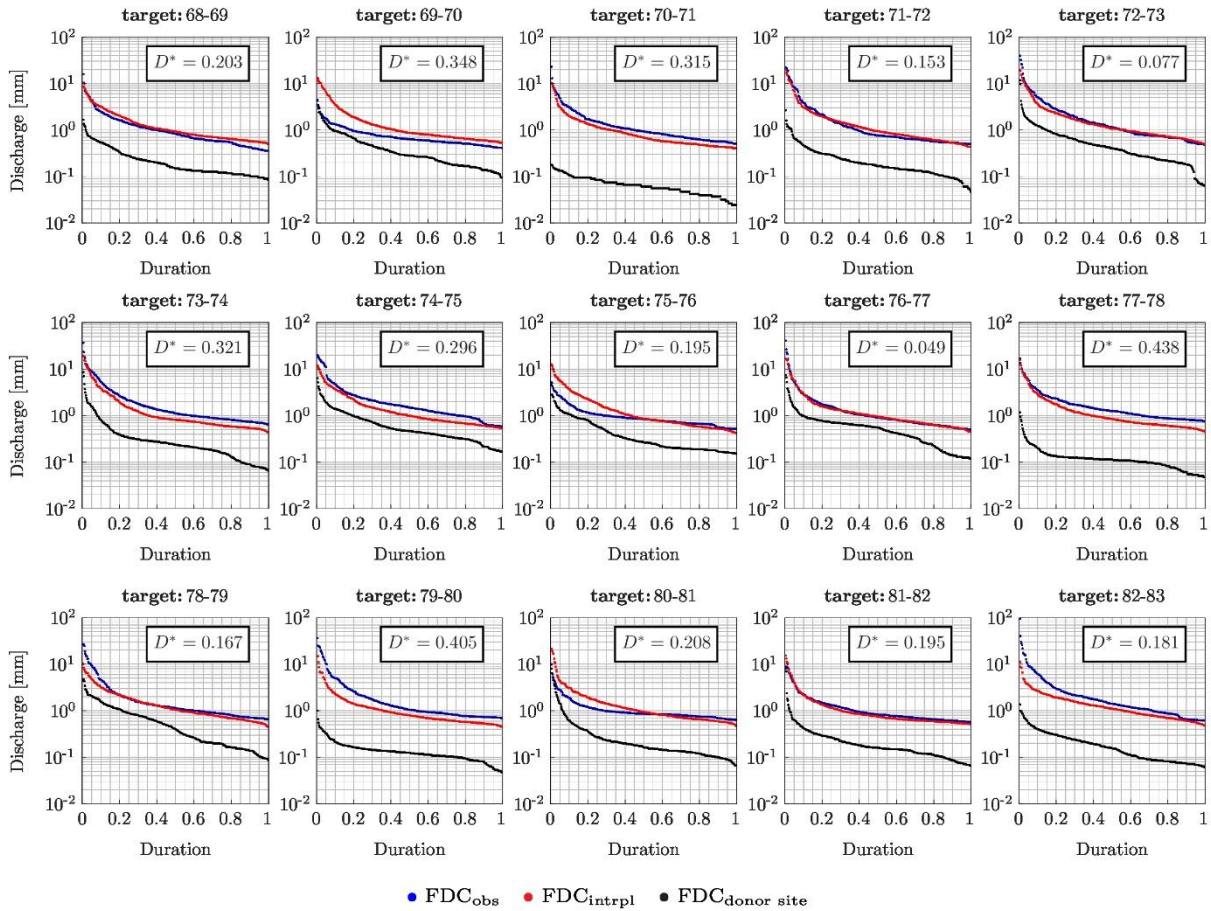


3  
 4 **Figure 8.** Interpolated FDC at Tangipahoa River (FL), Bogue River (LA) and Choctawhatchee River  
 5 (FL), upper, middle and lower panels, respectively. The donor basin is Blanco River (TX). The donor  
 6 and target years are periods of 15 years. The blue and red dots are observed and interpolated FDC,  
 7 respectively, at the target basin during the target period; the black dots are the observed FDC at the  
 8 donor basin during the target period. In each box the KS distance,  $D^*$ , is reported. The p-value of  $D^*$   
 9 is always around zero.

