

## Report #1

*We thank the reviewer for the helpful comments, we changed the manuscript accordingly. Details about the changes are given below.*

*Please note: We inserted subsections in the **Discussion** section. In doing so, we re-arranged the paragraphs. The roman numbers at the beginning of each paragraph in the discussion section indicate the previous order (the roman numbers would be deleted prior to typesetting).*

I acknowledge that the authors have improved the manuscript, which now reads better than the previous version. I furthermore think that this is a valuable proof of concept of a novel method that finally could merit publication. Generally, I have the impression that the authors try to oversell their results with the help of claims that are not true. This devaluates the paper unnecessarily. I also recognize that several of my previous suggestions were not followed, which is of course always the right of the authors if they have convincing arguments to do so. Unfortunately, I am not convinced by their arguments concerning the following critics I have raised previously:

1. The title is misleading, because the presented method is not real time but has a delay of four minutes. Therefore, I repeat my suggestion to change the title to “Temporally highly resolved measurement of stable hydrogen and oxygen isotope ratios in water of rainfall and throughfall with a novel infrared laser-based method”.

*We thank the reviewer for the detailed title suggestion.*

*However, we feel that the reviewer’s title suggestion does not fully reflect the content we want to emphasize. Temporally highly resolved measurements had been feasible in the past already, yet by conventional analysis of vast amounts of discrete samples.*

*In order to find an acceptable compromise and according to the term “near real-time” that already appears in published literature in the context of similar approaches (Leis et al., 2011) we changed the title to “Continuous, near real-time observations of water stable isotope ratios during rainfall and throughfall events”.*

2. The issue of the spatial representativeness of throughfall measurements, which frequently require a larger number of samplers, particularly if a high temporal resolution is envisaged, is not mentioned at all. The first conclusion stating that the proposed method is suitable for continuously observing the stable water isotope dynamics in precipitation and throughfall is not true, because the method in the current setting can only measure at a single, likely non-representative point, while all hydrological models require an area-representative measure. It is disappointing that the authors were not ready to simply discuss this restriction, which is another attempt to oversell their results.

*There seems to be a misunderstanding. Again, we agree that e.g. for catchment-scale investigations isotope data need to reliably cover the spatial variability of gross precipitation and throughfall. The aim of this study, however, was to provide a proof of concept of a novel method that allows replacing the commonly temporally poorly resolved analysis of e.g. event-based point-level bulk samples. Therefore, throughout the manuscript we emphasize the temporal resolution, not the spatial representativeness. Starting in the objectives, we aim at point-level observation (p3, l15). Also in the discussion we explain, that the spatial scale and variability needs to be considered in future studies (p10, l6f). And finally, in the conclusion we state that the proposed method needs to be employed across larger spatial scales in order to contribute to the aim of thorough isotopic sampling of throughfall (p11, l16).*

To further emphasize the issue of spatial representativeness we added  
“For the sampling points in our study,”

to the following sentence at the beginning of the discussion

“We found that the variability of the continuous  $P_g$  isotope data is was higher than the variability of the continuous TF data (Fig. 6).”

Additionally, we expanded the discussion with the following paragraph:

“On the other hand, larger or multiple collectors would ensure a better representation of the spatial variability of  $P_g$  and TF isotope data. This issue is critical for the thorough investigation of spatially heterogeneous processes affecting isotope input data at e.g. the catchment scale. A better representation of spatially distributed isotope data based on the proposed method can be achieved by installing a representative number of collectors from where water is continuously aggregated and directed to one analyzer. This approach would consider the spatial heterogeneity similar to the roving collector approach (Allen et al., 2015) but at the same time it still does not allow for the quantification thereof. For the latter purpose, the entire setup described in this study could be multiplied in order to analyze individually the continuous water samples from the respective number of collectors considered necessary for covering the spatial heterogeneity. However, given the required number of suitable isotope analyzers this approach may not be feasible for many research groups.”

3. The authors show in Fig. 6 that the “deuterium excess” value changes with time in both rainfall and throughfall. This finding is just mentioned but not discussed. What do we learn from this change? You should at least present a speculation or not show the result. The cited reference of Gat (1996) suggests e.g., that a change of the deuterium excess is related with different water sources or with a changing contribution of re-evaporated water (from isotopically distinct water pools). Which role do (bio)chemical reactions play? Mass-dependent kinetic fractionation of water during phase transition – which is generally non-equilibrium! - will always change the isotope ratios of both, H and O in a related way. To explain a changing deuterium excess, you need a specific deuterium (or  $1H$ ) source (free of  $18O$ ), e.g., from organic and mineral acids.

We extended the discussion and replaced

“The additionally calculated  $\Delta d$  values did not show a clear or systematic pattern that would add to previous findings.”

by

“Similar but less distinct clusters could be observed in the derived  $\Delta d$  values. The time series of  $\Delta d$  from initially dry canopies were quite stable and predominantly negative. In contrast, we observed higher, mainly positive and more fluctuating  $\Delta d$  values from initially wet canopies (Fig. 5 (c)). The fluctuations may be attributed to changing meteorological conditions affecting evaporation but also to the fact that  $\Delta d$  values are quantities derived from four isotope measurements ( $\delta^{18}O$  and  $\delta^2H$  of both  $P_g$  and TF) and therefore bear generally higher uncertainties due to error propagation. The positive  $\Delta d$  values are not consistent with our expectation that evaporation would persistently lead to negative  $\Delta d$  values and it stands out that the highest  $\Delta d$  values were calculated from continuous samples with highest evaporative enrichment in heavy isotopes of both  $\delta^{18}O$  and  $\delta^2H$  (Fig. 5). Regardless of the sampling method, we found positive  $\Delta d$  values in both continuous (Fig. 5 and Fig. 6 (qualitatively)) and bulk sample data (Fig. 3). This indicates that the appearance of positive  $\Delta d$  values was not a methodological artefact due to our way of continuous data interpretation but had to be physically based. Mathematically, positive  $\Delta d$  values would have resulted from evaporation lines with

*slopes higher than the meteoric water line. However, we are not aware of such a phenomenon. Positive  $\Delta d$  values as a result of mixing processes, as suggested elsewhere (Allen et al., 2017), would still necessitate at least one substantial endmember with significantly higher than evaporation-only-caused  $d$  values. The hypothetical formation of such endmembers remains unclear and was not indicated by the continuous  $P_g$  data.”*

Moreover, I still think that the “double delta” notation used by the authors to express differences between two delta values is highly unusual and that Figs. 2 and 6 should be combined.

*We think that it is mathematically correct and also provided a definition (Eq. (3) to (5)). Further, the “double delta” notation is consistent with published literature (see e.g. Allen et al., 2014, 2015, 2017; Stockinger et al., 2015) where these articles were published in four different journals. We therefore prefer to keep the “double delta” notation for differences in delta values.*

*Figures 2 and 6 represent different datasets from different events and they highlight different aspects of our method. This is detailed in the respective parts of the discussion; therefore we prefer to keep them separated.*

Further to these major concerns, I again offer a number of more minor suggestions to improve the manuscript.

p. 1, l. 3: water isotopic composition

*We assume that l. 8 was meant where we now added “water” before “isotopic composition”.*

p. 1, l. 15: Delete “and thereby,”.

*Changed as suggested.*

p. 1, l. 18: This comparison might be possible with respect to the temporal resolution but not to the spatial representativeness.

*In order to address this issue, we rephrased the following sentence to “Future improvements of the spatial representativeness will make our approach an even more powerful tool towards detailed insight in the dynamic processes contributing to interception during rainfall events.”*

p. 1, l. 23: Define for what the tracer is ideal. Usually, the stable isotope ratios of H and O are far from ideal, because differences among various sources are frequently (too) small and the signal is prone to changes as a consequence of kinetic isotope fractionation or mixing and thus not stable.

*We extended our argument by adding “Thus, any change of the stable isotope ratios reflects the conditions a given water reservoir has been exposed to prior to sampling. This makes stable isotopes superior to e.g. solute concentrations, which may be prone to precipitation, degradation or chemical reactions.”*

p. 1, l. 25-26: This is mostly true for the long-term mean isotopic signature of rainfall water (>1 yr) but hardly if short time periods are considered as is done in this study.

*This sentence is meant to be an introductory sentence.*

p. 2, l. 3: “amount” was changed to “depth” but not consistently. Please also replace the remaining “amount”.

*Changed as suggested.*

p. 2, l. 11-13: Who does this? Seems trivial.

*We agree. Here we refer to the statements of the cited literature.*

p. 2, l. 7-8: I did not understand this sentence.

*We rephrased the sentence to  
“These effects result in offsets of TF, they reduce the depth of TF compared to  $P_g$  and cause the difference in  $\delta^{18}O$  between event-based sampled TF and  $P_g$ .”*

p. 2, l. 9-11: What is the volume-weighted mean of the relative interception loss? Usually, the cumulative interception loss in percent of rainfall during a defined period is given.

*We agree. Here we refer to the statements of the cited literature.*

p. 2, l. 17-19: The patterns of throughfall are indeed heterogeneous but I didn’t understand what you mean by “when related to leaf area index as well as to spatial variability in general”.

*We changed this sentence to  
“The study showed that the spatial patterns of TF were very heterogeneous and ecosystem dependent when related to leaf area index (LAI) or other biotic factors (Levia et al., 2011).”*

p. 2, l. 22-24: The method of roving throughfall collectors is used to improve the representativeness of the throughfall measurement with a limited number of samplers. How could it help to measure the size of spatial variability? The latter is usually reached with the help of a sufficiently high number of samplers, which are not roved.

*We replaced “observation by “representation”.*

p. 3, l. 17: Replace two times “amount”.

*Changed as suggested.*

p. 4, l. 19: Unclear. Did you collect volume-proportional subsamples, which you combined to a bulk sample? I know “volume-weighted” mainly in the context of solute concentrations. Perhaps volume-proportional is the better term at all places, where you use “volume-weighted”?

*Thank you for alerting us to the misleading wording. We rephrased the sentence to  
“The overflow and the excess water downstream of the membrane module were collected and analysed separately. From these isotope results volume-weighted means were calculated to represent*

*bulk sample values for each continuously measured event. Additional bulk-samples were physically collected and analysed for events when low intensities or the occurrence during night-time prevented continuous analysis.”*

p. 4, l. 26: leaves

*Changed as suggested.*

p. 4, l. 28: 15 m is a large distance in a forest. There are possibly several other trees in between.

*This is the distance between the point of meteorological observations and the  $P_g$  collector. The distance to the TF collector was much smaller with no other tree in between.*

p. 6, l. 6-7: This can be seen in the figure and should therefore usually not be repeated in the text.

*The sentence has been removed. We presented the contained information earlier, in the context of the continuous data.*

p. 6, l. 15 (and throughout the manuscript): I suggest to consistently report results in the past tense.

*Changed as suggested.*

p. 7, l. 18-p. 8, l. 2: Switch to past tense.

*Changed as suggested.*

p. 8, l. 16-18: I did not understand this statement. What did you expect and why?

*We rephrased the sentence to  
“However, this is not reflected in continuous  $d_{TF}$  values (Fig. 6). We would have expected that they follow the trend of  $d_{Pg}$  while being comparatively lower as a result of non-equilibrium fractionation. Neither was the case.”*

p. 8, l. 29: detectable

*Changed as suggested.*

p. 9, l. 16: Who prefers “large and long surfaces”? I would prefer small and many separate surfaces. Large and long surfaces get quickly too dirty in forests so that their use is just not feasible in the practice.

*We discussed this aspect in detail by adding the following paragraph  
“On the other hand, larger or multiple collectors would ensure a better representation of the spatial variability of  $P_g$  and TF isotope data. This issue is critical for the thorough investigation of spatially heterogeneous processes affecting isotope input data at e.g. the catchment scale. A better representation of spatially distributed isotope data based on the proposed method can be achieved by installing a representative number of smaller collectors from where water is continuously*

*aggregated and directed to one analyzer. This approach would consider the spatial heterogeneity similar to the roving collector approach (Allen et al., 2015) but at the same time it still does not allow for the quantification thereof. For the latter purpose, the entire setup described in this study could be multiplied in order to analyze individually the continuous water samples from the respective number of collectors considered necessary for covering the spatial heterogeneity. However, given the required number of suitable isotope analyzers this approach may not be feasible for many research groups."*

p. 10, l. 6: Why should a mere flow have an isotope effect? In fact, it does not!

*We changed the sentence to  
"Horizontal water redistribution in the canopy via flow along branches may result in mixing of different water reservoirs. This may have an effect on the observed isotopic composition as it also changes the unknown spatial pattern of precipitation water isotopes."*

Fig. 2: Add the abbreviation "vapour\_mov" after "moving average" in the legend. From where does the temperature originate? From the station at 15 or at 250 m from the tree?

*Changed in the legend as suggested.  
We added "in 250 m distance" after "air temperature".*

Fig. 3: I already recommended not to show regression lines if there is no significant correlation, because they are misleading. You should explain all abbreviations used in the figure in the legend.

