### hess-2018-295

### Answers to anonymous Referee #1

We first wish to thank the reviewer for his useful comments and corrections that we have, for most of them, taken into account. Significant rewriting has been necessary. The point by point responses are detailed below. We believe that the article has been considerably improved.

Note: two versions of the manuscript are provided, one with corrections and rewriting in response to reviewers highlighted in green, another one without colors.

#### **Overall Comments:**

[1] I believe this is an interesting topic to address, but I do not feel the authors have performed a satisfying comparison of these two methods. One source of major concern is the explanation of what exactly was done. For example, it remains unclear to me how TIR data is "assimilated" into the SVAT model. This needs to be very clearly described. Likewise, the use of TIR for SEB appears to be through optimizing a few parameters, but this likewise remains unclear to me.

We agree completely concerning the TIR data. We aimed to place this specific study within a larger context where TIR data are intended to be used to constraint the SVAT model trajectories through data assimilation. Within this study, data assimilation of TIR data within the SVAT model has not been implemented as we believe that a preliminary analysis of model calibration and sensitivity study to input errors is necessary. To this objective, a Multiobjective Calibration Iterative Procedure (MCIP) has been implemented to tune its parameters in the view of using TIR data. As this will be the subject of further work, we don't mention the use of TIR data into the SVAT model anymore in the abstract and in several places of the new version of the manuscript, following the referee comment.

[2] It is also unclear exactly what years / seasons / crops were evaluated at each of the two sites (France and Morocco). At one point in the manuscript they mention two available meteorological stations, one in France and one in Morocco, which indicates that the data may be from multiple years. Was each crop evaluated for a single growing season at each site, multiple years for each site?

Concerning meteorological data, two stations are used, one in France and one in Morocco. Concerning micro-meteorological data (including latent and sensible heat fluxes), 3 fields have been instrumented: two in France (Auradé and Lamasquère sites) and one in Morocco(Sidi Rahal site). During the 3 years of study in France, crop rotations allowed us to gather data on wheat (3 seasons), maize (2 seasons) and sunflower (1 season). In Morocco, we used 1 crop season. We believe that table 1 clearly shows the number of growth season for each crop but we have also reformulated section 2.2 in the new version of the manuscript.

[3] The lack of clarity and detail about the methods used, particularly how TIR data is used to constrain, or for data assimilation, in each model makes it difficult to evaluate the results effectively.

Agree. Cf. point [1].

[4] The paper initially appears to be focused on the evaluation of two different types of models, surface energy balance versus a full SVAT model, for estimating evapotranspiration from thermal infrared remote sensing. At some point it transitions into a sensitivity analysis paper, which does not tie back to the original point as far as I could tell. A major revision should seek to bring out the use of TIR data in these two model frameworks, without a heavy focus on broad sensitivity analysis of the two models.

We agree that the initial version of the manuscript was confusing. To our opinion, the different modeling frameworks of the approaches, mainly the solving of a soil hydric budget for SETHYS and the use of surface temperature as an indirect proxy of the crop hydric conditions for TSEB, deserve a sensitivity analysis. The abstract has been rewritten (cf. point [1]) to point out that the paper is mainly dedicated to a sensitivity analysis of the two approaches based on a unique database. With regards to the use of TIR data, the cross sensitivity analyses of the models through the linkage of the radiative temperature and the SWC shows the different response of the models to the crop hydric conditions. These results can then be analyzed in the light of the models performance from the sensitivity analysis. Following the reviewer's comment and in order to match the objectives presented in the abstract and the content of the work, the abstract and the introduction have been reformulated. See also response to point [1].

[5] The English phrasing in the manuscript could generally be improved for greater clarity and to reduce confusion in some of the explanations of methods and results. Likewise, the use of a spell checker will catch a few spelling errors that exist in the reviewed manuscript.

OK. The manuscript has been reviewed by a native english speaker.

#### **Specific Comments:**

1) Lines 10-11: The following statement in the Abstract should be re-phrased for clarity. "TSEB has been shown to be more flexible and requires one single set of parameters but lead to low performances on rising vegetation and stressed conditions. " It is not clear to me what "low performances on rising vegetation" means.

Agree. In the new version of the manuscript, this sentence has been replaced by :

"TSEB is run with only one set of parameters and provides acceptable performances for all crop stages apart from the early beginning of the growing season (LAI < 0.2 m 2 m -2 ) and when water stress occurred."

2) Lines 14-17: The final couple of sentences in the Abstract are confusing and should be re-written for clarity.

Agree. The corresponding sentences of the abstract have been rewritten.

3) Section 2.2: While citations are provided for complete descriptions of each site, the first paragraph should include information on the explicit contrasts or similarities of the two sites, such as: where all three agricultural species grown at each of the two sites? How is irrigation managed / used at each site, and for each crop? What are the mean climate variables during the growing season such as temperature, VPD, precipitation?

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Cf point [2].
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4) Section 2.2: Clarify for what years / seasons each crop / site was monitored with meteorological instruments and eddy covariance. This should be clarified in the second paragraph of 2.2.

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Cf point [2].
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- 5) Section 2.3: Rather than using a few 10-day periods, why not use the full growing season records for each crop / site to more fully evaluate the capabilities of each model. I would think that the assimilation of TIR data into the SVAT would have a payoff that increases over time, as erroneous parameter values are further corrected / improved with each assimilation cycle.
- OK. TIR data is not assimilated into the SVAT model (cf point [1]). By contrast, sets of parameters representing specific phenological stages and hydric conditions (stressed/non-stressed) are sought in the view of a future application of the SVAT model at the scale of an heterogeneous agricultural landscape. Consequently, the periods should be long enough to gather a sufficient amount of data (of good quality, meaning a good closure for the energy budget) and not too long so that the crop and hydric conditions don't change too much. 10-days has been shown in several studies to be a good tradeoff. Section 2.3 was reformulated in the new version of the manuscript to stress this aspect.
- 6) Section 2.4: The authors mention that a "multi-objective calibration method" is used, with "five target functions". Please clarify what this means. What are the functions: a set of objective functions that each minimize the difference between a variable and the observed quantity? Or, are multiple objectives used here. The objective functions, and exactly what variables they pertain to, needs to be clarified.
- OK. Five objective functions are optimized simultaneously. The five objective functions are detailed in section 2.4 (1.1, p.11). They are built to minimize the distance between model predictions and observations thanks to the Root Mean Square Error (RMSE). An ensemble of simulations based on a monte-carlo sampling of the parameter space is carried out. For each simulation corresponding to a specific parameter set, five objective functions are computed (RMSE of LE, H, Rn, Tb, W\_rz). The joint optimization of these 5 objective functions is obtained following a Pareto ranking. The basic principle is that a simulation is classified "better" than the others if all the objective functions have lower values. For more details the MCIP methodology is described in Demarty et. al, 2004 and 2005.
- 7) Figure 2: The axis values for MAPD confuse me. In both cases they start at 43, decrease rapidly to 23, and then increase again to 53. I would expect monotonically increasing axis values...

Agree. It seems that exportation to pdf went wrong, axis values have been corrected in the new version of the manuscript.

8) Section 3.1: The authors previously state that water stress periods are primarily confined to the senescence phase, but here point out that the changes in canopy radiation transfer, pigment contents, etc, are not taken into account by TSEB. This goes to my earlier point that the entire set of growing seasons should be simulated and evaluated with both models, not just a few 10-day periods. Stress is likely to be found at both sites, either between irrigation events or rain events.

Thank you for your comment. We agree that this point deserves clarification. It appears that irrigation was properly scheduled for all our study sites and seasons. Consequently, stress didn't occur during the growth phase of the crops. This has been carefully checked by looking at the whole time series (and not on 10-days period) of the measured root-zone soil moisture (through the SWI, cf. section 2.3) and the ratio between potential and real evapotranspiration (SE indicator, section 2.3). Stated differently, the SWI and SE indicators have been computed for the entire crop seasons and indeed stress periods are limited in time and occur basically during senescence at our study sites.

With regards to the 10-days periods, experimental data are uncertain by nature, subject to acquisition problems and, specifically for eddy-covariance systems, the energy balance closure is not guaranteed. By working on 10-days periods, we are sure that the set of observations is complete and, for eddy covariance measurements, that the energy balance closure is good (>80% as stated in the new version of the manuscript in section 2.3, p.9, 1.25).

For both models, SEtHyS and TSEB, the changes in canopy radiation transfer are taken into account by changes of the fraction of green (based on the LAI which is a model input) and the soil and vegetation albedos which are tunable parameters. As a consequence, the senescence phase is treated equally and with equal capabilities as other periods from the radiative transfer point of view.

9) Figure 3: In the legend describe the difference between the top and bottom panels.

Thank you. Legend was reformulated for more clarity.

10) Section 3.2.3: It is hard to believe that the SVAT model is only sensitive to wind speed for LE computation, and not other meteorological inputs such as radiation forcing, or VPD. How do the authors explain this?

OK. The SVAT model solves the surface energy balance. The radiative forcings in the short and long wavelengths are obviously one of the main drivers of the convective fluxes as shown in figure 4. Likewise, VPD, even if it is not a direct input of the model, significantly impact LE flux predictions following the formulation of LE based on a gradient of vapor pressure.

Nevertheless, in this study, we considered typical errors that may be expected on input forcing when scaling up to the agricultural this scale, some input variables will landscape. Αt uncertain than another. For instance, at the landscape scale, a measure or an estimation of Rg can be obtained accurately while wind speed, that may be derived from large-scale re-analysis, is always very uncertain as it depends on local conditions. This is the reason why wind speed appears more impacting than radiative forcing in our study. Moreover, it is important to note that a white noise is added to the meteorological forcing and that the RMSE for reference simulations on H and LE are equal or superior to 30 W.m-2 and 50 W.m-2. As a consequence, the addition of a white noise can bring some compensation with this level of reference error.

Following the reviewer's comment, the text of section 3.2.3 has been updated.

11) Figure 6: The relatively minor impact of biases in Ts (i.e. thermal infrared temperature measurements), relative to the reference RMSE, indicates that TSEB is not very sensitive to TIR inputs. Doesn't this contradict the premise that this is one of two models that can be used for ET monitoring from TIR data?

OK. We got the reviewer point. Nevertheless, surface temperature is only a proxy of hydric conditions when water is limiting. As most of our study sites are irrigated and located in temperate areas (at least for the french sites), water limiting conditions only occurs during short periods. It is likely that when focusing on water stressed periods, the sensitivity to surface temperature error for TSEB is expected be higher. Nevertheless, as stated in point [8], stress periods are almost absent from our data set during the growth period. Finally, it has been shown that the assumption of a

canopy transpiring at a potential rate in this model (even it is can be bypassed in some conditions) is strong and limit the sensitivity of the model to surface temperature errors.

12) It would be very nice to see a figure identical to Figure 6, but for the SVAT model.

As explained in point [1], TIR data are not assimilated in the SVAT but used to constrain the SVAT model multiobjective model calibration (MCIP methodology). The specific contribution of TIR data to SEtHyS model calibration was published in Coudert et al. 2006, 2007 and 2008. An equivalent to the Figure 6 can be found in Coudert and Ottlé 2007 (Figure 3). We agree that this was not clearly stated in the previous version of the manuscript. Several rewritings all over the manuscript have been proposed to avoid the confusion.

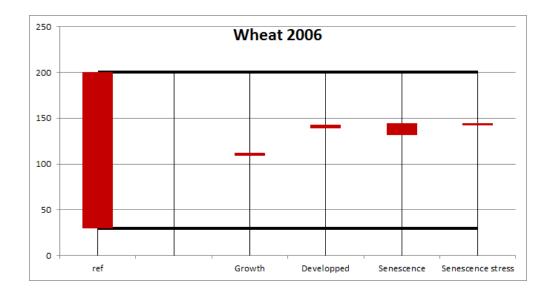
13) Section 3.26: At the end of this section the authors appear to argue that their two well-watered sites that do not apparently see significant water stress during the growing season may not be best suited to an experiment such as this, focused on evaluating two TIR-based ET approaches. I would tend to agree that at least an additional site that experiences significant periods of water stress throughout the growing season is merited.

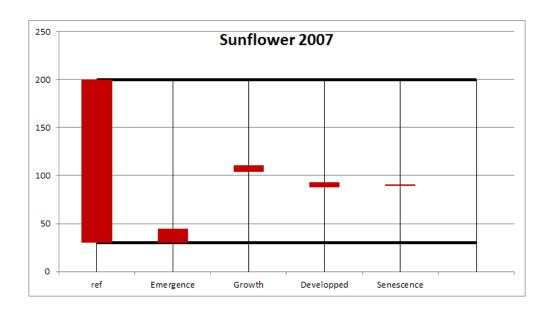
Yes. This is a very relevant issue and we agree that water stressed period are very occasional within our database apart from the end of the growing period when vegetation is senescent. That is the reason why we recently conducted a new experiment in Morocco (seasons 2017-2018 and 2018-2019) focused on water stress during which stress was intentionally triggered on one wheat field. Nevertheless, the processing and use of these new data is beyond the scope of the paper. These limits have been stressed again in the new version of the manuscript when the performances of the models during stress periods are analyzed and also in the conclusion part.

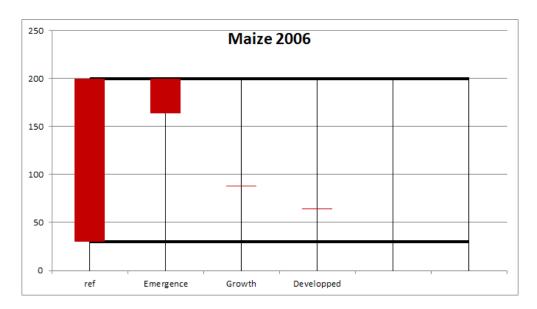
14) Section 3.2.8: The authors state that the parameter Vmax0 has a different value at every time period for each crop. I don't understand what this means exactly. Is this parameter varied in the assimilation procedure, and it shows large variability from time period to time period? This data should be shown, even if in Supplementary.

We are sorry that it was not clear in the former version of the manuscript, but indeed what was called "assimilation procedure" in the previous version of the manuscript, referred to

adjustment/optimization of the parameters values. It has been changed to "calibration procedure" in the new version. In addition, among the 22 parameters, Vmax0, which represents photosynthesis capacity of the Rubisco (Table 2), and which affects consequently assimilation rate and the evapotranspiration flux, was identified as one of the sensitive. From a period to another, the calibration procedure leads to a variability of the optimal values of the Vmax0 parameter along the growth season. The calibration results show generally higher values during the periods with higher vegetation LAI where the evapotranspiration flux is maximal. Another coherent result (according to measurement of photosynthesis assimilation rate and stomatal conductance) is the lower values of VmaxO obtained for corn and sunflower than for wheat. An illustration of these results is given below:







However, in order to clarify and focus the paper on its main objectives, we have removed the discussion on the parameters sensitivity analysis and calibration (section 3.2.8 in the old version of the manuscript).

### FINAL REVIEW hess-2018-295

### Anonymous Referee #2

We first wish to thank the reviewer for his useful comments and corrections that we have, for most of them, taken into account. Significant rewriting and some new analyses have been necessary. The Point by point responses are detailed below. We believe that the article has been considerably improved.

Note: two versions of the manuscript are provided, one with corrections and rewriting in response to reviewers highlighted in green, another one without colors.