10



Target site: Tangipahoa Rv (FL) - donor period:1948-1968

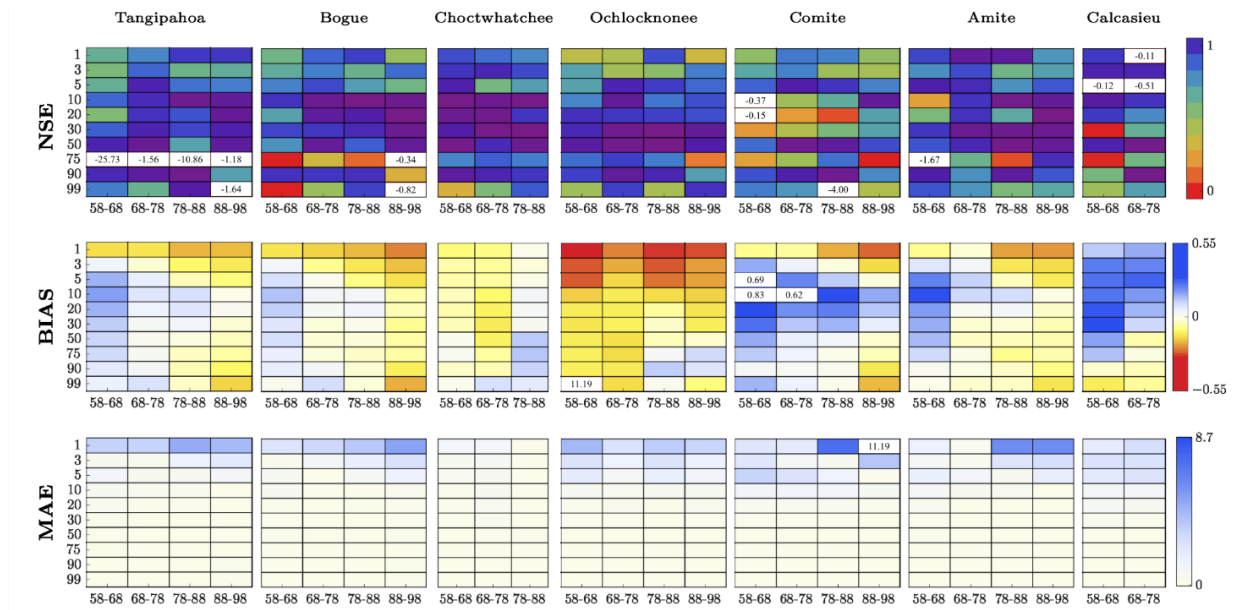


1

2 **Figure 9.** Interpolated FDC at Tangipahoa River (FL). The donor basin is Blanco River (TX). The  
 3 donor years are a 20 years time window from October 1948 to September 1968. Target years are each  
 4 hydrological year from October 1968 to September 1983. The blue and red dots are observed and  
 5 interpolated FDC, respectively, at the target basin during the target period; the black dots are the  
 6 observed FDC at the donor basin during the target period. In each box the KS distance,  $D^*$ , is reported.  
 7 The p-value of  $D^*$  is always around zero but for hydrologic years 1972-1973 and 1976-1977.

8 To estimate the goodness of the methodology, the NSE, BIAS and MAE are evaluated for the 1st,  
 9 3rd, 5th, 10th, 20th, 30th, 50th, 75th, 90th and 99th percentiles.