*Changed as suggested.*

Fig. 6: The term "vapour sampling" is likely misleading, because I assume that you converted the vapour measurement to liquid values. Otherwise the measurements of the discrete liquid samples would likely not match so well. Where were temperature and vapour pressure deficit measured (15 vs. 250 m away from the tree)? Anyway, I think that this figure is not needed.

*We inserted "liquid water" after "Time series of"  
Temperature and relative humidity data were recorded in 15 m distance from which vapour pressure deficit was calculated.  
This figure reveals several aspects especially regarding the contrast between  $P_g$  and TF that cannot be seen in e.g. Fig. 2. We therefore prefer to keep it.*

#### *Cited literature:*

Leis, A., Plieschnegger, M., Harum, T., Stadler, H., Schmitt, R., Pelt, A.v., and Zerobin, W.: Isotope Investigations at an Alpine Karst Aquifer by Means of On-Site Measurements with High Time Resolution and Near Real-Time Data Availability, in: International Symposium in Isotopes in Hydrology, Marine Ecosystems and Climate Change Studies, 2011.

## Report #2 (Referee #3)

*We thank the reviewer for the helpful comments, we changed the manuscript accordingly. Details about changes are given below.*

*Please note: We inserted subsections in the **Discussion** section. In doing so, we re-arranged the paragraphs. The roman numbers at the beginning of each paragraph in the discussion section indicate the previous order (the roman numbers would be deleted prior to typesetting).*

This is an interesting approach for the collection of high resolution rainfall and throughfall data on isotopic composition.

*Thank you!*

All my comments have been addressed. The paper has been improved compared to the first version and merits publication after correcting a few minor aspects:

Page 1, Line 27: Delete one of the two points.

*Changed as suggested.*

Page 2, Line 22: Shift the reference to Line 20.

*Changed as suggested.*

Page 6, Lines 11-13: Adapt variables according to your changed figure 2.

*We assume that Fig. 3 was meant, where we adapted the variables in the figure to make it consistent with the text.*

Page 6, Line 15-16 and page 9, Line 4-5: The mentioned “weak positive relationship” is not significant and, hence, there is no relationship.

*We deleted the respective sentences.*

Page 6, Line 19 and Page 9, Line 6-7: Yes there are two other significant correlations that are not mentioned in the text. Please correct.

*Thank you for the hint. In the results section we inserted:*

*“Also a weak negative correlation between interception loss and rainfall depth was found, as well as a weak positive correlation between the logarithm of the mean rainfall intensity and rainfall depth.”  
before “The isotopic composition of  $P_g$  ranged from...”*

*In the discussion we reworked the respective paragraph, it now reads:*

*In the data of the collected bulk samples the isotopic composition of  $P_g$  and TF were highly correlated to each other. This concurs with our expectation, as does the quasi persistent enrichment in heavy isotopes of TF relative to  $P_g$  (positive  $\Delta\delta^{18}O$  values) indicating evaporation from the canopy. Although expected, no significant positive correlation between  $\Delta\delta^{18}O$  and relative interception loss was found.*

*The same applies for potential negative correlations between  $\Delta d$  and  $\Delta\delta^{18}\text{O}$  or relative interception loss, which were also not found. However a moderate negative correlation between interception loss and the logarithm of the meteorological variable rainfall intensity, a weak negative correlation between relative interception loss and depth of incident rainfall as well as a weak positive correlation between the logarithm of the mean rainfall intensity and rainfall depth could be observed (Fig. 3). This means that the highest interception losses were found during events with either the lowest rainfall intensities or with the lowest depths and that higher rainfall intensities result in higher total rainfall depths. This is in line with results found in other studies (Dewalle and Swistock, 1994; Brodersen et al.; 2000, Keim et al.; 2005, Kato et al., 2013; Allen et al., 2017). Only non-significant correlations were found among the other investigated quantities. The generally small explained variance among any of the considered variables illustrates the complexity of the processes contributing to interception loss and the transformation of  $P_g$  isotope ratios when becoming TF.*

Page 6, Line 23: Close the parentheses before the point.

*Changed as suggested.*

Table 1: Please indicate that this is the mean intensity as it could also be e.g. the maximum intensity per event. For event #3 the parentheses are also needed for throughfall mean intensity.

*Both changes done as suggested.*

Page 8, Line 1 and Figure 6: Use the same labels in the figure and in the text as well as in the figure caption as dTF and d\_TF is used right now.

*We changed the labels in the figure.*

Page 9, Line 3: Add a space after the point.

*Changed as suggested.*

Page 10, Line 29-30: This has been shown by whom? Please add a reference.

*Reference added as suggested.*

Page 10, Line 34: A reference is missing after "water".

*We assume that line 33 was meant where a reference has now been added.*



### Report #3 (Referee #2)

*We thank the reviewer for the helpful comments, we changed the manuscript accordingly. Details about changes are given below.*

#### General comments:

I thank the authors for their efforts to improve the manuscript following the suggestions of the reviewers.

The authors satisfactorily answered many of my specific comments and made the corresponding changes in the manuscript. Yet, some answers to my general comments have been omitted and a few of my specific comments remained unanswered, which I thought were among the most interesting aspects to be discussed.

Moreover I think that the manuscript would still benefit from a clearer presentation of the ideas currently presented in the introduction and in the discussion. More context about the hydrological applications of stable isotopes (e.g. hydrograph separation, end-member mixing analysis, and transit time calculations) would improve the introduction and make the manuscript and its importance more compelling. The discussion also still needs a clearer explanation to why the presented advances in isotope measurement techniques are important for such applications and/or for stimulating the creation of new applications currently challenged by limited isotopic data. Right now the manuscript stops right before making the step. The readers need to be completely convinced that it is not enough to simply take bulk samples in TF and in Pg.

Therefore, I recommend an additional round of revisions. I believe this work will be very useful, yet it contains only little interpretation of the data in terms of interception processes and the added value of the approach is not convincingly presented. Everything is there, but the authors cannot leave this to the reader.

*Following the suggestions of the reviewer we re-arranged and expanded the introduction regarding isotope applications in hydrology in general as well as in interception studies and added further references. Furthermore, we substantially reworked and restructured the discussion section thereby adding several paragraphs that focus on process related aspects.*

#### Specific comments:

Page 1

L6: Please add “with the water vapor” after “diffusive exchange” to make it more specific.

*Changed as suggested.*

L15: Please add “on the stable isotopes of water” after “the temporal effects of interception processes” to make it more specific.

*Changed as suggested.*

L23: One could add “from the use of stable isotopes of water” after “events” to make it clearer that the advances presented in the approach are about isotope measurement techniques.

*We inserted “of water stable isotope data” after “comparison” in the previous sentence.*

Introduction: Until now, it is still difficult to follow the argumentation in the introduction. I think all ideas are there, but they are not well organized. For me, the introduction should be organized along these key points (just a suggestion):

- The role of stable isotopes as the input for many hydrological applications (with more references to these specific applications) and as a tool to better understand interception processes (refs)
- The often neglected differences between Pg and TF amounts and isotopic composition (refs).
- The consequences of this neglected difference for the mentioned applications in isotope hydrology (refs + personal interpretation).
- The possible interception mechanisms causing these differences and the current research need to better understand these mechanisms (refs).
- Despite recent progress in measurement techniques (refs), the current lack of high-resolution isotopes in both TF and Pg to correct the estimation of the true isotopic inputs and to shed light on interception mechanisms.
- The obvious goal of the paper.

*The introduction has been re-arranged as suggested and significantly expanded (additional refs were inserted) following your suggestions.*

L25: You changed “signatures” to “ratios” but my point was that nothing should follow “stable isotopes” to be exact. For example you would not say that chloride concentration is a tracer. Chloride itself is a tracer.

*Sorry for the misunderstanding, we deleted “ratios”.*

L26: adding “paths” after “flow” would make more sense.

*Changed as suggested.*

Page 2

L4-6: This sentence is still not clear, and it is still too long. Don’t use so many commas. This is not the only long sentence that needs to be restructured.

*We rephrased this sentence (among others) to “They are driven by evaporation from the canopy during or between storms as well as by diffusive exchange with ambient vapour. Furthermore, redistribution in the canopy and storage effects where water is differentially retained or mixed contribute to interception.”*

L25-27: This sentence is not clear, please reformulate it. “spatial variability in general” was not clarified as requested, please change it.

*The sentence has been changed to “The study showed that the spatial patterns of TF were very heterogeneous and ecosystem dependent when related to leaf area index (LAI) or other biotic factors (Levia et al., 2011).”*

Page 4

Line 15-16: That was not what I meant. I meant that the instrument setup could induce a moving average with time window up to 36 s long applied to the isotopes being pumped to the membrane modules. This smoothing happens before the 90 s moving average is applied to the isotope readings. This 36 s moving average is the result of the physical configuration of the setup because some water could accumulate in the smaller 3 mL funnel during rainfall intensities larger than 5 mL/min. Of course the 90 sec moving average discards the variability of the data happening during periods shorter than 90 sec. But from the measurement protocol, it seems that during rainfall events with

large intensities (i.e. when Vd is full with water for some time), the isotope readings every 4 sec will actually correspond to a moving average of 36 sec. Please mention it in the discussion.

*We referred to this aspect in the first paragraph of the discussion, where it now reads: "Given the dead volume of the perfused components the collected isotope data were subject to averaging with a time window of ~36 s. Additionally, we calculated a moving average with 90 s integration time for data noise reduction. Nonetheless, large intra-storm variabilities..."*

Page 6

L28: I know one reviewer asked to change "compared" to "correlated", but it now sounds like there is a correlation between all the variables in the plot. Why not change it to "variables [...] are plotted against each other to check for correlations between them"?

*Changed as suggested.*

Page 8

Discussion: Why not use subsections in the discussion? That would make it clearer.

*We inserted subsections as suggested. In doing so, we re-arranged the paragraphs. The roman numbers at the beginning of each paragraph in the discussion section indicate the previous order (the roman numbers would be deleted prior to typesetting).*

Page 9

L6: "mixing processes": where?

*We added "in the canopy"*

L7: "indicates" is better than "indicative for"

*Changed as suggested.*

L14: Why did this last another two days? Do you mean that the analysis was completed only two days after the samples were brought back to the lab?

*We meant that assuming sufficient lab capacities, the net measurement time in the lab for this amount of samples was two days.*

L23-27: These two sentences are a repetition of what is written in the results section. Either rewrite, shorten and add interpretations, or remove. These sentences would actually fit much better in the conclusion which is missing these relevant results!

*We rephrased the section to:*

*"In the data of the collected bulk samples the isotopic composition of  $P_g$  and TF were highly correlated to each other. This concurs with our expectation, as does the quasi persistent enrichment in heavy isotopes of TF relative to  $P_g$  (positive  $\Delta\delta^{18}O$  values) indicating evaporation from the canopy. Although expected, no significant positive correlation between  $\Delta\delta^{18}O$  and relative interception loss was found. The same applies for potential negative correlations between  $\Delta d$  and  $\Delta\delta^{18}O$  or relative interception loss, which were also not found. However a moderate negative correlation between interception loss*

*and the logarithm of the meteorological variable rainfall intensity, a weak negative correlation between relative interception loss and depth of incident rainfall as well as a weak positive correlation between the logarithm of the mean rainfall intensity and rainfall depth could be observed (Fig. 3). This means that the highest interception losses were found during events with either the lowest rainfall intensities or with the lowest depths and that higher rainfall intensities result in higher total rainfall depths. This is in line with results found in other studies (Dewalle and Swistock, 1994; Brodersen et al.; 2000, Keim et al.; 2005, Kato et al., 2013; Allen et al., 2017). Only non-significant correlations were found among the other investigated quantities. The generally small explained variance among any of the considered variables illustrates the complexity of the processes contributing to interception loss and the transformation of  $P_g$  isotope ratios when becoming TF.”*

Page 12

Conclusion: A sentence or two about the key results of the study as from the measurements is missing.

*We replaced “The lack of strong correlations between the investigated rainfall characteristics illustrates the complexity of interception processes.”*

*by*

*“Significant correlations of  $P_g$  and TF depths and depth-derived quantities were found as expected and concurred with findings from other studies. The lack of significant correlations involving isotope derived quantities cannot be explained with our current knowledge and process understanding and calls for further scrutiny. Especially the high abundance of positive  $\Delta d$  values should be subject of future studies.”*

L7: Please add “and stable isotope measurements” after “characteristics”. You looked at the stable isotopes in rainfall as well.

*Changed as suggested.*

Figure 3: Please make the symbol associated with  $p < 0.05$  larger. Why is there a symbol for  $p < 0.01$ ? It is not used. Please add “of precipitation and throughfall” after “bulk samples” in the caption.