#### **General comments:**

[1] With regards to application of TSEB, in the model description, it appears they are using most if not all of the original formulations of the Norman et al (1995) model, for example Eq. (9) for partitioning net radiation (Rn) for the soil and canopy elements. However later they state that they adopt a more physically-based Rn divergence model of Kustas and Norman (1999). Yet in the sensitivity analysis (Table 1) this extinction coefficient for Eq. (9) is retained and evaluated later in Figure 9 which is not consistent with what is stated in the text.

Agree. The word "out-of-the box" used in the introduction was certainly confusing as we wanted to state that we had implemented and tested most of the improvements published since the original version of TSEB, apart from the Penman-Monteith version. The short answer is that the added value of most of the tested improvement were not clear with our database. This is why we choose the term "out-of-the-box" and also for the simplicity of the presentation in the previous version of the manuscript. The detailed responses are listed at point [2]. The introduction has been reformulated (also in response to reviewer 1) and "out-of-the-box" has been removed.

Concerning the specific formulation of net radiation, we agree there has been a mismatch in the text as the formulation used in our study is the one presented Anderson et al. (1997), following the Beer's extinction law and accounting for the dependence to the solar zenith angle(Rnsoil=Rn\*exp(-Kapa LAI/sqrt(2.cos(phi)))).

We've also tested the new formulation for clumped crops as clumped crops may intercept a lower part of the incoming radiation than if

leaves were randomly distributed (RnSoil=Rn \* exp(-Kapa\*Gamma\*LAI)) as introduced by Campbell (1998). Following Kustas et al., AFM (1999), we also tested the formulation of radiative budget separating short and long wavelengths. However the latter two formulation didn't improve the estimations of LE with our dataset and were not retained. We give more detail about this point in the next question.

This has been corrected in the new version of the manuscript and the reference to the improvement with regards to the initial Norman et al. (1995) formulation are properly referenced in the text following the reviewer (new section 2.4, p.11, 1.24).

[2] While in the references they appear to cite papers that have included new formulations being implemented in the TSEB since the original 1995 paper, they are not included in this paper. The TSEB model has undergone several modifications since it was first presented by Norman et al. (1995).

As stated above, we had already tested several of the new development listed by the reviewer. The detailed responses are given below and this table summarizes the obtained results:

Term	Formulation	Rn	н	LE	G
		RMSE	RMSE	RMSE	RMSE
		W/m²	W/m²	W/m²	W/m²
Reference	Norman et al., 1995	46,5	34,8	55,3	53,7
Net Radiation	Anderson, 1997		28,9	54,7	40,1
	Kustas and Norman, 1999	61,9	34,2	59,0	50,8
Conduction flux G	Santanello et al., 2003		36,4	62,6	75,1
Tiux G	Sanchez et al., 2009		38,2	52,9	64,8
Transpiration	Colaizzi et al., 2014		99,1	74,5	
Surface resistance Rs	Taconet et al., 1986		44,7	71,6	43,0
Aerodynamic resistance Rah	Taconet et al., 1986		40,0	54,5	

Following the results above, we choose to keep the following parameterization in our version of the TSEB model:

- Rn: Anderson, 1997
- G: Norman et al., 1995
- Transpiration: Anderson, 1997 (Priestley-Taylor)
- Surface resistance: Norman et al., 1995
- Aerodynamic resistance: Norman et al., 1995
- refinements to the algorithm estimating soil aerodynamic resistance and shortwave and longwave transmittance through the canopy (as they mention in their paper; Kustas and Norman, 2000)

We agree with the reviewer that our text was (very) confusing. Cf. point [1].

In addition, considering the specific point of the formulation of net radiation, we agree that there has been a mismatch in the text. Both the Kustas and Norman (2000) and a modified version of the formulation of Norman et al. (1995) including the gamma factor for clumped canopies have been tested and obtained results were very close: the Root Mean Square Error (RMSE) of net radiation were 46.5  $\rm W/m^2$  for the version derived from Norman et al. (1995) and 61.5  $\rm W/m^2$  for the version of Kustas and Norman (1999). The modified original formulation providing with slightly better results, we choose to keep it in our study. Concerning the soil resistance, the version of Kustas and Norman (2000) was also adopted in our version of TSEB (cf. their equations 7 and 8). The presentation of the TSEB model has been reformulated and we hope that the parameterizations we used are now clearly stated.

- a means for adjusting the Priestley–Taylor formulation for canopy transpiration (Kustas and Norman 1999)

OK. Actually, the adjustment of the PT coefficient was also already activated in our version of TSEB. This is now clearly stated in the new version of the manuscript (section 2.1.2, p.5, 1.20). Nevertheless, only marginal improvement are obtained as 0.7% of unlikely soil condensation cases occurs in our database at the half hourly time step.

- incorporating rigorous treatment of radiation modeling for strongly clumped row crops, accounting for shading effects on soil heat flux (Colaizzi et al. 2012a, 2016a,b)

OK. The Kustas and Norman, Agr. Jour., (2000) and Kustas and Norman, AFM (1999) modifications for sparse canopies and clumped crops were not adopted (cf. point [1] and point [2] above) as they do not give better performances on our crops and surface conditions (see results in the table above). The results of the sensitivity analysis to the Kappa parameter showed that optimal values were close to the original Norman et al. (1995) value of 0,45 and a clumping factor Gamma equal to 1. The only exception was sunflower, whose optimal value was about 0,75 (see Fig.9a of our former version of manuscript) corresponding to a clumping factor above 1 suggesting a clumped row. This seems consistent with the geometry of sunflower.

last developments of Colaizzi 2012a were not implemented no separate measurements of bare soil and temperatures were available in our database, as a consequence no validation was conceivable. However, we thank you for this comment because a recent experimental campaign in a small watershed (Auradé site of CESBIO in the south west france) with surface radiometric temperature measurements and "thermodynamical" soil and vegetation temperatures measurements with thermo-buttons has been carried out and will be used in further work to investigate this point.

- incorporating alternative formulations for computing the canopy transpiration such as Penman–Monteith (PM) or light-use efficiency (LUE) parameterizations (see Colaizzi et al. 2012b, 2014, 2016c; Anderson et al. 2008). The later two canopy transpiration formulations are mentioned but not applied in this paper.

Agree. To our opinion, the incorporation of new formulations of canopy transpiration was an important drawback of our study. The Penman-Monteith (PM) approach has thus been implemented and tested. The comparison results between the PM and the Priestley-Taylor (PT) approaches are summarized in the following table for all data (for the half-hourly time step and for daily average) and then by stages of growth and by crops:

MAPD [%]
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		н		LE	
		PT	PM	PT	PM
Time resolution	Half-hourly data	21,2	69,8	26,7	33,0
	Daily average	16,7	66,2	18,5	26,8
Stage of	Rising	84,4	94,0	54,4	63,2
growth	Growth	18,0	86,4	29,4	41,4
	Max veg.	20,6	67,9	22,9	27,7
	Senescence	23,2	68,8	37,1	68,9
	Stress	24,7	59,4	32,5	35,2
Crop	Wheat	22,2	50,8	24,4	32,5
	Corn	19,1	79,9	29,9	31,1
	Sunflower	21,9	107,8	27,1	38,4

dominates the partition of convective fluxes within irrigated sites and percentage of errors may thus be high on sensible fluxes as they exhibit much lower absolute values than LE fluxes. The two version are thus quite close in terms of fluxes predictions, in particular for LE but the PTversion systematically better. A deeper look at the results shows that LE is strongly overestimated by the PM version, mainly during the rising and the growth stages of growth. This leads to significantly higher RMSE and MAPD while correlation coefficients remain close between the two version. This could probably be related to the fact that our sites are located in relatively wet environment (the moroccan site is located at the center of an irrigated perimeter of 3000 ha while the sites in south western France are also surrounded by crop fields). The introduction in the parameterization of LE of a dependance to wind speed aiming to better represent advection fluxes in the PM version doesn't achieve the expected improvement within this specific conditions.

With regards to the results presented above, we choose not to retain the PM formulation of transpiration.

[3] Alternatively, the SEtHyS is a SVAT model with 22 parameters and so it is unclear why such a comparison is actually being made between a relatively simple but fairly robust thermal-based model and a SVAT having a large number of tunable parameters.

OK. The approaches are compared as they are both extensively used to map evapotranspiration and because modeling concepts are fundamentally different: for SEB models, surface temperature is the proxy for the crop hydric conditions while the hydric conditions are predicted thanks to a mechanistic water budget for SVATs. Several including our are working on the joint use of both approaches through the assimilation of snapshot evapotranspiration estimates to constrain the SVAT "continuous" predictions following Crow et al. (2005). To this objective, errors and bias of both modeling frameworks must be characterized carefully with regards to phenological stages and input data. To our knowledge, this is done in this paper thanks to a unique as it includes several sites and several introduction and the abstract have been reformulated following the reviewer comment in the view to better described the objectives, to highlight that the comparison is carried out on a large and unique data base in the sense that the cropping conditions of our study sites are quite specific.

[4] It's also unclear why this comparison does not include application of a newly developed and presumably more robust two-source model SPARSE developed by one of the co-authors (Boulet et al.,2015).

When the work has been carried out, SPARSE model was under development. Nevertheless, recent comparison between the TSEB model and SPARSE have shown that SPARSE was very close to TSEB (Boulet et al., 2015). The comparison to SPARSE is beyond the scope of the paper but SPARSE will probably be adopted in further studies.

[5] Additionally, for the sensitivity analysis, the authors do not appear to be aware of the several studies that have already performed sensitivity analyses for key inputs to TSEB. These include two of the papers mentioned in this manuscript... Timmermans et al (2007) and Zhan et al. (1996). There is also Li et al (2005) mentioned in the manuscript and then there is the paper by Kustas and Norman (1997) and Kustas et al. (2012).

OK. Thank you for the references. Zhan et al., 1996 intercompared model for sensible heat fluxes. The sensitivity analysis was also carried out with regards Η only. In addition, to meteorological variables incoming radiation such as and humidity were not considered in this study. Finally, the database

comprises a much lower number of observations than ours. Li et al. (2005) is focused on the sensitivity to Leaf Area Index (and thus fractional cover Fc as an empirical relationship relating Fc to LAI is used). They highlighted that introducing a 20% bias in LAI lead to about 15-20% difference in H for the parallel version of TSEB and about half of these values for the series version (5-10%)demonstrating the higher robustness of this latter version with regards to LAI/Fc inputs. Kustas and Norman (1997) analyzed the sensitivity of four versions of the two source model: the initial version of Norman in its parallel and series configuration and two version taking advantage of two view angle of Tb. The selected input variables for the sensitivity analysis are: wind speed (bias of 50%), air temperature (bias of 3K), LAI (bias of 50%), green fraction (reduction of 0.2) and radiometric temperature (bias of 1.5K). Kustas et al. (2012) performed a "worst case scenario" on one specific day of acquisition adding what they called "large" errors on Ta (+1 and +3K), Tr (-2K) and wind speed (+1.5 m/s) while biases appeared quite similar to the previous studies. The study of Timmermans et al. (2007) is quite similar as a bias of 25% is added or subtracted to Tr, Ta, u, z0m, LAI, fc, vegetation height hc. One specific day is chosen.

With regards to the sensitivity analysis presented above, our study is positioned quite differently as (1) realistic errors (errors that can be expected when applying the models over an heterogeneous agricultural landscape) are applied including both white noise and biases and (2) we cover larger growth, crops and hydric conditions thanks to our unique database.

[6] In summary it appears they conduct an analysis with a dated TSEB model without some of the more current refinements and comparing it to a SVAT that has a number of tunable parameters that would be difficult to prescribe over a large area without detailed ground information. There are a significant number of analyses performed making it a long paper and is somewhat diffuse in its focus. While I think the paper has some unique findings, it does not consider some of the main advances in TSEB when evaluating model performance for these agricultural sites.

Agree. Cf point [1] and [2] with regards to the considered refinements and point [3] together with responses to reviewer 1 regarding the focus of the paper. We believe that the significant rewriting of the manuscript together with the new analysis that have been carried out make the paper clearer now.

[10] Early season conditions when the canopy is small, the soil is playing a major role in the energy exchange, and there is no discussion of soil roughness effects on the TSEB formulation that has been discussed in the literature (Kustas et al., 2016).

Agree. A mention to the need for a potential adaptation of the soil resistance parameterization for rough and partially vegetated surfaces, very likely conditions at the beginning of the crop season and after harvest, is added at LXX-LYY referencing Kustas et al. (2016).

[11] Errors in TSEB during senescence will largely depend on how well the green fraction is determined...However it should be pointed out that these later stages of vegetation condition are not as important to capture the ET as during the main growing season.

Agree. This was added in the manuscript (section 3.1, p.12, 1.30).

[12] While I consider this work as having some merit, particularly the analyses performed with SEtHyS, it seems the authors do not consider to any degree of the advances/refinements made in the TSEB model since Norman et al (1995) and therefore I question how relevant is their analyses and conclusions using the 20+ year old formulations evaluated here in comparison to the more current parameterizations.

Agree. Cf. point[1] and point[2].

#### **Specific comments:**

Page 9: It appears the leaf area and green fraction data are very local and may not reflect conditions viewed by the radiometer. This can be a major issue. Is there any indication where they sampled is representative of the radiometer field of view?

This is indeed a potential major issue. On the experimental sites, special attention is given to sample vegetation on areas representative of the radiometers' fields of view.

## Page 9: Eq (15). What values are assumed in the Penman-Monteith equation for computing LEpot?

OK. Potential evaporation was computed with the classical Penman-Monteith formulation (and not ETO from the modified FAO56 approach). The jarvis formulation extrapolated to the canopy based on LAI is used for the canopy resistance and the minimum resistance is equal to 90 s/m. This has been specified in the new version of the manuscript (section 2.3, p.9, 1.31).

Page 10: How is the calibration of SEtHyS carried out and what level of calibration is shown in Figure 2 for the SEtHyS model?

OK. More details about the MCIP methodology were added in the manuscript, in particular in response to reviewer 1. 5 objective optimized simultaneously. are The five functions are detailed in section 2.4. They are built to minimize the distance between model predictions and observations thanks to RMSE. An ensemble of simulations based on a monte-carlo sampling of the parameter space is carried out. At each simulation (based on a specific parameter set) corresponds several objectives functions to minimize (RMSE of LE, H, Rn, Tb, W rz). The global minimization is obtained following a Pareto ranking based on these objectives functions. The principle follows the simple rule that a simulation is classified "better" than another (thus at a lower rank) if all these objectives functions have lower values. For more details the MCIP methodology is described in Demarty et. al, 2004 and 2005.

Figure 2 presents simulation with optimal set of parameters as stated in section 2.4. This was added in the caption for more clarity.

Page 10: So the TSEB performance is "sought in its out-of-the box configuration presented in Norman et al (1995)" suggests none of the refinements over the last 20 years are incorporated in this analysis.

Indeed, this was badly formulated. The manuscript was updated with better overview of improvements tested and used. Cf. point [1] and point [2].

Page 10. The 3 parameters identified for study are the Priestley-Taylor coefficient, the net radiation extinction parameter and the fraction of soil net radiation for estimating soil heat flux, G. There is some interdependency here between the amount of canopy net radiation interception and the value of the Priestley-Taylor parameter (Kustas and Norman, 2000). Also for G, refinements of the TSEB include time varying formulation proposed by Santanello and Friedl (2003).