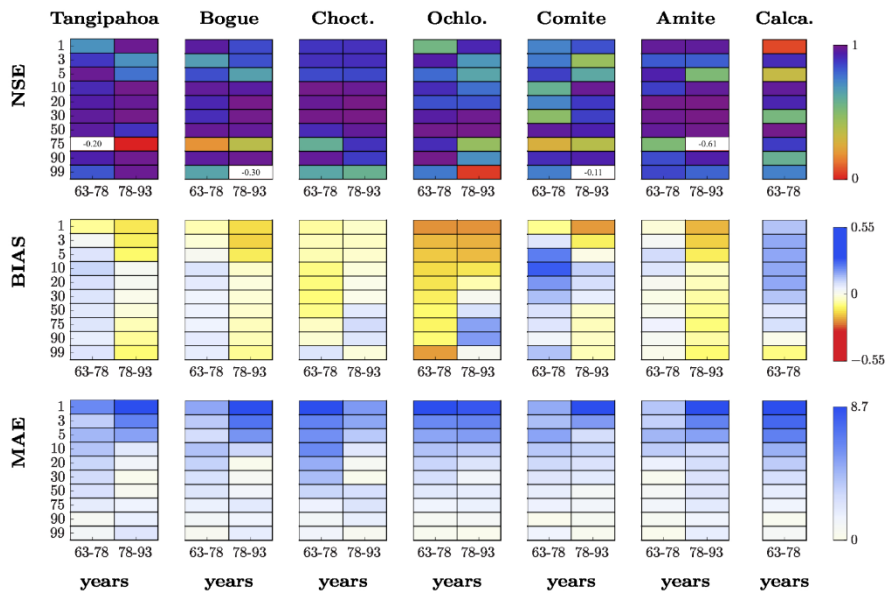
10 When a decade is used as both target and donor period, the performance measures show a good  
 11 agreement between observed and interpolated values, Figures 10. The NSE index shows accurate  
 12 estimation, i.e. it is characterized by values close to 1, especially of intermediate percentiles. The  
 13 BIAS provides information regarding the overall agreement between interpolated and observed  
 14 values. Its magnitude is likely higher for high flows, while it attenuates for intermediate percentiles.  
 15 The MAE as well shows a low performance for high streamflow values. This is due to the fact that  
 16 the procedure is more able to reproduce the average streamflow values than extreme events such as  
 17 high and low flows. However, low flows are more likely well estimated rather than high flows.



1

2 **Figure 10.** Performance measures NSE, BIAS and MAE evaluated for specific percentiles (on the y-  
 3 axis) and for specific target decades on the x-axis. The donor decade is 1948-1958, the donor basin  
 4 is Blanco (TX). Each target basin is indicated in the corresponding box. Negative values of the NSE  
 5 as well as outliers of BIAS and MAE are reported in the corresponding box.

6 When both target and donor periods equal 15 years, the agreement between interpolated and observed  
 7 flow values is high, Figure 11. The NSE shows values of efficiency around 1, thus there is a good  
 8 match between interpolated and observed values, even though there are few exceptions. The errors  
 9 are very low in value, as shown by the MAE, which also reveals a poor performance for high flows,  
 10 while the performance improves for intermediate and low flows. The high flows are more likely  
 11 estimated with a higher error, than intermediate and low flows as also shown by the BIAS.



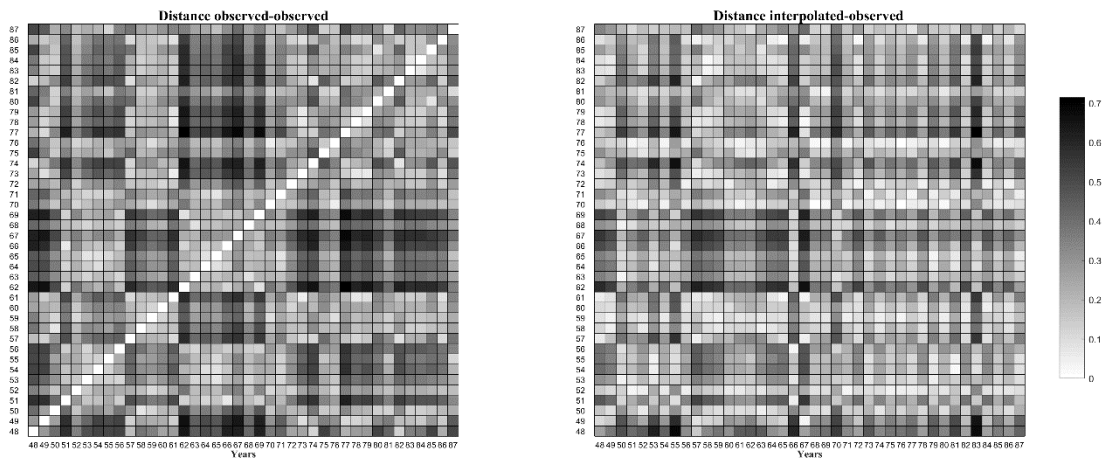
12

13 **Figure 11.** Performance measures NSE, BIAS and MAE evaluated for specific percentiles (on the y-  
 14 axis) and for specific 15 target years (i.e., 1963-1978 and 1978-1993 on the x-axis). The donor decade  
 15 is 1948-1963, the donor basin is Blanco (TX). Each target basin is indicated in the corresponding box.  
 16 Negative values of the NSE are reported in the corresponding box.