*We changed the symbols as suggested.*

*We now listed all parameters that are compared in the scatter plot matrix in the caption.*

Figure 5: “deviations” is not consistent with previous occurrences of “differences” for this metric. I think you should use only “differences” throughout the manuscript. Please make sure this is done consistently.

*Changed as suggested.*

#### **Additional literature:**

Adomako, D., Maloszewski, P., Stumpp, C., Osae, S., and Akiti, T.: Estimating groundwater recharge from water isotope ( $\delta^2\text{H}$ ,  $\delta^{18}\text{O}$ ) depth profiles in the Densu River basin, Ghana, Hydrological Sciences Journal-

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- Allison, G.: The relationship between  $^{18}\text{O}$  and deuterium in water in sand columns undergoing evaporation, *Journal of Hydrology*, 55(1):163-169, 1982.
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# **RealContinuous, near real-time observations of water stable isotope dynamics-ratios during rainfall and throughfall events**

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**Abstract.** The water isotopic composition of throughfall is affected by complex diffusive exchange with ambient water vapour, evaporative enrichment of heavy isotopes, and mixing processes in the tree canopy. All interception processes occur simultaneously in space and time generating a complex pattern of throughfall depth and water isotopic composition. This pattern ultimately cascades through the entire hydrologic system and is therefore crucial for isotope studies in catchment hydrology where recharge areas are often forested while reference meteorological stations are generally in the open. For the quasi real-time observation of the water isotopic composition ( $\delta^{18}\text{O}$  and  $\delta^2\text{H}$ ) of both gross precipitation and throughfall we developed an approach combining a membrane contactor (Membrana) with a laser-based Cavity Ring-Down Spectrometer (CRDS, Picarro), obtaining isotope readings every two seconds. A setup with two CRDS instruments in parallel analysing gross precipitation and throughfall simultaneously was used for the continuous observation of the temporal effect of interception processes- on the stable isotopes of water. All devices were kept small to minimize dead volume-~~and thereby,~~ with time-lags of only four minutes for water from the rainfall collectors to the isotope analysers, to increase the temporal resolution of isotope observations.

Complementarily, meteorological variables were recorded at high temporal resolution at the same location. The achieved evolution from discrete liquid or event-based bulk samples to continuous measurements allows for direct comparison of water stable isotope data with common meteorological measurements. ~~This makes~~ Future improvements of the spatial representativeness will make our approach an even more powerful tool towards more detailed insight in the dynamic processes contributing to interception during rainfall events.

## **1 Introduction**

Stable ~~isotope ratios~~ isotopes of water ( $\delta^{18}\text{O}$  and  $\delta^2\text{H}$ ) are ideal tracers due to the fact that they are part of the water molecule itself (Gonfiantini, 1986). Thus, any change of the stable isotope ratios reflects the conditions a given water reservoir has been exposed to prior to sampling. This makes stable isotopes superior to e.g. solute concentrations, which may be prone to precipitation, degradation or chemical reactions. They have proven to be powerful tools for the characterisation of water flow paths and transport processes with a long record of applications at different spatial and temporal scales and in all parts of the water cycle. The isotopic composition of precipitation ultimately cascades through the entire hydrologic

system, affecting soil water, groundwater, evapotranspiration, and stream water isotopic signatures. Knowledge about the isotopic composition of precipitation is therefore crucial for isotope studies in catchment hydrology (Kendall and McDonnell, 1998; Vitvar et al., 2005). ~~The isotopic composition of precipitation ultimately cascades through the entire hydrologic system affecting soil water, groundwater, evapotranspiration, and stream water isotopic signatures. Knowledge about the isotopic composition of precipitation is therefore crucial for isotope studies in catchment hydrology.~~ Stable isotopes have been used in numerous catchment studies for hydrograph separation (McDonnell et al., 1990; Uhlenbrook and Hoeg, 2003; Klaus and McDonnell, 2013; Fischer et al., 2017) and calculations of mean transit times and travel time distribution (McGuire and McDonnell, 2006; Rinaldo et al., 2011; Heidbüchel et al., 2012; Kirchner, 2019). They were also widely used for end-member mixing analysis (Dewalle et al. 1997; Klaus and McDonnell, 2013). Recently, the application of water stable isotopes for calculating young water fractions has been described (Freyberg et al., 2018; Stockinger et al., 2019; Kirchner, 2019). In subsurface hydrology, stable isotopes of water have been successfully applied to determine soil evaporation (Allison, 1982; Braud et al., 2009), groundwater recharge rates and sources (Blasch and Bryson, 2007; Adomako et al., 2010; Koeniger et al., 2016). They have also been used to identify flow paths (Uchida et al., 2006; Garvelmann et al., 2012; Stumpp and Hendry, 2012), mixing processes (Thomas et al., 2013), transit times (Stumpp et al., 2009; Timbe et al., 2014; Sprenger et al., 2016), root water uptake patterns (Rothfuss and Javaux, 2017), and hydraulic lift (Meunier et al., 2018).

Many studies have used the temporal dynamics in the isotopic composition of precipitation for estimating ~~catchment~~ residence times, but especially ~~in~~ on forested ~~catchment~~sites, when meteorological and isotopic reference stations are in the open, interception losses and accompanying isotope effects have a significant impact on the input function (Xu et al., 2014; Stockinger et al., 2015; Allen et al., 2017). The importance of understanding rainfall interception processes is presented in a thorough review by Allen et al. (2017). The reasons for the differences in ~~amount~~depth and isotopic composition of gross precipitation ( $P_g$ ) and throughfall (TF) are complex, since multiple interacting processes affect TF. They are driven by evaporation from the canopy during or between storms, ~~as well as by~~ diffusive exchange with ambient vapour, ~~Furthermore,~~ redistribution in the canopy, and storage effects where water is differentially retained or mixed, ~~contribute to interception.~~ Also sub-canopy water recycling i.e. evapotranspiration and re-condensation (Green et al., 2015) as well as mixing with water from previous events (Allen et al., 2014) has been described. These effects ~~reduced~~result in offsets of TF, they reduce the ~~mean~~depth of TF compared to  $P_g$  and ~~cause~~ the difference in ~~isotopic composition of  $\delta^{18}O$  between~~ event-based sampled TF ~~compared to  $P_g$ .~~ Depending on the species (spruce and beech) and on the density of the vegetation cover the volume weighted mean of the relative interception loss was in a range of 12% to 41%. It was typically higher for small events and ~~generally led to enrichment of heavy isotopes in TF (Brodersen et al., 2000). However, ignoring  $P_g$ .~~ Ignoring the differences between  $P_g$  and TF depth ~~results in an overestimation of the input amount to the system and would therefore be a source of error in~~ and isotopic composition may ~~flaw~~ a range of isotope applications in forested catchments. In hydrograph separation, changes of runoff isotopic composition are evaluated in relation to the span between the endmembers ~~baseflow isotopic composition and precipitation input, which is the enriched TF in forested catchments. This resulted in~~



differences of up to 10% in the estimation of “old water” (Kubota and Tsuboyama, 2004) ~~and other isotope related analyses.~~ The estimation of stream water transit time distributions (TTD) can also be flawed by the difference between  $P_g$  and TF. Stockinger et al. (2015) found generally decreasing TTDs when using  $\delta_{TF}$  instead of  $\delta_{P_g}$  as model input. Additionally, they found more pronounced differences in TTDs when using  $\delta^2H$  instead of  $\delta^{18}O$  data. Similar effects can be expected for other isotope applications using precipitation data as model input or as substitute for the soil water isotopic composition. Due to interception-induced enrichment, the latter will be shifted towards higher values. This may affect evapotranspiration partitioning studies (e.g. Haverd et al, 2011; Rothfuss et al., 2012; Dubbert et al., 2013, 2014). Also for the interpretation of the seasonal origin of soil water (Allen et al., 2019) it is crucial to use TF isotopic composition. Further, the percolation velocity of seasonal precipitation input fractions will be reduced as a consequence of the reduced precipitation input depth. This likely has an impact on plant water uptake and rooting depth studies using water stable isotopes. In this context, Goldsmith et al. (2018) also emphasized the relevance of throughfall spatial input patterns. Based on rainfall depth data, canopy water storage capacity rather than evaporation has been found to be the main constituent of rainfall interception. A comparison of different methods for estimating the water storage capacity yielded significantly diverging results (Klaassen, 1998). This was concluded to have implications for a wide range of models describing soil-vegetation-atmospheric transfer. In ungauged catchments or when only  $P_g$  instead of TF data are available, isotopic correction factors were determined empirically (Stockinger et al., 2015; Calderon and Uhlenbrook, 2016) serving as surrogates to compensate for the lack of respective TF isotope data.

High spatial intra- and inter-storm variabilities have been found in depth and isotopic composition of TF. A synthesis study analysed the spatiotemporal variabilities of TF from 18 selected studies at a global scale. The study showed that the spatial patterns of TF ~~were very heterogeneous and ecosystem dependent~~ when related to leaf area index (LAI) ~~as well as to spatial variability in general, were very heterogeneous and ecosystem dependent or other biotic factors~~ (Levia et al., 2011). ~~Another study used~~ These factors were also investigated by Brodersen et al. (2000). They found that depending on the species (spruce and beech) and on the density of the vegetation cover the volume weighted mean of the relative interception loss was in a range of 12% to 41%. It was typically higher for small events and generally led to enrichment of heavy isotopes in TF. The spatial variability was investigated using a set of 94 TF collectors at three different forested sites, covering different types (coniferous and deciduous) and ages of trees, canopy densities and canopy diameters. ~~There the authors investigated the spatial dependence of TF depth (storm-total) for three to seven storms in a six months period with a geostatistical approach finding a high spatiotemporal persistence~~ (Keim et al., 2005). ~~Recently, the observation~~ ~~There the authors investigated the spatial dependence of TF depth (storm-total) for three to seven storms in a six months period with a geostatistical approach finding a high spatiotemporal persistence.~~ The representation of high spatial variability in collected TF stable isotopic compositions could be improved by using a set of roving collectors (Allen et al., 2015). Although hypothesized by the authors, intra- and inter-storm variabilities of TF ~~amount~~ ~~depth~~ did not necessarily correspond with variations in isotopic composition. Consequently, collecting representative TF input data for isotopes studies is still missing and an observational challenge (Allen et al., 2015).

Traditionally, the isotopic composition of liquid water is determined with discrete samples being analysed in the laboratory, hence conducting isotope studies always implied a trade-off between limited spatio-temporal resolution and extensive (and expensive) lab work. With the recent development of laser-based isotope analysers like off-axis integrated cavity output spectroscopy (OA-ICOS) or Cavity Ring-Down Spectroscopy (CRDS), there is now the possibility to analyse water stable isotopes faster and less expensive. The fact that water vapour is analysed directly ‘as water’ together with the field-deployability of the analysers and the virtually instant availability of isotope readings made way for several attempts aiming at in-situ isotope observations with high temporal resolutions. Berman et al. (2009) and Pangle et al. (2013) combined an autosampler with a flow-through system and were able to reveal otherwise unnoticed fine-scale (5-minutes) variations of precipitation isotopic compositions. A commercially available VALCO® valve unit coupled with laser spectrometers for high-resolution sampling (9.5-minutes) was used by Leis et al. (2011) to investigate spring water isotope dynamics. Koehler and Wassenaar (2011) employed a marble-filled equilibrator and a minimodule device for producing and subsequently analysing a constant stream of vapour being in isotopic equilibrium with and therefore carrying in a known manner the isotopic information of the liquid phase of interest. Following the same principle, other researchers used gas-permeable ePTFE surgical tubings for the investigation of precipitation trajectories (Munksgaard et al., 2012a) or seawater-freshwater mixing ratios (Munksgaard et al., 2012b). For the continuous investigation of rapid water isotope changes in a soil column experiment, Herbstritt et al. (2012) employed a commercially available hydrophobic membrane contactor for converting a small fraction of liquid water continuously into a stream of vapour, which was directly analysed by the coupled isotope analyser. However, none of these approaches have attempted to observe rainfall and throughfall in parallel.

Recent interception studies, mostly based on bulk sampling data and focusing on spatial variations, are especially lacking an appropriate temporal resolution to be comparable with the available temporal resolution of meteorological input data and hence to describe and better understand the physics controlling the differences in isotopic composition between  $P_g$  and TF. Therefore, the aim of this study is to develop an approach for the analysis of  $P_g$  and TF depth and isotopic composition at the point level at high temporal resolution based on the membrane contactor method, to compare and validate the continuous isotope measurements with discrete liquid samples as well as with event-based bulk samples. With this approach the dynamics in ~~amount~~depth and isotopic composition of  $P_g$  and TF and hence, interception processes influencing ~~amount~~depth and isotopic composition of TF can be investigated in unprecedented high temporal resolution.