Yes. Agree with the reviewer. Thank you. A sensitivity analysis carried out by Diarra et al. (2017) demonstrated these equifinality issues between Kapa and the Priestley-Taylor coefficient but this study also showed that the partition of available energy between H

and LE is quite robust with regards to these parameters values.

Concerning other formulations of the conduction fluxes, the parameterization of Santanello and Friedl (2003) was also tested but didn't provide an improvement of the results (cf. table at point [2]).

# Page 12 line (10): TSEB could be provided albedo inputs from remote sensing. This is something easily done in the model if made available.

Agree. Thank you. The objectives of the study was to evaluate the best performance from the best possible inputs. The observed albedo were thus used. As stated by the reviewer, accurate albedo can be obtained from remote sensing observations either by computing specific empirical equations to some band reflectances (usually red and NIR bands) or using directly products (such as MODIS).

# Page 12 (line 15): The authors do not seem to be aware of the soil resistance formulation that is sensitive to soil roughness which is discussed in refinements to the TSEB model (Kustas et al., 2016).

We thank the reviewer for his suggestion. This paper shows that the values proposed for the a and b coefficients of the rs parameterization (Norman et al., 1995) are associated with an underestimation of latent heat fluxes for sparse vegetation in semi-arid conditions. Nevertheless, the absolute values of LE are low during the emerging and rising stages and even if high relative error values (MAPD) are highlighted, absolute values are limited.

## Page 12 (Line 30): Its unclear what version of SEtHyS model (1-4 from page 10) is being used in these comparisons.

Agree. The performances and sensitivity analyses presented were done with the "optimal" sets of parameters, i.e. the sets of parameters processed for each phenological stage and culture class. This is stated clearly in the manuscript (section 2.4, p.10, 1.19).

# Page 13 (line 5): The Crow et al (2008) paper actually showed the utility of TSEB in providing an indicator of plant stress for assimilation in a water balance model.

This paper is very interesting and is a good illustration of the possible complementarity between TSEB and a WEB-SVAT. We hope that

the focus of our study is now more precisely explained. intent to bring elements concerning the domains precisely validity of the models and their performances through a variety of surface and meteorological conditions, taking into account models parameters and inputs sensitivity in order to consider different couplings between both approaches for agricultural landscape spatialization purposes.

Page 15 Sensitivity analysis to meteorological inputs: It has been long recognized that to apply TSEB regionally requires a way of reducing the need for accurate absolute surface-air temperature differences. This was the motivation for the development of time differencing modeling schemes (Anderson et al., 1997; Norman et al., 2000).

Yes exactly, the question of the coupling between air temperature and surface conditions is determining in the surface budget and the convective fluxes calculation. Our proposition is to compare local measurements to regional estimations of air temperature from reanalysis in order to have a realistic uncertainty on model input when simulation run at field scale or homogeneous entity scale for SEtHyS and pixel scale for TSEB for regional application. Time differences in surface temperature methodology for estimating relevant air temperature is suited to large scale applications while in our study we propose to estimate the uncertainty for field scale simulation for landscape/regional application. The text of section 3.2.1 has been modified to make this clearer.

Page 15 Sensitivity analysis to vegetation forcing inputs: The use of micrometeorological measurements close to the canopy height is ill-advised in general due to roughness sublayer effects and so comes as no surprise for the TSEB since the aerodynamic resistances are key to the TSEB calculations. This should be removed

Yes, this joins our previous answer. TSEB is supposed to be applied at high resolution TIR pixel (i.e. Landsat 8).

Page 17: Sensitivity analysis to radiative temperature for TSEB: This is well documented and the reason why time differences in radiative temperatures were developed early in the TSEB applications (see Anderson et al., 2004)

Page 17-18: sensitivity analysis to water inputs and soil water content for SEtHyS: This is a major issue with SVAT models. That is why approaches like Crow et al (2008) of combining water balance with remote sensing energy balance is appealing. Moreover, for regional analysis it will be very difficult to acquire irrigation information in a timely manner.

Yes, indeed. The Crow et al. (2008) is one of the founding paper for our work. How to combine a complex SVAT that suffers from uncertain water inputs (irrigation) at the plot scale and an energy budget model providing snapshot evapotranspiration estimate from instantaneous surface temperature observations? Characterizing the model errors and the domain of validity of both models is the prerequisite step that we develop in this study before a joint use of both approaches through data assimilation. We hope that the positioning and the objectives of our study are more clearly stated in the new version of the manuscript.

Page 22 (figure 9): These results are related to some extent on the radiation partitioning which the authors appear to have adopted the original formulation of Norman et al (1995) for net radiation extinction and without any clumping effects which row crops tend to have (Anderson et al., 2005).

OK. This has been clearly stated in the previous point, in particular point [1] and [2].

Page 25 (figure 11): Did the authors consider the fact that extinction of diffuse light through a canopy is quite different from direct and perhaps that is another factor affecting the Priestley-Taylor value?

We totally agree with the reviewer remark. Overcast and clear skies conditions are treated the same way in the calculation of the net radiation and of the radiation divergences through the canopy in the version of TSEB we used. However the more physically-based description described in Campbell et al. 1998 and implemented in Kustas & Norman 1999 with specific extinction for diffuse or direct radiation through the canopy was tested but did not give better results. Clear sky radiation is mainly direct while overcast radiation is more diffuse, which is certainly affecting the Priestley-Taylor coefficient value. A proposition to take this into account would be to use the "SKYL" factor (accounting for the ratio between sky irradiance and total (sun + sky) irradiance) instead of Priestley-Taylor coefficient modulating the according cloudiness. Our dataset doesn't include "SKYL" measurements, it could be eventually estimated with some error. In our study, the "SKYL" is not taken into account neither for TSEB nor for SEtHyS and the physical description of the radiation divergence through the canopy are consistent between both models.

The interest to propose directly a modulation of the Priestley-

Taylor coefficient in such conditions lies in the multiplicity of the factors that should be determined in this case of low atmospheric demand in term of evapotranspiration simulation. In our sense, this goes in the direction of the conclusions of Kustas & Norman 99.

# Ability of a SVAT and a two-source energy balance model to predict evapotranspiration for several crops and climate conditions

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Abstract. Agrosystems heterogeneity, in terms of hydric conditions, crop types and states, and meteorological forcing, is difficult to characterize precisely at the field scale over an agricultural landscape. This study aims to perform a sensitivity study to uncertain model inputs of two classical approaches used to map evapotranspiration of agrosystems: (1) a Surface Energy Balance (SEB) model, the Two Source Energy Balance (TSEB), forced with TIR data as a proxy of the crop hydric conditions, and (2) a Soil-Vegetation-Atmosphere Transfer (SVAT) model, the SEtHyS model, where hydric conditions are computed from a soil water budget. To this objective, models skills are compared thanks to a large and unique in situ database covering different crops and climate conditions, acquired over three experimental sites in southern France and Morocco. On average, models provide half-hourly estimations of latent heat flux (LE) with a RMSE of around 55  $Wm^{-2}$  for TSEB and 47  $Wm^{-2}$ for SEtHyS, and estimations of sensible heat flux (H) with a RMSE of around 29  $Wm^{-2}$  for TSEB and 38  $Wm^{-2}$  for SEtHyS. A sensitivity analysis based on realistic errors aimed to estimate the potential loss of performance induced by spatialization process. For the SVAT model, the MCIP methodology is used to determine and test different sets of parameters. TSEB is run with only one set of parameters and provides acceptable performances for all crop stages apart from the early beginning of the growing season ( $LAI < 0.2 \ m^2 \ m^{-2}$ ) and when water stress occurred. An in-depth study on the Priestley-Taylor key parameter highlights its marked diurnal cycle and the need to adjust its value to improve flux partition between sensible and latent heat fluxes (1.5 and 1.25 for France and Morocco, respectively). Optimal values of 1.8 to 2 were highlighted under cloudy conditions, which is of particular interest with the emergence of low altitude drone acquisition. Under developed vegetation  $(LAI > 0.8 \ m^2 \ m^{-2})$  and unstressed conditions, using sets of parameters that only differentiate crop types is a valuable tradeoff for SEtHyS. This study provides some scientific elements for a joint use of both approaches and TIR imagery, via the development of new data assimilation and calibration strategies.

#### 20 1 Introduction

Exchange of water at the soil-vegetation-atmosphere interface is of prime importance for weather forecasting and for climate studies (Shukla and Mintz, 1982); it is also a key component for hydrology, and therefore catchment water balance (Milly, 1994), and for agronomy in order to improve irrigation scheduling (Allen et al., 1998). Despite the abundant literature on the

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subject, there is no consensual approach for its spatialized estimation, and the contribution of evapotranspiration (ET) to the global hydrologic cycle remains uncertain (Jasechko et al., 2013). There are several *in situ* techniques available to measure ET (Allen et al., 2011) but most suffer from a lack of spatial representativeness. This prevents their use as a sustainable solution for regional applications, especially for agricultural landscape where spatial heterogeneity –in terms of farming and technical itineraries including the resulting pattern of moisture conditions– is high. By contrast, remote sensing offers an attractive alternative through the synoptic and repeated data acquisition it provides. Indeed, even if ET is not directly observable from space, remote sensing data in different parts of the electromagnetic spectrum are related to the characteristics of the land surface governing the evapotranspiration process.

Within this context, several approaches combining remote sensing data and land surface models of various complexity were proposed for the regional monitoring of ET (Courault et al., 2005), from the most conceptual approaches modulating the evaporative demand by an empirical coefficient (the so called "crop coefficient", Allen et al., 1998), to the complex and mechanistically-based Soil-Vegetation-Atmosphere Transfer (SVAT) models that require a large number of inputs. In-between, the surface energy balance (SEB) models, constrained by thermal-infrared radiative temperature observations, have been gaining influence over the last decade (Choi et al., 2009; Diarra et al., 2017). Several authors intercompared the different SEB-based approaches for mapping ET with noticeable discrepancies (see Zhan et al., 1996; French et al., 2005; Timmermans et al., 2007, 2011; Chirouze et al., 2014). Among the different SEB models, the two-source-energy balance (TSEB Norman et al., 1995) emerged as a robust and accurate model for evapotranspiration mapping over semi-arid crops (Anderson et al., 2007; Chirouze et al., 2014; Diarra et al., 2017). This model is now extensively used in the scientific community and has been the subject of numerous refinements since the original version of Norman (Kustas and Norman, 1999, 2000; Anderson et al., 2008; Colaizzi et al., 2012, 2014, etc...). Nevertheless, both approaches were rarely compared while the joint use, through data assimilation technics, of snapshot evapotranspiration maps from SEB approaches and dynamic SVAT predictions appears promising (Crow et al., 2005, 2008). This is certainly to be attributed to the different underlying diagnostic or prognostic equations of the models with respect to the distinct purposes of the approaches in terms of temporal and/or spatial resolutions of evapotranspiration estimates.

Either based on SVAT or SEB models, the estimation of surface evapotranspiration implies dealing with the method-model complexity issue (Carlson, 2007; Kalma et al., 2008), and with the always incomplete knowledge to document or to constrain them. For instance, with regards to remotely sensed TIR data, McCabe and Wood (2006) have shown how spatial resolution of TIR data used as input in SEB method impacted the spatial variation of flux estimates. For the higher resolution, another source of uncertainty is coming from to the surface temperature fluctuations in relation with atmospheric turbulence (Lagouarde et al., 2013). The lack of knowledge on scaling effects when fluxes are intercompared at the same scales using aggregation or disaggregation methods was also pointed out by several authors as a scientific issue for evapotranspiration mapping (Kustas et al., 2003, 2004; Norman et al., 2003). Although limited in time and focused on semi-arid and sparse grasses and crops, several studies were also dedicated to the sensitivity analysis of the TSEB model to uncertain inputs including radiative temperature, meteorological forcings or vegetation descriptors (Zhan et al., 1996; Anderson et al., 1997; Kustas and Norman, 1997; Li and Kustas, 2005; Timmermans et al., 2007; Kustas et al., 2012). Likewise, others were focused on the sensitivity of SVATs (Franks

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et al., 1997; Calvet et al., 1998; Wood et al., 1998; Pitman et al., 1999; Olioso et al., 1999; Robock et al., 2003; Petropoulos et al., 2009). Within this context, the comparative study of Crow et al. (2008) between a SVAT model and the TSEB approach is a founding study of our work. Indeed, as a preliminary step to the joint use of both approaches through data assimilation, the purpose of this study is the comparison of the TSEB model (Norman et al., 1995) and the SEtHyS SVAT model (described in Coudert et al., 2006), in terms of overall performances, errors and domains of validity when model inputs and parameters are uncertain. This is done here thanks to large and unique in situ database covering several crops and seasons in relatively well-watered conditions and limited advection environment.

This paper is organized as follows. After briefly introducing data sets and both models (Sect. 2), the analysis of the models performances is presented (Sect. 3.1). Then, we focus on sensitivity analysis results (Sect. 3.2) and on discussions related to parameters and inputs (Sect. 4). Finally, conclusions and perspectives are drawn in Sect. 5.

#### 2 Data and methods

#### 2.1 Models description

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The two-source energy balance budget, which is similar for both models is firstly described. Then, differences in the solving method and associated assumptions, together with differences in flux parameterization, are briefly reminded.

#### 15 2.1.1 The two-source energy budget

In the two-source energy balance, total sensible (H) and total latent heat (LE) fluxes arise from the soil and vegetation heat and vapor sources. Applying energy conservation and continuity principles, the energy budget can be described with the following set of equations:

$$H = H_{[soil]} + H_{[veo]} \tag{1}$$

$$LE = LE_{[soil]} + LE_{[veg]} \tag{2}$$

$$R_n = R_{n[soil]} + R_{n[veg]} \tag{3}$$

$$R_{n[soil]} = H_{[soil]} + LE_{[soil]} + G \tag{4}$$

$$R_n = H + LE + G, (5)$$

where G is the ground heat flux and  $R_n$  is the net radiation. All fluxes are expressed in  $Wm^{-2}$ . The H and LE fluxes expressions are given in Shuttleworth and Wallace (1985, Eq. 6 and 7, p. 843) for a resistive scheme (following analogy with Ohm's law) of a one-dimensional description of energy partition for sparse crops assuming horizontal uniformity. H and LE expressions for the complete canopy between the level of mean canopy fow and reference height can then be written as:

$$H = -\frac{\rho c_p}{r_a^a} (T_x - T_0) \tag{6}$$

$$LE = -\frac{\rho c_p}{r_a^a \gamma} (e_x - e_0) \tag{7}$$

Where  $\gamma$  is the psychrometric constant  $(mb\ K^{-1})$ ,  $r_a^a$  the aerodynamic resistance between canopy source height and reference level  $(s\ m^{-1})$ ,  $e_x$  and  $e_0$  vapor pressure (mb) at canopy source height and reference height and  $T_x$  and  $T_0$  temperature (C) at canopy source height and at reference height. The components elements from soil and vegetation  $(LE_{[soit]}, LE_{[veg]}, H_{[soit]}, and H_{[veg]})$  are expressed in the same way according to the associated resistances. Afterwards, the vapor pressure deficit at the canopy source height is introduced. The system now becomes a set of five equations with six unknowns, namely: vegetation temperature  $T_{[veg]}$ , soil temperature  $T_{[soit]}$ , canopy-space temperature  $T_{[canopy]}$  and the corresponding water vapour pressure  $e_{[veg]}, e_{[soit]}$  and  $e_{[canopy]}$ . The next steps of the classical solving of a two-source energy balance system are to express  $T_{[canopy]}$  as a function of  $T_{[veg]}$  and  $T_{[soit]}$  thanks to the continuity equation in  $T_{[veg]}$  as a function of  $T_{[veg]}$  and the energy budget of vegetation. In addition, the heat conduction flux in soil  $T_{[veg]}$  is either estimated from net radiation (TSEB model) or residual of the energy budget (SEtHyS model) as detailed in the appendix. The solving method consists in the linearization of the equations of the previous system. The basic differences between approaches is that for SVATs models, soil temperatures at different depths are prognostic variables tightly linked to water mass balance, whilst radiative temperature is a forcing input for the SEB models used to infer  $T_{[veg]}$  and  $T_{[soit]}$  as detailed below.