1 **5. Discussion**

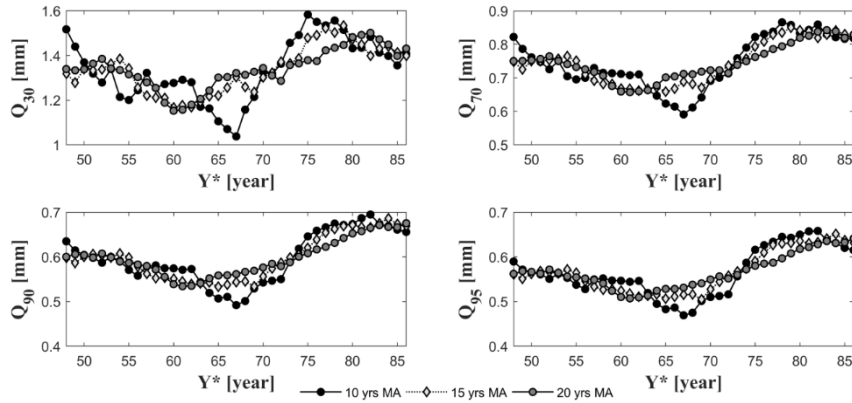
2 As resulted from the KS test applied to pairs of FDCs obtained from recorded data at the same site in  
3 different periods, FDCs cannot be considered an invariant characteristic of a basin. The fact that FDCs  
4 are not invariant suggests that the weather is a driver of annual runoff variability. Indeed, the reason  
5 should be found in the weather conditions as others (e.g. the basin area, the land use) did not change.  
6 To better investigate these findings, we performed the KS test on pairs of observed and interpolated  
7 FDCs for two purposes. The first is to know if pairs of interpolated and observed FDCs at the same  
8 site have the same continuous distribution, the second is to know which is the distance between these  
9 pairs. The test performed on pairs of interpolated and observed FDCs revealed that the null hypothesis  
10 could not be rejected for nearly the half of the cases. For instance, for Tangipahoa River the test was  
11 not rejected in 48% of the cases, Figure 12 right panel. On the contrary, the test rejected the null  
12 hypothesis that FDCs built at the same location in different periods had the same distribution. In the  
13 73% of the cases, the distance between pairs of interpolated and observed FDCs of the same period  
14 is smaller than the distance between FDCs built at the same site from data recorded during different  
15 periods, Figure 12 right and left panel, respectively. These results suggest that the methodology  
16 proposed here has a good performance and it is actually an interesting alternative to other  
17 methodologies, which assume that FDC of different periods have the same distribution.



18

19 **Figure 12.** Kolmogorov-Smirnov distance between couples of streamflow values observed (left  
20 panel) and between couples of streamflow values observed and interpolated (right panel) at  
21 Tangipahoa River (FL) from October 1948 to September 1987.

22 As the weather conditions strongly influence the FDCs estimation, we analyzed the streamflow  
23 percentiles to assess the between-year variability. To this end, the moving average (MA) of 30th,  
24 70th, 90th and 95th percentiles of streamflow is estimated. The MA values are estimated using three  
25 different fixed time windows (i.e., 10, 15 and 20 years), Figure 13.



1

2 **Figure 13.** Moving average (MA) of the 30th, 70th, 90th and 95th percentiles of daily streamflow  
 3 values gauged at Tangipahoa. Three different fixed time windows are used to estimate the MA: 10,  
 4 15 and 20 years. On the x-axis the first year of each interval is plotted ( $Y^*$ ).

5 It is interesting to observe that the MA values are characterized by a strong variability throughout the  
 6 time. The fluctuation of the flow percentiles suggests that the percentiles cannot be considered an  
 7 invariant characteristic of the basin. Therefore, it is not possible to estimate the flow quantiles using  
 8 regression methods that do not consider the weather characteristics. These methods, first, regionalize  
 9 empirical runoff percentiles using multiple regression models. Then, regional evaluation of flow  
 10 percentiles are interpolated across the percentiles (e.g., Franchini and Suppo, 1996; Smakhtin, 2001).  
 11 If flow percentiles are estimated separately from weather characteristics, it may result in a  
 12 misrepresentation of the percentiles themselves. Therefore, we suggest to add a weather factor to  
 13 account for the influence of the weather in the percentiles estimates.