## 2 Methods and Material

### 2.1 Sampling

We modified the setup developed and used previously for the in-situ observation of water stable isotopes in a soil column experiment (Herbstritt et al, 2012). A commercially available hydrophobic membrane contactor of 1 x 1 x 0.5 inch (MicroModule®, Membrana, Charlotte, NC, USA, www.liquicel.com) was combined with a CRDS isotope analyser (L2120-

*i*, Picarro, Inc., Santa Clara, CA, USA, www.picarro.com). The contactor, originally designed for degassing liquids, was used in the so called ‘sweep-mode’ in order to continuously transform a small fraction of liquid water of interest with flowrates of 5-30 mL/min, according to manufacturer specifications, into a water vapour stream. Inside the contactor a microporous, hydrophobic, PP-based membrane ( $A = 100 \text{ cm}^2$ ) divides the liquid from the gaseous phase. At the membrane’s surface, dry carrier gas (e.g.  $\text{N}_2$ ) mixes with vapour diffusing from the liquid phase through the pores across the membrane. Moist air then leaves the contactor at the gas outlet port (Fig. 1, right) which is directly connected to the CRDS. In the analyser-controlled stream of moist air (flow rate  $\sim 35 \text{ mL/min}$ ), readings of water vapour,  $\delta^{18}\text{O}$  and  $\delta^2\text{H}$  are given every two seconds. The method requires a thorough determination of the temperature to account for the temperature-dependent isotope fractionation factors of the membrane as described in detail by Herbstritt et al. (2012).

Several modifications of the original setup were made for the quasi real-time observation of water stable isotopes in rainfall. A standard rainfall collector was enlarged from 200 to 1810  $\text{cm}^2$  with a PP-funnel to ensure sufficient water flow in case of low rainfall intensities and also to account for small-scale spatial variabilities in TF. To protect against clogging by litter fall, a metal mesh with 1 x 1 mm covered the funnel. At the bottom end, a smaller funnel with a volume of 3 mL was installed. From there, a stream of water was pumped to the membrane contactor with a peristaltic pump at a constant flowrate of 5 mL/min while at the same time water exceeding this flowrate was spilled and collected via an additional funnel into a sampling bottle. This overflow was volume-weighted, contributing to the event-based bulk sample.

All connections were made by gas-tight PFA tubings with an inner diameter of 1 mm. Easily replaceable glass fibre syringe filters (pore size 1-2 $\mu\text{m}$ ) were installed in line to protect the membrane contactor from clogging. Removal of smaller particles or biofilms inside the contactor could be facilitated by back flushing with deionized water as needed or periodical rinsing every 2 to 4 weeks with weak acids, respectively. Temperature of the water in the tubing just before the contactor was stabilized and kept constant at 16°C using a peltier element (UEPT-KIT3) and a controller (UR3274U5, both obtained from uwe electronic, Wachendorf, Germany, www.uweelectronic.de) to avoid super-saturation and condensation of the vapour on the way to the isotope analyser operated at room temperature. Vapour isotope data were recorded as soon as temperature and thus vapour concentration at the membrane contactor was stable, which was usually the case within 5 to 10 minutes after the onset of precipitation sampling. All tubings were kept as short as possible, facilitating a time shift of no more than four minutes between precipitation and the respective readings displayed by the isotope analyser (Fig. 1). During rainfall events, discrete liquid samples were taken every five minutes at the liquid outlet port of the membrane module and analysed later in the laboratory. The ~~collected~~ overflow ~~plus and the~~ excess water ~~at downstream of~~ the membrane module ~~was were collected and analysed separately. From these isotope results~~ volume-weighted ~~and summed up means were~~ calculated to an event-based represent bulk sample. values for each continuously measured event. Additional bulk-samples were physically collected and analysed for events when low intensities or the occurrence during night-time prevented continuous analysis. In close proximity (1.5 m) to the collector a tipping bucket (R3, Onset Rain Gauge) was installed. Rainfall was logged in 0.2 mm increments (HOBO UA-003-64 Pendant Event Data Logger, Onset, HOBO®, Bourne, MA, USA, www.onsetcomp.com) and data was aggregated to 1-minute intervals. Two of these setups were installed with 10 m

horizontal distance from each other, sampling gross precipitation ( $P_g$ ) and throughfall (TF) under a deciduous tree (*Acer campestre* L.) separately. In total, 28 bulk samples and nine continuously analysed events were obtained in August-September 2015 and throughout the vegetation period (May-September) of 2016. In any case, the measurements were carried out during the period when the ~~leaf~~leaves had reached their full sizes, in order to minimize the influence of the growing season. The meteorological variables air temperature ( $T_a$ ) and relative humidity (RH) were recorded in 15 m distance to the tree with a CS215 sensor and logged with a CR1000 data logger (both available from Campbell Scientific, Inc., Logan, UT, USA, www.campbellsci.com) every minute. Additionally, the meteorological variables rainfall depth ( $P_g$ ), air temperature ( $T_a$ ), relative humidity (RH), air pressure, wind speed ( $v$ ), and wind direction were available in 10 minute resolution from a climate station 250 m away.

Figure 1

## 2.2 Analyses

All isotope data are expressed in  $\delta$ -notation calculated with the following equation:

$$\delta = \left( \frac{R_{sample}}{R_{VSMOW2}} - 1 \right) * 1000\text{‰} \quad (1)$$

where VSMOW2 is the Vienna Standard Mean Ocean Water and R is the isotope ratio ( $^{18}\text{O}/^{16}\text{O}$  or  $^2\text{H}/^1\text{H}$ ). Calibration of the samples was conducted using three in-house standards with distinct isotopic compositions, -16.65‰, -9.59‰, and 0.51‰ for  $\delta^{18}\text{O}$ , -125.05‰, -66.50‰, and -2.40‰ for  $\delta^2\text{H}$ , referenced to the international VSMOW-SLAP scale (Craig, 1961). They were pumped consecutively through the contactor after each rainfall event until a plateau in the isotope readings was reached (~10 minutes) and treated similar to the continuously sampled precipitation water. Hence, potential long-term changes of the membranes e.g. build-up of biofilms or mechanical changes (small cracks, fissures) at the membrane did not have an effect on calibrated isotope data. For data noise reduction of the continuous measurements we calculated moving averages with an integration time of 90 s. All liquid water samples were analysed on a CRDS laser spectrometer (Picarro L2130-i) with a post-calibration accuracy of  $\pm 0.05\text{‰}$  for  $\delta^{18}\text{O}$  and  $\pm 0.35\text{‰}$  for  $\delta^2\text{H}$ .

D-excess ( $d$ ) was used to indicate the deviation from the global meteoric water line (GMWL) and likely non-equilibrium fractionation by evaporation (Gat, 1996):

$$d = \delta^2\text{H} - 8 * \delta^{18}\text{O} \quad (2)$$

The difference in isotope characteristics between TF and  $P_g$  is indicated by the symbol  $\Delta$ :

$$\Delta\delta^2\text{H} = \delta^2\text{H}_{\text{TF}} - \delta^2\text{H}_{\text{P}_g} \quad (3)$$

$$\Delta\delta^{18}\text{O} = \delta^{18}\text{O}_{\text{TF}} - \delta^{18}\text{O}_{\text{P}_g} \quad (4)$$

$$\Delta d = d_{\text{TF}} - d_{\text{P}_g} \quad (5)$$

Relative interception loss ( $Loss$ ) is the difference in depth between  $P_g$  and TF

$$Loss = \frac{P_g - T_F}{P_g} * 100 \% \quad (56)$$

Vapour pressure deficit (VPD) is calculated to indicate potentially high or low evaporation with the following equation (modified from Foken, 2008):

$$VPD = 6.107hPa * e^{\left(\frac{17.62 * T_a}{243.12 * C + T_a}\right)} * \left(1 - \frac{RH}{100 \%}\right) \quad (67)$$

5 where RH is relative humidity in % and T<sub>a</sub> is air temperature in °C.

### 3 Results

One example rainfall event observed in this study (Fig. 2) had a total depth of 6.8 mm and started with high rainfall intensities followed by more moderate intensities which lasted for roughly two hours. Continuous vapour derived stable isotope measurements, isotope ratios of discrete liquid and liquid bulk samples are shown for both isotope ratios investigated to illustrate the increase of temporal information during one single rain event when analysing in high temporal resolution. The moving average of calibrated vapour derived data ranged between -5.45‰ and -7.53‰ for δ<sup>18</sup>O and -27.36‰ and -45.64‰ for δ<sup>2</sup>H with respective mean precisions of ± 0.26‰ and ± 1.53‰. The isotopic composition of the event-based bulk sample of this event falls in that range with -5.68‰ for δ<sup>18</sup>O and -32.67‰ for δ<sup>2</sup>H. Calibrated data of the liquid samples taken simultaneously were in a range of -5.34‰ to -7.43‰ in the case of δ<sup>18</sup>O and -28.73‰ to -45.95‰ in the case of δ<sup>2</sup>H. Mean absolute ~~deviation~~ deviations between the moving average of the continuously analysed vapour data and the discrete liquid samples ~~was were~~ 0.11‰ and 1.35‰ with standard deviations of 0.096‰ and 0.81‰ for δ<sup>18</sup>O and δ<sup>2</sup>H, respectively. ~~The isotopic composition of the event based bulk sample during this event was -5.68‰ for δ<sup>18</sup>O and -32.67‰ for δ<sup>2</sup>H.~~

20 Figure 2

Analysing all 28 event-based bulk samples in this study, the variables rainfall depth (P<sub>g</sub>), mean rainfall intensity, interception loss, δ<sup>18</sup>O<sub>Pg</sub>, δ<sup>18</sup>O<sub>TF</sub>, the difference in deuterium excess (Δd) and the isotopic difference Δδ<sup>18</sup>O are ~~correlated to plotted~~ against each other to check for correlations between them in a scatterplot matrix (Fig. 3). A significant (p-value < 0.05) but moderate negative correlation between the logarithm of the mean rainfall intensity and the relative interception loss indicates that the highest interception losses were found during events with lowest rainfall intensities. The interception loss ranges ranged predominantly between 30% and 50%. Also a weak ~~positive relationship~~ negative correlation between ~~Δδ<sup>18</sup>O~~ and interception loss and rainfall depth was found ~~which means that~~, as well as a weak positive correlation between the

isotopic composition ( $\delta^{18}\text{O}$ ) logarithm of precipitation when becoming throughfall is altered to a larger extent when interception losses are high. The mean rainfall intensity and rainfall depth. The isotopic composition of  $P_g$  ranged from -1.58‰ to -11.69‰ for  $\delta^{18}\text{O}$  and is was significantly correlated to the respective isotopic composition of TF which ranged from -0.88‰ to -10.15‰. Only non-significant correlations were found between the other investigated quantities. The explained variance by any of the considered variables alone was generally small.

Figure 3

In Figure 4 (a) the difference of the isotopic signature between TF and  $P_g$  ( $\Delta\delta^{18}\text{O}$ ) of 28 bulk samples is shown. It was calculated from flux-weighted means of  $\delta^{18}\text{O}$  of TF and  $P_g$ . The data of the bulk samples were grouped in classes of 0.5‰ increments. The maximum difference in  $\delta^{18}\text{O}$  values of 2 - 2.5‰ was observed only for two events, while for 23 of the 28 events  $\Delta\delta^{18}\text{O}$  was 1.5‰ or less. In contrast, in the shorter periods of continuous sampling,  $\Delta\delta^{18}\text{O}$  values up to 3.5‰ were found (Table 1 and Fig. 4 (b)). Each symbol in Figure 4 (b) indicates the mean difference in  $\delta^{18}\text{O}$  (y-axis), whereas the length of the symbol indicates the duration of the continuous sampling (x-axis), including start and end time of the respective events. Two clusters can be identified with one containing three single rainfall events on a previously dry canopy, i.e. after at least 6 hours without rainfall, and the other containing six events on already wet canopies with markedly higher  $\Delta\delta^{18}\text{O}$  values.