#### 2.1.2 TSEB

The TSEB model has been first described in Norman et al. (1995) and has been the subject of several refinements. The version of TSEB used in this study is described below. The solving principle is briefly described below. TSEB is forced by a radio-metric surface temperature  $T_{rad}$  so that soil and vegetation temperatures contribute to  $T_{rad}$  in proportion to the fraction of the radiometer field of view ( $f_{\theta}$ ) that is occupied by each component, thus adding a sixth equation to the system above:

$$T_{rad}(\theta) = [f_{\theta} \times T_{[veg]}^n + (1 - f_{\theta}) \times T_{[soil]}^n]^{1/n}, \tag{8}$$

where the factor n is usually fixed to 4 (Becker and Li, 1990). The available energy at the soil surface is computed considering an exponential extinction of net radiation (i.e. Beer's Law):

$$R_{n[soil]} = R_n \times \exp \frac{-\kappa \times LAI}{\sqrt{2\cos\theta}},\tag{9}$$

where the factor  $\kappa$  is set to 0.45 for spherical distribution of leaves following Roos (1991). The conduction flux in the soil is expressed as a fraction of the available energy at the soil surface :

$$G = \Gamma \times R_{n[soil]},\tag{10}$$

with  $\Gamma$  an empirical coefficient usually equal to 0.35 (Choudhury et al., 1987). Finally, the resolution of this set of equations relies on the (strong) assumption that, most of the time, vegetation transpires at a potential rate. The Priestley Taylor equation gives a first estimation of canopy transpiration (Norman et al., 1995, Eq. 12):

$$LE_{[veg]} = \alpha_{PT} \times f_g \times \frac{\Delta}{\Delta + \gamma} \times R_{n[veg]}, \tag{11}$$

where  $\alpha_{PT}$  is the Priestley Taylor parameter,  $f_g$  the green vegetation fraction cover,  $\Delta$  the slope of the saturation vapor pressure versus temperature curve and  $\gamma$  the psychrometric constant.  $\alpha_{PT}$  values ranges between 0.5 and 2.0 (Hssaine et al., 2018) according to meteorological conditions including advections, green fraction of vegetation and soil water availability with an average value around 1.3.

In the "series" resistance network used in this study (see justification below) described in Norman et al. (1995, Fig. 11), the sensitive heat fluxes are expressed as:

$$H_{[soil]} = \rho c_p \frac{T_{[soil]} - T_{[canopy]}}{r_{\circ}} \tag{12}$$

between the soil surface and the canopy air space,

$$H_{[veg]} = \rho c_p \frac{T_{[veg]} - T_{[canopy]}}{r_r} \tag{13}$$

between vegetation and canopy air space,

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$$H = \rho c_p \frac{T_{[canopy]} - T_a}{r_a}$$
 (14)

between canopy air space and reference height for atmospherical measurements. Where  $r_s$ ,  $r_x$  and  $r_a$  are the associated resistances given respectively in (Norman et al., 1995, Eq. B.1, Eq. A.8, Eq. 6).  $H_{[veg]}$  is then computed as the residual of the vegetation energy balance (eq. 1).  $T_{[veg]}$  is derived from  $H_{[veg]}$ ;  $T_{[soil]}$  from Eq. (8);  $H_{[soil]}$  is computed from  $T_{[soil]}$  and  $LE_{[soil]}$  as a residual of the soil energy balance (Eq. 1). Should  $LE_{[soil]}$  be found negative, meaning that there is condensation on the soil surface, which is very unlikely during the day, then the initial value of the Priestley-Taylor coefficient  $\alpha_{PT}$  is iteratively reduced until  $LE_{[soil]}=0$  following Anderson et al. (2005) and Li and Kustas (2005).

In agreement with (Li and Kustas, 2005), the "series" layout of resistance (Norman et al., 1995) was found to provide overall more accurate results (not shown) and also less sensitivity to vegetation cover estimate. Furthermore, for model comparison, it was also relevant that both resistance network were similar in TSEB and SEtHyS model.

#### 25 **2.1.3 SEtHvS**

The SEtHyS –French acronym for *Suivi de l'Etat Hydrique des Sols* or monitoring of the hydric condition of the soils– SVAT model physics and the main parameterizations are described in Coudert et al. (2006). The main equations of SEtHyS are

**Table 1.** TSEB parameters (9) with reference values and optimal values obtained from sensitivity analyses.

Category	Parameter	Description [unit]	Litterature range	Reference value	Optimal value
Optical	$A_{soil}$	Soil albedo	0.05 - 0.35	0.15	0.14
properties	$A_{vegetation}$	Vegetation albedo	0.10 - 0.30	0.3	0.3
	$E_{soil}$	Soil emissivity	0.94 - 0.97	-	0.94
	$E_{vegetation}$	Vegetation emissivity	0.90 - 0.99	-	0.97
	$\epsilon$	Surface emissivity, involved in CNR1 Ts conversion	0.96 – 0.99	-	0.96
Vegetation	S	Leaf size [m], involved in computing surface resistance	-	0.01	0.01
characteristics	$lpha_{PT}$	Priestley-Taylor coefficient, involved in estimating canopy transpiration (Eq. 11)	1 – 2	1.26	1.3 – 1.5
Surface	Γ	Soil energy partition coefficient : $G = \Gamma \times R_{n[soil]}$ (Eq. 10)	-	0.35	0.35
properties	$\kappa$	Coefficient of the exponential extinction of net radiation to compute available energy at the soil surface (Eq. 9)	0.3 – 0.6	0.45	0.4

summarized in appendix A. The model belongs to the "two sources, two layers" SVAT model category. Actually, the coupled water and energy budget is solved for the vegetation and soil sources and the soil description for water and heat transfers is based on the force-restore Deardorff formalism (Deardorff, 1978). The model requires atmospheric and radiative forcing and surface biophysical parameters as inputs. It calculates the energy and water fluxes between surface and atmosphere and simulates the evolution of soil and canopy temperatures, air temperature and specific humidity within the canopy, as well as the surface and the root zone soil water content. The heat and water transfer calculation within the continuum soil-vegetationatmosphere is based on a resistance concept. The resistance network is made of four nodes: the reference height for the low atmospheric weather forcing; inside the vegetation at the displacement height plus the roughness length; just above ground at the soil roughness length; and, at ground level. The aerodynamic resistances –above and inside vegetation canopy– are determined with the wind speed profile description inside the canopy from Shuttleworth and Wallace (1985) and Lafleur and Rouse (1990). The evapotranspiration calculation takes into account partition between free water in the canopy and the rest of the leaves (Monteith, 1965; Deardorff, 1978) and is based on the stomatal resistance for "big leaf" model from Collatz et al. (1991). The vegetation photosynthesis and stomatal resistance parameterizations are the same as those used by the SiB model (Sellers et al., 1996). The soil hydrodynamic properties to calculate water transfer processes within the soil porous network are given by Genuchten (1980). Ground heat flux conduction is obtained as the residual of the soil energy budget. Finally, the radiative transfer model included in the model for TIR domain (François, 2002) allows simulating brightness temperature and

**Table 2.** SetHyS parameters (22) with initial uncertainty ranges used for MCIP calibration.

Category	Parameter	Description [unit]	Initial uncertainty range	
Optical	$E_g$	Bare soil emissivity	0.94 - 0.99	
properties	$A_{sec}$	Dry soil albedo	0.225 - 0.35	
	$A_{hum}$	Wet soil albedo	0.1 - 0.22	
	$W_{inf}$	Moisture parameter for albedo calculation	0.15 - 0.29	
	$W_{sup}$	Moisture parameter for albedo calculation	0.291 - 0.5	
	$A_{sv}$	Vegetation albedo	0.16 - 0.32	
Vegetation	$V_{max0}$	Leaf photosynthetic capacity (Rubisco) [ $\mu mol \ m^{-2} \ s^{-1}$ ]	30 – 200	
characteristics	$l_{gf}$	Dimension of the leaf along the wind direction $[m]$	0.01 - 0.08	
	$k_{wstr}$	Empirical parameter for water stress calculation	0.01 - 0.1	
Ground	$p_{hc}$	"Half critic" hydrologic potential $[m]$	-200 – 100	
properties	$W_{max}$	Saturated soil water content $[m^3 m^{-3}]$	0.3 - 0.5	
	$W_{resid}$	Residual soil water content $[m^3 m^{-3}]$	0.05 - 0.15	
	$h_{VG}$	Scale factor in the Van Genuchten retention curve model $[m]$	-1.161 – 0.251	
	$n_{VG}$	Shape parameter in the Van Genuchten retention curve model	1.168 – 1.331	
	$K_{sat}$	Saturated hydraulic conductivity $[m \ s^{-1}]$	$2.4 \times 10^{-8} - 2.7 \times 10^{-6}$	
	$a_{Elim}$	Empirical parameter for limit evaporation	1 – 50	
	$b_{Elim}$	Empirical parameter for limit evaporation	1 – 50	
	$F_{therm}$	Correction coefficient of the volumetric soil heat capacity $[Jm^{-3}K^{-1}]$	0.5 - 2	
	$dp_2$	Root zone depth [mm]	200 – 2000	
Initialization	$w_{g0}$	Initial soil surface water content $[m^3 m^{-3}]$	-	
variables	$w_2$ Initial root zone water content $[m^3 m^{-3}]$		-	
	$bias_{T2}$	Error in deep soil temperature $[K]$	-2 - 2	

radiative temperature, and thus gives the possibility of constraining the model with TIR data (Coudert and Ottlé, 2007; Coudert et al., 2008). The SEtHyS model requires a set of about 22 parameters presented in Table 2.



**Figure 1.** Experimental sites localization in France (left) and Morocco (right).

#### 2.2 Sites description and data

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The experimental data set has been gathered in South West of France (Béziat et al., 2009) and South East of Morocco (Chehbouni et al., 2008; Jarlan et al., 2015) as shown in Fig. 1. As presented in Table 3, all necessary data to run, calibrate and evaluate models were collected over 3 agricultural sites, spanning a total of 7 cultures cycles from seeding to harvest: 3 wheat (*Triticum aestivum L.*) crops, 1 sunflower (*Helianthus annuus L.*) crop and 2 corn (*Zea mays L.*) crops. Experimentals sites differ by different management practices (culture rotation and irrigation), soil properties, topography and climates (6 temperate crop cycles and 1 semi-arid crop cycle). Auradé (43.55°N, 1.11°E) and Lamasquère (43.50°N, 1.24°E) experimental sites are located near Toulouse in south-western France and are part of the "SudOuest" project (Dedieu et al., 2001; Béziat et al., 2009). Both experimental sites are under influence of temperate climate. A rotation of wheat and sunflower is cultivated in Auradé, while a rotation of wheat and irrigated corn is cultivated in Lamasquère. Complete description of site features and data sets are presented in Béziat et al. (2009). Sidi Rahal (31.67°N, 7.60°W) experimental site is located in the Haouz plain in central Morocco and is part of the "Sud-Med" project (Chehbouni et al., 2008; Jarlan et al., 2015). It is part of an irrigated agricultural area under influence of a semi-arid climate, where wheat is the most widely grown crop. More information about site and data set is given in Duchemin et al. (2006).

Each experimental station collected standard meteorological data at a half-hourly time step intervals: Global incoming shortwave and longwave radiation ( $R_g$  and  $R_a$ ), wind speed ( $U_a$ ), air temperature ( $T_a$ ), atmospheric pressure ( $P_a$ ), relative humidity ( $R_h$ ) and rainfall. The four components of the net radiation ( $R_n$ ) were measured using a CNR1 radiometer (Kipp and Zonen, Delft, NL). Land surface temperature (LST) was computed from measured upward and downward long wave components of the net radiation, using Stefan-Boltzmann's law and an estimation of surface emissivity (Becker and Li, 1995). Sensible (H) and latent (LE) heat fluxes were measured continuously using Eddy-Covariance (EC) systems (Moncrieff et al., 1997; Aubinet et al., 2000). Fluxes were processed with classical EC filters and corrections (Béziat et al., 2009). Accuracy on

flux estimates is expected to range between 5 % and 30 % (Eugster et al., 1997; Wilson et al., 2002). Soil heat flux (G) was sampled using heat flux plates located at depths ranging from 5 cm to 1 m. Automatic measurements were then complemented by vegetation sample. Vegetation height  $(h_c)$  and green Leaf Area Index (LAI) were collected periodically along crop cycles and interpolated using Piecewise cubic Hermite algorithm. Green LAI was determined from destructive measurements with a LiCor planimeter (LI3100, LiCor, Lincoln, NE, USA). In order to obtain estimation of fraction of green  $(f_g)$ , total LAI  $(LAI_{green} + LAI_{yellow})$  was extrapolated from green LAI data, applying a linear decrease starting at the LAI maximum and ending at harvest with a value of  $LAI_{total} = 0.8 \times LAI_{max}$ . In order to assess the potential loss of accuracy of meteorological inputs at the landscape scale and impact on model simulations, SAFRAN reanalysis data (Quintana-Seguí et al., 2008) are used within this study. SAFRAN is based on an optimal interpolation between a background estimate obtained from Météo France's Numerical Weather Prediction Model (ALADIN) and weather station observations, except for precipitation relying on the ground station network only and for the incoming radiation fluxes (downwelling surface shortwave and longwave) which are computed from Ritter and Geleyn's radiation scheme (1992) from the outputs of a numerical weather forecast model and the solar constant at the top of the atmosphere (for shortwave incoming radiation). Data was kindly provided by Météo-France.

#### 2.3 Assessing the model skills

15 Keeping in mind that we plan to spatialize a SVAT model, whose parameters are highly dependent on growth stage of vegetation, we must be able to determine sets of parameters representing specific phenological stages and hydric conditions (stressed/non-stressed). For this reason evaluation is not performed continuously over the whole crop cycles, but specific periods of interests were identified to assess the model skills. These periods were chosen to be ten days long in order to catch synoptic scale variability of the weather, as shown by Eugster et al. (1997) with the help of spectral analysis. This duration is
20 also short enough to remain representative of a specific phenological stage, and long enough to gather a sufficient amount of data. For each crop cycle, four specific study periods were chosen, each corresponding to the following phenological stages: rising/emergence stage (0.1 < LAI < 0.3), growth stage (rapid increase of LAI and LAI ≈ 1), maximum development stage (around LAI maximum value) and senescence stage (LAI decreases). Starting days of periods were adjusted to optimize the quality of available data, as the data sets are subject to sporadic measurements issues and energy closure inconsistencies (filtered to a minimum of 80 %).</li>

In order to better assess the differences of model skills during stress periods, water stress is quantified along the whole crop cycles using two indicators:

- the Evaporation Stress (SE, Boulet et al., 2007) related to the ratio between real and potential evapotranspirations:

$$SE = 1 - \frac{LE}{LE_{pot}},\tag{15}$$

where  $LE_{pot}$  was computed using the Penman-Monteith equation (Canopy resistance was estimated following Jarvis (1976) formulation with a minimum value of  $90 \ s.m^{-1}$ ).