## 14 **6 Conclusions**

15 The paper presents a new, simple and model free methodology to estimate the streamflow behavior  
 16 at partially gauged basins, given the precipitation gauged at another basin. We show that two FDCs  
 17 built for the same basin with data corresponding to two different time windows, cannot be regarded  
 18 as the same continuous distribution. This means that the FDCs cannot be considered an invariant  
 19 characteristic of a basin. As other conditions did not substantially change across time, such as the  
 20 land use, the reason should be the weather. The influence of the weather is evident analyzing the  
 21 between-year variability of flow percentiles. Indeed, the moving average of the 30th, 70th, 90th and  
 22 95th flow percentiles shows a strong variability throughout the time. This behavior has a strong  
 23 consequence as it means that it is not possible to retrieve the streamflow percentiles without  
 24 considering the weather. Indeed, there exists several methodologies (i.e., regression models) that  
 25 estimate flow quantiles separately from weather characteristics. FDCs and their selected properties  
 26 cannot be considered as basin characteristics and should be used with caution for regionalization  
 27 purposes. The FDC at a specific site is not a property of the corresponding basin, but rather of both  
 28 the basin and the weather. Therefore, it is not possible to infer an FDC using parameters retrieved  
 29 from the distribution of another FDC without considering the weather. The weather is indeed one of  
 30 the main drivers of annual variability. The annual runoff variability depends on the different  
 31 availability of energy and water in the basin. If more water than energy is available, the relationship  
 32 between runoff and precipitation is almost linear, while if more energy is available, than the  
 33 evaporation makes this relationship non-linear. Therefore, the runoff may vary largely depending on

1 which element is prevalent. For this issue, we applied the methodology on basins with the same  
2 characteristics, i.e. energy limited ones.

3 Because of the dependence on the climate, discharge data are here retrieved using the precipitation  
4 data series. Since precipitation data series are characterized by a high number of zeros, here we used  
5 the Antecedent Precipitation Index (API) as it represents in a streamflow-like way the precipitation  
6 of the basin. It represents the memory of a basin providing the amount of precipitation released by  
7 the soil throughout the time.

8 The FDC at a target site is determined for a specific time window (i.e., target period) using API  
9 available for a so-called donor period at another basin (i.e., donor site). Interpolated FDCs are  
10 compared with FDCs that were actually observed. Results show that the methodology is able to  
11 correctly determine the missing streamflow data. The discharge values of the intermediate percentiles  
12 are better described than those of the extremes. Nevertheless, the error values between observed and  
13 interpolated FDCs are small. The difference between the interpolated and observed FDCs can be due  
14 to the different temperature values characterizing the donor and target basins. Indeed, a high  
15 difference in temperature can cause a different evapotranspiration, which in turn can influence the  
16 discharge.

17 To test the methodology and to assess its performance depending on the extension of the period with  
18 missing data, several target periods are analyzed, such as 1 year, 10 and 15 years. The method  
19 performs better when the target period is longer, thus the lowest and the best performance correspond  
20 to target periods of one year and 15 years, respectively.

21 The method is tested on basins with a mild climate, however it can be applied also to basins  
22 characterized by the presence of snow, converting the snow into the corresponding liquid amount.

23

## 1 **Appendix A**

2 In this Appendix we want to provide an easy example to better understand the method that we applied  
3 to U.S. basins. This method is based on the use of the API of a donor site to retrieve the FDC at a  
4 poorly gauged site. We recall that a “donor period” is a period of time for which streamflow values  
5 are available at target basin, while a “target period” is a period of time during which streamflow  
6 values are not available at the target basin. The rainfall is available at the donor site for both periods.

7 Let suppose that we want to know the discharge value at basin B (i.e., Bogue Rv, LA) corresponding  
8 to the 10.11<sup>th</sup> percentile (i.e., 10.11%) for the year ranging from October 1968 to September 1969.  
9 Let suppose that the donor period has a length of 15 years. Every hydrological year ranges from  
10 October to September of the following year. We present the method step by step in the following.