Table 1: Depth, mean intensity, and isotope values of continuously sampled  $P_g$  and TF. Data of differences in  $\delta^{18}\text{O}$  ( $\Delta\delta^{18}\text{O}$  (‰)) are reported as mean  $\pm$  SD. (\* tipping bucket malfunctioned)

Event #	Rain ( $P_g$ )		Throughfall (TF)		TF- $P_g$ differences			
	Starting time	Duration (h:mm)	Depth (mm)	Intensity <sub>mean</sub> (mm/h)	Depth (mm)	Intensity <sub>mean</sub> (mm/h)	Loss (%)	$\Delta\delta^{18}\text{O}$ (‰ <sub>VSMOW</sub> )
1	2015/09/22 20:32	0:25	2.0	4.80	1.20	2.88	40.0	$3.45 \pm 1.16$
2	2015/09/22 21:28	0:32	2.6	4.88	1.60	3.00	38.5	$2.49 \pm 0.98$
3	2016/08/02 17:50	0:59	3.0	3.00	(6.20)*	(6.20)	(-106.7)	$0.95 \pm 0.37$
4	2016/08/04 19:50	0:42	1.8	2.57	0.80	1.14	55.6	$2.14 \pm 0.5$
5	2016/08/04 20:54	0:22	0.6	1.64	0.40	1.09	33.3	$2.68 \pm 0.27$
6	2016/08/04 21:34	0:24	0.8	2.00	0.40	1.00	50.0	$2.31 \pm 0.26$
7	2016/08/04 22:10	0:15	0.4	1.60	0.20	0.80	50.0	$3.31 \pm 0.35$
8	2016/08/05 18:15	0:08	0.6	4.50	0.40	3.00	33.3	$0.86 \pm 0.32$
9	2016/08/20 18:37	0:46	4.0	4.80	2.80	3.36	30.0	$1.00 \pm 0.33$

Figure 4

Time series of (a)  $\Delta\delta^{18}\text{O}$ , (b)  $\Delta\delta^2\text{H}$ , and (c)  $\Delta d$  calculated from continuous isotope data of TF and  $P_g$  of all nine continuously observed events listed in Table 1 are illustrated in Figure 5. Values during events on initially dry canopies ~~did~~ not exceed 1.5‰ and 10‰ for  $\Delta\delta^{18}\text{O}$  and  $\Delta\delta^2\text{H}$ , respectively, with  $\Delta d$  values ranging from +1‰ to -7‰. In contrast, values from events on wet canopies ~~are~~ were in the range of +1.5‰ to +5‰ for  $\Delta\delta^{18}\text{O}$  and +11‰ to +43‰ for  $\Delta\delta^2\text{H}$  with  $\Delta d$  values ranging from +12‰ to -6‰.

Figure 5

The combination of collector funnel area (1810 cm<sup>2</sup>) and water flow rate (5 mL/min) resulted in a threshold rainfall intensity of 0.03 mm/min that was required to ensure an air bubble-free stream of water being pumped to the membrane contactor. Thus, periods of pure water flow at both ( $P_g$  and TF) contactors alternated with periods when air bubbles appeared at either one or both of the contactors in this example event (Fig. 6). Since the presence of bubbles proved to flaw isotope readings, calculations of  $\Delta\delta^{18}\text{O}$ ,  $\Delta\delta^2\text{H}$ , and  $\Delta d$  were only reasonable for periods without bubbles at any contactor. The variability of the continuous  $P_g$  isotope data ~~is~~ was higher than the variability of the continuous TF data, where the signal ~~is~~ was more dampened over time. Relative to  $P_g$ , TF ~~becomes~~ became increasingly enriched in heavy isotopes during the event. The variability of  $d$  ~~is~~ was in the range of about 5‰ for both  $P_g$  and TF, fluctuating initially in a corridor between 10‰ and 15‰. However,  $d_{P_g}$  ~~shows~~ showed a negative trend and ~~decreases~~ decreased by about 10‰ over the course of the event whereas  $d_{TF}$  ~~does~~ did not follow this trend and ~~remains~~ remained rather constant. Air temperature as well as vapour pressure deficit ~~decreased~~ decreased with event duration.

Figure 6

## 4 Discussion

### 4.1 Data quality

The modified setup of the method developed by Herbstritt et al. (2012) adapted to continuous rainfall and throughfall isotope measurement worked quite well in terms of providing continuous, thermo-regulated flows of water to the membrane modules and delivering reliable liquid water stable isotope data. The latter became evident by the good agreement of continuous measurements and ~~single~~ discrete liquid samples (Fig. 2 and also Fig. 6) which was persistently in the order of the measurement uncertainty for both isotope ratios under investigation. ~~Large~~ Given the dead volume of the perfused

components the collected isotope data were subject to averaging with a time window of ~36 s. Additionally, we calculated a moving average with 90 s integration time for data noise reduction. Nonetheless, large intra-storm variabilities exist in the isotopic signature of  $P_g$  and TF, which. They would have been impossible to detect when solely relying on commonly taken event-based bulk samples or even on data representing a higher sampling interval of typically 5 mm precipitation depth.

5 WeFor the sampling points in our study, we found that the variability of the continuous  $P_g$  isotope data ~~is~~was higher than the variability of the continuous TF data (Fig. 6). For the latter, the signal ~~is~~was more dampened probably due to mixing processes in the canopy, which was also found in other studies (Qu et al., 2013). The lower intensity and total depth of TF as compared to  $P_g$  ~~is indicative for~~indicates evaporation from the canopy. However, this is not reflected in continuous  $d_{TF}$  values (Fig. 6) ~~which were~~. We would have expected ~~to~~that they follow the trend of  $d_{P_g}$  values while being comparatively lower ~~after evaporative~~as a result of non-equilibrium fractionation. Neither was the case. The dynamics in the isotopic composition in  $P_g$  as well as in TF could be captured by the continuous measurements and also to some degree withby the 5-minute discrete liquid samples. This provides a good evidence for the applicability of the developed continuous method. On the other hand, it is also an indication that a temporal resolution of around five minutes might be sufficient to capture the isotope dynamics of rainfall events, if continuous sampling is not possible. However, continuous data were instantly

15 available whereas data of sampled liquid water were available not before conventional analysis via CRDS was completed, which lasted for another two days. In contrast, there ~~is~~was obviously a tremendous loss of information about short term dynamics or trends when comparing the results with commonly taken bulk samples or 5 mm depth incremented samples.

II. Depletion in heavy isotopes in open site rainfall was observed in the last ~30 minutes of the event presented in Figure 2. Several effects could cause such a pattern. The amount effect, reported for lower latitudes (Moore et al., 2014), can be ruled

20 out due to the fact that at the same time rainfall intensity was quite low compared to other periods of this particular rainfall event. Also a rainout effect cannot be attributed to our data as it is only ~~detectible~~detectable on a spatial scale considering the movement of air masses and rain clouds. Rather, the simultaneous decrease of air temperature indicates the passing of a weather front which appears to be the relevant explanation for the observed changes in precipitation isotope values.

#### 4.2 Bulk sample data

25 III. In the data of the collected bulk samples ~~the isotopic composition of  $P_g$  and TF were highly correlated to each other.~~ This concurs with our expectation, as does the quasi persistent enrichment in heavy isotopes of TF relative to  $P_g$  (positive  $\Delta\delta^{18}O$  values) indicating evaporation from the canopy. Although expected, no significant ~~negative-positive~~ correlation between  $\Delta\delta^{18}O$  and relative interception loss ~~and~~was found. The same applies for potential negative correlations between  $\Delta d$  and  $\Delta\delta^{18}O$  or relative interception loss, which were also not found. However a moderate negative correlation between

30 interception loss and the logarithm of the meteorological variable rainfall intensity ~~as well as~~, a ~~moderate~~weak negative correlation between relative interception loss and depth of incident rainfall as well as a weak positive correlation between the logarithm of the mean rainfall intensity and rainfall depth could be observed (Fig. 3). This means that the highest interception losses were found during events with either the lowest rainfall intensities or with the lowest depths. ~~A weak~~



~~positive relationship also existed between  $\Delta\delta^{18}\text{O}$  and interception loss, indicating that  $\Delta\delta^{18}\text{O}$  increases with increasing interception losses, and that higher rainfall intensities result in higher total rainfall depths.~~ This is in line with results found in other studies (Dewalle and Swistock, 1994; Brodersen et al.; 2000, Keim et al.; 2005, Kato et al., 2013; Allen et al., 2017). Only non-significant correlations were found ~~between-among~~ the other investigated quantities. The generally small explained variance ~~between-among~~ any of the considered variables illustrates the complexity of the processes contributing to interception loss and the transformation of  $\text{P}_g$  isotope ratios when becoming TF.

### 4.3 Meteorological correlations

VIII. Meteorological variables also did not provide a clear single evidence for the observed enrichment, indicating that multiple variables affected the isotopic processes in the canopy. Typically, air temperature as well as vapour pressure deficit slightly decreased over the course of an event, but obviously evaporation still altered the isotopic signal as evidenced by the observed interception losses. At the same time mixing of antecedent water with new precipitation water occurred in the canopy. Therefore, it remains unclear to what extent the increase in difference between synchronous  $\text{P}_g$  and TF isotope data must be attributed to evaporative enrichment or to changing mixing processes following the variable rainfall intensities (Keim and Link, 2018). Horizontal water redistribution in the canopy via flow along branches may result in mixing of different water reservoirs. This may have an effect on the observed isotopic composition as it also changes the unknown spatial pattern of precipitation water isotopes (Levia et al., 2011). In addition, the spatial scale and variability of mixing, certainly at the leaf level, but probably also among leafs as water drips from leaf to leaf, needs to be considered if we would like to decipher the isotope dynamics caused by water mixing and evaporation in the canopy of a tree.

### 4.4 Deuterium excess

VII. Similar but less distinct clusters could be observed in the derived  $\Delta d$  values. The time series of  $\Delta d$  from initially dry canopies were quite stable and predominantly negative. In contrast, we observed higher, mainly positive and more fluctuating  $\Delta d$  values from initially wet canopies (Fig. 5 (c)). The fluctuations may be attributed to changing meteorological conditions affecting evaporation but also to the fact that  $\Delta d$  values are quantities derived from four isotope measurements ( $\delta^{18}\text{O}$  and  $\delta^2\text{H}$  of both  $\text{P}_g$  and TF) and therefore bear generally higher uncertainties due to error propagation. The positive  $\Delta d$  values are not consistent with our expectation that evaporation would persistently lead to negative  $\Delta d$  values and it stands out that highest  $\Delta d$  values were calculated from continuous samples with highest evaporative enrichment in heavy isotopes of both  $\delta^{18}\text{O}$  and  $\delta^2\text{H}$  (Fig. 5). Regardless of the sampling method, we found positive  $\Delta d$  values in both continuous (Fig. 5 and Fig. 6 (qualitatively)) and bulk sample data (Fig. 3). This indicates that the appearance of positive  $\Delta d$  values is not a methodological artefact due to our way of continuous data interpretation but had to be physically based. Mathematically, positive  $\Delta d$  values would have resulted from evaporation lines with slopes higher than that of the meteoric water line. However, we are not aware of such a phenomenon. Positive  $\Delta d$  values as a result of mixing processes, as suggested

elsewhere (Allen et al., 2017), would still necessitate at least one substantial endmember with significantly higher than evaporation-only-caused  $d$  values. The hypothetical formation of such endmembers remains unclear and was not indicated by the continuous  $P_g$  data.

#### **4.5 Technical aspects**

**IV.** Due to required minimum flowrates at the contactor (5 mL/min), the used setup with a collector area of 1810 cm<sup>2</sup> was limited to minimum rainfall intensities of 0.03 mm/min (1.8 mm/h). The fact that some of the successfully investigated events appear to have intensities below 1.8 mm/h (Tab. 1) may be due to TF heterogeneities that came into effect at the different locations of the tipping bucket and the continuous TF sampling. For sampled intensities below the identified threshold value, a larger or multiple collectors would be necessary, whereby larger collection funnels in combination with low rainfall intensities will increase the risk of evaporative enrichment from the funnel surface, leading to methodological artefacts that need to be avoided. These effects, however, are typical for most throughfall collectors as large and long surfaces are preferred. Therefore, dimensioning the collectors always is a trade-off and the maximum size is limited.

**V.** On the other hand, larger or multiple collectors would ensure a better representation of the spatial variability of  $P_g$  and TF isotope data. This issue is critical for the thorough investigation of spatially heterogeneous processes affecting isotope input data at e.g. the catchment scale. A better representation of spatially distributed isotope data based on the proposed method can be achieved by installing a representative number of smaller collectors from where water is continuously aggregated and directed to one analyzer. This approach would consider the spatial heterogeneity similar to the roving collector approach (Allen et al., 2015) but at the same time it still does not allow for the quantification thereof. For the latter purpose, the entire setup described in this study could be multiplied in order to analyze individually the continuous water samples from the respective number of collectors considered necessary for covering the spatial heterogeneity. However, given the required number of suitable isotope analyzers this approach may not be feasible for many research groups.

#### **4.6 Data processing**

**VI.** Comparing  $\Delta\delta^{18}\text{O}$  data during continuously measured events with those derived from event-based bulk samples, the differences are were larger during the shorter continuously measured periods (Fig. 4). It should be noted that in this case the definition of a rainfall event is not consistent. Bulk samples cover the entire time period of rainfall regardless of intensity at the point of observation and mere existence of rainfall at the complementary observation point ( $P_g$  vs. TF). In contrast, continuous events are defined by sufficient simultaneous rainfall intensity at both points of observation due to our setups' properties. Therefore, natural rainfall events can only partially be captured by continuous synchronous observations. At the same time bulk samples represent the flux-weighted mean of all conditions constituting the respective event. Nonetheless, in the continuously analysed dataset two clusters could be distinguished representing rainfall on already wet and on initially dry canopies (Fig. 4 (b)). The fact that wet canopies lead to an even stronger enrichment in heavy isotopes can probably be attributed to partly evaporated and therefore isotopically enriched pre-event water that mixes mixed with new rainfall water.