- the Soil Wetness Index (SWI, Douville, 1998, among others) of the root zone ranging from 0 at wilting point to 1 at field capacity:

$$SWI = \frac{W_2 - W_{wilt}}{W_{fc} - W_{wilt}},\tag{16}$$

with  $W_2$  the root zone water content,  $W_{fc}$  the water content at field capacity, and  $W_{wilt}$  the water content at wilting point.

As cultures from our data set are irrigated or in temperate areas, most stress periods are found during senescence phases, when water resources are low or irrigation is stopped. The model skills are assessed through classical statistical metrics including Root Mean Square Error (RMSE), Mean Absolute Percentage Deviation (MAPD), bias and determination coefficient  $r^2$ .

#### 2.4 Implementation of the models

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- Considering our objective to compare a complex SVAT model with the TSEB tool taken as an example of a simple and robust approach, a different strategy has been applied for the implementation of the two models. The 22 parameters of the SEtHyS model were finely tuned for each crops and each phenological stages. The objective of this calibration was not to fit the data at best but rather to evaluate the sensitivity of model outputs to potentially poorly calibrated parameters when the model is to be applied to an heterogeneous agricultural landscape at the field or intra-field scale. To this objective, four different cases corresponding to four different sets of parameters are considered to quantify the potential loss of performances due to wrong parameter values. The four cases are listed below from the "best" conditions when the parameters are calibrated for each site, each crop and each phenological stage to the worst when generic values are used:
  - 1. Site and period specific parameters sets (hereafter named "optimal") for each site, crop class (i.e. type of culture) and phenological stages. Note that the analysis of the model skills (Sect. 3.1) is performed using this parameters set.
- 20 2. More generic parameters sets depending on crop class and phenological stages only (named hereafter "pheno+cult").
  - 3. If no information is available for characterizing phenology, a calibrated set of parameters for the whole cultural crop cycle is computed (hereafter named "culture only").
  - 4. The last case corresponds to the "optimal" parameters set but applied to another crop class in order to take into account potential errors that are likely to occur when working with a land-use map (named "unadapted").
- What we consider the "best" case is very unlikely for a spatialized application of the tool because the largest the available database, it will never cover all the conditions encountered at the scale of an heterogeneous agricultural landscape where each plot has its specific soil, technical itinerary, hydric status, etc... Our objective is thus to get different parameters sets with values close to what is expected for each type of conditions (crops, climate, sites, phenological stages...) but without giving too much importance to the values themselves. To help perform the calibration, a stochastical multi-objective calibration method (Multi-Objective Calibration Iterative Procedure or MCIP; Demarty et al., 2004, 2005) has been implemented in order to minimize

RMSE between simulations and measurements at half-hourly time intervals. Five objective functions are identified: RMSE of H and LE fluxes, surface brightness temperature  $(T_b)$ , net global radiation  $(R_n)$ , and root zone soil water content (SWC). An ensemble of simulations based on a monte-carlo sampling of the parameter space is carried out, and the objective functions are optimized jointly following a Pareto ranking. The basic principle is that a simulation is classified "better" than the others if all the objective functions have lower values. For more details, the MCIP methodology is described in Demarty et al. (2004, 2005).

For TSEB, the most robust configuration of the model is sought. To this objective, as a first step, the most sensitive parameters (Diarra et al., 2017) for convection fluxes prediction are calibrated on the whole database at once. These parameters are the Priestley Taylor coefficient ( $\alpha_{PT}$ ), the coefficient of net radiation extinction ( $\kappa$ ), and the empirical coefficient ( $\Gamma$ ) relating  $R_{n[soil]}$  to G. The objective functions are the RMSE of H and LE. Those calibrated values are reported at table 1. They are almost the same as those proposed by Norman et al. (1995) and will be kept for the TSEB runs in the next section of the paper. A more optimal calibration by crops, site and phenological stages has also been carried out (not shown). Main finding can be summarized as follows:

1. The RMSE difference between Norman et al. (1995) values and the optimal one calibrated for each crop and stage didn't exceed  $10 Wm^{-2}$  on LE. In addition, most of optimal  $\kappa$  values range between 0.38 and 0.58; the only notable exceptions are fully covering wheat with lower interception ( $\kappa$  value around 0.3) that may be attributed to the erectophile distribution of wheat leaves and fully covering maize and sunflower characterized by a higher interception ( $\kappa$  values reaching 0.7).

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- 2. Likewise, the RMSE difference between initial Norman et al. (1995) and the optimal ones for the  $\alpha_{PT}$  parameter remain below 6  $Wm^{-2}$  except during the senescence stages where they can reach more than 35  $Wm^{-2}$ . Errors by taking the literature value of 1.3 are thus very limited. Finally, the range of optimal values is relatively narrow (1.05 to 1.6).
- 3. For the  $\Gamma$  parameter, differences of RMSE between the optimal values and 0.35 proposed by Norman et al. (1995) are below 8  $Wm^{-2}$  apart from the rising stage where we observed errors up to 79  $Wm^{-2}$  on the sunflower site. Values range between 0.05 and 0.7.

Still with the goal of identifying the most robust configuration of the TSEB model, several refinements proposed by different authors to improve models prediction for specific crop and climate conditions were also tested with our database. The Priestley-Taylor formulation yet relatively simple provides accurate potential transpiration in a wide range of conditions but neglect the aerodynamic resistance. Colaizzi et al. (2014) proposed to replace the Priestley-Taylor expression by the Penman-Monteith equation (Monteith, 1965) in particular for advective conditions thus avoiding to increase the value of the  $\alpha_{PT}$  parameters as proposed by Kustas and Norman (2000) for such conditions. Unfortunately, the Penman-Monteith version worsened the results of about 6.3% on average on the whole data base and was not retained in this study. Several parameterizations aiming to represent the diurnal course of  $\Gamma$  have also been proposed. Those of Santanello et al. (2003) and of Chávez et al. (2005) have been tested. The overall performance of the model to predict convective fluxes were slightly worsened on average apart for some very specific conditions. Interestingly enough, a multiplicative factor to  $\kappa$  lower than 1 has been proposed by Campbell

and Norman (1998) in the divergence equation (eq. 9) to take into account the clumping of some crops that may intercept a lower part of the incoming radiation than if leaves were randomly distributed (Kustas and Norman, 1999). The calibrated values of  $\kappa$  for sunflower and maize are above 0.45 proposed by Norman et al. (1995) (cf. point (1) above). This tends to show that a higher fraction is intercepted probably because of a dominantly planophile distribution of leaves for both crops rather than a clumping effect. Finally, the simple radiative transfer model of Kustas and Norman (1999) has been tested as an alternative to Beer law proposed by Norman et al. (1995). Although close on average (RMSE differences on  $Rn < 17 \ Wm^{-2}$ ), significantly worse RMSE were obtained during the rising stage on wheat and sunflower.

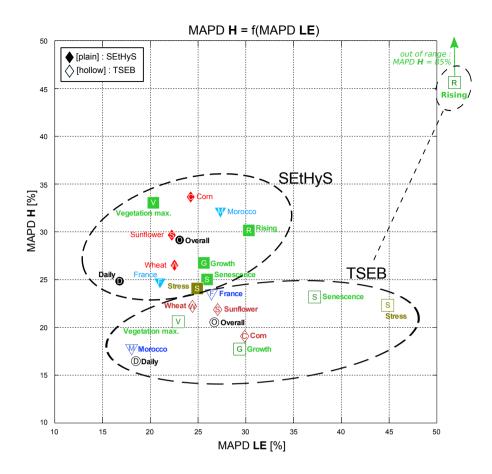
#### 3 Results

#### 3.1 Models skills by crops and phenological stages

Model simulations of heat fluxes are compared to tower fluxes measurements at half-hourly and daily time steps, with a focus on performance by crops and by growth stage. RMSE's for LE, H and  $R_n$  are displayed in Table 4 and MAPD's for H and LE are displayed in Fig. 2. Biases (not shown) are very limited and ranged between -23  $Wm^{-2}$  and +10  $Wm^{-2}$  for both models, except during the rising phase where they reach -47  $Wm^{-2}$  and +43  $Wm^{-2}$  for SEtHyS and TSEB, respectively (see discussion below). Available energy is well simulated for both models with daily averaged RMSEs of 43  $Wm^{-2}$  and 19  $Wm^{-2}$  for TSEB and SEtHyS, respectively.

Regarding heat fluxes, Table 4 points out good performances of the TSEB model on daily averaged values despite the relative simplicity of the approach compared to SEtHyS, which relies on a systematic parameter calibration. Both models exhibit close statistics concerning LE estimations (RMSEs of 35.5 vs 38.9  $Wm^{-2}$  for SEtHyS and TSEB, respectively) while TSEB behaves slightly better regarding H estimations (21.2 vs 28.7  $Wm^{-2}$ ). These values are close to errors found in the literature for TSEB (Norman et al., 1995; Zhan et al., 1996; Anderson et al., 1997; Kustas and Norman, 1999; French et al., 2005; Kalma et al., 2008; Diarra et al., 2013, 2017) and also within the range of expected errors from EC towers measurements (Eugster et al., 1997; Wilson et al., 2002). Half-hourly values lead to similar conclusions, except that the drop in retrieved LE's performance associated to this change of reference time interval is stronger for TSEB than for SEtHyS. Interestingly enough, this first analysis hilights important disparities in terms of LE prediction skills between the various growth stages. Indeed, Fig. 2 highlights some regularity in the SEtHyS skills regardless of growth stages and crops, as evidenced by the narrow group formed by the SEtHyS points. By contrast, the range of MAPD values for TSEB is much wider. In particular, limitations of the model are clearly emphasized during rising and senescence stages. During the senescence phase, these discrepancies may both be attributed to stress (see discussion below) but could be related also to a poor partition of available energy between soil and vegetation. Indeed, the change in the radiative features of the canopy, including albedo, which occurs on senescent plants, is not taken into account by the model. However, regarding irrigation practices, it should be noted that assessing accurate ET during senescence is not as important as during the growth season.

The poor performance during the rising stage is due to excessive limitation of the soil sensible heat flux, induced by the parameterization of the roughness length for momentum ( $Z0m = h_c/8$ ) at the denominator of the expression of the aerody-



**Figure 2.** Comparison of TSEB (hollow markers) and SEtHyS (plain markers) estimations of H and LE for various time resolutions (circles), phenological stages (squares), cultures (diamonds) and climates (triangles). SEtHyS results are computed with the "optimal" sets of parameters (see Sect. 2.4). MAPD stands for Mean Absolute Percentage Deviation.

namic resistance  $r_a$ , leading to very high resistance when canopy height is very low. Since, during that stage, the vegetation net radiation is very limited, vegetation sensible heat is also close to 0. The observed high MAPD of LE during the rising phase shall thus be attributed to significant bias of TSEB estimates. To a lesser extent, SEtHyS skills are also mitigated during the rising phase. Generally, when evaporation is predominant over transpiration, more weight is given to soil transfer processes which are harder to characterize, considering the high heterogeneity of soil characteristics and the limited soil measurements available for calibration. The poor performances are more conspicuous with TSEB leading to estimation of H with a MAPD of 85 %. By contrast, both models tend to have better performance when vegetation is fully-developed (MAPD less than 23 % for LE). The model performance by crop and growth stage is detailed in Fig. 3 (a) and (b), respectively, as normalized Taylor diagrams (Taylor, 2001). This diagram is a concise way to display the ratio between the variances of the model outputs and the observed data, the correlation coefficient r and the RMSE between model estimates and observations normalized by the variance of the observed data set. The further from the point marked "observed reference" on the abscissa axis, the higher

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the normalized RMSE; likewise, dots on the right (left) side of the circle cutting the ordinate axis at "observed reference" overestimates (underestimates) the observation variance. Figures 3 (a) and (b) point out higher normalized standard deviations for TSEB LE estimations. These noisier outputs are likely due to the instantaneous ("snapshot") computing architecture of the model, while SEtHyS is better constrained by its continuous evolution of the soil water content which lead to smoother predictions of the daily cycle. This explains the drastic drops of TSEB RMSE on LE when going from daily to half-hourly observations already underlined above. Finally, no significant skill differences are observed between crops, which seems to indicate that (1) the set of parameters used in TSEB describes well vegetation characteristics and that (2) the SEtHyS formalism can be adapted to various crops, provided that parameters are properly calibrated. More focus on the selected sets of parameters is given in the discussion section. Models performs well in both climate: SEtHyS showed slightly better performances for flux estimates in France (MAPD for LE of 23 \% in France and 30 \% in Morocco), whereas TSEB showed slightly better performances for flux estimates in Morocco (MAPD for LE of 26 % in France and 18 % in Morocco). However, differences in crop management between France and Morocco and the availability of only one crop cycle in Morocco does not allows to draw final conclusions about climate impact on model skills. TSEB has lower performances on senescence periods (including hydric stress) for LE estimation (MAPD of 45 %). This is partly due to Priestley-Taylor approximation which is suitable for unstressed vegetation in potential conditions (Priestley and Taylor, 1972), and to the fact that it does not have a water budget description. Increased LST resulting from water stress does not allow limiting LE significantly enough in the TSEB scheme (see Sect. 3.2.7). Several authors have already pointed out that TSEB do not faithfully reproduce periods of senescence and water stress (Kustas et al., 2003; Crow et al., 2008; Boulet et al., 2015). SEtHyS includes description of soil water transfers and leaf processes –in particular stomatal resistance– and can better reproduce hydric stress impact on LE flux (MAPD of 28 %).

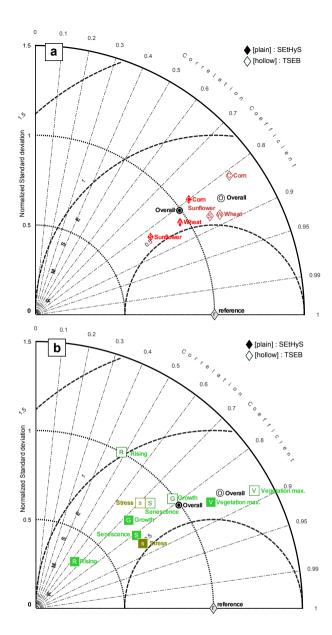
#### 3.2 Sensitivity analyses to inputs

#### 3.2.1 Overview

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Given the overall purpose of our research dedicated to the spatialized estimation of evapotranspiration at various scales, quantifying the decrease of model performance due to deterioration of input data quality combined with change of spatial scale from the field to a heterogeneous agricultural landscape is a prerequisite. Applying the models at the landscape scale is not performed the same way for both approaches: TSEB is designed to be driven by remote sensing data with ET computed directly at the resolution of the TIR pixel, while SEtHyS is spatially distributed by computing fluxes at the crop scale for each homogeneous entities separately. As a consequence, both models' performances are expected to exhibit sensitivity to the quality of auxiliary spatialised meteorological and vegetation forcing variables, TSEB's performances are expected to depend on the quality of the TIR data, and SEtHyS's performances are expected to depend on the quality of the description of the state of each homogeneous entity (i.e. soil water content initialization, and sets of parameters describing soil properties and vegetation behavior).