11 1. Select the mean daily precipitation occurred at the donor basin (i.e., Blanco Rv) during the target  
12 period and estimate the API as in Eq.6 assuming  $\alpha$  equal to 0.85;

13 2. sort in descending order the API values evaluated for the target period at the donor basin (i.e.,  
14 Blanco Rv, TX);

15 3. assign to each sorted value the corresponding rank  $i$ , with  $i = 1, \dots, N_t$  where  $N_t$  is the length of the  
16 target API series and thus equals 365, and then estimate the exceedance probability  $P(\text{API} < \text{API}_i)$  of  
17 each value using a Weibull plotting position  $i/(N_t + 1)$ , Table A1;

18 4. in the sorted API series, identify the value with frequency equal to 10.11%. This value equals 37.72  
19 mm (bold line in Table A1);

20 5. estimate the API from the mean daily precipitation occurred during the donor period at the donor  
21 basin (i.e., Blanco Rv, TX) and sort in descending order the API values, estimate the rank and the  
22 associated exceedance probability  $P(\text{API} < \text{API}_j)$  of each value as  $j/(N_r + 1)$  where  $N_r$  equals 5475;

23 6. find the exceedance probability  $P(\text{API} < \text{API}_j)$  associated to the value 37.72 mm in the sorted API  
24 sample. From Table A2 it is possible to observe that there is not such an API value. Therefore, look  
25 for the two most similar values: one should be bigger and the other smaller than the searched value.  
26 Then, take their empirical frequency values (i.e., 7.52 % and 7.54%; in bold, Table A2);

27 7. sort in descending order the streamflow values gauged during the donor period at the target basin  
28 (i.e., Bogue Rv, LA), estimate the rank and the associated exceedance probability  $P(Q < q_j)$  of each  
29 value as  $j/(N_r + 1)$ ;

30 8. find the two streamflow values which have an empirical frequency equal to 7.52 % and 7.54%.  
31 These values are in bold, Table A3;

32 9. estimate the mean value of these two streamflow values. The resulting value is the streamflow  
33 value with empirical frequency equal to 10.11% evaluated for the target basin and the target period  
34 that we were looking for, Table A4.

1 **Table A1.** API values sorted in descending order and the corresponding percentiles estimated for the  
 2 target year (i.e., 1968-1969) at the donor basin (i.e., Blanco RV, TX).

Rank	P(API < API <sub>i</sub> ) %	API <sub>Blanco, tar</sub> mm
1	0.27	76.78
2	0.55	73.39
...	...	...
30	8.20	39.65
31	8.47	39.35
32	8.74	38.71
33	9.02	38.31
34	9.29	38.18
35	9.56	38.10
36	9.84	37.97
37	<b>10.11</b>	<b>37.72</b>
38	10.38	36.99
...	...	...
365	99.73	0.61

3

4

1 **Table A2.** API values corresponding to specific percentiles estimated for the donor years (i.e.,  
 2 1948-1963) at the donor basin (i.e., Blanco RV, TX).

Rank	P(API < API <sub>j</sub> ) %	API <sub>Blanco, ref</sub> mm
1	0.02	266.17
...	...	...
410	7.49	37.81
411	7.51	37.78
412	<b>7.52</b>	<b>37.74</b>
413	<b>7.54</b>	<b>37.61</b>
414	7.56	37.61
415	7.58	37.55
...	...	...
5475	99.98	0.01

3

4

1 **Table A3.** Streamflow values corresponding to specific percentiles gauged during the donor years  
 2 (i.e., 1948-1963) at the target basin (i.e., Bogue RV, LA).

Rank	P(Q<q <sub>j</sub> ) %	q <sub>Bogue,ref</sub> mm
1	0.02	38.81
...	...	...
410	7.49	3.28
411	7.51	3.28
412	<b>7.52</b>	<b>3.21</b>
413	<b>7.54</b>	<b>3.21</b>
414	7.56	3.20
415	7.58	3.19
...	...	...
5475	99.98	0.31

3

4

1 **Table A4.** Streamflow value corresponding to the 10.11th percentile estimated for the target year  
2 (i.e., 1968-1969) at the target basin (i.e., Bogue RV, LA).

$P(Q < q_i)$	$q_{\text{Bogue, tar}}$
%	mm
10.11	3.21

3

4



1 *Competing interests.* No competing interests are present.

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4

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