This supports the interpretation that antecedent conditions have had a clear impact on isotopic enrichment of TF which was also described in previous studies (Keim et al., 2005; Allen et al., 2014; Stockinger et al., 2015; Allen et al., 2017). Relative to  $P_g$ , TF becomes increasingly enriched in heavy isotopes (Fig. 6), which is similar to our observations of  $\Delta\delta^{18}O$  in bulk samples on dry and wet canopies (Fig. 4 and Fig. 5). The additionally calculated  $\Delta d$  values did not show a clear or systematic pattern that would add to previous findings. Meteorological variables also did not provide a clear single evidence for the observed enrichment, indicating that multiple variables affect the isotopic processes in the canopy. Relative to  $P_g$ , TF became increasingly enriched in heavy isotopes (Fig. 6), which is similar to our observations of  $\Delta\delta^{18}O$  in bulk samples on dry and wet canopies (Fig. 4 and Fig. 5). Typically, air temperature as well as vapour pressure deficit slightly decreased over the course of an event, but obviously evaporation still altered the isotopic signal as evidenced by the observed interception losses. At the same time mixing of antecedent water with new precipitation water occurred in the canopy. Therefore, it remains unclear to what extent the increase in difference between synchronous  $P_g$  and TF isotope data must be attributed to evaporative enrichment or to changing mixing processes following the variable rainfall intensities. Water redistribution in the canopy, i.e. movement of water to or from a specific place e.g. via flow along branches, may have an effect on the isotopic composition observed as it also changes the unknown spatial pattern of precipitation water isotopes. In addition, the spatial scale of mixing, certainly at the leaf level, but probably also among leaves as water drips from leaf to leaf, needs to be considered if we would like to decipher the isotope dynamics caused by water mixing and evaporation in the canopy of a tree.

IX. The total number of observed events is lower in the case of the continuously measured events due to a number of events with rainfall intensities below the threshold for the continuous sampling method and due to several events during the night when the sampling setup was not operated. For continuous measurements the differences in the isotopic signature were consistently calculated from isochronous  $P_g$  and TF data although we are aware that water falling from the canopy (i.e. TF) is always a mixture of new rainfall (i.e. isochronous  $P_g$ ) and rainfall that has occurred at different points in time before. The time lags depend on canopy storage capacity and rainfall intensity (Allen, 2017) and therefore vary within each single event as well as between different storms.

X. Highest  $\Delta\delta^{18}O$  values were found in the cases of the continuous observations. One reason could be that the continuously analysed events are shorter and therefore potentially capturing extreme values while bulk samples represent flux-weighted mean isotopic signatures of the entire periods of rainfall and throughfall. On the other hand, we are aware that the calculation and interpretation of synchronous  $\Delta\delta^{18}O$  and  $\Delta\delta^2H$  data are disputable given assumable, yet unknown, time lags between  $P_g$  and TF. Within each rainfall event, also past trends and variabilities of the  $P_g$  isotopic compositions must be assumed to be reflected in instantaneous TF isotopic compositions, but are not considered with the proposed approach. However, a quite common intra-event  $P_g$  isotopic depletion trend (Fig. 2 and Fig. 6) combined with any positive time lag between  $P_g$  and TF would result in higher synchronous  $\Delta\delta^{18}O$  values compared to estimates derived from bulk sample data.

#### 4.7 Potential modelling approaches

XI. For the quantitative description of processes affecting throughfall depth and isotopic composition, and thus the possible explanation for the discrepancies in  $\Delta\delta^{18}\text{O}$  values, a mechanistic modeling approach could be envisioned. Such an approach could build on the one developed by Keim et al. (2006) and aim at the quantification of the intensity-dependent canopy storage capacity and hence variable time lags between  $P_g$  and TF. The canopy storage capacity has been shown to be a function of leaf area and rainfall intensity (Keim et al. 2006) and may also depend on wind speed or other meteorological properties. While being attached to the canopy, water is exposed to meteorology-dependent, thus variable, evaporation. This ~~causes~~caused the effective cumulative  $P_g$  depth to decrease thereby producing variable time lags or rather transit time distributions between  $P_g$  and TF. Evaporation also causes enrichment in heavy isotopes of canopy-stored water- (Fig. 3 and Fig. 4). Consequently, for every simulation time step the TF isotopic composition ~~needs~~needed to be calculated consecutively taking into account the respective fractions of  $P_g$  remaining from simultaneous and prior time steps and constituting the instantaneous reservoir releasing TF. In addition, internal mixing will occur at the leaf scale or inter-leaf scale. These mixing assumptions are probably least known and would need to be tested under different conditions. Finally, all relevant model parameters can be assumed to be dependent on meteorological variables thus further complicating this modeling and emphasizing the importance of continuous precipitation data representing different climates and vegetation characteristics.

#### **5 Conclusions**

We could demonstrate that the proposed method is suitable for continuously observing the water stable isotope dynamics in precipitation and throughfall. We facilitated a huge increase in temporal resolution compared to isotope assays based on bulk sampling. Our approach supersedes taking liquid samples and at the same time provides data much faster. The instant data availability enables immediate reactions during rainfall events while the operator is still in the field. Employing our setup, the temporal resolution of the isotope data corresponds with the temporal resolutions that are already common in high frequency meteorological observations.

All components employed in this study are commercially available and can be installed with reasonable effort. In the present design the setup cannot yet be left unattended due to the necessity of periodical cleaning and maintenance like changes of the in-line filters. However, proper precautions excluding clogging by e.g. leaf debris should solve this issue as well. We are therefore confident that our setup, especially when employed across larger spatial scales, will contribute to the aim of thorough isotopic sampling of TF, which is crucial in hydrological studies in particular for forested sites but also for other vegetated areas.

Due to the selected dimensions of our setup and the resulting minimum rainfall intensity of 0.03 mm/min, the system was not able to capture events with low rainfall intensities, e.g. most stratiform rainfall events, but this can be changed to make the approach suitable for a wider range of rainfall intensities. ~~The lack of strong correlations between the investigated rainfall~~

characteristics illustrates the complexity of interception processes. Especially Significant correlations of  $P_g$  and TF depths and depth-derived quantities were found as expected and concurred with findings from other studies. The lack of significant correlations involving isotope derived quantities cannot be explained with our current knowledge and process understanding and calls for further scrutiny. Especially the high abundance of positive  $\Delta d$  values should be subject of future studies. Additionally, knowledge about intra-canopy mixing and the time lags between  $P_g$  and TF as required for a precise, physically based calculation of the evaporative enrichment still remains a challenge for future applications.

### **Author contribution**

Barbara Herbstritt and Markus Weiler designed the research. Barbara Herbstritt investigated, performed and tested the technical implementation of the design. Benjamin Gralher contributed by advice. Barbara Herbstritt collected the data, evaluated the results and wrote the manuscript. Benjamin Gralher and Markus Weiler contributed by advice in evaluating the data and by reviewing the manuscript.

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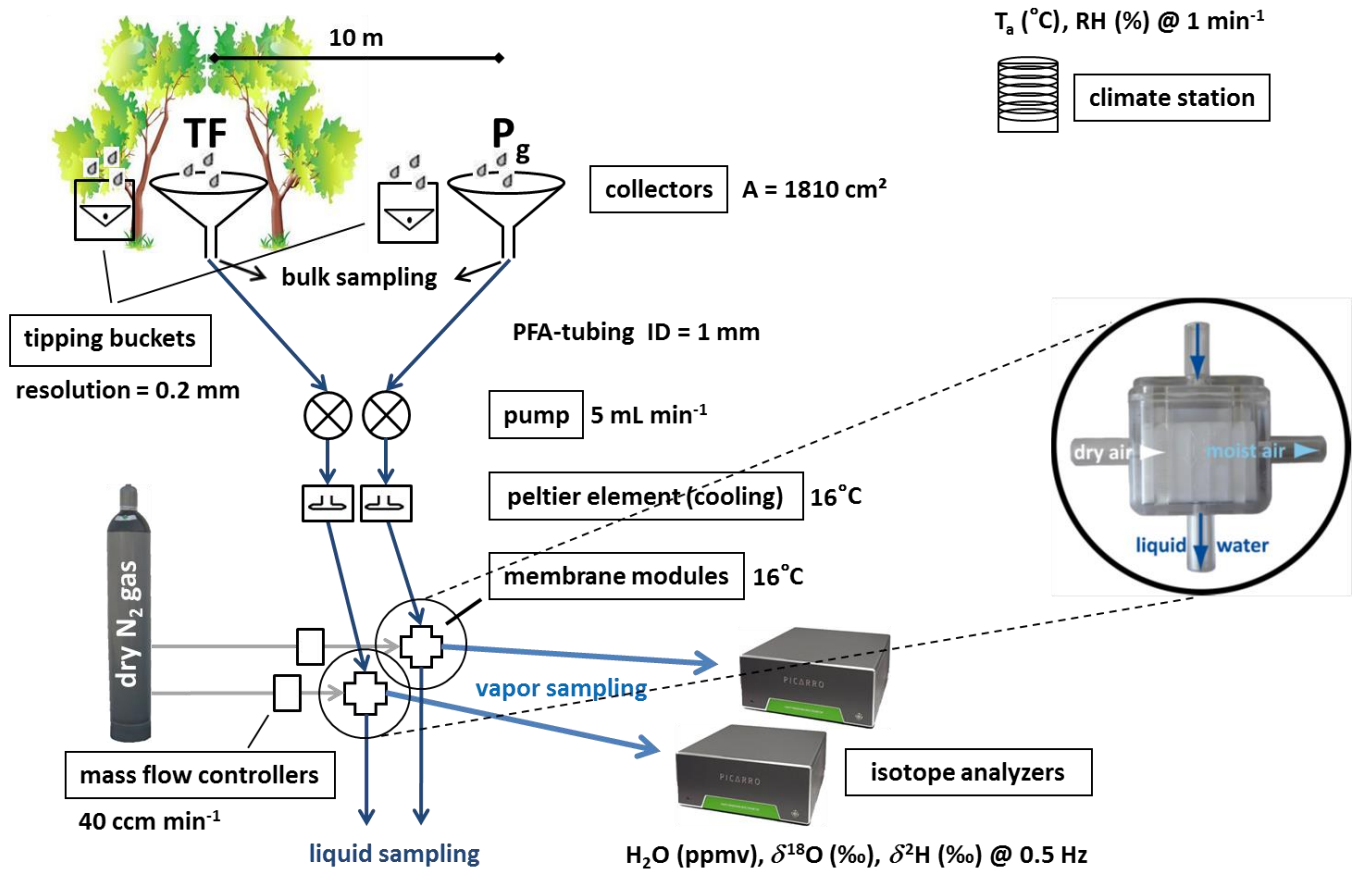
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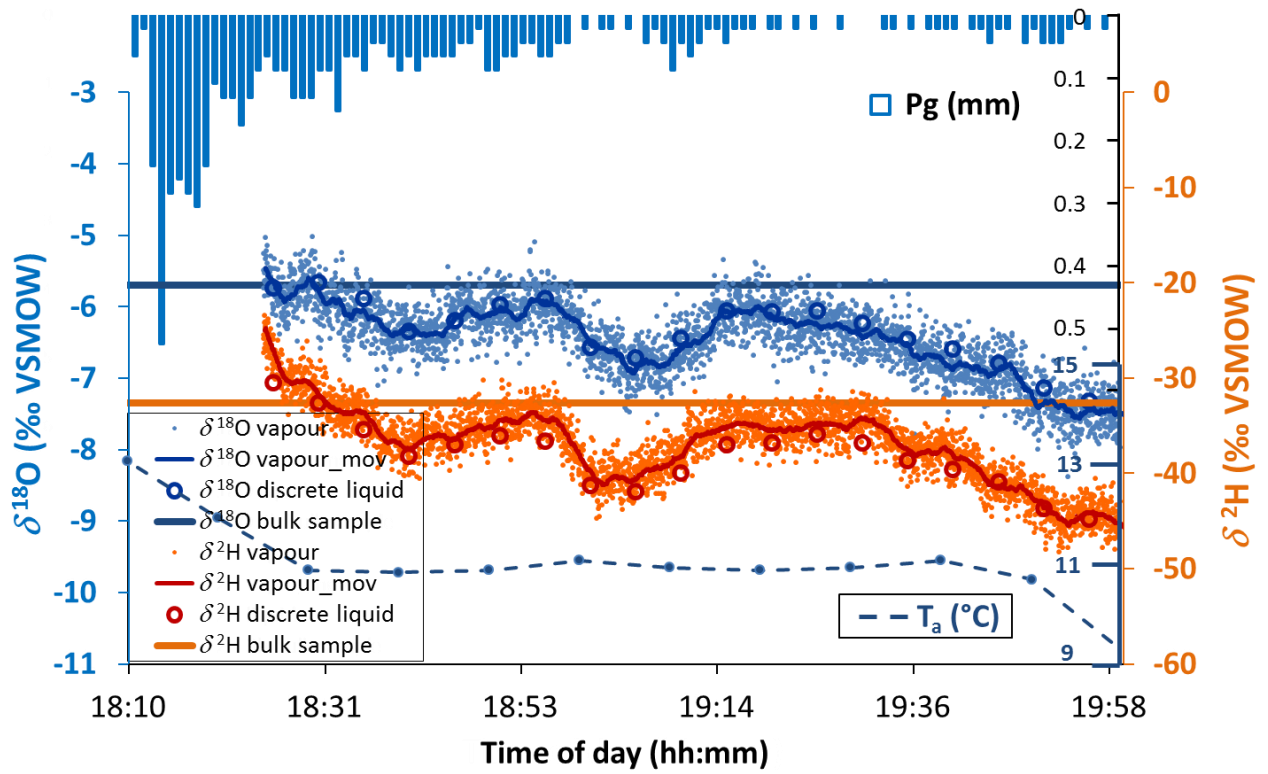
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5 Figure 1: Setup for continuous water vapour stable isotope measurements of gross precipitation ( $P_g$ ) and throughfall (TF) with two analyzers in parallel; membrane contactor (center-right) employed for continuous production of water vapour; discrete liquid and liquid bulk sampling of  $P_g$  and TF; recording of rainfall depth (tipping buckets, upper left) and the meteorological variables  $T_a$  and RH (upper right) every minute.