The specific purpose of this section is twofold: (1) identify the most sensitive inputs and (2) quantify the expected model perfor-



**Figure 3.** Taylor diagram for LE performances of TSEB (hollow markers) and SEtHyS (plain markers). Top diagram (a) compares various cultures, bottom diagram (b) focuses on phenological stages. Concentric lines centered on 0 indicate the normalized standard deviation with observations, radial lines indicate the correlation coefficient between simulations and observations, normalized RMSE isolines are the concentric circles centered on "r" (reference for the overall time series of observations: RSME=0, corr. coeff.=1 and NSD=1).

mances when realistic input errors are introduced. Expected uncertainties on input variables have been evaluated by comparing available *in situ* data to the spatialized data sets (SAFRAN meteorological reanalysis and ASTER, LANDSAT, FORMOSAT2 satellite imagery and products). Results are presented in Table 5 and details are given in the following sections.

## 3.2.2 Intercomparison of SAFRAN and in situ meteorological data

Comparison results between the two available meteorological stations in the South-West France and the closest SAFRAN 8-kms grid points (inverse interpolated distance) are reported in Table 5 in terms of RMSE and biases (2006–2008 period). On average, SAFRAN provides consistent results for air temperature and relative humidity, with reasonable RMSE and biases close to 0. To a lesser extent, wind speed is also well reproduced although slightly biased. The SAFRAN ability to predict incoming radiation is less convincing: bias is low but RMSE reaches 90  $Wm^{-2}$  (about 20 % on average). This comparison corroborates the conclusions of Quintana-Seguí et al. (2008) who also highlight a strong weakness of SAFRAN in terms of incoming radiation predictions. Er-Raki et al. (2010) used a forecast model (ALADIN from Météo-France) over the Tensift basin of Morocco. The results showed that the ALADIN forecasts are in good agreement with the station measurements in terms of solar radiation  $(R_a)$  and air temperature  $(T_a)$ . However, the comparison of the station and the forecasted values of relative humidity  $(R_h)$  and wind speed  $(U_a)$  are much more scattered. Besides the RMSE and biases representing time averaged statistical characteristics of the difference between SAFRAN and the two ground stations, it is also interesting to consider more extreme error values. To do so, the  $1^{st}$  and  $9^{th}$  deciles of the difference distribution are shown in Table 5 in absolute values and in percentage. The probability of occurrence of such errors is far from insignificant as 20 \% of the data are involved. These "extreme" errors are considered for the sensitivity study regarding (1) the instantaneous estimates provided by the TSEB model depending on satellite overpass time, leading to potential instantaneous errors much higher than the average; (2) the poorest quality of re-analysis data in the semi-arid areas because the meteorological station network may be scarcer.

### 3.2.3 Sensitivity analysis to meteorological inputs

Impact of realistic and more extreme errors on convection fluxes simulations are shown in Fig. 4 and Fig. 5, respectively. Focusing on noise (Fig. 4) is of interest since biases are often limited on re-analysis systems thanks to bias reduction procedures. On average, SEtHyS simulations are less sensitive to noisy inputs for LE than for H, whereas reverse conclusions can be drawn for TSEB. Adding white noise to meteorological inputs with the objective of scaling up to agricultural landscape with realistic error has almost no impact on RMSE for SEtHyS when compared to the reference simulation for latent heat predictions. Nevertheless, wind speed has the greater impact on LE with an increase of 10 % on LE RMSE. As a difference, a realistic level of white noise added to incoming radiation and, to a lesser extent, air temperature, deteriorates TSEB predictions with RMSE of LE simulations lowering from reference value of 55  $Wm^{-2}$  to nearly 60  $Wm^{-2}$ . Indeed, whereas the partition between latent and sensible fluxes is moderated by the slow-varying soil moisture content in SEtHyS, TSEB partition relies on measured available energy and surface temperature inputs only. By contrast, noisier wind speed, air temperature and, to a lesser extent, solar radiation, deteriorate significantly sensible heat for SEtHyS. TSEB appears, on average, less sensitive to noisy meteorological inputs for H. When considering extreme errors (Fig. 5) on meteorological forcing, the same variables are identified as the most sensitive ones:  $R_a$ ,  $R_g$  and  $T_a$  for TSEB and  $R_a$ ,  $R_g$  and  $R_h$  for SEtHyS. However, whilst SEtHyS performance remains acceptable despite these high errors on forcing, TSEB performance for both LE and H collapse in response to incoming radiation errors in particular. Interestingly enough, incoming solar radiation can also be retrieved from

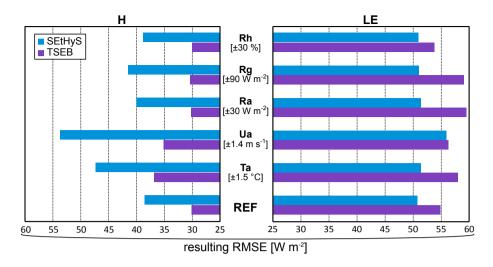


Figure 4. Sensitivity analysis (realistic white noise) to meteorological inputs for both models and impact on estimation of H & LE.

satellite measurements such as MSG. In particular, Carrer et al. (2012) points out a significant improvement of MSG derived short wave and long wave downwelling surface radiation with regards to the SAFRAN analysis system, which could represent a valuable alternative for regional assessment of evapotranspiration. To limit the sensitivity to  $T_a$  and absolute surface-air temperature differences, time differencing modeling schemes were developed (Anderson et al., 1997; Norman et al., 2000) with particular interest for large scale applications, provided early morning atmospheric soundings and/or at least two near acquisitions of  $T_{rad}$  are available.

## 3.2.4 Sensitivity analysis to vegetation forcing inputs

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Focus here is put on evaluating the bias effect on SEtHyS and TSEB flux predictions. Indeed, on one hand, errors on vegetation characteristics are much more difficult to evaluate as in situ measurements are time-consuming and therefore not always available at a small time interval. On the other hand, biases on satellite estimates are more likely to occur than white errors because of a detection limit of visible sensors in the case of sparse vegetation and a possible saturation effect when Leaf Area Index is above  $3 m^2 m^{-2}$ . On average, Claverie et al. (2011, 2012) highlight a potential bias of 20 % for LAI estimated from FORMOSAT data. Canopy height  $(h_c)$  is not available directly from remote sensing data but can be estimated from LAI. Canopy height  $(h_c)$  was deduced from  $LAI = f(h_c)$  relations, applying linear regression to each culture and phenological stage available in our in situ data. This methodology provides estimations of  $h_c$  with a MAPD of 30 %, and "extreme" bias up to 100 % (Bigeard, 2014). The results shown in Fig. 5 demonstrate that TSEB and SEtHyS sensitivity to bias on LAI remains limited. By contrast, TSEB and, to a lesser extent SEtHyS, exhibit a much higher sensitivity to bias on canopy height  $(h_c)$  due to erratic transfer resistances when  $h_c$  is too close to the height of the micrometeorological measurements, or when soil is considered bare  $(h_c = 0)$ . As LE is computed from the residual of the energy budget in TSEB, a problem is observed on both H and LE fluxes, while LE is less affected in SEtHyS (not shown).

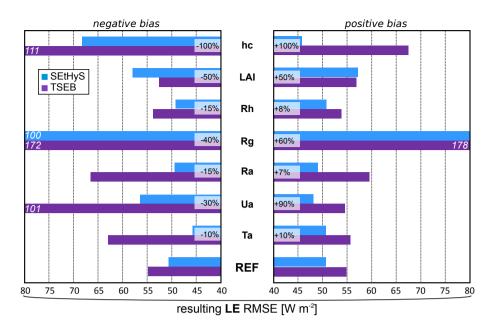
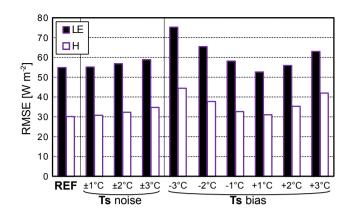


Figure 5. Sensitivity analysis to positive and negative realistic biases applied to meteorological and vegetation inputs for both models.

## 3.2.5 Sensitivity analysis to radiative temperature for TSEB

The comparison between *in situ* LST measurements and retrieval from the LANDSAT7 and ASTER images yielded a maximum absolute difference of 2.2 K (4 points) in agreement with values reported in the literature ranging from 1 to 3°C (Hall et al., 1992; Gillespie et al., 1998; Schmetz et al., 2002; Peres and DaCamara, 2004; Li, 2004; Liu et al., 2006; Wan, 2008, among others). As LST is expected to be a determining input of TSEB, an in-depth sensitivity analysis to this variable was carried out considering white noise and biases of 1, 2 and 3°C. Indeed, the spatial scale mismatch between the spatial sensor operating, at best, at 90m resolution and the SVAT model operating at the scale of an "agricultural unit" (potentially lower than a parcel) is likely to be important. Regarding the strong heterogeneity of agricultural landscape (in terms of crops, development stage, irrigation, hydric stress, etc.), bias is also likely to be important and quite impossible to correct. The results of adding errors to measured radiative temperature on TSEB fluxes prediction are shown in Fig. 6. For limited white noise up to 2 K, the drop of TSEB skills is small on both H and LE. By contrast, biases are much more impacting. In particular, a negative bias of 3 K could deteriorate LE RMSE from 58  $Wm^{-2}$  to 78  $Wm^{-2}$ . Interestingly enough, a negative bias, that is likely to occur when the observed pixel is partly irrigated (i.e. cold), while the agricultural unit studied lay under stress (i.e. hot) for instance, has a stronger effect than a positive bias. This is likely to occur in many cases in practice: a mixed pixel including forest and stressed field, irrigation heterogeneity within a pixel (for instance in progress irrigation within a field including gravity or center pivot system or the use of a localized sprinkler).



**Figure 6.** Sensitivity analysis to radiative temperature input for TSEB.

## 3.2.6 Sensitivity analysis to water inputs and soil water content for SEtHyS

Water inputs, i.e. rainfall and irrigation, are difficult to assess accurately over an agricultural landscape as long as the considered spatial scale exceeds one  $km^2$ . Even in this case, a good knowledge of irrigation input at the field level requires costly field surveys, since farmers' associations or regional offices responsible for irrigation water often work at a larger scale made of several plots. In addition to this potential uncertainty, the initial condition of soil water content (SWC) should also be considered uncertain as a result, for instance, from errors piling up from previous inputs. Figure 7 shows results of sensitivity analysis to these three factors: uncertainty on irrigation amount and timing and on SWC initial condition. Unsurprisingly, all factors had a significant impact on LE predictions. Even if input timing was correct, a bias of 1 mm with correct initial SWC deteriorated the SEtHyS skill by 5 %. If the bias on input reaches 10 mm and the initial SWC is negatively biased with the same level, the loss of model performance is above 25 %. Considering that the total amount of an irrigation round can reach 100 mm, a 10 mm uncertainty is very likely to occur in practice. In addition, a negative bias on SWC impacts significantly more LE predictions than a positive bias. Indeed, going towards drier conditions may lead to stress and, as a consequence, to a drastic drop of predicted LE compared to reference, whereas increasing SWC when the surface is already close to potential conditions won't have any effect on LE. Within this context, data assimilation of surface soil moisture retrieved from spatial sensors could provide an interesting solution to improve accuracy of SWC initial conditions (Prevot et al., 1984; Demarty et al., 2005; Li et al., 2006). By contrast, the timing, although important, has a secondary influence on model skills. Even when water input is applied 3 days before or after the actual date, the loss of LE predictions skills remain limited at around 15 \%. Indeed, considering that agricultural landscape is often well-watered in order to maximize production, vegetation is able, through transpiration processes, to maintain high levels of LE during long periods. The resulting dynamics of LE is relatively smooth compared to bare soil that is dominated by evaporation processes. Finally, the main conclusion is that emphasis should be laid on a water amount prescription whilst timing appears of secondary importance.

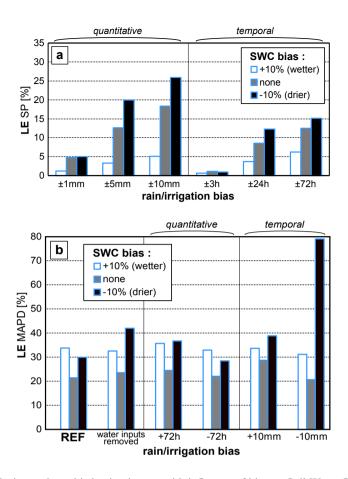
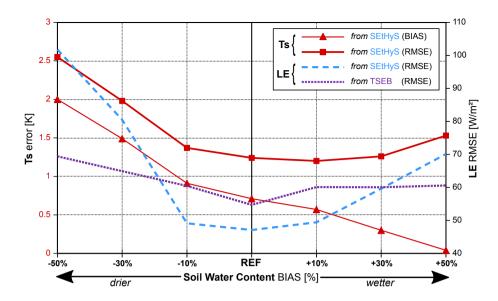


Figure 7. SEtHyS sensitivity analysis to rain and irrigation inputs, with influence of bias on Soil Water Content (SWC). SP in figure (a) is defined as  $SP = \frac{|LE_{[positivebias]} - LE_{[negativebias]}|}{LE_{[reference]}}$ .

### 3.2.7 Cross sensitivity analyses of models through linkage of radiative temperature and SWC

Sensitivity of the TSEB and SEtHyS models to surface water status has to be detailed in order to compare how the models respond to a change in water conditions. The difficulty lies in the conceptual difference between both models: surface water status is an explicit variable state for SEtHyS while, in the TSEB model, surface radiative temperature is an indirect proxy of the surface hydric conditions. For the set of simulation periods considered in this study, initial soil water contents (for surface and root zone) were biased in SEtHyS inputs with +/-10, +/-30 or +/-50 % levels. As a consequence, the simulated radiative surface temperature by SEtHyS diverges from reference and the differences between both temperatures simulations time-series are added to the TSEB model input radiative temperature as an equivalent water bias converted in temperature. It is assumed that the SEtHyS model, used with a calibrated set of parameters, is able to simulate a realistic temperature equivalent to the water status biases (Coudert et al., 2006; Coudert and Ottlé, 2007). Figure 8 shows the average variation of the temperature bias as a function of the SWC bias. As expected, temperature increases with water content deficit. Beyond the [-10 % - +10 %] interval,



**Figure 8.** Error of Ts simulated by SEtHyS for increased and decreased SWC, and impact on models LE estimates. TSEB is forced with Ts estimates from SEtHyS.

temperature and water contents biases evolve quasi linearly with a greater increment for dry conditions. On the contrary, one can expect a more rapid limitation in temperature decrease with wet conditions, when soil reaches field capacity or saturation. The consequence on evapotranspiration deviation from reference clearly shows that beyond the [-10 % – +10 %] interval for water content biases, the error increases also linearly with a greater increment for dry conditions. Under -20 % bias, the impact on LE flux exceeds  $50~Wm^{-2}$ . This result is important for our purpose to spatialize models for evapotranspiration estimates, because accurate root zone and surface water content retrievals from thermal and microwave remote sensing are a real challenge over heterogeneous landscapes (Barrett and Renzullo, 2009; Hain et al., 2011). The shift in temperature simulated by SEtHyS for -50 to +50 % water contents biases does not exceed 2 K and lay therefore within the typical remotely sensed surface temperature uncertainty range. For such a temperature bias, the TSEB model evapotranspiration divergence is lower than  $40~Wm^{-2}$ . As a consequence, compared to the SEtHyS model, TSEB is less "reactive" to soil water contents variation. The result is critical for dry or stress conditions as previously pointed out. Actually, water status is only taken into account in the TSEB model through the surface temperature which is not sufficient and no additional limitation of surface evapotranspiration is done by modulating for instance the Priestley Taylor parameter.