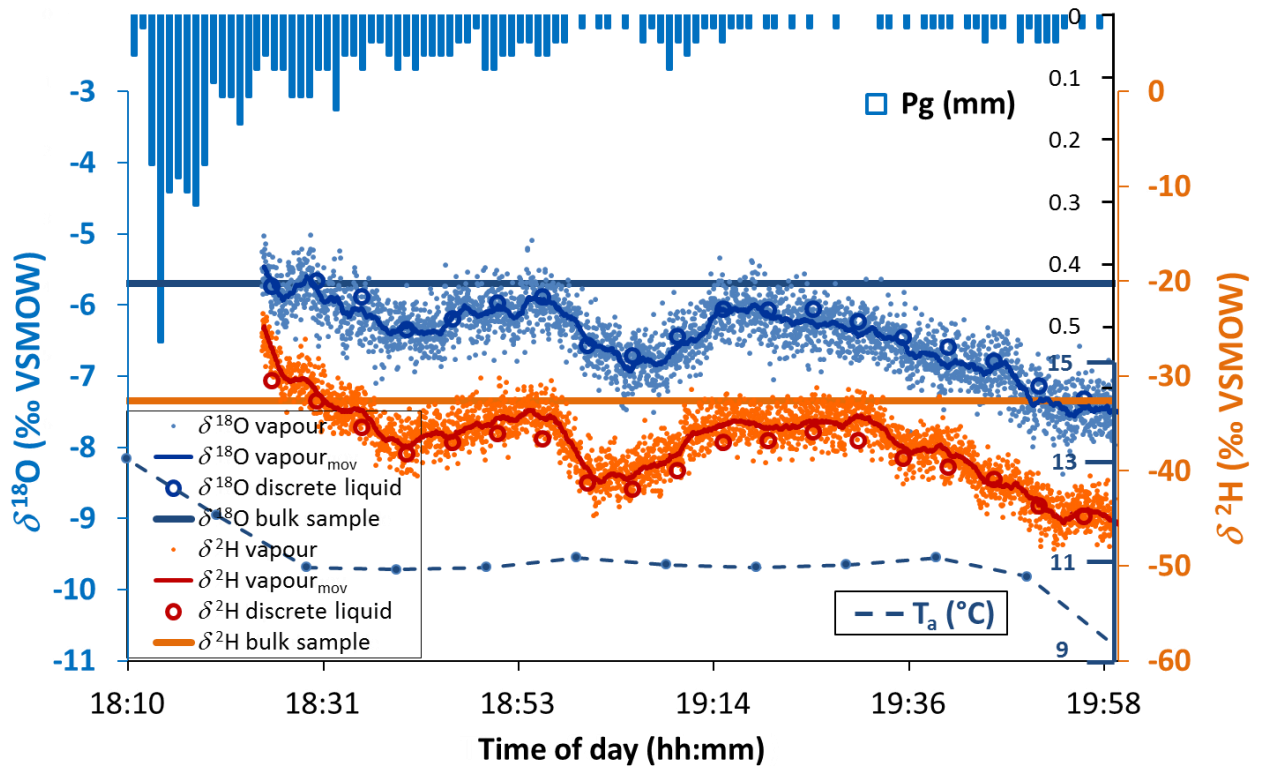
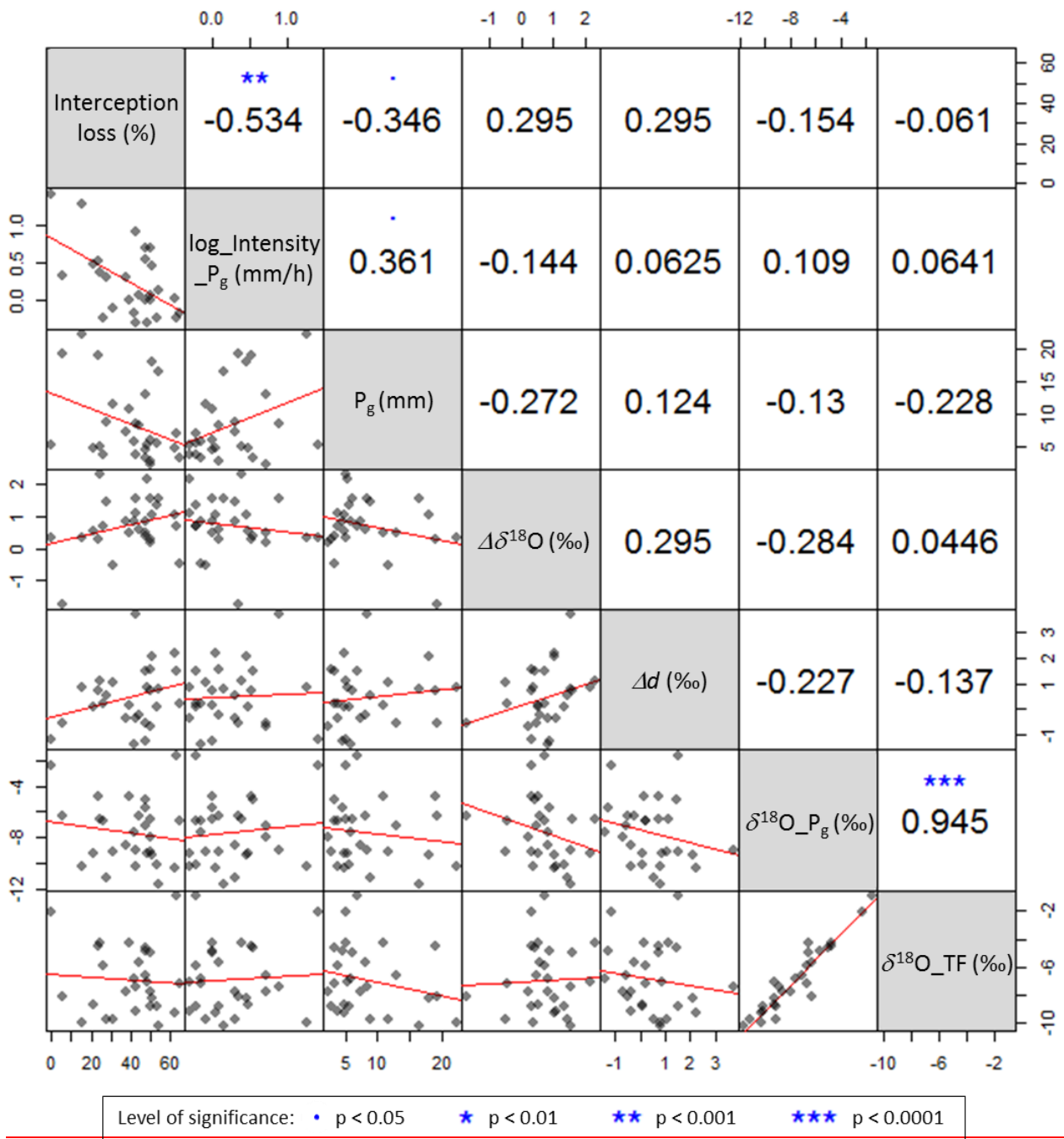


Figure 2: Time series of rainfall ( $P_g$ ) depth per minute (vertical bars); 10-minute data of air temperature in 250 m distance ( $T_a$ ) (dashed line) during the rainfall event from April 17<sup>th</sup> 2015; data of  $\delta^{18}\text{O}$  in blue and  $\delta^2\text{H}$  in orange; vapour-derived data recorded every two seconds (small dots), starting after temperature at the contactor was stable, 90 sec. moving average (vapour<sub>mov</sub>) (solid lines), discrete liquid samples (open circles) taken every five minutes, event-based bulk sample (horizontal bars).



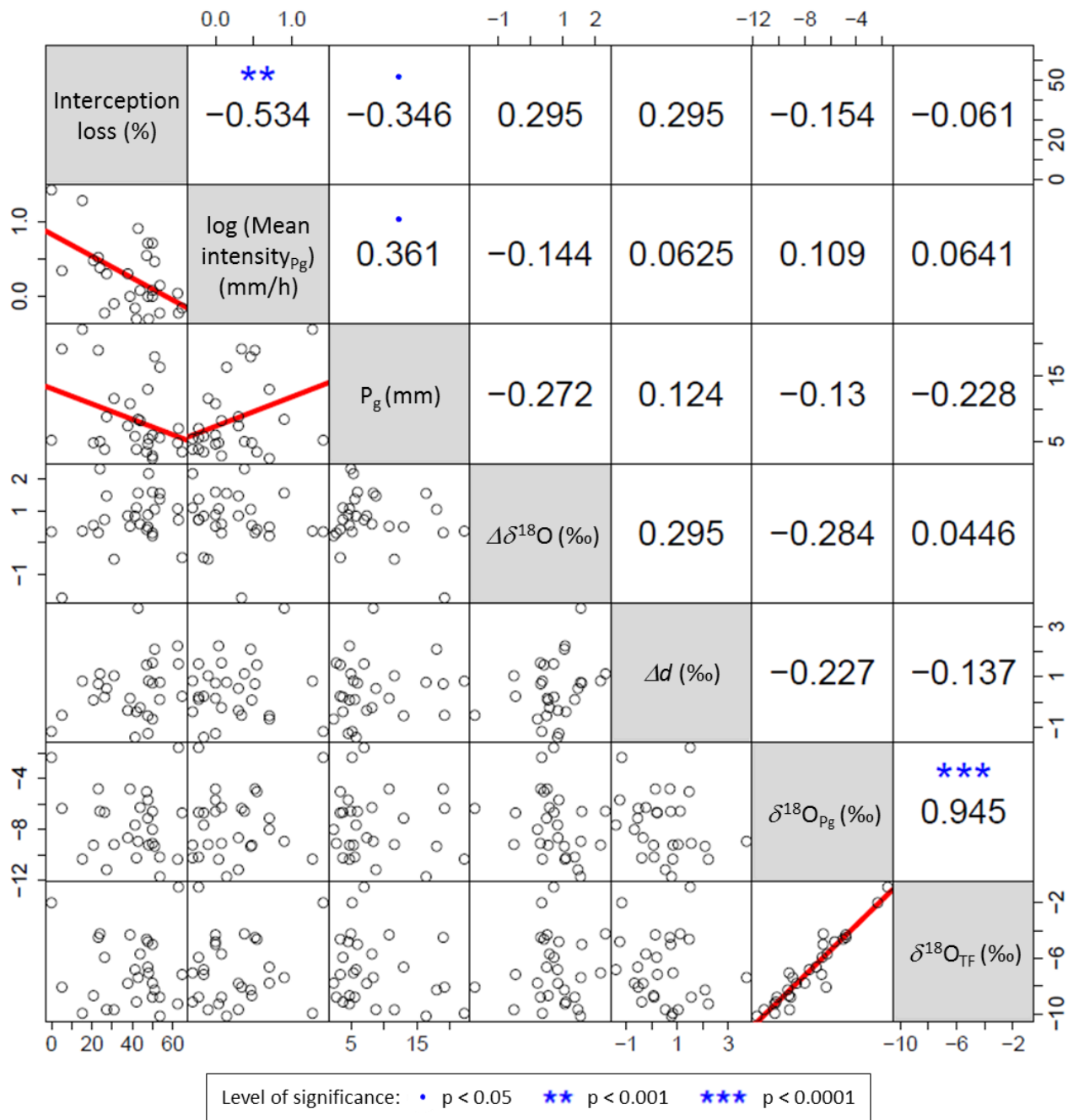


Figure 3: Scatter plot matrix of relative interception loss, log of mean P<sub>g</sub> intensity, P<sub>g</sub> depths and isotope-related characteristics ( $\Delta\delta^{18}\text{O}$ ,  $\Delta d$ ,  $\delta^{18}\text{O}_{P_g}$  and  $\delta^{18}\text{O}_{TF}$ ) derived from 28 event-based bulk samples. Upper right part: Pearson correlation coefficients and level of significance (stars); lower left part: scatter plots and linear regressions (red lines).

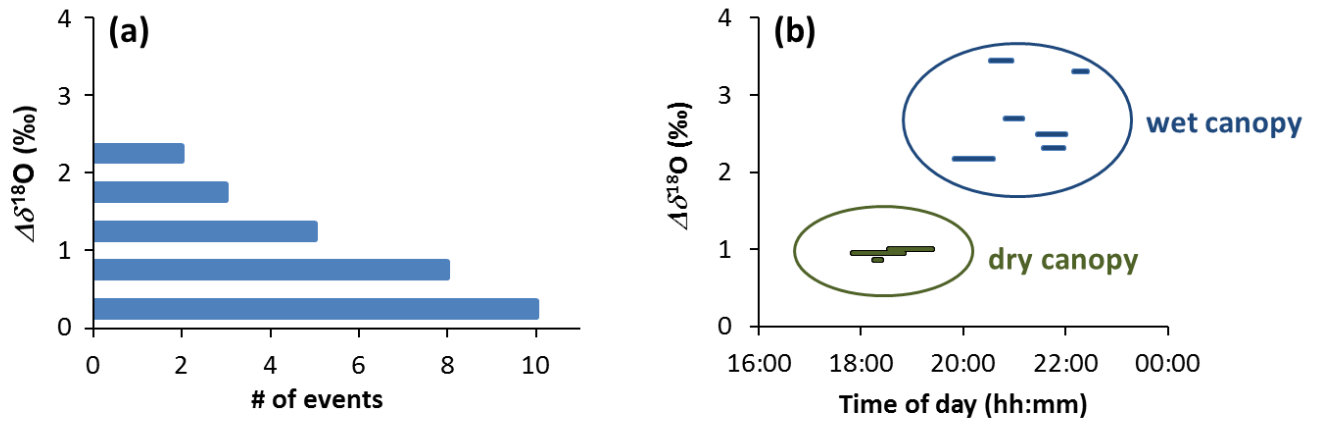
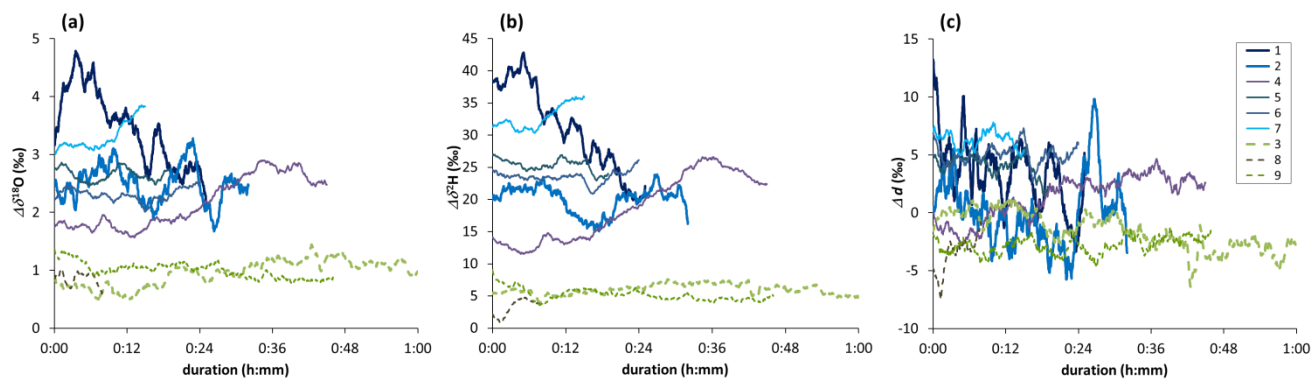
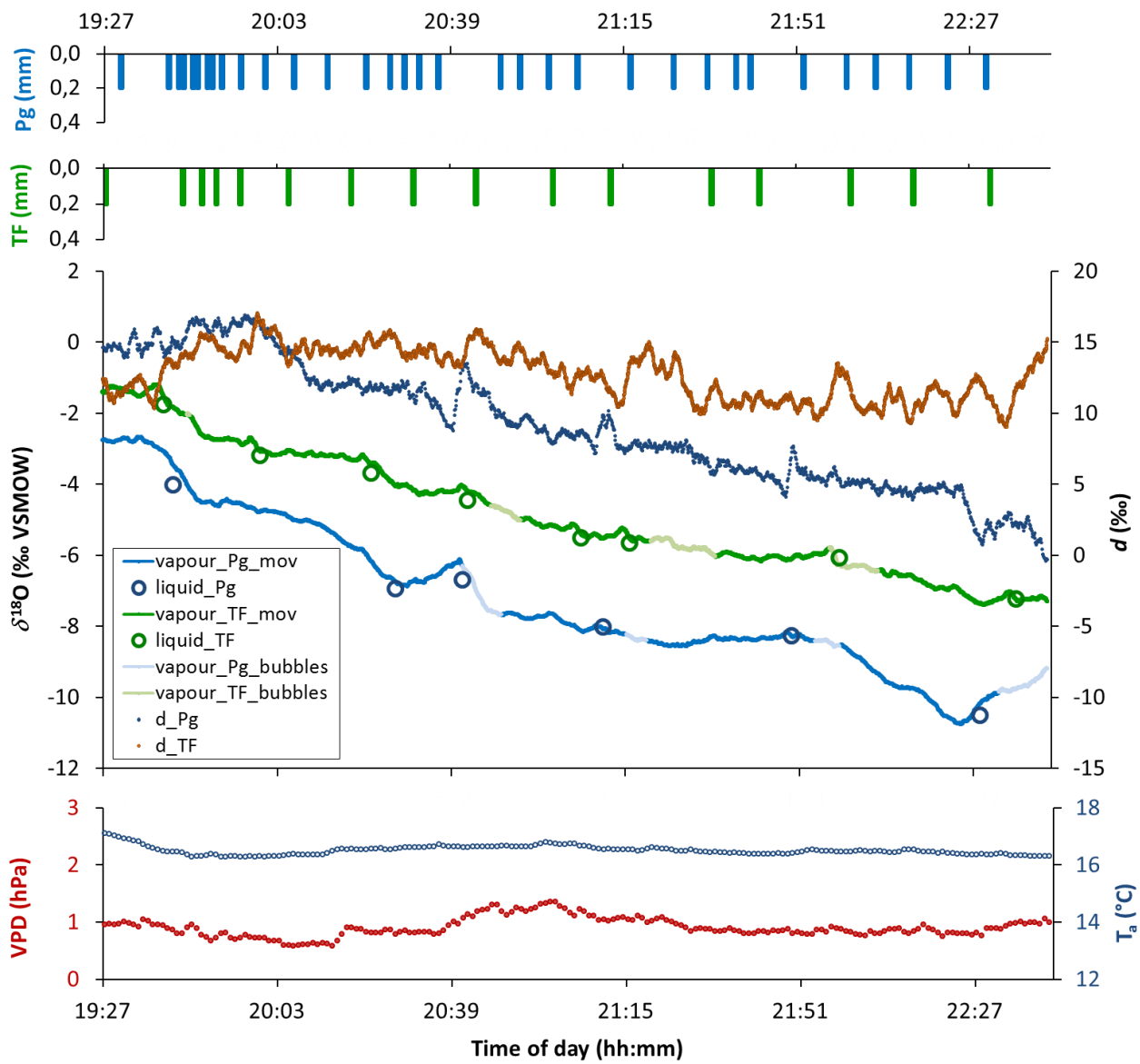


Figure 4: Difference of the isotopic signature ( $\Delta\delta^{18}\text{O}$ ) between TF and  $P_g$  for (a) 28 event-based bulk samples and (b) 9 continuously analysed events of the same period.



5 | Figure 5: Time series of deviations of differences between the isotopic signature between of TF and  $P_g$ , (a)  $\Delta\delta^{18}\text{O}$ , (b)  $\Delta\delta^2\text{H}$ , (c)  $\Delta d$ ; events on initially dry canopy (dashed line) and on wet canopy (solid lines).





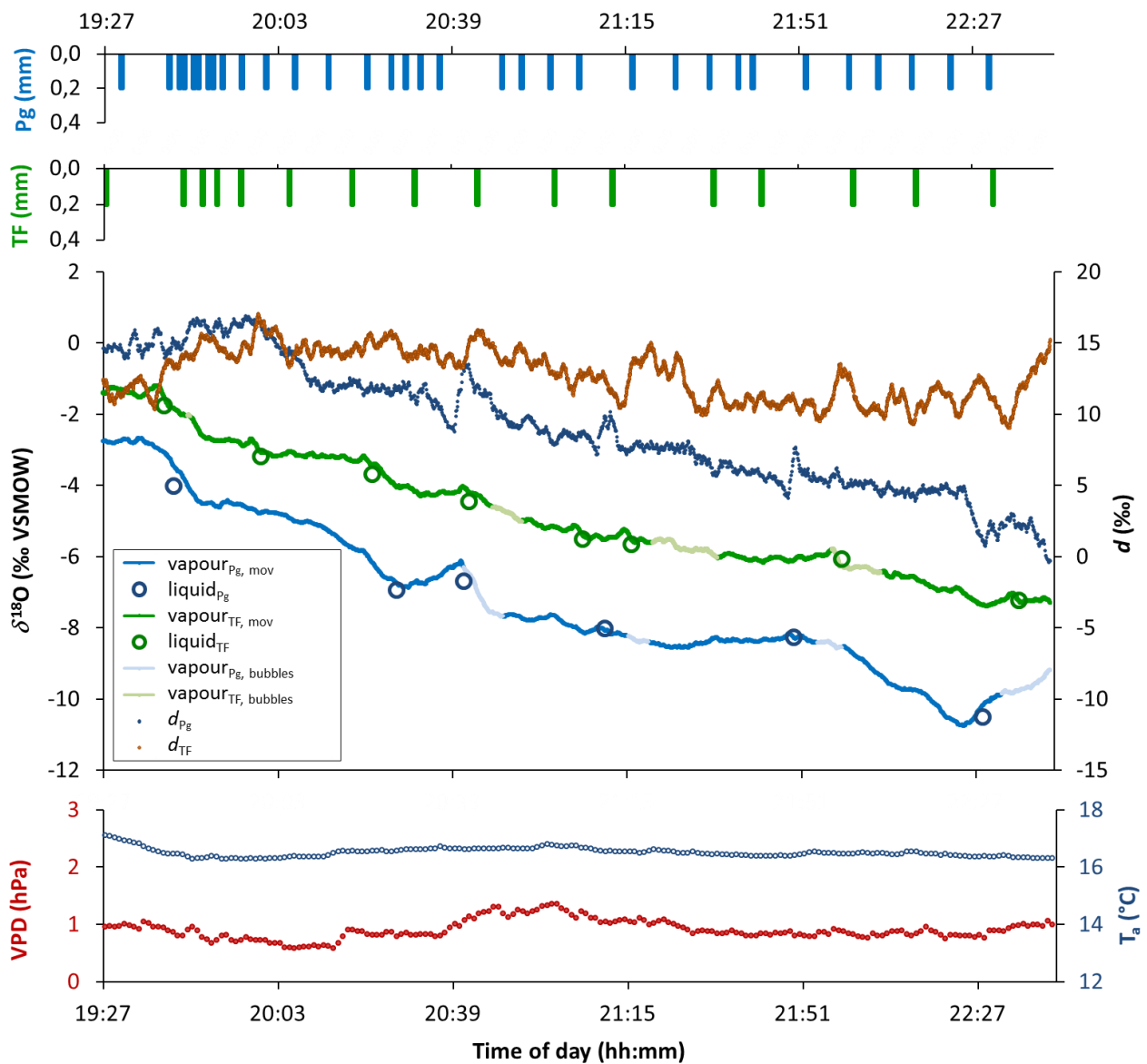


Figure 6: Time series of liquid water  $\delta^{18}\text{O}$  and d-excess ( $d$ ) derived from vapour sampling of  $P_g$  (blue) and TF (green), discrete liquid samples (big circles), air temperature ( $T_a$ ) (small blue circles) and vapour pressure deficit (VPD) (red) of the rainfall event from August 4<sup>th</sup> 2016. Time series of  $d_{P_g}$  (dark blue dots),  $d_{TF}$  (brown dots) and  $T_a$  are referenced on the right vertical axes. Periods of intensities below threshold for the continuous sampling method (bubbles at membrane contactor) are shown in light blue ( $P_g$ ) and light green (TF).