# 4 Discussion

## 15 4.1 Influence of the parameters sets for model spatialization

The four calibration cases for the SEtHyS model going from site and period specific to more generic parameters from the literature are considered in order to evaluate the potential loss of model performance when specific calibration is not possible

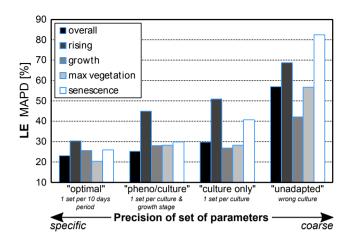


Figure 9. Impact of precision of sets of parameters on simulated fluxes; "optimal": most accurate per 10days period, "pheno+culture.": per culture and phase of growth as used for spatialization, "culture only": per culture (when only soil occupancy is known), "unadapted": incoherent (wrong culture).

by lack of data. Figure 6 shows the impact of the parameters set used on the SEtHyS performance to predict LE fluxes. Global results (for all crop classes and the whole cultural cycles) corresponding to the label "overview" in Fig. 6 give a MAPD of 30 % for the generic "culture only" set of parameters. This result does not differ much from the performance obtained with more specific sets of parameters "pheno+cult" or "optimal" giving 25 % and 23 % of MAPD, respectively. However, when a set of parameters from another crop class is used, MAPD reaches 58 %. A finest analysis by phenological stages indicates an overall stability of the results with the "pheno+cult" parameters set with regards to "culture only". There are actually two exceptions: one for the vegetation senescence periods which require specifics parameters sets. A mean set of parameters for the crop class increases MAPD from 30 % to 40 %. The second relates to crop rising periods. A generic one based only on the crop class ("culture only") increases MAPD up to 50 % compared to 45 % for "pheno+cult" when taking into account the phenology. As a conclusion, a mean parameters set associated to a specific crop without considering phenology implies only a slight decrease of the performance for growth or maximum vegetation development. By contrast, the relevance of the parameters sets becomes noticeable when specific information is not available for rising and senescence periods (including potentially water stress phases). With the same purpose, a specific analysis is dedicated to the Priestley-Taylor  $\alpha_{PT}$  key parameter of the TSEB model in the next section.

# 15 4.2 A deeper look at the $\alpha_{PT}$ parameter for spatialization

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A first estimation of  $LE_{vegetation}$  canopy transpiration flux is obtained from the Priestley-Taylor approximation and depends on the fraction of green  $f_g$  and on the  $\alpha_{PT}$  parameter. Most studies (Norman et al., 1995; Kustas and Norman, 1999; French et al., 2003; Anderson et al., 1997, 2008; Li et al., 2006, 2008, among others) have usually used a  $\alpha_{PT}$  value of about 1.3 for semiarid or sub-humid agricultural areas. However, this value may vary with vegetation type as mentioned in Norman et al.

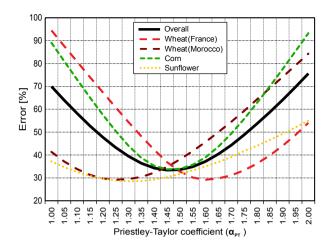


Figure 10. Sensitivity analyses of  $\alpha_{PT}$  TSEB parameter during vegetation periods. Error was computed as a cost function (euclidian distance) taking into account MAPD of LE and H simultaneously:  $Error = \sqrt{MAPD_{[LE]}^2 + MAPD_{[H]}^2}$ .

(1995), low values of LAI, atmospheric demand (Anderson et al., 2008; Agam et al., 2010; Colaizzi et al., 2014) or dry air advection conditions (Kustas and Norman, 1999). As a first step, the calibration is performed for midday time interval series over various surface and atmospheric conditions in order to be compared with previous studies using TSEB instantaneously for water flux mapping purpose when thermal imagery is available. Figure 10 shows the influence of  $\alpha_{PT}$  values on H and LE fluxes for wheat, corn and sunflower crops over the sites in both the South West of France and Morocco. Optimal values for irrigated wheat in Morocco (semi-arid climate) and sunflower in the South-West of France (temperate climate) are close to the 1.3 bibliographical value. For wheat and irrigated corn in South-West of France, mean optimal values are higher and reach 1.6 for wheat. Mean optimal value of 1.5 is obtained for temperate climate, while a lower value of 1.25 is obtained for semiarid climate. In a second step, the half-hourly data are used for the calibration in order to study the diurnal cycle of the  $\alpha_{PT}$ parameter. The  $\alpha_{PT}$  parameter shows a U-shape diurnal cycle evolution as displayed in Fig. 11 with smaller values around midday time, and higher values in both morning and evening when stability conditions are changing, enhancing  $LE_{vegetation}$ transpiration canopy flux. This is particularly outlined under clear sky conditions, when TIR data from space is most likely to be collected. The original  $\alpha_{PT}$  parameter is defined for a system at equilibrium with constant temperature, a condition which is particularly not met in the morning and in the evening when temperature temporal gradients are the highest. As a consequence, such variations integrated over the diurnal cycle lead to slightly higher  $\alpha_{PT}$  fixed optimal values for daily half-hourly time interval simulations. Moreover, results indicate a decrease of RMSE by about 10 % on both H and LE fluxes when optimal values at the original time interval are used instead of a fixed daily average. Nevertheless, as more error on fluxes estimation is likely to occur around midday time, when turbulent fluxes are maximal, optimal daily value of  $\alpha_{PT}$  tends towards its value around midday and is not much affected by increased morning and evening values. Despite thermal imagery from space is not available with the presence of clouds, the emergence of drone acquisition (Hoffmann et al., 2016) makes the characterization

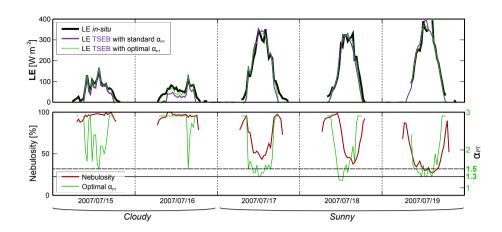


Figure 11. Influence of using optimal  $\alpha_{PT}$  or averaged  $\alpha_{PT}$  on LE estimates by TSEB, and influence of nebulosity on optimal  $\alpha_{PT}$  estimates. Nebulosity was computed using Photosynthetically Active Radiation (PAR) measurements:  $Nebulosity = \frac{PAR_{[diffuse]}}{PAR_{[total]}}$ . Sample from Auradé 2007 sunflower plot.

of  $\alpha_{PT}$  under those conditions of special interest. On cloudy days, Fig. 11 hilights that fixed daily optimal values of 1.8 to 2 (higher instantaneously) are required for optimizing H and LE fluxes enhancing again the  $LE_{vegetation}$  transpiration flux for such reduced atmospheric demand. Hence, for simulation under cloudy conditions,  $\alpha_{PT}$  value can be raised by +0.4 in a view to interpolate time series between satellite overpass or to run TSEB model with in situ or low altitude aircraft remotely sensed surface temperature. An improvement of about 10 % on LE flux simulation is likely to be expected when taking into account the above-mentioned impact of vegetation and cloudy conditions considerations on  $\alpha_{PT}$  parameter retrieval. However, Colaizzi et al. (2014) remembered that larger  $\alpha_{PT}$  values did not mitigate the discrepancies on the evaporation (E) and transpiration (T) components of the total latent heat flux (ET). These authors have proposed a revised version of TSEB replacing the Priestley-Taylor formulation with the Penman-Monteith equation in order to better account for large variations of vapor water pressure deficits and correct the evaporation, transpiration and total LE simulations. Boulet et al. (2015), thus built the SPARSE model based on Penman-Monteith with satisfying performances with the Morocco wheat site data set, above those of TSEB with default parameter values.

# 5 Summary and conclusions

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Monitoring evapotranspiration at field scale over a large agricultural landscape is a challenge as it requires detailed information about surface state and meteorological forcing, which is prone to uncertainties and unavailability. This study aimed at evaluating the ability of a SVAT model (SEtHyS, described in Coudert et al., 2006) and an instantaneous energy balance model (TSEB, described in Norman et al., 1995) for mapping evapotranspiration over agricultural landscapes as a preliminary step to a joint use of both approaches through data assimilation as first proposed by Crow et al. (2005, 2008). Within this context, our specific objectives were: (1) to assess the skills and domains of validity of both modelling approaches at field scale for various

crop conditions, (2) to characterize model errors resulting from realistic uncertainties on inputs that can be expected from an application at the landscape scale. To this objective, this study takes advantage of a large and unique in situ database spanning two climates and seven different crop cycles. Main results drawn from this study can be summarized as follows:

- On average on the entire database, both models provide close statistical metrics on daily average values of LE (RMSEs of  $36~Wm^{-2}$  for SEtHyS vs  $39~Wm^{-2}$  for TSEB) while TSEB is slightly better on H predictions (21 vs  $29~Wm^{-2}$ ). This points out the remarkable performance of the TSEB model compared to the relative simplicity of the approach, all the more given that SEtHyS parameters are calibrated for each crop, each phenological stage and each site.

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- SEtHyS skills appear more stable regardless of growth stages and crops whilst limitations of the TSEB model are clearly emphasized during rising and senescence stages.
- SEtHyS simulations of LE are less sensitive to noisy meteorological inputs than TSEB, for which performances are significantly deteriorated particularly when incoming radiation inputs are uncertain. Indeed, the partition between latent and sensible fluxes is moderated by the slow-varying soil moisture content in SEtHyS, while the TSEB partition relies on instantaneous measurement of available energy and surface temperature input only.
  - The sensitivity analysis of surface temperature which is one of the more important inputs for TSEB shows that for a limited white noise up to 2 K, the drop of TSEB skills is small on both H and LE. By contrast, biases are much more impacting as a negative bias of 3 K could deteriorate LE RMSE from 58  $Wm^{-2}$  to 78  $Wm^{-2}$ .
  - Similarly, the sensitivity of SEtHyS skills to uncertain water inputs and initial soil water content has also been analyzed and showed that emphasis should be put on water amount retrieval whilst timing of water supply appears of secondary importance; in particular a 10 mm negative bias on input coupled to a negatively biased initial SWC of 10 % with the same level, lead to a the loss of model performance above 25 %.
  - A cross sensitivity analysis of the TSEB and SEtHyS models to surface water status was carried out by simulating several surface temperature time series with SEtHyS and biased soil water contents (+/-10, +/-30 or +/-50 %). The difference of surface temperature compared to a reference simulation is added as input to the TSEB model as an equivalent water bias converted in temperature. The shift in temperature simulated by SEtHyS for -50 to 50 % water contents biases does not exceed 2 K and is therefore within the typical remotely sensed surface temperature uncertainty range. For such a temperature bias, the TSEB model evapotranspiration divergence is lower than 20 Wm<sup>-2</sup> while it reaches 50 Wm<sup>-2</sup> for SEtHyS, which indicates that TSEB is less "reactive" to soil water contents variations than the SEtHyS model.
  - Still with the scope to anticipate uncertainties induced by spatial distribution, SEtHyS was run with various sets of parameters of decreasing accuracies regarding the phenological stage and type of culture. This showed that when no precise information is known about surface condition, a valuable tradeoff is to consider a set of parameter only representative of the type of crop, provided vegetation is sufficiently developed. By contrast, the relevance of the parameters sets

becomes noticeable when specific information is not available for rising and senescence periods (including potentially water stress periods).

- For TSEB, an in-depth study of the Priestley-Taylor parameter  $\alpha_{PT}$  hilighted optimal values of 1.8 to 2 under cloudy conditions, which is of particular interest with the emergence of low altitude drone acquisition, while most studies focus on clear-sky conditions when TIR aquisition from space is possible.

In addition to the characterization of the model and background errors, this study provided some insights to guide the implementation of a data assimilation algorithm at the scale of an agricultural landscape for the joint use of both approaches by highlighting deficiencies in specific conditions. Nevertheless, our current database suffer from a lack of hydric stress conditions and doesn't allow to characterise precisely this crucial aspect. A new experiment in Morocco (seasons 2017-2018 and 2018-2019) focusing on water stress on wheat field is currently being carried out. Our perspectives will focus on the exploitation of TIR data, by using TSEB as a proxy to be assimilated in SEtHyS following Crow et al. (2008), but also by taking advantage of the MCIP methodology to tune parameters to better fit surface temperature measurements following Coudert et al. (2008). Special consideration will be given to diurnal dynamics and to exploitation of relative differences inside plots and inter-plots.

Data availability. Data access from the French and the Moroccan sites must be requested to the head of the Sud-Ouest observatory (Tiphaine
 Tallec, CESBIO, France) and to the head of the TENSIFT observatory (Jamal Ezzahar, UCAM, Morocco). The SAFRAN data should be directly requested to the head of Météo-France (Toulouse, France).

Competing interests. The authors declare that they have no conflict of interest.

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## Appendix A: SEtHyS main equations

This section presents the governing equations for the SEtHyS SVAT model variables.

### A1 Basical set of equations for the SEtHyS model

The mass and energy budget is solved jointly for both soil and vegetation sources from the following system:

$$\begin{cases}
R_{n[soil]} = H_{[soil]} + LE_{[soil]} + G \\
R_{n[veg]} = H_{[veg]} + LE_{[veg]} \\
H = H_{[veg]} + H_{[soil]} \\
E = E_{[veg]} + E_{[soil]},
\end{cases}$$
(A1)

where  $R_{n[soil]}$  and  $R_{n[veg]}$  are net radiations at soil and vegetation levels and G is the soil heat flux. Parameterization of the soil behavior is based on Deardorff's formalism (1978). The soil surface temperature  $T_{[soil]}$ , the vegetation temperature  $T_{[veg]}$ , the air temperature inside the canopy  $T_{[canopy]}$  and the air humidity inside the canopy  $q_{[canopy]}$  are determined by a first order linearization of the previous equations system.

- The soil surface temperature method prediction is namely the force restore method (Bhumralkar, 1975; Blackadar, 1976) and requires deep soil temperature  $T_2$ .  $T_2$  can be estimated from the mean air temperature over the 24 previous hours for short-range studies (Blackadar, 1976). The heat capacity is prescribed by de Vries's model (1963) and hydrodynamic properties result from pedotransfer functions (retention curve, hydraulic conductivity) based on Genuchten's approach (1980) under Mualem hypothesis (1976).
- 15 Prognostic equation for ground surface temperature is written as:

$$\frac{\partial T_{[soil]}}{\partial t} = \frac{2\sqrt{\pi}}{C_e} (R_n - H - LE) - \frac{2\pi}{\tau} \left( T_{[soil]} - T_2 \right). \tag{A2}$$

The factor  $C_e$  is an equivalent heat capacity related to the diurnal thermal wave damping layer. In SEtHyS, the parameterization of the equivalent heat capacity has been weighted by introducing an empirical factor ( $F_{therm}$  in parameters list, Table 2) compared to Deardorff (1978).

20 Deardorff (1978) proposed a similar approach of ground soil moisture, leading to the following equations:

$$\frac{\partial w_g}{\partial t} = -\frac{E_g + 0.2E_v \left(\frac{w_g}{w_{\text{max}}}\right) - P}{dp_1} - C\left(w_g, w_2\right)\left(w_g - w_2\right) \tag{A3}$$

$$\frac{\partial w_2}{\partial t} = -\frac{E_g + E_v - P}{dp_2}, \tag{A4}$$

where  $w_{\text{max}}$  is the soil moisture at soil saturation,  $w_g$  and  $w_2$  are surface and root zone water contents, P is the precipitation rate,  $dp_1$  and  $dp_2$  are the surface and root zone layers depths.

# A2 Radiative budget

Incoming radiation partition for optical (VIS) and infrared (IR) wavelength is performed through a shielding factor  $\sigma_f$  tighly linked to vegetation density. Its expression is as follows by considering a spherical distribution of leaves (François, 2002) with the hypothesis of diffuse radiation for longwave domain and direct vertical radiation in shortwave domain:

$$\begin{cases} \sigma_f = 1 - e^{-0.825LAI} & \text{for longwave domain} \\ \sigma_f = 1 - e^{-0.5LAI} & \text{for shortwave domain} \end{cases}$$
 (A5)

Radiative budget is then solved jointly at the soil and at the vegetation level for short and long wavelengths. Concerning short wavelengths, soil albedo  $\alpha_{soil}$  is linearly linked to surface soil moisture. Vegetation albedo  $\alpha_{veg}$  is a model parameter. The net radiation for the soil  $R_{n[soil],SW}$  and for the vegetation  $R_{n[veg],SW}$  are as follow ("Mod3" parameterization as proposed in François, 2002):

$$R_{n[soil],SW} = S^{\downarrow} \frac{(1 - \sigma_f)(1 - \alpha_{soil})}{1 - \sigma_f \alpha_{veg} \alpha_{soil}},\tag{A6}$$

and at canopy level:

15 
$$R_{n[veg],SW} = S^{\downarrow}(1 - \alpha_{veg})\sigma_f \left[ 1 + \alpha_{soil} \frac{(1 - \sigma_f)}{1 - \sigma_f \alpha_{soil} \alpha_{veg}} \right]$$
 (A7)

where  $S^{\downarrow}$  is the incoming shortwave radiation.

Concerning long wavelengths, the net radiation for soil  $R_{n[soil],LW}$  and vegetation  $R_{n[veg],LW}$  are given by:

$$R_{n[soil],LW} = (1 - \sigma_f) \frac{\varepsilon_g(R_a^{\downarrow} - \sigma T_{[soil]}^4)}{1 - \sigma_f(1 - \varepsilon_f)(1 - \varepsilon_g)} - \frac{\varepsilon_g \varepsilon_f \sigma_f \sigma (T_{[soil]}^4 - T_{[veg]}^4)}{1 - \sigma_f(1 - \varepsilon_f)(1 - \varepsilon_g)}$$
(A8)

20 
$$R_{n[veg],LW} = \sigma_f \left[ \varepsilon_f (R_a^{\downarrow} - \sigma T_f^4) + \frac{\varepsilon_g \varepsilon_f \sigma (T_{[soil]}^4 - T_{[veg]}^4)}{1 - \sigma_f (1 - \varepsilon_f) (1 - \varepsilon_g)} \right] + \sigma_f \frac{(1 - \varepsilon_f) (1 - \varepsilon_g) \varepsilon_f (R_a^{\downarrow} - \sigma T_{[veg]}^4)}{1 - \sigma_f (1 - \varepsilon_f) (1 - \varepsilon_g)}$$
(A9)

Direct solar shortwave radiation  $S^{\downarrow}$  and atmospheric longwave radiation  $R^{\downarrow}$  are input model data.

The thermal infrared surface temperature  $T_B$  (observed above the canopy) results from the partitioning of the surface and the radiative interaction between soil (whose temperature is  $T_{[soil]}$ ) and the vegetation above (whose temperature is  $T_{[veq]}$ ).

# A3 Heat fluxes expressions

- The mass and energy transfers in equilibrium with net surface radiation are momentum, sensible and latent heat fluxes. A conductance formalism allows expressing them by considering the canopy as a single vegetation layer (at some height  $Z_{af}$ ) above ground (Thom, 1972). Thus, following the electrical (Ohm's law) analogy, soil surface, leaves surface, air canopy space and atmosphere above canopy are the levels between which differences of potential (temperature and humidity gradients) and transfer coefficients *i.e.* aerodynamic conductances can be calculated.
- Heat fluxes H and LE (sensible and latent heat fluxes respectively) are then determined at three levels: at atmospheric reference level,

$$H = \rho c_p C_h \left( T_{[canopy]} - T_a \right) \tag{A10}$$

$$LE = \frac{\rho c_p}{\gamma} C_h \left( q_{[canopy]} - q_a \right) \tag{A11}$$

at vegetation level,

15 
$$H_{[veg]} = \rho c_p C_{h[veg]} \left( T_{[veg]} - T_{[canopy]} \right)$$
 (A12)

$$LE_{[veg]} = \frac{\rho c_p}{\gamma} C_{h[veg]} R' \left( q_{sat}(T_{[veg]}) - q_{[canopy]} \right) \tag{A13}$$

and at ground level,

$$H_{[soil]} = \rho c_p C_{h[soil]} \left( T_{[soil]} - T_{[canopy]} \right) \tag{A14}$$

$$LE_{[soil]} = \frac{\rho c_p}{\gamma} C_{h[soil]} C_s \left( q_{sat}(T_{[soil]}) - q_{[canopy]} \right) \tag{A15}$$

20 with

$$LE = LE_{[soil]} + LE_{[veq]} \tag{A16}$$

$$H = H_{[soil]} + H_{[veq]} \tag{A17}$$

and G conduction heat flux in soil is residual of the energy budget:

$$G = R_{n[soil],LW} + R_{n[soil],SW} - H_{[soil]} LE_{[soil]}$$
(A18)

where  $C_p$  is the specific heat at constant pressure,  $\gamma$  is the psychrometric constant, T, q are temperature and water vapor pressure and a, g, canopy are indices relative to air, ground, and canopy air space.

 $C_h$ ,  $C_{h[veg]}$  and  $C_{h[soil]}$  are respectively aerodynamic conductances between canopy air space and the overlaying atmosphere, leaves surface and canopy air space, ground and canopy air space, R' factor is defined below. These variables are derived from the eddy fluxes theory between two atmospheric levels. In SEtHyS model, the formulation follows the parameterization proposed by Shuttleworth and Wallace (1985) with a constant extinction coefficient in the exponential wind speed profile.

 $C_s$  is the ground evaporation conductance; it depends on soil moisture conditions and potential evaporation  $E_{pot[soil]}$  (Bernard et al., 1986; Wetzel and Chang, 1988; Soares et al., 1988):

$$C_s = \min\left(1, \frac{E_{\text{lim}}}{E_{pot[soil]}}\right),\tag{A19}$$

where  $E_{lim}$  depends on soil properties (composition and moisture), Soares et al. (1988) gives the expression:

$$E_{\text{lim}} = a_{Elim} \left( \exp(b_{Elim} (w_g - w_{resid})^2) - 1 \right) \tag{A20}$$

15  $a_{Elim}$  and  $b_{Elim}$  are model parameters related to soil evaporation response.

R' factor in Eq.(A13) accounts for stomatal resistance and to the fact that only the fraction of the canopy area which is not covered by water will contribute to evapotranspiration. Deardorff (1978) proposed the expression:

$$R' = \left(\frac{dew}{d_{\text{max}}}\right)^{2/3} + \left[1 - \left(\frac{dew}{d_{\text{max}}}\right)^{2/3}\right] \frac{1}{(\beta + C_{fh}RST)},\tag{A21}$$

R' = 1 for condensation,

where "dew" (resp. " $d_{max}$ ") is the fraction (resp. the maximal one) of free water on the foliage. RST is the stomatal resistance, this factor governs the canopy participation to the energy budget and is responsible for partition between sensible and latent heat fluxes.

In the model, calculation of RST is based on Collatz et al. (1991, 1992) and is the same as in SiB models (Sellers et al., 1992, 1996). Biophysical and environmental variables manage photosynthesis processes giving  $CO_2$  assimilation rate and then stomatal conductance of the foliage.

Ball (1988) gives the following leaf stomatal conductance expression:

$$5 g_s = m\frac{A_n}{c_s}h_s p + b (A22)$$

where  $A_n$  is net assimilation rate calculated by the model of Farquhar et al. (1980),  $c_s$  and  $h_s$  are  $CO_2$  partial pressure and relative humidity at leaf surface, p is atmospheric pressure, m and b are empirical factors from observations depending on vegetation type ( $C_3$  or  $C_4$ ).

Assimilation rate is determined by means of three factors, a photosynthetic enzyme (Rubisco) limiting rate, a light limiting rate and a limiting rate owing to the leaf capacity to export or utilize the photosynthesis products (Collatz et al., 1991). In the model, the iterative solution method for the photosynthesis-stomatal conductance calculation proposed by Collatz et al. (1991) has been implemented. Indeed, canopy is considered as a "big leaf" assuming bulk or integral values over canopy depth used in the integrated form of Eq.(A22) (see Sellers et al., 1992). Stomatal conductance and net assimilation rate are then determined for the canopy.

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**Table 3.** Sites characteristics and overview of available cultures and crop cycles.

Site		Auradé	Lamasquère	Sidi Rahal	
Location	on	France	France	Morocco	
Latitude		43.54984444 °N	43.49737222 °N	31.665852 °N	
Longitude		1.10563611 °E	1.23721944 °E	7.597873 °W	
Climate		temperate	temperate	semi-arid	
Soil type		Clay loam	Clay	Clay	
sand[%] silt[%] clay[%]		21 47 32	12 34 54	20 34 46	
Depth [m]		0.6	1	1	
Slope [%]		2	0	1	
2004	Culture	-	-	Wheat *	
_	Growth cycle length $[days]$	-	-	133	
_	Maximum $LAI$ $[m^2 m^{-2}]$	-	-	3.76	
	Cumulated rain [mm]	-	-	135	
	Cumulated irrigation [mm]	-	-	120	
2006	Culture	Wheat	Corn *	-	
	Growth cycle length [days]	246	123	-	
_	Maximum $LAI$ [ $m^2$ $m^{-2}$ ]	3.13	3.33	-	
_	Cumulated rain [mm]	397	132	-	
	Cumulated irrigation [mm]	0	148	-	
2007	Culture	Sunflower	Wheat	-	
_	Growth cycle length [days]	157	271	-	
	Maximum $LAI$ [ $m^2$ $m^{-2}$ ]	1.74	4.47	-	
_	Cumulated rain [mm]	456	531	-	
	Cumulated irrigation [mm]	0	0	-	
2008	Culture	Wheat	Corn *	-	
-	Growth cycle length [days]	248	175	-	
-	Maximum $LAI$ [ $m^2$ $m^{-2}$ ]	2.39	3.28	-	
-	Cumulated rain [mm]	491	397	-	
-	Cumulated irrigation [mm]	0	50		

<sup>\*</sup> irrigated cultures.

**Table 4.** Intercomparison of TSEB and SEtHyS performances (RMSE), with influence of time resolution, phenological stage, culture and climate.

			RMSE	$[W m^{-2}]$			
		$R_n$		H	ì	LE	
	TSEB	SEtHyS	TSEB	SEtHyS	TSEB	SEtHyS	
Overall (time step)	46.5	25.7	28.9	38.0	54.7	47.1	
Overall (daily average)	42.7	18.9	21.2	28.7	38.9	35.5	
Rising	22.1	15.3	110.2	44.1	88.3	44.0	
Growth	30.9	24.5	21.7	28.3	51.6	43.4	
Max of vegetation	51.1	20.2	24.6	40.8	55.5	48.1	
Senescence	55.0	29.4	43.5	47.3	54.0	42.1	
Hydric stress	53.2	21.6	44.9	49.3	49.6	30.6	
Wheat	49.7	29.5	32.9	37.6	49.2	45.6	
Corn	46.0	18.1	22.9	40.2	64.4	52.6	
Sunflower	39.1	27.2	27.1	35.1	49.0	39.5	
France (wheat)	35.1	32.6	35.1	36.4	52.5	42.9	
Morocco (wheat)	25.6	15.2	25.6	40.8	36.3	53.4	
	Overall (daily average) Rising Growth Max of vegetation Senescence Hydric stress Wheat Corn Sunflower France (wheat)	Overall (time step)         46.5           Overall (daily average)         42.7           Rising         22.1           Growth         30.9           Max of vegetation         51.1           Senescence         55.0           Hydric stress         53.2           Wheat         49.7           Corn         46.0           Sunflower         39.1           France (wheat)         35.1	Overall (time step)       46.5       25.7         Overall (daily average)       42.7       18.9         Rising       22.1       15.3         Growth       30.9       24.5         Max of vegetation       51.1       20.2         Senescence       55.0       29.4         Hydric stress       53.2       21.6         Wheat       49.7       29.5         Corn       46.0       18.1         Sunflower       39.1       27.2         France (wheat)       35.1       32.6	France (wheat)           Image: Prance of the policy of the prance	TSEB         SEtHyS         TSEB         SEtHyS           Overall (time step)         46.5         25.7         28.9         38.0           Overall (daily average)         42.7         18.9         21.2         28.7           Rising         22.1         15.3         110.2         44.1           Growth         30.9         24.5         21.7         28.3           Max of vegetation         51.1         20.2         24.6         40.8           Senescence         55.0         29.4         43.5         47.3           Hydric stress         53.2         21.6         44.9         49.3           Wheat         49.7         29.5         32.9         37.6           Corn         46.0         18.1         22.9         40.2           Sunflower         39.1         27.2         27.1         35.1           France (wheat)         35.1         32.6         35.1         36.4	$R_n$ $H$ <th colspan<="" td=""></th>	

**Table 5.** Comparison of *in situ* data and spatial data (SAFRAN, ASTER, and inversed NDVI)

Forcing	Source	Variables [unit]	Description	Mean error		"Extreme" error	
				RMSE	BIAS	1 <sup>st</sup> decile	9 <sup>th</sup> decile
Meteo	SAFRAN	$T_a \ [^{\circ}C]$	Air temperature	1.5	0.7	-1.5 (-10 %)	1.3 (+10 %)
		$U_a [m s^{-1}]$	Wind speed	1.4	-0.7	-0.65 (-30 %)	2.3 (+90 %)
		$R_h$ [%]	Relative humidity	7	8	-12 (-15 %)	5(+8 %)
		$R_g [W m^{-2}]$	Global radiation	90	35	-186 (-40 %)	125 (+60 %)
		$R_a [W m^{-2}]$	Atmospheric radiation	30	14	-51 (-15 %)	20 (+7 %)
Vegetation	FORMOSAT	LAI $[m^2 m^{-2}]$	Leaf Area Index	-	20 %	-50 %	+50 %
		$h_c[m]$	Canopy height	-	20 %	-100 %	+100 %
LST	ASTER	$T_s[K]$	Surface temperature	2	-	-	-